# **Exhibit B**

## Issued by the

# UNITED STATES DISTRICT COURT

SOUTHERN DISTRICT OF NEW YORK

| In re:   | Adv. Pro. No. 08-01789 (BRL)  |
|--|---|
| BERNARD L. MADOFF INVESTMENT SECURITIES LLC,  Debtor,  | SIPA LIQUIDATION (Substantively Consolidated)   |
| IRVING H. PICARD, Trustee for the Liquidation of Bernard L.  Madoff Investment Securities LLC, Plaintiff,  v.  SAUL B. KATZ, et al., Defendants.   | SUBPOENA IN A CIVIL CASE  Case Number:  Adv. Pro. No. 10-5287(BRL)  11-CV-03605 (JSR) (HBP) |
| TO: Sterling Stamos Capital Management, L.P. and Sterling Stamos Associates G.P. Attn: Tammy Beiber Tannenbaum Helpern Syracuse & Hirschtritt, LLP 900 Third Avenue New York, New York 10022  YOU ARE COMMANDED to appear in the United States D | Pistrict court at the place, date, and time specified below to                              |
| testify in the above case.  PLACE OF TESTIMONY   | COURTROOM   |
|  | DATE AND TIME   |
| YOU ARE COMMANDED to appear at the place, date, and in the above case on the topics identified in the attached Not   |   |
| PLACE OF DEPOSITION Baker & Hostetler, LLP, 45 Rockefeller Plaza, New York, New  | York 10111 DATE AND TIME 01/12/2012 at 9:30 am  |
| YOU ARE COMMANDED to produce and permit inspection place, date, and time specified below (list documents or objection)   |   |
| PLACE  | DATE AND TIME   |

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| YOU ARE COMMANDED to permit inspection of the following premises at the date and time specified below.   |  |  |
|--|--|--|
| PREMISES   | DATE AND TIME  |  |
| Any organization not a party to this suit that is subpoenaed for the taking of a deposition shall designate one or more officers, directors, or managing agents, or other persons who consent to testify on its behalf, and may set forth, for each person designated, the matters on which the person will testify. Federal Rules of Civil Procedure, 30(b)(6). |  |  |
| ISSUING OFFICER'S SIGNATURE AND TITLE (INDICATE IF ATTORNEY FOR Fernando A. Bohorquez, Jr., Esq., Attorney for Plaintiff /s/Fern   |  |  |
| ISSUING OFFICER'S NAME, ADDRESS AND PHONE NUMBER Fernando A. Bohorquez, Jr., Esq. Baker & Hostetler LLP 45 Rockefeller Plaza New York, NY 10111 (212) 589-4200   |  |  |
| (See Rule 45, Federal Rules of Civil Procedure, Parts C & D on next page)  |  |  |
| <sup>1</sup> If action is pending in district other than district of issuance, state district under case number.   |  |  |
| AO 88 (Rev 1/94) Subpoena in a Civil Case  |  |  |
| PROOF OF S   | SERVICE  |  |
|  |  |  |
| DATE SERVED:   | PLACE  |  |
| SERVED ON (PRINT NAME)   | MANNER OF SERVICE  |  |
| SERVED BY (PRINT NAME)   | TITLE  |  |
| DECLARATION OF SERVER  |  |  |
| I declare under penalty of perjury under the laws of the United in the Proof of Service is true and correct.   | I States of America that the foregoing information contained |  |
| Executed on  |  |  |
|  | SIGNATURE OF SERVER  |  |
|  | ADDRESS OF SERVER  |  |
|  |  |  |

#### Rule 45, Federal Rules of Civil Procedure, Parts C & D:

#### (c) PROTECTION OF PERSONS SUBJECT TO SUBPOENAS.

- (1) A party or an attorney responsible for the issuance and service of a subpoena shall take reasonable steps to avoid imposing undue burden or expense on a person subject to that subpoena. The court on behalf of which the subpoena was issued shall enforce this duty and impose upon the party or attorney in breach of this duty an appropriate sanction which may include, but is not limited to, lost earnings and reasonable attorney's fee.
- (2) (A) A person commanded to produce and permit inspection and copying of designated books, papers, documents or tangible things, or inspection of premises need not appear in person at the place of production or inspection unless commanded to appear for deposition, hearing or trial.
- (B) Subject to paragraph (d) (2) of this rule, a person commanded to produce and permit inspection and copying may, within 14 days after service of subpoena or before the time specified for compliance if such time is less than 14 days after service, serve upon the party or attorney designated in the subpoena written objection to inspection or copying of any or all of the designated materials or of the premises. If objection is made, the party serving the subpoena shall not be entitled to inspect and copy materials or inspect the premises except pursuant to an order of the court by which the subpoena was issued. If objection has been made, the party serving the subpoena may, upon notice to the person commanded to produce, move at any time for an order to compel the production. Such an order to comply production shall protect any person who is not a party or an officer of a party from significant expense resulting from the inspection and copying commanded.
- (3) (A) On timely motion, the court by which a subpoena was issued shall quash or modify the subpoena if it
  - (i) fails to allow reasonable time for compliance,
- (ii) requires a person who is not a party or an officer of a party to travel to a place more than 100 miles from the place where that person resides, is employed or regularly transacts business in person, except that, subject to the provisions of clause (c) (3) (B) (iii) of this rule, such a person may in order to attend

trial be commanded to travel from any such place within the state in which the trial is held, or

- (iii) requires disclosure of privileged or other protected matter and no exception or waiver applies, or
  - (iv) subjects a person to undue burden.

#### (B) If a subpoena

- (i) requires disclosure of a trade secret or other confidential research, development, or commercial information, or
- (ii) requires disclosure of an unretained expert's opinion or information not describing specific events or occurrences in dispute and resulting from the expert's study made not at the request of any party, or
- (iii) requires a person who is not a party or an officer of a party to incur substantial expense to travel more than 100 miles to attend trial, the court may, to protect a person subject to or affected by the subpoena, quash or modify the subpoena, or, if the party in who behalf the subpoena is issued shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship and assures that the person to whom the subpoena is addressed will be reasonably compensated, the court may order appearance or production only upon specified conditions.

#### (d) DUTIES IN RESPONDING TO SUBPOENA.

- (1) A person responding to a subpoena to produce documents shall produce them as they are kept in the usual course of business or shall organize and label them to correspond with the categories in the demand.
- (2) When information subject to a subpoena is withheld on a claim that it is privileged or subject to protection as trial preparation materials, the claim shall be made expressly and shall be supported by a description of the nature of the documents, communications, or things not produced that is sufficient to enable the demanding party to contest the claim.

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# UNITED STATES DISTRICT COURT

## SOUTHERN DISTRICT OF NEW YORK

| In re:  | SIPA LIQUIDATION                    |
|---|-------------------------------------|
| BERNARD L. MADOFF,  | Adv. Pro. No. 08-01789 (BRL)        |
| Debtor.   | Adv. Pro. No. 10-05287 (BRL)        |
| IRVING H. PICARD, Trustee for the Liquidation of Bernard L. Madoff Investment Securities LLC, | Case No. 11-cv-03605 (JSR) ECF Case |
| Plaintiff,  |                                     |
| <b>v.</b>   |                                     |
| SAUL B. KATZ, et al.,   |                                     |
| Defendants.   |                                     |

*To:* Tammy Bieber Tannenbaum Helpern Syracuse & Hirschtritt, LLP 900 Third Avenue New York, New York 10022

Attorney for Sterling Stamos

## NOTICE OF RULE 30(b)(6) DEPOSITION TO STERLING STAMOS

PLEASE TAKE NOTICE that, pursuant to Fed. R. Civ. P. 30(b)(6), Plaintiff, Irving H. Picard, by and through his counsel Baker & Hostetler LLP, will take the deposition(s) upon oral examination, before a notary public or other person authorized to administer oaths at the offices of Baker & Hostetler LLP, 45 Rockefeller Plaza, New York, NY 10111, about information known or reasonably available to Sterling Stamos regarding the topics in attached Exhibit A. Rule 30(b)(6) requires Sterling Stamos to designate one or more officers, directors, or managing agents, or designate other persons who consent to testify on its behalf, regarding these topics. Said deposition will be taken by stenographic and videographic means by a certified court reporter and videographer. The deposition(s) will commence at 9:30 a.m. January 12, 2012. If necessary, each deposition will be adjourned until completed.

Date: January 2, 2012

New York, New York

#### **BAKER & HOSTETLER LLP**

By: /s/ Fernando A. Bohorquez, Jr.

David J. Sheehan

E-mail: dsheehan@bakerlaw.com

Fernando A. Bohorquez, Jr.

E-mail: fbohorquez@bakerlaw.com

Attorneys for Irving H. Picard, Trustee for the Substantively Consolidated SIPA Liquidation of Bernard L. Madoff Investment Securities LLC and Bernard L. Madoff

### EXHIBIT A

- 1. For the period between 2002 and 2008, the system(s) used to create, transmit, store, retrieve, and delete e-mail including, but not limited to, name and version, installation dates, number of users, and location of users' mail files.
- 2. For the period between 2002 and 2008, how electronic documents are maintained, archived, indexed, including descriptions of hardware and software used, and where this is physically located.
- 3. For the period between 2002 and 2008, how hard-copy documents are maintained, archived, indexed.
- 4. For the period between 2002 and 2008, corporate policies regarding the retention and destruction of documents.
- 5. The separation of Sterling Stamos' IT infrastructure from Sterling Equities infrastructure in 2004, including which Sterling Stamos data, if any, was transferred to a new server and/or remains available on back up tapes.
- 6. The collection of documents responsive to the Trustee's Fed. R. Civ. P. 45 subpoena dated October 11, 2011.
- 7. The collection of documents responsive to the Trustee's Bankruptcy Rule 2004 subpoena dated May 18, 2010.
- 8. The retention and/or destruction of Ms. Noreen Harrington's electronic and/or hard copy files.