

# Exhibit 1

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re:

BERNARD L. MADOFF INVESTMENT  
SECURITIES LLC,

Debtor,

Adv. Pro. No. 08-01789 (BRL)

SIPA LIQUIDATION

(Substantively Consolidated)

IRVING H. PICARD, Trustee for the Liquidation of  
Bernard L. Madoff Investment Securities LLC,

Plaintiff,

v.

SAUL B. KATZ, et al.,

Defendants.

Adv. Pro. No. 10-05287 (BRL)

11 Civ. 03605 (JSR) (HBP)

**EXPERT REPORT OF  
MATTHEW B. GREENBLATT  
SENIOR MANAGING DIRECTOR  
FTI CONSULTING, INC.**

November 22, 2011

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## **I. Engagement Overview**

### **a. The Fraud**

1. Bernard L. Madoff (“Madoff”) operated an investment firm known as Bernard L. Madoff Investment Securities (“BLMIS”) for many years. The business purported to be engaged in the investment advisory business as well as proprietary trading and market making. In fact, the business was a Ponzi scheme in which Madoff falsely represented to clients and prospective clients that he would invest their money in shares of common stock, options, and other securities and, upon request, would return to them their profits and principal. Contrary to his representations, Madoff never invested the funds in securities as promised. He used these client funds to pay other investor clients who sought to redeem their investments.

2. Madoff was arrested on December 10, 2008, and charged with a variety of federal criminal securities fraud and related charges, including mail and wire fraud.

3. On December 15, 2008, Irving H. Picard was appointed as the Trustee under the Securities Investor Protection Act (“SIPA”) and the liquidation proceeding was removed to the United States Bankruptcy Court. Baker Hostetler LLP was retained as counsel for the SIPA Trustee.

### **b. FTI’s Retention**

4. On or about December 30, 2008, FTI Consulting, Inc. (“FTI”) was retained by the Trustee to analyze, among other things, the financial affairs of BLMIS and to assist the Trustee with the liquidation of BLMIS.

5. From the outset of FTI’s engagement, the Trustee tasked FTI with the exercise of reconstructing the books and records of BLMIS, including identification of all cash transactions between BLMIS and its customers, as far back as the records allow. Together with a team of FTI professionals working under my direct supervision, I was specifically tasked to compile chronological listings of all cash and principal transactions for every BLMIS customer account (including the Defendants’ 185 BLMIS accounts at issue in this matter). These chronological listings consist of cash and principal transactions derived from data maintained within BLMIS’s computer systems that generated monthly customer statements (the “customer statements”), and this data was supported by third party documentation where available. These chronological listings of the cash and principal transactions provide the foundation to calculate every BLMIS

account holder's principal balance (the "Principal Balance") on a daily basis for all dates during the period from April 1, 1981 through December 11, 2008. FTI accomplished this task for the period of April 1, 1981 through and including December 11, 2008.

6. In addition, Lisa M. Collura, also with FTI, was specifically tasked with, among other things, determining whether cash deposit and withdrawal transactions as reflected in the customer statements of BLMIS customer accounts reconciled to available BLMIS bank records. The work performed by Ms. Collura and the FTI professionals working under her supervision is summarized in the Expert Report of Lisa M. Collura (the "Collura Report").

## **II. Credentials**

7. I, Matthew B. Greenblatt, am employed by FTI as a Senior Managing Director. I have more than 17 years of experience in accounting, auditing and litigation consulting services, including forensic accounting and fraud investigations. I am a Certified Public Accountant, Certified in Financial Forensics, and a Certified Fraud Examiner. I am a member of the American Institute of Certified Public Accountants, the New York State Society of Certified Public Accountants and the Association of Certified Fraud Examiners. I have spoken on multiple panels and authored articles in the area of forensic accounting and investigations, and currently serve as an adjunct professor with New York University in its forensic accounting certificate program. Additional information regarding my professional experience and recent testimony history is included in my Curriculum Vitae annexed hereto as **Exhibit A**.

8. As a Certified Public Accountant, a forensic accountant and a Certified Fraud Examiner, I have the training, experience and expertise required to work with and supervise members of the FTI team of professionals to accomplish the tasks requested by the Trustee to reconstruct the books and records of BLMIS. I have prepared this report with the assistance of other FTI professionals working under my direct supervision. FTI is being compensated at a rate of \$531 per hour for my professional time incurred in performing the work necessary to prepare this report and at hourly rates ranging from \$67 to \$666 for the time incurred by my colleagues. FTI's fees are not contingent on the conclusions reached in this report.

9. The subsequent sections of this report outline the information about which I am prepared to testify at trial or deposition, if called upon to do so. The list of documents that I considered in connection with this report is annexed hereto as **Exhibit B**.

### **III. Summary of Findings**

10. All cash and principal deposits and withdrawals made by BLMIS customers that were recorded on the BLMIS customer statements were accumulated by FTI to prepare chronological listings of those transactions to calculate each individual customer account's Principal Balance at all times from April 1, 1981 through December 11, 2008.

11. Third party supporting documentation, in the form of bank statements and cancelled checks, were available for the full ten-year period prior to the collapse of BLMIS. As set forth in detail in the Collura Report, these third party records confirmed that the customers' cash deposits and withdrawals recorded on the BLMIS customer statements were accurately recorded on the BLMIS customer statements.

12. Principal Balance calculations were performed for every BLMIS customer account. As applied to the Defendants' 185 BLMIS customer accounts, the Principal Balance calculation shows that Defendants withdrew a total of \$1.76 billion from BLMIS during the approximate 23-year customer relationship with BLMIS. Those withdrawals consisted of \$1,461,757,850 of principal and an additional \$295,465,565 of funds withdrawn in excess of principal, representing fictitious profits, during the period from October 1, 1985 through December 11, 2008.

13. Because the cash deposits and withdrawals made by BLMIS customers and recorded on the BLMIS customer statements have been reconciled to third party bank records where available, as set forth in the Collura Report, it is my opinion that the cash and principal transactions reported in the BLMIS customer statements and the Principal Balance calculations performed for every BLMIS account, including those of Defendants' 185 BLMIS customer accounts, are fairly and accurately represented.

### **IV. The Methodology and Components of the Calculation of the Principal Balances**

14. From a summary perspective, each BLMIS customer account's Principal Balance is derived by providing credits for the amount of principal that a customer had deposited into his or her BLMIS account and by deducting amounts of principal withdrawn from the account.

15. Through the use of customer statements and other relevant documents available within BLMIS's records, FTI has reconstructed the cash and principal activity on an account-by-

account basis for the period of April 1, 1981 through and including December 11, 2008.<sup>1</sup> FTI has verified that the amounts reported in the chronological listings of the cash and principal transactions for each BLMIS customer account represent cash and principal transactions for all periods for which third party bank records are available.<sup>2</sup>

16. From this full listing of cash and principal activity on an account-by-account basis, FTI calculated each BLMIS customer account's Principal Balance on a daily basis from April 1, 1981 through December 11, 2008.

17. The majority of the principal transactions in the chronological listings prepared for each BLMIS customer account consists of cash deposits and cash withdrawals; however, additional transactions occurred that have been factored into the calculation of each customer account's Principal Balance. The individual components of the calculation of each BLMIS customer account's Principal Balance are set forth below and discussed more fully in the subsequent sections of this report:

- **INFLOW** – The reported amount on an account holder's customer statement as of April 1, 1981 for those accounts that were opened prior to April 1, 1981<sup>3</sup>
- **INFLOW** – Cash deposits
- **INFLOW** – Non-cash deposits<sup>4</sup>
- **INFLOW** – Inter-account transfers of principal ***into*** the customer account from another BLMIS customer account<sup>5</sup>
- **OUTFLOW** – Cash withdrawals
- **OUTFLOW** – Inter-account transfers of principal ***out*** of the customer account to another BLMIS customer's account
- **OUTFLOW** – Payments made by BLMIS on behalf of customers<sup>6</sup>

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<sup>1</sup> The cash and principal transactions that have been identified and obtained from customer statements and other relevant documents available within BLMIS's records have been accumulated and loaded to a Microsoft SQL Server database. This Microsoft SQL Server database can be made available for review upon request.

<sup>2</sup> The third party bank records and cancelled checks were available for the period from December 1998 through December 2008. For more detail, see the Collura Report.

<sup>3</sup> Accounts that were opened at BLMIS before April 1, 1981 were granted initial principal credit for the reported amount on their customer statement as of March 31, 1981 equal to the cash balance reported plus the cost basis of positions reported as held as of month end, March 1981.

<sup>4</sup> The non-cash deposits represent those instances in which BLMIS customers made deposits of actual bonds and/or securities that were credited to their BLMIS accounts, as well as those subsequent earnings as they were reported on successive customer statements.

<sup>5</sup> Only the portion of the inter-account transfers between BLMIS accounts for which the transferor had positive principal can be transferred. As such, all inter-account transfers have been analyzed and allowed only up to the amount of principal available in the transferor's account on the day of the transfer.

<sup>6</sup> BLMIS withheld certain amounts from foreign account holders payable to the Internal Revenue Service ("IRS") and BLMIS subsequently made these corresponding payments to the IRS on behalf of these customers.

18. While these components comprise the elements of the Principal Balance calculations, the information that has been excluded from the chronological listings of BLMIS customer account activity relates to the reported trading. At the direction of counsel to the Trustee, no credit is given (or removed) for gains (or losses) resulting from trades reflected on customer statements. Similarly, at the direction of counsel to the Trustee, no credit is given or removed for the portion of inter-account transfers that were for amounts in excess of the principal in individual customer accounts at the time of the inter-account transfer.

19. From these chronological listings of cash and principal transactions, FTI calculated each BLMIS customer account's daily Principal Balance, as well as the final Principal Balance as of December 11, 2008 to, among other things, permit the Trustee to determine the BLMIS customers' Net Equity claims and assess any potential litigation claims of the estate.

20. The subsequent sections describe briefly the seven components of the calculation of the Principal Balance for each BLMIS customer account.

a. *Component #1 – Principal Credit as of April 1, 1981*

21. The first component of the Principal Balance calculation is the initial investment made by each customer. This initial investment is most often a cash deposit or inter-account transfer (both described in detail below).

22. In a small number of instances, certain accounts were opened before the time period of available customer account records, and for those accounts no information is available to determine the date and amount of an initial investment. For these accounts, the earliest date for which the BLMIS records permit FTI to initiate the Principal Balance calculation is the ending balance on the March 31, 1981 customer statements.

23. The Principal Balance calculation for these accounts provides a credit for principal equal to the account's ending cash balance reported plus the historical cost of the securities reported on the customer statement as held in the account as of March 31, 1981 for those accounts that were opened at BLMIS prior to April 1, 1981.<sup>7</sup>

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<sup>7</sup> The BLMIS customer statements reported amounts related to securities allegedly held at historical cost values, as opposed to market values, and therefore, the historical cost basis was used in the limited instances in which BLMIS accounts pre-dated April 1, 1981. In total, there were only 388 BLMIS accounts that were open as of April 1, 1981 and a total of \$164,023,721 of principal credit was granted for purposes of calculating the Principal Balances. Because each of the Defendants' 185 BLMIS accounts were opened after April 1, 1981, this component of the calculation does not affect the Defendants' accounts. The initial investment made by the Defendants to open each of their BLMIS accounts is reflected within available BLMIS records.



b. Component #2 – Cash Deposits

24. The next component of the Principal Balance calculation is the cash deposits that were made by each account holder, in the form of checks or wire transfers, which were recorded on customer statements as cash deposits.

25. These cash deposits made by BLMIS account holders were supported by third party bank records, which were available for a ten-year period prior to the collapse of BLMIS. For more detail, see the Collura Report.

c. Component #3 – Non-Cash Deposits of Principal

26. In addition to the cash deposits from customers via check and wire transfer, there were also limited instances of non-cash deposits (e.g., securities and bonds) that were made by individual BLMIS customers over the years.

27. As these transactions represent deposits of principal, FTI included those amounts, as well as for the subsequent earnings reported on the customer statements on those securities, in the Principal Balance calculation as additions to the Principal Balance.

d. Component #4 – Inter-Account Transfers In of Principal

28. An inter-account transfer is defined as a transaction between BLMIS customer accounts in which no new funds enter or leave BLMIS, but rather a book entry occurs to internally adjust the balances of those BLMIS accounts.

29. The amount of the inter-account transfers between BLMIS accounts that affects the Principal Balance calculation is based on the amount of principal available in the transferor's account at the time of transfer. Inter-account transfers from one BLMIS account to another BLMIS account consist of one of three scenarios: (a) all principal; (b) all fictitious profits; or (c) a combination of principal and fictitious profits. For example, if sufficient principal existed in the transferor's account to cover the amount of the transfer in question, for purposes of calculating the Principal Balance, FTI treated the full amount as "withdrawn" from the transferor's account and "deposited" into the transferee's account. However, where there was either insufficient principal to cover the full amount of the transfer or no principal at all at the time of the transfer, the portion of the transfer in excess of the principal in the transferor's

account was considered fictitious profits and, as a result, no corresponding “deposit” was able to be made into the transferee’s account when computing the Principal Balance.<sup>8</sup>

e. Component #5 – Cash Withdrawals

30. Another component of the Principal Balance calculation is the cash withdrawals (or redemptions) that are made by each BLMIS account holder.

31. Included as a reduction in the Principal Balance calculation are those amounts of cash withdrawals, in the form of checks<sup>9</sup> or wire transfers, where BLMIS made payments to account holders (or their designees) and those amounts were shown on customer statements as cash withdrawals.

32. Similar to the cash deposits made by BLMIS account holders, the cash withdrawals (or redemptions) that were made by each account holder were supported by third party bank records (bank statements and cancelled checks), which were available for a ten-year period prior to the collapse of BLMIS. For more detail, see the Collura Report.

f. Component #6 – Inter-Account Transfers Out of Principal

33. Consistent with the inter-account transfers of principal *in* to a BLMIS customer account, the inter-account transfer *out* to another BLMIS account represents those reported transactions between BLMIS customer accounts in which no new funds enter or leave BLMIS, but rather a book entry occurs to internally adjust the balances of those BLMIS accounts.

34. For the inter-account transfers out to another BLMIS account, the amount that affects the Principal Balance is limited to the amount of principal available in the transferor’s account at the time of transfer.

35. When calculating the Principal Balance, the order of the processing of the transactions matters for those which occur on the same day. In these instances, cash withdrawals are calculated first, followed by cash additions and inter-account transfers. This order allows the

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<sup>8</sup> Prior to 1993, Madoff commingled the funds of a limited number of customers into pooled accounts. FTI performed further investigation and analysis, based on the best information available to FTI, to reach a determination of the principal attributable to each account on a customer-by-customer basis consistent with counsel to the Trustee’s determination of Net Equity pursuant to SIPA. It is important to note that this issue does not affect any of the Defendants’ 185 BLMIS customer accounts.

<sup>9</sup> The information on customer statements and BLMIS records regarding payments to account holders provides the “issue date” of the check or wire; however, the funds change hands on the clearing date, which is subsequent to the date of issuance for check payments. At the direction of counsel to the Trustee, the Principal Balance calculation uses the clearing date for checks which were issued before relevant cut-off dates (90-day, one-year, two-year and six-year dates before December 11, 2008), but cleared during those time periods.

account holders to withdraw any remaining principal in the form of a cash redemption prior to the internal transfer to another BLMIS customer account.

g. Component #7 – Payments Made by BLMIS on Behalf of the Account Holder

36. FTI has identified instances in which amounts were withheld from customer accounts by BLMIS and payments were subsequently made for those amounts to a third party on behalf of the account holder for apparent legal obligations. BLMIS withheld funds from foreign account holders throughout the year, showed the amounts withheld as reductions to the purported equity in these customers' accounts, and then made subsequent monthly payments to the Internal Revenue Service in the aggregate amount for all amounts withheld from the foreign BLMIS account holders.

37. These amounts have been included as reductions to the Principal Balance as the cash payment was made for the benefit of the account holder.<sup>10</sup>

**V. The Relevant Documents/Data Maintained Contemporaneously by BLMIS**

38. In addition to the customer statements, which were supported by third party bank records for all periods that such records were available,<sup>11</sup> other relevant documents existed within BLMIS's corporate records that were used when necessary to calculate Principal Balances for each BLMIS customer account (the "Core Account Documents").

39. The Core Account Documents range from customer statements to cash receipts and disbursement records to internal reports generated by and maintained within BLMIS's hard copy records and computer systems (including an IBM AS/400 and predecessor systems).

40. The following section provides the description of each of the Core Account Documents available to FTI, as well as the level of priority placed on such information for purposes of preparing the chronological listings of all cash and principal transactions for every BLMIS customer account.

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<sup>10</sup> Because none of the Defendants' 185 BLMIS customer accounts had any amounts withheld or paid on their behalf for apparent taxes due to the IRS, this component is not relevant to the Defendants' accounts.

<sup>11</sup> See the Collura report.

a. Customer Statements

41. The BLMIS customer statements represent the primary type of document for the preparation of the chronological listings of the cash and principal transactions used to calculate the Principal Balances. The monthly customer statements are deemed to be the highest priority data source because the cash and principal transactions recorded on the customer statements were supported by third party bank records for all periods that such records were available,<sup>12</sup> were printed and provided to customers, and provide the most comprehensive source of account history because they contain line item-by-line item detail cash and principal transactions.

42. These customer statements were generated by BLMIS's computer systems (including the AS/400 system and its predecessor systems) on a monthly basis. To date, FTI has identified monthly customer statements for all customer accounts for approximately thirty years, with only very minor exceptions in certain months in which individual monthly statements are unavailable.

43. Within the BLMIS books and records, there are two different comprehensive sources of customer statements: (a) electronically stored customer statements maintained at BLMIS on a storage device, referred to as StorQM; and (b) images of customer statements stored on reels of microfilm. These records have been identified for the following time periods:

- The customer statements maintained within the StorQM server cover the time period from December 1995 through November 2008.
- The customer statements obtained from microfilm reels cover the time period from November 1978 through November 1995.

44. Refer to **Exhibits C and D** for examples of redacted customer statements which were stored electronically on the StorQM server and stored on microfilm, respectively.

b. Portfolio Management Reports

45. A report maintained within BLMIS's books and records called a Portfolio Management Report ("PMR") is another relevant document used by FTI in the process of calculating the Principal Balances of BLMIS customer accounts. The PMRs, generated on a monthly basis, provide calendar-year-to-date information on a summary level about principal additions and withdrawals to BLMIS customer accounts.

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<sup>12</sup> See the Collura report.

46. FTI has identified PMRs for all customer accounts for the time period from January 1982 through November 2008, with only very minor exceptions in certain months in which individual PMRs are unavailable from the microfilm records. These reports have been used as a source of reconciliation to the customer statements identified and described above.

47. In certain instances where a customer statement was not available prior to December 1995 from the microfilm records, the applicable PMR was utilized as supplemental information related to cash transactions for the time period for which the customer statement was not available and the PMR data was added to the chronological listings of the cash and principal transactions used to calculate the Principal Balances.<sup>13</sup>

48. As an example, assume that the BLMIS records contained eleven (11) of twelve (12) months of customer statements in 1994 for Account #123, with the only month's customer statement unavailable being March 1994. The data from the eleven available monthly customer statements demonstrate total aggregate cash deposits of \$100,000; however, the PMR for the year ended December 31, 1994 shows a value of \$120,000 of "capital additions." In such an example, FTI would make an adjustment to the chronological listing of cash and principal transactions for the customer to add an incremental deposit of \$20,000, credited as of March 31, 1994, in order to support the activity on the missing month's customer statement.

49. Refer to **Exhibit E** for an example of a redacted year-end Portfolio Management Report utilized by FTI as a reconciling source to the customer statement and/or as a supplement to account transaction histories in the absence of customer statements.

c. Portfolio Management Transaction Reports

50. The Portfolio Management Transaction reports ("PMT reports") are another type of internal report maintained by BLMIS which provides transaction-level detail related to each customer account on a monthly basis. These reports are available for the time periods from January 1985 through December 1986 and from January 1990 through December 1995. PMT reports have been used by FTI to reconcile information obtained from the customer statements and the summary-level PMRs.

51. The PMT report was used in conjunction with the PMR as a reconciling source to customer statement transactions. In addition, similar to the PMR, the PMT report was utilized to

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<sup>13</sup> As shown in the table in ¶ 60 below, there are no instances related to the Defendants' 185 BLMIS customer accounts in which data was required to be supplemented with PMRs.

supplement the chronological listings of the cash and principal transactions used to calculate the Principal Balances in the absence of a particular customer statement. The additional benefit this report offers that the PMR does not provide is that the PMT report shows dates on which specific transactions occurred within the particular month.<sup>14</sup>

52. Refer to **Exhibit F** for an example of a redacted Portfolio Management Transaction report utilized by FTI.

d. *Spiral-Bound Notebooks*

53. FTI has identified certain specific handwritten stenographic (spiral-bound) notebooks maintained by BLMIS as part of BLMIS's books and records. These notebooks, which were arranged in "Check In" and "Check Out" notebooks, contained transaction information related almost exclusively to check receipts and check disbursements. The customer account number and/or customer name is associated with each line item and/or groups of transactions.

54. These handwritten records cover the time period from April 1985 through September 1990 and from August 1991 through November 1994. While not an all-inclusive listing of every cash transaction related to the BLMIS customers as identified on the other sources described above, these spiral-bound notebooks provide information about cash deposits and withdrawals made by customers that was used by FTI for reconciliation to the customer statements. In addition, in limited situations in which customer statements, PMRs and PMT reports were not available from the microfilm records, the cash receipt and cash withdrawal information data from these notebooks was used in the Principal Balance calculation.<sup>15</sup>

55. Refer to **Exhibit G** for an excerpt from the redacted spiral-bound notebooks utilized by FTI as described above.

e. *The "Checkbook File"*

56. A data table within BLMIS's AS/400 computer system, referred to by BLMIS employees as the "Checkbook File," was maintained for the time period from January 2000 through December 11, 2008 and contained cash receipts and cash disbursements on an account-by-account and daily basis.

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<sup>14</sup> As shown in the table in ¶ 60 below, there are no instances related to the Defendants' 185 BLMIS customer accounts in which data was required to be supplemented with PMT reports.

<sup>15</sup> As shown in the table in ¶ 60 below, there are no instances related to the Defendants' 185 BLMIS customer accounts in which data was required to be supplemented with spiral-bound notebooks.

57. The Checkbook File contains data that was manually input by BLMIS employees. The Checkbook File contains transaction-level detail providing the date, the amount and the account number for each cash deposit and withdrawal made by BLMIS customers and was used by FTI for reconciliation to the customer statements.

58. The Checkbook File was the only BLMIS record available to identify the cash and principal transactions for the time period from December 1, 2008 through December 11, 2008 (following the issuance of the last monthly customer statement prepared and distributed by BLMIS to its customers for the period ending November 30, 2008). The cash deposit and withdrawal transactions reflected in the Checkbook File were reconciled to third-party bank records, as set forth more fully in the Collura Report. This data was used by FTI in the Principal Balance calculation.

59. Refer to **Exhibit H** for an excerpt from the redacted Checkbook File maintained with BLMIS's AS/400 system.

f. Core Account Documents Used to Calculate Defendants' Principal Balances

60. Throughout their full account histories within BLMIS, the Defendants' 185 BLMIS accounts had a total of 6,668 principal transactions. As shown below, all of these transactions were obtained from the customer statements from October 1, 1985 through November 30, 2008 and from the Checkbook File for the period from December 1, 2008 through December 11, 2008 as shown on the chart below:

	<u>Count</u>	<u>Count</u>
Total Cash/Principal Transactions in Defendants' 185 Accounts		6,668
Cash Deposit and Cash Withdrawal Transactions		
Transactions obtained from Customer Statements	5,231	
Transactions supplemented by PMRs	-	
Transactions supplemented by PMT Reports	-	
Transactions supplemented by Spiral-bound Notebooks	-	
Transactions supplemented by the Checkbook File	<u>15</u>	
Subtotal - Cash Transactions		5,246
Inter-Account Transfer Transactions obtained from Customer Statements		1,284
Cancelled and Voided Transactions obtained from Customer Statements		<u>138</u>
Total Cash/Principal Transactions in Defendants' 185 Accounts		6,668

61. The cash and principal transactions that comprise the Principal Balance calculations for the Defendants' 185 BLMIS accounts are further supported by the Defendants' own records. The document production made by the Defendants, which consisted of more than 440,000 pages, contained a significant number of monthly customer statements that appear to have been generated by BLMIS and mailed to the Defendants. Between the receipt of these 440,000 pages of documents and the date of this report, I sought to confirm that the cash and principal transactions in the Principal Balance calculations for the Defendants' 185 accounts were identical to those in the customer statements produced by Defendants. As of the date of this report, I was able to identify 4,436 cash and principal transactions in the customer statements produced by Defendants that were used in the Principal Balance calculations for the 185 accounts. For this group of 4,436 cash and principal transactions, the information contained on the customer statements in the BLMIS records matched in each and every case to the information contained on the customer statements produced by the Defendants. My work in reconciling the customer statements produced by the Defendants is ongoing. To the extent the remaining 2,232 cash and principal transactions are contained within the customer statements produced by the Defendants, or may be contained in any future productions of customer statements by the Defendants, I anticipate that I would be able to similarly reconcile those cash and principal transactions from the Defendants' customer statements to BLMIS's customer statements and the chronological listings without exception.

## **VI. The Reconciliation of Cash Activity Reported on BLMIS Core Account Documents**

62. As set forth more fully in the Collura Report, FTI reconciled approximately 99% of the total number of cash transactions recorded on BLMIS customer statements during the period from December 1998 through December 2008.<sup>16</sup> It should be noted that the remaining 1% of these transactions do not represent transactions that are contradicted by the third party records, but rather represent cash transactions reported on BLMIS customer statements for which no third party bank record is available (e.g., where no cancelled check exists).

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<sup>16</sup> There were a total of approximately 225,000 cash transactions between December 1, 1998 and December 11, 2008. This represents approximately 51% of the total cash transactions for the full period of the Principal Balance calculations between April 1, 1981 and December 11, 2008. No third party bank records are available to reconcile the cash transactions prior to December 1, 1998.



63. With respect to the 5,246 cash deposit and withdrawal transactions related specifically to the Defendants' 185 BLMIS customer accounts, as set forth more fully in the Collura Report, FTI reconciled 5,147 out of the 5,246 cash transactions reflected in Defendants' customer statements to the available bank records, documents contained in BLMIS customer files, and documents and data provided by the Defendants themselves.<sup>17</sup> FTI was unable to reconcile the remaining 99 cash transactions due to the unavailability of records related to cash transactions from the late 1980's and early 1990's.

## **VII. Summary of Defendants' Account Activity and Defendants' Principal Balances**

64. The Defendants maintained a large number of individual BLMIS accounts spanning a number of years. This relationship began on or around October 1, 1985 when two BLMIS accounts were opened. Over the course of their customer relationship with BLMIS, the Defendants had owned, controlled and/or maintained a total of 483 BLMIS customer accounts.

65. Of these 483 accounts, 185 BLMIS customer accounts are the subject of this litigation. For these 185 customer accounts, the following paragraphs summarize the cash and principal transactions that took place during the full history of these accounts.

66. The Defendants initiated their account activity on October 1, 1985 when the first transactions between the Defendants and BLMIS took place. On this date, there were two transactions:

- A deposit of \$1,000,000 via check made into the account of Saul B. Katz, numbered 1KW024 (this account bore the account number 1-01130-3-0 as of October 1985);<sup>18</sup> and
- A deposit of \$1,000,000 via check made into the account of Fred Wilpon, numbered 1KW067 (this account bore the account number 1-02330-3-0 as of October 1985).<sup>19</sup>

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<sup>17</sup> See the Collura Report.

<sup>18</sup> The account numbering system for BLMIS customers changed over time, with two significant changes occurring in July 1983 and May 1992. In order to maintain account continuity, FTI reviewed customer statements for all periods prior to and following an account number change (e.g., balances, reported positions and account name) and were able to reconstruct the full account history over multiple account numbers. This particular account number was changed from 1-01130-3-0 to 1K0024 in May 1992.

<sup>19</sup> This particular account number was changed from 1-02330-3-0 to 1K0067 in May 1992.

67. During the Defendants' 23-plus year history of account activity that the Defendants had within BLMIS, the 185 customer accounts had total aggregate deposits of \$1,575,252,382 and total aggregate withdrawals of \$1,757,223,415.

68. In total, within the Defendants' 185 BLMIS customer accounts:

- 144 accounts withdrew \$295,465,565 in excess of the principal deposited over the life of the accounts (the Net Winner accounts). Of that amount, \$83,309,162 was withdrawn in the two-year period prior to December 11, 2008.
- 36 accounts deposited a total of \$155,087,191 more than they withdrew over the life of their accounts (the Net Loser accounts).
- Five (5) accounts ended with a Principal Balance of zero dollars (the Break-Even accounts) due to account-closing transactions in which the principal remaining in the account (in addition to an amount of fictitious profits reported but not actually earned) was transferred internally to other BLMIS accounts.

69. Refer to **Exhibit I** for a summary of the cash and principal activity in Defendants' 185 BLMIS customer accounts; and refer to **Exhibit J** for the detailed chronological listings of all cash and principal transactions in the Defendants' 185 BLMIS customer accounts that were used to calculate each account's Principal Balance and references to the specific documentation supporting each such transaction from both BLMIS records and the Defendants' own production, where available.

### **VIII. Conclusion**

70. All cash and principal deposits and withdrawals made by BLMIS customers that were recorded on the BLMIS customer account documents were accumulated by FTI to prepare chronological listings of those transactions to calculate individual customer account's Principal Balance at all times from April 1, 1981 through December 11, 2008.

71. Third party supporting documentation, in the form of bank statements and cancelled checks, were available for the full ten-year period prior to the collapse of BLMIS. As set forth in detail in the Collura Report, these third party records confirmed that the customers'

cash deposits and withdrawals recorded on the BLMIS customer statements were accurately recorded on the BLMIS customer statements.

72. Principal Balance calculations were performed for every BLMIS customer account. As applied to the Defendants' 185 BLMIS customer accounts, the Principal Balance calculation shows that Defendants withdrew a total of \$1.76 billion from BLMIS during the approximate 23-year customer relationship with BLMIS. Those withdrawals consisted of \$1,461,757,850 of principal and an additional \$295,465,565 of funds withdrawn in excess of principal, representing fictitious profits, during the period from October 1, 1985 through December 11, 2008.

73. Because the cash deposits and withdrawals made by BLMIS customers and recorded on the BLMIS customer statements have been reconciled to third party bank records where available, as set forth in the Collura Report, it is my opinion that the cash and principal transactions reported in the BLMIS customer statements and the Principal Balance calculations performed for every BLMIS account, including those of Defendants' 185 BLMIS customer accounts, are fairly and accurately represented.

#### **IX. Right to Modify**

74. This report has been prepared in connection with the above-referenced matter and is to be used only for the specific purposes of this lawsuit and is not to be used for any other purpose without the express written consent of FTI. If called upon to testify in this matter, I intend to provide testimony on my analyses and conclusions consistent with this report.

75. I reserve the right to supplement and/or amend this report, and the analysis contained herein, as additional relevant information becomes available.

Respectfully submitted,



Matthew B. Greenblatt, CPA/CFF, CFE  
Senior Managing Director  
FTI Consulting, Inc.

**X. List of Exhibits**

**Exhibit A** – Curriculum Vitae and Testimony History of Matthew B. Greenblatt

**Exhibit B** – Documents Considered

**Exhibit C** – Example of Redacted Customer Statement from StorQM

**Exhibit D** – Example of Redacted Customer Statement from Microfilm

**Exhibit E** – Example of Redacted Portfolio Management Report

**Exhibit F** – Example of Redacted Portfolio Management Transaction Report

**Exhibit G** – Example of Redacted Spiral-Bound Notebook

**Exhibit H** – Redacted Excerpt of Checkbook File

**Exhibit I** – Summary Schedule of Cash and Principal Activity in Defendants' Accounts

**Exhibit J** – Detailed Schedules for the Calculation of the Principal Balances for Each of the Defendants' 185 Accounts

# Matthew B. Greenblatt, CPA/CFF, CFE

Senior Managing Director

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11<sup>th</sup> Floor  
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Fax: (212) 841-9350

## Certifications

Certified Public  
Accountant

Certified Fraud Examiner

Certified in Financial  
Forensics

## Professional Affiliations

American Institute of  
Certified Public  
Accountants

New York State Society of  
Certified Public  
Accountants

Association of Certified  
Fraud Examiners

Association of Certified  
Anti-Money Laundering  
Specialists

## Education

B.S. in Accounting,  
Lehigh University

Matthew Greenblatt is a senior managing director in the FTI Consulting Forensic and Litigation Consulting practice and is based in New York. Mr. Greenblatt has extensive experience in auditing and accounting matters; litigation consulting; forensic accounting and internal investigations; post-acquisition and shareholder disputes; anti-money laundering; and advising troubled companies.

Mr. Greenblatt has conducted multiple forensic investigations in connection with cases involving diversions of funds and fraudulent accounting activity by management and has been involved in several internal forensic investigations conducted on behalf of Audit Committees and/or Boards of Directors for both public and private companies.

Mr. Greenblatt has advised on cases involving partnership disputes; lost profits; breach of contract claims; and accountants' malpractice. Mr. Greenblatt has additional expertise with matters involving acquisitions and divestitures; antitrust; price fixing; arbitration and mediation; bankruptcy reorganization; claims management; contract disputes; damages; directors & officers related claims; due diligence; expert testimony; forensic investigations; fraud and fraudulent conveyance; intellectual property; patent infringement and trademark; liquidation; product liability; solvency and insolvency; trustee and examiner issues and valuation.

Mr. Greenblatt has spoken on multiple panels in the area of forensic accounting and investigations and is an adjunct professor; teaching the course *Prevention and Detection of Fraudulent Financial Reporting*; a required course within the Forensic Accounting Program of New York University's Finance; Law and Taxation Program of NYU's School of Continuing & Professional Studies. In addition, Mr. Greenblatt is a recurring panel member on the Practising Law Institute's annual program, *Basics of Accounting for Lawyers: What Every Practising Lawyer Needs to Know*.

Prior to its acquisition by FTI Consulting, Mr. Greenblatt joined Kahn Consulting in June of 1998. Before that, Mr. Greenblatt was a Senior Auditor with Price Waterhouse's Audit and Business Advisory Services Group.

Mr. Greenblatt holds a B.S. in Accounting from Lehigh University. Mr. Greenblatt is a Certified Public Accountant and a Certified Fraud Examiner, and is Certified in Financial Forensics. Mr. Greenblatt is a member of the American Institute of Certified Public Accountants; the New York State Society of Certified Public Accountants; the Association of Certified Fraud Examiners; and an associate member of the Association of Certified Anti-Money Laundering Specialists.

## Professional Experience

- Conducted a forensic review of the business operations of one shareholder on behalf of another shareholder, and FTI Consulting's client, to ascertain if our client's allegations and suspicions regarding improper management were valid. The work primarily consisted of conducting interviews of current and former employees and executives; performing a detailed forensic review of the internal books and records; and providing assistance to counsel in the conducting of depositions.



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- Served on team as Responsible Officer of a wholesaler of watches in Chapter 11 and conducted the fraud and forensic investigation of management in which it was found that the revenues of the Company had been overstated by approximately 600%. The forensic services included: extensive reviews of the internal books and records; assisting counsel with the conducting of various interviews and depositions; quantification and identification of fraudulent conveyance actions; presenting the results of the investigation to the Bankruptcy Court, US Trustee's office; US Attorney's Office; and FBI, as well as providing testimony at two depositions in related litigations.
- Conducted the forensic accounting investigation of a multinational temporary staffing company on behalf of counsel to the Audit and Finance Committee. The forensic accounting services consisted primarily of: participating in the interviews of current and former employees and executives; performing extensive forensic reviews of the internal books and records; providing assistance to counsel in identifying, understanding and interpreting certain complex accounting issues; traveling to international branches and divisions to further the investigation overseas; and presenting FTI Consulting's findings to the Audit Committee, outside auditors and the SEC and other regulatory authorities.
- Conducted an internal forensic accounting investigation on behalf of the Audit Committee of a public pharmaceutical manufacturer. The investigation included a detailed and thorough review of the accounting treatment of specific transactions and presentations of the overall conclusions to the Audit Committee, Board of Directors, Enforcement Division of the Securities and Exchange Commission, and the Company's independent accountants.
- Assisted counsel to the Financial Institutions Committee in connection with the accounting investigation undertaken by the Audit Committee focusing on alleged improper accounting treatments utilized and potential misuse of corporate funds and resources by Management. The work included: participating in the interviews of current and former employees and executives; providing assistance to counsel for Rule 2004 depositions of executives and directors; and reviewing the Debtors' forensic accountants' workpapers to investigate the accounting and revenue recognition issues related to vendor allowances and other items.
- Conducted a forensic investigation for special counsel to the Audit Committee of a multinational retail company to assist with an internal investigation into the facts and circumstances of a potential restatement of its prior financial results due to misstatements in one of its operating divisions, alleged to be in excess of \$45 million over a three year period. The services included participating in interviews of current/former employees and executives; performing a detailed forensic review of the books and records and annual and quarterly financial statements from 1999 through 2001; providing assistance to special counsel in identifying; understanding and interpreting certain complex accounting issues, including transfer pricing; and presenting FTI Consulting's findings to the Audit Committee and the SEC.
- Issued expert report on behalf of Defendants to analyze whether the Defendants had satisfied all obligations to former shareholders under particular agreements relating to an acquisition
- Issued expert report on behalf of Defendant and Third Party Plaintiff in matter involving overbilling scheme for shipping and transportation services

- Issued expert report in matrimonial dispute involving the valuation of Marital Assets
- Court-Appointed Trustee for the Marvel Avoidance Litigation Trust In re: Marvel Entertainment Group, Inc. et al in the US District Court for the District of Delaware
- Served on the team selected by the U.S. Attorney offices in the Eastern and Southern Districts of New York and Western Pennsylvania to support the monitoring of the non-prosecution agreements of both The Bank of New York and Mellon Financial Corporation, to monitor and report on the state of the banks' suspicious activity reporting practices and AML procedures.
- Assisted with expert report in litigation involving the results of the forensic investigation performed to analyze company records and historical invoices to quantify an alleged overbilling scheme
- Served as member of team of neutral arbitrators to provide a binding conclusion regarding the appropriateness of a post-acquisition purchase price dispute
- Assisted troubled companies by formulating strategic business plans; developing financial models to prepare forecasts and long-term business plans; planning for liquidation; assisting management with headcount and cost reduction plans; and negotiating restructuring plans

#### Testimony Experience

- *Securities and Exchange Commission v. Francisco Illarramendi, and Michael Kenwood Capital Management*, United States District Court, District of Connecticut
- *Ellen Zedeck, et al v. Derfner Management, Inc.*, Supreme Court of the State of New York, County of New York

#### Adjunct Professor, NYU

- Adjunct professor with New York University's School of Continuing & Professional Studies teaching the course *Prevention and Detection of Fraudulent Financial Reporting*, a required course within the Forensic Accounting Program of NYU's Finance, Law and Taxation Program

#### Publications

- "Inside an Internal Accounting Investigation", (co-authored) published in *The New York Law Journal*, Investigations & Computer Forensics special section, May 29, 2007

#### Presentations and Speaking Engagements

- "Basics of Accounting for Lawyers: What Every Practising Lawyer Needs to Know", recurring member on the faculty of the Practising Law Institute's annual program.
- "Technology's Role on Large Internal Accounting Investigations", presented at the New Jersey Society of CPAs annual Damages Conference, October 25, 2007
- "Forensic Investigations - How to Conduct a Successful Investigation", Continuing Professional Education focused on internal accounting and forensic investigations conducted on behalf of Audit Committee, Boards of Directors and management as a result of Sarbanes Oxley; including a discussion of the role of the forensic accountants and investigation experts; incorporating the components of interview and forensic investigation skills; and the utilization of electronic evidence to efficiently and effectively conduct the investigation, April 22, 2004

- “Anatomy of a Financial Statement Fraud”. This course discussed the common methods to perpetrate a financial statement fraud (e.g. aggressive revenue recognition practices; rebates/allowances; reserves; off balance sheet financing), as well as warning signs and red flags of fraud, and the corporate environment in which fraud typically occurs, July 21, 2004
- “Forensic Accounting Presentation to Goldman Sachs Group, Inc.”, a discussion of forensic accounting, financial transparency, and a summary of some of the critical components of the major frauds in the recent media, March 20, 2003
- “Financial Statement Analysis” course within the Basic Accounting for the General Practitioner program at the Practising Law Institute, focusing on horizontal and vertical analysis; common sizing; industry comparison; ratio and trend analysis; and the common sense factor, October 23, 2002

**Employment History**

- Prior to its acquisition by FTI Consulting, Mr. Greenblatt joined Kahn Consulting in June of 1998.
- Mr. Greenblatt was a Senior Auditor in the Entertainment, Media and Communications division of Price Waterhouse’s Audit and Business Advisory Services Group from 1994 through May, 1998. At Price Waterhouse, Mr. Greenblatt planned and supervised audits for multinational and middle-market clients in industries including book, magazine and newspaper publishing; manufacturing; high-tech; financial services; and non-profit organizations.

**Education and Certifications**

- B.S. in accounting from Lehigh University
- Certified Public Accountant, New York & Pennsylvania
- Certified Fraud Examiner
- Certified in Financial Forensics

**Memberships**

- American Institute of Certified Public Accountants
- New York State Society of Certified Public Accountants
- Pennsylvania State Society of Certified Public Accountants
- Association of Certified Fraud Examiners



## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
AMF00181180	AMF00181180	JPMSAH0000001	JPMSAH0000221
BSTSAB0326874	BSTSAB0326874	JPMSAH0000223	JPMSAH0000705
BSTSAB0326875	BSTSAB0326875	JPMSAH0000707	JPMSAH0000714
BSTSAB0414873	BSTSAB0414873	JPMSAH0000717	JPMSAH0002856
BSTSAB0574812	BSTSAB0574812	JPMSAH0002858	JPMSAH0002873
HWN00000001	HWN00003217	JPMSAI0000001	JPMSAI0000176
JPMSAA0019864	JPMSAA0019955	JPMSAI0000178	JPMSAI0000276
JPMSAA0019986	JPMSAA0019988	JPMSAI0000278	JPMSAI0000656
JPMSAA0019990	JPMSAA0020020	JPMSAI0000658	JPMSAI0001363
JPMSAA0020022	JPMSAA0020077	JPMSAI0001365	JPMSAI0001463
JPMSAA0020079	JPMSAA0020079	JPMSAI0001465	JPMSAI0001511
JPMSAB0000001	JPMSAB0004570	JPMSAI0001514	JPMSAI0001573
JPMSAF0000001	JPMSAF0008524	JPMSAI0001575	JPMSAI0001608
JPMSAF0008526	JPMSAF0008596	JPMSAI0001610	JPMSAI0001697
JPMSAF0008598	JPMSAF0017233	JPMSAI0001699	JPMSAI0001713
JPMSAF0017235	JPMSAF0019062	JPMSAI0001715	JPMSAI0001748
JPMSAF0019064	JPMSAF0019834	JPMSAI0001750	JPMSAI0001786
JPMSAF0019836	JPMSAF0021716	JPMSAI0001788	JPMSAI0002221
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JPMSAF0054257	JPMSAF0054271	JPMSAI0002839	JPMSAI0003041
JPMSAF0054273	JPMSAF0057064	JPMSAI0003043	JPMSAI0003106
JPMSAF0057066	JPMSAF0057161	JPMSAI0003114	JPMSAI0003222
JPMSAF0057163	JPMSAF0064977	JPMSAI0003224	JPMSAI0003310
JPMSAF0064982	JPMSAF0065438	JPMSAI0003312	JPMSAI0003597
JPMSAF0065443	JPMSAF0072748	JPMSAI0003599	JPMSAI0003911
JPMSAF0072802	JPMSAF0072803	JPMSAI0003913	JPMSAI0004518
JPMSAF0072805	JPMSAF0072805	JPMSAI0004520	JPMSAI0005049
JPMSAF0072807	JPMSAF0072931	JPMSAI0005051	JPMSAI0007228
JPMSAG0000002.	JPMSAG0000022	JPMSAI0007230	JPMSAI0007806
JPMSAG0000024	JPMSAG0000275	JPMSAI0007808	JPMSAI0011901
JPMSAG0000277	JPMSAG0000535	JPMSAI0011903	JPMSAI0014006
JPMSAG0000537	JPMSAG0000848	JPMSCQ0000001	JPMSCQ0000028
JPMSAG0000850	JPMSAG0001400	JPMSDM0000001	JPMSDM0000009
JPMSAG0001403	JPMSAG0001648	JPMTAA0000002	JPMTAA0000331
JPMSAG0001650.	JPMSAG0001912	MADTBB02408954	MADTBB02408954

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

<b>Bates Begin</b>	<b>Bates End</b>	<b>Bates Begin</b>	<b>Bates End</b>
MADTBB02408959	MADTBB02408961	MADTEE00115351	MADTEE00115352
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MADTEE00115305	MADTEE00115305	MADTEE00115602	MADTEE00115603
MADTEE00115318	MADTEE00115318	MADTEE00115642	MADTEE00115642
MADTEE00115321	MADTEE00115322	MADTEE00115681	MADTEE00115682

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Bates Begin	Bates End	Bates Begin	Bates End
MADTEE00115689	MADTEE00115689	MADTEE00116025	MADTEE00116025
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MADTEE00115764	MADTEE00115765	MADTEE00116047	MADTEE00116047
MADTEE00115781	MADTEE00115781	MADTEE00116050	MADTEE00116050
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MADTEE00115828	MADTEE00115829	MADTEE00116070	MADTEE00116070
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MADTEE00115851	MADTEE00115851	MADTEE00116076	MADTEE00116076
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MADTEE00115861	MADTEE00115861	MADTEE00116106	MADTEE00116106
MADTEE00115864	MADTEE00115865	MADTEE00116116	MADTEE00116116
MADTEE00115867	MADTEE00115867	MADTEE00116120	MADTEE00116120
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MADTEE00115879	MADTEE00115879	MADTEE00116173	MADTEE00116175
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MADTEE00115979	MADTEE00115979	MADTEE00116241	MADTEE00116241
MADTEE00115984	MADTEE00115985	MADTEE00116244	MADTEE00116244
MADTEE00116004	MADTEE00116005	MADTEE00116263	MADTEE00116263
MADTEE00116014	MADTEE00116014	MADTEE00116277	MADTEE00116277
MADTEE00116020	MADTEE00116020	MADTEE00116293	MADTEE00116296

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
MADTEE00116298	MADTEE00116299	MADTEE00349454	MADTEE00349455
MADTEE00116300	MADTEE00116300	MADTEE00349522	MADTEE00349522
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MADTEE00116361	MADTEE00116362	MADTEE00349670	MADTEE00349670
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MADTEE00116385	MADTEE00116385	MADTEE00351954	MADTEE00351955
MADTEE00116389	MADTEE00116390	MADTEE00351958	MADTEE00351958
MADTEE00116394	MADTEE00116394	MADTEE00351960	MADTEE00351964
MADTEE00116398	MADTEE00116399	MADTEE00352046	MADTEE00352049
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MADTEE00116441	MADTEE00116441	MADTEE00352092	MADTEE00352092
MADTEE00116457	MADTEE00116457	MADTEE00409248	MADTEE00409303
MADTEE00116458	MADTEE00116458	MADTEE00458310	MADTEE00458310
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MADTEE00116806	MADTEE00116806	MADTEE00556462	MADTEE00556462
MADTEE00116839	MADTEE00116839	MADTEE00599279	MADTEE00599279
MADTEE00116877	MADTEE00116877	MADTEE00599377	MADTEE00599377
MADTEE00116887	MADTEE00116887	MADTEE00602597	MADTEE00602598
MADTEE00310039	MADTEE00310040	MADTEE00602722	MADTEE00602722
MADTEE00349333	MADTEE00349333	MADTEE00631260	MADTEE00631260
MADTEE00349335	MADTEE00349335	MADTEE00632093	MADTEE00632093
MADTEE00349337	MADTEE00349337	MADTEE00635682	MADTEE00635682
MADTEE00349426	MADTEE00349426	MADTEE00644166	MADTEE00644166

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

<b>Bates Begin</b>	<b>Bates End</b>	<b>Bates Begin</b>	<b>Bates End</b>
MADTEE00644204	MADTEE00644205	MADTSS00336228	MADTSS00336228
MADTEE00644500	MADTEE00644502	MADTSS00336232	MADTSS00336232
MADTEE00644722	MADTEE00644722	MADTSS00340111	MADTSS00340111
MADTEE00644726	MADTEE00644726	MADTSS00382689	MADTSS00382689
MADTEE00644836	MADTEE00644836	MADTSS00382692	MADTSS00382692
MADTEE00644941	MADTEE00644941	MADTSS01160128	MADTSS01160128
MADTEE00645213	MADTEE00645213	MADTSS01160152	MADTSS01160152
MADTEE00645599	MADTEE00645599	MADTSS01160163	MADTSS01160163
MADTEE00645610	MADTEE00645610	MADTSS01160165	MADTSS01160165
MADTEE00691927	MADTEE00695273	MADTSS01160167	MADTSS01160167
MADTEE00695278	MADTEE00696201	MADTSS01160170	MADTSS01160198
MADTEE00696203	MADTEE00701130	MADTSS01160199	MADTSS01160204
MADTEE00716911	MADTEE00716927	MADTSS01160206	MADTSS01160206
MADTEE00716946	MADTEE00716950	MADTSS01160208	MADTSS01160208
MADTEE00726635	MADTEE00726765	MADTSS01162787	MADTSS01162793
MADTEE00726766	MADTEE00726823	MADTSS01162796	MADTSS01162796
MADTEE00726797	MADTEE00726884	MADTSS01212898	MADTSS01212900
MADTEE00726824	MADTEE00726913	MADTSS01212904	MADTSS01212904
MADTEE00727240	MADTEE00727244	MADTSS01327707	MADTSS01327709
MADTEE00727245	MADTEE00727248	MADTSS01327712	MADTSS01327715
MADTEE00727276	MADTEE00727281	MADTSS01327817	MADTSS01327817
MADTEE00727286	MADTEE00727286	MADTSS01327819	MADTSS01327821
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MADTEE00727351	MADTEE00727353	MADTSS01328781	MADTSS01328781
MADTNN00110570	MADTNN00110575	MADWAA00004137	MADWAA00004138
MADTNN00126735	MADTNN00126735	MADWAA00010198	MADWAA00010198
MADTSS00329483	MADTSS00329483	MADWAA00017331	MADWAA00017332
MADTSS00336193	MADTSS00336193	MADWAA00017334	MADWAA00017335
MADTSS00336196	MADTSS00336196	MADWAA00017343	MADWAA00017343
MADTSS00336202	MADTSS00336202	MADWAA00017360	MADWAA00017360
MADTSS00336205	MADTSS00336205	MADWAA00017371	MADWAA00017371
MADTSS00336211	MADTSS00336211	MADWAA00017384	MADWAA00017384
MADTSS00336213	MADTSS00336213	MADWAA00017401	MADWAA00017407
MADTSS00336218	MADTSS00336218	MADWAA00017418	MADWAA00017419
MADTSS00336219	MADTSS00336219	MADWAA00017446	MADWAA00017446

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The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
MADWAA00017489	MADWAA00017489	MADWAA00029203	MADWAA00029203
MADWAA00017495	MADWAA00017497	MADWAA00029274	MADWAA00029274
MADWAA00017504	MADWAA00017510	MADWAA00029399	MADWAA00029399
MADWAA00017557	MADWAA00017557	MADWAA00029702	MADWAA00029702
MADWAA00017560	MADWAA00017560	MADWAA00030101	MADWAA00030101
MADWAA00017592	MADWAA00017592	MADWAA00030151	MADWAA00030151
MADWAA00017602	MADWAA00017602	MADWAA00030377	MADWAA00030377
MADWAA00017605	MADWAA00017605	MADWAA00037195	MADWAA00037195
MADWAA00017609	MADWAA00017609	MADWAA00038379	MADWAA00038379
MADWAA00017616	MADWAA00017618	MADWAA00038598	MADWAA00038685
MADWAA00017624	MADWAA00017626	MADWAA00038686	MADWAA00038687
MADWAA00017667	MADWAA00017669	MADWAA00038688	MADWAA00038700
MADWAA00017682	MADWAA00017682	MADWAA00038705	MADWAA00039262
MADWAA00017727	MADWAA00017732	MADWAA00039264	MADWAA00039937
MADWAA00017736	MADWAA00017741	MADWAA00039939	MADWAA00040516
MADWAA00017745	MADWAA00017746	MADWAA00040518	MADWAA00040518
MADWAA00017753	MADWAA00017757	MADWAA00040520	MADWAA00040671
MADWAA00017759	MADWAA00017778	MADWAA00040673	MADWAA00041370
MADWAA00017786	MADWAA00017786	MADWAA00041372	MADWAA00041492
MADWAA00017787	MADWAA00017787	MADWAA00041494	MADWAA00042135
MADWAA00017797	MADWAA00017797	MADWAA00043870	MADWAA00043871
MADWAA00017800	MADWAA00017800	MADWAA00043872	MADWAA00043876
MADWAA00017804	MADWAA00017805	MADWAA00043877	MADWAA00044766
MADWAA00017806	MADWAA00017807	MADWAA00044767	MADWAA00044767
MADWAA00017821	MADWAA00017823	MADWAA00044769	MADWAA00044769
MADWAA00017833	MADWAA00017833	MADWAA00044771	MADWAA00044908
MADWAA00017882	MADWAA00017882	MADWAA00048071	MADWAA00048162
MADWAA00017885	MADWAA00017901	MADWAA00048163	MADWAA00048167
MADWAA00017903	MADWAA00017903	MADWAA00048168	MADWAA00048169
MADWAA00026582	MADWAA00026583	MADWAA00048170	MADWAA00048945
MADWAA00028713	MADWAA00028714	MADWAA00048946	MADWAA00048946
MADWAA00028716	MADWAA00028716	MADWAA00048948	MADWAA00048948
MADWAA00028718	MADWAA00028718	MADWAA00048950	MADWAA00048950
MADWAA00028885	MADWAA00028885	MADWAA00048952	MADWAA00049111
MADWAA00028934	MADWAA00028934	MADWAA00049112	MADWAA00049199

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The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
MADWAA00049200	MADWAA00049201	MADWAA00066415	MADWAA00066548
MADWAA00049202	MADWAA00049206	MADWAA00066549	MADWAA00066551
MADWAA00049208	MADWAA00049214	MADWAA00066553	MADWAA00066616
MADWAA00049216	MADWAA00049921	MADWAA00066618	MADWAA00067279
MADWAA00049922	MADWAA00049922	MADWAA00067421	MADWAA00067803
MADWAA00049924	MADWAA00050070	MADWAA00067805	MADWAA00068772
MADWAA00051674	MADWAA00051783	MADWAA00068773	MADWAA00068773
MADWAA00051784	MADWAA00051785	MADWAA00068775	MADWAA00068896
MADWAA00051786	MADWAA00051798	MADWAA00068897	MADWAA00070352
MADWAA00051799	MADWAA00053240	MADWAA00072074	MADWAA00072075
MADWAA00053241	MADWAA00053241	MADWAA00072183	MADWAA00072766
MADWAA00053243	MADWAA00053251	MADWAA00072767	MADWAA00072767
MADWAA00053253	MADWAA00053283	MADWAA00072769	MADWAA00072980
MADWAA00053285	MADWAA00053366	MADWAA00072981	MADWAA00073424
MADWAA00053367	MADWAA00054718	MADWAA00075113	MADWAA00075854
MADWAA00056483	MADWAA00056484	MADWAA00075948	MADWAA00076221
MADWAA00056485	MADWAA00056492	MADWAA00076222	MADWAA00076222
MADWAA00056493	MADWAA00057108	MADWAA00076224	MADWAA00076343
MADWAA00057110	MADWAA00057587	MADWAA00076344	MADWAA00076719
MADWAA00057588	MADWAA00057588	MADWAA00076815	MADWAA00076815
MADWAA00057590	MADWAA00057590	MADWAA00076817	MADWAA00076929
MADWAA00057592	MADWAA00057592	MADWAA00076931	MADWAA00077626
MADWAA00057594	MADWAA00057594	MADWAA00083479	MADWAA00083479
MADWAA00057596	MADWAA00057727	MADWAA00083481	MADWAA00083481
MADWAA00062754	MADWAA00062754	MADWAA00083483	MADWAA00083626
MADWAA00062765	MADWAA00062973	MADWAA00085470	MADWAA00085492
MADWAA00062975	MADWAA00063824	MADWAA00085839	MADWAA00085840
MADWAA00063825	MADWAA00063825	MADWAA00085841	MADWAA00085852
MADWAA00063827	MADWAA00063827	MADWAA00085853	MADWAA00085942
MADWAA00063829	MADWAA00063950	MADWAA00085949	MADWAA00086782
MADWAA00063952	MADWAA00064707	MADWAA00086783	MADWAA00086783
MADWAA00064709	MADWAA00065484	MADWAA00086785	MADWAA00086994
MADWAA00065486	MADWAA00066299	MADWAA00086995	MADWAA00086996
MADWAA00066411	MADWAA00066411	MADWAA00086997	MADWAA00087096
MADWAA00066413	MADWAA00066413	MADWAA00087097	MADWAA00087112

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<b>Bates Begin</b>	<b>Bates End</b>	<b>Bates Begin</b>	<b>Bates End</b>
MADWAA00087115	MADWAA00087115	MADWAA00111798	MADWAA00112719
MADWAA00087117	MADWAA00087336	MADWAA00115177	MADWAA00115700
MADWAA00087337	MADWAA00089287	MADWAA00115701	MADWAA00115701
MADWAA00089289	MADWAA00089904	MADWAA00115703	MADWAA00115850
MADWAA00095123	MADWAA00095124	MADWAA00115851	MADWAA00116290
MADWAA00095545	MADWAA00095546	MADWAA00116396	MADWAA00116397
MADWAA00097307	MADWAA00097308	MADWAA00116399	MADWAA00116399
MADWAA00097309	MADWAA00097314	MADWAA00116452	MADWAA00118723
MADWAA00097315	MADWAA00097396	MADWAA00118724	MADWAA00118873
MADWAA00097397	MADWAA00098110	MADWAA00118874	MADWAA00119511
MADWAA00098111	MADWAA00098318	MADWAA00119623	MADWAA00119945
MADWAA00098319	MADWAA00098320	MADWAA00119947	MADWAA00119947
MADWAA00098321	MADWAA00098326	MADWAA00119949	MADWAA00120368
MADWAA00098416	MADWAA00098416	MADWAA00120369	MADWAA00120369
MADWAA00098418	MADWAA00098603	MADWAA00120371	MADWAA00120371
MADWAA00098604	MADWAA00099008	MADWAA00120373	MADWAA00120516
MADWAA00099010	MADWAA00099401	MADWAA00122154	MADWAA00122154
MADWAA00099930	MADWAA00099931	MADWAA00122156	MADWAA00122156
MADWAA00099932	MADWAA00099935	MADWAA00122158	MADWAA00122158
MADWAA00099936	MADWAA00100001	MADWAA00122160	MADWAA00122301
MADWAA00100002	MADWAA00100485	MADWAA00122302	MADWAA00124953
MADWAA00100486	MADWAA00100667	MADWAA00127474	MADWAA00128601
MADWAA00100668	MADWAA00100915	MADWAA00128602	MADWAA00128602
MADWAA00102648	MADWAA00102649	MADWAA00128604	MADWAA00128604
MADWAA00102650	MADWAA00102664	MADWAA00128606	MADWAA00128781
MADWAA00102758	MADWAA00103846	MADWAA00128897	MADWAA00129404
MADWAA00103848	MADWAA00104974	MADWAA00129405	MADWAA00129405
MADWAA00104976	MADWAA00105235	MADWAA00129407	MADWAA00129558
MADWAA00105236	MADWAA00105435	MADWAA00129560	MADWAA00129953
MADWAA00105717	MADWAA00105717	MADWAA00130353	MADWAA00130354
MADWAA00109966	MADWAA00110941	MADWAA00131312	MADWAA00131313
MADWAA00110942	MADWAA00110942	MADWAA00131385	MADWAA00131386
MADWAA00110944	MADWAA00110944	MADWAA00131387	MADWAA00131404
MADWAA00110946	MADWAA00111099	MADWAA00131405	MADWAA00131494
MADWAA00111100	MADWAA00111796	MADWAA00131495	MADWAA00132862



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The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

<b>Bates Begin</b>	<b>Bates End</b>	<b>Bates Begin</b>	<b>Bates End</b>
MADWAA00139873	MADWAA00139874	MADWAA00152553	MADWAA00152928
MADWAA00139875	MADWAA00139881	MADWAA00154924	MADWAA00154939
MADWAA00139976	MADWAA00139976	MADWAA00154941	MADWAA00155532
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MADWAA00139980	MADWAA00140157	MADWAA00155852	MADWAA00157510
MADWAA00140158	MADWAA00141195	MADWAA00157511	MADWAA00157536
MADWAA00142972	MADWAA00142973	MADWAA00157537	MADWAA00157736
MADWAA00142974	MADWAA00143060	MADWAA00157739	MADWAA00157958
MADWAA00142974	MADWAA00142978	MADWAA00159129	MADWAA00159151
MADWAA00143062	MADWAA00143779	MADWAA00159153	MADWAA00160261
MADWAA00143780	MADWAA00143780	MADWAA00160324	MADWAA00160347
MADWAA00143782	MADWAA00143969	MADWAA00160348	MADWAA00161403
MADWAA00145760	MADWAA00145761	MADWAA00161462	MADWAA00163125
MADWAA00145765	MADWAA00145770	MADWAA00163192	MADWAA00163209
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MADWAA00145836	MADWAA00146577	MADWAA00163594	MADWAA00163659
MADWAA00146578	MADWAA00146578	MADWAA00163786	MADWAA00163803
MADWAA00146580	MADWAA00146767	MADWAA00163804	MADWAA00164188
MADWAA00146768	MADWAA00146769	MADWAA00164190	MADWAA00165456
MADWAA00146770	MADWAA00146774	MADWAA00165457	MADWAA00165457
MADWAA00146775	MADWAA00146866	MADWAA00165459	MADWAA00165484
MADWAA00146867	MADWAA00147110	MADWAA00165485	MADWAA00165559
MADWAA00147111	MADWAA00147111	MADWAA00165561	MADWAA00166192
MADWAA00147113	MADWAA00147113	MADWAA00167061	MADWAA00167080
MADWAA00147115	MADWAA00147332	MADWAA00167081	MADWAA00168390
MADWAA00147333	MADWAA00147868	MADWAA00168465	MADWAA00170342
MADWAA00147869	MADWAA00147870	MADWAA00173050	MADWAA00173050
MADWAA00147871	MADWAA00147885	MADWAA00173052	MADWAA00173062
MADWAA00147886	MADWAA00148001	MADWAA00173065	MADWAA00173856
MADWAA00148003	MADWAA00150479	MADWAA00174952	MADWAA00174965
MADWAA00150481	MADWAA00151496	MADWAA00174966	MADWAA00175803
MADWAA00151497	MADWAA00151708	MADWAA00182284	MADWAA00182287
MADWAA00151709	MADWAA00152346	MADWAA00182291	MADWAA00182428
MADWAA00152347	MADWAA00152347	MADWAA00183297	MADWAA00183372
MADWAA00152349	MADWAA00152552	MADWAA00183373	MADWAA00183387

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The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
MADWAA00183388	MADWAA00183389	MADWAA00195058	MADWAA00195058
MADWAA00184733	MADWAA00184818	MADWAA00195060	MADWAA00195112
MADWAA00184819	MADWAA00184821	MADWAA00195114	MADWAA00196539
MADWAA00184822	MADWAA00184830	MADWAA00196674	MADWAA00198996
MADWAA00184835	MADWAA00185352	MADWAA00198997	MADWAA00199013
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MADWAA00185495	MADWAA00185908	MADWAA00199017	MADWAA00199018
MADWAA00187932	MADWAA00188082	MADWAA00199019	MADWAA00199526
MADWAA00188084	MADWAA00188086	MADWAA00199658	MADWAA00199660
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MADWAA00188428	MADWAA00188429	MADWAA00199664	MADWAA00199992
MADWAA00188430	MADWAA00188468	MADWAA00199994	MADWAA00200256
MADWAA00188471	MADWAA00188801	MADWAA00200258	MADWAA00200393
MADWAA00188803	MADWAA00189192	MADWAA00203196	MADWAA00203198
MADWAA00189193	MADWAA00189260	MADWAA00203200	MADWAA00203302
MADWAA00189261	MADWAA00189262	MADWAA00203304	MADWAA00203962
MADWAA00189263	MADWAA00189270	MADWAA00203964	MADWAA00204255
MADWAA00189271	MADWAA00189684	MADWAA00205496	MADWAA00206050
MADWAA00189687	MADWAA00189687	MADWAA00206052	MADWAA00206052
MADWAA00189689	MADWAA00189822	MADWAA00206054	MADWAA00206071
MADWAA00189823	MADWAA00190392	MADWAA00206072	MADWAA00206077
MADWAA00190395	MADWAA00190614	MADWAA00206078	MADWAA00206829
MADWAA00190910	MADWAA00190985	MADWAA00208816	MADWAA00208817
MADWAA00190986	MADWAA00190987	MADWAA00208818	MADWAA00209815
MADWAA00190988	MADWAA00190994	MADWAA00209905	MADWAA00209906
MADWAA00190995	MADWAA00191418	MADWAA00209907	MADWAA00209912
MADWAA00191419	MADWAA00191419	MADWAA00209913	MADWAA00210734
MADWAA00191421	MADWAA00191580	MADWAA00210846	MADWAA00210885
MADWAA00191581	MADWAA00191597	MADWAA00210886	MADWAA00210889
MADWAA00191599	MADWAA00192504	MADWAA00210890	MADWAA00211959
MADWAA00193339	MADWAA00194922	MADWAA00212778	MADWAA00212778
MADWAA00194924	MADWAA00194940	MADWAA00212780	MADWAA00212782
MADWAA00194942	MADWAA00194942	MADWAA00212784	MADWAA00212787
MADWAA00194944	MADWAA00194945	MADWAA00212788	MADWAA00213066
MADWAA00194946	MADWAA00195056	MADWAA00213068	MADWAA00213420

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The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

<b>Bates Begin</b>	<b>Bates End</b>	<b>Bates Begin</b>	<b>Bates End</b>
MADWAA00213422	MADWAA00213670	MADWAA00238169	MADWAA00238524
MADWAA00213672	MADWAA00213679	MADWAA00238801	MADWAA00238801
MADWAA00219788	MADWAA00219788	MADWAA00238803	MADWAA00238804
MADWAA00219790	MADWAA00219853	MADWAA00239983	MADWAA00239983
MADWAA00219854	MADWAA00220673	MADWAA00242269	MADWAA00242350
MADWAA00220764	MADWAA00221503	MADWAA00242351	MADWAA00242358
MADWAA00221504	MADWAA00221504	MADWAA00242359	MADWAA00242360
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MADWAA00221698	MADWAA00221699	MADWAA00242695	MADWAA00242885
MADWAA00221700	MADWAA00221700	MADWAA00242887	MADWAA00242887
MADWAA00221702	MADWAA00221755	MADWAA00242889	MADWAA00243310
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MADWAA00224105	MADWAA00224422	MADWAA00243392	MADWAA00243393
MADWAA00224423	MADWAA00224423	MADWAA00243395	MADWAA00243532
MADWAA00224425	MADWAA00224474	MADWAA00243533	MADWAA00244476
MADWAA00225280	MADWAA00225280	MADWAA00244477	MADWAA00244478
MADWAA00226423	MADWAA00226439	MADWAA00244480	MADWAA00244486
MADWAA00226441	MADWAA00227105	MADWAA00244487	MADWAA00244560
MADWAA00227107	MADWAA00227810	MADWAA00244561	MADWAA00244693
MADWAA00227811	MADWAA00227811	MADWAA00244695	MADWAA00245698
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MADWAA00230200	MADWAA00230200	MADWAA00248528	MADWAA00249059
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MADWAA00233386	MADWAA00233441	MADWAA00249992	MADWAA00250149
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MADWAA00235692	MADWAA00236329	MADWAA00253351	MADWAA00253352
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MADWAA00236623	MADWAA00238167	MADWAA00253357	MADWAA00253518

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

<b>Bates Begin</b>	<b>Bates End</b>	<b>Bates Begin</b>	<b>Bates End</b>
MADWAA00253519	MADWAA00254414	MADWAA00275942	MADWAA00276228
MADWAA00257807	MADWAA00257886	MADWAA00276230	MADWAA00276664
MADWAA00257887	MADWAA00257902	MADWAA00276666	MADWAA00276740
MADWAA00257903	MADWAA00257904	MADWAA00276742	MADWAA00276927
MADWAA00257905	MADWAA00257906	MADWAA00277076	MADWAA00277078
MADWAA00257907	MADWAA00258319	MADWAA00277080	MADWAA00277081
MADWAA00258321	MADWAA00260564	MADWAA00277082	MADWAA00278307
MADWAA00260565	MADWAA00260756	MADWAA00278464	MADWAA00278989
MADWAA00261617	MADWAA00261729	MADWAA00278990	MADWAA00278990
MADWAA00261731	MADWAA00262311	MADWAA00278992	MADWAA00278993
MADWAA00262313	MADWAA00262429	MADWAA00278994	MADWAA00279038
MADWAA00262431	MADWAA00262578	MADWAA00279040	MADWAA00279435
MADWAA00262663	MADWAA00262679	MADWAA00279520	MADWAA00279522
MADWAA00262681	MADWAA00262715	MADWAA00279524	MADWAA00279529
MADWAA00262717	MADWAA00263210	MADWAA00279530	MADWAA00279970
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MADWAA00266410	MADWAA00266411	MADWAA00284684	MADWAA00285415
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MADWAA00275794	MADWAA00275796	MADWAA00290735	MADWAA00290737
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MADWAA00275834	MADWAA00275940	MADWAA00290743	MADWAA00290743

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The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
MADWAA00290745	MADWAA00291212	MADWAA00299952	MADWAA00299956
MADWAA00291294	MADWAA00291298	MADWAA00299958	MADWAA00300172
MADWAA00291300	MADWAA00291306	MADWAA00300174	MADWAA00300486
MADWAA00291308	MADWAA00291868	MADWAA00300488	MADWAA00300756
MADWAA00291870	MADWAA00292032	MADWAA00300758	MADWAA00300791
MADWAA00292034	MADWAA00292168	MADWAA00301727	MADWAA00301731
MADWAA00292170	MADWAA00292574	MADWAA00301733	MADWAA00301736
MADWAA00292576	MADWAA00292723	MADWAA00301737	MADWAA00303054
MADWAA00292811	MADWAA00292811	MADWAA00303055	MADWAA00303062
MADWAA00292813	MADWAA00292821	MADWAA00303063	MADWAA00303760
MADWAA00292823	MADWAA00292834	MADWAA00303767	MADWAA00304282
MADWAA00292835	MADWAA00292839	MADWAA00304289	MADWAA00305093
MADWAA00292841	MADWAA00292868	MADWAA00305095	MADWAA00305214
MADWAA00292870	MADWAA00293698	MADWAA00305676	MADWAA00307216
MADWAA00293793	MADWAA00294995	MADWAA00307218	MADWAA00307915
MADWAA00294997	MADWAA00295103	MADWAA00307916	MADWAA00307916
MADWAA00295105	MADWAA00295109	MADWAA00307918	MADWAA00307979
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MADWAA00297779	MADWAA00297919	MADWAA00319298	MADWAA00320141
MADWAA00297921	MADWAA00297947	MADWAA00320142	MADWAA00320142
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MADWAA00297963	MADWAA00298089	MADWAA00321524	MADWAA00322085
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MADWAA00299836	MADWAA00299951	MADWAA00322696	MADWAA00323367

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The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

<b>Bates Begin</b>	<b>Bates End</b>	<b>Bates Begin</b>	<b>Bates End</b>
MADWAA00323368	MADWAA00323368	MADWAA00351039	MADWAA00351802
MADWAA00323370	MADWAA00323525	MADWAA00351901	MADWAA00351959
MADWAA00323527	MADWAA00323528	MADWAA00351961	MADWAA00352850
MADWAA00323659	MADWAA00323659	MADWAA00354673	MADWAA00354674
MADWAA00323661	MADWAA00323720	MADWAA00354675	MADWAA00355569
MADWAA00323721	MADWAA00324488	MADWAA00359610	MADWAA00359623
MADWAA00331827	MADWAA00332760	MADWAA00359624	MADWAA00360493
MADWAA00332761	MADWAA00332811	MADWAA00360495	MADWAA00361340
MADWAA00332939	MADWAA00332940	MADWAA00361342	MADWAA00361406
MADWAA00332950	MADWAA00332951	MADWAA00361408	MADWAA00362196
MADWAA00332953	MADWAA00334256	MADWAA00362198	MADWAA00362441
MADWAA00334257	MADWAA00334257	MADWAA00362941	MADWAA00362946
MADWAA00334259	MADWAA00334315	MADWAA00362947	MADWAA00363698
MADWAA00334420	MADWAA00334420	MADWAA00363833	MADWAA00363844
MADWAA00334422	MADWAA00334475	MADWAA00363846	MADWAA00365087
MADWAA00334476	MADWAA00335377	MADWAA00366207	MADWAA00367260
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MADWAA00341017	MADWAA00341017	MADWAA00372596	MADWAA00372613
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MADWAA00341077	MADWAA00342324	MADWAA00372618	MADWAA00372622
MADWAA00348863	MADWAA00348863	MADWAA00372625	MADWAA00373864
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MADWAA00348917	MADWAA00348926	MADWAA00374269	MADWAA00375968
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MADWAA00349666	MADWAA00350116	MADWAA00376070	MADWAA00376085
MADWAA00350118	MADWAA00350547	MADWAA00376086	MADWAA00377045
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MADWAA00350679	MADWAA00350679	MADWAA00377059	MADWAA00377062
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MADWAA00350731	MADWAA00351036	MADWAA00377071	MADWAA00378164

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The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
MADWAA00378171	MADWAA00378368	MADWAA00384769	MADWAA00384834
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MADWAA00378371	MADWAA00378486	MADWAA00384852	MADWAA00384854
MADWAA00378487	MADWAA00378494	MADWAA00384856	MADWAA00385231
MADWAA00378495	MADWAA00378498	MADWAA00385232	MADWAA00385232
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MADWAA00378600	MADWAA00378605	MADWAA00385360	MADWAA00385623
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MADWAA00378608	MADWAA00379567	MADWAA00385762	MADWAA00386284
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MADWAA00379688	MADWAA00379695	MADWAA00387521	MADWAA00388097
MADWAA00379696	MADWAA00379699	MADWAA00389847	MADWAA00389848
MADWAA00379700	MADWAA00379769	MADWAA00389849	MADWAA00389853
MADWAA00379770	MADWAA00380035	MADWAA00389854	MADWAA00389923
MADWAA00380036	MADWAA00380090	MADWAA00389926	MADWAA00390053
MADWAA00380092	MADWAA00380165	MADWAA00390054	MADWAA00391335
MADWAA00380166	MADWAA00380489	MADWAA00391813	MADWAA00391816
MADWAA00380517	MADWAA00381326	MADWAA00391817	MADWAA00391837
MADWAA00381882	MADWAA00381882	MADWAA00391838	MADWAA00391913
MADWAA00382284	MADWAA00382284	MADWAA00391914	MADWAA00392676
MADWAA00383562	MADWAA00383585	MADWAA00392678	MADWAA00393531
MADWAA00383851	MADWAA00383872	MADWAA00578467	MADWAA00579894
MADWAA00384156	MADWAA00384156	MADWAA00593865	MADWAA00595441
MADWAA00384158	MADWAA00384158	MADWAA00596992	MADWAA00596998
MADWAA00384160	MADWAA00384160	MADWAA00597549	MADWAA00597559
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MADWAA00384172	MADWAA00384183	MADWAA00598540	MADWAA00598556
MADWAA00384184	MADWAA00384289	MADWAA00598948	MADWAA00598954
MADWAA00384291	MADWAA00384294	MADWAA00599351	MADWAA00599357
MADWAA00384324	MADWAA00384324	MADWAA00599778	MADWAA00599784
MADWAA00384325	MADWAA00384330	MADWAA00600253	MADWAA00601201
MADWAA00384327	MADWAA00384328	MADWAA00601204	MADWAA00602116
MADWAA00384331	MADWAA00384331	MADWAA01072627	MADWAA01072667
MADWAA00384762	MADWAA00384766	MADWAA01072668	MADWAA01072714
MADWAA00384767	MADWAA00384768	MADWAA01072715	MADWAA01072761

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The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

<b>Bates Begin</b>	<b>Bates End</b>	<b>Bates Begin</b>	<b>Bates End</b>
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MADWAA01072852	MADWAA01072894	MADTEE00351798	MADTEE00351798
MADTEE00347576	MADTEE00347578	MADTEE00351801	MADTEE00351801
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MADTEE00348324	MADTEE00348326	MADTEE00351805	MADTEE00351805
MADTEE00351308	MADTEE00351308	MADTEE00351806	MADTEE00351806
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MADTEE00351692	MADTEE00351692	MADTEE00351810	MADTEE00351810
MADTEE00351728	MADTEE00351728	MADTEE00351811	MADTEE00351811
MADTEE00351730	MADTEE00351730	MADTEE00351812	MADTEE00351812
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MADTEE00351767	MADTEE00351767	MADTEE00351843	MADTEE00351843
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MADTEE00351787	MADTEE00351787	MADTSS01239478	MADTSS01239479
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MADTEE00351792	MADTEE00351792	MADTSS01241811	MADTSS01241811



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The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
MADTSS01306306	MADTSS01306311	MADTSS01330819	MADTSS01330843
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MADTSS01330100	MADTSS01330101	MADTSS01330920	MADTSS01330944
MADTSS01330102	MADTSS01330102	MADTSS01330945	MADTSS01330969
MADTSS01330103	MADTSS01330103	MADTSS01330970	MADTSS01330994
MADTSS01330104	MADTSS01330104	MADTSS01330995	MADTSS01331018
MADTSS01330105	MADTSS01330105	MADTSS01331019	MADTSS01331043
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MADTSS01330108	MADTSS01330109	MADTSS01331072	MADTSS01331098
MADTSS01330110	MADTSS01330111	MADTSS01331099	MADTSS01331112
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MADTSS01330530	MADTSS01330554	MADTSS01380173	MADTSS01380173
MADTSS01330555	MADTSS01330575	MADTSS01380174	MADTSS01380175
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MADTSS01330664	MADTSS01330672	MDPTPP00000001	MDPTPP07766402
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MADTSS01330682	MADTSS01330682	MDPTVV00000001	MDPTVV00346036
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MADTSS01330687	MADTSS01330687	MF00010434	MF00010690
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MADTSS01330696	MADTSS01330727	MF00010916	MF00010916
MADTSS01330728	MADTSS01330737	MF00010933	MF00015860
MADTSS01330738	MADTSS01330747	MF00015862	MF00054017
MADTSS01330748	MADTSS01330761	MF00054019	MF00054019
MADTSS01330770	MADTSS01330794	MF00054037	MF00054538
MADTSS01330795	MADTSS01330818	MF00054554	MF00054554

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
MF00054570	MF00054570	MF00544339	MF00544449
MF00054586	MF00054586	MF00544455	MF00544457
MF00054601	MF00054601	MF00544462	MF00544576
MF00054616	MF00054616	MF00544715	MF00545147
MF00054631	MF00054631	MF00548948	MF00549288
MF00054646	MF00054646	MF00549294	MF00549295
MF00054660	MF00054660	MF00549297	MF00549469
MF00054674	MF00054674	MF00589674	MF00589756
MF00054688	MF00054688	MF00596269	MF00596426
MF00054702	MF00054702	MADTSS00196027	MADTSS00196027
MF00054716	MF00054716	MADTSS00196029	MADTSS00196031
MF00054720	MF00089903	MADTSS00196070	MADTSS00196070
MF00089932	MF00089932	MADTSS00196101	MADTSS00196104
MF00089947	MF00089947	MADTSS00196110	MADTSS00196111
MF00089956	MF00089956	MADTSS00196423	MADTSS00196423
MF00089992	MF00089992	MADTSS00196458	MADTSS00196458
MF00090001	MF00090001	MADTSS00196522	MADTSS00196524
MF00090016	MF00090016	MADTSS00196526	MADTSS00196526
MF00090080	MF00090080	MADTSS00196528	MADTSS00196528
MF00090082	MF00090082	MADTSS00201064	MADTSS00201174
MF00090097	MF00090097	SECSBJ0007636	SECSBJ0008374
MF00090124	MF00090124	SECSBP0007964	SECSBP0007968
MF00090134	MF00090134	SECSBP0008034	SECSBP0008040
MF00090161	MF00090161	SECSBP0008113	SECSBP0008119
MF00090163	MF00090163	SECSBP0008192	SECSBP0008197
MF00090173	MF00090173	SECSBP0008271	SECSBP0008277
MF00090239	MF00090687	SECSBP0008351	SECSBP0008351
MF00090727	MF00092169	SECSBP0008439	SECSBP0008446
MF00092171	MF00092171	SECSCR0000034	SECSCR0000036
MF00092173	MF00094142	STESAC0002353	STESAC0002353
MF00094144	MF00094160	STESAC0002431	STESAC0002431
MF00094188	MF00152284	STESAC0002440	STESAC0002440
MF00156869	MF00543449	STESAC0002593	STESAC0002593

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

<b>Bates Begin</b>	<b>Bates End</b>	<b>Bates Begin</b>	<b>Bates End</b>
STESAC0002610	STESAC0002610	STESAC0005063	STESAC0005063
STESAC0002629	STESAC0002629	STESAC0005113	STESAC0005113
STESAC0002675	STESAC0002675	STESAC0005197	STESAC0005197
STESAC0002680	STESAC0002680	STESAC0005247	STESAC0005247
STESAC0002751	STESAC0002751	STESAC0005367	STESAC0005367
STESAC0002763	STESAC0002763	STESAC0005377	STESAC0005377
STESAC0002807	STESAC0002807	STESAC0005605	STESAC0005605
STESAC0002893	STESAC0002893	STESAC0005843	STESAC0005843
STESAC0002972	STESAC0002972	STESAC0005897	STESAC0005897
STESAC0003079	STESAC0003079	STESAC0005969	STESAC0005969
STESAC0003134	STESAC0003134	STESAC0005997	STESAC0005997
STESAC0003269	STESAC0003269	STESAC0006071	STESAC0006071
STESAC0003315	STESAC0003315	STESAC0006095	STESAC0006095
STESAC0003345	STESAC0003345	STESAC0006534	STESAC0006534
STESAC0003464	STESAC0003464	STESAC0006546	STESAC0006546
STESAC0003478	STESAC0003478	STESAC0006680	STESAC0006680
STESAC0003547	STESAC0003547	STESAC0006792	STESAC0006792
STESAC0003609	STESAC0003609	STESAC0006900	STESAC0006900
STESAC0003613	STESAC0003613	STESAC0006948	STESAC0006948
STESAC0003632	STESAC0003632	STESAC0007044	STESAC0007044
STESAC0003636	STESAC0003636	STESAC0007178	STESAC0007178
STESAC0003642	STESAC0003642	STESAC0007328	STESAC0007328
STESAC0003802	STESAC0003802	STESAC0007402	STESAC0007402
STESAC0003810	STESAC0003810	STESAC0007436	STESAC0007436
STESAC0003854	STESAC0003854	STESAC0007522	STESAC0007522
STESAC0003929	STESAC0003929	STESAC0007576	STESAC0007576
STESAC0004043	STESAC0004043	STESAC0007595	STESAC0007595
STESAC0004151	STESAC0004151	STESAC0007619	STESAC0007619
STESAC0004166	STESAC0004166	STESAC0007627	STESAC0007627
STESAC0004246	STESAC0004246	STESAC0007637	STESAC0007637
STESAC0004256	STESAC0004256	STESAC0007699	STESAC0007699
STESAC0004260	STESAC0004260	STESAC0007705	STESAC0007705
STESAC0004273	STESAC0004273	STESAC0007713	STESAC0007713
STESAC0004278	STESAC0004278	STESAC0007723	STESAC0007723

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

<b>Bates Begin</b>	<b>Bates End</b>	<b>Bates Begin</b>	<b>Bates End</b>
STESAC0007739	STESAC0007739	STESAC0010049	STESAC0010049
STESAC0007858	STESAC0007858	STESAC0010173	STESAC0010173
STESAC0007976	STESAC0007976	STESAC0010229	STESAC0010229
STESAC0008134	STESAC0008134	STESAC0010249	STESAC0010249
STESAC0008213	STESAC0008213	STESAC0010442	STESAC0010442
STESAC0008279	STESAC0008279	STESAC0010490	STESAC0010490
STESAC0008295	STESAC0008295	STESAC0010510	STESAC0010510
STESAC0008328	STESAC0008328	STESAC0010619	STESAC0010619
STESAC0008334	STESAC0008334	STESAC0010631	STESAC0010631
STESAC0008348	STESAC0008348	STESAC0010685	STESAC0010685
STESAC0008364	STESAC0008364	STESAC0010757	STESAC0010757
STESAC0008389	STESAC0008389	STESAC0010765	STESAC0010765
STESAC0008529	STESAC0008529	STESAC0010819	STESAC0010819
STESAC0008601	STESAC0008601	STESAC0010927	STESAC0010927
STESAC0008747	STESAC0008747	STESAC0010931	STESAC0010931
STESAC0008763	STESAC0008763	STESAC0010945	STESAC0010945
STESAC0008835	STESAC0008835	STESAC0011177	STESAC0011177
STESAC0008861	STESAC0008861	STESAC0011449	STESAC0011449
STESAC0009108	STESAC0009108	STESAC0011535	STESAC0011535
STESAC0009150	STESAC0009150	STESAC0011653	STESAC0011653
STESAC0009158	STESAC0009158	STESAC0011677	STESAC0011677
STESAC0009176	STESAC0009176	STESAC0011793	STESAC0011793
STESAC0009194	STESAC0009194	STESAC0011966	STESAC0011966
STESAC0009218	STESAC0009218	STESAC0012260	STESAC0012260
STESAC0009287	STESAC0009287	STESAC0012464	STESAC0012464
STESAC0009295	STESAC0009295	STESAC0012554	STESAC0012554
STESAC0009365	STESAC0009365	STESAC0012584	STESAC0012584
STESAC0009381	STESAC0009381	STESAC0012766	STESAC0012766
STESAC0009572	STESAC0009572	STESAC0012806	STESAC0012806
STESAC0009700	STESAC0009700	STESAC0012900	STESAC0012900
STESAC0009805	STESAC0009805	STESAC0012950	STESAC0012950
STESAC0009817	STESAC0009817	STESAC0012972	STESAC0012972
STESAC0009841	STESAC0009841	STESAC0013073	STESAC0013073
STESAC0009982	STESAC0009982	STESAC0013103	STESAC0013103

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAC0013163	STESAC0013163	STESAC0020613	STESAC0020613
STESAC0013183	STESAC0013183	STESAC0020629	STESAC0020629
STESAC0013213	STESAC0013213	STESAC0020765	STESAC0020765
STESAC0013513	STESAC0013513	STESAC0020773	STESAC0020773
STESAC0013892	STESAC0013892	STESAC0020903	STESAC0020903
STESAC0013912	STESAC0013912	STESAC0021311	STESAC0021311
STESAC0014049	STESAC0014049	STESAC0021329	STESAC0021329
STESAC0014105	STESAC0014105	STESAC0021363	STESAC0021363
STESAC0014167	STESAC0014167	STESAC0021407	STESAC0021407
STESAC0014533	STESAC0014533	STESAC0021425	STESAC0021425
STESAC0014782	STESAC0014782	STESAC0021575	STESAC0021575
STESAC0014852	STESAC0014852	STESAC0021665	STESAC0021665
STESAC0014908	STESAC0014908	STESAC0021805	STESAC0021805
STESAC0014968	STESAC0014968	STESAC0021827	STESAC0021827
STESAC0015310	STESAC0015310	STESAC0021901	STESAC0021901
STESAC0015387	STESAC0015387	STESAC0021983	STESAC0021983
STESAC0015475	STESAC0015475	STESAC0022047	STESAC0022047
STESAC0015680	STESAC0015680	STESAC0022221	STESAC0022221
STESAC0015712	STESAC0015712	STESAC0022337	STESAC0022337
STESAC0015796	STESAC0015796	STESAC0022549	STESAC0022549
STESAC0015810	STESAC0015810	STESAC0022569	STESAC0022569
STESAC0015824	STESAC0015824	STESAC0022629	STESAC0022629
STESAC0015856	STESAC0015856	STESAC0022639	STESAC0022639
STESAC0015884	STESAC0015884	STESAC0022679	STESAC0022679
STESAC0015912	STESAC0015912	STESAC0022689	STESAC0022689
STESAC0016072	STESAC0016072	STESAC0022709	STESAC0022709
STESAC0016086	STESAC0016086	STESAC0022727	STESAC0022727
STESAC0016298	STESAC0016298	STESAC0022745	STESAC0022745
STESAC0016726	STESAC0016726	STESAC0022775	STESAC0022775
STESAC0020407	STESAC0020407	STESAC0022805	STESAC0022805
STESAC0020431	STESAC0020431	STESAC0022923	STESAC0022923
STESAC0020443	STESAC0020443	STESAC0022933	STESAC0022933
STESAC0020491	STESAC0020491	STESAC0022943	STESAC0022943
STESAC0020547	STESAC0020547	STESAC0023003	STESAC0023003
STESAC0020605	STESAC0020605	STESAC0023241	STESAC0023241

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAC0023253	STESAC0023253	STESAC0026210	STESAC0026210
STESAC0023263	STESAC0023263	STESAC0026234	STESAC0026234
STESAC0023313	STESAC0023313	STESAC0026244	STESAC0026244
STESAC0023383	STESAC0023383	STESAC0026254	STESAC0026254
STESAC0023441	STESAC0023441	STESAC0026382	STESAC0026382
STESAC0023621	STESAC0023621	STESAC0026392	STESAC0026392
STESAC0023681	STESAC0023681	STESAC0026402	STESAC0026402
STESAC0023741	STESAC0023741	STESAC0026460	STESAC0026460
STESAC0023751	STESAC0023751	STESAC0026710	STESAC0026710
STESAC0023761	STESAC0023761	STESAC0026722	STESAC0026722
STESAC0023771	STESAC0023771	STESAC0026734	STESAC0026734
STESAC0023781	STESAC0023781	STESAC0026788	STESAC0026788
STESAC0023851	STESAC0023851	STESAC0026924	STESAC0026924
STESAC0024057	STESAC0024057	STESAC0027116	STESAC0027116
STESAC0024079	STESAC0024079	STESAC0027140	STESAC0027140
STESAC0024171	STESAC0024171	STESAC0027182	STESAC0027182
STESAC0024905	STESAC0024905	STESAC0027276	STESAC0027276
STESAC0024929	STESAC0024929	STESAC0027358	STESAC0027358
STESAC0024953	STESAC0024953	STESAC0027475	STESAC0027475
STESAC0025037	STESAC0025037	STESAC0027511	STESAC0027511
STESAC0025243	STESAC0025243	STESAC0027579	STESAC0027579
STESAC0025573	STESAC0025573	STESAC0027615	STESAC0027615
STESAC0025669	STESAC0025669	STESAC0027631	STESAC0027631
STESAC0025819	STESAC0025819	STESAC0027657	STESAC0027657
STESAC0025921	STESAC0025921	STESAC0027693	STESAC0027693
STESAC0025957	STESAC0025957	STESAC0027721	STESAC0027721
STESAC0025977	STESAC0025977	STESAC0027737	STESAC0027737
STESAC0025987	STESAC0025987	STESAC0027765	STESAC0027765
STESAC0026036	STESAC0026036	STESAC0027877	STESAC0027877
STESAC0026080	STESAC0026080	STESAC0027949	STESAC0027949
STESAC0026100	STESAC0026100	STESAC0027961	STESAC0027961
STESAC0026136	STESAC0026136	STESAC0028013	STESAC0028013
STESAC0026146	STESAC0026146	STESAC0028017	STESAC0028017
STESAC0026168	STESAC0026168	STESAC0028033	STESAC0028033
STESAC0026198	STESAC0026198	STESAC0028037	STESAC0028037

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

<b>Bates Begin</b>	<b>Bates End</b>	<b>Bates Begin</b>	<b>Bates End</b>
STESAC0028049	STESAC0028049	STESAC0030609	STESAC0030609
STESAC0028335	STESAC0028335	STESAC0030983	STESAC0030983
STESAC0028351	STESAC0028351	STESAC0031327	STESAC0031327
STESAC0028367	STESAC0028367	STESAC0031343	STESAC0031343
STESAC0028427	STESAC0028427	STESAC0031359	STESAC0031359
STESAC0028591	STESAC0028591	STESAC0031429	STESAC0031429
STESAC0028819	STESAC0028819	STESAC0031615	STESAC0031615
STESAC0028857	STESAC0028857	STESAC0031871	STESAC0031871
STESAC0028893	STESAC0028893	STESAC0031955	STESAC0031955
STESAC0028989	STESAC0028989	STESAC0032079	STESAC0032079
STESAC0029001	STESAC0029001	STESAC0032181	STESAC0032181
STESAC0029042	STESAC0029042	STESAC0032328	STESAC0032328
STESAC0029108	STESAC0029108	STESAC0032352	STESAC0032352
STESAC0029128	STESAC0029128	STESAC0032374	STESAC0032374
STESAC0029158	STESAC0029158	STESAC0032432	STESAC0032432
STESAC0029172	STESAC0029172	STESAC0032444	STESAC0032444
STESAC0029242	STESAC0029242	STESAC0032468	STESAC0032468
STESAC0029252	STESAC0029252	STESAC0032480	STESAC0032480
STESAC0029280	STESAC0029280	STESAC0032516	STESAC0032516
STESAC0029436	STESAC0029436	STESAC0032636	STESAC0032636
STESAC0029448	STESAC0029448	STESAC0032648	STESAC0032648
STESAC0029460	STESAC0029460	STESAC0032660	STESAC0032660
STESAC0029762	STESAC0029762	STESAC0032706	STESAC0032706
STESAC0029776	STESAC0029776	STESAC0032908	STESAC0032908
STESAC0029790	STESAC0029790	STESAC0032920	STESAC0032920
STESAC0029840	STESAC0029840	STESAC0032932	STESAC0032932
STESAC0029982	STESAC0029982	STESAC0032968	STESAC0032968
STESAC0030016	STESAC0030016	STESAC0033224	STESAC0033224
STESAC0030172	STESAC0030172	STESAC0033322	STESAC0033322
STESAC0030234	STESAC0030234	STESAC0033558	STESAC0033558
STESAC0030316	STESAC0030316	STESAC0033578	STESAC0033578
STESAC0030326	STESAC0030326	STESAC0033690	STESAC0033690
STESAC0030537	STESAC0030537	STESAC0033708	STESAC0033708
STESAC0030553	STESAC0030553	STESAC0033714	STESAC0033714
STESAC0030581	STESAC0030581	STESAC0033906	STESAC0033906

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAC0033972	STESAC0033972	STESAC0036424	STESAC0036424
STESAC0034150	STESAC0034150	STESAC0037025	STESAC0037025
STESAC0034162	STESAC0034162	STESAC0037053	STESAC0037053
STESAC0034174	STESAC0034174	STESAC0037079	STESAC0037079
STESAC0034256	STESAC0034256	STESAC0037089	STESAC0037089
STESAC0034268	STESAC0034268	STESAC0037119	STESAC0037119
STESAC0034352	STESAC0034352	STESAC0037131	STESAC0037131
STESAC0034498	STESAC0034498	STESAC0037145	STESAC0037145
STESAC0034504	STESAC0034504	STESAC0037213	STESAC0037213
STESAC0034510	STESAC0034510	STESAC0037241	STESAC0037241
STESAC0034639	STESAC0034639	STESAC0037253	STESAC0037253
STESAC0034675	STESAC0034675	STESAC0037409	STESAC0037409
STESAC0034775	STESAC0034775	STESAC0037689	STESAC0037689
STESAC0034943	STESAC0034943	STESAC0037723	STESAC0037723
STESAC0034985	STESAC0034985	STESAC0037955	STESAC0037955
STESAC0034995	STESAC0034995	STESAC0037967	STESAC0037967
STESAC0035005	STESAC0035005	STESAC0038167	STESAC0038167
STESAC0035177	STESAC0035177	STESAC0038179	STESAC0038179
STESAC0035457	STESAC0035457	STESAC0038390	STESAC0038390
STESAC0035477	STESAC0035477	STESAC0038432	STESAC0038432
STESAC0035561	STESAC0035561	STESAC0038470	STESAC0038470
STESAC0035659	STESAC0035659	STESAC0038576	STESAC0038576
STESAC0035864	STESAC0035864	STESAC0038876	STESAC0038876
STESAC0035902	STESAC0035902	STESAC0039084	STESAC0039084
STESAC0035922	STESAC0035922	STESAC0039458	STESAC0039458
STESAC0035942	STESAC0035942	STESAC0039636	STESAC0039636
STESAC0035978	STESAC0035978	STESAC0039696	STESAC0039696
STESAC0035988	STESAC0035988	STESAC0039840	STESAC0039840
STESAC0035998	STESAC0035998	STESAC0039890	STESAC0039890
STESAC0036036	STESAC0036036	STESAC0039945	STESAC0039945
STESAC0036046	STESAC0036046	STESAC0039955	STESAC0039955
STESAC0036066	STESAC0036066	STESAC0039975	STESAC0039975
STESAC0036116	STESAC0036116	STESAC0040015	STESAC0040015
STESAC0036404	STESAC0036404	STESAC0040025	STESAC0040025
STESAC0036414	STESAC0036414	STESAC0040043	STESAC0040043



## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAC0040053	STESAC0040053	STESAC0043351	STESAC0043351
STESAC0040061	STESAC0040061	STESAC0043495	STESAC0043495
STESAC0040121	STESAC0040121	STESAC0043681	STESAC0043681
STESAC0040173	STESAC0040173	STESAC0043691	STESAC0043691
STESAC0040181	STESAC0040181	STESAC0043853	STESAC0043853
STESAC0040347	STESAC0040347	STESAC0043857	STESAC0043857
STESAC0040355	STESAC0040355	STESAC0043885	STESAC0043885
STESAC0040363	STESAC0040363	STESAC0043897	STESAC0043897
STESAC0040625	STESAC0040625	STESAC0044078	STESAC0044078
STESAC0040635	STESAC0040635	STESAC0044114	STESAC0044114
STESAC0040645	STESAC0040645	STESAC0044138	STESAC0044138
STESAC0040749	STESAC0040749	STESAC0044182	STESAC0044182
STESAC0040769	STESAC0040769	STESAC0044194	STESAC0044194
STESAC0040861	STESAC0040861	STESAC0044240	STESAC0044240
STESAC0041047	STESAC0041047	STESAC0044252	STESAC0044252
STESAC0041151	STESAC0041151	STESAC0044400	STESAC0044400
STESAC0041237	STESAC0041237	STESAC0044412	STESAC0044412
STESAC0041467	STESAC0041467	STESAC0044424	STESAC0044424
STESAC0041541	STESAC0041541	STESAC0044506	STESAC0044506
STESAC0041593	STESAC0041593	STESAC0044782	STESAC0044782
STESAC0041649	STESAC0041649	STESAC0044796	STESAC0044796
STESAC0041709	STESAC0041709	STESAC0044818	STESAC0044818
STESAC0041741	STESAC0041741	STESAC0044874	STESAC0044874
STESAC0041789	STESAC0041789	STESAC0044968	STESAC0044968
STESAC0041821	STESAC0041821	STESAC0045044	STESAC0045044
STESAC0041861	STESAC0041861	STESAC0045066	STESAC0045066
STESAC0041899	STESAC0041899	STESAC0045178	STESAC0045178
STESAC0041919	STESAC0041919	STESAC0045260	STESAC0045260
STESAC0042063	STESAC0042063	STESAC0045284	STESAC0045284
STESAC0042269	STESAC0042269	STESAC0045290	STESAC0045290
STESAC0042653	STESAC0042653	STESAC0045324	STESAC0045324
STESAC0042669	STESAC0042669	STESAC0045430	STESAC0045430
STESAC0042695	STESAC0042695	STESAC0045442	STESAC0045442
STESAC0042983	STESAC0042983	STESAC0045634	STESAC0045634
STESAC0043265	STESAC0043265	STESAC0045754	STESAC0045754

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAC0046052	STESAC0046052	STESAC0049109	STESAC0049109
STESAC0046082	STESAC0046082	STESAC0049117	STESAC0049117
STESAC0046340	STESAC0046340	STESAC0049131	STESAC0049131
STESAC0046628	STESAC0046628	STESAC0049183	STESAC0049183
STESAC0046644	STESAC0046644	STESAC0049322	STESAC0049322
STESAC0046656	STESAC0046656	STESAC0049507	STESAC0049507
STESAC0046716	STESAC0046716	STESAC0049567	STESAC0049567
STESAC0046876	STESAC0046876	STESAC0049665	STESAC0049665
STESAC0047082	STESAC0047082	STESAC0049749	STESAC0049749
STESAC0047142	STESAC0047142	STESAC0049883	STESAC0049883
STESAC0047250	STESAC0047250	STESAC0049931	STESAC0049931
STESAC0047376	STESAC0047376	STESAC0049955	STESAC0049955
STESAC0047548	STESAC0047548	STESAC0049991	STESAC0049991
STESAC0047614	STESAC0047614	STESAC0049997	STESAC0049997
STESAC0047623	STESAC0047623	STESAC0050021	STESAC0050021
STESAC0047639	STESAC0047639	STESAC0050055	STESAC0050055
STESAC0047681	STESAC0047681	STESAC0050067	STESAC0050067
STESAC0047698	STESAC0047698	STESAC0050097	STESAC0050097
STESAC0047728	STESAC0047728	STESAC0050269	STESAC0050269
STESAC0047733	STESAC0047733	STESAC0050281	STESAC0050281
STESAC0047754	STESAC0047754	STESAC0050293	STESAC0050293
STESAC0047796	STESAC0047796	STESAC0050359	STESAC0050359
STESAC0047803	STESAC0047803	STESAC0050661	STESAC0050661
STESAC0047817	STESAC0047817	STESAC0050675	STESAC0050675
STESAC0047898	STESAC0047898	STESAC0050731	STESAC0050731
STESAC0047985	STESAC0047985	STESAC0050893	STESAC0050893
STESAC0048221	STESAC0048221	STESAC0051073	STESAC0051073
STESAC0048228	STESAC0048228	STESAC0051109	STESAC0051109
STESAC0048327	STESAC0048327	STESAC0051175	STESAC0051175
STESAC0048371	STESAC0048371	STESAC0051283	STESAC0051283
STESAC0048405	STESAC0048405	STESAC0051403	STESAC0051403
STESAC0048412	STESAC0048412	STESAC0051439	STESAC0051439
STESAC0048547	STESAC0048547	STESAC0051588	STESAC0051588
STESAC0048555	STESAC0048555	STESAC0051618	STESAC0051618
STESAC0048869	STESAC0048869	STESAC0051658	STESAC0051658

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAC0051668	STESAC0051668	STESAC0053341	STESAC0053341
STESAC0051678	STESAC0051678	STESAC0053401	STESAC0053401
STESAC0051708	STESAC0051708	STESAC0053431	STESAC0053431
STESAC0051728	STESAC0051728	STESAC0053599	STESAC0053599
STESAC0051738	STESAC0051738	STESAC0053611	STESAC0053611
STESAC0051764	STESAC0051764	STESAC0053623	STESAC0053623
STESAC0051782	STESAC0051782	STESAC0053689	STESAC0053689
STESAC0051812	STESAC0051812	STESAC0053701	STESAC0053701
STESAC0051832	STESAC0051832	STESAC0053983	STESAC0053983
STESAC0051942	STESAC0051942	STESAC0054001	STESAC0054001
STESAC0051952	STESAC0051952	STESAC0054019	STESAC0054019
STESAC0051962	STESAC0051962	STESAC0054077	STESAC0054077
STESAC0052254	STESAC0052254	STESAC0054245	STESAC0054245
STESAC0052266	STESAC0052266	STESAC0054455	STESAC0054455
STESAC0052278	STESAC0052278	STESAC0054479	STESAC0054479
STESAC0052328	STESAC0052328	STESAC0054515	STESAC0054515
STESAC0052480	STESAC0052480	STESAC0054587	STESAC0054587
STESAC0052670	STESAC0052670	STESAC0054599	STESAC0054599
STESAC0052720	STESAC0052720	STESAC0054611	STESAC0054611
STESAC0052780	STESAC0052780	STESAC0054623	STESAC0054623
STESAC0052790	STESAC0052790	STESAC0054725	STESAC0054725
STESAC0052800	STESAC0052800	STESAC0054815	STESAC0054815
STESAC0052810	STESAC0052810	STESAC0055043	STESAC0055043
STESAC0052928	STESAC0052928	STESAC0055190	STESAC0055190
STESAC0053014	STESAC0053014	STESAC0055220	STESAC0055220
STESAC0053024	STESAC0053024	STESAC0055280	STESAC0055280
STESAC0053034	STESAC0053034	STESAC0055290	STESAC0055290
STESAC0053044	STESAC0053044	STESAC0055316	STESAC0055316
STESAC0053054	STESAC0053054	STESAC0055456	STESAC0055456
STESAC0053064	STESAC0053064	STESAC0055466	STESAC0055466
STESAC0053114	STESAC0053114	STESAC0055524	STESAC0055524
STESAC0053138	STESAC0053138	STESAC0055780	STESAC0055780
STESAC0053179	STESAC0053179	STESAC0055796	STESAC0055796
STESAC0053257	STESAC0053257	STESAC0055812	STESAC0055812
STESAC0053331	STESAC0053331	STESAC0055862	STESAC0055862

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAC0056006	STESAC0056006	STESAC0059431	STESAC0059431
STESAC0056184	STESAC0056184	STESAC0059437	STESAC0059437
STESAC0056234	STESAC0056234	STESAC0059443	STESAC0059443
STESAC0056242	STESAC0056242	STESAC0059473	STESAC0059473
STESAC0056324	STESAC0056324	STESAC0059503	STESAC0059503
STESAC0056556	STESAC0056556	STESAC0059664	STESAC0059664
STESAC0056676	STESAC0056676	STESAC0059670	STESAC0059670
STESAC0056749	STESAC0056749	STESAC0059706	STESAC0059706
STESAC0056857	STESAC0056857	STESAC0059793	STESAC0059793
STESAC0056871	STESAC0056871	STESAC0059824	STESAC0059824
STESAC0056917	STESAC0056917	STESAC0059882	STESAC0059882
STESAC0056945	STESAC0056945	STESAC0059890	STESAC0059890
STESAC0057003	STESAC0057003	STESAC0059898	STESAC0059898
STESAC0057017	STESAC0057017	STESAC0059920	STESAC0059920
STESAC0057059	STESAC0057059	STESAC0059936	STESAC0059936
STESAC0057379	STESAC0057379	STESAC0059964	STESAC0059964
STESAC0057728	STESAC0057728	STESAC0059972	STESAC0059972
STESAC0057754	STESAC0057754	STESAC0059998	STESAC0059998
STESAC0057780	STESAC0057780	STESAC0060006	STESAC0060006
STESAC0057854	STESAC0057854	STESAC0060014	STESAC0060014
STESAC0058076	STESAC0058076	STESAC0060022	STESAC0060022
STESAC0058120	STESAC0058120	STESAC0060110	STESAC0060110
STESAC0058412	STESAC0058412	STESAC0060118	STESAC0060118
STESAC0058538	STESAC0058538	STESAC0060176	STESAC0060176
STESAC0058664	STESAC0058664	STESAC0060184	STESAC0060184
STESAC0058996	STESAC0058996	STESAC0060370	STESAC0060370
STESAC0059080	STESAC0059080	STESAC0060378	STESAC0060378
STESAC0059122	STESAC0059122	STESAC0060424	STESAC0060424
STESAC0059141	STESAC0059141	STESAC0060540	STESAC0060540
STESAC0059202	STESAC0059202	STESAC0060688	STESAC0060688
STESAC0059243	STESAC0059243	STESAC0060704	STESAC0060704
STESAC0059270	STESAC0059270	STESAC0060728	STESAC0060728
STESAC0059309	STESAC0059309	STESAC0060798	STESAC0060798
STESAC0059318	STESAC0059318	STESAC0061080	STESAC0061080
STESAC0059393	STESAC0059393	STESAC0061116	STESAC0061116

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAC0061124	STESAC0061124	STESAC0063522	STESAC0063522
STESAC0061130	STESAC0061130	STESAC0063534	STESAC0063534
STESAC0061287	STESAC0061287	STESAC0063598	STESAC0063598
STESAC0061317	STESAC0061317	STESAC0063612	STESAC0063612
STESAC0061341	STESAC0061341	STESAC0063626	STESAC0063626
STESAC0061393	STESAC0061393	STESAC0063654	STESAC0063654
STESAC0061431	STESAC0061431	STESAC0063662	STESAC0063662
STESAC0061599	STESAC0061599	STESAC0063906	STESAC0063906
STESAC0061611	STESAC0061611	STESAC0064142	STESAC0064142
STESAC0061623	STESAC0061623	STESAC0064156	STESAC0064156
STESAC0061989	STESAC0061989	STESAC0064170	STESAC0064170
STESAC0062003	STESAC0062003	STESAC0064915	STESAC0064915
STESAC0062017	STESAC0062017	STESAC0064927	STESAC0064927
STESAC0062257	STESAC0062257	STESAC0064939	STESAC0064939
STESAC0062295	STESAC0062295	STESAC0064963	STESAC0064963
STESAC0062477	STESAC0062477	STESAC0065011	STESAC0065011
STESAC0062503	STESAC0062503	STESAC0065051	STESAC0065051
STESAC0062641	STESAC0062641	STESAC0065067	STESAC0065067
STESAC0062771	STESAC0062771	STESAC0065079	STESAC0065079
STESAC0062879	STESAC0062879	STESAC0065091	STESAC0065091
STESAC0062891	STESAC0062891	STESAC0065517	STESAC0065517
STESAC0062903	STESAC0062903	STESAC0065529	STESAC0065529
STESAC0062915	STESAC0062915	STESAC0065541	STESAC0065541
STESAC0062927	STESAC0062927	STESAC0066197	STESAC0066197
STESAC0062939	STESAC0062939	STESAC0066239	STESAC0066239
STESAC0063121	STESAC0063121	STESAC0066385	STESAC0066385
STESAC0063141	STESAC0063141	STESAC0066849	STESAC0066849
STESAC0063173	STESAC0063173	STESAC0067357	STESAC0067357
STESAC0063189	STESAC0063189	STESAC0067477	STESAC0067477
STESAC0063221	STESAC0063221	STESAC0067541	STESAC0067541
STESAC0063233	STESAC0063233	STESAC0067553	STESAC0067553
STESAC0063314	STESAC0063314	STESAC0067650	STESAC0067650
STESAC0063450	STESAC0063450	STESAC0067702	STESAC0067702
STESAC0063478	STESAC0063478	STESAC0067714	STESAC0067714
STESAC0063508	STESAC0063508	STESAC0067738	STESAC0067738

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAC0067796	STESAC0067796	STESAC0071523	STESAC0071523
STESAC0067808	STESAC0067808	STESAC0071644	STESAC0071644
STESAC0067836	STESAC0067836	STESAC0071672	STESAC0071672
STESAC0067848	STESAC0067848	STESAC0071780	STESAC0071780
STESAC0067868	STESAC0067868	STESAC0071810	STESAC0071810
STESAC0067880	STESAC0067880	STESAC0071840	STESAC0071840
STESAC0067938	STESAC0067938	STESAC0071910	STESAC0071910
STESAC0068238	STESAC0068238	STESAC0071926	STESAC0071926
STESAC0068250	STESAC0068250	STESAC0071992	STESAC0071992
STESAC0068262	STESAC0068262	STESAC0072004	STESAC0072004
STESAC0068514	STESAC0068514	STESAC0072006	STESAC0072006
STESAC0068628	STESAC0068628	STESAC0072034	STESAC0072034
STESAC0068640	STESAC0068640	STESAC0072506	STESAC0072506
STESAC0068652	STESAC0068652	STESAC0072518	STESAC0072518
STESAC0068788	STESAC0068788	STESAC0072520	STESAC0072520
STESAC0068814	STESAC0068814	STESAC0072534	STESAC0072534
STESAC0068850	STESAC0068850	STESAC0073313	STESAC0073313
STESAC0068940	STESAC0068940	STESAC0073365	STESAC0073365
STESAC0068978	STESAC0068978	STESAC0073543	STESAC0073543
STESAC0069030	STESAC0069030	STESAC0073901	STESAC0073901
STESAC0069208	STESAC0069208	STESAC0074079	STESAC0074079
STESAC0069268	STESAC0069268	STESAC0074225	STESAC0074225
STESAC0069340	STESAC0069340	STESAC0074757	STESAC0074757
STESAC0069356	STESAC0069356	STESAC0078376	STESAC0078376
STESAC0069438	STESAC0069438	STESAC0078382	STESAC0078382
STESAC0069780	STESAC0069780	STESAC0078414	STESAC0078414
STESAC0069828	STESAC0069828	STESAC0078446	STESAC0078446
STESAC0070021	STESAC0070021	STESAC0078464	STESAC0078464
STESAC0070067	STESAC0070067	STESAC0078476	STESAC0078476
STESAC0070241	STESAC0070241	STESAC0078532	STESAC0078532
STESAC0070561	STESAC0070561	STESAC0078586	STESAC0078586
STESAC0070777	STESAC0070777	STESAC0078602	STESAC0078602
STESAC0071383	STESAC0071383	STESAC0078822	STESAC0078822
STESAC0071493	STESAC0071493	STESAC0078899	STESAC0078899
STESAC0071509	STESAC0071509	STESAC0078917	STESAC0078917

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAC0078970	STESAC0078970	STESAC0080408	STESAC0080408
STESAC0079000	STESAC0079000	STESAC0080411	STESAC0080411
STESAC0079060	STESAC0079060	STESAC0080439	STESAC0080439
STESAC0079086	STESAC0079086	STESAC0080495	STESAC0080495
STESAC0079118	STESAC0079118	STESAC0080498	STESAC0080498
STESAC0079208	STESAC0079208	STESAC0080501	STESAC0080501
STESAC0079336	STESAC0079336	STESAC0080504	STESAC0080504
STESAC0079422	STESAC0079422	STESAC0080529	STESAC0080529
STESAC0079493	STESAC0079493	STESAC0080534	STESAC0080534
STESAC0079533	STESAC0079533	STESAC0080552	STESAC0080552
STESAC0079581	STESAC0079581	STESAC0080656	STESAC0080656
STESAC0079605	STESAC0079605	STESAC0080661	STESAC0080661
STESAC0079638	STESAC0079638	STESAC0080666	STESAC0080666
STESAC0079652	STESAC0079652	STESAC0080698	STESAC0080698
STESAC0079657	STESAC0079657	STESAC0080703	STESAC0080703
STESAC0079700	STESAC0079700	STESAC0080782	STESAC0080782
STESAC0079780	STESAC0079780	STESAC0080823	STESAC0080823
STESAC0079790	STESAC0079790	STESAC0080879	STESAC0080879
STESAC0079794	STESAC0079794	STESAC0080910	STESAC0080910
STESAC0079798	STESAC0079798	STESAC0080916	STESAC0080916
STESAC0079802	STESAC0079802	STESAC0080928	STESAC0080928
STESAC0079843	STESAC0079843	STESAC0080966	STESAC0080966
STESAC0079850	STESAC0079850	STESAC0081001	STESAC0081001
STESAC0079862	STESAC0079862	STESAC0081025	STESAC0081025
STESAC0079878	STESAC0079878	STESAC0081043	STESAC0081043
STESAC0080039	STESAC0080039	STESAC0081055	STESAC0081055
STESAC0080047	STESAC0080047	STESAC0081061	STESAC0081061
STESAC0080055	STESAC0080055	STESAC0081076	STESAC0081076
STESAC0080105	STESAC0080105	STESAC0081101	STESAC0081101
STESAC0080110	STESAC0080110	STESAC0081107	STESAC0081107
STESAC0080134	STESAC0080134	STESAC0081277	STESAC0081277
STESAC0080290	STESAC0080290	STESAC0081310	STESAC0081310
STESAC0080332	STESAC0080332	STESAC0081408	STESAC0081408
STESAC0080362	STESAC0080362	STESAC0081414	STESAC0081414
STESAC0080398	STESAC0080398	STESAC0081520	STESAC0081520

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAC0081556	STESAC0081556	STESAC0084126	STESAC0084126
STESAC0081586	STESAC0081586	STESAC0084156	STESAC0084156
STESAC0081640	STESAC0081640	STESAC0084159	STESAC0084159
STESAC0081659	STESAC0081659	STESAC0084169	STESAC0084169
STESAC0082137	STESAC0082137	STESAC0084274	STESAC0084274
STESAC0082211	STESAC0082211	STESAC0084284	STESAC0084284
STESAC0082229	STESAC0082229	STESAC0084324	STESAC0084324
STESAC0082286	STESAC0082286	STESAC0084334	STESAC0084334
STESAC0082297	STESAC0082297	STESAC0084392	STESAC0084392
STESAC0082463	STESAC0082463	STESAC0084543	STESAC0084543
STESAC0082657	STESAC0082657	STESAC0084578	STESAC0084578
STESAC0082698	STESAC0082698	STESAC0084604	STESAC0084604
STESAC0082728	STESAC0082728	STESAC0084644	STESAC0084644
STESAC0082805	STESAC0082805	STESAC0084664	STESAC0084664
STESAC0083122	STESAC0083122	STESAC0085088	STESAC0085088
STESAC0083218	STESAC0083218	STESAC0085130	STESAC0085130
STESAC0083237	STESAC0083237	STESAC0085214	STESAC0085214
STESAC0083282	STESAC0083282	STESAC0085445	STESAC0085445
STESAC0083293	STESAC0083293	STESAC0085565	STESAC0085565
STESAC0083328	STESAC0083328	STESAC0085649	STESAC0085649
STESAC0083393	STESAC0083393	STESAC0085747	STESAC0085747
STESAC0083401	STESAC0083401	STESAC0085761	STESAC0085761
STESAC0083439	STESAC0083439	STESAC0085871	STESAC0085871
STESAC0083562	STESAC0083562	STESAC0085935	STESAC0085935
STESAC0083822	STESAC0083822	STESAC0086388	STESAC0086388
STESAC0083983	STESAC0083983	STESAC0086424	STESAC0086424
STESAC0084013	STESAC0084013	STESAC0086486	STESAC0086486
STESAC0084018	STESAC0084018	STESAC0086520	STESAC0086520
STESAC0084026	STESAC0084026	STESAC0086584	STESAC0086584
STESAC0084053	STESAC0084053	STESAC0086626	STESAC0086626
STESAC0084056	STESAC0084056	STESAC0086835	STESAC0086835
STESAC0084062	STESAC0084062	STESAC0086851	STESAC0086851
STESAC0084085	STESAC0084085	STESAC0086911	STESAC0086911
STESAC0084103	STESAC0084103	STESAC0086987	STESAC0086987
STESAC0084118	STESAC0084118	STESAC0087015	STESAC0087015



## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAC0087049	STESAC0087049	STESAC0093435	STESAC0093435
STESAC0087077	STESAC0087077	STESAC0093715	STESAC0093715
STESAC0087200	STESAC0087200	STESAC0093956	STESAC0093956
STESAC0087214	STESAC0087214	STESAC0093968	STESAC0093968
STESAC0087222	STESAC0087222	STESAC0093980	STESAC0093980
STESAC0087488	STESAC0087488	STESAC0093986	STESAC0093986
STESAC0087760	STESAC0087760	STESAC0093992	STESAC0093992
STESAC0087901	STESAC0087901	STESAC0094004	STESAC0094004
STESAC0087999	STESAC0087999	STESAC0094016	STESAC0094016
STESAC0088417	STESAC0088417	STESAC0094026	STESAC0094026
STESAC0088621	STESAC0088621	STESAC0094036	STESAC0094036
STESAC0088711	STESAC0088711	STESAC0094048	STESAC0094048
STESAC0088745	STESAC0088745	STESAC0094056	STESAC0094056
STESAC0089070	STESAC0089070	STESAC0094058	STESAC0094058
STESAC0089142	STESAC0089142	STESAC0094178	STESAC0094178
STESAC0089174	STESAC0089174	STESAC0094194	STESAC0094194
STESAC0089240	STESAC0089240	STESAC0094214	STESAC0094214
STESAC0089277	STESAC0089277	STESAC0094246	STESAC0094246
STESAC0089333	STESAC0089333	STESAC0094256	STESAC0094256
STESAC0089343	STESAC0089343	STESAC0094346	STESAC0094346
STESAC0089345	STESAC0089345	STESAC0094358	STESAC0094358
STESAC0089373	STESAC0089373	STESAC0094370	STESAC0094370
STESAC0089385	STESAC0089385	STESAC0094394	STESAC0094394
STESAC0089445	STESAC0089445	STESAC0094418	STESAC0094418
STESAC0089455	STESAC0089455	STESAC0094458	STESAC0094458
STESAC0089467	STESAC0089467	STESAC0094480	STESAC0094480
STESAC0089499	STESAC0089499	STESAC0094520	STESAC0094520
STESAC0090664	STESAC0090664	STESAC0094532	STESAC0094532
STESAC0091232	STESAC0091232	STESAC0094544	STESAC0094544
STESAC0091238	STESAC0091238	STESAC0094568	STESAC0094568
STESAC0092002	STESAC0092002	STESAC0094748	STESAC0094748
STESAC0092044	STESAC0092044	STESAC0094950	STESAC0094950
STESAC0092166	STESAC0092166	STESAC0094962	STESAC0094962
STESAC0092255	STESAC0092255	STESAC0095040	STESAC0095040
STESAC0092817	STESAC0092817	STESAC0095428	STESAC0095428

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAC0095682	STESAC0095682	STESAC0102100	STESAC0102100
STESAC0095764	STESAC0095764	STESAC0102161	STESAC0102161
STESAC0095790	STESAC0095790	STESAC0102235	STESAC0102235
STESAC0095914	STESAC0095914	STESAC0102261	STESAC0102261
STESAC0095954	STESAC0095954	STESAC0102275	STESAC0102275
STESAC0096229	STESAC0096229	STESAC0102293	STESAC0102293
STESAC0096325	STESAC0096325	STESAC0103316	STESAC0103316
STESAC0096341	STESAC0096341	STESAC0103350	STESAC0103350
STESAC0096379	STESAC0096379	STESAC0103396	STESAC0103396
STESAC0096411	STESAC0096411	STESAC0103458	STESAC0103458
STESAC0096463	STESAC0096463	STESAC0104132	STESAC0104132
STESAC0096473	STESAC0096473	STESAC0104326	STESAC0104326
STESAC0096505	STESAC0096505	STESAC0104374	STESAC0104374
STESAC0096521	STESAC0096521	STESAC0104449	STESAC0104449
STESAC0096537	STESAC0096537	STESAC0107724	STESAC0107724
STESAC0096729	STESAC0096729	STESAC0107756	STESAC0107756
STESAC0097143	STESAC0097143	STESAC0107820	STESAC0107820
STESAC0097159	STESAC0097159	STESAC0107884	STESAC0107884
STESAC0097175	STESAC0097175	STESAC0107922	STESAC0107922
STESAC0097227	STESAC0097227	STESAC0107952	STESAC0107952
STESAC0097653	STESAC0097653	STESAC0107998	STESAC0107998
STESAC0097701	STESAC0097701	STESAC0108014	STESAC0108014
STESAC0098017	STESAC0098017	STESAC0108030	STESAC0108030
STESAC0098171	STESAC0098171	STESAC0108078	STESAC0108078
STESAC0098323	STESAC0098323	STESAC0108118	STESAC0108118
STESAC0098345	STESAC0098345	STESAC0108296	STESAC0108296
STESAC0098351	STESAC0098351	STESAC0108318	STESAC0108318
STESAC0098401	STESAC0098401	STESAC0108340	STESAC0108340
STESAC0099053	STESAC0099053	STESAC0108676	STESAC0108676
STESAC0099055	STESAC0099055	STESAC0108690	STESAC0108690
STESAC0099059	STESAC0099059	STESAC0108706	STESAC0108706
STESAC0100434	STESAC0100434	STESAC0109136	STESAC0109136
STESAC0100488	STESAC0100488	STESAC0109483	STESAC0109483
STESAC0100522	STESAC0100522	STESAC0109531	STESAC0109531
STESAC0100552	STESAC0100552	STESAC0109763	STESAC0109763

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAC0109815	STESAC0109815	STESAC0113409	STESAC0113409
STESAC0110121	STESAC0110121	STESAC0113423	STESAC0113423
STESAC0110329	STESAC0110329	STESAC0113451	STESAC0113451
STESAC0110473	STESAC0110473	STESAC0113521	STESAC0113521
STESAC0110491	STESAC0110491	STESAC0113591	STESAC0113591
STESAC0110747	STESAC0110747	STESAC0113605	STESAC0113605
STESAC0110797	STESAC0110797	STESAC0113693	STESAC0113693
STESAC0110903	STESAC0110903	STESAC0113917	STESAC0113917
STESAC0110969	STESAC0110969	STESAC0113931	STESAC0113931
STESAC0111129	STESAC0111129	STESAC0113945	STESAC0113945
STESAC0111408	STESAC0111408	STESAC0114457	STESAC0114457
STESAC0111514	STESAC0111514	STESAC0114471	STESAC0114471
STESAC0111544	STESAC0111544	STESAC0114485	STESAC0114485
STESAC0111568	STESAC0111568	STESAC0114587	STESAC0114587
STESAC0111696	STESAC0111696	STESAC0114738	STESAC0114738
STESAC0111824	STESAC0111824	STESAC0114944	STESAC0114944
STESAC0111830	STESAC0111830	STESAC0115102	STESAC0115102
STESAC0111838	STESAC0111838	STESAC0115144	STESAC0115144
STESAC0112018	STESAC0112018	STESAC0115188	STESAC0115188
STESAC0112092	STESAC0112092	STESAC0115304	STESAC0115304
STESAC0112100	STESAC0112100	STESAC0115346	STESAC0115346
STESAC0112174	STESAC0112174	STESAC0115444	STESAC0115444
STESAC0112198	STESAC0112198	STESAC0115646	STESAC0115646
STESAC0112214	STESAC0112214	STESAC0115664	STESAC0115664
STESAC0112298	STESAC0112298	STESAC0115762	STESAC0115762
STESAC0112566	STESAC0112566	STESAC0115836	STESAC0115836
STESAC0112878	STESAC0112878	STESAC0115984	STESAC0115984
STESAC0112944	STESAC0112944	STESAC0116254	STESAC0116254
STESAC0113020	STESAC0113020	STESAC0116268	STESAC0116268
STESAC0113145	STESAC0113145	STESAC0116753	STESAC0116753
STESAC0113259	STESAC0113259	STESAC0116765	STESAC0116765
STESAC0113273	STESAC0113273	STESAC0116801	STESAC0116801
STESAC0113289	STESAC0113289	STESAC0116899	STESAC0116899
STESAC0113335	STESAC0113335	STESAC0116939	STESAC0116939
STESAC0113349	STESAC0113349	STESAC0116949	STESAC0116949

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAC0116959	STESAC0116959	STESAC0127018	STESAC0127018
STESAC0117479	STESAC0117479	STESAC0127034	STESAC0127034
STESAC0117513	STESAC0117513	STESAC0127098	STESAC0127098
STESAC0117543	STESAC0117543	STESAC0127233	STESAC0127233
STESAC0117587	STESAC0117587	STESAC0127249	STESAC0127249
STESAC0117711	STESAC0117711	STESAC0127285	STESAC0127285
STESAC0118071	STESAC0118071	STESAC0127315	STESAC0127315
STESAC0118309	STESAC0118309	STESAC0127393	STESAC0127393
STESAC0118383	STESAC0118383	STESAC0127409	STESAC0127409
STESAC0118419	STESAC0118419	STESAC0127425	STESAC0127425
STESAC0118511	STESAC0118511	STESAC0127461	STESAC0127461
STESAC0118611	STESAC0118611	STESAC0127491	STESAC0127491
STESAC0118713	STESAC0118713	STESAC0127698	STESAC0127698
STESAC0118735	STESAC0118735	STESAC0127912	STESAC0127912
STESAC0118870	STESAC0118870	STESAC0128335	STESAC0128335
STESAC0118918	STESAC0118918	STESAC0128433	STESAC0128433
STESAC0119004	STESAC0119004	STESAC0128971	STESAC0128971
STESAC0119020	STESAC0119020	STESAC0129275	STESAC0129275
STESAC0119036	STESAC0119036	STESAC0129403	STESAC0129403
STESAC0119237	STESAC0119237	STESAC0129467	STESAC0129467
STESAC0119255	STESAC0119255	STESAC0129918	STESAC0129918
STESAC0119273	STESAC0119273	STESAC0130054	STESAC0130054
STESAC0119333	STESAC0119333	STESAC0130118	STESAC0130118
STESAC0119573	STESAC0119573	STESAC0130317	STESAC0130317
STESAC0120014	STESAC0120014	STESAC0130367	STESAC0130367
STESAC0120122	STESAC0120122	STESAC0130397	STESAC0130397
STESAC0120154	STESAC0120154	STESAC0130407	STESAC0130407
STESAC0123769	STESAC0123769	STESAC0130427	STESAC0130427
STESAC0123967	STESAC0123967	STESAC0130507	STESAC0130507
STESAC0125695	STESAC0125695	STESAC0130511	STESAC0130511
STESAC0126035	STESAC0126035	STESAC0130519	STESAC0130519
STESAC0126177	STESAC0126177	STESAC0130553	STESAC0130553
STESAC0126744	STESAC0126744	STESAC0130675	STESAC0130675
STESAC0126904	STESAC0126904	STESAC0130867	STESAC0130867
STESAC0126984	STESAC0126984	STESAC0130915	STESAC0130915

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAC0130987	STESAC0130987	STESAC0135802	STESAC0135802
STESAC0131035	STESAC0131035	STESAC0135844	STESAC0135844
STESAC0131071	STESAC0131071	STESAC0135898	STESAC0135898
STESAC0131310	STESAC0131310	STESAD0000040	STESAD0000040
STESAC0131482	STESAC0131482	STESAD0000046	STESAD0000046
STESAC0131554	STESAC0131554	STESAD0000112	STESAD0000112
STESAC0131584	STESAC0131584	STESAD0000262	STESAD0000262
STESAC0131832	STESAC0131832	STESAD0000316	STESAD0000316
STESAC0131876	STESAC0131876	STESAD0000390	STESAD0000390
STESAC0131894	STESAC0131894	STESAD0000418	STESAD0000418
STESAC0131995	STESAC0131995	STESAD0000446	STESAD0000446
STESAC0132007	STESAC0132007	STESAD0000486	STESAD0000486
STESAC0132135	STESAC0132135	STESAD0000632	STESAD0000632
STESAC0132149	STESAC0132149	STESAD0000646	STESAD0000646
STESAC0132191	STESAC0132191	STESAD0000702	STESAD0000702
STESAC0132591	STESAC0132591	STESAD0000912	STESAD0000912
STESAC0132973	STESAC0132973	STESAD0001354	STESAD0001354
STESAC0133101	STESAC0133101	STESAD0001450	STESAD0001450
STESAC0133127	STESAC0133127	STESAD0001498	STESAD0001498
STESAC0133177	STESAC0133177	STESAD0001526	STESAD0001526
STESAC0133191	STESAC0133191	STESAD0001624	STESAD0001624
STESAC0133219	STESAC0133219	STESAD0001666	STESAD0001666
STESAC0133878	STESAC0133878	STESAD0001708	STESAD0001708
STESAC0133988	STESAC0133988	STESAD0001956	STESAD0001956
STESAC0134042	STESAC0134042	STESAD0002190	STESAD0002190
STESAC0134438	STESAC0134438	STESAD0002204	STESAD0002204
STESAC0134518	STESAC0134518	STESAD0002570	STESAD0002570
STESAC0134562	STESAC0134562	STESAD0002638	STESAD0002638
STESAC0134772	STESAC0134772	STESAD0002894	STESAD0002894
STESAC0134944	STESAC0134944	STESAD0002994	STESAD0002994
STESAC0135192	STESAC0135192	STESAD0003093	STESAD0003093
STESAC0135290	STESAC0135290	STESAD0003301	STESAD0003301
STESAC0135346	STESAC0135346	STESAD0003337	STESAD0003337
STESAC0135430	STESAC0135430	STESAD0003391	STESAD0003391
STESAC0135722	STESAC0135722	STESAD0003463	STESAD0003463

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAD0003797	STESAD0003797	STESAD0008890	STESAD0008890
STESAD0004261	STESAD0004261	STESAD0009024	STESAD0009024
STESAD0004303	STESAD0004303	STESAD0009088	STESAD0009088
STESAD0004313	STESAD0004313	STESAD0009389	STESAD0009389
STESAD0004363	STESAD0004363	STESAD0009433	STESAD0009433
STESAD0004663	STESAD0004663	STESAD0009509	STESAD0009509
STESAD0004869	STESAD0004869	STESAD0009525	STESAD0009525
STESAD0005025	STESAD0005025	STESAD0009539	STESAD0009539
STESAD0005083	STESAD0005083	STESAD0009603	STESAD0009603
STESAD0005351	STESAD0005351	STESAD0009817	STESAD0009817
STESAD0005423	STESAD0005423	STESAD0010427	STESAD0010427
STESAD0005444	STESAD0005444	STESAD0010519	STESAD0010519
STESAD0005458	STESAD0005458	STESAD0010549	STESAD0010549
STESAD0005771	STESAD0005771	STESAD0010604	STESAD0010604
STESAD0005973	STESAD0005973	STESAD0010631	STESAD0010631
STESAD0006055	STESAD0006055	STESAD0014759	STESAD0014759
STESAD0006087	STESAD0006087	STESAD0015828	STESAD0015828
STESAD0006117	STESAD0006117	STESAD0015849	STESAD0015849
STESAD0006460	STESAD0006460	STESAD0015958	STESAD0015958
STESAD0006492	STESAD0006492	STESAD0016038	STESAD0016038
STESAD0006552	STESAD0006552	STESAD0017553	STESAD0017553
STESAD0006681	STESAD0006681	STESAD0017590	STESAD0017590
STESAD0006711	STESAD0006711	STESAD0017669	STESAD0017669
STESAD0006765	STESAD0006765	STESAD0017678	STESAD0017678
STESAD0006785	STESAD0006785	STESAD0017703	STESAD0017703
STESAD0007107	STESAD0007107	STESAD0017792	STESAD0017792
STESAD0007381	STESAD0007381	STESAD0017813	STESAD0017813
STESAD0007449	STESAD0007449	STESAD0019381	STESAD0019381
STESAD0007471	STESAD0007471	STESAD0019410	STESAD0019410
STESAD0007959	STESAD0007959	STESAD0019476	STESAD0019476
STESAD0008233	STESAD0008233	STESAD0019516	STESAD0019516
STESAD0008261	STESAD0008261	STESAD0019548	STESAD0019548
STESAD0008381	STESAD0008381	STESAD0022293	STESAD0022293
STESAD0008447	STESAD0008447	STESAD0022345	STESAD0022345
STESAD0008489	STESAD0008489	STESAD0022373	STESAD0022373

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAD0022435	STESAD0022435	STESAD0026729	STESAD0026729
STESAD0022505	STESAD0022505	STESAD0026813	STESAD0026813
STESAD0022519	STESAD0022519	STESAD0026885	STESAD0026885
STESAD0022611	STESAD0022611	STESAD0027017	STESAD0027017
STESAD0022967	STESAD0022967	STESAD0027019	STESAD0027019
STESAD0022973	STESAD0022973	STESAD0027045	STESAD0027045
STESAD0022987	STESAD0022987	STESAD0027235	STESAD0027235
STESAD0023598	STESAD0023598	STESAD0027345	STESAD0027345
STESAD0023659	STESAD0023659	STESAD0027411	STESAD0027411
STESAD0023817	STESAD0023817	STESAD0027445	STESAD0027445
STESAD0024250	STESAD0024250	STESAD0027457	STESAD0027457
STESAD0024506	STESAD0024506	STESAD0027720	STESAD0027720
STESAD0024742	STESAD0024742	STESAD0027808	STESAD0027808
STESAD0024872	STESAD0024872	STESAD0027834	STESAD0027834
STESAD0024930	STESAD0024930	STESAD0027854	STESAD0027854
STESAD0025207	STESAD0025207	STESAD0027898	STESAD0027898
STESAD0025251	STESAD0025251	STESAD0027926	STESAD0027926
STESAD0025315	STESAD0025315	STESAD0028016	STESAD0028016
STESAD0025339	STESAD0025339	STESAD0028152	STESAD0028152
STESAD0025375	STESAD0025375	STESAD0028382	STESAD0028382
STESAD0025751	STESAD0025751	STESAD0028392	STESAD0028392
STESAD0025761	STESAD0025761	STESAD0028402	STESAD0028402
STESAD0026147	STESAD0026147	STESAD0028454	STESAD0028454
STESAD0026159	STESAD0026159	STESAD0028592	STESAD0028592
STESAD0026171	STESAD0026171	STESAD0028768	STESAD0028768
STESAD0026261	STESAD0026261	STESAD0028788	STESAD0028788
STESAD0026297	STESAD0026297	STESAD0028818	STESAD0028818
STESAD0026333	STESAD0026333	STESAD0028904	STESAD0028904
STESAD0026357	STESAD0026357	STESAD0028964	STESAD0028964
STESAD0026407	STESAD0026407	STESAD0029182	STESAD0029182
STESAD0026419	STESAD0026419	STESAD0029370	STESAD0029370
STESAD0026479	STESAD0026479	STESAD0029396	STESAD0029396
STESAD0026557	STESAD0026557	STESAD0029472	STESAD0029472
STESAD0026641	STESAD0026641	STESAD0029566	STESAD0029566
STESAD0026701	STESAD0026701	STESAD0029618	STESAD0029618

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAD0029688	STESAD0029688	STESAD0031740	STESAD0031740
STESAD0029702	STESAD0029702	STESAD0031772	STESAD0031772
STESAD0029738	STESAD0029738	STESAD0031792	STESAD0031792
STESAD0029776	STESAD0029776	STESAD0031826	STESAD0031826
STESAD0029912	STESAD0029912	STESAD0031834	STESAD0031834
STESAD0029926	STESAD0029926	STESAD0031858	STESAD0031858
STESAD0029940	STESAD0029940	STESAD0031991	STESAD0031991
STESAD0030294	STESAD0030294	STESAD0032001	STESAD0032001
STESAD0030308	STESAD0030308	STESAD0032011	STESAD0032011
STESAD0030322	STESAD0030322	STESAD0032065	STESAD0032065
STESAD0030386	STESAD0030386	STESAD0032073	STESAD0032073
STESAD0030550	STESAD0030550	STESAD0032301	STESAD0032301
STESAD0030764	STESAD0030764	STESAD0032311	STESAD0032311
STESAD0030790	STESAD0030790	STESAD0032321	STESAD0032321
STESAD0030900	STESAD0030900	STESAD0032369	STESAD0032369
STESAD0030914	STESAD0030914	STESAD0032497	STESAD0032497
STESAD0030928	STESAD0030928	STESAD0032641	STESAD0032641
STESAD0030942	STESAD0030942	STESAD0032663	STESAD0032663
STESAD0031014	STESAD0031014	STESAD0032683	STESAD0032683
STESAD0031154	STESAD0031154	STESAD0032713	STESAD0032713
STESAD0031168	STESAD0031168	STESAD0032803	STESAD0032803
STESAD0031182	STESAD0031182	STESAD0033027	STESAD0033027
STESAD0031196	STESAD0031196	STESAD0033067	STESAD0033067
STESAD0031210	STESAD0031210	STESAD0033117	STESAD0033117
STESAD0031224	STESAD0031224	STESAD0033167	STESAD0033167
STESAD0031288	STESAD0031288	STESAD0033177	STESAD0033177
STESAD0031412	STESAD0031412	STESAD0033207	STESAD0033207
STESAD0031426	STESAD0031426	STESAD0033227	STESAD0033227
STESAD0031488	STESAD0031488	STESAD0033247	STESAD0033247
STESAD0031514	STESAD0031514	STESAD0033257	STESAD0033257
STESAD0031528	STESAD0031528	STESAD0033287	STESAD0033287
STESAD0031566	STESAD0031566	STESAD0033414	STESAD0033414
STESAD0031656	STESAD0031656	STESAD0033640	STESAD0033640
STESAD0031720	STESAD0031720	STESAD0033668	STESAD0033668
STESAD0031730	STESAD0031730	STESAD0033710	STESAD0033710



## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAD0033836	STESAD0033836	STESAD0036209	STESAD0036209
STESAD0033913	STESAD0033913	STESAD0036461	STESAD0036461
STESAD0033927	STESAD0033927	STESAD0036515	STESAD0036515
STESAD0034127	STESAD0034127	STESAD0036747	STESAD0036747
STESAD0034183	STESAD0034183	STESAD0036805	STESAD0036805
STESAD0034447	STESAD0034447	STESAD0036865	STESAD0036865
STESAD0034461	STESAD0034461	STESAD0036873	STESAD0036873
STESAD0034531	STESAD0034531	STESAD0037201	STESAD0037201
STESAD0034557	STESAD0034557	STESAD0037473	STESAD0037473
STESAD0034571	STESAD0034571	STESAD0037766	STESAD0037766
STESAD0034617	STESAD0034617	STESAD0037800	STESAD0037800
STESAD0034701	STESAD0034701	STESAD0037840	STESAD0037840
STESAD0034739	STESAD0034739	STESAD0037856	STESAD0037856
STESAD0034885	STESAD0034885	STESAD0037894	STESAD0037894
STESAD0034899	STESAD0034899	STESAD0037918	STESAD0037918
STESAD0034913	STESAD0034913	STESAD0037966	STESAD0037966
STESAD0035179	STESAD0035179	STESAD0037974	STESAD0037974
STESAD0035213	STESAD0035213	STESAD0037990	STESAD0037990
STESAD0035249	STESAD0035249	STESAD0038004	STESAD0038004
STESAD0035271	STESAD0035271	STESAD0038166	STESAD0038166
STESAD0035307	STESAD0035307	STESAD0038169	STESAD0038169
STESAD0035315	STESAD0035315	STESAD0038193	STESAD0038193
STESAD0035341	STESAD0035341	STESAD0038411	STESAD0038411
STESAD0035447	STESAD0035447	STESAD0038419	STESAD0038419
STESAD0035591	STESAD0035591	STESAD0038467	STESAD0038467
STESAD0035724	STESAD0035724	STESAD0038573	STESAD0038573
STESAD0035816	STESAD0035816	STESAD0038773	STESAD0038773
STESAD0035831	STESAD0035831	STESAD0038783	STESAD0038783
STESAD0035845	STESAD0035845	STESAD0038866	STESAD0038866
STESAD0035887	STESAD0035887	STESAD0039055	STESAD0039055
STESAD0035915	STESAD0035915	STESAD0039064	STESAD0039064
STESAD0035961	STESAD0035961	STESAD0039085	STESAD0039085
STESAD0035975	STESAD0035975	STESAD0039152	STESAD0039152
STESAD0036011	STESAD0036011	STESAD0039223	STESAD0039223
STESAD0036137	STESAD0036137	STESAD0039353	STESAD0039353

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAD0039449	STESAD0039449	STESAD0041528	STESAD0041528
STESAD0039491	STESAD0039491	STESAD0041535	STESAD0041535
STESAD0039589	STESAD0039589	STESAD0041539	STESAD0041539
STESAD0039617	STESAD0039617	STESAD0041576	STESAD0041576
STESAD0039631	STESAD0039631	STESAD0041611	STESAD0041611
STESAD0039659	STESAD0039659	STESAD0041630	STESAD0041630
STESAD0039747	STESAD0039747	STESAD0041654	STESAD0041654
STESAD0040351	STESAD0040351	STESAD0041661	STESAD0041661
STESAD0040365	STESAD0040365	STESAD0041781	STESAD0041781
STESAD0040379	STESAD0040379	STESAD0041788	STESAD0041788
STESAD0040449	STESAD0040449	STESAD0041794	STESAD0041794
STESAD0040637	STESAD0040637	STESAD0041798	STESAD0041798
STESAD0040823	STESAD0040823	STESAD0041971	STESAD0041971
STESAD0040825	STESAD0040825	STESAD0041977	STESAD0041977
STESAD0040843	STESAD0040843	STESAD0041987	STESAD0041987
STESAD0040848	STESAD0040848	STESAD0042018	STESAD0042018
STESAD0040895	STESAD0040895	STESAD0042100	STESAD0042100
STESAD0040920	STESAD0040920	STESAD0042182	STESAD0042182
STESAD0040927	STESAD0040927	STESAD0042212	STESAD0042212
STESAD0040942	STESAD0040942	STESAD0042223	STESAD0042223
STESAD0040972	STESAD0040972	STESAD0042228	STESAD0042228
STESAD0040977	STESAD0040977	STESAD0042248	STESAD0042248
STESAD0040987	STESAD0040987	STESAD0042268	STESAD0042268
STESAD0041034	STESAD0041034	STESAD0042298	STESAD0042298
STESAD0041094	STESAD0041094	STESAD0042308	STESAD0042308
STESAD0041107	STESAD0041107	STESAD0042356	STESAD0042356
STESAD0041117	STESAD0041117	STESAD0042366	STESAD0042366
STESAD0041254	STESAD0041254	STESAD0042372	STESAD0042372
STESAD0041259	STESAD0041259	STESAD0042408	STESAD0042408
STESAD0041264	STESAD0041264	STESAD0042418	STESAD0042418
STESAD0041289	STESAD0041289	STESAD0042444	STESAD0042444
STESAD0041366	STESAD0041366	STESAD0042474	STESAD0042474
STESAD0041448	STESAD0041448	STESAD0042516	STESAD0042516
STESAD0041476	STESAD0041476	STESAD0042538	STESAD0042538
STESAD0041501	STESAD0041501	STESAD0042568	STESAD0042568

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAD0043066	STESAD0043066	STESAD0044819	STESAD0044819
STESAD0043076	STESAD0043076	STESAD0044849	STESAD0044849
STESAD0043086	STESAD0043086	STESAD0044891	STESAD0044891
STESAD0043138	STESAD0043138	STESAD0045189	STESAD0045189
STESAD0043276	STESAD0043276	STESAD0045563	STESAD0045563
STESAD0043302	STESAD0043302	STESAD0045577	STESAD0045577
STESAD0043324	STESAD0043324	STESAD0045591	STESAD0045591
STESAD0043478	STESAD0043478	STESAD0045661	STESAD0045661
STESAD0043508	STESAD0043508	STESAD0045847	STESAD0045847
STESAD0043540	STESAD0043540	STESAD0045859	STESAD0045859
STESAD0043576	STESAD0043576	STESAD0046113	STESAD0046113
STESAD0043602	STESAD0043602	STESAD0046201	STESAD0046201
STESAD0043629	STESAD0043629	STESAD0046412	STESAD0046412
STESAD0043637	STESAD0043637	STESAD0046438	STESAD0046438
STESAD0043661	STESAD0043661	STESAD0046468	STESAD0046468
STESAD0043665	STESAD0043665	STESAD0046496	STESAD0046496
STESAD0043678	STESAD0043678	STESAD0046538	STESAD0046538
STESAD0043713	STESAD0043713	STESAD0046568	STESAD0046568
STESAD0043718	STESAD0043718	STESAD0046610	STESAD0046610
STESAD0043729	STESAD0043729	STESAD0046796	STESAD0046796
STESAD0043734	STESAD0043734	STESAD0046810	STESAD0046810
STESAD0043744	STESAD0043744	STESAD0046822	STESAD0046822
STESAD0043883	STESAD0043883	STESAD0046890	STESAD0046890
STESAD0044097	STESAD0044097	STESAD0047118	STESAD0047118
STESAD0044100	STESAD0044101	STESAD0047132	STESAD0047132
STESAD0044105	STESAD0044105	STESAD0047146	STESAD0047146
STESAD0044140	STESAD0044140	STESAD0047636	STESAD0047636
STESAD0044258	STESAD0044258	STESAD0047650	STESAD0047650
STESAD0044419	STESAD0044419	STESAD0047664	STESAD0047664
STESAD0044457	STESAD0044457	STESAD0047860	STESAD0047860
STESAD0044607	STESAD0044607	STESAD0047902	STESAD0047902
STESAD0044635	STESAD0044635	STESAD0048054	STESAD0048054
STESAD0044679	STESAD0044679	STESAD0048108	STESAD0048108
STESAD0044693	STESAD0044693	STESAD0048120	STESAD0048120
STESAD0044805	STESAD0044805	STESAD0048338	STESAD0048338

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAD0048368	STESAD0048368	STESAD0051740	STESAD0051740
STESAD0048544	STESAD0048544	STESAD0051754	STESAD0051754
STESAD0048706	STESAD0048706	STESAD0051780	STESAD0051780
STESAD0049070	STESAD0049070	STESAD0051844	STESAD0051844
STESAD0049147	STESAD0049147	STESAD0051874	STESAD0051874
STESAD0049235	STESAD0049235	STESAD0051922	STESAD0051922
STESAD0049247	STESAD0049247	STESAD0051934	STESAD0051934
STESAD0049259	STESAD0049259	STESAD0051948	STESAD0051948
STESAD0049281	STESAD0049281	STESAD0051962	STESAD0051962
STESAD0049301	STESAD0049301	STESAD0052046	STESAD0052046
STESAD0049337	STESAD0049337	STESAD0052116	STESAD0052116
STESAD0049417	STESAD0049417	STESAD0052130	STESAD0052130
STESAD0049429	STESAD0049429	STESAD0052144	STESAD0052144
STESAD0049439	STESAD0049439	STESAD0052220	STESAD0052220
STESAD0049461	STESAD0049461	STESAD0052444	STESAD0052444
STESAD0049575	STESAD0049575	STESAD0052458	STESAD0052458
STESAD0049587	STESAD0049587	STESAD0052472	STESAD0052472
STESAD0049827	STESAD0049827	STESAD0053000	STESAD0053000
STESAD0049837	STESAD0049837	STESAD0053014	STESAD0053014
STESAD0049847	STESAD0049847	STESAD0053028	STESAD0053028
STESAD0050209	STESAD0050209	STESAD0053168	STESAD0053168
STESAD0050479	STESAD0050479	STESAD0053208	STESAD0053208
STESAD0050525	STESAD0050525	STESAD0053256	STESAD0053256
STESAD0050631	STESAD0050631	STESAD0053432	STESAD0053432
STESAD0050665	STESAD0050665	STESAD0053494	STESAD0053494
STESAD0050723	STESAD0050723	STESAD0053562	STESAD0053562
STESAD0050899	STESAD0050899	STESAD0053674	STESAD0053674
STESAD0050909	STESAD0050909	STESAD0053732	STESAD0053732
STESAD0050911	STESAD0050911	STESAD0053850	STESAD0053850
STESAD0050923	STESAD0050923	STESAD0053920	STESAD0053920
STESAD0051073	STESAD0051073	STESAD0053952	STESAD0053952
STESAD0051331	STESAD0051331	STESAD0054747	STESAD0054747
STESAD0051353	STESAD0051353	STESAD0054763	STESAD0054763
STESAD0051531	STESAD0051531	STESAD0054783	STESAD0054783
STESAD0051724	STESAD0051724	STESAD0054819	STESAD0054819

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAD0054891	STESAD0054891	STESAD0063647	STESAD0063647
STESAD0054963	STESAD0054963	STESAD0063665	STESAD0063665
STESAD0054983	STESAD0054983	STESAD0063699	STESAD0063699
STESAD0055001	STESAD0055001	STESAD0063709	STESAD0063709
STESAD0055031	STESAD0055031	STESAD0063733	STESAD0063733
STESAD0055223	STESAD0055223	STESAD0063733	STESAD0063733
STESAD0055559	STESAD0055559	STESAD0063743	STESAD0063743
STESAD0055575	STESAD0055575	STESAD0063753	STESAD0063753
STESAD0055591	STESAD0055591	STESAD0063763	STESAD0063763
STESAD0055649	STESAD0055649	STESAD0063853	STESAD0063853
STESAD0056386	STESAD0056386	STESAD0063861	STESAD0063861
STESAD0056428	STESAD0056428	STESAD0063871	STESAD0063871
STESAD0056598	STESAD0056598	STESAD0063913	STESAD0063913
STESAD0056618	STESAD0056618	STESAD0064061	STESAD0064061
STESAD0056622	STESAD0056622	STESAD0064069	STESAD0064069
STESAD0057126	STESAD0057126	STESAD0064079	STESAD0064079
STESAD0057200	STESAD0057200	STESAD0064523	STESAD0064523
STESAD0057416	STESAD0057416	STESAD0064547	STESAD0064547
STESAD0057548	STESAD0057548	STESAD0064647	STESAD0064647
STESAD0057570	STESAD0057570	STESAD0064677	STESAD0064677
STESAD0057845	STESAD0057845	STESAD0064841	STESAD0064841
STESAD0057871	STESAD0057871	STESAD0064971	STESAD0064971
STESAD0062792	STESAD0062792	STESAD0065295	STESAD0065295
STESAD0062850	STESAD0062850	STESAD0065331	STESAD0065331
STESAD0062870	STESAD0062870	STESAD0065411	STESAD0065411
STESAD0062904	STESAD0062904	STESAD0067602	STESAD0067602
STESAD0062946	STESAD0062946	STESAD0067650	STESAD0067650
STESAD0062992	STESAD0062992	STESAD0067696	STESAD0067696
STESAD0063016	STESAD0063016	STESAD0067708	STESAD0067708
STESAD0063176	STESAD0063176	STESAD0067732	STESAD0067732
STESAD0063190	STESAD0063190	STESAD0067750	STESAD0067750
STESAD0063204	STESAD0063204	STESAD0067766	STESAD0067766
STESAD0063260	STESAD0063260	STESAD0067812	STESAD0067812
STESAD0063470	STESAD0063470	STESAD0067824	STESAD0067824
STESAD0063637	STESAD0063637	STESAD0067830	STESAD0067830

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAD0067842	STESAD0067842	STESAD0070593	STESAD0070593
STESAD0068250	STESAD0068250	STESAD0070665	STESAD0070665
STESAD0068524	STESAD0068524	STESAD0070679	STESAD0070679
STESAD0068588	STESAD0068588	STESAD0070695	STESAD0070695
STESAD0068600	STESAD0068600	STESAD0070739	STESAD0070739
STESAD0068698	STESAD0068698	STESAD0070993	STESAD0070993
STESAD0068760	STESAD0068760	STESAD0071479	STESAD0071479
STESAD0068784	STESAD0068784	STESAD0071593	STESAD0071593
STESAD0068904	STESAD0068904	STESAD0071663	STESAD0071663
STESAD0068940	STESAD0068940	STESAD0071695	STESAD0071695
STESAD0069066	STESAD0069066	STESAD0071761	STESAD0071761
STESAD0069124	STESAD0069124	STESAD0071777	STESAD0071777
STESAD0069136	STESAD0069136	STESAD0071852	STESAD0071852
STESAD0069148	STESAD0069148	STESAD0072184	STESAD0072184
STESAD0069172	STESAD0069172	STESAD0072278	STESAD0072278
STESAD0069292	STESAD0069292	STESAD0072328	STESAD0072328
STESAD0069306	STESAD0069306	STESAD0072694	STESAD0072694
STESAD0069318	STESAD0069318	STESAD0072726	STESAD0072726
STESAD0069456	STESAD0069456	STESAD0072828	STESAD0072828
STESAD0069750	STESAD0069750	STESAD0072888	STESAD0072888
STESAD0069812	STESAD0069812	STESAD0073474	STESAD0073474
STESAD0069830	STESAD0069830	STESAD0075475	STESAD0075475
STESAD0069842	STESAD0069842	STESAD0075567	STESAD0075567
STESAD0069848	STESAD0069848	STESAD0075579	STESAD0075579
STESAD0069904	STESAD0069904	STESAD0075599	STESAD0075599
STESAD0069940	STESAD0069940	STESAD0075659	STESAD0075659
STESAD0070187	STESAD0070187	STESAD0075671	STESAD0075671
STESAD0070231	STESAD0070231	STESAD0075703	STESAD0075703
STESAD0070245	STESAD0070245	STESAD0075715	STESAD0075715
STESAD0070287	STESAD0070287	STESAD0075727	STESAD0075727
STESAD0070317	STESAD0070317	STESAD0075751	STESAD0075751
STESAD0070359	STESAD0070359	STESAD0076155	STESAD0076155
STESAD0070403	STESAD0070403	STESAD0076167	STESAD0076167
STESAD0070473	STESAD0070473	STESAD0076179	STESAD0076179
STESAD0070517	STESAD0070517	STESAD0076223	STESAD0076223

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAD0076527	STESAD0076527	STESAD0079028	STESAD0079028
STESAD0076837	STESAD0076837	STESAD0079062	STESAD0079062
STESAD0076993	STESAD0076993	STESAD0079126	STESAD0079126
STESAD0077257	STESAD0077257	STESAD0079244	STESAD0079244
STESAD0077315	STESAD0077315	STESAD0079362	STESAD0079362
STESAD0077399	STESAD0077399	STESAD0079472	STESAD0079472
STESAD0077455	STESAD0077455	STESAD0079494	STESAD0079494
STESAD0077583	STESAD0077583	STESAD0079574	STESAD0079574
STESAD0077819	STESAD0077819	STESAD0079680	STESAD0079680
STESAD0077870	STESAD0077870	STESAD0084102	STESAD0084102
STESAD0077932	STESAD0077932	STESAD0084222	STESAD0084222
STESAD0077950	STESAD0077950	STESAD0084312	STESAD0084312
STESAD0078006	STESAD0078006	STESAD0084400	STESAD0084400
STESAD0078034	STESAD0078034	STESAD0084436	STESAD0084436
STESAD0078062	STESAD0078062	STESAD0084734	STESAD0084734
STESAD0078090	STESAD0078090	STESAD0084802	STESAD0084802
STESAD0078172	STESAD0078172	STESAD0084838	STESAD0084838
STESAD0078180	STESAD0078180	STESAD0084884	STESAD0084884
STESAD0078186	STESAD0078186	STESAD0085091	STESAD0085091
STESAD0078188	STESAD0078188	STESAD0085181	STESAD0085181
STESAD0078232	STESAD0078232	STESAD0085560	STESAD0085560
STESAD0078376	STESAD0078376	STESAD0085929	STESAD0085929
STESAD0078384	STESAD0078384	STESAD0086100	STESAD0086100
STESAD0078392	STESAD0078392	STESAD0086531	STESAD0086531
STESAD0078604	STESAD0078604	STESAD0086835	STESAD0086835
STESAD0078662	STESAD0078662	STESAD0086963	STESAD0086963
STESAD0078706	STESAD0078706	STESAD0087021	STESAD0087021
STESAD0078714	STESAD0078714	STESAD0087085	STESAD0087085
STESAD0078722	STESAD0078722	STESAD0087484	STESAD0087484
STESAD0078796	STESAD0078796	STESAD0087600	STESAD0087600
STESAD0078820	STESAD0078820	STESAD0087674	STESAD0087674
STESAD0078828	STESAD0078828	STESAD0087706	STESAD0087706
STESAD0078844	STESAD0078844	STESAD0087911	STESAD0087911
STESAD0078852	STESAD0078852	STESAD0092995	STESAD0092995
STESAD0078892	STESAD0078892	STESAD0093314	STESAD0093314

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAD0093382	STESAD0093382	STESAD0101499	STESAD0101499
STESAD0093518	STESAD0093518	STESAD0101816	STESAD0101816
STESAD0093616	STESAD0093616	STESAD0102050	STESAD0102050
STESAD0093656	STESAD0093656	STESAD0102146	STESAD0102146
STESAD0093686	STESAD0093686	STESAD0102194	STESAD0102194
STESAD0093906	STESAD0093906	STESAD0102242	STESAD0102242
STESAD0093975	STESAD0093975	STESAD0102558	STESAD0102558
STESAD0094066	STESAD0094066	STESAD0102581	STESAD0102581
STESAD0094106	STESAD0094106	STESAD0102646	STESAD0102646
STESAD0094461	STESAD0094461	STESAD0102696	STESAD0102696
STESAD0094559	STESAD0094559	STESAD0102879	STESAD0102879
STESAD0094583	STESAD0094583	STESAD0102984	STESAD0102984
STESAD0094970	STESAD0094970	STESAD0103082	STESAD0103082
STESAD0095337	STESAD0095337	STESAD0103096	STESAD0103096
STESAD0095729	STESAD0095729	STESAD0103110	STESAD0103110
STESAD0095881	STESAD0095881	STESAD0103134	STESAD0103134
STESAD0095989	STESAD0095989	STESAD0103509	STESAD0103509
STESAD0096101	STESAD0096101	STESAD0103913	STESAD0103913
STESAD0096161	STESAD0096161	STESAD0104064	STESAD0104064
STESAD0096477	STESAD0096477	STESAD0104220	STESAD0104220
STESAD0096562	STESAD0096562	STESAD0104252	STESAD0104252
STESAD0096694	STESAD0096694	STESAD0104531	STESAD0104531
STESAD0096752	STESAD0096752	STESAD0104771	STESAD0104771
STESAD0097018	STESAD0097018	STESAD0105304	STESAD0105304
STESAD0100106	STESAD0100106	STESAD0105336	STESAD0105336
STESAD0100148	STESAD0100148	STESAD0105740	STESAD0105740
STESAD0100222	STESAD0100222	STESAD0105792	STESAD0105792
STESAD0100236	STESAD0100236	STESAD0106042	STESAD0106042
STESAD0100250	STESAD0100250	STESAD0106126	STESAD0106126
STESAD0100503	STESAD0100503	STESAD0106178	STESAD0106178
STESAD0100713	STESAD0100713	STESAD0106202	STESAD0106202
STESAD0101170	STESAD0101170	STESAD0106535	STESAD0106535
STESAD0101346	STESAD0101346	STESAD0106611	STESAD0106611
STESAD0101415	STESAD0101415	STESAD0106639	STESAD0106639
STESAD0101429	STESAD0101429	STESAD0106691	STESAD0106691



## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAD0106985	STESAD0106985	STESAF0000072	STESAF0000072
STESAD0107021	STESAD0107021	STESAF0000078	STESAF0000078
STESAD0107081	STESAD0107081	STESAF0000098	STESAF0000098
STESAD0107107	STESAD0107107	STESAF0000159	STESAF0000159
STESAD0107286	STESAD0107286	STESAF0000165	STESAF0000165
STESAD0107508	STESAD0107508	STESAF0000167	STESAF0000167
STESAD0107831	STESAD0107831	STESAF0000183	STESAF0000183
STESAD0107913	STESAD0107913	STESAF0000249	STESAF0000249
STESAD0107937	STESAD0107937	STESAF0000355	STESAF0000355
STESAD0108000	STESAD0108000	STESAF0000381	STESAF0000381
STESAD0108018	STESAD0108018	STESAF0000393	STESAF0000393
STESAD0108414	STESAD0108414	STESAF0000441	STESAF0000441
STESAD0108510	STESAD0108510	STESAF0000657	STESAF0000657
STESAD0108564	STESAD0108564	STESAF0000719	STESAF0000719
STESAD0108658	STESAD0108658	STESAF0000926	STESAF0000926
STESAD0108758	STESAD0108758	STESAF0001108	STESAF0001108
STESAD0108804	STESAD0108804	STESAF0001134	STESAF0001134
STESAD0109152	STESAD0109152	STESAF0001190	STESAF0001190
STESAD0109240	STESAD0109240	STESAF0001194	STESAF0001194
STESAD0109288	STESAD0109288	STESAF0001200	STESAF0001200
STESAD0109346	STESAD0109346	STESAF0001339	STESAF0001339
STESAD0109450	STESAD0109450	STESAF0001461	STESAF0001461
STESAD0109568	STESAD0109568	STESAF0001471	STESAF0001471
STESAD0109662	STESAD0109662	STESAF0001512	STESAF0001512
STESAD0109688	STESAD0109688	STESAF0001568	STESAF0001568
STESAD0109724	STESAD0109724	STESAF0001697	STESAF0001697
STESAD0109843	STESAD0109843	STESAF0001747	STESAF0001747
STESAD0110099	STESAD0110099	STESAF0001872	STESAF0001872
STESAD0110550	STESAD0110550	STESAF0001898	STESAF0001898
STESAD0110707	STESAD0110707	STESAF0001902	STESAF0001902
STESAD0111512	STESAD0111512	STESAF0001924	STESAF0001924
STESAD0112269	STESAD0112269	STESAF0001950	STESAF0001950
STESAF0000020	STESAF0000020	STESAF0001998	STESAF0001998
STESAF0000030	STESAF0000030	STESAF0002056	STESAF0002056
STESAF0000066	STESAF0000066	STESAF0002111	STESAF0002111

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAF0002121	STESAF0002121	STESAF0005216	STESAF0005216
STESAF0002151	STESAF0002151	STESAF0005619	STESAF0005619
STESAF0002163	STESAF0002163	STESAF0005683	STESAF0005683
STESAF0002173	STESAF0002173	STESAF0005733	STESAF0005733
STESAF0002248	STESAF0002248	STESAF0006035	STESAF0006035
STESAF0002254	STESAF0002254	STESAF0006085	STESAF0006085
STESAF0002260	STESAF0002260	STESAF0006121	STESAF0006121
STESAF0002344	STESAF0002344	STESAF0006391	STESAF0006391
STESAF0002449	STESAF0002449	STESAF0006415	STESAF0006415
STESAF0002477	STESAF0002477	STESAF0006806	STESAF0006806
STESAF0002487	STESAF0002487	STESAF0007167	STESAF0007167
STESAF0002535	STESAF0002535	STESAF0007191	STESAF0007191
STESAF0002655	STESAF0002655	STESAF0007321	STESAF0007321
STESAF0002733	STESAF0002733	STESAF0007627	STESAF0007627
STESAF0002771	STESAF0002771	STESAF0007837	STESAF0007837
STESAF0002801	STESAF0002801	STESAF0007933	STESAF0007933
STESAF0002944	STESAF0002944	STESAF0007987	STESAF0007987
STESAF0002978	STESAF0002978	STESAF0008340	STESAF0008340
STESAF0003008	STESAF0003008	STESAF0008406	STESAF0008406
STESAF0003042	STESAF0003042	STESAF0008460	STESAF0008460
STESAF0003072	STESAF0003072	STESAF0008740	STESAF0008740
STESAF0003132	STESAF0003132	STESAF0008794	STESAF0008794
STESAF0003162	STESAF0003162	STESAF0008971	STESAF0008971
STESAF0003248	STESAF0003248	STESAF0009051	STESAF0009051
STESAF0003416	STESAF0003416	STESAF0009067	STESAF0009067
STESAF0003444	STESAF0003444	STESAF0009164	STESAF0009164
STESAF0003685	STESAF0003685	STESAF0009320	STESAF0009320
STESAF0003881	STESAF0003881	STESAF0009517	STESAF0009517
STESAF0004222	STESAF0004222	STESAF0009565	STESAF0009565
STESAF0004298	STESAF0004298	STESAF0009581	STESAF0009581
STESAF0004471	STESAF0004471	STESAF0009671	STESAF0009671
STESAF0004700	STESAF0004700	STESAF0009867	STESAF0009867
STESAF0004820	STESAF0004820	STESAF0010001	STESAF0010001
STESAF0005054	STESAF0005054	STESAF0010065	STESAF0010065
STESAF0005166	STESAF0005166	STESAF0010093	STESAF0010093

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAF0010322	STESAF0010322	STESAF0015046	STESAF0015046
STESAF0010358	STESAF0010358	STESAF0015411	STESAF0015411
STESAF0010386	STESAF0010386	STESAF0015704	STESAF0015704
STESAF0010420	STESAF0010420	STESAF0015772	STESAF0015772
STESAF0010558	STESAF0010558	STESAF0015912	STESAF0015912
STESAF0010586	STESAF0010586	STESAF0016056	STESAF0016056
STESAF0010634	STESAF0010634	STESAF0016218	STESAF0016218
STESAF0010636	STESAF0010636	STESAF0016426	STESAF0016426
STESAF0010638	STESAF0010638	STESAF0016522	STESAF0016522
STESAF0010686	STESAF0010686	STESAF0016574	STESAF0016574
STESAF0010758	STESAF0010758	STESAF0016925	STESAF0016925
STESAF0010770	STESAF0010770	STESAF0016953	STESAF0016953
STESAF0010857	STESAF0010857	STESAF0016989	STESAF0016989
STESAF0010891	STESAF0010891	STESAF0017041	STESAF0017041
STESAF0010975	STESAF0010975	STESAF0017319	STESAF0017319
STESAF0011124	STESAF0011124	STESAF0017371	STESAF0017371
STESAF0011162	STESAF0011162	STESAF0017411	STESAF0017411
STESAF0011236	STESAF0011236	STESAF0017495	STESAF0017495
STESAF0011321	STESAF0011321	STESAF0017609	STESAF0017609
STESAF0011334	STESAF0011334	STESAF0017617	STESAF0017617
STESAF0011394	STESAF0011394	STESAF0017673	STESAF0017673
STESAF0011504	STESAF0011504	STESAF0017687	STESAF0017687
STESAF0011528	STESAF0011528	STESAF0017780	STESAF0017780
STESAF0011554	STESAF0011554	STESAF0017816	STESAF0017816
STESAF0011586	STESAF0011586	STESAF0017914	STESAF0017914
STESAF0011600	STESAF0011600	STESAF0018075	STESAF0018075
STESAF0014304	STESAF0014304	STESAF0018115	STESAF0018115
STESAF0014558	STESAF0014558	STESAF0018129	STESAF0018129
STESAF0014574	STESAF0014574	STESAF0018197	STESAF0018197
STESAF0014620	STESAF0014620	STESAF0018357	STESAF0018357
STESAF0014872	STESAF0014872	STESAF0018465	STESAF0018465
STESAF0014884	STESAF0014884	STESAF0018517	STESAF0018517
STESAF0014898	STESAF0014898	STESAF0018541	STESAF0018541
STESAF0014994	STESAF0014994	STESAF0018541	STESAF0018541
STESAF0015018	STESAF0015018	STESAF0018726	STESAF0018726

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAF0018758	STESAF0018758	STESAF0030536	STESAF0030536
STESAF0018784	STESAF0018784	STESAF0030568	STESAF0030568
STESAF0018816	STESAF0018816	STESAF0030618	STESAF0030618
STESAF0018928	STESAF0018928	STESAF0030754	STESAF0030754
STESAF0018952	STESAF0018952	STESAF0030842	STESAF0030842
STESAF0019002	STESAF0019002	STESAF0030870	STESAF0030870
STESAF0019010	STESAF0019010	STESAF0031121	STESAF0031121
STESAF0019018	STESAF0019018	STESAF0031187	STESAF0031187
STESAF0019262	STESAF0019262	STESAF0031207	STESAF0031207
STESAF0019276	STESAF0019276	STESAF0031259	STESAF0031259
STESAF0019294	STESAF0019294	STESAF0031311	STESAF0031311
STESAF0019346	STESAF0019346	STESAF0031419	STESAF0031419
STESAF0019741	STESAF0019741	STESAF0031427	STESAF0031427
STESAF0020077	STESAF0020077	STESAF0031542	STESAF0031542
STESAF0020135	STESAF0020135	STESAF0031558	STESAF0031558
STESAF0020313	STESAF0020313	STESAF0031564	STESAF0031564
STESAF0027826	STESAF0027826	STESAF0031570	STESAF0031570
STESAF0028183	STESAF0028183	STESAF0031596	STESAF0031596
STESAF0028197	STESAF0028197	STESAF0031657	STESAF0031657
STESAF0028289	STESAF0028289	STESAF0031663	STESAF0031663
STESAF0028603	STESAF0028603	STESAF0031769	STESAF0031769
STESAF0028869	STESAF0028869	STESAF0031976	STESAF0031976
STESAF0028990	STESAF0028990	STESAF0031988	STESAF0031988
STESAF0029020	STESAF0029020	STESAF0032063	STESAF0032063
STESAF0029086	STESAF0029086	STESAF0032194	STESAF0032194
STESAF0029156	STESAF0029156	STESAF0032232	STESAF0032232
STESAF0029268	STESAF0029268	STESAF0032280	STESAF0032280
STESAF0029488	STESAF0029488	STESAF0032346	STESAF0032346
STESAF0029544	STESAF0029544	STESAF0032394	STESAF0032394
STESAF0029725	STESAF0029725	STESAF0032426	STESAF0032426
STESAF0029763	STESAF0029763	STESAF0032671	STESAF0032671
STESAF0030022	STESAF0030022	STESAF0036069	STESAF0036069
STESAF0030285	STESAF0030285	STESAF0037476	STESAF0037476
STESAF0030303	STESAF0030303	STESAF0037506	STESAF0037506
STESAF0030401	STESAF0030401	STESAF0037555	STESAF0037555

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAF0037676	STESAF0037676	STESAF0050020	STESAF0050020
STESAF0038740	STESAF0038740	STESAF0050581	STESAF0050581
STESAF0038887	STESAF0038887	STESAF0050599	STESAF0050599
STESAF0038947	STESAF0038947	STESAF0050806	STESAF0050806
STESAF0039116	STESAF0039116	STESAF0050854	STESAF0050854
STESAF0039242	STESAF0039242	STESAF0051104	STESAF0051104
STESAF0039456	STESAF0039456	STESAF0051689	STESAF0051689
STESAF0039552	STESAF0039552	STESAF0051867	STESAF0051867
STESAF0039604	STESAF0039604	STESAF0052321	STESAF0052321
STESAF0039957	STESAF0039957	STESAF0052527	STESAF0052527
STESAF0040045	STESAF0040045	STESAF0052547	STESAF0052547
STESAF0040097	STESAF0040097	STESAF0053147	STESAF0053147
STESAF0040133	STESAF0040133	STESAF0053347	STESAF0053347
STESAF0040293	STESAF0040293	STESAF0053785	STESAF0053785
STESAF0040399	STESAF0040399	STESAF0053865	STESAF0053865
STESAF0040451	STESAF0040451	STESAF0054186	STESAF0054186
STESAF0040557	STESAF0040557	STESAF0054258	STESAF0054258
STESAF0040602	STESAF0040602	STESAF0054292	STESAF0054292
STESAF0040638	STESAF0040638	STESAF0054805	STESAF0054805
STESAF0040941	STESAF0040941	STESAF0054833	STESAF0054833
STESAF0041167	STESAF0041167	STESAF0054877	STESAF0054877
STESAF0041263	STESAF0041263	STESAF0055010	STESAF0055010
STESAF0041329	STESAF0041329	STESAF0055270	STESAF0055270
STESAF0041680	STESAF0041680	STESAF0055619	STESAF0055619
STESAF0044553	STESAF0044553	STESAF0055725	STESAF0055725
STESAF0046080	STESAF0046080	STESAF0058541	STESAF0058541
STESAF0046462	STESAF0046462	STESAF0061005	STESAF0061005
STESAF0046712	STESAF0046712	STESAF0061148	STESAF0061148
STESAF0047224	STESAF0047224	STESAF0061424	STESAF0061424
STESAF0047308	STESAF0047308	STESAF0061596	STESAF0061596
STESAF0047450	STESAF0047450	STESAF0061604	STESAF0061604
STESAF0047514	STESAF0047514	STESAF0061612	STESAF0061612
STESAF0047754	STESAF0047754	STESAF0061660	STESAF0061660
STESAF0048111	STESAF0048111	STESAF0061790	STESAF0061790
STESAF0048499	STESAF0048499	STESAF0061950	STESAF0061950

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAF0061974	STESAF0061974	STESAF0062897	STESAF0062897
STESAF0062022	STESAF0062022	STESAF0062923	STESAF0062923
STESAF0062030	STESAF0062030	STESAF0063101	STESAF0063101
STESAF0062038	STESAF0062038	STESAF0063111	STESAF0063111
STESAF0062178	STESAF0062178	STESAF0063121	STESAF0063121
STESAF0062186	STESAF0062186	STESAF0063363	STESAF0063363
STESAF0062194	STESAF0062194	STESAF0063375	STESAF0063375
STESAF0062202	STESAF0062202	STESAF0063385	STESAF0063385
STESAF0062210	STESAF0062210	STESAF0063439	STESAF0063439
STESAF0062218	STESAF0062218	STESAF0063719	STESAF0063719
STESAF0062226	STESAF0062226	STESAF0063781	STESAF0063781
STESAF0062254	STESAF0062254	STESAF0063937	STESAF0063937
STESAF0062290	STESAF0062290	STESAF0063997	STESAF0063997
STESAF0062386	STESAF0062386	STESAF0064003	STESAF0064003
STESAF0062402	STESAF0062402	STESAF0064111	STESAF0064111
STESAF0062408	STESAF0062408	STESAF0064207	STESAF0064207
STESAF0062436	STESAF0062436	STESAF0064233	STESAF0064233
STESAF0062510	STESAF0062510	STESAF0064659	STESAF0064659
STESAF0062518	STESAF0062518	STESAF0064665	STESAF0064665
STESAF0062526	STESAF0062526	STESAF0064727	STESAF0064727
STESAF0062650	STESAF0062650	STESAF0064813	STESAF0064813
STESAF0062656	STESAF0062656	STESAF0064825	STESAF0064825
STESAF0062662	STESAF0062662	STESAF0064861	STESAF0064861
STESAF0062668	STESAF0062668	STESAF0064891	STESAF0064891
STESAF0062674	STESAF0062674	STESAF0064949	STESAF0064949
STESAF0062680	STESAF0062680	STESAF0064961	STESAF0064961
STESAF0062686	STESAF0062686	STESAF0064995	STESAF0064995
STESAF0062692	STESAF0062692	STESAF0065009	STESAF0065009
STESAF0062698	STESAF0062698	STESAF0065023	STESAF0065023
STESAF0062704	STESAF0062704	STESAF0065037	STESAF0065037
STESAF0062710	STESAF0062710	STESAF0065169	STESAF0065169
STESAF0062716	STESAF0062716	STESAF0065181	STESAF0065181
STESAF0062767	STESAF0062767	STESAF0065193	STESAF0065193
STESAF0062855	STESAF0062855	STESAF0065249	STESAF0065249
STESAF0062875	STESAF0062875	STESAF0065493	STESAF0065493

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAF0065511	STESAF0065511	STESAF0068090	STESAF0068090
STESAF0065523	STESAF0065523	STESAF0068158	STESAF0068158
STESAF0065589	STESAF0065589	STESAF0068350	STESAF0068350
STESAF0065769	STESAF0065769	STESAF0068522	STESAF0068522
STESAF0065981	STESAF0065981	STESAF0068564	STESAF0068564
STESAF0066017	STESAF0066017	STESAF0068604	STESAF0068604
STESAF0066083	STESAF0066083	STESAF0068680	STESAF0068680
STESAF0066095	STESAF0066095	STESAF0068694	STESAF0068694
STESAF0066107	STESAF0066107	STESAF0068708	STESAF0068708
STESAF0066299	STESAF0066299	STESAF0068778	STESAF0068778
STESAF0066403	STESAF0066403	STESAF0068934	STESAF0068934
STESAF0066431	STESAF0066431	STESAF0068996	STESAF0068996
STESAF0066565	STESAF0066565	STESAF0069026	STESAF0069026
STESAF0066595	STESAF0066595	STESAF0069156	STESAF0069156
STESAF0066601	STESAF0066601	STESAF0069184	STESAF0069184
STESAF0066645	STESAF0066645	STESAF0069338	STESAF0069338
STESAF0066777	STESAF0066777	STESAF0069352	STESAF0069352
STESAF0066789	STESAF0066789	STESAF0069366	STESAF0069366
STESAF0066963	STESAF0066963	STESAF0069380	STESAF0069380
STESAF0067155	STESAF0067155	STESAF0069909	STESAF0069909
STESAF0067226	STESAF0067226	STESAF0070009	STESAF0070009
STESAF0067318	STESAF0067318	STESAF0070023	STESAF0070023
STESAF0067332	STESAF0067332	STESAF0070037	STESAF0070037
STESAF0067346	STESAF0067346	STESAF0070063	STESAF0070063
STESAF0067370	STESAF0067370	STESAF0070093	STESAF0070093
STESAF0067398	STESAF0067398	STESAF0070199	STESAF0070199
STESAF0067454	STESAF0067454	STESAF0070399	STESAF0070399
STESAF0067468	STESAF0067468	STESAF0070413	STESAF0070413
STESAF0067504	STESAF0067504	STESAF0070427	STESAF0070427
STESAF0067518	STESAF0067518	STESAF0070823	STESAF0070823
STESAF0067532	STESAF0067532	STESAF0070837	STESAF0070837
STESAF0067630	STESAF0067630	STESAF0071131	STESAF0071131
STESAF0067714	STESAF0067714	STESAF0071377	STESAF0071377
STESAF0068062	STESAF0068062	STESAF0071403	STESAF0071403
STESAF0068076	STESAF0068076	STESAF0072157	STESAF0072157

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

<b>Bates Begin</b>	<b>Bates End</b>	<b>Bates Begin</b>	<b>Bates End</b>
STESAF0072493	STESAF0072493	STESAF0074621	STESAF0074621
STESAF0072598	STESAF0072598	STESAF0074629	STESAF0074629
STESAF0072614	STESAF0072614	STESAF0074671	STESAF0074671
STESAF0072632	STESAF0072632	STESAF0074779	STESAF0074779
STESAF0072648	STESAF0072648	STESAF0074917	STESAF0074917
STESAF0072656	STESAF0072656	STESAF0074935	STESAF0074935
STESAF0072676	STESAF0072676	STESAF0074959	STESAF0074959
STESAF0072684	STESAF0072684	STESAF0075031	STESAF0075031
STESAF0072732	STESAF0072732	STESAF0075243	STESAF0075243
STESAF0072820	STESAF0072820	STESAF0075369	STESAF0075369
STESAF0072828	STESAF0072828	STESAF0075393	STESAF0075393
STESAF0072836	STESAF0072836	STESAF0075417	STESAF0075417
STESAF0072884	STESAF0072884	STESAF0075487	STESAF0075487
STESAF0073062	STESAF0073062	STESAF0075493	STESAF0075493
STESAF0073070	STESAF0073070	STESAF0075499	STESAF0075499
STESAF0073078	STESAF0073078	STESAF0075505	STESAF0075505
STESAF0073122	STESAF0073122	STESAF0075560	STESAF0075560
STESAF0073240	STESAF0073240	STESAF0075640	STESAF0075640
STESAF0073378	STESAF0073378	STESAF0075668	STESAF0075668
STESAF0073392	STESAF0073392	STESAF0075696	STESAF0075696
STESAF0073416	STESAF0073416	STESAF0075720	STESAF0075720
STESAF0073660	STESAF0073660	STESAF0075766	STESAF0075766
STESAF0073692	STESAF0073692	STESAF0075770	STESAF0075770
STESAF0073808	STESAF0073808	STESAF0075802	STESAF0075802
STESAF0073822	STESAF0073822	STESAF0075986	STESAF0075986
STESAF0073838	STESAF0073838	STESAF0076078	STESAF0076078
STESAF0073846	STESAF0073846	STESAF0076356	STESAF0076356
STESAF0073878	STESAF0073878	STESAF0076368	STESAF0076368
STESAF0074097	STESAF0074097	STESAF0076380	STESAF0076380
STESAF0074151	STESAF0074151	STESAF0076444	STESAF0076444
STESAF0074191	STESAF0074191	STESAF0076622	STESAF0076622
STESAF0074207	STESAF0074207	STESAF0076828	STESAF0076828
STESAF0074243	STESAF0074243	STESAF0076844	STESAF0076844
STESAF0074265	STESAF0074265	STESAF0076880	STESAF0076880
STESAF0074613	STESAF0074613	STESAF0076992	STESAF0076992



## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAF0077134	STESAF0077134	STESAF0079343	STESAF0079343
STESAF0077182	STESAF0077182	STESAF0079373	STESAF0079373
STESAF0077194	STESAF0077194	STESAF0079403	STESAF0079403
STESAF0077206	STESAF0077206	STESAF0079569	STESAF0079569
STESAF0077218	STESAF0077218	STESAF0083669	STESAF0083669
STESAF0077230	STESAF0077230	STESAF0088503	STESAF0088503
STESAF0077242	STESAF0077242	STESAF0088509	STESAF0088509
STESAF0077302	STESAF0077302	STESAF0088515	STESAF0088515
STESAF0077318	STESAF0077318	STESAF0089885	STESAF0089885
STESAF0077358	STESAF0077358	STESAF0089899	STESAF0089899
STESAF0077430	STESAF0077430	STESAF0090826	STESAF0090826
STESAF0077510	STESAF0077510	STESAF0091268	STESAF0091268
STESAF0077534	STESAF0077534	STESAF0091402	STESAF0091402
STESAF0077546	STESAF0077546	STESAF0091409	STESAF0091409
STESAF0077592	STESAF0077592	STESAF0091664	STESAF0091664
STESAF0077827	STESAF0077827	STESAF0091904	STESAF0091904
STESAF0077889	STESAF0077889	STESAF0092081	STESAF0092081
STESAF0077899	STESAF0077899	STESAF0092149	STESAF0092149
STESAF0077933	STESAF0077933	STESAF0092218	STESAF0092218
STESAF0077953	STESAF0077953	STESAF0092297	STESAF0092297
STESAF0077987	STESAF0077987	STESAF0092445	STESAF0092445
STESAF0077993	STESAF0077993	STESAF0092478	STESAF0092478
STESAF0078015	STESAF0078015	STESAF0092607	STESAF0092607
STESAF0078451	STESAF0078451	STESAF0092645	STESAF0092645
STESAF0078461	STESAF0078461	STESAF0092723	STESAF0092723
STESAF0078471	STESAF0078471	STESAF0092786	STESAF0092786
STESAF0078517	STESAF0078517	STESAF0092858	STESAF0092858
STESAF0078643	STESAF0078643	STESAF0092873	STESAF0092873
STESAF0078803	STESAF0078803	STESAF0096655	STESAF0096655
STESAF0078849	STESAF0078849	STESAF0096689	STESAF0096689
STESAF0078939	STESAF0078939	STESAF0096927	STESAF0096927
STESAF0079079	STESAF0079079	STESAF0097065	STESAF0097065
STESAF0079147	STESAF0079147	STESAF0097353	STESAF0097353
STESAF0079187	STESAF0079187	STESAF0097467	STESAF0097467
STESAF0079283	STESAF0079283	STESAF0097909	STESAF0097909

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAF0097997	STESAF0097997	STESAF0110579	STESAF0110579
STESAF0098156	STESAF0098156	STESAF0110635	STESAF0110635
STESAF0098210	STESAF0098210	STESAF0111119	STESAF0111119
STESAF0098236	STESAF0098236	STESAF0111133	STESAF0111133
STESAF0098276	STESAF0098276	STESAF0111147	STESAF0111147
STESAF0098316	STESAF0098316	STESAF0111558	STESAF0111558
STESAF0098534	STESAF0098534	STESAF0111918	STESAF0111918
STESAF0098548	STESAF0098548	STESAF0111950	STESAF0111950
STESAF0098782	STESAF0098782	STESAF0111986	STESAF0111986
STESAF0099164	STESAF0099164	STESAF0112504	STESAF0112504
STESAF0099292	STESAF0099292	STESAF0112958	STESAF0112958
STESAF0108347	STESAF0108347	STESAF0113350	STESAF0113350
STESAF0109141	STESAF0109141	STESAF0114026	STESAF0114026
STESAF0109151	STESAF0109151	STESAF0115410	STESAF0115410
STESAF0109267	STESAF0109267	STESAF0115910	STESAF0115910
STESAF0109279	STESAF0109279	STESAF0116446	STESAF0116446
STESAF0109291	STESAF0109291	STESAF0117840	STESAF0117840
STESAF0109375	STESAF0109375	STESAF0118502	STESAF0118502
STESAF0109435	STESAF0109435	STESAF0118856	STESAF0118856
STESAF0109445	STESAF0109445	STESAG0007852	STESAG0007852
STESAF0109457	STESAF0109457	STESAG0008240	STESAG0008240
STESAF0109467	STESAF0109467	STESAG0008306	STESAG0008306
STESAF0109621	STESAF0109621	STESAG0008415	STESAG0008415
STESAF0109641	STESAF0109641	STESAG0008487	STESAG0008487
STESAF0109651	STESAF0109651	STESAG0008519	STESAG0008519
STESAF0109685	STESAF0109685	STESAG0008902	STESAG0008902
STESAF0109767	STESAF0109767	STESAG0009140	STESAG0009140
STESAF0109907	STESAF0109907	STESAG0009170	STESAG0009170
STESAF0110041	STESAF0110041	STESAG0009284	STESAG0009284
STESAF0110085	STESAF0110085	STESAG0009769	STESAG0009769
STESAF0110099	STESAF0110099	STESAG0009877	STESAG0009877
STESAF0110205	STESAF0110205	STESAG0009915	STESAG0009915
STESAF0110241	STESAF0110241	STESAG0009983	STESAG0009983
STESAF0110423	STESAF0110423	STESAG0010093	STESAG0010093
STESAF0110521	STESAF0110521	STESAG0010433	STESAG0010433

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAG0010455	STESAG0010455	STESAG0021864	STESAG0021864
STESAG0010723	STESAG0010723	STESAG0021872	STESAG0021872
STESAG0010737	STESAG0010737	STESAH0007508	STESAH0007508
STESAG0010920	STESAG0010920	STESAJ0001936	STESAJ0001936
STESAG0010934	STESAG0010934	STESAJ0001985	STESAJ0001985
STESAG0010950	STESAG0010950	STESAK0000031	STESAK0000031
STESAG0010998	STESAG0010998	STESAK0000262	STESAK0000262
STESAG0011198	STESAG0011198	STESAK0000609	STESAK0000609
STESAG0011567	STESAG0011567	STESAK0001031	STESAK0001031
STESAG0011647	STESAG0011647	STESAK0001066	STESAK0001066
STESAG0011843	STESAG0011843	STESAK0001085	STESAK0001085
STESAG0014861	STESAG0014861	STESAK0001122	STESAK0001122
STESAG0017400	STESAG0017400	STESAK0001140	STESAK0001140
STESAG0017517	STESAG0017517	STESAK0001159	STESAK0001159
STESAG0017598	STESAG0017598	STESAK0001166	STESAK0001166
STESAG0017673	STESAG0017673	STESAK0001186	STESAK0001186
STESAG0017722	STESAG0017722	STESAK0001203	STESAK0001203
STESAG0017761	STESAG0017761	STESAK0001222	STESAK0001222
STESAG0017820	STESAG0017820	STESAK0001229	STESAK0001229
STESAG0017935	STESAG0017935	STESAK0001249	STESAK0001249
STESAG0019424	STESAG0019424	STESAK0001261	STESAK0001261
STESAG0019494	STESAG0019494	STESAK0001279	STESAK0001279
STESAG0019499	STESAG0019499	STESAK0001298	STESAK0001298
STESAG0019517	STESAG0019517	STESAK0001305	STESAK0001305
STESAG0019539	STESAG0019539	STESAK0001489	STESAK0001489
STESAG0019542	STESAG0019542	STESAK0001506	STESAK0001506
STESAG0019573	STESAG0019573	STESAK0001513	STESAK0001513
STESAG0020258	STESAG0020258	STESAK0001534	STESAK0001534
STESAG0020519	STESAG0020519	STESAK0001544	STESAK0001544
STESAG0021091	STESAG0021091	STESAK0001568	STESAK0001568
STESAG0021099	STESAG0021099	STESAK0001584	STESAK0001584
STESAG0021117	STESAG0021117	STESAK0001595	STESAK0001595
STESAG0021682	STESAG0021682	STESAK0001605	STESAK0001605
STESAG0021816	STESAG0021816	STESAK0001636	STESAK0001636
STESAG0021858	STESAG0021858	STESAK0001657	STESAK0001657

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAK0001667	STESAK0001667	STESAL0003481	STESAL0003481
STESAK0001743	STESAK0001743	STESAL0003498	STESAL0003498
STESAK0001802	STESAK0001802	STESAL0003547	STESAL0003547
STESAK0001819	STESAK0001819	STESAL0004026	STESAL0004026
STESAK0002677	STESAK0002677	STESAL0004095	STESAL0004095
STESAK0002679	STESAK0002679	STESAL0004230	STESAL0004230
STESAK0002691	STESAK0002691	STESAL0004286	STESAL0004286
STESAK0002728	STESAK0002728	STESAL0004292	STESAL0004292
STESAK0002807	STESAK0002807	STESAL0004528	STESAL0004528
STESAK0002926	STESAK0002926	STESAL0004579	STESAL0004579
STESAK0003010	STESAK0003010	STESAL0004788	STESAL0004788
STESAK0003017	STESAK0003017	STESAL0004826	STESAL0004826
STESAK0003032	STESAK0003032	STESAL0004896	STESAL0004896
STESAK0003044	STESAK0003044	STESAL0004931	STESAL0004931
STESAK0003056	STESAK0003056	STESAL0004962	STESAL0004962
STESAK0003071	STESAK0003071	STESAL0004995	STESAL0004995
STESAK0003082	STESAK0003082	STESAL0005057	STESAL0005057
STESAK0003093	STESAK0003093	STESAL0005108	STESAL0005108
STESAK0003109	STESAK0003109	STESAL0005255	STESAL0005255
STESAK0003129	STESAK0003129	STESAL0005300	STESAL0005300
STESAK0003137	STESAK0003137	STESAL0005388	STESAL0005388
STESAK0003149	STESAK0003149	STESAL0005429	STESAL0005429
STESAK0003156	STESAK0003156	STESAL0005435	STESAL0005435
STESAK0003163	STESAK0003163	STESAL0005445	STESAL0005445
STESAK0003178	STESAK0003178	STESAL0005487	STESAL0005487
STESAK0003190	STESAK0003190	STESAL0005632	STESAL0005632
STESAK0003202	STESAK0003202	STESAL0006515	STESAL0006515
STESAK0003217	STESAK0003217	STESAL0006550	STESAL0006550
STESAK0003228	STESAK0003228	STESAL0006693	STESAL0006693
STESAK0003239	STESAK0003239	STESAL0006696	STESAL0006696
STESAK0003255	STESAK0003255	STESAL0006722	STESAL0006722
STESAK0003275	STESAK0003275	STESAL0006763	STESAL0006763
STESAK0003285	STESAK0003285	STESAL0007057	STESAL0007057
STESAK0003293	STESAK0003293	STESAL0007211	STESAL0007211
STESAL0003439	STESAL0003439	STESAL0007293	STESAL0007293

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAL0007331	STESAL0007331	STESAL0066867	STESAL0066867
STESAL0007376	STESAL0007376	STESAL0066879	STESAL0066879
STESAL0007429	STESAL0007429	STESAL0066893	STESAL0066893
STESAL0007482	STESAL0007482	STESAL0066915	STESAL0066915
STESAL0007613	STESAL0007613	STESAL0066927	STESAL0066927
STESAL0007622	STESAL0007622	STESAL0066945	STESAL0066945
STESAL0007625	STESAL0007625	STESAL0066959	STESAL0066959
STESAL0007628	STESAL0007628	STESAL0067003	STESAL0067003
STESAL0007637	STESAL0007637	STESAL0067015	STESAL0067015
STESAL0007640	STESAL0007640	STESAL0067063	STESAL0067063
STESAL0008058	STESAL0008058	STESAL0067093	STESAL0067093
STESAL0008063	STESAL0008063	STESAL0067400	STESAL0067400
STESAL0030328	STESAL0030328	STESAL0067434	STESAL0067434
STESAL0065526	STESAL0065526	STESAL0068108	STESAL0068108
STESAL0065592	STESAL0065592	STESAL0068146	STESAL0068146
STESAL0065928	STESAL0065928	STESAL0068170	STESAL0068170
STESAL0066174	STESAL0066174	STESAL0068180	STESAL0068180
STESAL0066199	STESAL0066199	STESAL0068323	STESAL0068323
STESAL0066290	STESAL0066290	STESAL0068327	STESAL0068327
STESAL0066410	STESAL0066410	STESAL0068356	STESAL0068356
STESAL0066493	STESAL0066493	STESAL0068370	STESAL0068370
STESAL0066513	STESAL0066513	STESAL0068410	STESAL0068410
STESAL0066676	STESAL0066676	STESAL0068418	STESAL0068418
STESAL0066690	STESAL0066690	STESAL0068432	STESAL0068432
STESAL0066704	STESAL0066704	STESAL0068438	STESAL0068438
STESAL0066715	STESAL0066715	STESAL0068468	STESAL0068468
STESAL0066727	STESAL0066727	STESAL0068536	STESAL0068536
STESAL0066741	STESAL0066741	STESAL0068552	STESAL0068552
STESAL0066751	STESAL0066751	STESAL0068580	STESAL0068580
STESAL0066769	STESAL0066769	STESAL0068592	STESAL0068592
STESAL0066785	STESAL0066785	STESAL0068630	STESAL0068630
STESAL0066813	STESAL0066813	STESAL0068711	STESAL0068711
STESAL0066823	STESAL0066823	STESAL0068737	STESAL0068737
STESAL0066835	STESAL0066835	STESAL0068755	STESAL0068755
STESAL0066857	STESAL0066857	STESAL0068791	STESAL0068791

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
STESAL0068831	STESAL0068831	STESAL0069811	STESAL0069811
STESAL0068845	STESAL0068845	STESAL0069819	STESAL0069819
STESAL0068897	STESAL0068897	STESAL0069827	STESAL0069827
STESAL0068919	STESAL0068919	STESAL0069833	STESAL0069833
STESAL0068935	STESAL0068935	STESAL0069841	STESAL0069841
STESAL0068957	STESAL0068957	STESAL0069849	STESAL0069849
STESAL0068981	STESAL0068981	STESAL0069935	STESAL0069935
STESAL0069020	STESAL0069020	STESAL0069993	STESAL0069993
STESAL0069060	STESAL0069060	STESAL0070003	STESAL0070003
STESAL0069120	STESAL0069120	STESAL0070171	STESAL0070171
STESAL0069198	STESAL0069198	STESAL0070533	STESAL0070533
STESAL0069238	STESAL0069238	STESAL0070664	STESAL0070664
STESAL0069264	STESAL0069264	STESAL0070959	STESAL0070959
STESAL0069410	STESAL0069410	STESAL0070971	STESAL0070971
STESAL0069422	STESAL0069422	STESAL0071623	STESAL0071623
STESAL0069436	STESAL0069436	STESAL0071689	STESAL0071689
STESAL0069444	STESAL0069444	STESAL0071699	STESAL0071699
STESAL0069460	STESAL0069460	STESAL0072027	STESAL0072027
STESAL0069492	STESAL0069492	STESAL0072328	STESAL0072328
STESAL0069497	STESAL0069497	STESAL0072466	STESAL0072466
STESAL0069610	STESAL0069610	STESAL0072478	STESAL0072478
STESAL0069617	STESAL0069617	STESAL0072586	STESAL0072586
STESAL0069623	STESAL0069623	STESAL0072733	STESAL0072733
STESAL0069629	STESAL0069629	STESAL0072952	STESAL0072952
STESAL0069640	STESAL0069640	STESAL0072972	STESAL0072972
STESAL0069650	STESAL0069650	STESAL0072986	STESAL0072986
STESAL0069657	STESAL0069657	STESAL0072998	STESAL0072998
STESAL0069662	STESAL0069662	STESAL0073010	STESAL0073010
STESAL0069764	STESAL0069764	STESAL0073024	STESAL0073024
STESAL0069779	STESAL0069779	STESAL0073048	STESAL0073048
STESAL0069784	STESAL0069784	STESAL0073050	STESAL0073050
STESAL0069791	STESAL0069791	STESAL0073070	STESAL0073070
STESAL0069796	STESAL0069796	STESAL0073078	STESAL0073078
STESAL0069804	STESAL0069804	STESAL0073080	STESAL0073080

## Exhibit B - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End
STESAL0073098	STESAL0073098
STESAL0073116	STESAL0073116
STESAL0073120	STESAL0073120
STESAL0073140	STESAL0073140
STESAL0073150	STESAL0073150
STESAL0073239	STESAL0073239
STESAL0073253	STESAL0073253
STESAL0073263	STESAL0073263
STESAL0073277	STESAL0073277
STESAL0073289	STESAL0073289
STESAL0073321	STESAL0073321
STESAL0073407	STESAL0073407
STESAL0073439	STESAL0073439
STESAL0073441	STESAL0073441
STESAL0073469	STESAL0073469
STESAL0073505	STESAL0073505
STESAL0073697	STESAL0073697
STESAL0073795	STESAL0073795
STESAL0073897	STESAL0073897
STESAP0000083	STESAP0000083
STESAP0000114	STESAP0000114
STESBC0000844	STESBC0000844
STESBE0007054	STESBE0007054
STESBE0009393	STESBE0009393
STESBG0000927	STESBG0000927
STESBG0000929	STESBG0000929
STESAC0002751	STESAC0002751
STESAC0079208	STESAC0079208
STESAC0059393	STESAC0059393
STESAD0039747	STESAD0039747
STESAD0039747	STESAD0039747
STESAD0039747	STESAD0039747
STESAD0039751	STESAD0039751

Bates Begin	Bates End
STESAD0041034	STESAD0041034
STESAC0027877	STESAC0027877
STESAC0042065	STESAC0042065
Checkbook File (native file format)	
Great Plains accounting system (native file format)	
Cohmad Cash Database (native file format)	
JPMC Wire File (native file format)	

# Exhibit C - Example of Customer Statement from StorQM

Header Information:	Reported Settlement Date	Transaction Description	Shares Purportedly Purchased	Shares Purportedly Sold	Reported Price or Type	Reported Outflows	Reported Inflows	Reported Transaction Values	Reported Cash Balance from Prior Month
Customer Account Name: [Redacted]	11/07				BALANCE FORWARD				
Customer Address: [Redacted]	11/07				CHECK				
	11/07	FIDELITY SPARTAN	21,599		FIDELITY SPARTAN	291,050.99	70.80		
	11/07	U S TREASURY MONEY MARKET			U S TREASURY MONEY MARKET	180,000.00			
	11/07	76,656 11094 FIDELITY SPARTAN			FIDELITY SPARTAN				
	11/07	125,000 11137 U S TREASURY BILL			U S TREASURY BILL				
	11/07	11160 FIDELITY SPARTAN			DUE 2/12/2009				
	11/12	360 WELLS FARGO & CO NEW	4,242		2/12/2009				
	11/12	862 HEWLETT PACKARD CO	3,030		FIDELITY SPARTAN				
	11/12	4686 WAL-MART STORES INC	2,626		U S TREASURY MONEY MARKET				
	11/12	5188 INTERNATIONAL BUSINESS MACHS	1,717		U S TREASURY MONEY MARKET				
	11/12	9012 EXXON MOBIL CORP	6,363		U S TREASURY BILL				
	11/12	9514 INTEL CORP	6,969		DUE 2/12/2009				
	11/12	13840 JOHNSON & JOHNSON	3,333		FIDELITY SPARTAN				
	11/12	18165 J.P. MORGAN CHASE & CO	4,545		U S TREASURY MONEY MARKET				
	11/12	22491 COCA COLA CO	2,424		U S TREASURY MONEY MARKET				
	11/12	26817 MCDONALDS CORP	1,414		U S TREASURY BILL				
	11/12	31143 MERCK & CO	2,626		DUE 2/12/2009				
	11/12	35469 MICROSOFT CORP	9,595		FIDELITY SPARTAN				
					U S TREASURY MONEY MARKET				
					WELLS FARGO & CO NEW				
					HEWLETT PACKARD CO				
					WAL-MART STORES INC				
					INTERNATIONAL BUSINESS MACHS				
					EXXON MOBIL CORP				
					INTEL CORP				
					JOHNSON & JOHNSON				
					J.P. MORGAN CHASE & CO				
					COCA COLA CO				
					MCDONALDS CORP				
					MERCK & CO				
					MICROSOFT CORP				

CONTINUED ON PAGE 2

MDPTPP05186987

Note: Confidential Information Redacted



# Exhibit D - Example of Customer Statement from Microfilm

**Header Information:**

- Customer Account Name
- Customer Address

**Account Statement Date:** 12/31/84

**Transaction Dates:** T/DT = Reported Trade Date, S/DT = Reported Settlement Date

**Customer Information:** Customer Number, SSN or EIN

**Transaction Fields:** Transaction ID, Transaction Description, Reported Price or Type

**Transaction Types:** Shares Purportedly Sold, Shares Purportedly Purchased

**Summary Fields:** Reported Inflows, Reported Outflows, Reported Cash Balance from Prior Month, Reported Transaction Values, Reported Cash Balance Current Month

**Security Positions:** Shares purportedly held at month end, Securities purportedly held at month end, Cost Basis of shares purportedly held at month end

**Microfilm Data:** SAL BALANCE FORWARD, GENERAL GROWTH PRPTS, LONG, SHORT, SECURITY POSITIONS, UNO OF POSITIONS

# Exhibit E - Examples of Portfolio Management Report (PMR)

**PMR from Microfilm**

ACCOUNT # 1-01945-1-0

REPORT FOR THE PERIOD FROM 1/01/84 TO 12/31/84

Customer Account Name: [Redacted]

Customer Account Number: [Redacted]

Reported Period: [Redacted]

Reported Cash Activity: [Redacted]

INITIAL INVESTMENT 60,000.00CR  
 ADJUSTMENTS TO INITIAL INVESTMENT 20,000.00CR  
 CAPITAL ADDITIONS 80,000.00CR  
 CAPITAL WITHDRAWALS 140,000.00CR  
 NET WORKING CAPITAL 20,000.00CR  
 EXPECTED RATE OF RETURN 24% 21.283.35  
 EXPECTED RETURN FOR 355 DAYS 3,252.38-  
 REALIZED P/L 149,051.18CR  
 UNREALIZED P/L 4,593.52CR  
 PROFITS WITHDRAWN 144,457.56CR  
 OVER/UNDER EXPECTED RETURN  
 CURRENT CASH BALANCE  
 NET MARKET VALUE OF OPEN SECURITIES POSITIONS  
 TOTAL EQUITY

MF00093553

**PMR from StorQM**

ACCOUNT # 1-B0118-3

REPORT FOR THE PERIOD FROM 1/01/96 TO 9/30/96

Customer Account Name: [Redacted]

Customer Account Number: [Redacted]

Reported Period: [Redacted]

Reported Cash Activity: [Redacted]

INITIAL INVESTMENT 1,700,000.00CR  
 ADJUSTMENTS TO INITIAL INVESTMENT 50,000.00  
 CAPITAL ADDITIONS 1,700,000.00CR  
 CAPITAL WITHDRAWALS 50,000.00  
 NET WORKING CAPITAL 1,700,000.00CR  
 EXPECTED RATE OF RETURN 18.00% 728,064.39CR  
 EXPECTED RETURN FOR 274 DAYS 591,595.68CR  
 CAPITAL GAINS AND LOSSES 70,546.09CR  
 DIVIDENDS AND INTEREST 662,141.77CR  
 REALIZED P/L 4,564.00CR  
 UNREALIZED P/L  
 PROFITS WITHDRAWN 61,358.62-  
 OVER/UNDER EXPECTED RETURN FOR CURRENT YEAR 84CR  
 CURRENT CASH BALANCE 6,500,991.00  
 NET MARKET VALUE OF OPEN SECURITIES POSITIONS 6,500,991.84CR  
 TOTAL EQUITY  
 PRIOR YEAR END EQUITY 4,184,286.07CR  
 ANNUALIZED RETURN FOR CURRENT YEAR 16.53%  
 PROJECTED ANNUALIZED RATE OF RETURN 12.34%  
 BUYING POWER 6,501 OVER/UNDER 79-

MDPTQC00024955

Note: Confidential Information Redacted

# Exhibit F - Example of Portfolio Management Transaction Report

Customer Account Number

Customer Account Name

MFO0094133

PORTFOLIO TRANSACTIONS

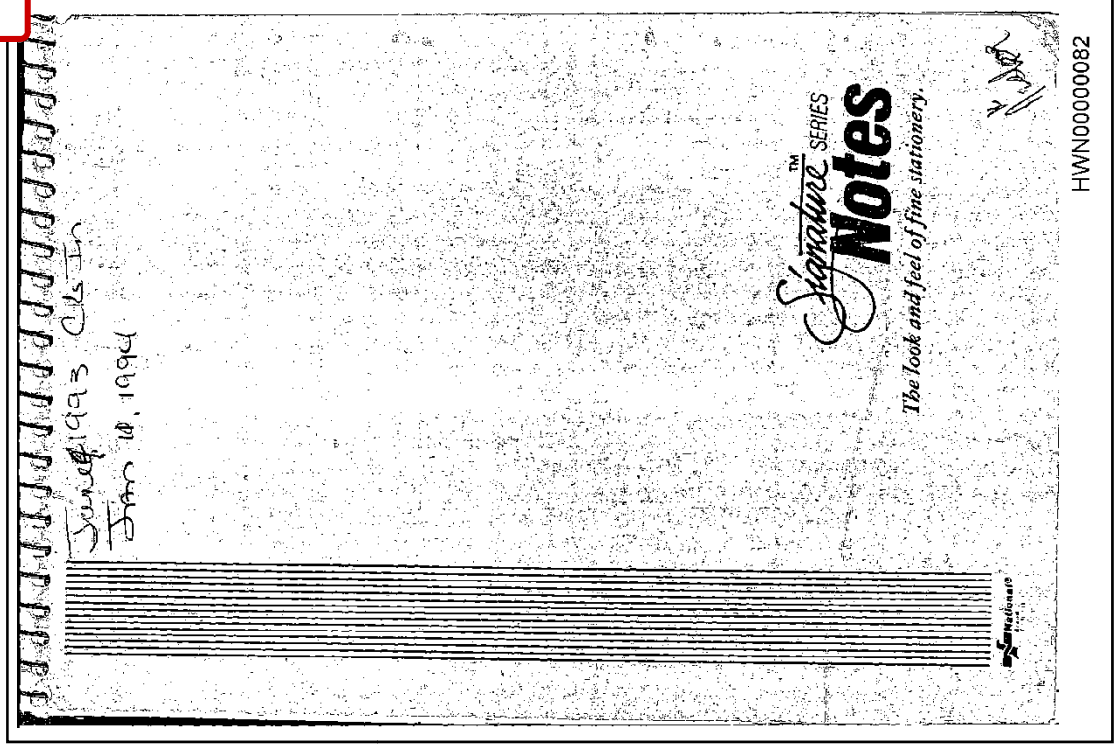
*F. J. Lopez 11/11/85*

Customer Account Number	Customer Account Name	Date	Transaction Description	Amount	Balance	Interest	Commission	Other	Total
1001203 .27	[REDACTED]	1/01	489,932.62CR	365	132,291.81CR				132,291.81CR
1001213 .27	[REDACTED]	1/01	489,932.62CR	365	132,281.81CR				132,281.81CR
1001233 .27	[REDACTED]	1/01	895,684.18CR	365	261,834.73CR				261,834.73CR
1001253 .30	[REDACTED]	1/01	895,684.18CR	365	261,834.73CR				261,834.73CR
			988,624.63CR	365	266,928.65CR				266,928.65CR
			988,624.63CR	365	266,928.65CR				266,928.65CR
			16,356,159.80CR	00	903,302.81CR				903,302.81CR
			500,000.00CR	13	71,855.07CR				71,855.07CR
			500,000.00CR	15	304,117.14CR				304,117.14CR
			500,000.00CR	29	380,325.11CR				380,325.11CR
			450,000.00CR	28	80,814.30CR				80,814.30CR
			15,206,169.80CR	31	369,039.79CR				369,039.79CR
			15,206,169.80CR	31	351,088.71CR				351,088.71CR
			300,000.00CR	22	24,023.03CR				24,023.03CR
			200,000.00CR	10	122,318.46CR				122,318.46CR
			200,000.00CR	23	263,358.45CR				263,358.45CR
			14,706,169.80CR	30	362,617.88CR				362,617.88CR
			14,706,169.80CR	10	15,119.20CR				15,119.20CR
			850,000.00CR	21	25,974.77CR				25,974.77CR
			500,000.00CR	21	109,835.23CR				109,835.23CR
			500,000.00CR	2	48,887.50CR				48,887.50CR
			400,000.00CR	15	180,692.50CR				180,692.50CR
			500,000.00CR	10	124,571.26CR				124,571.26CR
			550,000.00CR	11	137,507.34CR				137,507.34CR
			14,706,169.80CR	21	262,462.65CR				262,462.65CR
			14,706,169.80CR	1	12,097.25CR				12,097.25CR
			4,150,050.00CR	365	4,159,152.24CR				4,159,152.24CR
1001303 .30	[REDACTED]	1/01	620,869.39CR	140	71,440.20CR				71,440.20CR
			51,666.00CR	42	23,215.50CR				23,215.50CR
			28,334.00CR	111	69,970.51CR				69,970.51CR
			40,000.00CR	72	43,842.05CR				43,842.05CR
			120,000.00CR	365	208,739.36CR				208,739.36CR
1002013 .28	[REDACTED]	1/01	937,803.68CR	365	262,613.03CR				262,613.03CR
			937,803.68CR	365	262,613.03CR				262,613.03CR
1002265 .28	[REDACTED]	1/01	7,894,957.28CR	192	1,162,829.87CR				1,162,829.87CR

Reported Transactions

Reported Transactions

# Exhibit G - Example of Spiral Notebook



HWN00000082

Date	Customer Account Name	Customer Account Number	Reported Transaction Amount
7/22	[REDACTED]	12B04420	10,000.00
7/3	[REDACTED]	12A50630	40,188.81
7/2	[REDACTED]	12A96530	10,000.00
7/25	[REDACTED]	1G008730	100,000.00
7/23	[REDACTED]	1G008730	400,000.00
7/24	[REDACTED]	1L002750	2000000.00
7/26	[REDACTED]	1L002750	3000000.00
7/26	[REDACTED]	1EM11930	55000.00
7/26	[REDACTED]	12A96530	25000.00
7/26	[REDACTED]	12A96530	7000.00
7/26	[REDACTED]	12B09130	100000.00
7/26	[REDACTED]	12B06830	100000.00
7/26	[REDACTED]	15006610	10000.00
7/26	[REDACTED]	1V000530	55000.00
7/26	[REDACTED]	1V0004810	8000.00
7/26	[REDACTED]	1EM05230	300000.00
7/26	[REDACTED]	1EM03330	140000.00
7/26	[REDACTED]	12R13130	200000.00
7/27	[REDACTED]	1L002750	2000000.00
7/27	[REDACTED]	1L002750	2000000.00
7/27	[REDACTED]	1L002750	2000000.00
7/27	[REDACTED]	12A04830	90000.00
7/27	[REDACTED]	12A04930	90000.00
7/27	[REDACTED]	12A0930	90000.00
7/27	[REDACTED]	15016110	90000.00

HWN00000104

# Exhibit H - Excerpt of Checkbook File

Account	Acct Type	Transaction	Amount Value	Amount	Negative/Decimal	RegDisc Alpha	NegDisc Convert	Int Text	Signed Amount	Signed Full Amount	Desc	YY	MM	DD	User	Date	Hour	Group
1A0044	3	CW	2	18000.00	00		0	1	18000	18000.00	CHECK WIRE	8	12	1	ALETHEA	12/02/08	13:15	
1A0044	3	CW	2	150000.00	00		0	1	150000	150000.00	CHECK WIRE	8	12	15	ALETHEA	12/05/08	15:31	
1A0064	3	CA	2	17162.00	00		0	1	17162	17162.00	CHECK	8	12	8	ALETHEA	12/08/08	15:47	
1A0126	3	CW	2	5000.00	00		0	1	5000	5000.00	CHECK	8	12	4	DOROTHY	12/04/08	13:37	
1A0135	3	CA	2	900000.00	00		0	0	900000	900000.00	CHECK WIRE	8	12	10	DOROTHY	12/10/08	16:18	
1A0136	3	CA	2	1048.04	04		4	1	1048	1048.04	CHECK	8	12	3	ALETHEA	12/03/08	15:50	
1A0144	3	CA	2	22362398.00	00		0	0	22362398	22362398.02	CHECK WIRE	8	12	5	ALETHEA	12/05/08	15:51	
1A0149	3	CA	2	6412.00	50		50	0	6412	6412.50	CHECK	8	12	1	ALETHEA	12/02/08	12:04	
1B0011	3	CW	2	30000.00	00		0	0	30000	30000.00	CHECK	8	12	1	ALETHEA	12/02/08	12:04	
1B0020	3	CA	2	500000.00	00		0	0	500000	500000.00	CHECK	8	12	2	ALETHEA	12/02/08	15:16	
1B0038	3	CW	2	116000.00	00		0	1	116000	116000.00	CHECK	8	12	1	ALETHEA	12/02/08	12:04	
1B0051	3	CW	2	2000.00	00		0	1	2000	2000.00	CHECK	8	12	1	ALETHEA	12/02/08	12:04	
1B0061	3	CW	2	3500000.00	00		0	0	3500000	3500000.00	CHECK WIRE	8	12	8	ALETHEA	12/08/08	15:47	
1B0081	3	CA	2	9500.00	00		0	0	9500	9500.00	CHECK	8	12	2	ALETHEA	12/02/08	15:16	
1B0081	3	CA	2	84000.00	00		0	0	84000	84000.00	CHECK	8	12	2	ALETHEA	12/02/08	15:16	
1B0081	3	CA	2	40000.00	00		0	0	40000	40000.00	CHECK	8	12	2	ALETHEA	12/02/08	15:16	
1B0081	3	CA	2	38000.00	00		0	0	38000	38000.00	CHECK	8	12	2	ALETHEA	12/02/08	15:16	
1B0091	3	CW	2	49000.00	00		0	1	49000	49000.00	CHECK	8	12	1	ALETHEA	12/02/08	12:04	
1B0147	3	CW	2	2500.00	00		0	1	2500	2300.00	CHECK	8	12	1	ALETHEA	12/02/08	12:04	
1B0149	3	CW	2	105000.00	00		0	1	105000	105000.00	CHECK	8	12	1	ALETHEA	12/02/08	12:04	
1B0179	3	CW	2	25000.00	00		0	1	25000	25000.00	CHECK	8	12	9	ALETHEA	12/09/08	12:08	
1B0224	3	CW	2	120500.00	00		0	1	120500	120500.00	CHECK	8	12	3	ALETHEA	12/03/08	15:50	
1B0225	3	CW	2	1200000.00	00		0	1	1200000	1200000.00	CHECK WIRE	8	12	8	ALETHEA	12/08/08	15:47	
1B0256	3	CW	2	300000.00	00		0	1	300000	300000.00	CHECK	8	12	8	ALETHEA	12/08/08	15:47	
1B0258	3	CW	2	8000.00	00		0	1	8000	8000.00	CHECK	8	12	1	ALETHEA	12/02/08	15:16	
1B0294	3	CW	2	80000.00	00		0	1	80000	80000.00	CHECK	8	12	2	ALETHEA	12/02/08	15:16	
1CM025	3	CA	2	443936.00	2R		29	0	443936	443936.29	CHECK	8	12	1	ALETHEA	12/02/08	12:04	
1CM044	3	CW	2	50000.00	00		0	1	50000	50000.00	TRANS TO 1CM05030	8	12	5	ALETHEA	12/05/08	15:31	
1CM044	3	CW	2	50000.00	00		0	1	50000	50000.00	TRANS TO 1CM04830	8	12	5	ALETHEA	12/05/08	15:31	
1CM044	3	CW	2	50000.00	00		0	1	50000	50000.00	CHECK	8	12	5	ALETHEA	12/05/08	15:31	
1CM048	3	CA	2	50000.00	00		0	0	50000	50000.00	CHECK	8	12	5	ALETHEA	12/05/08	15:31	
1CM050	3	CA	2	50000.00	00		0	0	50000	50000.00	CHECK WIRE	8	12	5	ALETHEA	12/05/08	15:31	
1CM051	3	CW	2	10000.00	00		0	1	10000	10000.00	CHECK WIRE	8	12	9	ALETHEA	12/09/08	12:08	
1CM081	3	CW	2	1325661.00	00		0	1	1325661	1325661.00	CHECK WIRE	8	12	2	ALETHEA	12/02/08	15:16	
1CM081	3	CW	2	262486.00	47		47	1	262486	262486.47	CHECK	8	12	15	ALETHEA	12/05/08	15:31	
1CM081	3	CW	2	262486.00	48		48	1	262486	262486.48	CHECK	8	12	15	ALETHEA	12/05/08	15:31	
1CM085	3	CW	2	30000.00	00		0	1	30000	30000.00	CHECK	8	12	9	ALETHEA	12/09/08	12:08	
1CM096	3	CW	2	25637.00	96		96	1	25637	25637.96	CHECK	8	12	9	ALETHEA	12/09/08	12:08	
1CM162	3	CW	2	80000.00	00		0	1	80000	80000.00	CHECK	8	12	5	ALETHEA	12/05/08	15:31	
1CM173	3	CA	2	181696.00	2M		24	0	181696	181696.24	CHECK WIRE	8	12	10	DOROTHY	12/10/08	16:18	
1CM174	3	CA	2	239490.00	0L		3	0	239490	239490.03	CHECK WIRE	8	12	2	ALETHEA	12/02/08	15:16	
1CM174	3	CW	2	125000.00	00		0	1	125000	125000.00	CHECK	8	12	8	ALETHEA	12/08/08	15:47	
1CM200	3	CW	2	75000.00	00		0	1	75000	75000.00	CHECK	8	12	1	ALETHEA	12/02/08	12:04	
1CM201	3	CW	2	50000.00	00		0	1	50000	50000.00	CHECK	8	12	10	DOROTHY	12/09/08	17:35	
1CM206	3	CW	2	100000.00	00		0	1	100000	100000.00	CHECK	8	12	2	ALETHEA	12/02/08	15:16	
1CM206	3	CW	2	50000.00	00		0	1	50000	50000.00	CHECK	8	12	10	DOROTHY	12/09/08	17:35	
1CM224	3	CW	2	5000.00	00		0	1	5000	5000.00	CHECK WIRE	8	12	1	ALETHEA	12/02/08	13:15	
1CM226	3	CA	2	567644.00	00		0	0	567644	567644.00	CHECK WIRE	8	12	9	ALETHEA	12/09/08	14:54	
1CM227	3	CW	2	15000.00	00		0	1	15000	15000.00	CHECK	8	12	5	ALETHEA	12/05/08	15:31	
1CM231	3	CA	2	300000.00	00		0	0	300000	300000.00	CHECK	8	12	10	DOROTHY	12/10/08	16:18	
1CM235	3	CW	2	50000.00	00		0	1	50000	50000.00	CHECK	8	12	3	ALETHEA	12/03/08	15:50	
1CM255	3	CW	2	60000.00	00		0	1	60000	60000.00	CHECK	8	12	3	ALETHEA	12/03/08	15:50	
1CM318	3	CW	2	106000.00	00		0	1	106000	106000.00	CHECK	8	12	9	ALETHEA	12/09/08	12:08	
1CM326	3	CA	2	6010503.00	00		0	0	6010503	6010503.00	CHECK WIRE	8	12	2	ALETHEA	12/02/08	15:16	
1CM328	3	CW	2	905.00	00		0	1	905	905.00	CHECK	8	12	8	ALETHEA	12/08/08	15:47	
1CM402	3	CW	2	175000.00	00		0	1	175000	175000.00	CHECK	8	12	10	DOROTHY	12/09/08	17:35	
1CM429	3	CW	2	60000.00	00		0	1	60000	60000.00	CHECK	8	12	3	ALETHEA	12/03/08	15:50	
1CM429	3	CW	2	60000.00	00		0	0	60000	60000.00	RETURNED CHECK	8	12	9	ALETHEA	12/09/08	12:08	

Note: Confidential Information Redacted

# Exhibit I - Summary Schedule of Cash & Principal Activity in Defendants' Accounts

	Net Winners	Net Losers & Break-Even	TOTAL
<b># of Accounts</b>	144	41	185
<b>Principal Available for Account Holders:</b>			
Cash Deposits	\$1,135,859,515	\$439,392,867	\$1,575,252,382
Add: Transfers of Principal In	210,788,780	35,611,081	246,399,861
Less: Principal Transferred Out	(123,461,092)	(81,346,107)	(204,807,199)
<b>Principal Available</b>	<b>\$1,223,187,203</b>	<b>\$393,657,841</b>	<b>\$1,616,845,044</b>
Cash Withdrawals	(1,518,652,768)	(238,570,647)	(1,757,223,415)
<b>Total</b>	<b>(\$295,465,565)</b>	<b>\$155,087,194</b>	<b>N/A</b>

**Note:** The \$1,461,757,850 constituting the Principal portion of the total withdrawals of \$1,757,223,415 can be derived as follows:

Withdrawals by the Net Losers and Break-Even Accounts	\$238,570,647
Principal available to be withdrawn by the Net Winners	1,223,187,203
	<b>\$1,461,757,850</b>

BLMIS ACCOUNT NO. 1KW001 (FORMERLY 100282) - BON MICK FAMILY PARTNERS L P

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
12/26/1989	CHECK	75,000	75,000	-	-	-	75,000	MF00052841	MF00052841	
5/19/1995	CHECK	(5,000)	-	(5,000)	-	-	70,000	MF00190524	MF00190525	STESAC0080290
6/5/1995	CHECK	(12,500)	-	(12,500)	-	-	57,500	MF00164639	MF00164641	STESAC0080823
9/25/1997	CHECK	(40,000)	-	(40,000)	-	-	17,500	MDFTPP03811741	MDFTPP03811744	STESAD0042248
4/14/1998	CHECK	(15,000)	-	(15,000)	-	-	2,500	MDFTPP03811781	MDFTPP03811784	STESAC0022549
12/21/1999	CHECK	(25,000)	-	(25,000)	-	-	(2,500)	MDFTPP03811900	MDFTPP03811902	
1/2/2001	CHECK	(30,000)	-	(30,000)	-	-	(52,500)	MDFTPP03811974	MDFTPP03811978	
4/5/2001	CHECK	(3,500)	-	(3,500)	-	-	(56,000)	MDFTPP03811992	MDFTPP03811995	
5/3/2002	CHECK	5,040	5,040	-	-	-	(50,960)	MDFTPP03812066	MDFTPP03812071	STESAC0071644
3/3/2003	TRANS TO 1KW00430 (1KW004)	(5,040) <sup>(1)</sup>	-	-	-	-	(50,960)	MDFTPP03812125	MDFTPP03812131	STESAC0107724
1/14/2005	CHECK	(107,000)	-	(107,000)	-	-	(157,960)	MDFTPP03812313	MDFTPP03812318	STESAC0089277
4/3/2007	CHECK	110,000	110,000	-	-	-	(47,960)	MDFTPP03812420	MDFTPP03812425	
2/27/2008	CHECK	80,000	80,000	-	-	-	32,040	MDFTPP03812470	MDFTPP03812471	STESAF0001134
	<b>Total:</b>	80,000	\$ 270,040	\$ (238,000)	\$ -	\$ -	\$ 32,040			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW004 (FORMERLY 100651) - ARTHUR FRIEDMAN & RUTH FRIEDMAN J/T WROS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	End Baltes	DEFENDANTS' PRODUCTION Bates Ref <sup>(a)</sup>
5/15/1991	CHECK	150,000	150,000	-	-	-	150,000	MF00487523	MF00487523	
5/17/1993	TRANS FROM 1KW07330 (JKW073)	159,500 <sup>(1)</sup>	-	-	50,004	-	200,004	MF00418433	MF00418435	
9/9/1993	CHECK	(100,000)	-	(100,000)	-	-	100,004	MF00376740	MF00376742	
11/2/1993	CHECK	(188,000)	-	(188,000)	-	-	(87,996)	MF00373650	MF00373651	
2/24/1995	CHECK	32,000	32,000	-	-	-	(55,996)	MF00189058	MF00189058	STESAC0078414
3/17/1995	CHECK	30,600	30,600	-	-	-	(25,396)	MF00181284	MF00181287	STESAC0078824
9/13/1995	TRANS FROM 1KW09830 (JKW098)	18,000 <sup>(1)</sup>	-	-	18,000	-	(7,396)	MF00127131	MF00127134	STESAC0083123
5/13/1996	TRANS FROM 1KW09830 (JKW098)	13,440 <sup>(1)</sup>	-	-	8,400	-	1,004	MDPTPP03816806	MDPTPP03816812	
11/15/1996	TRANS TO 1KW13030 (JKW130)	(10,000) <sup>(2)</sup>	-	-	-	(1,004)	-	MDPTPP03816843	MDPTPP03816845	
12/27/1996	TRANS FROM 1KW00550 (JKW005)	86,166 <sup>(3)</sup>	-	-	-	-	-	MDPTPP03816847	MDPTPP03816851	
1/16/1997	CHECK	600,000	600,000	-	-	-	600,000	MDPTPP03816853	MDPTPP03816859	STESAC0059143
2/18/1997	CHECK	27,000	27,000	-	-	-	627,000	MDPTPP03816862	MDPTPP03816865	
3/24/1997	TRANS FROM 1KW00650 (JKW006)	1,733 <sup>(3)</sup>	-	-	-	-	627,000	MDPTPP03816867	MDPTPP03816872	STESAC0047616
3/31/1997	CHECK	(13,500)	-	(13,500)	-	-	613,500	MDPTPP03816867	MDPTPP03816872	STESAC0047616
4/7/1997	CHECK	(68,000)	-	(68,000)	-	-	545,500	MDPTPP03816874	MDPTPP03816880	STESAD0037766
6/11/1997	CHECK	(29,000)	-	(29,000)	-	-	516,500	MDPTPP03816887	MDPTPP03816892	STESAD0039223
7/30/1997	TRANS FROM 1KW00550 (JKW005)	225 <sup>(3)</sup>	-	-	-	-	516,500	MDPTPP03816894	MDPTPP03816897	STESAD0040845
8/27/1997	CHECK	8,000	8,000	-	-	-	524,500	MDPTPP03816899	MDPTPP03816903	STESAD0041478
9/12/1997	CHECK	(16,000)	-	(16,000)	-	-	508,500	MDPTPP03816905	MDPTPP03816908	STESAD0042268
10/10/1997	CHECK	(25,400)	-	(25,400)	-	-	483,100	MDPTPP03816910	MDPTPP03816913	STESAD0043576
12/22/1997	CHECK WIRE	87,432 <sup>(1)</sup>	-	(9,750)	-	-	473,350	MDPTPP03816922	MDPTPP03816925	
1/23/1997	TRANS FROM 1KW15330 (JKW153)	87,432 <sup>(1)</sup>	-	(8,000)	-	-	535,709	MDPTPP03816927	MDPTPP03816931	STESAC0027475
1/14/1998	CHECK	(8,000)	-	(8,000)	-	-	527,709	MDPTPP03816927	MDPTPP03816931	STESAC0027475
1/20/1998	TRANS FROM 1KW16130 (JKW161)	34,500	-	-	34,500	-	562,209	MDPTPP03816931	MDPTPP03816933	STESAC0029042
2/3/1998	CHECK	100,000	100,000	-	-	-	662,209	MDPTPP03816933	MDPTPP03816937	STESAC0022569
4/16/1998	CHECK	(15,200)	-	(15,200)	-	-	647,009	MDPTPP03816946	MDPTPP03816949	STESAC0022569
4/21/1998	CHECK	97,000	97,000	-	-	-	744,009	MDPTPP03816946	MDPTPP03816949	STESAC0022569
4/22/1998	TRANS FROM 1KW15330 (JKW153)	34 <sup>(3)</sup>	-	-	-	-	744,009	MDPTPP03816946	MDPTPP03816949	STESAC0022571
6/11/1998	TRANS TO 1KW22430 (JKW224)	(102,000)	-	-	-	(102,000)	642,009	MDPTPP03816957	MDPTPP03816961	STESAC0026036
6/12/1998	CHECK	(18,000)	-	(18,000)	-	-	624,009	MDPTPP03816957	MDPTPP03816961	STESAC0026036
8/11/1998	CHECK	(10,000)	-	(10,000)	-	-	614,009	MDPTPP03816967	MDPTPP03816971	STESAC0049883
9/15/1998	CHECK	(19,000)	-	(19,000)	-	-	595,009	MDPTPP03816973	MDPTPP03816979	STESAC0041651
12/11/1998	CHECK	(114,700)	-	(114,700)	-	-	480,309	MDPTPP03816993	MDPTPP03816996	STESAC0051588
1/6/1999	TRANS TO 1KW13030 (JKW130)	(5,000)	-	(5,000)	-	(5,000)	475,309	MDPTPP03816998	MDPTPP03817002	STESAC0053179
1/12/1999	TRANS TO 1KW22430 (JKW224)	(10,000)	-	-	-	(10,000)	465,309	MDPTPP03817002	MDPTPP03817002	STESAC0053179
1/13/1999	CHECK	(8,200)	-	(8,200)	-	-	457,109	MDPTPP03817002	MDPTPP03817002	STESAC0053179
3/29/1999	CHECK	(3,930)	-	(3,930)	-	-	453,179	MDPTPP03817009	MDPTPP03817014	STESAC0056755
3/29/1999	TRANS TO 1KW17530 (JKW175)	(50,000)	-	-	-	(50,000)	403,179	MDPTPP03817009	MDPTPP03817014	STESAC0056755
4/13/1999	CHECK	(34,000)	-	(34,000)	-	-	369,179	MDPTPP03817016	MDPTPP03817018	STESAC0059824
4/14/1999	TRANS TO 1KW13030 (JKW130)	(5,000)	-	-	-	(5,000)	364,179	MDPTPP03817016	MDPTPP03817018	STESAC0059824
6/1/1999	CHECK	12,100	12,100	-	-	-	376,279	MDPTPP03817026	MDPTPP03817031	
6/14/1999	CHECK	(40,000)	-	(40,000)	-	-	336,279	MDPTPP03817026	MDPTPP03817031	
7/8/1999	CHECK	(40,000)	-	(40,000)	-	-	296,279	MDPTPP03817033	MDPTPP03817036	
7/21/1999	TRANS FROM 1KW16130 (JKW161)	41,400 <sup>(3)</sup>	-	-	-	-	296,279	MDPTPP03817033	MDPTPP03817036	STESAD0027722
8/31/1999	TRANS FROM 1KW22430 (JKW224)	42,261 <sup>(1)</sup>	-	-	34,437	-	330,717	MDPTPP03817038	MDPTPP03817042	STESAD0029476
9/14/1999	CHECK	(10,000)	-	(10,000)	-	-	320,717	MDPTPP03817044	MDPTPP03817047	STESAD0031656
9/20/1999	CHECK	(29,000)	-	(29,000)	-	-	291,717	MDPTPP03817044	MDPTPP03817047	STESAD0031656
10/28/1999	TRANS FROM 1KW16130 (JKW161)	6,900 <sup>(3)</sup>	-	-	-	-	291,717	MDPTPP03817049	MDPTPP03817053	STESAD0035597
10/28/1999	TRANS FROM 1KW22130 (JKW221)	15,000	-	-	15,000	-	306,717	MDPTPP03817049	MDPTPP03817053	STESAD0035597
10/28/1999	TRANS FROM 1KW15630 (JKW156)	12,000	-	-	12,000	-	318,717	MDPTPP03817049	MDPTPP03817053	STESAD0035595
10/28/1999	TRANS FROM 1KW15130 (JKW151)	5,783	-	-	5,783	-	324,500	MDPTPP03817049	MDPTPP03817053	STESAD0035595
11/1/1999	TRANS FROM 1KW18030 (JKW180)	20,599 <sup>(3)</sup>	-	-	-	-	324,500	MDPTPP03817055	MDPTPP03817060	STESAD0035724
11/5/1999	CHECK	(63,200)	-	(63,200)	-	-	261,300	MDPTPP03817055	MDPTPP03817060	STESAD0035724
11/10/1999	CHECK	10,000	10,000	-	-	-	271,300	MDPTPP03817055	MDPTPP03817060	STESAD0035724
12/17/1999	CHECK	(40,700)	-	(40,700)	-	-	230,600	MDPTPP03817062	MDPTPP03817064	STESAF0074097
12/21/1999	CHECK	(10,000)	-	(10,000)	-	-	220,600	MDPTPP03817062	MDPTPP03817064	STESAF0074097



BLMIS ACCOUNT NO. 1KW004 (FORMERLY 100651) - ARTHUR FRIEDMAN & RUTH FRIEDMAN J/T WROS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>(s)</sup>
12/27/1999	CHECK	(16,000)	-	(16,000)	-	-	204,600	MDPTPP03817062	MDPTPP03817064	STESAF0074097
12/28/1999	CHECK	(40,000)	-	(40,000)	-	-	164,600	MDPTPP03817062	MDPTPP03817064	STESAF0074097
1/12/2000	CHECK	(75,000)	-	(75,000)	-	-	89,600	MDPTPP03817066	MDPTPP03817070	STESAF0075562
2/24/2000	TRANS FROM 1KW17530 (JKW175)	100,000	-	-	100,000	-	189,600	MDPTPP03817072	MDPTPP03817075	STESAF0077829
2/29/2000	CHECK	(11,200)	-	(11,200)	-	-	178,400	MDPTPP03817072	MDPTPP03817075	STESAF0077831
3/7/2000	CHECK	25,380	25,380	-	-	-	203,780	MDPTPP03817077	MDPTPP03817082	STESAF0069909
3/23/2000	TRANS TO 1KW28430 (JKW284)	(110,943)	-	-	-	(110,943)	92,837	MDPTPP03817077	MDPTPP03817082	STESAF0069917
4/12/2000	CHECK	(54,200)	-	(54,200)	-	-	38,637	MDPTPP03817084	MDPTPP03817086	-
4/18/2000	CHECK	(12,169)	-	(12,169)	-	-	26,468	MDPTPP03817084	MDPTPP03817086	-
4/24/2000	CHECK	(4,159)	-	(4,159)	-	-	22,309	MDPTPP03817084	MDPTPP03817086	-
4/28/2000	CHECK	(29,192)	-	(29,192)	-	-	(6,883)	MDPTPP03817084	MDPTPP03817086	-
6/8/2000	TRANS FROM 1KW16130 (JKW161)	13,200 <sup>(3)</sup>	-	-	-	-	(6,883)	MDPTPP03817095	MDPTPP03817097	-
6/8/2000	TRANS FROM 1KW15630 (JKW156)	13,200	-	-	13,200	-	6,317	MDPTPP03817095	MDPTPP03817097	-
6/14/2000	CHECK	(95,300)	-	(95,300)	-	-	(89,983)	MDPTPP03817095	MDPTPP03817097	-
7/3/2000	CHECK	(30,000)	-	(30,000)	-	-	(118,983)	MDPTPP03817099	MDPTPP03817103	STESAF0064727
7/12/2000	CHECK	(9,500)	-	(9,500)	-	-	(128,483)	MDPTPP03817099	MDPTPP03817103	STESAF0064727
7/18/2000	TRANS TO 1KW28430 (JKW284)	(5,000) <sup>(4)</sup>	-	-	-	-	(128,483)	MDPTPP03817099	MDPTPP03817103	STESAF0064727
7/18/2000	TRANS TO 1KW26730 (JKW267)	(8,000) <sup>(4)</sup>	-	-	-	-	(128,483)	MDPTPP03817099	MDPTPP03817103	STESAF0064727
8/8/2000	CHECK	(80,000)	-	(80,000)	-	-	(208,483)	MDPTPP03817105	MDPTPP03817108	STESAF0062767
8/29/2000	TRANS TO 1KW30230 (JKW302)	(500,000) <sup>(4)</sup>	-	-	-	-	(208,483)	MDPTPP03817105	MDPTPP03817108	STESAF0062771
9/11/2000	CHECK	(99,450)	-	(99,450)	-	-	(307,933)	MDPTPP03817110	MDPTPP03817115	STESAF0067230
9/18/2000	CANCEL CHECK	99,450	-	-	-	-	(208,483)	MDPTPP03817110	MDPTPP03817115	STESAF0067230
9/18/2000	CHECK	(99,450)	-	(99,450)	-	-	(307,933)	MDPTPP03817110	MDPTPP03817115	STESAF0067230
9/27/2000	CHECK	231,000	231,000	-	-	-	(76,933)	MDPTPP03817110	MDPTPP03817115	STESAF0067230
10/25/2000	TRANS FROM 1KW29430 (JKW294)	14,759 <sup>(3)</sup>	-	-	-	-	(76,933)	MDPTPP03817117	MDPTPP03817121	STESAC0004250
11/1/2000	CHECK	(216,804)	-	-	-	-	(293,737)	MDPTPP03817123	MDPTPP03817128	STESAC00096229
12/19/2000	TRANS FROM 1KW29430 (JKW294)	57 <sup>(3)</sup>	-	-	-	-	(293,737)	MDPTPP03817131	MDPTPP03817135	-
1/12/2001	CHECK	12,000	12,000	-	-	-	(281,737)	MDPTPP03817131	MDPTPP03817141	-
5/8/2001	CHECK	(50,000)	-	(50,000)	-	-	(331,737)	MDPTPP03817160	MDPTPP03817162	-
5/14/2001	TRANS TO 1KW13030 (JKW130)	(14,000) <sup>(4)</sup>	-	-	-	-	(331,737)	MDPTPP03817160	MDPTPP03817162	-
5/15/2001	TRANS TO 1KW33130 (JKW331)	(20,000) <sup>(4)</sup>	-	-	-	-	(331,737)	MDPTPP03817160	MDPTPP03817162	-
6/15/2001	CHECK	(54,600)	-	(54,600)	-	-	(386,337)	MDPTPP03817164	MDPTPP03817168	-
6/22/2001	CHECK	25,000	25,000	-	-	-	(361,337)	MDPTPP03817164	MDPTPP03817168	-
8/10/2001	CHECK	25,000	25,000	-	-	-	(336,337)	MDPTPP03817175	MDPTPP03817180	-
11/28/2001	TRANS TO 1KW30230 (JKW302)	(12,000) <sup>(4)</sup>	-	-	-	-	(336,337)	MDPTPP03817193	MDPTPP03817197	STESAD0075479
11/28/2001	TRANS TO 1KW13030 (JKW130)	(30,000) <sup>(4)</sup>	-	-	-	-	(336,337)	MDPTPP03817193	MDPTPP03817197	STESAD0075479
12/7/2001	CHECK	(29,700)	-	(29,700)	-	-	(366,037)	MDPTPP03817199	MDPTPP03817202	STESAD0077870
12/13/2001	CHECK	25,000	25,000	-	-	-	(341,037)	MDPTPP03817199	MDPTPP03817202	STESAD0077870
12/28/2001	CHECK	60,000	60,000	-	-	-	(281,037)	MDPTPP03817199	MDPTPP03817202	STESAD0077870
2/21/2002	TRANS TO 1KW35830 (JKW358)	(291,552) <sup>(4)</sup>	-	-	-	-	(281,037)	MDPTPP03817210	MDPTPP03817213	STESAD00049151
4/10/2002	CHECK	(20,000)	-	(20,000)	-	-	(301,037)	MDPTPP03817223	MDPTPP03817228	-
5/14/2002	CHECK	(15,000)	-	(15,000)	-	-	(316,037)	MDPTPP03817230	MDPTPP03817235	STESAC0071676
7/3/2002	TRANS TO 1KW36430 (JKW364)	(10,000) <sup>(4)</sup>	-	-	-	-	(316,037)	MDPTPP03817243	MDPTPP03817248	STESAC0063314
9/20/2002	CHECK	(22,000)	-	(22,000)	-	-	(338,037)	MDPTPP03817256	MDPTPP03817259	STESAC0039892
1/16/2003	CHECK	(20,000)	-	(20,000)	-	-	(358,037)	MDPTPP03817278	MDPTPP03817283	STESAC0113149
3/3/2003	TRANS FROM 1KW00130 (JKW001)	5,040 <sup>(3)</sup>	-	-	-	-	(358,037)	MDPTPP03817290	MDPTPP03817296	STESAC0107756
3/10/2003	CHECK	(37,400)	-	(37,400)	-	-	(395,437)	MDPTPP03817290	MDPTPP03817296	STESAC0107756
4/16/2003	CHECK	(65,000)	-	(65,000)	-	-	(460,437)	MDPTPP03817298	MDPTPP03817300	STESAC0111410
8/28/2003	CHECK	(18,000)	-	(18,000)	-	-	(478,437)	MDPTPP03817322	MDPTPP03817326	STESAD0067606
9/10/2003	CHECK	(28,000)	-	(28,000)	-	-	(506,437)	MDPTPP03817328	MDPTPP03817334	STESAD0070191
9/10/2003	TRANS TO 1KW40230 (JKW402)	(10,000) <sup>(4)</sup>	-	-	-	-	(506,437)	MDPTPP03817328	MDPTPP03817334	STESAD0070191
9/30/2003	CHECK	157,000	157,000	-	-	-	(349,437)	MDPTPP03817334	MDPTPP03817350	STESAD0070195
12/2/2003	CHECK	10,000	10,000	-	-	-	(339,437)	MDPTPP03817348	MDPTPP03817350	STESAC0020407
1/8/2004	CHECK	(37,000)	-	(37,000)	-	-	(376,437)	MDPTPP03817352	MDPTPP03817357	-
10/4/2004	CHECK	(80,000)	-	(80,000)	-	-	(456,437)	MDPTPP03817405	MDPTPP03817407	-

BLMIS ACCOUNT NO. 1KW004 (FORMERLY 100651) - ARTHUR FRIEDMAN & RUTH FRIEDMAN J/T WROS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(5)</sup>
7/11/2005	CHECK	400,000	400,000	-	-	-	(56,437)	MDFTPP03817455	MDFTPP03817457	STESAC0007595
9/14/2005	CHECK	(64,000)	-	(64,000)	-	-	(120,437)	MDFTPP03817462	MDFTPP03817468	
12/21/2006	CHECK	(60,000)	-	(60,000)	-	-	(180,437)	MDFTPP03817561	MDFTPP03817566	
1/16/2007	TRANS TO 1KW44730 (1KW447)	(400,000) <sup>(4)</sup>	-	-	-	-	(180,437)	MDFTPP03817568	MDFTPP03817570	
10/31/2007	CHECK	55,000	55,000	-	-	-	(125,437)	MDFTPP03817617	MDFTPP03817618	
3/18/2008	CHECK	35,000	35,000	-	-	-	(90,437)	MDFTPP03817636	MDFTPP03817638	STESAF0002111
4/4/2008	CHECK	10,000	10,000	-	-	-	(80,437)	MDFTPP03817639	MDFTPP03817644	STESAF0003250
7/15/2008	TRANS TO 1KW30230 (1KW302)	(10,000) <sup>(4)</sup>	-	-	-	-	(80,437)	MDFTPP03817656	MDFTPP03817657	STESAF0010686
	<b>Total:</b>		<b>\$ 2,137,080</b>	<b>\$ (2,287,254)</b>	<b>\$ 353,684</b>	<b>\$ (283,947)</b>	<b>\$ (80,437)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(3)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(4)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(5)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW005 (FORMERLY 100646) - BERNICE FRIEDMAN AND ARTHUR FRIEDMAN T/I/C

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(2)</sup>
9/6/1990	CHECK	70,000	70,000	-	-	-	70,000	MF00026686	MF00026686	
4/10/1992	CHECK	(25,400)	-	(25,400)	-	-	44,600	MF000465303	MF000465303	STESAD0015849
4/13/1993	CHECK	(13,500)	-	(13,500)	-	-	31,100	MF000422926	MF000422926	STESAC0004166
5/23/1994	CHECK	(18,065)	-	(18,065)	-	-	13,035	MF000275501	MF000275502	
4/18/1995	CHECK	(15,500)	-	(15,500)	-	-	(2,465)	MF00183399	MF001833402	STESAC0079493
12/27/1996	TRANS TO 1KW00430 (1KW004)	(86,166) <sup>(1)</sup>	-	-	-	-	(2,465)	MDFTPP03817951	MDFTPP03817954	
7/29/1997	TRANS TO 1KW00430 (1KW004)	(225) <sup>(1)</sup>	-	-	-	-	(2,465)	MDFTPP03817968	MDFTPP03817969	STESAD00040848
	<b>Total:</b>		<b>\$ 70,000</b>	<b>\$ (72,465)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (2,465)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW006 (FORMERLY 100660) - RUTH FRIEDMAN

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
12/23/1991	TRANS FROM 10199430 (1/01994)	224,780 <sup>(1)</sup>	-	-	65,000	-	65,000	MF00473381	MF00473381	
11/2/1993	CHECK	(312,000)	-	(312,000)	-	-	(247,000)	MF00373656	MF00373657	STESAF0092149
2/23/1994	CHECK	(9,000)	-	(9,000)	-	-	(256,000)	MF00300606	MF00300606	
3/7/1994	CXL CHECK 2/23/94	9,000	-	9,000	-	-	(247,000)	MF00293782	MF00293782	
3/7/1994	TRANS FROM 1KW07330 (IKW073)	234 <sup>(2)</sup>	-	-	-	-	(247,000)	MF00293782	MF00293782	
4/25/1994	CHECK	115,000	115,000	-	-	-	(132,000)	MF00287458	MF00287458	
5/23/1994	CHECK	300,000	300,000	-	-	-	168,000	MF00275504	MF00275505	
11/20/1996	CHECK	(627,000)	-	(627,000)	-	-	(459,000)	MDPTPP03818054	MDPTPP03818056	
3/14/1997	CHECK	(1,733)	-	(1,733)	-	-	(460,733)	MDPTPP03818066	MDPTPP03818067	STESAC0047623
3/24/1997	CXL CHECK	1,733 <sup>(3)</sup>	-	1,733	-	-	(459,000)	MDPTPP03818066	MDPTPP03818067	STESAC0047623
3/24/1997	TRANS TO 1KW00430 (IKW004)	(1,733)	-	-	-	-	(459,000)	MDPTPP03818066	MDPTPP03818067	STESAC0047623
	<b>Total:</b>		<b>\$ 415,000</b>	<b>\$ (939,000)</b>	<b>\$ 65,000</b>	<b>\$ -</b>	<b>\$ (459,000)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(4)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW007 (FORMERLY 100778) - ALLAN GOTTLIEB SCOTT GOTTLIEB & SAUL BKATZ TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(1)</sup>
5/8/1990	CHECK	144,280	144,280	-	-	-	144,280	MF00037745	MF00037745	
8/27/1992	CHECK	(238,156)	-	(238,156)	-	-	(93,876)	MF00457434	MF00457434	
	<b>Total:</b>		<b>\$ 144,280</b>	<b>\$ (238,156)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (93,876)</b>			STESAD0017590

<sup>(1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW011 (FORMERLY 101168) - DAVID KATZ & JULIE KATZ JT TENANTS C/O STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
12/14/1989	CHECK	33,000	33,000	-	-	-	33,000	MF00053357	MF00053357	
12/14/1989	CHECK	20,000	20,000	-	-	-	53,000	MF00053357	MF00053357	
1/14/1991	CHECK	223,000	223,000	-	-	-	276,000	MF00495346	MF00495346	
5/20/1991	CHECK	22,000	22,000	-	-	-	298,000	MF00487812	MF00487812	
12/22/1993	CHECK	5,000	5,000	-	-	-	303,000	MF00344526	MF00344527	STESAF00092609
6/10/1994	CHECK	6,500	6,500	-	-	-	309,500	MF00234671	MF00234672	
1/30/1995	TRANS TO 1KW11530 (1KW115)	(21,500)	-	-	-	(21,500)	288,000	MF00223574	MF00223576	
5/31/1996	CHECK	35,000	35,000	-	-	-	323,000	MDPTPP03823447	MDPTPP03823453	
12/4/1996	CHECK	20,000	20,000	-	-	-	343,000	MDPTPP03823492	MDPTPP03823496	
3/31/1997	CHECK	(67,500)	-	(67,500)	-	-	275,500	MDPTPP03823509	MDPTPP03823514	STESAC0047641
8/20/1997	TRANS TO 1KW13930 (1KW139)	(22,874)	-	-	-	(22,874)	252,626	MDPTPP03823541	MDPTPP03823546	
8/20/1997	TRANS FROM 1KW11930 (1KW119)	3,912	-	-	-	-	252,626	MDPTPP03823541	MDPTPP03823546	
9/5/1997	CHECK	(108,000)	-	(108,000)	-	-	144,626	MDPTPP03823548	MDPTPP03823551	STESAD0042298
9/11/1997	TRANS TO 1KW20130 (1KW201)	(827,117)	-	-	-	(144,626)	-	MDPTPP03823548	MDPTPP03823551	STESAD0042300
10/1/1997	CHECK	(8,000)	-	(8,000)	-	-	(8,000)	MDPTPP03823553	MDPTPP03823554	STESAD0043602
10/31/1997	TRANS FROM 1KW20130 (1KW201)	7,790	-	-	7,790	-	(210)	MDPTPP03823553	MDPTPP03823554	STESAD0043602
1/16/1998	TRANS TO 1KW20130 (1KW201)	(266)	-	-	-	-	(210)	MDPTPP03823562	MDPTPP03823563	STESAC0027511
	<b>Total:</b>		<b>\$ 364,500</b>	<b>\$ (183,500)</b>	<b>\$ 7,790</b>	<b>\$ (189,000)</b>	<b>\$ (210)</b>			

<sup>[1]</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[2]</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>[3]</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[4]</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW012 (FORMERLY 101191) - DAVID KATZ NATALIE AND HEATHER KATZ TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
9/17/1991	CHECK	49,000	49,000	-	-	-	49,000	MF00480191	MF00480191	
9/17/1991	CHECK	46,000	46,000	-	-	-	95,000	MF00480191	MF00480191	
9/17/1991	CHECK	17,000	17,000	-	-	-	112,000	MF00480191	MF00480191	
9/17/1991	CHECK	38,000	38,000	-	-	-	150,000	MF00480191	MF00480191	
6/16/1992	TRANS FROM IKW01530 (IKW015)	154,373 <sup>[1]</sup>	-	-	75,000	-	225,000	MF00461407	MF00461407	STESAC0103350
7/20/1992	CHECK	13,800	13,800	-	-	-	238,800	MF00459404	MF00459404	STESAC0104374
7/20/1992	CHECK	3,700	3,700	-	-	-	242,500	MF00459404	MF00459404	STESAC0104374
7/20/1992	CHECK	17,500	17,500	-	-	-	260,000	MF00459404	MF00459404	STESAC0104374
7/20/1992	CHECK	17,500	17,500	-	-	-	277,500	MF00459404	MF00459404	STESAC0104374
3/7/1994	TRANS FROM IKW01530 (IKW015)	250 <sup>[2]</sup>	-	-	-	-	277,500	MF00293794	MF00293795	
10/31/1994	TRANS FROM IKW03830 (IKW038)	418 <sup>[2]</sup>	-	-	-	-	277,500	MF00250784	MF00250787	
10/31/1994	TRANS FROM IKW02630 (IKW026)	109 <sup>[2]</sup>	-	-	-	-	277,500	MF00250784	MF00250787	
10/31/1994	TRANS FROM IKW03830 (IKW038)	418 <sup>[2]</sup>	-	-	-	-	277,500	MF00250784	MF00250787	
10/31/1994	TRANS FROM IKW01730 (IKW017)	392 <sup>[2]</sup>	-	-	-	-	277,500	MF00250784	MF00250787	
10/31/1994	TRANS FROM IKW01730 (IKW017)	214 <sup>[2]</sup>	-	-	-	-	277,500	MF00250784	MF00250787	
10/31/1994	TRANS FROM IKW01730 (IKW017)	463 <sup>[2]</sup>	-	-	-	-	277,500	MF00250784	MF00250787	
10/31/1994	TRANS FROM IKW06330 (IKW063)	162 <sup>[2]</sup>	-	-	-	-	277,500	MF00250784	MF00250787	
4/4/1995	TRANS FROM IKW03730 (IKW037)	143,140 <sup>[1]</sup>	-	-	14,876	-	292,376	MF00183422	MF00183425	STESAC0079533
4/28/1995	TRANS FROM IKW03730 (IKW037)	2,438 <sup>[2]</sup>	-	-	-	-	292,376	MF00183422	MF00183425	STESAC0079536
5/11/1995	TRANS FROM IKW03730 (IKW037)	263 <sup>[2]</sup>	-	-	-	-	292,376	MF00190550	MF00190551	STESAC0080332
10/23/1995	TRANS FROM IKW03730 (IKW037)	176 <sup>[2]</sup>	-	-	-	-	292,376	MF00116995	MF00116996	STESAC0083983
4/1/1997	CHECK	(500,000)	-	(500,000)	-	-	(207,624)	MDPTPP03823681	MDPTPP03823687	STESAD0037800
8/20/1997	TRANS TO IKW19830 (IKW198)	(601,129) <sup>[3]</sup>	-	-	-	-	(207,624)	MDPTPP03823706	MDPTPP03823707	STESAD0041501
9/30/1997	TRANS TO IKW19830 (IKW198)	(407) <sup>[3]</sup>	-	-	-	-	(207,624)	MDPTPP03823709	MDPTPP03823710	STESAD0042308
	<b>Total:</b>		<b>\$ 202,500</b>	<b>\$ (500,000)</b>	<b>\$ 89,876</b>	<b>\$ -</b>	<b>\$ (207,624)</b>			

<sup>[1]</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>[2]</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[3]</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[4]</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW013 (FORMERLY 101197) - DAYLE KATZ

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
12/23/1991	TRANS FROM 10199430 (101994)	224,780 <sup>(1)</sup>	-	-	65,000	-	65,000	MF00473789	MF00473789	
6/8/1993	CHECK	135,000	135,000	-	-	-	200,000	MF00406544	MF00406546	STESAF00089899
6/27/1995	CHECK	(255,700)	-	(255,700)	-	-	(55,700)	MF00164668	MF00164670	STESAC00080880
12/22/1998	CHECK	70,000	70,000	-	-	-	14,300	MDPTPP03823944	MDPTPP03823947	STESAC00051620
6/8/2000	TRANS FROM 1KW15630 (1KW156)	102,760	-	-	102,760	-	117,060	MDPTPP03824046	MDPTPP03824048	
6/8/2000	TRANS FROM 1KW16130 (1KW161)	102,760 <sup>(2)</sup>	-	-	-	-	117,060	MDPTPP03824046	MDPTPP03824048	
6/24/2002	CHECK	(1,000,000)	-	(1,000,000)	-	-	(882,940)	MDPTPP03824192	MDPTPP03824196	STESAC00067654
9/10/2003	TRANS TO 1KW40230 (1KW402)	(360,000) <sup>(3)</sup>	-	-	-	-	(882,940)	MDPTPP03824282	MDPTPP03824287	STESAD00070235
11/20/2003	CHECK	545,000	545,000	-	-	-	(337,940)	MDPTPP03824296	MDPTPP03824300	STESAD0003337
12/2/2003	CHECK	80,000	80,000	-	-	-	(257,940)	MDPTPP03824302	MDPTPP03824305	STESAC00020431
12/23/2003	CHECK	(550,000)	-	(550,000)	-	-	(807,940)	MDPTPP03824302	MDPTPP03824305	STESAC00020431
7/14/2004	CHECK	45,000	45,000	-	-	-	(762,940)	MDPTPP03824344	MDPTPP03824346	
8/16/2004	CHECK	200,000	200,000	-	-	-	(562,940)	MDPTPP03824348	MDPTPP03824353	
10/15/2004	CHECK	15,084	15,084	-	-	-	(547,856)	MDPTPP03824361	MDPTPP03824363	
12/14/2004	CHECK	(320,000)	-	(320,000)	-	-	(867,856)	MDPTPP03824372	MDPTPP03824377	STESAF00098160
12/21/2004	CHECK	21,000	21,000	-	-	-	(846,856)	MDPTPP03824372	MDPTPP03824377	STESAF00098162
3/23/2005	CHECK	29,403	29,403	-	-	-	(817,453)	MDPTPP03824391	MDPTPP03824395	STESAC0010623
5/9/2005	CHECK	25,000	23,000	-	-	-	(794,453)	MDPTPP03824401	MDPTPP03824405	STESAC00131995
7/5/2005	CHECK	500,000	500,000	-	-	-	(294,453)	MDPTPP03824413	MDPTPP03824415	STESAC00007619
7/13/2005	CHECK	1,100,000	1,100,000	-	-	-	805,547	MDPTPP03824413	MDPTPP03824415	STESAC00007619
7/29/2005	CHECK	33,000	33,000	-	-	-	838,547	MDPTPP03824413	MDPTPP03824415	STESAC00007621
8/1/2005	CHECK	(587,000)	-	(587,000)	-	-	251,547	MDPTPP03824417	MDPTPP03824419	STESAC00009287
10/20/2005	CHECK	28,000	28,000	-	-	-	279,547	MDPTPP03824429	MDPTPP03824435	STESAC00127237
11/1/2005	CHECK	496,000	496,000	-	-	-	775,547	MDPTPP03824437	MDPTPP03824441	STESAC00089333
12/19/2005	CHECK	101,115	101,115	-	-	-	876,662	MDPTPP03824443	MDPTPP03824449	STESAC00086841
12/23/2005	CHECK	(1,000,000)	-	(1,000,000)	-	-	(123,338)	MDPTPP03824443	MDPTPP03824449	STESAC00086841
1/16/2007	TRANS TO 1KW44730 (1KW447)	(800,000) <sup>(3)</sup>	-	-	-	-	(123,338)	MDPTPP03824530	MDPTPP03824532	
10/9/2007	CHECK	129,000	129,000	-	-	-	5,662	MDPTPP03824580	MDPTPP03824581	
1/3/2008	CHECK	63,500	63,500	-	-	-	69,162	MDPTPP03824595	MDPTPP03824597	STESAF00000020
3/18/2008	CHECK	75,627	75,627	-	-	-	144,789	MDPTPP03824600	MDPTPP03824602	STESAF00002121
6/6/2008	CHECK	52,900	52,900	-	-	-	197,689	MDPTPP03824616	MDPTPP03824618	STESAF00008971
9/29/2008	CHECK	(500,000)	-	(500,000)	-	-	(302,311)	MDPTPP03824629	MDPTPP03824633	STESAF00014878
10/9/2008	CHECK	682,746	682,746	-	-	-	380,435	MDPTPP03824635	MDPTPP03824637	STESAF0017609
			<b>Total:</b>	<b>\$ 4,425,375</b>	<b>\$ (4,212,700)</b>	<b>\$ 167,760</b>	<b>\$ -</b>	<b>\$ 380,435</b>		

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(4)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.



BLMIS ACCOUNT NO. IKW014 (FORMERLY 101138) - IRIS J KATZ C/O STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
12/18/1986	CHECK	500,000	500,000	-	-	-	500,000	MF00078787	MF00078787	
2/6/1991	CHECK	(22,000)	-	(22,000)	-	-	478,000	MF000493449	MF000493449	
3/1/1991	CHECK	(22,000)	-	(22,000)	-	-	456,000	MF00541635	MF00541635	
4/1/1991	CHECK	(22,000)	-	(22,000)	-	-	434,000	MF00489605	MF00489605	
5/1/1991	CHECK	(22,000)	-	(22,000)	-	-	412,000	MF00487752	MF00487752	
6/3/1991	CHECK	(22,000)	-	(22,000)	-	-	390,000	MF00486039	MF00486039	
7/1/1991	CHECK	(22,000)	-	(22,000)	-	-	368,000	MF00484177	MF00484178	
8/1/1991	CHECK	(22,000)	-	(22,000)	-	-	346,000	MF00482183	MF00482183	
9/3/1991	CHECK	(22,000)	-	(22,000)	-	-	324,000	MF00480082	MF00480082	
10/1/1991	CHECK	(22,000)	-	(22,000)	-	-	302,000	MF00477935	MF00477935	
11/1/1991	CHECK	(22,000)	-	(22,000)	-	-	280,000	MF00475801	MF00475802	
12/2/1991	CHECK	(22,000)	-	(22,000)	-	-	258,000	MF00473671	MF00473672	
1/2/1992	CHECK	(22,000)	-	(22,000)	-	-	236,000	MF00471702	MF00471702	STESAD0019548
5/17/1993	TRANS FROM IKW06830 (IKW068)	600,378	-	-	130,000	-	366,000	MF00418466	MF00418468	STESAC0002611
5/17/1993	TRANS FROM IKW02830 (IKW028)	1,031,781	-	-	478,173	-	844,173	MF00418466	MF00418468	STESAC0002611
2/1/1995	TRANS TO IKW02430 (IKW024)	(700,000)	-	-	-	(700,000)	144,173	MF00189081	MF00189082	STESAC00078447
2/8/1996	TRANS FROM IKW02430 (IKW024)	825,000	-	-	-	-	144,173	MDPTPP03824853	MDPTPP03824859	
2/12/1996	TRANS FROM IKW05030 (IKW050)	684,522	-	-	140,001	-	284,174	MDPTPP03824853	MDPTPP03824859	
2/12/1996	TRANS FROM IKW01830 (IKW018)	808,889	-	-	159,984	-	444,158	MDPTPP03824853	MDPTPP03824859	
2/28/1996	CHECK	539,000	539,000	-	-	-	983,158	MDPTPP03824853	MDPTPP03824859	
2/28/1996	CHECK	500,000	500,000	-	-	-	1,483,158	MDPTPP03824853	MDPTPP03824859	
3/4/1996	CHECK	1,832,800	1,832,800	-	-	-	3,315,958	MDPTPP03824861	MDPTPP03824868	
3/4/1996	TRANS FROM IKW07830 (IKW078)	938,508	-	-	130,000	-	3,445,958	MDPTPP03824861	MDPTPP03824868	
3/4/1996	TRANS FROM IKW15030 (IKW150)	1,364,205	-	-	1,356,995	-	4,802,953	MDPTPP03824861	MDPTPP03824868	
5/13/1996	TRANS TO IKW16130 (IKW161)	(2,000,000)	-	-	-	(2,000,000)	2,802,953	MDPTPP03824879	MDPTPP03824887	
7/23/1996	TRANS FROM IKW07830 (IKW078)	2,920	-	-	-	-	2,802,953	MDPTPP03824896	MDPTPP03824901	
7/23/1996	TRANS FROM IKW01830 (IKW018)	697	-	-	-	-	2,802,953	MDPTPP03824896	MDPTPP03824901	
7/23/1996	TRANS FROM IKW15030 (IKW150)	940	-	-	-	-	2,802,953	MDPTPP03824896	MDPTPP03824901	
7/23/1996	TRANS FROM IKW05030 (IKW050)	585	-	-	-	-	2,802,953	MDPTPP03824896	MDPTPP03824901	
6/4/1999	CHECK	(651,000)	-	(651,000)	-	-	2,151,953	MDPTPP03825166	MDPTPP03825172	
5/25/2001	TRANS FROM IKW23730 (IKW237)	23,553	-	-	-	-	2,151,953	MDPTPP03825390	MDPTPP03825393	
5/25/2001	TRANS FROM IKW27230 (IKW272)	3,696	-	-	-	-	2,151,953	MDPTPP03825390	MDPTPP03825393	
5/25/2001	TRANS FROM IKW23630 (IKW236)	31,360	-	-	31,360	-	2,183,313	MDPTPP03825390	MDPTPP03825393	
5/25/2001	TRANS FROM IKW25530 (IKW235)	28,913	-	(1,000,000)	-	-	2,183,313	MDPTPP03825602	MDPTPP03825614	
3/28/2003	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	(3,816,687)	MDPTPP03825655	MDPTPP03825675	STESAC00035882
5/27/2003	TRANS TO IKW15430 (IKW154)	(60,000)	-	(60,000)	-	-	(3,816,687)	MDPTPP03825683	MDPTPP03825699	STESAD00022313
6/25/2003	CHECK	(750,000)	-	(750,000)	-	-	(4,626,687)	MDPTPP03825703	MDPTPP03825716	STESAD00025207
7/1/2003	CHECK	(60,000)	-	(60,000)	-	-	(4,686,687)	MDPTPP03825719	MDPTPP03825733	STESAD00025225
8/1/2003	CHECK	(60,000)	-	(60,000)	-	-	(4,746,687)	MDPTPP03825736	MDPTPP03825745	STESAD00067650
9/2/2003	CHECK	(60,000)	-	(60,000)	-	-	(4,806,687)	MDPTPP03825747	MDPTPP03825765	STESAD00070245
10/1/2003	CHECK	(60,000)	-	(60,000)	-	-	(4,866,687)	MDPTPP03825768	MDPTPP03825778	STESAD00000262
11/3/2003	CHECK	(60,000)	-	(60,000)	-	-	(4,926,687)	MDPTPP03825781	MDPTPP03825793	STESAD00003301
12/1/2003	CHECK	(215,000)	-	(215,000)	-	-	(5,141,687)	MDPTPP03825795	MDPTPP03825813	STESAC0020443
12/3/2003	CHECK	(800,000)	-	(800,000)	-	-	(5,941,687)	MDPTPP03825795	MDPTPP03825813	STESAC0020443
1/2/2004	CHECK	(215,000)	-	(215,000)	-	-	(6,156,687)	MDPTPP03825815	MDPTPP03825827	STESAD00062792
1/7/2004	CHECK	215,000	215,000	-	-	-	(5,941,687)	MDPTPP03825815	MDPTPP03825827	STESAD00062792

BLMIS ACCOUNT NO. IKW014 (FORMERLY 101138) - IRIS J KATZ C/O STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
2/2/2004	CHECK	(215,000)	-	(215,000)	-	-	(6,156,687)	MDFTPP03825830	MDFTPP03825841	
3/1/2004	CHECK	(20,000)	-	(20,000)	-	-	(6,176,687)	MDFTPP03825843	MDFTPP03825846	
4/1/2004	CHECK	(20,000)	-	(20,000)	-	-	(6,196,687)	MDFTPP03825848	MDFTPP03825851	
5/3/2004	CHECK	(20,000)	-	(20,000)	-	-	(6,216,687)	MDFTPP03825866	MDFTPP03825876	
5/27/2004	CHECK	(250,000)	-	(250,000)	-	-	(6,466,687)	MDFTPP03825866	MDFTPP03825876	
6/1/2004	CHECK	(20,000)	-	(20,000)	-	-	(6,486,687)	MDFTPP03825878	MDFTPP03825899	
7/1/2004	CHECK	(20,000)	-	(20,000)	-	-	(6,506,687)	MDFTPP03825902	MDFTPP03825905	
8/2/2004	CHECK	(20,000)	-	(20,000)	-	-	(6,526,687)	MDFTPP03825907	MDFTPP03825920	
8/12/2004	TRANS TO IKW02430 (IKW024)	(300,000) <sup>[1]</sup>	-	-	-	-	(6,526,687)	MDFTPP03825907	MDFTPP03825920	
9/1/2004	CHECK	(20,000)	-	(20,000)	-	-	(6,546,687)	MDFTPP03825923	MDFTPP03825934	
10/1/2004	CHECK	(20,000)	-	(20,000)	-	-	(6,566,687)	MDFTPP03825936	MDFTPP03825939	
11/1/2004	CHECK	(20,000)	-	(20,000)	-	-	(6,586,687)	MDFTPP03825941	MDFTPP03825958	
12/1/2004	CHECK	(20,000)	-	(20,000)	-	-	(6,606,687)	MDFTPP03825961	MDFTPP03825973	
12/14/2004	CHECK	(875,000)	-	(875,000)	-	-	(7,481,687)	MDFTPP03825961	MDFTPP03825973	
1/3/2005	CHECK	(20,000)	-	(20,000)	-	-	(7,501,687)	MDFTPP03825975	MDFTPP03825988	
2/1/2005	CHECK	(20,000)	-	(20,000)	-	-	(7,521,687)	MDFTPP03825991	MDFTPP03825994	STESAC0013073
3/1/2005	CHECK	(20,000)	-	(20,000)	-	-	(7,541,687)	MDFTPP03825996	MDFTPP03826009	STESAC0010631
4/1/2005	CHECK	(20,000)	-	(20,000)	-	-	(7,561,687)	MDFTPP03826011	MDFTPP03826015	STESAC0013017
4/22/2005	CHECK	(500,000)	-	(500,000)	-	-	(8,061,687)	MDFTPP03826011	MDFTPP03826015	STESAC00130319
5/2/2005	CHECK	(20,000)	-	(20,000)	-	-	(8,081,687)	MDFTPP03826017	MDFTPP03826029	STESAC00132007
6/1/2005	CHECK	(20,000)	-	(20,000)	-	-	(8,101,687)	MDFTPP03826032	MDFTPP03826043	STESAC0006063
7/1/2005	CHECK	(20,000)	-	(20,000)	-	-	(8,121,687)	MDFTPP03826045	MDFTPP03826048	STESAC0007627
7/13/2005	CHECK	800,000	800,000	-	-	-	(7,321,687)	MDFTPP03826045	MDFTPP03826048	STESAC0007627
8/1/2005	CHECK	(20,000)	-	(20,000)	-	-	(7,341,687)	MDFTPP03826050	MDFTPP03826053	STESAC0009295
9/1/2005	CHECK	(65,000)	-	(65,000)	-	-	(7,406,687)	MDFTPP03826055	MDFTPP03826077	STESAC00123769
10/3/2005	CHECK	(65,000)	-	(65,000)	-	-	(7,471,687)	MDFTPP03826080	MDFTPP03826095	STESAC00127249
11/1/2005	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	(12,471,687)	MDFTPP03826098	MDFTPP03826104	STESAC00089345
11/1/2005	CHECK WIRE	(6,400,000)	-	(6,400,000)	-	-	(18,871,687)	MDFTPP03826098	MDFTPP03826104	STESAC00089345
11/1/2005	CHECK	(65,000)	-	(65,000)	-	-	(18,936,687)	MDFTPP03826098	MDFTPP03826104	STESAC00089345
12/1/2005	CHECK	(65,000)	-	(65,000)	-	-	(19,001,687)	MDFTPP03826106	MDFTPP03826120	STESAC00086851
1/3/2006	CHECK	(65,000)	-	(65,000)	-	-	(19,066,687)	MDFTPP03826122	MDFTPP03826128	STESAD0009389
2/1/2006	CHECK	(65,000)	-	(65,000)	-	-	(19,131,687)	MDFTPP03826130	MDFTPP03826133	STESAD0006681
3/1/2006	CHECK	(65,000)	-	(65,000)	-	-	(19,196,687)	MDFTPP03826135	MDFTPP03826141	
3/28/2006	CHECK	(400,000)	-	(400,000)	-	-	(19,596,687)	MDFTPP03826135	MDFTPP03826141	
4/3/2006	CHECK	(65,000)	-	(65,000)	-	-	(19,661,687)	MDFTPP03826143	MDFTPP03826149	STESAC00118870
5/1/2006	CHECK	(65,000)	-	(65,000)	-	-	(19,726,687)	MDFTPP03826151	MDFTPP03826156	STESAD00109568
6/1/2006	CHECK	(65,000)	-	(65,000)	-	-	(19,791,687)	MDFTPP03826158	MDFTPP03826162	STESAD00106985
7/3/2006	CHECK	(65,000)	-	(65,000)	-	-	(19,856,687)	MDFTPP03826164	MDFTPP03826170	STESAD00104064
8/1/2006	CHECK	(65,000)	-	(65,000)	-	-	(19,921,687)	MDFTPP03826172	MDFTPP03826176	STESAD00085091
9/1/2006	CHECK	(65,000)	-	(65,000)	-	-	(19,986,687)	MDFTPP03826178	MDFTPP03826182	STESAD00102984
10/2/2006	CHECK	(85,000)	-	(85,000)	-	-	(20,071,687)	MDFTPP03826184	MDFTPP03826189	STESAD00100106
11/1/2006	CHECK	(85,000)	-	(85,000)	-	-	(20,156,687)	MDFTPP03826191	MDFTPP03826195	
12/1/2006	CHECK	(85,000)	-	(85,000)	-	-	(20,241,687)	MDFTPP03826197	MDFTPP03826202	STESAD00094461
12/21/2006	CHECK	(500,000)	-	(500,000)	-	-	(20,741,687)	MDFTPP03826197	MDFTPP03826202	
12/2007	CHECK	(85,000)	-	(85,000)	-	-	(20,826,687)	MDFTPP03826204	MDFTPP03826206	
2/1/2007	CHECK	(85,000)	-	(85,000)	-	-	(20,911,687)	MDFTPP03826207	MDFTPP03826213	
3/1/2007	CHECK	(85,000)	-	(85,000)	-	-	(20,996,687)	MDFTPP03826215	MDFTPP03826220	
8/26/2008	CHECK	(415,000)	-	(415,000)	-	-	(21,411,687)	MDFTPP03826297	MDFTPP03826302	STESAF0014884
9/2/2008	CHECK	(75,000)	-	(75,000)	-	-	(21,486,687)	MDFTPP03826304	MDFTPP03826309	STESAF0014888
9/18/2008	CHECK	(120,000)	-	(120,000)	-	-	(21,606,687)	MDFTPP03826304	MDFTPP03826309	STESAF0014888
9/18/2008	CHECK	(133,000)	-	(133,000)	-	-	(21,739,687)	MDFTPP03826304	MDFTPP03826309	STESAF0014888
9/25/2008	CHECK	(500,000)	-	(500,000)	-	-	(22,239,687)	MDFTPP03826304	MDFTPP03826309	STESAF0014890

BLMIS ACCOUNT NO. IKW014 (FORMERLY 101138) - IRIS J KATZ C/O STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
10/1/2008	CHECK	(75,000)	-	(75,000)	-	-	(22,314,687)	MDPTPP03826311	MDPTPP03826313	STESAF0017617
11/3/2008	CHECK	(75,000)	-	(75,000)	-	-	(22,389,687)	MDPTPP03826315	MDPTPP03826320	
12/1/2008	CHECK	(75,000)	-	(75,000)	-	-	(22,464,687)	Checkbook	Checkbook	
	<b>Total:</b>		<b>\$ 4,386,800</b>	<b>\$ (26,578,000)</b>	<b>\$ 2,426,513</b>	<b>\$ (2,700,000)</b>	<b>\$ (22,464,687)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(4)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW016 (FORMERLY 101050) - IRIS & SAUL KATZ FAM FDN INC AND JUDY & FRED WILPON FAMILY FDN INC TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(2)</sup>
1/24/1992	CHECK A/O 1/9/92	100,000	100,000	-	-	-	100,000	MF00471655	MF00471655	
1/24/1992	CHECK A/O 1/9/92	100,000	100,000	-	-	-	200,000	MF00471655	MF00471655	
9/10/2003	CHECK	(10,000)	-	(10,000)	-	-	190,000	MDFTPP03828804	MDFTPP03828810	STESAD0070291
9/10/2003	TRANS TO IKW08630 (IKW086)	(10,000)	-	-	-	(10,000)	180,000	MDFTPP03828804	MDFTPP03828810	STESAD0070291
1/6/2005	TRANS TO IKW08630 (IKW086)	(688,702) <sup>(1)</sup>	-	-	-	(121,131)	58,869	MDFTPP03828900	MDFTPP03828901	STESAC0015680
1/6/2005	TRANS TO IKW25230 (IKW252)	(334,702) <sup>(1)</sup>	-	-	-	(58,869)	-	MDFTPP03828900	MDFTPP03828901	STESAC0015680
1/7/2005	CHECK	(354,000)	-	(354,000)	-	-	(354,000)	MDFTPP03828900	MDFTPP03828901	STESAC0015680
	<b>Total:</b>		<b>\$ 200,000</b>	<b>\$ (364,000)</b>	<b>\$ -</b>	<b>\$ (190,000)</b>	<b>\$ (354,000)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW017 (FORMERLY 101175) - IRIS KATZ & SAUL KATZ FAMILY FDN INC OTHERS ON FILE

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
7/9/1990	CHECK	1,000	1,000	-	-	-	1,000	MF00034837	MF00034837	
7/9/1990	CHECK	30,000	30,000	-	-	-	31,000	MF00034837	MF00034837	
7/9/1990	CHECK	55,000	55,000	-	-	-	86,000	MF00034837	MF00034837	
7/9/1990	CHECK	29,000	29,000	-	-	-	115,000	MF00034837	MF00034837	
7/9/1990	CHECK	65,000	65,000	-	-	-	180,000	MF00034837	MF00034837	
7/9/1990	CHECK	10,000	10,000	-	-	-	190,000	MF00034837	MF00034837	
7/9/1990	CHECK	100,000	100,000	-	-	-	290,000	MF00034837	MF00034837	
7/9/1990	CHECK	65,000	65,000	-	-	-	355,000	MF00034837	MF00034837	
7/9/1990	CHECK	10,000	10,000	-	-	-	365,000	MF00034837	MF00034837	
7/9/1990	CHECK	25,000	25,000	-	-	-	390,000	MF00034837	MF00034837	
7/9/1990	CHECK	75,000	75,000	-	-	-	465,000	MF00034837	MF00034837	
6/17/1994	TRANS TO IKW02330 (IKW023)	(112,039) <sup>(1)</sup>	-	-	-	(69,133)	395,867	MF00234686	MF00234687	
6/17/1994	TRANS TO IKW11630 (IKW116)	(61,086) <sup>(1)</sup>	-	-	-	(37,693)	358,173	MF00234686	MF00234687	
6/17/1994	TRANS TO IKW08730 (IKW087)	(20,362) <sup>(1)</sup>	-	-	-	(12,564)	345,609	MF00234686	MF00234687	
6/17/1994	TRANS TO IKW11930 (IKW119)	(132,401) <sup>(1)</sup>	-	-	-	(81,698)	263,911	MF00234686	MF00234687	
6/17/1994	TRANS TO IKW10630 (IKW106)	(203,668) <sup>(1)</sup>	-	-	-	(125,673)	138,238	MF00234686	MF00234687	
6/17/1994	TRANS TO IKW08330 (IKW083)	(203,668) <sup>(1)</sup>	-	-	-	(125,673)	12,564	MF00234686	MF00234687	
6/17/1994	TRANS TO IKW08830 (IKW088)	(20,362) <sup>(1)</sup>	-	-	-	(12,564)	-	MF00234686	MF00234687	
6/20/1994	CHECK	(61,086)	-	(61,086)	-	-	(61,086)	MF00234686	MF00234687	
6/20/1994	CHECK	(132,401)	-	(132,401)	-	-	(193,487)	MF00234686	MF00234687	
10/31/1994	TRANS TO IKW10630 (IKW106)	(712) <sup>(2)</sup>	-	-	-	-	(193,487)	MF00250801	MF00250801	
10/31/1994	TRANS TO IKW08830 (IKW088)	(71) <sup>(2)</sup>	-	-	-	-	(193,487)	MF00250801	MF00250801	
10/31/1994	TRANS TO IKW01230 (IKW012)	(392) <sup>(2)</sup>	-	-	-	-	(193,487)	MF00250801	MF00250801	
10/31/1994	TRANS TO IKW01230 (IKW012)	(214) <sup>(2)</sup>	-	-	-	-	(193,487)	MF00250801	MF00250801	
10/31/1994	TRANS TO IKW11830 (IKW118)	(463) <sup>(2)</sup>	-	-	-	-	(193,487)	MF00250801	MF00250801	
10/31/1994	TRANS TO IKW08330 (IKW083)	(712) <sup>(2)</sup>	-	-	-	-	(193,487)	MF00250801	MF00250801	
10/31/1994	TRANS TO IKW01230 (IKW012)	(463) <sup>(2)</sup>	-	-	-	-	(193,487)	MF00250801	MF00250801	
10/31/1994	TRANS TO IKW09630 (IKW096)	(214) <sup>(2)</sup>	-	-	-	-	(193,487)	MF00250801	MF00250801	
10/31/1994	TRANS TO IKW08730 (IKW087)	(71) <sup>(2)</sup>	-	-	-	-	(193,487)	MF00250801	MF00250801	
<b>Total:</b>			<b>\$ 465,000</b>	<b>\$ (193,487)</b>	<b>\$ -</b>	<b>\$ (465,000)</b>	<b>\$ (193,487)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW019 (FORMERLY 101198) - MICHAEL KATZ

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>(s)</sup>
12/23/1991	TRANS FROM 10199430 (101994)	224,780 <sup>(1)</sup>	-	-	65,000	-	65,000	MF00473790	MF00473790	
6/8/1993	CHECK	135,000	135,000	-	-	-	200,000	MF00406562	MF00406564	
9/9/1993	CHECK	(250,000)	-	(250,000)	-	-	(50,000)	MF00376784	MF00376786	
10/31/1994	TRANS FROM 1KW02130 (IKW021)	130 <sup>(2)</sup>	-	-	-	-	(50,000)	MF00250807	MF00250809	
2/28/1996	TRANS FROM 1KW06930 (IKW069)	202 <sup>(2)</sup>	-	-	-	-	(50,000)	MF00250807	MF00250809	
5/13/1996	TRANS FROM 1KW09830 (IKW098)	160,720 <sup>(1)</sup>	73,500	-	-	-	23,500	MDPTPP03829130	MDPTPP03829136	
7/26/1996	CHECK	10,000	10,000	-	100,450	-	123,950	MDPTPP03829154	MDPTPP03829160	
10/4/1996	CHECK	144,000	144,000	-	-	-	133,950	MDPTPP03829168	MDPTPP03829173	
11/20/1996	CHECK	(200,000)	-	(200,000)	-	-	277,950	MDPTPP03829187	MDPTPP03829191	
11/3/1997	CHECK	121,700	121,700	-	-	-	77,950	MDPTPP03829194	MDPTPP03829196	
1/20/1998	TRANS FROM 1KW16130 (IKW161)	267,300	-	-	267,300	-	199,650	MDPTPP03829261	MDPTPP03829265	STESAD0044607
2/12/1998	CHECK	(21,400)	-	(21,400)	-	-	445,550	MDPTPP03829279	MDPTPP03829282	STESAC0029108
4/16/1998	CHECK	(155,700)	-	(155,700)	-	-	289,850	MDPTPP03829291	MDPTPP03829294	STESAC0022629
6/12/1998	CHECK	(49,000)	-	(49,000)	-	-	240,850	MDPTPP03829305	MDPTPP03829308	STESAC0026080
8/11/1998	CHECK	(77,500)	-	(77,500)	-	-	163,350	MDPTPP03829315	MDPTPP03829319	STESAC0049931
9/14/1998	CHECK	(47,000)	-	(47,000)	-	-	116,350	MDPTPP03829321	MDPTPP03829327	STESAC0041711
12/11/1998	CHECK	(400,000)	-	(400,000)	-	-	(283,650)	MDPTPP03829341	MDPTPP03829344	STESAC0051658
12/22/1998	CHECK	70,000	70,000	-	-	-	(213,650)	MDPTPP03829341	MDPTPP03829344	STESAC0051658
1/13/1999	CHECK	(182,175)	-	(182,175)	-	-	(395,825)	MDPTPP03829346	MDPTPP03829350	STESAC0053257
4/30/1999	CHECK	68,700	68,700	-	-	-	(327,125)	MDPTPP03829364	MDPTPP03829366	STESAC0059884
6/1/1999	CHECK	99,000	99,000	-	-	-	(228,125)	MDPTPP03829374	MDPTPP03829379	
6/14/1999	CHECK	(121,000)	-	(121,000)	-	-	(349,125)	MDPTPP03829374	MDPTPP03829379	
7/21/1999	TRANS FROM 1KW16130 (IKW161)	320,760 <sup>(2)</sup>	-	-	-	-	(349,125)	MDPTPP03829381	MDPTPP03829384	
9/14/1999	CHECK	(77,500)	-	(77,500)	-	-	(426,625)	MDPTPP03829392	MDPTPP03829395	STESAD0031720
9/20/1999	CHECK	(120,000)	-	(120,000)	-	-	(546,625)	MDPTPP03829392	MDPTPP03829395	STESAD0031720
10/28/1999	TRANS FROM 1KW16130 (IKW161)	53,460 <sup>(2)</sup>	-	-	-	-	(546,625)	MDPTPP03829397	MDPTPP03829400	STESAD0035181
10/28/1999	TRANS FROM 1KW15130 (IKW151)	155,605	-	-	155,605	-	(391,020)	MDPTPP03829397	MDPTPP03829400	STESAD0035181
10/28/1999	TRANS FROM 1KW22130 (IKW221)	118,050	-	-	118,050	-	(272,970)	MDPTPP03829397	MDPTPP03829400	STESAD0035181
10/28/1999	TRANS FROM 1KW15630 (IKW156)	94,400	-	-	94,400	-	(178,570)	MDPTPP03829397	MDPTPP03829400	STESAD0035181
10/28/1999	TRANS FROM 1KW23930 (IKW239)	35,000	-	-	35,000	-	(143,570)	MDPTPP03829397	MDPTPP03829400	STESAD0035181
11/1/1999	TRANS FROM 1KW18030 (IKW180)	162,115 <sup>(2)</sup>	-	-	-	-	(143,570)	MDPTPP03829402	MDPTPP03829407	STESAD0035816
11/5/1999	CHECK	(322,100)	-	(322,100)	-	-	(465,670)	MDPTPP03829402	MDPTPP03829407	STESAD0035816
12/17/1999	CHECK	(24,035)	-	(24,035)	-	-	(489,705)	MDPTPP03829409	MDPTPP03829411	STESAF0074151
12/21/1999	CHECK	(10,000)	-	(10,000)	-	-	(499,705)	MDPTPP03829409	MDPTPP03829411	STESAF0074151
12/27/1999	CHECK	(40,000)	-	(40,000)	-	-	(539,705)	MDPTPP03829409	MDPTPP03829411	STESAF0074151
1/10/2000	CHECK	(57,600)	-	(57,600)	-	-	(597,305)	MDPTPP03829413	MDPTPP03829417	STESAF0075640
2/24/2000	TRANS FROM 1KW17530 (IKW175)	775,000	-	-	775,000	-	(177,695)	MDPTPP03829419	MDPTPP03829422	STESAF0077891
3/23/2000	CHECK	112,400	112,400	-	-	-	290,095	MDPTPP03829424	MDPTPP03829429	STESAF0070017
4/12/2000	CHECK	(448,000)	-	(448,000)	-	-	(157,905)	MDPTPP03829431	MDPTPP03829433	STESAF0072598
4/18/2000	CHECK	(94,311)	-	(94,311)	-	-	(252,16)	MDPTPP03829431	MDPTPP03829433	STESAF0072598
4/24/2000	CHECK	(32,236)	-	(32,236)	-	-	(284,452)	MDPTPP03829431	MDPTPP03829433	STESAF0072598
4/28/2000	CHECK	(183,993)	-	(183,993)	-	-	(468,445)	MDPTPP03829431	MDPTPP03829433	STESAF0072598
6/5/2000	TRANS FROM 1KW12130 (IKW121)	25,400	-	-	25,400	-	(443,045)	MDPTPP03829442	MDPTPP03829444	
6/8/2000	TRANS FROM 1KW14430 (IKW144)	722,743 <sup>(1)</sup>	-	-	476,100	-	33,055	MDPTPP03829442	MDPTPP03829444	
6/14/2000	CHECK	(586,800)	-	(586,800)	-	-	(553,745)	MDPTPP03829442	MDPTPP03829444	STESAF0064813
7/3/2000	CHECK	(45,000)	-	(45,000)	-	-	(598,745)	MDPTPP03829446	MDPTPP03829450	STESAF0064813
7/12/2000	CHECK	(73,600)	-	(73,600)	-	-	(672,345)	MDPTPP03829446	MDPTPP03829450	STESAF0064813
9/11/2000	CHECK	(219,800)	-	(219,800)	-	-	(892,145)	MDPTPP03829457	MDPTPP03829462	STESAF0067318
10/25/2000	TRANS FROM 1KW29130 (IKW291)	277,062 <sup>(2)</sup>	-	-	-	-	(892,145)	MDPTPP03829464	MDPTPP03829468	STESAC0094350
12/22/2000	CHECK	285,000	285,000	-	(607,145)	-	(607,145)	MDPTPP03829478	MDPTPP03829483	
1/1/2001	CHECK	(35,000)	-	(35,000)	-	-	(642,145)	MDPTPP03829485	MDPTPP03829490	
3/23/2001	CHECK	110,000	110,000	-	-	-	(532,145)	MDPTPP03829497	MDPTPP03829502	
4/9/2001	CHECK	1,450,000	1,450,000	-	-	-	917,855	MDPTPP03829504	MDPTPP03829508	
4/12/2001	CHECK	(590,000)	-	(590,000)	-	-	327,855	MDPTPP03829504	MDPTPP03829508	
6/13/2001	CHECK	(200,000)	-	(200,000)	-	-	127,855	MDPTPP03829514	MDPTPP03829519	

BELMIS ACCOUNT NO. 1KW019 (FORMERLY 101198) - MICHAEL KATZ

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>(5)</sup>
9/18/2001	CHECK	(433,000)	-	(433,000)	-	-	(305,145)	MDPTPP03829533	MDPTPP03829536	STESAC00032328
10/16/2001	CHECK	58,630	58,630	-	-	-	(246,515)	MDPTPP03829538	MDPTPP03829542	STESAC00032330
10/25/2001	CHECK	175,116	175,116	-	-	-	(71,399)	MDPTPP03829538	MDPTPP03829542	STESAC00032330
10/25/2001	CHECK	51,648	51,648	-	-	-	(19,751)	MDPTPP03829538	MDPTPP03829542	STESAD00077932
12/7/2001	CHECK	(60,500)	-	(60,500)	-	-	(80,251)	MDPTPP03829550	MDPTPP03829552	STESAD00077932
12/28/2001	CHECK	257,690	257,690	-	-	-	171,439	MDPTPP03829550	MDPTPP03829552	STESAD00077932
1/15/2002	CHECK	(225,000)	-	(225,000)	-	-	(47,561)	MDPTPP03829554	MDPTPP03829558	STESAD0046416
1/17/2002	CHECK	11,650	11,650	-	-	-	(35,911)	MDPTPP03829554	MDPTPP03829558	STESAD0046416
1/23/2002	CHECK	88,000	88,000	-	-	-	52,089	MDPTPP03829554	MDPTPP03829558	STESAD0046416
2/21/2002	TRANS TO 1KW35830 (1KW358)	(2,160,000) <sup>(3)</sup>	-	-	-	(52,089)	-	MDPTPP03829560	MDPTPP03829564	STESAD0049239
3/18/2002	TRANS FROM 1KW14430 (1KW144)	843 <sup>(2)</sup>	-	-	-	-	-	MDPTPP03829566	MDPTPP03829571	STESAD00054751
4/22/2002	CHECK	70,000	70,000	-	-	-	70,000	MDPTPP03829574	MDPTPP03829580	STESAD00054751
5/3/2002	CHECK	71,142	71,142	-	-	-	141,142	MDPTPP03829582	MDPTPP03829588	STESAC00071780
5/15/2002	CHECK	85,000	85,000	-	-	-	226,142	MDPTPP03829582	MDPTPP03829588	STESAC00071784
5/29/2002	CHECK	285,000	285,000	-	-	-	511,142	MDPTPP03829582	MDPTPP03829588	STESAC00071786
8/26/2002	CHECK	175,673	175,673	-	-	-	686,815	MDPTPP03829604	MDPTPP03829608	STESAC0064919
10/25/2002	TRANS FROM 1KW37130 (1KW371)	24,000	-	-	24,000	-	710,815	MDPTPP03829614	MDPTPP03829619	STESAC0037029
11/18/2002	CHECK	119,621	119,621	-	-	-	830,436	MDPTPP03829621	MDPTPP03829624	STESAC0035902
3/10/2003	CHECK	(356,500)	-	(356,500)	-	-	473,936	MDPTPP03829643	MDPTPP03829649	STESAC0107884
5/8/2003	CHECK	150,000	150,000	-	-	-	623,936	MDPTPP03829655	MDPTPP03829660	STESAD0022345
6/23/2003	CHECK	(251,300)	-	(251,300)	-	-	372,636	MDPTPP03829662	MDPTPP03829666	STESAD0025251
12/23/2003	CHECK	(400,000)	-	(400,000)	-	-	(27,364)	MDPTPP03829701	MDPTPP03829703	STESAC0020491
5/26/2004	TRANS TO 1KW41230 (1KW412)	(225,000) <sup>(4)</sup>	-	-	-	-	(27,364)	MDPTPP03829730	MDPTPP03829734	-
7/13/2004	CHECK	171,200	171,200	-	-	-	143,836	MDPTPP03829744	MDPTPP03829746	-
8/16/2004	CHECK	200,000	200,000	-	-	-	343,836	MDPTPP03829748	MDPTPP03829753	-
12/14/2004	CHECK	(250,000)	-	(250,000)	-	-	93,836	MDPTPP03829771	MDPTPP03829776	-
7/13/2005	CHECK	1,100,000	1,100,000	-	-	-	1,193,836	MDPTPP03829812	MDPTPP03829814	STESAF0098214
7/22/2005	CHECK	680,000	680,000	-	-	-	1,873,836	MDPTPP03829812	MDPTPP03829814	STESAC0007637
10/3/2005	CHECK	(351,900)	-	(351,900)	-	-	1,521,936	MDPTPP03829827	MDPTPP03829833	STESAC0127285
10/20/2005	CHECK	400,000	400,000	-	-	-	1,921,936	MDPTPP03829827	MDPTPP03829833	STESAC0127291
3/28/2006	CHECK	(400,000)	-	(400,000)	-	-	1,521,936	MDPTPP03829860	MDPTPP03829866	-
3/30/2006	CHECK	(1,150,000)	-	(1,150,000)	-	-	371,936	MDPTPP03829860	MDPTPP03829866	-
10/9/2007	CHECK	580,000	580,000	-	-	-	951,936	MDPTPP03829976	MDPTPP03829977	-
11/1/2007	CHECK	215,000	215,000	-	-	-	1,166,936	MDPTPP03829979	MDPTPP03829983	-
11/8/2007	CHECK	800,000	800,000	-	-	-	1,966,936	MDPTPP03829979	MDPTPP03829983	-
1/10/2008	CHECK	(160,000)	-	(160,000)	-	-	1,806,936	MDPTPP03829991	MDPTPP03829993	STESAF0000030
9/24/2008	CHECK	(1,500,000)	-	(1,500,000)	-	-	306,936	MDPTPP03830024	MDPTPP03830028	STESAF0014902
	<b>Total:</b>		<b>\$ 8,424,670</b>	<b>\$ (10,201,950)</b>	<b>\$ 2,136,305</b>	<b>\$ (52,089)</b>	<b>\$ 306,936</b>			

<sup>(1)</sup> Although BELMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BELMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Although BELMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(4)</sup> Although BELMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(5)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BELMIS ACCOUNT NO. IKW020 (FORMERLY 101139) - MICHAEL KATZ & DAYLE KATZ/J/T WROS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
12/18/1986	CHECK	500,000	500,000	-	-	-	500,000	MF00078789	MF00078789	STESAC0003464
2/11/1993	CHECK	(40,000)	-	(40,000)	-	-	460,000	MF000432569	MF000432570	STESAC0003929
3/1/1993	CHECK	(40,000)	-	(40,000)	-	-	420,000	MF000427829	MF000427830	STESAC0004278
4/1/1993	CHECK	(40,000)	-	(40,000)	-	-	380,000	MF000422965	MF000422966	STESAC0002353
5/3/1993	CHECK	(40,000)	-	(40,000)	-	-	340,000	MF000418488	MF000418490	
6/1/1993	CHECK	(40,000)	-	(40,000)	-	-	300,000	MF000406566	MF000406567	
7/1/1993	CHECK	(40,000)	-	(40,000)	-	-	260,000	MF000398666	MF000398667	
8/2/1993	CHECK	(40,000)	-	(40,000)	-	-	220,000	MF000383805	MF000383806	
9/1/1993	CHECK	(40,000)	-	(40,000)	-	-	180,000	MF000376788	MF000376789	STESAF00091664
10/1/1993	CHECK	(40,000)	-	(40,000)	-	-	140,000	MF000350223	MF000350224	
11/1/1993	CHECK	(40,000)	-	(40,000)	-	-	100,000	MF000373693	MF000373694	
11/2/1993	CHECK	(385,000)	-	(385,000)	-	-	(285,000)	MF000373693	MF000373694	
12/1/1993	CHECK	(40,000)	-	(40,000)	-	-	(325,000)	MF000344552	MF000344553	
1/3/1994	CHECK	(40,000)	-	(40,000)	-	-	(365,000)	MF000314398	MF000314399	STESAF00092645
2/1/1994	CHECK	(40,000)	-	(40,000)	-	-	(405,000)	MF000300640	MF000300641	
2/16/1994	TRANS FROM IKW02130 (IKW021)	58,645 <sup>(1)</sup>	-	-	20,000	-	(385,000)	MF000300640	MF000300641	
2/16/1994	TRANS FROM IKW06930 (IKW069)	92,872 <sup>(1)</sup>	-	-	24,984	-	(360,016)	MF000300640	MF000300641	
3/1/1994	CHECK	(40,000)	-	(40,000)	-	-	(400,016)	MF000293824	MF000293825	
4/4/1994	CHECK	(40,000)	-	(40,000)	-	-	(440,016)	MF000287498	MF000287500	
5/2/1994	CHECK	(40,000)	-	(40,000)	-	-	(480,016)	MF000275539	MF000275540	
6/1/1994	CHECK	(40,000)	-	(40,000)	-	-	(520,016)	MF000234695	MF000234696	
7/1/1994	CHECK	(40,000)	-	(40,000)	-	-	(560,016)	MF000226865	MF000226866	
8/1/1994	CHECK	(40,000)	-	(40,000)	-	-	(600,016)	MF000237884	MF000237885	
9/1/1994	CHECK	(40,000)	-	(40,000)	-	-	(640,016)	MF000260315	MF000260316	
10/3/1994	CHECK	(40,000)	-	(40,000)	-	-	(680,016)	MF000250811	MF000250813	
11/1/1994	CHECK	(40,000)	-	(40,000)	-	-	(720,016)	MF000209221	MF000209222	
12/1/1994	CHECK	(40,000)	-	(40,000)	-	-	(760,016)	MF000228272	MF000228273	
1/3/1995	CHECK	(40,000)	-	(40,000)	-	-	(800,016)	MF000223602	MF000223604	
2/1/1995	CHECK	(40,000)	-	(40,000)	-	-	(840,016)	MF000189093	MF000189094	STESAC00078464
3/1/1995	CHECK	(40,000)	-	(40,000)	-	-	(880,016)	MF000181324	MF000181325	STESAC00078899
3/2/1995	CHECK	(25,000)	-	(25,000)	-	-	(905,016)	MF000181324	MF000181325	STESAC00078899
4/3/1995	CHECK	(40,000)	-	(40,000)	-	-	(945,016)	MF000183450	MF000183453	STESAC00079581
5/1/1995	CHECK	(40,000)	-	(40,000)	-	-	(985,016)	MF000190568	MF000190569	STESAC00080362
6/1/1995	CHECK	(40,000)	-	(40,000)	-	-	(1,025,016)	MF000164685	MF000164687	STESAC00080910
6/16/1995	TRANS TO IKW14430 (IKW144)	(49,200) <sup>(2)</sup>	-	-	-	-	(1,025,016)	MF000164685	MF000164687	STESAC00080911
6/27/1995	CHECK	(255,700)	-	(255,700)	-	-	(1,280,716)	MF000164685	MF000164687	STESAC00080911
7/3/1995	CHECK	(16,500)	-	(16,500)	-	-	(1,297,216)	MF000175984	MF000175986	STESAC00082137
8/1/1995	CHECK	(16,500)	-	(16,500)	-	-	(1,313,716)	MF000137284	MF000137285	STESAC00082657
9/1/1995	CHECK	(16,500)	-	(16,500)	-	-	(1,330,216)	MF000127191	MF000127194	STESAC00083218
9/13/1995	TRANS FROM IKW09830 (IKW098)	215,250	-	-	215,250	-	(1,114,966)	MF000127191	MF000127194	STESAC00083219
10/2/1995	CHECK	(16,500)	-	(16,500)	-	-	(1,131,466)	MF000170113	MF000170114	STESAC00084013
10/23/1995	TRANS FROM IKW02230 (IKW022)	509 <sup>(3)</sup>	-	-	-	-	(1,131,466)	MF000170113	MF000170114	STESAC00084013
11/1/1995	CHECK	(16,500)	-	(16,500)	-	-	(1,147,966)	MF00010965	MF00010966	STESAC00084543
12/1/1995	CHECK	200,000	200,000	-	-	-	(1,147,966)	MDPTPP03830241	MDPTPP03830245	STESAC00081520
1/21/1997	CHECK	(104,625)	-	(104,625)	-	-	(964,466)	MDPTPP03830325	MDPTPP03830331	STESAC00059204
3/31/1997	CHECK	(25,500)	-	(25,500)	-	-	(1,094,591)	MDPTPP03830339	MDPTPP03830344	STESAC00047683
4/7/1997	CHECK	(25,500)	-	(25,500)	-	-	(1,120,091)	MDPTPP03830346	MDPTPP03830352	STESAD00037840
6/11/1997	CHECK	18,700	18,700	-	-	-	(1,101,391)	MDPTPP03830359	MDPTPP03830364	STESAD00039353
8/12/1997	CHECK	8,800	8,800	-	-	-	(1,092,591)	MDPTPP03830371	MDPTPP03830376	STESAD00041528
9/12/1997	CHECK	(10,500)	-	(10,500)	-	-	(1,103,091)	MDPTPP03830378	MDPTPP03830381	STESAD00042356
10/10/1997	CHECK	(5,600)	-	(5,600)	-	-	(1,108,691)	MDPTPP03830383	MDPTPP03830386	
12/22/1997	CHECK WIRE	(75,560)	-	(75,560)	-	-	(1,184,251)	MDPTPP03830395	MDPTPP03830398	STESAD00043629
12/31/1997	TRANS FROM IKW15330 (IKW153)	710,052 <sup>(1)</sup>	-	-	506,434	-	(677,817)	MDPTPP03830395	MDPTPP03830398	
4/13/1998	CHECK	15,000	15,000	-	-	-	(662,817)	MDPTPP03830418	MDPTPP03830421	STESAC00022639
4/22/1998	TRANS FROM IKW15330 (IKW153)	275 <sup>(3)</sup>	-	-	-	-	(662,817)	MDPTPP03830418	MDPTPP03830421	STESAC00022641
12/11/1998	CHECK	(315,300)	-	(315,300)	-	-	(978,117)	MDPTPP03830465	MDPTPP03830468	STESAC00051668



BELMIS ACCOUNT NO. IKW020 (FORMERLY 101139) - MICHAEL KATZ & DAYLE KATZ/J/T WROS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
12/22/1998	CHECK	36,000	36,000	-	-	-	(942,117)	MDFTPP03830465	MDFTPP03830468	STESAC0051670
3/29/1999	CHECK	(57,795)	-	(57,795)	-	-	(999,912)	MDFTPP03830481	MDFTPP03830486	STESAC0056865
3/29/1999	TRANS TO IKW17530 (IKW/75)	(387,500) <sup>[2]</sup>	-	-	-	-	(999,912)	MDFTPP03830481	MDFTPP03830486	STESAC0056865
4/13/1999	CHECK	147,500	-	(272,000)	-	-	(1,271,912)	MDFTPP03830488	MDFTPP03830490	STESAC0059890
4/14/1999	TRANS FROM IKW11430 (IKW/14)	80,000	80,000	-	147,500	-	(1,124,412)	MDFTPP03830488	MDFTPP03830490	STESAC0059890
8/27/1999	CHECK	80,000	80,000	-	-	-	(1,044,412)	MDFTPP03830510	MDFTPP03830515	STESAD0029570
9/1/1999	CHECK	60,000	60,000	-	-	-	(984,412)	MDFTPP03830517	MDFTPP03830520	STESAD0031730
11/15/1999	CHECK	17,000	17,000	-	-	-	(967,412)	MDFTPP03830528	MDFTPP03830533	STESAF0077903
2/29/2000	CHECK	(140,400)	-	(140,400)	-	-	(1,107,812)	MDFTPP03830547	MDFTPP03830550	STESAF0077903
3/7/2000	CHECK	107,520	107,520	-	-	-	(1,000,292)	MDFTPP03830552	MDFTPP03830557	STESAF0070023
3/7/2000	CHECK	90,240	90,240	-	-	-	(910,052)	MDFTPP03830557	MDFTPP03830557	STESAF0070023
7/5/2000	CHECK	100,000	100,000	-	-	-	(810,052)	MDFTPP03830574	MDFTPP03830579	STESAF0064825
7/31/2000	CHECK	40,000	40,000	-	-	-	(770,052)	MDFTPP03830574	MDFTPP03830579	STESAF0064825
9/27/2000	CHECK	2,290,750	2,290,750	-	-	-	1,520,698	MDFTPP03830586	MDFTPP03830591	STESAF0064829
10/3/2000	CHECK	217,000	217,000	-	-	-	1,737,698	MDFTPP03830593	MDFTPP03830597	STESAF0064829
11/1/2000	CHECK	(2,990,647)	-	(2,990,647)	-	-	(1,252,949)	MDFTPP03830599	MDFTPP03830604	STESAC0094358
12/19/2000	TRANS FROM IKW29130 (IKW291)	1,090 <sup>[3]</sup>	-	-	-	-	(1,252,949)	MDFTPP03830607	MDFTPP03830611	STESAC0094358
2/16/2001	CHECK	40,000	40,000	-	-	-	(1,212,949)	MDFTPP03830620	MDFTPP03830623	STESAC0094358
4/26/2001	CHECK	70,000	70,000	-	-	-	(1,142,949)	MDFTPP03830632	MDFTPP03830636	STESAC0094358
4/27/2001	CHECK	41,877	41,877	-	-	-	(1,101,072)	MDFTPP03830632	MDFTPP03830636	STESAC0094358
5/9/2001	CHECK	100,000	100,000	-	-	-	(1,001,072)	MDFTPP03830638	MDFTPP03830640	STESAC0094358
5/18/2001	CHECK	130,000	130,000	-	-	-	(871,072)	MDFTPP03830638	MDFTPP03830640	STESAC0094358
5/21/2001	CHECK	70,000	70,000	-	-	-	(801,072)	MDFTPP03830638	MDFTPP03830640	STESAC0094358
5/22/2001	CHECK	55,000	55,000	-	-	-	(746,072)	MDFTPP03830638	MDFTPP03830640	STESAC0094358
6/15/2001	CHECK	(196,300)	-	(196,300)	-	-	(942,372)	MDFTPP03830642	MDFTPP03830647	STESAC0094358
6/22/2001	CHECK	100,000	100,000	-	-	-	(842,372)	MDFTPP03830642	MDFTPP03830647	STESAC0094358
8/2/2001	CHECK	70,000	70,000	-	-	-	(772,372)	MDFTPP03830654	MDFTPP03830659	STESAC0094358
8/6/2001	CHECK	60,000	60,000	-	-	-	(712,372)	MDFTPP03830654	MDFTPP03830659	STESAC0094358
11/7/2001	CHECK	60,215	60,215	-	-	-	(652,156)	MDFTPP03830673	MDFTPP03830677	STESAD0075567
12/3/2001	CHECK	210,000	210,000	-	-	-	(442,156)	MDFTPP03830679	MDFTPP03830682	STESAD0075567
12/3/2001	CHECK	4,814	4,814	-	-	-	(437,342)	MDFTPP03830679	MDFTPP03830682	STESAD0075567
12/3/2001	CHECK	77,749	77,749	-	-	-	(359,594)	MDFTPP03830679	MDFTPP03830682	STESAD0075567
12/14/2001	CHECK	(133,500)	-	(133,500)	-	-	(493,094)	MDFTPP03830679	MDFTPP03830682	STESAD0075567
2/21/2002	TRANS TO IKW35830 (IKW358)	(269,443) <sup>[3]</sup>	-	-	-	-	(762,537)	MDFTPP03830691	MDFTPP03830695	STESAD0075567
3/22/2002	CHECK	(230,000)	-	(230,000)	-	-	(992,537)	MDFTPP03830697	MDFTPP03830704	STESAD0075567
4/12/2002	CHECK	(581,000)	-	(581,000)	-	-	(1,573,537)	MDFTPP03830707	MDFTPP03830712	STESAD0075567
6/13/2002	CHECK	(77,000)	-	(77,000)	-	-	(1,650,537)	MDFTPP03830721	MDFTPP03830725	STESAD0075567
8/28/2002	CHECK	400,000	400,000	-	-	-	(1,250,537)	MDFTPP03830734	MDFTPP03830738	STESAD0075567
9/20/2002	CHECK	(516,200)	-	(516,200)	-	-	(1,766,737)	MDFTPP03830740	MDFTPP03830743	STESAD0075567
1/16/2003	CHECK	(113,300)	-	(113,300)	-	-	(1,880,037)	MDFTPP03830757	MDFTPP03830760	STESAD0075567
3/10/2003	CHECK	(356,500)	-	(356,500)	-	-	(2,236,537)	MDFTPP03830774	MDFTPP03830783	STESAD0075567
3/13/2003	CHECK	54,635	54,635	-	-	-	(2,181,902)	MDFTPP03830774	MDFTPP03830783	STESAD0075567
3/13/2003	CHECK	44,000	44,000	-	-	-	(2,137,902)	MDFTPP03830774	MDFTPP03830783	STESAD0075567
3/13/2003	CHECK	44,000	44,000	-	-	-	(2,093,902)	MDFTPP03830774	MDFTPP03830783	STESAD0075567
3/13/2003	CHECK	44,000	44,000	-	-	-	(2,049,902)	MDFTPP03830774	MDFTPP03830783	STESAD0075567
3/13/2003	CANCEL CHECK	(44,000)	(44,000)	-	-	-	(2,005,902)	MDFTPP03830774	MDFTPP03830783	STESAD0075567
8/19/2003	TRANS FROM IKW37130 (IKW371)	37,364 <sup>[1]</sup>	-	-	32,926	-	(1,968,536)	MDFTPP03830810	MDFTPP03830814	STESAD0067700
8/25/2003	CHECK	1,360,000	1,360,000	-	-	-	(808,536)	MDFTPP03830810	MDFTPP03830814	STESAD0067700

BLMIS ACCOUNT NO. IKW020 (FORMERLY 101139) - MICHAEL KATZ & DAYLE KATZ/J/T WROS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
9/10/2003	TRANS TO IKW40230 (/KW402)	(1,655,000) <sup>[2]</sup>	-	-	-	-	(503,483)	MDPTPP03830816	MDPTPP03830821	STESAD0070321
10/14/2003	TRANS FROM IKW37130 (/KW371)	466 <sup>[3]</sup>	-	-	-	-	(503,483)	MDPTPP03830823	MDPTPP03830828	STESAD0000318
1/12/2004	CHECK	290,000	290,000	-	-	-	(213,483)	MDPTPP03830839	MDPTPP03830846	STESAD00062854
3/4/2004	CHECK	(350,000)	-	(350,000)	-	-	(563,483)	MDPTPP03830855	MDPTPP03830857	
5/26/2004	TRANS TO IKW41230 (/KW412)	(175,000) <sup>[2]</sup>	-	-	-	-	(563,483)	MDPTPP03830867	MDPTPP03830871	
11/1/2005	CHECK	225,000	225,000	-	-	-	(338,483)	MDPTPP03830968	MDPTPP03830972	STESAC00089373
11/7/2005	CHECK	135,000	135,000	-	-	-	(203,483)	MDPTPP03830968	MDPTPP03830972	STESAC00089373
3/30/2006	CHECK	(350,000)	-	(350,000)	-	-	(553,483)	MDPTPP03830993	MDPTPP03830999	
	<b>Total:</b>		<b>\$ 7,423,300</b>	<b>\$ (8,923,877)</b>	<b>\$ 947,094</b>	<b>\$ -</b>	<b>\$ (553,483)</b>			

<sup>[1]</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>[2]</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[3]</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[4]</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW022 (FORMERLY 101155) - MICHAEL KATZ AND RICHARD A WILPON TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
9/20/1988	CHECK	250,000	250,000	-	-	-	250,000	MF00531475	MF00531475	
6/27/1995	CHECK	(435,232)	-	(435,232)	-	-	(185,232)	MF00164689	MF00164690	STESAC0080917
6/27/1995	CHECK	(435,232)	-	(435,232)	-	-	(620,464)	MF00164689	MF00164690	STESAC0080917
10/23/1995	TRANS TO IKW02030 (IKW020)	(509) <sup>(1)</sup>	-	-	-	-	(620,464)	MF00117016	MF00117016	STESAC0084018
10/23/1995	TRANS TO IKW08130 (IKW081)	(509) <sup>(1)</sup>	-	-	-	-	(620,464)	MF00117016	MF00117016	STESAC0084018
	<b>Total:</b>		<b>\$ 250,000</b>	<b>\$ (870,464)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (620,464)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW023 (FORMERLY 101182) - NATALIE KATZ

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
11/1/1990	AMERICAN REAL ESTATE PART RECEIVED	8,825	8,825	-	-	-	8,825	MF00033248	MF00033248	
11/8/1990	AMERICAN REAL ESTATE PART CHECK ACP	(8,825)	-	(8,825)	-	-	8,825	MF00033248	MF00033248	
11/13/1990	TRANS FROM IKW03830 (IKW038)	191,104 <sup>(1)</sup>	-	-	50,000	-	50,000	MF00300648	MF00300649	
2/16/1994	TRANS FROM IKW01730 (IKW017)	112,039 <sup>(1)</sup>	-	-	69,133	-	119,133	MF00234703	MF00234705	
6/17/1994	CHECK	45,000	45,000	-	-	-	164,133	MDPTPP03831455	MDPTPP03831458	
2/7/1997	CHECK WIRE	(166,667)	-	(166,667)	-	-	(2,534)	MDPTPP03831490	MIDPTPP03831493	STESAD0040895
7/3/1997	TRANS TO IKW19730 (IKW197)	(50,000) <sup>(2)</sup>	-	-	-	-	(2,534)	MDPTPP03831495	MDPTPP03831497	STESAD0041535
8/20/1997	TRANS TO IKW19830 (IKW198)	(356,162) <sup>(2)</sup>	-	-	-	-	(2,534)	MDPTPP03831495	MDPTPP03831497	STESAD0041535
9/30/1997	TRANS TO IKW19830 (IKW198)	(407) <sup>(2)</sup>	-	-	119,133	-	(2,534)	MDPTPP03831499	MIDPTPP03831500	STESAD0042366
	<b>Total:</b>		<b>\$ 53,825</b>	<b>\$ (175,492)</b>	<b>\$ 119,133</b>	<b>\$ -</b>	<b>\$ -</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW024 (FORMERLY 101130) - SAUL B KATZ

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
10/1/1985	CHECK	1,000,000	1,000,000	-	-	-	1,000,000	MF00159720	MF00159720	
4/3/1986	CHECK	300,000	300,000	-	-	-	1,300,000	MF00100604	MF00100604	
3/3/1988	CHECK	82,688	82,688	-	-	-	1,382,688	MF00537591	MF00537591	
5/11/1988	CHECK DIV ROCKEFELLERCTR PPTY	(2,300)	-	(2,300)	-	-	1,380,388	MF00535407	MF00535407	
12/1/1988	CHECK	40,545	40,545	-	-	-	1,420,932	MF00530303	MF00530303	
2/1/1990	CHECK	(60,000)	-	(60,000)	-	-	1,360,932	MF00023586	MF00023586	
3/1/1990	CHECK	(60,000)	-	(60,000)	-	-	1,300,932	MF00030161	MF00030161	
4/2/1990	CHECK	(60,000)	-	(60,000)	-	-	1,240,932	MF00031590	MF00031590	
5/1/1990	CHECK	(60,000)	-	(60,000)	-	-	1,180,932	MF00037850	MF00037850	
6/1/1990	CHECK	(60,000)	-	(60,000)	-	-	1,120,932	MF00039213	MF00039213	
7/2/1990	CHECK	(60,000)	-	(60,000)	-	-	1,060,932	MF00034767	MF00034767	
8/1/1990	CHECK	(60,000)	-	(60,000)	-	-	1,000,932	MF00036327	MF00036327	
9/4/1990	CHECK	(60,000)	-	(60,000)	-	-	940,932	MF00026897	MF00026897	
10/1/1990	CHECK	(60,000)	-	(60,000)	-	-	880,932	MF00028555	MF00028555	
11/1/1990	AMERICAN REAL ESTATE PART	14,120	14,120	-	-	-	895,052	MF00033147	MF00033147	
11/1/1990	CHECK	(60,000)	-	(60,000)	-	-	835,052	MF00033148	MF00033148	
11/13/1990	AMERICAN REAL ESTATE PART RECEIVED	-	-	-	-	-	835,052	MF00033147	MF00033147	
12/3/1990	CHECK	(60,000)	-	(60,000)	-	-	775,052	MF00025157	MF00025157	
12/1/1991	CHECK	(60,000)	-	(60,000)	-	-	715,052	MF00049260	MF00049260	
2/1/1991	CHECK	(130,000)	-	(130,000)	-	-	585,052	MF00493437	MF00493437	
2/6/1991	CHECK	(60,000)	-	(60,000)	-	-	525,052	MF00493437	MF00493437	
2/8/1991	CHECK	130,000	130,000	-	-	-	655,052	MF00493437	MF00493437	
3/1/1991	CHECK	(60,000)	-	(60,000)	-	-	595,052	MF00541625	MF00541625	
4/1/1991	CHECK	(60,000)	-	(60,000)	-	-	535,052	MF00489594	MF00489594	
5/1/1991	CHECK	(60,000)	-	(60,000)	-	-	475,052	MF00487742	MF00487742	
6/3/1991	CHECK	(60,000)	-	(60,000)	-	-	415,052	MF00486029	MF00486029	
7/1/1991	CHECK	(60,000)	-	(60,000)	-	-	355,052	MF00484166	MF00484166	
8/1/1991	CHECK	(60,000)	-	(60,000)	-	-	295,052	MF00482171	MF00482171	
9/3/1991	CHECK	(60,000)	-	(60,000)	-	-	235,052	MF00480070	MF00480070	
10/1/1991	CHECK	(60,000)	-	(60,000)	-	-	175,052	MF00477921	MF00477921	
11/1/1991	CHECK	(60,000)	-	(60,000)	-	-	115,052	MF00475787	MF00475788	
11/12/1991	CHECK	238,000	238,000	-	-	-	353,052	MF00475787	MF00475788	
12/2/1991	CHECK	(60,000)	-	(60,000)	-	-	293,052	MF00473657	MF00473658	
12/19/1991	CHECK WIRE	(1,500,000)	-	(1,500,000)	-	-	(1,206,948)	MF00473657	MF00473658	
12/23/1991	TRANS FROM 10199430 (10/994)	1,236,288 <sup>(1)</sup>	-	-	-	357,500	(849,448)	MF00473657	MF00473658	
12/1992	CHECK	(60,000)	-	(60,000)	-	-	(909,448)	MF00471693	MF00471693	STESAD00019381
2/3/1992	CHECK	(250,000)	-	(250,000)	-	-	(1,159,448)	MF00469899	MF00469899	STESAD0010604
3/2/1992	CHECK	(250,000)	-	(250,000)	-	-	(1,409,448)	MF00467868	MF00467868	STESAC0100434
4/1/1992	CHECK	(250,000)	-	(250,000)	-	-	(1,659,448)	MF00465638	MF00465638	STESAD00015828
5/1/1992	CHECK	(250,000)	-	(250,000)	-	-	(1,909,448)	MF00463502	MF00463502	STESAC0102100
6/1/1992	CHECK	(250,000)	-	(250,000)	-	-	(2,159,448)	MF00461429	MF00461429	STESAC0103316
7/1/1992	CHECK	(250,000)	-	(250,000)	-	-	(2,409,448)	MF00459440	MF00459441	STESAC0104132
7/30/1992	TRANS FROM 1KW04230 (1KW042)	962,611 <sup>(1)</sup>	-	-	-	335,040	(2,074,408)	MF00459440	MF00459441	STESAC0104133
8/3/1992	CHECK	(250,000)	-	(250,000)	-	-	(2,324,408)	MF00457472	MF00457472	STESAD00017553
8/25/1992	CHECK	140,000	140,000	-	-	-	(2,184,408)	MF00457472	MF00457472	STESAD00017553
9/1/1992	CHECK	(250,000)	-	(250,000)	-	-	(2,434,408)	MF00455498	MF00455499	
10/1/1992	CHECK	(250,000)	-	(250,000)	-	-	(2,684,408)	MF00453255	MF00453256	
11/2/1992	CHECK	(250,000)	-	(250,000)	-	-	(2,934,408)	MF00450947	MF00450947	
12/1/1992	CHECK	(250,000)	-	(250,000)	-	-	(3,184,408)	MF00444755	MF00444756	
1/4/1993	CHECK	(250,000)	-	(250,000)	-	-	(3,434,408)	MF00438118	MF00438118	STESAC0002972
2/1/1993	CHECK	(450,000)	-	(450,000)	-	-	(3,884,408)	MF00432578	MF00432578	STESAC0003478
3/1/1993	CHECK	(450,000)	-	(450,000)	-	-	(4,334,408)	MF00427838	MF00427838	STESAC0003609
4/1/1993	CHECK	(450,000)	-	(450,000)	-	-	(4,784,408)	MF00422974	MF00422974	STESAC0004260
5/3/1993	CHECK	(450,000)	-	(450,000)	-	-	(5,234,408)	MF00418500	MF00418501	STESAC0002629

BLMIS ACCOUNT NO. 1KW024 (FORMERLY 101130) - SAUL B KATZ

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
5/17/1993	TRANS FROM 1KW04830 (1KW048)	573,734 (1)	-	-	174,996	-	(5,059,412)	MF00418500	MF00418501	STESAC0002629
5/17/1993	TRANS FROM 1KW03430 (1KW034)	670,946 (1)	-	-	178,806	-	(4,880,605)	MF00418500	MF00418501	STESAC0002629
5/17/1993	TRANS FROM 1KW07330 (1KW073)	494,403 (1)	-	-	154,998	-	(4,725,607)	MF00418500	MF00418501	STESAC0002629
5/17/1993	TRANS FROM 1KW02830 (1KW028)	1,031,781 (1)	-	-	478,173	-	(4,247,434)	MF00418500	MF00418501	STESAC0002629
5/17/1993	TRANS FROM 1KW03530 (1KW035)	598,907 (1)	-	-	130,000	-	(4,117,434)	MF00418500	MF00418501	STESAC0002629
6/1/1993	CHECK	(450,000)	-	(450,000)	-	-	(4,567,434)	MF00406575	MF00406576	STESAC0002629
7/1/1993	CHECK	(450,000)	-	(450,000)	-	-	(5,017,434)	MF00398675	MF00398677	STESAC0002629
8/2/1993	CHECK	(450,000)	-	(450,000)	-	-	(5,467,434)	MF00383814	MF00383815	STESAC0002629
9/1/1993	CHECK	(450,000)	-	(450,000)	-	-	(5,917,434)	MF00376797	MF00376799	STESAC0002629
10/1/1993	CHECK	(450,000)	-	(450,000)	-	-	(6,367,434)	MF00350232	MF00350233	STESAF0091904
10/18/1993	CHECK	145,000	145,000	-	-	-	(6,222,434)	MF00350232	MF00350233	STESAF0091904
11/1/1993	CHECK	(450,000)	-	(450,000)	-	-	(6,672,434)	MF00373702	MF00373703	STESAF0092218
1/6/1994	TRANS FROM 1KW04130 (1KW041)	70,731 (1)	-	-	49,117	-	(6,623,318)	MF00314409	MF00314411	STESAF0092218
1/26/1994	CHECK WIRE	(500,000)	-	(500,000)	-	-	(7,123,318)	MF00314409	MF00314411	STESAF0092218
2/16/1994	TRANS FROM 1KW02630 (1KW026)	938,966 (1)	-	-	315,400	-	(6,807,918)	MF00300651	MF00300652	STESAF0092218
2/16/1994	TRANS FROM 1KW02130 (1KW021)	469,156 (1)	-	-	160,000	-	(6,647,918)	MF00300651	MF00300652	STESAF0092218
2/16/1994	TRANS FROM 1KW03130 (1KW031)	1,196,490 (1)	-	-	475,000	-	(6,172,918)	MF00300651	MF00300652	STESAF0092218
2/16/1994	TRANS FROM 1KW03630 (1KW036)	994,663 (1)	-	-	178,675	-	(5,994,243)	MF00300651	MF00300652	STESAF0092218
2/16/1994	TRANS FROM 1KW03830 (1KW038)	382,207 (1)	-	-	100,000	-	(5,894,243)	MF00293835	MF00293836	STESAF0092218
3/2/1994	CHECK	(500,000)	-	(500,000)	-	-	(6,394,243)	MF00293835	MF00293836	STESAF0092218
3/7/1994	TRANS FROM 1KW06830 (1KW068)	872 (2)	-	-	-	-	(6,394,243)	MF00293835	MF00293836	STESAF0092218
3/7/1994	TRANS FROM 1KW07330 (1KW073)	724 (2)	-	-	-	-	(6,394,243)	MF00293835	MF00293836	STESAF0092218
3/7/1994	TRANS FROM 1KW03530 (1KW035)	871 (2)	-	-	-	-	(6,394,243)	MF00293835	MF00293836	STESAF0092218
3/7/1994	TRANS FROM 1KW04830 (1KW048)	843 (2)	-	-	-	-	(6,394,243)	MF00293835	MF00293836	STESAF0092218
3/7/1994	TRANS FROM 1KW03430 (1KW034)	867 (2)	-	-	-	-	(6,394,243)	MF00293835	MF00293836	STESAF0092218
3/7/1994	TRANS FROM 1KW02830 (1KW028)	3,019 (2)	-	-	-	-	(6,394,243)	MF00293835	MF00293836	STESAF0092218
3/14/1994	CHECK	(1,000,000)	-	(1,000,000)	-	-	(7,394,243)	MF00293835	MF00293836	STESAF0092218
4/21/1994	CHECK	(600,000)	-	(600,000)	-	-	(7,994,243)	MF00287512	MF00287513	STESAF0092218
4/26/1994	CHECK WIRE	(500,000)	-	(500,000)	-	-	(8,494,243)	MF00287512	MF00287513	STESAF0092218
8/1/1994	TRANS TO 1KW12530 (1KW125)	(115,000) (3)	-	(400,000)	-	-	(8,894,243)	MF00260326	MF00260327	STESAF0092218
9/13/1994	CHECK	(400,000)	-	(400,000)	-	-	(8,894,243)	MF00260326	MF00260327	STESAF0092218
9/21/1994	TRANS TO 1KW12530 (1KW125)	(235,000) (3)	-	-	-	-	(8,894,243)	MF00250825	MF00250827	STESAF0092218
10/31/1994	TRANS FROM 1KW03830 (1KW038)	837 (2)	-	-	-	-	(8,894,243)	MF00250825	MF00250827	STESAF0092218
10/31/1994	TRANS FROM 1KW02630 (1KW026)	2,074 (2)	-	-	-	-	(8,894,243)	MF00250825	MF00250827	STESAF0092218
10/31/1994	TRANS FROM 1KW02130 (1KW021)	1,039 (2)	-	-	-	-	(8,894,243)	MF00250825	MF00250827	STESAF0092218
10/31/1994	TRANS FROM 1KW03130 (1KW031)	2,592 (2)	-	-	-	-	(8,894,243)	MF00250825	MF00250827	STESAF0092218
10/31/1994	TRANS FROM 1KW03630 (1KW036)	2,200 (2)	-	-	-	-	(8,894,243)	MF00228283	MF00228285	STESAF0092218
12/7/1994	CHECK	1,950,000	1,950,000	-	-	-	(6,784,259)	MF00228283	MF00228285	STESAF0092218
12/15/1994	TRANS FROM 1K03230 (1KW022)	667,823 (1)	-	(525,000)	-	159,984	(7,309,259)	MF00223614	MF00223616	STESAF0092218
1/4/1995	CHECK	(525,000)	-	(525,000)	-	-	(7,309,259)	MF00223614	MF00223616	STESAF0092218
1/12/1995	TRANS FROM 1KW03230 (1KW032)	2,203 (2)	-	-	-	-	(7,309,259)	MF00189103	MF00189105	STESAF0092218
2/1/1995	TRANS FROM 1KW01430 (1KW014)	700,000	-	-	700,000	-	(6,609,259)	MF00181333	MF00181335	STESAF0092218
3/2/1995	CHECK	(238,000)	-	(238,000)	-	-	(6,847,259)	MF00183465	MF00183468	STESAF0092218
4/7/1995	CHECK	2,380,893	2,380,893	-	-	-	(4,466,366)	MF00164695	MF00164697	STESAF0092218
6/27/1995	CHECK	(917,063)	-	(917,063)	-	-	(4,177,804)	MF00127203	MF00127206	STESAF0092218
9/13/1995	TRANS FROM 1KW09830 (1KW098)	1,205,625	-	-	1,205,625	-	-	-	-	STESAF0092218

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Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
10/23/1995	TRANS FROM 1KW03230 (1KW032)	560 <sup>[2]</sup>	-	-	-	-	(4,177,804)	MF00117021	MF00117022	STESAC00084026
2/8/1996	TRANS TO 1KW01430 (1KW014)	(825,000) <sup>[1]</sup>	-	-	-	-	(4,177,804)	MDPTPP03831531	MDPTPP03831536	
4/19/1996	TRANS TO 1KW15430 (1KW154)	(3,000,000) <sup>[1]</sup>	-	-	-	-	(4,177,804)	MDPTPP03831546	MDPTPP03831552	
5/13/1996	TRANS TO 1KW16130 (1KW161)	(2,738,000) <sup>[1]</sup>	-	-	-	-	(4,177,804)	MDPTPP03831555	MDPTPP03831561	
5/13/1996	TRANS FROM 1KW12530 (1KW125)	448,027 <sup>[1]</sup>	-	-	40,764	-	(4,137,040)	MDPTPP03831555	MDPTPP03831561	
5/13/1996	TRANS FROM 1KW08930 (1KW089)	483,886 <sup>[1]</sup>	-	-	-	-	(4,137,040)	MDPTPP03831555	MDPTPP03831561	
5/13/1996	TRANS FROM 1KW09830 (1KW098)	900,200 <sup>[1]</sup>	-	-	562,625	-	(3,574,415)	MDPTPP03831555	MDPTPP03831561	
5/14/1996	CHECK	(250,000)	-	(250,000)	-	-	(3,824,415)	MDPTPP03831555	MDPTPP03831561	
5/15/1996	CHECK WIRE	(1,750,000)	-	(1,750,000)	-	-	(5,574,415)	MDPTPP03831555	MDPTPP03831561	
6/25/1996	CHECK	(1,250,000)	-	(1,250,000)	-	-	(6,824,415)	MDPTPP03831563	MDPTPP03831567	
7/23/1996	TRANS FROM 1KW09030 (1KW090)	139 <sup>[2]</sup>	-	-	-	-	(6,824,415)	MDPTPP03831569	MDPTPP03831573	
7/23/1996	TRANS FROM 1KW12530 (1KW125)	974 <sup>[1]</sup>	-	-	-	-	(6,824,415)	MDPTPP03831569	MDPTPP03831573	
8/19/1996	TRANS TO 1KW06730 (1KW067)	(139) <sup>[1]</sup>	-	-	-	-	(6,824,415)	MDPTPP03831575	MDPTPP03831578	
8/19/1996	TRANS FROM 1KW06730 (1KW067)	974 <sup>[2]</sup>	-	-	-	-	(6,824,415)	MDPTPP03831575	MDPTPP03831578	
9/30/1996	TRANS FROM 1KW02930 (1KW029)	1,420,565 <sup>[1]</sup>	-	-	315,400	-	(6,509,015)	MDPTPP03831580	MDPTPP03831584	
12/23/1996	CHECK	772,500	772,500	-	-	-	(5,736,515)	MDPTPP03831597	MDPTPP03831601	
2/4/1997	CHECK	(500,000)	-	(500,000)	-	-	(6,236,515)	MDPTPP03831609	MDPTPP03831612	
3/3/1997	CHECK	(500,000)	-	(500,000)	-	-	(6,736,515)	MDPTPP03831619	MDPTPP03831619	STESAC00047698
3/13/1997	TRANS FROM 1KW02930 (1KW029)	1,407 <sup>[2]</sup>	-	-	-	-	(6,736,515)	MDPTPP03831614	MDPTPP03831619	STESAC00047700
4/7/1997	CHECK	(1,682,000)	-	(1,682,000)	-	-	(8,418,515)	MDPTPP03831621	MDPTPP03831627	STESAD00037856
8/20/1997	TRANS TO 1KW19730 (1KW197)	(50,000) <sup>[1]</sup>	-	-	-	-	(8,418,515)	MDPTPP03831646	MDPTPP03831651	STESAD00041539
8/20/1997	TRANS TO 1KW19630 (1KW196)	(48,000) <sup>[1]</sup>	-	-	-	-	(8,418,515)	MDPTPP03831646	MDPTPP03831651	STESAD00041539
8/20/1997	TRANS FROM 1KW02530 (1KW025)	1,277,535 <sup>[1]</sup>	-	-	250,000	-	(8,168,515)	MDPTPP03831646	MDPTPP03831651	STESAD00042372
9/30/1997	TRANS FROM 1KW02530 (1KW025)	983 <sup>[2]</sup>	-	-	-	-	(8,168,515)	MDPTPP03831653	MDPTPP03831656	STESAD00042372
10/7/1997	CHECK	500,000	500,000	-	-	-	(7,668,515)	MDPTPP03831658	MDPTPP03831662	STESAD00043637
10/10/1997	CHECK	(83,700)	-	(83,700)	-	-	(7,752,215)	MDPTPP03831658	MDPTPP03831662	STESAD00043637
10/29/1997	CHECK	(75,000)	-	(75,000)	-	-	(7,827,215)	MDPTPP03831658	MDPTPP03831662	STESAD00043639
11/3/1997	CHECK	1,001,001	1,001,001	-	-	-	(6,826,213)	MDPTPP03831664	MDPTPP03831669	STESAD00044635
11/21/1997	CHECK	(775,000)	-	(775,000)	-	-	(7,601,213)	MDPTPP03831664	MDPTPP03831669	STESAD00044635
12/22/1997	CHECK WIRE	(221,815)	-	(221,815)	-	-	(7,823,028)	MDPTPP03831672	MDPTPP03831675	
12/23/1997	CHECK	(225,550)	-	(225,550)	-	-	(8,048,578)	MDPTPP03831672	MDPTPP03831675	
12/29/1997	CHECK	(227,500)	-	(227,500)	-	-	(8,276,078)	MDPTPP03831672	MDPTPP03831675	
12/30/1997	CHECK	(135,000)	-	(135,000)	-	-	(8,411,078)	MDPTPP03831672	MDPTPP03831675	
1/4/1998	CHECK	(880,000)	-	(880,000)	-	-	(9,291,078)	MDPTPP03831677	MDPTPP03831681	STESAC00027579
1/20/1998	TRANS TO 1KW15430 (1KW154)	(250,000) <sup>[1]</sup>	-	-	-	-	(9,291,078)	MDPTPP03831677	MDPTPP03831681	STESAC00027579
1/26/1998	CHECK	1,808,866	1,808,866	-	-	-	(7,482,212)	MDPTPP03831677	MDPTPP03831681	STESAC00027579
2/2/1998	CHECK	(344,000)	-	(344,000)	-	-	(7,826,212)	MDPTPP03831683	MDPTPP03831686	STESAC00029128
2/12/1998	CHECK	(140,100)	-	(140,100)	-	-	(7,966,312)	MDPTPP03831683	MDPTPP03831686	STESAC00029128
2/12/1998	CHECK	(318,000)	-	(318,000)	-	-	(8,284,312)	MDPTPP03831683	MDPTPP03831686	STESAC00029128
4/16/1998	CHECK	(579,150)	-	(579,150)	-	-	(8,863,462)	MDPTPP03831695	MDPTPP03831698	
6/12/1998	CHECK	(425,000)	-	(425,000)	-	-	(9,288,462)	MDPTPP03831706	MDPTPP03831710	STESAC00026100
6/30/1998	TRANS FROM 1KW19730 (1KW197)	33,545 <sup>[2]</sup>	-	-	-	-	(9,288,462)	MDPTPP03831706	MDPTPP03831710	STESAC00026104
8/11/1998	CHECK	(50,000)	-	(50,000)	-	-	(9,338,462)	MDPTPP03831716	MDPTPP03831720	STESAC00049955
9/10/1998	TRANS FROM 1KW22230 (1KW222)	1,200	-	-	1,200	-	(9,337,262)	MDPTPP03831722	MDPTPP03831728	STESAC00041743
9/10/1998	TRANS FROM 1KW22230 (1KW222)	2,865	-	-	2,865	-	(9,334,397)	MDPTPP03831722	MDPTPP03831728	STESAC00041743
10/13/1998	TRANS FROM 1KW19730 (1KW197)	26 <sup>[2]</sup>	-	-	-	-	(9,334,397)	MDPTPP03831730	MDPTPP03831734	STESAC00044708
10/13/1998	TRANS FROM 1KW22230 (1KW222)	20 <sup>[1]</sup>	-	-	-	-	(9,334,397)	MDPTPP03831730	MDPTPP03831734	STESAC00044708
12/21/1998	CHECK	273,914	273,914	-	-	-	(9,060,483)	MDPTPP03831742	MDPTPP03831745	STESAC00051678

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Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bal.	BLMIS SOURCE DOCUMENT: End Bal.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
1/6/1999	CHECK WIRE	(300,000)	-	(300,000)	-	-	(9,360,483)	MDFTPP03831747	MDFTPP03831751	STESAC005190
2/18/1999	TRANS FROM 1KW03330 (IKW033)	400,000 [1]	-	-	83,333	-	(9,277,150)	MDFTPP03831753	MDFTPP03831756	STESAC0056877
3/9/1999	CHECK	(97,000)	-	(97,000)	-	-	(9,374,150)	MDFTPP03831758	MDFTPP03831765	STESAC0056881
3/29/1999	TRANS TO 1KW17530 (IKW175)	(285,000) [3]	-	-	-	-	(10,064,150)	MDFTPP03831758	MDFTPP03831768	STESAC0059898
4/13/1999	CHECK	(690,000)	-	(690,000)	-	-	(9,511,025)	MDFTPP03831767	MDFTPP03831768	STESAC0059898
4/14/1999	TRANS FROM 1KW11430 (IKW114)	553,125	-	-	553,125	-	(9,611,025)	MDFTPP03831767	MDFTPP03831768	STESAC0061287
5/4/1999	CHECK	(100,000)	-	(100,000)	-	-	(9,511,025)	MDFTPP03831770	MDFTPP03831774	STESAD0027808
6/1/1999	CHECK	100,000	100,000	-	-	-	(9,490,025)	MDFTPP03831773	MDFTPP03831774	STESAD0031740
6/24/1999	CHECK	21,000	21,000	-	-	-	(9,446,692)	MDFTPP03831776	MDFTPP03831788	STESAD0035217
7/6/1999	CHECK	43,333	43,333	-	-	-	(9,390,692)	MDFTPP03831785	MDFTPP03831794	STESAD0035217
9/1/1999	CHECK	56,000	56,000	-	-	-	(9,330,692)	MDFTPP03831790	MDFTPP03831794	STESAD0035217
10/28/1999	TRANS FROM 1KW15630 (IKW156)	60,000	-	-	60,000	-	(9,322,892)	MDFTPP03831790	MDFTPP03831794	STESAD0035217
10/28/1999	TRANS FROM 1KW22130 (IKW221)	7,800	-	-	7,800	-	(9,191,642)	MDFTPP03831790	MDFTPP03831801	STESAD0035845
10/28/1999	TRANS FROM 1KW23930 (IKW239)	131,250	-	-	131,250	-	(9,626,642)	MDFTPP03831807	MDFTPP03831812	STESAD0035849
11/1/1999	TRANS FROM 1KW18030 (IKW180)	102,996 [3]	-	-	-	-	(9,626,642)	MDFTPP03831811	MDFTPP03831814	STESAF0070037
11/5/1999	CHECK	(300,000)	-	(300,000)	-	-	(9,126,642)	MDFTPP03831813	MDFTPP03831818	STESAF0061148
11/22/1999	CHECK	225,000	225,000	-	-	-	(9,106,642)	MDFTPP03831817	MDFTPP03831818	STESAF0061148
1/12/2000	CHECK	(360,000)	-	(360,000)	-	-	(9,150,335)	MDFTPP03831821	MDFTPP03831822	STESAF0062855
3/20/2000	TRANS TO 1KW23830 (IKW238)	(500,000) [3]	-	-	-	-	(9,146,642)	MDFTPP03831823	MDFTPP03831824	STESAF0067346
4/11/2000	CHECK	500,000	500,000	-	-	-	(8,261,142)	MDFTPP03831825	MDFTPP03831827	STESAC0096341
6/8/2000	TRANS FROM 1KW16130 (IKW161)	20,000 [2]	-	-	20,000	-	(8,485,123)	MDFTPP03831836	MDFTPP03831840	STESAF00110427
6/8/2000	TRANS FROM 1KW15630 (IKW156)	20,000	-	-	20,000	-	(8,485,123)	MDFTPP03831836	MDFTPP03831840	
6/14/2000	CHECK	(40,000)	-	(40,000)	-	-	(8,685,123)	MDFTPP03831842	MDFTPP03831847	
8/17/2000	CHECK	(3,694)	-	(3,694)	-	-	(7,686,286)	MDFTPP03831854	MDFTPP03831859	
9/8/2000	RETURNED CHECK	3,694	-	-	-	-	(7,746,286)	MDFTPP03831861	MDFTPP03831865	
9/27/2000	CHECK	885,500	885,500	-	-	-	(6,198,786)	MDFTPP03831861	MDFTPP03831865	
10/2/2000	CHECK	140,000	140,000	-	-	-	(6,880,786)	MDFTPP03831861	MDFTPP03831865	
10/25/2000	TRANS FROM 1KW28930 (IKW289)	429,469 [2]	-	-	-	-	(6,859,786)	MDFTPP03831861	MDFTPP03831865	
11/1/2000	CHECK	(363,981)	-	(363,981)	-	-	(6,919,786)	MDFTPP03831867	MDFTPP03831869	
12/19/2000	TRANS FROM 1KW28930 (IKW289)	1,548 [2]	-	-	-	-	(6,579,458)	MDFTPP03831867	MDFTPP03831869	
12/19/2000	TRANS FROM 1KW03330 (IKW033)	257 [2]	-	-	21,000	-	(6,091,958)	MDFTPP03831869	MDFTPP03831876	
1/11/2001	CHECK	(200,000)	-	(200,000)	-	-	(6,145,958)	MDFTPP03831871	MDFTPP03831876	
3/23/2001	CHECK	998,836	998,836	-	-	-	(6,205,958)	MDFTPP03831871	MDFTPP03831876	
4/5/2001	CHECK	(60,000)	-	(60,000)	-	-	(6,068,601)	MDFTPP03831871	MDFTPP03831876	
4/9/2001	CHECK	1,547,500	1,547,500	-	-	-	(7,334,201)	MDFTPP03831871	MDFTPP03831876	
4/12/2001	CHECK	(682,000)	-	(682,000)	-	-	(7,077,306)	MDFTPP03831871	MDFTPP03831876	
4/25/2001	TRANS FROM 1KW19630 (IKW196)	93,184 [1]	-	-	-	-	(7,191,306)	MDFTPP03831878	MDFTPP03831881	
5/1/2001	CHECK	(60,000)	-	(60,000)	-	-	(7,305,306)	MDFTPP03831883	MDFTPP03831888	
5/7/2001	CHECK	340,328	340,328	-	-	-	(7,209,806)	MDFTPP03831890	MDFTPP03831893	
5/18/2001	CHECK	487,500	487,500	-	-	-	(7,323,806)	MDFTPP03831890	MDFTPP03831893	
6/1/2001	CHECK	(54,000)	-	(54,000)	-	-	(7,777,806)	MDFTPP03831890	MDFTPP03831893	
6/7/2001	CHECK	(60,000)	-	(60,000)	-	-	(7,777,806)	MDFTPP03831890	MDFTPP03831893	
6/11/2001	CHECK	137,357	137,357	-	-	-	(7,777,806)	MDFTPP03831890	MDFTPP03831893	
6/13/2001	CHECK	(1,265,600)	-	(1,265,600)	-	-				
6/22/2001	CHECK	256,895	256,895	-	-	-				
7/2/2001	CHECK	(114,000)	-	(114,000)	-	-				
8/1/2001	CHECK	(114,000)	-	(114,000)	-	-				
9/4/2001	CHECK	95,500	95,500	-	-	-				
9/4/2001	CHECK	(114,000)	-	(114,000)	-	-				
9/5/2001	TRANS TO 1KW34430 (IKW344)	(80,000) [3]	-	-	-	-				
9/18/2001	CHECK	(454,000)	-	(454,000)	-	-				
9/18/2001	TRANS TO 1KW20130 (IKW201)	(125,000) [3]	-	-	-	-				



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Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
10/1/2001	CHECK	(114,000)	-	(114,000)	-	-	(7,891,806)	MDPTPP03831895	MDPTPP03831898	STESAC0032352
10/9/2001	CHECK	469,370	469,370	-	-	-	(7,422,436)	MDPTPP03831895	MDPTPP03831898	STESAC0032352
10/16/2001	CHECK	63,250	63,250	-	-	-	(7,359,186)	MDPTPP03831895	MDPTPP03831898	STESAC0032354
10/16/2001	CHECK	496,625	496,625	-	-	-	(6,862,561)	MDPTPP03831895	MDPTPP03831898	STESAC0032354
10/19/2001	CHECK	136,331	136,331	-	-	-	(6,726,230)	MDPTPP03831895	MDPTPP03831898	STESAC0032354
11/1/2001	CHECK	(114,000)	-	(114,000)	-	-	(6,840,230)	MDPTPP03831900	MDPTPP03831902	STESAD0075579
11/1/2001	TRANS FROM 1KW20130 (1KW201)	125,000	-	-	-	-	(6,840,230)	MDPTPP03831900	MDPTPP03831902	STESAD0075579
11/27/2001	CHECK	347,000	347,000	-	-	-	(6,493,230)	MDPTPP03831900	MDPTPP03831902	STESAD0075579
11/28/2001	CHECK	1,838,000	1,838,000	-	-	-	(4,655,230)	MDPTPP03831900	MDPTPP03831902	STESAD0075579
11/28/2001	CHECK	105,000	105,000	-	-	-	(4,550,230)	MDPTPP03831900	MDPTPP03831902	STESAD0075579
12/3/2001	CHECK	(114,000)	-	(114,000)	-	-	(4,664,230)	MDPTPP03831904	MDPTPP03831906	STESAD0077950
12/7/2001	CHECK	(96,300)	-	(96,300)	-	-	(4,760,530)	MDPTPP03831904	MDPTPP03831906	STESAD0077950
12/20/2001	CHECK	125,000	125,000	-	-	-	(4,635,530)	MDPTPP03831904	MDPTPP03831906	STESAD0077950
12/28/2001	CHECK	1,153,034	1,153,034	-	-	-	(3,482,496)	MDPTPP03831904	MDPTPP03831906	STESAD0077950
1/2/2002	CHECK	(114,000)	-	(114,000)	-	-	(3,596,496)	MDPTPP03831908	MDPTPP03831914	STESAD0046438
1/5/2002	CHECK	(1,613,000)	-	(1,613,000)	-	-	(5,209,496)	MDPTPP03831908	MDPTPP03831914	STESAD0046442
1/23/2002	CHECK	(150,000)	-	(150,000)	-	-	(5,359,496)	MDPTPP03831908	MDPTPP03831914	STESAD0046442
2/1/2002	CHECK	(114,000)	-	(114,000)	-	-	(5,473,496)	MDPTPP03831917	MDPTPP03831921	STESAD0049259
2/21/2002	TRANS TO 1KW35830 (1KW358)	(3,356,583)	-	-	-	-	(5,473,496)	MDPTPP03831917	MDPTPP03831921	STESAD0049263
3/1/2002	CHECK	(114,000)	-	(114,000)	-	-	(5,587,496)	MDPTPP03831923	MDPTPP03831930	STESAD0054783
3/18/2002	TRANS FROM 1KW19630 (1KW196)	44	-	-	-	-	(5,587,496)	MDPTPP03831923	MDPTPP03831930	STESAD0054787
3/22/2002	CHECK	(150,000)	-	(150,000)	-	-	(5,737,496)	MDPTPP03831923	MDPTPP03831930	STESAD0054791
4/1/2002	CHECK	(114,000)	-	(114,000)	-	-	(5,851,496)	MDPTPP03831933	MDPTPP03831937	STESAD0051754
4/2/2002	CHECK	(100,000)	-	(100,000)	-	-	(5,951,496)	MDPTPP03831933	MDPTPP03831937	STESAD0051754
4/5/2002	CHECK	(300,000)	-	(300,000)	-	-	(6,251,496)	MDPTPP03831933	MDPTPP03831937	STESAD0051754
5/1/2002	CHECK	93,333	93,333	-	-	-	(6,158,162)	MDPTPP03831939	MDPTPP03831944	STESAC0071810
5/1/2002	CHECK	(114,000)	-	(114,000)	-	-	(6,272,162)	MDPTPP03831939	MDPTPP03831944	STESAC0071810
5/3/2002	CHECK	507,088	507,088	-	-	-	(5,765,075)	MDPTPP03831939	MDPTPP03831944	STESAC0071810
5/10/2002	CHECK WIRE	(114,000)	-	(114,000)	-	-	(5,879,075)	MDPTPP03831939	MDPTPP03831944	STESAC0071814
5/15/2002	EXL CHECK 5/1/02	114,000	-	114,000	-	-	(5,765,075)	MDPTPP03831939	MDPTPP03831944	STESAC0071814
6/3/2002	CHECK	(114,000)	-	(114,000)	-	-	(5,879,075)	MDPTPP03831946	MDPTPP03831950	STESAC0067714
6/17/2002	CHECK	694,530	694,530	-	-	-	(5,184,545)	MDPTPP03831946	MDPTPP03831950	STESAC0067718
6/24/2002	CHECK	211,414	211,414	-	-	-	(4,973,131)	MDPTPP03831946	MDPTPP03831950	STESAC0067718
6/28/2002	CHECK	(427,500)	-	(427,500)	-	-	(5,400,631)	MDPTPP03831946	MDPTPP03831950	STESAC0067718
7/1/2002	CHECK	(150,000)	-	(150,000)	-	-	(5,550,631)	MDPTPP03831952	MDPTPP03831957	STESAC0063450
7/1/2002	CHECK	(114,000)	-	(114,000)	-	-	(5,664,631)	MDPTPP03831952	MDPTPP03831957	STESAC0063450
7/1/2002	CHECK	(114,000)	-	(114,000)	-	-	(5,778,631)	MDPTPP03831952	MDPTPP03831957	STESAC0063450
7/3/2002	RETURNED CHECK	150,000	-	150,000	-	-	(5,628,631)	MDPTPP03831959	MDPTPP03831963	STESAC0064939
8/1/2002	CHECK	(114,000)	-	(114,000)	-	-	(5,742,631)	MDPTPP03831965	MDPTPP03831969	STESAC0039955
9/3/2002	CHECK	(114,000)	-	(114,000)	-	-	(5,856,631)	MDPTPP03831965	MDPTPP03831969	STESAC0039957
9/17/2002	CHECK	(250,000)	-	(250,000)	-	-	(6,106,631)	MDPTPP03831965	MDPTPP03831969	STESAC0039957
9/27/2002	TRANS TO 1KW37630 (1KW376)	(70,000)	-	-	-	-	(6,176,631)	MDPTPP03831971	MDPTPP03831975	STESAC0039959
10/1/2002	CHECK	(154,000)	-	(154,000)	-	-	(6,330,631)	MDPTPP03831977	MDPTPP03831980	STESAC0035922
11/1/2002	CHECK	(154,000)	-	(154,000)	-	-	(6,484,631)	MDPTPP03831977	MDPTPP03831980	STESAC0035922
11/8/2002	CHECK	351,146	351,146	-	-	-	(6,133,485)	MDPTPP03831982	MDPTPP03831984	STESAD0063647
12/2/2002	CHECK	(154,000)	-	(154,000)	-	-	(6,287,485)	MDPTPP03831982	MDPTPP03831984	STESAD0063647
12/11/2002	CHECK	(150,000)	-	(150,000)	-	-	(6,437,485)	MDPTPP03831982	MDPTPP03831984	STESAD0063647
12/16/2002	CHECK	(268,800)	-	(268,800)	-	-	(6,706,285)	MDPTPP03831982	MDPTPP03831984	STESAD0063647
12/31/2002	TRANS FROM 1KW23830 (1KW238)	57,417	-	-	57,417	-	(6,648,867)	MDPTPP03831986	MDPTPP03831986	STESAD0063649
1/13/2003	CHECK	120,000	120,000	-	-	-	(6,528,867)	MDPTPP03831986	MDPTPP03831986	STESAD0063649
2/3/2003	CHECK	130,000	130,000	-	-	-	(6,398,867)	MDPTPP03831987	MDPTPP03831987	STESAC0116753
2/5/2003	TRANS FROM 1KW33630 (1KW336)	45,000	-	-	45,000	-	(6,353,867)	MDPTPP03831987	MDPTPP03831987	STESAC0116753
2/26/2003	TRANS FROM 1KW37630 (1KW376)	70,866	-	-	60,000	-	(6,293,867)	MDPTPP03831987	MDPTPP03831987	STESAC0116753

BLMIS ACCOUNT NO. 1KW024 (FORMERLY 101130) - SAUL B KATZ

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
3/10/2003	CHECK	(140,000)	-	(140,000)	-	-	(6,249,867)	MDPTPP03831988	MDPTPP03831993	STESAC0107922
5/1/2003	TRANS FROM 1KW33630 (1KW336)	7,500	-	-	7,500	-	(6,242,367)	MDPTPP03831999	MDPTPP03832004	STESAD00622373
7/31/2003	TRANS TO 1KW23830 (1KW238)	(30,821) <sup>[1]</sup>	-	-	-	-	(6,242,367)	MDPTPP03832012	MDPTPP03832018	
8/19/2003	CHECK	(154,000)	-	(154,000)	-	-	(6,396,367)	MDPTPP03832021	MDPTPP03832025	STESAD0067712
8/19/2003	TRANS FROM 1KW32930 (1KW329)	2,752 <sup>[2]</sup>	-	-	-	-	(6,396,367)	MDPTPP03832021	MDPTPP03832025	STESAD0067712
8/19/2003	TRANS FROM 1KW27830 (1KW278)	786 <sup>[2]</sup>	-	-	-	-	(6,396,367)	MDPTPP03832021	MDPTPP03832025	STESAD0067712
8/19/2003	TRANS FROM 1KW37630 (1KW376)	73 <sup>[2]</sup>	-	-	-	-	(6,396,367)	MDPTPP03832021	MDPTPP03832025	STESAD0067712
8/19/2003	TRANS FROM 1KW36330 (1KW363)	605 <sup>[2]</sup>	-	-	-	-	(6,396,367)	MDPTPP03832021	MDPTPP03832025	STESAD0067712
1/7/2004	CHECK	300,000	300,000	-	-	-	(6,096,367)	MDPTPP03832050	MDPTPP03832057	STESAD0062870
1/7/2004	CHECK	557,000	557,000	-	-	-	(5,539,367)	MDPTPP03832050	MDPTPP03832057	STESAD0062870
1/12/2004	CHECK	1,090,000	1,090,000	-	-	-	(4,449,367)	MDPTPP03832050	MDPTPP03832057	STESAD0062874
1/16/2004	TRANS TO 1KW35630 (1KW356)	(52,500) <sup>[3]</sup>	-	-	-	-	(4,449,367)	MDPTPP03832050	MDPTPP03832057	STESAD0062878
1/16/2004	TRANS FROM 1KW33630 (1KW336)	7,500	-	-	7,500	-	(4,441,867)	MDPTPP03832050	MDPTPP03832057	STESAD0062878
2/2/2004	CHECK	(42,000)	-	(42,000)	-	-	(4,483,867)	MDPTPP03832060	MDPTPP03832064	
3/1/2004	CHECK	(42,000)	-	(42,000)	-	-	(4,525,867)	MDPTPP03832066	MDPTPP03832069	
3/25/2004	CHECK	(1,000,000)	-	(1,000,000)	-	-	(5,525,867)	MDPTPP03832066	MDPTPP03832069	
4/1/2004	CHECK	(42,000)	-	(42,000)	-	-	(5,567,867)	MDPTPP03832071	MDPTPP03832077	
4/8/2004	CHECK	235,000	235,000	-	-	-	(5,332,867)	MDPTPP03832071	MDPTPP03832077	
5/3/2004	CHECK	(42,000)	-	(42,000)	-	-	(5,374,867)	MDPTPP03832080	MDPTPP03832084	
5/26/2004	TRANS TO 1KW41230 (1KW412)	(1,000,000) <sup>[3]</sup>	-	-	-	-	(5,374,867)	MDPTPP03832080	MDPTPP03832084	
6/1/2004	CHECK	(42,000)	-	(42,000)	-	-	(5,416,867)	MDPTPP03832086	MDPTPP03832088	
7/1/2004	CHECK	(42,000)	-	(42,000)	-	-	(5,458,867)	MDPTPP03832090	MDPTPP03832091	
8/2/2004	CHECK	(42,000)	-	(42,000)	-	-	(5,500,867)	MDPTPP03832093	MDPTPP03832098	
8/12/2004	TRANS FROM 1KW01430 (1KW014)	300,000 <sup>[2]</sup>	-	-	-	-	(5,500,867)	MDPTPP03832093	MDPTPP03832098	
9/1/2004	CHECK	(42,000)	-	(42,000)	-	-	(5,542,867)	MDPTPP03832100	MDPTPP03832104	
10/1/2004	CHECK	(42,000)	-	(42,000)	-	-	(5,584,867)	MDPTPP03832106	MDPTPP03832108	
10/7/2004	CHECK	445,000	445,000	-	-	-	(5,139,867)	MDPTPP03832106	MDPTPP03832108	
11/1/2004	CHECK	(42,000)	-	(42,000)	-	-	(5,181,867)	MDPTPP03832110	MDPTPP03832115	
12/1/2004	CHECK	(42,000)	-	(42,000)	-	-	(5,223,867)	MDPTPP03832117	MDPTPP03832122	STESAF0098236
12/14/2004	CHECK	(450,000)	-	(450,000)	-	-	(5,673,867)	MDPTPP03832117	MDPTPP03832122	STESAF0098240
1/3/2005	CHECK	(42,000)	-	(42,000)	-	-	(5,715,867)	MDPTPP03832124	MDPTPP03832129	STESAC0015712
2/1/2005	CHECK	(42,000)	-	(42,000)	-	-	(5,757,867)	MDPTPP03832131	MDPTPP03832134	STESAC0013103
3/1/2005	CHECK	(42,000)	-	(42,000)	-	-	(5,799,867)	MDPTPP03832136	MDPTPP03832138	STESAC0010685
3/28/2005	CHECK	75,000	75,000	-	-	-	(5,724,867)	MDPTPP03832136	MDPTPP03832138	STESAC0010687
7/8/2005	CHECK	10,000,000	10,000,000	-	-	-	4,275,133	MDPTPP03832155	MDPTPP03832157	
7/15/2005	CHECK	800,000	800,000	-	-	-	5,075,133	MDPTPP03832155	MDPTPP03832157	
9/1/2005	CHECK	(80,000)	-	(80,000)	-	-	4,995,133	MDPTPP03832162	MDPTPP03832170	STESAC0127315
10/3/2005	CHECK	(80,000)	-	(80,000)	-	-	4,915,133	MDPTPP03832172	MDPTPP03832178	STESAC0089385
11/1/2005	CHECK WIRE	(5,400,000)	-	(5,400,000)	-	-	(484,867)	MDPTPP03832180	MDPTPP03832185	STESAC0089385
11/1/2005	CHECK	(80,000)	-	(80,000)	-	-	(564,867)	MDPTPP03832180	MDPTPP03832185	STESAC0089385
12/1/2005	CHECK	(80,000)	-	(80,000)	-	-	(644,867)	MDPTPP03832192	MDPTPP03832199	STESAC0086911
1/3/2006	CHECK	(80,000)	-	(80,000)	-	-	(724,867)	MDPTPP03832194	MDPTPP03832200	STESAD0009433
2/1/2006	CHECK	(80,000)	-	(80,000)	-	-	(804,867)	MDPTPP03832202	MDPTPP03832206	STESAD0006711
3/1/2006	CHECK	(80,000)	-	(80,000)	-	-	(884,867)	MDPTPP03832208	MDPTPP03832213	
3/27/2006	TRANS FROM 1KW34430 (1KW344)	121,838 <sup>[2]</sup>	-	-	-	-	(884,867)	MDPTPP03832208	MDPTPP03832213	
4/3/2006	CHECK	(80,000)	-	(80,000)	-	-	(964,867)	MDPTPP03832215	MDPTPP03832221	STESAC0118918

BLMIS ACCOUNT NO. 1KW024 (FORMERLY 101130) - SAUL B KATZ

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
4/18/2006	CHECK WIRE	(5,500,000)	-	(5,500,000)	-	-	(6,464,867)	MDFTPP03832215	MDFTPP03832221	STESAC0118922
4/21/2006	TRANS FROM 1KW34430 (IKW344)	186 <sup>[2]</sup>	-	-	-	-	(6,464,867)	MDFTPP03832215	MDFTPP03832221	STESAC0118924
6/16/2006	CHECK	4,053,840	4,053,840	-	-	-	(2,411,027)	MDFTPP03832227	MDFTPP03832229	STESAD0107021
10/30/2006	TRANS FROM 1KW34430 (IKW344)	67 <sup>[2]</sup>	-	-	-	-	(3,411,027)	MDFTPP03832249	MDFTPP03832254	STESAD0100148
12/21/2006	CHECK	(1,000,000)	-	(1,000,000)	-	-	(3,986,027)	MDFTPP03832262	MDFTPP03832267	
4/10/2007	CHECK	(575,000)	-	(575,000)	-	-	(4,786,027)	MDFTPP03832286	MDFTPP03832291	
9/6/2007	CHECK	(800,000)	-	(800,000)	-	-	(7,108,639)	MDFTPP03832312	MDFTPP03832317	
10/5/2007	CHECK	(2,322,612)	-	(2,322,612)	-	-	(7,108,639)	MDFTPP03832319	MDFTPP03832320	
	<b>Total:</b>		<b>\$ 41,009,237</b>	<b>\$ (55,497,971)</b>	<b>\$ 7,380,094</b>	<b>\$ -</b>	<b>\$ (7,108,639)</b>			

<sup>[1]</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>[2]</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[3]</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[4]</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW030 (FORMERLY 101141) - SAUL B KATZ FAMILY TRUST MICHAEL KATZ IRIS KATZ & RICHARD A WILPON TRUSTEES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(1)</sup>
12/18/1986	CHECK	500,000	500,000	-	-	-	500,000	MF00078793	MF00078793	
9/17/1991	CHECK	370,000	370,000	-	-	-	870,000	MF00480095	MF00480096	
5/28/1992	CHECK	41,000	41,000	-	-	-	911,000	MF00463516	MF00463517	STESAC0102161
6/20/1994	CHECK	(600,000)	-	(600,000)	-	-	311,000	MF00234721	MF00234722	
4/4/1995	CHECK	(2,293,069)	-	(2,293,069)	-	-	(1,982,069)	MF00183485	MF00183485	STESAC0079638
4/28/1995	CHECK	(16,526)	-	(16,526)	-	-	(1,998,595)	MF00183485	MF00183485	STESAC0079639
5/12/1995	CHECK	(4,320)	-	(4,320)	-	-	(2,002,915)	MF00190590	MF00190590	STESAC0080398
	<b>Total:</b>		<b>\$ 911,000</b>	<b>\$ (2,913,915)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (2,002,915)</b>			

<sup>(1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW037 (FORMERLY 101187) - SAUL KATZ FAMILY TRUST DAVID,NATALIE,HEATHER KATZ FRED WILPON FAMILY TRUST

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>3)</sup>
5/24/1991	CHECK	28,000	28,000	-	-	-	28,000	MF00487839	MF00487839	
5/24/1991	CHECK	50,000	50,000	-	-	-	78,000	MF00487839	MF00487839	
5/24/1991	CHECK	16,000	16,000	-	-	-	94,000	MF00487839	MF00487839	
5/24/1991	CHECK	25,000	25,000	-	-	-	119,000	MF00487839	MF00487839	
5/24/1991	CHECK	25,000	25,000	-	-	-	144,000	MF00487839	MF00487839	
5/24/1991	CHECK	6,000	6,000	-	-	-	150,000	MF00487839	MF00487839	
5/24/1991	CHECK	10,000	10,000	-	-	-	160,000	MF00487839	MF00487839	
4/4/1995	CHECK	(95,427)	-	(95,427)	-	-	64,573	MF00183493	MF00183494	STESAC0079652
4/4/1995	CHECK	(47,713)	-	(47,713)	-	-	16,860	MF00183493	MF00183494	STESAC0079652
4/4/1995	TRANS TO IKW10830 (JKW/08)	(19,085) <sup>[1]</sup>	-	-	-	(1,984)	14,876	MF00183493	MF00183494	STESAC0079652
4/4/1995	TRANS TO IKW01230 (JKW0/2)	(143,140) <sup>[1]</sup>	-	-	-	(14,876)	-	MF00183493	MF00183494	STESAC0079652
4/28/1995	CHECK	(813)	-	(813)	-	-	(813)	MF00183493	MF00183494	STESAC0079654
4/28/1995	CHECK	(1,626)	-	(1,626)	-	-	(2,438)	MF00183493	MF00183494	STESAC0079654
4/28/1995	TRANS TO IKW10830 (JKW/08)	(325) <sup>[2]</sup>	-	-	-	-	(2,438)	MF00183493	MF00183494	STESAC0079654
4/28/1995	TRANS TO IKW01230 (JKW0/2)	(2,438) <sup>[2]</sup>	-	-	-	-	(2,438)	MF00183493	MF00183494	STESAC0079654
5/11/1995	TRANS TO IKW10830 (JKW/08)	(35) <sup>[3]</sup>	-	-	-	-	(2,438)	MF00190596	MF00190596	STESAC0080408
5/11/1995	TRANS TO IKW01230 (JKW0/2)	(263) <sup>[2]</sup>	-	-	-	-	(2,438)	MF00190596	MF00190596	STESAC0080408
5/12/1995	CHECK	(88)	-	(88)	-	-	(2,526)	MF00190596	MF00190596	STESAC0080408
5/12/1995	CHECK	(175)	-	(175)	-	-	(2,701)	MF00190596	MF00190596	STESAC0080408
10/23/1995	TRANS TO IKW01230 (JKW0/2)	(176) <sup>[2]</sup>	-	-	-	-	(2,701)	MF00117037	MF00117037	STESAC0084053
10/23/1995	TRANS TO IKW10830 (JKW/08)	(23) <sup>[2]</sup>	-	-	-	-	(2,701)	MF00117037	MF00117037	STESAC0084053
10/24/1995	CHECK	(117)	-	(117)	-	-	(2,819)	MF00117037	MF00117037	STESAC0084053
10/24/1995	CHECK	(59)	-	(59)	-	-	(2,877)	MF00117037	MF00117037	STESAC0084053
	<b>Total:</b>		<b>\$ 160,000</b>	<b>\$ (146,017)</b>	<b>\$ -</b>	<b>\$ (16,860)</b>	<b>\$ (2,877)</b>			

<sup>[1]</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>[2]</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[3]</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW040 (FORMERLY 101352) - METS LIMITED PTR

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Dates	BLMIS SOURCE DOCUMENT: End Dates	DEFENDANTS' PRODUCTION Bates Ref <sup>al</sup>
12/21/1990	CHECK WIRE	5,500,000	5,500,000	-	-	-	5,500,000	MF00025460	MF00025460	
12/23/1992	CHECK WIRE A/O 12/22/92	4,000,000	4,000,000	-	-	-	9,500,000	MF00444797	MF00444798	
12/23/1992	CHECK WIRE	12,000,000	12,000,000	-	-	-	21,500,000	MF00444797	MF00444798	
12/24/1992	CHECK WIRE	3,000,000	3,000,000	-	-	-	24,500,000	MF00444797	MF00444798	
12/31/1992	TRANS TO IKW05730 (IKW057)	(39,486)	-	-	-	(39,486)	24,460,514	MF00444797	MF00444798	
12/31/1992	TRANS FROM IKW05830 (IKW058)	7,822,392	-	-	5,500,000	-	29,960,514	MF00444797	MF00444798	
2/16/1993	TRANS FROM IKW05730 (IKW057)	5,303,861	-	-	-	-	29,960,514	MF00444797	MF00444798	
2/16/1993	CHECK WIRE	(13,000,000)	-	(13,000,000)	-	-	16,960,514	MF00432624	MF00432626	STESAC0003547
2/16/1993	TRANSFER TO IKW09830 (IKW098)	(13,000,000)	-	-	-	(13,000,000)	3,960,514	MF00432624	MF00432626	STESAC0003547
3/3/1993	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	1,960,514	MF00427884	MF00427886	STESAC0003637
3/16/1993	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	(1,039,486)	MF00427884	MF00427886	STESAC0003637
3/31/1993	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	(4,039,486)	MF00427884	MF00427886	STESAC0003639
3/31/1993	TRANS FROM IKW05830 (IKW058)	10,061	-	-	-	-	(4,039,486)	MF00427884	MF00427886	STESAC0003639
3/31/1993	TRANS FROM IKW05730 (IKW057)	11,120	-	-	-	-	(4,039,486)	MF00427884	MF00427886	STESAC0003639
4/15/1993	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(6,039,486)	MF00423020	MF00423020	STESAC0004246
5/3/1993	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	(9,039,486)	MF00418556	MF00418556	STESAC0002431
5/18/1993	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(11,039,486)	MF00418556	MF00418556	STESAC0002431
8/18/1993	CHECK WIRE A/O 8/17/93	34,426,000	34,426,000	-	-	-	23,386,514	MF00383859	MF00383860	
11/17/1993	CHECK	2,000,000	2,000,000	-	-	-	25,386,514	MF00373747	MF00373749	
12/30/1993	CHECK WIRE A/O 12/23/93	2,000,000	2,000,000	-	-	-	27,386,514	MF00344606	MF00344608	
1/4/1994	CHECK WIRE	2,000,000	2,000,000	-	-	-	29,386,514	MF00314463	MF00314466	
1/11/1994	CHECK WIRE	2,000,000	2,000,000	-	-	-	31,386,514	MF00314463	MF00314466	
1/19/1994	CHECK WIRE	2,000,000	2,000,000	-	-	-	33,386,514	MF00314463	MF00314466	
1/26/1994	CHECK WIRE	2,000,000	2,000,000	-	-	-	35,386,514	MF00314463	MF00314466	
3/28/1994	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	34,386,514	MF00293881	MF00293883	
4/1/1994	CHECK WIRE	(6,000,000)	-	(6,000,000)	-	-	28,386,514	MF00287554	MF00287556	
4/22/1994	CHECK WIRE	(2,500,000)	-	(2,500,000)	-	-	25,886,514	MF00287554	MF00287556	
4/29/1994	CHECK WIRE	(500,000)	-	(500,000)	-	-	25,386,514	MF00287554	MF00287556	
5/4/1994	CHECK WIRE	(1,500,000)	-	(1,500,000)	-	-	23,886,514	MF00275586	MF00275587	
5/16/1994	CHECK WIRE	(2,500,000)	-	(2,500,000)	-	-	21,386,514	MF00275586	MF00275587	
5/31/1994	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	19,386,514	MF00275586	MF00275587	
7/15/1994	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	16,386,514	MF00326920	MF00326921	
8/1/1994	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	14,386,514	MF00323830	MF00323832	
10/13/1994	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	11,386,514	MF00250870	MF00250872	
10/26/1994	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	9,386,514	MF00250870	MF00250872	
12/30/1994	CHECK WIRE	8,000,000	8,000,000	-	-	-	17,386,514	MF00228318	MF00228320	STESAC0079657
4/13/1995	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	16,386,514	MF00183504	MF00183504	STESAC0079657
4/17/1995	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	14,386,514	MF00183501	MF00183504	STESAC0079659
4/26/1995	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	11,386,514	MF00190601	MF00190602	STESAC0080411
5/16/1995	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	9,386,514	MF00190601	MF00190602	STESAC0080413
5/30/1995	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	6,386,514	MF00190601	MF00190602	STESAC0080413
6/21/1995	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	4,386,514	MF00164719	MF00164721	STESAC0080968
8/15/1995	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	3,386,514	MF00137313	MF00137314	STESAL0069640
8/30/1995	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	2,386,514	MF00137313	MF00137314	STESAL0069641
9/25/1995	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	386,514	MF00127236	MF00127240	STESAC0083286
10/6/1995	CHECK WIRE	1,000,000	1,000,000	-	-	-	1,386,514	MF00117042	MF00117044	STESAC0084056
11/6/1995	CHECK WIRE	1,000,000	1,000,000	-	-	-	2,386,514	MF0010989	MF00110990	STESAC0084578
12/21/1995	CHECK WIRE	1,000,000	1,000,000	-	-	-	3,386,514	MDPTPP03837490	MDPTPP03837494	STESAL0069612
1/2/1996	CHECK WIRE	2,000,000	2,000,000	-	-	-	5,386,514	MDPTPP03837496	MDPTPP03837501	STESAL0069841
1/4/1996	CHECK WIRE	2,000,000	2,000,000	-	-	-	7,386,514	MDPTPP03837496	MDPTPP03837501	STESAL0069841
1/9/1996	CHECK WIRE	2,000,000	2,000,000	-	-	-	9,386,514	MDPTPP03837496	MDPTPP03837501	STESAL0069841
2/9/1996	CHECK WIRE	1,000,000	1,000,000	-	-	-	10,386,514	MDPTPP03837503	MDPTPP03837509	STESAL0069833

BLMIS ACCOUNT NO. IKW040 (FORMERLY 101352) - METS LIMITED PTR

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	End Baltes	DEFENDANTS' PRODUCTION Bates Ref <sup>al</sup>
2/13/1996	CHECK WIRE	1,000,000	1,000,000	-	-	-	11,386,514	MDPTPP03837503	MDPTPP03837509	STESAL0069833
3/20/1996	CHECK WIRE	(800,000)	-	(800,000)	-	-	10,586,514	MDPTPP03837511	MDPTPP03837515	STESAL0069828
3/22/1996	CHECK WIRE	(1,200,000)	-	(1,200,000)	-	-	9,386,514	MDPTPP03837511	MDPTPP03837515	STESAL0069828
3/26/1996	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	7,386,514	MDPTPP03837511	MDPTPP03837515	STESAL0069828
4/8/1996	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	5,386,514	MDPTPP03837517	MDPTPP03837522	STESAL0069819
4/15/1996	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	4,386,514	MDPTPP03837517	MDPTPP03837522	STESAL0069819
4/22/1996	CHECK WIRE	(1,600,000)	-	(1,600,000)	-	-	3,386,514	MDPTPP03837517	MDPTPP03837522	STESAL0069821
4/29/1996	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	386,514	MDPTPP03837517	MDPTPP03837522	STESAL0069821
5/10/1996	CHECK WIRE	(5,500,000)	-	(5,500,000)	-	-	(5,113,486)	MDPTPP03837525	MDPTPP03837531	STESAL0069811
5/28/1996	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(7,113,486)	MDPTPP03837525	MDPTPP03837531	STESAL0069814
6/17/1996	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	(8,113,486)	MDPTPP03837533	MDPTPP03837538	STESAL0069805
6/21/1996	CHECK WIRE	1,000,000	1,000,000	-	-	-	(7,113,486)	MDPTPP03837533	MDPTPP03837538	STESAL0069805
7/3/1996	CHECK WIRE	1,000,000	1,000,000	-	-	-	(6,113,486)	MDPTPP03837540	MDPTPP03837545	STESAL0069796
7/17/1996	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(8,113,486)	MDPTPP03837540	MDPTPP03837545	STESAL0069796
8/19/1996	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(10,113,486)	MDPTPP03837548	MDPTPP03837551	STESAL0069791
9/3/1996	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	(13,113,486)	MDPTPP03837553	MDPTPP03837558	STESAL0069784
10/1/1996	CHECK WIRE	(4,000,000)	-	(4,000,000)	-	-	(17,113,486)	MDPTPP03837560	MDPTPP03837564	STESAL0069779
10/21/1996	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(19,113,486)	MDPTPP03837560	MDPTPP03837564	STESAL0069780
11/15/1996	CHECK WIRE	5,000,000	5,000,000	-	-	-	(14,113,486)	MDPTPP03837567	MDPTPP03837571	STESAL0069780
12/19/1996	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	(15,113,486)	MDPTPP03837574	MDPTPP03837578	STESAL0069766
12/30/1996	CHECK WIRE	2,000,000	2,000,000	-	-	-	(13,113,486)	MDPTPP03837574	MDPTPP03837578	STESAL0069767
1/3/1997	CHECK WIRE	2,000,000	2,000,000	-	-	-	(11,113,486)	MDPTPP03837580	MDPTPP03837586	STESAL0069243
1/7/1997	CHECK WIRE	2,000,000	2,000,000	-	-	-	(9,113,486)	MDPTPP03837580	MDPTPP03837586	STESAL0069243
1/13/1997	CHECK WIRE	1,000,000	1,000,000	-	-	-	(8,113,486)	MDPTPP03837580	MDPTPP03837586	STESAL0069243
2/11/1997	CHECK WIRE	2,000,000	2,000,000	-	-	-	(6,113,486)	MDPTPP03837589	MDPTPP03837593	STESAL0069497
3/5/1997	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	(7,113,486)	MDPTPP03837595	MDPTPP03837598	STESAL0069492
3/20/1997	CHECK WIRE	(21,000,000)	-	(21,000,000)	-	-	(28,113,486)	MDPTPP03837595	MDPTPP03837598	STESAL0069493
7/14/1997	CHECK WIRE	10,700,000	10,700,000	-	-	-	(17,413,486)	MDPTPP03837618	MDPTPP03837623	STESAD0040920
9/15/1997	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	(18,413,486)	MDPTPP03837631	MDPTPP03837634	STESAD0042408
9/26/1997	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	(23,413,486)	MDPTPP03837631	MDPTPP03837634	STESAD0042408
10/16/1997	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	(24,413,486)	MDPTPP03837636	MDPTPP03837638	STESAL0069436
11/17/1997	CHECK WIRE	5,000,000	5,000,000	-	-	-	(19,413,486)	MDPTPP03837640	MDPTPP03837644	STESAL0069422
12/30/1997	CHECK WIRE	2,500,000	2,500,000	-	-	-	(16,913,486)	MDPTPP03837647	MDPTPP03837651	STESAL0069414
1/6/1998	CHECK WIRE	2,000,000	2,000,000	-	-	-	(14,913,486)	MDPTPP03837653	MDPTPP03837659	STESAL0027615
1/9/1998	CHECK WIRE	2,000,000	2,000,000	-	-	-	(12,913,486)	MDPTPP03837653	MDPTPP03837659	STESAL0027615
1/14/1998	CHECK WIRE	2,000,000	2,000,000	-	-	-	(10,913,486)	MDPTPP03837653	MDPTPP03837659	STESAL0027615
2/2/1998	CHECK WIRE	2,000,000	2,000,000	-	-	-	(8,913,486)	MDPTPP03837661	MDPTPP03837666	STESAL0029158
2/10/1998	CHECK WIRE	1,000,000	1,000,000	-	-	-	(7,913,486)	MDPTPP03837661	MDPTPP03837666	STESAL0029158
2/20/1998	CHECK WIRE	1,000,000	1,000,000	-	-	-	(6,913,486)	MDPTPP03837661	MDPTPP03837666	STESAL0029160
2/27/1998	CHECK WIRE	3,000,000	3,000,000	-	-	-	(3,913,486)	MDPTPP03837661	MDPTPP03837666	STESAL0029166
3/4/1998	CHECK WIRE	2,000,000	2,000,000	-	-	-	(1,913,486)	MDPTPP03837668	MDPTPP03837674	STESAL0030537
3/30/1998	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	(6,913,486)	MDPTPP03837668	MDPTPP03837674	STESAL0030537
4/14/1998	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	(11,913,486)	MDPTPP03837676	MDPTPP03837679	STESAL0030543
4/20/1998	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(13,913,486)	MDPTPP03837676	MDPTPP03837679	STESAL0022679
5/4/1998	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	(14,913,486)	MDPTPP03837681	MDPTPP03837689	STESAL0024057
5/11/1998	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	(17,913,486)	MDPTPP03837681	MDPTPP03837689	STESAL0024061
5/26/1998	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(19,913,486)	MDPTPP03837681	MDPTPP03837689	STESAL0024067
6/1/1998	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	(20,913,486)	MDPTPP03837692	MDPTPP03837695	STESAL0026136
6/15/1998	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	(21,913,486)	MDPTPP03837692	MDPTPP03837695	STESAL0026138
6/18/1998	CHECK WIRE	(625,156)	-	(625,156)	-	-	(22,538,642)	MDPTPP03837692	MDPTPP03837695	STESAL0026138
6/22/1998	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(24,538,642)	MDPTPP03837692	MDPTPP03837695	STESAL0026138
6/29/1998	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	(27,538,642)	MDPTPP03837692	MDPTPP03837695	STESAL0026138

BLMIS ACCOUNT NO. IKW040 (FORMERLY 101352) - METS LIMITED PTR

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
7/22/1998	TRANS FROM 1KW21830 /IKW218	625,156	-	-	625,156	-	(26,913,486)	MDFTPP03837697	MDFTPP03837699	STESAC0048547
7/27/1998	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(28,913,486)	MDFTPP03837697	MDFTPP03837699	STESAC0048547
8/3/1998	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(30,913,486)	MDFTPP03837701	MDFTPP03837702	STESAC0049991
8/13/1998	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	(31,913,486)	MDFTPP03837701	MDFTPP03837702	STESAC0049991
8/19/1998	TRANS FROM 1KW19230 /IKW192	1,216,764	-	-	1,216,764	-	(30,696,722)	MDFTPP03837701	MDFTPP03837702	STESAC0049991
	<b>Total:</b>		<b>\$ 139,126,000</b>	<b>\$ (164,125,156)</b>	<b>\$ 7,341,920</b>	<b>\$ (13,039,486)</b>	<b>\$ (30,696,722)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.



BELMIS ACCOUNT NO. 1KW044 (FORMERLY 101509) - L. THOMAS OSTERMAN

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Baltes	BLMIS SOURCE DOCUMENT: End Baltes	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
5/15/1991	CHECK	150,000	150,000				150,000	MF00488061	MF00488061	
12/23/1991	TRANS FROM 10199430 (101994)	224,780 <sup>(1)</sup>			65,000		215,000	MF00474069	MF00474070	
7/30/1992	TRANS TO 1KW08730 (1KW087)	(20,000)				(20,000)	195,000	MF00459506	MF00459506	STESAC00104449
8/31/1992	CHECK	(180,000)		(180,000)			15,000	MF00457521	MF00457521	STESAD00017792
5/17/1993	TRANS FROM 1KW04530 (1KW045)	31,065 <sup>(1)</sup>			25,000		40,000	MF00418562	MF00418564	STESAC00024440
9/9/1993	CHECK	(100,000)		(100,000)			(60,000)	MF00376858	MF00376860	STESAF0091268
11/2/1993	CHECK	(220,000)		(220,000)			(280,000)	MF00373754	MF00373755	STESAF0092297
2/23/1994	CHECK	(15,276)		(15,276)			(295,276)	MF00300694	MF00300694	
3/7/1994	CXL CHECK 2/23/94	15,276					(280,000)	MF00293886	MF00293886	
4/8/1994	CHECK	75,000	75,000				(205,000)	MF00287558	MF00287559	
4/25/1994	CHECK	115,000	115,000				(90,000)	MF00287558	MF00287559	
7/9/1994	CHECK	10,000	10,000				(80,000)	MF00326923	MF00326924	
10/31/1994	TRANS FROM 1KW04530 (1KW045)	45 <sup>(2)</sup>					(80,000)	MF00250874	MF00250876	
3/2/1995	CHECK	(54,000)		(54,000)			(134,000)	MF00181365	MF00181367	STESAC00078970
3/7/1995	TRANS FROM 1KW04630 (1KW046)	404,920 <sup>(1)</sup>			118,063		(15,937)	MF00181365	MF00181367	STESAC00078971
9/13/1995	TRANS FROM 1KW09830 (1KW098)	76,500			76,500		60,563	MF00127242	MF00127245	STESAC00083294
10/10/1995	CHECK	(50,000)		(50,000)			10,563	MF00117046	MF00117047	STESAC00084062
10/23/1995	TRANS FROM 1KW04630 (1KW046)	1,424 <sup>(2)</sup>					10,563	MF00117046	MF00117047	STESAC00084062
5/10/1996	TRANS FROM 1KW06230 (1KW062)	132,959 <sup>(1)</sup>			46,543		57,107	MDPTPP03838082	MDPTPP03838088	
5/13/1996	TRANS FROM 1KW09830 (1KW098)	57,120 <sup>(1)</sup>			35,700		92,807	MDPTPP03838082	MDPTPP03838088	
7/23/1996	TRANS FROM 1KW06230 (1KW062)	244 <sup>(2)</sup>					92,807	MDPTPP03838096	MDPTPP03838101	
3/31/1997	CHECK	(47,250)		(47,250)			45,557	MDPTPP03838146	MDPTPP03838151	STESAC00047735
4/7/1997	CHECK	(175,000)		(175,000)			(129,443)	MDPTPP03838153	MDPTPP03838159	STESAD00037894
6/11/1997	CHECK	(353,000)		(353,000)			(482,443)	MDPTPP03838166	MDPTPP03838171	STESAD00039449
7/16/1997	CHECK	(50,000)		(50,000)			(532,443)	MDPTPP03838173	MDPTPP03838176	STESAD00040927
7/23/1997	TRANS TO 1KW19430 (1KW194)	(140,000) <sup>(3)</sup>					(532,443)	MDPTPP03838173	MDPTPP03838176	STESAD00040928
8/20/1997	TRANS TO 1KW10330 (1KW103)	(10,000) <sup>(3)</sup>					(532,443)	MDPTPP03838178	MDPTPP03838183	STESAD00041576
8/20/1997	TRANS TO 1KW08730 (1KW087)	(20,000) <sup>(3)</sup>					(532,443)	MDPTPP03838178	MDPTPP03838183	STESAD00041576
8/20/1997	TRANS TO 1KW19030 (1KW190)	(30,000) <sup>(3)</sup>					(532,443)	MDPTPP03838178	MDPTPP03838183	STESAD00041576
8/20/1997	TRANS FROM 1KW04730 (1KW047)	1,236,242 <sup>(2)</sup>					(532,443)	MDPTPP03838178	MDPTPP03838183	STESAD00041576
9/12/1997	CHECK	(53,000)		(53,000)			(585,443)	MDPTPP03838185	MDPTPP03838188	STESAD00042418
9/26/1997	CHECK	(50,000)		(50,000)			(635,443)	MDPTPP03838185	MDPTPP03838188	STESAD00042418
9/30/1997	TRANS FROM 1KW04730 (1KW047)	935 <sup>(2)</sup>					(635,443)	MDPTPP03838185	MDPTPP03838188	STESAD00042418
10/10/1997	CHECK	(6,000)		(6,000)			(641,443)	MDPTPP03838190	MDPTPP03838193	STESAD00043665
10/22/1997	CHECK	(100,000)		(100,000)			(741,443)	MDPTPP03838190	MDPTPP03838193	STESAD00043667
11/12/1997	CHECK	(50,000)		(50,000)			(791,443)	MDPTPP03838195	MDPTPP03838199	STESAD00044693
12/18/1997	CHECK	(50,000)		(50,000)			(841,443)	MDPTPP03838202	MDPTPP03838205	
12/22/1997	CHECK WIRE	(34,125)		(34,125)			(875,568)	MDPTPP03838202	MDPTPP03838205	
12/23/1997	CHECK	(17,300)		(17,300)			(892,868)	MDPTPP03838202	MDPTPP03838205	
12/31/1997	TRANS FROM 1KW15330 (1KW153)	317,934 <sup>(1)</sup>			226,762		(666,107)	MDPTPP03838202	MDPTPP03838205	STESAC00027631
1/20/1998	TRANS FROM 1KW16130 (1KW161)	120,750			120,750		(545,357)	MDPTPP03838207	MDPTPP03838211	STESAC00029172
2/12/1998	CHECK	(50,000)		(50,000)			(595,357)	MDPTPP03838213	MDPTPP03838216	STESAC00030553
3/13/1998	CHECK	(50,000)		(50,000)			(645,357)	MDPTPP03838218	MDPTPP03838223	STESAC00022689
4/16/1998	CHECK	(48,400)		(48,400)			(693,757)	MDPTPP03838225	MDPTPP03838228	STESAC00022691
4/22/1998	TRANS FROM 1KW15330 (1KW153)	123 <sup>(2)</sup>					(743,757)	MDPTPP03838230	MDPTPP03838234	STESAC00024081
5/15/1998	CHECK	(50,000)		(50,000)			(793,757)	MDPTPP03838236	MDPTPP03838239	STESAC00026146
6/12/1998	CHECK	(33,300)		(33,300)			(777,057)	MDPTPP03838241	MDPTPP03838245	STESAC00048559
7/23/1998	CHECK	(40,000)		(40,000)			(817,057)	MDPTPP03838247	MDPTPP03838251	STESAC00049997
8/11/1998	CHECK	(35,000)		(35,000)			(852,057)	MDPTPP03838253	MDPTPP03838259	STESAC00041791
9/14/1998	CHECK	(38,300)		(38,300)			(890,357)	MDPTPP03838261	MDPTPP03838265	STESAC00044114
10/14/1998	CHECK	(41,000)		(41,000)			(931,357)	MDPTPP03838273	MDPTPP03838278	STESAC00051708
12/11/1998	CHECK	(401,600)		(401,600)			(1,336,957)	MDPTPP03838282	MDPTPP03838287	STESAC00053331
1/13/1999	CHECK	(63,550)		(63,550)			(1,400,507)	MDPTPP03838284	MDPTPP03838287	STESAC00055220
2/1/1999	CHECK	(30,000)		(30,000)			(1,426,507)	MDPTPP03838289	MDPTPP03838294	STESAC00056917
3/1/1999	CHECK	(15,000)		(15,000)			(1,441,507)	MDPTPP03838289	MDPTPP03838294	

BLMIS ACCOUNT NO. IKW044 (FORMERLY 101509) - L. THOMAS OSTERMAN

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bal.	BLMIS SOURCE DOCUMENT: End Bal.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
3/29/1999	CHECK	(18,810)	-	(18,810)	-	-	(1,460,317)	MDFTPP03838289	MDFTPP03838294	STESAC0056925
3/29/1999	TRANS TO 1KW17530 (/KW175)	(175,000) <sup>[1]</sup>	-	-	-	-	(1,460,317)	MDFTPP03838289	MDFTPP03838294	STESAC0056925
4/1/1999	CHECK	(15,000)	-	(15,000)	-	-	(1,475,317)	MDFTPP03838296	MDFTPP03838298	STESAC0059920
4/13/1999	CHECK	(112,000)	-	(112,000)	-	-	(1,587,317)	MDFTPP03838296	MDFTPP03838298	STESAC0059920
5/3/1999	CHECK	(15,000)	-	(15,000)	-	-	(1,602,317)	MDFTPP03838300	MDFTPP03838304	STESAC0061317
6/1/1999	CHECK	84,200	84,200	-	-	-	(1,518,117)	MDFTPP03838306	MDFTPP03838311	STESAC0061317
6/1/1999	CHECK	(15,000)	-	(15,000)	-	-	(1,533,117)	MDFTPP03838306	MDFTPP03838311	STESAC0061317
6/14/1999	CHECK	(80,000)	-	(80,000)	-	-	(1,613,117)	MDFTPP03838306	MDFTPP03838311	STESAC0061317
7/1/1999	CHECK	(15,000)	-	(15,000)	-	-	(1,628,117)	MDFTPP03838313	MDFTPP03838316	STESAD0027834
7/21/1999	TRANS FROM 1KW16130 (/KW161)	144,900 <sup>[2]</sup>	-	-	-	-	(1,628,117)	MDFTPP03838313	MDFTPP03838316	STESAD0027836
8/2/1999	CHECK	(15,000)	-	(15,000)	-	-	(1,643,117)	MDFTPP03838318	MDFTPP03838323	STESAD0029618
9/1/1999	CHECK	(15,000)	-	(15,000)	-	-	(1,658,117)	MDFTPP03838325	MDFTPP03838328	STESAD0031772
9/14/1999	CHECK	(35,000)	-	(35,000)	-	-	(1,693,117)	MDFTPP03838325	MDFTPP03838328	STESAD0031772
9/20/1999	CHECK	(85,000)	-	(85,000)	-	-	(1,778,117)	MDFTPP03838325	MDFTPP03838328	STESAD0031772
10/1/1999	CHECK	(15,000)	-	(15,000)	-	-	(1,793,117)	MDFTPP03838330	MDFTPP03838333	STESAD0035249
10/28/1999	TRANS FROM 1KW22130 (/KW221)	52,950	-	-	52,950	-	(1,740,167)	MDFTPP03838330	MDFTPP03838333	STESAD0035251
10/28/1999	TRANS FROM 1KW15630 (/KW156)	42,360	-	-	42,360	-	(1,697,807)	MDFTPP03838330	MDFTPP03838333	STESAD0035251
10/28/1999	TRANS FROM 1KW16130 (/KW161)	24,150 <sup>[3]</sup>	-	-	-	-	(1,697,807)	MDFTPP03838330	MDFTPP03838333	STESAD0035251
10/28/1999	TRANS FROM 1KW15130 (/KW151)	108,723	-	-	108,723	-	(1,589,084)	MDFTPP03838330	MDFTPP03838333	STESAD0035251
11/1/1999	CHECK	(15,000)	-	(15,000)	-	-	(1,604,084)	MDFTPP03838335	MDFTPP03838340	STESAD0035887
11/1/1999	TRANS FROM 1KW18030 (/KW180)	72,715 <sup>[2]</sup>	-	-	-	-	(1,604,084)	MDFTPP03838335	MDFTPP03838340	STESAD0035887
11/5/1999	CHECK	(254,600)	-	(254,600)	-	-	(1,858,684)	MDFTPP03838335	MDFTPP03838340	STESAD0035887
11/10/1999	CHECK	163,000	163,000	-	-	-	(1,695,684)	MDFTPP03838335	MDFTPP03838340	STESAD0035887
11/10/1999	CHECK	(15,000)	-	(15,000)	-	-	(1,710,684)	MDFTPP03838342	MDFTPP03838344	STESAF0074191
12/17/1999	CHECK	76,850	76,850	-	-	-	(1,633,834)	MDFTPP03838342	MDFTPP03838344	STESAF0074191
12/17/1999	CHECK	(5,405)	-	(5,405)	-	-	(1,639,239)	MDFTPP03838342	MDFTPP03838344	STESAF0074191
12/27/1999	CHECK	(17,000)	-	(17,000)	-	-	(1,656,239)	MDFTPP03838342	MDFTPP03838344	STESAF0074191
1/3/2000	CHECK	(15,000)	-	(15,000)	-	-	(1,671,239)	MDFTPP03838346	MDFTPP03838350	STESAF0075696
2/1/2000	CHECK	(15,000)	-	(15,000)	-	-	(1,686,239)	MDFTPP03838352	MDFTPP03838355	STESAF0077933
2/24/2000	TRANS FROM 1KW17530 (/KW175)	350,000	-	-	350,000	-	(1,336,239)	MDFTPP03838352	MDFTPP03838355	STESAF0077933
3/1/2000	CHECK	(15,000)	-	(15,000)	-	-	(1,351,239)	MDFTPP03838357	MDFTPP03838363	STESAF0070063
3/7/2000	CHECK	25,000	25,000	-	-	-	(1,326,239)	MDFTPP03838357	MDFTPP03838363	STESAF0070063
3/10/2000	CHECK	88,880	88,880	-	-	-	(1,237,359)	MDFTPP03838357	MDFTPP03838363	STESAF0070063
3/23/2000	CHECK	130,600	130,600	-	-	-	(1,106,759)	MDFTPP03838357	MDFTPP03838363	STESAF0070071
4/3/2000	CHECK	(15,000)	-	(15,000)	-	-	(1,121,759)	MDFTPP03838365	MDFTPP03838367	STESAF0072632
4/12/2000	CHECK	(113,600)	-	(113,600)	-	-	(1,235,359)	MDFTPP03838365	MDFTPP03838367	STESAF0072632
4/18/2000	CHECK	(42,592)	-	(42,592)	-	-	(1,277,951)	MDFTPP03838365	MDFTPP03838367	STESAF0072632
4/24/2000	CHECK	(14,558)	-	(14,558)	-	-	(1,292,509)	MDFTPP03838365	MDFTPP03838367	STESAF0072632
4/28/2000	CHECK	(100,146)	-	(100,146)	-	-	(1,392,655)	MDFTPP03838365	MDFTPP03838367	STESAF0072632
5/1/2000	CHECK	(15,000)	-	(15,000)	-	-	(1,407,655)	MDFTPP03838369	MDFTPP03838374	STESAF0072632
6/1/2000	CHECK	(15,000)	-	(15,000)	-	-	(1,422,655)	MDFTPP03838376	MDFTPP03838378	STESAF0058541
6/8/2000	TRANS FROM 1KW16130 (/KW161)	46,320 <sup>[2]</sup>	-	-	-	-	(1,422,655)	MDFTPP03838376	MDFTPP03838378	STESAF0058541
6/8/2000	TRANS FROM 1KW15630 (/KW156)	46,320	-	-	46,320	-	(1,376,335)	MDFTPP03838376	MDFTPP03838378	STESAF0058541
6/14/2000	CHECK	(110,300)	-	(110,300)	-	-	(1,486,635)	MDFTPP03838376	MDFTPP03838378	STESAF0064861
7/3/2000	CHECK	(40,000)	-	(40,000)	-	-	(1,526,635)	MDFTPP03838380	MDFTPP03838386	STESAF0064861
7/3/2000	CHECK	(15,000)	-	(15,000)	-	-	(1,541,635)	MDFTPP03838380	MDFTPP03838386	STESAF0064861
7/5/2000	CHECK	67,000	67,000	-	-	-	(1,474,635)	MDFTPP03838380	MDFTPP03838386	STESAF0064861
7/12/2000	CHECK	(33,300)	-	(33,300)	-	-	(1,507,935)	MDFTPP03838380	MDFTPP03838386	STESAF0064861
8/1/2000	CHECK	(15,000)	-	(15,000)	-	-	(1,522,935)	MDFTPP03838389	MDFTPP03838393	STESAF0062875
9/1/2000	CHECK	(15,000)	-	(15,000)	-	-	(1,537,935)	MDFTPP03838395	MDFTPP03838400	STESAF0062875
9/11/2000	CHECK	(214,300)	-	(214,300)	-	-	(1,752,235)	MDFTPP03838395	MDFTPP03838400	STESAF0067370
9/27/2000	CHECK	912,450	912,450	-	-	-	(839,785)	MDFTPP03838395	MDFTPP03838400	STESAF0067370
10/2/2000	CHECK	(15,000)	-	(15,000)	-	-	(854,785)	MDFTPP03838402	MDFTPP03838406	STESAF0067370
10/3/2000	CHECK	98,000	98,000	-	-	-	(756,785)	MDFTPP03838402	MDFTPP03838406	STESAF0067370
10/25/2000	TRANS FROM 1KW29230 (/KW292)	98,818 <sup>[2]</sup>	-	-	-	-	(756,785)	MDFTPP03838402	MDFTPP03838406	STESAF0067370
11/1/2000	CHECK	(15,000)	-	(15,000)	-	-	(771,785)	MDFTPP03838408	MDFTPP03838413	STESAF0067370
11/1/2000	CHECK	(1,393,245)	-	(1,393,245)	-	-	(2,165,030)	MDFTPP03838408	MDFTPP03838413	STESAC0096379

BELMIS ACCOUNT NO. IKW044 (FORMERLY 101509) - L. THOMAS OSTERMAN

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
12/1/2000	CHECK	(15,000)	-	(15,000)	-	-	(2,180,030)	MDFTPP03838416	MDFTPP03838421	
12/18/2000	CHECK	(10,000)	-	(10,000)	-	-	(2,190,030)	MDFTPP03838416	MDFTPP03838421	
12/19/2000	TRANS FROM IKW29230 (IKW292)	344 [2]	-	-	-	-	(2,190,030)	MDFTPP03838416	MDFTPP03838421	
12/22/2000	CHECK	60,061	60,061	-	-	-	(2,129,969)	MDFTPP03838423	MDFTPP03838428	
1/2/2001	CHECK	(60,000)	-	(60,000)	-	-	(2,189,969)	MDFTPP03838423	MDFTPP03838428	
1/2/2001	CHECK	(15,000)	-	(15,000)	-	-	(2,204,969)	MDFTPP03838423	MDFTPP03838428	
1/4/2001	CHECK	(60,000)	-	(60,000)	-	-	(2,264,969)	MDFTPP03838423	MDFTPP03838428	
1/11/2001	CHECK	(112,000)	-	(112,000)	-	-	(2,376,969)	MDFTPP03838423	MDFTPP03838428	
1/16/2001	RETURNED CHECK	60,000	-	60,000	-	-	(2,316,969)	MDFTPP03838423	MDFTPP03838428	
2/1/2001	CHECK	(15,000)	-	(15,000)	-	-	(2,331,969)	MDFTPP03838430	MDFTPP03838433	
3/1/2001	CHECK	(15,000)	-	(15,000)	-	-	(2,346,969)	MDFTPP03838435	MDFTPP03838440	
4/2/2001	CHECK	(15,000)	-	(15,000)	-	-	(2,361,969)	MDFTPP03838442	MDFTPP03838446	STESAF0108347
4/16/2001	CHECK	(65,000)	-	(65,000)	-	-	(2,426,969)	MDFTPP03838442	MDFTPP03838446	STESAF0108347
4/25/2001	TRANS FROM IKW19030 (IKW190)	269,888 [1]	-	-	250,000	-	(2,176,969)	MDFTPP03838442	MDFTPP03838446	STESAF0108351
4/26/2001	CHECK	30,000	30,000	-	-	-	(2,146,969)	MDFTPP03838442	MDFTPP03838446	
5/1/2001	CHECK	(15,000)	-	(15,000)	-	-	(2,161,969)	MDFTPP03838448	MDFTPP03838450	
5/2/2001	CHECK	60,000	60,000	-	-	-	(2,101,969)	MDFTPP03838448	MDFTPP03838450	
5/18/2001	CHECK	20,000	20,000	-	-	-	(2,081,969)	MDFTPP03838448	MDFTPP03838450	
5/21/2001	CHECK	30,000	30,000	-	-	-	(2,051,969)	MDFTPP03838448	MDFTPP03838450	
5/29/2001	TRANS FROM IKW19030 (IKW190)	371 [2]	-	-	-	-	(2,051,969)	MDFTPP03838448	MDFTPP03838450	
6/1/2001	CHECK	(15,000)	-	(15,000)	-	-	(2,066,969)	MDFTPP03838452	MDFTPP03838457	
6/13/2001	CHECK	(80,000)	-	(80,000)	-	-	(2,146,969)	MDFTPP03838452	MDFTPP03838457	
6/15/2001	CHECK	(50,800)	-	(50,800)	-	-	(2,197,769)	MDFTPP03838452	MDFTPP03838457	
6/22/2001	CHECK	70,000	70,000	-	-	-	(2,127,769)	MDFTPP03838452	MDFTPP03838457	
7/2/2001	CHECK	(15,000)	-	(15,000)	-	-	(2,142,769)	MDFTPP03838459	MDFTPP03838462	
8/1/2001	CHECK	(15,000)	-	(15,000)	-	-	(2,157,769)	MDFTPP03838464	MDFTPP03838469	
8/10/2001	CHECK	24,000	24,000	-	-	-	(2,133,769)	MDFTPP03838464	MDFTPP03838469	
8/13/2001	CHECK	(50,000)	-	(50,000)	-	-	(2,183,769)	MDFTPP03838464	MDFTPP03838469	
8/28/2001	CHECK	(50,000)	-	(50,000)	-	-	(2,233,769)	MDFTPP03838464	MDFTPP03838469	
9/4/2001	CHECK	(15,000)	-	(15,000)	-	-	(2,248,769)	MDFTPP03838471	MDFTPP03838474	
9/18/2001	CHECK	(179,000)	-	(179,000)	-	-	(2,427,769)	MDFTPP03838471	MDFTPP03838474	
10/1/2001	CHECK	(15,000)	-	(15,000)	-	-	(2,442,769)	MDFTPP03838476	MDFTPP03838479	STESAC0032374
10/19/2001	CHECK	98,000	98,000	-	-	-	(2,344,769)	MDFTPP03838476	MDFTPP03838479	STESAC0032374
11/1/2001	CHECK	(15,000)	-	(15,000)	-	-	(2,359,769)	MDFTPP03838481	MDFTPP03838485	STESAD0075599
11/28/2001	CHECK	130,000	130,000	-	-	-	(2,229,769)	MDFTPP03838481	MDFTPP03838485	STESAD0075603
12/3/2001	CHECK	(15,000)	-	(15,000)	-	-	(2,244,769)	MDFTPP03838487	MDFTPP03838489	
12/7/2001	CHECK	(2,000)	-	(2,000)	-	-	(2,242,769)	MDFTPP03838487	MDFTPP03838489	
12/28/2001	CHECK	70,000	70,000	-	-	-	(2,176,769)	MDFTPP03838487	MDFTPP03838489	
12/28/2001	CHECK	100,000	100,000	-	-	-	(2,076,769)	MDFTPP03838487	MDFTPP03838489	
1/2/2002	CHECK	(15,000)	-	(15,000)	-	-	(2,091,769)	MDFTPP03838491	MDFTPP03838496	STESAD0046468
1/3/2002	CHECK	11,000	11,000	-	-	-	(2,080,769)	MDFTPP03838491	MDFTPP03838496	STESAD0046468
2/1/2002	CHECK	(15,000)	-	(15,000)	-	-	(2,095,769)	MDFTPP03838498	MDFTPP03838501	STESAD0049281
2/21/2002	TRANS TO IKW35830 (IKW358)	(1,236,208) [3]	-	-	-	-	(2,095,769)	MDFTPP03838498	MDFTPP03838501	
3/1/2002	CHECK	(15,000)	-	(15,000)	-	-	(2,110,769)	MDFTPP03838503	MDFTPP03838509	STESAD0054819
4/10/2002	CHECK	130,000	130,000	-	-	-	(1,980,769)	MDFTPP03838507	MDFTPP03838509	STESAD0051780
4/12/2002	CHECK	(15,000)	-	(15,000)	-	-	(1,995,769)	MDFTPP03838507	MDFTPP03838509	STESAD0051780
4/17/2002	CHECK	30,000	30,000	-	-	-	(1,965,769)	MDFTPP03838507	MDFTPP03838509	STESAD0051780
5/3/2002	CHECK	35,000	35,000	-	-	-	(1,930,769)	MDFTPP03838511	MDFTPP03838516	STESAC0071840
5/15/2002	CHECK	30,000	30,000	-	-	-	(1,900,769)	MDFTPP03838511	MDFTPP03838516	STESAC0071840
6/3/2002	CHECK	(15,000)	-	(15,000)	-	-	(1,915,769)	MDFTPP03838518	MDFTPP03838522	STESAC0067738
6/4/2002	CHECK	75,000	75,000	-	-	-	(1,840,769)	MDFTPP03838518	MDFTPP03838522	STESAC0067738
7/1/2002	CHECK	(15,000)	-	(15,000)	-	-	(1,855,769)	MDFTPP03838524	MDFTPP03838530	STESAC0063478
7/8/2002	TRANS FROM IKW35330 (IKW353)	147,291 [1]	-	-	32,316	-	(1,823,453)	MDFTPP03838524	MDFTPP03838530	STESAC0063478
7/25/2002	CHECK	(44,000)	-	(44,000)	-	-	(1,867,453)	MDFTPP03838524	MDFTPP03838530	STESAC0063480
8/1/2002	CHECK	(15,000)	-	(15,000)	-	-	(1,882,453)	MDFTPP03838532	MDFTPP03838536	STESAC0064963
8/26/2002	CHECK	77,000	77,000	-	-	-	(1,805,453)	MDFTPP03838532	MDFTPP03838536	STESAC0064967
9/3/2002	CHECK	(15,000)	-	(15,000)	-	-	(1,820,453)	MDFTPP03838538	MDFTPP03838541	STESAC0039975

BLMIS ACCOUNT NO. IKW044 (FORMERLY 101509) - L. THOMAS OSTERMAN

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
9/20/2002	CHECK	(4,000)	-	(4,000)	-	-	(1,824,453)	MDPTPP03838538	MDPTPP03838541	STESAC0039977
10/1/2002	CHECK	(15,000)	-	(15,000)	-	-	(1,839,453)	MDPTPP03838543	MDPTPP03838547	STESAC0037079
10/31/2002	TRANS FROM IKW35330 (IKW353)	53 <sup>[2]</sup>	-	-	-	-	(1,839,453)	MDPTPP03838543	MDPTPP03838547	STESAC0037083
11/1/2002	CHECK	(15,000)	-	(15,000)	-	-	(1,854,453)	MDPTPP03838549	MDPTPP03838552	STESAC0035942
11/8/2002	CHECK	55,000	55,000	-	-	-	(1,799,453)	MDPTPP03838549	MDPTPP03838552	STESAC0035944
11/20/2002	TRANS TO IKW36930 (IKW369)	(26) <sup>[3]</sup>	-	-	-	-	(1,799,453)	MDPTPP03838549	MDPTPP03838552	STESAC0035944
12/2/2002	CHECK	(15,000)	-	(15,000)	-	-	(1,814,453)	MDPTPP03838554	MDPTPP03838557	STESAD0063655
12/2/2003	CHECK	(200,000)	-	(200,000)	-	-	(2,014,453)	MDPTPP03838559	MDPTPP03838564	STESAC0113289
1/2/2003	CHECK	(15,000)	-	(15,000)	-	-	(2,029,453)	MDPTPP03838559	MDPTPP03838564	STESAC0113289
1/16/2003	CHECK	(39,700)	-	(39,700)	-	-	(2,069,153)	MDPTPP03838559	MDPTPP03838564	STESAC0113293
2/3/2003	CHECK	(15,000)	-	(15,000)	-	-	(2,084,153)	MDPTPP03838566	MDPTPP03838569	STESAC0116765
3/3/2003	CHECK	(15,000)	-	(15,000)	-	-	(2,099,153)	MDPTPP03838571	MDPTPP03838573	STESAC0107952
3/10/2003	CHECK	(155,000)	-	(155,000)	-	-	(2,254,153)	MDPTPP03838571	MDPTPP03838573	STESAC0107952
3/26/2003	TRANS FROM IKW36930 (IKW369)	39,432 <sup>[1]</sup>	-	-	8,003	-	(2,246,150)	MDPTPP03838571	MDPTPP03838573	STESAC0107954
6/19/2003	CHECK	(40,000)	-	(40,000)	-	-	(2,286,150)	MDPTPP03838581	MDPTPP03838582	STESAD0067732
8/19/2003	TRANS FROM IKW36930 (IKW369)	15 <sup>[2]</sup>	-	-	-	-	(2,286,150)	MDPTPP03838587	MDPTPP03838588	STESAD0067732
8/25/2003	CHECK	450,000	450,000	-	-	-	(1,836,150)	MDPTPP03838587	MDPTPP03838588	STESAD0067732
9/12/2003	CHECK	(105,000)	-	(105,000)	-	-	(1,941,150)	MDPTPP03838590	MDPTPP03838596	STESAD0070361
11/25/2003	CHECK	(150,000)	-	(150,000)	-	-	(2,091,150)	MDPTPP03838605	MDPTPP03838608	STESAD0063393
1/8/2004	CHECK	45,000	45,000	-	-	-	(2,046,150)	MDPTPP03838614	MDPTPP03838619	STESAD0062906
1/13/2004	CHECK	(44,000)	-	(44,000)	-	-	(2,090,150)	MDPTPP03838614	MDPTPP03838619	STESAD0062906
3/4/2004	CHECK	(121,800)	-	(121,800)	-	-	(2,211,950)	MDPTPP03838626	MDPTPP03838628	STESAD0062906
10/7/2004	CHECK	145,000	145,000	-	-	-	(2,066,950)	MDPTPP03838667	MDPTPP03838669	STESAD0062906
4/11/2005	CHECK	(90,000)	-	(90,000)	-	-	(2,156,950)	MDPTPP03838678	MDPTPP03838683	STESAF0098280
7/19/2007	CHECK	1,000,000	1,000,000	-	-	-	(2,306,950)	MDPTPP03838703	MDPTPP03838705	STESAC0130367
8/29/2007	CHECK	210,000	210,000	-	-	-	(1,306,950)	MDPTPP03838767	MDPTPP03838769	STESAF0029725
12/21/2007	CHECK	(225,000)	-	(225,000)	-	-	(1,321,950)	MDPTPP03838770	MDPTPP03838771	STESAF0031542
	<b>Total:</b>		<b>\$ 4,981,041</b>	<b>\$ (7,887,981)</b>	<b>\$ 1,604,990</b>	<b>\$ (20,000)</b>	<b>\$ (1,321,950)</b>			

<sup>[1]</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>[2]</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[3]</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[4]</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW052 (FORMERLY 101772) - LEONARD JSCHREIER C/O KIM BAPTISTE ESQ

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Dates	BLMIS SOURCE DOCUMENT: End Dates	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
4/16/1990	CHECK	200,000	200,000	-	-	-	200,000	MF00031973	MF00031973	
10/25/1990	CHECK	100,000	100,000	-	-	-	300,000	MF00029027	MF00029027	
1/14/1991	CHECK	100,000	100,000	-	-	-	400,000	MF00495738	MF00495738	
5/15/1991	CHECK	150,000	150,000	-	-	-	550,000	MF00488183	MF00488183	
8/3/1992	TRANS TO 1KW05530 (1KW055)	(200,000)	-	-	-	(200,000)	350,000	MF00457537	MF00457537	STESAD0017813
12/16/1992	CHECK	125,000	125,000	-	-	-	475,000	MF00444818	MF00444818	
4/29/1993	TRANS TO 1KW10230 (1KW102)	(57,000)	-	-	-	(57,000)	418,000	MF00423048	MF00423048	STESAC0004152
9/9/1993	CHECK	(200,000)	-	(200,000)	-	-	218,000	MF00376883	MF00376883	
11/2/1993	CHECK	(350,000)	-	(350,000)	-	-	(132,000)	MF00373775	MF00373775	
1/6/1994	TRANS FROM 1KW06330 (1KW063)	174,150	-	-	95,617	-	(36,383)	MF00314489	MF00314491	
3/9/1994	TRANS FROM 1KW05630 (1KW056)	315,138	-	-	65,000	-	28,617	MF00293906	MF00293908	
10/31/1994	TRANS FROM 1KW06330 (1KW063)	137	-	-	-	-	28,617	MF00250897	MF00250899	
10/31/1994	TRANS FROM 1KW05630 (1KW056)	1,317	-	-	-	-	28,617	MF00250897	MF00250899	
11/1/1994	CHECK	(25,000)	-	(25,000)	-	-	3,617	MF00244924	MF00244925	
12/1/1994	CHECK	(25,000)	-	(25,000)	-	-	(21,383)	MF00228342	MF00228344	
1/3/1995	CHECK	(25,000)	-	(25,000)	-	-	(46,383)	MF00223671	MF00223673	
2/1/1995	CHECK	(25,000)	-	(25,000)	-	-	(71,383)	MF00189146	MF00189147	STESAC00078532
3/1/1995	CHECK	(25,000)	-	(25,000)	-	-	(96,383)	MF00181381	MF00181382	STESAC00079000
3/2/1995	CHECK	(39,000)	-	(39,000)	-	-	(135,383)	MF00181381	MF00181382	STESAC00079000
4/3/1995	CHECK	(25,000)	-	(25,000)	-	-	(160,383)	MF00183529	MF00183533	STESAC00079700
5/1/1995	CHECK	(25,000)	-	(25,000)	-	-	(185,383)	MF00190618	MF00190619	STESAC00080439
6/1/1995	CHECK	(25,000)	-	(25,000)	-	-	(210,383)	MF00164740	MF00164742	STESAC00081001
7/3/1995	CHECK	(25,000)	-	(25,000)	-	-	(235,383)	MF00176034	MF00176035	STESAC00082211
8/1/1995	CHECK	(25,000)	-	(25,000)	-	-	(260,383)	MF00137333	MF00137333	STESAC00082728
9/1/1995	CHECK	(25,000)	-	(25,000)	-	-	(285,383)	MF00127264	MF00127267	STESAC00083328
9/13/1995	TRANS FROM 1KW09830 (1KW098)	143,625	-	-	143,625	-	(141,758)	MF00127264	MF00127267	STESAC00083329
10/2/1995	CHECK	(25,000)	-	(25,000)	-	-	(166,758)	MF00117061	MF00117061	STESAC00084085
10/23/1995	TRANS FROM 1KW05530 (1KW055)	577	-	-	-	-	(166,758)	MF00117060	MF00117061	STESAC00084085
11/1/1995	CHECK	(25,000)	-	(25,000)	-	-	(191,758)	MF00111005	MF00111006	STESAC00084604
12/1/1995	CHECK	(25,000)	-	(25,000)	-	-	(216,758)	MDPTPP03840339	MDPTPP03840440	STESAC00081586
2/28/1996	TRANS FROM 1KW10230 (1KW102)	10,830	-	-	10,830	-	(205,928)	MDPTPP03840351	MDPTPP03840356	
5/13/1996	TRANS FROM 1KW09830 (1KW098)	107,240	-	-	67,025	-	(138,903)	MDPTPP03840371	MDPTPP03840377	STESAC00059272
1/24/1997	CHECK	65,000	65,000	-	-	-	(73,903)	MDPTPP03840421	MDPTPP03840427	
2/3/1997	TRANS FROM 1KW10230 (1KW102)	12,654	-	-	12,654	-	(61,249)	MDPTPP03840430	MDPTPP03840433	STESAC00047756
3/31/1997	CHECK	(64,125)	-	(64,125)	-	-	(125,374)	MDPTPP03840435	MDPTPP03840440	
4/7/1997	CHECK	(117,000)	-	(117,000)	-	-	(242,374)	MDPTPP03840442	MDPTPP03840448	STESAD00037918
6/1/1997	CHECK	(65,000)	-	(65,000)	-	-	(307,374)	MDPTPP03840455	MDPTPP03840460	STESAD00039491
7/28/1997	CHECK	100,000	100,000	-	-	-	(207,374)	MDPTPP03840462	MDPTPP03840465	STESAD00040944
9/12/1997	CHECK	(20,000)	-	(20,000)	-	-	(227,374)	MDPTPP03840473	MDPTPP03840476	STESAD00042444
10/10/1997	CHECK	(32,600)	-	(32,600)	-	-	(259,974)	MDPTPP03840478	MDPTPP03840481	STESAD00043678
12/22/1997	CHECK WIRE	(46,310)	-	(46,310)	-	-	(306,284)	MDPTPP03840490	MDPTPP03840493	
1/23/1997	CHECK	(24,800)	-	(24,800)	-	-	(331,084)	MDPTPP03840490	MDPTPP03840493	
12/24/1997	TRANS FROM 1KW05430 (1KW054)	99,443	-	-	60,370	-	(270,714)	MDPTPP03840490	MDPTPP03840493	
12/31/1997	TRANS FROM 1KW15330 (1KW153)	437,159	-	-	311,797	-	41,083	MDPTPP03840490	MDPTPP03840493	STESAC00027657
1/4/1998	CHECK	(74,000)	-	(74,000)	-	-	(32,917)	MDPTPP03840495	MDPTPP03840499	STESAC000657
1/20/1998	TRANS FROM 1KW16130 (1KW161)	163,800	-	-	163,800	-	130,883	MDPTPP03840495	MDPTPP03840499	STESAD00030583
3/17/1998	TRANS TO 1KW21730 (1KW217)	(100,000)	-	-	-	(100,000)	30,883	MDPTPP03840506	MDPTPP03840511	STESAC00030583
3/17/1998	TRANS FROM 1KW05430 (1KW054)	528,245	-	-	-	-	30,883	MDPTPP03840506	MDPTPP03840511	STESAC00030583
3/17/1998	TRANS FROM 1KW10230 (1KW102)	151,073	-	-	58,800	-	89,683	MDPTPP03840506	MDPTPP03840511	STESAC00030583
4/15/1998	TRANS FROM 1KW05430 (1KW054)	863	-	-	-	-	89,683	MDPTPP03840513	MDPTPP03840515	STESAC00022709
4/15/1998	TRANS FROM 1KW10230 (1KW102)	235	-	-	-	-	89,683	MDPTPP03840513	MDPTPP03840515	STESAC00022709
4/16/1998	CHECK	(188,900)	-	(188,900)	-	-	(99,217)	MDPTPP03840513	MDPTPP03840515	STESAC00022709
4/22/1998	TRANS FROM 1KW15330 (1KW153)	169	-	-	-	-	(99,217)	MDPTPP03840513	MDPTPP03840515	STESAC00022709
6/12/1998	CHECK	(82,000)	-	(82,000)	-	-	(181,217)	MDPTPP03840523	MDPTPP03840526	STESAC00026168
8/1/1998	CHECK	(47,500)	-	(47,500)	-	-	(228,717)	MDPTPP03840533	MDPTPP03840537	STESAC00050021

BLMIS ACCOUNT NO. 1KW052 (FORMERLY 101772) - LEONARD J SCHREIER C/O KIM BAPTISTE ESQ

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bal.	BLMIS SOURCE DOCUMENT: End Bal.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
9/14/1998	CHECK	(82,000)	-	(82,000)	-	-	(310,717)	MDPTPP03840539	MDPTPP03840545	STESAC00041823
9/14/1998	TRANS TO 1KW24030 (1KW240)	(424,102) <sup>[1]</sup>	-	-	-	-	(310,717)	MDPTPP03840539	MDPTPP03840545	STESAC00041823
10/13/1998	TRANS FROM 1KW10230 (1KW102)	5 <sup>[2]</sup>	-	-	-	-	(310,717)	MDPTPP03840547	MDPTPP03840551	STESAC00044138
10/13/1998	TRANS FROM 1KW21730 (1KW217)	531 <sup>[2]</sup>	-	(187,100)	-	-	(310,717)	MDPTPP03840547	MDPTPP03840551	STESAC00044138
12/11/1998	CHECK	(187,100)	-	(187,100)	-	-	(497,817)	MDPTPP03840559	MDPTPP03840562	STESAC00051728
12/29/1998	CHECK	50,000	50,000	-	-	-	(447,817)	MDPTPP03840562	MDPTPP03840562	STESAC00051730
1/26/1999	TRANS FROM 1KW24030 (1KW240)	27,080	-	-	27,080	-	(420,737)	MDPTPP03840564	MDPTPP03840568	STESAC00053345
3/29/1999	CHECK	(39,945)	-	(39,945)	-	-	(460,682)	MDPTPP03840575	MDPTPP03840580	STESAC00056953
3/29/1999	TRANS TO 1KW17530 (1KW175)	(237,500) <sup>[1]</sup>	-	-	-	-	(460,682)	MDPTPP03840575	MDPTPP03840580	STESAC00056953
4/13/1999	CHECK	(132,000)	-	(132,000)	-	-	(592,682)	MDPTPP03840582	MDPTPP03840584	STESAC00059936
5/4/1999	CHECK	(50,000)	-	(50,000)	-	-	(642,682)	MDPTPP03840586	MDPTPP03840590	STESAC00061341
6/1/1999	CHECK	242,000	242,000	-	-	-	(400,682)	MDPTPP03840592	MDPTPP03840597	STESAC00061341
6/14/1999	CHECK	(102,000)	-	(102,000)	-	-	(502,682)	MDPTPP03840592	MDPTPP03840597	STESAC00061341
7/21/1999	TRANS FROM 1KW16130 (1KW161)	196,560 <sup>[2]</sup>	-	-	-	-	(502,682)	MDPTPP03840599	MDPTPP03840602	STESAD00027856
7/23/1999	TRANS FROM 1KW24030 (1KW240)	50,580	-	-	50,580	-	(452,102)	MDPTPP03840599	MDPTPP03840602	STESAD00027856
9/14/1999	CHECK	(47,500)	-	(47,500)	-	-	(499,602)	MDPTPP03840610	MDPTPP03840613	STESAD00031792
9/20/1999	CHECK	(106,000)	-	(106,000)	-	-	(605,602)	MDPTPP03840610	MDPTPP03840613	STESAD00031792
10/28/1999	TRANS FROM 1KW15130 (1KW151)	101,474	-	-	101,474	-	(504,128)	MDPTPP03840615	MDPTPP03840617	STESAD00035273
10/28/1999	TRANS FROM 1KW16130 (1KW161)	32,760 <sup>[2]</sup>	-	-	-	-	(504,128)	MDPTPP03840615	MDPTPP03840617	STESAD00035273
10/28/1999	TRANS FROM 1KW22130 (1KW221)	72,600	-	-	72,600	-	(431,528)	MDPTPP03840615	MDPTPP03840617	STESAD00035273
10/28/1999	TRANS FROM 1KW15630 (1KW156)	58,080	-	-	58,080	-	(373,448)	MDPTPP03840615	MDPTPP03840617	STESAD00035273
11/1/1999	TRANS FROM 1KW18030 (1KW180)	99,700 <sup>[2]</sup>	-	-	-	-	(373,448)	MDPTPP03840619	MDPTPP03840624	STESAD00035915
11/5/1999	CHECK	(119,600)	-	(119,600)	-	-	(493,048)	MDPTPP03840619	MDPTPP03840624	STESAD00035915
11/10/1999	CHECK	513,000	513,000	-	-	-	19,952	MDPTPP03840619	MDPTPP03840624	STESAD00035915
12/17/1999	CHECK	(16,720)	-	(16,720)	-	-	3,232	MDPTPP03840619	MDPTPP03840624	STESAD00035915
12/21/1999	CHECK	(10,000)	-	(10,000)	-	-	(6,768)	MDPTPP03840626	MDPTPP03840628	STESAF0074207
12/27/1999	CHECK	(22,000)	-	(22,000)	-	-	(28,768)	MDPTPP03840626	MDPTPP03840628	STESAF0074207
1/10/2000	CHECK	(70,000)	-	(70,000)	-	-	(98,768)	MDPTPP03840630	MDPTPP03840636	STESAF0075720
1/12/2000	CHECK	(15,000)	-	(15,000)	-	-	(113,768)	MDPTPP03840630	MDPTPP03840636	STESAF0075720
2/1/2000	CHECK	133,000	133,000	-	-	-	19,232	MDPTPP03840630	MDPTPP03840636	STESAF0075720
2/1/2000	TRANS FROM 1KW24030 (1KW240)	30,193 <sup>[2]</sup>	-	-	-	-	19,232	MDPTPP03840639	MDPTPP03840642	STESAF0077953
2/24/2000	TRANS FROM 1KW17530 (1KW175)	475,000	-	-	475,000	-	494,232	MDPTPP03840639	MDPTPP03840642	STESAF0077953
3/7/2000	CHECK	121,740	121,740	-	-	-	615,972	MDPTPP03840644	MDPTPP03840649	STESAF0070093
4/12/2000	CHECK	(465,000)	-	(465,000)	-	-	150,972	MDPTPP03840651	MDPTPP03840653	STESAF0072648
4/18/2000	CHECK	(57,804)	-	(57,804)	-	-	93,168	MDPTPP03840651	MDPTPP03840653	STESAF0072648
4/24/2000	CHECK	(19,757)	-	(19,757)	-	-	73,411	MDPTPP03840651	MDPTPP03840653	STESAF0072648
4/28/2000	CHECK	(53,964)	-	(53,964)	-	-	19,447	MDPTPP03840651	MDPTPP03840653	STESAF0072648
6/8/2000	TRANS FROM 1KW16130 (1KW161)	63,040 <sup>[2]</sup>	-	-	-	-	19,447	MDPTPP03840662	MDPTPP03840665	STESAF0072648
6/8/2000	TRANS FROM 1KW15630 (1KW156)	63,040	-	-	63,040	-	82,487	MDPTPP03840662	MDPTPP03840665	STESAF0072648
6/14/2000	CHECK	(145,500)	-	(145,500)	-	-	(63,013)	MDPTPP03840662	MDPTPP03840665	STESAF0072648
7/3/2000	CHECK	(60,000)	-	(60,000)	-	-	(123,013)	MDPTPP03840667	MDPTPP03840673	STESAF00064891
7/12/2000	CHECK	(45,100)	-	(45,100)	-	-	(168,113)	MDPTPP03840667	MDPTPP03840673	STESAF00064891
8/7/2000	TRANS FROM 1KW24030 (1KW240)	38,005 <sup>[2]</sup>	-	-	-	-	(168,113)	MDPTPP03840676	MDPTPP03840680	STESAF00062897
9/11/2000	CHECK	(274,400)	-	(274,400)	-	-	(442,513)	MDPTPP03840682	MDPTPP03840687	STESAF00067398
9/27/2000	CHECK	1,212,750	1,212,750	-	-	-	770,237	MDPTPP03840682	MDPTPP03840687	STESAF00067402
10/3/2000	CHECK	133,000	133,000	-	-	-	903,237	MDPTPP03840689	MDPTPP03840693	STESAF00067402
10/25/2000	TRANS FROM 1KW29330 (1KW293)	114,815 <sup>[2]</sup>	-	-	-	-	903,237	MDPTPP03840689	MDPTPP03840693	STESAF00067402
11/1/2000	CHECK	(73,504)	-	(73,504)	-	-	829,733	MDPTPP03840695	MDPTPP03840700	STESAF00064411
12/19/2000	TRANS FROM 1KW29330 (1KW293)	459 <sup>[2]</sup>	-	-	-	-	829,733	MDPTPP03840695	MDPTPP03840700	STESAF00064411
1/11/2001	CHECK	(100,000)	-	(100,000)	-	-	729,733	MDPTPP03840709	MDPTPP03840714	STESAF00064411
1/29/2001	TRANS FROM 1KW24030 (1KW240)	19,704 <sup>[2]</sup>	-	-	-	-	729,733	MDPTPP03840709	MDPTPP03840714	STESAF00064411
2/16/2001	CHECK	60,000	60,000	-	-	-	789,733	MDPTPP03840716	MDPTPP03840719	STESAF00064411
4/12/2001	CHECK	(30,000)	-	(30,000)	-	-	759,733	MDPTPP03840728	MDPTPP03840731	STESAF00064411
5/11/2001	CHECK	50,000	50,000	-	-	-	809,733	MDPTPP03840733	MDPTPP03840735	STESAF00064411
6/13/2001	CHECK	(76,000)	-	(76,000)	-	-	733,733	MDPTPP03840737	MDPTPP03840742	STESAF00064411

BLMIS ACCOUNT NO. 1KW052 (FORMERLY 101772) - LEONARD J SCHREIER C/O KIM BAPTISTE ESQ

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
7/25/2001	TRANS FROM 1KW24030 (1KW240)	34,070 <sup>(2)</sup>	-	-	-	-	733,733	MDPTPP03840744	MDPTPP03840747	
8/6/2001	CHECK	15,000	15,000	-	-	-	748,733	MDPTPP03840749	MDPTPP03840754	
8/14/2001	CHECK	35,000	35,000	-	-	-	783,733	MDPTPP03840749	MDPTPP03840754	
1/23/2002	TRANS FROM 1KW24030 (1KW240)	26,136 <sup>(2)</sup>	-	-	-	-	783,733	MDPTPP03840778	MDPTPP03840783	STESAD0046500
2/21/2002	TRANS FROM 1KW33730 (1KW337)	242,013	-	-	242,013	-	1,025,746	MDPTPP03840785	MDPTPP03840789	STESAD0049305
7/2/2002	CHECK	(2,300,000)	-	(2,300,000)	-	-	(1,274,254)	MDPTPP03840819	MDPTPP03840824	STESAC0063508
7/19/2002	TRANS FROM 1KW24030 (1KW240)	24,082 <sup>(2)</sup>	-	-	-	-	(1,274,254)	MDPTPP03840819	MDPTPP03840824	STESAC0063508
8/19/2003	TRANS TO 1KW37230 (1KW372)	(2,737,921) <sup>(3)</sup>	-	-	-	-	(1,274,254)	MDPTPP03840900	MDPTPP03840904	STESAD00667754
10/14/2003	TRANS TO 1KW37230 (1KW372)	(4,193) <sup>(3)</sup>	-	-	-	-	(1,274,254)	MDPTPP03840910	MDPTPP03840911	
	<b>Total:</b>		<b>\$ 3,405,490</b>	<b>\$ (6,402,129)</b>	<b>\$ 2,079,385</b>	<b>\$ (357,000)</b>	<b>\$ (1,274,254)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(4)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLEMIS ACCOUNT NO. 1KW053 (FORMERLY 101757) - LEONARD SCHREIER AND SANFORD KOUFAX TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
6/5/1987	CHECK	250,000	250,000	-	-	-	250,000	MF00103219	MF00103219	
6/5/1987	CHECK	250,000	250,000	-	-	-	500,000	MF00103219	MF00103219	
1/7/1993	CHECK	(125,000)	-	(125,000)	-	-	375,000	MF00438172	MF00438172	STESAC0002807
12/23/1998	CHECK	(150,000)	-	(150,000)	-	-	225,000	MDPTPP03841377	MDPTPP03841381	STESAC00051740
12/23/1998	CHECK	(150,000)	-	(150,000)	-	-	75,000	MDPTPP03841377	MDPTPP03841381	STESAC00051740
4/17/2000	CHECK	(50,000)	-	(50,000)	-	-	25,000	MDPTPP03841481	MDPTPP03841483	STESAF0072656
4/26/2000	RETURNED CHECK	50,000	-	50,000	-	-	75,000	MDPTPP03841481	MDPTPP03841483	STESAF0072656
7/5/2002	CHECK	(3,192,063)	-	(3,192,063)	-	-	(3,117,063)	MDPTPP03841647	MDPTPP03841648	STESAC00063522
7/5/2002	TRANS TO 1KW26630 (1KW266)	(3,192,063)	-	-	-	-	(3,117,063)	MDPTPP03841647	MDPTPP03841648	STESAC00063522
10/31/2002	TRANS TO 1KW26630 (1KW266)	(1)	-	-	-	-	(3,117,063)	MDPTPP03841656	MDPTPP03841657	STESAC00037119
	<b>Total:</b>		\$ 500,000	\$ (3,617,063)	\$ -	\$ -	\$ (3,117,063)			

<sup>(1)</sup> Although BLEMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.



BLMIS ACCOUNT NO. IKW054 (FORMERLY 102406) - LEONARD J SCHREIER & DEVVA SCHREIER T I C

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
12/23/1991	TRANS FROM 10199430 (1/01994)	224,780 <sup>[1]</sup>	-	-	65,000	-	65,000	MF00474652		
12/24/1997	CHECK	(4,630)	-	(4,630)	-	-	60,370	MDPTPP03842030	MDPTPP03842033	
12/24/1997	TRANS TO IKW05230 (IKW052)	(99,443) <sup>[2]</sup>	-	-	-	(60,370)	-	MDPTPP03842030	MDPTPP03842033	
3/17/1998	TRANS TO IKW05230 (IKW052)	(528,245) <sup>[3]</sup>	-	-	-	-	-	MDPTPP03842046	MDPTPP03842048	STESAC0030611
4/15/1998	TRANS TO IKW05230 (IKW052)	(863) <sup>[3]</sup>	-	-	-	-	-	MDPTPP03842050	MDPTPP03842051	STESAC0022727
12/22/2000	CHECK	(20)	-	(20)	65,000	(60,370)	(20)	MDPTPP03842114	MDPTPP03842115	
	<b>Total:</b>		\$ -	\$ (4,650)	\$ 65,000	\$ (60,370)	\$ (20)			

<sup>[1]</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>[2]</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>[3]</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[4]</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW056 (FORMERLY 102407) - LEONARD J SCHREIER & MICHAEL SCHREIER TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>d)</sup>
12/23/1991	TRANS FROM 10199430 (1/01994)	224,780 <sup>(1)</sup>	-	-	65,000	-	65,000	MF00474653	MF00474653	
3/9/1994	TRANS TO 1KW05230 (1KW052)	(315,138) <sup>(2)</sup>	-	-	-	(65,000)	-	MF00293923	MF00293924	
3/14/1994	CHECK	(14,673)	-	(14,673)	-	-	(14,673)	MF00293923	MF00293924	
10/31/1994	TRANS TO 1KW05230 (1KW052)	(1,317) <sup>(3)</sup>	-	-	-	-	(14,673)	MF00250913	MF00250913	
11/1/1994	CHECK	(62)	-	(62)	-	-	(14,735)	MF00244936	MF00244936	
	<b>Total:</b>		<b>\$ -</b>	<b>\$ (14,735)</b>	<b>\$ 65,000</b>	<b>\$ (65,000)</b>	<b>\$ (14,735)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(3)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(4)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW057 (FORMERLY 101727) - STERLING DOUBLEDAY ENTERPRISES L P

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
9/16/1988	CHECK	2,000,000	2,000,000	-	-	-	2,000,000	MF00531678	MF00531678	STESAG0021872
9/23/1988	CHECK WIRE	1,000,000	1,000,000	-	-	-	3,000,000	MF00531678	MF00531678	STESAG0021872
10/19/1988	CHECK WIRE	1,000,000	1,000,000	-	-	-	4,000,000	MF00532720	MF00532720	STESAG0021864
11/15/1988	CHECK WIRE	1,000,000	1,000,000	-	-	-	5,000,000	MF00529387	MF00529387	STESAG0021858
11/22/1988	CHECK WIRE	1,000,000	1,000,000	-	-	-	6,000,000	MF00529387	MF00529387	STESAG0021858
1/13/1989	CHECK WIRE	3,000,000	3,000,000	-	-	-	9,000,000	MF00040539	MF00040539	STESAG0019424
10/31/1990	AMERICAN REAL ESTATE PART RECEIVED 10/29/90	-	-	-	-	-	9,000,000	MF00028952	MF00028952	-
11/1/1990	AMERICAN REAL ESTATE PART	282,400	282,400	-	-	-	9,282,400	MF00033520	MF00033520	-
12/12/1990	CHECK WIRE	4,500,000	4,500,000	-	-	-	13,782,400	MF00025558	MF00025558	STESAG0021091
2/11/1991	HARCOURT BRACE JOVANOVICH INC SUB DEB CONV 13,750,915/1999	198,316	198,316	-	-	-	13,980,716	MF00493824	MF00493824	STESAG0019573
2/11/1991	CHECK	(198,316)	-	(198,316)	-	-	13,782,400	MF00493824	MF00493824	STESAG0019580
2/14/1991	HARCOURT BRACE JOVANOVICH INC SUB DEB CONV 13,750,915/1999	-	-	-	-	-	13,782,400	MF00493824	MF00493824	-
6/17/1991	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	11,782,400	MF00486389	MF00486390	STESAG0019542
7/1/1991	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	10,782,400	MF00484599	MF00484600	STESAG0019539
9/27/1991	CHECK WIRE	1,000,000	1,000,000	-	-	-	11,782,400	MF00480512	MF00480513	STESAG0019517
11/22/1991	CHECK WIRE	2,000,000	2,000,000	-	-	-	13,782,400	MF00476242	MF00476242	STESAG0019500
12/26/1991	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	10,782,400	MF00474143	MF00474144	STESAG0019494
1/8/1992	CHECK WIRE	3,000,000	3,000,000	-	-	-	13,782,400	MF00472101	MF00472102	STESAD0019476
3/31/1992	CHECK WIRE	(4,000,000)	-	(4,000,000)	-	-	9,782,400	MF00468322	MF00468323	STESAC0100522
4/15/1992	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	8,782,400	MF00466109	MF00466109	STESAD0019588
4/30/1992	CHECK WIRE	(4,000,000)	-	(4,000,000)	-	-	4,782,400	MF00466109	MF00466109	STESAD0015958
5/15/1992	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	1,782,400	MF00463577	MF00463578	STESAC0102236
5/29/1992	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	(1,217,600)	MF00463577	MF00463578	STESAC0102236
6/15/1992	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(3,217,600)	MF00461495	MF00461496	STESAC0103458
6/30/1992	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	(4,217,600)	MF00461495	MF00461496	STESAC0103459
12/22/1992	CHECK WIRE	4,000,000	4,000,000	-	-	-	(5,217,600)	MF00457547	MF00457548	STESAC0102236
12/23/1992	CXL CHECK WIRE	(4,000,000)	(4,000,000)	-	-	-	(1,217,600)	MF00444828	MF00444828	STESAD0017669
12/31/1992	TRANS TO IKW04030 (IKW040)	(5,303,861)	-	(5,303,861)	-	-	(5,217,600)	MF00444828	MF00444828	-
12/31/1992	TRANS FROM IKW04030 (IKW040)	39,486	-	39,486	-	-	(5,178,114)	MF00444828	MF00444828	-
3/31/1993	TRANS TO IKW04030 (IKW040)	(11,120)	-	(11,120)	-	-	(5,178,114)	MF00427929	MF00427929	STESAC0003642
12/23/1993	CHECK	2,000,000	2,000,000	-	-	-	(3,178,114)	MF00344648	MF00344648	STESAF0092786
12/30/1993	CXL CHECK WIRE 12/23/93	(2,000,000)	(2,000,000)	-	-	-	(5,178,114)	MF00344648	MF00344648	STESAF0092786
		<b>Total:</b>	<b>\$ 19,980,716</b>	<b>\$ (25,198,316)</b>	<b>\$ 39,486</b>	<b>\$ -</b>	<b>\$ (5,178,114)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW059 (FORMERLY 101913) - STERLING THIRD ASSOC C/O MICHAEL KATZ

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
5/7/1986	CHECK	430,000	430,000	-	-	-	430,000	MF00070897	MF00070897	
11/5/1991	CHECK	(476,000)	-	(476,000)	-	-	(46,000)	MF00476523	MF00476524	
8/19/1992	CHECK	(280,000)	-	(280,000)	-	-	(326,000)	MF00457553	MF00457553	STESAD0017678
10/6/1993	CHECK	(290,000)	-	(290,000)	-	-	(616,000)	MF00350320	MF00350321	
2/16/1994	TRANS FROM IKW07030 (IKW070)	735,073 <sup>[1]</sup>	-	-	200,016	-	(415,984)	MF00300726	MF00300727	
10/31/1994	TRANS FROM IKW07030 (IKW070)	1,617 <sup>[2]</sup>	-	-	-	-	(415,984)	MF00250915	MF00250917	
12/6/1994	CHECK	(3,900,000)	-	(3,900,000)	-	-	(4,315,984)	MF00228359	MF00228361	
12/15/1994	TRANS FROM IKW03230 (IKW003)	2,265,129 <sup>[1]</sup>	-	-	408,000	-	(3,907,984)	MF00228359	MF00228361	
12/15/1994	TRANS FROM IKW03230 (IKW032)	834,929 <sup>[1]</sup>	-	-	200,016	-	(3,707,968)	MF00228359	MF00228361	
1/12/1995	TRANS FROM IKW00330 (IKW003)	7,606 <sup>[2]</sup>	-	-	-	-	(3,707,968)	MF00223687	MF00223689	
1/12/1995	TRANS FROM IKW03230 (IKW032)	2,754 <sup>[2]</sup>	-	-	-	-	(3,707,968)	MF00223687	MF00223689	
1/26/1995	CHECK	35,000	35,000	-	-	-	(3,672,968)	MF00223687	MF00223689	
6/27/1995	TRANS FROM IKW04730 (IKW047)	477,750 <sup>[1]</sup>	-	-	22,250	-	(3,650,718)	MF00164753	MF00164755	STESAC0081026
7/24/1995	TRANS TO IKW047-30 (IKW047)	(160,850) <sup>[3]</sup>	-	-	-	-	(3,650,718)	MF00176045	MF00176047	STESAC0082231
10/23/1995	TRANS FROM IKW03230 (IKW032)	700 <sup>[2]</sup>	-	-	-	-	(3,650,718)	MF00117071	MF00117072	STESAC0084103
10/23/1995	TRANS FROM IKW00330 (IKW003)	1,934 <sup>[2]</sup>	-	-	-	-	(3,650,718)	MF00117071	MF00117072	STESAC0084103
2/12/1996	TRANS FROM IKW01830 (IKW018)	1,011,293 <sup>[1]</sup>	-	-	200,016	-	(3,450,702)	MDPTPP03842205	MDPTPP03842211	
2/12/1996	TRANS FROM IKW07930 (IKW079)	1,011,211 <sup>[1]</sup>	-	-	200,016	-	(3,250,686)	MDPTPP03842205	MDPTPP03842211	
5/13/1996	TRANS TO IKW16130 (IKW161)	(1,400,000) <sup>[3]</sup>	-	-	-	-	(3,250,686)	MDPTPP03842229	MDPTPP03842235	
7/23/1996	TRANS FROM IKW07930 (IKW079)	871 <sup>[2]</sup>	-	-	-	-	(3,250,686)	MDPTPP03842244	MDPTPP03842249	
7/23/1996	TRANS FROM IKW01830 (IKW018)	871 <sup>[2]</sup>	-	-	-	-	(3,250,686)	MDPTPP03842244	MDPTPP03842249	
8/20/1997	TRANS FROM IKW04730 (IKW047)	824,161 <sup>[2]</sup>	-	-	-	-	(3,250,686)	MDPTPP03842323	MDPTPP03842327	STESAD0041611
9/30/1997	TRANS FROM IKW04730 (IKW047)	624 <sup>[2]</sup>	-	-	-	-	(3,250,686)	MDPTPP03842329	MDPTPP03842332	STESAD0042474
1/16/1998	CHECK	(1,383)	-	(1,383)	-	-	(3,252,069)	MDPTPP03842351	MDPTPP03842352	STESAC0027693
1/16/1998	CHECK	(3,616,349)	-	(3,616,349)	-	-	(6,868,419)	MDPTPP03842351	MDPTPP03842352	STESAC0027693
	<b>Total:</b>		<b>\$ 465,000</b>	<b>\$ (8,563,733)</b>	<b>\$ 1,230,314</b>	<b>\$ -</b>	<b>\$ (6,868,419)</b>			

<sup>[1]</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>[2]</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[3]</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[4]</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW062 (FORMERLY 102022) - ELISE C TEPPER,SHELLEY L TEPPER,J G TEPPER,D ROBINOV,E TEPPER,B TEPPER,B

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
12/18/1990	CHECK	20,000	20,000	-	-	-	20,000	MF00025899	MF00025899	
12/18/1990	CHECK	50,000	50,000	-	-	-	70,000	MF00025899	MF00025899	
12/18/1990	CHECK	40,000	40,000	-	-	-	110,000	MF00025899	MF00025899	
12/18/1990	CHECK	320,000	320,000	-	-	-	430,000	MF00025899	MF00025899	
12/18/1990	CHECK	20,000	20,000	-	-	-	450,000	MF00025899	MF00025899	
12/20/1990	CHECK	5,000	5,000	-	-	-	455,000	MF00025899	MF00025899	
12/20/1990	CHECK	3,000	3,000	-	-	-	458,000	MF00025899	MF00025899	
12/20/1990	CHECK	42,000	42,000	-	-	-	500,000	MF00025899	MF00025899	
1/4/1991	CHECK	100,000	100,000	-	-	-	600,000	MF00476651	MF00476651	
1/14/1992	TRANS TO 10202930 (1KW061)	(100,000)	-	-	-	(100,000)	500,000	MF00472420	MF00472420	STESAD0019410
5/9/1996	CHECK	(53,184)	-	(53,184)	-	-	446,816	MDPTPP03843456	MDPTPP03843459	
5/10/1996	TRANS TO 1KW16030 (1KW160)	(33,184) <sup>(1)</sup>	-	-	-	(11,616)	435,200	MDPTPP03843456	MDPTPP03843459	
5/10/1996	TRANS TO 1KW16030 (1KW160)	(106,367) <sup>(1)</sup>	-	-	-	(37,235)	397,965	MDPTPP03843456	MDPTPP03843459	
5/10/1996	TRANS TO 1KW04430 (1KW044)	(132,959) <sup>(1)</sup>	-	-	-	(46,543)	351,422	MDPTPP03843456	MDPTPP03843459	
5/10/1996	TRANS TO 1KW16030 (1KW160)	(53,184) <sup>(1)</sup>	-	-	-	(18,617)	332,805	MDPTPP03843456	MDPTPP03843459	
5/10/1996	TRANS TO 1KW13730 (1KW137)	(132,959) <sup>(1)</sup>	-	-	-	(46,543)	286,261	MDPTPP03843456	MDPTPP03843459	
5/10/1996	TRANS TO 1KW06130 (1KW061)	(817,755) <sup>(1)</sup>	-	-	-	(286,261)	-	MDPTPP03843456	MDPTPP03843459	
7/23/1996	TRANS TO 1KW04430 (1KW044)	(244) <sup>(2)</sup>	-	-	-	-	-	MDPTPP03843464	MDPTPP03843465	
7/23/1996	TRANS TO 1KW13730 (1KW137)	(244) <sup>(2)</sup>	-	-	-	-	-	MDPTPP03843464	MDPTPP03843465	
7/23/1996	TRANS TO 1KW16030 (1KW160)	(354) <sup>(2)</sup>	-	-	-	-	-	MDPTPP03843464	MDPTPP03843465	
7/23/1996	TRANS TO 1KW06130 (1KW061)	(1,466) <sup>(2)</sup>	-	-	-	-	-	MDPTPP03843464	MDPTPP03843465	
7/25/1996	CHECK	(98)	-	(98)	-	-	(98)	MDPTPP03843464	MDPTPP03843465	
7/25/1996	CHECK	(37)	-	(37)	-	-	(135)	MDPTPP03843464	MDPTPP03843465	
	<b>Total:</b>		<b>\$ 600,000</b>	<b>\$ (53,318)</b>	<b>\$ -</b>	<b>\$ (546,816)</b>	<b>\$ (135)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW063 (FORMERLY 102020) - MARVIN TEPPER L. SCHREIER, L. TOSTERMAN C/F H & K S OSTERMAN, M. KATZ C/F G & H

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
1/24/1990	CHECK	20,000	20,000	-	-	-	20,000	MF00022843	MF00022843	
1/24/1990	CHECK	15,000	15,000	-	-	-	35,000	MF00022843	MF00022843	
1/24/1990	CHECK	20,000	20,000	-	-	-	55,000	MF00022843	MF00022843	
1/24/1990	CHECK	20,000	20,000	-	-	-	75,000	MF00022843	MF00022843	
1/24/1990	CHECK	100,000	100,000	-	-	-	175,000	MF00022843	MF00022843	
1/24/1990	CHECK	20,000	20,000	-	-	-	195,000	MF00022843	MF00022843	
1/24/1990	CHECK	20,000	20,000	-	-	-	215,000	MF00022843	MF00022843	
1/24/1990	CHECK	100,000	100,000	-	-	-	315,000	MF00022843	MF00022843	
1/24/1990	CHECK	85,000	85,000	-	-	-	400,000	MF00022843	MF00022843	
1/6/1994	TRANS TO 1KW10930 (1KW/09)	(10,245) <sup>(1)</sup>	-	-	-	(5,625)	394,375	MF00314520	MF00314520	
1/6/1994	TRANS TO 1KW10330 (1KW/03)	(81,953) <sup>(1)</sup>	-	-	-	(44,996)	349,379	MF00314520	MF00314520	
1/6/1994	TRANS TO 1KW08730 (1KW/087)	(61,465) <sup>(1)</sup>	-	-	-	(33,747)	315,632	MF00314520	MF00314520	
1/6/1994	TRANS TO 1KW11030 (1KW/10)	(10,245) <sup>(1)</sup>	-	-	-	(5,625)	310,007	MF00314520	MF00314520	
1/6/1994	TRANS TO 1KW08830 (1KW/088)	(61,465) <sup>(1)</sup>	-	-	-	(33,747)	276,260	MF00314520	MF00314520	
1/6/1994	TRANS TO 1KW05230 (1KW/052)	(174,150) <sup>(1)</sup>	-	-	-	(95,617)	180,643	MF00314520	MF00314520	
1/6/1994	TRANS TO 1KW11230 (1KW/12)	(113,883) <sup>(1)</sup>	-	-	-	(62,527)	118,115	MF00314520	MF00314520	
1/6/1994	TRANS TO 1KW07530 (1KW/075)	(204,883) <sup>(1)</sup>	-	-	-	(112,491)	5,625	MF00314520	MF00314520	
1/6/1994	TRANS TO 1KW10830 (1KW/08)	(10,245) <sup>(1)</sup>	-	-	-	(5,625)	-	MF00314520	MF00314520	
1/13/1994	CHECK	(91,000)	-	(91,000)	-	-	(91,000)	MF00250927	MF00250927	
10/31/1994	TRANS TO 1KW08330 (1KW/088)	(48) <sup>(2)</sup>	-	-	-	-	(91,000)	MF00250927	MF00250927	
10/31/1994	TRANS TO 1KW10330 (1KW/03)	(65) <sup>(2)</sup>	-	-	-	-	(91,000)	MF00250927	MF00250927	
10/31/1994	TRANS TO 1KW05230 (1KW/052)	(137) <sup>(2)</sup>	-	-	-	-	(91,000)	MF00250927	MF00250927	
10/31/1994	TRANS TO 1KW11230 (1KW/12)	(162) <sup>(2)</sup>	-	-	-	-	(91,000)	MF00250927	MF00250927	
10/31/1994	TRANS TO 1KW11030 (1KW/10)	(8) <sup>(2)</sup>	-	-	-	-	(91,000)	MF00250927	MF00250927	
10/31/1994	TRANS TO 1KW08730 (1KW/087)	(48) <sup>(2)</sup>	-	-	-	-	(91,000)	MF00250927	MF00250927	
10/31/1994	TRANS TO 1KW10930 (1KW/09)	(8) <sup>(2)</sup>	-	-	-	-	(91,000)	MF00250927	MF00250927	
10/31/1994	TRANS TO 1KW10830 (1KW/08)	(8) <sup>(2)</sup>	-	-	-	-	(91,000)	MF00250927	MF00250927	
10/31/1994	TRANS TO 1KW07530 (1KW/075)	(162) <sup>(2)</sup>	-	-	-	-	(91,000)	MF00250927	MF00250927	
	<b>Total:</b>		<b>\$ 400,000</b>	<b>\$ (91,000)</b>	<b>\$ -</b>	<b>\$ (400,000)</b>	<b>\$ (91,000)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW064 (FORMERLY 102031) - MARVIN B TEPPER & ELISE C TEPPER JT WROS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
11/4/1991	CHECK	265,000	265,000	-	-	-	265,000	MF00476666	MF00476667	
6/13/1994	CHECK	(110,000)	-	(110,000)	-	-	155,000	MF00234787	MF00234788	
6/13/1994	TRANS TO IKW06130 (IKW061)	(110,000)	-	-	-	(110,000)	45,000	MF00234787	MF00234788	
6/27/1995	CHECK	(117,795)	-	(117,795)	-	-	(72,795)	MF00164764	MF00164765	STESAC0081044
6/27/1995	TRANS TO IKW06130 (IKW061)	(117,795) <sup>(1)</sup>	-	-	-	-	(72,795)	MF00164764	MF00164765	STESAC0081044
10/23/1995	TRANS TO IKW06130 (IKW061)	(226) <sup>(1)</sup>	-	-	-	-	(72,795)	MF00117080	MF00117080	STESAC0084118
	<b>Total:</b>		<b>\$ 265,000</b>	<b>\$ (227,795)</b>	<b>\$ -</b>	<b>\$ (110,000)</b>	<b>\$ (72,795)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW066 (FORMERLY 102360) - DEBRA WILPON

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
12/23/1991	TRANS FROM 10199430 (1/01994)	224,780 <sup>(1)</sup>	-	-	65,000	-	65,000	MF00474643	MF00474643	
6/8/1993	CHECK	75,000	75,000	-	-	-	140,000	MF00406681	MF00406683	STESAF0089885
6/27/1995	CHECK	(509,113)	-	(509,113)	-	-	(369,113)	MF00164770	MF00164771	STESAC0081056
10/23/1995	TRANS TO IKW08130 (IKW081)	(566) <sup>(2)</sup>	-	-	-	-	(369,113)	MF00117085	MF00117085	STESAC0084126
	<b>Total:</b>		<b>\$ 75,000</b>	<b>\$ (509,113)</b>	<b>\$ 65,000</b>	<b>\$ -</b>	<b>\$ (369,113)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.



BLMIS ACCOUNT NO. IKW067 (FORMERLY 102330) - FRED WILPON

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref'd
10/1/1985	CHECK	1,000,000	1,000,000	-	-	-	1,000,000	MF00160038	MF00160038	
4/3/1986	CHECK	300,000	300,000	-	-	-	1,300,000	MF00100928	MF00100928	
3/3/1988	CHECK	82,688	82,688	-	-	-	1,382,688	MF00537931	MF00537931	
5/1/1988	CHECK DIV ROCKEFELLER CTR PPTY	(2,300)	-	(2,300)	-	-	1,380,388	MF00535871	MF00535871	
2/1/1990	CHECK	(60,000)	-	(60,000)	-	-	1,320,388	MF00024168	MF00024168	
3/1/1990	CHECK	(60,000)	-	(60,000)	-	-	1,260,388	MF00030751	MF00030751	
4/2/1990	CHECK	(60,000)	-	(60,000)	-	-	1,200,388	MF00032220	MF00032220	
5/1/1990	CHECK	(60,000)	-	(60,000)	-	-	1,140,388	MF00038433	MF00038433	
6/1/1990	CHECK	(60,000)	-	(60,000)	-	-	1,080,388	MF00039796	MF00039796	
7/2/1990	CHECK	(60,000)	-	(60,000)	-	-	1,020,388	MF00035367	MF00035367	
8/1/1990	CHECK	(60,000)	-	(60,000)	-	-	960,388	MF00037028	MF00037028	
9/4/1990	CHECK	(60,000)	-	(60,000)	-	-	900,388	MF00027528	MF00027528	
10/1/1990	CHECK	(60,000)	-	(60,000)	-	-	840,388	MF00029320	MF00029320	
10/1/1990	CHECK	(60,000)	-	(60,000)	-	-	780,388	MF00029320	MF00029320	
10/2/1990	CXL CHECK FROM 9/4/90	60,000	-	60,000	-	-	840,388	MF00029320	MF00029320	
11/1/1990	CHECK	(60,000)	-	(60,000)	-	-	780,388	MF00033881	MF00033881	
12/3/1990	CHECK	(60,000)	-	(60,000)	-	-	720,388	MF00025937	MF00025937	
12/2/1991	CHECK	(60,000)	-	(60,000)	-	-	660,388	MF00496049	MF00496049	
2/1/1991	CHECK	(130,000)	-	(130,000)	-	-	530,388	MF00494191	MF00494191	
2/6/1991	CHECK	(18,000)	-	(18,000)	-	-	512,388	MF00494191	MF00494191	
2/8/1991	CHECK	130,000	130,000	-	-	-	642,388	MF00494191	MF00494191	
3/1/1991	CHECK	(18,000)	-	(18,000)	-	-	624,388	MF00542377	MF00542377	
4/1/1991	CHECK	(18,000)	-	(18,000)	-	-	606,388	MF00490407	MF00490407	
5/1/1991	CHECK	(18,000)	-	(18,000)	-	-	588,388	MF00488484	MF00488484	
6/3/1991	CHECK	(18,000)	-	(18,000)	-	-	570,388	MF00486740	MF00486740	
7/1/1991	CHECK	(18,000)	-	(18,000)	-	-	552,388	MF00485011	MF00485011	
8/1/1991	CHECK	(18,000)	-	(18,000)	-	-	534,388	MF00483032	MF00483032	
9/3/1991	CHECK	(18,000)	-	(18,000)	-	-	516,388	MF00480946	MF00480946	
10/1/1991	CHECK	(18,000)	-	(18,000)	-	-	498,388	MF00478851	MF00478851	
11/1/1991	CHECK	(18,000)	-	(18,000)	-	-	480,388	MF00476703	MF00476703	
11/12/1991	CHECK	238,000	238,000	-	-	-	718,388	MF00476704	MF00476704	
12/2/1991	CHECK	(18,000)	-	(18,000)	-	-	700,388	MF00474594	MF00474594	
12/19/1991	CHECK WIRE	(1,500,000)	-	(1,500,000)	-	-	(799,613)	MF00474593	MF00474593	
12/23/1991	TRANS FROM 10199430 (10/994)	1,236,288 (1)	-	-	357,500	-	(442,112)	MF00474593	MF00474594	
12/1992	CHECK	(18,000)	-	(18,000)	-	-	(460,112)	MF00472467	MF00472468	STESAD0019516
2/3/1992	CHECK	(250,000)	-	(250,000)	-	-	(710,112)	MF00470645	MF00470645	STESAD0010631
2/21/1992	CHECK WIRE	(500,000)	-	(500,000)	-	-	(1,210,112)	MF00470645	MF00470645	STESAD0010631
3/2/1992	CHECK	(250,000)	-	(250,000)	-	-	(1,460,112)	MF00468778	MF00468778	STESAC0100552
4/1/1992	CHECK	(250,000)	-	(250,000)	-	-	(1,710,112)	MF00466556	MF00466556	STESAD0016038
5/1/1992	CHECK	(250,000)	-	(250,000)	-	-	(1,960,112)	MF00463599	MF00463599	STESAC0102261
6/1/1992	CHECK	(250,000)	-	(250,000)	-	-	(2,210,112)	MF00461517	MF00461517	STESAC0103396
7/1/1992	CHECK	(250,000)	-	(250,000)	-	-	(2,460,112)	MF00459574	MF00459574	STESAC0104326
7/30/1992	TRANS FROM 1KW04330 (1KW043)	971,334 (1)	-	-	335,040	-	(2,125,072)	MF00459573	MF00459574	STESAC0104327
8/3/1992	CHECK	(250,000)	-	(250,000)	-	-	(2,375,072)	MF00457569	MF00457570	STESAD0017703
8/25/1992	CHECK	140,000	140,000	-	-	-	(2,235,072)	MF00457569	MF00457570	STESAD0017703
9/1/1992	CHECK	(250,000)	-	(250,000)	-	-	(2,485,072)	MF00455585	MF00455586	STESAD0014759
10/1/1992	CHECK	(250,000)	-	(250,000)	-	-	(2,735,072)	MF00453378	MF00453379	
11/2/1992	CHECK	(250,000)	-	(250,000)	-	-	(2,985,072)	MF00451029	MF00451029	
12/1/1992	CHECK	(250,000)	-	(250,000)	-	-	(3,235,072)	MF00444849	MF00444850	
1/4/1993	CHECK	(250,000)	-	(250,000)	-	-	(3,485,072)	MF00438201	MF00438201	STESAC0003079
2/1/1993	CHECK	(450,000)	-	(450,000)	-	-	(3,935,072)	MF00432697	MF00432697	STESAC0003269
3/1/1993	CHECK	(450,000)	-	(450,000)	-	-	(4,385,072)	MF00427956	MF00427957	STESAC0003632
4/1/1993	CHECK	(450,000)	-	(450,000)	-	-	(4,835,072)	MF00423090	MF00423090	STESAC0004256
5/3/1993	CHECK	(450,000)	-	(450,000)	-	-	(5,285,072)	MF00418641	MF00418642	STESAC0002675
5/17/1993	TRANS FROM 1KW03430 (1KW034)	670,946 (1)	-	-	178,806	-	(5,106,266)	MF00418641	MF00418642	STESAC0002675
5/17/1993	TRANS FROM 1KW07130 (1KW071)	1,152,050 (1)	-	-	457,966	-	(4,648,300)	MF00418641	MF00418642	STESAC0002675

BLMIS ACCOUNT NO. IKW067 (FORMERLY 102330) - FRED WILPON

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Baltes	BLMIS SOURCE DOCUMENT: End Baltes	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
5/17/1993	TRANS FROM IKW04830 (IKW048)	573,734 (1)	-	-	174,996	-	(4,473,304)	MF00418641	MF00418642	STESAC0002675
5/17/1993	TRANS FROM IKW06830 (IKW068)	600,378 (1)	-	-	130,000	-	(4,343,304)	MF00418641	MF00418642	STESAC0002675
5/17/1993	TRANS FROM IKW07330 (IKW073)	494,403 (1)	-	-	154,998	-	(4,188,306)	MF00418641	MF00418642	STESAC0002675
6/1/1993	CHECK	(450,000)	-	(450,000)	-	-	(4,638,306)	MF00406685	MF00406686	
7/1/1993	CHECK	(450,000)	-	(450,000)	-	-	(5,088,306)	MF00398786	MF00398788	
8/2/1993	CHECK	(450,000)	-	(450,000)	-	-	(5,538,306)	MF00383924	MF00383925	
9/1/1993	CHECK	(450,000)	-	(450,000)	-	-	(5,988,306)	MF00376924	MF00376926	
10/1/1993	CHECK	(450,000)	-	(450,000)	-	-	(6,438,306)	MF00350343	MF00350344	STESAF0090826
10/18/1993	CHECK	145,000	145,000	-	-	-	(6,293,306)	MF00350343	MF00350344	
11/1/1993	CHECK	(450,000)	-	(450,000)	-	-	(6,743,306)	MF00373813	MF00373814	
12/6/1994	CHECK WIRE	(500,000)	-	(500,000)	-	-	(7,243,306)	MF00314531	MF00314533	
2/16/1994	TRANS FROM IKW06930 (IKW069)	1,245,345 (1)	-	-	335,016	-	(6,908,290)	MF00300745	MF00300746	
2/16/1994	TRANS FROM IKW03830 (IKW038)	573,311 (1)	-	-	150,000	-	(6,758,290)	MF00300745	MF00300746	
2/16/1994	TRANS FROM IKW07030 (IKW070)	587,953 (1)	-	-	159,984	-	(6,598,306)	MF00300745	MF00300746	
2/16/1994	TRANS FROM IKW02130 (IKW021)	410,512 (1)	-	-	140,000	-	(6,458,306)	MF00300745	MF00300746	
3/2/1994	CHECK	(500,000)	-	(500,000)	-	-	(6,958,306)	MF00293954	MF00293955	
3/7/1994	TRANS FROM IKW04830 (IKW048)	843 (2)	-	-	-	-	(6,958,306)	MF00293954	MF00293955	
3/7/1994	TRANS FROM IKW07330 (IKW073)	724 (2)	-	-	-	-	(6,958,306)	MF00293954	MF00293955	
3/7/1994	TRANS FROM IKW06830 (IKW068)	872 (2)	-	-	-	-	(6,958,306)	MF00293954	MF00293955	
3/7/1994	TRANS FROM IKW07130 (IKW071)	3,021 (2)	-	-	-	-	(6,958,306)	MF00293954	MF00293955	
3/7/1994	TRANS FROM IKW03530 (IKW035)	867 (2)	-	-	-	-	(6,958,306)	MF00293954	MF00293955	
3/7/1994	TRANS FROM IKW03430 (IKW034)	871 (2)	-	-	-	-	(6,958,306)	MF00293954	MF00293955	
3/14/1994	CHECK	(1,000,000)	-	(1,000,000)	-	-	(7,958,306)	MF00293954	MF00293955	
4/21/1994	CHECK	(600,000)	-	(600,000)	-	-	(8,558,306)	MF00287621	MF00287622	
4/26/1994	CHECK WIRE	(500,000)	-	(500,000)	-	-	(9,058,306)	MF00287621	MF00287622	
8/1/1994	TRANS TO IKW12530 (IKW125)	(115,000) (3)	-	(400,000)	-	-	(9,058,306)	MF00323884	MF00323886	
9/13/1994	CHECK	(400,000)	-	(400,000)	-	-	(9,458,306)	MF00260420	MF00260421	
10/31/1994	TRANS FROM IKW02130 (IKW021)	909 (2)	-	-	-	-	(9,458,306)	MF00250940	MF00250942	
10/31/1994	TRANS FROM IKW06930 (IKW069)	2,708 (2)	-	-	-	-	(9,458,306)	MF00250940	MF00250942	
10/31/1994	TRANS FROM IKW07030 (IKW070)	1,293 (2)	-	-	-	-	(9,458,306)	MF00250940	MF00250942	
10/31/1994	TRANS FROM IKW03830 (IKW038)	1,255 (2)	-	-	-	-	(9,458,306)	MF00250940	MF00250942	
12/7/1994	CHECK	1,950,000	1,950,000	-	-	-	(9,458,306)	MF00250940	MF00250942	
1/4/1995	CHECK	(430,000)	-	(430,000)	-	-	(7,938,306)	MF00223711	MF00223713	
2/1/1995	TRANS FROM IKW07730 (IKW077)	600,000 (2)	-	-	-	-	(7,938,306)	MF00189177	MF00189178	
3/2/1995	CHECK	(339,000)	-	(339,000)	-	-	(8,277,306)	MF00181411	MF00181413	STESAC0079060
4/7/1995	CHECK	3,503,955	3,503,955	-	-	-	(4,773,351)	MF00183579	MF00183582	STESAC0097981
6/27/1995	CHECK	(3,337,262)	-	(3,337,262)	-	-	(8,110,613)	MF00164773	MF00164775	STESAC0081062
9/13/1995	TRANS FROM IKW09830 (IKW098)	1,616,250	-	-	1,616,250	-	(6,494,363)	MF00127304	MF00127307	STESAC0083394
2/8/1996	TRANS TO IKW07730 (IKW077)	(710,000) (3)	-	-	-	-	(6,494,363)	MDPTPP03844126	MDPTPP03844131	
4/19/1996	TRANS TO IKW15530 (IKW155)	(3,000,000) (3)	-	-	-	-	(6,494,363)	MDPTPP03844141	MDPTPP03844147	
5/13/1996	TRANS TO IKW16130 (IKW161)	(2,738,000) (3)	-	-	-	-	(6,494,363)	MDPTPP03844150	MDPTPP03844156	
5/13/1996	TRANS FROM IKW12530 (IKW125)	448,027 (1)	-	-	40,764	-	(6,453,599)	MDPTPP03844150	MDPTPP03844156	
5/13/1996	TRANS FROM IKW09030 (IKW090)	112,719 (2)	-	-	-	-	(6,453,599)	MDPTPP03844150	MDPTPP03844156	
5/13/1996	TRANS FROM IKW09830 (IKW098)	1,206,800 (1)	-	-	754,250	-	(5,699,349)	MDPTPP03844150	MDPTPP03844156	
7/23/1996	TRANS FROM IKW12530 (IKW125)	974 (2)	-	-	-	-	(5,699,349)	MDPTPP03844165	MDPTPP03844168	
7/23/1996	TRANS FROM IKW08930 (IKW089)	974 (2)	-	-	-	-	(5,699,349)	MDPTPP03844165	MDPTPP03844168	
8/19/1996	TRANS TO IKW02430 (IKW024)	(974) (3)	-	-	-	-	(5,699,349)	MDPTPP03844171	MDPTPP03844174	
8/19/1996	TRANS FROM IKW02430 (IKW024)	139 (2)	-	-	-	-	(5,699,349)	MDPTPP03844171	MDPTPP03844174	
10/4/1996	CHECK	1,056,000	1,056,000	-	-	-	(4,643,349)	MDPTPP03844181	MDPTPP03844185	
12/23/1996	CHECK	1,962,500	1,962,500	-	-	-	(2,680,849)	MDPTPP03844192	MDPTPP03844196	
12/1/1997	CHECK	272,000	272,000	-	-	-	(2,408,849)	MDPTPP03844198	MDPTPP03844204	STESAC0059311
2/18/1997	CHECK	1,850,000	1,850,000	-	-	-	(558,849)	MDPTPP03844207	MDPTPP03844211	

BLMIS ACCOUNT NO. IKW067 (FORMERLY 102330) - FRED WILPON

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
2/20/1997	CHECK	42,533	42,533	-	-	-	(516,316)	MDPTPP03844207	MDPTPP03844211	STESAC004798
3/31/1997	CHECK	(67,500)	-	(67,500)	-	-	(583,816)	MDPTPP03844213	MDPTPP03844218	STESAD0037966
4/7/1997	CHECK	(1,136,000)	-	(1,136,000)	-	-	(1,719,816)	MDPTPP03844220	MDPTPP03844226	STESAD0037966
4/9/1997	CHECK	(240,000)	-	(240,000)	-	-	(1,959,816)	MDPTPP03844220	MDPTPP03844226	STESAD0040973
7/23/1997	CHECK	(1,500,000)	-	(1,500,000)	-	-	(3,459,816)	MDPTPP03844240	MDPTPP03844243	STESAD0040974
7/29/1997	CHECK	(100,000)	-	(100,000)	-	-	(3,559,816)	MDPTPP03844240	MDPTPP03844243	STESAD0043713
10/10/1997	CHECK	(54,500)	-	(54,500)	-	-	(3,614,316)	MDPTPP03844257	MDPTPP03844260	STESAD0044805
11/3/1997	CHECK	1,001,001	1,001,001	-	-	-	(2,613,315)	MDPTPP03844262	MDPTPP03844266	STESAD0044805
12/15/1997	CHECK	(120,000)	-	(120,000)	-	-	(2,733,315)	MDPTPP03844269	MDPTPP03844272	
12/22/1997	CHECK WIRE	(377,815)	-	(377,815)	-	-	(3,111,130)	MDPTPP03844269	MDPTPP03844272	
12/23/1997	CHECK	(185,725)	-	(185,725)	-	-	(3,296,855)	MDPTPP03844269	MDPTPP03844272	STESAC0027721
1/4/1998	CHECK	(500,000)	-	(500,000)	-	-	(3,796,855)	MDPTPP03844274	MDPTPP03844280	STESAC0027725
1/22/1998	CHECK	(1,050,000)	-	(1,050,000)	-	-	(4,846,855)	MDPTPP03844274	MDPTPP03844280	STESAC0029242
1/26/1998	CHECK	1,808,866	1,808,866	-	-	-	(3,037,989)	MDPTPP03844274	MDPTPP03844280	STESAC0027725
2/12/1998	CHECK	(318,000)	-	(318,000)	-	-	(3,355,989)	MDPTPP03844282	MDPTPP03844285	STESAC0029242
2/12/1998	CHECK	(150,100)	-	(150,100)	-	-	(3,506,089)	MDPTPP03844282	MDPTPP03844285	STESAC0022745
4/16/1998	CHECK	(760,000)	-	(760,000)	-	-	(4,266,089)	MDPTPP03844294	MDPTPP03844297	STESAC0026198
6/12/1998	CHECK	(568,000)	-	(568,000)	-	-	(4,834,089)	MDPTPP03844308	MDPTPP03844312	STESAC0050055
8/11/1998	CHECK	(250,000)	-	(250,000)	-	-	(5,084,089)	MDPTPP03844318	MDPTPP03844322	STESAC0041901
9/10/1998	TRANS FROM IKW22230 (IKW222)	98,865	-	-	98,865	-	(4,985,224)	MDPTPP03844324	MDPTPP03844330	STESAC0041901
9/10/1998	TRANS FROM IKW22230 (IKW222)	1,200	-	-	1,200	-	(4,984,024)	MDPTPP03844324	MDPTPP03844330	STESAC0041901
10/13/1998	TRANS FROM IKW03330 (IKW033)	20	-	-	-	-	(4,984,024)	MDPTPP03844332	MDPTPP03844336	STESAC0044182
12/11/1998	CHECK	(676,500)	-	(676,500)	-	-	(5,660,524)	MDPTPP03844344	MDPTPP03844347	STESAC0051764
12/29/1998	CHECK	186,000	186,000	-	-	-	(5,474,524)	MDPTPP03844344	MDPTPP03844347	STESAC0051766
2/18/1999	TRANS FROM IKW03330 (IKW033)	400,000	-	-	83,333	-	(5,391,191)	MDPTPP03844355	MDPTPP03844358	STESAC0055280
3/29/1999	TRANS TO IKW17530 (IKW175)	(1,386,000)	-	(1,386,000)	-	-	(6,777,191)	MDPTPP03844360	MDPTPP03844369	STESAC0057011
4/13/1999	CHECK	553,125	-	-	553,125	-	(6,224,066)	MDPTPP03844367	MDPTPP03844369	STESAC0059964
4/14/1999	TRANS FROM IKW1430 (IKW14)	(669,000)	-	(669,000)	-	-	(6,893,066)	MDPTPP03844377	MDPTPP03844382	STESAC0059964
6/4/1999	CHECK	(100,000)	-	(100,000)	-	-	(6,993,066)	MDPTPP03844389	MDPTPP03844394	STESAD0029692
8/27/1999	CHECK	50,000	50,000	-	-	-	(6,943,066)	MDPTPP03844396	MDPTPP03844398	STESAD0031826
9/1/1999	CHECK	(217,500)	-	(217,500)	-	-	(7,160,566)	MDPTPP03844396	MDPTPP03844398	STESAD0035307
10/28/1999	TRANS FROM IKW22130 (IKW221)	255,300	-	-	255,300	-	(6,905,266)	MDPTPP03844400	MDPTPP03844402	STESAD0035307
10/28/1999	TRANS FROM IKW23930 (IKW239)	131,250	-	-	131,250	-	(6,774,016)	MDPTPP03844400	MDPTPP03844402	STESAD0035307
10/28/1999	TRANS FROM IKW15630 (IKW156)	376,800	-	-	376,800	-	(6,397,216)	MDPTPP03844400	MDPTPP03844402	STESAD0035307
11/1/1999	TRANS FROM IKW18030 (IKW180)	646,813	-	-	-	-	(6,397,216)	MDPTPP03844404	MDPTPP03844409	STESAD0035961
11/5/1999	CHECK WIRE	(1,207,700)	-	(1,207,700)	-	-	(7,604,916)	MDPTPP03844411	MDPTPP03844413	STESAD0035961
12/27/1999	CHECK	186,000	186,000	-	-	-	(7,418,916)	MDPTPP03844411	MDPTPP03844413	
12/30/1999	CHECK	100,000	100,000	-	-	-	(7,318,916)	MDPTPP03844411	MDPTPP03844413	
1/3/2000	TRANS TO IKW15530 A/O 12/31/99	(150,000)	-	(150,000)	-	-	(7,318,916)	MDPTPP03844415	MDPTPP03844416	STESAF0075766
1/10/2000	CHECK	(350,000)	-	(350,000)	-	-	(7,668,916)	MDPTPP03844415	MDPTPP03844416	STESAF0075766
1/21/2000	CHECK	(17,187)	-	(17,187)	-	-	(7,686,103)	MDPTPP03844415	MDPTPP03844416	STESAF0075766
2/24/2000	TRANS FROM IKW03330 (IKW033)	271,814	-	-	2,500,000	-	(7,686,103)	MDPTPP03844417	MDPTPP03844419	STESAF0077987
2/24/2000	TRANS FROM IKW17530 (IKW175)	885,120	-	-	-	-	(5,186,103)	MDPTPP03844417	MDPTPP03844419	
3/7/2000	CHECK	1,500,000	1,500,000	-	-	-	(4,300,983)	MDPTPP03844420	MDPTPP03844425	
3/22/2000	CHECK WIRE	(100,000)	-	(100,000)	-	-	(2,800,983)	MDPTPP03844420	MDPTPP03844425	
3/23/2000	CHECK	(54,000)	-	(54,000)	-	-	(2,900,983)	MDPTPP03844420	MDPTPP03844425	
4/4/2000	CHECK	(2,440,000)	-	(2,440,000)	-	-	(2,954,983)	MDPTPP03844427	MDPTPP03844429	STESAF0072676
4/12/2000	CHECK	(410,709)	-	(410,709)	-	-	(5,394,983)	MDPTPP03844427	MDPTPP03844429	STESAF0072676
4/18/2000	CHECK	(773,774)	-	(773,774)	-	-	(6,579,466)	MDPTPP03844427	MDPTPP03844429	STESAF0072676
4/24/2000	CHECK	(54,000)	-	(54,000)	-	-	(6,633,466)	MDPTPP03844431	MDPTPP03844436	
5/1/2000	CHECK	(54,000)	-	(54,000)	-	-	(6,687,466)	MDPTPP03844438	MDPTPP03844441	
6/8/2000	TRANS FROM IKW16130 (IKW161)	125,600	-	-	-	-	(6,687,466)	MDPTPP03844438	MDPTPP03844441	
6/8/2000	TRANS FROM IKW15630 (IKW156)	(825,000)	-	(825,000)	125,600	-	(6,561,866)	MDPTPP03844438	MDPTPP03844441	
6/14/2000	CHECK	1,644,000	1,644,000	-	-	-	(5,742,866)	MDPTPP03844438	MDPTPP03844441	
6/20/2000	CHECK	-	-	-	-	-	-	-	-	

BLMIS ACCOUNT NO. IKW067 (FORMERLY 102330) - FRED WILPON

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
7/3/2000	CHECK	(54,000)	-	(54,000)	-	-	(5,796,866)	MDPTPP03844443	MDPTPP03844447	STESAF0064949
7/3/2000	CHECK	(140,000)	-	(140,000)	-	-	(5,936,866)	MDPTPP03844443	MDPTPP03844447	STESAF0064949
7/12/2000	CHECK	(320,600)	-	(320,600)	-	-	(6,257,466)	MDPTPP03844443	MDPTPP03844447	STESAF0064949
7/24/2000	CHECK	(100,000)	-	(100,000)	-	-	(6,357,466)	MDPTPP03844443	MDPTPP03844447	STESAF0064953
7/26/2000	CHECK	(13,438)	-	(13,438)	-	-	(6,370,903)	MDPTPP03844443	MDPTPP03844447	STESAF0064953
8/1/2000	CHECK	(54,000)	-	(54,000)	-	-	(6,424,903)	MDPTPP03844449	MDPTPP03844452	STESAF0062923
9/1/2000	CHECK	(54,000)	-	(54,000)	-	-	(6,478,903)	MDPTPP03844454	MDPTPP03844459	STESAF0067454
9/11/2000	CHECK	(493,500)	-	(493,500)	-	-	(6,972,403)	MDPTPP03844454	MDPTPP03844459	STESAF0067454
9/27/2000	CHECK	6,648,950	6,648,950	-	-	-	(323,453)	MDPTPP03844454	MDPTPP03844459	STESAF0067458
10/2/2000	CHECK	(54,000)	-	(54,000)	-	-	(377,453)	MDPTPP03844461	MDPTPP03844470	STESAC0009458
10/3/2000	CHECK	700,000	700,000	-	-	-	322,547	MDPTPP03844461	MDPTPP03844470	STESAC0009458
10/25/2000	TRANS FROM 1KW28830 (IKW288)	862,502	[2]	-	-	-	322,547	MDPTPP03844461	MDPTPP03844470	STESAC0009472
10/30/2000	CHECK	305,660	305,660	-	-	-	628,207	MDPTPP03844461	MDPTPP03844470	STESAC0009472
11/1/2000	CHECK	(476,232)	-	(476,232)	-	-	151,975	MDPTPP03844472	MDPTPP03844475	STESAC00096463
11/1/2000	CHECK	(54,000)	-	(54,000)	-	-	97,975	MDPTPP03844472	MDPTPP03844475	STESAC00096463
11/15/2000	CHECK	(1,500,000)	-	(1,500,000)	-	-	(1,402,025)	MDPTPP03844472	MDPTPP03844475	STESAC00096463
12/1/2000	CHECK	(54,000)	-	(54,000)	-	-	(1,456,025)	MDPTPP03844477	MDPTPP03844484	
12/19/2000	TRANS FROM 1KW0330 (IKW033)	257	[2]	-	-	-	(1,456,025)	MDPTPP03844477	MDPTPP03844484	
12/19/2000	TRANS FROM 1KW28830 (IKW288)	3,498	[2]	-	-	-	(1,456,025)	MDPTPP03844477	MDPTPP03844484	
12/2001	CHECK	(54,000)	-	(54,000)	-	-	(1,510,025)	MDPTPP03844486	MDPTPP03844490	
2/1/2001	CHECK	(54,000)	-	(54,000)	-	-	(1,564,025)	MDPTPP03844492	MDPTPP03844498	
3/1/2001	CHECK	(54,000)	-	(54,000)	-	-	(1,618,025)	MDPTPP03844500	MDPTPP03844508	STESAF0110521
4/2/2001	CHECK	(54,000)	-	(54,000)	-	-	(1,672,025)	MDPTPP03844511	MDPTPP03844517	
4/5/2001	CHECK	(60,000)	-	(60,000)	-	-	(1,732,025)	MDPTPP03844511	MDPTPP03844517	
4/9/2001	CHECK	3,737,500	3,737,500	-	-	-	2,005,475	MDPTPP03844511	MDPTPP03844517	
4/12/2001	CHECK	(836,000)	-	(836,000)	-	-	1,169,475	MDPTPP03844511	MDPTPP03844517	
4/17/2001	CHECK	(100,000)	-	(100,000)	-	-	1,069,475	MDPTPP03844511	MDPTPP03844517	
5/1/2001	CHECK	(60,000)	-	(60,000)	-	-	1,009,475	MDPTPP03844519	MDPTPP03844522	
5/4/2001	CHECK	(54,000)	-	(54,000)	-	-	955,475	MDPTPP03844519	MDPTPP03844522	
5/7/2001	CHECK	300,000	300,000	-	-	-	1,255,475	MDPTPP03844519	MDPTPP03844522	
5/29/2001	CHECK	487,500	487,500	-	-	-	1,742,975	MDPTPP03844519	MDPTPP03844522	
6/1/2001	CHECK	(114,000)	-	(114,000)	-	-	1,628,975	MDPTPP03844524	MDPTPP03844530	
6/7/2001	CHECK	137,000	137,000	-	-	-	1,765,975	MDPTPP03844524	MDPTPP03844530	
6/13/2001	CHECK	(690,000)	-	(690,000)	-	-	1,075,975	MDPTPP03844524	MDPTPP03844530	
6/19/2001	CHECK	(350,000)	-	(350,000)	-	-	725,975	MDPTPP03844524	MDPTPP03844530	
6/27/2001	CHECK	150,000	150,000	-	-	-	875,975	MDPTPP03844524	MDPTPP03844530	
7/2/2001	CHECK	(114,000)	-	(114,000)	-	-	761,975	MDPTPP03844532	MDPTPP03844543	
8/1/2001	CHECK	495,000	495,000	-	-	-	1,256,975	MDPTPP03844545	MDPTPP03844554	
8/1/2001	CHECK	(114,000)	-	(114,000)	-	-	1,142,975	MDPTPP03844545	MDPTPP03844554	
9/4/2001	CHECK	(114,000)	-	(114,000)	-	-	1,028,975	MDPTPP03844557	MDPTPP03844560	
9/18/2001	CHECK	(562,000)	-	(562,000)	-	-	466,975	MDPTPP03844557	MDPTPP03844560	STESAC0032432
10/1/2001	CHECK	(114,000)	-	(114,000)	-	-	352,975	MDPTPP03844563	MDPTPP03844567	STESAC0032432
10/5/2001	CHECK	469,370	469,370	-	-	-	822,345	MDPTPP03844563	MDPTPP03844567	STESAC0032434
10/19/2001	CHECK	350,000	350,000	-	-	-	1,172,345	MDPTPP03844563	MDPTPP03844567	STESAD0075659
11/1/2001	CHECK	(114,000)	-	(114,000)	-	-	1,058,345	MDPTPP03844569	MDPTPP03844573	STESAD0075663
11/26/2001	CHECK	525,000	525,000	-	-	-	1,583,345	MDPTPP03844569	MDPTPP03844573	STESAD0075663
11/28/2001	CHECK	300,000	300,000	-	-	-	1,883,345	MDPTPP03844573	MDPTPP03844582	STESAD0078006
12/3/2001	CHECK	(114,000)	-	(114,000)	-	-	1,769,345	MDPTPP03844575	MDPTPP03844582	STESAD0078006
12/7/2001	CHECK	(307,400)	-	(307,400)	-	-	1,461,945	MDPTPP03844575	MDPTPP03844582	STESAD0078006
12/14/2001	CHECK	300,000	300,000	-	-	-	1,761,945	MDPTPP03844575	MDPTPP03844582	STESAD0078010
12/20/2001	CHECK	425,000	425,000	-	-	-	2,186,945	MDPTPP03844575	MDPTPP03844582	STESAD0078010
12/28/2001	CHECK	1,478,500	1,478,500	-	-	-	3,665,445	MDPTPP03844575	MDPTPP03844582	STESAD0078012
1/2/2002	CHECK	(114,000)	-	(114,000)	-	-	3,551,445	MDPTPP03844584	MDPTPP03844596	STESAD0046538
1/5/2002	CHECK	(1,553,000)	-	(1,553,000)	-	-	1,998,445	MDPTPP03844584	MDPTPP03844596	STESAD0046552
1/23/2002	CHECK	(150,000)	-	(150,000)	-	-	1,848,445	MDPTPP03844584	MDPTPP03844596	STESAD0046552
2/1/2002	CHECK	(114,000)	-	(114,000)	-	-	1,734,445	MDPTPP03844599	MDPTPP03844613	STESAD0049337
2/21/2002	TRANS TO 1KW35830 (IKW358)	(1,427,441)	-	-	-	(1,427,441)	307,004	MDPTPP03844599	MDPTPP03844613	STESAD0049349
2/28/2002	CHECK	(50,000)	-	(50,000)	-	-	257,004	MDPTPP03844599	MDPTPP03844613	STESAD0049359

BLMIS ACCOUNT NO. IKW067 (FORMERLY 102330) - FRED WILPON

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
3/1/2002	CHECK	(114,000)	-	(114,000)	-	-	143,004	MDPTPP03844616	MDPTPP03844627	STESAD0054891
3/22/2002	CHECK	(582,000)	-	(582,000)	-	-	(438,996)	MDPTPP03844616	MDPTPP03844627	STESAD0054907
4/1/2002	CHECK	(114,000)	-	(114,000)	-	-	(502,996)	MDPTPP03844631	MDPTPP03844644	STESAD0051844
4/2/2002	CHECK	(250,000)	-	(250,000)	-	-	(752,996)	MDPTPP03844631	MDPTPP03844644	STESAD0051844
4/2/2002	CHECK	(200,000)	-	(200,000)	-	-	(1,002,996)	MDPTPP03844631	MDPTPP03844644	STESAD0051844
4/1/2/2002	CHECK	(973,000)	-	(973,000)	-	-	(1,975,996)	MDPTPP03844631	MDPTPP03844644	STESAD0051844
5/1/2002	CHECK	(114,000)	-	(114,000)	-	-	(2,089,996)	MDPTPP03844646	MDPTPP03844652	STESAC0071910
5/3/2002	CHECK	450,000	450,000	-	-	-	(1,639,996)	MDPTPP03844646	MDPTPP03844652	STESAC0071910
5/10/2002	CHECK WIRE	(114,000)	-	(114,000)	-	-	(1,753,996)	MDPTPP03844646	MDPTPP03844652	STESAC0071914
5/15/2002	CXL CHECK 5/1/02	114,000	-	114,000	-	-	(1,639,996)	MDPTPP03844646	MDPTPP03844652	STESAC0071914
5/20/2002	CHECK	100,000	100,000	-	-	-	(1,539,996)	MDPTPP03844646	MDPTPP03844652	STESAC0071914
5/29/2002	CHECK	1,240,837	1,240,837	-	-	-	(299,159)	MDPTPP03844654	MDPTPP03844658	STESAC0067796
6/3/2002	CHECK	(114,000)	-	(114,000)	-	-	(413,159)	MDPTPP03844654	MDPTPP03844658	STESAC0067800
6/13/2002	CHECK	(150,000)	-	(150,000)	-	-	(563,159)	MDPTPP03844654	MDPTPP03844658	STESAC0067800
6/24/2002	CHECK	(4,000,000)	-	(4,000,000)	-	-	(4,563,159)	MDPTPP03844654	MDPTPP03844658	STESAC0067800
6/28/2002	CHECK	(255,700)	-	(255,700)	-	-	(4,818,859)	MDPTPP03844654	MDPTPP03844658	STESAC0067800
7/1/2002	CHECK	150,000	150,000	-	-	-	(4,668,859)	MDPTPP03844660	MDPTPP03844666	STESAC0063534
7/1/2002	CHECK	(150,000)	-	(150,000)	-	-	(4,818,859)	MDPTPP03844660	MDPTPP03844666	STESAC0063534
7/1/2002	CHECK	(114,000)	-	(114,000)	-	-	(4,932,859)	MDPTPP03844660	MDPTPP03844666	STESAC0063534
7/3/2002	RETURNED CHECK	150,000	-	150,000	-	-	(4,782,859)	MDPTPP03844660	MDPTPP03844666	STESAC0063534
7/1/2002	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(6,782,859)	MDPTPP03844660	MDPTPP03844666	STESAC0063534
7/11/2002	TRANS TO IKW31430 (IKW314)	(3,000,000)	[3]	-	-	-	(6,782,859)	MDPTPP03844660	MDPTPP03844666	STESAC0063534
7/19/2002	CHECK	550,000	550,000	-	-	-	(6,232,859)	MDPTPP03844660	MDPTPP03844666	STESAC0063534
8/1/2002	CHECK	(114,000)	-	(114,000)	-	-	(6,346,859)	MDPTPP03844668	MDPTPP03844672	STESAC0065011
8/28/2002	CHECK	325,000	325,000	-	-	-	(6,021,859)	MDPTPP03844668	MDPTPP03844672	STESAC0065015
9/3/2002	CHECK	(114,000)	-	(114,000)	-	-	(6,135,859)	MDPTPP03844674	MDPTPP03844677	STESAC0040015
9/17/2002	CHECK	(250,000)	-	(250,000)	-	-	(6,385,859)	MDPTPP03844674	MDPTPP03844677	STESAC0040017
9/23/2002	CHECK	75,000	75,000	-	-	-	(6,310,859)	MDPTPP03844674	MDPTPP03844677	STESAC0040017
10/1/2002	CHECK	(154,000)	-	(154,000)	-	-	(6,464,859)	MDPTPP03844679	MDPTPP03844684	STESAC0037131
10/15/2002	TRANS FROM IKW31530 (IKW315)	3,000,000	-	-	3,000,000	-	(3,464,859)	MDPTPP03844679	MDPTPP03844684	STESAC0037131
11/1/2002	CHECK	(154,000)	-	(154,000)	-	-	(3,618,859)	MDPTPP03844686	MDPTPP03844689	STESAC0035978
11/8/2002	CHECK	520,931	520,931	-	-	-	(3,097,928)	MDPTPP03844686	MDPTPP03844689	STESAC0035978
11/29/2002	CHECK	(1,000,000)	-	(1,000,000)	-	-	(4,097,928)	MDPTPP03844686	MDPTPP03844689	STESAC0035982
12/2/2002	CHECK	(154,000)	-	(154,000)	-	-	(4,251,928)	MDPTPP03844691	MDPTPP03844694	STESAD0063699
12/4/2002	CHECK	(150,000)	-	(150,000)	-	-	(4,401,928)	MDPTPP03844691	MDPTPP03844694	STESAD0063699
12/16/2002	CHECK	(224,100)	-	(224,100)	-	-	(4,626,028)	MDPTPP03844691	MDPTPP03844694	STESAD0063699
12/2/2003	CHECK	(154,000)	-	(154,000)	-	-	(4,780,028)	MDPTPP03844696	MDPTPP03844701	STESAC0113335
1/3/2003	CHECK WIRE	(1,200,000)	-	(1,200,000)	-	-	(5,980,028)	MDPTPP03844696	MDPTPP03844701	STESAC0113335
2/3/2003	CHECK	(154,000)	-	(154,000)	-	-	(6,134,028)	MDPTPP03844703	MDPTPP03844706	STESAC0116801
3/3/2003	CHECK	(154,000)	-	(154,000)	-	-	(6,288,028)	MDPTPP03844708	MDPTPP03844714	STESAC0107998
3/25/2003	CHECK	(150,000)	-	(150,000)	-	-	(6,438,028)	MDPTPP03844708	MDPTPP03844714	STESAC0108004
4/1/2003	CHECK	(154,000)	-	(154,000)	-	-	(6,592,028)	MDPTPP03844716	MDPTPP03844718	STESAC0115114
5/1/2003	CHECK	(154,000)	-	(154,000)	-	-	(6,746,028)	MDPTPP03844720	MDPTPP03844725	STESAD0022435
6/2/2003	CHECK	(154,000)	-	(154,000)	-	-	(6,900,028)	MDPTPP03844727	MDPTPP03844731	STESAD0025315
7/1/2003	CHECK	(154,000)	-	(154,000)	-	-	(7,054,028)	MDPTPP03844733	MDPTPP03844738	STESAD0067766
8/1/2003	CHECK	(154,000)	-	(154,000)	-	-	(7,208,028)	MDPTPP03844741	MDPTPP03844745	STESAD0067766
8/19/2003	TRANS FROM IKW32930 (IKW329)	2,752	[2]	-	-	-	(7,208,028)	MDPTPP03844741	MDPTPP03844745	STESAD0067770
9/2/2003	CHECK	(154,000)	-	(154,000)	-	-	(7,362,028)	MDPTPP03844747	MDPTPP03844753	STESAD0070403
9/4/2003	CHECK	1,300,000	1,300,000	-	-	-	(6,062,028)	MDPTPP03844747	MDPTPP03844753	STESAD0000390
10/1/2003	CHECK	(154,000)	-	(154,000)	-	-	(6,216,028)	MDPTPP03844755	MDPTPP03844760	STESAC0020547
11/3/2003	CHECK	(154,000)	-	(154,000)	-	-	(6,370,028)	MDPTPP03844762	MDPTPP03844765	STESAC0020547
12/1/2003	CHECK	(154,000)	-	(154,000)	-	-	(6,524,028)	MDPTPP03844767	MDPTPP03844770	STESAC0020547
12/2/2003	CHECK	190,000	190,000	-	-	-	(6,334,028)	MDPTPP03844767	MDPTPP03844770	STESAC0020547
12/3/2003	CHECK	1,330,218	1,330,218	-	-	-	(5,003,810)	MDPTPP03844770	MDPTPP03844779	STESAD0062946
1/2/2004	CHECK	(54,000)	-	(54,000)	-	-	(5,057,810)	MDPTPP03844772	MDPTPP03844779	STESAD0062946
1/7/2004	CHECK	1,100,000	1,100,000	-	-	-	(3,957,810)	MDPTPP03844772	MDPTPP03844779	STESAD0062946
1/12/2004	CHECK	1,093,649	1,093,649	-	-	-	(2,864,161)	MDPTPP03844772	MDPTPP03844779	STESAD0062950
2/2/2004	CHECK	(42,000)	-	(42,000)	-	-	(2,906,161)	MDPTPP03844782	MDPTPP03844786	STESAD0062950

BLMIS ACCOUNT NO. IKW067 (FORMERLY 102330) - FRED WILPON

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
3/1/2004	CHECK	(42,000)	-	(42,000)	-	-	(2,948,161)	MDFTPP03844788	MDFTPP03844791	
3/25/2004	CHECK	(2,000,000)	-	(2,000,000)	-	-	(4,948,161)	MDFTPP03844788	MDFTPP03844791	
4/1/2004	CHECK	(42,000)	-	(42,000)	-	-	(4,990,161)	MDFTPP03844793	MDFTPP03844799	
4/8/2004	CHECK	349,000	349,000	-	-	-	(4,641,161)	MDFTPP03844793	MDFTPP03844799	
5/3/2004	CHECK	(42,000)	-	(42,000)	-	-	(4,683,161)	MDFTPP03844802	MDFTPP03844806	
5/26/2004	TRANS TO IKW41230 (IKW412)	(4,000,000) [1]	-	-	-	-	(4,683,161)	MDFTPP03844802	MDFTPP03844806	
6/1/2004	CHECK	(42,000)	-	(42,000)	-	-	(4,725,161)	MDFTPP03844808	MDFTPP03844814	
7/1/2004	CHECK	(42,000)	-	(42,000)	-	-	(4,767,161)	MDFTPP03844816	MDFTPP03844818	
8/2/2004	CHECK	(42,000)	-	(42,000)	-	-	(4,809,161)	MDFTPP03844820	MDFTPP03844825	
8/12/2004	TRANS FROM IKW07730 (IKW077)	300,000 [2]	-	-	-	-	(4,809,161)	MDFTPP03844820	MDFTPP03844825	
9/1/2004	CHECK	(42,000)	-	(42,000)	-	-	(4,851,161)	MDFTPP03844827	MDFTPP03844831	
10/1/2004	CHECK	(42,000)	-	(42,000)	-	-	(4,893,161)	MDFTPP03844833	MDFTPP03844835	
10/7/2004	CHECK	1,150,000	1,150,000	-	-	-	(3,743,161)	MDFTPP03844833	MDFTPP03844835	
11/1/2004	CHECK	(42,000)	-	(42,000)	-	-	(3,785,161)	MDFTPP03844837	MDFTPP03844842	
12/1/2004	CHECK	(42,000)	-	(42,000)	-	-	(3,827,161)	MDFTPP03844844	MDFTPP03844849	STESAF0098316
12/14/2004	CHECK	(1,200,000)	-	(1,200,000)	-	-	(5,027,161)	MDFTPP03844844	MDFTPP03844849	STESAF0098320
1/3/2005	CHECK	(42,000)	-	(42,000)	-	-	(5,069,161)	MDFTPP03844851	MDFTPP03844856	STESAC0015796
2/1/2005	CHECK	(42,000)	-	(42,000)	-	-	(5,111,161)	MDFTPP03844858	MDFTPP03844861	STESAC0013163
3/1/2005	CHECK	(42,000)	-	(42,000)	-	-	(5,153,161)	MDFTPP03844863	MDFTPP03844865	STESAC0010757
7/8/2005	CHECK	10,000,000	10,000,000	-	-	-	4,846,839	MDFTPP03844876	MDFTPP03844877	STESAC0007699
9/1/2005	CHECK	(35,000)	-	(35,000)	-	-	4,811,839	MDFTPP03844882	MDFTPP03844889	
10/3/2005	CHECK	(35,000)	-	(35,000)	-	-	4,776,839	MDFTPP03844891	MDFTPP03844897	STESAC0127393
11/1/2005	CHECK	(35,000)	-	(35,000)	-	-	4,741,839	MDFTPP03844899	MDFTPP03844902	STESAC0089445
12/1/2005	CHECK	(35,000)	-	(35,000)	-	-	4,706,839	MDFTPP03844904	MDFTPP03844909	STESAC0086987
2/1/2006	CHECK	(35,000)	-	(35,000)	-	-	4,671,839	MDFTPP03844911	MDFTPP03844917	STESAD0006959
3/1/2006	CHECK	(35,000)	-	(35,000)	-	-	4,636,839	MDFTPP03844919	MDFTPP03844922	STESAD0006765
4/3/2006	CHECK	(35,000)	-	(35,000)	-	-	4,601,839	MDFTPP03844924	MDFTPP03844930	
4/3/2006	CHECK	(35,000)	-	(35,000)	-	-	4,566,839	MDFTPP03844932	MDFTPP03844938	STESAC0119004
5/1/2006	CHECK	(35,000)	-	(35,000)	-	-	4,531,839	MDFTPP03844940	MDFTPP03844945	STESAD0109662
6/1/2006	CHECK	(35,000)	-	(35,000)	-	-	4,496,839	MDFTPP03844947	MDFTPP03844952	STESAD0107081
6/9/2006	CHECK	4,000,000	4,000,000	-	-	-	8,496,839	MDFTPP03844947	MDFTPP03844952	STESAD0107081
7/3/2006	CHECK	(35,000)	-	(35,000)	-	-	8,461,839	MDFTPP03844954	MDFTPP03844960	STESAD0104220
8/1/2006	CHECK	(35,000)	-	(35,000)	-	-	8,426,839	MDFTPP03844962	MDFTPP03844966	STESAD0085181
8/16/2006	CHECK	475,000	475,000	-	-	-	8,901,839	MDFTPP03844962	MDFTPP03844966	STESAD0085181
9/1/2006	CHECK	(35,000)	-	(35,000)	-	-	8,866,839	MDFTPP03844968	MDFTPP03844973	STESAD0103082
10/2/2006	CHECK	(35,000)	-	(35,000)	-	-	8,831,839	MDFTPP03844975	MDFTPP03844980	STESAD0100222
11/1/2006	CHECK	(35,000)	-	(35,000)	-	-	8,796,839	MDFTPP03844982	MDFTPP03844986	STESAD0094559
12/1/2006	CHECK	(35,000)	-	(35,000)	-	-	8,761,839	MDFTPP03844988	MDFTPP03844993	
12/21/2006	CHECK	(1,000,000)	-	(1,000,000)	-	-	7,761,839	MDFTPP03844988	MDFTPP03844993	
12/2/2007	CHECK	(35,000)	-	(35,000)	-	-	7,726,839	MDFTPP03844995	MDFTPP03844997	
2/1/2007	CHECK	(35,000)	-	(35,000)	-	-	7,691,839	MDFTPP03844998	MDFTPP03845004	
3/1/2007	CHECK	(35,000)	-	(35,000)	-	-	7,656,839	MDFTPP03845006	MDFTPP03845011	
4/2/2007	CHECK	(35,000)	-	(35,000)	-	-	7,621,839	MDFTPP03845014	MDFTPP03845020	
4/10/2007	CHECK	(421,000)	-	(421,000)	-	-	7,200,839	MDFTPP03845014	MDFTPP03845020	
5/1/2007	CHECK	(35,000)	-	(35,000)	-	-	7,165,839	MDFTPP03845022	MDFTPP03845025	STESAF0035257
6/1/2007	CHECK	(35,000)	-	(35,000)	-	-	7,130,839	MDFTPP03845027	MDFTPP03845032	STESAF00354805
7/2/2007	CHECK	(35,000)	-	(35,000)	-	-	7,095,839	MDFTPP03845034	MDFTPP03845036	
8/1/2007	CHECK	(35,000)	-	(35,000)	-	-	7,060,839	MDFTPP03845038	MDFTPP03845039	
9/4/2007	CHECK	(35,000)	-	(35,000)	-	-	7,025,839	MDFTPP03845041	MDFTPP03845046	
9/6/2007	CHECK	(1,450,000)	-	(1,450,000)	-	-	5,575,839	MDFTPP03845041	MDFTPP03845046	
10/1/2007	CHECK	(35,000)	-	(35,000)	-	-	5,540,839	MDFTPP03845048	MDFTPP03845050	
10/5/2007	CHECK	(3,171,359)	-	(3,171,359)	-	-	2,369,480	MDFTPP03845048	MDFTPP03845050	
11/1/2007	CHECK	(35,000)	-	(35,000)	-	-	2,334,480	MDFTPP03845052	MDFTPP03845057	
11/2/2007	CHECK	1,400,000	1,400,000	-	-	-	3,734,480	MDFTPP03845052	MDFTPP03845057	
12/3/2007	CHECK	(35,000)	-	(35,000)	-	-	3,699,480	MDFTPP03845059	MDFTPP03845063	
12/2/2008	CHECK	(35,000)	-	(35,000)	-	-	3,664,480	MDFTPP03845065	MDFTPP03845067	STESAF0000066
2/1/2008	CHECK	(35,000)	-	(35,000)	-	-	3,629,480	MDFTPP03845068	MDFTPP03845069	STESAF0001190
3/3/2008	CHECK	(35,000)	-	(35,000)	-	-	3,594,480	MDFTPP03845070	MDFTPP03845072	STESAF0002163

BLMIS ACCOUNT NO. IKW067 (FORMERLY 102330) - FRED WILPON

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
4/1/2008	CHECK	(35,000)	-	(35,000)	-	-	3,559,480	MDPTPP03845073	MDPTPP03845078	STESAF0003416
5/1/2008	CHECK	(35,000)	-	(35,000)	-	-	3,524,480	MDPTPP03845080	MDPTPP03845084	STESAF0006391
6/2/2008	CHECK	(35,000)	-	(35,000)	-	-	3,489,480	MDPTPP03845086	MDPTPP03845088	STESAF0009051
7/1/2008	CHECK	(35,000)	-	(35,000)	-	-	3,454,480	MDPTPP03845090	MDPTPP03845091	STESAF0010758
8/1/2008	CHECK	(35,000)	-	(35,000)	-	-	3,419,480	MDPTPP03845093	MDPTPP03845098	
8/5/2008	CHECK	(8,000,000)	-	(8,000,000)	-	-	(4,580,520)	MDPTPP03845093	MDPTPP03845098	
8/29/2008	CHECK	40,000	40,000	-	-	-	(4,540,520)	MDPTPP03845093	MDPTPP03845098	
9/2/2008	CHECK	(35,000)	-	(35,000)	-	-	(4,575,520)	MDPTPP03845100	MDPTPP03845104	STESAF0014994
9/19/2008	CHECK	(5,000,000)	-	(5,000,000)	-	-	(9,575,520)	MDPTPP03845100	MDPTPP03845104	STESAF0015000
10/3/2008	CHECK	(35,000)	-	(35,000)	-	-	(9,610,520)	MDPTPP03845106	MDPTPP03845108	STESAF0017673
11/5/2008	CHECK	(35,000)	-	(35,000)	-	-	(9,645,520)	MDPTPP03845110	MDPTPP03845115	STESAF0019262
11/26/2008	CHECK	8,000,000	8,000,000	-	-	-	(1,645,520)	MDPTPP03845110	MDPTPP03845115	STESAF0019266
12/3/2008	CHECK	(35,000)	-	(35,000)	-	-	(1,680,520)	Checkbook	Checkbook	
	<b>Total:</b>		<b>\$ 70,682,778</b>	<b>\$ (83,046,901)</b>	<b>\$ 12,111,044</b>	<b>\$ (1,427,441)</b>	<b>\$ (1,680,520)</b>			

<sup>[1]</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>[2]</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[3]</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[4]</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW072 (FORMERLY 102363) - FRED WILPON FAMILY TRUST GREG KATZ, HOWARD KATZ, & TODD KATZ TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
1/21/1992	CHECK	54,000	54,000	-	-	-	54,000	MF00472512	MF00472512	
1/21/1992	CHECK	300,000	300,000	-	-	-	354,000	MF00472512	MF00472512	
4/4/1995	CHECK	(498,941)	-	(498,941)	-	-	(144,941)	MF00183583	MF00183583	STESAC0079790
4/4/1995	TRANS TO IKW10830 (IKW/08)	(29,925) <sup>(1)</sup>	-	-	-	-	(144,941)	MF00183583	MF00183583	STESAC0079790
4/4/1995	TRANS TO IKW10930 (IKW/09)	(29,925) <sup>(1)</sup>	-	-	-	-	(144,941)	MF00183583	MF00183583	STESAC0079790
4/28/1995	TRANS TO IKW11030 (IKW/10)	(29,931) <sup>(1)</sup>	-	-	-	-	(148,521)	MF00183583	MF00183583	STESAC0079790
4/28/1995	CHECK	(3,580)	-	(3,580)	-	-	(148,521)	MF00183583	MF00183583	STESAC0079791
4/28/1995	TRANS TO IKW10830 (IKW/08)	(215) <sup>(1)</sup>	-	-	-	-	(148,521)	MF00183583	MF00183583	STESAC0079791
4/28/1995	TRANS TO IKW10930 (IKW/09)	(215) <sup>(1)</sup>	-	-	-	-	(148,521)	MF00183583	MF00183583	STESAC0079791
4/28/1995	TRANS TO IKW11030 (IKW/10)	(215) <sup>(1)</sup>	-	-	-	-	(148,521)	MF00183583	MF00183583	STESAC0079791
5/11/1995	TRANS TO IKW10830 (IKW/08)	(53) <sup>(1)</sup>	-	-	-	-	(148,521)	MF00190653	MF00190653	STESAC0080495
5/11/1995	TRANS TO IKW10930 (IKW/09)	(53) <sup>(1)</sup>	-	-	-	-	(148,521)	MF00190653	MF00190653	STESAC0080495
5/11/1995	TRANS TO IKW11030 (IKW/10)	(877)	-	(877)	-	-	(149,398)	MF00190653	MF00190653	STESAC0080495
5/12/1995	CHECK	(877)	-	(877)	-	-	(149,398)	MF00190653	MF00190653	STESAC0080495
	<b>Total:</b>		<b>\$ 354,000</b>	<b>\$ (503,598)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (149,398)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.



BLMIS ACCOUNT NO. 1KW074 (FORMERLY 102354) - FRED WILPON FAMILY TRUST & JUDY & FRED WILPON FAM FDN

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(2)</sup>
10/29/1990	CHECK	200,000	200,000	-	-	-	200,000	MF00029371	MF00029371	
10/29/1990	CHECK	20,000	20,000	-	-	-	220,000	MF00029371	MF00029371	
12/21/1990	CHECK	205,000	205,000	-	-	-	425,000	MF00025982	MF00025982	
12/21/1990	CHECK	20,500	20,500	-	-	-	445,500	MF00025982	MF00025982	
12/21/1990	CHECK	205,000	205,000	-	-	-	650,500	MF00025982	MF00025982	
12/21/1990	CANCEL CHECK	(205,000)	(205,000)	-	-	-	445,500	MF00025982	MF00025982	
4/4/1995	CHECK	(859,790)	-	(859,790)	-	-	(414,290)	MF00183585	MF00183585	STESAC0079794
4/4/1995	TRANS TO 1KW08630 (1KW086)	(85,980) <sup>(1)</sup>	-	-	-	-	(414,290)	MF00183585	MF00183585	STESAC0079794
4/28/1995	CHECK	(6,245)	-	(6,245)	-	-	(420,536)	MF00183585	MF00183585	STESAC0079795
4/28/1995	TRANS TO 1KW08630 (1KW086)	(625) <sup>(1)</sup>	-	-	-	-	(420,536)	MF00183585	MF00183585	STESAC0079795
5/11/1995	TRANS TO 1KW08630 (1KW086)	(155) <sup>(1)</sup>	-	-	-	-	(420,536)	MF00190655	MF00190655	STESAC0080498
5/12/1995	CHECK	(1,546)	-	(1,546)	-	-	(422,081)	MF00190655	MF00190655	STESAC0080498
	<b>Total:</b>		<b>\$ 445,500</b>	<b>\$ (867,581)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (422,081)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW075 (FORMERLY 102336) - FRED WILPON FAMILY TRUST RICHARD WILPON JUDITH WILPON MARVIN B TEPPER &

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
12/18/1986	CHECK	500,000	500,000	-	-	-	500,000	MF00079156	MF00079156	
5/28/1992	CHECK	24,000	24,000	-	-	-	524,000	MF00463619	MF00463620	STESAC0102275
1/6/1994	TRANS FROM 1KW06330 (1KW063)	204,883 <sup>(1)</sup>	-	-	112,491	-	636,491	MF00314554	MF00314556	
2/16/1994	TRANS FROM 1KW03130 (1KW031)	62,973 <sup>(1)</sup>	-	-	25,000	-	661,491	MF00300761	MF00300762	
6/20/1994	CHECK	(600,000)	-	(600,000)	-	-	61,491	MF00234809	MF00234810	
10/31/1994	TRANS FROM 1KW03130 (1KW031)	136 <sup>(2)</sup>	-	-	-	-	61,491	MF00250956	MF00250958	
10/31/1994	TRANS FROM 1KW06330 (1KW063)	162 <sup>(2)</sup>	-	-	-	-	61,491	MF00250956	MF00250958	
4/4/1995	CHECK	(1,926,805)	-	(1,926,805)	-	-	(1,865,314)	MF00183587	MF00183587	STESAC0079798
4/28/1995	CHECK	(14,025)	-	(14,025)	-	-	(1,879,340)	MF00183587	MF00183587	STESAC0079799
5/12/1995	CHECK	(3,474)	-	(3,474)	-	-	(1,882,814)	MF00190657	MF00190657	STESAC0080501
	<b>Total:</b>		<b>\$ 524,000</b>	<b>\$ (2,544,305)</b>	<b>\$ 137,491</b>	<b>\$ -</b>	<b>\$ (1,882,814)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW076 (FORMERLY 102350) - JEFFREY S WILPON & VALERIE WILPON JT TENANTS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Baltes	BLMIS SOURCE DOCUMENT: End Baltes	DEFENDANTS' PRODUCTION Bates Ref'd
3/2/1990	CHECK	75,000	75,000	-	-	-	75,000	MF00030794	MF00030794	
9/11/1990	CHECK	25,000	25,000	-	-	-	100,000	MF00027555	MF00027555	
12/14/1990	CHECK	25,000	25,000	-	-	-	125,000	MF00025975	MF00025975	
1/17/1991	CHECK	25,000	25,000	-	-	-	150,000	MF00496094	MF00496094	
5/6/1991	CHECK	25,000	25,000	-	-	-	175,000	MF00488521	MF00488521	
5/15/1991	CHECK	125,000	125,000	-	-	-	300,000	MF00488521	MF00488521	
5/31/1991	CHECK	87,500	87,500	-	-	-	387,500	MF00488521	MF00488521	
10/7/1991	CHECK	12,500	12,500	-	-	-	400,000	MF00478899	MF00478899	
3/2/1992	CHECK	25,000	25,000	-	-	-	425,000	MF00468805	MF00468805	
2/26/1993	CHECK	25,000	25,000	-	-	-	450,000	MF00432723	MF00432724	STESAC0100488
10/1/1993	CHECK	(8,500)	-	(8,500)	-	-	441,500	MF00350367	MF00350368	
11/1/1993	CHECK	(8,500)	-	(8,500)	-	-	433,000	MF00373837	MF00373838	
12/1/1993	CHECK	(8,500)	-	(8,500)	-	-	424,500	MF00344696	MF00344697	
1/3/1994	CHECK	(8,500)	-	(8,500)	-	-	416,000	MF00314558	MF00314558	
2/1/1994	CHECK	(8,500)	-	(8,500)	-	-	407,500	MF00300764	MF00300765	
3/1/1994	CHECK	(8,500)	-	(8,500)	-	-	399,000	MF00293977	MF00293978	
4/4/1994	CHECK	(8,500)	-	(8,500)	-	-	390,500	MF00287640	MF00287642	
5/2/1994	CHECK	(8,500)	-	(8,500)	-	-	382,000	MF00275655	MF00275656	
6/1/1994	CHECK	(8,500)	-	(8,500)	-	-	373,500	MF00234812	MF00234813	
7/1/1994	CHECK	(8,500)	-	(8,500)	-	-	365,000	MF00327003	MF00327004	
8/1/1994	CHECK	(8,500)	-	(8,500)	-	-	356,500	MF00323901	MF00323902	
9/1/1994	CHECK	(8,500)	-	(8,500)	-	-	348,000	MF00260436	MF00260437	
10/3/1994	CHECK	(8,500)	-	(8,500)	-	-	339,500	MF00250960	MF00250962	
11/1/1994	CHECK	(8,500)	-	(8,500)	-	-	331,000	MF00244967	MF00244968	
12/1/1994	CHECK	(8,500)	-	(8,500)	-	-	322,500	MF00228399	MF00228401	
1/3/1995	CHECK	(8,500)	-	(8,500)	-	-	314,000	MF00223727	MF00223729	
2/21/1995	CHECK	(8,500)	-	(8,500)	-	-	305,500	MF00189189	MF00189190	STESAC0078586
3/1/1995	CHECK	50,000	50,000	-	-	-	355,500	MF00189189	MF00189190	STESAC0078586
4/3/1995	CHECK	(8,500)	-	(8,500)	-	-	347,000	MF00181425	MF00181427	STESAC0079086
4/18/1995	CHECK	(8,500)	-	(8,500)	-	-	338,500	MF00183590	MF00183593	STESAC0079802
5/1/1995	CHECK	25,000	25,000	-	-	-	363,500	MF00183590	MF00183593	STESAC0079802
6/1/1995	CHECK	(8,500)	-	(8,500)	-	-	355,000	MF00190669	MF00190660	STESAC0080504
7/3/1995	CHECK	(8,500)	-	(8,500)	-	-	346,500	MF00164783	MF00164785	STESAC0081076
8/1/1995	CHECK	(8,500)	-	(8,500)	-	-	338,000	MF00176067	MF00176068	
8/7/1995	FIRST AUSTRALIA PRIME INC FD CANCEL C&S	(8,500)	-	(8,500)	-	-	329,500	MF00137365	MF00137367	
8/7/1995	POTOMAC EPL PWR RECEIVED	-	-	-	-	-	329,500	MF00137364	MF00137364	
8/7/1995	BALTIMORE COUNTY MD MTG 3/15/2013 CANCEL C&S	-	-	-	-	-	329,500	MF00137364	MF00137364	
8/7/1995	POTOMAC EPL PWR CANCEL C&S	-	-	-	-	-	329,500	MF00137364	MF00137364	
8/7/1995	PACIFICORP CANCEL C&S	-	-	-	-	-	329,500	MF00137364	MF00137364	
8/7/1995	FIRST AUSTRALIA PRIME INC FD RECEIVED	-	-	-	-	-	329,500	MF00137364	MF00137364	
8/7/1995	PACIFICORP RECEIVED	-	-	-	-	-	329,500	MF00137364	MF00137364	
8/7/1995	BALTIMORE COUNTY MD MTG 3/15/2013 RECEIVED	-	-	-	-	-	329,500	MF00137364	MF00137364	
8/7/1995	FIRST AUSTRALIA PRIME INC FD RECEIVED	-	-	-	-	-	329,500	MF00137364	MF00137364	
8/7/1995	BALTIMORE COUNTY MD MTG 3/15/2013 RECEIVED	-	-	-	-	-	329,500	MF00137364	MF00137364	
8/7/1995	POTOMAC EPL PWR RECEIVED	-	-	-	-	-	329,500	MF00137364	MF00137364	
8/11/1995	POTOMAC EPL PWR	7,920	7,920	-	-	-	337,420	MF00137364	MF00137364	
8/11/1995	BALTIMORE COUNTY MD MTG 3/15/2013	5,232	5,232	-	-	-	342,652	MF00137364	MF00137364	
8/11/1995	FIRST AUSTRALIA PRIME INC FD	32,589	32,589	-	-	-	375,241	MF00137364	MF00137364	

BLMIS ACCOUNT NO. IKW076 (FORMERLY 102350) - JEFFREY S WILPON & VALERIE WILPON JT TENANTS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
8/1/1995	PACIFICORP	53,398	53,398	-	-	-	428,639	MF00137364	MF00137364	
8/21/1995	CHECK	36,771	36,771	-	-	-	465,410	MF00137365	MF00137367	
9/1/1995	CHECK	(8,500)	-	(8,500)	-	-	456,910	MF00127310	MF00127313	STESAC0083401
9/12/1995	CHECK	302	302	-	-	-	457,212	MF00127310	MF00127313	STESAC0083402
9/13/1995	TRANS TO IKW14730 (IKW147)	(100,000)	-	-	-	(100,000)	357,212	MF00127310	MF00127313	STESAC0083402
9/13/1995	TRANS FROM IKW09830 (IKW098)	37,500	-	-	37,500	-	394,712	MF00127310	MF00127313	STESAC0083402
9/23/1995	CHECK	0	0	-	-	-	394,712	MF00127310	MF00127313	STESAC0083404
10/2/1995	CHECK	(8,500)	-	(8,500)	-	-	386,212	MF00117091	MF00117092	
11/1/1995	CHECK	(8,500)	-	(8,500)	-	-	369,212	MF00111029	MF00111030	STESAC0084644
12/1/1995	CHECK	(8,500)	-	(8,500)	-	-	369,212	MDPTPP03845326	MDPTPP03845330	STESAC0081640
12/1996	CHECK	(8,500)	-	(8,500)	-	-	360,712	MDPTPP03845332	MDPTPP03845336	
2/1/1996	CHECK	(8,500)	-	(8,500)	-	-	352,212	MDPTPP03845338	MDPTPP03845343	
3/1/1996	CHECK	(8,500)	-	(8,500)	-	-	343,712	MDPTPP03845345	MDPTPP03845350	
4/1/1996	CHECK	(8,500)	-	(8,500)	-	-	335,212	MDPTPP03845353	MDPTPP03845356	
5/1/1996	CHECK	(8,500)	-	(8,500)	-	-	326,712	MDPTPP03845359	MDPTPP03845365	
5/13/1996	TRANS FROM IKW09830 (IKW098)	28,000 <sup>(1)</sup>	-	-	17,500	-	344,212	MDPTPP03845359	MDPTPP03845365	
6/3/1996	CHECK	(8,500)	-	(8,500)	-	-	335,712	MDPTPP03845367	MDPTPP03845371	
7/1/1996	CHECK	(8,500)	-	(8,500)	-	-	327,212	MDPTPP03845373	MDPTPP03845378	
8/1/1996	CHECK	(8,500)	-	(8,500)	-	-	318,712	MDPTPP03845381	MDPTPP03845384	
9/3/1996	CHECK	(8,500)	-	(8,500)	-	-	310,212	MDPTPP03845386	MDPTPP03845390	
10/1/1996	CHECK	(8,500)	-	(8,500)	-	-	301,712	MDPTPP03845392	MDPTPP03845396	
11/1/1996	CHECK	(8,500)	-	(8,500)	-	-	293,212	MDPTPP03845399	MDPTPP03845401	
12/2/1996	CHECK	(8,500)	-	(8,500)	-	-	284,712	MDPTPP03845403	MDPTPP03845407	
12/2/1997	CHECK	(8,500)	-	(8,500)	-	-	276,212	MDPTPP03845409	MDPTPP03845413	
2/3/1997	CHECK	(8,500)	-	(8,500)	-	-	267,712	MDPTPP03845415	MDPTPP03845418	
3/3/1997	CHECK	(8,500)	-	(8,500)	-	-	259,212	MDPTPP03845420	MDPTPP03845425	STESAC0047803
4/1/1997	CHECK	30,000	30,000	-	-	-	289,212	MDPTPP03845420	MDPTPP03845425	STESAC0047803
4/1/1997	CHECK	(8,500)	-	(8,500)	-	-	280,712	MDPTPP03845427	MDPTPP03845433	STESAD0037974
5/1/1997	CHECK	(8,500)	-	(8,500)	-	-	272,212	MDPTPP03845435	MDPTPP03845438	STESAD0038773
6/2/1997	CHECK	(8,500)	-	(8,500)	-	-	263,712	MDPTPP03845440	MDPTPP03845445	STESAD0039589
7/1/1997	CHECK	(8,500)	-	(8,500)	-	-	255,212	MDPTPP03845447	MDPTPP03845450	STESAD0040977
8/1/1997	CHECK	(8,500)	-	(8,500)	-	-	246,712	MDPTPP03845452	MDPTPP03845456	STESAD0041630
9/2/1997	CHECK	(8,500)	-	(8,500)	-	-	238,212	MDPTPP03845458	MDPTPP03845461	STESAD0042516
10/1/1997	CHECK	(8,500)	-	(8,500)	-	-	229,712	MDPTPP03845463	MDPTPP03845466	STESAD0043718
11/3/1997	CHECK	(8,500)	-	(8,500)	-	-	221,212	MDPTPP03845468	MDPTPP03845472	STESAD0044819
12/1/1997	CHECK	(8,500)	-	(8,500)	-	-	212,712	MDPTPP03845475	MDPTPP03845478	
12/31/1997	TRANS FROM IKW15330 (IKW153)	87,432 <sup>(1)</sup>	-	-	62,359	-	275,071	MDPTPP03845475	MDPTPP03845478	
1/2/1998	CHECK	(8,500)	-	(8,500)	-	-	266,571	MDPTPP03845480	MDPTPP03845484	STESAC0027737
1/16/1998	TRANS TO IKW19530 (IKW195)	(87,432)	-	-	-	(87,432)	179,139	MDPTPP03845480	MDPTPP03845484	STESAC0027737
2/2/1998	CHECK	(8,500)	-	(8,500)	-	-	170,639	MDPTPP03845486	MDPTPP03845491	STESAC0029252
2/2/1998	TRANS FROM IKW19530 (IKW195)	50,000	-	-	50,000	-	220,639	MDPTPP03845486	MDPTPP03845491	STESAC0029252
2/4/1998	CHECK	100,000	100,000	-	-	-	320,639	MDPTPP03845486	MDPTPP03845491	
3/2/1998	CHECK	(8,500)	-	(8,500)	-	-	312,139	MDPTPP03845493	MDPTPP03845498	
4/1/1998	CHECK	(8,500)	-	(8,500)	-	-	303,639	MDPTPP03845500	MDPTPP03845503	
4/2/1998	TRANS FROM IKW15330 (IKW153)	34 <sup>(2)</sup>	-	-	-	-	303,639	MDPTPP03845500	MDPTPP03845503	STESAC0024171
5/1/1998	CHECK	(8,500)	-	(8,500)	-	-	295,139	MDPTPP03845505	MDPTPP03845510	STESAC0024175
5/27/1998	CHECK	950,000	950,000	-	-	-	1,245,139	MDPTPP03845505	MDPTPP03845510	
6/1/1998	CHECK	(8,500)	-	(8,500)	-	-	1,236,639	MDPTPP03845512	MDPTPP03845516	STESAC0026210
7/1/1998	CHECK	(15,000)	-	(15,000)	-	-	1,221,639	MDPTPP03845518	MDPTPP03845522	
8/3/1998	CHECK	(15,000)	-	(15,000)	-	-	1,206,639	MDPTPP03845524	MDPTPP03845528	STESAC0050067
9/1/1998	CHECK	(15,000)	-	(15,000)	-	-	1,191,639	MDPTPP03845530	MDPTPP03845536	STESAC0041861
10/1/1998	CHECK	(15,000)	-	(15,000)	-	-	1,176,639	MDPTPP03845538	MDPTPP03845542	STESAC0044194
10/1/1998	CHECK	(15,000)	-	(15,000)	-	-	1,161,639	MDPTPP03845544	MDPTPP03845548	STESAC0046052
12/1/1998	CHECK	(15,000)	-	(15,000)	-	-	1,146,639	MDPTPP03845550	MDPTPP03845553	STESAC0051782
1/4/1999	CHECK	(15,000)	-	(15,000)	-	-	1,131,639	MDPTPP03845555	MDPTPP03845559	STESAC0053401
2/1/1999	CHECK	(15,000)	-	(15,000)	-	-	1,116,639	MDPTPP03845561	MDPTPP03845564	STESAC0055290
3/1/1999	CHECK	(15,000)	-	(15,000)	-	-	1,101,639	MDPTPP03845566	MDPTPP03845571	STESAC0057017
4/1/1999	CHECK	(15,000)	-	(15,000)	-	-	1,086,639	MDPTPP03845573	MDPTPP03845575	STESAC0059972

BLMIS ACCOUNT NO. IKW076 (FORMERLY 102350) - JEFFREY S WILPON & VALERIE WILPON JT TENANTS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
5/3/1999	CHECK	(15,000)	-	(15,000)	-	-	1,071,639	MDPTPP03845577	MDPTPP03845581	STESAC0061393
6/1/1999	CHECK	(15,000)	-	(15,000)	-	-	1,056,639	MDPTPP03845583	MDPTPP03845588	STESAD0027898
7/1/1999	CHECK	(15,000)	-	(15,000)	-	-	1,041,639	MDPTPP03845590	MDPTPP03845593	STESAD0027898
7/8/1999	CHECK	(100,000)	-	(100,000)	-	(100,000)	941,639	MDPTPP03845590	MDPTPP03845593	STESAD0027900
7/21/1999	TRANS TO IKW18730 (/IKW187)	(100,000)	-	(100,000)	-	(100,000)	841,639	MDPTPP03845590	MDPTPP03845593	STESAD0027900
7/21/1999	TRANS FROM IKW16130 (/IKW161)	206,820	[2]	-	-	-	841,639	MDPTPP03845590	MDPTPP03845593	STESAD0027900
8/2/1999	CHECK	(15,000)	-	(15,000)	-	-	826,639	MDPTPP03845595	MDPTPP03845600	STESAD0029702
9/1/1999	CHECK	(15,000)	-	(15,000)	-	-	811,639	MDPTPP03845602	MDPTPP03845605	STESAD0031834
10/1/1999	CHECK	(15,000)	-	(15,000)	-	-	796,639	MDPTPP03845607	MDPTPP03845611	STESAD0035315
11/1/1999	CHECK	(15,000)	-	(15,000)	-	-	781,639	MDPTPP03845613	MDPTPP03845618	STESAD0035975
12/1/1999	CHECK	(15,000)	-	(15,000)	-	-	766,639	MDPTPP03845620	MDPTPP03845622	STESAF0074243
1/3/2000	CHECK	(15,000)	-	(15,000)	-	-	751,639	MDPTPP03845624	MDPTPP03845628	STESAF0075770
2/1/2000	CHECK	(15,000)	-	(15,000)	-	-	736,639	MDPTPP03845630	MDPTPP03845633	STESAF0077993
3/1/2000	CHECK	(15,000)	-	(15,000)	-	-	721,639	MDPTPP03845635	MDPTPP03845640	STESAF0072684
4/3/2000	CHECK	(15,000)	-	(15,000)	-	-	706,639	MDPTPP03845642	MDPTPP03845644	
5/1/2000	CHECK	(15,000)	-	(15,000)	-	-	691,639	MDPTPP03845646	MDPTPP03845651	
6/1/2000	CHECK	(15,000)	-	(15,000)	-	-	676,639	MDPTPP03845653	MDPTPP03845656	
6/8/2000	TRANS FROM IKW15630 (/IKW156)	63,960	-	-	63,960	-	740,599	MDPTPP03845653	MDPTPP03845656	
6/8/2000	TRANS FROM IKW16130 (/IKW161)	63,960	[3]	-	-	-	740,599	MDPTPP03845653	MDPTPP03845656	
6/14/2000	CHECK	(252,000)	-	(252,000)	-	-	488,599	MDPTPP03845653	MDPTPP03845656	
6/15/2000	CHECK	(1,600,000)	-	(1,600,000)	-	-	(1,111,401)	MDPTPP03845653	MDPTPP03845656	
6/26/2000	CHECK	175,000	175,000	-	-	-	(936,401)	MDPTPP03845653	MDPTPP03845656	STESAF0064961
7/3/2000	CHECK	(35,000)	-	(35,000)	-	-	(971,401)	MDPTPP03845658	MDPTPP03845663	STESAF0064961
7/12/2000	CHECK	(47,500)	-	(47,500)	-	-	(1,018,901)	MDPTPP03845658	MDPTPP03845663	STESAF0067472
9/11/2000	CHECK	(236,500)	-	(236,500)	-	-	(1,255,401)	MDPTPP03845670	MDPTPP03845675	STESAF0067472
9/27/2000	CHECK	1,540,000	1,540,000	-	-	-	284,599	MDPTPP03845670	MDPTPP03845675	STESAF0067472
10/25/2000	TRANS FROM IKW29530 (/IKW295)	59,856	[3]	-	-	-	284,599	MDPTPP03845677	MDPTPP03845681	STESAC0094484
10/27/2000	TRANS FROM IKW18730 (/IKW187)	287,202	[1]	(2,669,474)	1,669,977	-	451,576	MDPTPP03845677	MDPTPP03845681	STESAC0094486
11/1/2000	CHECK	(2,669,474)	-	(2,669,474)	-	-	(2,217,898)	MDPTPP03845683	MDPTPP03845688	STESAC0096473
12/19/2000	TRANS FROM IKW29530 (/IKW295)	287	[2]	-	-	-	(2,217,898)	MDPTPP03845691	MDPTPP03845695	
12/19/2000	TRANS FROM IKW18730 (/IKW187)	287	[3]	-	-	-	(2,217,898)	MDPTPP03845691	MDPTPP03845695	
5/7/2001	CHECK	25,000	25,000	-	-	-	(2,192,898)	MDPTPP03845720	MDPTPP03845722	
5/21/2001	CHECK	25,000	25,000	-	-	-	(2,167,898)	MDPTPP03845720	MDPTPP03845722	
5/29/2001	CHECK	50,000	50,000	-	-	-	(2,117,898)	MDPTPP03845720	MDPTPP03845722	
6/13/2001	CHECK	(95,000)	-	(95,000)	-	-	(2,212,898)	MDPTPP03845724	MDPTPP03845729	
6/15/2001	CHECK	(145,800)	-	(145,800)	-	-	(2,358,698)	MDPTPP03845724	MDPTPP03845729	
6/22/2001	CHECK	30,000	30,000	-	-	-	(2,328,698)	MDPTPP03845724	MDPTPP03845729	
8/2/2001	CHECK	50,000	50,000	-	-	-	(2,278,698)	MDPTPP03845736	MDPTPP03845741	
10/18/2001	CHECK	100,000	100,000	-	-	-	(2,178,698)	MDPTPP03845748	MDPTPP03845752	STESAC0032444
11/27/2001	CHECK	200,000	200,000	-	-	-	(1,978,698)	MDPTPP03845754	MDPTPP03845758	STESAD0075675
12/7/2001	CHECK	(66,700)	-	(66,700)	-	-	(2,045,398)	MDPTPP03845760	MDPTPP03845763	
12/28/2001	CHECK	88,550	88,550	-	-	-	(1,956,848)	MDPTPP03845760	MDPTPP03845763	
1/3/2002	CHECK	40,200	40,200	-	-	-	(1,916,648)	MDPTPP03845765	MDPTPP03845770	STESAD0046568
4/10/2002	CHECK	(1,500)	-	(1,500)	-	-	(1,918,148)	MDPTPP03845785	MDPTPP03845790	STESAD0051874
4/17/2002	CHECK	50,000	50,000	-	-	-	(1,868,148)	MDPTPP03845785	MDPTPP03845790	STESAD0051878
5/10/2002	CHECK	200,000	200,000	-	-	-	(1,668,148)	MDPTPP03845792	MDPTPP03845797	STESAC0071930
5/15/2002	CHECK	50,000	50,000	-	-	-	(1,618,148)	MDPTPP03845792	MDPTPP03845797	STESAC0071930
6/12/2002	CHECK	(595,000)	-	(595,000)	-	-	(2,213,148)	MDPTPP03845799	MDPTPP03845803	STESAC0067812
6/18/2002	CHECK	350,000	350,000	-	-	-	(1,863,148)	MDPTPP03845799	MDPTPP03845803	STESAC0067812
8/27/2002	CHECK	50,000	50,000	-	-	-	(1,813,148)	MDPTPP03845812	MDPTPP03845816	STESAC0065055
9/11/2002	CHECK	50,000	50,000	-	-	-	(1,763,148)	MDPTPP03845818	MDPTPP03845820	STESAC0040027
9/11/2002	CHECK	50,000	50,000	-	-	-	(1,713,148)	MDPTPP03845818	MDPTPP03845820	STESAC0040027
10/4/2002	CHECK	(100,000)	-	(100,000)	-	-	(1,813,148)	MDPTPP03845822	MDPTPP03845826	STESAC0037145
11/20/2002	CHECK	(100,000)	-	(100,000)	-	-	(1,913,148)	MDPTPP03845828	MDPTPP03845831	STESAC0035990
12/16/2002	CHECK	(16,400)	-	(16,400)	-	-	(1,929,548)	MDPTPP03845833	MDPTPP03845835	STESAD0063709
12/16/2002	CHECK	(183,600)	-	(183,600)	-	-	(2,113,148)	MDPTPP03845833	MDPTPP03845835	STESAD0063709
1/27/2003	CHECK	100,000	100,000	-	-	-	(2,013,148)	MDPTPP03845837	MDPTPP03845842	STESAC0113353

BLMIS ACCOUNT NO. IKW076 (FORMERLY 102350) - JEFFREY S WILPON & VALERIE WILPON JT TENANTS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
3/26/2003	CHECK	(400,000)	-	(400,000)	-	-	(2,413,148)	MDPTPP03845850	MDPTPP03845856	STESAC0108024
1/9/2004	CHECK	200,000	200,000	-	-	-	(2,213,148)	MDPTPP03845912	MDPTPP03845919	STESAD00062996
1/9/2004	CHECK	100,000	100,000	-	-	-	(2,113,148)	MDPTPP03845912	MDPTPP03845919	STESAD00062996
4/5/2004	CHECK	550,000	550,000	-	-	-	(1,563,148)	MDPTPP03845932	MDPTPP03845938	
4/12/2004	CHECK	50,000	50,000	-	-	-	(1,513,148)	MDPTPP03845932	MDPTPP03845938	
6/4/2004	CHECK	50,000	50,000	-	-	-	(1,463,148)	MDPTPP03845946	MDPTPP03845952	
7/2/2004	CHECK	(200,000)	-	(200,000)	-	-	(1,663,148)	MDPTPP03845954	MDPTPP03845956	
8/10/2004	CHECK	(100,000)	-	(100,000)	-	-	(1,763,148)	MDPTPP03845958	MDPTPP03845963	
9/20/2004	CHECK	(200,000)	-	(200,000)	-	-	(1,963,148)	MDPTPP03845965	MDPTPP03845969	
11/1/2004	CHECK	(150,000)	-	(150,000)	-	-	(2,113,148)	MDPTPP03845974	MDPTPP03845979	
1/26/2005	CHECK	(100,000)	-	(100,000)	-	-	(2,213,148)	MDPTPP03845987	MDPTPP03845992	STESAC0015810
4/4/2005	CHECK	(50,000)	-	(50,000)	-	-	(2,263,148)	MDPTPP03846005	MDPTPP03846008	STESAC0130397
4/5/2005	CHECK	(50,000)	-	(50,000)	-	-	(2,313,148)	MDPTPP03846005	MDPTPP03846008	STESAC0130399
4/12/2005	RETURNED CHECK	50,000	-	50,000	-	-	(2,263,148)	MDPTPP03846005	MDPTPP03846008	STESAC0132135
5/11/2005	CHECK	(50,000)	-	(50,000)	-	-	(2,313,148)	MDPTPP03846010	MDPTPP03846015	STESAC0007705
7/15/2005	CHECK	(150,000)	-	(150,000)	-	-	(2,463,148)	MDPTPP03846023	MDPTPP03846025	
9/26/2005	CHECK	50,000	50,000	-	-	-	(2,413,148)	MDPTPP03846030	MDPTPP03846035	
10/7/2005	CHECK	1,000,000	1,000,000	-	-	-	(1,413,148)	MDPTPP03846037	MDPTPP03846043	STESAC0127409
10/13/2005	TRANS TO IKW30530 (IKW305)	(50,000) <sup>[1]</sup>	-	(50,000)	-	-	(1,413,148)	MDPTPP03846037	MDPTPP03846043	STESAC0127415
10/26/2005	CHECK	150,000	150,000	-	-	-	(1,263,148)	MDPTPP03846037	MDPTPP03846043	STESAC00089455
11/4/2005	CHECK	250,000	250,000	-	-	-	(1,013,148)	MDPTPP03846045	MDPTPP03846049	
3/28/2006	CHECK WIRE	(760,000)	-	(760,000)	-	-	(1,773,148)	MDPTPP03846070	MDPTPP03846076	
4/26/2006	CHECK	1,000,000	1,000,000	-	-	-	(773,148)	MDPTPP03846078	MDPTPP03846084	STESAC0119026
9/29/2006	CHECK WIRE	5,000,000	5,000,000	-	-	-	4,226,852	MDPTPP03846112	MDPTPP03846117	STESAD0100236
10/20/2006	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	(773,148)	MDPTPP03846119	MDPTPP03846124	STESAG0010723
4/10/2007	CHECK	350,000	350,000	-	-	-	(423,148)	MDPTPP03846155	MDPTPP03846160	
7/5/2007	CHECK	3,000,000	3,000,000	-	-	-	2,576,852	MDPTPP03846174	MDPTPP03846176	
8/31/2007	CHECK	250,000	250,000	-	-	-	2,826,852	MDPTPP03846178	MDPTPP03846179	STESAF0031564
10/5/2007	CHECK	(822,163)	-	(822,163)	-	-	2,004,689	MDPTPP03846188	MDPTPP03846190	
10/10/2007	CHECK	(45,000)	-	(45,000)	-	-	1,959,689	MDPTPP03846188	MDPTPP03846190	
10/12/2007	RETURNED CHECK	45,000	-	45,000	-	-	2,004,689	MDPTPP03846188	MDPTPP03846190	
10/31/2007	CHECK	250,000	250,000	-	-	-	2,254,689	MDPTPP03846188	MDPTPP03846190	
11/8/2007	CHECK	500,000	500,000	-	-	-	2,754,689	MDPTPP03846192	MDPTPP03846196	STESAF0000072
1/8/2008	CHECK	250,000	250,000	-	-	-	3,004,689	MDPTPP03846204	MDPTPP03846206	STESAF0000192
2/1/2008	CHECK	250,000	250,000	-	-	-	3,254,689	MDPTPP03846207	MDPTPP03846209	STESAF0000192
2/7/2008	CHECK	600,000	600,000	-	-	-	3,854,689	MDPTPP03846207	MDPTPP03846209	STESAF0000192
2/29/2008	CHECK	250,000	250,000	-	-	-	4,104,689	MDPTPP03846207	MDPTPP03846209	STESAF0000192
11/17/2008	TRANS TO 1W014130 (1W0141)	(1,000,000)	-	(1,000,000)	-	-	3,104,689	MDPTPP03846247	MDPTPP03846254	STESAF0019282
	<b>Total:</b>		<b>\$ 19,064,962</b>	<b>\$ (15,071,137)</b>	<b>\$ 398,297</b>	<b>\$ (1,287,432)</b>	<b>\$ 3,104,689</b>			

[1] Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

[2] Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

[3] Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

[4] Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW077 (FORMERLY 102337) - JUDITH A WILFON C/O STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
12/18/1986	CHECK	500,000	500,000	-	-	-	500,000	MF00079158	MF00079158	STESAC0002681
5/17/1993	TRANS FROM IKW07130 (IKW071)	1,152,050 [1]	-	-	457,966	-	957,966	MF00418674	MF00418676	STESAC0002681
5/17/1993	TRANS FROM IKW03530 (IKW035)	598,907 [1]	-	-	130,000	-	1,087,966	MF00418674	MF00418676	STESAC0002681
11/2/1993	CHECK	(1,500,000) [2]	-	(1,500,000)	-	-	(412,034)	MF00373840	MF00373841	
2/1/1995	TRANS TO IKW06730 (IKW067)	(600,000) [2]	-	-	-	-	(412,034)	MF00189192	MF00189193	STESAC0078383
2/8/1996	TRANS FROM IKW06730 (IKW067)	710,000 [3]	-	-	-	-	(412,034)	MDPTPP03846470	MDPTPP03846476	
2/12/1996	TRANS FROM IKW07930 (IKW079)	808,824 [1]	-	-	159,984	-	(252,050)	MDPTPP03846470	MDPTPP03846476	
2/12/1996	TRANS FROM IKW05030 (IKW050)	684,522 [1]	-	-	140,001	-	(112,049)	MDPTPP03846470	MDPTPP03846476	
2/28/1996	CHECK	100,000	100,000	-	-	-	(12,049)	MDPTPP03846470	MDPTPP03846476	
2/28/1996	CHECK	539,000	539,000	-	-	-	526,951	MDPTPP03846470	MDPTPP03846476	
3/4/1996	CHECK	2,597,520	2,597,520	-	-	-	3,124,471	MDPTPP03846478	MDPTPP03846485	
3/4/1996	TRANS FROM IKW15030 (IKW150)	1,464,746 [1]	-	-	1,457,005	-	4,581,475	MDPTPP03846478	MDPTPP03846485	
3/4/1996	TRANS FROM IKW07830 (IKW078)	938,508 [1]	-	-	130,000	-	4,711,475	MDPTPP03846478	MDPTPP03846485	
4/8/1996	CHECK	1,000,000	1,000,000	-	-	-	5,711,475	MDPTPP03846488	MDPTPP03846493	
5/13/1996	TRANS TO IKW16130 (IKW161)	(2,000,000)	-	-	-	(2,000,000)	3,711,475	MDPTPP03846496	MDPTPP03846504	
7/23/1996	TRANS FROM IKW15030 (IKW150)	1,009 [3]	-	-	-	-	3,711,475	MDPTPP03846513	MDPTPP03846518	
7/23/1996	TRANS FROM IKW05030 (IKW050)	585 [3]	-	-	-	-	3,711,475	MDPTPP03846513	MDPTPP03846518	
7/23/1996	TRANS FROM IKW07930 (IKW079)	697 [3]	-	-	-	-	3,711,475	MDPTPP03846513	MDPTPP03846518	
7/23/1996	TRANS FROM IKW07830 (IKW078)	2,920 [3]	-	-	-	-	3,711,475	MDPTPP03846513	MDPTPP03846518	
6/4/1999	CHECK	(800,000)	-	(800,000)	-	-	2,911,475	MDPTPP03846513	MDPTPP03846518	
5/25/2001	TRANS FROM IKW23230 (IKW232)	30,517	-	-	30,517	-	2,941,992	MDPTPP03847007	MDPTPP03847010	
5/25/2001	TRANS FROM IKW23330 (IKW233)	31,320	-	-	31,320	-	2,973,312	MDPTPP03847007	MDPTPP03847010	
5/25/2001	TRANS FROM IKW23430 (IKW234)	23,386	-	-	23,386	-	2,996,698	MDPTPP03847007	MDPTPP03847010	
11/29/2002	CHECK	(1,000,000)	-	(1,000,000)	-	-	1,996,698	MDPTPP03847217	MDPTPP03847229	STESAC0036016
3/28/2003	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	(3,003,302)	MDPTPP03847270	MDPTPP03847290	STESAC0108066
6/30/2003	TRANS TO IKW15530 (IKW155)	(589,914) [2]	-	-	-	-	(3,003,302)	MDPTPP03847317	MDPTPP03847329	STESAD00025359
7/31/2003	TRANS TO IKW15530 (IKW155)	(59,758) [2]	-	-	-	-	(3,003,302)	MDPTPP03847332	MDPTPP03847346	
10/16/2003	CHECK	(120,000)	-	(120,000)	-	-	(3,123,302)	MDPTPP03847380	MDPTPP03847391	STESAD00000432
2/2/2004	CHECK	(20,000)	-	(20,000)	-	-	(3,143,302)	MDPTPP03847443	MDPTPP03847455	
3/1/2004	CHECK	(20,000)	-	(20,000)	-	-	(3,163,302)	MDPTPP03847457	MDPTPP03847461	
3/25/2004	CHECK	(1,500,000)	-	(1,500,000)	-	-	(4,663,302)	MDPTPP03847457	MDPTPP03847461	
4/1/2004	CHECK	(20,000)	-	(20,000)	-	-	(4,683,302)	MDPTPP03847463	MDPTPP03847478	
5/3/2004	CHECK	(20,000)	-	(20,000)	-	-	(4,703,302)	MDPTPP03847481	MDPTPP03847491	
5/27/2004	CHECK	(250,000)	-	(250,000)	-	-	(4,953,302)	MDPTPP03847481	MDPTPP03847491	
6/1/2004	CHECK	(20,000)	-	(20,000)	-	-	(4,973,302)	MDPTPP03847493	MDPTPP03847514	
7/1/2004	CHECK	(20,000)	-	(20,000)	-	-	(4,993,302)	MDPTPP03847517	MDPTPP03847520	
8/2/2004	CHECK	(20,000)	-	(20,000)	-	-	(5,013,302)	MDPTPP03847522	MDPTPP03847535	
8/12/2004	TRANS TO IKW06730 (IKW067)	(300,000) [2]	-	-	-	-	(5,013,302)	MDPTPP03847522	MDPTPP03847535	
9/1/2004	CHECK	(20,000)	-	(20,000)	-	-	(5,033,302)	MDPTPP03847538	MDPTPP03847549	
10/1/2004	CHECK	(20,000)	-	(20,000)	-	-	(5,053,302)	MDPTPP03847551	MDPTPP03847554	
11/1/2004	CHECK	(20,000)	-	(20,000)	-	-	(5,073,302)	MDPTPP03847556	MDPTPP03847573	
12/1/2004	CHECK	(20,000)	-	(20,000)	-	-	(5,093,302)	MDPTPP03847576	MDPTPP03847588	
12/14/2004	CHECK	(850,000)	-	(850,000)	-	-	(5,943,302)	MDPTPP03847576	MDPTPP03847588	STESAC0015824
1/3/2005	CHECK	(20,000)	-	(20,000)	-	-	(5,963,302)	MDPTPP03847590	MDPTPP03847603	STESAC0013183
2/1/2005	CHECK	(20,000)	-	(20,000)	-	-	(5,983,302)	MDPTPP03847606	MDPTPP03847609	STESAC0010765
3/1/2005	CHECK	(20,000)	-	(20,000)	-	-	(6,003,302)	MDPTPP03847611	MDPTPP03847624	STESAC0130407
4/1/2005	CHECK	(20,000)	-	(20,000)	-	-	(6,023,302)	MDPTPP03847626	MDPTPP03847630	STESAC00132149
5/2/2005	CHECK	(20,000)	-	(20,000)	-	-	(6,043,302)	MDPTPP03847632	MDPTPP03847644	STESAC0005197
6/1/2005	CHECK	(20,000)	-	(20,000)	-	-	(6,063,302)	MDPTPP03847647	MDPTPP03847658	STESAC0007113
7/1/2005	CHECK	(20,000)	-	(20,000)	-	-	(6,083,302)	MDPTPP03847660	MDPTPP03847663	STESAC0009365
8/1/2005	CHECK	(20,000)	-	(20,000)	-	-	(6,103,302)	MDPTPP03847665	MDPTPP03847668	STESAC0012967
9/1/2005	CHECK	(35,000)	-	(35,000)	-	-	(6,138,302)	MDPTPP03847670	MDPTPP03847692	STESAC0127425
10/3/2005	CHECK	(35,000)	-	(35,000)	-	-	(6,173,302)	MDPTPP03847695	MDPTPP03847710	STESAC00089467
11/1/2005	CHECK	(35,000)	-	(35,000)	-	-	(6,208,302)	MDPTPP03847713	MDPTPP03847717	STESAC0087015
12/1/2005	CHECK	(35,000)	-	(35,000)	-	-	(6,243,302)	MDPTPP03847719	MDPTPP03847734	

BLMIS ACCOUNT NO. IKW077 (FORMERLY 102337) - JUDITH A WILPON C/O STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bal.	BLMIS SOURCE DOCUMENT: End Bal.	DEFENDANTS' PRODUCTION Bates Ref <sup>1)</sup>
1/3/2006	CHECK	(35,000)	-	(35,000)	-	-	(6,278.302)	MDPTPP03847736	MDPTPP03847751	STESAD0009539
2/1/2006	CHECK	(35,000)	-	(35,000)	-	-	(6,313.302)	MDPTPP03847754	MDPTPP03847758	STESAD0006785
3/1/2006	CHECK	(35,000)	-	(35,000)	-	-	(6,348.302)	MDPTPP03847760	MDPTPP03847777	
3/28/2006	CHECK	(1,800,000)	-	(1,800,000)	-	-	(8,148.302)	MDPTPP03847760	MDPTPP03847777	
4/3/2006	CHECK	(35,000)	-	(35,000)	-	-	(8,183.302)	MDPTPP03847780	MDPTPP03847793	STESAC0190336
5/1/2006	CHECK	(35,000)	-	(35,000)	-	-	(8,218.302)	MDPTPP03847796	MDPTPP03847804	STESAD0109688
6/1/2006	CHECK	(35,000)	-	(35,000)	-	-	(8,253.302)	MDPTPP03847807	MDPTPP03847819	STESAD0107107
7/3/2006	CHECK	(35,000)	-	(35,000)	-	-	(8,288.302)	MDPTPP03847821	MDPTPP03847832	STESAD0104252
8/1/2006	CHECK	(35,000)	-	(35,000)	-	-	(8,323.302)	MDPTPP03847834	MDPTPP03847839	STESAD0103110
9/1/2006	CHECK	(35,000)	-	(35,000)	-	-	(8,358.302)	MDPTPP03847841	MDPTPP03847851	STESAD0100250
10/2/2006	CHECK	(50,000)	-	(50,000)	-	-	(8,408.302)	MDPTPP03847853	MDPTPP03847866	STESAD0094583
11/1/2006	CHECK	(50,000)	-	(50,000)	-	-	(8,458.302)	MDPTPP03847868	MDPTPP03847875	
12/1/2006	CHECK	(50,000)	-	(50,000)	-	-	(8,508.302)	MDPTPP03847877	MDPTPP03847893	
12/26/2006	CHECK	(2,000,000)	-	(2,000,000)	-	-	(10,508.302)	MDPTPP03847877	MDPTPP03847893	
1/2/2007	CHECK	(50,000)	-	(50,000)	-	-	(10,558.302)	MDPTPP03847895	MDPTPP03847900	
2/1/2007	CHECK	(50,000)	-	(50,000)	-	-	(10,608.302)	MDPTPP03847901	MDPTPP03847916	
3/1/2007	CHECK	(50,000)	-	(50,000)	-	-	(10,658.302)	MDPTPP03847919	MDPTPP03847935	
4/2/2007	CHECK	(50,000)	-	(50,000)	-	-	(10,708.302)	MDPTPP03847938	MDPTPP03847951	STESAG0010737
5/1/2007	CHECK	(50,000)	-	(50,000)	-	-	(10,758.302)	MDPTPP03847954	MDPTPP03847958	STESAF0052547
6/1/2007	CHECK	(50,000)	-	(50,000)	-	-	(10,808.302)	MDPTPP03847960	MDPTPP03847973	STESAF0054833
7/2/2007	CHECK	(50,000)	-	(50,000)	-	-	(10,858.302)	MDPTPP03847975	MDPTPP03847979	STESAF0029763
8/1/2007	CHECK	(50,000)	-	(50,000)	-	-	(10,908.302)	MDPTPP03847981	MDPTPP03847989	STESAF0031570
9/4/2007	CHECK	(50,000)	-	(50,000)	-	-	(10,958.302)	MDPTPP03847991	MDPTPP03848011	
10/1/2007	CHECK	(50,000)	-	(50,000)	-	-	(11,008.302)	MDPTPP03848014	MDPTPP03848021	
11/1/2007	CHECK	(50,000)	-	(50,000)	-	-	(11,058.302)	MDPTPP03848023	MDPTPP03848040	
12/3/2007	CHECK	(50,000)	-	(50,000)	-	-	(11,108.302)	MDPTPP03848043	MDPTPP03848055	
1/2/2008	CHECK	(50,000)	-	(50,000)	-	-	(11,158.302)	MDPTPP03848057	MDPTPP03848065	STESAF0000078
2/1/2008	CHECK	(50,000)	-	(50,000)	-	-	(11,208.302)	MDPTPP03848067	MDPTPP03848072	STESAF0001200
3/3/2008	CHECK	(50,000)	-	(50,000)	-	-	(11,258.302)	MDPTPP03848074	MDPTPP03848083	STESAF0002173
4/1/2008	CHECK	(50,000)	-	(50,000)	-	-	(11,308.302)	MDPTPP03848085	MDPTPP03848094	STESAF0003444
5/1/2008	CHECK	(50,000)	-	(50,000)	-	-	(11,358.302)	MDPTPP03848096	MDPTPP03848108	STESAF0006415
6/2/2008	CHECK	(50,000)	-	(50,000)	-	-	(11,408.302)	MDPTPP03848111	MDPTPP03848115	STESAF0009067
7/1/2008	CHECK	(50,000)	-	(50,000)	-	-	(11,458.302)	MDPTPP03848117	MDPTPP03848125	STESAF0010770
8/1/2008	CHECK	(50,000)	-	(50,000)	-	-	(11,508.302)	MDPTPP03848127	MDPTPP03848135	
9/2/2008	CHECK	(2,800,000)	-	(2,800,000)	-	-	(11,558.302)	MDPTPP03848138	MDPTPP03848150	STESAF0015018
10/3/2008	CHECK	2,800,000	2,800,000	-	-	-	(14,358.302)	MDPTPP03848138	MDPTPP03848150	STESAF0015034
10/3/2008	CHECK	(50,000)	-	(50,000)	-	-	(11,608.302)	MDPTPP03848152	MDPTPP03848157	STESAF0017687
11/5/2008	CHECK	(50,000)	-	(50,000)	-	-	(11,658.302)	MDPTPP03848159	MDPTPP03848175	STESAF0019294
12/3/2008	CHECK	(50,000)	-	(50,000)	-	-	(11,708.302)	Checkbook	Checkbook	
	<b>Total:</b>		<b>\$ 7,536,520</b>	<b>\$ (19,805,000)</b>	<b>\$ 2,560,178</b>	<b>\$ (2,000,000)</b>	<b>\$ (11,708.302)</b>			

<sup>1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>2)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>3)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>4)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.



BLMIS ACCOUNT NO. 1KW080 (FORMERLY 102361) - RICHARD A WILPON

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
12/23/1991	TRANS FROM 10199430 (1/01994)	224,780 <sup>(1)</sup>	-	-	-	-	65,000	MF00474644	MF00474644	
6/8/1993	CHECK	75,000	75,000	-	65,000	-	140,000	MF00406721	MF00406723	
9/9/1993	CHECK	(250,000)	-	(250,000)	-	-	(110,000)	MF00376968	MF00376970	STESAF0091402
5/23/1994	CHECK	60,000	60,000	-	-	-	(50,000)	MF00275667	MF00275668	
6/27/1995	CHECK	(245,123) <sup>(2)</sup>	-	(245,123)	-	-	(295,123)	MF00164797	MF00164798	STESAC0081102
10/23/1995	TRANS TO 1KW08130 (1KW081)	(283) <sup>(2)</sup>	-	-	-	-	(295,123)	MF00117103	MF00117103	STESAC0084156
	<b>Total:</b>		<b>\$ 135,000</b>	<b>\$ (495,123)</b>	<b>\$ 65,000</b>	<b>\$ -</b>	<b>\$ -</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW081 (FORMERLY 102338) - RICHARD A WILPON & DEBRA WILPON/J/T WROS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>(a)</sup>
12/18/1986	CHECK	500,000	500,000	-	-	-	500,000	MF00079160	MF00079160	
11/27/1992	TRANS TO 1KW09330 (JKW093)	(50,000)	-	-	-	(50,000)	450,000	MF00451057	MF00451057	
2/11/1993	CHECK	(40,000)	-	(40,000)	-	-	410,000	MF00432738	MF00432738	STESAC00033115
3/1/1993	CHECK	(40,000)	-	(40,000)	-	-	370,000	MF00427998	MF00427999	STESAC0003802
4/1/1993	CHECK	(40,000)	-	(40,000)	-	-	330,000	MF00423131	MF00423132	STESAC0004273
5/3/1993	CHECK	(40,000)	-	(40,000)	-	-	290,000	MF00418690	MF00418692	STESAC0002593
6/1/1993	CHECK	(40,000)	-	(40,000)	-	-	250,000	MF00406725	MF00406726	
7/1/1993	CHECK	(40,000)	-	(40,000)	-	-	210,000	MF00398826	MF00398827	
8/2/1993	CHECK	(40,000)	-	(40,000)	-	-	170,000	MF00383963	MF00383964	
9/1/1993	CHECK	(40,000)	-	(40,000)	-	-	130,000	MF00376972	MF00376973	STESAF0091409
10/1/1993	CHECK	(40,000)	-	(40,000)	-	-	90,000	MF00350382	MF00350383	STESAF0092081
11/1/1993	CHECK	(40,000)	-	(40,000)	-	-	50,000	MF00373852	MF00373853	STESAF0092445
11/2/1993	CHECK	(435,000)	-	(435,000)	-	-	(385,000)	MF00373852	MF00373853	STESAF0092445
12/1/1993	CHECK	(40,000)	-	(40,000)	-	-	(425,000)	MF00344711	MF00344712	STESAF0092873
1/3/1994	CHECK	(40,000)	-	(40,000)	-	-	(465,000)	MF00314578	MF00314579	
2/1/1994	CHECK	(40,000)	-	(40,000)	-	-	(505,000)	MF00300779	MF00300780	
2/16/1994	TRANS FROM 1KW03630 (JKW036)	74,178 <sup>(1)</sup>	-	-	13,325	-	(491,675)	MF00300779	MF00300780	
3/1/1994	CHECK	(40,000)	-	(40,000)	-	-	(531,675)	MF00293998	MF00293999	
4/4/1994	CHECK	(40,000)	-	(40,000)	-	-	(571,675)	MF00287659	MF00287661	
5/2/1994	CHECK	(40,000)	-	(40,000)	-	-	(611,675)	MF00275670	MF00275671	
6/1/1994	CHECK	(40,000)	-	(40,000)	-	-	(651,675)	MF00234828	MF00234829	
7/1/1994	CHECK	(40,000)	-	(40,000)	-	-	(691,675)	MF00327021	MF00327022	
8/1/1994	CHECK	(40,000)	-	(40,000)	-	-	(731,675)	MF00323916	MF00323917	
9/1/1994	CHECK	(40,000)	-	(40,000)	-	-	(771,675)	MF00260452	MF00260453	
10/3/1994	CHECK	(40,000)	-	(40,000)	-	-	(811,675)	MF00250980	MF00250982	
11/1/1994	CHECK	(40,000)	-	(40,000)	-	-	(851,675)	MF00244982	MF00244983	
12/1/1994	CHECK	(40,000)	-	(40,000)	-	-	(891,675)	MF00228419	MF00228421	
12/28/1994	TRANS TO 1KW13330 (JKW133)	(60,000) <sup>(2)</sup>	-	-	-	-	(891,675)	MF00228419	MF00228421	
1/3/1995	CHECK	(40,000)	-	(40,000)	-	-	(931,675)	MF00223747	MF00223749	
1/3/1995	TRANS TO 1KW13330 (JKW133)	(60,000) <sup>(2)</sup>	-	-	-	-	(931,675)	MF00223747	MF00223749	
2/1/1995	CHECK	(40,000)	-	(40,000)	-	-	(971,675)	MF00189204	MF00189205	STESAC0078602
3/1/1995	CHECK	(40,000)	-	(40,000)	-	-	(1,011,675)	MF00181441	MF00181442	STESAC0079118
3/2/1995	CHECK	(140,000)	-	(140,000)	-	-	(1,151,675)	MF00181441	MF00181442	STESAC0079118
4/3/1995	CHECK	(40,000)	-	(40,000)	-	-	(1,191,675)	MF00183614	MF00183616	STESAC0079843
5/1/1995	CHECK	(40,000)	-	(40,000)	-	-	(1,231,675)	MF00190674	MF00190675	STESAC0080529
6/1/1995	CHECK	(40,000)	-	(40,000)	-	-	(1,271,675)	MF00164800	MF00164802	STESAC0081107
6/27/1995	CHECK	(25,000)	-	(25,000)	-	-	(1,296,675)	MF00164800	MF00164802	STESAC0081108
7/3/1995	CHECK	(16,500)	-	(16,500)	-	-	(1,313,175)	MF00176081	MF00176083	STESAC0082286
8/1/1995	CHECK	(16,500)	-	(16,500)	-	-	(1,329,675)	MF00137380	MF00137381	STESAC0082805
9/1/1995	CHECK	(16,500)	-	(16,500)	-	-	(1,346,175)	MF00127332	MF00127333	STESAC0083439
9/13/1995	TRANS FROM 1KW09830 (JKW098)	287,250	-	-	287,250	-	(1,058,925)	MF00127332	MF00127333	STESAC0083440
10/2/1995	CHECK	(16,500)	-	(16,500)	-	-	(1,075,425)	MF00117105	MF00117106	STESAC0084159
10/23/1995	TRANS FROM 1KW02230 (JKW022)	509 <sup>(3)</sup>	-	-	-	-	(1,075,425)	MF00117105	MF00117106	STESAC0084159
10/23/1995	TRANS FROM 1KW06630 (JKW066)	566 <sup>(3)</sup>	-	-	-	-	(1,075,425)	MF00117105	MF00117106	STESAC0084159
10/23/1995	TRANS FROM 1KW08030 (JKW080)	283 <sup>(3)</sup>	-	-	-	-	(1,075,425)	MF00117105	MF00117106	STESAC0084159
11/1/1995	CHECK	(16,500)	-	(16,500)	-	-	(1,091,925)	MF00111041	MF00111042	STESAC0084664
12/1/1995	CHECK	(16,500)	-	(16,500)	-	-	(1,108,425)	MDPTPP03850491	MDPTPP03850495	STESAC0081659
2/28/1996	CHECK	73,500	73,500	-	-	-	(1,034,925)	MDPTPP03850503	MDPTPP03850508	
5/13/1996	TRANS FROM 1KW09830 (JKW098)	214,480 <sup>(1)</sup>	-	-	134,050	-	(900,875)	MDPTPP03850524	MDPTPP03850530	
9/26/1996	CHECK	(190,000)	-	(190,000)	-	-	(1,090,875)	MDPTPP03850551	MDPTPP03850555	
10/4/1996	CHECK	144,000	144,000	-	-	-	(946,875)	MDPTPP03850557	MDPTPP03850561	
12/12/1996	TRANS FROM 1KW08530 (JKW085)	92,552 <sup>(1)</sup>	-	-	50,000	-	(896,875)	MDPTPP03850568	MDPTPP03850572	

BLMIS ACCOUNT NO. 1KW081 (FORMERLY 102338) - RICHARD A WILPON & DEBRA WILPON/J/T WROS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes	DEFENDANTS' PRODUCTION Bates Ref <sup>(s)</sup>
12/19/1996	CHECK	(130,000)	-	(130,000)	-	-	(1,026,875)	MDPTPP03850568	MDPTPP03850572	STESAC0047819
12/20/1996	TRANS TO IKW13330 (IKW133)	(60,000) <sup>(2)</sup>	-	-	-	-	(1,026,875)	MDPTPP03850568	MDPTPP03850572	STESAC0047819
3/13/1997	TRANS FROM IKW08530 (IKW085)	237 <sup>(3)</sup>	-	-	-	-	(1,026,875)	MDPTPP03850585	MDPTPP03850590	STESAC0047821
3/19/1997	CHECK	(80,000)	-	(80,000)	-	-	(1,106,875)	MDPTPP03850585	MDPTPP03850590	STESAD00037990
3/31/1997	CHECK	(135,000)	-	(135,000)	-	-	(1,241,875)	MDPTPP03850585	MDPTPP03850590	STESAD00037990
4/7/1997	CHECK	(32,100)	-	(32,100)	-	-	(1,273,975)	MDPTPP03850592	MDPTPP03850596	STESAD00039617
4/17/1997	CHECK	(50,000)	-	(50,000)	-	-	(1,323,975)	MDPTPP03850592	MDPTPP03850601	STESAD00040989
5/15/1997	CHECK	(50,000)	-	(50,000)	-	-	(1,373,975)	MDPTPP03850598	MDPTPP03850608	STESAD00042538
6/11/1997	CHECK	(35,000)	-	(35,000)	-	-	(1,408,975)	MDPTPP03850603	MDPTPP03850624	STESAD00043729
7/31/1997	CHECK	(30,000)	-	(30,000)	-	-	(1,438,975)	MDPTPP03850610	MDPTPP03850624	STESAD00044849
9/12/1997	CHECK	(12,100)	-	(12,100)	-	-	(1,451,075)	MDPTPP03850621	MDPTPP03850631	
10/10/1997	CHECK	(7,100)	-	(7,100)	-	-	(1,458,175)	MDPTPP03850626	MDPTPP03850635	
11/3/1997	CHECK	121,719	121,719	-	-	-	(1,336,456)	MDPTPP03850631	MDPTPP03850641	
12/22/1997	CHECK WIRE	(97,500)	-	(97,500)	-	-	(1,433,956)	MDPTPP03850638	MDPTPP03850641	
12/23/1997	CHECK	(10,450)	-	(10,450)	-	-	(1,444,406)	MDPTPP03850638	MDPTPP03850641	
12/31/1997	TRANS TO IKW20930 (IKW209)	(20,000) <sup>(2)</sup>	-	-	-	-	(1,444,406)	MDPTPP03850638	MDPTPP03850641	
12/31/1997	TRANS TO IKW20830 (IKW208)	(35,400) <sup>(2)</sup>	-	-	-	-	(1,444,406)	MDPTPP03850638	MDPTPP03850641	
12/31/1997	TRANS TO IKW20730 (IKW207)	(20,000) <sup>(2)</sup>	-	-	-	-	(1,444,406)	MDPTPP03850638	MDPTPP03850641	
12/31/1997	TRANS FROM IKW09330 (IKW093)	81,466 <sup>(1)</sup>	40,000	-	40,000	-	(1,404,407)	MDPTPP03850638	MDPTPP03850641	
12/31/1997	TRANS FROM IKW15330 (IKW153)	922,008 <sup>(1)</sup>	657,609	-	657,609	-	(746,798)	MDPTPP03850638	MDPTPP03850641	
1/4/1998	CHECK	(11,500)	-	(11,500)	-	-	(758,298)	MDPTPP03850643	MDPTPP03850647	
1/20/1998	TRANS FROM IKW16130 (IKW161)	344,850	-	-	344,850	-	(413,448)	MDPTPP03850643	MDPTPP03850647	
2/12/1998	CHECK	(23,500)	-	(23,500)	-	-	(436,948)	MDPTPP03850649	MDPTPP03850652	
4/15/1998	TRANS FROM IKW09330 (IKW093)	29 <sup>(3)</sup>	-	-	-	-	(436,948)	MDPTPP03850661	MDPTPP03850664	
4/16/1998	CHECK	(214,500)	-	(214,500)	-	-	(651,448)	MDPTPP03850661	MDPTPP03850664	
4/22/1998	TRANS FROM IKW15330 (IKW153)	357 <sup>(3)</sup>	-	-	-	-	(651,448)	MDPTPP03850661	MDPTPP03850664	
6/12/1998	CHECK	(58,100)	-	(58,100)	-	-	(709,548)	MDPTPP03850672	MDPTPP03850675	
8/11/1998	CHECK	(100,000)	-	(100,000)	-	-	(809,548)	MDPTPP03850682	MDPTPP03850686	
9/14/1998	CHECK	90,000	90,000	-	-	-	(719,548)	MDPTPP03850682	MDPTPP03850694	
9/14/1998	CHECK	(58,100)	-	(58,100)	-	-	(777,648)	MDPTPP03850688	MDPTPP03850694	
11/24/1998	CHECK	118,000	118,000	-	-	-	(659,648)	MDPTPP03850702	MDPTPP03850706	
12/11/1998	CHECK	(665,600)	-	(665,600)	-	-	(1,325,248)	MDPTPP03850708	MDPTPP03850711	
12/24/1998	CHECK	80,000	80,000	-	-	-	(1,245,248)	MDPTPP03850708	MDPTPP03850711	
1/12/1999	TRANS TO IKW20930 (IKW209)	(29,000) <sup>(2)</sup>	-	-	-	-	(1,245,248)	MDPTPP03850713	MDPTPP03850717	
1/12/1999	TRANS TO IKW20730 (IKW207)	(8,000) <sup>(2)</sup>	-	-	-	-	(1,245,248)	MDPTPP03850713	MDPTPP03850717	
1/12/1999	TRANS TO IKW20830 (IKW208)	(13,000) <sup>(2)</sup>	-	-	-	-	(1,245,248)	MDPTPP03850713	MDPTPP03850717	
2/1/1999	CHECK	134,100	134,100	-	-	-	(1,111,148)	MDPTPP03850719	MDPTPP03850722	
3/29/1999	CHECK	(76,540)	-	(76,540)	-	-	(1,187,688)	MDPTPP03850724	MDPTPP03850729	
3/29/1999	TRANS TO IKW17530 (IKW175)	(500,000) <sup>(2)</sup>	-	-	-	-	(1,187,688)	MDPTPP03850724	MDPTPP03850729	
4/14/1999	TRANS FROM IKW11430 (IKW114)	221,250	-	-	221,250	-	(1,406,188)	MDPTPP03850731	MDPTPP03850733	
5/11/1999	TRANS TO IKW25630 (IKW256)	(89,000) <sup>(2)</sup>	-	(89,000)	-	-	(1,184,938)	MDPTPP03850731	MDPTPP03850733	
6/1/1999	CHECK	307,000	307,000	-	-	-	(877,938)	MDPTPP03850741	MDPTPP03850746	
6/14/1999	CHECK	(114,000)	-	(114,000)	-	-	(991,938)	MDPTPP03850741	MDPTPP03850746	
7/8/1999	CHECK	(30,000)	-	(30,000)	-	-	(1,021,938)	MDPTPP03850748	MDPTPP03850751	
7/21/1999	TRANS FROM IKW16130 (IKW161)	413,820 <sup>(3)</sup>	-	-	-	-	(1,021,938)	MDPTPP03850748	MDPTPP03850751	
8/23/1999	TRANS TO IKW20730 (IKW207)	(20,000) <sup>(2)</sup>	-	-	-	-	(1,021,938)	MDPTPP03850753	MDPTPP03850758	
8/23/1999	TRANS TO IKW20930 (IKW209)	(20,000) <sup>(2)</sup>	-	-	-	-	(1,021,938)	MDPTPP03850753	MDPTPP03850758	
8/23/1999	TRANS TO IKW20830 (IKW208)	(20,000) <sup>(2)</sup>	-	-	-	-	(1,021,938)	MDPTPP03850753	MDPTPP03850758	
8/27/1999	CHECK	40,000	40,000	-	-	-	(981,938)	MDPTPP03850753	MDPTPP03850758	

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Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>(a)</sup>
9/14/1999	CHECK	(100,000)	-	(100,000)	-	-	(1,081,938)	MDPTPP03850760	MDPTPP03850763	STESAD00031858
9/20/1999	CHECK	(113,000)	-	(113,000)	-	-	(1,194,938)	MDPTPP03850760	MDPTPP03850763	STESAD00031858
10/28/1999	TRANS FROM 1KW22130 (IKW221)	152,850	-	-	152,850	-	(1,042,088)	MDPTPP03850765	MDPTPP03850768	STESAD00035343
10/28/1999	TRANS FROM 1KW15130 (IKW151)	168,636	-	-	168,636	-	(873,452)	MDPTPP03850765	MDPTPP03850768	STESAD00035343
10/28/1999	TRANS FROM 1KW16130 (IKW161)	68,970	[3]	-	-	-	(873,452)	MDPTPP03850765	MDPTPP03850768	STESAD00035343
10/28/1999	TRANS FROM 1KW23930 (IKW239)	52,500	-	-	52,500	-	(820,952)	MDPTPP03850765	MDPTPP03850768	STESAD00035343
10/28/1999	TRANS FROM 1KW15630 (IKW156)	122,600	-	-	122,600	-	(698,352)	MDPTPP03850765	MDPTPP03850768	STESAD00035343
11/1/1999	TRANS TO 1KW15630 (IKW156)	(440)	[2]	-	-	-	(698,352)	MDPTPP03850770	MDPTPP03850775	STESAD00036011
11/1/1999	TRANS FROM 1KW18030 (IKW180)	209,699	[3]	-	-	-	(698,352)	MDPTPP03850770	MDPTPP03850775	STESAD00036011
11/5/1999	CHECK	(380,300)	-	(380,300)	-	-	(1,078,652)	MDPTPP03850770	MDPTPP03850775	STESAD00036011
12/17/1999	CHECK	(35,580)	-	(35,580)	-	-	(1,114,232)	MDPTPP03850777	MDPTPP03850779	STESAF0074265
12/21/1999	CHECK	(25,000)	-	(25,000)	-	-	(1,139,232)	MDPTPP03850777	MDPTPP03850779	STESAF0074265
12/27/1999	CHECK	(50,000)	-	(50,000)	-	-	(1,189,232)	MDPTPP03850777	MDPTPP03850779	STESAF0074265
1/10/2000	CHECK	(94,000)	-	(94,000)	-	-	(1,283,232)	MDPTPP03850781	MDPTPP03850785	STESAF0075802
2/24/2000	TRANS FROM 1KW17530 (IKW175)	1,000,000	-	-	1,000,000	-	(283,232)	MDPTPP03850790	MDPTPP03850790	STESAF0078017
2/29/2000	CHECK	(30,000)	-	(30,000)	-	-	(313,232)	MDPTPP03850787	MDPTPP03850790	STESAF0078019
2/29/2000	CHECK	(74,400)	-	(74,400)	-	-	(387,632)	MDPTPP03850792	MDPTPP03850790	STESAF0078019
3/7/2000	CHECK	255,860	255,860	-	-	-	(131,772)	MDPTPP03850792	MDPTPP03850792	STESAF0078019
3/23/2000	CHECK	80,000	80,000	-	-	-	(51,772)	MDPTPP03850792	MDPTPP03850792	STESAF0078019
4/12/2000	CHECK	(424,000)	-	(424,000)	-	-	(473,772)	MDPTPP03850800	MDPTPP03850802	STESAF0078019
4/18/2000	CHECK	(121,692)	-	(121,692)	-	-	(597,464)	MDPTPP03850800	MDPTPP03850802	STESAF0078019
4/24/2000	CHECK	(41,594)	-	(41,594)	-	-	(639,058)	MDPTPP03850800	MDPTPP03850802	STESAF0078019
4/28/2000	CHECK	(175,135)	-	(175,135)	-	-	(814,193)	MDPTPP03850800	MDPTPP03850802	STESAF0078019
6/8/2000	TRANS FROM 1KW15630 (IKW156)	132,680	-	-	132,680	-	(681,513)	MDPTPP03850811	MDPTPP03850814	STESAF0078019
6/8/2000	TRANS FROM 1KW16130 (IKW161)	132,680	[3]	-	-	-	(681,513)	MDPTPP03850811	MDPTPP03850814	STESAF0078019
6/14/2000	CHECK	(507,800)	-	(507,800)	-	-	(1,189,313)	MDPTPP03850811	MDPTPP03850814	STESAF0078019
6/30/2000	TRANS TO 1KW20730 (IKW207)	(20,000)	[2]	-	-	-	(1,189,313)	MDPTPP03850811	MDPTPP03850814	STESAF0078019
6/30/2000	TRANS TO 1KW20830 (IKW208)	(20,000)	[2]	-	-	-	(1,189,313)	MDPTPP03850811	MDPTPP03850814	STESAF0078019
6/30/2000	TRANS TO 1KW20930 (IKW209)	(20,000)	[2]	-	-	-	(1,189,313)	MDPTPP03850811	MDPTPP03850814	STESAF0078019
7/5/2000	CHECK	80,000	80,000	-	-	-	(1,109,313)	MDPTPP03850816	MDPTPP03850821	STESAF0064995
7/12/2000	CHECK	(95,000)	-	(95,000)	-	-	(1,204,313)	MDPTPP03850816	MDPTPP03850821	STESAF0064995
9/11/2000	CHECK	(542,000)	-	(542,000)	-	-	(1,746,313)	MDPTPP03850828	MDPTPP03850833	STESAF0067504
9/20/2000	TRANS TO 1KW30730 (IKW307)	(600,000)	[2]	-	-	-	(1,746,313)	MDPTPP03850828	MDPTPP03850833	STESAF0067504
9/27/2000	CHECK	2,929,850	2,929,850	-	-	-	1,183,537	MDPTPP03850828	MDPTPP03850833	STESAF0067508
10/3/2000	CHECK	275,000	275,000	-	-	-	1,458,537	MDPTPP03850835	MDPTPP03850839	STESAF0067508
10/25/2000	TRANS FROM 1KW29030 (IKW290)	362,288	[3]	-	-	-	1,458,537	MDPTPP03850835	MDPTPP03850839	STESAF0067508
11/1/2000	CHECK	(2,574,763)	-	(2,574,763)	-	-	(1,116,226)	MDPTPP03850841	MDPTPP03850846	STESAF0067508
12/19/2000	TRANS FROM 1KW29030 (IKW290)	1,434	[3]	-	-	-	(1,116,226)	MDPTPP03850841	MDPTPP03850846	STESAF0067508
1/11/2001	CHECK	(105,000)	-	(105,000)	-	-	(1,221,226)	MDPTPP03850855	MDPTPP03850860	STESAF0067508
2/16/2001	CHECK	40,000	40,000	-	-	-	(1,181,226)	MDPTPP03850862	MDPTPP03850865	STESAF0067508
4/9/2001	CHECK	2,175,000	2,175,000	-	-	-	993,774	MDPTPP03850874	MDPTPP03850878	STESAF0067508
4/16/2001	CHECK	(640,000)	-	(640,000)	-	-	353,774	MDPTPP03850874	MDPTPP03850878	STESAF0067508
4/25/2001	TRANS TO 1KW20930 (IKW209)	(20,000)	-	-	(20,000)	(20,000)	333,774	MDPTPP03850874	MDPTPP03850878	STESAF0067508
4/25/2001	TRANS TO 1KW20830 (IKW208)	(20,000)	-	-	(20,000)	(20,000)	313,774	MDPTPP03850874	MDPTPP03850878	STESAF0067508
4/25/2001	TRANS TO 1KW20730 (IKW207)	(20,000)	-	-	(20,000)	(20,000)	293,774	MDPTPP03850874	MDPTPP03850878	STESAF0067508
4/30/2001	CHECK	40,000	40,000	-	-	-	333,774	MDPTPP03850874	MDPTPP03850878	STESAF0067508
5/18/2001	CHECK	195,000	195,000	-	-	-	528,774	MDPTPP03850880	MDPTPP03850882	STESAF0067508
6/13/2001	CHECK	(193,300)	-	(193,300)	-	-	335,474	MDPTPP03850884	MDPTPP03850889	STESAF0067508
6/15/2001	CHECK	(77,300)	-	(77,300)	-	-	258,174	MDPTPP03850884	MDPTPP03850889	STESAF0067508
6/27/2001	CHECK	95,000	95,000	-	-	-	353,174	MDPTPP03850884	MDPTPP03850889	STESAF0067508
8/1/2001	CHECK	100,000	100,000	-	-	-	453,174	MDPTPP03850896	MDPTPP03850901	STESAF0067508
9/18/2001	CHECK	(395,200)	-	(395,200)	-	-	57,974	MDPTPP03850903	MDPTPP03850906	STESAF0067508

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Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>(a)</sup>
10/5/2001	CHECK	50,000	50,000	-	-	-	107,974	MDPTPP03850908	MDPTPP03850912	STESAC0032468
10/22/2001	CHECK	210,000	210,000	-	-	-	317,974	MDPTPP03850908	MDPTPP03850912	STESAC0032470
11/28/2001	CHECK	300,000	300,000	-	-	-	617,974	MDPTPP03850914	MDPTPP03850918	STESAD0075707
12/7/2001	CHECK	(133,400)	-	(133,400)	-	-	484,574	MDPTPP03850920	MDPTPP03850922	STESAD0078034
12/28/2001	CHECK	322,810	322,810	-	-	-	807,384	MDPTPP03850920	MDPTPP03850922	STESAD0078034
1/3/2002	CHECK	900,000	900,000	-	-	-	1,707,384	MDPTPP03850924	MDPTPP03850929	STESAD0046610
1/23/2002	TRANS TO IKW20930 (IKW209)	(22,000)	-	-	-	(22,000)	1,685,384	MDPTPP03850924	MDPTPP03850929	STESAD0046614
1/23/2002	TRANS TO IKW20730 (IKW207)	(22,000)	-	-	-	(22,000)	1,663,384	MDPTPP03850924	MDPTPP03850929	STESAD0046614
1/23/2002	TRANS TO IKW20830 (IKW208)	(22,000)	-	-	-	(22,000)	1,641,384	MDPTPP03850924	MDPTPP03850929	STESAD0046614
2/21/2002	TRANS TO IKW35830 (IKW358)	(3,251,546) <sup>(a)</sup>	-	-	-	(1,641,384)	-	MDPTPP03850931	MDPTPP03850935	STESAD00049421
3/22/2002	CHECK	(296,000)	-	(296,000)	-	-	(296,000)	MDPTPP03850937	MDPTPP03850944	STESAD00054971
4/15/2002	CHECK	(600,000)	-	(600,000)	-	-	(896,000)	MDPTPP03850947	MDPTPP03850951	STESAD00051924
6/17/2002	CHECK	412,000	412,000	-	-	-	(484,000)	MDPTPP03850960	MDPTPP03850964	STESAC0067840
7/15/2002	CHECK	50,000	50,000	-	-	-	(434,000)	MDPTPP03850966	MDPTPP03850971	STESAC0063598
8/28/2002	CHECK	830,000	830,000	-	-	-	396,000	MDPTPP03850973	MDPTPP03850977	STESAC0065071
9/20/2002	CHECK	(660,000)	-	(660,000)	-	-	(264,000)	MDPTPP03850979	MDPTPP03850982	STESAC0040045
12/17/2002	CHECK	(186,500)	-	(186,500)	-	-	(450,500)	MDPTPP03850996	MDPTPP03850999	STESAD0063733
3/10/2003	CHECK	(570,000)	-	(570,000)	-	-	(1,020,500)	MDPTPP03851013	MDPTPP03851015	STESAC0108078
8/25/2003	CHECK	1,000,000	1,000,000	-	-	-	(20,500)	MDPTPP03851029	MDPTPP03851030	STESAD0067812
9/8/2003	CHECK	299,425	299,425	-	-	-	278,925	MDPTPP03851032	MDPTPP03851039	STESAD0007475
9/10/2003	TRANS TO IKW40230 (IKW402)	(250,000)	-	-	-	(250,000)	28,925	MDPTPP03851032	MDPTPP03851039	STESAD0007477
10/3/2003	CHECK	150,000	150,000	-	-	-	178,925	MDPTPP03851041	MDPTPP03851046	STESAD0000446
11/25/2003	TRANS TO IKW20930 (IKW209)	(22,000)	-	-	-	(22,000)	156,925	MDPTPP03851048	MDPTPP03851051	STESAD0003467
11/25/2003	TRANS TO IKW39030 (IKW390)	(22,000)	-	-	-	(22,000)	134,925	MDPTPP03851048	MDPTPP03851051	STESAD0003465
11/25/2003	TRANS TO IKW38930 (IKW389)	(22,000)	-	-	-	(22,000)	112,925	MDPTPP03851048	MDPTPP03851051	STESAD0003465
11/25/2003	TRANS TO IKW40330 (IKW403)	(47,455)	-	-	-	(47,455)	65,470	MDPTPP03851048	MDPTPP03851051	STESAD0003465
12/2/2003	CHECK	775,000	775,000	-	-	-	840,470	MDPTPP03851053	MDPTPP03851056	STESAC0020605
12/23/2003	CHECK	(1,500,000)	-	(1,500,000)	-	-	(659,530)	MDPTPP03851053	MDPTPP03851056	STESAC0020605
1/9/2004	TRANS TO IKW38930 (IKW389)	(22,000) <sup>(a)</sup>	-	-	-	-	(659,530)	MDPTPP03851058	MDPTPP03851064	STESAD00063020
1/9/2004	TRANS TO IKW20930 (IKW209)	(22,000) <sup>(a)</sup>	-	-	-	-	(659,530)	MDPTPP03851058	MDPTPP03851064	STESAD00063020
1/9/2004	TRANS TO IKW39030 (IKW390)	(22,000) <sup>(a)</sup>	-	-	-	-	(659,530)	MDPTPP03851058	MDPTPP03851064	STESAD00063020
1/12/2004	CHECK	285,000	285,000	-	-	-	(374,530)	MDPTPP03851058	MDPTPP03851064	STESAD00063020
1/12/2004	CHECK	100,000	100,000	-	-	-	(274,530)	MDPTPP03851058	MDPTPP03851064	STESAD00063020
3/4/2004	CHECK	(149,000)	-	(149,000)	-	-	(423,530)	MDPTPP03851073	MDPTPP03851075	STESAD00063020
5/26/2004	TRANS TO IKW41230 (IKW412)	(550,000) <sup>(a)</sup>	-	-	-	-	(423,530)	MDPTPP03851086	MDPTPP03851090	STESAD00063020
1/6/2005	TRANS FROM IKW25630 (IKW256)	277,938 <sup>(a)</sup>	-	-	125,606	-	(297,925)	MDPTPP03851127	MDPTPP03851132	STESAC0015856
1/14/2005	CHECK	(162,000)	-	(162,000)	-	-	(459,925)	MDPTPP03851127	MDPTPP03851132	STESAC0015856
7/11/2005	CHECK	985,000	985,000	-	-	-	525,075	MDPTPP03851161	MDPTPP03851163	STESAC0007723
7/13/2005	CHECK	3,960,139	3,960,139	-	-	-	4,485,214	MDPTPP03851161	MDPTPP03851163	STESAC0007723
10/3/2005	CHECK	(117,700)	-	(117,700)	-	-	4,367,514	MDPTPP03851176	MDPTPP03851182	STESAC0127461
12/16/2005	TRANS TO IKW41730 (IKW417)	(22,000)	-	-	-	(22,000)	4,345,514	MDPTPP03851189	MDPTPP03851194	STESAC0087053
12/16/2005	TRANS TO IKW20930 (IKW209)	(22,000)	-	-	-	(22,000)	4,323,514	MDPTPP03851189	MDPTPP03851194	STESAC0087053
12/16/2005	TRANS TO IKW38930 (IKW389)	(22,000)	-	-	-	(22,000)	4,301,514	MDPTPP03851189	MDPTPP03851194	STESAC0087053
12/16/2005	TRANS TO IKW39030 (IKW390)	(22,000)	-	-	-	(22,000)	4,279,514	MDPTPP03851189	MDPTPP03851194	STESAC0087053
12/23/2005	CHECK	(1,000,000)	-	(1,000,000)	-	-	3,279,514	MDPTPP03851189	MDPTPP03851194	STESAC0087055
3/28/2006	CHECK	(144,000)	-	(144,000)	-	-	3,135,514	MDPTPP03851251	MDPTPP03851256	STESAD0103140
9/29/2006	CHECK WIRE	(600,000)	-	(600,000)	-	-	2,535,514	MDPTPP03851251	MDPTPP03851256	STESAD0103140
9/29/2006	CHECK	6,000,000	6,000,000	-	-	-	8,535,514	MDPTPP03851271	MDPTPP03851276	STESAD0103140
12/21/2006	CHECK	(5,600,000)	-	(5,600,000)	-	-	2,935,514	MDPTPP03851271	MDPTPP03851276	STESAD0103140
1/17/2007	TRANS TO IKW44730 (IKW447)	(2,500,000)	-	-	-	(2,500,000)	435,514	MDPTPP03851278	MDPTPP03851280	STESAD0103140
7/3/2007	CHECK	1,000,000	1,000,000	-	-	-	1,435,514	MDPTPP03851314	MDPTPP03851317	STESAD0103140
7/26/2007	TRANS TO IKW30730 (IKW307)	(22,000)	-	-	-	(22,000)	1,413,514	MDPTPP03851314	MDPTPP03851317	STESAD0103140

BLMIS ACCOUNT NO. 1KW081 (FORMERLY 102338) - RICHARD A WILPON & DEBRA WILPON/J/T WROS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(5)</sup>
9/6/2007	CHECK	(600,000)	-	(600,000)	-	-	813,514	MDFTPP03851322	MDFTPP03851327	
10/5/2007	CHECK	(1,511,710)	-	(1,511,710)	-	-	(698,196)	MDFTPP03851329	MDFTPP03851331	
10/31/2007	CHECK	316,233	316,233	-	-	-	(381,963)	MDFTPP03851329	MDFTPP03851331	
11/7/2007	CHECK	319,045	319,045	-	-	-	(62,918)	MDFTPP03851333	MDFTPP03851337	
11/7/2007	CHECK	661,451	661,451	-	-	-	598,533	MDFTPP03851333	MDFTPP03851337	
1/10/2008	CHECK	(230,000)	-	(230,000)	-	-	368,533	MDFTPP03851345	MDFTPP03851347	STESAF0000098
9/24/2008	CHECK	(1,500,000)	-	(1,500,000)	-	-	(1,131,467)	MDFTPP03851378	MDFTPP03851382	STESAF0015050
	<b>Total:</b>		<b>\$ 26,800,131</b>	<b>\$ (26,643,964)</b>	<b>\$ 3,503,205</b>	<b>\$ (4,790,839)</b>	<b>\$ (1,131,467)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(4)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(5)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW082 (FORMERLY 101160) - RICHARD A WILPON TRUSTEE OF FRED WILPON FAMILY TRUST JUDY & FRED WILPON FAMILY FDN

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>3)</sup>
2/6/1989	CHECK	59,900	59,900	-	-	-	59,900	MF00041646	MF00041646	
2/6/1989	CHECK	100	100	-	-	-	60,000	MF00041646	MF00041646	
2/6/1989	CHECK	60,000	60,000	-	-	-	120,000	MF00041646	MF00041646	
2/6/1989	CHECK	25,000	25,000	-	-	-	145,000	MF00041646	MF00041646	
2/6/1989	CHECK	55,000	55,000	-	-	-	200,000	MF00041646	MF00041646	
4/4/1995	CHECK	(182,145)	-	(182,145)	-	-	17,855	MF00183618	MF00183618	STESAC0079850
4/4/1995	TRANS TO IKW11730 (JKW117)	(166,966) <sup>[1]</sup>	-	-	-	(7,014)	10,841	MF00183618	MF00183618	STESAC0079850
4/4/1995	TRANS TO IKW11830 (JKW118)	(182,145) <sup>[1]</sup>	-	-	-	(7,652)	3,188	MF00183618	MF00183618	STESAC0079850
4/28/1995	TRANS TO IKW08630 (JKW086)	(75,894) <sup>[1]</sup>	-	-	-	(3,188)	-	MF00183618	MF00183618	STESAC0079850
4/28/1995	CHECK	(1,292)	-	(1,292)	-	-	(1,292)	MF00183618	MF00183618	STESAC0079851
4/28/1995	TRANS TO IKW08630 (JKW086)	(539) <sup>[2]</sup>	-	-	-	-	(1,292)	MF00183618	MF00183618	STESAC0079851
4/28/1995	TRANS TO IKW11830 (JKW118)	(1,292) <sup>[2]</sup>	-	-	-	-	(1,292)	MF00183618	MF00183618	STESAC0079851
4/28/1995	TRANS TO IKW11730 (JKW117)	(1,185) <sup>[2]</sup>	-	-	-	-	(1,292)	MF00183618	MF00183618	STESAC0079851
5/11/1995	TRANS TO IKW08630 (JKW086)	(129) <sup>[2]</sup>	-	-	-	-	(1,292)	MF00190677	MF00190677	STESAC0080534
5/11/1995	TRANS TO IKW11830 (JKW118)	(310) <sup>[2]</sup>	-	-	-	-	(1,292)	MF00190677	MF00190677	STESAC0080534
5/12/1995	TRANS TO IKW11730 (JKW117)	(285) <sup>[2]</sup>	-	-	-	-	(1,603)	MF00190677	MF00190677	STESAC0080534
5/12/1995	CHECK	(310)	-	(310)	-	-	(1,603)	MF00190677	MF00190677	STESAC0080534
	<b>Total:</b>		<b>\$ 200,000</b>	<b>\$ (183,748)</b>	<b>\$ -</b>	<b>\$ (17,855)</b>	<b>\$ (1,603)</b>			

<sup>[1]</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>[2]</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[3]</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW083 - IRIS KATZ & SAUL KATZ FAMILY FOUNDATION

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
5/28/1992	CHECK	37,000	37,000	-	-	-	37,000	MF00463639	MF00463639	STESAC0102293
6/16/1992	TRANS FROM IKW01530 (IKW015)	205,831 [1]	-	-	100,000	-	137,000	MF00461549	MF00461549	STESAC0003810
3/19/1993	CHECK	16,000	16,000	-	-	-	153,000	MF00428004	MF00428005	STESAC0003810
3/7/1994	TRANS FROM IKW01530 (IKW015)	333 [2]	-	-	-	-	153,000	MF00294006	MF00294007	STESAC0003810
5/25/1994	CHECK	40,000	40,000	-	-	-	193,000	MF00275676	MF00275677	STESAC0003810
6/17/1994	TRANSFER FROM IKW01730 (IKW)	203,668 [1]	-	-	125,673	-	318,673	MF00234834	MF00234836	STESAC0003810
6/20/1994	CHECK	(25,000)	-	(25,000)	-	-	293,673	MF00234836	MF00234836	STESAC0003810
10/31/1994	TRANS FROM IKW01730 (IKW017)	712 [2]	-	-	-	-	293,673	MF00250988	MF00250990	STESAC0003810
11/22/1996	CHECK	(70,000)	-	(70,000)	-	-	223,673	MDPTPP03851661	MDPTPP03851663	STESAD0003810
6/4/1997	CHECK	(25,000)	-	(25,000)	-	-	198,673	MDPTPP03851700	MDPTPP03851705	STESAD0003810
8/20/1997	TRANS TO IKW00230 (IKW002)	(5,000)	-	-	-	(5,000)	193,673	MDPTPP03851712	MDPTPP03851717	STESAD00041654
8/20/1997	TRANS TO IKW02730 (IKW027)	(50,000)	-	-	-	(50,000)	143,673	MDPTPP03851712	MDPTPP03851717	STESAD00041654
10/21/1997	CHECK	9,781	9,781	-	-	-	153,454	MDPTPP03851724	MDPTPP03851727	STESAD00043736
6/17/1998	TRANS TO IKW02730 (IKW027)	(50,000)	-	-	-	(50,000)	103,454	MDPTPP03851773	MDPTPP03851776	STESAC00026244
10/30/1998	CHECK	(25,000)	-	(25,000)	-	-	78,454	MDPTPP03851797	MDPTPP03851801	STESAC00044258
4/14/1999	TRANS TO IKW25230 (IKW252)	(210,000) [3]	-	-	-	(78,454)	-	MDPTPP03851832	MDPTPP03851834	STESAC00060006
4/16/1999	CHECK	(40,000)	-	(40,000)	-	-	(40,000)	MDPTPP03851832	MDPTPP03851834	STESAC00060006
12/2/1999	CHECK	(200,000)	-	(200,000)	-	-	(240,000)	MDPTPP03851878	MDPTPP03851880	STESAC00060006
4/24/2000	CHECK	(50,000)	-	(50,000)	-	-	(290,000)	MDPTPP03851900	MDPTPP03851902	STESAC00060006
7/3/2000	CHECK	(70,000)	-	(70,000)	-	-	(360,000)	MDPTPP03851915	MDPTPP03851920	STESAF0065009
7/3/2000	CHECK	(11,000)	-	(11,000)	-	-	(371,000)	MDPTPP03851915	MDPTPP03851920	STESAF0065009
7/12/2000	CHECK	(10,000)	-	(10,000)	-	-	(381,000)	MDPTPP03851915	MDPTPP03851920	STESAF0065009
9/27/2000	CHECK	654,500	654,500	-	-	-	273,500	MDPTPP03851927	MDPTPP03851932	STESAF0067536
10/12/2000	CHECK	(5,000)	-	(5,000)	-	-	268,500	MDPTPP03851934	MDPTPP03851938	STESAF0067536
10/26/2000	CHECK	(250,000)	-	(250,000)	-	-	18,500	MDPTPP03851934	MDPTPP03851938	STESAF0067536
11/7/2000	CHECK	(2,000)	-	(2,000)	-	-	16,500	MDPTPP03851940	MDPTPP03851945	STESAF0067536
11/21/2000	CHECK	(37,560)	-	(37,560)	-	-	(21,060)	MDPTPP03851940	MDPTPP03851945	STESAF0067536
12/5/2000	CHECK	(1,000)	-	(1,000)	-	-	(22,060)	MDPTPP03851948	MDPTPP03851953	STESAF0067536
12/14/2000	CHECK	(4,000)	-	(4,000)	-	-	(26,060)	MDPTPP03851948	MDPTPP03851953	STESAF0067536
12/19/2000	CHECK	(10,000)	-	(10,000)	-	-	(36,060)	MDPTPP03851948	MDPTPP03851953	STESAF0067536
12/21/2000	CHECK	(10,000)	-	(10,000)	-	-	(46,060)	MDPTPP03851948	MDPTPP03851953	STESAF0067536
2/7/2001	CHECK	(10,000)	-	(10,000)	-	-	(56,060)	MDPTPP03851962	MDPTPP03851965	STESAF0067536
3/1/2001	CHECK	(20,000)	-	(20,000)	-	-	(76,060)	MDPTPP03851972	MDPTPP03851977	STESAF0067536
4/5/2001	CHECK	(10,000)	-	(10,000)	-	-	(86,060)	MDPTPP03851974	MDPTPP03851977	STESAF0067536
5/14/2001	CHECK	(50,000)	-	(50,000)	-	-	(136,060)	MDPTPP03851979	MDPTPP03851981	STESAF0067536
5/15/2001	CHECK	(20,000)	-	(20,000)	-	-	(156,060)	MDPTPP03851979	MDPTPP03851981	STESAF0067536
7/13/2001	CHECK	(10,000)	-	(10,000)	-	-	(166,060)	MDPTPP03851989	MDPTPP03851992	STESAF0067536
9/18/2001	CHECK	(15,000)	-	(15,000)	-	-	(181,060)	MDPTPP03852001	MDPTPP03852004	STESAF0067536
10/11/2001	CHECK	(10,000)	-	(10,000)	-	-	(191,060)	MDPTPP03852006	MDPTPP03852010	STESAF0067536
10/15/2001	CHECK	(10,000)	-	(10,000)	-	-	(201,060)	MDPTPP03852006	MDPTPP03852010	STESAF0067536
10/30/2001	CHECK	(10,000)	-	(10,000)	-	-	(211,060)	MDPTPP03852006	MDPTPP03852010	STESAF0067536
11/6/2001	CHECK	(20,000)	-	(20,000)	-	-	(231,060)	MDPTPP03852012	MDPTPP03852016	STESAF0067536
12/3/2001	CHECK	(250,000)	-	(250,000)	-	-	(481,060)	MDPTPP03852018	MDPTPP03852021	STESAF0067536
12/5/2001	CHECK	(25,000)	-	(25,000)	-	-	(506,060)	MDPTPP03852018	MDPTPP03852021	STESAF0067536
12/12/2001	CHECK	(65,000)	-	(65,000)	-	-	(571,060)	MDPTPP03852018	MDPTPP03852021	STESAF0067536
2/19/2002	CHECK	(10,000)	-	(10,000)	-	-	(581,060)	MDPTPP03852029	MDPTPP03852032	STESAF0067536



BLMIS ACCOUNT NO. IKW083 - IRIS KATZ & SAUL KATZ FAMILY FOUNDATION

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
3/22/2002	CHECK	(15,000)	-	(15,000)	-	-	(596,060)	MDPTPP03852034	MDPTPP03852039	STESAD0054987, STESAD0055003
4/17/2002	CHECK	(15,000)	-	(15,000)	-	-	(611,060)	MDPTPP03852042	MDPTPP03852047	STESAD0051938, STESAD0051952
5/1/2002	CHECK	(40,000)	-	(40,000)	-	-	(651,060)	MDPTPP03852049	MDPTPP03852054	STESAC0071992, STESAC0072006
6/20/2002	CHECK	(10,000)	-	(10,000)	-	-	(661,060)	MDPTPP03852056	MDPTPP03852059	STESAC0067852
7/18/2002	CHECK	(10,000)	-	(10,000)	-	-	(671,060)	MDPTPP03852061	MDPTPP03852066	STESAC0063612, STESAC0063636
8/22/2002	CHECK	(25,000)	-	(25,000)	-	-	(696,060)	MDPTPP03852068	MDPTPP03852072	STESAC0065083, STESAC0065095
9/25/2002	CHECK	(10,000)	-	(10,000)	-	-	(706,060)	MDPTPP03852074	MDPTPP03852076	STESAC0040055, STESAC0040063
11/12/2002	CHECK	(10,000)	-	(10,000)	-	-	(716,060)	MDPTPP03852085	MDPTPP03852088	STESAC0056036, STESAC0056046
11/15/2002	CHECK	(10,000)	-	(10,000)	-	-	(726,060)	MDPTPP03852085	MDPTPP03852088	STESAC0056036, STESAC0056046
11/29/2002	CHECK	(55,000)	-	(55,000)	-	-	(781,060)	MDPTPP03852085	MDPTPP03852088	STESAC0060040, STESAC0056050
12/11/2002	CHECK	(10,000)	-	(10,000)	-	-	(791,060)	MDPTPP03852090	MDPTPP03852093	STESAD0063743, STESAD0063753
12/26/2002	CHECK	(35,000)	-	(35,000)	-	-	(826,060)	MDPTPP03852090	MDPTPP03852093	STESAD0063743, STESAD0063753
1/13/2003	CHECK	120,000	120,000	-	-	-	(706,060)	MDPTPP03852095	MDPTPP03852100	STESAC0113409, STESAC0113423
1/16/2003	CHECK	(16,000)	-	(16,000)	-	-	(722,060)	MDPTPP03852095	MDPTPP03852100	STESAC0113413, STESAC0113427
1/24/2003	CHECK	(55,000)	-	(55,000)	-	-	(777,060)	MDPTPP03852095	MDPTPP03852100	STESAC0113413, STESAC0113427
4/9/2003	CHECK	(60,000)	-	(60,000)	-	-	(837,060)	MDPTPP03852116	MDPTPP03852118	STESAC0111544
5/12/2003	CHECK	(25,000)	-	(25,000)	-	-	(862,060)	MDPTPP03852120	MDPTPP03852125	STESAD0022505, STESAD0022519
5/27/2003	CHECK	(20,000)	-	(20,000)	-	-	(882,060)	MDPTPP03852120	MDPTPP03852125	STESAD0022509, STESAD0022523
6/25/2003	CHECK	(371,276)	-	(371,276)	-	-	(1,253,336)	MDPTPP03852127	MDPTPP03852130	STESAD0022523, STESAD0025379
3/15/2004	CHECK	(462)	-	(462)	-	-	(1,253,798)	MDPTPP03852152	MDPTPP03852153	
	<b>Total:</b>		<b>\$ 877,281</b>	<b>\$ (2,173,298)</b>	<b>\$ 225,673</b>	<b>\$ (183,454)</b>	<b>\$ (1,253,798)</b>			

<sup>[1]</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>[2]</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[3]</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>[4]</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW084 - COLLEGE PLACE ENTERPRISES PROFIT SHARING

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>al</sup>
6/1/1992	CHECK	25,000	25,000	-	-	-	25,000	MF00461550	MF00461550	
10/9/1992	CHECK	11,700	11,700	-	-	-	36,700	MF00453429	MF00453430	
2/16/1993	CHECK	21,391	21,391	-	-	-	58,091	MF00432747	MF00432747	STESAC0003134
4/21/1993	CHECK	14,514	14,514	-	-	-	72,605	MF00423140	MF00423141	STESAC0004043
4/18/1994	CHECK	11,151	11,151	-	-	-	83,756	MF00287671	MF00287672	
5/24/1994	CHECK	38,200	38,200	-	-	-	121,956	MF00275679	MF00275680	
12/15/1994	TRANS FROM IKW00330 (IKW003)	399,729 <sup>[1]</sup>	-	-	72,000	-	193,956	MF00228431	MF00228433	
1/12/1995	TRANS FROM IKW00330 (IKW003)	1,342 <sup>[2]</sup>	-	-	-	-	193,956	MF00223759	MF00223761	
4/6/1995	CHECK	13,001	13,001	-	-	-	206,957	MF00183625	MF00183628	STESAC0079862
7/25/1995	CHECK	15,600	15,600	-	-	-	222,557	MF00176088	MF00176089	STESAC0082297
10/23/1995	TRANS FROM IKW00330 (IKW003)	341 <sup>[2]</sup>	-	-	-	-	222,557	MF00117111	MF00117112	STESAC0084169
3/14/1996	CHECK	13,098	13,098	-	-	-	235,655	MDPTPP03853077	MDPTPP03853081	
3/14/1996	CHECK	2,902	2,902	-	-	-	238,557	MDPTPP03853077	MDPTPP03853081	
4/25/1997	CHECK	26,392	26,392	-	-	-	264,948	MDPTPP03853160	MDPTPP03853166	
8/20/1997	TRANS FROM IKW02530 (IKW025)	383,261 <sup>[1]</sup>	-	-	75,000	-	339,948	MDPTPP03853185	MDPTPP03853191	STESAD0038006
9/3/1997	CHECK	(6,293)	-	(6,293)	-	-	333,655	MDPTPP03853193	MDPTPP03853196	STESAD0041661
9/30/1997	TRANS FROM IKW02530 (IKW025)	295 <sup>[2]</sup>	-	-	-	-	333,655	MDPTPP03853193	MDPTPP03853196	STESAD0042568
11/14/1997	CHECK	(6,293)	-	(6,293)	-	-	327,361	MDPTPP03853203	MDPTPP03853207	STESAD0044891
4/13/1998	CHECK	19,600	19,600	-	-	-	346,961	MDPTPP03853234	MDPTPP03853237	STESAC0022805
12/23/1998	CHECK	(7,606)	-	(7,606)	-	-	339,356	MDPTPP03853283	MDPTPP03853286	STESAC0051834
4/5/1999	CHECK	15,273	15,273	-	-	-	354,629	MDPTPP03853306	MDPTPP03853308	STESAC0060022
8/9/1999	CHECK	(9,414)	-	(9,414)	-	-	345,214	MDPTPP03853328	MDPTPP03853333	STESAD0029776
4/20/2000	CHECK	16,321	16,321	-	-	-	361,535	MDPTPP03853375	MDPTPP03853377	STESAF0072732
7/12/2000	CHECK	(11,542)	-	(11,542)	-	-	349,993	MDPTPP03853390	MDPTPP03853394	STESAF0065037
3/23/2001	CHECK	21,584	21,584	-	-	-	371,577	MDPTPP03853440	MDPTPP03853445	
8/1/2001	CHECK	(13,333)	-	(13,333)	-	-	358,244	MDPTPP03853467	MDPTPP03853472	STESAD0051962
4/5/2002	CHECK	19,481	19,481	-	-	-	377,724	MDPTPP03853517	MDPTPP03853522	STESAC0067872
6/24/2002	CHECK	(7,183)	-	(7,183)	-	-	370,541	MDPTPP03853531	MDPTPP03853535	STESAC0108120
3/13/2003	CHECK	27,648	27,648	-	-	-	398,189	MDPTPP03853584	MDPTPP03853591	STESAD0067830
8/13/2003	CHECK	(7,818)	-	(7,818)	-	-	390,371	MDPTPP03853617	MDPTPP03853621	
6/3/2004	CHECK	31,051	31,051	-	-	-	421,422	MDPTPP03853678	MDPTPP03853685	
6/14/2004	CHECK	17,749	17,749	-	-	-	439,171	MDPTPP03853678	MDPTPP03853685	
6/24/2004	CHECK	(8,741)	-	(8,741)	-	-	430,430	MDPTPP03853678	MDPTPP03853685	
12/16/2004	CHECK	(14,308)	-	(14,308)	-	-	416,122	MDPTPP03853713	MDPTPP03853718	
4/21/2005	CHECK	15,000	15,000	-	-	-	431,122	MDPTPP03853738	MDPTPP03853740	STESAC0130429
5/18/2005	CHECK	14,467	14,467	-	-	-	445,589	MDPTPP03853742	MDPTPP03853746	STESAC0132191
8/8/2005	CHECK	(9,718)	-	(9,718)	-	-	435,871	MDPTPP03853758	MDPTPP03853759	STESAC0009381
9/28/2005	CHECK	(15,912)	-	(15,912)	-	-	419,959	MDPTPP03853761	MDPTPP03853767	
3/30/2006	CHECK	15,000	15,000	-	-	-	434,959	MDPTPP03853800	MDPTPP03853806	
5/11/2006	CHECK	17,793	17,793	-	-	-	452,752	MDPTPP03853816	MDPTPP03853821	STESAD0109724
5/22/2006	CHECK	(10,739)	-	(10,739)	-	-	442,013	MDPTPP03853816	MDPTPP03853821	STESAD0109726
9/14/2006	CHECK	(17,613)	-	(17,613)	-	-	424,400	MDPTPP03853843	MDPTPP03853847	
9/28/2006	STOP PAYMENT	17,613	-	17,613	-	-	442,013	MDPTPP03853843	MDPTPP03853847	
9/29/2006	CHECK	(17,613)	-	(17,613)	-	-	424,400	MDPTPP03853843	MDPTPP03853847	

BLMIS ACCOUNT NO. IKW084 - COLLEGE PLACE ENTERPRISES PROFIT SHARING

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
4/3/2007	CHECK	15,000	15,000	-	-	-	439,400	MDFTPP03853885	MDFTPP03853890	
6/26/2007	CHECK	10,679	10,679	-	-	-	450,079	MDFTPP03853897	MDFTPP03853902	STESAF0054883
8/1/2007	CHECK	(32,173)	-	(32,173)	-	-	417,907	MDFTPP03853908	MDFTPP03853909	STESAF0031596
10/19/2007	CHECK	27,004	27,004	-	-	-	444,911	MDFTPP03853918	MDFTPP03853919	
8/26/2008	CHECK	(179,200)	-	(179,200)	-	-	265,711	MDFTPP03853959	MDFTPP03853964	
11/6/2008	CHECK	(5,757,986)	-	(5,757,986)	-	-	(5,492,275)	MDFTPP03853975	MDFTPP03853976	STESAF0019346
	<b>Total:</b>		<b>\$ 476,598</b>	<b>\$ (6,115,873)</b>	<b>\$ 147,000</b>	<b>\$ -</b>	<b>\$ (5,492,275)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW086 - JUDY WILPON & FRED WILPON FAM FDN INC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Dates	BLMIS SOURCE DOCUMENT: End Dates	DEFENDANTS' PRODUCTION Bates Ref <sup>al</sup>
6/16/1992	TRANS FROM 1KW01530 (1KW015)	102,916 <sup>[1]</sup>	-	-	50,000	-	50,000	MF00461552	MF00461552	
3/7/1994	TRANS FROM 1KW01530 (1KW015)	167 <sup>[2]</sup>	-	-	-	-	50,000	MF00294015	MF00294016	
4/4/1995	TRANS FROM 1KW08230 (1KW082)	75,894 <sup>[1]</sup>	-	-	3,188	-	53,189	MF00183636	MF00183639	STESAC0079878
4/4/1995	TRANS FROM 1KW07430 (1KW074)	85,980 <sup>[2]</sup>	-	-	-	-	53,189	MF00183636	MF00183639	STESAC0079878
4/28/1995	TRANS TO 1KW07430 (1KW074)	625 <sup>[2]</sup>	-	-	-	-	53,189	MF00183636	MF00183639	STESAC0079881
4/28/1995	TRANS FROM 1KW08230 (1KW082)	539 <sup>[2]</sup>	-	-	-	-	53,189	MF00183636	MF00183639	STESAC0079881
5/11/1995	TRANS FROM 1KW07430 (1KW074)	155 <sup>[2]</sup>	-	-	-	-	53,189	MF00190688	MF00190689	STESAC0080552
5/11/1995	TRANS FROM 1KW08230 (1KW082)	129 <sup>[2]</sup>	-	-	-	-	53,189	MF00190688	MF00190689	STESAC0080552
6/19/1997	CHECK	(34,000)	-	(34,000)	-	-	19,189	MDPTPP03854387	MDPTPP03854392	STESAD0039663
10/3/2000	CHECK	1,001,000	1,001,000	-	-	-	1,020,189	MDPTPP03854618	MDPTPP03854622	STESAC0094568
3/21/2001	CHECK	(25,000)	-	(25,000)	-	-	995,189	MDPTPP03854650	MDPTPP03854655	STESAF0110639
5/14/2001	CHECK	(27,500)	-	(27,500)	-	-	967,689	MDPTPP03854662	MDPTPP03854664	
6/15/2001	CHECK	(25,000)	-	(25,000)	-	-	942,689	MDPTPP03854666	MDPTPP03854670	
8/9/2001	CHECK	(40,000)	-	(40,000)	-	-	902,689	MDPTPP03854677	MDPTPP03854682	
8/13/2001	CHECK	(100,000)	-	(100,000)	-	-	802,689	MDPTPP03854677	MDPTPP03854682	
9/20/2001	CHECK	(40,000)	-	(40,000)	-	-	762,689	MDPTPP03854684	MDPTPP03854687	
10/25/2001	CHECK	(40,000)	-	(40,000)	-	-	722,689	MDPTPP03854689	MDPTPP03854693	
11/16/2001	CHECK	(50,000)	-	(50,000)	-	-	672,689	MDPTPP03854695	MDPTPP03854703	STESAC0032518
12/5/2001	CHECK	(40,000)	-	(40,000)	-	-	632,689	MDPTPP03854701	MDPTPP03854703	STESAD0075751
12/14/2001	CHECK	(50,000)	-	(50,000)	-	-	582,689	MDPTPP03854701	MDPTPP03854703	STESAD0078090
2/28/2002	CHECK	(50,000)	-	(50,000)	-	-	532,689	MDPTPP03854711	MDPTPP03854715	STESAD0049465
3/20/2002	CHECK	(50,000)	-	(50,000)	-	-	482,689	MDPTPP03854717	MDPTPP03854722	STESAD0055035
5/1/2002	CHECK	(30,000)	-	(30,000)	-	-	452,689	MDPTPP03854732	MDPTPP03854737	STESAC0072034
6/5/2002	CHECK	(50,000)	-	(50,000)	-	-	402,689	MDPTPP03854739	MDPTPP03854743	STESAC0067880
7/24/2002	CHECK	(90,000)	-	(90,000)	-	-	312,689	MDPTPP03854745	MDPTPP03854750	STESAC0063654
10/9/2002	CHECK	(50,000)	-	(50,000)	-	-	262,689	MDPTPP03854762	MDPTPP03854767	STESAC0037241
11/13/2002	CHECK	(50,000)	-	(50,000)	-	-	212,689	MDPTPP03854769	MDPTPP03854772	STESAC0036066
1/24/2002	CHECK	(50,000)	-	(50,000)	-	-	162,689	MDPTPP03854774	MDPTPP03854777	STESAD0063763
1/8/2003	CHECK	(40,000)	-	(40,000)	-	-	122,689	MDPTPP03854779	MDPTPP03854784	STESAC0013451
2/14/2003	CHECK	(70,000)	-	(70,000)	-	-	52,689	MDPTPP03854786	MDPTPP03854789	STESAC0116899
2/26/2003	CHECK	(30,000)	-	(30,000)	-	-	22,689	MDPTPP03854786	MDPTPP03854789	STESAC00116903
4/4/2003	CHECK	(35,000)	-	(35,000)	-	-	(12,311)	MDPTPP03854799	MDPTPP03854801	STESAC0011568
4/25/2003	CHECK	(40,000)	-	(40,000)	-	-	(52,311)	MDPTPP03854799	MDPTPP03854801	STESAC0011570
7/14/2003	CHECK	(80,000)	-	(80,000)	-	-	(132,311)	MDPTPP03854815	MDPTPP03854820	STESAC0090668
8/20/2003	CHECK	(50,000)	-	(50,000)	-	-	(182,311)	MDPTPP03854823	MDPTPP03854827	STESAD0067846
9/10/2003	TRANS FROM 1KW01630 (1KW016)	10,000	-	-	10,000	-	(172,311)	MDPTPP03854829	MDPTPP03854834	STESAD0070521
10/9/2003	CHECK	(40,000)	-	(40,000)	-	-	(212,311)	MDPTPP03854836	MDPTPP03854841	STESAD0000486
12/2/2003	CHECK	(60,000)	-	(60,000)	-	-	(272,311)	MDPTPP03854848	MDPTPP03854851	
2/24/2004	CHECK	(60,000)	-	(60,000)	-	-	(332,311)	MDPTPP03854860	MDPTPP03854864	
3/19/2004	CHECK	(40,000)	-	(40,000)	-	-	(372,311)	MDPTPP03854866	MDPTPP03854869	
3/23/2004	CHECK	(45,000)	-	(45,000)	-	-	(417,311)	MDPTPP03854866	MDPTPP03854869	
4/16/2004	CHECK	(40,000)	-	(40,000)	-	-	(457,311)	MDPTPP03854871	MDPTPP03854877	
4/23/2004	CHECK	(40,000)	-	(40,000)	-	-	(497,311)	MDPTPP03854871	MDPTPP03854877	
7/8/2004	CHECK	(30,000)	-	(30,000)	-	-	(527,311)	MDPTPP03854894	MDPTPP03854896	
9/29/2004	CHECK	(40,000)	-	(40,000)	-	-	(567,311)	MDPTPP03854905	MDPTPP03854909	
10/22/2004	CHECK	(40,000)	-	(40,000)	-	-	(607,311)	MDPTPP03854911	MDPTPP03854913	
11/19/2004	CHECK	(50,000)	-	(50,000)	-	-	(657,311)	MDPTPP03854915	MDPTPP03854920	
1/6/2005	TRANS FROM 1KW01630 (1KW016)	688,702 <sup>[1]</sup>	-	-	121,131	-	(536,180)	MDPTPP03854928	MDPTPP03854933	STESAC0015884
2/4/2005	CHECK	(60,000)	-	(60,000)	-	-	(596,180)	MDPTPP03854935	MDPTPP03854939	STESAC0013213
2/14/2005	CHECK	(70,000)	-	(70,000)	-	-	(666,180)	MDPTPP03854935	MDPTPP03854939	STESAC0013213
3/3/2005	CHECK	(70,000)	-	(70,000)	-	-	(736,180)	MDPTPP03854941	MDPTPP03854945	STESAC0010819
6/21/2005	CHECK	(300,000)	-	(300,000)	-	-	(1,036,180)	MDPTPP03854957	MDPTPP03854961	STESAC0005247
7/21/2005	CHECK	(40,000)	-	(40,000)	-	-	(1,076,180)	MDPTPP03854963	MDPTPP03854965	STESAC0007739
7/27/2005	CHECK	(35,000)	-	(35,000)	-	-	(1,111,180)	MDPTPP03854963	MDPTPP03854965	STESAC0007739
9/21/2005	CHECK	(50,000)	-	(50,000)	-	-	(1,161,180)	MDPTPP03854970	MDPTPP03854976	

BLMIS ACCOUNT NO. 1KW086 - JUDY WILPON & FRED WILPON FAM FDN INC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>3)</sup>
10/21/2005	CHECK	(75,000)	-	(75,000)	-	-	(1,236,180)	MDFTPP03854978	MDFTPP03854983	STESAC0127495
11/21/2005	CHECK	(75,000)	-	(75,000)	-	-	(1,311,180)	MDFTPP03854985	MDFTPP03854988	STESAC0089499
12/20/2005	CHECK	(300,000)	-	(300,000)	-	-	(1,611,180)	MDFTPP03854990	MDFTPP03854993	STESAC0087079
12/28/2005	CHECK	(50,000)	-	(50,000)	-	-	(1,661,180)	MDFTPP03854990	MDFTPP03854993	STESAC0087079
1/18/2006	CHECK	(400,000)	-	(400,000)	-	-	(2,061,180)	MDFTPP03854995	MDFTPP03854999	STESAD0009607
2/15/2007	CHECK	100,000	100,000	-	-	-	(1,961,180)	MDFTPP03855039	MDFTPP03855040	
3/29/2007	CHECK	(50,000)	-	(50,000)	-	-	(2,011,180)	MDFTPP03855041	MDFTPP03855043	
	<b>Total:</b>		<b>\$ 1,101,000</b>	<b>\$ (3,296,500)</b>	<b>\$ 184,320</b>	<b>\$ -</b>	<b>\$ (2,011,180)</b>			

<sup>1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>2)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW089 - SAUL B KATZ S B C/O STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
7/29/1992	CHECK WIEW	550,000	550,000	-	-	-	550,000	MF00459639	MF00459639	
11/2/1992	CHECK WIRE	1,000,000	1,000,000	-	-	-	1,550,000	MF00451072	MF00451072	
1/29/1993	CHECK	675,672	675,672	-	-	-	2,225,672	MF00438244	MF00438244	STESAC0002894
2/11/1993	CHECK	34,267	34,267	-	-	-	2,259,939	MF00432756	MF00432757	STESAC0003345
2/24/1993	CHECK	48,347	48,347	-	-	-	2,308,286	MF00432756	MF00432757	STESAC0003346
3/1/1993	CHECK	150,000	150,000	-	-	-	2,458,286	MF00428016	MF00428017	STESAC0003613
8/18/1993	CHECK	150,000	150,000	-	-	-	2,608,286	MF00383987	MF00383988	
9/23/1993	CHECK	(1,260,000)	-	(1,260,000)	-	-	1,348,286	MF00376997	MF00376999	
11/2/1993	CHECK	(1,500,000)	-	(1,500,000)	-	-	(151,714)	MF00373876	MF00373877	STESAF0092478
5/13/1996	TRANS TO IKW02430 (IKW024)	(483,886) <sup>[1]</sup>	-	-	-	-	(151,714)	MDPTPP03858174	MDPTPP03858177	
7/23/1996	TRANS TO IKW06730 (IKW067)	(974) <sup>[1]</sup>	-	-	-	-	(151,714)	MDPTPP03858181	MDPTPP03858182	
	<b>Total:</b>		<b>\$ 2,608,286</b>	<b>\$ (2,760,000)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (151,714)</b>			

<sup>[1]</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[2]</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW096 - PHILIP H WACHTLER AND ROBIN WILPON WACHTLER J/T WR05

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Dates	BLMIS SOURCE DOCUMENT: End Dates	DEFENDANTS' PRODUCTION Bates Ref <sup>41</sup>
12/23/1992	CHECK	125,000	125,000	-	-	-	125,000	MF00444912	MF00444912	STESAC0002751
5/12/1993	CHECK	(20,000)	-	(20,000)	-	-	105,000	MF00418743	MF00418743	
1/26/1994	CHECK	(20,000)	-	(20,000)	-	-	85,000	MF00314625	MF00314626	
10/31/1994	TRANS FROM IKW03830 (IKW038)	418 <sup>(1)</sup>	-	-	-	-	85,000	MF00251036	MF00251038	
10/31/1994	TRANS FROM IKW11730 (IKW117)	659 <sup>(1)</sup>	-	-	-	-	85,000	MF00251036	MF00251038	
10/31/1994	TRANS FROM IKW05030 (IKW050)	214 <sup>(1)</sup>	-	-	-	-	85,000	MF00251036	MF00251038	
3/10/1995	CHECK	(20,000)	-	(20,000)	-	-	65,000	MF00181484	MF00181485	STESAC00079208
2/12/1996	TRANS FROM IKW05030 (IKW050)	24,445 <sup>(2)</sup>	-	-	4,999	-	69,999	MDPTPP03864069	MDPTPP03864075	
2/12/1996	TRANS FROM IKW05030 (IKW050)	24,445 <sup>(2)</sup>	-	-	4,999	-	74,999	MDPTPP03864104	MDPTPP03864109	
7/23/1996	TRANS FROM IKW05030 (IKW050)	21 <sup>(1)</sup>	-	-	-	-	74,999	MDPTPP03864104	MDPTPP03864109	
1/3/1997	CHECK	(10,000)	-	(10,000)	-	-	64,999	MDPTPP03864140	MDPTPP03864144	STESAC00059393
6/2/1997	CHECK	(29,000)	-	(29,000)	-	-	35,999	MDPTPP03864171	MDPTPP03864176	STESAD00039747
6/9/1997	STOP PAYMENT	29,000	-	-	-	-	64,999	MDPTPP03864176	MDPTPP03864176	STESAD00039747
6/10/1997	CHECK	(29,000)	-	(29,000)	-	-	35,999	MDPTPP03864171	MDPTPP03864176	STESAD00039747
6/26/1997	CANCEL CHECK	29,000	29,000	-	-	-	64,999	MDPTPP03864171	MDPTPP03864176	STESAD00039751
7/1/1997	CXL C&S 6/26/97	(29,000)	(29,000)	-	-	-	35,999	MDPTPP03864178	MDPTPP03864181	STESAD00041034
1/16/1998	TRANS FROM IKW11730 (IKW117)	374 <sup>(1)</sup>	-	-	-	-	35,999	MDPTPP03864211	MDPTPP03864215	STESAC00027877
9/16/1998	CHECK	(15,000)	-	(15,000)	-	-	20,999	MDPTPP03864256	MDPTPP03864262	STESAC00042065
7/6/1999	CHECK	125,000	125,000	-	-	-	145,999	MDPTPP03864316	MDPTPP03864319	STESAD00028016
10/28/1999	TRANS FROM IKW15630 (IKW156)	36,000	-	-	36,000	-	181,999	MDPTPP03864332	MDPTPP03864336	STESAD00035451
1/1/1999	TRANS FROM IKW18030 (IKW180)	61,797 <sup>(1)</sup>	-	-	-	-	181,999	MDPTPP03864338	MDPTPP03864343	STESAD00036137
6/8/2000	TRANS FROM IKW16130 (IKW161)	12,000 <sup>(1)</sup>	-	-	-	-	181,999	MDPTPP03864378	MDPTPP03864380	
6/8/2000	TRANS FROM IKW15630 (IKW156)	(27,000)	-	(27,000)	12,000	-	193,999	MDPTPP03864378	MDPTPP03864380	
6/21/2000	CHECK	(41,000)	-	(41,000)	-	-	166,999	MDPTPP03864378	MDPTPP03864380	
9/1/2000	CHECK	(15,000)	-	(15,000)	-	-	125,999	MDPTPP03864393	MDPTPP03864398	
6/5/2001	CHECK	(25,000)	-	(25,000)	-	-	110,999	MDPTPP03864406	MDPTPP03864411	
4/30/2002	CHECK	(15,000)	-	(15,000)	-	-	85,999	MDPTPP03864506	MDPTPP03864511	
6/17/2002	CHECK	(15,000)	-	(15,000)	-	-	70,999	MDPTPP03864520	MDPTPP03864524	STESAF00067630
9/18/2002	TRANS FROM IKW36730 (IKW367)	12,000	-	-	12,000	-	82,999	MDPTPP03864539	MDPTPP03864541	STESAD00052054
9/20/2002	CHECK	(10,000)	-	(10,000)	-	-	70,999	MDPTPP03864539	MDPTPP03864541	STESAC00040123
11/19/2002	CHECK	(10,000)	-	(10,000)	-	-	60,999	MDPTPP03864549	MDPTPP03864552	STESAC00040123
1/16/2003	CHECK	(11,500)	-	(11,500)	-	-	49,499	MDPTPP03864558	MDPTPP03864563	STESAC0013525
5/8/2003	CHECK	(20,000)	-	(20,000)	-	-	29,499	MDPTPP03864582	MDPTPP03864587	STESAD00022611
9/10/2003	TRANS TO IKW40230 (IKW402)	(575,000) <sup>(3)</sup>	-	-	-	(29,499)	-	MDPTPP03864610	MDPTPP03864615	STESAD00070597
11/17/2003	CHECK	(18,032)	-	(18,032)	-	-	(18,032)	MDPTPP03864620	MDPTPP03864621	STESAD00030993
	<b>Total:</b>		\$ 250,000	\$ (308,532)	\$ 69,999	\$ (29,499)	\$ (18,032)			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(3)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(4)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BELMIS ACCOUNT NO. IKW098 - FRED WILPON ET AL TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
2/16/1993	TRANS FROM IKW04030 (IKW040)	13,000,000	-	-	13,000,000	-	13,000,000	MF00432779	MF00432780	STESAC0002763
5/3/1993	CHECK WIRE	(6,500,000)	-	(6,500,000)	-	-	6,500,000	MF00418751	MF00418753	
8/12/1993	CHECK	1,000,000	1,000,000	-	-	-	7,500,000	MF00384013	MF00384014	
9/13/1995	CHECK	(150,000)	-	(150,000)	-	-	7,350,000	MF00127408	MF00127412	STESAC0083564
9/13/1995	TRANS TO IKW00430 (IKW004)	(18,000)	-	-	-	(18,000)	7,332,000	MF00127408	MF00127412	STESAC0083564
9/13/1995	TRANS TO IKW06730 (IKW067)	(1,616,250)	-	-	-	(1,616,250)	5,715,750	MF00127408	MF00127412	STESAC0083564
9/13/1995	TRANS TO IKW05230 (IKW052)	(143,625)	-	-	-	(143,625)	5,572,125	MF00127408	MF00127412	STESAC0083564
9/13/1995	TRANS TO IKW02430 (IKW024)	(1,205,625)	-	-	-	(1,205,625)	4,366,500	MF00127408	MF00127412	STESAC0083564
9/13/1995	TRANS TO IKW08130 (IKW081)	(287,250)	-	-	-	(287,250)	4,079,250	MF00127408	MF00127412	STESAC0083564
9/13/1995	TRANS TO IKW02030 (IKW020)	(215,250)	-	-	-	(215,250)	3,864,000	MF00127408	MF00127412	STESAC0083564
9/13/1995	TRANS TO IKW04430 (IKW044)	(76,500)	-	-	-	(76,500)	3,787,500	MF00127408	MF00127412	STESAC0083564
9/13/1995	TRANS TO IKW07630 (IKW076)	(37,500)	-	-	-	(37,500)	3,750,000	MF00127408	MF00127412	STESAC0083564
9/29/1995	CHECK WIRE	1,264,000	1,264,000	-	-	-	5,014,000	MF00127408	MF00127412	STESAC0083568
9/29/1995	CHECK WIRE	140,000	140,000	-	-	-	5,154,000	MF00127408	MF00127412	STESAC0083568
9/29/1995	CHECK WIRE	1,096,000	1,096,000	-	-	-	6,250,000	MF00127408	MF00127412	STESAC0083568
9/29/1995	CHECK WIRE	(2,500,000)	-	(2,500,000)	-	-	3,750,000	MF00127408	MF00127412	STESAC0083568
1/3/1996	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	1,750,000	MDPTPP03866642	MDPTPP03866646	
2/8/1996	CHECK	800,000	800,000	-	-	-	2,550,000	MDPTPP03866648	MDPTPP03866654	
5/3/1996	CHECK WIRE	(800,000)	-	(800,000)	-	-	1,750,000	MDPTPP03866672	MDPTPP03866680	
5/13/1996	TRANS TO IKW16830 (IKW168)	(112,000)	-	-	-	(70,000)	1,680,000	MDPTPP03866672	MDPTPP03866680	
5/13/1996	TRANS TO IKW06730 (IKW067)	(1,206,800)	-	-	-	(754,250)	925,750	MDPTPP03866672	MDPTPP03866680	
5/13/1996	TRANS TO IKW01930 (IKW019)	(160,720)	-	-	-	(100,450)	825,300	MDPTPP03866672	MDPTPP03866680	
5/13/1996	TRANS TO IKW05230 (IKW052)	(107,240)	-	-	-	(67,025)	758,275	MDPTPP03866672	MDPTPP03866680	
5/13/1996	TRANS TO IKW04430 (IKW044)	(57,120)	-	-	-	(35,700)	722,575	MDPTPP03866672	MDPTPP03866680	
5/13/1996	TRANS TO IKW07630 (IKW076)	(28,000)	-	-	-	(17,500)	705,075	MDPTPP03866672	MDPTPP03866680	
5/13/1996	TRANS TO IKW08130 (IKW081)	(214,480)	-	-	-	(134,050)	571,025	MDPTPP03866672	MDPTPP03866680	
5/13/1996	TRANS TO IKW00430 (IKW004)	(13,440)	-	-	-	(8,400)	562,625	MDPTPP03866672	MDPTPP03866680	
5/13/1996	TRANS TO IKW02430 (IKW024)	(900,200)	-	-	-	(562,625)	-	MDPTPP03866672	MDPTPP03866680	
5/28/1996	CHECK	500,000	500,000	-	-	-	500,000	MDPTPP03866672	MDPTPP03866680	
6/10/1996	CHECK WIRE	(400,000)	-	(400,000)	-	-	100,000	MDPTPP03866672	MDPTPP03866680	
7/1/1996	CHECK WIRE	(2,200,000)	-	(2,200,000)	-	-	(2,100,000)	MDPTPP03866690	MDPTPP03866693	
9/27/1996	CHECK WIRE	(900,000)	-	(900,000)	-	-	(3,000,000)	MDPTPP03866701	MDPTPP03866704	
10/21/1996	CHECK WIRE	(700,000)	-	(700,000)	-	-	(3,700,000)	MDPTPP03866706	MDPTPP03866710	
12/12/1996	CHECK	(186,100)	-	(186,100)	-	-	(3,886,100)	MDPTPP03866717	MDPTPP03866720	
3/14/1997	CHECK	(475)	-	(475)	-	-	(3,886,575)	MDPTPP03866726	MDPTPP03866727	STESAC0047898
1/4/2000	CHECK	40,000	40,000	-	-	-	(3,846,575)	MDPTPP03866728	MDPTPP03866728	
1/11/2000	CANCEL CHECK	(40,000)	(40,000)	-	-	-	(3,886,575)	MDPTPP03866728	MDPTPP03866728	
	<b>Total:</b>		<b>\$ 4,800,000</b>	<b>\$ (16,336,575)</b>	<b>\$ 13,000,000</b>	<b>\$ (5,350,000)</b>	<b>\$ (3,886,575)</b>			

<sup>[1]</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>[2]</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.



BLMIS ACCOUNT NO. IKW108 - GREGORY KATZ

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>(a)</sup>
1/6/1994	TRANS FROM IKW04130 (IKW041)	23,577 (1)	-	-	16,372	-	16,372	MF00314663	MF00314664	
1/6/1994	TRANS FROM IKW10530 (IKW105)	46,244 (1)	-	-	34,667	-	51,039	MF00314663	MF00314664	
1/6/1994	TRANS FROM IKW06330 (IKW063)	10,245 (1)	-	-	5,625	-	56,664	MF00314663	MF00314664	
1/24/1994	CHECK	15,000	15,000	-	-	-	71,664	MF00314663	MF00314664	
1/28/1994	TRANS FROM IKW10530 (IKW105)	0 (2)	-	-	-	-	71,664	MF00314663	MF00314664	
10/31/1994	TRANS FROM IKW06330 (IKW063)	8 (2)	-	-	-	-	71,664	MF00251082	MF00251084	STESAF00088505
1/25/1995	CHECK	13,000	13,000	-	-	-	84,664	MF00223847	MF00223849	
4/4/1995	TRANS FROM IKW03730 (IKW037)	19,085 (1)	-	-	1,984	-	86,647	MF00183736	MF00183739	STESAC00080039
4/4/1995	TRANS TO IKW07230 (IKW072)	29,925 (2)	-	-	-	-	86,647	MF00183736	MF00183739	STESAC00080039
4/28/1995	TRANS FROM IKW03730 (IKW037)	325 (2)	-	-	-	-	86,647	MF00183736	MF00183739	STESAC00080042
4/28/1995	TRANS FROM IKW07230 (IKW072)	215 (2)	-	-	-	-	86,647	MF00183736	MF00183739	STESAC00080042
5/11/1995	TRANS FROM IKW07230 (IKW072)	53 (2)	-	-	-	-	86,647	MF00190750	MF00190750	STESAC00080656
5/11/1995	TRANS FROM IKW03730 (IKW037)	35 (2)	-	-	-	-	86,647	MF00190750	MF00190750	STESAC00080656
10/23/1995	TRANS FROM IKW03730 (IKW037)	23 (2)	-	-	-	-	86,647	MF00117175	MF00117176	STESAC00084274
1/29/1996	CHECK	12,000	12,000	-	-	-	98,647	MDPTPP03872676	MDPTPP03872680	
2/12/1996	TRANS FROM IKW05030 (IKW050)	48,889 (1)	-	-	9,999	-	108,646	MDPTPP03872682	MDPTPP03872687	
7/23/1996	TRANS FROM IKW05030 (IKW050)	42 (2)	-	-	-	-	108,646	MDPTPP03872716	MDPTPP03872719	
1/21/1997	CHECK	15,000	15,000	-	-	-	123,646	MDPTPP03872749	MDPTPP03872753	STESAC00059433
12/23/1997	CHECK	(7,000)	-	(7,000)	-	-	116,646	MDPTPP03872815	MDPTPP03872818	
1/14/1998	CHECK	(10,000)	-	(10,000)	-	-	106,646	MDPTPP03872820	MDPTPP03872824	STESAC0027949
1/16/1998	CHECK	12,000	12,000	-	-	-	118,646	MDPTPP03872820	MDPTPP03872824	STESAC0027949
1/27/1998	CHECK	(84,000)	-	(84,000)	-	-	34,646	MDPTPP03872820	MDPTPP03872824	STESAC0027953
2/27/1998	CHECK	(84,000)	-	(84,000)	-	-	(49,354)	MDPTPP03872826	MDPTPP03872830	STESAC0029442
4/16/1998	CHECK	(18,500)	-	(18,500)	-	-	(67,854)	MDPTPP03872838	MDPTPP03872841	STESAC0022923
6/12/1998	CHECK	(3,500)	-	(3,500)	-	-	(71,354)	MDPTPP03872852	MDPTPP03872855	STESAC0026382
8/11/1998	CHECK	(10,000)	-	(10,000)	-	-	(81,354)	MDPTPP03872862	MDPTPP03872866	STESAC0050269
10/8/1998	CHECK	(25,000)	-	(25,000)	-	-	(106,354)	MDPTPP03872876	MDPTPP03872880	STESAC0044400
10/21/1998	CHECK	15,000	15,000	-	-	-	(91,354)	MDPTPP03872876	MDPTPP03872880	STESAC0044406
12/22/1998	CHECK	8,000	8,000	-	-	-	(83,354)	MDPTPP03872888	MDPTPP03872891	STESAC0051944
12/29/1998	CHECK	20,000	20,000	-	-	-	(63,354)	MDPTPP03872888	MDPTPP03872891	STESAC0051944
12/31/1998	CHECK	(1,000)	-	(1,000)	-	-	(64,354)	MDPTPP03872888	MDPTPP03872891	STESAC0051946
1/13/1999	CHECK	(2,500)	-	(2,500)	-	-	(66,854)	MDPTPP03872893	MDPTPP03872897	STESAC0053599
1/19/1999	CHECK	5,000	5,000	-	-	-	(61,854)	MDPTPP03872893	MDPTPP03872897	STESAC0053599
1/19/1999	CHECK	12,000	12,000	-	-	-	(49,854)	MDPTPP03872893	MDPTPP03872897	STESAC0053599
2/19/1999	TRANS TO IKW10930 (IKW109)	(12,000) (3)	-	-	-	-	(49,854)	MDPTPP03872899	MDPTPP03872902	STESAC005456
4/13/1999	CHECK	(6,500)	-	(6,500)	-	-	(56,354)	MDPTPP03872911	MDPTPP03872913	STESAC0060110
5/14/1999	CHECK	(1,000)	-	(1,000)	-	-	(57,354)	MDPTPP03872915	MDPTPP03872919	STESAC0061601
6/14/1999	CHECK	(4,000)	-	(4,000)	-	-	(61,354)	MDPTPP03872921	MDPTPP03872926	
8/5/1999	CHECK	(1,000)	-	(1,000)	-	-	(62,354)	MDPTPP03872933	MDPTPP03872938	
9/1/1999	CHECK	20,000	20,000	-	-	-	(42,354)	MDPTPP03872940	MDPTPP03872943	STESAD0029912
9/20/1999	CHECK	(5,000)	-	(5,000)	-	-	(47,354)	MDPTPP03872940	MDPTPP03872943	STESAD0031991
9/24/1999	CHECK RETURNED	5,000	-	5,000	-	-	(42,354)	MDPTPP03872940	MDPTPP03872943	STESAD0031991
11/22/1999	CHECK	12,000	12,000	-	-	-	(30,354)	MDPTPP03872951	MDPTPP03872956	STESAD0034889
1/24/2000	CHECK	(20,000)	-	(20,000)	-	-	(50,354)	MDPTPP03872962	MDPTPP03872966	STESAF0075988
3/9/2000	CHECK	(15,000)	-	(15,000)	-	-	(65,354)	MDPTPP03872973	MDPTPP03872978	STESAF0070399
4/12/2000	CHECK	(7,000)	-	(7,000)	-	-	(72,354)	MDPTPP03872980	MDPTPP03872982	STESAF0072820
6/14/2000	CHECK	(6,000)	-	(6,000)	-	-	(78,354)	MDPTPP03872991	MDPTPP03872993	
6/15/2000	CHECK	6,500	6,500	-	-	-	(71,854)	MDPTPP03872991	MDPTPP03872993	
7/3/2000	CHECK	(5,000)	-	(5,000)	-	-	(76,854)	MDPTPP03872995	MDPTPP03872999	STESAF0065169
7/31/2000	CHECK	20,000	20,000	-	-	-	(56,854)	MDPTPP03872995	MDPTPP03872999	STESAF0065173
8/2/2000	CHECK	(3,000)	-	(3,000)	-	-	(59,854)	MDPTPP03873001	MDPTPP03873004	STESAF0063101
11/1/2000	CHECK	(21,750)	-	(21,750)	-	-	(81,604)	MDPTPP03873018	MDPTPP03873023	STESAC0096729
3/5/2001	CHECK	20,000	20,000	-	-	-	(61,604)	MDPTPP03873044	MDPTPP03873049	
4/12/2001	CHECK	(2,000)	-	(2,000)	-	-	(63,604)	MDPTPP03873051	MDPTPP03873054	
6/13/2001	CHECK	(6,000)	-	(6,000)	-	-	(69,604)	MDPTPP03873060	MDPTPP03873064	

BLMIS ACCOUNT NO. IKW108 - GREGORY KATZ

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>(5)</sup>
7/13/2001	CHECK	(10,000)	-	(10,000)	-	-	(79,604)	MDPTPP03873066	MDPTPP03873069	
8/1/2001	CHECK	(2,000)	-	(2,000)	-	-	(81,604)	MDPTPP03873071	MDPTPP03873076	
9/18/2001	CHECK	(2,500)	-	(2,500)	-	-	(84,104)	MDPTPP03873078	MDPTPP03873081	
10/3/2001	CHECK	(3,500)	-	(3,500)	-	-	(87,604)	MDPTPP03873083	MDPTPP03873087	STESAC0032636
12/7/2001	CHECK	(19,500)	-	(19,500)	-	-	(107,104)	MDPTPP03873095	MDPTPP03873097	STESAD0078172
1/15/2002	CHECK	(2,500)	-	(2,500)	-	-	(109,604)	MDPTPP03873099	MDPTPP03873104	STESAD0046800
1/23/2002	CHECK	40,000	40,000	-	-	-	(69,604)	MDPTPP03873109	MDPTPP03873104	STESAD0046800
1/23/2002	CHECK	4,000	4,000	-	-	-	(65,604)	MDPTPP03873109	MDPTPP03873104	STESAD0046800
4/1/2002	CHECK	100,000	100,000	-	-	-	34,396	MDPTPP03873119	MDPTPP03873124	STESAD0052116
12/16/2002	CHECK	22,000	22,000	-	-	-	56,396	MDPTPP03873166	MDPTPP03873168	STESAD0063853
1/16/2003	CHECK	(1,500)	-	(1,500)	-	-	54,896	MDPTPP03873170	MDPTPP03873175	STESAC013595
3/10/2003	CHECK	(10,000)	-	(10,000)	-	-	44,896	MDPTPP03873182	MDPTPP03873191	STESAC0108296
3/13/2003	CHECK	44,000	44,000	-	-	-	88,896	MDPTPP03873182	MDPTPP03873191	STESAC0108298
9/10/2003	TRANS TO IKW40230 (IKW402)	(450,000)	-	-	-	(88,896)	-	MDPTPP03873223	MDPTPP03873228	STESAD0070669
1/8/2004	CHECK	44,000	44,000	-	-	-	44,000	MDPTPP03873246	MDPTPP03873251	STESAD0063178
2/25/2004	CHECK	(43,000)	-	(43,000)	-	-	1,000	MDPTPP03873253	MDPTPP03873256	
4/15/2004	CHECK	(8,000)	-	(8,000)	-	-	(7,000)	MDPTPP03873262	MDPTPP03873267	
7/13/2004	CHECK	25,450	25,450	-	-	-	18,450	MDPTPP03873283	MDPTPP03873285	
9/20/2004	CHECK	(8,000)	-	(8,000)	-	-	10,450	MDPTPP03873294	MDPTPP03873298	
10/18/2004	CHECK	5,000	5,000	-	-	-	15,450	MDPTPP03873300	MDPTPP03873302	
12/14/2004	CHECK	(100,000)	-	(100,000)	-	-	(84,550)	MDPTPP03873311	MDPTPP03873315	
1/18/2005	CHECK	(10,500)	-	(10,500)	-	-	(95,050)	MDPTPP03873317	MDPTPP03873319	
3/23/2005	CHECK	24,000	24,000	-	-	-	(71,050)	MDPTPP03873322	MDPTPP03873323	STESAC0010927
3/24/2005	CHECK	40,000	40,000	-	-	-	(31,050)	MDPTPP03873322	MDPTPP03873323	STESAC0010927
4/11/2005	CHECK	(38,000)	-	(38,000)	-	-	(69,050)	MDPTPP03873324	MDPTPP03873325	STESAC0130507
12/19/2005	CHECK	47,000	47,000	-	-	-	(22,050)	MDPTPP03873364	MDPTPP03873369	STESAC0087206
3/22/2006	CHECK	22,000	22,000	-	-	(50)	(50)	MDPTPP03873383	MDPTPP03873389	
4/3/2006	CHECK	24,000	24,000	-	-	-	23,950	MDPTPP03873391	MDPTPP03873398	STESAC0119237
4/3/2006	CHECK	17,745	17,745	-	-	-	41,695	MDPTPP03873391	MDPTPP03873398	STESAC0119237
5/2/2006	CHECK	(63,065)	-	(63,065)	-	-	(21,370)	MDPTPP03873400	MDPTPP03873405	STESAD0109843
2/12/2007	CHECK	11,415	11,415	-	-	-	(9,955)	MDPTPP03873456	MDPTPP03873461	
4/3/2007	CHECK	115,646	115,646	-	-	-	105,691	MDPTPP03873470	MDPTPP03873475	STESAG0010920
6/28/2007	CHECK	30,223	30,223	-	-	-	135,914	MDPTPP03873482	MDPTPP03873487	STESAF0050016
8/7/2007	CHECK	(20,310)	-	(20,310)	-	-	115,605	MDPTPP03873493	MDPTPP03873494	STESAF0031657
10/9/2007	CHECK	3,597	3,597	-	-	-	119,202	MDPTPP03873503	MDPTPP03873504	
1/8/2008	CHECK	(65,000)	-	(65,000)	-	-	54,202	MDPTPP03873506	MDPTPP03873510	
1/8/2008	CHECK	2,780	2,780	-	-	-	56,982	MDPTPP03873518	MDPTPP03873520	STESAF0000159
3/24/2008	CHECK	45,060	45,060	-	-	-	102,042	MDPTPP03873523	MDPTPP03873525	STESAF0002248
6/6/2008	CHECK	2,289	2,289	-	-	-	104,331	MDPTPP03873539	MDPTPP03873541	STESAF0009164
6/27/2008	CHECK	28,552	28,552	-	-	-	132,883	MDPTPP03873539	MDPTPP03873541	STESAF0009166
7/7/2008	CHECK	1,880	1,880	-	-	-	134,763	MDPTPP03873543	MDPTPP03873544	STESAF0010857
10/3/2008	CHECK	44,175	44,175	-	-	-	178,938	MDPTPP03873558	MDPTPP03873560	STESAF0017780
	<b>Total:</b>		<b>\$ 950,312</b>	<b>\$ (751,125)</b>	<b>\$ 68,646</b>	<b>\$ (88,896)</b>	<b>\$ 178,938</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(4)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(5)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW109 - HOWARD KATZ, MICHAEL KATZ AS CUSTODIAN

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>(a)</sup>
1/6/1994	TRANS FROM 1KW04130 (1KW041)	58,943 (1)	-	-	40,931	-	40,931	MF00314666	MF00314667	STESAC00080047
1/6/1994	TRANS FROM 1KW10530 (1KW105)	46,244 (1)	-	-	34,667	-	75,597	MF00314666	MF00314667	STESAC00080047
1/6/1994	TRANS FROM 1KW06330 (1KW063)	10,245 (1)	-	-	5,625	-	81,222	MF00314666	MF00314667	STESAC00080047
1/24/1994	CHECK	15,000	15,000	-	-	-	96,222	MF00314666	MF00314667	STESAC00080047
1/28/1994	TRANS FROM 1KW10530 (1KW105)	0 (2)	-	-	-	-	96,222	MF00314666	MF00314667	STESAC00080047
10/31/1994	TRANS FROM 1KW06330 (1KW063)	8 (2)	-	-	-	-	96,222	MF00251088	MF00251088	STESAC00080047
1/25/1995	CHECK	13,000	13,000	-	-	-	109,222	MF00223851	MF00223851	STESAC00080047
4/4/1995	TRANS TO 1KW07230 (1KW072)	29,925 (2)	-	-	-	-	109,222	MF00183741	MF00183744	STESAC00080050
4/28/1995	TRANS FROM 1KW07230 (1KW072)	215 (2)	-	-	-	-	109,222	MF00183741	MF00183744	STESAC00080050
5/11/1995	TRANS FROM 1KW07230 (1KW072)	53 (2)	-	-	-	-	109,222	MF00190752	MF00190753	STESAC00080061
9/5/1995	CHECK	5,000	5,000	-	-	-	114,222	MF00127450	MF00127453	STESAC00080061
1/29/1996	CHECK	12,000	12,000	-	-	-	126,222	MDPTPP03873776	MDPTPP03873780	STESAC00027961
1/21/1997	CHECK	15,000	15,000	-	-	-	141,222	MDPTPP03873855	MDPTPP03873921	STESAC00027961
12/23/1997	CHECK	(3,075)	-	(3,075)	-	-	138,147	MDPTPP03873921	MDPTPP03873930	STESAC00027961
1/4/1998	CHECK	(4,000)	-	(4,000)	-	-	134,147	MDPTPP03873926	MDPTPP03873930	STESAC00027961
1/16/1998	CHECK	12,000	12,000	-	-	-	146,147	MDPTPP03873926	MDPTPP03873930	STESAC00027961
1/27/1998	CHECK	(84,000)	-	(84,000)	-	-	62,147	MDPTPP03873926	MDPTPP03873930	STESAC00027961
2/27/1998	CHECK	(84,000)	-	(84,000)	-	-	(21,853)	MDPTPP03873932	MDPTPP03873936	STESAC00029454
4/16/1998	CHECK	(9,800)	-	(9,800)	-	-	(31,653)	MDPTPP03873944	MDPTPP03873947	STESAC00022933
6/12/1998	CHECK	(3,500)	-	(3,500)	-	-	(35,153)	MDPTPP03873958	MDPTPP03873961	STESAC00026392
8/11/1998	CHECK	(10,000)	-	(10,000)	-	-	(45,153)	MDPTPP03873968	MDPTPP03873972	STESAC00050281
10/8/1998	CHECK	(25,000)	-	(25,000)	-	-	(70,153)	MDPTPP03873982	MDPTPP03873986	STESAC00044412
10/21/1998	CHECK	15,000	15,000	-	-	-	(55,153)	MDPTPP03873982	MDPTPP03873986	STESAC00044418
12/22/1998	CHECK	8,000	8,000	-	-	-	(47,153)	MDPTPP03873994	MDPTPP03873997	STESAC00051954
1/23/1999	CHECK	(1,000)	-	(1,000)	-	-	(48,153)	MDPTPP03873994	MDPTPP03873997	STESAC00051954
1/13/1999	CHECK	(1,500)	-	(1,500)	-	-	(49,653)	MDPTPP03873999	MDPTPP03874003	STESAC00053611
2/19/1999	TRANS FROM 1KW10830 (1KW108)	12,000 (2)	-	-	-	-	(49,653)	MDPTPP03874005	MDPTPP03874008	STESAC00055466
4/13/1999	CHECK	(4,000)	-	(4,000)	-	-	(53,653)	MDPTPP03874017	MDPTPP03874019	STESAC00060118
5/14/1999	CHECK	(1,000)	-	(1,000)	-	-	(54,653)	MDPTPP03874021	MDPTPP03874025	STESAC00061613
6/14/1999	CHECK	(2,700)	-	(2,700)	-	-	(57,353)	MDPTPP03874027	MDPTPP03874032	STESAC00061613
8/5/1999	CHECK	(1,000)	-	(1,000)	-	-	(58,353)	MDPTPP03874039	MDPTPP03874044	STESAD00029926
9/1/1999	CHECK	20,000	20,000	-	-	-	(38,353)	MDPTPP03874046	MDPTPP03874049	STESAD00032001
9/20/1999	CHECK	(4,000)	-	(4,000)	-	-	(42,353)	MDPTPP03874046	MDPTPP03874049	STESAD00032001
9/24/1999	CHECK RETURNED	4,000	-	4,000	-	-	(38,353)	MDPTPP03874046	MDPTPP03874049	STESAD00032001
11/10/1999	CHECK	13,538	13,538	-	-	-	(24,815)	MDPTPP03874057	MDPTPP03874062	STESAD00034899
3/9/2000	CHECK	(15,000)	-	(15,000)	-	-	(39,815)	MDPTPP03874079	MDPTPP03874084	STESAF00070413
4/12/2000	CHECK	(6,000)	-	(6,000)	-	-	(45,815)	MDPTPP03874086	MDPTPP03874088	STESAF00072828
6/14/2000	CHECK	(4,000)	-	(4,000)	-	-	(49,815)	MDPTPP03874097	MDPTPP03874099	STESAF00065181
6/15/2000	CHECK	6,500	6,500	-	-	-	(43,315)	MDPTPP03874097	MDPTPP03874099	STESAF00065181
7/3/2000	CHECK	(5,000)	-	(5,000)	-	-	(48,315)	MDPTPP03874101	MDPTPP03874105	STESAF00065181
7/17/2000	CHECK	20,000	20,000	-	-	-	(28,315)	MDPTPP03874101	MDPTPP03874105	STESAF00065181
8/2/2000	CHECK	(3,000)	-	(3,000)	-	-	(31,315)	MDPTPP03874107	MDPTPP03874110	STESAF00066311
9/11/2000	CHECK	(4,700)	-	(4,700)	-	-	(36,015)	MDPTPP03874112	MDPTPP03874117	STESAF00066311
2/16/2001	CHECK	20,000	20,000	-	-	-	(16,015)	MDPTPP03874144	MDPTPP03874147	STESAF00066311
4/12/2001	CHECK	(9,000)	-	(9,000)	-	-	(25,015)	MDPTPP03874156	MDPTPP03874159	STESAF00066311
6/13/2001	CHECK	(6,000)	-	(6,000)	-	-	(31,015)	MDPTPP03874165	MDPTPP03874170	STESAF00066311
8/1/2001	CHECK	(2,000)	-	(2,000)	-	-	(33,015)	MDPTPP03874177	MDPTPP03874182	STESAF00066311
9/18/2001	CHECK	(3,500)	-	(3,500)	-	-	(36,515)	MDPTPP03874184	MDPTPP03874187	STESAF00066311
10/3/2001	CHECK	(3,500)	-	(3,500)	-	-	(40,015)	MDPTPP03874189	MDPTPP03874193	STESAF00066311
12/7/2001	CHECK	(19,500)	-	(19,500)	-	-	(59,515)	MDPTPP03874201	MDPTPP03874203	STESAF00066311
1/15/2002	CHECK	(3,750)	-	(3,750)	-	-	(63,265)	MDPTPP03874205	MDPTPP03874209	STESAF00066311
2/1/2002	CHECK	40,000	40,000	-	-	-	(23,265)	MDPTPP03874211	MDPTPP03874215	STESAF00066311
4/1/2002	CHECK	100,000	100,000	-	-	-	76,735	MDPTPP03874225	MDPTPP03874230	STESAF00066311
4/5/2002	CHECK	4,000	4,000	-	-	-	80,735	MDPTPP03874225	MDPTPP03874230	STESAF00066311
9/25/2002	CHECK	(5,000)	-	(5,000)	-	-	75,735	MDPTPP03874258	MDPTPP03874260	STESAF00066311
12/16/2002	CHECK	22,000	22,000	-	-	-	97,735	MDPTPP03874274	MDPTPP03874277	STESAF00066311

BLMIS ACCOUNT NO. 1KW109 - HOWARD KATZ, MICHAEL KATZ, AS CUSTODIAN

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>(5)</sup>
1/16/2003	CHECK	(3,000)	-	(3,000)	-	-	94,735	MDPTPP03874279	MDPTPP03874284	STESAC0113609
3/10/2003	CHECK	(10,000)	-	(10,000)	-	-	84,735	MDPTPP03874291	MDPTPP03874300	STESAC0108318
3/13/2003	CHECK	44,000	44,000	-	-	-	128,735	MDPTPP03874291	MDPTPP03874300	STESAC0108320
9/8/2003	CHECK	2,900	2,900	-	-	-	131,635	MDPTPP03874334	MDPTPP03874340	STESAD0070683
9/10/2003	TRANS TO 1KW40230 (1KW402)	(475,000) <sup>(3)</sup>	-	-	-	(131,635)	-	MDPTPP03874334	MDPTPP03874340	STESAD0070683
10/10/2003	CHECK	10,000	10,000	-	-	-	10,000	MDPTPP03874342	MDPTPP03874347	STESAD0000632
11/13/2003	CHECK	(2,500)	-	(2,500)	-	-	7,500	MDPTPP03874349	MDPTPP03874352	-
1/8/2004	CHECK	44,000	44,000	-	-	-	51,500	MDPTPP03874358	MDPTPP03874363	-
2/25/2004	CHECK	(43,000)	-	(43,000)	-	-	8,500	MDPTPP03874365	MDPTPP03874368	-
6/14/2004	CHECK	(6,500)	-	(6,500)	-	-	2,000	MDPTPP03874387	MDPTPP03874393	-
7/13/2004	CHECK	43,360	43,360	-	-	-	45,360	MDPTPP03874395	MDPTPP03874397	-
9/20/2004	CHECK	(2,500)	-	(2,500)	-	-	42,860	MDPTPP03874406	MDPTPP03874410	-
10/13/2004	CHECK	19,000	19,000	-	-	-	61,860	MDPTPP03874412	MDPTPP03874414	-
10/22/2004	CHECK	(1,000)	-	(1,000)	-	-	60,860	MDPTPP03874412	MDPTPP03874414	-
12/14/2004	CHECK	(100,000)	-	(100,000)	-	-	(39,140)	MDPTPP03874423	MDPTPP03874428	STESAF0098538
3/23/2005	CHECK	65,000	65,000	-	-	-	25,860	MDPTPP03874442	MDPTPP03874447	STESAC010937
4/11/2005	CHECK	(47,000)	-	(47,000)	-	-	(21,140)	MDPTPP03874449	MDPTPP03874451	STESAC0130511
6/6/2005	TRANS TO 1KW42430 (1KW424)	(57,000) <sup>(4)</sup>	-	-	-	-	(21,140)	MDPTPP03874460	MDPTPP03874463	STESAC0005367
6/13/2005	CHECK	(12,000)	-	(12,000)	-	-	(33,140)	MDPTPP03874460	MDPTPP03874463	STESAC0005367
6/14/2005	CHECK	(2,000)	-	(2,000)	-	-	(35,140)	MDPTPP03874460	MDPTPP03874463	STESAC0005367
12/19/2005	CHECK	73,665	73,665	-	-	-	38,525	MDPTPP03874480	MDPTPP03874482	STESAC0087214
3/20/2006	CHECK	22,000	22,000	-	-	-	60,525	MDPTPP03874496	MDPTPP03874502	-
4/3/2006	CHECK	18,550	18,550	-	-	-	79,075	MDPTPP03874504	MDPTPP03874511	-
4/3/2006	CHECK	24,000	24,000	-	-	-	103,075	MDPTPP03874504	MDPTPP03874511	STESAC0119255
4/13/2006	CHECK	(7,000)	-	(7,000)	-	-	96,075	MDPTPP03874504	MDPTPP03874511	STESAC0119255
4/20/2006	CHECK	24,000	24,000	-	-	-	120,075	MDPTPP03874504	MDPTPP03874511	STESAC0119261
1/5/2007	CHECK	24,000	24,000	-	-	-	144,075	MDPTPP03874562	MDPTPP03874564	-
2/12/2007	CHECK	24,000	24,000	-	-	-	168,075	MDPTPP03874565	MDPTPP03874571	-
4/4/2007	CHECK	(129,042)	-	(129,042)	-	-	39,033	MDPTPP03874580	MDPTPP03874586	STESAG0010934
4/11/2007	CHECK	(45,000)	-	(45,000)	-	-	(5,967)	MDPTPP03874580	MDPTPP03874586	STESAG0010936
8/6/2007	CHECK	(19,000)	-	(19,000)	-	-	(24,967)	MDPTPP03874604	MDPTPP03874605	STESAF00031663
9/6/2007	CHECK	(35,000)	-	(35,000)	-	-	(59,967)	MDPTPP03874607	MDPTPP03874609	-
10/9/2007	CHECK	20,987	20,987	-	-	-	(38,980)	MDPTPP03874611	MDPTPP03874612	-
1/3/2008	CHECK	35,000	35,000	-	-	-	(3,980)	MDPTPP03874620	MDPTPP03874620	STESAF0000165
3/18/2008	CHECK	52,105	52,105	-	-	-	48,125	MDPTPP03874622	MDPTPP03874624	STESAF0000254
			<b>\$ 887,605</b>	<b>\$ (789,067)</b>	<b>\$ 81,222</b>	<b>\$ (131,635)</b>	<b>\$ 48,125</b>			
		<b>Total:</b>								

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(4)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(5)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW110 - TODD KATZ, MICHAEL KATZ AS CUSTODIAN

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
1/6/1994	TRANS FROM IKW06330 (IKW063)	10,245 (1)	-	-	5,625	-	5,625	MF00314669	MF00314670	
1/6/1994	TRANS FROM IKW10530 (IKW105)	46,244 (1)	-	-	34,667	-	40,291	MF00314669	MF00314670	
1/6/1994	TRANS FROM IKW04130 (IKW041)	23,577 (1)	-	-	16,372	-	56,664	MF00314669	MF00314670	
1/24/1994	CHECK	15,000	15,000	-	-	-	71,664	MF00314669	MF00314670	
1/28/1994	TRANS FROM IKW10530 (IKW105)	0 (2)	-	-	-	-	71,664	MF00251090	MF00251092	
10/31/1994	TRANS FROM IKW06330 (IKW063)	8	-	-	-	-	84,664	MF00223855	MF00223857	STESAF00088517
1/25/1995	CHECK	13,000	13,000	-	-	-	84,664	MF00183746	MF00183749	STESAC0080055
4/4/1995	TRANS TO IKW07230 (IKW072)	29,931 (2)	-	-	-	-	84,664	MF00183746	MF00183749	STESAC0080058
4/28/1995	TRANS FROM IKW07230 (IKW072)	215 (2)	-	-	-	-	84,664	MF00190755	MF00190756	STESAC0080666
5/11/1995	TRANS FROM IKW07230 (IKW072)	53 (2)	-	-	-	-	84,664	MF00117181	MF00117182	STESAC0084284
10/16/1995	CHECK	20,000	20,000	-	-	-	104,664	MDPTPP03874947	MDPTPP03874874	
1/29/1996	CHECK	12,000	12,000	-	-	-	116,664	MDPTPP03875012	MDPTPP03875015	
12/1/1997	CHECK	15,000	15,000	-	-	-	131,664	MDPTPP03875017	MDPTPP03875021	
12/23/1997	CHECK (1,500)	(3,000)	-	(1,500)	-	-	130,164	MDPTPP03875017	MDPTPP03875021	
1/4/1998	CHECK (3,000)	(3,000)	-	(3,000)	-	-	127,164	MDPTPP03875017	MDPTPP03875021	
1/16/1998	CHECK 12,000	12,000	12,000	-	-	-	139,164	MDPTPP03875017	MDPTPP03875021	
12/7/1998	CHECK (84,000)	(84,000)	-	(84,000)	-	-	55,164	MDPTPP03875023	MDPTPP03875027	
2/27/1998	CHECK (7,800)	(7,800)	-	(7,800)	-	-	(28,836)	MDPTPP03875035	MDPTPP03875038	
4/16/1998	CHECK (3,000)	(3,000)	-	(3,000)	-	-	(36,636)	MDPTPP03875049	MDPTPP03875052	
6/12/1998	CHECK (10,000)	(10,000)	-	(10,000)	-	-	(49,636)	MDPTPP03875059	MDPTPP03875063	
8/11/1998	CHECK (25,000)	(25,000)	-	(25,000)	-	-	(74,636)	MDPTPP03875073	MDPTPP03875077	
10/8/1998	CHECK 15,000	15,000	15,000	-	-	-	(59,636)	MDPTPP03875073	MDPTPP03875077	
12/22/1998	CHECK 8,000	8,000	8,000	-	-	-	(51,636)	MDPTPP03875085	MDPTPP03875088	
12/31/1998	CHECK (1,000)	(1,000)	-	(1,000)	-	-	(52,636)	MDPTPP03875085	MDPTPP03875088	
1/13/1999	CHECK (5,000)	(5,000)	-	(5,000)	-	-	(57,636)	MDPTPP03875090	MDPTPP03875094	
5/14/1999	CHECK (1,000)	(1,000)	-	(1,000)	-	-	(58,636)	MDPTPP03875112	MDPTPP03875116	
6/14/1999	CHECK (1,900)	(1,900)	-	(1,900)	-	-	(60,536)	MDPTPP03875118	MDPTPP03875123	
8/5/1999	CHECK (1,000)	(1,000)	-	(1,000)	-	-	(61,536)	MDPTPP03875130	MDPTPP03875135	
9/1/1999	CHECK 20,000	20,000	20,000	-	-	-	(41,536)	MDPTPP03875137	MDPTPP03875140	
9/20/1999	CHECK (2,700)	(2,700)	-	(2,700)	-	-	(44,236)	MDPTPP03875137	MDPTPP03875140	
9/24/1999	CHECK RETURNED	2,700	-	2,700	-	-	(41,536)	MDPTPP03875137	MDPTPP03875140	
11/8/1999	CHECK 9,000	9,000	9,000	-	-	-	(32,536)	MDPTPP03875148	MDPTPP03875153	
3/9/2000	CHECK (15,000)	(15,000)	-	(15,000)	-	-	(47,536)	MDPTPP03875170	MDPTPP03875175	
4/12/2000	CHECK (3,500)	(3,500)	-	(3,500)	-	-	(51,036)	MDPTPP03875177	MDPTPP03875179	
6/14/2000	CHECK (2,500)	(2,500)	-	(2,500)	-	-	(53,536)	MDPTPP03875188	MDPTPP03875190	
6/15/2000	CHECK 6,500	6,500	6,500	-	-	-	(47,036)	MDPTPP03875188	MDPTPP03875190	
7/3/2000	CHECK (5,000)	(5,000)	-	(5,000)	-	-	(52,036)	MDPTPP03875192	MDPTPP03875196	STESAF00065193
7/5/2000	CHECK 20,000	20,000	20,000	-	-	-	(32,036)	MDPTPP03875192	MDPTPP03875196	STESAF00065193
8/2/2000	CHECK (3,000)	(3,000)	-	(3,000)	-	-	(35,036)	MDPTPP03875198	MDPTPP03875201	STESAF00063121
2/16/2001	CHECK 20,000	20,000	20,000	-	-	-	(15,036)	MDPTPP03875235	MDPTPP03875238	
4/12/2001	CHECK (8,200)	(8,200)	-	(8,200)	-	-	(23,236)	MDPTPP03875247	MDPTPP03875250	
6/13/2001	CHECK (4,000)	(4,000)	-	(4,000)	-	-	(27,236)	MDPTPP03875256	MDPTPP03875260	
8/1/2001	CHECK (2,000)	(2,000)	-	(2,000)	-	-	(29,236)	MDPTPP03875267	MDPTPP03875272	
9/18/2001	CHECK (3,000)	(3,000)	-	(3,000)	-	-	(32,236)	MDPTPP03875274	MDPTPP03875277	
10/3/2001	CHECK (3,500)	(3,500)	-	(3,500)	-	-	(35,736)	MDPTPP03875279	MDPTPP03875283	STESAC0032660
10/15/2001	CHECK (4,000)	(4,000)	-	(4,000)	-	-	(39,736)	MDPTPP03875279	MDPTPP03875283	STESAC0032660
12/7/2001	CHECK (19,500)	(19,500)	-	(19,500)	-	-	(59,236)	MDPTPP03875291	MDPTPP03875293	STESAD0078188
1/15/2002	CHECK (4,000)	(4,000)	-	(4,000)	-	-	(63,236)	MDPTPP03875295	MDPTPP03875300	STESAD0046826
2/1/2002	CHECK 40,000	40,000	40,000	-	-	-	(23,236)	MDPTPP03875302	MDPTPP03875306	STESAD0049587
3/21/2002	CHECK 4,000	4,000	4,000	-	-	-	(19,236)	MDPTPP03875308	MDPTPP03875313	STESAD0055227
4/1/2002	CHECK 100,000	100,000	100,000	-	-	-	80,764	MDPTPP03875316	MDPTPP03875321	STESAD0052144
9/23/2002	CHECK 6,100	6,100	6,100	-	-	-	86,864	MDPTPP03875349	MDPTPP03875351	STESAC0040183
12/18/2002	CHECK 22,000	22,000	22,000	-	-	-	108,864	MDPTPP03875365	MDPTPP03875368	STESAD0063871
3/10/2003	CHECK (10,000)	(10,000)	-	(10,000)	-	-	98,864	MDPTPP03875382	MDPTPP03875391	STESAC00108340
3/13/2003	CHECK 44,500	44,500	44,500	-	-	-	143,364	MDPTPP03875382	MDPTPP03875391	STESAC00108342
9/10/2003	CHECK (2,500)	(2,500)	-	(2,500)	-	-	140,864	MDPTPP03875424	MDPTPP03875430	STESAD0070699

BLMIS ACCOUNT NO. 1KW110 - TODD KATZ, MICHAEL KATZ AS CUSTODIAN

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
9/10/2003	TRANS TO 1KW40230 (1KW402)	(385,000) <sup>(3)</sup>	-	-	-	(140,864)	-	MDPTPP03875424	MDPTPP03875430	STESAD0070699
10/10/2003	CHECK	10,000	10,000	-	-	-	10,000	MDPTPP03875432	MDPTPP03875437	STESAD0000646
1/8/2004	CHECK	44,000	44,000	-	-	-	54,000	MDPTPP03875448	MDPTPP03875453	STESAD0063206
1/8/2004	CHECK	(1,500)	-	(1,500)	-	-	52,500	MDPTPP03875448	MDPTPP03875453	STESAD0063206
2/25/2004	CHECK	(37,600)	-	(37,600)	-	-	14,900	MDPTPP03875455	MDPTPP03875458	
6/14/2004	CHECK	(4,500)	-	(4,500)	-	-	10,400	MDPTPP03875477	MDPTPP03875483	
7/13/2004	CHECK	32,710	32,710	-	-	-	43,110	MDPTPP03875485	MDPTPP03875487	
8/17/2004	CHECK	65,000	63,000	-	-	-	106,110	MDPTPP03875489	MDPTPP03875494	
9/20/2004	CHECK	(1,000)	-	(1,000)	-	-	105,110	MDPTPP03875496	MDPTPP03875500	
10/13/2004	CHECK	16,100	16,100	-	-	-	121,210	MDPTPP03875502	MDPTPP03875504	
12/14/2004	CHECK	(150,000)	-	(150,000)	-	-	(28,790)	MDPTPP03875513	MDPTPP03875518	STESAF0098552
3/23/2005	CHECK	65,000	65,000	-	-	-	36,210	MDPTPP03875532	MDPTPP03875537	STESAC0010951
4/11/2005	CHECK	(36,000)	-	(36,000)	-	-	210	MDPTPP03875539	MDPTPP03875541	STESAC00130519
6/15/2005	CHECK	(12,000)	-	(12,000)	-	-	(11,790)	MDPTPP03875549	MDPTPP03875553	STESAC0005377
10/20/2005	CHECK	4,165	4,165	-	-	-	(7,625)	MDPTPP03875569	MDPTPP03875574	
12/19/2005	CHECK	56,000	56,000	-	-	-	48,375	MDPTPP03875581	MDPTPP03875586	
3/20/2006	CHECK	22,000	22,000	-	-	-	70,375	MDPTPP03875600	MDPTPP03875605	
4/3/2006	CHECK	24,000	24,000	-	-	-	94,375	MDPTPP03875607	MDPTPP03875614	STESAC00119273
4/3/2006	CHECK	17,285	17,285	-	-	-	111,660	MDPTPP03875607	MDPTPP03875614	STESAC00119273
4/20/2006	CHECK	24,000	24,000	(6,000)	-	-	135,660	MDPTPP03875607	MDPTPP03875614	STESAD00107286
6/9/2006	CHECK	(6,000)	-	(6,000)	-	-	129,660	MDPTPP03875622	MDPTPP03875626	
1/5/2007	CHECK	24,000	24,000	-	-	-	153,660	MDPTPP03875666	MDPTPP03875668	
2/12/2007	CHECK	24,000	24,000	-	-	-	177,660	MDPTPP03875669	MDPTPP03875674	
4/4/2007	CHECK	(188,124)	-	(188,124)	-	-	(10,464)	MDPTPP03875683	MDPTPP03875689	STESAG0010950
4/11/2007	CHECK	(15,000)	-	(15,000)	-	-	(25,464)	MDPTPP03875683	MDPTPP03875689	STESAG0010952
8/6/2007	CHECK	(19,000)	-	(19,000)	-	-	(44,464)	MDPTPP03875706	MDPTPP03875707	
9/6/2007	CHECK	(18,000)	-	(18,000)	-	-	(62,464)	MDPTPP03875709	MDPTPP03875714	
10/9/2007	CHECK	26,377	26,377	-	-	-	(36,087)	MDPTPP03875716	MDPTPP03875717	
1/3/2008	CHECK	25,000	25,000	-	-	-	(11,087)	MDPTPP03875731	MDPTPP03875733	STESAF0000167
3/18/2008	CHECK	45,160	45,160	-	-	-	34,073	MDPTPP03875736	MDPTPP03875738	STESAF00002260
		<b>Total:</b>	<b>\$ 924,897</b>	<b>\$ (806,624)</b>	<b>\$ 56,664</b>	<b>\$ (140,864)</b>	<b>\$ 34,073</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(4)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW112 - MARVIN B. TEPPER

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(2)</sup>
1/6/1994	TRANS FROM IKW04130 /IKW041)	1,771 <sup>(1)</sup>	-	-	1,230	-	1,230	MF00314675	MF00314676	
1/6/1994	TRANS FROM IKW06330 /IKW063)	113,883 <sup>(1)</sup>	-	-	62,527	-	63,757	MF00314675	MF00314676	
6/27/1995	CHECK	(140,876)	-	(140,876)	-	-	(77,119)	MF00164891	MF00164892	
3/25/1996	CHECK	(115)	-	(115)	-	-	(77,234)	MDPTPP03871102	MDPTPP03871103	STES.AC0081278
	<b>Total:</b>		<b>\$ -</b>	<b>\$ (140,991)</b>	<b>\$ 63,757</b>	<b>\$ -</b>	<b>\$ (77,234)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW116 - HEATHER KNOPF

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
2/16/1994	TRANS FROM 1KW02630 (1KW026)	49,419 <sup>(1)</sup>	-	-	16,600	-	16,600	MF00300875	MF00300876	
2/16/1994	TRANS FROM 1KW03830 (1KW038)	191,104 <sup>(1)</sup>	-	-	50,000	-	66,600	MF00300875	MF00300876	
6/17/1994	TRANS FROM 1KW01730 (1KW017)	61,086 <sup>(1)</sup>	-	-	37,693	-	104,293	MF00234932	MF00234934	
1/29/1996	CHECK	15,000	15,000	-	-	-	119,293	MDPTPP03882149	MDPTPP03882153	
7/3/1997	CHECK WIRE	(166,666)	-	(166,666)	-	-	(47,373)	MDPTPP03882266	MDPTPP03882269	STESAD00041094
8/20/1997	TRANS TO 1KW19830 (1KW198)	(356,106) <sup>(2)</sup>	-	-	-	-	(47,373)	MDPTPP03882271	MDPTPP03882273	STESAD00041781
8/20/1997	TRANS TO 1KW19630 (1KW196)	(20,000) <sup>(2)</sup>	-	-	-	-	(47,373)	MDPTPP03882271	MDPTPP03882273	STESAD00041781
1/16/1998	TRANS TO 1KW19630 (1KW196)	(411) <sup>(2)</sup>	-	-	-	-	(47,373)	MDPTPP03882287	MDPTPP03882288	STESAD00041781
	<b>Total:</b>		<b>\$ 15,000</b>	<b>\$ (166,666)</b>	<b>\$ 104,293</b>	<b>\$ -</b>	<b>\$ (47,373)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.



BLMIS ACCOUNT NO. IKW117 - ROBIN WILPON WACHTLER

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
2/16/1994	TRANS FROM IKW03830 (IKW038)	191,104 <sup>[1]</sup>	-	-	50,000	-	50,000	MF00300878	MF00300879	
6/20/1994	CHECK	(200,818)	-	(200,818)	-	-	(150,818)	MF00234936	MF00234937	
10/31/1994	TRANS TO IKW09630 (IKW096)	(659) <sup>[2]</sup>	-	-	-	-	(150,818)	MF00251118	MF00251118	
4/4/1995	TRANS FROM IKW08230 (IKW082)	166,966 <sup>[1]</sup>	-	-	7,014	-	(143,803)	MF00183778	MF00183779	STESAC0080105
4/28/1995	TRANS FROM IKW08230 (IKW082)	1,185 <sup>[3]</sup>	-	-	-	-	(143,803)	MF00183778	MF00183779	STESAC0080106
5/11/1995	TRANS FROM IKW08230 (IKW082)	285 <sup>[3]</sup>	-	-	-	-	(143,803)	MF00190775	MF00190776	STESAC0080698
4/1/1996	CHECK	(12,000)	-	(12,000)	-	-	(155,803)	MDPTPP03882331	MDPTPP03882334	
7/1/1996	CHECK	(12,000)	-	(12,000)	-	-	(167,803)	MDPTPP03882351	MDPTPP03882354	
10/1/1996	CHECK	(12,000)	-	(12,000)	-	-	(179,803)	MDPTPP03882367	MDPTPP03882371	
1/2/1997	CHECK	(9,000)	-	(9,000)	-	-	(188,803)	MDPTPP03882384	MDPTPP03882388	STESAC0059473
3/31/1997	CHECK	(176,260)	-	(176,260)	-	-	(365,063)	MDPTPP03882395	MDPTPP03882401	STESAC0047990
4/1/1997	CHECK	(9,000)	-	(9,000)	-	-	(374,063)	MDPTPP03882404	MDPTPP03882405	STESAD0038166
4/3/1997	CANCEL CHECK 4/1/97	9,000	-	9,000	-	-	(365,063)	MDPTPP03882404	MDPTPP03882405	STESAD0038166
1/16/1998	TRANS TO IKW09630 (IKW096)	(374) <sup>[2]</sup>	-	-	57,014	-	(365,063)	MDPTPP03882431	MDPTPP03882432	STESAC0028017
	<b>Total:</b>		<b>\$ -</b>	<b>\$ (422,078)</b>	<b>\$ 57,014</b>	<b>\$ -</b>	<b>\$ (365,063)</b>			

<sup>[1]</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>[2]</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[3]</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[4]</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW118 - BRUCE WILPON

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
2/16/1994	TRANS FROM IKW03830 (IKW038)	191,104 <sup>[1]</sup>	-	-	50,000	-	50,000	MF00300881	MF00300882	
6/20/1994	CHECK	(67,599)	-	(67,599)	-	-	(17,599)	MF00234939	MF00234940	
10/31/1994	TRANS FROM IKW01730 (IKW017)	463 <sup>[2]</sup>	-	-	-	-	(17,599)	MF00251120	MF00251122	
10/31/1994	TRANS FROM IKW03830 (IKW038)	418 <sup>[2]</sup>	-	-	-	-	(17,599)	MF00251120	MF00251122	
4/4/1995	TRANS FROM IKW08230 (IKW082)	182,145 <sup>[1]</sup>	-	-	7,652	-	(9,947)	MF00183781	MF00183784	STESAC0080110
4/28/1995	TRANS FROM IKW08230 (IKW082)	1,292 <sup>[2]</sup>	-	-	-	-	(9,947)	MF00183781	MF00183784	STESAC0080113
5/11/1995	TRANS FROM IKW08230 (IKW082)	310 <sup>[2]</sup>	-	-	-	-	(9,947)	MF00190778	MF00190779	STESAC0080703
6/12/1995	CHECK	(156,633)	-	(156,633)	-	-	(166,580)	MF00164908	MF00164911	STESAC0081312
4/1/1997	CHECK	(165,000)	-	(165,000)	-	-	(331,580)	MDPTPP03882546	MDPTPP03882552	STESAD0038169
8/14/1997	CHECK	5,000	5,000	-	-	-	(326,580)	MDPTPP03882571	MDPTPP03882575	STESAD0041788
2/1/1999	CHECK	10,000	10,000	-	-	-	(316,580)	MDPTPP03882677	MDPTPP03882680	STESAC0055524
9/10/2003	TRANS TO IKW40230 (IKW402)	(231,000) <sup>[3]</sup>	-	-	-	-	(316,580)	MDPTPP03883001	MDPTPP03883007	STESAD0070743
9/26/2003	CHECK	231,000	231,000	-	-	-	(85,580)	MDPTPP03883001	MDPTPP03883007	STESAD0070747
12/19/2003	CHECK	(16,770)	-	(16,770)	-	-	(102,350)	MDPTPP03883021	MDPTPP03883023	STESAC0020765
1/18/2005	CHECK	(100,000)	-	(100,000)	-	-	(202,350)	MDPTPP03883094	MDPTPP03883099	STESAC0016072
3/10/2006	CHECK	(120,000)	-	(120,000)	-	-	(322,350)	MDPTPP03883173	MDPTPP03883178	
	<b>Total:</b>		<b>\$ 246,000</b>	<b>\$ (626,003)</b>	<b>\$ 57,652</b>	<b>\$ -</b>	<b>\$ (322,350)</b>			

<sup>[1]</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>[2]</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[3]</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[4]</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW119 - DAVID M KATZ

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
2/16/1994	TRANS FROM IKW03830 (IKW038)	191,104 <sup>(1)</sup>	-	-	50,000	-	50,000	MF00300884	MF00300885	
4/28/1994	CHECK	25,000	25,000	-	-	-	75,000	MF00287786	MF00287788	
5/2/1994	CHECK	5,500	5,500	-	-	-	80,500	MF00275778	MF00275780	
6/17/1994	TRANS FROM IKW01730 (IKW017)	132,401 <sup>(1)</sup>	-	-	81,698	-	162,198	MF00234942	MF00234944	
3/14/1995	TRANS TO IKW13930 (IKW139)	(54,000)	-	-	-	(54,000)	108,198	MF00181549	MF00181550	STESAC0079336
10/23/1995	TRANS FROM IKW11530 (IKW115)	264 <sup>(2)</sup>	-	-	-	-	108,198	MF00117205	MF00117206	STESAC0084324
7/3/1997	CHECK WIRE	(166,667)	-	(166,667)	-	-	(58,469)	MDPTPP03883565	MDPTPP03883568	STESAD0041107
8/20/1997	TRANS TO IKW01130 (IKW011)	(3,912) <sup>(3)</sup>	-	-	-	-	(58,469)	MDPTPP03883570	MDPTPP03883572	STESAD0041794
8/20/1997	TRANS TO IKW19830 (IKW198)	(356,100) <sup>(3)</sup>	-	-	-	-	(58,469)	MDPTPP03883570	MDPTPP03883572	STESAD0041794
1/16/1998	TRANS TO IKW20130 (IKW201)	(411) <sup>(3)</sup>	-	-	-	-	(58,469)	MDPTPP03883586	MDPTPP03883587	STESAC0028033
	<b>Total:</b>		\$ 30,500	\$ (166,667)	\$ 131,698	\$ (54,000)	\$ (58,469)			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(4)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW120 - SAUL B KATZ DP

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
2/22/1994	CHECK	100,000	100,000	-	-	-	100,000	MF00300887	MF00300887	
9/20/1994	CHECK	10,000	10,000	-	-	-	110,000	MF00260566	MF00260567	
8/22/1997	CHECK	13,850	13,850	-	-	-	123,850	MDPTPP03883729	MDPTPP03883735	STESAD0041800
8/26/1997	TRANS FROM 1KW09230 (1KW092)	50 <sup>(1)</sup>	-	-	-	-	123,850	MDPTPP03883729	MDPTPP03883735	STESAD0041800
8/27/1997	CHECK	80,000	80,000	-	-	-	203,850	MDPTPP03883729	MDPTPP03883735	STESAD0041800
10/22/1997	CHECK	(25,000)	-	(25,000)	-	-	178,850	MDPTPP03883742	MDPTPP03883745	
11/26/1997	CHECK	(25,000)	-	(25,000)	-	-	153,850	MDPTPP03883747	MDPTPP03883751	STESAD0045193
1/29/1998	CHECK	(20,000)	-	(20,000)	-	-	133,850	MDPTPP03883759	MDPTPP03883763	STESAC0028041
3/25/1998	CHECK	(10,000)	-	(10,000)	-	-	123,850	MDPTPP03883770	MDPTPP03883775	STESAC0030987
6/1/1998	CHECK	(25,000)	-	(25,000)	-	-	98,850	MDPTPP03883791	MDPTPP03883795	STESAC0026460
8/5/1998	CHECK	(25,000)	-	(25,000)	-	-	73,850	MDPTPP03883800	MDPTPP03883804	STESAC0050359
9/11/1998	CHECK	(25,000)	-	(25,000)	-	-	48,850	MDPTPP03883806	MDPTPP03883812	STESAC0042271
11/5/1998	CHECK	(25,000)	-	(25,000)	-	-	23,850	MDPTPP03883820	MDPTPP03883824	STESAC0046340
1/27/1999	CHECK	(20,000)	-	(20,000)	-	-	3,850	MDPTPP03883831	MDPTPP03883835	STESAC0053693
3/22/1999	CHECK	(25,000)	-	(25,000)	-	-	(21,150)	MDPTPP03883842	MDPTPP03883847	STESAC0057383
4/1/1999	CHECK	(20,000)	-	(20,000)	-	-	(41,150)	MDPTPP03883849	MDPTPP03883851	STESAC0060176
6/25/1999	CHECK	(20,000)	-	(20,000)	-	-	(61,150)	MDPTPP03883859	MDPTPP03883864	
8/12/1999	CHECK	(20,000)	-	(20,000)	-	-	(81,150)	MDPTPP03883870	MDPTPP03883871	
9/9/1999	CHECK	(49,225)	-	(49,225)	-	-	(130,375)	MDPTPP03883873	MDPTPP03883874	STESAD0032065
	<b>Total:</b>		<b>\$ 203,850</b>	<b>\$ (334,225)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (130,375)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW121 - MICHAEL KATZ & SAUL B KATZ TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
4/1/1994	CHECK	102,450	102,450	-	-	-	102,450	MF00287793	MF00287794	STESAC0080134
4/3/1995	CHECK	(2,000)	-	(2,000)	-	-	100,450	MF00183796	MF00183799	STESAC0082463
7/3/1995	CHECK	(2,000)	-	(2,000)	-	-	98,450	MF00176189	MF00176190	STESAC0084334
10/2/1995	CHECK	(2,000)	-	(2,000)	-	-	96,450	MF00117211	MF00117212	
12/1/1996	CHECK	(2,000)	-	(2,000)	-	-	94,450	MDPTPP03884053	MDPTPP03884057	
4/1/1996	CHECK	(2,000)	-	(2,000)	-	-	92,450	MDPTPP03884074	MDPTPP03884077	
7/1/1996	CHECK	(2,000)	-	(2,000)	-	-	90,450	MDPTPP03884094	MDPTPP03884099	
10/1/1996	CHECK	(2,000)	-	(2,000)	-	-	88,450	MDPTPP03884113	MDPTPP03884117	
10/24/1996	CHECK	153,415	153,415	-	-	-	241,865	MDPTPP03884113	MDPTPP03884117	
1/2/1997	CHECK	(5,000)	-	(5,000)	-	-	236,865	MDPTPP03884133	MDPTPP03884137	STESAC0059503
4/1/1997	CHECK	(5,000)	-	(5,000)	-	-	231,865	MDPTPP03884151	MDPTPP03884157	STESAD0058193
7/1/1997	CHECK	(5,000)	-	(5,000)	-	-	226,865	MDPTPP03884171	MDPTPP03884174	STESAC0041117
10/1/1997	CHECK	(5,000)	-	(5,000)	-	-	221,865	MDPTPP03884187	MDPTPP03884190	STESAD0043883
1/2/1998	CHECK	(5,000)	-	(5,000)	-	-	216,865	MDPTPP03884204	MDPTPP03884208	STESAC0028049
4/1/1998	CHECK	(5,000)	-	(5,000)	-	-	211,865	MDPTPP03884222	MDPTPP03884225	STESAC0023003
7/1/1998	CHECK	(5,000)	-	(5,000)	-	-	206,865	MDPTPP03884241	MDPTPP03884245	STESAC0048869
10/1/1998	CHECK	(5,000)	-	(5,000)	-	-	201,865	MDPTPP03884261	MDPTPP03884265	STESAC0044506
1/4/1999	CHECK	(5,000)	-	(5,000)	-	-	196,865	MDPTPP03884278	MDPTPP03884282	STESAC0053701
4/1/1999	CHECK	(5,000)	-	(5,000)	-	-	191,865	MDPTPP03884296	MDPTPP03884298	STESAC0060184
4/21/1999	CHECK	(68,700)	-	(68,700)	-	-	123,165	MDPTPP03884296	MDPTPP03884298	STESAC0060186
7/1/1999	CHECK	(5,000)	-	(5,000)	-	-	118,165	MDPTPP03884313	MDPTPP03884316	STESAD0028152
9/15/1999	CHECK 9/14/99	10,000	10,000	-	-	-	128,165	MDPTPP03884324	MDPTPP03884327	STESAD0032073
9/15/1999	CHECK 9/14/99	40,000	40,000	-	-	-	168,165	MDPTPP03884324	MDPTPP03884327	STESAD0032073
10/1/1999	CHECK	(5,000)	-	(5,000)	-	-	163,165	MDPTPP03884329	MDPTPP03884333	STESAD0036209
1/3/2000	CHECK	(5,000)	-	(5,000)	-	-	157,665	MDPTPP03884346	MDPTPP03884350	STESAF0076078
4/3/2000	CHECK	(5,000)	-	(5,000)	-	-	152,165	MDPTPP03884364	MDPTPP03884366	STESAF0061424
6/5/2000	TRANS TO 1KW01930 (1KW0/9)	(25,400)	-	-	-	(25,400)	126,765	MDPTPP03884375	MDPTPP03884377	STESAF0061424
7/3/2000	CHECK	(5,000)	-	(5,000)	-	-	121,265	MDPTPP03884379	MDPTPP03884383	STESAF0065249
10/2/2000	CHECK	(5,000)	-	(5,000)	-	-	115,765	MDPTPP03884397	MDPTPP03884400	STESAC0094748
1/2/2001	CHECK	(5,000)	-	(5,000)	-	-	110,265	MDPTPP03884416	MDPTPP03884421	
4/2/2001	CHECK	(5,000)	-	(5,000)	-	-	104,765	MDPTPP03884435	MDPTPP03884438	
7/2/2001	CHECK	(5,000)	-	(5,000)	-	-	99,265	MDPTPP03884450	MDPTPP03884453	
10/1/2001	CHECK	(5,000)	-	(5,000)	-	-	93,765	MDPTPP03884467	MDPTPP03884471	STESAC0032706
12/14/2001	CHECK	10,000	10,000	-	-	-	103,765	MDPTPP03884479	MDPTPP03884482	STESAD0078232
1/2/2002	CHECK	(5,000)	-	(5,000)	-	-	98,265	MDPTPP03884484	MDPTPP03884489	STESAD0046890
1/9/2002	CHECK	(11,650)	-	(11,650)	-	-	86,615	MDPTPP03884484	MDPTPP03884489	STESAD0046890
4/1/2002	CHECK	(5,000)	-	(5,000)	-	-	81,115	MDPTPP03884504	MDPTPP03884509	STESAD0052220
7/1/2002	CHECK	(5,000)	-	(5,000)	-	-	75,615	MDPTPP03884523	MDPTPP03884528	STESAC0063906
10/1/2002	CHECK	(5,000)	-	(5,000)	-	-	70,115	MDPTPP03884540	MDPTPP03884545	STESAC0037955
12/2/2002	CHECK	35,000	35,000	-	-	-	105,115	MDPTPP03884552	MDPTPP03884555	STESAD0063913
1/2/2003	CHECK	(5,000)	-	(5,000)	-	-	99,615	MDPTPP03884557	MDPTPP03884562	STESAC0113693
4/1/2003	CHECK	(5,000)	-	(5,000)	-	-	94,115	MDPTPP03884577	MDPTPP03884579	STESAC0111696
7/1/2003	CHECK	(5,000)	-	(5,000)	-	-	88,615	MDPTPP03884594	MDPTPP03884600	
10/1/2003	CHECK	(5,000)	-	(5,000)	-	-	83,115	MDPTPP03884616	MDPTPP03884621	STESAD0000702
12/3/2003	CHECK	(40,000)	-	(40,000)	-	-	43,115	MDPTPP03884628	MDPTPP03884631	STESAC0020773
1/2/2004	CHECK	(5,000)	-	(5,000)	-	-	37,615	MDPTPP03884638	MDPTPP03884638	STESAD0063260
2/3/2004	CHECK	15,383	15,383	-	-	-	52,998	MDPTPP03884640	MDPTPP03884644	
4/1/2004	CHECK	(5,000)	-	(5,000)	-	-	47,498	MDPTPP03884650	MDPTPP03884655	
5/26/2004	TRANS TO 1KW41230 (1KW4/2)	(300,000) <sup>(1)</sup>	-	-	-	(47,498)	(5,500)	MDPTPP03884658	MDPTPP03884662	
7/1/2004	CHECK	(5,500)	-	(5,500)	-	-	(5,500)	MDPTPP03884672	MDPTPP03884674	
10/1/2004	CHECK	(5,500)	-	(5,500)	-	-	(11,000)	MDPTPP03884689	MDPTPP03884691	
1/3/2005	CHECK	(5,500)	-	(5,500)	-	-	(16,500)	MDPTPP03884706	MDPTPP03884711	STESAC0016086
4/1/2005	CHECK	(5,500)	-	(5,500)	-	-	(22,000)	MDPTPP03884724	MDPTPP03884726	STESAC0130553
7/1/2005	CHECK	(5,500)	-	(5,500)	-	-	(27,500)	MDPTPP03884741	MDPTPP03884743	STESAC0007858
10/3/2005	CHECK	(5,500)	-	(5,500)	-	-	(33,000)	MDPTPP03884755	MDPTPP03884760	STESAC0127698
1/3/2006	CHECK	(5,500)	-	(5,500)	-	-	(38,500)	MDPTPP03884774	MDPTPP03884779	STESAD0009817
4/3/2006	CHECK	(5,500)	-	(5,500)	-	-	(44,000)	MDPTPP03884794	MDPTPP03884800	STESAC0119333
7/3/2006	CHECK	(5,500)	-	(5,500)	-	-	(49,500)	MDPTPP03884814	MDPTPP03884820	STESAD0104531

BLMIS ACCOUNT NO. 1KW121 - MICHAEL KATZ & SAUL B KATZ TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(2)</sup>
10/2/2006	CHECK	(5,500)	-	(5,500)	-	-	(55,000)	MDFTPP03884833	MDFTPP03884838	STESAD0100503
1/2/2007	CHECK	(5,500)	-	(5,500)	-	-	(60,500)	MDFTPP03884852	MDFTPP03884854	
4/2/2007	CHECK	(5,500)	-	(5,500)	-	-	(66,000)	MDFTPP03884869	MDFTPP03884874	STESAG0010998
7/2/2007	CHECK	(5,500)	-	(5,500)	-	-	(71,500)	MDFTPP03884887	MDFTPP03884889	
10/1/2007	CHECK	(5,500)	-	(5,500)	-	-	(77,000)	MDFTPP03884901	MDFTPP03884903	
1/2/2008	CHECK	(5,500)	-	(5,500)	-	-	(82,500)	MDFTPP03884917	MDFTPP03884919	STESAF0000183
4/1/2008	CHECK	(5,500)	-	(5,500)	-	-	(88,000)	MDFTPP03884924	MDFTPP03884929	STESAF0003685
7/1/2008	CHECK	(5,500)	-	(5,500)	-	-	(93,500)	MDFTPP03884941	MDFTPP03884942	STESAF0010891
10/1/2008	CHECK	(5,500)	-	(5,500)	-	-	(99,000)	MDFTPP03884956	MDFTPP03884958	STESAF0017816
	<b>Total:</b>		<b>\$ 366,248</b>	<b>\$ (392,350)</b>	<b>\$ -</b>	<b>\$ (72,898)</b>	<b>\$ (99,000)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW134 - CHARLES IS ASSOCIATES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>11</sup>
1/25/1995	CHECK	450,000	450,000	-	-	-	450,000	MF00223943	MF00223943	
3/8/1995	CHECK	120,000	120,000	-	-	-	570,000	MF00181592	MF00181594	STESAC0079422
5/31/1995	CHECK	20,000	20,000	-	-	-	590,000	MF00190824	MF00190825	STESAC0080782
6/30/1995	CHECK	25,000	25,000	-	-	-	615,000	MF00164959	MF00164961	STESAC0081410
9/8/1995	CHECK	93,000	93,000	-	-	-	708,000	MF00127566	MF00127570	
9/29/1995	CHECK WIRE	36,000	36,000	-	-	-	744,000	MF00127566	MF00127570	
10/2/1995	CHECK WIRE	102,000	102,000	-	-	-	846,000	MF00117249	MF00117251	STESAC0083822
10/3/1995	CHECK WIRE	1,198,000	1,198,000	-	-	-	2,044,000	MF00117249	MF00117251	STESAC0083822
10/23/1995	CHECK	175,000	175,000	-	-	-	2,219,000	MF00117249	MF00117251	STESAC0083822
12/28/1995	CHECK	115,000	115,000	-	-	-	2,334,000	MDPTPP03900400	MDPTPP03900404	
4/9/1996	CHECK	45,500	45,500	-	-	-	2,379,500	MDPTPP03900427	MDPTPP03900432	
8/16/1996	CHECK	(2,810,664)	-	(2,810,664)	-	-	(431,164)	MDPTPP03900457	MDPTPP03900460	
9/20/1996	CHECK	(6,367)	-	(6,367)	-	-	(437,531)	MDPTPP03900462	MDPTPP03900463	
	<b>Total:</b>		<b>\$ 2,379,500</b>	<b>\$ (2,817,031)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (437,531)</b>			

<sup>11</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW154 - IRIS J KATZ C/O STERLING EQUITES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
3/12/1996	CHECK WIRE	4,855,571	4,855,571	-	-	-	4,855,571	MDFTPP03922174	MDFTPP03922177	
3/26/1996	CHECK WIRE	2,500,000	2,500,000	-	-	-	7,355,571	MDFTPP03922174	MDFTPP03922177	
4/18/1996	CHECK WIRE	1,700,000	1,700,000	-	-	-	9,055,571	MDFTPP03922179	MDFTPP03922184	
4/19/1996	TRANS FROM IKW02430 (IKW024)	3,000,000 (1)	-	-	-	-	9,055,571	MDFTPP03922179	MDFTPP03922184	
4/25/1996	CHECK WIRE	800,000	2,500,000	-	-	-	11,555,571	MDFTPP03922179	MDFTPP03922184	
4/30/1996	CHECK WIRE	800,000	800,000	-	-	-	12,355,571	MDFTPP03922179	MDFTPP03922184	
7/1/1996	CHECK	(42,000)	-	(42,000)	-	-	12,313,571	MDFTPP03922205	MDFTPP03922210	
8/1/1996	CHECK	(42,000)	-	(42,000)	-	-	12,271,571	MDFTPP03922213	MDFTPP03922216	
9/3/1996	CHECK	(42,000)	-	(42,000)	-	-	12,229,571	MDFTPP03922218	MDFTPP03922222	
9/30/1996	CHECK WIRE	(750,000)	-	(750,000)	-	-	11,479,571	MDFTPP03922218	MDFTPP03922222	
10/1/1996	CHECK	(42,000)	-	(42,000)	-	-	11,437,571	MDFTPP03922224	MDFTPP03922228	
11/1/1996	CHECK	(42,000)	-	(42,000)	-	-	11,395,571	MDFTPP03922231	MDFTPP03922234	
12/2/1996	CHECK	(42,000)	-	(42,000)	-	-	11,353,571	MDFTPP03922236	MDFTPP03922240	
12/19/1996	CHECK	(1,750,000)	-	(1,750,000)	-	-	9,603,571	MDFTPP03922236	MDFTPP03922240	
12/19/1997	CHECK	(42,000)	-	(42,000)	-	-	9,561,571	MDFTPP03922242	MDFTPP03922246	
2/3/1997	CHECK	(42,000)	-	(42,000)	-	-	9,519,571	MDFTPP03922248	MDFTPP03922251	STESAC0059664
3/3/1997	CHECK	(42,000)	-	(42,000)	-	-	9,477,571	MDFTPP03922253	MDFTPP03922258	STESAC0048221
3/25/1997	CHECK WIRE	(307,125)	-	(307,125)	-	-	9,170,446	MDFTPP03922253	MDFTPP03922258	STESAC0048223
4/1/1997	CHECK	(42,000)	-	(42,000)	-	-	9,128,446	MDFTPP03922260	MDFTPP03922266	STESAD00038411
4/7/1997	CHECK	(420,625)	-	(420,625)	-	-	8,707,821	MDFTPP03922260	MDFTPP03922266	
5/1/1997	CHECK	(42,000)	-	(42,000)	-	-	8,665,821	MDFTPP03922268	MDFTPP03922271	
5/9/1997	CHECK	(500,000)	-	(500,000)	-	-	8,165,821	MDFTPP03922268	MDFTPP03922271	
6/2/1997	CHECK	(500,000)	-	(500,000)	-	-	7,665,821	MDFTPP03922273	MDFTPP03922278	STESAD00040351
6/4/1997	CHECK	(42,000)	-	(42,000)	-	-	7,623,821	MDFTPP03922273	MDFTPP03922278	STESAD00040351
7/1/1997	CHECK	(42,000)	-	(42,000)	-	-	7,581,821	MDFTPP03922280	MDFTPP03922283	STESAD00041254
7/1/1997	CHECK WIRE	(500,000)	-	(500,000)	-	-	7,081,821	MDFTPP03922280	MDFTPP03922283	STESAD00041254
7/3/1997	CHECK WIRE	(325,000)	-	(325,000)	-	-	6,756,821	MDFTPP03922283	MDFTPP03922288	STESAD00041254
8/1/1997	CHECK	(42,000)	-	(42,000)	-	-	6,714,821	MDFTPP03922285	MDFTPP03922289	STESAD00041971
9/2/1997	CHECK	(542,000)	-	(542,000)	-	-	6,172,821	MDFTPP03922291	MDFTPP03922294	STESAD00043066
9/12/1997	CHECK	(400,000)	-	(400,000)	-	-	5,772,821	MDFTPP03922291	MDFTPP03922294	STESAD00043066
9/18/1997	CHECK	(140,625)	-	(140,625)	-	-	5,632,196	MDFTPP03922291	MDFTPP03922294	STESAD00043066
10/1/1997	CHECK	(542,000)	-	(542,000)	-	-	5,090,196	MDFTPP03922296	MDFTPP03922298	STESAD00044097
10/24/1997	CHECK	(136,600)	-	(136,600)	-	-	4,953,596	MDFTPP03922296	MDFTPP03922298	STESAD00044098
11/3/1997	CHECK	(42,000)	-	(42,000)	-	-	4,911,596	MDFTPP03922300	MDFTPP03922304	STESAD00045563
12/1/1997	CHECK	(42,000)	-	(42,000)	-	-	4,869,596	MDFTPP03922307	MDFTPP03922311	STESAC0028335
1/2/1998	CHECK	(42,000)	-	(42,000)	-	-	4,827,596	MDFTPP03922313	MDFTPP03922319	STESAC0028335
1/20/1998	CHECK	(250,000)	-	(250,000)	-	-	4,577,596	MDFTPP03922313	MDFTPP03922319	STESAC0028335
1/20/1998	TRANS FROM IKW02430 (IKW024)	250,000 (1)	-	-	-	-	4,577,596	MDFTPP03922313	MDFTPP03922319	STESAC0028335
2/2/1998	CHECK	(250,000)	-	(250,000)	-	-	4,327,596	MDFTPP03922321	MDFTPP03922326	STESAC0029762
2/10/1998	CHECK	(42,000)	-	(42,000)	-	-	4,285,596	MDFTPP03922321	MDFTPP03922326	STESAC0029762
3/2/1998	CHECK	(292,000)	-	(292,000)	-	-	3,993,596	MDFTPP03922328	MDFTPP03922334	STESAC0031327
4/1/1998	CHECK	(292,000)	-	(292,000)	-	-	3,701,596	MDFTPP03922336	MDFTPP03922340	STESAC0023241
4/16/1998	CHECK	(500,000)	-	(500,000)	-	-	3,201,596	MDFTPP03922336	MDFTPP03922340	STESAC0023241
5/1/1998	CHECK	(292,000)	-	(292,000)	-	-	2,909,596	MDFTPP03922342	MDFTPP03922351	STESAC0024905
6/1/1998	CHECK	(292,000)	-	(292,000)	-	-	2,617,596	MDFTPP03922354	MDFTPP03922358	STESAC0026710
6/12/1998	CHECK	(500,000)	-	(500,000)	-	-	2,117,596	MDFTPP03922354	MDFTPP03922358	STESAC0026710
7/1/1998	CHECK	(292,000)	-	(292,000)	-	-	1,825,596	MDFTPP03922360	MDFTPP03922362	STESAC0049109
8/3/1998	CHECK	(42,000)	-	(42,000)	-	-	1,783,596	MDFTPP03922364	MDFTPP03922368	
8/11/1998	CHECK	(177,500)	-	(177,500)	-	-	1,606,096	MDFTPP03922364	MDFTPP03922368	
8/11/1998	TRANS TO IKW23530 (IKW235)	(1,000,000) (2)	-	-	-	(535,365)	1,070,731	MDFTPP03922364	MDFTPP03922368	
8/11/1998	TRANS TO IKW23730 (IKW237)	(1,000,000) (2)	-	-	-	(535,365)	535,365	MDFTPP03922364	MDFTPP03922368	
8/11/1998	TRANS TO IKW23630 (IKW236)	(1,000,000) (2)	-	-	-	(535,365)	-	MDFTPP03922364	MDFTPP03922368	
9/1/1998	CHECK	(42,000)	-	(42,000)	-	-	(42,000)	MDFTPP03922370	MDFTPP03922376	STESAC0042653
10/1/1998	CHECK	(42,000)	-	(42,000)	-	-	(84,000)	MDFTPP03922378	MDFTPP03922383	STESAC0044782
11/2/1998	CHECK	(42,000)	-	(42,000)	-	-	(126,000)	MDFTPP03922385	MDFTPP03922389	STESAC0046628
11/20/1998	CHECK	(492,000)	-	(492,000)	-	-	(618,000)	MDFTPP03922385	MDFTPP03922389	STESAC0046630
12/1/1998	CHECK	(42,000)	-	(42,000)	-	-	(660,000)	MDFTPP03922391	MDFTPP03922395	STESAC0052524



BLMIS ACCOUNT NO. IKW154 - IRIS J KATZ C/O STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
1/4/1999	CHECK	(42,000)	-	(42,000)	-	-	(702,000)	MDPTPP03922397	MDPTPP03922404	STESAC0053983
1/4/1999	CHECK WIRE	(300,000)	-	(300,000)	-	-	(1,002,000)	MDPTPP03922397	MDPTPP03922404	STESAC0053983
2/1/1999	CHECK	(42,000)	-	(42,000)	-	-	(1,044,000)	MDPTPP03922406	MDPTPP03922412	STESAC0055780
3/1/1999	CHECK	(42,000)	-	(42,000)	-	-	(1,086,000)	MDPTPP03922414	MDPTPP03922424	STESAC0057728
3/29/1999	CHECK	(348,775)	-	(348,775)	-	-	(1,434,775)	MDPTPP03922414	MDPTPP03922424	STESAC0057744
3/29/1999	TRANS TO IKW17530 (IKW75)	(662,500) <sup>(B)</sup>	-	-	-	-	(1,434,775)	MDPTPP03922414	MDPTPP03922424	STESAC0057744
4/1/1999	CHECK	(42,000)	-	(42,000)	-	-	(1,476,775)	MDPTPP03922427	MDPTPP03922429	STESAC0061130
4/13/1999	CHECK	(286,000)	-	(286,000)	-	-	(1,762,775)	MDPTPP03922427	MDPTPP03922429	STESAC0061130
5/3/1999	CHECK	(42,000)	-	(42,000)	-	-	(1,804,775)	MDPTPP03922431	MDPTPP03922436	STESAC0061989
5/26/1999	TRANS FROM IKW23730 (IKW237)	29,570	-	-	29,570	-	(1,775,205)	MDPTPP03922431	MDPTPP03922436	STESAC0061995
5/26/1999	TRANS FROM IKW23530 (IKW235)	30,770	-	-	30,770	-	(1,744,435)	MDPTPP03922431	MDPTPP03922436	STESAC0061995
5/26/1999	TRANS FROM IKW23630 (IKW236)	30,770	-	-	30,770	-	(1,713,665)	MDPTPP03922431	MDPTPP03922436	STESAC0061995
6/1/1999	CHECK	(42,000)	-	(42,000)	-	-	(1,755,665)	MDPTPP03922438	MDPTPP03922443	STESAD0028382
7/1/1999	CHECK	(42,000)	-	(42,000)	-	-	(1,797,665)	MDPTPP03922448	MDPTPP03922448	STESAD0028382
7/20/1999	CHECK	(295,000)	-	(295,000)	-	-	(2,092,665)	MDPTPP03922448	MDPTPP03922448	STESAD0028382
8/2/1999	CHECK	(42,000)	-	(42,000)	-	-	(2,134,665)	MDPTPP03922450	MDPTPP03922455	STESAD0030294
8/12/1999	CHECK	(300,000)	-	(300,000)	-	-	(2,434,665)	MDPTPP03922450	MDPTPP03922455	STESAD0030294
8/23/1999	TRANS FROM IKW23630 (IKW236)	52,780	-	-	52,780	-	(2,381,885)	MDPTPP03922450	MDPTPP03922455	STESAD0030294
8/23/1999	TRANS FROM IKW23730 (IKW237)	48,230	-	-	48,230	-	(2,333,655)	MDPTPP03922450	MDPTPP03922455	STESAD0030294
9/1/1999	CHECK	(42,000)	-	(42,000)	-	-	(2,290,975)	MDPTPP03922450	MDPTPP03922455	STESAD0030294
9/14/1999	CHECK	(227,500)	-	(227,500)	-	-	(2,560,475)	MDPTPP03922457	MDPTPP03922460	STESAD0032301
9/15/1999	CHECK	(272,500)	-	(272,500)	-	-	(2,832,975)	MDPTPP03922457	MDPTPP03922460	STESAD0032301
10/1/1999	CHECK	(42,000)	-	(42,000)	-	-	(2,874,975)	MDPTPP03922462	MDPTPP03922464	STESAD0032301
10/27/1999	CHECK	(205,000)	-	(205,000)	-	-	(3,079,975)	MDPTPP03922462	MDPTPP03922464	STESAD0032301
11/1/1999	CHECK	(42,000)	-	(42,000)	-	-	(3,121,975)	MDPTPP03922466	MDPTPP03922470	STESAD0034739
12/1/1999	CHECK	(42,000)	-	(42,000)	-	-	(3,163,975)	MDPTPP03922472	MDPTPP03922474	STESAF0074613
12/6/1999	TRANS TO IKW27330 (IKW272)	(254,000) <sup>(B)</sup>	-	-	-	-	(3,163,975)	MDPTPP03922472	MDPTPP03922474	STESAF0074613
12/13/1999	CHECK	(107,500)	-	(107,500)	-	-	(3,271,475)	MDPTPP03922472	MDPTPP03922474	STESAF0074613
12/17/1999	CHECK	(352,563)	-	(352,563)	-	-	(3,624,038)	MDPTPP03922472	MDPTPP03922474	STESAF0074613
12/27/1999	CHECK	(145,000)	-	(145,000)	-	-	(3,769,038)	MDPTPP03922472	MDPTPP03922474	STESAF0074613
1/3/2000	CHECK	107,500	107,500	-	-	-	(3,661,538)	MDPTPP03922472	MDPTPP03922474	STESAF0074613
1/10/2000	CHECK	(42,000)	-	(42,000)	-	-	(3,703,538)	MDPTPP03922476	MDPTPP03922480	STESAF0076356
1/21/2000	CHECK	(90,000)	-	(90,000)	-	-	(3,793,538)	MDPTPP03922476	MDPTPP03922480	STESAF0076356
1/25/2000	CHECK	(17,187)	-	(17,187)	-	-	(3,810,725)	MDPTPP03922476	MDPTPP03922480	STESAF0076358
2/1/2000	CHECK	83,351	83,351	-	-	-	(3,727,374)	MDPTPP03922476	MDPTPP03922480	STESAF0076360
2/15/2000	CHECK WIRE	(42,000)	-	(42,000)	-	-	(3,769,374)	MDPTPP03922482	MDPTPP03922485	STESAF0078451
3/1/2000	CHECK	(500,000)	-	(500,000)	-	-	(4,269,374)	MDPTPP03922482	MDPTPP03922485	STESAF0078451
4/3/2000	CHECK	(42,000)	-	(42,000)	-	-	(4,311,374)	MDPTPP03922487	MDPTPP03922492	STESAF0070823
4/4/2000	CHECK	(42,000)	-	(42,000)	-	-	(4,353,374)	MDPTPP03922494	MDPTPP03922496	STESAF0073062
5/1/2000	CHECK	(54,000)	-	(54,000)	-	-	(4,407,374)	MDPTPP03922494	MDPTPP03922496	STESAF0073062
5/3/2000	CHECK	(54,000)	-	(54,000)	-	-	(4,461,374)	MDPTPP03922498	MDPTPP03922503	STESAF0073062
5/10/2000	CHECK	(125,000)	-	(125,000)	-	-	(4,586,374)	MDPTPP03922498	MDPTPP03922503	STESAF0073062
5/10/2000	CHECK	(42,000)	-	(42,000)	-	-	(4,628,374)	MDPTPP03922498	MDPTPP03922503	STESAF0061596
6/1/2000	CHECK	(96,000)	-	(96,000)	-	-	(4,724,374)	MDPTPP03922505	MDPTPP03922507	STESAF0065493
7/3/2000	CHECK	(96,000)	-	(96,000)	-	-	(4,820,374)	MDPTPP03922509	MDPTPP03922515	STESAF0065493
7/12/2000	CHECK	(70,000)	-	(70,000)	-	-	(4,890,374)	MDPTPP03922509	MDPTPP03922515	STESAF0065493
7/26/2000	CHECK	(216,100)	-	(216,100)	-	-	(5,106,474)	MDPTPP03922509	MDPTPP03922515	STESAF0065493
8/1/2000	CHECK	(13,438)	-	(13,438)	-	-	(5,119,912)	MDPTPP03922509	MDPTPP03922515	STESAF0065497
9/1/2000	CHECK	(96,000)	-	(96,000)	-	-	(5,215,912)	MDPTPP03922518	MDPTPP03922522	STESAF0065363
9/11/2000	CHECK	(96,000)	-	(96,000)	-	-	(5,311,912)	MDPTPP03922524	MDPTPP03922529	STESAF0068062
10/2/2000	CHECK	(728,300)	-	(728,300)	-	-	(6,040,212)	MDPTPP03922524	MDPTPP03922529	STESAF0068062
11/1/2000	CHECK	(96,000)	-	(96,000)	-	-	(6,136,212)	MDPTPP03922531	MDPTPP03922535	STESAC0009490
12/1/2000	CHECK	(96,000)	-	(96,000)	-	-	(6,232,212)	MDPTPP03922537	MDPTPP03922542	STESAC00097143
12/2001	CHECK	(96,000)	-	(96,000)	-	-	(6,328,212)	MDPTPP03922545	MDPTPP03922549	STESAC00097143
2/1/2001	CHECK	(96,000)	-	(96,000)	-	-	(6,424,212)	MDPTPP03922551	MDPTPP03922556	STESAC00097143
3/1/2001	CHECK	(96,000)	-	(96,000)	-	-	(6,520,212)	MDPTPP03922558	MDPTPP03922561	STESAF0011119
3/1/2001	CHECK	(96,000)	-	(96,000)	-	-	(6,616,212)	MDPTPP03922563	MDPTPP03922568	STESAF0011119

BLMIS ACCOUNT NO. IKW154 - IRIS J KATZ C/O STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
4/2/2001	CHECK	(96,000)	-	(96,000)	-	-	(6,712,212)	MDFTPP03922570	MDFTPP03922573	
5/1/2001	CHECK	(96,000)	-	(96,000)	-	-	(6,808,212)	MDFTPP03922575	MDFTPP03922577	
6/1/2001	CHECK	(54,000)	-	(54,000)	-	-	(6,862,212)	MDFTPP03922579	MDFTPP03922583	
7/2/2001	CHECK	(42,000)	-	(42,000)	-	-	(6,904,212)	MDFTPP03922585	MDFTPP03922588	
8/1/2001	CHECK	(42,000)	-	(42,000)	-	-	(6,946,212)	MDFTPP03922590	MDFTPP03922595	
9/4/2001	CHECK	(42,000)	-	(42,000)	-	-	(6,988,212)	MDFTPP03922597	MDFTPP03922600	
10/1/2001	CHECK	(42,000)	-	(42,000)	-	-	(7,030,212)	MDFTPP03922602	MDFTPP03922606	STESAC0032908
11/1/2001	CHECK	(42,000)	-	(42,000)	-	-	(7,072,212)	MDFTPP03922608	MDFTPP03922612	STESAD0076155
12/3/2001	CHECK	(60,000)	-	(60,000)	-	-	(7,132,212)	MDFTPP03922614	MDFTPP03922616	STESAD0078376
1/2/2002	CHECK	(60,000)	-	(60,000)	-	-	(7,192,212)	MDFTPP03922618	MDFTPP03922623	STESAD0047118
2/1/2002	CHECK	(60,000)	-	(60,000)	-	-	(7,252,212)	MDFTPP03922625	MDFTPP03922628	STESAD0049827
3/1/2002	CHECK	(60,000)	-	(60,000)	-	-	(7,312,212)	MDFTPP03922630	MDFTPP03922635	STESAD0055559
4/1/2002	CHECK	(60,000)	-	(60,000)	-	-	(7,372,212)	MDFTPP03922638	MDFTPP03922643	STESAD0052444
5/1/2002	CHECK	(60,000)	-	(60,000)	-	-	(7,432,212)	MDFTPP03922645	MDFTPP03922650	STESAC0072506
5/10/2002	CHECK WIRE	(60,000)	-	(60,000)	-	-	(7,492,212)	MDFTPP03922645	MDFTPP03922650	STESAC0072510
5/15/2002	CXL CHECK 5/1/02	60,000	-	60,000	-	-	(7,432,212)	MDFTPP03922645	MDFTPP03922650	STESAC0072510
6/3/2002	CHECK	(60,000)	-	(60,000)	-	-	(7,492,212)	MDFTPP03922652	MDFTPP03922656	STESAC0068238
6/24/2002	CHECK	(1,500,000)	-	(1,500,000)	-	-	(8,992,212)	MDFTPP03922652	MDFTPP03922656	STESAC0068242
7/1/2002	CHECK	(60,000)	-	(60,000)	-	-	(9,052,212)	MDFTPP03922658	MDFTPP03922663	STESAC0064142
8/1/2002	CHECK	(60,000)	-	(60,000)	-	-	(9,112,212)	MDFTPP03922665	MDFTPP03922669	STESAC0065517
9/3/2002	CHECK	(60,000)	-	(60,000)	-	-	(9,172,212)	MDFTPP03922671	MDFTPP03922673	STESAC0040347
10/1/2002	CHECK	(60,000)	-	(60,000)	-	-	(9,232,212)	MDFTPP03922675	MDFTPP03922679	STESAC0038167
11/1/2002	CHECK	(60,000)	-	(60,000)	-	-	(9,292,212)	MDFTPP03922681	MDFTPP03922684	STESAC0036404
12/2/2002	CHECK	(60,000)	-	(60,000)	-	-	(9,352,212)	MDFTPP03922686	MDFTPP03922688	STESAD0064061
1/2/2003	CHECK	(60,000)	-	(60,000)	-	-	(9,412,212)	MDFTPP03922690	MDFTPP03922695	STESAC0113917
2/3/2003	CHECK	(60,000)	-	(60,000)	-	-	(9,472,212)	MDFTPP03922697	MDFTPP03922700	STESAC0116939
2/13/2003	CHECK	70,000	70,000	-	-	-	(9,402,212)	MDFTPP03922697	MDFTPP03922700	STESAC0116939
3/3/2003	CHECK	(60,000)	-	(60,000)	-	-	(9,462,212)	MDFTPP03922702	MDFTPP03922707	STESAC0108676
3/5/2003	RETURNED CHECK	60,000	-	60,000	-	-	(9,402,212)	MDFTPP03922702	MDFTPP03922707	STESAC0108676
4/9/2003	CHECK	(78,080)	-	(78,080)	-	-	(9,480,292)	MDFTPP03922709	MDFTPP03922710	STESAC0111824
5/1/2003	CHECK	(60,000)	-	(60,000)	-	-	(9,540,292)	MDFTPP03922712	MDFTPP03922713	STESAD0022967
5/27/2003	TRANS FROM IKW01430 (IKW014)	60,000 <sup>(1)</sup>	-	-	-	-	(9,540,292)	MDFTPP03922712	MDFTPP03922713	STESAD0022967
		<b>Total:</b>	<b>\$ 12,616,422</b>	<b>\$ (20,785,418)</b>	<b>\$ 234,800</b>	<b>\$ (1,606,096)</b>	<b>\$ (9,540,292)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(3)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(4)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BELMIS ACCOUNT NO. 1KW155 - JUDITH A WILPON C/O STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>al</sup>
3/12/1996	CHECK WIRE	4,855,571	4,855,571	-	-	-	4,855,571	MDPTPP03923656	MDPTPP03923659	
3/26/1996	CHECK WIRE	2,500,000	2,500,000	-	-	-	7,355,571	MDPTPP03923656	MDPTPP03923659	
4/18/1996	CHECK WIRE	1,700,000	1,700,000	-	-	-	9,055,571	MDPTPP03923661	MDPTPP03923666	
4/19/1996	TRANS FROM 1KW06730 (1KW067)	3,000,000 <sup>(1)</sup>	-	-	-	-	9,055,571	MDPTPP03923661	MDPTPP03923666	
4/25/1996	CHECK WIRE	2,500,000	2,500,000	-	-	-	11,555,571	MDPTPP03923666	MDPTPP03923666	
4/30/1996	CHECK WIRE	800,000	800,000	-	-	-	12,355,571	MDPTPP03923661	MDPTPP03923666	
7/1/1996	CHECK	(42,000)	-	(42,000)	-	-	12,313,571	MDPTPP03923687	MDPTPP03923692	
8/1/1996	CHECK	(42,000)	-	(42,000)	-	-	12,271,571	MDPTPP03923695	MDPTPP03923704	
9/3/1996	CHECK	(42,000)	-	(42,000)	-	-	12,229,571	MDPTPP03923700	MDPTPP03923704	
10/1/1996	CHECK	(42,000)	-	(42,000)	-	-	12,187,571	MDPTPP03923706	MDPTPP03923710	
11/1/1996	CHECK	(42,000)	-	(42,000)	-	-	12,145,571	MDPTPP03923713	MDPTPP03923716	
11/20/1996	CHECK	(300,000)	-	(300,000)	-	-	11,845,571	MDPTPP03923718	MDPTPP03923722	
12/2/1996	CHECK	(42,000)	-	(42,000)	-	-	11,803,571	MDPTPP03923718	MDPTPP03923722	
12/19/1996	CHECK	(2,300,000)	-	(2,300,000)	-	-	9,503,571	MDPTPP03923718	MDPTPP03923722	
12/19/1997	CHECK	(42,000)	-	(42,000)	-	-	9,461,571	MDPTPP03923724	MDPTPP03923728	
2/3/1997	CHECK	(42,000)	-	(42,000)	-	-	9,419,571	MDPTPP03923730	MDPTPP03923733	
3/3/1997	CHECK	(42,000)	-	(42,000)	-	-	9,377,571	MDPTPP03923735	MDPTPP03923740	
3/25/1997	CHECK WIRE	(455,625)	-	(455,625)	-	-	8,921,946	MDPTPP03923735	MDPTPP03923740	STESAC0048230
4/1/1997	CHECK	(42,000)	-	(42,000)	-	-	8,879,946	MDPTPP03923742	MDPTPP03923748	STESAD00038419
4/7/1997	CHECK	(420,625)	-	(420,625)	-	-	8,459,321	MDPTPP03923742	MDPTPP03923748	STESAD00038419
5/1/1997	CHECK	(42,000)	-	(42,000)	-	-	8,417,321	MDPTPP03923750	MDPTPP03923753	STESAD00039055
6/2/1997	CHECK	(42,000)	-	(42,000)	-	-	8,375,321	MDPTPP03923755	MDPTPP03923760	STESAD00040365
7/1/1997	CHECK	(42,000)	-	(42,000)	-	-	8,333,321	MDPTPP03923762	MDPTPP03923765	STESAD00041259
7/3/1997	CHECK WIRE	(325,000)	-	(325,000)	-	-	8,008,321	MDPTPP03923762	MDPTPP03923765	STESAD00041259
8/1/1997	CHECK	(42,000)	-	(42,000)	-	-	7,966,321	MDPTPP03923767	MDPTPP03923771	STESAD00041977
9/2/1997	CHECK	(42,000)	-	(42,000)	-	-	7,924,321	MDPTPP03923773	MDPTPP03923776	STESAD00043076
9/12/1997	CHECK	(506,000)	-	(506,000)	-	-	7,418,321	MDPTPP03923776	MDPTPP03923776	STESAD00043076
9/18/1997	CHECK	(140,625)	-	(140,625)	-	-	7,277,696	MDPTPP03923773	MDPTPP03923776	STESAD00043076
10/1/1997	CHECK	(42,000)	-	(42,000)	-	-	7,235,696	MDPTPP03923778	MDPTPP03923780	STESAD00044101
10/24/1997	CHECK	(136,600)	-	(136,600)	-	-	7,099,096	MDPTPP03923778	MDPTPP03923780	STESAD00044102
11/3/1997	CHECK	(42,000)	-	(42,000)	-	-	7,057,096	MDPTPP03923782	MDPTPP03923786	STESAD00045577
11/21/1997	CHECK	(775,000)	-	(775,000)	-	-	6,282,096	MDPTPP03923782	MDPTPP03923786	STESAD00045577
12/1/1997	CHECK	(42,000)	-	(42,000)	-	-	6,240,096	MDPTPP03923789	MDPTPP03923793	
12/29/1997	CHECK	(337,500)	-	(337,500)	-	-	5,902,596	MDPTPP03923789	MDPTPP03923793	
12/1998	CHECK	(42,000)	-	(42,000)	-	-	5,860,596	MDPTPP03923795	MDPTPP03923801	STESAC0028351
1/2/1998	TRANS TO 1KW21080 (1KW210)	(1,500,000)	-	-	-	(1,500,000)	4,360,596	MDPTPP03923795	MDPTPP03923801	STESAC0028351
1/13/1998	CHECK	(40,000)	-	(40,000)	-	-	4,320,596	MDPTPP03923795	MDPTPP03923801	STESAC0028351
2/2/1998	CHECK	(42,000)	-	(42,000)	-	-	4,278,596	MDPTPP03923803	MDPTPP03923808	STESAC0029776
2/19/1998	TRANS TO 1KW206 A/O 12/31/97 (1	(220,000)	-	-	-	(220,000)	4,058,596	MDPTPP03923803	MDPTPP03923808	STESAC0029776
3/2/1998	CHECK	(42,000)	-	(42,000)	-	-	4,016,596	MDPTPP03923810	MDPTPP03923816	STESAC0031343
4/1/1998	CHECK	(42,000)	-	(42,000)	-	-	3,974,596	MDPTPP03923818	MDPTPP03923821	STESAC0023253
5/1/1998	CHECK	(42,000)	-	(42,000)	-	-	3,932,596	MDPTPP03923823	MDPTPP03923832	STESAC0024929
6/1/1998	CHECK	(42,000)	-	(42,000)	-	-	3,890,596	MDPTPP03923835	MDPTPP03923839	STESAC0026722
7/1/1998	CHECK	(42,000)	-	(42,000)	-	-	3,848,596	MDPTPP03923841	MDPTPP03923846	STESAC0049117
8/3/1998	CHECK	(42,000)	-	(42,000)	-	-	3,806,596	MDPTPP03923848	MDPTPP03923853	STESAC0050661
8/11/1998	CHECK	(87,500)	-	(87,500)	-	-	3,719,096	MDPTPP03923848	MDPTPP03923853	STESAC0050663
8/11/1998	TRANS TO 1KW23430 (1KW234)	(1,000,000)	-	-	-	(1,000,000)	2,719,096	MDPTPP03923848	MDPTPP03923853	STESAC0050663
8/11/1998	TRANS TO 1KW23350 (1KW233)	(1,000,000)	-	-	-	(1,000,000)	1,719,096	MDPTPP03923848	MDPTPP03923853	STESAC0050663
8/11/1998	TRANS TO 1KW23230 (1KW232)	(1,000,000)	-	-	-	(1,000,000)	719,096	MDPTPP03923848	MDPTPP03923853	STESAC0050663
9/1/1998	CHECK	(42,000)	-	(42,000)	-	-	677,096	MDPTPP03923855	MDPTPP03923865	STESAC0042669
10/1/1998	CHECK	(42,000)	-	(42,000)	-	-	635,096	MDPTPP03923868	MDPTPP03923876	STESAC0044796
11/2/1998	CHECK	(42,000)	-	(42,000)	-	-	593,096	MDPTPP03923879	MDPTPP03923884	STESAC0046644
11/20/1998	CHECK	(492,000)	-	(492,000)	-	-	101,096	MDPTPP03923879	MDPTPP03923884	STESAC0046646
12/1/1998	CHECK	(42,000)	-	(42,000)	-	-	59,096	MDPTPP03923887	MDPTPP03923891	STESAC0052266
1/4/1999	CHECK WIRE	(300,000)	-	(300,000)	-	-	(240,904)	MDPTPP03923893	MDPTPP03923900	STESAC0054001
1/4/1999	CHECK	(42,000)	-	(42,000)	-	-	(282,904)	MDPTPP03923893	MDPTPP03923900	STESAC0054001
1/6/1999	CHECK WIRE	(300,000)	-	(300,000)	-	-	(582,904)	MDPTPP03923893	MDPTPP03923900	STESAC0054001
1/13/1999	CHECK	(1,015,275)	-	(1,015,275)	-	-	(1,598,179)	MDPTPP03923893	MDPTPP03923900	STESAC0054001

BELMIS ACCOUNT NO. 1KW155 - JUDITH A WILPON C/O STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>al</sup>
1/28/1999	CHECK	(327,000)	-	(327,000)	-	-	(1,925,179)	MDFTPP03923893	MDFTPP03923900	STESAC0054011
2/1/1999	CHECK	(42,000)	-	(42,000)	-	-	(1,967,179)	MDFTPP03923902	MDFTPP03923908	STESAC0055796
3/1/1999	CHECK	(42,000)	-	(42,000)	-	-	(2,009,179)	MDFTPP03923910	MDFTPP03923920	STESAC0057754
3/29/1999	CHECK	(420,965)	-	(420,965)	-	-	(2,430,144)	MDFTPP03923910	MDFTPP03923920	STESAC0057770
3/29/1999	TRANS TO 1KW17530 (1KW175)	(1,512,500) [2]	-	-	-	-	(2,430,144)	MDFTPP03923910	MDFTPP03923920	STESAC0057770
4/1/1999	CHECK	(42,000)	-	(42,000)	-	-	(2,472,144)	MDFTPP03923923	MDFTPP03923925	STESAC0060370
5/3/1999	CHECK	(42,000)	-	(42,000)	-	-	(2,514,144)	MDFTPP03923927	MDFTPP03923932	STESAC0062003
5/26/1999	TRANS FROM 1KW23430 (1KW234)	29,570	-	-	29,570	-	(2,484,574)	MDFTPP03923927	MDFTPP03923932	STESAC0062009
5/26/1999	TRANS FROM 1KW23230 (1KW232)	30,770	-	-	30,770	-	(2,453,804)	MDFTPP03923927	MDFTPP03923932	STESAC0062009
5/26/1999	TRANS FROM 1KW23330 (1KW233)	30,770	-	-	30,770	-	(2,423,034)	MDFTPP03923927	MDFTPP03923932	STESAC0062009
6/1/1999	CHECK	(42,000)	-	(42,000)	-	-	(2,465,034)	MDFTPP03923934	MDFTPP03923939	STESAC0062009
7/1/1999	CHECK	(42,000)	-	(42,000)	-	-	(2,507,034)	MDFTPP03923941	MDFTPP03923944	STESAD0028392
7/20/1999	CHECK	(95,000)	-	(95,000)	-	-	(2,602,034)	MDFTPP03923941	MDFTPP03923944	STESAD0028392
8/2/1999	CHECK	(42,000)	-	(42,000)	-	-	(2,644,034)	MDFTPP03923946	MDFTPP03923951	STESAD0030308
8/12/1999	CHECK	(300,000)	-	(300,000)	-	-	(2,944,034)	MDFTPP03923946	MDFTPP03923951	STESAD0030308
8/23/1999	TRANS FROM 1KW23330 (1KW233)	52,780	-	-	52,780	-	(2,891,254)	MDFTPP03923946	MDFTPP03923951	STESAD0030308
8/23/1999	TRANS FROM 1KW23430 (1KW234)	42,680	-	-	42,680	-	(2,848,574)	MDFTPP03923946	MDFTPP03923951	STESAD0030308
8/23/1999	TRANS FROM 1KW23230 (1KW232)	52,780	-	-	52,780	-	(2,795,794)	MDFTPP03923946	MDFTPP03923951	STESAD0030308
9/1/1999	CHECK	(42,000)	-	(42,000)	-	-	(2,837,794)	MDFTPP03923953	MDFTPP03923956	STESAD0032311
9/15/1999	CHECK	(900,000)	-	(900,000)	-	-	(3,737,794)	MDFTPP03923953	MDFTPP03923956	STESAD0032311
10/1/1999	CHECK	(42,000)	-	(42,000)	-	-	(3,779,794)	MDFTPP03923958	MDFTPP03923960	STESAD0032311
10/18/1999	CHECK	(100,000)	-	(100,000)	-	-	(3,879,794)	MDFTPP03923958	MDFTPP03923960	STESAD0032311
10/20/1999	CHECK	(50,000)	-	(50,000)	-	-	(3,929,794)	MDFTPP03923958	MDFTPP03923960	STESAD0032311
11/1/1999	CHECK	(42,000)	-	(42,000)	-	-	(3,971,794)	MDFTPP03923962	MDFTPP03923967	STESAD0033913
11/26/1999	CHECK	(100,000)	-	(100,000)	-	-	(4,071,794)	MDFTPP03923962	MDFTPP03923967	STESAD0033913
12/1/1999	CHECK	(42,000)	-	(42,000)	-	-	(4,113,794)	MDFTPP03923969	MDFTPP03923971	STESAF0074621
12/6/1999	TRANS TO 1KW27430 (1KW273)	(254,000) [2]	-	-	-	-	(4,113,794)	MDFTPP03923969	MDFTPP03923971	STESAF0074621
12/13/1999	CHECK	(147,500)	-	(147,500)	-	-	(4,261,294)	MDFTPP03923969	MDFTPP03923971	STESAF0074621
12/17/1999	CHECK	(483,363)	-	(483,363)	-	-	(4,744,657)	MDFTPP03923969	MDFTPP03923971	STESAF0074621
12/21/1999	CHECK	(100,000)	-	(100,000)	-	-	(4,844,657)	MDFTPP03923969	MDFTPP03923971	STESAF0074621
12/27/1999	CHECK	(100,000)	-	(100,000)	-	-	(4,944,657)	MDFTPP03923969	MDFTPP03923971	STESAF0074621
12/29/1999	CHECK	107,500	107,500	-	-	-	(4,837,157)	MDFTPP03923969	MDFTPP03923971	STESAF0074621
1/3/2000	CHECK	(42,000)	-	(42,000)	-	-	(4,879,157)	MDFTPP03923973	MDFTPP03923977	STESAF0076368
1/3/2000	TRANS FR 1KW06730 A/O 12/31/99	150,000 [1]	-	-	-	-	(4,879,157)	MDFTPP03923973	MDFTPP03923977	STESAF0076368
1/25/2000	CHECK	85,688	85,688	-	-	-	(4,793,469)	MDFTPP03923973	MDFTPP03923977	STESAF0076372
2/1/2000	CHECK	(42,000)	-	(42,000)	-	-	(4,835,469)	MDFTPP03923979	MDFTPP03923982	STESAF0078461
2/15/2000	CHECK WIRE	(500,000)	-	(500,000)	-	-	(5,335,469)	MDFTPP03923979	MDFTPP03923982	STESAF0078461
3/1/2000	CHECK	(42,000)	-	(42,000)	-	-	(5,377,469)	MDFTPP03923984	MDFTPP03923989	STESAF0070837
4/3/2000	CHECK	(42,000)	-	(42,000)	-	-	(5,419,469)	MDFTPP03923991	MDFTPP03923993	STESAF0070837
5/1/2000	CHECK	(42,000)	-	(42,000)	-	-	(5,461,469)	MDFTPP03923995	MDFTPP03924000	STESAF0073070
5/3/2000	CHECK	(125,000)	-	(125,000)	-	-	(5,586,469)	MDFTPP03923995	MDFTPP03924000	STESAF0073070
6/1/2000	CHECK	(42,000)	-	(42,000)	-	-	(5,628,469)	MDFTPP03924002	MDFTPP03924004	STESAF0061604
6/14/2000	CHECK	(203,800)	-	(203,800)	-	-	(5,832,269)	MDFTPP03924002	MDFTPP03924004	STESAF0061604
7/3/2000	CHECK	(42,000)	-	(42,000)	-	-	(5,874,269)	MDFTPP03924006	MDFTPP03924010	STESAF0065511
8/1/2000	CHECK	(42,000)	-	(42,000)	-	-	(5,916,269)	MDFTPP03924012	MDFTPP03924015	STESAF0063375
9/1/2000	CHECK	(42,000)	-	(42,000)	-	-	(5,958,269)	MDFTPP03924017	MDFTPP03924022	STESAF0068076
10/2/2000	CHECK	(42,000)	-	(42,000)	-	-	(6,000,269)	MDFTPP03924024	MDFTPP03924028	STESAF0068076
11/1/2000	CHECK	(42,000)	-	(42,000)	-	-	(6,042,269)	MDFTPP03924030	MDFTPP03924035	STESAF0076716
12/1/2000	CHECK	(42,000)	-	(42,000)	-	-	(6,084,269)	MDFTPP03924038	MDFTPP03924042	STESAF0076716
1/2/2001	CHECK	(42,000)	-	(42,000)	-	-	(6,126,269)	MDFTPP03924044	MDFTPP03924049	STESAF0076716
2/1/2001	CHECK	(42,000)	-	(42,000)	-	-	(6,168,269)	MDFTPP03924051	MDFTPP03924054	STESAF0076716
3/1/2001	CHECK	(42,000)	-	(42,000)	-	-	(6,210,269)	MDFTPP03924056	MDFTPP03924061	STESAF0076716
4/2/2001	CHECK	(42,000)	-	(42,000)	-	-	(6,252,269)	MDFTPP03924066	MDFTPP03924070	STESAF0076716
5/1/2001	CHECK	(42,000)	-	(42,000)	-	-	(6,294,269)	MDFTPP03924068	MDFTPP03924072	STESAF0076716
6/1/2001	CHECK	(42,000)	-	(42,000)	-	-	(6,336,269)	MDFTPP03924072	MDFTPP03924076	STESAF0076716
7/2/2001	CHECK	(42,000)	-	(42,000)	-	-	(6,378,269)	MDFTPP03924078	MDFTPP03924081	STESAF0076716
8/1/2001	CHECK	(42,000)	-	(42,000)	-	-	(6,420,269)	MDFTPP03924083	MDFTPP03924088	STESAF0076716
9/4/2001	CHECK	(42,000)	-	(42,000)	-	-	(6,462,269)	MDFTPP03924090	MDFTPP03924094	STESAF0076716

BELMIS ACCOUNT NO. 1KW155 - JUDITH A WILPON C/O STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes	DEFENDANTS' PRODUCTION Bates Ref <sup>3)</sup>
10/1/2001	CHECK	(42,000)	-	(42,000)	-	-	(6,504,269)	MDFTPP03924096	MDFTPP03924100	STESAC00392920
11/1/2001	CHECK	(42,000)	-	(42,000)	-	-	(6,546,269)	MDFTPP03924102	MDFTPP03924106	STESAD0076167
12/3/2001	CHECK	(60,000)	-	(60,000)	-	-	(6,606,269)	MDFTPP03924108	MDFTPP03924110	STESAD0078384
1/2/2002	CHECK	(60,000)	-	(60,000)	-	-	(6,666,269)	MDFTPP03924112	MDFTPP03924117	STESAD0047132
2/1/2002	CHECK	(60,000)	-	(60,000)	-	-	(6,726,269)	MDFTPP03924119	MDFTPP03924122	STESAD0049837
3/1/2002	CHECK	(60,000)	-	(60,000)	-	-	(6,786,269)	MDFTPP03924124	MDFTPP03924129	STESAD0055575
4/1/2002	CHECK	(60,000)	-	(60,000)	-	-	(6,846,269)	MDFTPP03924132	MDFTPP03924137	STESAD0052458
5/1/2002	CHECK	(60,000)	-	(60,000)	-	-	(6,906,269)	MDFTPP03924139	MDFTPP03924144	STESAC0072520
5/15/2002	CXL CHECK 5/1/02	60,000	-	(60,000)	-	-	(6,906,269)	MDFTPP03924139	MDFTPP03924144	STESAC0072524
6/3/2002	CHECK	(60,000)	-	(60,000)	-	-	(6,966,269)	MDFTPP03924146	MDFTPP03924150	STESAC0068250
6/24/2002	CHECK	(3,000,000)	-	(3,000,000)	-	-	(9,966,269)	MDFTPP03924146	MDFTPP03924150	STESAC0068254
7/1/2002	CHECK	(60,000)	-	(60,000)	-	-	(10,026,269)	MDFTPP03924152	MDFTPP03924157	STESAC0064156
8/1/2002	CHECK	(60,000)	-	(60,000)	-	-	(10,086,269)	MDFTPP03924159	MDFTPP03924163	STESAC0065529
9/3/2002	CHECK	(60,000)	-	(60,000)	-	-	(10,146,269)	MDFTPP03924165	MDFTPP03924167	STESAC0040355
10/1/2002	CHECK	(60,000)	-	(60,000)	-	-	(10,206,269)	MDFTPP03924169	MDFTPP03924173	STESAC0038179
11/1/2002	CHECK	(60,000)	-	(60,000)	-	-	(10,266,269)	MDFTPP03924175	MDFTPP03924178	STESAC0036414
12/2/2002	CHECK	(60,000)	-	(60,000)	-	-	(10,326,269)	MDFTPP03924180	MDFTPP03924183	STESAD0064069
12/2/2003	CHECK	(60,000)	-	(60,000)	-	-	(10,386,269)	MDFTPP03924185	MDFTPP03924190	STESAC013931
2/3/2003	CHECK	(60,000)	-	(60,000)	-	-	(10,446,269)	MDFTPP03924192	MDFTPP03924195	STESAC016949
3/3/2003	CHECK	(60,000)	-	(60,000)	-	-	(10,506,269)	MDFTPP03924197	MDFTPP03924203	STESAC0108690
3/5/2003	RETURNED CHECK	60,000	-	(60,000)	-	-	(10,446,269)	MDFTPP03924197	MDFTPP03924203	STESAC0108690
4/7/2003	CHECK	(60,000)	-	(60,000)	-	-	(10,506,269)	MDFTPP03924205	MDFTPP03924207	STESAC011803
5/1/2003	CHECK	(60,000)	-	(60,000)	-	-	(10,566,269)	MDFTPP03924209	MDFTPP03924214	STESAD0022973
6/2/2003	CHECK	(60,000)	-	(60,000)	-	-	(10,626,269)	MDFTPP03924216	MDFTPP03924219	STESAD0025751
6/25/2003	CHECK	(750,000)	-	(750,000)	-	-	(11,376,269)	MDFTPP03924216	MDFTPP03924219	STESAD0025755
6/30/2003	TRANS FROM 1KW07730 (1KW077)	589,914 <sup>(1)</sup>	-	-	-	-	(11,376,269)	MDFTPP03924216	MDFTPP03924219	STESAD0025755
7/1/2003	CHECK	(60,000)	-	(60,000)	-	-	(11,436,269)	MDFTPP03924221	MDFTPP03924222	STESAC0091232
7/31/2003	TRANS FROM 1KW07730 (1KW077)	59,758 <sup>(1)</sup>	-	-	-	-	(11,436,269)	MDFTPP03924221	MDFTPP03924222	STESAC0091232
	<b>Total:</b>		<b>\$ 12,548,759</b>	<b>\$ (19,504,378)</b>	<b>\$ 239,350</b>	<b>\$ (4,720,000)</b>	<b>\$ (11,436,269)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW156 - STERLING 15C LLC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>2</sup>
3/14/1996	CHECK	4,100,000	4,100,000	-	-	-	4,100,000	MDPTPP03925197	MDPTPP03925200	STESAD00439664
7/29/1996	CHECK	95,500	95,500	-	-	-	4,195,500	MDPTPP03925223	MDPTPP03925228	STESAD00403779
8/21/1996	CHECK	2,810,664	2,810,664	-	-	-	7,006,164	MDPTPP03925231	MDPTPP03925236	STESAD00412644
10/1/1996	CHECK	6,367	6,367	-	-	-	7,012,531	MDPTPP03925244	MDPTPP03925248	STESAD0041987
5/1/1997	CHECK	(150,000)	-	(150,000)	-	-	6,862,531	MDPTPP03925287	MDPTPP03925290	STESAD00430886
6/2/1997	CHECK	(150,000)	-	(150,000)	-	-	6,712,531	MDPTPP03925292	MDPTPP03925297	STESAD0044105
7/1/1997	CHECK	(150,000)	-	(150,000)	-	-	6,562,531	MDPTPP03925299	MDPTPP03925302	STESAD0045591
8/1/1997	CHECK	(150,000)	-	(150,000)	-	-	6,412,531	MDPTPP03925304	MDPTPP03925308	STESAC0028367
9/2/1997	CHECK	(150,000)	-	(150,000)	-	-	6,262,531	MDPTPP03925310	MDPTPP03925313	STESAC0029790
10/1/1997	CHECK	(150,000)	-	(150,000)	-	-	6,112,531	MDPTPP03925315	MDPTPP03925318	STESAC0031359
11/3/1997	CHECK	(150,000)	-	(150,000)	-	-	5,962,531	MDPTPP03925320	MDPTPP03925324	STESAC0023263
11/10/1997	CHECK	51,895	51,895	-	-	-	6,014,426	MDPTPP03925320	MDPTPP03925324	STESAC0024953
12/1/1997	CHECK	(150,000)	-	(150,000)	-	-	5,864,426	MDPTPP03925327	MDPTPP03925330	STESAC0026734
1/2/1998	CHECK	(150,000)	-	(150,000)	-	-	5,714,426	MDPTPP03925332	MDPTPP03925336	STESAC002734
2/2/1998	CHECK	(150,000)	-	(150,000)	-	-	5,564,426	MDPTPP03925338	MDPTPP03925341	STESAC0049131
3/2/1998	CHECK	(150,000)	-	(150,000)	-	-	5,414,426	MDPTPP03925343	MDPTPP03925380	STESAC0050675
4/1/1998	CHECK	(150,000)	-	(150,000)	-	-	5,264,426	MDPTPP03925350	MDPTPP03925380	STESAC0050679
5/1/1998	CHECK	(150,000)	-	(150,000)	-	-	5,114,426	MDPTPP03925355	MDPTPP03925380	STESAC0046656
6/1/1998	CHECK	(150,000)	-	(150,000)	-	-	4,964,426	MDPTPP03925364	MDPTPP03925388	STESAC0054019
7/1/1998	CHECK	(150,000)	-	(150,000)	-	-	4,814,426	MDPTPP03925370	MDPTPP03925403	STESAC0055812
8/3/1998	CHECK	(150,000)	-	(150,000)	-	-	4,664,426	MDPTPP03925375	MDPTPP03925445	STESAC0057780
8/24/1998	CHECK	174,800	174,800	-	-	-	4,839,226	MDPTPP03925375	MDPTPP03925485	STESAC0060384
8/24/1998	CHECK	74,254	74,254	-	-	-	4,913,480	MDPTPP03925375	MDPTPP03925485	STESAC0062021
8/27/1998	CHECK	(150,000)	-	(150,000)	-	-	4,763,480	MDPTPP03925382	MDPTPP03925467	STESAD0028402
9/1/1998	CHECK	(150,000)	-	(150,000)	-	-	4,613,480	MDPTPP03925382	MDPTPP03925476	STESAD0028408
10/1/1998	CHECK	(150,000)	-	(150,000)	-	-	4,463,480	MDPTPP03925390	MDPTPP03925485	STESAD0030322
11/13/1998	CHECK WIRE	11,248,151	11,248,151	-	-	-	4,313,480	MDPTPP03925397	MDPTPP03925502	STESAD0032321
12/1/1998	CHECK	(150,000)	-	(150,000)	-	-	15,561,630	MDPTPP03925397	MDPTPP03925511	STESAD0037201
1/4/1999	CHECK	(150,000)	-	(150,000)	-	-	15,411,630	MDPTPP03925406	MDPTPP03925511	STESAD0037207
1/5/1999	CHECK	(100,000)	-	(100,000)	-	-	15,261,630	MDPTPP03925417	MDPTPP03925511	STESAD0037209
2/1/1999	CHECK	(250,000)	-	(250,000)	-	-	14,911,630	MDPTPP03925426	MDPTPP03925511	STESAD0037207
3/1/1999	CHECK	(250,000)	-	(250,000)	-	-	14,661,630	MDPTPP03925434	MDPTPP03925511	STESAD0037207
4/1/1999	CHECK	(250,000)	-	(250,000)	-	-	14,411,630	MDPTPP03925448	MDPTPP03925511	STESAD0037207
4/30/1999	CHECK	40,000	40,000	-	-	-	14,451,630	MDPTPP03925448	MDPTPP03925511	STESAD0037207
5/3/1999	CHECK	(250,000)	-	(250,000)	-	-	14,201,630	MDPTPP03925457	MDPTPP03925511	STESAD0037207
5/6/1999	CHECK	65,000	65,000	-	-	-	14,266,630	MDPTPP03925457	MDPTPP03925511	STESAD0037207
6/1/1999	CHECK	(250,000)	-	(250,000)	-	-	14,016,630	MDPTPP03925470	MDPTPP03925511	STESAD0037207
7/1/1999	CHECK	(250,000)	-	(250,000)	-	-	13,766,630	MDPTPP03925478	MDPTPP03925511	STESAD0037207
7/29/1999	CHECK	114,800	114,800	-	-	-	13,881,430	MDPTPP03925478	MDPTPP03925511	STESAD0037207
8/2/1999	CHECK	(250,000)	-	(250,000)	-	-	13,631,430	MDPTPP03925487	MDPTPP03925511	STESAD0037207
9/1/1999	CHECK	(250,000)	-	(250,000)	-	-	13,381,430	MDPTPP03925498	MDPTPP03925511	STESAD0037207
10/1/1999	CHECK	(250,000)	-	(250,000)	-	-	13,131,430	MDPTPP03925505	MDPTPP03925511	STESAD0037207
10/28/1999	TRANS TO IKW09630 (JKW096)	(36,000)	-	-	-	(36,000)	13,095,430	MDPTPP03925505	MDPTPP03925511	STESAD0037207
10/28/1999	TRANS TO IKW00430 (JKW004)	(12,000)	-	-	-	(12,000)	13,083,430	MDPTPP03925505	MDPTPP03925511	STESAD0037207
10/28/1999	TRANS TO IKW19530 (JKW195)	(54,000)	-	-	-	(54,000)	13,029,430	MDPTPP03925505	MDPTPP03925511	STESAD0037207
10/28/1999	TRANS TO IKW26030 (JKW260)	(16,680)	-	-	-	(16,680)	13,012,750	MDPTPP03925505	MDPTPP03925511	STESAD0037207
10/28/1999	TRANS TO IKW20130 (JKW201)	(54,000)	-	-	-	(54,000)	12,958,750	MDPTPP03925505	MDPTPP03925511	STESAD0037207
10/28/1999	TRANS TO IKW26330 (JKW263)	(42,000)	-	-	-	(42,000)	12,916,750	MDPTPP03925505	MDPTPP03925511	STESAD0037207
10/28/1999	TRANS TO IKW06730 (JKW067)	(376,800)	-	-	-	(376,800)	12,539,950	MDPTPP03925505	MDPTPP03925511	STESAD0037207
10/28/1999	TRANS TO IKW08130 (JKW081)	(122,600)	-	-	-	(122,600)	12,417,350	MDPTPP03925505	MDPTPP03925511	STESAD0037207
10/28/1999	TRANS TO IKW05230 (JKW052)	(58,080)	-	-	-	(58,080)	12,359,270	MDPTPP03925505	MDPTPP03925511	STESAD0037207
10/28/1999	TRANS TO IKW24230 (JKW242)	(42,360)	-	-	-	(42,360)	12,316,910	MDPTPP03925505	MDPTPP03925511	STESAD0037207
10/28/1999	TRANS TO IKW04430 (JKW044)	(231,480)	-	-	-	(231,480)	12,085,430	MDPTPP03925505	MDPTPP03925511	STESAD0037207
10/28/1999	TRANS TO IKW02430 (JKW024)	(60,000)	-	-	-	(60,000)	12,025,430	MDPTPP03925505	MDPTPP03925511	STESAD0037207
10/28/1999	TRANS TO IKW01930 (JKW019)	(94,400)	-	-	-	(94,400)	11,931,030	MDPTPP03925505	MDPTPP03925511	STESAD0037207
11/1/1999	CHECK	(250,000)	-	(250,000)	-	-	11,681,030	MDPTPP03925513	MDPTPP03925522	STESAD0033927
11/1/1999	TRANS FROM IKW08130 (JKW081)	440	-	-	-	-	11,681,030	MDPTPP03925513	MDPTPP03925522	STESAD0033927

BLMIS ACCOUNT NO. IKW156 - STERLING 15C LLC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes	DEFENDANTS' PRODUCTION Bates Ref <sup>2</sup>
12/1/1999	CHECK	(250,000)	-	(250,000)	-	-	11,431,030	MDPTPP03925524	MDPTPP03925529	STESAF0074629
1/3/2000	CHECK	(250,000)	-	(250,000)	-	-	11,181,030	MDPTPP03925531	MDPTPP03925538	STESAF0076380
2/1/2000	CHECK	(250,000)	-	(250,000)	-	-	10,931,030	MDPTPP03925545	MDPTPP03925551	STESAF0078471
3/1/2000	CHECK	(250,000)	-	(250,000)	-	-	10,681,030	MDPTPP03925547	MDPTPP03925559	
3/7/2000	CHECK	6,282,359	6,282,359	-	-	-	16,963,389	MDPTPP03925547	MDPTPP03925559	
3/23/2000	CHECK	240,000	240,000	-	-	-	17,203,389	MDPTPP03925547	MDPTPP03925559	
3/23/2000	CHECK	50,000	50,000	-	-	-	17,253,389	MDPTPP03925547	MDPTPP03925559	
4/3/2000	CHECK	(370,000)	-	(370,000)	-	-	16,883,389	MDPTPP03925562	MDPTPP03925568	STESAF0073078
5/1/2000	CHECK	(370,000)	-	(370,000)	-	-	16,513,389	MDPTPP03925570	MDPTPP03925581	
6/1/2000	CHECK	(370,000)	-	(370,000)	-	-	16,143,389	MDPTPP03925584	MDPTPP03925592	STESAF0061612
6/8/2000	TRANS TO IKW09630 (IKW096)	(12,000)	-	-	-	(12,000)	16,131,389	MDPTPP03925584	MDPTPP03925592	STESAF0061612
6/8/2000	TRANS TO IKW26330 (IKW263)	(73,760)	-	-	-	(73,760)	16,057,629	MDPTPP03925584	MDPTPP03925592	STESAF0061612
6/8/2000	TRANS TO IKW06730 (IKW067)	(125,600)	-	-	-	(125,600)	15,932,029	MDPTPP03925584	MDPTPP03925592	STESAF0061612
6/8/2000	TRANS TO IKW05230 (IKW052)	(63,040)	-	-	-	(63,040)	15,868,989	MDPTPP03925584	MDPTPP03925592	STESAF0061612
6/8/2000	TRANS TO IKW08130 (IKW081)	(132,680)	-	-	-	(132,680)	15,736,309	MDPTPP03925584	MDPTPP03925592	STESAF0061612
6/8/2000	TRANS TO IKW01330 (IKW013)	(102,760)	-	-	-	(102,760)	15,633,549	MDPTPP03925584	MDPTPP03925592	STESAF0061612
6/8/2000	TRANS TO IKW07630 (IKW076)	(63,960)	-	-	-	(63,960)	15,569,589	MDPTPP03925584	MDPTPP03925592	STESAF0061612
6/8/2000	TRANS TO IKW26030 (IKW260)	(5,560)	-	-	-	(5,560)	15,564,029	MDPTPP03925584	MDPTPP03925592	STESAF0061612
6/8/2000	TRANS TO IKW04430 (IKW044)	(46,320)	-	-	-	(46,320)	15,517,709	MDPTPP03925584	MDPTPP03925592	STESAF0061612
6/8/2000	TRANS TO IKW20130 (IKW201)	(63,960)	-	-	-	(63,960)	15,453,749	MDPTPP03925584	MDPTPP03925592	STESAF0061612
6/8/2000	TRANS TO IKW00430 (IKW004)	(13,200)	-	-	-	(13,200)	15,440,549	MDPTPP03925584	MDPTPP03925592	STESAF0061612
6/8/2000	TRANS TO IKW24230 (IKW242)	(77,160)	-	-	-	(77,160)	15,363,389	MDPTPP03925584	MDPTPP03925592	STESAF0061612
6/8/2000	TRANS TO IKW02430 (IKW024)	(20,000)	-	-	-	(20,000)	15,343,389	MDPTPP03925584	MDPTPP03925592	STESAF0061612
7/3/2000	CHECK	(370,000)	-	(370,000)	-	-	14,973,389	MDPTPP03925594	MDPTPP03925601	STESAF0066385
8/1/2000	CHECK	(370,000)	-	(370,000)	-	-	14,603,389	MDPTPP03925604	MDPTPP03925611	STESAF0063823
9/1/2000	CHECK	(370,000)	-	(370,000)	-	-	14,233,389	MDPTPP03925613	MDPTPP03925621	STESAF0068090
10/2/2000	CHECK	(370,000)	-	(370,000)	-	-	13,863,389	MDPTPP03925624	MDPTPP03925636	
11/1/2000	CHECK	(370,000)	-	(370,000)	-	-	13,493,389	MDPTPP03925638	MDPTPP03925644	
12/1/2000	CHECK	(370,000)	-	(370,000)	-	-	13,123,389	MDPTPP03925646	MDPTPP03925654	
12/21/2000	CHECK	(450,000)	-	(450,000)	-	-	12,673,389	MDPTPP03925646	MDPTPP03925654	
12/20/01	CHECK	(370,000)	-	(370,000)	-	-	12,303,389	MDPTPP03925656	MDPTPP03925663	
1/5/2001	CHECK	(227,500)	-	(227,500)	-	-	12,075,889	MDPTPP03925656	MDPTPP03925663	
2/1/2001	CHECK	(370,000)	-	(370,000)	-	-	11,705,889	MDPTPP03925666	MDPTPP03925673	STESAF0111147
3/1/2001	CHECK	(370,000)	-	(370,000)	-	-	11,335,889	MDPTPP03925675	MDPTPP03925683	
4/2/2001	CHECK	(370,000)	-	(370,000)	-	-	10,965,889	MDPTPP03925686	MDPTPP03925693	
5/1/2001	CHECK	(370,000)	-	(370,000)	-	-	10,595,889	MDPTPP03925695	MDPTPP03925698	
6/1/2001	CHECK	(370,000)	-	(370,000)	-	-	10,225,889	MDPTPP03925700	MDPTPP03925705	
7/2/2001	CHECK	(370,000)	-	(370,000)	-	-	9,855,889	MDPTPP03925707	MDPTPP03925718	
8/1/2001	CHECK	(370,000)	-	(370,000)	-	-	9,485,889	MDPTPP03925720	MDPTPP03925728	
8/15/2001	CHECK	(121,250)	-	(121,250)	-	-	9,364,639	MDPTPP03925720	MDPTPP03925728	
9/4/2001	CHECK	(370,000)	-	(370,000)	-	-	8,994,639	MDPTPP03925731	MDPTPP03925735	
10/1/2001	CHECK	(370,000)	-	(370,000)	-	-	8,624,639	MDPTPP03925738	MDPTPP03925742	STESAC0032932
10/11/2001	CHECK	(200,000)	-	(200,000)	-	-	8,424,639	MDPTPP03925738	MDPTPP03925742	STESAC0032932
11/1/2001	CHECK	(370,000)	-	(370,000)	-	-	8,054,639	MDPTPP03925744	MDPTPP03925752	STESAD0076179
12/3/2001	CHECK	(325,000)	-	(325,000)	-	-	7,729,639	MDPTPP03925754	MDPTPP03925762	STESAD0078392
12/12/2001	CHECK	(600,000)	-	(600,000)	-	-	7,129,639	MDPTPP03925754	MDPTPP03925762	STESAD0078392
1/2/2002	CHECK	(325,000)	-	(325,000)	-	-	6,804,639	MDPTPP03925764	MDPTPP03925775	STESAD0047146
2/1/2002	CHECK	(325,000)	-	(325,000)	-	-	6,479,639	MDPTPP03925778	MDPTPP03925792	STESAD0049847
3/1/2002	CHECK	(325,000)	-	(325,000)	-	-	6,154,639	MDPTPP03925795	MDPTPP03925804	STESAD0055591
4/1/2002	CHECK	(325,000)	-	(325,000)	-	-	5,829,639	MDPTPP03925808	MDPTPP03925823	STESAD0052472
5/1/2002	CHECK	(325,000)	-	(325,000)	-	-	5,504,639	MDPTPP03925825	MDPTPP03925841	STESAC0072554
5/10/2002	CHECK WIRE	(325,000)	-	(325,000)	-	-	5,179,639	MDPTPP03925825	MDPTPP03925841	STESAC0072548
5/15/2002	CXL CHECK 5/1/02	325,000	-	-	-	-	5,504,639	MDPTPP03925825	MDPTPP03925841	STESAC0072550
6/3/2002	CHECK	(325,000)	-	(325,000)	-	-	5,179,639	MDPTPP03925844	MDPTPP03925850	STESAD0048826
6/10/2002	CHECK	(700,000)	-	(700,000)	-	-	4,479,639	MDPTPP03925844	MDPTPP03925850	STESAC0068262
6/28/2002	CHECK	(137,750)	-	(137,750)	-	-	4,341,889	MDPTPP03925844	MDPTPP03925850	STESAC0068266
7/1/2002	CHECK	(325,000)	-	(325,000)	-	-	4,016,889	MDPTPP03925852	MDPTPP03925866	STESAC0064170
7/18/2002	CHECK	(143,650)	-	(143,650)	-	-	3,873,239	MDPTPP03925852	MDPTPP03925866	STESAC0064170
8/1/2002	CHECK	(325,000)	-	(325,000)	-	-	3,548,239	MDPTPP03925869	MDPTPP03925881	STESAC0065541

BLMIS ACCOUNT NO. IKW156 - STERLING 15C LLC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
8/22/2002	CHECK	(500,000)	-	(500,000)	-	-	3,048,239	MDFTPP03925869	MDFTPP03925881	STESAC006557
9/3/2002	CHECK	(325,000)	-	(325,000)	-	-	2,723,239	MDFTPP03925884	MDFTPP03925887	STESAC0040363
10/1/2002	CHECK	(300,000)	-	(300,000)	-	-	2,423,239	MDFTPP03925889	MDFTPP03925900	STESAC0037409
11/1/2002	CHECK	(300,000)	-	(300,000)	-	-	2,123,239	MDFTPP03925903	MDFTPP03925914	STESAC0036424
12/2/2002	CHECK	(300,000)	-	(300,000)	-	-	1,823,239	MDFTPP03925916	MDFTPP03925921	STESAD0064079
1/2/2003	CHECK	(300,000)	-	(300,000)	-	-	1,523,239	MDFTPP03925923	MDFTPP03925936	STESAC0113945
1/29/2003	CHECK	(82,800)	-	(82,800)	-	-	1,440,439	MDFTPP03925923	MDFTPP03925936	STESAC0113963
2/3/2003	CHECK	(300,000)	-	(300,000)	-	-	1,140,439	MDFTPP03925939	MDFTPP03925952	STESAC0116959
2/13/2003	CHECK	90,000	90,000	-	-	-	1,230,439	MDFTPP03925939	MDFTPP03925952	STESAC0116959
3/3/2003	CHECK	(300,000)	-	(300,000)	-	-	930,439	MDFTPP03925954	MDFTPP03925961	STESAC0108706
3/5/2003	CHECK	150,000	150,000	-	-	-	1,080,439	MDFTPP03925954	MDFTPP03925961	STESAC0108706
4/1/2003	CHECK	(300,000)	-	(300,000)	-	-	780,439	MDFTPP03925963	MDFTPP03925965	STESAC0111838
5/1/2003	CHECK	(300,000)	-	(300,000)	-	-	480,439	MDFTPP03925967	MDFTPP03925972	STESAD0022987
6/2/2003	CHECK	(300,000)	-	(300,000)	-	-	180,439	MDFTPP03925974	MDFTPP03925978	STESAD0025761
6/25/2003	CHECK	(175,000)	-	(175,000)	-	-	5,439	MDFTPP03925974	MDFTPP03925978	STESAD0025765
6/30/2003	CHECK WIRE	3,620,559	3,620,559	-	-	-	3,625,998	MDFTPP03925974	MDFTPP03925978	STESAD0025765
7/1/2003	CHECK	(300,000)	-	(300,000)	-	-	3,325,998	MDFTPP03925980	MDFTPP03925986	STESAC0091238
8/1/2003	CHECK	(300,000)	-	(300,000)	-	-	3,025,998	MDFTPP03925989	MDFTPP03925994	STESAD0068250
9/2/2003	CHECK	(300,000)	-	(300,000)	-	-	2,725,998	MDFTPP03925996	MDFTPP03926002	STESAD0070993
10/1/2003	CHECK	(300,000)	-	(300,000)	-	-	2,425,998	MDFTPP03926004	MDFTPP03926009	STESAD0000912
11/3/2003	CHECK	(180,000)	-	(180,000)	-	-	2,245,998	MDFTPP03926011	MDFTPP03926015	STESAD00003797
11/25/2003	CHECK	(550,000)	-	(550,000)	-	-	1,695,998	MDFTPP03926011	MDFTPP03926015	STESAD00003801
12/1/2003	CHECK	(250,000)	-	(250,000)	-	-	1,445,998	MDFTPP03926017	MDFTPP03926020	STESAC0020903
1/2/2004	CHECK	(250,000)	-	(250,000)	-	-	1,195,998	MDFTPP03926022	MDFTPP03926027	STESAD0063470
2/2/2004	CHECK	(250,000)	-	(250,000)	-	-	945,998	MDFTPP03926029	MDFTPP03926034	
2/27/2004	CHECK	(225,000)	-	(225,000)	-	-	720,998	MDFTPP03926029	MDFTPP03926034	
3/1/2004	CHECK	(250,000)	-	(250,000)	-	-	470,998	MDFTPP03926036	MDFTPP03926038	
4/1/2004	CHECK	(250,000)	-	(250,000)	-	-	220,998	MDFTPP03926040	MDFTPP03926046	
5/3/2004	CHECK	(250,000)	-	(250,000)	-	-	(29,002)	MDFTPP03926049	MDFTPP03926054	
6/1/2004	CHECK	(250,000)	-	(250,000)	-	-	(279,002)	MDFTPP03926056	MDFTPP03926064	
6/30/2004	CHECK WIRE	(500,000)	-	(500,000)	-	-	(779,002)	MDFTPP03926056	MDFTPP03926064	
7/1/2004	CHECK	(250,000)	-	(250,000)	-	-	(1,029,002)	MDFTPP03926066	MDFTPP03926068	
8/2/2004	CHECK	(250,000)	-	(250,000)	-	-	(1,279,002)	MDFTPP03926070	MDFTPP03926075	
9/1/2004	CHECK	(250,000)	-	(250,000)	-	-	(1,529,002)	MDFTPP03926077	MDFTPP03926081	
10/1/2004	CHECK	(250,000)	-	(250,000)	-	-	(1,779,002)	MDFTPP03926083	MDFTPP03926085	
11/1/2004	CHECK	(250,000)	-	(250,000)	-	-	(2,029,002)	MDFTPP03926087	MDFTPP03926092	
12/1/2004	CHECK	(250,000)	-	(250,000)	-	-	(2,279,002)	MDFTPP03926094	MDFTPP03926098	STESAF0098782
1/3/2005	CHECK	(250,000)	-	(250,000)	-	-	(2,529,002)	MDFTPP03926100	MDFTPP03926105	STESAC0016298
2/1/2005	CHECK	(250,000)	-	(250,000)	-	-	(2,779,002)	MDFTPP03926107	MDFTPP03926110	STESAC0013513
3/1/2005	CHECK	(250,000)	-	(250,000)	-	-	(3,029,002)	MDFTPP03926112	MDFTPP03926116	STESAC0011177
3/18/2005	CHECK	(500,000)	-	(500,000)	-	-	(3,529,002)	MDFTPP03926116	MDFTPP03926116	STESAC0011181
4/1/2005	CHECK	(250,000)	-	(250,000)	-	-	(3,779,002)	MDFTPP03926118	MDFTPP03926120	STESAC0130675
5/2/2005	CHECK	(250,000)	-	(250,000)	-	-	(4,029,002)	MDFTPP03926122	MDFTPP03926127	STESAC0132591
6/1/2005	CHECK	(250,000)	-	(250,000)	-	-	(4,279,002)	MDFTPP03926129	MDFTPP03926133	STESAC0005605
7/1/2005	CHECK	(250,000)	-	(250,000)	-	-	(4,529,002)	MDFTPP03926135	MDFTPP03926137	STESAC0007976
7/8/2005	CHECK	(400,000)	-	(400,000)	-	-	(4,929,002)	MDFTPP03926135	MDFTPP03926137	STESAC0007976
8/1/2005	CHECK	(250,000)	-	(250,000)	-	-	(5,179,002)	MDFTPP03926139	MDFTPP03926140	STESAC0009572
9/1/2005	CHECK	(270,000)	-	(270,000)	-	-	(5,449,002)	MDFTPP03926142	MDFTPP03926149	
10/3/2005	CHECK	(270,000)	-	(270,000)	-	-	(5,719,002)	MDFTPP03926151	MDFTPP03926157	STESAC0127912
11/1/2005	CHECK	(270,000)	-	(270,000)	-	-	(5,989,002)	MDFTPP03926159	MDFTPP03926163	
12/1/2005	CHECK	(270,000)	-	(270,000)	-	-	(6,259,002)	MDFTPP03926165	MDFTPP03926170	STESAC0087488
12/12/2005	CHECK	(400,000)	-	(400,000)	-	-	(6,659,002)	MDFTPP03926165	MDFTPP03926170	STESAC0087488
1/3/2006	CHECK	(270,000)	-	(270,000)	-	-	(6,929,002)	MDFTPP03926172	MDFTPP03926178	
2/1/2006	CHECK	(270,000)	-	(270,000)	-	-	(7,199,002)	MDFTPP03926180	MDFTPP03926183	STESAD0007107
3/1/2006	CHECK	(270,000)	-	(270,000)	-	-	(7,469,002)	MDFTPP03926185	MDFTPP03926192	
4/3/2006	CHECK	(270,000)	-	(270,000)	-	-	(7,739,002)	MDFTPP03926194	MDFTPP03926200	STESAC0119573
5/1/2006	CHECK	(270,000)	-	(270,000)	-	-	(8,009,002)	MDFTPP03926202	MDFTPP03926206	STESAD0110099
6/1/2006	CHECK	(270,000)	-	(270,000)	-	-	(8,279,002)	MDFTPP03926208	MDFTPP03926212	
7/3/2006	CHECK	(270,000)	-	(270,000)	-	-	(8,549,002)	MDFTPP03926214	MDFTPP03926220	STESAD0104771



BLMIS ACCOUNT NO. 1KW156 - STERLING 15C LLC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
8/1/2006	CHECK	(270,000)	-	(270,000)	-	-	(8,819,002)	MDFTPP03926222	MDFTPP03926226	STESAD0085560
9/1/2006	CHECK	(270,000)	-	(270,000)	-	-	(9,089,002)	MDFTPP03926228	MDFTPP03926232	STESAD0103509
10/2/2006	CHECK	(270,000)	-	(270,000)	-	-	(9,359,002)	MDFTPP03926234	MDFTPP03926239	STESAD0100719
11/1/2006	CHECK	(270,000)	-	(270,000)	-	-	(9,629,002)	MDFTPP03926241	MDFTPP03926244	STESAD0094970
12/1/2006	CHECK	(270,000)	-	(270,000)	-	-	(9,899,002)	MDFTPP03926246	MDFTPP03926251	
1/2/2007	CHECK	(270,000)	-	(270,000)	-	-	(10,169,002)	MDFTPP03926253	MDFTPP03926255	
2/1/2007	CHECK	(270,000)	-	(270,000)	-	-	(10,439,002)	MDFTPP03926256	MDFTPP03926262	
3/1/2007	CHECK	(270,000)	-	(270,000)	-	-	(10,709,002)	MDFTPP03926264	MDFTPP03926269	STESAG0007852
3/29/2007	CHECK	(700,000)	-	(700,000)	-	-	(11,409,002)	MDFTPP03926264	MDFTPP03926269	STESAG0007858
4/2/2007	CHECK	(270,000)	-	(270,000)	-	-	(11,679,002)	MDFTPP03926272	MDFTPP03926277	STESAG0011198
5/1/2007	CHECK	(270,000)	-	(270,000)	-	-	(11,949,002)	MDFTPP03926279	MDFTPP03926282	
6/1/2007	CHECK	(270,000)	-	(270,000)	-	-	(12,219,002)	MDFTPP03926284	MDFTPP03926288	STESAF0055270
7/2/2007	CHECK	(270,000)	-	(270,000)	-	-	(12,489,002)	MDFTPP03926290	MDFTPP03926292	STESAF0030022
8/1/2007	CHECK	(270,000)	-	(270,000)	-	-	(12,759,002)	MDFTPP03926294	MDFTPP03926295	STESAF0031769
9/4/2007	CHECK	(270,000)	-	(270,000)	-	-	(13,029,002)	MDFTPP03926297	MDFTPP03926302	
10/1/2007	CHECK	(250,000)	-	(250,000)	-	-	(13,279,002)	MDFTPP03926304	MDFTPP03926306	
11/1/2007	CHECK	(250,000)	-	(250,000)	-	-	(13,529,002)	MDFTPP03926308	MDFTPP03926312	
12/3/2007	CHECK	(250,000)	-	(250,000)	-	-	(13,779,002)	MDFTPP03926314	MDFTPP03926318	STESAF0000249
12/2008	CHECK	(250,000)	-	(250,000)	-	-	(14,029,002)	MDFTPP03926320	MDFTPP03926322	STESAF0001339
2/1/2008	CHECK	(250,000)	-	(250,000)	-	-	(14,279,002)	MDFTPP03926323	MDFTPP03926324	STESAF0002344
3/3/2008	CHECK	(250,000)	-	(250,000)	-	-	(14,529,002)	MDFTPP03926325	MDFTPP03926327	STESAF0003881
3/12/2008	CHECK	(800,000)	-	(800,000)	-	-	(15,329,002)	MDFTPP03926325	MDFTPP03926327	STESAF0006806
4/1/2008	CHECK	(250,000)	-	(250,000)	-	-	(15,579,002)	MDFTPP03926328	MDFTPP03926333	STESAF0010975
5/1/2008	CHECK	(250,000)	-	(250,000)	-	-	(15,829,002)	MDFTPP03926335	MDFTPP03926339	STESAF0015411
6/2/2008	CHECK	(250,000)	-	(250,000)	-	-	(16,079,002)	MDFTPP03926341	MDFTPP03926343	STESAF0017914
7/1/2008	CHECK	(225,000)	-	(225,000)	-	-	(16,304,002)	MDFTPP03926345	MDFTPP03926346	STESAF0019741
8/1/2008	CHECK	(225,000)	-	(225,000)	-	-	(16,529,002)	MDFTPP03926348	MDFTPP03926352	
9/2/2008	CHECK	(200,000)	-	(200,000)	-	-	(16,729,002)	MDFTPP03926354	MDFTPP03926358	
10/1/2008	CHECK	(200,000)	-	(200,000)	-	-	(16,929,002)	MDFTPP03926360	MDFTPP03926362	
11/3/2008	CHECK	(200,000)	-	(200,000)	-	-	(17,129,002)	MDFTPP03926364	MDFTPP03926369	
12/1/2008	CHECK	(200,000)	-	(200,000)	-	-	(17,329,002)	Checkbook	Checkbook	
	<b>Total:</b>		<b>\$ 29,214,348</b>	<b>\$ (44,542,950)</b>	<b>\$ -</b>	<b>\$ (2,000,400)</b>	<b>\$ (17,329,002)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW161 - ARTHUR FRIEDMAN ET AL TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>(a)</sup>
5/13/1996	TRANS FROM IKW07730 (IKW077)	2,000,000	-	-	2,000,000	-	2,000,000	MDPTPP03932570	MDPTPP03932574	
5/13/1996	TRANS FROM IKW05930 (IKW059)	1,400,000 <sup>(1)</sup>	-	-	-	-	2,000,000	MDPTPP03932570	MDPTPP03932574	
5/13/1996	TRANS FROM IKW06730 (IKW067)	2,738,000 <sup>(1)</sup>	-	-	-	-	2,000,000	MDPTPP03932570	MDPTPP03932574	
5/13/1996	TRANS FROM IKW02430 (IKW024)	2,738,000 <sup>(1)</sup>	-	-	2,000,000	-	4,000,000	MDPTPP03932570	MDPTPP03932574	
5/13/1996	TRANS FROM IKW01430 (IKW014)	2,000,000	-	-	-	-	4,000,000	MDPTPP03932570	MDPTPP03932574	
7/1/1996	CHECK	(66,000)	-	(66,000)	-	-	3,934,000	MDPTPP03932581	MDPTPP03932586	
8/1/1996	CHECK	(66,000)	-	(66,000)	-	-	3,868,000	MDPTPP03932589	MDPTPP03932592	
9/3/1996	CHECK	(66,000)	-	(66,000)	-	-	3,802,000	MDPTPP03932594	MDPTPP03932598	
10/1/1996	CHECK	(66,000)	-	(66,000)	-	-	3,736,000	MDPTPP03932600	MDPTPP03932604	
11/1/1996	CHECK	(66,000)	-	(66,000)	-	-	3,670,000	MDPTPP03932607	MDPTPP03932610	
12/2/1996	CHECK	(66,000)	-	(66,000)	-	-	3,604,000	MDPTPP03932612	MDPTPP03932616	
12/19/1996	CHECK	(435,000)	-	(435,000)	-	-	3,169,000	MDPTPP03932618	MDPTPP03932622	
12/19/1997	CHECK	(66,000)	-	(66,000)	-	-	3,103,000	MDPTPP03932618	MDPTPP03932622	STESAC0059706
2/3/1997	CHECK	(66,000)	-	(66,000)	-	-	3,037,000	MDPTPP03932624	MDPTPP03932627	
3/3/1997	CHECK	(66,000)	-	(66,000)	-	-	2,971,000	MDPTPP03932629	MDPTPP03932634	
4/1/1997	CHECK	(66,000)	-	(66,000)	-	-	2,905,000	MDPTPP03932636	MDPTPP03932642	STESAD0038467
5/1/1997	CHECK	(66,000)	-	(66,000)	-	-	2,839,000	MDPTPP03932644	MDPTPP03932647	STESAD0039085
6/2/1997	CHECK	(66,000)	-	(66,000)	-	-	2,773,000	MDPTPP03932649	MDPTPP03932654	STESAD0040449
7/1/1997	CHECK	(66,000)	-	(66,000)	-	-	2,707,000	MDPTPP03932656	MDPTPP03932659	STESAD0041289
8/1/1997	CHECK	(66,000)	-	(66,000)	-	-	2,641,000	MDPTPP03932661	MDPTPP03932665	STESAD0042018
9/2/1997	CHECK	(66,000)	-	(66,000)	-	-	2,575,000	MDPTPP03932667	MDPTPP03932670	STESAD0043138
10/1/1997	CHECK	(66,000)	-	(66,000)	-	-	2,509,000	MDPTPP03932672	MDPTPP03932676	STESAD0044140
11/3/1997	CHECK	(66,000)	-	(66,000)	-	-	2,443,000	MDPTPP03932678	MDPTPP03932683	STESAD0045661
12/1/1997	CHECK	(66,000)	-	(66,000)	-	-	2,377,000	MDPTPP03932686	MDPTPP03932690	
12/29/1997	CHECK	(435,000)	-	(435,000)	-	-	1,942,000	MDPTPP03932686	MDPTPP03932690	
1/2/1998	CHECK	(66,000)	-	(66,000)	-	-	1,876,000	MDPTPP03932692	MDPTPP03932698	STESAC0028427
1/20/1998	TRANS TO IKW21130 (IKW211)	(224,100)	-	-	-	(224,100)	1,651,900	MDPTPP03932692	MDPTPP03932698	STESAC0028427
1/20/1998	TRANS TO IKW08130 (IKW081)	(344,850)	-	-	-	(344,850)	1,307,050	MDPTPP03932692	MDPTPP03932698	STESAC0028427
1/20/1998	TRANS TO IKW19530 (IKW195)	(172,350)	-	-	-	(172,350)	1,134,700	MDPTPP03932692	MDPTPP03932698	STESAC0028427
1/20/1998	TRANS TO IKW01930 (IKW019)	(267,300)	-	-	-	(267,300)	867,400	MDPTPP03932692	MDPTPP03932698	STESAC0028427
1/20/1998	TRANS TO IKW04430 (IKW044)	(120,750)	-	-	-	(120,750)	746,650	MDPTPP03932692	MDPTPP03932698	STESAC0028427
1/20/1998	TRANS TO IKW05230 (IKW052)	(163,800)	-	-	-	(163,800)	582,850	MDPTPP03932692	MDPTPP03932698	STESAC0028427
1/20/1998	TRANS TO IKW20130 (IKW201)	(172,350)	-	-	-	(172,350)	410,500	MDPTPP03932692	MDPTPP03932698	STESAC0028427
1/20/1998	TRANS TO IKW00430 (IKW004)	(34,500)	-	-	-	(34,500)	376,000	MDPTPP03932692	MDPTPP03932698	STESAC0028427
2/2/1998	CHECK	(66,000)	-	(66,000)	-	-	310,000	MDPTPP03932700	MDPTPP03932705	STESAC0029840
3/2/1998	CHECK	(66,000)	-	(66,000)	-	-	244,000	MDPTPP03932707	MDPTPP03932713	STESAC0031429
4/1/1998	CHECK	(66,000)	-	(66,000)	-	-	178,000	MDPTPP03932715	MDPTPP03932718	STESAC0023313
5/1/1998	CHECK	(66,000)	-	(66,000)	-	-	112,000	MDPTPP03932720	MDPTPP03932729	STESAC0025037
6/1/1998	CHECK	(66,000)	-	(66,000)	-	-	46,000	MDPTPP03932732	MDPTPP03932736	STESAC0026788
8/3/1998	CHECK	(66,000)	-	(66,000)	-	-	(20,000)	MDPTPP03932738	MDPTPP03932742	STESAC0049183
9/1/1998	CHECK	(66,000)	-	(66,000)	-	-	(86,000)	MDPTPP03932744	MDPTPP03932750	STESAC0050731
10/1/1998	CHECK	(66,000)	-	(66,000)	-	-	(152,000)	MDPTPP03932753	MDPTPP03932763	
11/2/1998	CHECK	(66,000)	-	(66,000)	-	-	(218,000)	MDPTPP03932766	MDPTPP03932774	STESAC0044474
12/1/1998	CHECK	(66,000)	-	(66,000)	-	-	(284,000)	MDPTPP03932778	MDPTPP03932784	STESAC0046716
1/4/1999	CHECK	(66,000)	-	(66,000)	-	-	(350,000)	MDPTPP03932787	MDPTPP03932795	STESAC0052328
2/1/1999	CHECK	(66,000)	-	(66,000)	-	-	(416,000)	MDPTPP03932798	MDPTPP03932805	STESAC0054077
3/1/1999	CHECK	(66,000)	-	(66,000)	-	-	(482,000)	MDPTPP03932807	MDPTPP03932813	STESAC0055862
4/1/1999	CHECK	(66,000)	-	(66,000)	-	-	(548,000)	MDPTPP03932815	MDPTPP03932826	STESAC0057854
5/3/1999	CHECK	(66,000)	-	(66,000)	-	-	(614,000)	MDPTPP03932829	MDPTPP03932836	STESAC0060424
6/1/1999	CHECK	(66,000)	-	(66,000)	-	-	(680,000)	MDPTPP03932838	MDPTPP03932848	
7/1/1999	CHECK	(66,000)	-	(66,000)	-	-	(746,000)	MDPTPP03932851	MDPTPP03932857	
7/1/1999	CHECK	(66,000)	-	(66,000)	-	-	(812,000)	MDPTPP03932859	MDPTPP03932867	STESAD0028454
7/21/1999	TRANS TO IKW01930 (IKW019)	(320,760) <sup>(2)</sup>	-	-	-	-	(812,000)	MDPTPP03932859	MDPTPP03932867	STESAD0028458
7/21/1999	TRANS TO IKW05230 (IKW052)	(196,560) <sup>(2)</sup>	-	-	-	-	(812,000)	MDPTPP03932859	MDPTPP03932867	STESAD0028458
7/21/1999	TRANS TO IKW20130 (IKW201)	(206,820) <sup>(2)</sup>	-	-	-	-	(812,000)	MDPTPP03932859	MDPTPP03932867	STESAD0028458
7/21/1999	TRANS TO IKW00430 (IKW004)	(41,400) <sup>(2)</sup>	-	-	-	-	(812,000)	MDPTPP03932859	MDPTPP03932867	STESAD0028458
7/21/1999	TRANS TO IKW08130 (IKW081)	(413,820) <sup>(2)</sup>	-	-	-	-	(812,000)	MDPTPP03932859	MDPTPP03932867	STESAD0028458

BLMIS ACCOUNT NO. IKW161 - ARTHUR FRIEDMAN ET AL TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>al</sup>
7/21/1999	TRANS TO IKW07630 (IKW076)	(206,820) <sup>[2]</sup>	-	-	-	-	(812,000)	MDPTPP03932859	MDPTPP03932867	STESAD0028458
7/21/1999	TRANS TO IKW26330 (IKW263)	(268,920) <sup>[2]</sup>	-	-	-	-	(812,000)	MDPTPP03932859	MDPTPP03932867	STESAD0028458
7/21/1999	TRANS TO IKW04430 (IKW044)	(144,900) <sup>[2]</sup>	-	-	-	-	(812,000)	MDPTPP03932859	MDPTPP03932867	STESAD0028458
8/2/1999	CHECK	(66,000)	-	(66,000)	-	-	(878,000)	MDPTPP03932869	MDPTPP03932877	STESAD0030386
9/1/1999	CHECK	(66,000)	-	(66,000)	-	-	(944,000)	MDPTPP03932880	MDPTPP03932884	STESAD0032369
10/1/1999	CHECK	(66,000)	-	(66,000)	-	-	(1,010,000)	MDPTPP03932887	MDPTPP03932893	
10/28/1999	TRANS TO IKW00430 (IKW004)	(6,900) <sup>[2]</sup>	-	-	-	-	(1,010,000)	MDPTPP03932887	MDPTPP03932893	
10/28/1999	TRANS TO IKW26330 (IKW263)	(44,820) <sup>[2]</sup>	-	-	-	-	(1,010,000)	MDPTPP03932887	MDPTPP03932893	
10/28/1999	TRANS TO IKW19530 (IKW195)	(34,470) <sup>[2]</sup>	-	-	-	-	(1,010,000)	MDPTPP03932887	MDPTPP03932893	
10/28/1999	TRANS TO IKW01930 (IKW019)	(53,460) <sup>[2]</sup>	-	-	-	-	(1,010,000)	MDPTPP03932887	MDPTPP03932893	
10/28/1999	TRANS TO IKW05230 (IKW052)	(32,760) <sup>[2]</sup>	-	-	-	-	(1,010,000)	MDPTPP03932887	MDPTPP03932893	
10/28/1999	TRANS TO IKW08130 (IKW081)	(68,970) <sup>[2]</sup>	-	-	-	-	(1,010,000)	MDPTPP03932887	MDPTPP03932893	
10/28/1999	TRANS TO IKW20130 (IKW201)	(34,470) <sup>[2]</sup>	-	-	-	-	(1,010,000)	MDPTPP03932887	MDPTPP03932893	
10/28/1999	TRANS TO IKW04430 (IKW044)	(24,150) <sup>[2]</sup>	-	-	-	-	(1,010,000)	MDPTPP03932887	MDPTPP03932893	
11/1/1999	CHECK	(66,000)	-	(66,000)	-	-	(1,076,000)	MDPTPP03932895	MDPTPP03932904	STESAD0034701
12/1/1999	CHECK	(66,000)	-	(66,000)	-	-	(1,142,000)	MDPTPP03932906	MDPTPP03932911	STESAF0074671
1/3/2000	CHECK	(66,000)	-	(66,000)	-	-	(1,208,000)	MDPTPP03932913	MDPTPP03932920	STESAF0076444
2/1/2000	CHECK	(66,000)	-	(66,000)	-	-	(1,274,000)	MDPTPP03932923	MDPTPP03932927	STESAF0078517
3/1/2000	CHECK	(66,000)	-	(66,000)	-	-	(1,340,000)	MDPTPP03932929	MDPTPP03932941	
4/3/2000	CHECK	(66,000)	-	(66,000)	-	-	(1,406,000)	MDPTPP03932944	MDPTPP03932950	
5/1/2000	CHECK	(66,000)	-	(66,000)	-	-	(1,472,000)	MDPTPP03932952	MDPTPP03932963	
6/1/2000	CHECK	(66,000)	-	(66,000)	-	-	(1,538,000)	MDPTPP03932966	MDPTPP03932974	STESAF0061660
6/8/2000	TRANS TO IKW04430 (IKW044)	(46,320) <sup>[2]</sup>	-	-	-	-	(1,538,000)	MDPTPP03932966	MDPTPP03932974	STESAF0061660
6/8/2000	TRANS TO IKW20130 (IKW201)	(63,960) <sup>[2]</sup>	-	-	-	-	(1,538,000)	MDPTPP03932966	MDPTPP03932974	STESAF0061660
6/8/2000	TRANS TO IKW07630 (IKW076)	(63,960) <sup>[2]</sup>	-	-	-	-	(1,538,000)	MDPTPP03932966	MDPTPP03932974	STESAF0061660
6/8/2000	TRANS TO IKW00430 (IKW004)	(13,200) <sup>[2]</sup>	-	-	-	-	(1,538,000)	MDPTPP03932966	MDPTPP03932974	STESAF0061660
6/8/2000	TRANS TO IKW06730 (IKW067)	(125,600) <sup>[2]</sup>	-	-	-	-	(1,538,000)	MDPTPP03932966	MDPTPP03932974	STESAF0061660
6/8/2000	TRANS TO IKW26330 (IKW263)	(73,760) <sup>[2]</sup>	-	-	-	-	(1,538,000)	MDPTPP03932966	MDPTPP03932974	STESAF0061660
6/8/2000	TRANS TO IKW02430 (IKW024)	(20,000) <sup>[2]</sup>	-	-	-	-	(1,538,000)	MDPTPP03932966	MDPTPP03932974	STESAF0061660
6/8/2000	TRANS TO IKW09630 (IKW096)	(12,000) <sup>[2]</sup>	-	-	-	-	(1,538,000)	MDPTPP03932966	MDPTPP03932974	STESAF0061660
6/8/2000	TRANS TO IKW26030 (IKW260)	(5,560) <sup>[2]</sup>	-	-	-	-	(1,538,000)	MDPTPP03932966	MDPTPP03932974	STESAF0061660
6/8/2000	TRANS TO IKW05230 (IKW052)	(63,040) <sup>[2]</sup>	-	-	-	-	(1,538,000)	MDPTPP03932966	MDPTPP03932974	STESAF0061660
6/8/2000	TRANS TO IKW01330 (IKW013)	(102,760) <sup>[2]</sup>	-	-	-	-	(1,538,000)	MDPTPP03932966	MDPTPP03932974	STESAF0061660
6/8/2000	TRANS TO IKW24230 (IKW242)	(77,160) <sup>[2]</sup>	-	-	-	-	(1,538,000)	MDPTPP03932966	MDPTPP03932974	STESAF0061660
6/8/2000	TRANS TO IKW08130 (IKW081)	(132,680) <sup>[2]</sup>	-	-	-	-	(1,538,000)	MDPTPP03932966	MDPTPP03932974	STESAF0061660
7/3/2000	CHECK	(66,000)	-	(66,000)	-	-	(1,604,000)	MDPTPP03932976	MDPTPP03932983	STESAF0065589
8/1/2000	CHECK	(66,000)	-	(66,000)	-	-	(1,670,000)	MDPTPP03932986	MDPTPP03932993	STESAF0063439
9/1/2000	CHECK	(66,000)	-	(66,000)	-	-	(1,736,000)	MDPTPP03932995	MDPTPP03933003	STESAF0068158
10/2/2000	CHECK	(66,000)	-	(66,000)	-	-	(1,802,000)	MDPTPP03933006	MDPTPP03933018	STESAC0095040
11/1/2000	CHECK	(66,000)	-	(66,000)	-	-	(1,868,000)	MDPTPP03933020	MDPTPP03933026	STESAC0097227
12/1/2000	CHECK	(66,000)	-	(66,000)	-	-	(1,934,000)	MDPTPP03933028	MDPTPP03933036	
12/21/2000	CHECK	(215,000)	-	(66,000)	-	-	(2,149,000)	MDPTPP03933028	MDPTPP03933036	
1/2/2001	CHECK	(66,000)	-	(66,000)	-	-	(2,215,000)	MDPTPP03933038	MDPTPP03933045	
2/1/2001	CHECK	(66,000)	-	(66,000)	-	-	(2,281,000)	MDPTPP03933048	MDPTPP03933056	
3/1/2001	CHECK	(66,000)	-	(66,000)	-	-	(2,347,000)	MDPTPP03933056	MDPTPP03933064	
4/2/2001	CHECK	(66,000)	-	(66,000)	-	-	(2,413,000)	MDPTPP03933067	MDPTPP03933073	
4/24/2001	CHECK	(235,000)	-	(66,000)	-	-	(2,648,000)	MDPTPP03933067	MDPTPP03933073	
5/1/2001	CHECK	(66,000)	-	(66,000)	-	-	(2,714,000)	MDPTPP03933075	MDPTPP03933078	
6/1/2001	CHECK	(66,000)	-	(66,000)	-	-	(2,780,000)	MDPTPP03933080	MDPTPP03933085	

BLMIS ACCOUNT NO. 1KW161 - ARTHUR FRIEDMAN ET AL TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bates.	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
7/2/2001	CHECK	(66,000)	-	(66,000)	-	-	(2,846,000)	MDFTPP03933087	MDFTPP03933098	
8/1/2001	CHECK	(66,000)	-	(66,000)	-	-	(2,912,000)	MDFTPP03933100	MDFTPP03933108	
9/4/2001	CHECK	(66,000)	-	(66,000)	-	-	(2,978,000)	MDFTPP03933111	MDFTPP03933115	
10/1/2001	CHECK	(66,000)	-	(66,000)	-	-	(3,044,000)	MDFTPP03933118	MDFTPP03933122	STESAC0032968
11/1/2001	CHECK	(66,000)	-	(66,000)	-	-	(3,110,000)	MDFTPP03933124	MDFTPP03933127	STESAD0076223
11/21/2001	CHECK	(11,312,311)	-	(11,312,311)	-	-	(14,422,311)	MDFTPP03933124	MDFTPP03933127	STESAD0076227
3/19/2002	CHECK	(16,666)	-	(16,666)	-	-	(14,438,977)	MDFTPP03933136	MDFTPP03933137	STESAD0055649
	<b>Total:</b>		\$ -	\$ (16,938,977)	\$ 4,000,000	\$ (1,500,000)	\$ (14,438,977)			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW168 - MARVIN TEPPER & ELISE TEPPER J/T WROS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
5/13/1996	TRANS FROM 1KW09830 / (KW098)	112,000 <sup>(1)</sup>	-	-	70,000	-	70,000	MDFTPP03947929	MDFTPP03947932	
11/25/1996	CHECK	15,000	15,000	-	-	-	85,000	MDFTPP03947962	MDFTPP03947964	
11/25/1996	CHECK	15,000	15,000	-	-	-	100,000	MDFTPP03947962	MDFTPP03947964	
3/19/1997	CHECK	45,000	45,000	-	-	-	145,000	MDFTPP03947983	MDFTPP03947988	STESAC0048329
3/31/1997	CHECK	(37,750)	-	(37,750)	-	-	107,250	MDFTPP03947983	MDFTPP03947988	STESAC0048329
12/22/1997	CHECK WIRE	(63,375)	-	(63,375)	-	-	43,875	MDFTPP03948040	MDFTPP03948043	
4/21/1998	CHECK	(130,755)	-	(130,755)	-	-	(86,880)	MDFTPP03948063	MDFTPP03948065	STESAC0023383
10/14/1998	CHECK	(653)	-	(653)	-	-	(87,533)	MDFTPP03948082	MDFTPP03948083	STESAC0044968
	<b>Total:</b>		<b>\$ 75,000</b>	<b>\$ (232,533)</b>	<b>\$ 70,000</b>	<b>\$ -</b>	<b>\$ (87,533)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW175 - STERLING PATHOGENESIS CO

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>2</sup>
11/1/1996	CHECK WIRE	5,000,000	5,000,000	-	-	-	5,000,000	MDPTPP03959761	MDPTPP03959764	STESAC0059793
12/1/1997	CHECK	(35,000)	-	(35,000)	-	-	4,965,000	MDPTPP03959772	MDPTPP03959776	
2/3/1997	CHECK	(35,000)	-	(35,000)	-	-	4,930,000	MDPTPP03959778	MDPTPP03959781	
2/28/1997	CHECK WIRE	4,800,000	4,800,000	-	-	-	9,730,000	MDPTPP03959783	MDPTPP03959786	
3/3/1997	CHECK	(35,000)	-	(35,000)	-	-	9,695,000	MDPTPP03959790	MDPTPP03959793	STESAC0048371
4/1/1997	CHECK	(70,000)	-	(70,000)	-	-	9,625,000	MDPTPP03959793	MDPTPP03959799	STESAD00038573
5/1/1997	CHECK	(70,000)	-	(70,000)	-	-	9,555,000	MDPTPP03959801	MDPTPP03959804	STESAD00039152
6/2/1997	CHECK	(70,000)	-	(70,000)	-	-	9,485,000	MDPTPP03959806	MDPTPP03959811	STESAD00040637
7/1/1997	CHECK	(70,000)	-	(70,000)	-	-	9,415,000	MDPTPP03959816	MDPTPP03959823	STESAD00041366
8/1/1997	CHECK	(70,000)	-	(70,000)	-	-	9,345,000	MDPTPP03959818	MDPTPP03959823	STESAD00042100
8/15/1997	CHECK WIRE	4,943,800	4,943,800	-	-	-	14,288,800	MDPTPP03959823	MDPTPP03959823	STESAD00042100
9/2/1997	CHECK	(100,000)	-	(100,000)	-	-	14,188,800	MDPTPP03959828	MDPTPP03959828	STESAD00043276
10/1/1997	CHECK	(100,000)	-	(100,000)	-	-	14,088,800	MDPTPP03959830	MDPTPP03959834	STESAD00044258
10/15/1997	CHECK WIRE	4,944,800	4,944,800	-	-	-	19,033,600	MDPTPP03959830	MDPTPP03959834	STESAD00044258
11/3/1997	CHECK	(100,000)	-	(100,000)	-	-	18,933,600	MDPTPP03959841	MDPTPP03959841	STESAD00045847
12/1/1997	CHECK	(100,000)	-	(100,000)	-	-	18,833,600	MDPTPP03959844	MDPTPP03959848	
12/1998	CHECK	(100,000)	-	(100,000)	-	-	18,733,600	MDPTPP03959850	MDPTPP03959856	STESAC0028591
2/2/1998	CHECK	(100,000)	-	(100,000)	-	-	18,633,600	MDPTPP03959858	MDPTPP03959863	STESAC0029982
3/2/1998	CHECK	(100,000)	-	(100,000)	-	-	18,533,600	MDPTPP03959865	MDPTPP03959871	STESAC00031615
4/1/1998	CHECK	(100,000)	-	(100,000)	-	-	18,433,600	MDPTPP03959873	MDPTPP03959876	STESAC00023441
5/1/1998	CHECK	(100,000)	-	(100,000)	-	-	18,333,600	MDPTPP03959878	MDPTPP03959887	STESAC0025243
6/1/1998	CHECK	(100,000)	-	(100,000)	-	-	18,233,600	MDPTPP03959890	MDPTPP03959894	STESAC0026924
6/29/1998	CHECK WIRE	(500,000)	-	(500,000)	-	-	17,733,600	MDPTPP03959890	MDPTPP03959894	STESAC0026926
6/30/1998	CHECK WIRE	(500,000)	-	(500,000)	-	-	17,233,600	MDPTPP03959894	MDPTPP03959894	STESAC0026926
7/1/1998	CHECK	(100,000)	-	(100,000)	-	-	17,133,600	MDPTPP03959896	MDPTPP03959900	STESAC0049322
8/3/1998	CHECK	(250,000)	-	(250,000)	-	-	17,033,600	MDPTPP03959902	MDPTPP03959909	STESAC0050893
8/5/1998	CHECK	(250,000)	-	(250,000)	-	-	16,783,600	MDPTPP03959902	MDPTPP03959909	STESAC0050893
8/25/1998	CHECK	935,000	935,000	-	-	-	17,718,600	MDPTPP03959902	MDPTPP03959909	STESAC0050897
9/1/1998	CHECK	(100,000)	-	(100,000)	-	-	17,618,600	MDPTPP03959912	MDPTPP03959923	STESAC0042983
9/17/1998	CHECK	65,000	65,000	-	-	-	17,683,600	MDPTPP03959912	MDPTPP03959923	STESAC0042993
9/28/1998	CHECK WIRE	(1,030,000)	-	(1,030,000)	-	-	16,653,600	MDPTPP03959923	MDPTPP03959923	STESAC00043001
10/1/1998	CHECK	(100,000)	-	(100,000)	-	-	16,553,600	MDPTPP03959926	MDPTPP03959934	STESAC00045044
10/2/1998	CHECK WIRE	3,952,400	3,952,400	-	-	-	20,506,000	MDPTPP03959926	MDPTPP03959934	STESAC00045044
10/15/1998	CHECK	(200,000)	-	(200,000)	-	-	20,306,000	MDPTPP03959926	MDPTPP03959934	STESAC00045050
11/2/1998	CHECK	(130,000)	-	(130,000)	-	-	20,176,000	MDPTPP03959937	MDPTPP03959944	STESAC0046876
12/1/1998	CHECK	(130,000)	-	(130,000)	-	-	20,046,000	MDPTPP03959947	MDPTPP03959955	STESAC0052480
14/1/1999	CHECK	(130,000)	-	(130,000)	-	-	19,916,000	MDPTPP03959958	MDPTPP03959965	STESAC0054245
2/1/1999	CHECK	(130,000)	-	(130,000)	-	-	19,786,000	MDPTPP03959967	MDPTPP03959973	STESAC0056006
3/1/1999	CHECK	(130,000)	-	(130,000)	-	-	19,656,000	MDPTPP03959975	MDPTPP03959987	STESAC0058076
3/29/1999	TRANS FROM IKW19530 (IKW195)	250,000	-	-	250,000	-	19,906,000	MDPTPP03959975	MDPTPP03959987	STESAC0058094
3/29/1999	TRANS FROM IKW05230 (IKW052)	237,500	-	-	-	-	19,906,000	MDPTPP03959975	MDPTPP03959987	STESAC0058094
3/29/1999	TRANS FROM IKW02030 (IKW020)	387,500	-	-	-	-	19,906,000	MDPTPP03959975	MDPTPP03959987	STESAC0058094
3/29/1999	TRANS FROM IKW02430 (IKW024)	285,000	-	-	-	-	19,906,000	MDPTPP03959975	MDPTPP03959987	STESAC0058094
3/29/1999	TRANS FROM IKW15530 (IKW155)	1,512,500	-	-	-	-	19,906,000	MDPTPP03959975	MDPTPP03959987	STESAC0058094
3/29/1999	TRANS FROM IKW06730 (IKW067)	400,000	-	-	-	-	19,906,000	MDPTPP03959975	MDPTPP03959987	STESAC0058094
3/29/1999	TRANS FROM IKW00430 (IKW004)	50,000	-	-	50,000	-	19,956,000	MDPTPP03959975	MDPTPP03959987	STESAC0058094
3/29/1999	TRANS FROM IKW06130 (IKW061)	325,000	-	-	325,000	-	20,281,000	MDPTPP03959975	MDPTPP03959987	STESAC0058094
3/29/1999	TRANS FROM IKW08130 (IKW081)	500,000	-	-	-	-	20,281,000	MDPTPP03959975	MDPTPP03959987	STESAC0058094
3/29/1999	TRANS FROM IKW15430 (IKW154)	662,500	-	-	-	-	20,281,000	MDPTPP03959975	MDPTPP03959987	STESAC0058094
3/29/1999	TRANS FROM IKW04430 (IKW044)	175,000	-	-	-	-	20,281,000	MDPTPP03959975	MDPTPP03959987	STESAC0058094
4/1/1999	CHECK	(130,000)	-	(130,000)	-	-	20,151,000	MDPTPP03959990	MDPTPP03959997	STESAC0060540
5/3/1999	CHECK	(130,000)	-	(130,000)	-	-	20,021,000	MDPTPP03959999	MDPTPP03960009	STESAC0062257
6/1/1999	CHECK	(130,000)	-	(130,000)	-	-	19,891,000	MDPTPP03960012	MDPTPP03960018	STESAC0062257
7/1/1999	CHECK	(130,000)	-	(130,000)	-	-	19,761,000	MDPTPP03960020	MDPTPP03960027	STESAD00028592
8/2/1999	CHECK	(130,000)	-	(130,000)	-	-	19,631,000	MDPTPP03960029	MDPTPP03960037	STESAD00030550

BLMIS ACCOUNT NO. IKW175 - STERLING PATHOGENESIS CO

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
9/1/1999	CHECK	(130,000)	-	(130,000)	-	-	19,501,000	MDPTPP03960040	MDPTPP03960044	STESAD0032497
10/1/1999	CHECK	(130,000)	-	(130,000)	-	-	19,371,000	MDPTPP03960047	MDPTPP03960052	STESAD0033414
11/1/1999	CHECK	(130,000)	-	(130,000)	-	-	19,241,000	MDPTPP03960054	MDPTPP03960063	STESAF0074779
12/1/1999	CHECK	(130,000)	-	(130,000)	-	-	19,111,000	MDPTPP03960065	MDPTPP03960070	STESAF0076622
1/3/2000	CHECK	(130,000)	-	(130,000)	-	-	18,981,000	MDPTPP03960072	MDPTPP03960079	STESAF0078643
2/1/2000	CHECK	(130,000)	-	(130,000)	-	-	18,851,000	MDPTPP03960082	MDPTPP03960087	STESAF0078647
2/24/2000	TRANS TO IKW26330 (IKW263)	(552,500)	-	-	-	(552,500)	18,298,500	MDPTPP03960082	MDPTPP03960087	STESAF0078647
2/24/2000	TRANS TO IKW24230 (IKW242)	(1,775,000)	-	-	-	(1,775,000)	16,523,500	MDPTPP03960082	MDPTPP03960087	STESAF0078647
2/24/2000	TRANS TO IKW04430 (IKW044)	(350,000)	-	-	-	(350,000)	16,173,500	MDPTPP03960082	MDPTPP03960087	STESAF0078645
2/24/2000	TRANS TO IKW23830 (IKW238)	(500,000)	-	-	-	(500,000)	15,673,500	MDPTPP03960082	MDPTPP03960087	STESAF0078645
2/24/2000	TRANS TO IKW06730 (IKW067)	(2,500,000)	-	-	-	(2,500,000)	13,173,500	MDPTPP03960082	MDPTPP03960087	STESAF0078645
2/24/2000	TRANS TO IKW19530 (IKW195)	(500,000)	-	-	-	(500,000)	12,673,500	MDPTPP03960082	MDPTPP03960087	STESAF0078647
2/24/2000	TRANS TO IKW26030 (IKW260)	(875,000)	-	-	-	(875,000)	11,798,500	MDPTPP03960082	MDPTPP03960087	STESAF0078647
2/24/2000	TRANS TO IKW00430 (IKW004)	(100,000)	-	-	-	(100,000)	11,698,500	MDPTPP03960082	MDPTPP03960087	STESAF0078647
2/24/2000	TRANS TO IKW20130 (IKW201)	(500,000)	-	-	-	(500,000)	11,198,500	MDPTPP03960082	MDPTPP03960087	STESAF0078647
2/24/2000	TRANS TO IKW08130 (IKW081)	(1,000,000)	-	-	-	(1,000,000)	10,198,500	MDPTPP03960082	MDPTPP03960087	STESAF0078645
2/24/2000	TRANS TO IKW05230 (IKW052)	(475,000)	-	-	-	(475,000)	9,723,500	MDPTPP03960082	MDPTPP03960087	STESAF0078645
2/24/2000	TRANS TO IKW01930 (IKW019)	(775,000)	-	(97,500)	-	(775,000)	8,948,500	MDPTPP03960082	MDPTPP03960087	STESAF0078645
2/25/2000	CHECK	(97,500)	-	-	-	-	8,851,000	MDPTPP03960082	MDPTPP03960087	STESAF0071131
3/1/2000	CHECK	(130,000)	-	(130,000)	-	-	8,721,000	MDPTPP03960089	MDPTPP03960101	STESAF0073240
4/3/2000	CHECK	(130,000)	-	(130,000)	-	-	8,591,000	MDPTPP03960104	MDPTPP03960110	STESAF0061790
5/1/2000	CHECK	(130,000)	-	(130,000)	-	-	8,461,000	MDPTPP03960112	MDPTPP03960123	STESAF0061790
5/22/2000	CHECK WIRE	1,600,000	1,600,000	-	-	-	10,061,000	MDPTPP03960112	MDPTPP03960123	STESAF0065769
6/1/2000	CHECK	(130,000)	-	(130,000)	-	-	9,931,000	MDPTPP03960126	MDPTPP03960134	STESAF0061790
6/7/2000	CHECK WIRE	(1,608,400)	-	(1,608,400)	-	-	8,322,600	MDPTPP03960126	MDPTPP03960134	STESAF0061790
7/3/2000	CHECK	(130,000)	-	(130,000)	-	-	8,192,600	MDPTPP03960136	MDPTPP03960143	STESAF0065769
8/1/2000	CHECK	(130,000)	-	(130,000)	-	-	8,062,600	MDPTPP03960146	MDPTPP03960153	STESAF0068350
8/28/2000	CHECK	(2,500,000)	-	(2,500,000)	-	-	5,562,600	MDPTPP03960146	MDPTPP03960157	STESAF0068350
9/1/2000	CHECK	(130,000)	-	(130,000)	-	-	5,432,600	MDPTPP03960155	MDPTPP03960157	STESAF0068350
9/7/2000	CHECK	2,400,000	2,400,000	-	-	-	7,832,600	MDPTPP03960155	MDPTPP03960157	STESAF0068352
9/14/2000	CHECK WIRE	(24,064,512)	-	(24,064,512)	-	-	(16,231,912)	MDPTPP03960155	MDPTPP03960157	STESAF0068352
9/19/2000	CHECK WIRE	(2,797,943)	-	(2,797,943)	-	-	(19,037,855)	MDPTPP03960155	MDPTPP03960157	STESAF0068352
12/4/2000	CHECK	(7,331)	-	(7,331)	-	-	(19,037,186)	MDPTPP03960165	MDPTPP03960166	STESAF0068352
2/26/2001	CHECK	(76)	-	(76)	-	-	(19,037,261)	MDPTPP03960169	MDPTPP03960170	STESAF0068352
<b>Total:</b>			<b>\$ 28,641,000</b>	<b>\$ (38,400,761)</b>	<b>\$ 625,000</b>	<b>\$ (9,902,500)</b>	<b>\$ (19,037,261)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW178 - STERLING EQUITIES INVESTORS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bates.	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(1)</sup>
2/13/1997	CHECK	380,000	380,000	-	-	-	380,000	MDFTPP03961263	MDFTPP03961263	
9/4/1997	CHECK	418,061	418,061	-	-	-	798,061	MDFTPP03961301	MDFTPP03961305	STESAD0043302
7/24/1998	CHECK	(981,170)	-	(981,170)	-	-	(183,109)	MDFTPP03961361	MDFTPP03961364	
10/14/1998	CHECK	(1,404)	-	(1,404)	-	-	(184,512)	MDFTPP03961370	MDFTPP03961371	STESAC0045066
	<b>Total:</b>		<b>\$ 798,061</b>	<b>\$ (982,573)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (184,512)</b>			

<sup>(1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.



BLMIS ACCOUNT NO. 1KW180 - STERLING 15C LLC #2

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
3/13/1997	CHECK WIRE	4,189,835	4,189,835	-	-	-	4,189,835	MDFTPP03963069	MDFTPP03963073	STESAC0048405, STESAC0048412
9/25/1997	CHECK	75,660	75,660	-	-	-	4,265,495	MDFTPP03963105	MDFTPP03963109	STESAD0043324
2/23/1998	CHECK	417,800	417,800	-	-	-	4,683,295	MDFTPP03963134	MDFTPP03963138	STESAC0030018
3/24/1999	CHECK	(80,000)	-	(80,000)	-	-	4,603,295	MDFTPP03963225	MDFTPP03963235	STESAC0058136
5/25/1999	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	(396,705)	MDFTPP03963242	MDFTPP03963246	STESAC0062297
11/1/1999	TRANS TO IKW01930 (JKW019)	(162,115) <sup>(1)</sup>	-	-	-	-	(396,705)	MDFTPP03963277	MDFTPP03963279	
11/1/1999	TRANS TO IKW02430 (JKW024)	(102,996) <sup>(1)</sup>	-	-	-	-	(396,705)	MDFTPP03963277	MDFTPP03963279	
11/1/1999	TRANS TO IKW06730 (JKW067)	(646,813) <sup>(1)</sup>	-	-	-	-	(396,705)	MDFTPP03963277	MDFTPP03963279	
11/1/1999	TRANS TO IKW24230 (JKW242)	(397,357) <sup>(1)</sup>	-	-	-	-	(396,705)	MDFTPP03963277	MDFTPP03963279	
11/1/1999	TRANS TO IKW20130 (JKW201)	(92,696) <sup>(1)</sup>	-	-	-	-	(396,705)	MDFTPP03963277	MDFTPP03963279	
11/1/1999	TRANS TO IKW04430 (JKW044)	(72,715) <sup>(1)</sup>	-	-	-	-	(396,705)	MDFTPP03963277	MDFTPP03963279	
11/1/1999	TRANS TO IKW08130 (JKW081)	(209,699) <sup>(1)</sup>	-	-	-	-	(396,705)	MDFTPP03963277	MDFTPP03963279	
11/1/1999	TRANS TO IKW26330 (JKW263)	(72,097) <sup>(1)</sup>	-	-	-	-	(396,705)	MDFTPP03963277	MDFTPP03963279	
11/1/1999	TRANS TO IKW09630 (JKW096)	(61,797) <sup>(1)</sup>	-	-	-	-	(396,705)	MDFTPP03963277	MDFTPP03963279	
11/1/1999	TRANS TO IKW05230 (JKW052)	(99,700) <sup>(1)</sup>	-	-	-	-	(396,705)	MDFTPP03963277	MDFTPP03963279	
11/1/1999	TRANS TO IKW19530 (JKW195)	(92,696) <sup>(1)</sup>	-	-	-	-	(396,705)	MDFTPP03963277	MDFTPP03963279	
11/1/1999	TRANS TO IKW00430 (JKW004)	(20,599) <sup>(1)</sup>	-	-	-	-	(396,705)	MDFTPP03963277	MDFTPP03963279	
11/1/1999	TRANS TO IKW26030 (JKW260)	(28,633) <sup>(1)</sup>	-	(5,486)	-	-	(396,705)	MDFTPP03963277	MDFTPP03963279	
2/28/2001	CHECK	(5,486)	-	(5,486)	-	-	(402,191)	MDFTPP03963310	MDFTPP03963311	
	<b>Total:</b>		<b>\$ 4,683,295</b>	<b>\$ (5,085,486)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (402,191)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW189 - RUSKIN GARDENS APTS LLC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bates.	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>1)</sup>
6/16/1997	CHECK	160,000	160,000	-	-	-	160,000	MDFTPP03976492	MDFTPP03976492	STESAD0040823
10/14/1998	CHECK	(30,000)	-	(30,000)	-	-	130,000	MDFTPP03976883	MDFTPP03976887	STESAC0045178
12/14/2000	CHECK	(60,000)	-	(60,000)	-	-	70,000	MDFTPP03976732	MDFTPP03976736	
12/21/2001	CHECK	(30,000)	-	(30,000)	-	-	40,000	MDFTPP03976801	MDFTPP03976803	STESAD0078604
6/12/2002	CHECK	(157,573)	-	(157,573)	-	-	(117,573)	MDFTPP03976838	MDFTPP03976841	STESAC0068518
10/31/2002	CHECK	(49)	-	(49)	-	-	(117,623)	MDFTPP03976852	MDFTPP03976853	STESAC0037689
	<b>Total:</b>		<b>\$ 160,000</b>	<b>\$ (277,623)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (117,623)</b>			

<sup>1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW190 - L. THOMAS OSTERMAN

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
6/26/1997	CHECK	250,000	250,000	-	-	-	250,000	MDFTPP03977023	MDFTPP03977023	STESAD0040825
8/20/1997	TRANS FROM 1KW04430 (1KW044)	30,000 <sup>[1]</sup>	-	-	-	-	250,000	MDFTPP03977030	MDFTPP03977034	STESAD0042182
4/25/2001	TRANS TO 1KW04430 (1KW044)	(269,888) <sup>[2]</sup>	-	-	-	(250,000)	-	MDFTPP03977287	MDFTPP03977290	STESAF0109155, STESAF0109145
4/27/2001	CHECK	(250,000)	-	(250,000)	-	-	(250,000)	MDFTPP03977287	MDFTPP03977290	STESAF0109155, STESAF0109145
5/29/2001	TRANS TO 1KW04430 (1KW044)	(371) <sup>[3]</sup>	-	-	-	-	(250,000)	MDFTPP03977292	MDFTPP03977293	
	<b>Total:</b>		<b>\$ 250,000</b>	<b>\$ (250,000)</b>	<b>\$ -</b>	<b>\$ (250,000)</b>	<b>\$ (250,000)</b>			

<sup>[1]</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[2]</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>[3]</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[4]</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW192 - METS LIMITED PTR SPECIAL ATTN: LEN LABITA

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates.	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
7/14/1997	CHECK WIRE	20,000,000	20,000,000	-	-	-	20,000,000	MDPTPP03978647	MDPTPP03978651	STESAD0041448
8/19/1998	TRANS TO IKW04030 (IKW040)	(1,216,764)	-	-	-	(1,216,764)	18,783,236	MDPTPP03978738	MDPTPP03978744	STESAC0051075
9/13/1999	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	16,783,236	MDPTPP03978871	MDPTPP03978875	STESAD0032641
5/11/2000	TRANS TO IKW21830 (IKW218)	(750,000)	-	-	-	(750,000)	16,033,236	MDPTPP03978939	MDPTPP03978950	
8/14/2000	TRANS TO IKW24730 (IKW247)	(7,000,000)	-	-	-	(7,000,000)	9,033,236	MDPTPP03978973	MDPTPP03978980	STESAF0063721
9/20/2000	TRANS TO IKW24730 (IKW247)	(1,470,000)	-	-	-	(1,470,000)	7,563,236	MDPTPP03978982	MDPTPP03978990	STESAF0068532
7/30/2003	TRANS TO IKW24730 (IKW247)	(7,600,000) <sup>(1)</sup>	-	-	-	(7,563,236)	-	MDPTPP03979384	MDPTPP03979400	STESAL0065954
7/12/2004	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	(1,000,000)	MDPTPP03979568	MDPTPP03979571	STESAL0065592
10/4/2004	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(3,000,000)	MDPTPP03979601	MDPTPP03979604	STESAL0065526
6/29/2005	CHECK WIRE	(6,500,000)	-	(6,500,000)	-	-	(9,500,000)	MDPTPP03979695	MDPTPP03979706	STESAC0005853, STESAL0068190
7/5/2005	CHECK WIRE	(2,200,000)	-	(2,200,000)	-	-	(11,700,000)	MDPTPP03979708	MDPTPP03979711	STESAC0008134, STESAL0068170
9/12/2005	CHECK WIRE	(6,000,000)	-	(6,000,000)	-	-	(17,700,000)	MDPTPP03979717	MDPTPP03979723	STESAL0068150
9/26/2005	CHECK WIRE	(5,150,000)	-	(5,150,000)	-	-	(22,850,000)	MDPTPP03979717	MDPTPP03979723	STESAL0068150
12/21/2005	CHECK WIRE	(1,700,000)	-	(1,700,000)	-	-	(24,550,000)	MDPTPP03979737	MDPTPP03979742	STESAC0087766, STESAL0068114
<b>Total:</b>			<b>\$ 20,000,000</b>	<b>\$ (26,550,000)</b>	<b>\$ -</b>	<b>\$ (18,000,000)</b>	<b>\$ (24,550,000)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW195 - JEFFREY S WILPON SPECIAL

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>2</sup>
8/1/1997	CHECK	1,576,000	1,576,000	-	-	-	1,576,000	MDPTPP03987784	MDPTPP03987788	STESAD0042212
9/2/1997	CHECK	(135,000)	-	(135,000)	-	-	1,441,000	MDPTPP03987790	MDPTPP03987793	STESAD0043478
9/4/1997	CHECK	(8,000)	-	(8,000)	-	-	1,433,000	MDPTPP03987790	MDPTPP03987793	STESAD0043478
10/1/1997	CHECK	(8,000)	-	(8,000)	-	-	1,425,000	MDPTPP03987795	MDPTPP03987797	STESAD0044419
11/3/1997	CHECK	(8,000)	-	(8,000)	-	-	1,417,000	MDPTPP03987799	MDPTPP03987803	STESAD0046113
12/1/1997	CHECK	(8,000)	-	(8,000)	-	-	1,409,000	MDPTPP03987806	MDPTPP03987809	STESAC0028819
12/24/1997	CHECK	(40,000)	-	(40,000)	-	-	1,369,000	MDPTPP03987806	MDPTPP03987816	STESAC0028819
1/2/1998	CHECK	(8,000)	-	(8,000)	-	-	1,361,000	MDPTPP03987811	MDPTPP03987816	STESAC0028819
1/6/1998	CHECK	(2,000)	-	(2,000)	-	-	1,359,000	MDPTPP03987811	MDPTPP03987816	STESAC0028819
1/14/1998	CHECK	(22,000)	-	(22,000)	-	-	1,337,000	MDPTPP03987811	MDPTPP03987816	STESAC0028819
1/16/1998	TRANS FROM 1KW07630 (1KW076)	87,432	-	-	87,432	-	1,424,432	MDPTPP03987811	MDPTPP03987816	STESAC0028819
1/20/1998	TRANS FROM 1KW16130 (1KW161)	172,350	-	-	172,350	-	1,596,782	MDPTPP03987811	MDPTPP03987816	STESAC0028819
2/2/1998	CHECK	(10,000)	-	(10,000)	-	-	1,586,782	MDPTPP03987818	MDPTPP03987821	STESAC0030172
2/2/1998	CHECK	(97,432)	-	(97,432)	-	-	1,489,350	MDPTPP03987818	MDPTPP03987821	STESAC0030172
2/2/1998	TRANS TO 1KW07630 (1KW076)	(50,000)	-	-	-	(50,000)	1,439,350	MDPTPP03987818	MDPTPP03987821	STESAC0030172
3/2/1998	CHECK	(10,000)	-	(10,000)	-	-	1,429,350	MDPTPP03987823	MDPTPP03987828	STESAC0031871
4/1/1998	CHECK	(10,000)	-	(10,000)	-	-	1,419,350	MDPTPP03987830	MDPTPP03987833	STESAC0023621
4/16/1998	CHECK	(71,200)	-	(71,200)	-	-	1,348,150	MDPTPP03987830	MDPTPP03987833	STESAC0023621
5/1/1998	CHECK	(10,000)	-	(10,000)	-	-	1,338,150	MDPTPP03987835	MDPTPP03987841	STESAC0025573
6/1/1998	CHECK	(10,000)	-	(10,000)	-	-	1,328,150	MDPTPP03987844	MDPTPP03987848	STESAC0027116
6/12/1998	CHECK	(23,200)	-	(23,200)	-	-	1,304,950	MDPTPP03987844	MDPTPP03987848	STESAC0027116
7/1/1998	CHECK	(10,000)	-	(10,000)	-	-	1,294,950	MDPTPP03987850	MDPTPP03987854	STESAC0049507
8/3/1998	CHECK	(10,000)	-	(10,000)	-	-	1,284,950	MDPTPP03987856	MDPTPP03987860	STESAC0051109
8/11/1998	CHECK	(50,000)	-	(50,000)	-	-	1,234,950	MDPTPP03987856	MDPTPP03987860	STESAC0051109
9/1/1998	CHECK	(10,000)	-	(10,000)	-	-	1,224,950	MDPTPP03987862	MDPTPP03987866	STESAC0043265
9/15/1998	CHECK	(26,000)	-	(26,000)	-	-	1,198,950	MDPTPP03987862	MDPTPP03987868	STESAC0043267
10/1/1998	CHECK	(10,000)	-	(10,000)	-	-	1,188,950	MDPTPP03987870	MDPTPP03987874	STESAC0045260
10/14/1998	CHECK	(50,600)	-	(50,600)	-	-	1,138,350	MDPTPP03987870	MDPTPP03987874	STESAC0045260
11/2/1998	CHECK	(10,000)	-	(10,000)	-	-	1,128,350	MDPTPP03987876	MDPTPP03987880	STESAC0047082
12/1/1998	CHECK	(10,000)	-	(10,000)	-	-	1,118,350	MDPTPP03987882	MDPTPP03987885	STESAC0052670
12/11/1998	CHECK	(421,000)	-	(421,000)	-	-	697,350	MDPTPP03987882	MDPTPP03987885	STESAC0052670
12/24/1998	CHECK	(96,000)	-	(96,000)	-	-	601,350	MDPTPP03987882	MDPTPP03987885	STESAC0052674
1/4/1999	CHECK	(10,000)	-	(10,000)	-	-	591,350	MDPTPP03987887	MDPTPP03987891	STESAC0054455
1/7/1999	CHECK	(2,000)	-	(2,000)	-	-	589,350	MDPTPP03987887	MDPTPP03987891	STESAC0054455
2/1/1999	CHECK	216,500	216,500	-	-	-	805,850	MDPTPP03987893	MDPTPP03987896	STESAC0056184
2/1/1999	CHECK	(12,000)	-	(12,000)	-	-	793,850	MDPTPP03987893	MDPTPP03987896	STESAC0056184
3/1/1999	CHECK	(12,000)	-	(12,000)	-	-	781,850	MDPTPP03987898	MDPTPP03987903	STESAC0056184
3/29/1999	CHECK	(6,930)	-	(6,930)	-	-	774,920	MDPTPP03987898	MDPTPP03987903	STESAC0056184
3/29/1999	TRANS TO 1KW17530 (1KW175)	(250,000)	-	-	-	(250,000)	524,920	MDPTPP03987898	MDPTPP03987903	STESAC0060688
4/1/1999	CHECK	(12,000)	-	(12,000)	-	-	512,920	MDPTPP03987905	MDPTPP03987907	STESAC0060688
4/13/1999	CHECK	(32,000)	-	(32,000)	-	-	280,920	MDPTPP03987905	MDPTPP03987907	STESAC0060688
5/3/1999	CHECK	(12,000)	-	(12,000)	-	-	268,920	MDPTPP03987909	MDPTPP03987914	STESAC0062477
5/14/1999	CHECK	(250,000)	-	(250,000)	-	-	18,920	MDPTPP03987909	MDPTPP03987914	STESAC0062479
5/21/1999	CHECK	(250,000)	-	(250,000)	-	-	(23,080)	MDPTPP03987909	MDPTPP03987914	STESAC0062479
5/25/1999	CHECK RETURNED	250,000	-	250,000	-	-	18,920	MDPTPP03987909	MDPTPP03987914	STESAC0062481
6/1/1999	CHECK	(12,000)	-	(12,000)	-	-	6,920	MDPTPP03987916	MDPTPP03987921	STESAC0062481
6/14/1999	CHECK	(94,000)	-	(94,000)	-	-	(87,080)	MDPTPP03987916	MDPTPP03987921	STESAD0028768
7/1/1999	CHECK	(12,000)	-	(12,000)	-	-	(99,080)	MDPTPP03987923	MDPTPP03987926	STESAD0030764
8/2/1999	CHECK	(12,000)	-	(12,000)	-	-	(111,080)	MDPTPP03987928	MDPTPP03987933	STESAD0030764
9/1/1999	CHECK	(12,000)	-	(12,000)	-	-	(123,080)	MDPTPP03987935	MDPTPP03987938	STESAD0032663
9/14/1999	CHECK	(70,000)	-	(70,000)	-	-	(193,080)	MDPTPP03987935	MDPTPP03987938	STESAD0032663
9/20/1999	CHECK	(100,000)	-	(100,000)	-	-	(293,080)	MDPTPP03987935	MDPTPP03987938	STESAD0032663
10/1/1999	CHECK	(12,000)	-	(12,000)	-	-	(305,080)	MDPTPP03987940	MDPTPP03987943	STESAD0032663
10/28/1999	TRANS FROM 1KW16130 (1KW161)	34,470	-	-	-	-	(305,080)	MDPTPP03987940	MDPTPP03987943	STESAD0032663
10/28/1999	TRANS FROM 1KW15130 (1KW151)	14,497	-	-	14,497	-	(290,583)	MDPTPP03987940	MDPTPP03987943	STESAD0032663
10/28/1999	TRANS FROM 1KW22130 (1KW221)	65,400	-	-	65,400	-	(225,183)	MDPTPP03987940	MDPTPP03987943	STESAD0032663
10/28/1999	TRANS FROM 1KW15630 (1KW156)	54,000	-	-	54,000	-	(171,183)	MDPTPP03987940	MDPTPP03987943	STESAD0032663
10/29/1999	CHECK	(18,367)	-	(18,367)	-	-	(189,550)	MDPTPP03987940	MDPTPP03987943	STESAD0032663

BLMIS ACCOUNT NO. 1KW195 - JEFFREY S WILPON SPECIAL

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
11/1/1999	CHECK	(12,000)	-	(12,000)	-	-	(201,550)	MDPTPP03987945	MDPTPP03987950	STESAD0033640
11/1/1999	TRANS FROM 1KW18030 (IKW180)	92,696 <sup>(1)</sup>	-	-	-	-	(201,550)	MDPTPP03987945	MDPTPP03987950	STESAD0033640
11/5/1999	CHECK	(133,700)	-	(133,700)	-	-	(335,250)	MDPTPP03987945	MDPTPP03987950	STESAD0033640
11/10/1999	CHECK	(92,696)	-	(92,696)	-	-	(427,946)	MDPTPP03987945	MDPTPP03987950	STESAD0033640
11/22/1999	CHECK	71,500	71,500	-	-	-	(356,446)	MDPTPP03987945	MDPTPP03987950	STESAD0033644
12/1/1999	CHECK	(12,000)	-	(12,000)	-	-	(368,446)	MDPTPP03987952	MDPTPP03987955	STESAF0074917
12/13/1999	CHECK	(96,000)	-	(96,000)	-	-	(464,446)	MDPTPP03987952	MDPTPP03987955	STESAF0074917
12/17/1999	CHECK	(2,700)	-	(2,700)	-	-	(467,146)	MDPTPP03987952	MDPTPP03987955	STESAF0074917
12/27/1999	CHECK	(15,000)	-	(15,000)	-	-	(482,146)	MDPTPP03987952	MDPTPP03987955	STESAF0074917
1/3/2000	CHECK	(12,000)	-	(12,000)	-	-	(494,146)	MDPTPP03987957	MDPTPP03987958	STESAF0076828
1/12/2000	CHECK	(40,000)	-	(40,000)	-	-	(534,146)	MDPTPP03987957	MDPTPP03987958	STESAF0076828
2/1/2000	CHECK	(12,000)	-	(12,000)	-	-	(546,146)	MDPTPP03987959	MDPTPP03987961	STESAF0078803
2/24/2000	TRANS FROM 1KW17530 (IKW175)	500,000	-	-	500,000	-	(46,146)	MDPTPP03987959	MDPTPP03987961	STESAF0078803
2/29/2000	CHECK	(187,900)	-	(187,900)	-	-	(234,046)	MDPTPP03987959	MDPTPP03987961	STESAF0078803
3/1/2000	CHECK	(12,000)	-	(12,000)	-	-	(246,046)	MDPTPP03987962	MDPTPP03987966	STESAF0071377
4/3/2000	CHECK	(12,000)	-	(12,000)	-	-	(258,046)	MDPTPP03987968	MDPTPP03987969	STESAF0073378
4/12/2000	CHECK	(265,600)	-	(265,600)	-	-	(523,646)	MDPTPP03987968	MDPTPP03987969	STESAF0073378
4/18/2000	CHECK	(60,846)	-	(60,846)	-	-	(584,492)	MDPTPP03987968	MDPTPP03987969	STESAF0073378
4/24/2000	CHECK	(29,116)	-	(29,116)	-	-	(613,608)	MDPTPP03987968	MDPTPP03987969	STESAF0073378
5/2/2000	CHECK	5,156	5,156	-	-	-	(608,452)	MDPTPP03987971	MDPTPP03987972	
	<b>Total:</b>		<b>\$ 1,869,156</b>	<b>\$ (3,071,287)</b>	<b>\$ 893,679</b>	<b>\$ (300,000)</b>	<b>\$ (608,452)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW197 - NATALIE KATZ & SAUL B KATZ T/I/C

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
8/20/1997	TRANS FROM 1KW02330 (1KW023)	50,000 <sup>(1)</sup>	-	-	-	-	-	MDFTPP03988601	MDFTPP03988604	STESAD0042223
8/20/1997	TRANS FROM 1KW02430 (1KW024)	50,000 <sup>(1)</sup>	-	-	-	-	-	MDFTPP03988601	MDFTPP03988604	STESAD0042223
12/23/1997	CHECK	(4,000)	-	(4,000)	-	-	(4,000)	MDFTPP03988623	MDFTPP03988626	
6/30/1998	TRANS TO 1KW22730 (1KW227)	(78,000) <sup>(2)</sup>	-	-	-	-	(4,000)	MDFTPP03988660	MDFTPP03988663	STESAC0027144
6/30/1998	TRANS TO 1KW02430 (1KW024)	(33,545) <sup>(2)</sup>	-	-	-	-	(4,000)	MDFTPP03988660	MDFTPP03988663	STESAC0027144
10/13/1998	TRANS TO 1KW02430 (1KW024)	(26) <sup>(2)</sup>	-	-	-	-	(4,000)	MDFTPP03988674	MDFTPP03988675	STESAC0045284
10/13/1998	TRANS TO 1KW22730 (1KW227)	(26) <sup>(2)</sup>	-	-	-	-	(4,000)	MDFTPP03988674	MDFTPP03988675	STESAC0045284
	<b>Total:</b>		<b>\$ -</b>	<b>\$ (4,000)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (4,000)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW198 - RED VALLEY PARTNERS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>al</sup>
8/20/1997	TRANS FROM IKW11630 (IKW16)	356,106 <sup>(1)</sup>	-	-	-	-	-	MDFTPP03988734	MDFTPP03988737	STESAD0042228
8/20/1997	TRANS FROM IKW02530 (IKW025)	766,521 <sup>(2)</sup>	-	-	150,000	-	150,000	MDFTPP03988734	MDFTPP03988737	STESAD0042228
8/20/1997	TRANS FROM IKW02330 (IKW023)	356,162 <sup>(1)</sup>	-	-	-	-	150,000	MDFTPP03988734	MDFTPP03988737	STESAD0042228
8/20/1997	TRANS FROM IKW01230 (IKW012)	601,129 <sup>(1)</sup>	-	-	-	-	150,000	MDFTPP03988734	MDFTPP03988737	STESAD0042228
8/20/1997	TRANS FROM IKW11930 (IKW19)	356,100 <sup>(1)</sup>	-	-	-	-	150,000	MDFTPP03988734	MDFTPP03988737	STESAD0042228
9/22/1997	CHECK	(500,000)	-	(500,000)	-	-	(350,000)	MDFTPP03988739	MDFTPP03988742	STESAD0043508
9/30/1997	TRANS FROM IKW01230 (IKW012)	407 <sup>(1)</sup>	-	-	-	-	(350,000)	MDFTPP03988739	MDFTPP03988742	STESAD0043512
9/30/1997	TRANS FROM IKW02330 (IKW023)	407 <sup>(1)</sup>	-	-	-	-	(350,000)	MDFTPP03988739	MDFTPP03988742	STESAD0043512
9/30/1997	TRANS FROM IKW02530 (IKW025)	590 <sup>(1)</sup>	-	-	-	-	(350,000)	MDFTPP03988739	MDFTPP03988742	STESAD0043512
12/23/1997	CHECK	(4,000)	-	(4,000)	-	-	(354,000)	MDFTPP03988755	MDFTPP03988758	
12/29/1997	CANCEL CHECK 12/23/97	4,000	-	4,000	-	-	(350,000)	MDFTPP03988755	MDFTPP03988758	
1/5/1998	CHECK	(200,900)	-	(200,900)	-	-	(550,900)	MDFTPP03988760	MDFTPP03988764	STESAC0028857
10/9/1998	CHECK	(50,000)	-	(50,000)	-	-	(600,900)	MDFTPP03988817	MDFTPP03988821	STESAC0045290
10/26/1998	CHECK	(90,000)	-	(90,000)	-	-	(690,900)	MDFTPP03988817	MDFTPP03988821	STESAC0045296
12/24/1998	CHECK	(146,800)	-	(146,800)	-	-	(837,700)	MDFTPP03988829	MDFTPP03988832	
12/31/1998	CHECK	(18,000)	-	(18,000)	-	-	(855,700)	MDFTPP03988829	MDFTPP03988832	
1/13/1999	CHECK	(72,000)	-	(72,000)	-	-	(927,700)	MDFTPP03988834	MDFTPP03988838	
4/13/1999	CHECK	(36,000)	-	(36,000)	-	-	(963,700)	MDFTPP03988852	MDFTPP03988854	
5/17/1999	CHECK	(100,000)	-	(100,000)	-	-	(1,063,700)	MDFTPP03988856	MDFTPP03988860	STESAC0054479
6/14/1999	CHECK	(21,000)	-	(21,000)	-	-	(1,084,700)	MDFTPP03988862	MDFTPP03988867	STESAC0060704
7/26/1999	CHECK	(85,000)	-	(85,000)	-	-	(1,169,700)	MDFTPP03988869	MDFTPP03988872	STESAC0062505
8/27/1999	CHECK	(50,000)	-	(50,000)	-	-	(1,219,700)	MDFTPP03988874	MDFTPP03988878	STESAD0028792
9/20/1999	CHECK	(45,000)	-	(45,000)	-	-	(1,264,700)	MDFTPP03988880	MDFTPP03988883	STESAD0030794
10/18/1999	CHECK	(90,000)	-	(90,000)	-	-	(1,354,700)	MDFTPP03988885	MDFTPP03988889	STESAD0032683
11/26/1999	CHECK	(71,000)	-	(71,000)	-	-	(1,425,700)	MDFTPP03988891	MDFTPP03988896	STESAD0033674
12/13/1999	CHECK	(118,000)	-	(118,000)	-	-	(1,543,700)	MDFTPP03988898	MDFTPP03988900	STESAF0074935
12/21/1999	CHECK	(125,000)	-	(125,000)	-	-	(1,668,700)	MDFTPP03988898	MDFTPP03988900	STESAF0074935
1/6/2000	CHECK RETURNED	125,000	-	125,000	-	-	(1,543,700)	MDFTPP03988902	MDFTPP03988906	STESAF0076844
1/10/2000	CHECK	(36,000)	-	(36,000)	-	-	(1,579,700)	MDFTPP03988906	MDFTPP03988906	STESAF0076844
1/27/2000	CHECK	(50,000)	-	(50,000)	-	-	(1,629,700)	MDFTPP03988902	MDFTPP03988906	STESAF0076848
3/23/2000	CHECK	(50,000)	-	(50,000)	-	-	(1,679,700)	MDFTPP03988913	MDFTPP03988918	STESAF0071411
4/12/2000	CHECK	(75,000)	-	(75,000)	-	-	(1,754,700)	MDFTPP03988920	MDFTPP03988922	STESAF0073392
6/14/2000	CHECK	(75,000)	-	(75,000)	-	-	(1,829,700)	MDFTPP03988931	MDFTPP03988933	STESAF0061950
7/24/2000	CHECK	(50,000)	-	(50,000)	-	-	(1,879,700)	MDFTPP03988935	MDFTPP03988939	STESAF0065983
7/26/2000	CHECK	(20,000)	-	(20,000)	-	-	(1,899,700)	MDFTPP03988935	MDFTPP03988939	STESAF0065983
9/11/2000	CHECK WIRE	(55,500)	1,000,000	(55,500)	-	-	(953,200)	MDFTPP03988945	MDFTPP03988949	STESAF0068564
9/26/2000	CHECK	1,000,000	1,000,000	-	-	-	(953,200)	MDFTPP03988945	MDFTPP03988949	STESAF0068564
11/1/2000	CHECK	(2,128,000)	-	(2,128,000)	-	-	(3,081,200)	MDFTPP03988957	MDFTPP03988962	STESAF0068568
12/18/2000	CHECK	(230,000)	-	(230,000)	-	-	(3,311,200)	MDFTPP03988965	MDFTPP03988968	STESAF0068568
2/28/2001	CHECK	(1,776)	-	(1,776)	-	-	(3,314,976)	MDFTPP03988972	MDFTPP03988973	STESAF0069653
3/12/2001	RETURNED CHECK	1,776	-	1,776	-	-	(3,313,200)	MDFTPP03988974	MDFTPP03988975	STESAF0111558
8/2/2001	CHECK	125,000	125,000	-	-	-	(3,188,200)	MDFTPP03988984	MDFTPP03988988	STESAF0111558
10/22/2001	CHECK	40,000	40,000	-	-	-	(3,148,200)	MDFTPP03988995	MDFTPP03988998	STESAC0033224
2/28/2002	CHECK	(25,000)	-	(25,000)	-	-	(3,173,200)	MDFTPP03989017	MDFTPP03989021	STESAD0050213



BLMIS ACCOUNT NO. 1KW198 - RED VALLEY PARTNERS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>3)</sup>
10/1/2002	CHECK	66,000	66,000	-	-	-	(3,107,200)	MDFTPP03989067	MDFTPP03989072	STESAC0037723
3/10/2003	CHECK	(71,000)	-	(71,000)	-	-	(3,178,200)	MDFTPP03989095	MDFTPP03989101	STESAC0109136
4/9/2003	CHECK	(162,000)	-	(162,000)	-	-	(3,340,200)	MDFTPP03989103	MDFTPP03989104	STESAC0112018
8/24/2005	CHECK	115,000	115,000	-	-	-	(3,225,200)	MDFTPP03989160	MDFTPP03989161	STESAC0009700
	<b>Total:</b>		<b>\$ 1,346,000</b>	<b>\$ (4,721,200)</b>	<b>\$ 150,000</b>	<b>\$ -</b>	<b>\$ (3,225,200)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW201 - DAVID M KATZ

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
9/11/1997	TRANS FROM 1KW01130 (1KW011)	827,117 <sup>[1]</sup>	-	-	144,626	-	144,626	MDPTPP03993251	MDPTPP03993253	STESAD0043540
10/10/1997	CHECK	(69,600)	-	(69,600)	-	-	75,026	MDPTPP03993255	MDPTPP03993258	STESAD0044457
10/31/1997	TRANS TO 1KW01130 (1KW011)	(7,790)	-	-	-	(7,790)	67,236	MDPTPP03993255	MDPTPP03993258	STESAD0044458
11/3/1997	CHECK	(8,000)	-	(8,000)	-	-	59,236	MDPTPP03993260	MDPTPP03993264	STESAD0046201
12/1/1997	CHECK	(8,000)	-	(8,000)	-	-	51,236	MDPTPP03993267	MDPTPP03993270	
12/22/1997	CHECK WIRE	(48,750)	-	(48,750)	-	-	2,486	MDPTPP03993267	MDPTPP03993270	
12/23/1997	CHECK	(3,400)	-	(3,400)	-	-	(914)	MDPTPP03993267	MDPTPP03993270	
12/31/1997	TRANS FROM 1KW15330 (1KW153)	87,432 <sup>[1]</sup>	-	-	62,359	-	61,446	MDPTPP03993272	MDPTPP03993276	STESAC0028893
1/2/1998	CHECK	(4,650)	-	(4,650)	-	-	56,796	MDPTPP03993272	MDPTPP03993276	STESAC0028893
1/2/1998	CHECK	(8,000)	-	(8,000)	-	-	(8,796)	MDPTPP03993272	MDPTPP03993276	STESAC0028893
1/4/1998	CHECK	(70,000)	-	(70,000)	-	-	(21,204)	MDPTPP03993272	MDPTPP03993276	STESAC0028893
1/16/1998	TRANS FROM 1KW11950 (1KW119)	411 <sup>[2]</sup>	-	-	-	-	(21,204)	MDPTPP03993272	MDPTPP03993276	STESAC0028893
1/16/1998	TRANS FROM 1KW01130 (1KW011)	266 <sup>[2]</sup>	-	-	-	-	(21,204)	MDPTPP03993272	MDPTPP03993276	STESAC0028893
1/20/1998	TRANS FROM 1KW16130 (1KW161)	172,350	-	-	172,350	-	151,146	MDPTPP03993272	MDPTPP03993276	STESAC0028893
2/2/1998	CHECK	(8,000)	-	(8,000)	-	-	143,146	MDPTPP03993278	MDPTPP03993281	STESAC0030234
3/2/1998	CHECK	(8,000)	-	(8,000)	-	-	135,146	MDPTPP03993283	MDPTPP03993288	STESAC0031955
4/1/1998	CHECK	(8,000)	-	(8,000)	-	-	127,146	MDPTPP03993290	MDPTPP03993293	STESAC0023681
4/16/1998	CHECK	(53,200)	-	(53,200)	-	-	73,946	MDPTPP03993290	MDPTPP03993293	STESAC0023681
4/22/1998	TRANS FROM 1KW15330 (1KW153)	34 <sup>[2]</sup>	-	-	-	-	73,946	MDPTPP03993290	MDPTPP03993293	STESAC0023683
5/1/1998	CHECK	(8,000)	-	(8,000)	-	-	65,946	MDPTPP03993295	MDPTPP03993301	STESAC0025669
6/1/1998	CHECK	(8,000)	-	(8,000)	-	-	57,946	MDPTPP03993304	MDPTPP03993308	STESAC0027182
6/12/1998	CHECK	(26,000)	-	(26,000)	-	-	31,946	MDPTPP03993304	MDPTPP03993308	STESAC0027182
7/1/1998	CHECK	(8,000)	-	(8,000)	-	-	23,946	MDPTPP03993310	MDPTPP03993313	STESAC0049567
8/3/1998	CHECK	(8,000)	-	(8,000)	-	-	15,946	MDPTPP03993315	MDPTPP03993319	STESAC0051175
8/12/1998	CHECK	(50,000)	-	(50,000)	-	-	(34,054)	MDPTPP03993315	MDPTPP03993319	STESAC0051175
9/1/1998	CHECK	(8,000)	-	(8,000)	-	-	(42,054)	MDPTPP03993321	MDPTPP03993327	STESAC0043351
9/14/1998	CHECK	(26,000)	-	(26,000)	-	-	(68,054)	MDPTPP03993321	MDPTPP03993327	STESAC0043351
10/1/1998	CHECK	(8,000)	-	(8,000)	-	-	(76,054)	MDPTPP03993329	MDPTPP03993333	STESAC0045324
10/14/1998	CHECK	(85,400)	-	(85,400)	-	-	(161,454)	MDPTPP03993329	MDPTPP03993333	STESAC0045324
11/2/1998	CHECK	(8,000)	-	(8,000)	-	-	(169,454)	MDPTPP03993335	MDPTPP03993339	STESAC0047142
12/1/1998	CHECK	(8,000)	-	(8,000)	-	-	(177,454)	MDPTPP03993341	MDPTPP03993344	STESAC0052720
12/11/1998	CHECK	(406,000)	-	(406,000)	-	-	(583,454)	MDPTPP03993341	MDPTPP03993344	STESAC0052720
1/4/1999	CHECK	(8,000)	-	(8,000)	-	-	(591,454)	MDPTPP03993346	MDPTPP03993350	STESAC0054515
1/13/1999	CHECK	(87,500)	-	(87,500)	-	-	(678,954)	MDPTPP03993346	MDPTPP03993350	STESAC0054515
2/1/1999	CHECK	(8,000)	-	(8,000)	-	-	(686,954)	MDPTPP03993352	MDPTPP03993355	STESAC0056234
3/1/1999	CHECK	(8,000)	-	(8,000)	-	-	(694,954)	MDPTPP03993357	MDPTPP03993362	STESAC0058412
3/29/1999	CHECK	(2,500)	-	(2,500)	-	-	(697,454)	MDPTPP03993357	MDPTPP03993362	STESAC0058420
3/29/1999	TRANS TO 1KW17530 (1KW175)	(215,000) <sup>[2]</sup>	-	-	-	-	(912,454)	MDPTPP03993357	MDPTPP03993362	STESAC0058420
4/1/1999	CHECK	(8,000)	-	(8,000)	-	-	(920,454)	MDPTPP03993364	MDPTPP03993365	STESAC0060728
7/21/1999	TRANS FROM 1KW16130 (1KW161)	206,820 <sup>[2]</sup>	-	-	-	-	(713,634)	MDPTPP03993373	MDPTPP03993375	STESAD0028818
9/14/1999	CHECK	(50,000)	-	(50,000)	-	-	(763,634)	MDPTPP03993382	MDPTPP03993385	STESAD0032713
9/20/1999	CHECK	(58,000)	-	(58,000)	-	-	(821,634)	MDPTPP03993382	MDPTPP03993385	STESAD0032713
10/28/1999	TRANS FROM 1KW15130 (1KW151)	28,993	44,444	-	28,993	-	(792,640)	MDPTPP03993387	MDPTPP03993390	
10/28/1999	TRANS FROM 1KW15630 (1KW156)	54,000	12,000	-	54,000	-	(738,640)	MDPTPP03993387	MDPTPP03993390	
10/28/1999	TRANS FROM 1KW16130 (1KW161)	34,470 <sup>[2]</sup>	-	-	-	-	(704,170)	MDPTPP03993387	MDPTPP03993390	
10/28/1999	TRANS FROM 1KW22130 (1KW221)	66,600	-	-	66,600	-	(637,570)	MDPTPP03993387	MDPTPP03993390	
11/1/1999	TRANS FROM 1KW18030 (1KW180)	92,696 <sup>[2]</sup>	-	-	-	-	(544,874)	MDPTPP03993392	MDPTPP03993397	STESAD0033710
11/5/1999	CHECK	(173,900)	-	(173,900)	-	-	(370,974)	MDPTPP03993392	MDPTPP03993397	STESAD0033710
12/17/1999	CHECK	(2,700)	-	(2,700)	-	-	(373,674)	MDPTPP03993399	MDPTPP03993401	STESAF0074959
12/27/1999	CHECK	44,444	44,444	-	-	-	(329,230)	MDPTPP03993399	MDPTPP03993401	STESAF0074959
1/10/2000	CHECK	(55,000)	-	(55,000)	-	-	(274,230)	MDPTPP03993403	MDPTPP03993407	STESAF0076880
2/4/2000	CHECK	12,000	12,000	-	-	-	(262,230)	MDPTPP03993409	MDPTPP03993412	STESAF0078849
2/24/2000	TRANS FROM 1KW17530 (1KW175)	500,000	-	-	500,000	-	(232,230)	MDPTPP03993409	MDPTPP03993412	STESAF0078851
2/29/2000	CHECK	(116,200)	-	(116,200)	-	-	(348,430)	MDPTPP03993409	MDPTPP03993412	STESAF0078853
3/9/2000	CHECK	(60,520)	-	(60,520)	-	-	(408,950)	MDPTPP03993414	MDPTPP03993419	
4/12/2000	CHECK	(107,000)	-	(107,000)	-	-	(515,950)	MDPTPP03993421	MDPTPP03993423	

BLMIS ACCOUNT NO. IKW201 - DAVID M KATZ

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
4/18/2000	CHECK	(60,846)	-	(60,846)	-	-	(683,583)	MDPTPP03993421	MDPTPP03993423	STESAF0073416
4/24/2000	CHECK	(20,797)	-	(20,797)	-	-	(704,380)	MDPTPP03993421	MDPTPP03993423	STESAF0073416
4/28/2000	CHECK	(103,466)	-	(103,466)	-	-	(807,846)	MDPTPP03993421	MDPTPP03993434	STESAF0073416
6/8/2000	TRANS FROM IKW15630 (IKW156)	63,960	63,960	-	63,960	-	(743,886)	MDPTPP03993432	MDPTPP03993434	STESAF0061974
6/8/2000	TRANS FROM IKW16130 (IKW161)	63,960 <sup>[2]</sup>	-	-	-	-	(743,886)	MDPTPP03993432	MDPTPP03993434	STESAF0061974
6/14/2000	CHECK	(336,600)	-	(336,600)	-	-	(1,080,486)	MDPTPP03993432	MDPTPP03993434	STESAF0061974
7/3/2000	CHECK	(35,000)	-	(35,000)	-	-	(1,115,486)	MDPTPP03993436	MDPTPP03993437	STESAF0066017
8/17/2000	CHECK	(10,418)	-	(10,418)	-	-	(1,125,904)	MDPTPP03993439	MDPTPP03993440	STESAF0063781
9/8/2000	RETURNED CHECK	10,418	-	10,418	-	-	(1,115,486)	MDPTPP03993442	MDPTPP03993443	STESAF0068604
9/27/2000	CHECK	1,540,000	1,540,000	-	-	-	424,514	MDPTPP03993442	MDPTPP03993443	STESAF0068604
10/10/2000	CHECK	135,000	135,000	-	-	-	559,514	MDPTPP03993445	MDPTPP03993447	STESAC0095428
10/25/2000	TRANS FROM IKW29630 (IKW296)	67,863 <sup>[2]</sup>	-	-	-	-	559,514	MDPTPP03993445	MDPTPP03993447	STESAC0095430
10/30/2000	CHECK	489,550	489,550	-	-	-	1,049,064	MDPTPP03993445	MDPTPP03993447	STESAC0095430
10/31/2000	TRANS FROM IKW13930 (IKW139)	438,792 <sup>[1]</sup>	-	-	176,129	-	1,225,192	MDPTPP03993445	MDPTPP03993447	STESAC0095430
11/1/2000	CHECK	(2,646,465)	-	(2,646,465)	-	-	(1,421,273)	MDPTPP03993449	MDPTPP03993451	STESAC0097701
11/13/2000	CHECK	(182)	-	(182)	-	-	(1,421,455)	MDPTPP03993449	MDPTPP03993451	STESAC0097701
12/19/2000	TRANS FROM IKW29630 (IKW296)	287 <sup>[2]</sup>	-	-	-	-	(1,421,455)	MDPTPP03993453	MDPTPP03993454	STESAC0095430
12/20/2000	CHECK	(45,000)	-	(45,000)	-	-	(1,466,455)	MDPTPP03993453	MDPTPP03993454	STESAC0095430
9/18/2001	TRANS FROM IKW02430 (IKW024)	125,000 <sup>[2]</sup>	-	-	-	-	(1,466,455)	MDPTPP03993472	MDPTPP03993473	STESAD0076527
11/1/2001	TRANS TO IKW02430 (IKW024)	(125,000) <sup>[3]</sup>	-	-	-	-	(1,466,455)	MDPTPP03993477	MDPTPP03993478	STESAD0076527
11/1/2001	TRANS FROM IKW30930 (IKW309)	125,000	-	-	125,000	-	(1,341,455)	MDPTPP03993477	MDPTPP03993478	STESAD0076527
12/7/2001	CHECK	(120,000)	-	(120,000)	-	-	(1,461,455)	MDPTPP03993479	MDPTPP03993480	STESAD0068524
8/28/2003	CHECK	500,000	500,000	-	-	-	(961,455)	MDPTPP03993519	MDPTPP03993520	STESAD0068524
4/21/2005	CHECK	(202,000)	-	(202,000)	-	-	(1,163,455)	MDPTPP03993634	MDPTPP03993636	STESAC0130867
6/15/2005	CHECK	(92,000)	-	(92,000)	-	-	(1,255,455)	MDPTPP03993644	MDPTPP03993648	STESAC0005897
9/14/2005	CHECK	(107,000)	-	(107,000)	-	-	(1,362,455)	MDPTPP03993657	MDPTPP03993662	STESAC0005897
10/5/2007	CHECK	(184,402)	-	(184,402)	-	-	(1,546,857)	MDPTPP03993812	MDPTPP03993813	STESAC0005897
	<b>Total:</b>		<b>\$ 2,720,994</b>	<b>\$ (5,654,078)</b>	<b>\$ 1,394,017</b>	<b>\$ (7,790)</b>	<b>\$ (1,546,857)</b>			

<sup>[1]</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>[2]</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[3]</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[4]</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW207 - SCOTT WILPON RICHARD A WILPON AS CUSTODIAN

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>(5)</sup>
12/31/1997	TRANS FROM IKW08130 (IKW081)	20,000 <sup>(1)</sup>	-	-	-	-	-	MDFTPP04002524	MDFTPP04002524	
12/31/1997	TRANS FROM IKW09330 (IKW093)	20,367 <sup>(2)</sup>	-	-	10,000	-	10,000	MDFTPP04002524	MDFTPP04002524	
12/31/1997	TRANS FROM IKW13330 (IKW133)	87,024 <sup>(1)</sup>	-	-	-	-	10,000	MDFTPP04002524	MDFTPP04002524	
4/15/1998	TRANS FROM IKW09330 (IKW093)	7 <sup>(1)</sup>	-	-	-	-	10,000	MDFTPP04002543	MDFTPP04002546	STESAC0023741
4/15/1998	TRANS FROM IKW13330 (IKW133)	24 <sup>(1)</sup>	-	-	-	-	10,000	MDFTPP04002543	MDFTPP04002546	STESAC0023741
12/24/1998	CHECK	40,000	40,000	-	-	-	50,000	MDFTPP04002593	MDFTPP04002596	STESAC0052782
1/12/1999	TRANS FROM IKW08130 (IKW081)	8,000 <sup>(1)</sup>	-	-	-	-	50,000	MDFTPP04002598	MDFTPP04002602	STESAC0054587
8/23/1999	TRANS FROM IKW08130 (IKW081)	20,000 <sup>(1)</sup>	-	-	-	-	50,000	MDFTPP04002638	MDFTPP04002643	STESAD0030900
8/27/1999	CHECK	40,000	40,000	-	-	-	90,000	MDFTPP04002638	MDFTPP04002643	STESAD0030904
6/30/2000	TRANS FROM IKW08130 (IKW081)	20,000 <sup>(1)</sup>	-	-	-	-	90,000	MDFTPP04002696	MDFTPP04002698	STESAF0062024
7/3/2000	CHECK	(20,000)	-	(20,000)	-	-	70,000	MDFTPP04002700	MDFTPP04002704	STESAF0066083
7/5/2000	CHECK	40,000	40,000	-	-	-	110,000	MDFTPP04002700	MDFTPP04002704	STESAF0066083
9/11/2000	CHECK	(2,100)	-	(2,100)	-	-	107,900	MDFTPP04002711	MDFTPP04002716	STESAF0068680
1/11/2001	CHECK	(3,000)	-	(3,000)	-	-	104,900	MDFTPP04002737	MDFTPP04002742	
2/16/2001	CHECK	20,000	20,000	-	-	-	124,900	MDFTPP04002744	MDFTPP04002747	
4/25/2001	TRANS FROM IKW08130 (IKW081)	20,000	20,000	-	20,000	-	144,900	MDFTPP04002756	MDFTPP04002760	STESAF0109271
4/30/2001	CHECK	20,000	20,000	-	-	-	164,900	MDFTPP04002756	MDFTPP04002760	STESAF0109271
10/25/2001	CHECK	(7,000)	-	(7,000)	-	-	157,900	MDFTPP04002789	MDFTPP04002793	STESAC0033324
12/7/2001	CHECK	(1,000)	-	(1,000)	-	-	156,900	MDFTPP04002801	MDFTPP04002803	STESAD0078706
1/17/2002	CHECK	44,000	44,000	-	-	-	200,900	MDFTPP04002805	MDFTPP04002810	STESAD0047640
1/23/2002	TRANS FROM IKW08130 (IKW081)	22,000	-	-	22,000	-	222,900	MDFTPP04002805	MDFTPP04002810	STESAD0047640
4/10/2002	CHECK	(12,500)	-	(12,500)	-	-	210,400	MDFTPP04002825	MDFTPP04002830	STESAD0053000
6/13/2002	CHECK	(2,000)	-	(2,000)	-	-	208,400	MDFTPP04002839	MDFTPP04002843	STESAC0068632
6/24/2002	CHECK	(80,000)	-	(80,000)	-	-	128,400	MDFTPP04002839	MDFTPP04002843	STESAC0068632
6/27/2002	CXL CHECK 6/24/02	80,000	-	80,000	-	-	208,400	MDFTPP04002839	MDFTPP04002843	STESAC0068632
9/20/2002	CHECK	(8,000)	-	(8,000)	-	-	200,400	MDFTPP04002858	MDFTPP04002861	STESAC0040627
1/16/2003	CHECK	(5,000)	-	(5,000)	-	-	195,400	MDFTPP04002878	MDFTPP04002883	STESAC0114461
6/6/2003	CHECK	(6,500)	-	(6,500)	-	-	188,900	MDFTPP04002909	MDFTPP04002913	STESAD0026147
8/19/2003	TRANS TO IKW38930 (IKW389)	(618,292) <sup>(3)</sup>	-	-	-	(188,900)	-	MDFTPP04002923	MDFTPP04002927	STESAD0068592
10/14/2003	TRANS TO IKW38930 (IKW389)	(932) <sup>(4)</sup>	-	-	-	-	-	MDFTPP04002933	MDFTPP04002934	STESAD0000040
10/31/2003	TRANS TO IKW38930 (IKW389)	(0) <sup>(4)</sup>	-	-	-	-	-	MDFTPP04002933	MDFTPP04002934	STESAD0000040
	<b>Total:</b>		<b>\$ 204,000</b>	<b>\$ (67,100)</b>	<b>\$ 52,000</b>	<b>\$ (188,900)</b>	<b>\$ -</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(3)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(4)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(5)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW208 - JESSICA WILPON RICHARD A WILPON AS CUSTODIAN

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
12/31/1997	TRANS FROM IKW13330 (IKW133)	87,021 <sup>(1)</sup>	-	-	-	-	-	MDFTPP04003110	MDFTPP04003110	
12/31/1997	TRANS FROM IKW08130 (IKW081)	35,400 <sup>(1)</sup>	-	-	-	-	-	MDFTPP04003110	MDFTPP04003110	STESAC0023751
4/15/1998	TRANS FROM IKW13330 (IKW133)	24 <sup>(1)</sup>	-	-	-	-	-	MDFTPP04003129	MDFTPP04003129	STESAC0052792
12/24/1998	CHECK	40,000	40,000	-	-	-	40,000	MDFTPP04003179	MDFTPP04003182	STESAC0054599
1/12/1999	TRANS FROM IKW08130 (IKW081)	13,000 <sup>(1)</sup>	-	-	-	-	40,000	MDFTPP04003184	MDFTPP04003188	STESAD0030914
8/23/1999	TRANS FROM IKW08130 (IKW081)	20,000 <sup>(1)</sup>	-	-	-	-	40,000	MDFTPP04003224	MDFTPP04003229	STESAD0030918
8/27/1999	CHECK	40,000	40,000	-	-	-	80,000	MDFTPP04003224	MDFTPP04003229	STESAF0062032
6/30/2000	TRANS FROM IKW08130 (IKW081)	20,000 <sup>(1)</sup>	-	-	-	-	80,000	MDFTPP04003282	MDFTPP04003284	STESAF0066095
7/3/2000	CHECK	(20,000)	-	(20,000)	-	-	60,000	MDFTPP04003286	MDFTPP04003290	STESAF0066095
7/5/2000	CHECK	40,000	40,000	-	-	-	100,000	MDFTPP04003286	MDFTPP04003290	STESAF0066095
9/11/2000	CHECK	(1,900)	-	(1,900)	-	-	98,100	MDFTPP04003297	MDFTPP04003302	STESAF0068694
1/11/2001	CHECK	(2,200)	-	(2,200)	-	-	95,900	MDFTPP04003323	MDFTPP04003328	
2/16/2001	CHECK	20,000	20,000	-	-	-	115,900	MDFTPP04003330	MDFTPP04003333	
4/25/2001	TRANS FROM IKW08130 (IKW081)	20,000	-	-	20,000	-	135,900	MDFTPP04003342	MDFTPP04003346	STESAF0109283
4/30/2001	CHECK	20,000	20,000	-	-	-	155,900	MDFTPP04003342	MDFTPP04003346	STESAF0109283
12/7/2001	CHECK	(1,000)	-	(1,000)	-	-	154,900	MDFTPP04003387	MDFTPP04003389	STESAD0078714
1/17/2002	CHECK	44,000	44,000	-	-	-	198,900	MDFTPP04003391	MDFTPP04003396	STESAD0047654
1/23/2002	TRANS FROM IKW08130 (IKW081)	22,000	-	-	22,000	-	220,900	MDFTPP04003391	MDFTPP04003396	STESAD0047654
4/10/2002	CHECK	(11,000)	-	(11,000)	-	-	209,900	MDFTPP04003411	MDFTPP04003416	STESAD0053014
6/13/2002	CHECK	(2,500)	-	(2,500)	-	-	207,400	MDFTPP04003425	MDFTPP04003429	STESAC0068644
6/24/2002	CHECK	(80,000)	-	(80,000)	-	-	127,400	MDFTPP04003425	MDFTPP04003429	STESAC0068644
6/27/2002	CXL CHECK 6/24/02	80,000	-	80,000	-	-	207,400	MDFTPP04003425	MDFTPP04003429	STESAC0068644
9/20/2002	CHECK	(5,000)	-	(5,000)	-	-	202,400	MDFTPP04003444	MDFTPP04003447	STESAC0040637
1/16/2003	CHECK	(5,000)	-	(5,000)	-	-	197,400	MDFTPP04003464	MDFTPP04003469	STESAC0114475
4/9/2003	CHECK	(8,000)	-	(8,000)	-	-	189,400	MDFTPP04003484	MDFTPP04003486	STESAC0112092
6/6/2003	CHECK	(6,000)	-	(6,000)	-	-	183,400	MDFTPP04003495	MDFTPP04003499	STESAD0026159
8/19/2003	TRANS TO IKW39030 (IKW390)	(622,739) <sup>(2)</sup>	-	-	-	(183,400)	-	MDFTPP04003509	MDFTPP04003513	STESAD0068604
10/14/2003	TRANS TO IKW39030 (IKW390)	(932) <sup>(3)</sup>	-	-	-	-	-	MDFTPP04003519	MDFTPP04003520	STESAD0000046
10/31/2003	TRANS TO IKW39030 (IKW390)	(0) <sup>(3)</sup>	-	-	-	-	-	MDFTPP04003519	MDFTPP04003520	STESAD0000046
		<b>Total:</b>	<b>\$ 204,000</b>	<b>\$ (62,600)</b>	<b>\$ 42,000</b>	<b>\$ (183,400)</b>	<b>\$ -</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(3)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(4)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW209 - DANIEL WILPON RICHARD A WILPON AS CUSTODIAN

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bal.	BLMIS SOURCE DOCUMENT: End Bal.	DEFENDANT'S PRODUCTION Bates Ref <sup>al</sup>
12/31/1997	TRANS FROM IKW13330 (IKW133)	87,021 <sup>(1)</sup>	-	-	-	-	-	MDFTPP04003696	MDFTPP04003696	
12/31/1997	TRANS FROM IKW08130 (IKW081)	20,000 <sup>(1)</sup>	-	-	-	-	-	MDFTPP04003696	MDFTPP04003696	STESAC0023761
4/15/1998	TRANS FROM IKW13330 (IKW133)	24 <sup>(1)</sup>	-	-	-	-	-	MDFTPP04003715	MDFTPP04003715	STESAC0052802
12/24/1998	CHECK	40,000	40,000	-	-	-	40,000	MDFTPP04003765	MDFTPP04003768	STESAC0054611
1/12/1999	TRANS FROM IKW08130 (IKW081)	29,000 <sup>(1)</sup>	-	-	-	-	40,000	MDFTPP04003770	MDFTPP04003774	STESAD0030928
8/23/1999	TRANS FROM IKW08130 (IKW081)	20,000 <sup>(1)</sup>	-	-	-	-	40,000	MDFTPP04003810	MDFTPP04003815	STESAD0030932
8/27/1999	CHECK	40,000	40,000	-	-	-	80,000	MDFTPP04003810	MDFTPP04003815	STESAD0030928
6/30/2000	TRANS FROM IKW08130 (IKW081)	20,000 <sup>(1)</sup>	-	-	-	-	80,000	MDFTPP04003868	MDFTPP04003870	STESAF0062040
7/3/2000	CHECK	(20,000)	-	(20,000)	-	-	60,000	MDFTPP04003872	MDFTPP04003876	STESAF0066107
7/5/2000	CHECK	40,000	40,000	-	-	-	100,000	MDFTPP04003872	MDFTPP04003876	STESAF0066107
9/11/2000	CHECK	(1,300)	-	(1,300)	-	-	98,700	MDFTPP04003883	MDFTPP04003888	STESAF0068708
1/11/2001	CHECK	(5,500)	-	(5,500)	-	-	93,200	MDFTPP04003909	MDFTPP04003914	
2/16/2001	CHECK	20,000	20,000	-	-	-	113,200	MDFTPP04003916	MDFTPP04003919	
4/25/2001	TRANS FROM IKW08130 (IKW081)	20,000	-	-	20,000	-	133,200	MDFTPP04003928	MDFTPP04003932	STESAD0109295
4/30/2001	CHECK	20,000	20,000	-	-	-	153,200	MDFTPP04003928	MDFTPP04003932	STESAD0109295
6/27/2001	CHECK	13,500	13,500	-	-	-	166,700	MDFTPP04003938	MDFTPP04003942	
12/7/2001	CHECK	(1,000)	-	(1,000)	-	-	165,700	MDFTPP04003973	MDFTPP04003975	STESAD0078722
1/17/2002	CHECK	44,000	44,000	-	-	-	209,700	MDFTPP04003977	MDFTPP04003982	STESAD0047668
1/23/2002	TRANS FROM IKW08130 (IKW081)	22,000	-	-	22,000	-	231,700	MDFTPP04003977	MDFTPP04003982	STESAD0047668
4/10/2002	CHECK	(12,000)	-	(12,000)	-	-	219,700	MDFTPP04003997	MDFTPP04004002	STESAD0053028
6/13/2002	CHECK	(3,500)	-	(3,500)	-	-	216,200	MDFTPP04004011	MDFTPP04004015	STESAC0068656
6/24/2002	CHECK	(80,000)	-	(80,000)	-	-	136,200	MDFTPP04004011	MDFTPP04004015	STESAC0068656
6/27/2002	CXL CHECK 6/24/02	80,000	-	80,000	-	-	216,200	MDFTPP04004011	MDFTPP04004015	STESAC0068656
9/20/2002	CHECK	(4,000)	-	(4,000)	-	-	212,200	MDFTPP04004031	MDFTPP04004034	STESAC0040647
1/16/2003	CHECK	(3,500)	-	(3,500)	-	-	208,700	MDFTPP04004053	MDFTPP04004058	STESAC0114489
4/9/2003	CHECK	(5,000)	-	(5,000)	-	-	203,700	MDFTPP04004073	MDFTPP04004075	STESAC0112100
6/6/2003	CHECK	(6,500)	-	(6,500)	-	-	197,200	MDFTPP04004083	MDFTPP04004087	STESAD0026171
9/10/2003	CHECK	(5,000)	-	(5,000)	-	-	192,200	MDFTPP04004104	MDFTPP04004110	STESAD0071483
9/10/2003	TRANS TO IKW40230 (IKW402)	(583,333) <sup>(2)</sup>	-	-	-	(192,200)	-	MDFTPP04004104	MDFTPP04004110	STESAD0071483
10/10/2003	CHECK	44,000	44,000	-	-	-	44,000	MDFTPP04004112	MDFTPP04004117	STESAD0001354
11/25/2003	TRANS FROM IKW08130 (IKW081)	22,000	-	-	22,000	-	66,000	MDFTPP04004119	MDFTPP04004122	
1/8/2004	CHECK	(5,000)	-	(5,000)	-	-	61,000	MDFTPP04004128	MDFTPP04004133	
1/9/2004	TRANS FROM IKW08130 (IKW081)	22,000 <sup>(1)</sup>	-	-	-	-	61,000	MDFTPP04004128	MDFTPP04004133	
1/12/2004	CHECK	44,000	44,000	-	-	-	105,000	MDFTPP04004128	MDFTPP04004133	
6/14/2004	CHECK	(4,500)	-	(4,500)	-	-	100,500	MDFTPP04004157	MDFTPP04004163	
7/19/2004	CHECK	67,888	67,888	-	-	-	168,388	MDFTPP04004165	MDFTPP04004167	
9/22/2004	CHECK	(2,500)	-	(2,500)	-	-	165,888	MDFTPP04004176	MDFTPP04004180	
10/13/2004	CHECK	24,440	24,440	-	-	-	190,327	MDFTPP04004182	MDFTPP04004184	
12/14/2004	CHECK	(200,000)	-	(200,000)	-	-	(9,673)	MDFTPP04004193	MDFTPP04004198	
12/29/2004	CHECK	18,165	18,165	-	-	-	8,492	MDFTPP04004193	MDFTPP04004198	STESAF0099168
1/27/2005	CHECK	44,000	44,000	-	-	-	52,492	MDFTPP04004200	MDFTPP04004205	STESAF0099170
3/24/2005	CHECK	5,008	5,008	-	-	-	57,500	MDFTPP04004212	MDFTPP04004217	STESAC0016726
3/28/2005	CHECK	5,808	5,808	-	-	-	63,308	MDFTPP04004212	MDFTPP04004217	STESAC0011541
3/28/2005	CXL CHECK	(5,008)	(5,008)	-	-	-	58,300	MDFTPP04004212	MDFTPP04004217	STESAC0011541
4/11/2005	CHECK	(31,000)	-	(31,000)	-	-	27,300	MDFTPP04004219	MDFTPP04004221	STESAC0130915
5/2/2005	CHECK	(1,500)	-	(1,500)	-	-	25,800	MDFTPP04004223	MDFTPP04004228	STESAC0132973
5/4/2005	CHECK	3,872	3,872	-	-	-	29,672	MDFTPP04004223	MDFTPP04004228	STESAC0132973
6/13/2005	CHECK	(13,000)	-	(13,000)	-	-	16,672	MDFTPP04004230	MDFTPP04004234	STESAC0005969
7/19/2005	CHECK	4,356	4,356	-	-	-	21,028	MDFTPP04004236	MDFTPP04004238	STESAC0008213
9/14/2005	CHECK	(6,000)	-	(6,000)	-	-	15,028	MDFTPP04004243	MDFTPP04004248	
10/24/2005	CHECK	4,840	4,840	-	-	-	19,868	MDFTPP04004250	MDFTPP04004255	STESAC00128339
12/15/2005	CHECK	95,090	95,090	-	-	-	114,958	MDFTPP04004262	MDFTPP04004268	STESAC0087905
12/16/2005	TRANS FROM IKW08130 (IKW081)	22,000	-	-	22,000	-	136,958	MDFTPP04004262	MDFTPP04004268	STESAD0010427
1/11/2006	CHECK	(4,000)	-	(4,000)	-	-	132,958	MDFTPP04004270	MDFTPP04004275	STESAD0007381
2/6/2006	CHECK	9,162	9,162	-	-	-	142,119	MDFTPP04004277	MDFTPP04004280	STESAD0007381
4/3/2006	CHECK	48,000	48,000	-	-	-	190,119	MDFTPP04004290	MDFTPP04004297	STESAC0120014
4/3/2006	CHECK	25,541	25,541	-	-	-	215,660	MDFTPP04004290	MDFTPP04004297	STESAC0120014

BLMIS ACCOUNT NO. IKW209 - DANIEL WILPON RICHARD A WILPON AS CUSTODIAN

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
4/3/2006	CHECK	24,000	24,000	-	-	-	239,660	MDPTPP04004290	MDPTPP04004297	STESAC0120014
4/13/2006	CHECK	(11,000)	-	(11,000)	-	-	228,660	MDPTPP04004290	MDPTPP04004297	STESAC0120020
6/9/2006	CHECK	(15,500)	-	(15,500)	-	-	213,160	MDPTPP04004305	MDPTPP04004309	STESAD0107831
1/12/2007	CHECK	(11,000)	-	(11,000)	-	-	202,160	MDPTPP04004350	MDPTPP04004352	
3/21/2007	CHECK	(21,325)	-	(21,325)	-	-	180,835	MDPTPP04004360	MDPTPP04004364	STESAG0008242
4/4/2007	CHECK	(139,727)	-	(139,727)	-	-	41,108	MDPTPP04004367	MDPTPP04004373	STESAG0011567
4/10/2007	CHECK	(27,000)	-	(27,000)	-	-	14,108	MDPTPP04004367	MDPTPP04004373	STESAG0011567
5/1/2007	CHECK	24,000	24,000	-	-	-	38,108	MDPTPP04004375	MDPTPP04004379	STESAF0005147
5/1/2007	CHECK	24,000	24,000	-	-	-	62,108	MDPTPP04004375	MDPTPP04004379	STESAF0005147
6/28/2007	CHECK	56,712	56,712	-	-	-	118,820	MDPTPP04004381	MDPTPP04004386	STESAF0005625
9/6/2007	CHECK	(19,000)	-	(19,000)	-	-	99,820	MDPTPP04004395	MDPTPP04004400	
10/5/2007	CHECK	30,667	30,667	-	-	-	130,487	MDPTPP04004402	MDPTPP04004403	
12/31/2007	CHECK	12,864	12,864	-	-	-	143,351	MDPTPP04004411	MDPTPP04004415	
1/10/2008	CHECK	(24,000)	-	(24,000)	-	-	119,351	MDPTPP04004417	MDPTPP04004419	STESAF0000355
1/17/2008	CHECK	12,000	12,000	-	-	-	131,351	MDPTPP04004417	MDPTPP04004419	STESAF0000357
1/17/2008	CHECK	12,000	12,000	-	-	-	143,351	MDPTPP04004417	MDPTPP04004419	STESAF0000357
1/17/2008	CHECK	24,000	24,000	-	-	-	167,351	MDPTPP04004417	MDPTPP04004419	STESAF0000357
3/24/2008	CHECK	61,850	61,850	-	-	-	229,201	MDPTPP04004422	MDPTPP04004424	STESAF0002449
4/9/2008	CHECK	(30,000)	-	(30,000)	-	-	199,201	MDPTPP04004425	MDPTPP04004430	STESAF0004226
6/6/2008	CHECK	13,808	13,808	-	-	-	213,009	MDPTPP04004438	MDPTPP04004440	STESAF0009517
6/9/2008	CHECK	(18,000)	-	(18,000)	-	-	195,009	MDPTPP04004438	MDPTPP04004440	STESAF0009517
9/12/2008	CHECK	(2,000)	-	(2,000)	-	-	193,009	MDPTPP04004451	MDPTPP04004455	STESAF0015704
9/30/2008	CHECK	82,093	82,093	-	-	-	275,102	MDPTPP04004451	MDPTPP04004455	STESAF0015710
10/23/2008	CHECK	6,122	6,122	-	-	-	281,224	MDPTPP04004457	MDPTPP04004458	STESAF0018075
11/26/2008	CHECK	1,435	1,435	-	-	-	282,659	MDPTPP04004460	MDPTPP04004465	STESAF0020081
	<b>Total:</b>		<b>\$ 1,042,211</b>	<b>\$ (653,352)</b>	<b>\$ 86,000</b>	<b>\$ (192,200)</b>	<b>\$ 282,659</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.





BLMIS ACCOUNT NO. IKW211 - MARVIN B TEPPER

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref
1/20/1998	TRANS FROM IKW16130 /IKW161	224,100	-	-	224,100	-	224,100	MDFTPP04004972	MDFTPP04004975	STESAC0029001
2/2/1998	CHECK	(68,800)	-	(68,800)	-	-	155,300	MDFTPP04004977	MDFTPP04004980	STESAC0030326
4/16/1998	CHECK	(13,700)	-	(13,700)	-	-	141,600	MDFTPP04004989	MDFTPP04004992	STESAC0023781
4/21/1998	CHECK	(150,692)	-	(150,692)	-	-	(9,092)	MDFTPP04004989	MDFTPP04004992	STESAC0023781
10/14/1998	CHECK	(887)	-	(887)	-	-	(9,979)	MDFTPP04005009	MDFTPP04005010	STESAC0045442
	<b>Total:</b>		\$ -	\$ (234,079)	\$ 224,100	\$ -	\$ (9,979)			

BLMIS ACCOUNT NO. 1KW218 - STERLING METS LP C/O SHEA STADIUM

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
4/20/1998	CHECK WIRE	44,039,914	44,039,914	-	-	-	44,039,914	MDPTPP0401206	MDPTPP04011208	STESAC0023851
5/20/1998	TRANS TO 1KW22330 (JKW223)	(13,289,914)	-	-	-	(13,289,914)	30,750,000	MDPTPP0401209	MDPTPP04011218	STESAC0025929
6/16/1998	CHECK WIRE	625,156	625,156	-	-	-	31,375,156	MDPTPP04011221	MDPTPP04011225	STESAC0027360
7/22/1998	TRANS TO 1KW04030 (JKW040)	(625,156)	-	-	-	(625,156)	30,750,000	MDPTPP04011227	MDPTPP04011232	STESAC0049753
6/30/1999	TRANS TO 1KW22330 (JKW223)	(1,020,616)	-	-	-	(1,020,616)	29,729,384	MDPTPP04011339	MDPTPP04011345	STESAD0028964
7/12/1999	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	26,729,384	MDPTPP04011347	MDPTPP04011354	STESAD0031014
8/21/1999	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	24,729,384	MDPTPP04011356	MDPTPP04011364	STESAD0031014
8/9/1999	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	22,729,384	MDPTPP04011356	MDPTPP04011364	STESAD0031024
8/30/1999	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	19,729,384	MDPTPP04011356	MDPTPP04011364	STESAD0036515
10/4/1999	TRANS FROM 1KW22330 (JKW223)	6,160 <sup>[1]</sup>	-	-	-	-	19,729,384	MDPTPP04011374	MDPTPP04011379	STESAD0036515
5/11/2000	TRANS FROM 1KW19230 (JKW192)	750,000	-	-	750,000	-	20,479,384	MDPTPP04011438	MDPTPP04011449	STESAF0068788
5/11/2000	TRANS FROM 1KW25430 (JKW254)	3,000,000 <sup>[2]</sup>	-	-	2,500,000	-	22,979,384	MDPTPP04011438	MDPTPP04011449	STESAF0068788
9/20/2000	TRANS TO 1KW24730 (JKW247)	(1,800,000)	-	-	-	(1,800,000)	21,179,384	MDPTPP04011481	MDPTPP04011489	STESAF0068788
12/29/2000	CHECK WIRE	28,718,779	28,718,779	-	-	-	49,898,163	MDPTPP04011513	MDPTPP04011521	STESAF0068788
8/24/2001	TRANS TO 1KW24730 (JKW247)	(10,000,000)	-	-	-	(10,000,000)	39,898,163	MDPTPP04011591	MDPTPP04011599	STESAF0068788
9/24/2001	TRANS TO 1KW24730 (JKW247)	(15,000,000)	-	-	-	(15,000,000)	24,898,163	MDPTPP04011602	MDPTPP04011605	STESAF0068788
7/30/2003	TRANS TO 1KW24730 (JKW247)	(8,900,000)	-	-	-	(8,900,000)	15,998,163	MDPTPP04011880	MDPTPP04011896	STESAF0068788
6/9/2004	CHECK WIRE A/O 6/7/04	(3,000,000)	-	(3,000,000)	-	-	12,998,163	MDPTPP04012043	MDPTPP04012063	STESAF0068788
6/14/2004	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	10,998,163	MDPTPP04012043	MDPTPP04012063	STESAF0068788
6/28/2004	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	8,998,163	MDPTPP04012043	MDPTPP04012063	STESAF0068788
7/6/2004	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	7,998,163	MDPTPP04012066	MDPTPP04012069	STESAF0068788
7/8/2004	TRANS FROM 1KW24730 (JKW247)	2,680,000 <sup>[1]</sup>	-	-	-	-	7,998,163	MDPTPP04012066	MDPTPP04012069	STESAF0068788
10/4/2004	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	6,998,163	MDPTPP04012099	MDPTPP04012102	STESAF0068788
6/7/2005	TRANS TO 1KW42330 (JKW423)	(46,872,417) <sup>[3]</sup>	-	-	-	(46,872,417)	-	MDPTPP04012192	MDPTPP04012196	STESAF0068788
<b>Total:</b>			<b>\$ 73,383,849</b>	<b>\$ (19,000,000)</b>	<b>\$ 3,250,000</b>	<b>\$ (57,633,849)</b>	<b>\$ -</b>			

<sup>[1]</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[2]</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>[3]</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>[4]</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW220 - L. THOMAS OSTERMAN ET AL SEF TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref
5/6/1998	CHECK	302,920	302,920	-	-	-	302,920	MDFTPP04018229	MDFTPP04018232	STESAC0025957
5/6/1998	CHECK	173,403	173,403	-	-	-	476,324	MDFTPP04018229	MDFTPP04018232	STESAC0025957
5/6/1998	CHECK	4,930	4,930	-	-	-	481,254	MDFTPP04018229	MDFTPP04018232	STESAC0025957
5/6/1998	CHECK	133,877	133,877	-	-	-	615,131	MDFTPP04018229	MDFTPP04018232	STESAC0025957
5/6/1998	CHECK	82,367	82,367	-	-	-	697,498	MDFTPP04018229	MDFTPP04018232	STESAC0025957
5/6/1998	CHECK	17,000	17,000	-	-	-	714,498	MDFTPP04018229	MDFTPP04018232	STESAC0025957
5/6/1998	CHECK	409,469	409,469	-	-	-	1,123,966	MDFTPP04018229	MDFTPP04018232	STESAC0025957
5/6/1998	CHECK	76,496	76,496	-	-	-	1,200,462	MDFTPP04018229	MDFTPP04018232	STESAC0025957
5/6/1998	CHECK	55,195	55,195	-	-	-	1,255,656	MDFTPP04018229	MDFTPP04018232	STESAC0025957
5/6/1998	CHECK	60,011	60,011	-	-	-	1,315,667	MDFTPP04018229	MDFTPP04018232	STESAC0025957
5/6/1998	CHECK	76,516	76,516	-	-	-	1,392,183	MDFTPP04018229	MDFTPP04018232	STESAC0025957
5/6/1998	CHECK	307,817	307,817	-	-	-	1,700,000	MDFTPP04018229	MDFTPP04018232	STESAC0025957
8/13/1998	CHECK WIRE	(500,000)	-	(500,000)	-	-	1,200,000	MDFTPP04018244	MDFTPP04018248	STESAC0051403
1/27/1999	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	200,000	MDFTPP04018275	MDFTPP04018279	STESAC0054729
3/18/1999	CHECK	(200,000)	-	(200,000)	-	-	-	MDFTPP04018284	MDFTPP04018288	STESAC0058666
3/24/1999	CHECK	(214,443)	-	(214,443)	-	-	(214,443)	MDFTPP04018284	MDFTPP04018288	STESAC0058670
8/17/2000	CHECK	(255)	-	(255)	-	-	(214,698)	MDFTPP04018331	MDFTPP04018332	STESAF0065937
	<b>Total:</b>		\$ 1,700,000	\$ (1,914,698)	\$ -	\$ -	\$ (214,698)			

BLMIS ACCOUNT NO. IKW222 - FRED WILPON AND SAUL KATZ TIC CITIBANK

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
5/7/1998	CHECK	949,804	949,804	-	-	-	949,804	MDFTPP04018825	MDFTPP04018828	STESAC0025977
5/7/1998	CHECK	949,804	949,804	-	-	-	1,899,607	MDFTPP04018825	MDFTPP04018828	STESAC0025977
9/10/1998	TRANS TO IKW02430 (IKW024)	(2,865)	-	-	-	(2,865)	1,896,743	MDFTPP04018848	MDFTPP04018851	STESAC0043683
9/10/1998	TRANS TO IKW02430 (IKW024)	(1,200)	-	-	-	(1,200)	1,895,542	MDFTPP04018848	MDFTPP04018851	STESAC0043683
9/10/1998	TRANS TO IKW06730 (IKW067)	(98,865)	-	-	-	(98,865)	1,796,678	MDFTPP04018848	MDFTPP04018851	STESAC0043683
9/10/1998	TRANS TO IKW06730 (IKW067)	(1,200)	-	-	-	(1,200)	1,795,477	MDFTPP04018848	MDFTPP04018851	STESAC0043683
9/11/1998	CHECK	(1,001,000)	-	(1,001,000)	-	-	794,477	MDFTPP04018848	MDFTPP04018851	STESAC0043685
9/11/1998	CHECK	(905,000)	-	(905,000)	-	-	(110,523)	MDFTPP04018848	MDFTPP04018851	STESAC0043685
10/13/1998	TRANS TO IKW02430 (IKW024)	(20)	-	-	-	-	(110,523)	MDFTPP04018853	MDFTPP04018854	
10/13/1998	TRANS TO IKW06730 (IKW067)	(20)	-	-	-	-	(110,523)	MDFTPP04018853	MDFTPP04018854	
	<b>Total:</b>		<b>\$ 1,899,607</b>	<b>\$ (1,906,000)</b>	<b>\$ -</b>	<b>\$ (104,130)</b>	<b>\$ (110,523)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW223 - STERLING DOUBLEDAY ENTERPRISES #2 C/O HARRY O'SHAUGHNESSY

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
5/20/1998	TRANS FROM 1KW21830 (1KW218)	13,289,914	-	-	13,289,914	-	13,289,914	MDPTPP04018895	MDPTPP04018899	STESAC0025989
8/31/1998	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	10,289,914	MDPTPP04018915	MDPTPP04018921	STESAC0051447
9/14/1998	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	9,289,914	MDPTPP04018924	MDPTPP04018934	STESAC0043699
11/2/1998	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	7,289,914	MDPTPP04018948	MDPTPP04018953	STESAC0047376
12/2/1998	CHECK WIRE	2,000,000	2,000,000	-	-	-	9,289,914	MDPTPP04018956	MDPTPP04018961	STESAC0052928
12/21/1998	CHECK WIRE	2,000,000	2,000,000	-	-	-	11,289,914	MDPTPP04018956	MDPTPP04018961	STESAC0052928
12/23/1998	CHECK WIRE	1,000,000	1,000,000	-	-	-	12,289,914	MDPTPP04018956	MDPTPP04018961	STESAC0052932
12/31/1998	TRANS TO 1KW24730 (1KW247)	(4,000,000)	-	-	-	(4,000,000)	8,289,914	MDPTPP04018956	MDPTPP04018961	STESAC0052932
5/26/1999	TRANS TO 1KW25430 (1KW254)	(2,500,000)	-	-	-	(2,500,000)	5,789,914	MDPTPP04019003	MDPTPP04019012	STESAC0062777
5/26/1999	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	3,789,914	MDPTPP04019003	MDPTPP04019012	STESAC0062783
5/28/1999	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	789,914	MDPTPP04019003	MDPTPP04019012	STESAC0062785
6/14/1999	CHECK WIRE	(4,000,000)	-	(4,000,000)	-	-	(3,210,086)	MDPTPP04019015	MDPTPP04019017	
6/30/1999	TRANS FROM 1KW21830 (1KW218)	1,020,616	-	-	1,020,616	-	(2,189,470)	MDPTPP04019015	MDPTPP04019017	
10/4/1999	TRANS TO 1KW21830 (1KW218)	(6,160) <sup>(1)</sup>	-	-	-	-	(2,189,470)	MDPTPP04019025	MDPTPP04019026	
	<b>Total:</b>		\$ 5,000,000	\$ (15,000,000)	\$ 14,310,530	\$ (6,500,000)	\$ (2,189,470)			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW227 - NATALIE KATZ

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>(5)</sup>
6/30/1998	CHECK	32,000	32,000	-	-	-	32,000	MDFTPP04022612	MDFTPP04022612	
6/30/1998	TRANS FROM 1KW19730 (IKW197)	78,000 <sup>(1)</sup>	-	-	-	-	32,000	MDFTPP04022612	MDFTPP04022612	
10/13/1998	TRANS FROM 1KW19730 (IKW197)	26 <sup>(1)</sup>	-	-	-	-	32,000	MDFTPP04022629	MDFTPP04022633	STESAC0045634
1/19/1999	CHECK	10,000	10,000	-	-	-	42,000	MDFTPP04022646	MDFTPP04022650	STESAC0054815
1/25/2000	CHECK	12,000	12,000	-	-	-	54,000	MDFTPP04022714	MDFTPP04022718	STESAF0077136
1/25/2001	CHECK	(15,000)	-	(15,000)	-	-	39,000	MDFTPP04022784	MDFTPP04022789	
2/26/2001	CHECK	(30,000)	-	(30,000)	-	-	9,000	MDFTPP04022791	MDFTPP04022794	
4/6/2001	CHECK	(12,500)	-	(12,500)	-	-	(3,500)	MDFTPP04022803	MDFTPP04022806	STESAF0109375
4/27/2001	CHECK	(6,600)	-	(6,600)	-	-	(10,100)	MDFTPP04022803	MDFTPP04022806	STESAF0109379
9/18/2001	CHECK	(6,000)	-	(6,000)	-	-	(16,100)	MDFTPP04022830	MDFTPP04022833	
12/7/2001	CHECK	(12,600)	-	(12,600)	-	-	(28,700)	MDFTPP04022846	MDFTPP04022848	STESAD0078796
1/16/2003	CHECK	(3,000)	-	(3,000)	-	-	(31,700)	MDFTPP04022919	MDFTPP04022924	STESAC0114591
2/18/2003	CHECK	40,000	40,000	-	-	-	8,300	MDFTPP04022926	MDFTPP04022930	STESAC0117479
6/25/2003	CHECK	(94,000)	-	(94,000)	-	-	(85,700)	MDFTPP04022951	MDFTPP04022955	STESAD0026265
8/19/2003	TRANS FROM 1KW31230 (IKW312)	346,785 <sup>(2)</sup>	-	-	250,000	-	164,300	MDFTPP04022966	MDFTPP04022969	STESAD0068702
9/5/2003	CHECK	65,000	65,000	-	-	-	229,300	MDFTPP04022971	MDFTPP04022976	STESAD0071597
9/10/2003	TRANS TO 1KW40230 (IKW402)	(500,000) <sup>(3)</sup>	-	-	-	(229,300)	-	MDFTPP04022971	MDFTPP04022976	STESAD0071597
10/14/2003	TRANS FROM 1KW31230 (IKW312)	534 <sup>(1)</sup>	-	-	-	-	-	MDFTPP04022978	MDFTPP04022978	STESAD0001450
11/12/2008	TRANS TO 1KW45830 (IKW458)	(7,501) <sup>(4)</sup>	-	-	-	-	-	MDFTPP04023091	MDFTPP04023092	STESAF0020135
	<b>Total:</b>		<b>\$ 159,000</b>	<b>\$ (179,700)</b>	<b>\$ 250,000</b>	<b>\$ (229,300)</b>	<b>\$</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the applicable principal was transferred into this account on this date.

<sup>(3)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(4)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(5)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW231 - BETTY RONSON ED TEPPER & SHELLY TEPPER TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(2)</sup>
7/30/1998	TRANS FROM 1KW16030 (1KW160)	266,148 <sup>(1)</sup>	-	-	63,869	-	63,869	MDFTPP04026378	MDFTPP04026378	
2/25/2000	CHECK	(346,927)	-	(346,927)	-	-	(283,058)	MDFTPP04026484	MDFTPP04026486	STESAF0079081
8/17/2000	CHECK	(304)	-	(304)	-	-	(283,362)	MDFTPP04026504	MDFTPP04026505	STESAF0063997
	<b>Total:</b>		\$ -	\$ (347,231)	\$ 63,869	\$ -	\$ (283,362)			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW232 - JUDITH A WILPON-W STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bates.	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>11</sup>
8/11/1998	TRANS FROM IKW15530 (IKW155)	1,000,000	-	-	1,000,000	-	1,000,000	MDFTPP04026614	MDFTPP04026614	
12/8/1998	CHECK	(100,000)	-	(100,000)	-	-	900,000	MDFTPP04026633	MDFTPP04026636	STESAC0053014
5/26/1999	TRANS TO IKW15530 (IKW155)	(30,770)	-	-	-	(30,770)	869,230	MDFTPP04026660	MDFTPP04026664	STESAC0062885
8/11/1999	CHECK	(100,000)	-	(100,000)	-	-	769,230	MDFTPP04026678	MDFTPP04026683	STESAD0031154
8/23/1999	TRANS TO IKW15530 (IKW155)	(52,780)	-	-	-	(52,780)	716,450	MDFTPP04026678	MDFTPP04026683	STESAD0031154
1/13/2000	CHECK	(30,872)	-	(30,872)	-	-	685,578	MDFTPP04026707	MDFTPP04026711	STESAF0077184
6/21/2000	CHECK	(100,000)	-	(100,000)	-	-	585,578	MDFTPP04026736	MDFTPP04026738	STESAF0062178
7/3/2000	CHECK	(57,000)	-	(57,000)	-	-	528,578	MDFTPP04026740	MDFTPP04026745	STESAF0066299
7/14/2000	CHECK	(16,370)	-	(16,370)	-	-	512,208	MDFTPP04026740	MDFTPP04026745	STESAF0066299
8/8/2000	CHECK	(12,882)	-	(12,882)	-	-	499,326	MDFTPP04026747	MDFTPP04026750	STESAF0064003
12/18/2000	CHECK	(307,697)	-	(307,697)	-	-	191,629	MDFTPP04026773	MDFTPP04026777	
1/11/2001	CHECK	(100,000)	-	(100,000)	-	-	91,629	MDFTPP04026779	MDFTPP04026784	
5/25/2001	TRANS TO IKW07730 (IKW077)	(30,517)	-	-	-	(30,517)	61,112	MDFTPP04026803	MDFTPP04026805	
12/28/2001	CHECK	(506,028)	-	(506,028)	-	-	(444,916)	MDFTPP04026842	MDFTPP04026844	STESAD0078822
	<b>Total:</b>		\$ -	\$ (1,330,849)	\$ 1,000,000	\$ (114,067)	\$ (444,916)			

<sup>11</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.



BLMIS ACCOUNT NO. 1KW233 - JUDITH A WILPON-K STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>11</sup>
8/11/1998	TRANS FROM 1KW15530 (1KW155)	1,000,000	-	-	1,000,000	-	1,000,000	MDFTPP04027283	MDFTPP04027283	
12/8/1998	CHECK	(100,000)	-	(100,000)	-	-	900,000	MDFTPP04027302	MDFTPP04027305	STESAC0053024
5/26/1999	TRANS TO 1KW15530 (1KW155)	(30,770)	-	-	-	(30,770)	869,230	MDFTPP04027329	MDFTPP04027333	STESAC0062897
8/11/1999	CHECK	(100,000)	-	(100,000)	-	-	769,230	MDFTPP04027347	MDFTPP04027352	STESAD0031168
8/23/1999	TRANS TO 1KW15530 (1KW155)	(52,780)	-	-	-	(52,780)	716,450	MDFTPP04027347	MDFTPP04027352	STESAD0031168
1/13/2000	CHECK	(30,872)	-	(30,872)	-	-	685,578	MDFTPP04027376	MDFTPP04027380	STESAF0077196
6/21/2000	CHECK	(100,000)	-	(100,000)	-	-	585,578	MDFTPP04027405	MDFTPP04027407	STESAF0062186
10/17/2000	CHECK	(7,075)	-	(7,075)	-	-	578,503	MDFTPP04027427	MDFTPP04027431	STESAC0095682
1/1/2000	CHECK	(6,803)	-	(6,803)	-	-	571,700	MDFTPP04027433	MDFTPP04027438	STESAC0098017
12/18/2000	CHECK	(383,447)	-	(383,447)	-	-	188,253	MDFTPP04027441	MDFTPP04027445	
1/1/2001	CHECK	(100,000)	-	(100,000)	-	-	88,253	MDFTPP04027447	MDFTPP04027452	
5/25/2001	TRANS TO 1KW07730 (1KW077)	(31,320)	-	-	-	(31,320)	56,933	MDFTPP04027471	MDFTPP04027473	
9/26/2001	CHECK	(12,688)	-	(12,688)	-	-	44,245	MDFTPP04027493	MDFTPP04027496	
12/28/2001	CHECK	(492,317)	-	(492,317)	-	-	(448,072)	MDFTPP04027510	MDFTPP04027512	STESAD0078830
	<b>Total:</b>		\$ -	\$ (1,333,202)	\$ 1,000,000	\$ (114,870)	\$ (448,072)			

<sup>11</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW234 - JUDITH A WILPON-T STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bates.	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>11</sup>
8/11/1998	TRANS FROM 1KW15530 (1KW155)	1,000,000	-	-	1,000,000	-	1,000,000	MDFTPP04027951	MDFTPP04027951	
9/4/1998	CHECK	(40,000)	-	(40,000)	-	-	960,000	MDFTPP04027952	MDFTPP04027956	STESAC0043853
12/24/1998	CHECK	(220,000)	-	(220,000)	-	-	740,000	MDFTPP04027970	MDFTPP04027973	STESAC0053036
5/26/1999	TRANS TO 1KW15530 (1KW155)	(29,570)	-	-	-	(29,570)	710,430	MDFTPP04027997	MDFTPP04028001	STESAC0062909
8/11/1999	CHECK	(140,000)	-	(140,000)	-	-	570,430	MDFTPP04028015	MDFTPP04028020	STESAD0003182
8/23/1999	TRANS TO 1KW15530 (1KW155)	(42,680)	-	-	-	(42,680)	527,750	MDFTPP04028015	MDFTPP04028020	STESAD0003182
1/13/2000	CHECK	(23,944)	-	(23,944)	-	-	503,806	MDFTPP04028044	MDFTPP04028048	STESAF0077208
6/21/2000	CHECK	(120,000)	-	(120,000)	-	-	383,806	MDFTPP04028073	MDFTPP04028075	STESAF0062194
12/6/2000	TRANS TO 1KW30430 (1KW304)	(3,000)	-	-	-	(3,000)	380,806	MDFTPP04028108	MDFTPP04028113	
12/18/2000	CHECK	(418,322)	-	(418,322)	-	-	(37,516)	MDFTPP04028108	MDFTPP04028113	
12/21/2000	CHECK	300,000	300,000	-	-	-	262,484	MDFTPP04028108	MDFTPP04028113	
1/11/2001	CHECK	(120,000)	-	(120,000)	-	-	142,484	MDFTPP04028115	MDFTPP04028120	
5/25/2001	TRANS TO 1KW07730 (1KW077)	(23,386)	-	-	-	(23,386)	119,098	MDFTPP04028139	MDFTPP04028141	
12/28/2001	CHECK	(491,445)	-	(491,445)	-	-	(372,347)	MDFTPP04028178	MDFTPP04028180	
	<b>Total:</b>		\$ 300,000	\$ (1,573,711)	\$ 1,000,000	\$ (98,636)	\$ (372,347)			

<sup>11</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW235 - IRIS J KATZ-W STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bates.	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
8/11/1998	TRANS FROM IKW15430 (IKW154)	1,000,000 <sup>(1)</sup>	-	-	535,365	-	535,365	MDFTPP04028619	MDFTPP04028619	
12/8/1998	CHECK	(100,000)	-	(100,000)	-	-	435,365	MDFTPP04028638	MDFTPP04028641	STESAC0053044
2/11/1999	CHECK	(68,000)	-	(68,000)	-	-	367,365	MDFTPP04028649	MDFTPP04028652	STESAC0056556
5/26/1999	TRANS TO IKW15430 (IKW154)	(30,770)	-	-	-	(30,770)	336,595	MDFTPP04028665	MDFTPP04028669	STESAC0062921
8/11/1999	CHECK	(100,000)	-	(100,000)	-	-	236,595	MDFTPP04028683	MDFTPP04028688	STESAD0031196
8/23/1999	TRANS TO IKW15430 (IKW154)	(48,230)	-	-	-	(48,230)	188,365	MDFTPP04028683	MDFTPP04028688	STESAD0031196
1/13/2000	CHECK	(28,535)	-	(28,535)	-	-	159,830	MDFTPP04028712	MDFTPP04028716	STESAF0077220
6/21/2000	CHECK	(100,000)	-	(100,000)	-	-	59,830	MDFTPP04028741	MDFTPP04028743	
12/19/2000	CHECK	(29,042)	-	(29,042)	-	-	30,788	MDFTPP04028777	MDFTPP04028781	
4/5/2001	CHECK	(100,000) <sup>(2)</sup>	-	(100,000)	-	-	(69,212)	MDFTPP04028802	MDFTPP04028805	STESAF0109435
5/25/2001	TRANS TO IKW01430 (IKW014)	(28,913)	-	-	-	-	(92,125)	MDFTPP04028807	MDFTPP04028809	
8/10/2001	CHECK	(17,368)	-	(17,368)	-	-	(86,579)	MDFTPP04028822	MDFTPP04028827	
12/20/2001	CHECK	(17,388)	-	(17,388)	-	-	(103,967)	MDFTPP04028846	MDFTPP04028848	STESAD0078844
12/28/2001	CHECK	(808,123)	-	(808,123)	-	-	(912,090)	MDFTPP04028846	MDFTPP04028848	STESAD0078846
	<b>Total:</b>		\$ -	\$ (1,368,455)	\$ 535,365	\$ (79,000)	\$ (912,090)			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW236 - IRIS J KATZ-K STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bates.	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(2)</sup>
8/1/1998	TRANS FROM 1KW15430 (1KW154)	1,000,000 <sup>(1)</sup>	-	-	535,365	-	535,365	MDFTPP04029287	MDFTPP04029287	
12/8/1998	CHECK	(100,000)	-	(100,000)	-	-	435,365	MDFTPP04029306	MDFTPP04029309	STESAC00053054
5/26/1999	TRANS TO 1KW15430 (1KW154)	(30,770)	-	-	-	(30,770)	404,595	MDFTPP04029333	MDFTPP04029337	STESAC00062933
8/1/1999	CHECK	(100,000)	-	(100,000)	-	-	304,595	MDFTPP04029351	MDFTPP04029356	STESAD00031210
8/23/1999	TRANS TO 1KW15430 (1KW154)	(52,780)	-	-	-	(52,780)	251,815	MDFTPP04029351	MDFTPP04029356	STESAF00077232
1/13/2000	CHECK	(30,872)	-	(30,872)	-	-	220,943	MDFTPP04029380	MDFTPP04029384	STESAF00062210
6/21/2000	CHECK	(100,000)	-	(100,000)	-	-	120,943	MDFTPP04029409	MDFTPP04029411	STESAF00068934
9/5/2000	CHECK	(21,750)	-	(21,750)	-	-	99,193	MDFTPP04029424	MDFTPP04029429	
12/1/2000	CHECK	(129,350)	-	(129,350)	-	-	(30,157)	MDFTPP04029445	MDFTPP04029449	
4/5/2001	CHECK	(100,000)	-	(100,000)	-	-	(130,157)	MDFTPP04029469	MDFTPP04029473	STESAF0109445
4/9/2001	CHECK	250,000	250,000	-	-	-	119,843	MDFTPP04029469	MDFTPP04029473	STESAF0109445
5/2/2001	CHECK	(23,000)	-	(23,000)	-	-	96,843	MDFTPP04029475	MDFTPP04029477	
5/25/2001	TRANS TO 1KW01430 (1KW014)	(31,360)	-	-	-	(31,360)	65,483	MDFTPP04029485	MDFTPP04029488	
7/5/2001	CHECK	(51,000)	-	(51,000)	-	-	14,483	MDFTPP04029485	MDFTPP04029488	
7/17/2001	CHECK	(53,000)	-	(53,000)	-	-	(38,517)	MDFTPP04029485	MDFTPP04029488	
12/28/2001	CHECK	(929,722)	-	(929,722)	-	-	(968,239)	MDFTPP04029514	MDFTPP04029516	STESAD00078854
	<b>Total:</b>		\$ 250,000	\$ (1,638,694)	\$ 535,365	\$ (114,910)	\$ (968,239)			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW237 - IRIS J KATZ-T STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
8/11/1998	TRANS FROM IKW15430 (IKW154)	1,000,000 <sup>(1)</sup>	-	-	535,365	-	535,365	MDFTPP04029955	MDFTPP04029955	
9/4/1998	CHECK	(40,000)	-	(40,000)	-	-	495,365	MDFTPP04029956	MDFTPP04029960	STESAC0043885
12/24/1998	CHECK	(20,000)	-	(20,000)	-	-	275,365	MDFTPP04029974	MDFTPP04029977	STESAC0053066
5/26/1999	TRANS TO IKW15430 (IKW154)	(29,570)	-	-	-	(29,570)	245,795	MDFTPP04030001	MDFTPP04030005	STESAC0062945
8/11/1999	CHECK	(140,000)	-	(140,000)	-	-	105,795	MDFTPP04030019	MDFTPP04030024	STESAD0031224
8/23/1999	TRANS TO IKW15430 (IKW154)	(42,680)	-	-	-	(42,680)	63,115	MDFTPP04030019	MDFTPP04030024	STESAD0031224
1/13/2000	CHECK	(23,944)	-	(23,944)	-	-	39,171	MDFTPP04030048	MDFTPP04030052	STESAF0077244
6/21/2000	CHECK	(120,000)	-	(120,000)	-	-	(80,829)	MDFTPP04030077	MDFTPP04030079	STESAF0062218
12/6/2000	TRANS TO IKW30430 (IKW304)	(3,000) <sup>(2)</sup>	-	-	-	-	(80,829)	MDFTPP04030112	MDFTPP04030116	
4/5/2001	CHECK	(120,000)	-	(120,000)	-	-	(200,829)	MDFTPP04030136	MDFTPP04030139	
5/25/2001	TRANS TO IKW01430 (IKW014)	(23,553) <sup>(2)</sup>	-	-	-	-	(200,829)	MDFTPP04030141	MDFTPP04030143	
12/28/2001	CHECK	(638,546)	-	(638,546)	-	-	(839,374)	MDFTPP04030180	MDFTPP04030182	
	<b>Total:</b>		<b>\$ -</b>	<b>\$ (1,302,490)</b>	<b>\$ 535,365</b>	<b>\$ (72,250)</b>	<b>\$ (839,374)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW238 - SAUL B KATZ - PM

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
9/3/1998	CHECK	225,000	225,000	-	-	-	225,000	MDPTPP04030619	MDPTPP04030623	STESAC0043897
9/3/1998	CHECK	29,500	29,500	-	-	-	254,500	MDPTPP04030619	MDPTPP04030623	STESAC0043897
9/14/1998	CHECK	245,810	245,810	-	-	-	500,310	MDPTPP04030623	MDPTPP04030629	STESAC0043897
10/21/1998	CHECK	48,200	48,200	-	-	-	548,510	MDPTPP04030625	MDPTPP04030635	STESAC0045760
11/4/1998	CHECK	500,000	500,000	-	-	-	1,048,510	MDPTPP04030631	MDPTPP04030635	STESAC0047548
6/3/1999	CHECK	115,555	115,555	-	-	-	1,164,065	MDPTPP04030670	MDPTPP04030675	STESAD0033027
9/9/1999	CHECK	191,263	191,263	-	-	-	1,355,328	MDPTPP04030688	MDPTPP04030691	STESAD0033027
9/15/1999	CHECK	(1,300,000)	-	(1,300,000)	-	-	55,328	MDPTPP04030688	MDPTPP04030691	STESAD0033027
11/5/1999	CHECK	200,000	200,000	-	-	-	(144,672)	MDPTPP04030697	MDPTPP04030702	STESAD0034127
11/30/1999	CHECK	200,000	200,000	-	-	-	55,328	MDPTPP04030702	MDPTPP04030717	STESAD0034133
2/24/2000	TRANS FROM IKW17550 (IKW175)	500,000	-	-	500,000	-	555,328	MDPTPP04030714	MDPTPP04030717	STESAF0079149
2/24/2000	TRANS FROM IKW03330 (IKW033)	271,814 <sup>(1)</sup>	-	-	-	-	555,328	MDPTPP04030714	MDPTPP04030717	STESAF0079149
3/7/2000	CHECK	366,420	366,420	-	-	-	921,748	MDPTPP04030719	MDPTPP04030725	STESAF0079149
3/20/2000	TRANS FROM IKW02430 (IKW024)	500,000 <sup>(1)</sup>	-	-	-	-	921,748	MDPTPP04030719	MDPTPP04030725	STESAF0079149
4/18/2000	CHECK	(276,848)	-	(276,848)	-	-	644,900	MDPTPP04030727	MDPTPP04030729	STESAF0073660
4/24/2000	CHECK	(94,626)	-	(94,626)	-	-	550,274	MDPTPP04030727	MDPTPP04030729	STESAF0073660
6/14/2000	CHECK	(1,646,700)	-	(1,646,700)	-	-	(1,096,426)	MDPTPP04030738	MDPTPP04030739	STESAF0062226
2/28/2001	CHECK	(5,449)	-	(5,449)	-	-	(1,101,875)	MDPTPP04030761	MDPTPP04030762	STESAF0062226
3/12/2001	RETURNED CHECK	5,449	-	5,449	-	-	(1,096,426)	MDPTPP04030761	MDPTPP04030762	STESAF0062226
4/9/2001	CHECK	1,940,000	1,940,000	-	-	-	843,574	MDPTPP04030765	MDPTPP04030767	STESAF0119118
4/15/2002	CHECK	(760,700)	-	(760,700)	-	-	82,874	MDPTPP04030831	MDPTPP04030836	STESAF0109467
12/31/2002	TRANS TO IKW02430 (IKW024)	(57,417)	-	-	-	(57,417)	25,456	MDPTPP04030880	MDPTPP04030883	STESAD0063170
1/2/2003	CHECK	(300,000)	-	(300,000)	-	-	(274,544)	MDPTPP04030885	MDPTPP04030890	STESAC0115102
1/3/2003	CHECK	(154,000)	-	(154,000)	-	-	(428,544)	MDPTPP04030885	MDPTPP04030890	STESAC0115102
2/3/2003	CHECK	(154,000)	-	(154,000)	-	-	(582,544)	MDPTPP04030892	MDPTPP04030895	STESAC0117513
3/3/2003	CHECK	(154,000)	-	(154,000)	-	-	(736,544)	MDPTPP04030897	MDPTPP04030903	STESAC0109483
3/25/2003	CHECK	(150,000)	-	(150,000)	-	-	(886,544)	MDPTPP04030897	MDPTPP04030903	STESAC0109489
4/1/2003	CHECK	(154,000)	-	(154,000)	-	-	(1,040,544)	MDPTPP04030905	MDPTPP04030907	STESAC0112174
5/1/2003	CHECK	(154,000)	-	(154,000)	-	-	(1,194,544)	MDPTPP04030909	MDPTPP04030914	STESAD0023598
6/2/2003	CHECK	(154,000)	-	(154,000)	-	-	(1,348,544)	MDPTPP04030916	MDPTPP04030920	STESAD0023598
7/1/2003	CHECK	(154,000)	-	(154,000)	-	-	(1,502,544)	MDPTPP04030922	MDPTPP04030924	STESAC0009202
7/31/2003	TRANS FROM IKW02430 (IKW024)	30,821 <sup>(1)</sup>	-	-	-	-	(1,502,544)	MDPTPP04030922	MDPTPP04030924	STESAC0009202
		<b>Total:</b>	<b>\$ 3,861,748</b>	<b>\$ (5,806,874)</b>	<b>\$ 500,000</b>	<b>\$ (57,417)</b>	<b>\$ (1,502,544)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW242 - SAUL B KATZ FAMILY TRUST

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>2</sup>
12/24/1998	CHECK	193,000	193,000	-	-	-	193,000	MDPTPP04033557	MDPTPP04033558	STESAC0053114
3/12/1999	CHECK	305,000	305,000	-	-	-	498,000	MDPTPP04033570	MDPTPP04033576	STESAC0059000
6/1/1999	CHECK	914,500	914,500	-	-	-	1,412,500	MDPTPP04033588	MDPTPP04033594	
6/4/1999	CHECK	(500,000)	-	(500,000)	-	-	912,500	MDPTPP04033588	MDPTPP04033594	
6/24/1999	CHECK	67,000	67,000	-	-	-	979,500	MDPTPP04033588	MDPTPP04033594	
7/19/1999	CHECK	68,000	68,000	-	-	-	1,047,500	MDPTPP04033596	MDPTPP04033599	STESAD0029182
7/20/1999	CHECK	(79,000)	-	(79,000)	-	-	968,500	MDPTPP04033596	MDPTPP04033599	STESAD0029182
8/9/1999	CHECK	60,000	60,000	-	-	-	1,028,500	MDPTPP04033601	MDPTPP04033606	STESAD0031288
8/27/1999	CHECK	(50,000)	-	(50,000)	-	-	978,500	MDPTPP04033601	MDPTPP04033606	STESAD0031292
9/27/1999	CHECK	134,000	134,000	-	-	-	1,112,500	MDPTPP04033608	MDPTPP04033611	STESAD0033067
10/18/1999	CHECK	(50,000)	-	(50,000)	-	-	1,062,500	MDPTPP04033613	MDPTPP04033617	STESAD0036747
10/27/1999	CHECK	(41,000)	-	(41,000)	-	-	1,021,500	MDPTPP04033613	MDPTPP04033617	STESAD0036751
10/28/1999	TRANS FROM 1KW15630 (IKW156)	231,480	-	-	231,480	-	1,252,980	MDPTPP04033613	MDPTPP04033617	STESAD0036753
10/28/1999	TRANS FROM 1KW22130 (IKW221)	357,900	-	-	357,900	-	1,610,880	MDPTPP04033613	MDPTPP04033617	STESAD0036753
11/1/1999	TRANS FROM 1KW18030 (IKW180)	397,357	<sup>11</sup>	-	-	-	1,610,880	MDPTPP04033619	MDPTPP04033626	STESAD0034183
11/10/1999	CHECK	194,000	194,000	-	-	-	1,804,880	MDPTPP04033619	MDPTPP04033626	STESAD0034183
11/15/1999	CHECK	115,000	115,000	-	-	-	1,919,880	MDPTPP04033626	MDPTPP04033626	STESAD0034183
11/26/1999	CHECK	(50,000)	-	(50,000)	-	-	1,869,880	MDPTPP04033626	MDPTPP04033626	STESAD0034193
12/17/1999	CHECK	(35,807)	-	(35,807)	-	-	1,834,073	MDPTPP04033628	MDPTPP04033630	STESAF0075243
12/21/1999	CHECK	(125,000)	-	(125,000)	-	-	1,709,073	MDPTPP04033628	MDPTPP04033630	STESAF0075243
12/27/1999	CHECK	49,000	49,000	-	-	-	1,758,073	MDPTPP04033628	MDPTPP04033630	STESAF0075243
12/28/1999	CHECK	(125,000)	-	(125,000)	-	-	1,633,073	MDPTPP04033628	MDPTPP04033630	STESAF0075243
12/1/2000	CHECK	49,000	49,000	-	-	-	1,682,073	MDPTPP04033632	MDPTPP04033637	STESAF0077304
12/1/2000	CHECK WIRE	(670,313)	-	(670,313)	-	-	1,011,760	MDPTPP04033632	MDPTPP04033637	STESAF0077304
12/1/2000	CHECK	(50,000)	-	(50,000)	-	-	961,760	MDPTPP04033632	MDPTPP04033637	STESAF0077306
2/24/2000	TRANS FROM 1KW17530 (IKW175)	1,775,000	-	-	1,775,000	-	2,736,760	MDPTPP04033640	MDPTPP04033643	
3/7/2000	CHECK	229,920	229,920	-	-	-	2,966,680	MDPTPP04033645	MDPTPP04033650	
3/23/2000	CHECK	(50,000)	-	(50,000)	-	-	2,916,680	MDPTPP04033645	MDPTPP04033650	
4/4/2000	CHECK	(2,450,000)	-	(2,450,000)	-	-	466,680	MDPTPP04033652	MDPTPP04033654	
5/5/2000	CHECK	44,000	44,000	-	-	-	510,680	MDPTPP04033656	MDPTPP04033661	
6/8/2000	TRANS FROM 1KW16130 (IKW161)	77,160	<sup>11</sup>	-	-	-	510,680	MDPTPP04033663	MDPTPP04033666	STESAF0062254
6/8/2000	TRANS FROM 1KW15630 (IKW156)	77,160	-	-	77,160	-	587,840	MDPTPP04033663	MDPTPP04033666	STESAF0062254
6/16/2000	CHECK	64,000	64,000	-	-	-	651,840	MDPTPP04033663	MDPTPP04033666	STESAF0062254
6/26/2000	CHECK	42,000	42,000	-	-	-	693,840	MDPTPP04033663	MDPTPP04033666	STESAF0062256
7/24/2000	CHECK	(50,000)	-	(50,000)	-	-	643,840	MDPTPP04033668	MDPTPP04033672	STESAF0066405
7/26/2000	CHECK	(524,063)	-	(524,063)	-	-	119,778	MDPTPP04033668	MDPTPP04033672	STESAF0066405
9/27/2000	CHECK	5,467,000	5,467,000	-	-	-	5,586,778	MDPTPP04033678	MDPTPP04033682	STESAF0069000
10/3/2000	CHECK	497,000	497,000	-	-	-	6,083,778	MDPTPP04033684	MDPTPP04033688	STESAC0095764
10/12/2000	CHECK	(525,000)	-	(525,000)	-	-	5,558,778	MDPTPP04033684	MDPTPP04033688	STESAC0095764
10/23/2000	CHECK	(1,400,000)	-	(1,400,000)	-	-	4,158,778	MDPTPP04033684	MDPTPP04033688	STESAC0095768
11/29/2000	CHECK WIRE	6,000,000	6,000,000	-	-	-	10,158,778	MDPTPP04033690	MDPTPP04033695	
12/6/2000	CHECK	(250,000)	-	(250,000)	-	-	9,908,778	MDPTPP04033698	MDPTPP04033705	
1/4/2001	CHECK	(43,500)	-	(43,500)	-	-	9,865,278	MDPTPP04033707	MDPTPP04033714	
2/1/2001	CHECK	(43,500)	-	(43,500)	-	-	9,821,778	MDPTPP04033717	MDPTPP04033723	
2/16/2001	CHECK	747,500	747,500	-	-	-	10,569,278	MDPTPP04033717	MDPTPP04033723	
2/28/2001	CHECK WIRE	(741,000)	-	(741,000)	-	-	9,828,278	MDPTPP04033717	MDPTPP04033723	STESAF0111950
3/1/2001	CHECK	(43,500)	-	(43,500)	-	-	9,784,778	MDPTPP04033725	MDPTPP04033733	STESAF0111954
3/8/2001	CHECK	160,000	160,000	-	-	-	9,944,778	MDPTPP04033725	MDPTPP04033733	STESAF0111954
3/12/2001	TRANS TO 1KW26030 (IKW260)	(747,500)	-	-	(747,500)	-	9,197,278	MDPTPP04033725	MDPTPP04033733	STESAF0111954
3/12/2001	TRANS FROM 1KW26030 (IKW260)	741,000	-	-	741,000	-	9,938,278	MDPTPP04033725	MDPTPP04033733	STESAF0111954
4/2/2001	CHECK	(43,500)	-	(43,500)	-	-	9,894,778	MDPTPP04033736	MDPTPP04033742	
4/12/2001	CHECK	(210,000)	-	(210,000)	-	-	9,684,778	MDPTPP04033736	MDPTPP04033742	

BLMIS ACCOUNT NO. 1KW242 - SAUL B KATZ FAMILY TRUST

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
4/17/2001	CHECK	(50,000)	-	(50,000)	-	-	9,634,778	MDFTPP04033736	MDFTPP04033742	
4/24/2001	CHECK	(621,500)	-	(621,500)	-	-	9,013,278	MDFTPP04033736	MDFTPP04033742	
5/1/2001	CHECK	(43,500)	-	(43,500)	-	-	8,969,778	MDFTPP04033744	MDFTPP04033747	
5/29/2001	CHECK	302,240	302,240	-	-	-	9,272,018	MDFTPP04033744	MDFTPP04033747	
6/1/2001	CHECK	(43,500)	-	(43,500)	-	-	9,228,518	MDFTPP04033749	MDFTPP04033755	
6/22/2001	CHECK	50,000	50,000	-	-	-	9,278,518	MDFTPP04033749	MDFTPP04033755	
7/2/2001	CHECK	(43,500)	-	(43,500)	-	-	9,235,018	MDFTPP04033757	MDFTPP04033768	
8/1/2001	CHECK	(43,500)	-	(43,500)	-	-	9,191,518	MDFTPP04033770	MDFTPP04033778	
9/4/2001	CHECK	(43,500)	-	(43,500)	-	-	9,148,018	MDFTPP04033781	MDFTPP04033785	
9/17/2001	CHECK	144,000	144,000	-	-	-	9,292,018	MDFTPP04033781	MDFTPP04033785	
10/1/2001	CHECK	(43,500)	-	(43,500)	-	-	9,248,518	MDFTPP04033788	MDFTPP04033792	STESAC0033558
11/1/2001	CHECK	(43,500)	-	(43,500)	-	-	9,205,018	MDFTPP04033794	MDFTPP04033798	STESAD0076837
11/27/2001	CHECK	3,600,000	3,600,000	-	-	-	12,805,018	MDFTPP04033794	MDFTPP04033798	STESAD0076841
12/3/2001	CHECK	(30,000)	-	(30,000)	-	-	12,775,018	MDFTPP04033800	MDFTPP04033807	STESAD0078892
12/7/2001	CHECK	(672,900)	-	(672,900)	-	-	12,102,118	MDFTPP04033800	MDFTPP04033807	STESAD0078898
12/28/2001	CHECK	491,700	491,700	-	-	-	12,593,818	MDFTPP04033800	MDFTPP04033807	STESAD0078898
1/2/2002	CHECK	(30,000)	-	(30,000)	-	-	12,563,818	MDFTPP04033809	MDFTPP04033820	STESAD0047860
2/1/2002	CHECK	(30,000)	-	(30,000)	-	-	12,533,818	MDFTPP04033823	MDFTPP04033838	STESAD0050479
2/21/2002	CHECK	(565,500)	-	(565,500)	-	-	11,968,318	MDFTPP04033823	MDFTPP04033838	STESAD0050489
2/28/2002	CHECK	(25,000)	-	(25,000)	-	-	11,943,318	MDFTPP04033823	MDFTPP04033838	STESAD0050501
3/1/2002	CHECK	(30,000)	-	(30,000)	-	-	11,913,318	MDFTPP04033841	MDFTPP04033850	STESAD0053386
4/1/2002	CHECK	275,000	275,000	-	-	-	12,188,318	MDFTPP04033854	MDFTPP04033869	STESAD0053208
4/1/2002	CHECK	(30,000)	-	(30,000)	-	-	12,158,318	MDFTPP04033854	MDFTPP04033869	STESAD0053208
4/10/2002	CHECK	410,000	410,000	-	-	-	12,568,318	MDFTPP04033854	MDFTPP04033869	STESAD0053208
5/1/2002	CHECK	(30,000)	-	(30,000)	-	-	12,538,318	MDFTPP04033871	MDFTPP04033887	STESAC0073313
5/9/2002	CHECK	79,800	79,800	-	-	-	12,618,118	MDFTPP04033871	MDFTPP04033887	STESAC0073325
5/10/2002	CHECK WIRE	(30,000)	-	(30,000)	-	-	12,588,118	MDFTPP04033871	MDFTPP04033887	STESAC0073329
5/15/2002	CXL CHECK 5/1/02	30,000	-	30,000	-	-	12,618,118	MDFTPP04033871	MDFTPP04033887	STESAC0073329
6/3/2002	CHECK	(30,000)	-	(30,000)	-	-	12,588,118	MDFTPP04033890	MDFTPP04033896	STESAC0068788
6/25/2002	CHECK	(4,000,000)	-	(4,000,000)	-	-	8,588,118	MDFTPP04033890	MDFTPP04033896	STESAC0068788
7/1/2002	CHECK	(30,000)	-	(30,000)	-	-	8,558,118	MDFTPP04033890	MDFTPP04033911	STESAC0070021
8/1/2002	CHECK	(30,000)	-	(30,000)	-	-	8,528,118	MDFTPP04033914	MDFTPP04033926	STESAC0066197
9/3/2002	CHECK	(30,000)	-	(30,000)	-	-	8,498,118	MDFTPP04033929	MDFTPP04033932	STESAC0040749
10/1/2002	CHECK	(30,000)	-	(30,000)	-	-	8,468,118	MDFTPP04033934	MDFTPP04033945	STESAC0038390
11/1/2002	CHECK	(30,000)	-	(30,000)	-	-	8,438,118	MDFTPP04033948	MDFTPP04033959	STESAC0034639
12/2/2002	CHECK	(30,000)	-	(30,000)	-	-	8,408,118	MDFTPP04033961	MDFTPP04033966	STESAD0064523
12/20/2002	CHECK	(408,500)	-	(408,500)	-	-	7,999,618	MDFTPP04033961	MDFTPP04033966	STESAD0064525
1/2/2003	CHECK	(310,000)	-	(310,000)	-	-	7,689,618	MDFTPP04033968	MDFTPP04033980	STESAC0115144
1/2/2003	CHECK	(30,000)	-	(30,000)	-	-	7,659,618	MDFTPP04033968	MDFTPP04033980	STESAC0115144
2/3/2003	CHECK	(30,000)	-	(30,000)	-	-	7,629,618	MDFTPP04033983	MDFTPP04033996	STESAC0117543
2/13/2003	CHECK	340,000	340,000	-	-	-	7,969,618	MDFTPP04033983	MDFTPP04033996	STESAC0117543
3/3/2003	CHECK	(30,000)	-	(30,000)	-	-	7,939,618	MDFTPP04033998	MDFTPP04034005	STESAC0109531
3/5/2003	RETURNED CHECK	30,000	-	30,000	-	-	7,969,618	MDFTPP04033998	MDFTPP04034005	STESAC0109531
4/7/2003	CHECK	(30,000)	-	(30,000)	-	-	7,939,618	MDFTPP04034007	MDFTPP04034009	STESAC0112198
4/8/2003	CHECK	(250,000)	-	(250,000)	-	-	7,689,618	MDFTPP04034007	MDFTPP04034009	STESAC0112198
5/1/2003	CHECK	(30,000)	-	(30,000)	-	-	7,659,618	MDFTPP04034011	MDFTPP04034016	STESAD0026333
6/2/2003	CHECK	(30,000)	-	(30,000)	-	-	7,629,618	MDFTPP04034018	MDFTPP04034022	STESAD0026333
6/23/2003	CHECK	(200,000)	-	(200,000)	-	-	7,429,618	MDFTPP04034018	MDFTPP04034022	STESAD0092044
7/1/2003	CHECK	(30,000)	-	(30,000)	-	-	7,399,618	MDFTPP04034024	MDFTPP04034030	STESAD0068760
8/1/2003	CHECK	(30,000)	-	(30,000)	-	-	7,369,618	MDFTPP04034033	MDFTPP04034037	STESAD0068760
8/22/2003	CHECK	(292,000)	-	(292,000)	-	-	7,077,618	MDFTPP04034033	MDFTPP04034037	STESAD0068760
9/2/2003	CHECK	(60,000)	-	(60,000)	-	-	7,017,618	MDFTPP04034039	MDFTPP04034045	STESAD0071663



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Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>2</sup>
10/1/2003	CHECK	(60,000)	-	(60,000)	-	-	6,957,618	MDFTPP04034047	MDFTPP04034052	STESAD0001498
11/3/2003	CHECK	(60,000)	-	(60,000)	-	-	6,897,618	MDFTPP04034054	MDFTPP04034058	STESAC0021311
12/1/2003	CHECK	(100,000)	-	(100,000)	-	-	6,797,618	MDFTPP04034060	MDFTPP04034063	STESAC0021311
12/3/2003	CHECK	(750,000)	-	(750,000)	-	-	6,047,618	MDFTPP04034060	MDFTPP04034063	STESAC0021311
12/2004	CHECK	(100,000)	-	(100,000)	-	-	5,947,618	MDFTPP04034065	MDFTPP04034072	
1/12/2004	CHECK	1,090,000	1,090,000	-	-	-	7,037,618	MDFTPP04034065	MDFTPP04034072	
2/2/2004	CHECK	(100,000)	-	(100,000)	-	-	6,937,618	MDFTPP04034075	MDFTPP04034079	
3/1/2004	CHECK	(100,000)	-	(100,000)	-	-	6,837,618	MDFTPP04034081	MDFTPP04034084	
3/25/2004	CHECK	(1,500,000)	-	(1,500,000)	-	-	5,337,618	MDFTPP04034081	MDFTPP04034084	
4/1/2004	CHECK	(100,000)	-	(100,000)	-	-	5,237,618	MDFTPP04034086	MDFTPP04034092	
5/3/2004	CHECK	(100,000)	-	(100,000)	-	-	5,137,618	MDFTPP04034095	MDFTPP04034100	
6/1/2004	CHECK	(100,000)	-	(100,000)	-	-	5,037,618	MDFTPP04034102	MDFTPP04034109	
7/1/2004	CHECK	(100,000)	-	(100,000)	-	-	4,937,618	MDFTPP04034111	MDFTPP04034113	
8/2/2004	CHECK	(100,000)	-	(100,000)	-	-	4,837,618	MDFTPP04034115	MDFTPP04034120	
9/1/2004	CHECK	(100,000)	-	(100,000)	-	-	4,737,618	MDFTPP04034122	MDFTPP04034126	
10/1/2004	CHECK	(100,000)	-	(100,000)	-	-	4,637,618	MDFTPP04034128	MDFTPP04034130	
11/1/2004	CHECK	(100,000)	-	(100,000)	-	-	4,537,618	MDFTPP04034132	MDFTPP04034137	
12/1/2004	CHECK	(100,000)	-	(100,000)	-	-	4,437,618	MDFTPP04034139	MDFTPP04034144	STESAF00099292
12/20/2004	CHECK	200,000	200,000	-	-	-	4,637,618	MDFTPP04034139	MDFTPP04034144	STESAF00099296
1/3/2005	CHECK	(100,000)	-	(100,000)	-	-	4,537,618	MDFTPP04034146	MDFTPP04034151	
2/1/2005	CHECK	(100,000)	-	(100,000)	-	-	4,437,618	MDFTPP04034153	MDFTPP04034156	STESAC0013892
3/1/2005	CHECK	(100,000)	-	(100,000)	-	-	4,337,618	MDFTPP04034158	MDFTPP04034162	STESAC0011653
4/1/2005	CHECK	(100,000)	-	(100,000)	-	-	4,237,618	MDFTPP04034164	MDFTPP04034166	STESAC0130987
5/2/2005	CHECK	(100,000)	-	(100,000)	-	-	4,137,618	MDFTPP04034168	MDFTPP04034173	STESAC0133101
6/1/2005	CHECK	(100,000)	-	(100,000)	-	-	4,037,618	MDFTPP04034175	MDFTPP04034179	STESAC0006071
7/1/2005	CHECK	(100,000)	-	(100,000)	-	-	3,937,618	MDFTPP04034181	MDFTPP04034183	STESAC0008279
8/1/2005	CHECK	(100,000)	-	(100,000)	-	-	3,837,618	MDFTPP04034185	MDFTPP04034186	STESAC0009805
9/1/2005	CHECK	(100,000)	-	(100,000)	-	-	3,737,618	MDFTPP04034188	MDFTPP04034195	
10/3/2005	CHECK	(100,000)	-	(100,000)	-	-	3,637,618	MDFTPP04034197	MDFTPP04034203	
11/1/2005	CHECK	(100,000)	-	(100,000)	-	-	3,537,618	MDFTPP04034205	MDFTPP04034208	
12/1/2005	CHECK	(100,000)	-	(100,000)	-	-	3,437,618	MDFTPP04034210	MDFTPP04034215	STESAC0087999
1/3/2006	CHECK	(100,000)	-	(100,000)	-	-	3,337,618	MDFTPP04034217	MDFTPP04034223	STESAD0010519
2/1/2006	CHECK	(100,000)	-	(100,000)	-	-	3,237,618	MDFTPP04034225	MDFTPP04034229	STESAD00007449
3/1/2006	CHECK	(100,000)	-	(100,000)	-	-	3,137,618	MDFTPP04034231	MDFTPP04034237	
4/3/2006	CHECK	(100,000)	-	(100,000)	-	-	3,037,618	MDFTPP04034239	MDFTPP04034245	
5/1/2006	CHECK	(100,000)	-	(100,000)	-	-	2,937,618	MDFTPP04034247	MDFTPP04034252	STESAD0110550
6/1/2006	CHECK	(100,000)	-	(100,000)	-	-	2,837,618	MDFTPP04034254	MDFTPP04034258	STESAD0107913
7/3/2006	CHECK	(100,000)	-	(100,000)	-	-	2,737,618	MDFTPP04034260	MDFTPP04034266	STESAD0105304
8/1/2006	CHECK	(100,000)	-	(100,000)	-	-	2,637,618	MDFTPP04034268	MDFTPP04034272	STESAD0085929
9/1/2006	CHECK	(100,000)	-	(100,000)	-	-	2,537,618	MDFTPP04034274	MDFTPP04034278	STESAD0103913
10/2/2006	CHECK	(100,000)	-	(100,000)	-	-	2,437,618	MDFTPP04034280	MDFTPP04034285	STESAD0101170
11/1/2006	CHECK	(100,000)	-	(100,000)	-	-	2,337,618	MDFTPP04034287	MDFTPP04034291	STESAD00095337
12/1/2006	CHECK	(100,000)	-	(100,000)	-	-	2,237,618	MDFTPP04034293	MDFTPP04034298	
1/2/2007	CHECK	(100,000)	-	(100,000)	-	-	2,137,618	MDFTPP04034300	MDFTPP04034302	
2/1/2007	CHECK	(100,000)	-	(100,000)	-	-	2,037,618	MDFTPP04034303	MDFTPP04034309	
3/1/2007	CHECK	(100,000)	-	(100,000)	-	-	1,937,618	MDFTPP04034316	MDFTPP04034316	
3/30/2007	CHECK	2,100,000	2,100,000	-	-	-	4,037,618	MDFTPP04034316	MDFTPP04034316	STESAG0011647
4/2/2007	CHECK	(100,000)	-	(100,000)	-	-	3,937,618	MDFTPP04034319	MDFTPP04034324	
5/1/2007	CHECK	(100,000)	-	(100,000)	-	-	3,837,618	MDFTPP04034326	MDFTPP04034329	
6/1/2007	CHECK	(100,000)	-	(100,000)	-	-	3,737,618	MDFTPP04034331	MDFTPP04034336	
7/2/2007	CHECK	(100,000)	-	(100,000)	-	-	3,637,618	MDFTPP04034338	MDFTPP04034341	STESAF0030285
8/1/2007	CHECK	(100,000)	-	(100,000)	-	-	3,537,618	MDFTPP04034343	MDFTPP04034344	

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Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
9/4/2007	CHECK	(100,000)	-	(100,000)	-	-	3,437,618	MDPTPP04034346	MDPTPP04034351	
10/1/2007	CHECK	(100,000)	-	(100,000)	-	-	3,337,618	MDPTPP04034353	MDPTPP04034355	
11/1/2007	CHECK	(100,000)	-	(100,000)	-	-	3,237,618	MDPTPP04034357	MDPTPP04034362	STESAF00038740
11/8/2007	CHECK	2,700,000	2,700,000	-	-	-	5,937,618	MDPTPP04034357	MDPTPP04034362	STESAF00038740
11/13/2007	CHECK	238,000	238,000	-	-	-	6,175,618	MDPTPP04034357	MDPTPP04034362	STESAF00038740
12/3/2007	CHECK	(100,000)	-	(100,000)	-	-	6,075,618	MDPTPP04034364	MDPTPP04034368	
12/2008	CHECK	(100,000)	-	(100,000)	-	-	5,975,618	MDPTPP04034370	MDPTPP04034372	STESAF0000381
2/1/2008	CHECK	(100,000)	-	(100,000)	-	-	5,875,618	MDPTPP04034373	MDPTPP04034375	STESAF0001461
2/5/2008	TRANS FROM 1KW40730 (1KW407)	82,320 <sup>(1)</sup>	-	-	-	-	5,875,618	MDPTPP04034373	MDPTPP04034375	STESAF0001461
3/3/2008	CHECK	(100,000)	-	(100,000)	-	-	5,775,618	MDPTPP04034376	MDPTPP04034378	STESAF0002477
4/1/2008	CHECK	(100,000)	-	(100,000)	-	-	5,675,618	MDPTPP04034379	MDPTPP04034384	STESAF0004298
5/1/2008	CHECK	(100,000)	-	(100,000)	-	-	5,575,618	MDPTPP04034386	MDPTPP04034390	STESAF0007167
6/2/2008	CHECK	(100,000)	-	(100,000)	-	-	5,475,618	MDPTPP04034392	MDPTPP04034394	STESAF0009565
7/1/2008	CHECK	(100,000)	-	(100,000)	-	-	5,375,618	MDPTPP04034396	MDPTPP04034397	STESAF0011162
8/1/2008	CHECK	(100,000)	-	(100,000)	-	-	5,275,618	MDPTPP04034399	MDPTPP04034403	
9/2/2008	CHECK	(100,000)	-	(100,000)	-	-	5,175,618	MDPTPP04034405	MDPTPP04034409	STESAF0015772
10/1/2008	CHECK	(100,000)	-	(100,000)	-	-	5,075,618	MDPTPP04034411	MDPTPP04034413	STESAF0018115
11/3/2008	CHECK	(100,000)	-	(100,000)	-	-	4,975,618	MDPTPP04034415	MDPTPP04034420	
12/1/2008	CHECK	(100,000)	-	(100,000)	-	-	4,875,618	Checkbook	Checkbook	
	<b>Total:</b>		\$ 27,420,660	\$ (24,980,083)	\$ 3,182,540	\$ (747,500)	\$ 4,875,618			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW247 - METS LIMITED PTR #2. ATTN LEN LABITA

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes	DEFENDANTS' PRODUCTION Bates Ref <sup>al</sup>
12/31/1998	TRANS FROM 1KW22330 (1KW223)	4,000,000	-	-	4,000,000	-	4,000,000	MDFTPP04036608	MDFTPP04036609	STESAC0053138
1/5/1999	CHECK WIRE	2,000,000	2,000,000	-	-	-	6,000,000	MDFTPP04036610	MDFTPP04036617	STESAC0055043
1/8/1999	CHECK WIRE	2,000,000	2,000,000	-	-	-	8,000,000	MDFTPP04036610	MDFTPP04036617	STESAC0055043
1/12/1999	CHECK WIRE	1,000,000	1,000,000	-	-	-	9,000,000	MDFTPP04036610	MDFTPP04036617	STESAC0055043
2/3/1999	CHECK WIRE	2,000,000	2,000,000	-	-	-	11,000,000	MDFTPP04036619	MDFTPP04036625	STESAC0056676
2/11/1999	CHECK WIRE	2,000,000	2,000,000	-	-	-	13,000,000	MDFTPP04036619	MDFTPP04036625	STESAC0056676
2/19/1999	CHECK WIRE	1,000,000	1,000,000	-	-	-	14,000,000	MDFTPP04036619	MDFTPP04036625	STESAC0056676
3/11/1999	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	11,000,000	MDFTPP04036627	MDFTPP04036637	STESAC0059090
3/26/1999	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	8,000,000	MDFTPP04036627	MDFTPP04036637	STESAC0059096
4/12/1999	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	6,000,000	MDFTPP04036640	MDFTPP04036642	STESAC0061080
4/19/1999	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	4,000,000	MDFTPP04036640	MDFTPP04036642	STESAC0061080
4/26/1999	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	1,000,000	MDFTPP04036640	MDFTPP04036642	STESAC0061082
9/17/1999	CHECK WIRE	10,000,000	10,000,000	-	-	-	11,000,000	MDFTPP04036668	MDFTPP04036671	STESAD0033117
10/18/1999	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	6,000,000	MDFTPP04036673	MDFTPP04036677	STESAD0036805
1/4/2000	CHECK WIRE	5,000,000	5,000,000	-	-	-	11,000,000	MDFTPP04036690	MDFTPP04036697	STESAF0077358
1/7/2000	CHECK WIRE	2,000,000	2,000,000	-	-	-	13,000,000	MDFTPP04036690	MDFTPP04036697	STESAF0077358
1/12/2000	CHECK WIRE	3,000,000	3,000,000	-	-	-	16,000,000	MDFTPP04036690	MDFTPP04036697	STESAF0077362
1/14/2000	CHECK WIRE	2,000,000	2,000,000	-	-	-	18,000,000	MDFTPP04036690	MDFTPP04036697	STESAF0077362
1/28/2000	CHECK WIRE	4,000,000	4,000,000	-	-	-	22,000,000	MDFTPP04036690	MDFTPP04036697	STESAF0077362
2/3/2000	CHECK WIRE	5,000,000	5,000,000	-	-	-	27,000,000	MDFTPP04036700	MDFTPP04036705	STESAF0077366
2/4/2000	CHECK WIRE	2,000,000	2,000,000	-	-	-	29,000,000	MDFTPP04036700	MDFTPP04036705	STESAF0077366
3/6/2000	CHECK WIRE	6,000,000	6,000,000	-	-	-	35,000,000	MDFTPP04036707	MDFTPP04036719	STESAF0077366
3/16/2000	CHECK WIRE	1,000,000	1,000,000	-	-	-	36,000,000	MDFTPP04036707	MDFTPP04036728	STESAF0077366
4/10/2000	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	31,000,000	MDFTPP04036722	MDFTPP04036742	STESAF0077366
5/1/2000	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	29,000,000	MDFTPP04036730	MDFTPP04036742	STESAF0077366
5/15/2000	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	27,000,000	MDFTPP04036730	MDFTPP04036742	STESAF0077366
5/19/2000	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	26,000,000	MDFTPP04036730	MDFTPP04036742	STESAF0077366
5/30/2000	CHECK WIRE	(4,000,000)	-	(4,000,000)	-	-	22,000,000	MDFTPP04036730	MDFTPP04036742	STESAF0077366
6/1/2000	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	19,000,000	MDFTPP04036745	MDFTPP04036753	STESAF0062290
6/5/2000	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	17,000,000	MDFTPP04036745	MDFTPP04036753	STESAF0062290
6/14/2000	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	15,000,000	MDFTPP04036745	MDFTPP04036753	STESAF0062290
6/27/2000	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	13,000,000	MDFTPP04036745	MDFTPP04036753	STESAF0062290
7/3/2000	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	10,000,000	MDFTPP04036755	MDFTPP04036762	STESAF0066431
7/10/2000	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	8,000,000	MDFTPP04036755	MDFTPP04036762	STESAF0066431
7/19/2000	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	6,000,000	MDFTPP04036755	MDFTPP04036762	STESAF0066435
7/24/2000	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	3,000,000	MDFTPP04036755	MDFTPP04036762	STESAF0066435
7/31/2000	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	(1,000,000)	MDFTPP04036755	MDFTPP04036762	STESAF0066441
8/7/2000	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	(4,000,000)	MDFTPP04036765	MDFTPP04036768	STESAF0066441
8/14/2000	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	(7,000,000)	MDFTPP04036765	MDFTPP04036768	STESAF0066411
8/14/2000	TRANS FROM 1KW19230 (1KW192)	7,000,000	-	-	7,000,000	-	(3,000,000)	MDFTPP04036765	MDFTPP04036768	STESAF0066411
8/16/2000	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(1,000,000)	MDFTPP04036765	MDFTPP04036768	STESAF0066413
8/28/2000	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(1,000,000)	MDFTPP04036765	MDFTPP04036768	STESAF0066413
9/1/2/2000	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(3,000,000)	MDFTPP04036770	MDFTPP04036774	STESAF0069026
9/20/2000	TRANS FROM 1KW19230 (1KW192)	1,470,000	-	-	1,470,000	-	(1,530,000)	MDFTPP04036770	MDFTPP04036774	STESAF0069028
9/20/2000	TRANS FROM 1KW21830 (1KW218)	1,800,000	-	-	1,800,000	-	(270,000)	MDFTPP04036770	MDFTPP04036774	STESAF0069028
10/25/2000	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	(2,730,000)	MDFTPP04036776	MDFTPP04036780	STESAF0095794
11/7/2000	CHECK WIRE	3,000,000	3,000,000	-	-	-	270,000	MDFTPP04036782	MDFTPP04036788	STESAF0095794
11/15/2000	CHECK WIRE	3,000,000	3,000,000	-	-	-	3,270,000	MDFTPP04036782	MDFTPP04036788	STESAC0098171
11/20/2000	CHECK WIRE	4,000,000	4,000,000	-	-	-	7,270,000	MDFTPP04036782	MDFTPP04036788	STESAC0098175
11/28/2000	CHECK WIRE	4,000,000	4,000,000	-	-	-	11,270,000	MDFTPP04036782	MDFTPP04036788	STESAC0098175
12/1/2000	CHECK WIRE	2,000,000	2,000,000	-	-	-	13,270,000	MDFTPP04036791	MDFTPP04036799	STESAC0098175
12/20/2000	CHECK WIRE	2,000,000	2,000,000	-	-	-	15,270,000	MDFTPP04036791	MDFTPP04036799	STESAC0098175
12/26/2000	CHECK WIRE	3,000,000	3,000,000	-	-	-	18,270,000	MDFTPP04036791	MDFTPP04036799	STESAC0098175
12/28/2000	CHECK WIRE	4,000,000	4,000,000	-	-	-	22,270,000	MDFTPP04036791	MDFTPP04036799	STESAC0098175
1/8/2001	CHECK WIRE	4,000,000	4,000,000	-	-	-	26,270,000	MDFTPP04036801	MDFTPP04036808	STESAL0073140
1/10/2001	CHECK WIRE	4,000,000	4,000,000	-	-	-	30,270,000	MDFTPP04036801	MDFTPP04036808	STESAL0073140

BLMIS ACCOUNT NO. IKW247 - METS LIMITED PTR #2. ATTN LEN LABITA

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>al</sup>
1/11/2001	CHECK WIRE	4,000,000	4,000,000	-	-	-	34,270,000	MDPTPP04036801	MDPTPP04036808	STESAL0073140
1/31/2001	CHECK WIRE	4,000,000	4,000,000	-	-	-	38,270,000	MDPTPP04036801	MDPTPP04036808	STESAL0073148
2/2/2001	CHECK WIRE	3,000,000	3,000,000	-	-	-	41,270,000	MDPTPP04036811	MDPTPP04036819	STESAL0073120
2/6/2001	CHECK WIRE	4,000,000	4,000,000	-	-	-	45,270,000	MDPTPP04036811	MDPTPP04036819	STESAL0073120
2/13/2001	CHECK WIRE	4,000,000	4,000,000	-	-	-	49,270,000	MDPTPP04036811	MDPTPP04036819	STESAL0073120
2/23/2001	CHECK WIRE	3,000,000	3,000,000	-	-	-	52,270,000	MDPTPP04036811	MDPTPP04036819	STESAL0073130
3/12/2001	CHECK WIRE	(7,000,000)	-	(7,000,000)	-	-	45,270,000	MDPTPP04036821	MDPTPP04036829	STESAF0111990
4/10/2001	CHECK WIRE	(10,000,000)	-	(10,000,000)	-	-	35,270,000	MDPTPP04036832	MDPTPP04036839	STESAL0073080
5/16/2001	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	32,270,000	MDPTPP04036841	MDPTPP04036844	STESAL0073070
5/29/2001	CHECK WIRE	(9,000,000)	-	(9,000,000)	-	-	23,270,000	MDPTPP04036841	MDPTPP04036844	STESAL0073070
6/4/2001	CHECK WIRE	(4,000,000)	-	(4,000,000)	-	-	19,270,000	MDPTPP04036846	MDPTPP04036853	STESAL0073050
7/2/2001	CHECK WIRE	(6,000,000)	-	(6,000,000)	-	-	13,270,000	MDPTPP04036856	MDPTPP04036867	STESAL0073024
7/9/2001	CHECK WIRE	(6,000,000)	-	(6,000,000)	-	-	7,270,000	MDPTPP04036856	MDPTPP04036867	STESAL0073024
7/23/2001	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	4,270,000	MDPTPP04036856	MDPTPP04036867	STESAL0073034
7/30/2001	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	1,270,000	MDPTPP04036856	MDPTPP04036867	STESAL0073040
8/6/2001	CHECK WIRE	(6,000,000)	-	(6,000,000)	-	-	(4,730,000)	MDPTPP04036874	MDPTPP04036874	STESAL0073010
8/13/2001	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	(7,730,000)	MDPTPP04036869	MDPTPP04036874	STESAL0073010
8/24/2001	TRANS FROM 1KW21830 (/IKW218)	10,000,000	-	-	10,000,000	-	2,270,000	MDPTPP04036869	MDPTPP04036874	STESAL0073012
9/4/2001	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	(730,000)	MDPTPP04036876	MDPTPP04036880	STESAL0072998
9/10/2001	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	(3,730,000)	MDPTPP04036876	MDPTPP04036880	STESAL0072998
9/24/2001	CHECK WIRE	(7,000,000)	-	(7,000,000)	-	-	(10,730,000)	MDPTPP04036876	MDPTPP04036880	STESAL0072998
9/24/2001	TRANS FROM 1KW21830 (/IKW218)	15,000,000	-	-	15,000,000	-	4,270,000	MDPTPP04036876	MDPTPP04036880	STESAL0072986
10/1/2001	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	1,270,000	MDPTPP04036882	MDPTPP04036886	STESAC0033578
10/9/2001	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	(1,730,000)	MDPTPP04036882	MDPTPP04036886	STESAL0072986
10/16/2001	CHECK WIRE	6,000,000	6,000,000	-	-	-	4,270,000	MDPTPP04036882	MDPTPP04036886	STESAC0033578
10/19/2001	CHECK WIRE	3,000,000	3,000,000	-	-	-	7,270,000	MDPTPP04036882	MDPTPP04036886	STESAL0072986
10/23/2001	CHECK WIRE	2,000,000	2,000,000	-	-	-	9,270,000	MDPTPP04036882	MDPTPP04036886	STESAL0072988
10/25/2001	CHECK WIRE	2,000,000	2,000,000	-	-	-	11,270,000	MDPTPP04036882	MDPTPP04036886	STESAC0033580
11/2/2001	CHECK WIRE	1,000,000	1,000,000	-	-	-	12,270,000	MDPTPP04036882	MDPTPP04036886	STESAL0072988
11/9/2001	CHECK WIRE	2,000,000	2,000,000	-	-	-	14,270,000	MDPTPP04036888	MDPTPP04036893	STESAL0072972
11/16/2001	CHECK WIRE	1,000,000	1,000,000	-	-	-	15,270,000	MDPTPP04036888	MDPTPP04036893	STESAL0072972
11/21/2001	CHECK WIRE	2,000,000	2,000,000	-	-	-	17,270,000	MDPTPP04036888	MDPTPP04036893	STESAL0072978
12/3/2001	CHECK WIRE	1,000,000	1,000,000	-	-	-	18,270,000	MDPTPP04036895	MDPTPP04036903	STESAL0072952
12/21/2001	CHECK WIRE	2,000,000	2,000,000	-	-	-	20,270,000	MDPTPP04036895	MDPTPP04036903	STESAL0072956
12/27/2001	CHECK WIRE	4,000,000	4,000,000	-	-	-	24,270,000	MDPTPP04036895	MDPTPP04036903	STESAL0072960
1/4/2002	CHECK WIRE	4,000,000	4,000,000	-	-	-	28,270,000	MDPTPP04036905	MDPTPP04036916	STESAL0073505
1/8/2002	CHECK WIRE	5,000,000	5,000,000	-	-	-	33,270,000	MDPTPP04036905	MDPTPP04036916	STESAD0047902
1/11/2002	CHECK WIRE	6,000,000	6,000,000	-	-	-	39,270,000	MDPTPP04036905	MDPTPP04036916	STESAD0047906
1/16/2002	CHECK WIRE	3,000,000	3,000,000	-	-	-	42,270,000	MDPTPP04036905	MDPTPP04036916	STESAD0047914
1/24/2002	CHECK WIRE	2,000,000	2,000,000	-	-	-	44,270,000	MDPTPP04036905	MDPTPP04036916	STESAD0047918

BLMIS ACCOUNT NO. IKW247 - METS LIMITED PTR #2. ATTN LEN LABITA

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>al</sup>
2/12/2002	CHECK WIRE	2,000,000	2,000,000	-	-	-	46,270,000	MDPTPP04036919	MDPTPP04036934	STESAL0073469, STESAD0050525
2/21/2002	CHECK WIRE	2,000,000	2,000,000	-	-	-	48,270,000	MDPTPP04036919	MDPTPP04036934	STESAL0073481, STESAD0050537
2/21/2002	TRANS TO IKW25430 (IKW254)	(1,504,000)	-	-	-	(1,504,000)	46,766,000	MDPTPP04036919	MDPTPP04036934	STESAL0073481, STESAD0050537
2/26/2002	CHECK WIRE	3,000,000	3,000,000	-	-	-	49,766,000	MDPTPP04036919	MDPTPP04036934	STESAL0073485, STESAD0050541
3/12/2002	CHECK WIRE	3,000,000	3,000,000	-	-	-	52,766,000	MDPTPP04036937	MDPTPP04036947	STESAL0073453
3/20/2002	CHECK WIRE	3,000,000	3,000,000	-	-	-	55,766,000	MDPTPP04036937	MDPTPP04036947	STESAL0073453
3/22/2002	CHECK WIRE	2,000,000	2,000,000	-	-	-	57,766,000	MDPTPP04036937	MDPTPP04036947	STESAL0073455
4/3/2002	CHECK WIRE	2,000,000	2,000,000	-	-	-	59,766,000	MDPTPP04036951	MDPTPP04036966	STESAL0073407, STESAD0053256
4/23/2002	CHECK WIRE	(4,000,000)	-	(4,000,000)	-	-	55,766,000	MDPTPP04036951	MDPTPP04036966	STESAL0073427, STESAD0053276
4/29/2002	CHECK WIRE	(4,000,000)	-	(4,000,000)	-	-	51,766,000	MDPTPP04036951	MDPTPP04036966	STESAL0073433, STESAD0053282
5/6/2002	CHECK WIRE	(4,000,000)	-	(4,000,000)	-	-	47,766,000	MDPTPP04036968	MDPTPP04036984	STESAC0073373
5/28/2002	CHECK WIRE	(4,000,000)	-	(4,000,000)	-	-	43,766,000	MDPTPP04036984	MDPTPP04036993	STESAC0073393
6/3/2002	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	40,766,000	MDPTPP04036987	MDPTPP04036993	STESAC0068814
6/10/2002	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	38,766,000	MDPTPP04036987	MDPTPP04036993	STESAC0068814
7/1/2002	CHECK WIRE	(6,000,000)	-	(6,000,000)	-	-	32,766,000	MDPTPP04036995	MDPTPP04037008	STESAL0073321, STESAC0070067
7/8/2002	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	30,766,000	MDPTPP04036995	MDPTPP04037008	STESAL0073321, STESAC0070067
7/15/2002	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	25,766,000	MDPTPP04036995	MDPTPP04037008	STESAL0073321, STESAC0070067
7/29/2002	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	22,766,000	MDPTPP04036995	MDPTPP04037008	STESAL0073333, STESAC0070079
8/5/2002	CHECK WIRE	(6,000,000)	-	(6,000,000)	-	-	16,766,000	MDPTPP04037011	MDPTPP04037024	STESAL0073289, STESAC0066239
8/12/2002	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	14,766,000	MDPTPP04037011	MDPTPP04037024	STESAL0073291, STESAC0066241
9/3/2002	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	9,766,000	MDPTPP04037027	MDPTPP04037031	STESAC0040769, STESAL0073277
9/9/2002	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	6,766,000	MDPTPP04037027	MDPTPP04037031	STESAC0040769, STESAL0073277
9/16/2002	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	4,766,000	MDPTPP04037027	MDPTPP04037031	STESAL0073279, STESAC0040771
9/23/2002	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	(234,000)	MDPTPP04037027	MDPTPP04037031	STESAL0073279, STESAC0040771
9/30/2002	CHECK WIRE	(4,000,000)	-	(4,000,000)	-	-	(4,234,000)	MDPTPP04037027	MDPTPP04037031	STESAL0073281, STESAC0040773
10/18/2002	CHECK WIRE	4,000,000	4,000,000	-	-	-	(234,000)	MDPTPP04037033	MDPTPP04037038	STESAC0038432, STESAL0073263
10/22/2002	CHECK WIRE	7,000,000	7,000,000	-	-	-	6,766,000	MDPTPP04037033	MDPTPP04037038	STESAC0038436, STESAL0073267
11/22/2002	CHECK WIRE	3,000,000	3,000,000	-	-	-	9,766,000	MDPTPP04037040	MDPTPP04037043	STESAL0073257, STESAC0034679
12/9/2002	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	6,766,000	MDPTPP04037045	MDPTPP04037050	STESAL0073239, STESAD0064547
12/16/2002	CHECK WIRE	(4,000,000)	-	(4,000,000)	-	-	2,766,000	MDPTPP04037045	MDPTPP04037050	STESAL0073239, STESAD0064547

BLMIS ACCOUNT NO. IKW247 - METS LIMITED PTR #2. ATTN LEN LABITA

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	End Baltes	DEFENDANTS' PRODUCTION Bates Ref <sup>al</sup>
12/27/2002	CHECK WIRE	5,000,000	5,000,000	-	-	-	7,766,000	MDPTPP04037045	MDPTPP04037050	STESAL0073241, STESAD0064549
1/3/2003	CHECK WIRE	5,000,000	5,000,000	-	-	-	12,766,000	MDPTPP04037052	MDPTPP04037065	STESAL0067093, STESAC0115188
1/7/2003	TRANS TO IKW25430 (IKW254)	(1,750,000)	-	-	-	(1,750,000)	11,016,000	MDPTPP04037052	MDPTPP04037065	STESAL0067093, STESAC0115188
1/9/2003	CHECK WIRE	5,000,000	5,000,000	-	-	-	16,016,000	MDPTPP04037052	MDPTPP04037065	STESAL0067097, STESAC0115192
1/13/2003	CHECK WIRE	6,000,000	6,000,000	-	-	-	22,016,000	MDPTPP04037052	MDPTPP04037065	STESAL0067107, STESAC0115202
1/24/2003	CHECK WIRE	3,000,000	3,000,000	-	-	-	25,016,000	MDPTPP04037052	MDPTPP04037065	STESAL0067111, STESAC0115206
2/14/2003	CHECK WIRE	2,000,000	2,000,000	-	-	-	27,016,000	MDPTPP04037068	MDPTPP04037081	STESAL0067063, STESAC0117587
2/20/2003	CHECK WIRE	3,000,000	3,000,000	-	-	-	30,016,000	MDPTPP04037068	MDPTPP04037081	STESAL0067065, STESAC0117589
2/24/2003	CHECK WIRE	3,000,000	3,000,000	-	-	-	33,016,000	MDPTPP04037068	MDPTPP04037081	STESAL0067073, STESAC0117597
2/25/2003	CHECK WIRE	4,000,000	4,000,000	-	-	-	37,016,000	MDPTPP04037068	MDPTPP04037081	STESAL0067077, STESAC0117601
3/14/2003	CHECK WIRE	4,000,000	4,000,000	-	-	-	41,016,000	MDPTPP04037083	MDPTPP04037103	STESAL0067015
4/2/2003	CHECK WIRE	1,000,000	1,000,000	-	-	-	42,016,000	MDPTPP04037107	MDPTPP04037111	STESAL0067003, STESAC0112214
4/16/2003	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	37,016,000	MDPTPP04037107	MDPTPP04037111	STESAL0067005, STESAC0112216
4/21/2003	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	34,016,000	MDPTPP04037107	MDPTPP04037111	STESAL0067005, STESAC0112216
4/28/2003	CHECK WIRE	(4,000,000)	-	(4,000,000)	-	-	30,016,000	MDPTPP04037107	MDPTPP04037111	STESAL0067005, STESAC0112216
5/6/2003	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	28,016,000	MDPTPP04037113	MDPTPP04037131	STESAL0066963, STESAD0023663
5/12/2003	CHECK WIRE	(4,000,000)	-	(4,000,000)	-	-	24,016,000	MDPTPP04037113	MDPTPP04037131	STESAL0066977, STESAD0023677
5/16/2003	CHECK WIRE	(4,000,000)	-	(4,000,000)	-	-	20,016,000	MDPTPP04037113	MDPTPP04037131	STESAL0066981, STESAD0023681
5/27/2003	CHECK WIRE	(6,000,000)	-	(6,000,000)	-	-	14,016,000	MDPTPP04037113	MDPTPP04037131	STESAD0023683
6/2/2003	CHECK WIRE	(4,000,000)	-	(4,000,000)	-	-	10,016,000	MDPTPP04037135	MDPTPP04037140	STESAL0066945, STESAD0026357
6/9/2003	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	7,016,000	MDPTPP04037135	MDPTPP04037140	STESAL0066945, STESAD0026357
6/13/2003	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	6,016,000	MDPTPP04037135	MDPTPP04037140	STESAL0066945, STESAD0026357
6/16/2003	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	3,016,000	MDPTPP04037135	MDPTPP04037140	STESAL0066945, STESAD0026357
6/30/2003	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	16,000	MDPTPP04037135	MDPTPP04037140	STESAL0066951, STESAD0026363
7/8/2003	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	(2,984,000)	MDPTPP04037142	MDPTPP04037148	STESAL0066927
7/14/2003	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	(5,984,000)	MDPTPP04037142	MDPTPP04037148	STESAL0066931
7/21/2003	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	(10,984,000)	MDPTPP04037142	MDPTPP04037148	STESAL0066931
7/30/2003	TRANS FROM IKW21830 (IKW218)	8,900,000	-	-	8,900,000	-	(2,084,000)	MDPTPP04037142	MDPTPP04037148	STESAL0066933
7/30/2003	TRANS FROM IKW19230 (IKW192)	7,600,000	-	-	7,563,236	-	5,479,236	MDPTPP04037142	MDPTPP04037148	STESAL0066933
7/30/2003	TRANS FROM IKW37830 (IKW378)	3,300,000	-	-	3,173,156	-	8,652,392	MDPTPP04037142	MDPTPP04037148	STESAL0066933

BLMIS ACCOUNT NO. IKW247 - METS LIMITED PTR #2. ATTN LEN LABITA

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Dates	BLMIS SOURCE DOCUMENT: End Dates	DEFENDANTS' PRODUCTION Bates Ref <sup>al</sup>
7/31/2003	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	3,652,392	MDPTPP04037142	MDPTPP04037148	STESAL0066933
8/4/2003	CHECK WIRE	(3,500,000)	-	(3,500,000)	-	-	152,392	MDPTPP04037151	MDPTPP04037155	STESAL0066915, STESAD0068784
8/11/2003	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	(847,608)	MDPTPP04037151	MDPTPP04037155	STESAL0066915, STESAD0068784
8/18/2003	CHECK WIRE	(1,500,000)	-	(1,500,000)	-	-	(2,347,608)	MDPTPP04037151	MDPTPP04037155	STESAL0066917, STESAD0068786
9/8/2003	CHECK WIRE	(3,500,000)	-	(3,500,000)	-	-	(5,847,608)	MDPTPP04037157	MDPTPP04037166	STESAL0066897, STESAD0071699
9/15/2003	CHECK WIRE	(3,500,000)	-	(3,500,000)	-	-	(9,347,608)	MDPTPP04037157	MDPTPP04037166	STESAL0066903, STESAD0071705
9/25/2003	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	(14,347,608)	MDPTPP04037157	MDPTPP04037166	STESAL0066907, STESAD0071709
10/20/2003	CHECK WIRE	6,000,000	6,000,000	-	-	-	(8,347,608)	MDPTPP04037168	MDPTPP04037173	STESAL0066883, STESAD0001530
10/22/2003	CHECK WIRE	4,000,000	4,000,000	-	-	-	(4,347,608)	MDPTPP04037168	MDPTPP04037173	STESAL0066883, STESAD0001530
11/5/2003	CHECK WIRE	2,000,000	2,000,000	-	-	-	(2,347,608)	MDPTPP04037175	MDPTPP04037179	STESAL0066867, STESAD0004261
11/20/2003	CHECK WIRE	2,000,000	2,000,000	-	-	-	(347,608)	MDPTPP04037175	MDPTPP04037179	STESAL0066867, STESAD0004261
11/21/2003	CHECK WIRE	2,000,000	2,000,000	-	-	-	1,652,392	MDPTPP04037175	MDPTPP04037179	STESAL0066867, STESAD0004261
12/8/2003	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(347,608)	MDPTPP04037181	MDPTPP04037184	STESAL0066857, STESAC0021329
12/23/2003	CHECK WIRE	(6,000,000)	-	(6,000,000)	-	-	(6,347,608)	MDPTPP04037181	MDPTPP04037184	STESAL0066859, STESAC0021331
12/30/2003	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(8,347,608)	MDPTPP04037181	MDPTPP04037184	STESAL0066859, STESAC0021331
1/6/2004	CHECK WIRE	2,000,000	2,000,000	-	-	-	(6,347,608)	MDPTPP04037186	MDPTPP04037194	STESAL0066835
1/7/2004	CHECK WIRE	3,000,000	3,000,000	-	-	-	(3,347,608)	MDPTPP04037186	MDPTPP04037194	STESAL0066835
1/9/2004	CHECK WIRE	3,000,000	3,000,000	-	-	-	(347,608)	MDPTPP04037186	MDPTPP04037194	STESAL0066839
1/14/2004	CHECK WIRE	3,000,000	3,000,000	-	-	-	2,652,392	MDPTPP04037186	MDPTPP04037194	STESAL0066839
1/16/2004	CHECK WIRE	1,000,000	1,000,000	-	-	-	3,652,392	MDPTPP04037186	MDPTPP04037194	STESAL0066843
1/23/2004	CHECK WIRE	2,000,000	2,000,000	-	-	-	5,652,392	MDPTPP04037186	MDPTPP04037194	STESAL0066845
2/5/2004	CHECK WIRE	4,000,000	4,000,000	-	-	-	9,652,392	MDPTPP04037197	MDPTPP04037201	STESAL0066823
2/27/2004	CHECK WIRE	2,000,000	2,000,000	-	-	-	11,652,392	MDPTPP04037197	MDPTPP04037201	STESAL0066827
3/2/2004	CHECK WIRE	2,000,000	2,000,000	-	-	-	13,652,392	MDPTPP04037203	MDPTPP04037206	STESAL0066813
3/30/2004	CHECK WIRE	(12,300,000)	-	(12,300,000)	-	-	1,352,392	MDPTPP04037203	MDPTPP04037206	STESAL0066813
4/16/2004	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	352,392	MDPTPP04037208	MDPTPP04037219	STESAL0066793
4/19/2004	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(1,647,608)	MDPTPP04037208	MDPTPP04037219	STESAL0066793
4/26/2004	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	(6,647,608)	MDPTPP04037208	MDPTPP04037219	STESAL0066797
5/10/2004	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	(9,647,608)	MDPTPP04037222	MDPTPP04037228	STESAL0066769
5/17/2004	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	(10,647,608)	MDPTPP04037222	MDPTPP04037228	STESAL0066769
5/26/2004	CHECK WIRE	(1,550,000)	-	(1,550,000)	-	-	(12,197,608)	MDPTPP04037222	MDPTPP04037228	STESAL0066777
6/1/2004	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(14,197,608)	MDPTPP04037230	MDPTPP04037237	STESAL0066751
6/7/2004	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	(17,197,608)	MDPTPP04037230	MDPTPP04037237	STESAL0066751
6/9/2004	CANCEL C&S 6/7/04	3,000,000	-	3,000,000	-	-	(14,197,608)	MDPTPP04037230	MDPTPP04037237	STESAL0066755
6/24/2004	TRANS FROM IKW27930 (IKW279)	1,550,000	-	-	877,500	-	(13,320,108)	MDPTPP04037230	MDPTPP04037237	STESAL0066757
7/8/2004	TRANS TO IKW21830 (IKW218)	(2,680,000)	-	-	-	-	(13,320,108)	MDPTPP04037239	MDPTPP04037242	STESAL0066741
7/29/2004	CHECK WIRE	5,000,000	5,000,000	-	-	-	(8,320,108)	MDPTPP04037239	MDPTPP04037242	STESAL0066743
8/3/2004	CHECK WIRE	4,000,000	4,000,000	-	-	-	(4,320,108)	MDPTPP04037244	MDPTPP04037249	STESAL0066727
9/13/2004	CHECK WIRE	(4,000,000)	-	(4,000,000)	-	-	(8,320,108)	MDPTPP04037251	MDPTPP04037255	STESAL0066715
9/20/2004	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(10,320,108)	MDPTPP04037251	MDPTPP04037255	STESAL0066715
9/27/2004	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	(13,320,108)	MDPTPP04037251	MDPTPP04037255	STESAL0066719
10/22/2004	CHECK WIRE	12,000,000	12,000,000	-	-	-	(1,320,108)	MDPTPP04037257	MDPTPP04037259	STESAL0066704

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Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
11/12/2004	CHECK WIRE	2,000,000	2,000,000	-	-	-	679,892	MDPTPP04037261	MDPTPP04037266	STESAL0066694
11/19/2004	CHECK WIRE	4,000,000	4,000,000	-	-	-	4,679,892	MDPTPP04037261	MDPTPP04037266	STESAL0066694
12/13/2004	CHECK WIRE	(7,000,000)	-	(7,000,000)	-	-	(2,320,108)	MDPTPP04037268	MDPTPP04037273	STESAL0066680
12/27/2004	CHECK WIRE	(6,000,000)	-	(6,000,000)	-	-	(8,320,108)	MDPTPP04037268	MDPTPP04037273	STESAL0066682
1/7/2005	CHECK WIRE	4,000,000	4,000,000	-	-	-	(4,320,108)	MDPTPP04037275	MDPTPP04037280	STESAL0068981, STESAC0014049
1/18/2005	CHECK WIRE	5,000,000	5,000,000	-	-	-	679,892	MDPTPP04037275	MDPTPP04037280	STESAL0068981, STESAC0014049
1/20/2005	CHECK WIRE	5,000,000	5,000,000	-	-	-	5,679,892	MDPTPP04037275	MDPTPP04037280	STESAL0068981, STESAC0014049
1/24/2005	CHECK WIRE	5,000,000	5,000,000	-	-	-	10,679,892	MDPTPP04037275	MDPTPP04037280	STESAL0068981, STESAC0013912
2/2/2005	CHECK WIRE	2,000,000	2,000,000	-	-	-	12,679,892	MDPTPP04037282	MDPTPP04037285	STESAL0068981, STESAC0013912
2/9/2005	CHECK WIRE	3,000,000	3,000,000	-	-	-	15,679,892	MDPTPP04037282	MDPTPP04037285	STESAL0068981, STESAC0013912
3/23/2005	TRANS TO IKW37830 (IKW378)	(6,650,000)	-	(6,650,000)	-	(6,650,000)	9,029,892	MDPTPP04037287	MDPTPP04037292	STESAL0068981, STESAC0011683
5/16/2005	TRANS TO IKW25430 (IKW254)	(4,000,000)	-	(4,000,000)	-	(4,000,000)	5,029,892	MDPTPP04037298	MDPTPP04037303	STESAL0068981, STESAC0013317
5/23/2005	CHECK WIRE	(8,000,000)	-	(8,000,000)	-	-	(2,970,108)	MDPTPP04037298	MDPTPP04037303	STESAL0068981, STESAC0013313
5/27/2005	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(4,970,108)	MDPTPP04037298	MDPTPP04037303	STESAL0068981, STESAC0013313
6/13/2005	CHECK WIRE	(4,000,000)	-	(4,000,000)	-	-	(8,970,108)	MDPTPP04037305	MDPTPP04037307	STESAL0068981, STESAC0006095
6/22/2005	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(10,970,108)	MDPTPP04037305	MDPTPP04037307	STESAL0068981, STESAC0006095
7/5/2005	CHECK WIRE	(1,800,000)	-	(1,800,000)	-	-	(12,770,108)	MDPTPP04037309	MDPTPP04037311	STESAL0068981, STESAC0008295
7/11/2005	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	(14,770,108)	MDPTPP04037309	MDPTPP04037311	STESAL0068981, STESAC0008295
8/9/2005	CHECK WIRE	8,000,000	8,000,000	-	-	-	(6,770,108)	MDPTPP04037313	MDPTPP04037314	STESAL0068981, STESAC0009817
9/6/2005	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	(11,770,108)	MDPTPP04037316	MDPTPP04037322	STESAL0068981, STESAC0009817
9/19/2005	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	(14,770,108)	MDPTPP04037316	MDPTPP04037322	STESAL0068981, STESAC0009817
1/10/2006	CHECK WIRE	7,000,000	7,000,000	-	-	-	(7,770,108)	MDPTPP04037343	MDPTPP04037349	STESAL0068845, STESAD0010549
1/31/2006	CHECK WIRE	5,000,000	5,000,000	-	-	-	(2,770,108)	MDPTPP04037343	MDPTPP04037349	STESAL0068845, STESAD0010549
2/7/2006	CHECK WIRE	5,000,000	5,000,000	-	-	-	2,229,892	MDPTPP04037351	MDPTPP04037355	STESAL0068831, STESAD0007471
3/7/2006	CHECK WIRE	3,000,000	3,000,000	-	-	-	5,229,892	MDPTPP04037357	MDPTPP04037374	STESAL0068791
3/14/2006	CHECK WIRE	4,000,000	4,000,000	-	-	-	9,229,892	MDPTPP04037357	MDPTPP04037374	STESAL0068791
4/24/2006	CHECK WIRE	(2,000,000)	-	(2,000,000)	-	-	7,229,892	MDPTPP04037377	MDPTPP04037392	STESAL0068791
5/1/2006	CHECK WIRE	(4,000,000)	-	(4,000,000)	-	-	3,229,892	MDPTPP04037395	MDPTPP04037401	STESAL0068737
5/22/2006	CHECK WIRE	(4,000,000)	-	(4,000,000)	-	-	(770,108)	MDPTPP04037395	MDPTPP04037401	STESAL0068739
6/12/2006	CHECK-WIRE	(6,000,000)	-	(6,000,000)	-	-	(6,770,108)	MDPTPP04037404	MDPTPP04037415	STESAD0107937, STESAL0068711
7/3/2006	CHECK WIRE	(6,000,000)	-	(6,000,000)	-	-	(12,770,108)	MDPTPP04037417	MDPTPP04037420	STESAD0105336
7/10/2006	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	(15,770,108)	MDPTPP04037417	MDPTPP04037420	STESAD0105336
1/24/2007	CHECK WIRE	30,000,000	30,000,000	-	-	-	14,229,892	MDPTPP04037447	MDPTPP04037449	STESAL0068432
2/2/2007	CHECK WIRE	18,000,000	18,000,000	-	-	-	32,229,892	MDPTPP04037450	MDPTPP04037462	STESAL0068438
3/12/2007	CHECK WIRE	(8,000,000)	-	(8,000,000)	-	-	24,229,892	MDPTPP04037465	MDPTPP04037480	STESAL0068474
5/21/2007	CHECK WIRE	(7,000,000)	-	(7,000,000)	-	-	17,229,892	MDPTPP04037499	MDPTPP04037505	STESAL0068536
6/11/2007	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	12,229,892	MDPTPP04037507	MDPTPP04037519	STESAL0068552, STESAF0055725
7/2/2007	CHECK WIRE	(6,000,000)	-	(6,000,000)	-	-	6,229,892	MDPTPP04037521	MDPTPP04037525	STESAL0068580
7/3/2007	CHECK WIRE	(15,000,000)	-	(15,000,000)	-	-	(8,770,108)	MDPTPP04037521	MDPTPP04037525	STESAL0068580
7/16/2007	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	(11,770,108)	MDPTPP04037521	MDPTPP04037525	STESAL0068582
8/20/2007	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	(16,770,108)	MDPTPP04037527	MDPTPP04037529	STESAL0068592, STESAF0031988
12/1/2007	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	(17,770,108)	MDPTPP04037547	MDPTPP04037551	STESAL0068630
12/17/2007	CHECK WIRE	(500,000)	-	(500,000)	-	-	(18,270,108)	MDPTPP04037547	MDPTPP04037551	STESAL0068630
1/17/2008	CHECK WIRE	10,000,000	10,000,000	-	-	-	(8,270,108)	MDPTPP04037553	MDPTPP04037555	STESAF0000393



BLMIS ACCOUNT NO. 1KW247 - METS LIMITED PTR #2. ATTN LEN LABITA

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates.	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>3)</sup>
1/22/2008	CHECK WIRE	8,000,000	8,000,000	-	-	-	(270,108)	MDPTPP04037553	MDPTPP04037555	STESAF0000393
2/4/2008	CHECK WIRE	10,000,000	10,000,000	-	-	-	9,729,892	MDPTPP04037556	MDPTPP04037557	STESAL0068323
2/7/2008	CHECK WIRE	8,000,000	8,000,000	-	-	-	17,729,892	MDPTPP04037556	MDPTPP04037557	STESAL0068323
3/4/2008	CHECK WIRE	5,000,000	5,000,000	-	-	-	22,729,892	MDPTPP04037558	MDPTPP04037560	STESAL0068327
5/12/2008	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	17,729,892	MDPTPP04037568	MDPTPP04037573	STESAL0068356, STESAF0007191
5/19/2008	CHECK WIRE	(7,000,000)	-	(7,000,000)	-	-	10,729,892	MDPTPP04037568	MDPTPP04037573	STESAL0068356, STESAF0007191
5/23/2008	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	5,729,892	MDPTPP04037568	MDPTPP04037573	STESAL0068356, STESAF0007191
5/30/2008	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	729,892	MDPTPP04037568	MDPTPP04037573	STESAL0068362, STESAF0007197
6/9/2008	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	(4,270,108)	MDPTPP04037575	MDPTPP04037578	STESAL0068370, STESAF0009581
6/27/2008	CHECK WIRE	(16,000,000)	-	(16,000,000)	-	-	(20,270,108)	MDPTPP04037575	MDPTPP04037578	STESAL0068372, STESAF0009583
10/10/2008	CHECK WIRE	10,000,000	10,000,000	-	-	-	(10,270,108)	MDPTPP04037595	MDPTPP04037597	STESAL0068410, STESAF0018129
11/7/2008	CHECK WIRE	(10,000,000)	-	(10,000,000)	-	-	(20,270,108)	MDPTPP04037599	MDPTPP04037604	STESAL0068418
	<b>Total:</b>		<b>\$ 443,000,000</b>	<b>\$ (509,150,000)</b>	<b>\$ 59,783,892</b>	<b>\$ (13,904,000)</b>	<b>\$ (20,270,108)</b>			

<sup>[1]</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>[2]</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[3]</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW250 - RICHARD A WILPON EH

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref
3/10/1999	CHECK	200,000	200,000	-	-	-	200,000	MDFTPP04041846	MDFTPP04041848	STESAC0059122
6/26/2002	CHECK	(312,633)	-	(312,633)	-	-	(112,633)	MDFTPP04042068	MDFTPP04042071	STESAC0068854
10/31/2002	CHECK	(123)	-	(123)	-	-	(112,757)	MDFTPP04042082	MDFTPP04042083	STESAC0038470
	<b>Total:</b>		<b>\$ 200,000</b>	<b>\$ (312,757)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (112,757)</b>			

BLMIS ACCOUNT NO. 1KW252 - IRIS AND SAUL KATZ FAMILY FOUNDATION PM

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes	DEFENDANTS' PRODUCTION Bates Ref <sup>2</sup>
4/6/1999	CHECK WIRE	600,000	600,000	-	-	-	600,000	MDPTPP04043561	MDPTPP04043563	STESAC0061116, STESAC0061124
4/14/1999	TRANS FROM 1KW08330 (1KW083)	210,000 (1)	-	-	78,454	-	678,454	MDPTPP04043561	MDPTPP04043563	STESAC0061116, STESAC0061124
5/6/1999	CHECK WIRE	200,000	200,000	-	-	-	878,454	MDPTPP04043564	MDPTPP04043572	STESAC0063123, STESAC0063143
8/12/1999	CHECK	(150,000)	-	(150,000)	-	-	728,454	MDPTPP04043586	MDPTPP04043591	STESAD0031412, STESAD0031426
9/2/1999	CHECK	(150,000)	-	(150,000)	-	-	578,454	MDPTPP04043593	MDPTPP04043596	STESAD0033167, STESAD0033177
9/29/1999	CHECK	(25,000)	-	(25,000)	-	-	553,454	MDPTPP04043593	MDPTPP04043596	STESAD0033167, STESAD0033177
10/20/1999	CHECK	(125,000)	-	(125,000)	-	-	428,454	MDPTPP04043598	MDPTPP04043600	STESAD0036865, STESAD0036873
11/4/1999	CHECK WIRE	125,000	125,000	-	-	-	553,454	MDPTPP04043602	MDPTPP04043607	STESAD0034447, STESAD0034461
11/26/1999	CHECK WIRE	200,000	200,000	-	-	-	753,454	MDPTPP04043602	MDPTPP04043607	STESAD0034453, STESAD0034467
1/4/2000	CHECK	92,000	92,000	-	-	-	845,454	MDPTPP04043613	MDPTPP04043619	STESAF0077430
1/19/2000	CHECK WIRE	200,000	200,000	-	-	-	1,045,454	MDPTPP04043613	MDPTPP04043619	STESAF0077434
2/16/2000	CHECK	(110,000)	-	(110,000)	-	-	935,454	MDPTPP04043622	MDPTPP04043625	STESAF0079283
2/25/2000	CHECK	(140,000)	-	(140,000)	-	-	795,454	MDPTPP04043622	MDPTPP04043625	STESAF0079287
3/20/2000	CHECK WIRE	350,000	350,000	-	-	-	1,145,454	MDPTPP04043627	MDPTPP04043633	STESAD0026407, STESAD0026419
6/24/2003	CHECK	(1,340,000)	-	(1,340,000)	-	-	(194,546)	MDPTPP04043864	MDPTPP04043868	STESAD0026411, STESAD0026423
6/25/2003	CHECK	(25,000)	-	(25,000)	-	-	(219,546)	MDPTPP04043864	MDPTPP04043868	STESAD0026411, STESAD0026423
6/25/2003	CHECK	(1,340,000)	-	(1,340,000)	-	-	(1,559,546)	MDPTPP04043864	MDPTPP04043868	STESAD0026411, STESAD0026423
6/30/2003	RETURNED CHECK	1,340,000	-	1,340,000	-	-	(219,546)	MDPTPP04043864	MDPTPP04043868	STESAD0026411, STESAD0026423
7/22/2003	CHECK	(10,000)	-	(10,000)	-	-	(229,546)	MDPTPP04043870	MDPTPP04043876	STESAC0092170
9/11/2003	CHECK	(55,000)	-	(55,000)	-	-	(284,546)	MDPTPP04043885	MDPTPP04043891	STESAD0071765, STESAD0071781
11/25/2003	CHECK	(110,000)	-	(110,000)	-	-	(394,546)	MDPTPP04043900	MDPTPP04043903	STESAD0004305, STESAD0004315
12/19/2003	CHECK	(15,000)	-	(15,000)	-	-	(409,546)	MDPTPP04043905	MDPTPP04043907	STESAC0021363
2/24/2004	CHECK	(320,000)	-	(320,000)	-	-	(729,546)	MDPTPP04043916	MDPTPP04043919	STESAC0002641, STESAD0026423
4/8/2004	CHECK	(25,000)	-	(25,000)	-	-	(754,546)	MDPTPP04043925	MDPTPP04043930	STESAD0026411, STESAD0026423
6/10/2004	CHECK	(32,000)	-	(32,000)	-	-	(786,546)	MDPTPP04043938	MDPTPP04043944	STESAD0026411, STESAD0026423
7/30/2004	CHECK	(10,000)	-	(10,000)	-	-	(796,546)	MDPTPP04043946	MDPTPP04043948	STESAD0026411, STESAD0026423
8/25/2004	CHECK	(53,000)	-	(53,000)	-	-	(849,546)	MDPTPP04043950	MDPTPP04043956	STESAD0026411, STESAD0026423
10/22/2004	CHECK	(15,000)	-	(15,000)	-	-	(864,546)	MDPTPP04043964	MDPTPP04043966	STESAD0026411, STESAD0026423
11/30/2004	CHECK	(20,000)	-	(20,000)	-	-	(884,546)	MDPTPP04043968	MDPTPP04043973	STESAD0026411, STESAD0026423
12/23/2004	CHECK	(20,000)	-	(20,000)	-	-	(904,546)	MDPTPP04043975	MDPTPP04043980	STESAD0026411, STESAD0026423
1/6/2005	TRANS FROM 1KW01630 (1KW016)	334,702 (1)	-	-	58,869	-	(845,677)	MDPTPP04043982	MDPTPP04043987	STESAC0014105
4/22/2005	CHECK	(400,000)	-	(400,000)	-	-	(1,245,677)	MDPTPP04044000	MDPTPP04044002	STESAC00131035
5/19/2005	CHECK	(50,000)	-	(50,000)	-	-	(1,295,677)	MDPTPP04044004	MDPTPP04044009	STESAC0133177, STESAC0133191
7/15/2005	CHECK	400,000	400,000	-	-	-	(895,677)	MDPTPP04044014	MDPTPP04044015	STESAC0008328, STESAC0008334
8/15/2005	CHECK	(35,000)	-	(35,000)	-	-	(930,677)	MDPTPP04044017	MDPTPP04044018	STESAC0009841
9/13/2005	CHECK	(55,000)	-	(55,000)	-	-	(985,677)	MDPTPP04044020	MDPTPP04044025	STESAD0005444, STESAD0005458
2/22/2006	CHECK	(54,000)	-	(54,000)	-	-	(1,039,677)	MDPTPP04044053	MDPTPP04044058	STESAD0005444, STESAD0005458
4/3/2006	CHECK	(75,000)	-	(75,000)	-	-	(1,114,677)	MDPTPP04044067	MDPTPP04044074	STESAD0005444, STESAD0005458
4/21/2006	CHECK	(60,000)	-	(60,000)	-	-	(1,174,677)	MDPTPP04044067	MDPTPP04044074	STESAD0005444, STESAD0005458

BLMIS ACCOUNT NO. 1KW252 - IRIS AND SAUL KATZ FAMILY FOUNDATION PM

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
5/3/2006	CHECK	(60,000)	-	(60,000)	-	-	(1,234,677)	MDPTPP04044076	MDPTPP04044081	STESAD0108000, STESAD0108018
9/28/2006	CHECK	(60,000)	-	(60,000)	-	-	(1,294,677)	MDPTPP04044103	MDPTPP04044108	STESAD0101421, STESAD0101435
3/30/2007	CHECK	(143,122)	-	(143,122)	-	-	(1,437,799)	MDPTPP04044138	MDPTPP04044142	STESAG0008421
	<b>Total:</b>		<b>\$ 2,167,000</b>	<b>\$ (3,742,122)</b>	<b>\$ 137,323</b>	<b>\$ -</b>	<b>\$ (1,437,799)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW254 - STERLING METS LP-FUNDING METS LP-FUNDING ACCT PLYRS DEF SLRY OBL

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref
5/11/1999	TRANS FROM 1KW22330 (1KW223)	2,500,000	-	-	2,500,000	-	2,500,000	MDPTPP04046365	MDPTPP04046371	STESAC0063175, STESAL0073898
5/11/2000	TRANS TO 1KW21830 (1KW218)	(3,000,000) <sup>(1)</sup>	-	-	-	(2,500,000)	-	MDPTPP04046435	MDPTPP04046436	STESAL0073795
2/21/2002	TRANS FROM 1KW24730 (1KW247)	1,504,000	-	-	1,504,000	-	1,504,000	MDPTPP04046485	MDPTPP04046487	STESAD0050631, STESAL0073697
1/7/2003	TRANS FROM 1KW24730 (1KW247)	1,750,000	-	-	1,750,000	-	3,254,000	MDPTPP04046549	MDPTPP04046554	STESAC0115304, STESAL0072586
10/2/2003	CHECK WIRE	(2,500,000)	-	(2,500,000)	-	-	754,000	MDPTPP04046609	MDPTPP04046614	STESAD0001624, STESAL0072466
10/4/2004	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	(246,000)	MDPTPP04046681	MDPTPP04046683	STESAL0072328
5/16/2005	TRANS FROM 1KW24730 (1KW247)	4,000,000	-	-	4,000,000	-	3,754,000	MDPTPP04046719	MDPTPP04046724	STESAC0133219, STESAL0066513
5/18/2005	CHECK WIRE	(4,000,000)	-	(4,000,000)	-	-	(246,000)	MDPTPP04046719	MDPTPP04046724	STESAC0133219, STESAL0066513
7/11/2005	CHECK WIRE	(450,000)	-	(450,000)	-	-	(696,000)	MDPTPP04046732	MDPTPP04046734	STESAC0008348, STESAL0066493
1/3/2007	CHECK WIRE	3,659,995	3,659,995	-	-	-	2,963,995	MDPTPP04046775	MDPTPP04046777	STESAL0066290
1/3/2007	CHECK WIRE	6,865,294	6,865,294	-	-	-	9,829,289	MDPTPP04046775	MDPTPP04046777	STESAL0066290
12/3/2007	CHECK WIRE	(10,000,000)	-	(10,000,000)	-	-	(170,711)	MDPTPP04046835	MDPTPP04046839	STESAF0038887, STESAL0066410
12/17/2007	CHECK WIRE	(1,500,000)	-	(1,500,000)	-	-	(1,670,711)	MDPTPP04046835	MDPTPP04046839	STESAF0038887, STESAL0066410
	<b>Total:</b>		<b>\$ 10,525,289</b>	<b>\$ (19,450,000)</b>	<b>\$ 9,754,000</b>	<b>\$ (2,500,000)</b>	<b>\$ (1,670,711)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

BLMIS ACCOUNT NO. 1KW255 - STERLING EQUITIES INVESTORS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bates.	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(1)</sup>
5/6/1999	CHECK	575,000	575,000	-	-	-	575,000	MDFTPP04047375	MDFTPP04047381	STESAC0063189
6/29/1999	CHECK	(88,500)	-	(88,500)	-	-	486,500	MDFTPP04047383	MDFTPP04047388	STESAD0033207
9/21/1999	CHECK	1,244,500	1,244,500	-	-	-	1,731,000	MDFTPP04047400	MDFTPP04047403	STESAD0033207
9/24/1999	CHECK	500,000	500,000	-	-	-	2,231,000	MDFTPP04047400	MDFTPP04047403	STESAD0033207
12/21/1999	CHECK	(1,984,500)	-	(1,984,500)	-	-	246,500	MDFTPP04047418	MDFTPP04047420	
12/29/1999	CHECK	35,770	35,770	-	-	-	282,270	MDFTPP04047418	MDFTPP04047420	
4/18/2000	CHECK	(414,079)	-	(414,079)	-	-	(131,809)	MDFTPP04047440	MDFTPP04047441	STESAF0073808
12/22/2000	CHECK	(50)	-	(50)	-	-	(131,859)	MDFTPP04047464	MDFTPP04047465	STESAF0096655
12/14/2004	TRANS FROM 1KW35530 (1KW355)	140,000	-	-	-	-	(131,859)	MDFTPP04047467	MDFTPP04047467	STESAF0096655
12/14/2004	TRANS FROM 1KW35630 (1KW356)	140,000	-	-	-	-	(131,859)	MDFTPP04047467	MDFTPP04047467	STESAF0096655
12/14/2004	CANCEL C&S (1KW355)	(140,000)	-	-	-	-	(131,859)	MDFTPP04047467	MDFTPP04047467	STESAF0096655
12/14/2004	CANCEL C&S (1KW356)	(140,000)	-	-	-	-	(131,859)	MDFTPP04047467	MDFTPP04047467	STESAF0096655
	<b>Total:</b>		<b>\$ 2,355,270</b>	<b>\$ (2,487,129)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (131,859)</b>			

<sup>(1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW257 - STERLING JET LTD

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes	DEFENDANTS' PRODUCTION Bates Ref <sup>1</sup>
5/17/1999	CHECK	1,658,791	1,658,791	-	-	-	1,658,791	MDPTPP04047938	MDPTPP04047940	STESAC0063221
8/24/1999	CHECK	(306,800)	-	(306,800)	-	-	1,351,991	MDPTPP04047952	MDPTPP04047957	STESAD0031488
9/14/1999	CHECK	(100,000)	-	(100,000)	-	-	1,251,991	MDPTPP04047959	MDPTPP04047962	STESAD0033227
9/15/1999	CHECK	(280,000)	-	(280,000)	-	-	971,991	MDPTPP04047959	MDPTPP04047962	STESAD0033227
9/20/1999	CHECK	(256,000)	-	(256,000)	-	-	715,991	MDPTPP04047959	MDPTPP04047962	STESAD0033227
9/20/1999	CHECK	(72,000)	-	(72,000)	-	-	643,991	MDPTPP04047959	MDPTPP04047966	STESAD0033227
10/1/1999	CHECK	(50,000)	-	(50,000)	-	-	593,991	MDPTPP04047964	MDPTPP04047966	STESAD0033227
10/27/1999	CHECK	(205,000)	-	(205,000)	-	-	388,991	MDPTPP04047964	MDPTPP04047966	STESAD0033227
11/1/1999	CHECK	(50,000)	-	(50,000)	-	-	338,991	MDPTPP04047968	MDPTPP04047972	STESAD0034531
12/1/1999	CHECK	(50,000)	-	(50,000)	-	-	288,991	MDPTPP04047974	MDPTPP04047976	STESAF0075369
1/3/2000	CHECK	(50,000)	-	(50,000)	-	-	238,991	MDPTPP04047978	MDPTPP04047982	STESAF0077510
2/1/2000	CHECK	(50,000)	-	(50,000)	-	-	188,991	MDPTPP04047984	MDPTPP04047987	STESAF0079343
3/1/2000	CHECK	(50,000)	-	(50,000)	-	-	138,991	MDPTPP04047989	MDPTPP04047994	STESAF0072157
4/3/2000	CHECK	(50,000)	-	(50,000)	-	-	88,991	MDPTPP04047996	MDPTPP04047998	STESAF0073822
5/1/2000	CHECK	(50,000)	-	(50,000)	-	-	38,991	MDPTPP04048000	MDPTPP04048005	STESAF0062386
6/1/2000	CHECK	(50,000)	-	(50,000)	-	-	(11,009)	MDPTPP04048007	MDPTPP04048009	STESAF0066565
7/3/2000	CHECK	(50,000)	-	(50,000)	-	-	(61,009)	MDPTPP04048011	MDPTPP04048015	STESAF0066207
8/1/2000	CHECK	(50,000)	-	(50,000)	-	-	(111,009)	MDPTPP04048017	MDPTPP04048020	STESAF0069156
9/1/2000	CHECK	(50,000)	-	(50,000)	-	-	(161,009)	MDPTPP04048022	MDPTPP04048024	STESAF0069156
11/28/2000	CHECK	1,500,000	1,500,000	-	-	-	1,338,991	MDPTPP04048029	MDPTPP04048030	STESAC0098323
1/2/2001	CHECK	(50,000)	-	(50,000)	-	-	1,288,991	MDPTPP04048036	MDPTPP04048040	
2/1/2001	CHECK	(50,000)	-	(50,000)	-	-	1,238,991	MDPTPP04048042	MDPTPP04048045	
3/1/2001	CHECK	(50,000)	-	(50,000)	-	-	1,188,991	MDPTPP04048047	MDPTPP04048052	
4/2/2001	CHECK	(50,000)	-	(50,000)	-	-	1,138,991	MDPTPP04048054	MDPTPP04048057	
5/1/2001	CHECK	(50,000)	-	(50,000)	-	-	1,088,991	MDPTPP04048059	MDPTPP04048061	
6/1/2001	CHECK	(50,000)	-	(50,000)	-	-	1,038,991	MDPTPP04048063	MDPTPP04048067	
7/2/2001	CHECK	(50,000)	-	(50,000)	-	-	988,991	MDPTPP04048069	MDPTPP04048072	
8/1/2001	CHECK	(50,000)	-	(50,000)	-	-	938,991	MDPTPP04048074	MDPTPP04048079	
8/24/2001	CHECK	(176,000)	-	(176,000)	-	-	762,991	MDPTPP04048074	MDPTPP04048079	
9/4/2001	CHECK	(50,000)	-	(50,000)	-	-	712,991	MDPTPP04048081	MDPTPP04048082	
9/12/2001	CHECK	(1,029,027)	-	(1,029,027)	-	-	(316,036)	MDPTPP04048081	MDPTPP04048082	
10/1/2001	CHECK	(50,000)	-	(50,000)	-	-	(366,036)	MDPTPP04048084	MDPTPP04048085	STESAC0033690
10/5/2001	RETURNED CHECK	50,000	-	50,000	-	-	(316,036)	MDPTPP04048084	MDPTPP04048085	STESAC0033690
3/19/2002	CHECK	(23)	-	(23)	-	-	(316,058)	MDPTPP04048097	MDPTPP04048098	STESAD0056598
							<b>Total:</b>			
			\$ 3,158,791	\$ (3,474,849)	\$ -	\$ -	\$ (316,058)			

<sup>(1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BELMIS ACCOUNT NO. 1KW259 - STERLING JET II LTD

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes	DEFENDANTS' PRODUCTION Bates Ref <sup>1)</sup>
5/17/1999	CHECK	563,820	563,820	-	-	-	563,820	MDFTPP04049199	MDFTPP04049201	STESAC0063233
8/24/1999	CHECK	(184,100)	-	(184,100)	-	-	379,720	MDFTPP04049213	MDFTPP04049218	STESAD0031514
9/20/1999	CHECK	(142,000)	-	(142,000)	-	-	237,720	MDFTPP04049220	MDFTPP04049223	STESAD0033247
10/1/1999	CHECK	(30,000)	-	(30,000)	-	-	207,720	MDFTPP04049225	MDFTPP04049227	
11/1/1999	CHECK	(30,000)	-	(30,000)	-	-	177,720	MDFTPP04049229	MDFTPP04049234	STESAD0034557
12/1/1999	CHECK	(30,000)	-	(30,000)	-	-	147,720	MDFTPP04049236	MDFTPP04049238	
1/3/2000	CHECK	(30,000)	-	(30,000)	-	-	117,720	MDFTPP04049240	MDFTPP04049244	STESAF0077534
2/1/2000	CHECK	(30,000)	-	(30,000)	-	-	87,720	MDFTPP04049246	MDFTPP04049249	
3/1/2000	CHECK	(30,000)	-	(30,000)	-	-	57,720	MDFTPP04049251	MDFTPP04049256	
4/3/2000	CHECK	(30,000)	-	(30,000)	-	-	27,720	MDFTPP04049258	MDFTPP04049260	STESAF0073838
5/1/2000	CHECK	(30,000)	-	(30,000)	-	-	(2,280)	MDFTPP04049262	MDFTPP04049264	
6/1/2000	CHECK	(30,000)	-	(30,000)	-	-	(32,280)	MDFTPP04049266	MDFTPP04049267	STESAF0062402
7/3/2000	CHECK	(20,000)	-	(20,000)	-	-	(52,280)	MDFTPP04049269	MDFTPP04049270	STESAF0066595
11/13/2000	CHECK	833,333	833,333	-	-	-	781,053	MDFTPP04049281	MDFTPP04049282	STESAC0098345
11/13/2000	CHECK	166,667	166,667	-	-	-	947,720	MDFTPP04049281	MDFTPP04049282	STESAC0098345
1/2/2001	CHECK	(30,000)	-	(30,000)	-	-	917,720	MDFTPP04049288	MDFTPP04049293	
1/5/2001	CHECK	(130,000)	-	(130,000)	-	-	787,720	MDFTPP04049288	MDFTPP04049293	
2/1/2001	CHECK	(30,000)	-	(30,000)	-	-	757,720	MDFTPP04049295	MDFTPP04049298	
3/1/2001	CHECK	(30,000)	-	(30,000)	-	-	727,720	MDFTPP04049300	MDFTPP04049305	
4/2/2001	CHECK	(30,000)	-	(30,000)	-	-	697,720	MDFTPP04049307	MDFTPP04049310	STESAF0109641
5/1/2001	CHECK	(30,000)	-	(30,000)	-	-	667,720	MDFTPP04049312	MDFTPP04049314	
6/1/2001	CHECK	(30,000)	-	(30,000)	-	-	637,720	MDFTPP04049316	MDFTPP04049320	
7/2/2001	CHECK	(30,000)	-	(30,000)	-	-	607,720	MDFTPP04049322	MDFTPP04049325	
8/1/2001	CHECK	(30,000)	-	(30,000)	-	-	577,720	MDFTPP04049327	MDFTPP04049332	
8/24/2001	CHECK	(106,000)	-	(106,000)	-	-	471,720	MDFTPP04049327	MDFTPP04049332	
9/4/2001	CHECK	(30,000)	-	(30,000)	-	-	441,720	MDFTPP04049334	MDFTPP04049335	
9/12/2001	CHECK	(585,714)	-	(585,714)	-	-	(143,994)	MDFTPP04049334	MDFTPP04049335	
10/1/2001	CHECK	(30,000)	-	(30,000)	-	-	(173,994)	MDFTPP04049337	MDFTPP04049338	STESAC0033708
10/5/2001	RETURNED CHECK	30,000	-	30,000	-	-	(143,994)	MDFTPP04049337	MDFTPP04049338	STESAC0033708
3/19/2002	CHECK	(12)	-	(12)	-	-	(144,007)	MDFTPP04049350	MDFTPP04049351	STESAD0056618
	<b>Total:</b>		<b>\$ 1,563,820</b>	<b>\$ (1,707,827)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (144,007)</b>			

<sup>1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.



BLMIS ACCOUNT NO. 1KW260 - FRED WILPON FAMILY TRUST

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>2</sup>
6/1/1999	CHECK	69,500	69,500	-	-	-	69,500	MDPTPP04049382	MDPTPP04049386	
6/9/1999	CHECK	1,470,000	1,470,000	-	-	-	1,539,500	MDPTPP04049382	MDPTPP04049386	
6/24/1999	CHECK	80,000	80,000	-	-	-	1,619,500	MDPTPP04049382	MDPTPP04049386	
7/20/1999	CHECK	(79,000)	-	(79,000)	-	-	1,540,500	MDPTPP04049388	MDPTPP04049391	STESAD0029370
8/9/1999	CHECK	77,000	77,000	-	-	-	1,617,500	MDPTPP04049393	MDPTPP04049398	STESAD0031528
9/27/1999	CHECK	202,000	202,000	-	-	-	1,819,500	MDPTPP04049400	MDPTPP04049403	STESAD0033257
10/27/1999	CHECK	(41,000)	-	(41,000)	-	-	1,778,500	MDPTPP04049405	MDPTPP04049409	
10/28/1999	TRANS FROM 1KW15630 (1KW156)	16,680	-	-	16,680	-	1,795,180	MDPTPP04049405	MDPTPP04049409	
10/28/1999	TRANS FROM 1KW22130 (1KW221)	284,550	-	-	284,550	-	2,079,730	MDPTPP04049405	MDPTPP04049409	
11/1/1999	TRANS FROM 1KW18030 (1KW180)	28,633	-	-	-	-	2,108,363	MDPTPP04049411	MDPTPP04049418	STESAD0034571
11/10/1999	CHECK	581,000	581,000	-	-	-	2,690,363	MDPTPP04049411	MDPTPP04049418	STESAD0034571
11/15/1999	CHECK	297,000	297,000	-	-	-	2,987,363	MDPTPP04049411	MDPTPP04049418	STESAD0034571
11/24/1999	CHECK	(185,486)	-	(185,486)	-	-	2,801,877	MDPTPP04049411	MDPTPP04049418	STESAD0034581
12/17/1999	CHECK	(35,807)	-	(35,807)	-	-	2,766,070	MDPTPP04049420	MDPTPP04049422	STESAF0075393
12/27/1999	CHECK	53,000	53,000	-	-	-	2,819,070	MDPTPP04049420	MDPTPP04049422	STESAF0075393
1/21/2000	CHECK	65,000	65,000	-	-	-	2,884,070	MDPTPP04049424	MDPTPP04049430	STESAF0077548
1/21/2000	CHECK WIRE	(670,313)	-	(670,313)	-	-	2,213,757	MDPTPP04049424	MDPTPP04049430	STESAF0077548
2/24/2000	TRANS FROM 1KW17530 (1KW175)	875,000	-	-	875,000	-	3,088,757	MDPTPP04049433	MDPTPP04049436	STESAF0079375
3/9/2000	CHECK	(327,200)	-	(327,200)	-	-	2,761,557	MDPTPP04049438	MDPTPP04049443	
4/4/2000	CHECK	(675,000)	-	(675,000)	-	-	2,086,557	MDPTPP04049445	MDPTPP04049447	STESAF0073846
4/4/2000	CHECK	(36,000)	-	(36,000)	-	-	2,050,557	MDPTPP04049445	MDPTPP04049447	STESAF0073846
4/17/2000	CHECK	88,000	88,000	-	-	-	2,138,557	MDPTPP04049445	MDPTPP04049447	STESAF0073846
5/5/2000	CHECK	125,000	125,000	-	-	-	2,263,557	MDPTPP04049449	MDPTPP04049454	
6/8/2000	TRANS FROM 1KW16130 (1KW161)	5,560	-	-	5,560	-	2,269,117	MDPTPP04049456	MDPTPP04049458	STESAF0062408
6/8/2000	TRANS FROM 1KW15630 (1KW156)	5,560	-	-	5,560	-	2,274,677	MDPTPP04049456	MDPTPP04049458	STESAF0062408
6/15/2000	CHECK	(150,000)	-	(150,000)	-	-	2,124,677	MDPTPP04049456	MDPTPP04049458	STESAF0062408
6/16/2000	CHECK	67,000	67,000	-	-	-	2,191,677	MDPTPP04049456	MDPTPP04049458	STESAF0062408
6/26/2000	CHECK	90,000	90,000	-	-	-	2,281,677	MDPTPP04049456	MDPTPP04049458	STESAF0062410
7/3/2000	CHECK	(36,000)	-	(36,000)	-	-	2,245,677	MDPTPP04049456	MDPTPP04049458	STESAF0066601
7/12/2000	CHECK	(41,667)	-	(41,667)	-	-	2,204,010	MDPTPP04049460	MDPTPP04049465	STESAF0066601
7/26/2000	CHECK	(524,063)	-	(524,063)	-	-	1,679,947	MDPTPP04049460	MDPTPP04049465	STESAF0066605
8/1/2000	CHECK	(41,667)	-	(41,667)	-	-	1,638,280	MDPTPP04049467	MDPTPP04049469	STESAF0064233
8/1/2000	CHECK	(41,667)	-	(41,667)	-	-	1,596,613	MDPTPP04049467	MDPTPP04049469	STESAF0064233
9/1/2000	CHECK	(41,667)	-	(41,667)	-	-	1,554,946	MDPTPP04049471	MDPTPP04049476	STESAF0069184
9/1/2000	CHECK	(422,100)	-	(422,100)	-	-	1,132,846	MDPTPP04049471	MDPTPP04049476	STESAF0069184
9/27/2000	CHECK	2,695,000	2,695,000	-	-	-	3,827,846	MDPTPP04049471	MDPTPP04049476	STESAF0069188
10/2/2000	CHECK	(41,667)	-	(41,667)	-	-	3,786,179	MDPTPP04049478	MDPTPP04049482	STESAC0095914
10/2/2000	CHECK	(36,000)	-	(36,000)	-	-	3,750,179	MDPTPP04049478	MDPTPP04049482	STESAC0095914
10/2/2000	CHECK	245,000	245,000	-	-	-	3,995,179	MDPTPP04049478	MDPTPP04049482	STESAC0095914
10/12/2000	CHECK	(525,000)	-	(525,000)	-	-	3,470,179	MDPTPP04049478	MDPTPP04049482	STESAC0095914
10/17/2000	CHECK	(50,000)	-	(50,000)	-	-	3,420,179	MDPTPP04049478	MDPTPP04049482	STESAC0095914
11/1/2000	CHECK	(41,667)	-	(41,667)	-	-	3,378,512	MDPTPP04049484	MDPTPP04049490	STESAC0098351
11/29/2000	CHECK WIRE	5,000,000	5,000,000	-	-	-	8,378,512	MDPTPP04049484	MDPTPP04049490	STESAC0098355
12/1/2000	CHECK	(41,667)	-	(41,667)	-	-	8,336,845	MDPTPP04049493	MDPTPP04049499	
12/2001	CHECK	(41,667)	-	(41,667)	-	-	8,295,178	MDPTPP04049501	MDPTPP04049506	
12/2001	CHECK	(36,000)	-	(36,000)	-	-	8,259,178	MDPTPP04049501	MDPTPP04049506	
1/4/2001	CHECK	(33,500)	-	(33,500)	-	-	8,225,678	MDPTPP04049501	MDPTPP04049506	
1/12/2001	CHECK	525,000	525,000	-	-	-	8,750,678	MDPTPP04049501	MDPTPP04049506	
2/1/2001	CHECK	(33,500)	-	(33,500)	-	-	8,717,178	MDPTPP04049508	MDPTPP04049514	
2/1/2001	CHECK	(600,000)	-	(600,000)	-	-	8,117,178	MDPTPP04049508	MDPTPP04049514	
2/6/2001	CHECK	(41,667)	-	(41,667)	-	-	8,075,511	MDPTPP04049508	MDPTPP04049514	
2/16/2001	CHECK	741,000	741,000	-	-	-	8,816,511	MDPTPP04049508	MDPTPP04049514	
3/1/2001	CHECK	(75,167)	-	(75,167)	-	-	8,741,344	MDPTPP04049516	MDPTPP04049524	
3/8/2001	CHECK	235,000	235,000	-	-	-	8,976,344	MDPTPP04049516	MDPTPP04049524	
3/12/2001	TRANS TO 1KW24230 (1KW242)	747,500	-	-	747,500	(741,000)	9,217,844	MDPTPP04049516	MDPTPP04049524	
3/12/2001	TRANS FROM 1KW24230 (1KW242)	747,500	-	-	747,500	-	9,965,344	MDPTPP04049516	MDPTPP04049531	STESAF0109651
4/2/2001	CHECK	(36,000)	-	(36,000)	-	-	9,929,344	MDPTPP04049527	MDPTPP04049531	STESAF0109651
4/2/2001	CHECK	(75,167)	-	(75,167)	-	-	9,854,177	MDPTPP04049527	MDPTPP04049531	STESAF0109651
4/24/2001	CHECK	(621,500)	-	(621,500)	-	-	9,232,677	MDPTPP04049527	MDPTPP04049531	STESAF0109651

BLMIS ACCOUNT NO. 1KW260 - FRED WILPON FAMILY TRUST

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>2</sup>
5/1/2001	CHECK	(75.167)	-	(75.167)	-	-	8,181,484	MDPTPP04049533	MDPTPP04049535	
5/29/2001	CHECK	302,240	302,240	-	-	-	8,483,724	MDPTPP04049533	MDPTPP04049535	
6/1/2001	CHECK	(75.167)	-	(75.167)	-	-	8,408,557	MDPTPP04049537	MDPTPP04049542	
6/22/2001	CHECK	600,000	600,000	-	-	-	9,008,557	MDPTPP04049537	MDPTPP04049542	
7/2/2001	CHECK	(37,250)	-	(37,250)	-	-	8,971,307	MDPTPP04049544	MDPTPP04049555	
7/2/2001	CHECK	(75.167)	-	(75.167)	-	-	8,896,140	MDPTPP04049544	MDPTPP04049555	
7/13/2001	CHECK	(2,500)	-	(2,500)	-	-	8,893,640	MDPTPP04049544	MDPTPP04049555	
8/1/2001	CHECK	(75.167)	-	(75.167)	-	-	8,818,473	MDPTPP04049557	MDPTPP04049565	
9/4/2001	CHECK	(75.167)	-	(75.167)	-	-	8,743,306	MDPTPP04049568	MDPTPP04049572	
9/17/2001	CHECK	149,000	149,000	-	-	-	8,892,306	MDPTPP04049568	MDPTPP04049572	
9/26/2001	CHECK	248,000	248,000	-	-	-	9,140,306	MDPTPP04049568	MDPTPP04049572	
10/1/2001	CHECK	(75.167)	-	(75.167)	-	-	9,065,139	MDPTPP04049575	MDPTPP04049579	STESAC0033714
10/1/2001	CHECK	(39,750)	-	(39,750)	-	-	9,025,389	MDPTPP04049575	MDPTPP04049579	STESAC0033714
10/16/2001	CHECK	1,134,000	1,134,000	-	-	-	10,159,389	MDPTPP04049575	MDPTPP04049579	STESAC0033716
11/1/2001	CHECK	(75.167)	-	(75.167)	-	-	10,084,222	MDPTPP04049581	MDPTPP04049585	STESAD0076993
11/27/2001	CHECK	5,300,000	5,300,000	-	-	-	15,384,222	MDPTPP04049581	MDPTPP04049585	STESAD0076997
12/3/2001	CHECK	(66.167)	-	(66.167)	-	-	15,318,055	MDPTPP04049587	MDPTPP04049594	STESAD0079028
12/7/2001	CHECK	(295,800)	-	(295,800)	-	-	15,022,255	MDPTPP04049587	MDPTPP04049594	STESAD0079028
12/28/2001	CHECK	494,200	494,200	-	-	-	15,516,455	MDPTPP04049587	MDPTPP04049594	STESAD0079034
1/2/2002	CHECK	(66.167)	-	(66.167)	-	-	15,450,288	MDPTPP04049596	MDPTPP04049607	STESAD0048054
1/2/2002	CHECK	(39,750)	-	(39,750)	-	-	15,410,538	MDPTPP04049596	MDPTPP04049607	STESAD0048054
2/1/2002	CHECK	(66.167)	-	(66.167)	-	-	15,344,371	MDPTPP04049610	MDPTPP04049625	STESAD0050665,
2/21/2002	CHECK	(565,500)	-	(565,500)	-	-	14,778,871	MDPTPP04049610	MDPTPP04049625	STESAC0070241
3/1/2002	CHECK	(66.167)	-	(66.167)	-	-	14,712,704	MDPTPP04049628	MDPTPP04049637	STESAD0050675
4/1/2002	CHECK	275,000	275,000	-	-	-	14,987,704	MDPTPP04049641	MDPTPP04049656	STESAD0083432
4/1/2002	CHECK	(39,750)	-	(39,750)	-	-	14,947,954	MDPTPP04049641	MDPTPP04049656	STESAD0083432
4/1/2002	CHECK	(66.167)	-	(66.167)	-	-	14,881,787	MDPTPP04049641	MDPTPP04049656	STESAD0083432
4/10/2002	CHECK	165,000	165,000	-	-	-	15,046,787	MDPTPP04049641	MDPTPP04049656	STESAD0083432
4/10/2002	CHECK	(3,000)	-	(3,000)	-	-	15,043,787	MDPTPP04049641	MDPTPP04049656	STESAD0083432
5/1/2002	CHECK	(66.167)	-	(66.167)	-	-	14,977,620	MDPTPP04049658	MDPTPP04049675	STESAC0073543
5/9/2002	CHECK	79,800	79,800	-	-	-	15,057,420	MDPTPP04049658	MDPTPP04049675	STESAC0073555
5/10/2002	CHECK WIRE	(66.167)	-	(66.167)	-	-	14,991,253	MDPTPP04049658	MDPTPP04049675	STESAC0073559
5/15/2002	CXL CHECK 5/1/02	66.167	-	66.167	-	-	15,057,420	MDPTPP04049658	MDPTPP04049675	STESAC0073559
6/3/2002	CHECK	(66.167)	-	(66.167)	-	-	14,991,253	MDPTPP04049678	MDPTPP04049684	STESAC0068940
6/20/2002	CHECK	(500,000)	-	(500,000)	-	-	14,491,253	MDPTPP04049678	MDPTPP04049684	STESAC0068940
8/24/2002	CHECK	(500,000)	-	(500,000)	-	-	13,991,253	MDPTPP04049686	MDPTPP04049699	STESAC0068940
7/1/2002	CHECK	(66.167)	-	(66.167)	-	-	13,925,086	MDPTPP04049686	MDPTPP04049699	STESAC0068940
7/1/2002	CHECK	(39,750)	-	(39,750)	-	-	13,885,336	MDPTPP04049686	MDPTPP04049699	STESAC0068940
8/1/2002	CHECK	(66.167)	-	(66.167)	-	-	13,819,169	MDPTPP04049702	MDPTPP04049715	STESAC0066385
8/28/2002	CHECK	750,000	750,000	-	-	-	14,569,169	MDPTPP04049702	MDPTPP04049715	STESAC0066405
9/3/2002	CHECK	(66.167)	-	(66.167)	-	-	14,503,002	MDPTPP04049718	MDPTPP04049722	STESAC0040861
9/27/2002	CHECK	(400,000)	-	(400,000)	-	-	14,103,002	MDPTPP04049718	MDPTPP04049722	STESAC0040863
10/1/2002	CHECK	(66.167)	-	(66.167)	-	-	14,036,835	MDPTPP04049724	MDPTPP04049735	STESAC0038576
10/1/2002	CHECK	(39,750)	-	(39,750)	-	-	13,997,085	MDPTPP04049724	MDPTPP04049735	STESAC0038576
10/1/2002	CHECK	(66.167)	-	(66.167)	-	-	13,930,918	MDPTPP04049738	MDPTPP04049749	STESAC0038576
12/2/2002	CHECK	(66.167)	-	(66.167)	-	-	13,864,751	MDPTPP04049751	MDPTPP04049755	STESAC0034775
12/5/2002	CHECK	(1,000,000)	-	(1,000,000)	-	-	12,864,751	MDPTPP04049751	MDPTPP04049755	STESAD0066467
12/20/2002	CHECK	(380,000)	-	(380,000)	-	-	12,484,751	MDPTPP04049751	MDPTPP04049755	STESAD0066469
12/27/2002	CHECK	(1,700,000)	-	(1,700,000)	-	-	10,784,751	MDPTPP04049751	MDPTPP04049755	STESAD0066469
1/2/2003	CHECK	(39,750)	-	(39,750)	-	-	10,745,001	MDPTPP04049757	MDPTPP04049762	STESAC0115346
1/2/2003	CHECK	(66.167)	-	(66.167)	-	-	10,678,834	MDPTPP04049757	MDPTPP04049762	STESAC0115346
2/3/2003	CHECK	(66.167)	-	(66.167)	-	-	10,612,667	MDPTPP04049764	MDPTPP04049769	STESAC0117711
2/13/2003	CHECK	75,000	75,000	-	-	-	10,687,667	MDPTPP04049764	MDPTPP04049769	STESAC0117711
3/3/2003	CHECK	(66.167)	-	(66.167)	-	-	10,621,500	MDPTPP04049771	MDPTPP04049778	STESAC0109763
3/5/2003	RETURNED CHECK	66.167	-	66.167	-	-	10,687,667	MDPTPP04049771	MDPTPP04049778	STESAC0109763
4/1/2003	CHECK	(39,750)	-	(39,750)	-	-	10,647,917	MDPTPP04049780	MDPTPP04049782	STESAC0112298
4/7/2003	CHECK	(66.167)	-	(66.167)	-	-	10,581,750	MDPTPP04049780	MDPTPP04049782	STESAC0112298
4/8/2003	CHECK	(60,000)	-	(60,000)	-	-	10,521,750	MDPTPP04049780	MDPTPP04049782	STESAC0112298

BLMIS ACCOUNT NO. 1KW260 - FRED WILPON FAMILY TRUST

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
5/1/2003	CHECK	(66,167)	-	(66,167)	-	-	5,955,583	MDPTPP04049784	MDPTPP04049789	STESAD0023817
6/2/2003	CHECK	(66,167)	-	(66,167)	-	-	5,889,416	MDPTPP04049791	MDPTPP04049795	STESAD0026479
6/23/2003	CHECK	(170,000)	-	(170,000)	-	-	5,719,416	MDPTPP04049791	MDPTPP04049795	STESAD0026479
7/1/2003	CHECK	(39,750)	-	(39,750)	-	-	5,679,666	MDPTPP04049797	MDPTPP04049803	STESAC0002255
7/1/2003	CHECK	(66,167)	-	(66,167)	-	-	5,613,499	MDPTPP04049797	MDPTPP04049803	STESAC0002255
8/1/2003	CHECK	(66,167)	-	(66,167)	-	-	5,547,331	MDPTPP04049806	MDPTPP04049810	STESAD0068904
9/2/2003	CHECK	(66,167)	-	(66,167)	-	-	5,481,165	MDPTPP04049812	MDPTPP04049818	STESAD0071853
10/1/2003	CHECK	(39,750)	-	(39,750)	-	-	5,441,415	MDPTPP04049820	MDPTPP04049825	STESAD0001666
10/1/2003	CHECK	(66,167)	-	(66,167)	-	-	5,375,248	MDPTPP04049820	MDPTPP04049825	STESAD0001666
11/3/2003	CHECK	(66,167)	-	(66,167)	-	-	5,309,081	MDPTPP04049827	MDPTPP04049831	STESAD0004363
12/1/2003	CHECK	(100,000)	-	(100,000)	-	-	5,209,081	MDPTPP04049833	MDPTPP04049836	STESAC0021425
12/3/2003	CHECK	(727,000)	-	(727,000)	-	-	4,482,081	MDPTPP04049833	MDPTPP04049836	STESAC0021425
1/2/2004	CHECK	(39,750)	-	(39,750)	-	-	4,442,331	MDPTPP04049838	MDPTPP04049845	STESAC0021425
1/2/2004	CHECK	(100,000)	-	(100,000)	-	-	4,342,331	MDPTPP04049838	MDPTPP04049845	STESAC0021425
1/12/2004	CHECK	1,090,000	1,090,000	-	-	-	5,432,331	MDPTPP04049838	MDPTPP04049845	STESAC0021425
2/2/2004	CHECK	(100,000)	-	(100,000)	-	-	5,332,331	MDPTPP04049848	MDPTPP04049852	STESAC0021425
3/1/2004	CHECK	(100,000)	-	(100,000)	-	-	5,232,331	MDPTPP04049854	MDPTPP04049857	STESAC0021425
3/25/2004	CHECK	(1,500,000)	-	(1,500,000)	-	-	3,732,331	MDPTPP04049854	MDPTPP04049857	STESAC0021425
4/1/2004	CHECK	(39,750)	-	(39,750)	-	-	3,692,581	MDPTPP04049859	MDPTPP04049865	STESAC0021425
4/1/2004	CHECK	(100,000)	-	(100,000)	-	-	3,592,581	MDPTPP04049859	MDPTPP04049865	STESAC0021425
5/3/2004	CHECK	(100,000)	-	(100,000)	-	-	3,492,581	MDPTPP04049868	MDPTPP04049872	STESAC0021425
6/1/2004	CHECK	(100,000)	-	(100,000)	-	-	3,392,581	MDPTPP04049874	MDPTPP04049881	STESAC0021425
7/1/2004	CHECK	(39,750)	-	(39,750)	-	-	3,352,831	MDPTPP04049883	MDPTPP04049885	STESAC0021425
7/1/2004	CHECK	(100,000)	-	(100,000)	-	-	3,252,831	MDPTPP04049883	MDPTPP04049885	STESAC0021425
7/28/2004	CHECK	200,000	200,000	-	-	-	3,452,831	MDPTPP04049885	MDPTPP04049885	STESAC0021425
8/2/2004	CHECK	(100,000)	-	(100,000)	-	-	3,352,831	MDPTPP04049887	MDPTPP04049892	STESAC0021425
9/1/2004	CHECK	(100,000)	-	(100,000)	-	-	3,252,831	MDPTPP04049894	MDPTPP04049898	STESAC0021425
10/1/2004	CHECK	(100,000)	-	(100,000)	-	-	3,152,831	MDPTPP04049900	MDPTPP04049902	STESAC0021425
10/1/2004	CHECK	(39,750)	-	(39,750)	-	-	3,113,081	MDPTPP04049900	MDPTPP04049902	STESAC0021425
11/1/2004	CHECK	(100,000)	-	(100,000)	-	-	3,013,081	MDPTPP04049904	MDPTPP04049909	STESAC0021425
12/1/2004	CHECK	(100,000)	-	(100,000)	-	-	2,913,081	MDPTPP04049911	MDPTPP04049916	STESAF0096689
12/20/2004	CHECK	200,000	200,000	-	-	-	3,113,081	MDPTPP04049911	MDPTPP04049916	STESAF0096693
1/3/2005	CHECK	(39,750)	-	(39,750)	-	-	3,073,331	MDPTPP04049918	MDPTPP04049923	STESAC0014167
1/3/2005	CHECK	(100,000)	-	(100,000)	-	-	2,973,331	MDPTPP04049918	MDPTPP04049923	STESAC0014167
2/1/2005	CHECK	(100,000)	-	(100,000)	-	-	2,873,331	MDPTPP04049925	MDPTPP04049928	STESAC0011966
3/1/2005	CHECK	(100,000)	-	(100,000)	-	-	2,773,331	MDPTPP04049930	MDPTPP04049934	STESAC0011793
4/1/2005	CHECK	(39,750)	-	(39,750)	-	-	2,733,581	MDPTPP04049936	MDPTPP04049938	STESAC00131071
7/1/2005	CHECK	(39,750)	-	(39,750)	-	-	2,693,831	MDPTPP04049953	MDPTPP04049955	STESAC0008364
9/29/2005	CHECK WIRE	(3,836,066)	-	(3,836,066)	-	-	(1,142,235)	MDPTPP04049960	MDPTPP04049966	STESAC0008364
9/29/2005	CHECK WIRE	(5,017,279)	-	(5,017,279)	-	-	(6,159,514)	MDPTPP04049960	MDPTPP04049966	STESAC0008364
4/21/2006	TRANS FROM 1KW40830 / 1KW408	4,383	-	-	-	-	(6,159,514)	MDPTPP04049982	MDPTPP04049984	STESAD00110707
3/30/2007	CHECK	3,700,000	3,700,000	-	-	-	(2,459,514)	MDPTPP04050005	MDPTPP04050006	STESAG0008487
11/8/2007	CHECK	2,900,000	2,900,000	-	-	-	440,486	MDPTPP04050042	MDPTPP04050047	STESAF0040557
11/13/2007	CHECK	238,000	238,000	-	-	-	678,486	MDPTPP04050042	MDPTPP04050047	STESAF0040557
	<b>Total:</b>		\$ 30,605,740	\$ (31,115,544)	\$ 1,929,290	\$ (741,000)	\$ 678,486			

<sup>1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW263 - MARVIN B. TEPPER

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bal.	BLMIS SOURCE DOCUMENT: End Bal.	DEFENDANT'S PRODUCTION Bates Ref <sup>d</sup>
7/21/1999	TRANS FROM IKW16130 (IKW161)	268,920 <sup>(1)</sup>	-	-	-	-	-	MDPTPP04053735	MDPTPP04053736	STESAD0029396
8/30/1999	CHECK	40,000	40,000	-	-	-	40,000	MDPTPP04053737	MDPTPP04053741	STESAD0031568
9/14/1999	CHECK	(65,000)	-	(65,000)	-	-	(25,000)	MDPTPP04053743	MDPTPP04053746	STESAD0033287
10/28/1999	TRANS FROM IKW22130 (IKW221)	51,000	-	-	51,000	-	26,000	MDPTPP04053748	MDPTPP04053752	
10/28/1999	TRANS FROM IKW15130 (IKW151)	216,289	-	-	216,289	-	284,289	MDPTPP04053748	MDPTPP04053752	
10/28/1999	TRANS FROM IKW15630 (IKW156)	42,000	-	-	42,000	-	284,289	MDPTPP04053748	MDPTPP04053752	
10/28/1999	TRANS FROM IKW16130 (IKW161)	44,820 <sup>(1)</sup>	-	-	-	-	284,289	MDPTPP04053748	MDPTPP04053752	
11/1/1999	TRANS FROM IKW18030 (IKW180)	72,097 <sup>(1)</sup>	-	-	-	-	284,289	MDPTPP04053754	MDPTPP04053759	STESAD0034617
11/5/1999	CHECK	(268,800)	-	(268,800)	-	-	15,489	MDPTPP04053759	MDPTPP04053759	STESAD0034617
12/1/1999	CHECK	(190,000)	-	(190,000)	-	-	(174,511)	MDPTPP04053761	MDPTPP04053763	STESAF0075417
12/17/1999	CHECK	100,000	100,000	-	-	-	(74,511)	MDPTPP04053761	MDPTPP04053763	STESAF0075417
12/21/1999	CHECK	(15,000)	-	(15,000)	-	-	(89,511)	MDPTPP04053761	MDPTPP04053763	STESAF0075417
1/12/2000	CHECK	(35,000)	-	(35,000)	-	-	(124,511)	MDPTPP04053765	MDPTPP04053769	STESAF0077594
2/24/2000	TRANS FROM IKW17530 (IKW175)	552,500	-	-	552,500	-	427,989	MDPTPP04053771	MDPTPP04053774	STESAF0079405
2/29/2000	CHECK	(21,000)	-	(21,000)	-	-	406,989	MDPTPP04053771	MDPTPP04053774	STESAF0079407
3/1/2000	CHECK	30,000	30,000	-	-	-	436,989	MDPTPP04053776	MDPTPP04053782	
3/23/2000	CHECK	104,540	104,540	-	-	-	541,529	MDPTPP04053776	MDPTPP04053782	
4/12/2000	CHECK	(606,000)	-	(606,000)	-	-	(64,471)	MDPTPP04053784	MDPTPP04053786	STESAF0073878
4/18/2000	CHECK	(79,099)	-	(79,099)	-	-	(143,570)	MDPTPP04053784	MDPTPP04053786	STESAF0073878
4/24/2000	CHECK	(27,036)	-	(27,036)	-	-	(170,606)	MDPTPP04053784	MDPTPP04053786	STESAF0073878
4/28/2000	CHECK	(91,687)	-	(91,687)	-	-	(262,293)	MDPTPP04053784	MDPTPP04053786	STESAF0073878
6/7/2000	CHECK	(100,000)	-	(100,000)	-	-	(362,293)	MDPTPP04053795	MDPTPP04053798	STESAF0062436
6/8/2000	TRANS FROM IKW16130 (IKW161)	73,760 <sup>(1)</sup>	-	-	-	-	(362,293)	MDPTPP04053795	MDPTPP04053798	STESAF0062436
6/8/2000	TRANS FROM IKW15630 (IKW156)	73,760	-	-	73,760	-	(288,533)	MDPTPP04053795	MDPTPP04053798	STESAF0062436
7/3/2000	CHECK	(83,100)	-	(83,100)	-	-	(371,633)	MDPTPP04053795	MDPTPP04053798	STESAF0062436
7/3/2000	CHECK	(25,000)	-	(25,000)	-	-	(396,633)	MDPTPP04053800	MDPTPP04053801	STESAF0066645
7/12/2000	CHECK	(61,800)	-	(61,800)	-	-	(458,433)	MDPTPP04053800	MDPTPP04053801	STESAF0066645
9/27/2000	CHECK	1,205,150	1,205,150	-	-	-	746,717	MDPTPP04053806	MDPTPP04053808	
10/3/2000	CHECK	154,700	154,700	-	-	-	901,417	MDPTPP04053810	MDPTPP04053812	STESAC0095954
10/25/2000	TRANS FROM IKW29730 (IKW297)	147,380 <sup>(1)</sup>	-	-	-	-	901,417	MDPTPP04053810	MDPTPP04053812	STESAC0095954
11/1/2000	CHECK	(1,208,561)	-	(1,208,561)	-	-	(307,144)	MDPTPP04053814	MDPTPP04053819	STESAC0098401
11/13/2000	CHECK	583,000	583,000	-	-	-	275,856	MDPTPP04053814	MDPTPP04053819	STESAC0098401
12/14/2000	CHECK	(190,000)	-	(190,000)	-	-	85,856	MDPTPP04053822	MDPTPP04053827	STESAC0098405
12/18/2000	CHECK	(250,000)	-	(250,000)	-	-	(164,144)	MDPTPP04053822	MDPTPP04053827	
12/19/2000	TRANS FROM IKW29730 (IKW297)	459 <sup>(1)</sup>	-	-	-	-	(164,144)	MDPTPP04053822	MDPTPP04053827	
12/22/2000	CXL CHECK 12/18/00	250,000	-	250,000	-	-	85,856	MDPTPP04053822	MDPTPP04053827	
1/1/2001	CHECK	(168,000)	-	(168,000)	-	-	(82,144)	MDPTPP04053829	MDPTPP04053834	
2/7/2001	CHECK	(60,000)	-	(60,000)	-	-	(142,144)	MDPTPP04053836	MDPTPP04053839	
4/16/2001	CHECK	(100,000)	-	(100,000)	-	-	(242,144)	MDPTPP04053848	MDPTPP04053851	STESAF0109685
5/4/2001	CHECK	20,000	20,000	-	-	-	(222,144)	MDPTPP04053853	MDPTPP04053855	
6/13/2001	CHECK	(110,000)	-	(110,000)	-	-	(332,144)	MDPTPP04053857	MDPTPP04053861	
6/15/2001	CHECK	(67,500)	-	(67,500)	-	-	(399,644)	MDPTPP04053857	MDPTPP04053861	
7/3/2001	CHECK	42,000	42,000	-	-	-	(357,644)	MDPTPP04053863	MDPTPP04053867	
8/2/2001	CHECK	28,900	28,900	-	-	-	(328,744)	MDPTPP04053869	MDPTPP04053874	
9/18/2001	CHECK	(76,000)	-	(76,000)	-	-	(404,744)	MDPTPP04053876	MDPTPP04053879	
12/7/2001	CHECK	(104,900)	-	(104,900)	-	-	(509,644)	MDPTPP04053892	MDPTPP04053894	STESAD0079062
1/28/2002	CHECK	198,600	198,600	-	-	-	(311,044)	MDPTPP04053896	MDPTPP04053899	STESAD0048108
1/11/2002	CHECK	426,300	426,300	-	-	-	115,256	MDPTPP04053896	MDPTPP04053901	STESAD0048108
1/11/2002	CHECK	100,000	100,000	-	-	-	215,256	MDPTPP04053896	MDPTPP04053901	STESAD0048108
1/17/2002	CHECK	44,000	44,000	-	-	-	259,256	MDPTPP04053896	MDPTPP04053901	STESAD0048112
2/15/2002	CHECK	200,000	200,000	-	-	-	459,256	MDPTPP04053903	MDPTPP04053907	STESAD0050723
2/21/2002	TRANS TO IKW35830 (IKW358)	(837,825) <sup>(2)</sup>	-	-	(459,256)	-	-	MDPTPP04053907	MDPTPP04053907	STESAD0050727
4/15/2002	CHECK	(300,000)	-	(300,000)	-	-	(300,000)	MDPTPP04053917	MDPTPP04053921	STESAD0053496
6/13/2002	CHECK	(14,200)	-	(14,200)	-	-	(314,200)	MDPTPP04053930	MDPTPP04053933	STESAC0068980
12/16/2002	CHECK	200,000	200,000	-	-	-	(114,200)	MDPTPP04053962	MDPTPP04053964	STESAD0064677
3/14/2003	CHECK	(260,000)	-	(260,000)	-	-	(374,200)	MDPTPP04053978	MDPTPP04053981	STESAC0109817
8/19/2003	TRANS FROM IKW36630 (IKW366)	30 <sup>(1)</sup>	-	-	-	-	(374,200)	MDPTPP04053995	MDPTPP04053996	STESAD0068940

BLMIS ACCOUNT NO. IKW263 - MARVIN B TEPPER

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Dates	BLMIS SOURCE DOCUMENT: End Dates	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
8/28/2003	CHECK	95,000	95,000	-	-	-	(279,200)	MDPTPP04053995	MDPTPP04053996	STESAD0068940
10/3/2003	TRANS TO IKW32230 (IKW322)	(95,000) <sup>[1]</sup>	-	-	-	-	(279,200)	MDPTPP04054005	MDPTPP04054007	STESAD0001708
3/4/2004	CHECK	150,000	150,000	-	-	-	(129,200)	MDPTPP04054017	MDPTPP04054017	
5/26/2004	TRANS TO IKW41230 (IKW412)	(1,350,000) <sup>[1]</sup>	-	-	-	-	(129,200)	MDPTPP04054026	MDPTPP04054030	
7/13/2005	CHECK	1,350,000	1,350,000	-	-	-	1,220,800	MDPTPP04054106	MDPTPP04054108	STESAC0008389
12/16/2005	CHECK	(100,000)	-	(100,000)	-	-	1,120,800	MDPTPP04054133	MDPTPP04054138	STESAC00085092
4/3/2006	CHECK	(150,000)	-	(150,000)	-	-	970,800	MDPTPP04054160	MDPTPP04054166	
7/3/2006	CHECK	(40,000)	-	(40,000)	-	-	930,800	MDPTPP04054180	MDPTPP04054186	
8/1/2006	CHECK	(40,000)	-	(40,000)	-	-	890,800	MDPTPP04054188	MDPTPP04054191	
9/1/2006	CHECK	(40,000)	-	(40,000)	-	-	850,800	MDPTPP04054193	MDPTPP04054197	STESAD0101499
10/2/2006	CHECK	(40,000)	-	(40,000)	-	-	810,800	MDPTPP04054199	MDPTPP04054204	STESAD0101346
11/1/2006	CHECK	(40,000)	-	(40,000)	-	-	770,800	MDPTPP04054206	MDPTPP04054210	STESAD0092995
12/1/2006	CHECK	(40,000)	-	(40,000)	-	-	730,800	MDPTPP04054212	MDPTPP04054217	
12/2/2007	CHECK	(40,000)	-	(40,000)	-	-	690,800	MDPTPP04054219	MDPTPP04054222	
1/17/2007	TRANS TO IKW44730 (IKW447)	(500,000)	-	-	-	(500,000)	190,800	MDPTPP04054219	MDPTPP04054222	
2/1/2007	CHECK	(40,000)	-	(40,000)	-	-	150,800	MDPTPP04054223	MDPTPP04054229	STESAF0046080
3/1/2007	CHECK	(40,000)	-	(40,000)	-	-	110,800	MDPTPP04054231	MDPTPP04054235	STESAG0008519
4/2/2007	CHECK	(40,000)	-	(40,000)	-	-	70,800	MDPTPP04054238	MDPTPP04054243	STESAG0011843
5/1/2007	CHECK	(40,000)	-	(40,000)	-	-	30,800	MDPTPP04054245	MDPTPP04054248	STESAF0053347
6/1/2007	CHECK	(40,000)	-	(40,000)	-	-	(9,200)	MDPTPP04054250	MDPTPP04054254	STESAF0027826
7/2/2007	CHECK	(40,000)	-	(40,000)	-	-	(49,200)	MDPTPP04054256	MDPTPP04054259	STESAF0030401
7/5/2007	CHECK	1,500,000	1,500,000	-	-	-	1,450,800	MDPTPP04054256	MDPTPP04054259	STESAF0030401
8/1/2007	CHECK	(40,000)	-	(40,000)	-	-	1,410,800	MDPTPP04054261	MDPTPP04054262	
9/4/2007	CHECK	(40,000)	-	(40,000)	-	-	1,370,800	MDPTPP04054264	MDPTPP04054269	
10/1/2007	CHECK	(40,000)	-	(40,000)	-	-	1,330,800	MDPTPP04054271	MDPTPP04054273	
12/3/2007	CHECK	(40,000)	-	(40,000)	-	-	1,290,800	MDPTPP04054275	MDPTPP04054279	
1/2/2008	CHECK	(40,000)	-	(40,000)	-	-	1,250,800	MDPTPP04054281	MDPTPP04054285	STESAF0040602
1/10/2008	CHECK	(330,000)	-	(330,000)	-	-	1,210,800	MDPTPP04054287	MDPTPP04054289	STESAF0038947
2/1/2008	CHECK	(40,000)	-	(40,000)	-	-	880,800	MDPTPP04054289	MDPTPP04054289	STESAF0000443
3/3/2008	CHECK	(40,000)	-	(40,000)	-	-	840,800	MDPTPP04054290	MDPTPP04054291	STESAF0001512
4/1/2008	CHECK	(40,000)	-	(40,000)	-	-	800,800	MDPTPP04054292	MDPTPP04054294	STESAF0002535
5/1/2008	CHECK	(40,000)	-	(40,000)	-	-	760,800	MDPTPP04054295	MDPTPP04054300	STESAF0004471
6/2/2008	CHECK	(40,000)	-	(40,000)	-	-	720,800	MDPTPP04054302	MDPTPP04054306	STESAF0007321
7/1/2008	CHECK	(40,000)	-	(40,000)	-	-	680,800	MDPTPP04054308	MDPTPP04054310	STESAF0009671
8/1/2008	CHECK	(40,000)	-	(40,000)	-	-	640,800	MDPTPP04054312	MDPTPP04054313	STESAF0011236
8/1/2008	CHECK	(40,000)	-	(40,000)	-	-	600,800	MDPTPP04054315	MDPTPP04054319	
9/2/2008	CHECK	(40,000)	-	(40,000)	-	-	560,800	MDPTPP04054321	MDPTPP04054325	STESAF0015912
10/1/2008	CHECK	(40,000)	-	(40,000)	-	-	520,800	MDPTPP04054327	MDPTPP04054329	STESAF0018197
11/3/2008	CHECK	(40,000)	-	(40,000)	-	-	480,800	MDPTPP04054331	MDPTPP04054336	STESAF0020313
12/1/2008	CHECK	(40,000)	-	(40,000)	-	-	440,800	Checkbook	Checkbook	
	<b>Total:</b>		<b>\$ 6,572,190</b>	<b>\$ (6,107,683)</b>	<b>\$ 935,549</b>	<b>\$ (959,256)</b>	<b>\$ 440,800</b>			

[1] Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

[2] Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

[3] Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

[4] Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BELMIS ACCOUNT NO. 1KW269 - PHYLLIS REBELL OSTERMAN

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bates.	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>11</sup>
10/6/1999	CHECK	216,000	216,000	-	-	-	216,000	MDFTPP04058165	MDFTPP04058167	STESAD0037473
5/2/2001	CHECK	50,000	50,000	-	-	-	266,000	MDFTPP04058272	MDFTPP04058274	STESAD0053562
4/11/2002	CHECK	150,000	150,000	-	-	-	416,000	MDFTPP04058335	MDFTPP04058340	STESAC0069035
6/24/2002	CHECK	(100,000)	-	(100,000)	-	-	316,000	MDFTPP04058349	MDFTPP04058353	STESAC0115444
1/2/2003	CHECK	(55,000)	-	(55,000)	-	-	261,000	MDFTPP04058389	MDFTPP04058394	STESAD0026561
6/25/2003	CHECK	(200,000)	-	(200,000)	-	-	61,000	MDFTPP04058419	MDFTPP04058423	STESAC0021407
12/11/2003	CHECK	30,000	30,000	-	-	-	91,000	MDFTPP04058458	MDFTPP04058461	STESAC0085134
12/9/2004	CHECK	80,000	80,000	-	-	-	171,000	MDFTPP04058528	MDFTPP04058533	STESAF0040638
12/10/2004	CHECK	(40,000)	-	(40,000)	-	-	131,000	MDFTPP04058528	MDFTPP04058533	
12/16/2005	CHECK	(88,000)	-	(88,000)	-	-	43,000	MDFTPP04058596	MDFTPP04058601	
11/7/2007	CHECK	(150,000)	-	(150,000)	-	-	(107,000)	MDFTPP04058731	MDFTPP04058735	
	<b>Total:</b>		<b>\$ 526,000</b>	<b>\$ (633,000)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (107,000)</b>			

<sup>11</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW272 - IRIS J KATZ - O STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>3)</sup>
12/6/1999	TRANS FROM 1KW15430 (1KW154)	254,000 <sup>(1)</sup>	-	-	-	-	-	MDPTPP04062603	MDPTPP04062605	STESAF0075487, STESAF0075493
12/21/1999	CHECK	(2,900)	-	(2,900)	-	-	(2,900)	MDPTPP04062603	MDPTPP04062605	STESAF0075487, STESAF0075493
12/23/1999	CHECK	(107,500)	-	(107,500)	-	-	(110,400)	MDPTPP04062603	MDPTPP04062605	STESAF0075487, STESAF0075493
6/21/2000	CHECK	(50,000)	-	(50,000)	-	-	(160,400)	MDPTPP04062635	MDPTPP04062637	STESAF0062510, STESAF0062518
9/7/2000	CHECK	(750)	-	(750)	-	-	(161,150)	MDPTPP04062650	MDPTPP04062655	STESAF0069338, STESAF0069352
4/5/2001	CHECK	(40,000)	-	(40,000)	-	-	(201,150)	MDPTPP04062695	MDPTPP04062698	STESAF0109767
5/25/2001	TRANS TO 1KW01430 (1KW014)	(3,696) <sup>(2)</sup>	-	-	-	-	(201,150)	MDPTPP04062700	MDPTPP04062702	
5/29/2001	CHECK	(20,000)	-	(20,000)	-	-	(221,150)	MDPTPP04062700	MDPTPP04062702	
8/13/2001	CHECK	(20,000)	-	(20,000)	-	-	(241,150)	MDPTPP04062710	MDPTPP04062711	
12/28/2001	CHECK	(31,219)	-	(31,219)	-	-	(272,369)	MDPTPP04062722	MDPTPP04062723	STESAD0079126
	<b>Total:</b>		\$ -	\$ (272,369)	\$ -	\$ -	\$ (272,369)			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW273 - JUDITH A WILPON - O STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
12/6/1999	TRANS FROM 1KW15530 (1KW155)	254,000 <sup>(1)</sup>	-	-	-	-	-	MDPTPP04062869	MDPTPP04062871	STESAF0075499, STESAF0075505
12/21/1999	CHECK	(2,900)	-	(2,900)	-	-	(2,900)	MDPTPP04062869	MDPTPP04062871	STESAF0075499, STESAF0075505
12/23/1999	CHECK	(107,500)	-	(107,500)	-	-	(110,400)	MDPTPP04062869	MDPTPP04062871	STESAF0075499, STESAF0075505
6/21/2000	CHECK	(40,000)	-	(40,000)	-	-	(150,400)	MDPTPP04062901	MDPTPP04062903	STESAF00662526
7/3/2000	CHECK	(70,000)	-	(70,000)	-	-	(220,400)	MDPTPP04062905	MDPTPP04062909	STESAF0066777, STESAF0066789
7/12/2000	CHECK RETURNED	70,000	-	70,000	-	-	(150,400)	MDPTPP04062905	MDPTPP04062909	STESAF0066777, STESAF0066789
7/12/2000	CHECK	(10,000)	-	(10,000)	-	-	(160,400)	MDPTPP04062905	MDPTPP04062909	STESAF0066777, STESAF0066789
9/7/2000	CHECK	(750)	-	(750)	-	-	(161,150)	MDPTPP04062916	MDPTPP04062921	STESAF0069366, STESAF0069380
12/18/2000	CHECK	(101,350)	-	(101,350)	-	-	(262,500)	MDPTPP04062936	MDPTPP04062939	
1/16/2001	CHECK	(7,083)	-	(7,083)	-	-	(269,583)	MDPTPP04062941	MDPTPP04062942	
2/28/2001	CHECK	(20)	-	(20)	-	-	(269,603)	MDPTPP04062943	MDPTPP04062944	
	<b>Total:</b>		<b>\$ -</b>	<b>\$ (269,603)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (269,603)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.



BLMIS ACCOUNT NO. 1KW278 - SAUL B KATZ JI

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref
2/8/2000	CHECK	431,304	431,304	-	-	-	431,304	MDFTPP04066225	MDFTPP04066227	STESAF0079569
6/25/2003	CHECK	(643,373)	-	(643,373)	-	-	(212,069)	MDFTPP04066455	MDFTPP04066458	STESAD0026645
8/19/2003	TRANS TO 1KW02430 (1KW024)	(786) <sup>(1)</sup>	-	-	-	-	(212,069)	MDFTPP04066463	MDFTPP04066464	STESAD0069066
	<b>Total:</b>		<b>\$ 431,304</b>	<b>\$ (643,373)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (212,069)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

BLMIS ACCOUNT NO. 1KW279 - STERLING BRUNSWICK CORP

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
3/21/2000	CHECK WIRE	1,362,500	1,362,500	-	-	-	1,362,500	MDPTPP04066487	MDPTPP04066487	STESAF0072493
5/4/2001	CHECK	(180,000)	-	(180,000)	-	-	1,182,500	MDPTPP04066564	MDPTPP04066566	
10/11/2001	CHECK	(70,000)	-	(70,000)	-	-	1,112,500	MDPTPP04066591	MDPTPP04066595	STESAC0033906
4/12/2002	CHECK	(110,000)	-	(110,000)	-	-	1,002,500	MDPTPP04066626	MDPTPP04066631	STESAD0053674
11/14/2002	CHECK	(125,000)	-	(125,000)	-	-	877,500	MDPTPP04066669	MDPTPP04066672	STESAC0034943
6/24/2004	TRANS TO 1KW24730 (1KW247)	(1,550,000) <sup>(1)</sup>	-	-	-	(877,500)	-	MDPTPP04066783	MDPTPP04066789	
10/12/2004	CHECK	1,550,000	1,550,000	-	-	-	1,550,000	MDPTPP04066800	MDPTPP04066802	
3/23/2005	TRANS TO 1KW42030 (1KW420)	(1,662,430) <sup>(1)</sup>	-	-	-	(1,550,000)	-	MDPTPP04066829	MDPTPP04066833	STESAC0134778
12/7/2005	CHECK	(3,020)	-	(3,020)	-	-	(3,020)	MDPTPP04066859	MDPTPP04066860	STESAC0085214
	<b>Total:</b>		<b>\$ 2,912,500</b>	<b>\$ (488,020)</b>	<b>\$ -</b>	<b>\$ (2,427,500)</b>	<b>\$ (3,020)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW287 - STERLING HERITAGE LLC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>11</sup>
5/19/2000	CHECK	565,000	565,000	-	-	-	565,000	MDFTPP04071079	MDFTPP04071081	STESAF0061005
7/3/2000	CHECK	(25,000)	-	(25,000)	-	-	540,000	MDFTPP04071085	MDFTPP04071089	STESAF0066963
10/2/2000	CHECK	(25,000)	-	(25,000)	-	-	515,000	MDFTPP04071103	MDFTPP04071107	STESAC0009356
10/10/2000	CXL CHECK 10/2/00	25,000	-	25,000	-	-	540,000	MDFTPP04071103	MDFTPP04071107	STESAC0009356
1/2/2001	CHECK	(25,000)	-	(25,000)	-	-	515,000	MDFTPP04071123	MDFTPP04071128	STESAF0109907
4/2/2001	CHECK	(25,000)	-	(25,000)	-	-	490,000	MDFTPP04071142	MDFTPP04071145	STESAF0109907
7/2/2001	CHECK	(25,000)	-	(25,000)	-	-	465,000	MDFTPP04071158	MDFTPP04071162	STESAF0109907
7/25/2001	CHECK	(370,000)	-	(370,000)	-	-	95,000	MDFTPP04071158	MDFTPP04071162	STESAF0109907
7/30/2001	CXL CHECK 7/25/01	370,000	-	370,000	-	-	465,000	MDFTPP04071158	MDFTPP04071162	STESAF0109907
7/31/2001	CHECK	(370,000)	-	(370,000)	-	-	95,000	MDFTPP04071158	MDFTPP04071162	STESAF0109907
10/1/2001	CHECK	(25,000)	-	(25,000)	-	-	70,000	MDFTPP04071176	MDFTPP04071179	STESAC0033972
11/6/2001	CHECK	(100,000)	-	(100,000)	-	-	(30,000)	MDFTPP04071181	MDFTPP04071184	STESAD0077257
1/2/2002	CHECK	(25,000)	-	(25,000)	-	-	(55,000)	MDFTPP04071190	MDFTPP04071191	STESAD0048338
4/1/2002	CHECK	(25,000)	-	(25,000)	-	-	(80,000)	MDFTPP04071196	MDFTPP04071197	STESAD0053732
4/5/2002	RETURNED CHECK	25,000	-	25,000	-	-	(55,000)	MDFTPP04071196	MDFTPP04071197	STESAD0053732
6/26/2003	CHECK	(24,324)	-	(24,324)	-	-	(79,324)	MDFTPP04071224	MDFTPP04071225	STESAD0053732
10/18/2007	CHECK	(1)	-	(1)	-	-	(79,325)	MDFTPP04071283	MDFTPP04071283	STESAD0026701
	<b>Total:</b>		<b>\$ 565,000</b>	<b>\$ (644,325)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (79,325)</b>			

<sup>11</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW288 - FRED WILPON TR

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
6/5/2000	TRANS FROM 1KW22130 (1KW221)	1,538,751 <sup>(1)</sup>	-	-	1,116,268	-	1,116,268	MDPTPP04071291	MDPTPP04071293	STESAF0062650
6/5/2000	TRANS FROM 1KW24530 (1KW245)	1,173,009 <sup>(1)</sup>	-	-	875,100	-	1,991,368	MDPTPP04071291	MDPTPP04071293	STESAF0062650
6/5/2000	TRANS FROM 1KW24330 (1KW243)	226,876 <sup>(1)</sup>	-	-	175,312	-	2,166,681	MDPTPP04071291	MDPTPP04071293	STESAF0062650
6/5/2000	TRANS FROM 1KW11430 (1KW114)	1,168,572 <sup>(1)</sup>	-	-	376,875	-	2,543,556	MDPTPP04071291	MDPTPP04071293	STESAF0062650
6/5/2000	TRANS FROM 1KW23930 (1KW239)	696,938 <sup>(1)</sup>	-	-	468,750	-	3,012,306	MDPTPP04071291	MDPTPP04071293	STESAF0062650
10/24/2000	CHECK	(4,083,768)	-	(4,083,768)	-	-	(1,071,462)	MDPTPP04071312	MDPTPP04071316	STESAC0093974
12/19/2000	TRANS TO 1KW06730 (1KW067)	(862,502) <sup>(2)</sup>	-	-	-	-	(1,071,462)	MDPTPP04071321	MDPTPP04071322	STESAC0093974
		(3,498) <sup>(3)</sup>	-	(4,083,768)	\$ 3,012,306	\$ -	(1,071,462)			
	<b>Total:</b>		\$ -	\$ (4,083,768)	\$ 3,012,306	\$ -	\$ (1,071,462)			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW289 - SAUL B KATZ TR

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
6/5/2000	TRANS FROM 1KW22130 (1KW221)	37,367 <sup>(1)</sup>	-	-	27,108	-	27,108	MDPTPP04071324	MDPTPP04071326	STESAF0062656
6/5/2000	TRANS FROM 1KW11430 (1KW114)	1,168,572 <sup>(1)</sup>	-	-	376,875	-	403,983	MDPTPP04071324	MDPTPP04071326	STESAF0062656
6/5/2000	TRANS FROM 1KW23930 (1KW239)	696,938 <sup>(1)</sup>	-	-	468,750	-	872,733	MDPTPP04071324	MDPTPP04071326	STESAF0062656
6/5/2000	TRANS FROM 1KW24330 (1KW243)	226,876 <sup>(1)</sup>	-	-	175,312	-	1,048,045	MDPTPP04071324	MDPTPP04071326	STESAF0062656
10/24/2000	CHECK	(1,764,019)	-	(1,764,019)	-	-	(715,974)	MDPTPP04071348	MDPTPP04071352	STESAC00093986
10/25/2000	TRANS TO 1KW02430 (1KW024)	(429,469) <sup>(2)</sup>	-	-	-	-	(715,974)	MDPTPP04071348	MDPTPP04071352	STESAC00093986
12/19/2000	TRANS TO 1KW02430 (1KW024)	(1,548) <sup>(2)</sup>	-	-	-	-	(715,974)	MDPTPP04071357	MDPTPP04071358	STESAF0016056
9/30/2008	CHECK	81,998	81,998	-	-	-	(633,976)	MDPTPP04071360	MDPTPP04071360	STESAF0016056
9/30/2008	CANCEL	(81,998)	(81,998)	-	-	-	(715,974)	MDPTPP04071360	MDPTPP04071360	STESAF0016056
	<b>Total:</b>		<b>\$ -</b>	<b>\$ (1,764,019)</b>	<b>\$ 1,048,045</b>	<b>\$ -</b>	<b>\$ (715,974)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW290 - RICHARD A WILPONT TR

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bates.	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
6/5/2000	TRANS FROM IKW23930 (IKW239)	278,775 <sup>(1)</sup>	-	-	187,500	-	187,500	MDPTPP04071361	MDPTPP04071363	STESAF0062662
6/5/2000	TRANS FROM IKW22130 (IKW221)	909,035 <sup>(1)</sup>	-	-	659,448	-	846,948	MDPTPP04071361	MDPTPP04071363	STESAF0062662
6/5/2000	TRANS FROM IKW24330 (IKW243)	35,750 <sup>(1)</sup>	-	-	27,625	-	874,573	MDPTPP04071361	MDPTPP04071363	STESAF0062662
6/5/2000	TRANS FROM IKW15130 (IKW151)	293,268 <sup>(1)</sup>	-	-	50,064	-	924,637	MDPTPP04071361	MDPTPP04071363	STESAF0062662
6/5/2000	TRANS FROM IKW11430 (IKW114)	467,429 <sup>(1)</sup>	-	-	150,750	-	1,075,387	MDPTPP04071361	MDPTPP04071363	STESAF0062662
10/24/2000	CHECK	(1,681,237)	-	(1,681,237)	-	-	(605,850)	MDPTPP04071385	MDPTPP04071389	STESAC0093998
12/19/2000	TRANS TO IKW08130 (IKW081)	(362,288) <sup>(2)</sup>	-	-	-	-	(605,850)	MDPTPP04071385	MDPTPP04071389	STESAC0093998
	TRANS TO IKW08130 (IKW081)	(1,434) <sup>(3)</sup>	-	-	-	-	(605,850)	MDPTPP04071394	MDPTPP04071395	
	<b>Total:</b>		\$ -	\$ (1,681,237)	\$ 1,075,387	\$ -	\$ (605,850)			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW291 - MICHAEL KATZ TR

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
6/5/2000	TRANS FROM IKW11430 (IKW114)	311,619 <sup>(1)</sup>	-	-	100,500	-	100,500	MDPTPP04071397	MDPTPP04071399	STESAF0062668
6/5/2000	TRANS FROM IKW24330 (IKW243)	27,500 <sup>(1)</sup>	-	-	21,250	-	121,750	MDPTPP04071397	MDPTPP04071399	STESAF0062668
6/5/2000	TRANS FROM IKW23930 (IKW239)	185,850 <sup>(1)</sup>	-	-	125,000	-	246,750	MDPTPP04071397	MDPTPP04071399	STESAF0062668
6/5/2000	TRANS FROM IKW22130 (IKW221)	701,954 <sup>(1)</sup>	-	-	509,224	-	755,974	MDPTPP04071397	MDPTPP04071399	STESAF0062668
6/5/2000	TRANS FROM IKW15130 (IKW151)	270,606 <sup>(1)</sup>	-	-	46,195	-	802,169	MDPTPP04071397	MDPTPP04071399	STESAF0062668
10/24/2000	CHECK	(1,265,353)	-	(1,265,353)	-	-	(463,184)	MDPTPP04071421	MDPTPP04071425	STESAC0094010
10/25/2000	TRANS TO IKW01930 (IKW019)	(277,062) <sup>(2)</sup>	-	-	-	-	(463,184)	MDPTPP04071421	MDPTPP04071425	STESAC0094010
12/19/2000	TRANS TO IKW02030 (IKW020)	(1,090) <sup>(2)</sup>	-	-	-	-	(463,184)	MDPTPP04071430	MDPTPP04071431	
	<b>Total:</b>		\$ -	\$ (1,265,353)	\$ 802,169	\$ -	\$ (463,184)			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW292 - L THOMAS OSTERMAN TR

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
6/5/2000	TRANS FROM IKW15130 (IKW151)	189,076 <sup>(1)</sup>	-	-	32,277	-	32,277	MDPTPP04071433	MDPTPP04071435	STESAF0062674
6/5/2000	TRANS FROM IKW24330 (IKW243)	11,000 <sup>(1)</sup>	-	-	8,500	-	40,777	MDPTPP04071433	MDPTPP04071435	STESAF0062674
6/5/2000	TRANS FROM IKW22130 (IKW221)	314,724 <sup>(1)</sup>	-	-	228,313	-	269,090	MDPTPP04071433	MDPTPP04071435	STESAF0062674
10/24/2000	CHECK	(430,755)	-	(430,755)	-	-	(161,665)	MDPTPP04071454	MDPTPP04071457	STESAC0094020
10/25/2000	TRANS TO IKW04430 (IKW044)	(98,818) <sup>(2)</sup>	-	-	-	-	(161,665)	MDPTPP04071454	MDPTPP04071457	STESAC0094020
12/19/2000	TRANS TO IKW04430 (IKW044)	(344) <sup>(2)</sup>	-	-	-	-	(161,665)	MDPTPP04071462	MDPTPP04071463	STESAC0094020
	<b>Total:</b>		<b>\$ -</b>	<b>\$ (430,755)</b>	<b>\$ 269,090</b>	<b>\$ -</b>	<b>\$ (161,665)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.



BLMIS ACCOUNT NO. 1KW293 - LEONARD J SCHRIER TR

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
6/5/2000	TRANS FROM 1KW15130 (1KW151)	176,470 <sup>(1)</sup>	-	-	30,125	-	30,125	MDFTPP04071465	MDFTPP04071467	STESAF0062680
6/5/2000	TRANS FROM 1KW24330 (1KW243)	22,000 <sup>(1)</sup>	-	-	17,000	-	47,125	MDFTPP04071465	MDFTPP04071467	STESAF0062680
6/5/2000	TRANS FROM 1KW22130 (1KW221)	431,821 <sup>(1)</sup>	-	-	313,259	-	360,384	MDFTPP04071465	MDFTPP04071467	STESAF0062680
10/24/2000	CHECK	(534,496)	-	(534,496)	-	-	(174,112)	MDFTPP04071489	MDFTPP04071492	STESAC0094030
10/25/2000	TRANS TO 1KW05230 (1KW052)	(114,815) <sup>(2)</sup>	-	-	-	-	(174,112)	MDFTPP04071489	MDFTPP04071492	STESAC0094030
12/19/2000	TRANS TO 1KW05230 (1KW052)	(459) <sup>(2)</sup>	-	-	-	-	(174,112)	MDFTPP04071497	MDFTPP04071498	STESAC0094030
	<b>Total:</b>		\$ -	\$ (534,496)	\$ 360,384	\$ -	\$ (174,112)			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW294 - ARTHUR FRIEDMAN TR

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
6/5/2000	TRANS FROM 1KW15130 (1KW151)	10,057 <sup>(1)</sup>	-	-	1,717	-	1,717	MDFTPP04071500	MDFTPP04071502	STESAF0062686
6/5/2000	TRANS FROM 1KW22130 (1KW221)	89,182 <sup>(1)</sup>	-	-	64,696	-	66,413	MDFTPP04071500	MDFTPP04071502	STESAF0062686
10/24/2000	CHECK	(87,196)	-	(87,196)	-	-	(20,783)	MDFTPP04071521	MDFTPP04071524	STESAC0094040
10/25/2000	TRANS TO 1KW00430 (1KW004)	(14,759) <sup>(2)</sup>	-	-	-	-	(20,783)	MDFTPP04071521	MDFTPP04071524	STESAC0094040
12/19/2000	TRANS TO 1KW00430 (1KW004)	(57) <sup>(2)</sup>	-	-	-	-	(20,783)	MDFTPP04071529	MDFTPP04071530	STESAC0094040
	<b>Total:</b>		<b>\$ -</b>	<b>\$ (87,196)</b>	<b>\$ 66,413</b>	<b>\$ -</b>	<b>\$ (20,783)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLEMIS ACCOUNT NO. IKW295 - JEFFREY WILPON TR

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
6/5/2000	TRANS FROM IKW15130 (IKW151)	25,211 <sup>(1)</sup>	-	-	4,304	-	4,304	MDFTPP04071532	MDFTPP04071534	STESAF0062692
6/5/2000	TRANS FROM IKW22130 (IKW221)	393,116 <sup>(1)</sup>	-	-	285,181	-	289,485	MDFTPP04071532	MDFTPP04071534	STESAF0062692
10/24/2000	CHECK	(370,526)	-	(370,526)	-	-	(81,041)	MDFTPP04071553	MDFTPP04071556	STESAC0094198
10/25/2000	TRANS TO IKW07630 (IKW076)	(59,856) <sup>(2)</sup>	-	-	-	-	(81,041)	MDFTPP04071553	MDFTPP04071556	STESAC0094198
12/19/2000	TRANS TO IKW07630 (IKW076)	(287) <sup>(2)</sup>	-	-	-	-	(81,041)	MDFTPP04071561	MDFTPP04071562	
	<b>Total:</b>		<b>\$ -</b>	<b>\$ (370,526)</b>	<b>\$ 289,485</b>	<b>\$ -</b>	<b>\$ (81,041)</b>			

<sup>(1)</sup> Although BLEMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLEMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW296 - DAVID KATZ TR

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
6/5/2000	TRANS FROM IKW15130 (IKW151)	50,420 <sup>(1)</sup>	-	-	8,607	-	8,607	MDFTPP04071564	MDFTPP04071566	STESAF0062698
6/5/2000	TRANS FROM IKW22130 (IKW221)	398,021 <sup>(1)</sup>	-	-	288,739	-	297,346	MDFTPP04071564	MDFTPP04071566	STESAF0062698
10/24/2000	CHECK	(393,353)	-	(393,353)	-	-	(96,007)	MDFTPP04071585	MDFTPP04071588	STESAC0094052
10/25/2000	TRANS TO IKW20130 (IKW201)	(67,863) <sup>(2)</sup>	-	-	-	-	(96,007)	MDFTPP04071585	MDFTPP04071588	STESAC0094052
12/19/2000	TRANS TO IKW20130 (IKW201)	(287) <sup>(2)</sup>	-	-	-	-	(96,007)	MDFTPP04071593	MDFTPP04071594	
	<b>Total:</b>		<b>\$ -</b>	<b>\$ (393,353)</b>	<b>\$ 297,346</b>	<b>\$ -</b>	<b>\$ (96,007)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW297 - MARVIN TEPPER ELISE TEPPER TR

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
6/5/2000	TRANS FROM IKW22130 (IKW221)	299,207 <sup>(1)</sup>	-	-	217,056	-	217,056	MDFTPP04071596	MDFTPP04071598	STESAF0062704
6/5/2000	TRANS FROM IKW15130 (IKW151)	376,139 <sup>(1)</sup>	-	-	64,211	-	281,266	MDFTPP04071596	MDFTPP04071598	STESAF0062704
10/24/2000	CHECK	(547,439)	-	(547,439)	-	-	(266,173)	MDFTPP04071617	MDFTPP04071620	STESAC0094062
10/25/2000	TRANS TO IKW26330 (IKW263)	(147,380) <sup>(2)</sup>	-	-	-	-	(266,173)	MDFTPP04071617	MDFTPP04071620	STESAC0094062
12/19/2000	TRANS TO IKW26330 (IKW263)	(459) <sup>(2)</sup>	-	-	-	-	(266,173)	MDFTPP04071625	MDFTPP04071626	STESAC0094062
	<b>Total:</b>		<b>\$ -</b>	<b>\$ (547,439)</b>	<b>\$ 281,266</b>	<b>\$ -</b>	<b>\$ (266,173)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW298 - FRED WILPON FAMILY TRUST TR

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
6/5/2000	TRANS FROM 1KW22130 (1KW221)	1,668,601 <sup>(1)</sup>	-	-	1,210,466	-	1,210,466	MDFTPP04071628	MDFTPP04071630	STESAF0062710
2/21/2002	TRANS TO 1KW35830 (1KW358)	(1,010,584)	-	-	-	(1,010,584)	199,882	MDFTPP04071746	MDFTPP04071750	STESAD0050903
11/29/2002	CHECK	(1,000,000)	-	(1,000,000)	-	-	(800,118)	MDFTPP04071804	MDFTPP04071807	STESAC0034987
8/19/2003	CHECK	1,143,312	1,143,312	-	-	-	343,194	MDFTPP04071859	MDFTPP04071863	STESAD0069128
9/29/2005	CHECK WIRE	(1,500,000)	-	(1,500,000)	-	-	(1,156,806)	MDFTPP04072002	MDFTPP04072007	
	<b>Total:</b>		<b>\$ 1,143,312</b>	<b>\$ (2,500,000)</b>	<b>\$ 1,210,466</b>	<b>\$ (1,010,584)</b>	<b>\$ (1,156,806)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW299 - SAUL B KATZ TR

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref
6/5/2000	TRANS FROM 1KW22130 (1KW221)	2,136,451 <sup>(1)</sup>	-	-	1,549,862	-	1,549,862	MDFTPP04072750	MDFTPP04072752	STESAF0062716
2/21/2002	TRANS FROM 1KW33730 (1KW337)	1,203,115	-	-	1,203,115	-	2,752,977	MDFTPP04072868	MDFTPP04072872	STESAD0050915
11/29/2002	CHECK	(2,000,000)	-	(2,000,000)	-	-	752,977	MDFTPP04072927	MDFTPP04072930	STESAC0034999
12/5/2002	CHECK	(1,000,000)	-	(1,000,000)	-	-	(247,023)	MDFTPP04072932	MDFTPP04072935	STESAD0066484
12/27/2002	CHECK	(1,190,963)	-	(1,190,963)	-	-	(1,437,986)	MDFTPP04072932	MDFTPP04072935	STESAD0066484
8/19/2003	CHECK	1,016,224	1,016,224	-	-	-	(421,762)	MDFTPP04072981	MDFTPP04072985	STESAD0069140
	<b>Total:</b>		<b>\$ 1,016,224</b>	<b>\$ (4,190,963)</b>	<b>\$ 2,752,977</b>	<b>\$ -</b>	<b>\$ (421,762)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

BLMIS ACCOUNT NO. 1KW300 - STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>11</sup>
7/18/2000	CHECK	1,850,000	1,850,000	-	-	-	1,850,000	MDFTPP04073934	MDFTPP04073938	STESAF0067155
8/14/2000	CHECK WIRE	(500,000)	-	(500,000)	-	-	1,350,000	MDFTPP04073940	MDFTPP04073944	STESAF0064665
8/24/2000	CHECK WIRE	(500,000)	-	(500,000)	-	-	850,000	MDFTPP04073940	MDFTPP04073944	STESAF0064669
1/11/2001	CHECK	300,000	300,000	-	-	-	1,150,000	MDFTPP04073973	MDFTPP04073978	
1/17/2001	CHECK	500,000	500,000	-	-	-	1,650,000	MDFTPP04073973	MDFTPP04073978	
5/8/2001	CHECK	(1,000,000)	-	(1,000,000)	-	-	650,000	MDFTPP04073997	MDFTPP04073999	
7/16/2001	CHECK	600,000	600,000	-	-	-	1,250,000	MDFTPP04074007	MDFTPP04074011	
12/20/2001	CHECK	(1,500,000)	-	(1,500,000)	-	-	(250,000)	MDFTPP04074037	MDFTPP04074039	STESAD0079244
1/11/2002	CHECK	1,400,000	1,400,000	-	-	-	1,150,000	MDFTPP04074041	MDFTPP04074042	STESAD0048368
2/4/2002	CHECK	(400,000)	-	(400,000)	-	-	750,000	MDFTPP04074043	MDFTPP04074044	STESAD0050923
2/13/2002	CHECK WIRE	(600,000)	-	(600,000)	-	-	150,000	MDFTPP04074043	MDFTPP04074044	STESAD0050923
2/22/2002	CHECK	(400,000)	-	(400,000)	-	-	(250,000)	MDFTPP04074043	MDFTPP04074044	STESAD0050923
9/4/2002	CHECK	300,000	300,000	-	-	-	50,000	MDFTPP04074057	MDFTPP04074058	STESAC0041047
10/22/2002	CHECK	1,000,000	1,000,000	-	-	-	1,050,000	MDFTPP04074059	MDFTPP04074063	STESAC0038878
11/26/2002	CHECK	(1,300,000)	-	(1,300,000)	-	-	(250,000)	MDFTPP04074065	MDFTPP04074068	STESAC0035007
1/13/2003	CHECK	900,000	900,000	-	-	-	650,000	MDFTPP04074074	MDFTPP04074075	STESAC0115646
3/3/2003	CHECK	700,000	700,000	-	-	-	1,350,000	MDFTPP04074078	MDFTPP04074084	STESAC0110121
6/25/2003	CHECK	(1,600,000)	-	(1,600,000)	-	-	(250,000)	MDFTPP04074097	MDFTPP04074101	STESAD0026733
8/1/2003	CHECK	1,650,000	1,650,000	-	-	-	1,400,000	MDFTPP04074111	MDFTPP04074115	STESAD0069148
12/19/2003	CHECK	(1,800,000)	-	(1,800,000)	-	-	(400,000)	MDFTPP04074136	MDFTPP04074138	STESAC0021575
2/13/2004	CHECK	2,500,000	2,500,000	-	-	-	2,100,000	MDFTPP04074141	MDFTPP04074141	
5/25/2004	CHECK	(2,500,000)	-	(2,500,000)	-	-	(400,000)	MDFTPP04074151	MDFTPP04074155	
7/23/2004	CHECK	1,000,000	1,000,000	-	-	-	600,000	MDFTPP04074165	MDFTPP04074167	
12/10/2004	CHECK	(1,000,000)	-	(1,000,000)	-	-	(400,000)	MDFTPP04074192	MDFTPP04074196	STESAF0096929
8/16/2005	CHECK	1,900,000	1,900,000	-	-	-	1,500,000	MDFTPP04074236	MDFTPP04074237	STESAC0099983
12/8/2005	CHECK	(2,000,000)	-	(2,000,000)	-	-	(500,000)	MDFTPP04074259	MDFTPP04074264	
4/12/2007	CHECK	1,000,000	1,000,000	-	-	-	500,000	MDFTPP04074359	MDFTPP04074364	
7/13/2007	CHECK	2,500,000	2,500,000	-	-	-	3,000,000	MDFTPP04074378	MDFTPP04074380	
9/6/2007	CHECK	(1,800,000)	-	(1,800,000)	-	-	1,200,000	MDFTPP04074385	MDFTPP04074390	
12/21/2007	CHECK	(2,000,000)	-	(2,000,000)	-	-	(800,000)	MDFTPP04074401	MDFTPP04074404	STESAF0039118
2/19/2008	CHECK	2,500,000	2,500,000	-	-	-	1,700,000	MDFTPP04074408	MDFTPP04074409	STESAF0001569
7/9/2008	CHECK WIRE	(2,500,000)	-	(2,500,000)	-	-	(800,000)	MDFTPP04074429	MDFTPP04074430	STESAF0011322
<b>Total:</b>			<b>\$ 20,600,000</b>	<b>\$ (21,400,000)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (800,000)</b>			

<sup>11</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.



BLMIS ACCOUNT NO. 1KW302 - RUTH FRIEDMAN

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
8/29/2000	TRANS FROM 1KW00430 (1KW004)	500,000 <sup>(1)</sup>	-	-	-	-	-	MDPTPP04075689	MDPTPP04075689	STESAF0064659
6/13/2001	CHECK	(17,000)	-	(17,000)	-	-	(17,000)	MDPTPP04075743	MDPTPP04075747	
9/18/2001	CHECK	(31,000)	-	(31,000)	-	-	(48,000)	MDPTPP04075761	MDPTPP04075764	
1/28/2001	TRANS FROM 1KW00430 (1KW004)	12,000 <sup>(1)</sup>	-	-	-	-	(48,000)	MDPTPP04075772	MDPTPP04075776	STESAD0077319
5/14/2002	CHECK	(30,000)	-	(30,000)	-	-	(78,000)	MDPTPP04075808	MDPTPP04075813	STESAC0073905
6/20/2002	CHECK	(200,000)	-	(200,000)	-	-	(278,000)	MDPTPP04075815	MDPTPP04075819	STESAC0069212
12/16/2002	CHECK	10,000	10,000	-	-	-	(268,000)	MDPTPP04075849	MDPTPP04075851	
1/16/2003	CHECK	(44,000)	-	(44,000)	-	-	(312,000)	MDPTPP04075853	MDPTPP04075858	STESAC0115668
8/19/2003	TRANS FROM 1KW36430 (1KW364)	123,102 <sup>(2)</sup>	-	-	69,818	-	(242,182)	MDPTPP04075894	MDPTPP04075898	STESAD0069176
8/19/2003	TRANS FROM 1KW33130 (1KW331)	165,524 <sup>(2)</sup>	-	-	99,622	-	(142,560)	MDPTPP04075894	MDPTPP04075898	STESAD0069176
8/27/2003	TRANS FROM 1KW13030 (1KW130)	298,701 <sup>(2)</sup>	-	-	80,004	-	(62,556)	MDPTPP04075894	MDPTPP04075898	STESAD0069176
9/10/2003	TRANS TO 1KW40230 (1KW402)	(840,000) <sup>(3)</sup>	-	-	-	-	(62,556)	MDPTPP04075900	MDPTPP04075905	STESAD0072188
10/14/2003	TRANS FROM 1KW33130 (1KW331)	256 <sup>(1)</sup>	-	-	-	-	(62,556)	MDPTPP04075907	MDPTPP04075912	STESAD0001960
10/14/2003	TRANS FROM 1KW36430 (1KW364)	388 <sup>(1)</sup>	-	-	-	-	(62,556)	MDPTPP04075907	MDPTPP04075912	STESAD0001960
10/14/2003	TRANS FROM 1KW13030 (1KW130)	388 <sup>(1)</sup>	-	-	-	-	(62,556)	MDPTPP04075907	MDPTPP04075912	STESAD0001958
7/1/2005	CHECK	(15,000)	-	(15,000)	-	-	(77,556)	MDPTPP04076026	MDPTPP04076028	STESAC0008529
7/3/2007	CHECK	140,000	140,000	-	-	-	62,444	MDPTPP04076170	MDPTPP04076172	STESAF0030536
4/4/2008	CHECK	10,000	10,000	-	-	-	72,444	MDPTPP04076205	MDPTPP04076210	STESAF00004702
7/15/2008	TRANS FROM 1KW00430 (1KW004)	10,000 <sup>(1)</sup>	-	-	-	-	72,444	MDPTPP04076222	MDPTPP04076223	STESAF0011334
	<b>Total:</b>		<b>\$ 160,000</b>	<b>\$ (337,000)</b>	<b>\$ 249,444</b>	<b>\$ -</b>	<b>\$ 72,444</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(3)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(4)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW307 - DEBRA WILPON

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(2)</sup>
9/20/2000	TRANS FROM 1KW08130 (1KW081)	600,000 <sup>(1)</sup>	-	-	-	-	-	MDFTPP04078538	MDFTPP04078538	
4/15/2002	CHECK	(206,000)	-	(206,000)	-	-	(206,000)	MDFTPP04078642	MDFTPP04078647	STESAD0063852
6/24/2002	CHECK	(260,000)	-	(260,000)	-	-	(466,000)	MDFTPP04078656	MDFTPP04078660	STESAC0069272
6/23/2003	CHECK	(75,600)	-	(75,600)	-	-	(541,600)	MDFTPP04078724	MDFTPP04078728	STESAD0026813
7/26/2007	TRANS FROM 1KW08130 (1KW081)	22,000	-	-	22,000	-	(519,600)	MDFTPP04079019	MDFTPP04079021	STESAF0030568
	<b>Total:</b>		<b>\$ -</b>	<b>\$ (541,600)</b>	<b>\$ 22,000</b>	<b>\$ -</b>	<b>\$ (519,600)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW308 - EDWARD TEPPER JACQUELINE TEPPER ELISE TEPPER TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(1)</sup>
9/27/2000	CHECK	300,300	300,300	-	-	-	300,300	MDFTPP04079120	MDFTPP04079120	
12/14/2000	CHECK	9,100	9,100	-	-	-	309,400	MDFTPP04079131	MDFTPP04079135	
12/14/2000	CHECK	9,100	9,100	-	-	-	318,500	MDFTPP04079131	MDFTPP04079135	
2/6/2001	CHECK	10,623	10,623	-	-	-	329,123	MDFTPP04079144	MDFTPP04079147	
8/30/2007	CHECK	(692,293)	-	(692,293)	-	-	(363,170)	MDFTPP04079607	MDFTPP04079608	STESAF0032194
	<b>Total:</b>		<b>\$ 329,123</b>	<b>\$ (692,293)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (363,170)</b>			

<sup>(1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW309 - DAN KNOPF HEATHER KNOPF JT TEN C/O STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Dates	BLMIS SOURCE DOCUMENT: End Dates	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
10/10/2000	CHECK	160,000	160,000	-	-	-	160,000	MDPTPP04079698	MDPTPP04079700	STESAC0094178
4/25/2001	TRANS FROM 1KW19630 (IKW196)	93,184 <sup>[1]</sup>	-	-	21,000	-	181,000	MDPTPP04079733	MDPTPP04079736	STESAF0110045
8/20/2001	CHECK	125,000	125,000	-	-	-	306,000	MDPTPP04079753	MDPTPP04079759	
11/1/2001	TRANS TO 1KW20130 (IKW201)	(125,000)	-	-	-	(125,000)	181,000	MDPTPP04079773	MDPTPP04079777	STESAD00077399
3/18/2002	TRANS FROM 1KW19630 (IKW196)	44 <sup>[2]</sup>	-	-	-	-	181,000	MDPTPP04079795	MDPTPP04079800	STESAD00057130
1/16/2003	CHECK	(2,500)	-	(2,500)	-	-	178,500	MDPTPP04079856	MDPTPP04079861	STESAC00115766
9/10/2003	TRANS TO 1KW40230 (IKW402)	(340,000) <sup>[3]</sup>	-	-	-	(178,500)	-	MDPTPP04079907	MDPTPP04079912	STESAD00072282
3/17/2004	CHECK	(7,000)	-	(7,000)	-	-	(7,000)	MDPTPP04079925	MDPTPP04079926	
6/14/2004	CHECK	300,000	300,000	-	-	-	293,000	MDPTPP04079931	MDPTPP04079932	
9/24/2004	CHECK	(20,000)	-	(20,000)	-	-	273,000	MDPTPP04079941	MDPTPP04079945	
6/14/2006	CHECK	(46,000)	-	(46,000)	-	-	227,000	MDPTPP04080064	MDPTPP04080068	STESAD0105740
6/20/2006	CHECK	(29,000)	-	(29,000)	-	-	198,000	MDPTPP04080064	MDPTPP04080068	STESAD0105744
	<b>Total:</b>		<b>\$ 585,000</b>	<b>\$ (104,500)</b>	<b>\$ 21,000</b>	<b>\$ (303,500)</b>	<b>\$ 198,000</b>			

<sup>[1]</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>[2]</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[3]</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>[4]</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW313 - STERLING THIRTY VENTURE LLC TR

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
11/8/2000	CHECK	11,158,142	11,158,142	-	-	-	11,158,142	MDPTPP04081581	MDPTPP04081581	STESAC0009053
10/11/2001	CHECK	(450,000)	-	(450,000)	-	-	10,708,142	MDPTPP04081665	MDPTPP04081669	STESAC00034150
4/10/2002	CHECK	(500,000)	-	(500,000)	-	-	10,208,142	MDPTPP04081736	MDPTPP04081750	STESAD00053920
8/19/2003	CHECK	145,894	145,894	-	-	-	10,354,036	MDPTPP04081917	MDPTPP04081922	STESAD00069296
8/19/2003	CHECK	657,067	657,067	-	-	-	11,011,103	MDPTPP04081917	MDPTPP04081922	STESAD00069296
8/19/2003	CHECK	42,335	42,335	-	-	-	11,053,438	MDPTPP04081917	MDPTPP04081922	STESAD00069296
8/19/2003	CHECK	2,601,264	2,601,264	-	-	-	13,654,702	MDPTPP04081917	MDPTPP04081922	STESAD00069296
8/19/2003	CHECK	473,973	473,973	-	-	-	14,128,675	MDPTPP04081917	MDPTPP04081922	STESAD00069296
8/19/2003	CHECK	1,149,641	1,149,641	-	-	-	15,278,316	MDPTPP04081917	MDPTPP04081922	STESAD00069296
8/19/2003	CHECK	656,889	656,889	-	-	-	15,935,205	MDPTPP04081917	MDPTPP04081922	STESAD00069296
8/19/2003	TRANS TO 1KW31430 (1KW314)	(4,575,000)	-	-	-	(4,575,000)	11,360,205	MDPTPP04081917	MDPTPP04081922	STESAD00069296
8/19/2003	TRANS TO 1KW39230 (1KW392)	(975,000)	-	-	-	(975,000)	10,385,205	MDPTPP04081917	MDPTPP04081922	STESAD00069298
8/19/2003	TRANS FROM 1KW37130 (1KW371)	277,193	-	-	244,267	-	10,629,472	MDPTPP04081917	MDPTPP04081922	STESAD00069296
8/19/2003	TRANS FROM 1KW36530 (1KW365)	111,320	-	-	111,320	-	10,740,792	MDPTPP04081917	MDPTPP04081922	STESAD00069296
8/20/2003	CHECK	1,489,065	1,489,065	-	-	-	12,229,857	MDPTPP04081917	MDPTPP04081922	STESAD00069298
8/20/2003	CHECK	515,327	515,327	-	-	-	12,745,184	MDPTPP04081917	MDPTPP04081922	STESAD00069298
1/21/2004	CHECK	90	90	-	-	-	12,745,274	MDPTPP04081949	MDPTPP04081954	STESAD00069298
6/4/2004	CHECK	(800,000)	-	(800,000)	-	-	11,945,274	MDPTPP04081981	MDPTPP04081988	STESAD00069298
4/4/2007	TRANS TO 1KW31430 (1KW314)	(9,097,848)	-	-	-	(9,097,848)	2,847,426	MDPTPP04082299	MDPTPP04082313	STESAF0050806
4/9/2007	CHECK	5,221,249	5,221,249	-	-	-	8,068,675	MDPTPP04082299	MDPTPP04082313	STESAF0050808
	<b>Total:</b>		<b>\$ 24,110,936</b>	<b>\$ (1,750,000)</b>	<b>\$ 355,587</b>	<b>\$ (14,647,848)</b>	<b>\$ 8,068,675</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW314 - STERLING THIRTY VENTURE LLC B

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>2</sup>
11/8/2000	CHECK	19,191,858	19,191,858	-	-	-	19,191,858	MDPTPP04083577	MDPTPP04083578	STESAC0099055
11/20/2000	CHECK WIRE	(225,000)	-	(225,000)	-	-	18,966,858	MDPTPP04083577	MDPTPP04083578	STESAC0099055
12/1/2000	CHECK	225,000	-	225,000	-	-	18,741,858	MDPTPP04083579	MDPTPP04083583	
12/8/2000	RETURNED CHECK	225,000	-	225,000	-	-	18,966,858	MDPTPP04083579	MDPTPP04083583	
1/4/2001	CHECK	(185,000)	-	(185,000)	-	-	18,781,858	MDPTPP04083584	MDPTPP04083591	
2/1/2001	CHECK	(185,000)	-	(185,000)	-	-	18,596,858	MDPTPP04083594	MDPTPP04083601	
2/9/2001	CHECK	(60,000)	-	(60,000)	-	-	18,536,858	MDPTPP04083594	MDPTPP04083601	
2/23/2001	CHECK	(1,500,000)	-	(1,500,000)	-	-	17,036,858	MDPTPP04083594	MDPTPP04083601	
3/1/2001	CHECK	(192,000)	-	(192,000)	-	-	16,844,858	MDPTPP04083603	MDPTPP04083611	
4/2/2001	CHECK	(192,000)	-	(192,000)	-	-	16,652,858	MDPTPP04083614	MDPTPP04083619	STESAF0110085
5/1/2001	CHECK	(192,000)	-	(192,000)	-	-	16,460,858	MDPTPP04083621	MDPTPP04083624	
6/1/2001	CHECK	(192,000)	-	(192,000)	-	-	16,268,858	MDPTPP04083626	MDPTPP04083633	
7/2/2001	CHECK	(192,000)	-	(192,000)	-	-	16,076,858	MDPTPP04083636	MDPTPP04083647	
8/1/2001	CHECK	(192,000)	-	(192,000)	-	-	15,884,858	MDPTPP04083649	MDPTPP04083657	
9/4/2001	CHECK	(192,000)	-	(192,000)	-	-	15,692,858	MDPTPP04083660	MDPTPP04083664	
10/4/2001	CHECK	(192,000)	-	(192,000)	-	-	15,500,858	MDPTPP04083667	MDPTPP04083671	
11/1/2001	CHECK	(192,000)	-	(192,000)	-	-	15,308,858	MDPTPP04083673	MDPTPP04083681	STESAD0077455
11/23/2001	CHECK	(1,500,000)	-	(1,500,000)	-	-	13,808,858	MDPTPP04083673	MDPTPP04083681	STESAD0077467
12/3/2001	CHECK	(155,000)	-	(155,000)	-	-	13,653,858	MDPTPP04083683	MDPTPP04083690	STESAD0079362
1/2/2002	CHECK	(155,000)	-	(155,000)	-	-	13,498,858	MDPTPP04083692	MDPTPP04083703	STESAD0048544
2/1/2002	CHECK	(155,000)	-	(155,000)	-	-	13,343,858	MDPTPP04083706	MDPTPP04083720	STESAD0051073
3/1/2002	CHECK	(155,000)	-	(155,000)	-	-	13,188,858	MDPTPP04083723	MDPTPP04083732	STESAD0057200
4/1/2002	CHECK	(155,000)	-	(155,000)	-	-	13,033,858	MDPTPP04083736	MDPTPP04083750	STESAD0083952
5/1/2002	CHECK	(155,000)	-	(155,000)	-	-	12,878,858	MDPTPP04083752	MDPTPP04083768	STESAC0074079
5/9/2002	CHECK	(500,000)	-	(500,000)	-	-	12,378,858	MDPTPP04083752	MDPTPP04083768	STESAC0074089
5/10/2002	CHECK WIRE	(155,000)	-	(155,000)	-	-	12,223,858	MDPTPP04083752	MDPTPP04083768	STESAC0074095
5/15/2002	CXL CHECK 5/1/02	155,000	-	155,000	-	-	12,378,858	MDPTPP04083752	MDPTPP04083768	STESAC0074095
6/3/2002	CHECK	(155,000)	-	(155,000)	-	-	12,223,858	MDPTPP04083771	MDPTPP04083777	STESAC0069340
7/1/2002	CHECK	(155,000)	-	(155,000)	-	-	12,068,858	MDPTPP04083779	MDPTPP04083793	STESAC0070777
7/11/2002	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	9,068,858	MDPTPP04083779	MDPTPP04083793	STESAC0070777
7/11/2002	TRANS FROM IKW06730 (IKW067)	3,000,000	165,000	-	-	-	9,068,858	MDPTPP04083779	MDPTPP04083793	STESAC0070777
8/1/2002	CHECK	(155,000)	-	(155,000)	-	-	8,913,858	MDPTPP04083796	MDPTPP04083808	STESAC0066849
9/3/2002	CHECK	(155,000)	-	(155,000)	-	-	8,758,858	MDPTPP04083811	MDPTPP04083814	STESAC0041151
12/2/2002	CHECK	(150,000)	-	(150,000)	-	-	8,608,858	MDPTPP04083843	MDPTPP04083848	STESAD0064971
1/2/2003	CHECK	(150,000)	-	(150,000)	-	-	8,458,858	MDPTPP04083850	MDPTPP04083863	STESAC0115836
2/3/2003	CHECK	(150,000)	-	(150,000)	-	-	8,308,858	MDPTPP04083866	MDPTPP04083879	STESAC0118071
2/13/2003	CHECK	165,000	165,000	-	-	-	8,473,858	MDPTPP04083866	MDPTPP04083879	STESAC0118071
3/3/2003	CHECK	(150,000)	-	(150,000)	-	-	8,323,858	MDPTPP04083881	MDPTPP04083888	STESAC0110329
3/5/2003	RETURNED CHECK	150,000	-	150,000	-	-	8,473,858	MDPTPP04083881	MDPTPP04083888	STESAC0110329
4/7/2003	CHECK	(1,300,000)	-	(1,300,000)	-	-	7,173,858	MDPTPP04083890	MDPTPP04083892	STESAC0112566
5/1/2003	CHECK	(150,000)	-	(150,000)	-	-	7,023,858	MDPTPP04083894	MDPTPP04083899	STESAD0024250
6/25/2003	CHECK	(150,000)	-	(150,000)	-	-	6,873,858	MDPTPP04083901	MDPTPP04083905	STESAD0026885
6/25/2003	CHECK	(900,000)	-	(900,000)	-	-	5,973,858	MDPTPP04083901	MDPTPP04083905	STESAD0026889
7/1/2003	CHECK	(150,000)	-	(150,000)	-	-	5,823,858	MDPTPP04083907	MDPTPP04083913	STESAC0092817
8/1/2003	CHECK	(150,000)	-	(150,000)	-	-	5,673,858	MDPTPP04083916	MDPTPP04083920	STESAD0069306
8/19/2003	CHECK	(7,145,058)	-	(7,145,058)	-	-	(1,471,200)	MDPTPP04083916	MDPTPP04083920	STESAD0069310
8/19/2003	TRANS FROM IKW31330 (IKW313)	4,575,000	-	4,575,000	-	-	3,103,800	MDPTPP04083916	MDPTPP04083920	STESAD0069310
9/2/2003	CHECK	(150,000)	-	(150,000)	-	-	2,953,800	MDPTPP04083922	MDPTPP04083928	STESAD0072328
10/1/2003	CHECK	(150,000)	-	(150,000)	-	-	2,803,800	MDPTPP04083930	MDPTPP04083935	
11/3/2003	CHECK	(150,000)	-	(150,000)	-	-	2,653,800	MDPTPP04083937	MDPTPP04083941	STESAD0004663
11/25/2003	CHECK	(1,900,000)	-	(1,900,000)	-	-	753,800	MDPTPP04083937	MDPTPP04083941	STESAD0004665
12/1/2003	CHECK	(120,000)	-	(120,000)	-	-	633,800	MDPTPP04083943	MDPTPP04083946	
1/2/2004	CHECK	(120,000)	-	(120,000)	-	-	513,800	MDPTPP04083948	MDPTPP04083953	
2/2/2004	CHECK	(120,000)	-	(120,000)	-	-	393,800	MDPTPP04083955	MDPTPP04083960	
2/27/2004	CHECK	(750,000)	-	(750,000)	-	-	(356,200)	MDPTPP04083955	MDPTPP04083960	
3/1/2004	CHECK	(120,000)	-	(120,000)	-	-	(476,200)	MDPTPP04083962	MDPTPP04083964	
4/1/2004	CHECK	(120,000)	-	(120,000)	-	-	(596,200)	MDPTPP04083966	MDPTPP04083972	
5/3/2004	CHECK	(120,000)	-	(120,000)	-	-	(716,200)	MDPTPP04083975	MDPTPP04083980	

BLMIS ACCOUNT NO. IKW314 - STERLING THIRTY VENTURE LLC B

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2</sup>
6/1/2004	CHECK	(120,000)	-	(120,000)	-	-	(836,200)	MDPTPP04083982	MDPTPP04083989	STESAF0097065
7/1/2004	CHECK	(120,000)	-	(120,000)	-	-	(956,200)	MDPTPP04083991	MDPTPP04083993	STESAF0097069
8/2/2004	CHECK	(120,000)	-	(120,000)	-	-	(1,076,200)	MDPTPP04083995	MDPTPP04084000	STESAF0097069
9/1/2004	CHECK	(120,000)	-	(120,000)	-	-	(1,196,200)	MDPTPP04084002	MDPTPP04084006	STESAF0097069
9/24/2004	CHECK	(1,000,000)	-	(1,000,000)	-	-	(2,196,200)	MDPTPP04084002	MDPTPP04084006	STESAF0097065
10/1/2004	CHECK	(120,000)	-	(120,000)	-	-	(2,316,200)	MDPTPP04084008	MDPTPP04084010	STESAF0097069
11/1/2004	CHECK	(120,000)	-	(120,000)	-	-	(2,436,200)	MDPTPP04084012	MDPTPP04084017	STESAF0097069
12/1/2004	CHECK	(120,000)	-	(120,000)	-	-	(2,556,200)	MDPTPP04084019	MDPTPP04084024	STESAF0097065
12/14/2004	CHECK	(400,000)	-	(400,000)	-	-	(2,956,200)	MDPTPP04084026	MDPTPP04084031	STESAF0097069
1/3/2005	CHECK	(90,000)	-	(90,000)	-	-	(3,046,200)	MDPTPP04084026	MDPTPP04084031	STESAF0097069
2/1/2005	CHECK	(90,000)	-	(90,000)	-	-	(3,136,200)	MDPTPP04084033	MDPTPP04084036	STESAF0097065
3/1/2005	CHECK	(90,000)	-	(90,000)	-	-	(3,226,200)	MDPTPP04084038	MDPTPP04084042	STESAF0097069
3/18/2005	CHECK	(900,000)	-	(900,000)	-	-	(4,126,200)	MDPTPP04084038	MDPTPP04084042	STESAF0097069
4/1/2005	CHECK	(90,000)	-	(90,000)	-	-	(4,216,200)	MDPTPP04084044	MDPTPP04084047	STESAF0097065
4/25/2005	CHECK	(700,000)	-	(700,000)	-	-	(4,916,200)	MDPTPP04084044	MDPTPP04084047	STESAF0097065
5/2/2005	CHECK	(90,000)	-	(90,000)	-	-	(5,006,200)	MDPTPP04084049	MDPTPP04084054	STESAF0097065
6/1/2005	CHECK	(90,000)	-	(90,000)	-	-	(5,096,200)	MDPTPP04084056	MDPTPP04084060	STESAF0097065
7/1/2005	CHECK	(90,000)	-	(90,000)	-	-	(5,186,200)	MDPTPP04084062	MDPTPP04084066	STESAF0097065
7/8/2005	CHECK	(1,000,000)	-	(1,000,000)	-	-	(6,186,200)	MDPTPP04084062	MDPTPP04084066	STESAF0097065
8/1/2005	CHECK	(90,000)	-	(90,000)	-	-	(6,276,200)	MDPTPP04084066	MDPTPP04084067	STESAF0097065
9/1/2005	CHECK	(90,000)	-	(90,000)	-	-	(6,366,200)	MDPTPP04084069	MDPTPP04084075	STESAF0097065
10/3/2005	CHECK	(90,000)	-	(90,000)	-	-	(6,456,200)	MDPTPP04084077	MDPTPP04084083	STESAF0097065
10/14/2005	CHECK	(850,000)	-	(850,000)	-	-	(7,306,200)	MDPTPP04084077	MDPTPP04084083	STESAF0097065
11/1/2005	CHECK	(90,000)	-	(90,000)	-	-	(7,396,200)	MDPTPP04084085	MDPTPP04084088	STESAF0097065
12/1/2005	CHECK	(90,000)	-	(90,000)	-	-	(7,486,200)	MDPTPP04084090	MDPTPP04084095	STESAF0097065
12/12/2005	CHECK	(1,500,000)	-	(1,500,000)	-	-	(8,986,200)	MDPTPP04084090	MDPTPP04084095	STESAF0097065
1/3/2006	CHECK	(90,000)	-	(90,000)	-	-	(9,076,200)	MDPTPP04084097	MDPTPP04084102	STESAF0097065
2/1/2006	CHECK	(90,000)	-	(90,000)	-	-	(9,166,200)	MDPTPP04084104	MDPTPP04084107	STESAF0097065
3/1/2006	CHECK	(90,000)	-	(90,000)	-	-	(9,256,200)	MDPTPP04084109	MDPTPP04084113	STESAF0097065
4/3/2006	CHECK	(90,000)	-	(90,000)	-	-	(9,346,200)	MDPTPP04084115	MDPTPP04084121	STESAF0097065
5/1/2006	CHECK	(90,000)	-	(90,000)	-	-	(9,436,200)	MDPTPP04084123	MDPTPP04084128	STESAF0097065
6/1/2006	CHECK	(90,000)	-	(90,000)	-	-	(9,526,200)	MDPTPP04084131	MDPTPP04084135	STESAF0097065
7/3/2006	CHECK	(90,000)	-	(90,000)	-	-	(9,616,200)	MDPTPP04084137	MDPTPP04084143	STESAF0097065
8/1/2006	CHECK	(90,000)	-	(90,000)	-	-	(9,706,200)	MDPTPP04084145	MDPTPP04084148	STESAF0097065
9/1/2006	CHECK	(90,000)	-	(90,000)	-	-	(9,796,200)	MDPTPP04084150	MDPTPP04084152	STESAF0097065
4/4/2007	TRANS FROM IKW31330 (IKW313)	9,097,848	-	-	9,097,848	-	(698,352)	MDPTPP04084190	MDPTPP04084195	STESAF0097065
6/26/2007	CHECK	(2,650,000)	-	(2,650,000)	-	-	(3,348,352)	MDPTPP04084202	MDPTPP04084207	STESAF0097065
		<b>Total:</b>	<b>\$ 19,356,858</b>	<b>\$ (36,378,058)</b>	<b>\$ 13,072,848</b>	<b>\$ -</b>	<b>\$ (3,348,352)</b>			

(1) Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

(2) Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.





BLMIS ACCOUNT NO. 1KW322 - MARVIN B TEPPER DEFINED BENEFIT PLAN

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates.	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(2)</sup>
1/24/2001	CHECK	132,840	132,840	-	-	-	132,840	MDFTPP04092101	MDFTPP04092101	
7/3/2001	CHECK	75,000	75,000	-	-	-	207,840	MDFTPP04092127	MDFTPP04092131	
5/17/2002	CHECK	24,986	24,986	-	-	-	232,826	MDFTPP04092188	MDFTPP04092193	STESAC0074229
3/27/2003	CHECK	(1,642)	-	(1,642)	-	-	231,184	MDFTPP04092246	MDFTPP04092253	STESAC0010483
10/3/2003	CHECK	500	500	-	-	-	231,684	MDFTPP04092293	MDFTPP04092298	STESAD0002190
10/3/2003	TRANS FROM 1KW26330 (1KW263)	95,000 <sup>(1)</sup>	-	-	-	-	231,684	MDFTPP04092293	MDFTPP04092298	STESAD0002190
11/12/2004	CHECK	89,000	89,000	-	-	-	320,684	MDFTPP04092366	MDFTPP04092371	
12/23/2005	CHECK	(13,925)	-	(13,925)	-	-	306,759	MDFTPP04092440	MDFTPP04092445	STESAC0008571
4/7/2006	CHECK	90,000	90,000	-	-	-	396,759	MDFTPP04092467	MDFTPP04092473	
5/10/2006	CHECK	10,296	10,296	-	-	-	407,055	MDFTPP04092475	MDFTPP04092480	STESAD0108510
11/14/2006	CHECK	(28,360)	-	(28,360)	-	-	378,695	MDFTPP04092514	MDFTPP04092518	STESAD00093382
4/18/2007	CHECK	65,809	65,809	-	-	-	444,504	MDFTPP04092543	MDFTPP04092548	
6/27/2007	CHECK	(830,774)	-	(830,774)	-	-	(386,270)	MDFTPP04092555	MDFTPP04092559	STESAF0028295
8/16/2007	CHECK	(1,560)	-	(1,560)	-	-	(387,830)	MDFTPP04092564	MDFTPP04092565	STESAF0032280
	<b>Total:</b>		<b>\$ 488,431</b>	<b>\$ (876,260)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (387,830)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW323 - BROOKLYN BASEBALL COMPANY

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
2/7/2001	CHECK A/O 2/6/01	4,275,000	4,275,000	-	-	-	4,275,000	MDFTPP04092596	MDFTPP04092598	
7/18/2001	CHECK	(475,000)	-	(475,000)	-	-	3,800,000	MDFTPP04092621	MDFTPP04092624	
10/26/2001	TRANS TO IKW34730 (IKW347)	(4,000,000) <sup>1)</sup>	-	-	-	(3,800,000)	-	MDFTPP04092638	MDFTPP04092642	STESAC0034258
6/7/2002	CHECK	700,000	700,000	-	-	-	700,000	MDFTPP04092685	MDFTPP04092689	STESAC0069438
1/6/2003	CHECK	(625,000)	-	(625,000)	-	-	75,000	MDFTPP04092725	MDFTPP04092730	STESAC015984
3/4/2003	CHECK	(400,000)	-	(400,000)	-	-	(325,000)	MDFTPP04092737	MDFTPP04092739	STESAC0110491
10/10/2003	CHECK	(4,354)	-	(4,354)	-	-	(329,354)	MDFTPP04092759	MDFTPP04092760	STESAD0002204
	<b>Total:</b>		<b>\$ 4,975,000</b>	<b>\$ (1,504,354)</b>	<b>\$ -</b>	<b>\$ (3,800,000)</b>	<b>\$ (329,354)</b>			

<sup>1)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW325 - BAS AIRCRAFT LLC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>1)</sup>
3/5/2001	CHECK	150,000	150,000	-	-	-	150,000	MDFTPP04093892	MDFTPP04093897	
3/28/2001	CHECK	(25,000)	-	(25,000)	-	-	125,000	MDFTPP04093892	MDFTPP04093897	
4/2/2001	CHECK	(25,000)	-	(25,000)	-	-	100,000	MDFTPP04093899	MDFTPP04093902	STESAF0110205
5/1/2001	CHECK	(25,000)	-	(25,000)	-	-	75,000	MDFTPP04093904	MDFTPP04093906	
6/1/2001	CHECK	(25,000)	-	(25,000)	-	-	50,000	MDFTPP04093908	MDFTPP04093909	
7/2/2001	CHECK	(25,000)	-	(25,000)	-	-	25,000	MDFTPP04093911	MDFTPP04093912	
8/1/2001	CHECK	(25,000)	-	(25,000)	-	-	-	MDFTPP04093914	MDFTPP04093915	
9/4/2001	CHECK	(25,000)	-	(25,000)	-	-	(25,000)	MDFTPP04093917	MDFTPP04093918	
9/7/2001	RETURNED CHECK	25,000	-	25,000	-	-	-	MDFTPP04093917	MDFTPP04093918	
10/22/2001	CHECK	67,500	67,500	-	-	-	67,500	MDFTPP04093920	MDFTPP04093921	STESAC0034268
11/1/2001	CHECK	(22,000)	-	(22,000)	-	-	45,500	MDFTPP04093923	MDFTPP04093924	STESAD0077583
12/3/2001	CHECK	(22,000)	-	(22,000)	-	-	23,500	MDFTPP04093926	MDFTPP04093927	STESAD0079472
1/2/2002	CHECK	(22,000)	-	(22,000)	-	-	1,500	MDFTPP04093929	MDFTPP04093930	STESAD0048706
9/11/2002	CHECK	(3,200)	-	(3,200)	-	-	(1,700)	MDFTPP04093945	MDFTPP04093946	STESAC0041237
6/25/2003	CHECK	(4,919)	-	(4,919)	-	-	(6,619)	MDFTPP04093963	MDFTPP04093964	STESAD0027017
	<b>Total:</b>		<b>\$ 217,500</b>	<b>\$ (224,119)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (6,619)</b>			

<sup>1)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW328 - AIR STERLING LLC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(1)</sup>
3/22/2001	CHECK WIRE	500,000	500,000	-	-	-	500,000	MDFTPP04095839	MDFTPP04095839	
9/24/2001	CHECK	(215,000)	-	(215,000)	-	-	285,000	MDFTPP04095863	MDFTPP04095866	
12/3/2001	CHECK	(302,362)	-	(302,362)	-	-	(17,362)	MDFTPP04095875	MDFTPP04095876	STESAD0079494
3/19/2002	CHECK	(6)	-	(6)	-	-	(17,368)	MDFTPP04095882	MDFTPP04095883	STESAD0057416
	<b>Total:</b>		<b>\$ 500,000</b>	<b>\$ (517,368)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (17,368)</b>			

<sup>(1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW329 - FRED WILPON SAUL B KATZ TIC TAX ESCROW

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref
4/9/2001	CHECK	1,700,000	1,700,000	-	-	-	1,700,000	MDFTPP04095884	MDFTPP04095886	STESAF011024I
4/9/2001	CHECK	1,700,000	1,700,000	-	-	-	3,400,000	MDFTPP04095884	MDFTPP04095886	STESAF011024I
6/25/2003	CHECK	(4,370,109)	-	(4,370,109)	-	-	(970,109)	MDFTPP04096037	MDFTPP04096040	STESAD0027049
8/19/2003	TRANS TO IKW02430 (IKW024)	(2,752) <sup>(1)</sup>	-	-	-	-	(970,109)	MDFTPP04096045	MDFTPP04096046	STESAD0069456
8/19/2003	TRANS TO IKW06730 (IKW067)	(2,752) <sup>(1)</sup>	-	-	-	-	(970,109)	MDFTPP04096045	MDFTPP04096046	STESAD0069456
	<b>Total:</b>		<b>\$ 3,400,000</b>	<b>\$ (4,370,109)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (970,109)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

BLMIS ACCOUNT NO. 1KW332 - EDWARD TEPPER

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(2)</sup>
5/18/2001	TRANS FROM 1KW28030 (1KW280)	116,427 <sup>(1)</sup>	-	-	101,129	-	101,129	MDFTPP04096764	MDFTPP04096764	
5/18/2001	TRANS FROM 1KW15730 (1KW157)	33,074 <sup>(1)</sup>	-	-	14,985	-	116,114	MDFTPP04096764	MDFTPP04096764	
5/18/2001	TRANS FROM 1KW28530 (1KW285)	68,492 <sup>(1)</sup>	-	-	60,000	-	176,114	MDFTPP04096764	MDFTPP04096764	
5/18/2001	TRANS FROM 1KW14130 (1KW141)	40,223 <sup>(1)</sup>	-	-	15,001	-	191,115	MDFTPP04096764	MDFTPP04096764	
10/11/2001	CHECK	(30,000)	-	(30,000)	-	-	161,115	MDFTPP04096788	MDFTPP04096791	STESAC0034352
12/24/2003	CHECK	(35,000)	-	(35,000)	-	-	126,115	MDFTPP04096944	MDFTPP04096946	STESAC0021805
6/30/2005	CHECK	(40,000)	-	(40,000)	-	-	86,115	MDFTPP04097047	MDFTPP04097051	STESAC0006684
9/28/2005	CHECK	(40,000)	-	(40,000)	-	-	46,115	MDFTPP04097060	MDFTPP04097066	
3/28/2006	CHECK	(75,000)	-	(75,000)	-	-	(28,885)	MDFTPP04097099	MDFTPP04097104	
5/18/2006	CHECK	(50,000)	-	(50,000)	-	-	(78,885)	MDFTPP04097114	MDFTPP04097118	STESAD0108566
8/3/2006	CHECK	(50,000)	-	(50,000)	-	-	(128,885)	MDFTPP04097134	MDFTPP04097137	STESAD0084222
10/18/2006	CHECK	(77,461)	-	(77,461)	-	-	(206,346)	MDFTPP04097143	MDFTPP04097144	STESAD0095881
	<b>Total:</b>		\$ -	\$ (397,461)	\$ 191,115	\$ -	\$ (206,346)			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW336 - SAUL B KATZ BRIAN HAHN JR TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
7/2/2001	CHECK	28,450	28,450	-	-	-	28,450	MDFTPP04098612	MDFTPP04098613	
7/2/2001	CHECK	90,000	90,000	-	-	-	118,450	MDFTPP04098612	MDFTPP04098613	
7/2/2001	CHECK	31,550	31,550	-	-	-	150,000	MDFTPP04098612	MDFTPP04098613	
8/8/2001	CHECK WIRE	231,806	231,806	-	-	-	381,806	MDFTPP04098614	MDFTPP04098619	
8/15/2001	CANCEL WIRE	(231,806)	(231,806)	-	-	-	150,000	MDFTPP04098614	MDFTPP04098619	
2/5/2003	CHECK	(30,000)	-	(30,000)	-	-	120,000	MDFTPP04098721	MDFTPP04098724	STESAC0118309
2/5/2003	TRANS TO IKW02430 (IKW024)	(45,000)	-	-	-	(45,000)	75,000	MDFTPP04098721	MDFTPP04098724	STESAC0118309
5/1/2003	CHECK	(5,000)	-	(5,000)	-	-	70,000	MDFTPP04098738	MDFTPP04098743	STESAD0024506
5/1/2003	TRANS TO IKW02430 (IKW024)	(7,500)	-	-	-	(7,500)	62,500	MDFTPP04098738	MDFTPP04098743	STESAD0024506
12/18/2003	CHECK	35,000	35,000	-	-	-	97,500	MDFTPP04098784	MDFTPP04098787	STESAC0021827
1/8/2004	CHECK	(5,000)	-	(5,000)	-	-	92,500	MDFTPP04098789	MDFTPP04098794	
1/16/2004	TRANS TO IKW02430 (IKW024)	(7,500)	-	-	-	(7,500)	85,000	MDFTPP04098789	MDFTPP04098794	
1/16/2004	TRANS FROM IKW02430 (IKW024)	52,500 <sup>(1)</sup>	-	-	-	-	85,000	MDFTPP04098789	MDFTPP04098794	
12/13/2005	CHECK	(13,000)	-	(13,000)	-	-	72,000	MDFTPP04098918	MDFTPP04098923	STESAC0085649
12/16/2005	CHECK	(19,500)	-	(19,500)	-	-	52,500	MDFTPP04098918	MDFTPP04098923	STESAC0085653
12/21/2006	CHECK	(55,000)	-	(55,000)	-	-	(2,500)	MDFTPP04098997	MDFTPP04099002	
3/7/2007	CHECK	(57,500)	-	(57,500)	-	-	(60,000)	MDFTPP04099014	MDFTPP04099018	
	<b>Total:</b>		<b>\$ 185,000</b>	<b>\$ (185,000)</b>	<b>\$ -</b>	<b>\$ (60,000)</b>	<b>\$ (60,000)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW337 - MICHAEL KATZ ET AL TIC C/W II

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bates.	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(1)</sup>
7/3/2001	CHECK	904,438	904,438	-	-	-	904,438	MDFTPP04099547	MDFTPP04099549	
7/3/2001	CHECK	393,362	393,362	-	-	-	1,297,801	MDFTPP04099547	MDFTPP04099549	
7/3/2001	CHECK	224,762	224,762	-	-	-	1,522,563	MDFTPP04099547	MDFTPP04099549	
7/3/2001	CHECK	162,175	162,175	-	-	-	1,684,738	MDFTPP04099547	MDFTPP04099549	
7/3/2001	CHECK	1,203,115	1,203,115	-	-	-	2,887,853	MDFTPP04099547	MDFTPP04099549	
7/3/2001	CHECK	14,486	14,486	-	-	-	2,902,339	MDFTPP04099547	MDFTPP04099549	
7/3/2001	CHECK	49,950	49,950	-	-	-	2,952,289	MDFTPP04099547	MDFTPP04099549	
7/3/2001	CHECK	176,325	176,325	-	-	-	3,128,614	MDFTPP04099547	MDFTPP04099549	
7/3/2001	CHECK	242,013	242,013	-	-	-	3,370,626	MDFTPP04099547	MDFTPP04099549	
7/3/2001	CHECK	890,051	890,051	-	-	-	4,260,677	MDFTPP04099547	MDFTPP04099549	
7/3/2001	CHECK	224,823	224,823	-	-	-	4,485,500	MDFTPP04099547	MDFTPP04099549	
7/3/2001	CHECK	509,500	509,500	-	-	-	4,995,000	MDFTPP04099547	MDFTPP04099549	
2/21/2002	TRANS TO 1KW05230 (1KW052)	(242,013)	-	-	-	(242,013)	4,752,987	MDFTPP04099584	MDFTPP04099588	STESAD00051335
2/21/2002	TRANS TO 1KW35830 (1KW358)	(3,549,872)	-	-	-	(3,549,872)	1,203,115	MDFTPP04099584	MDFTPP04099588	STESAD00051335
2/21/2002	TRANS TO 1KW29930 (1KW299)	(1,203,115)	-	-	-	(1,203,115)	-	MDFTPP04099584	MDFTPP04099588	STESAD00051337
2/25/2002	CHECK	(298,046)	-	(298,046)	-	-	(298,046)	MDFTPP04099584	MDFTPP04099588	STESAD00051337
3/19/2002	CHECK	(5,472)	-	(5,472)	-	-	(303,518)	MDFTPP04099590	MDFTPP04099591	STESAD00057548
	<b>Total:</b>		<b>\$ 4,995,000</b>	<b>\$ (303,518)</b>	<b>\$ -</b>	<b>\$ (4,995,000)</b>	<b>\$ (303,518)</b>			

<sup>(1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.



BLMIS ACCOUNT NO. 1KW339 - STERLING METS ASSOCIATES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bates.	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(1)</sup>
7/13/2001	CHECK	1,980,000	1,980,000	-	-	-	1,980,000	MDFTPP04100164	MDFTPP04100165	
12/20/2001	CHECK	(2,000,000)	-	(2,000,000)	-	-	(20,000)	MDFTPP04100190	MDFTPP04100192	STESAD0079574
2/25/2002	CHECK	(91,570)	-	(91,570)	-	-	(111,570)	MDFTPP04100201	MDFTPP04100204	STESAD0051357
3/19/2002	CHECK	(83)	-	(83)	-	-	(111,653)	MDFTPP04100206	MDFTPP04100207	STESAD0057570
	<b>Total:</b>		<b>\$ 1,980,000</b>	<b>\$ (2,091,653)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (111,653)</b>			

<sup>(1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW341 - CHARLES STERLING LLC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
8/15/2001	CHECK WIRE	2,100,000	2,100,000	-	-	-	2,100,000	MDFTPP04101269	MDFTPP04101273	
3/7/2003	CHECK	(373,000)	-	(373,000)	-	-	1,727,000	MDFTPP04101385	MDFTPP04101392	STESAC0110747
3/31/2003	CHECK WIRE	157,000	157,000	-	-	-	1,884,000	MDFTPP04101385	MDFTPP04101392	STESAC0110757
6/25/2003	CHECK	(116,000)	-	(116,000)	-	-	1,768,000	MDFTPP04101404	MDFTPP04101408	
11/25/2003	CHECK WIRE	204,500	204,500	-	-	-	1,972,500	MDFTPP04101440	MDFTPP04101443	STESAD00004871
7/6/2004	CHECK WIRE	163,940	163,940	-	-	-	2,136,440	MDFTPP04101490	MDFTPP04101492	
10/13/2004	TRANS TO 1KW41430 (1KW414)	(2,016,876) <sup>(1)</sup>	-	-	-	(1,428,277)	708,163	MDFTPP04101507	MDFTPP04101509	
10/13/2004	TRANS TO 1KW41330 (1KW413)	(1,000,000) <sup>(1)</sup>	-	-	-	(708,163)	-	MDFTPP04101507	MDFTPP04101509	
	<b>Total:</b>		<b>\$ 2,625,440</b>	<b>\$ (489,000)</b>	<b>\$ -</b>	<b>\$ (2,136,440)</b>	<b>\$ -</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW345 - GREG KATZ, AMY KATZ, JT TEN MICHAEL KATZ, TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>11</sup>
10/2/2001	CHECK	70,000	70,000	-	-	-	70,000	MDPTPP04104465	MDPTPP04104467	STESAC0034498
10/2/2001	CHECK	80,000	80,000	-	-	-	150,000	MDPTPP04104465	MDPTPP04104467	STESAC0034498
2/3/2003	CHECK	35,000	35,000	-	-	-	185,000	MDPTPP04104555	MDPTPP04104559	STESAC0118383
2/3/2003	CHECK	40,000	40,000	-	-	-	225,000	MDPTPP04104555	MDPTPP04104559	STESAC0118383
9/10/2003	TRANS TO 1KW40230 (1KW402)	(150,000)	-	-	-	(150,000)	75,000	MDPTPP04104599	MDPTPP04104604	STESAD0072730
1/12/2004	CHECK	12,000	12,000	-	-	-	87,000	MDPTPP04104622	MDPTPP04104627	
1/12/2004	CHECK	10,500	10,500	-	-	-	97,500	MDPTPP04104622	MDPTPP04104627	
7/13/2004	CHECK	13,800	13,800	-	-	-	111,300	MDPTPP04104659	MDPTPP04104661	
7/13/2004	CHECK	12,000	12,000	-	-	-	123,300	MDPTPP04104659	MDPTPP04104661	
7/13/2005	CHECK	380,000	380,000	-	-	-	503,300	MDPTPP04104726	MDPTPP04104728	STESAC0008747
1/17/2006	CHECK	380,000	380,000	-	-	-	883,300	MDPTPP04104760	MDPTPP04104765	STESAD0008237
1/4/2007	CHECK	380,000	380,000	-	-	-	1,263,300	MDPTPP04104841	MDPTPP04104843	
3/30/2007	CHECK	(1,350,000)	-	(1,350,000)	-	-	(86,700)	MDPTPP04104851	MDPTPP04104856	STESAG0009146
		<b>Total:</b>	<b>\$ 1,413,300</b>	<b>\$ (1,350,000)</b>	<b>\$ -</b>	<b>\$ (150,000)</b>	<b>\$ (86,700)</b>			

<sup>11</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW346 - ROBBINSVILLE PARK LLC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bates.	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>1)</sup>
10/2/2001	CHECK	700,000	700,000	-	-	-	700,000	MDFTPP04104973	MDFTPP04104975	STESAC0034504
10/26/2001	CHECK	800,000	800,000	-	-	-	1,500,000	MDFTPP04104973	MDFTPP04104975	STESAC0034506
11/29/2002	CHECK	(232,000)	-	(232,000)	-	-	1,268,000	MDFTPP04105049	MDFTPP04105052	STESAC0035459
3/7/2003	CHECK	(104,000)	-	(104,000)	-	-	1,164,000	MDFTPP04105072	MDFTPP04105079	STESAC0110797
6/25/2003	CHECK	(295,000)	-	(295,000)	-	-	869,000	MDFTPP04105092	MDFTPP04105096	
5/26/2004	CHECK WIRE	(1,250,000)	-	(1,250,000)	-	-	(381,000)	MDFTPP04105162	MDFTPP04105166	
10/12/2004	CHECK	1,250,000	1,250,000	-	-	-	869,000	MDFTPP04105181	MDFTPP04105182	
12/21/2006	CHECK	(630,000)	-	(630,000)	-	-	239,000	MDFTPP04105336	MDFTPP04105341	
	<b>Total:</b>		<b>\$ 2,750,000</b>	<b>\$ (2,511,000)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 239,000</b>			

<sup>1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW347 - FS COMPANY LLC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>2</sup>
10/26/2001	TRANS FROM 1KW32330 (/KW323)	4,000,000 (1)	-	-	3,800,000	-	3,800,000	MDPTPP04105475	MDPTPP04105475	STESAC0034510
1/6/2003	CHECK	(600,000)	-	(600,000)	-	-	3,200,000	MDPTPP04105560	MDPTPP04105560	STESAC00116254
6/24/2003	CHECK	(1,000,000)	-	(1,000,000)	-	-	2,200,000	MDPTPP04105592	MDPTPP04105596	STESAD00027235
6/25/2003	CHECK	(1,000,000)	-	(1,000,000)	-	-	1,200,000	MDPTPP04105592	MDPTPP04105596	STESAD00027239
6/30/2003	RETURNED CHECK	1,000,000	-	1,000,000	-	-	2,200,000	MDPTPP04105592	MDPTPP04105596	STESAD00027239
12/23/2003	CHECK WIRE	3,945,087	3,945,087	-	-	-	6,145,087	MDPTPP04105632	MDPTPP04105635	STESAC0021901
12/24/2003	CHECK WIRE	6,985,625	6,985,625	-	-	-	13,130,712	MDPTPP04105632	MDPTPP04105635	STESAC0021901
1/8/2004	CHECK	(410,000)	-	(410,000)	-	-	12,720,712	MDPTPP04105637	MDPTPP04105642	
2/26/2004	CHECK	240,000	240,000	-	-	-	12,960,712	MDPTPP04105644	MDPTPP04105648	
7/26/2004	CHECK	(113,000)	-	(113,000)	-	-	12,847,712	MDPTPP04105678	MDPTPP04105680	
8/2/2004	CHECK	(30,000)	-	(30,000)	-	-	12,817,712	MDPTPP04105682	MDPTPP04105687	
9/1/2004	CHECK	(30,000)	-	(30,000)	-	-	12,787,712	MDPTPP04105689	MDPTPP04105693	
10/1/2004	CHECK	(30,000)	-	(30,000)	-	-	12,757,712	MDPTPP04105695	MDPTPP04105697	
11/1/2004	CHECK	(30,000)	-	(30,000)	-	-	12,727,712	MDPTPP04105699	MDPTPP04105704	
12/1/2004	CHECK	(30,000)	-	(30,000)	-	-	12,697,712	MDPTPP04105706	MDPTPP04105710	STESAF00097353
2/1/2005	CHECK	(30,000)	-	(30,000)	-	-	12,667,712	MDPTPP04105719	MDPTPP04105722	STESAC0014782
3/1/2005	CHECK	(30,000)	-	(30,000)	-	-	12,637,712	MDPTPP04105719	MDPTPP04105722	STESAC0012464
3/18/2005	CHECK	(1,300,000)	-	(1,300,000)	-	-	11,337,712	MDPTPP04105724	MDPTPP04105729	STESAC0135192
4/1/2005	CHECK	(30,000)	-	(30,000)	-	-	11,307,712	MDPTPP04105731	MDPTPP04105733	STESAC00135198
5/2/2005	CHECK	(30,000)	-	(30,000)	-	-	11,277,712	MDPTPP04105735	MDPTPP04105740	STESAC0133878
6/1/2005	CHECK	(30,000)	-	(30,000)	-	-	11,247,712	MDPTPP04105735	MDPTPP04105740	STESAC0133878
7/1/2005	CHECK	(30,000)	-	(30,000)	-	-	11,217,712	MDPTPP04105742	MDPTPP04105746	STESAC0006792
8/1/2005	CHECK	(30,000)	-	(30,000)	-	-	11,187,712	MDPTPP04105748	MDPTPP04105750	STESAC0008763
9/1/2005	CHECK	(30,000)	-	(30,000)	-	-	11,157,712	MDPTPP04105752	MDPTPP04105754	STESAC0010173
9/1/2005	CHECK	(30,000)	-	(30,000)	-	-	11,127,712	MDPTPP04105756	MDPTPP04105764	STESAC0126035
9/1/2005	CHECK	(200,000)	-	(200,000)	-	-	10,927,712	MDPTPP04105756	MDPTPP04105764	STESAC0126035
10/3/2005	CHECK	(30,000)	-	(30,000)	-	-	10,897,712	MDPTPP04105766	MDPTPP04105772	STESAC0129275
11/1/2005	CHECK	(30,000)	-	(30,000)	-	-	10,867,712	MDPTPP04105774	MDPTPP04105777	STESAC0088621
12/1/2005	CHECK	(30,000)	-	(30,000)	-	-	10,837,712	MDPTPP04105779	MDPTPP04105784	STESAC0085747
12/16/2005	CHECK	(500,000)	-	(500,000)	-	-	10,337,712	MDPTPP04105779	MDPTPP04105784	STESAC0085747
1/3/2006	CHECK	(30,000)	-	(30,000)	-	-	10,307,712	MDPTPP04105786	MDPTPP04105792	STESAD0008261
2/1/2006	CHECK	(30,000)	-	(30,000)	-	-	10,277,712	MDPTPP04105794	MDPTPP04105797	STESAD0005973
3/1/2006	CHECK	(30,000)	-	(30,000)	-	-	10,247,712	MDPTPP04105799	MDPTPP04105807	
3/28/2006	CHECK	(450,000)	-	(450,000)	-	-	9,797,712	MDPTPP04105799	MDPTPP04105807	
4/3/2006	CHECK	(30,000)	-	(30,000)	-	-	9,767,712	MDPTPP04105809	MDPTPP04105815	
5/1/2006	CHECK	(30,000)	-	(30,000)	-	-	9,737,712	MDPTPP04105817	MDPTPP04105822	STESAD0108658
6/1/2006	CHECK	(30,000)	-	(30,000)	-	-	9,707,712	MDPTPP04105824	MDPTPP04105828	STESAD0106042
7/3/2006	CHECK	(30,000)	-	(30,000)	-	-	9,677,712	MDPTPP04105830	MDPTPP04105836	STESAD0086835
8/1/2006	CHECK	(30,000)	-	(30,000)	-	-	9,647,712	MDPTPP04105838	MDPTPP04105841	STESAD0084312
9/1/2006	CHECK	(30,000)	-	(30,000)	-	-	9,617,712	MDPTPP04105843	MDPTPP04105847	STESAD0102050
10/2/2006	CHECK	(30,000)	-	(30,000)	-	-	9,587,712	MDPTPP04105849	MDPTPP04105854	STESAD00095989
11/1/2006	CHECK	(30,000)	-	(30,000)	-	-	9,557,712	MDPTPP04105856	MDPTPP04105861	
12/1/2006	CHECK	(30,000)	-	(30,000)	-	-	9,527,712	MDPTPP04105863	MDPTPP04105868	
12/21/2006	CHECK	(1,300,000)	-	(1,300,000)	-	-	8,227,712	MDPTPP04105863	MDPTPP04105868	STESAD0093518
1/2/2007	CHECK	(25,000)	-	(25,000)	-	-	8,202,712	MDPTPP04105870	MDPTPP04105872	
1/5/2007	CHECK WIRE	300,000	300,000	-	-	-	8,502,712	MDPTPP04105870	MDPTPP04105872	
2/1/2007	CHECK	(25,000)	-	(25,000)	-	-	8,477,712	MDPTPP04105873	MDPTPP04105879	STESAF00046712
3/1/2007	CHECK	(25,000)	-	(25,000)	-	-	8,452,712	MDPTPP04105881	MDPTPP04105886	STESAF0009170
4/2/2007	CHECK	(25,000)	-	(25,000)	-	-	8,427,712	MDPTPP04105889	MDPTPP04105894	STESAF00051104
5/1/2007	CHECK	(25,000)	-	(25,000)	-	-	8,402,712	MDPTPP04105896	MDPTPP04105899	STESAF0003785
6/1/2007	CHECK	(25,000)	-	(25,000)	-	-	8,377,712	MDPTPP04105901	MDPTPP04105906	
7/2/2007	CHECK	(25,000)	-	(25,000)	-	-	8,352,712	MDPTPP04105908	MDPTPP04105910	STESAF0030754
8/1/2007	CHECK	(25,000)	-	(25,000)	-	-	8,327,712	MDPTPP04105912	MDPTPP04105913	STESAF00032346
9/4/2007	CHECK	(25,000)	-	(25,000)	-	-	8,302,712	MDPTPP04105915	MDPTPP04105920	
10/1/2007	CHECK	(25,000)	-	(25,000)	-	-	8,277,712	MDPTPP04105922	MDPTPP04105924	
10/1/2007	CHECK	(1,500,000)	-	(1,500,000)	-	-	6,777,712	MDPTPP04105922	MDPTPP04105924	
11/1/2007	CHECK	(25,000)	-	(25,000)	-	-	6,752,712	MDPTPP04105926	MDPTPP04105930	STESAF00041167
12/3/2007	CHECK	(25,000)	-	(25,000)	-	-	6,727,712	MDPTPP04105932	MDPTPP04105936	STESAF00039456

BLMIS ACCOUNT NO. 1KW347 - FS COMPANY LLC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
1/2/2008	CHECK	(25,000)	-	(25,000)	-	-	6,702,712	MDPTPP04105938	MDPTPP04105940	STESAF00006657
2/1/2008	CHECK	(25,000)	-	(25,000)	-	-	6,677,712	MDPTPP04105941	MDPTPP04105942	STESAF00001697
3/3/2008	CHECK	(25,000)	-	(25,000)	-	-	6,652,712	MDPTPP04105943	MDPTPP04105945	STESAF0002733
4/1/2008	CHECK	(25,000)	-	(25,000)	-	-	6,627,712	MDPTPP04105946	MDPTPP04105951	STESAF0005054
5/1/2008	CHECK	(25,000)	-	(25,000)	-	-	6,602,712	MDPTPP04105953	MDPTPP04105957	STESAF00007837
5/29/2008	CHECK	(800,000)	-	(800,000)	-	-	5,802,712	MDPTPP04105953	MDPTPP04105957	STESAF00007843
6/2/2008	CHECK	(25,000)	-	(25,000)	-	-	5,777,712	MDPTPP04105959	MDPTPP04105961	STESAF0010001
7/1/2008	CHECK	(25,000)	-	(25,000)	-	-	5,752,712	MDPTPP04105963	MDPTPP04105964	STESAF0011504
8/1/2008	CHECK	(25,000)	-	(25,000)	-	-	5,727,712	MDPTPP04105966	MDPTPP04105970	
9/2/2008	CHECK	(25,000)	-	(25,000)	-	-	5,702,712	MDPTPP04105972	MDPTPP04105976	
10/1/2008	CHECK	(25,000)	-	(25,000)	-	-	5,677,712	MDPTPP04105978	MDPTPP04105980	STESAF0016426
11/3/2008	CHECK	(25,000)	-	(25,000)	-	-	5,652,712	MDPTPP04105982	MDPTPP04105987	STESAF0018465
12/1/2008	CHECK	(25,000)	-	(25,000)	-	-	5,627,712	Checkbook	Checkbook	
	<b>Total:</b>		<b>\$ 11,470,712</b>	<b>\$ (9,643,000)</b>	<b>\$ 3,800,000</b>	<b>\$ -</b>	<b>\$ 5,627,712</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW348 - 157 J.E.S LLC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bates.	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(1)</sup>
10/29/2001	CHECK	725,000	725,000	-	-	-	725,000	MDFTPP04106546	MDFTPP04106546	
11/7/2002	CHECK	(95,000)	-	(95,000)	-	-	630,000	MDFTPP04106619	MDFTPP04106622	STESAC0035477
2/21/2003	CHECK WIRE	65,000	65,000	-	-	-	695,000	MDFTPP04106636	MDFTPP04106640	STESAC0118419
12/21/2005	CHECK	(1,080,327)	-	(1,080,327)	-	-	(385,327)	MDFTPP04106837	MDFTPP04106841	STESAC0085767
4/24/2006	CHECK	(4,355)	-	(4,355)	-	-	(389,682)	MDFTPP04106849	MDFTPP04106850	STESAD0111512
	<b>Total:</b>		<b>\$ 790,000</b>	<b>\$ (1,179,682)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (389,682)</b>			

<sup>(1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW349 - CONEY ISLAND BASEBALL HOLDING CO LLC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(1)</sup>
11/7/2001	CHECK	1,800,000	1,800,000	-	-	-	1,800,000	MDFTPP04107035	MDFTPP04107036	STESAD0077819
12/20/2001	CHECK	(1,800,000)	-	(1,800,000)	-	-	-	MDFTPP04107037	MDFTPP04107041	STESAD0079682
2/18/2004	CHECK	(29,426)	-	(29,426)	-	-	(29,426)	MDFTPP04107093	MDFTPP04107094	
	<b>Total:</b>		<b>\$ 1,800,000</b>	<b>\$ (1,829,426)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (29,426)</b>			

<sup>(1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.



BLMIS ACCOUNT NO. 1KW354 - MICHAEL KATZ-SEF

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>11</sup>
1/4/2002	CHECK	1,176,357	1,176,357	-	-	-	1,176,357	MDFTPP04110224	MDFTPP04110229	STESAD0049070
12/13/2002	CHECK	(60,000)	-	(60,000)	-	-	1,116,357	MDFTPP04110293	MDFTPP04110296	STESAD0065295
3/6/2003	CHECK	(220,000)	-	(220,000)	-	-	896,357	MDFTPP04110310	MDFTPP04110316	STESAC0110903
9/10/2003	TRANS TO 1KW40230 (1KW402)	(300,000)	-	-	-	(300,000)	596,357	MDFTPP04110349	MDFTPP04110356	STESAD0072832
3/4/2004	CHECK	(276,400)	-	(276,400)	-	-	319,957	MDFTPP04110387	MDFTPP04110389	
1/26/2005	CHECK	(132,000)	-	(132,000)	-	-	187,957	MDFTPP04110445	MDFTPP04110450	STESAC0014852
3/28/2006	CHECK	(250,000)	-	(250,000)	-	-	(62,043)	MDFTPP04110525	MDFTPP04110531	
7/18/2008	CHECK	(276,200)	-	(276,200)	-	-	(338,243)	MDFTPP04110680	MDFTPP04110682	STESAF0011528
7/21/2008	CHECK WIRE	230,000	230,000	-	-	-	(108,243)	MDFTPP04110680	MDFTPP04110682	STESAF0011528
	<b>Total:</b>		<b>\$ 1,406,357</b>	<b>\$ (1,214,600)</b>	<b>\$ -</b>	<b>\$ (300,000)</b>	<b>\$ (108,243)</b>			

<sup>11</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW358 - STERLING 20 LLC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bal.	BLMIS SOURCE DOCUMENT: End Bal.	DEFENDANTS' PRODUCTION Bates Ref <sup>al</sup>
2/21/2002	TRANS FROM 1KW06730 (IKW067)	1,427,441	-	-	1,427,441	-	1,427,441	MDPTPP04113637	MDPTPP04113645	STESAD0051531
2/21/2002	TRANS FROM 1KW02430 (IKW024)	3,356,583 (1)	-	-	-	-	1,427,441	MDPTPP04113637	MDPTPP04113645	STESAD0051531
2/21/2002	TRANS FROM 1KW26330 (IKW263)	837,825 (2)	-	-	459,256	-	1,886,697	MDPTPP04113637	MDPTPP04113645	STESAD0051531
2/21/2002	TRANS FROM 1KW08130 (IKW081)	3,251,546 (2)	-	-	1,641,384	-	3,528,081	MDPTPP04113637	MDPTPP04113645	STESAD0051531
2/21/2002	TRANS FROM 1KW14430 (IKW144)	365,000 (2)	-	-	142,937	-	3,671,018	MDPTPP04113637	MDPTPP04113645	STESAD0051531
2/21/2002	TRANS FROM 1KW06130 (IKW061)	1,868,531 (1)	-	-	-	-	3,671,018	MDPTPP04113637	MDPTPP04113645	STESAD0051531
2/21/2002	TRANS FROM 1KW33730 (IKW337)	3,549,872 (1)	-	-	3,549,872	-	7,220,890	MDPTPP04113637	MDPTPP04113645	STESAD0051531
2/21/2002	TRANS FROM 1KW01930 (IKW019)	2,160,000 (2)	-	-	52,089	-	7,272,978	MDPTPP04113637	MDPTPP04113645	STESAD0051531
2/21/2002	TRANS FROM 1KW04430 (IKW044)	1,236,208 (1)	-	-	-	-	7,272,978	MDPTPP04113637	MDPTPP04113645	STESAD0051531
2/21/2002	TRANS FROM 1KW29830 (IKW298)	1,010,584 (1)	-	-	1,010,584	-	8,283,562	MDPTPP04113637	MDPTPP04113645	STESAD0051531
2/21/2002	TRANS FROM 1KW02030 (IKW020)	269,443 (1)	-	-	-	-	8,283,562	MDPTPP04113637	MDPTPP04113645	STESAD0051531
2/21/2002	TRANS FROM 1KW00430 (IKW004)	291,552 (1)	20,000,000	-	-	-	8,283,562	MDPTPP04113637	MDPTPP04113645	STESAD0051531
3/4/2002	CHECK WIRE	20,000,000	20,000,000	-	-	-	28,283,562	MDPTPP04113647	MDPTPP04113656	STESAD0057845
3/4/2002	CHECK	177	177	-	-	-	28,283,739	MDPTPP04113647	MDPTPP04113656	STESAD0057845
3/4/2002	CHECK	575,238	575,238	-	-	-	28,858,977	MDPTPP04113647	MDPTPP04113656	STESAD0057845
6/3/2002	CHECK	(40,000)	-	(40,000)	-	-	28,818,977	MDPTPP04113696	MDPTPP04113702	STESAC0069780
6/10/2002	CHECK	(1,800,000)	-	(1,800,000)	-	-	27,018,977	MDPTPP04113696	MDPTPP04113702	STESAC0069780
7/1/2002	CHECK	(40,000)	-	(40,000)	-	-	26,978,977	MDPTPP04113704	MDPTPP04113718	STESAC0071383
8/1/2002	CHECK	(40,000)	-	(40,000)	-	-	26,938,977	MDPTPP04113721	MDPTPP04113733	STESAC0067373
8/22/2002	CHECK	(1,600,000)	-	(1,600,000)	-	-	25,338,977	MDPTPP04113721	MDPTPP04113733	STESAC0067373
9/3/2002	CHECK	(40,000)	-	(40,000)	-	-	25,298,977	MDPTPP04113736	MDPTPP04113740	STESAC0041467
9/27/2002	CHECK	(85,000)	-	(85,000)	-	-	25,213,977	MDPTPP04113736	MDPTPP04113740	STESAC0041469
10/1/2002	CHECK	(40,000)	-	(40,000)	-	-	25,173,977	MDPTPP04113742	MDPTPP04113753	STESAC0039458
11/1/2002	CHECK	(40,000)	-	(40,000)	-	-	25,133,977	MDPTPP04113756	MDPTPP04113768	STESAC0035561
11/2/2002	CHECK	(420,000)	-	(420,000)	-	-	24,713,977	MDPTPP04113770	MDPTPP04113776	STESAD0065331
12/2/2002	CHECK	(40,000)	-	(40,000)	-	-	24,673,977	MDPTPP04113778	MDPTPP04113791	STESAC0114738
12/2/2002	CHECK	(40,000)	-	(40,000)	-	-	24,633,977	MDPTPP04113794	MDPTPP04113807	STESAC0118511
2/3/2003	CHECK	(40,000)	100,000	-	-	-	24,593,977	MDPTPP04113794	MDPTPP04113807	STESAC0118511
2/13/2003	CHECK	100,000	100,000	-	-	-	24,693,977	MDPTPP04113809	MDPTPP04113829	STESAC0110969
3/3/2003	CHECK	(40,000)	-	(40,000)	-	-	24,653,977	MDPTPP04113829	MDPTPP04113829	STESAC0110969
3/5/2003	RETURNED CHECK	(40,000)	-	(40,000)	-	-	24,693,977	MDPTPP04113809	MDPTPP04113829	STESAC0110969
4/1/2003	CHECK	(40,000)	-	(40,000)	-	-	24,653,977	MDPTPP04113833	MDPTPP04113836	STESAC0112878
4/8/2003	CHECK	(950,000)	-	(950,000)	-	-	23,703,977	MDPTPP04113833	MDPTPP04113836	STESAC0112878
5/1/2003	CHECK	(40,000)	-	(40,000)	-	-	23,663,977	MDPTPP04113838	MDPTPP04113853	STESAD0024742
6/2/2003	CHECK	(40,000)	-	(40,000)	-	-	23,623,977	MDPTPP04113857	MDPTPP04113869	STESAD0027345
6/25/2003	CHECK	(600,000)	-	(600,000)	-	-	23,023,977	MDPTPP04113857	MDPTPP04113869	STESAD0027345
7/1/2003	CHECK	(40,000)	-	(40,000)	-	-	22,983,977	MDPTPP04113872	MDPTPP04113886	STESAC0093435
8/1/2003	CHECK	(40,000)	-	(40,000)	-	-	22,943,977	MDPTPP04113889	MDPTPP04113900	STESAD0069750
8/22/2003	CHECK	(1,000,000)	-	(1,000,000)	-	-	21,943,977	MDPTPP04113889	MDPTPP04113900	STESAD0069750
9/2/2003	CHECK	(40,000)	-	(40,000)	-	-	21,903,977	MDPTPP04113902	MDPTPP04113921	STESAD0072888
10/1/2003	CHECK	(40,000)	-	(40,000)	-	-	21,863,977	MDPTPP04113924	MDPTPP04113934	STESAD0072888
11/3/2003	CHECK	(40,000)	-	(40,000)	-	-	21,823,977	MDPTPP04113937	MDPTPP04113949	STESAD0005025
11/24/2003	CHECK	(1,210,000)	-	(1,210,000)	-	-	20,613,977	MDPTPP04113937	MDPTPP04113949	STESAD0005025
12/1/2003	CHECK	(30,000)	-	(30,000)	-	-	20,583,977	MDPTPP04113951	MDPTPP04113969	STESAD0005039
1/2/2004	CHECK	(30,000)	-	(30,000)	-	-	20,553,977	MDPTPP04113971	MDPTPP04113983	STESAD0005039
2/2/2004	CHECK	(30,000)	-	(30,000)	-	-	20,523,977	MDPTPP04113986	MDPTPP04113998	STESAD0005039
2/27/2004	CHECK	(750,000)	-	(750,000)	-	-	19,773,977	MDPTPP04113986	MDPTPP04113998	STESAD0005039
3/1/2004	CHECK	(30,000)	-	(30,000)	-	-	19,743,977	MDPTPP04114000	MDPTPP04114004	STESAD0005039
4/1/2004	CHECK	(30,000)	-	(30,000)	-	-	19,713,977	MDPTPP04114006	MDPTPP04114021	STESAD0005039
5/3/2004	CHECK	(30,000)	-	(30,000)	-	-	19,683,977	MDPTPP04114024	MDPTPP04114034	STESAD0005039
6/1/2004	CHECK	(30,000)	-	(30,000)	-	-	19,653,977	MDPTPP04114036	MDPTPP04114057	STESAD0005039
6/4/2004	CHECK	(600,000)	-	(600,000)	-	-	19,053,977	MDPTPP04114036	MDPTPP04114057	STESAD0005039
7/1/2004	CHECK	(30,000)	-	(30,000)	-	-	19,023,977	MDPTPP04114060	MDPTPP04114063	STESAD0005039
7/2/2004	CHECK	(600,000)	-	(600,000)	-	-	18,423,977	MDPTPP04114060	MDPTPP04114063	STESAD0005039
8/2/2004	CHECK	(30,000)	-	(30,000)	-	-	18,393,977	MDPTPP04114065	MDPTPP04114078	STESAD0005039
9/1/2004	CHECK	(30,000)	-	(30,000)	-	-	18,363,977	MDPTPP04114081	MDPTPP04114093	STESAD0005039

BELMIS ACCOUNT NO. 1KW358 - STERLING 20 LLC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BELMIS SOURCE DOCUMENT: Beg. Bal.	BELMIS SOURCE DOCUMENT: End Bal.	DEFENDANTS' PRODUCTION Bates Ref <sup>al</sup>
9/24/2004	CHECK	(900,000)	-	(900,000)	-	-	17,463,977	MDPTPP04114081	MDPTPP04114093	
10/1/2004	CHECK	(30,000)	-	(30,000)	-	-	17,433,977	MDPTPP04114095	MDPTPP04114098	
11/1/2004	CHECK	(30,000)	-	(30,000)	-	-	17,403,977	MDPTPP04114100	MDPTPP04114116	
12/1/2004	CHECK	(30,000)	-	(30,000)	-	-	17,373,977	MDPTPP04114119	MDPTPP04114131	STESAF0097467
12/14/2004	CHECK	(600,000)	-	(600,000)	-	-	16,773,977	MDPTPP04114119	MDPTPP04114131	STESAF0097469
1/3/2005	CHECK	(30,000)	-	(30,000)	-	-	16,743,977	MDPTPP04114133	MDPTPP04114146	STESAC0014908
2/1/2005	CHECK	(30,000)	-	(30,000)	-	-	16,713,977	MDPTPP04114149	MDPTPP04114152	STESAC0012554
3/1/2005	CHECK	(30,000)	-	(30,000)	-	-	16,683,977	MDPTPP04114154	MDPTPP04114168	STESAC0135290
3/18/2005	CHECK	(600,000)	-	(600,000)	-	-	16,083,977	MDPTPP04114154	MDPTPP04114168	STESAC0135310
4/1/2005	CHECK	(50,000)	-	(50,000)	-	-	16,033,977	MDPTPP04114170	MDPTPP04114174	STESAC0131554
4/25/2005	CHECK	(400,000)	-	(400,000)	-	-	15,633,977	MDPTPP04114170	MDPTPP04114174	STESAC0131556
5/2/2005	CHECK	(50,000)	-	(50,000)	-	-	15,583,977	MDPTPP04114176	MDPTPP04114188	STESAC0133988
6/1/2005	CHECK	(50,000)	-	(50,000)	-	-	15,533,977	MDPTPP04114191	MDPTPP04114201	STESAC0006900
6/15/2005	CHECK	(200,000)	-	(200,000)	-	-	15,333,977	MDPTPP04114191	MDPTPP04114201	STESAC0006900
7/1/2005	CHECK	(60,000)	-	(60,000)	-	-	15,273,977	MDPTPP04114203	MDPTPP04114206	STESAC0008835
7/8/2005	CHECK	(450,000)	-	(450,000)	-	-	14,823,977	MDPTPP04114203	MDPTPP04114206	STESAC0008835
8/1/2005	CHECK	(60,000)	-	(60,000)	-	-	14,763,977	MDPTPP04114208	MDPTPP04114210	STESAC0010229
9/1/2005	CHECK	(60,000)	-	(60,000)	-	-	14,703,977	MDPTPP04114212	MDPTPP04114234	STESAC0126177
10/3/2005	CHECK	(60,000)	-	(60,000)	-	-	14,643,977	MDPTPP04114237	MDPTPP04114252	STESAC0129403
10/14/2005	CHECK	(500,000)	-	(500,000)	-	-	14,143,977	MDPTPP04114237	MDPTPP04114252	STESAC0129419
11/1/2005	CHECK	(60,000)	-	(60,000)	-	-	14,083,977	MDPTPP04114255	MDPTPP04114260	STESAC0088711
12/1/2005	CHECK	(60,000)	-	(60,000)	-	-	14,023,977	MDPTPP04114262	MDPTPP04114278	STESAC0085871
12/12/2005	CHECK	(1,100,000)	-	(1,100,000)	-	-	12,923,977	MDPTPP04114262	MDPTPP04114278	STESAC0085871
1/3/2006	CHECK	(60,000)	-	(60,000)	-	-	12,863,977	MDPTPP04114280	MDPTPP04114296	STESAD00008381
2/1/2006	CHECK	(60,000)	-	(60,000)	-	-	12,803,977	MDPTPP04114299	MDPTPP04114303	STESAD00006055
3/1/2006	CHECK	(60,000)	-	(60,000)	-	-	12,743,977	MDPTPP04114305	MDPTPP04114322	
3/28/2006	CHECK	(700,000)	-	(700,000)	-	-	12,043,977	MDPTPP04114305	MDPTPP04114322	
4/3/2006	CHECK	(60,000)	-	(60,000)	-	-	11,983,977	MDPTPP04114325	MDPTPP04114338	
5/1/2006	CHECK	(60,000)	-	(60,000)	-	-	11,923,977	MDPTPP04114341	MDPTPP04114349	STESAD0108758
6/1/2006	CHECK	(60,000)	-	(60,000)	-	-	11,863,977	MDPTPP04114352	MDPTPP04114364	STESAD0106126
7/3/2006	CHECK	(60,000)	-	(60,000)	-	-	11,803,977	MDPTPP04114366	MDPTPP04114377	STESAD0086963
8/1/2006	CHECK	(60,000)	-	(60,000)	-	-	11,743,977	MDPTPP04114379	MDPTPP04114384	STESAD0084400
9/1/2006	CHECK	(60,000)	-	(60,000)	-	-	11,683,977	MDPTPP04114386	MDPTPP04114396	STESAD0096101
10/2/2006	CHECK	(60,000)	-	(60,000)	-	-	11,623,977	MDPTPP04114398	MDPTPP04114412	STESAD0093616
11/1/2006	CHECK	(60,000)	-	(60,000)	-	-	11,563,977	MDPTPP04114414	MDPTPP04114421	
12/1/2006	CHECK	(60,000)	-	(60,000)	-	-	11,503,977	MDPTPP04114423	MDPTPP04114439	
12/26/2006	CHECK	(540,000)	-	(540,000)	-	-	10,963,977	MDPTPP04114423	MDPTPP04114439	
1/2/2007	CHECK	(100,000)	-	(100,000)	-	-	10,863,977	MDPTPP04114441	MDPTPP04114446	STESAK0001222
2/1/2007	CHECK	(100,000)	-	(100,000)	-	-	10,763,977	MDPTPP04114447	MDPTPP04114462	STESAK0001203
3/1/2007	CHECK	(100,000)	-	(100,000)	-	-	10,663,977	MDPTPP04114465	MDPTPP04114481	STESAK0001229
3/28/2007	CHECK	(3,800,000)	-	(3,800,000)	-	-	6,863,977	MDPTPP04114465	MDPTPP04114481	STESAK0001241
4/2/2007	CHECK	(100,000)	-	(100,000)	-	-	6,763,977	MDPTPP04114484	MDPTPP04114497	STESAK0001186
5/1/2007	CHECK	(100,000)	-	(100,000)	-	-	6,663,977	MDPTPP04114500	MDPTPP04114504	STESAF0053865
6/1/2007	CHECK	(100,000)	-	(100,000)	-	-	6,563,977	MDPTPP04114506	MDPTPP04114519	
6/25/2007	CHECK	(1,400,000)	-	(1,400,000)	-	-	5,163,977	MDPTPP04114506	MDPTPP04114519	STESAF0030842
7/2/2007	CHECK	(100,000)	-	(100,000)	-	-	5,063,977	MDPTPP04114521	MDPTPP04114525	STESAF0032394
8/1/2007	CHECK	(100,000)	-	(100,000)	-	-	4,963,977	MDPTPP04114527	MDPTPP04114535	
9/4/2007	CHECK	(100,000)	-	(100,000)	-	-	4,863,977	MDPTPP04114537	MDPTPP04114557	
10/1/2007	CHECK	(1,000,000)	-	(1,000,000)	-	-	3,863,977	MDPTPP04114560	MDPTPP04114567	
10/1/2007	CHECK	(100,000)	-	(100,000)	-	-	3,763,977	MDPTPP04114560	MDPTPP04114586	STESAF0041263
11/1/2007	CHECK	(100,000)	-	(100,000)	-	-	3,663,977	MDPTPP04114569	MDPTPP04114586	STESAF0039552
12/3/2007	CHECK	(100,000)	-	(100,000)	-	-	3,563,977	MDPTPP04114589	MDPTPP04114601	STESAF0039564
12/21/2007	CHECK	(500,000)	-	(500,000)	-	-	3,063,977	MDPTPP04114589	MDPTPP04114601	
1/2/2008	CHECK	(100,000)	-	(100,000)	-	-	2,963,977	MDPTPP04114603	MDPTPP04114611	
2/1/2008	CHECK	(100,000)	-	(100,000)	-	-	2,863,977	MDPTPP04114613	MDPTPP04114618	STESAF0002771
3/3/2008	CHECK	(100,000)	-	(100,000)	-	-	2,763,977	MDPTPP04114620	MDPTPP04114629	STESAF0005166
4/1/2008	CHECK	(100,000)	-	(100,000)	-	-	2,663,977	MDPTPP04114631	MDPTPP04114640	STESAF0007933
5/1/2008	CHECK	(100,000)	-	(100,000)	-	-	2,563,977	MDPTPP04114642	MDPTPP04114654	STESAF0007951
5/29/2008	CHECK	(725,000)	-	(725,000)	-	-	1,838,977	MDPTPP04114642	MDPTPP04114654	

BLMIS ACCOUNT NO. 1KW358 - STERLING 20 LLC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>3)</sup>
6/2/2008	CHECK	(100,000)	-	(100,000)	-	-	1,738,977	MDFTPP04114657	MDFTPP04114661	STESAF0010065
7/1/2008	CHECK	(100,000)	-	(100,000)	-	-	1,638,977	MDFTPP04114663	MDFTPP04114671	STESAF0011554
8/1/2008	CHECK	(100,000)	-	(100,000)	-	-	1,538,977	MDFTPP04114673	MDFTPP04114681	
9/2/2008	CHECK	(80,000)	-	(80,000)	-	-	1,458,977	MDFTPP04114684	MDFTPP04114696	STESAF0016522
9/23/2008	CHECK	(1,400,000)	-	(1,400,000)	-	-	58,977	MDFTPP04114684	MDFTPP04114696	STESAF0016538
10/1/2008	CHECK	(80,000)	-	(80,000)	-	-	(21,023)	MDFTPP04114698	MDFTPP04114702	STESAF0018517
11/3/2008	CHECK	(80,000)	-	(80,000)	-	-	(101,023)	MDFTPP04114704	MDFTPP04114720	STESAK0000031
12/1/2008	CHECK	(80,000)	-	(80,000)	-	-	(181,023)	Checkbook	Checkbook	
	<b>Total:</b>		<b>\$ 20,675,415</b>	<b>\$ (29,140,000)</b>	<b>\$ 8,283,562</b>	<b>\$ -</b>	<b>\$ -</b>			

<sup>1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>2)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW359 - STERLING EQUITIES (GREENWOOD) C/O MATTHEW BERNSTEIN MS# NYC034091

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(1)</sup>
3/19/2002	CHECK	171,273	171,273	-	-	-	171,273	MDFTPP04115889	MDFTPP04115889	STESAD0057871
3/23/2004	CHECK	(209,579)	-	(209,579)	-	-	(38,306)	MDFTPP04116022	MDFTPP04116024	
8/5/2004	CHECK	(193)	-	(193)	-	-	(38,499)	MDFTPP04116038	MDFTPP04116039	
	<b>Total:</b>		<b>\$ 171,273</b>	<b>\$ (209,772)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (38,499)</b>			

<sup>(1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW362 - DAVID M KATZ ET AL TIC F/M

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(1)</sup>
5/3/2002	CHECK	1,359,080	1,359,080	-	-	-	1,359,080	MDFTPP04118093	MDFTPP04118098	
5/3/2002	CHECK	191,520	191,520	-	-	-	1,550,600	MDFTPP04118093	MDFTPP04118098	
5/3/2002	CHECK	96,320	96,320	-	-	-	1,646,920	MDFTPP04118093	MDFTPP04118098	
5/3/2002	CHECK	256,120	256,120	-	-	-	1,903,040	MDFTPP04118093	MDFTPP04118098	
5/3/2002	CHECK	25,760	25,760	-	-	-	1,928,800	MDFTPP04118093	MDFTPP04118098	
5/3/2002	CHECK	1,428,240	1,428,240	-	-	-	3,357,040	MDFTPP04118093	MDFTPP04118098	
5/3/2002	CHECK	421,400	421,400	-	-	-	3,778,440	MDFTPP04118093	MDFTPP04118098	
5/3/2002	CHECK	234,360	234,360	-	-	-	4,012,800	MDFTPP04118093	MDFTPP04118098	
5/3/2002	CHECK	10,000	10,000	-	-	-	4,022,800	MDFTPP04118093	MDFTPP04118098	
5/3/2002	CHECK	421,400	421,400	-	-	-	4,444,200	MDFTPP04118093	MDFTPP04118098	
6/26/2002	CHECK	(4,570,946)	-	(4,570,946)	-	-	(126,746)	MDFTPP04118100	MDFTPP04118104	STESAC0069832
10/31/2002	CHECK	(1,618)	-	(1,618)	-	-	(128,365)	MDFTPP04118115	MDFTPP04118116	STESAC0039636
	<b>Total:</b>		<b>\$ 4,444,200</b>	<b>\$ (4,572,565)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (128,365)</b>			

<sup>(1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW363 - SAUL B KATZ PAWLING REFINANCING

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Dates	BLMIS SOURCE DOCUMENT: End Dates	DEFENDANTS' PRODUCTION Bates Ref
5/9/2002	CHECK	460,085	460,085	-	-	-	460,085	MDFTPP04118118	MDFTPP04118118	STESAC0074757
6/25/2003	CHECK	(519,146)	-	(519,146)	-	-	(59,061)	MDFTPP04118187	MDFTPP04118190	STESAD0027415
8/19/2003	TRANS TO IKW02430 (IKW024)	(605)	-	-	-	-	(59,061)	MDFTPP04118195	MDFTPP04118196	STESAD0069812
	<b>Total:</b>		<b>\$ 460,085</b>	<b>\$ (519,146)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (59,061)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

BLMIS ACCOUNT NO. 1KW365 - L. THOMAS OSTERMAN TRACING

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref
7/3/2002	CHECK	111,320	111,320	-	-	-	111,320	MDFTPP04118309	MDFTPP04118315	STESAC0071493
8/19/2003	TRANS TO 1KW31330 (/KW2 /3)	(111,320)	-	-	-	(111,320)	-	MDFTPP04118389	MDFTPP04118393	STESAD0069834
8/22/2003	CHECK	(12,146)	-	(12,146)	-	-	(12,146)	MDFTPP04118389	MDFTPP04118393	STESAD0069834
10/14/2003	CHECK	(155)	-	(155)	-	-	(12,302)	MDFTPP04118399	MDFTPP04118400	STESAD0000112
<b>Total:</b>			<b>\$ 111,320</b>	<b>\$ (12,302)</b>	<b>\$ -</b>	<b>\$ (111,320)</b>	<b>\$ (12,302)</b>			



BLMIS ACCOUNT NO. 1KW366 - MARVIN B TEPPER TRACING

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	End Bates	DEFENDANTS' PRODUCTION Bates Ref
7/3/2002	CHECK	222,250	222,250	-	-	-	222,250	MDFTPP04118408	MDFTPP04118414	STESAC0071509
6/27/2003	CHECK	(249,257) <sup>(1)</sup>	-	(249,257)	-	-	(27,007)	MDFTPP04118471	MDFTPP04118475	STESAD0027449
8/19/2003	TRANS TO 1KW26330 (1KW263)	(30) <sup>(1)</sup>	-	-	-	-	(27,007)	MDFTPP04118480	MDFTPP04118481	STESAD0069842
	<b>Total:</b>		<b>\$ 222,250</b>	<b>\$ (249,257)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (27,007)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

BLMIS ACCOUNT NO. 1KW367 - ROBIN WACHTLER & PHILIP WACHTLER JT/WROS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>u</sup>
7/3/2002	CHECK	500,000	500,000	-	-	-	500,000	MDFTPP04118495	MDFTPP04118501	STESAC0071523
8/1/2002	CHECK	(5,000)	-	(5,000)	-	-	495,000	MDFTPP04118503	MDFTPP04118507	STESAC0067477
9/3/2002	CHECK	(5,000)	-	(5,000)	-	-	490,000	MDFTPP04118509	MDFTPP04118512	STESAC0041541
9/18/2002	TRANS TO 1KW09630 (1KW096)	(12,000)	-	-	-	(12,000)	478,000	MDFTPP04118519	MDFTPP04118512	STESAC0041543
10/1/2002	CHECK	(5,000)	-	(5,000)	-	-	473,000	MDFTPP04118514	MDFTPP04118518	STESAC0039696
11/1/2002	CHECK	(5,000)	-	(5,000)	-	-	468,000	MDFTPP04118520	MDFTPP04118523	STESAC0035659
12/2/2002	CHECK	(5,000)	-	(5,000)	-	-	463,000	MDFTPP04118525	MDFTPP04118527	STESAD0065411
1/2/2003	CHECK	(5,000)	-	(5,000)	-	-	458,000	MDFTPP04118529	MDFTPP04118534	STESAC0116268
2/3/2003	CHECK	(5,000)	-	(5,000)	-	-	453,000	MDFTPP04118536	MDFTPP04118539	STESAC0118611
3/3/2003	CHECK	(5,000)	-	(5,000)	-	-	448,000	MDFTPP04118541	MDFTPP04118547	STESAC0111129
4/1/2003	CHECK	(5,000)	-	(5,000)	-	-	443,000	MDFTPP04118549	MDFTPP04118551	STESAC0112944
5/1/2003	CHECK	(5,000)	-	(5,000)	-	-	438,000	MDFTPP04118553	MDFTPP04118558	STESAD0024872
6/2/2003	CHECK	(5,000)	-	(5,000)	-	-	433,000	MDFTPP04118560	MDFTPP04118564	STESAD0027457
6/5/2003	CHECK	(15,000)	-	(15,000)	-	-	418,000	MDFTPP04118560	MDFTPP04118564	STESAD0027457
7/1/2003	CHECK	(5,000)	-	(5,000)	-	-	413,000	MDFTPP04118566	MDFTPP04118572	STESAD0069848
8/1/2003	CHECK	(5,000)	-	(5,000)	-	-	408,000	MDFTPP04118575	MDFTPP04118579	STESAD0072694
9/2/2003	CHECK	(5,000)	-	(5,000)	-	-	403,000	MDFTPP04118581	MDFTPP04118589	STESAD0072698
9/10/2003	TRANS TO 1KW40230 (1KW402)	(100,000)	-	-	-	(100,000)	303,000	MDFTPP04118581	MDFTPP04118589	STESAD0072698
9/12/2003	CHECK	(25,000)	-	(25,000)	-	-	278,000	MDFTPP04118581	MDFTPP04118589	STESAD0072698
10/1/2003	CHECK	(5,000)	-	(5,000)	-	-	273,000	MDFTPP04118591	MDFTPP04118596	STESAD002638
11/3/2003	CHECK	(5,000)	-	(5,000)	-	-	268,000	MDFTPP04118598	MDFTPP04118601	STESAD0005083
12/1/2003	CHECK	(5,000)	-	(5,000)	-	-	263,000	MDFTPP04118603	MDFTPP04118606	STESAD00022047
1/2/2004	CHECK	(5,000)	-	(5,000)	-	-	258,000	MDFTPP04118608	MDFTPP04118613	
1/8/2004	CHECK	(25,000)	-	(25,000)	-	-	233,000	MDFTPP04118608	MDFTPP04118613	
2/2/2004	CHECK	(5,000)	-	(5,000)	-	-	228,000	MDFTPP04118615	MDFTPP04118619	
3/1/2004	CHECK	(5,000)	-	(5,000)	-	-	223,000	MDFTPP04118621	MDFTPP04118623	
4/1/2004	CHECK	(5,000)	-	(5,000)	-	-	218,000	MDFTPP04118625	MDFTPP04118631	
5/3/2004	CHECK	(5,000)	-	(5,000)	-	-	213,000	MDFTPP04118634	MDFTPP04118638	
6/1/2004	CHECK	(5,000)	-	(5,000)	-	-	208,000	MDFTPP04118640	MDFTPP04118646	
6/14/2004	CHECK	(25,000)	-	(25,000)	-	-	183,000	MDFTPP04118640	MDFTPP04118646	
7/1/2004	CHECK	(5,000)	-	(5,000)	-	-	178,000	MDFTPP04118648	MDFTPP04118650	
8/2/2004	CHECK	(5,000)	-	(5,000)	-	-	173,000	MDFTPP04118652	MDFTPP04118657	
9/1/2004	CHECK	(5,000)	-	(5,000)	-	-	168,000	MDFTPP04118659	MDFTPP04118663	
9/22/2004	CHECK	(14,000)	-	(14,000)	-	-	154,000	MDFTPP04118659	MDFTPP04118663	
10/1/2004	CHECK	(5,000)	-	(5,000)	-	-	149,000	MDFTPP04118665	MDFTPP04118667	
11/1/2004	CHECK	(5,000)	-	(5,000)	-	-	144,000	MDFTPP04118669	MDFTPP04118674	
12/1/2004	CHECK	(5,000)	-	(5,000)	-	-	139,000	MDFTPP04118676	MDFTPP04118680	
1/3/2005	CHECK	(5,000)	-	(5,000)	-	-	134,000	MDFTPP04118682	MDFTPP04118687	STESAC0014968
2/1/2005	CHECK	(5,000)	-	(5,000)	-	-	129,000	MDFTPP04118689	MDFTPP04118692	STESAC0012584
3/1/2005	CHECK	(5,000)	-	(5,000)	-	-	124,000	MDFTPP04118694	MDFTPP04118698	STESAC0135346
4/1/2005	CHECK	(5,000)	-	(5,000)	-	-	119,000	MDFTPP04118700	MDFTPP04118702	STESAC0131584
4/11/2005	CHECK	(48,000)	-	(48,000)	-	-	71,000	MDFTPP04118700	MDFTPP04118702	STESAC0131584
5/2/2005	CHECK	(5,000)	-	(5,000)	-	-	66,000	MDFTPP04118704	MDFTPP04118709	STESAC0006948
6/1/2005	CHECK	(5,000)	-	(5,000)	-	-	61,000	MDFTPP04118711	MDFTPP04118715	
6/15/2005	CHECK	(37,000)	-	(37,000)	-	-	24,000	MDFTPP04118711	MDFTPP04118715	
7/1/2005	CHECK	(5,000)	-	(5,000)	-	-	19,000	MDFTPP04118717	MDFTPP04118719	STESAC0008861
7/26/2005	CHECK	40,000	40,000	-	-	-	59,000	MDFTPP04118719	MDFTPP04118719	STESAC0008861
8/1/2005	CHECK	(5,000)	-	(5,000)	-	-	54,000	MDFTPP04118721	MDFTPP04118722	STESAC0010249
9/1/2005	CHECK	(5,000)	-	(5,000)	-	-	49,000	MDFTPP04118724	MDFTPP04118730	
9/14/2005	CHECK	(20,000)	-	(20,000)	-	-	29,000	MDFTPP04118724	MDFTPP04118730	
10/3/2005	CHECK	(5,000)	-	(5,000)	-	-	24,000	MDFTPP04118732	MDFTPP04118738	STESAC0129467
10/27/2005	CHECK	750,000	750,000	-	-	-	774,000	MDFTPP04118732	MDFTPP04118738	STESAC0129473
11/1/2005	CHECK	(5,000)	-	(5,000)	-	-	769,000	MDFTPP04118740	MDFTPP04118744	STESAC0088745
12/1/2005	CHECK	(5,000)	-	(5,000)	-	-	764,000	MDFTPP04118746	MDFTPP04118752	STESAC0085935
12/23/2005	CHECK	2,000,000	2,000,000	-	-	-	2,764,000	MDFTPP04118746	MDFTPP04118752	STESAC0085941
1/3/2006	CHECK	(5,000)	-	(5,000)	-	-	2,759,000	MDFTPP04118754	MDFTPP04118759	STESAD0008447
2/1/2006	CHECK	(5,000)	-	(5,000)	-	-	2,754,000	MDFTPP04118761	MDFTPP04118764	STESAD0006087
3/1/2006	CHECK	(5,000)	-	(5,000)	-	-	2,749,000	MDFTPP04118766	MDFTPP04118772	
4/3/2006	CHECK	(5,000)	-	(5,000)	-	-	2,744,000	MDFTPP04118774	MDFTPP04118780	

BLMIS ACCOUNT NO. 1KW367 - ROBIN WACHTLER & PHILIP WACHTLER JT/WROS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes	DEFENDANTS' PRODUCTION Bates Ref <sup>11</sup>
4/18/2006	CHECK	(200,000)	-	(200,000)	-	-	2,544,000	MDPTPP04118774	MDPTPP04118780	STESAD00108804
5/1/2006	CHECK	(5,000)	-	(5,000)	-	-	2,539,000	MDPTPP04118782	MDPTPP04118786	STESAD00106178
6/1/2006	CHECK	(5,000)	-	(5,000)	-	-	2,534,000	MDPTPP04118788	MDPTPP04118792	STESAD00106178
6/9/2006	CHECK	(35,000)	-	(35,000)	-	-	2,499,000	MDPTPP04118788	MDPTPP04118792	STESAD00087021
7/3/2006	CHECK	(5,000)	-	(5,000)	-	-	2,494,000	MDPTPP04118794	MDPTPP04118800	STESAD00087021
7/10/2006	CHECK	(100,000)	-	(100,000)	-	-	2,394,000	MDPTPP04118794	MDPTPP04118800	STESAD00087021
8/1/2006	CHECK	(5,000)	-	(5,000)	-	-	2,389,000	MDPTPP04118802	MDPTPP04118805	STESAD00084436
9/1/2006	CHECK	(5,000)	-	(5,000)	-	-	2,384,000	MDPTPP04118807	MDPTPP04118811	STESAD00102194
9/14/2006	CHECK	(200,000)	-	(200,000)	-	-	2,184,000	MDPTPP04118807	MDPTPP04118811	STESAD00102194
10/2/2006	CHECK	(5,000)	-	(5,000)	-	-	2,179,000	MDPTPP04118813	MDPTPP04118818	STESAD00096161
11/1/2006	CHECK	(5,000)	-	(5,000)	-	-	2,174,000	MDPTPP04118820	MDPTPP04118825	STESAD00096161
12/1/2006	CHECK	(5,000)	-	(5,000)	-	-	2,169,000	MDPTPP04118827	MDPTPP04118832	STESAD00096161
12/21/2006	CHECK	(200,000)	-	(200,000)	-	-	1,969,000	MDPTPP04118827	MDPTPP04118832	STESAD00096161
1/2/2007	CHECK	(5,000)	-	(5,000)	-	-	1,964,000	MDPTPP04118834	MDPTPP04118836	STESAD00096161
2/1/2007	CHECK	(5,000)	-	(5,000)	-	-	1,959,000	MDPTPP04118837	MDPTPP04118843	STESAD00096161
2/8/2007	CHECK	(100,000)	-	(100,000)	-	-	1,859,000	MDPTPP04118837	MDPTPP04118843	STESAD00096161
3/1/2007	CHECK	(5,000)	-	(5,000)	-	-	1,854,000	MDPTPP04118845	MDPTPP04118849	STESAD00096161
3/22/2007	CHECK	(100,000)	-	(100,000)	-	-	1,754,000	MDPTPP04118845	MDPTPP04118849	STESAD00096161
4/2/2007	CHECK	(5,000)	-	(5,000)	-	-	1,749,000	MDPTPP04118852	MDPTPP04118858	STESAD00096161
4/12/2007	TRANS TO 1KW44730 (1KW447)	(600,000)	-	-	-	(600,000)	1,149,000	MDPTPP04118852	MDPTPP04118858	STESAD00096161
5/1/2007	CHECK	(5,000)	-	(5,000)	-	-	1,144,000	MDPTPP04118860	MDPTPP04118863	STESAF00028603
6/1/2007	CHECK	(5,000)	-	(5,000)	-	-	1,139,000	MDPTPP04118865	MDPTPP04118869	STESAF00028603
6/13/2007	CHECK	(62,000)	-	(62,000)	-	-	1,077,000	MDPTPP04118865	MDPTPP04118869	STESAF00028603
6/26/2007	CHECK	(350,000)	-	(350,000)	-	-	727,000	MDPTPP04118865	MDPTPP04118869	STESAF00028603
7/2/2007	CHECK	(5,000)	-	(5,000)	-	-	722,000	MDPTPP04118871	MDPTPP04118874	STESAF00030870
7/9/2007	CANCEL CHECK	62,000	-	62,000	-	-	784,000	MDPTPP04118871	MDPTPP04118874	STESAF00030870
7/9/2007	CHECK	(62,000)	-	(62,000)	-	-	722,000	MDPTPP04118871	MDPTPP04118874	STESAF00030870
7/16/2007	CHECK	(100,000)	-	(100,000)	-	-	622,000	MDPTPP04118871	MDPTPP04118874	STESAF00030870
8/1/2007	CHECK	(5,000)	-	(5,000)	-	-	617,000	MDPTPP04118876	MDPTPP04118877	STESAF00032426
9/4/2007	CHECK	(5,000)	-	(5,000)	-	-	612,000	MDPTPP04118879	MDPTPP04118885	STESAF00032426
9/6/2007	CHECK	(65,000)	-	(65,000)	-	-	547,000	MDPTPP04118879	MDPTPP04118885	STESAF00032426
9/7/2007	CHECK	(35,000)	-	(35,000)	-	-	512,000	MDPTPP04118879	MDPTPP04118885	STESAF00032426
10/1/2007	CHECK	(5,000)	-	(5,000)	-	-	507,000	MDPTPP04118887	MDPTPP04118888	STESAF00032426
11/1/2007	CHECK	(5,000)	-	(5,000)	-	-	502,000	MDPTPP04118890	MDPTPP04118895	STESAF00032426
11/2/2007	CHECK	(100,000)	-	(100,000)	-	-	402,000	MDPTPP04118890	MDPTPP04118901	STESAF00032426
12/3/2007	CHECK	(5,000)	-	(5,000)	-	-	397,000	MDPTPP04118897	MDPTPP04118901	STESAF00032426
1/2/2008	CHECK	(5,000)	-	(5,000)	-	-	392,000	MDPTPP04118903	MDPTPP04118905	STESAF00032426
2/1/2008	CHECK	(5,000)	-	(5,000)	-	-	387,000	MDPTPP04118906	MDPTPP04118907	STESAF00032426
3/3/2008	CHECK	(5,000)	-	(5,000)	-	-	382,000	MDPTPP04118908	MDPTPP04118910	STESAF00032426
4/1/2008	CHECK	(5,000)	-	(5,000)	-	-	377,000	MDPTPP04118911	MDPTPP04118916	STESAF00032426
5/1/2008	CHECK	(5,000)	-	(5,000)	-	-	372,000	MDPTPP04118918	MDPTPP04118922	STESAF00032426
6/2/2008	CHECK	(5,000)	-	(5,000)	-	-	367,000	MDPTPP04118924	MDPTPP04118926	STESAF00032426
6/5/2008	CHECK	(70,000)	-	(70,000)	-	-	297,000	MDPTPP04118924	MDPTPP04118926	STESAF00032426
7/1/2008	CHECK	(5,000)	-	(5,000)	-	-	292,000	MDPTPP04118928	MDPTPP04118930	STESAF00032426
7/10/2008	CHECK	(50,000)	-	(50,000)	-	-	242,000	MDPTPP04118928	MDPTPP04118930	STESAF00032426
8/1/2008	CHECK	(5,000)	-	(5,000)	-	-	237,000	MDPTPP04118932	MDPTPP04118936	STESAF00032426
9/2/2008	CHECK	(5,000)	-	(5,000)	-	-	232,000	MDPTPP04118938	MDPTPP04118942	STESAF00032426
10/8/2008	CHECK	(5,000)	-	(5,000)	-	-	227,000	MDPTPP04118944	MDPTPP04118946	STESAF00032426
11/10/2008	CHECK	(5,000)	-	(5,000)	-	-	222,000	MDPTPP04118948	MDPTPP04118953	STESAF00032426
11/21/2008	CHECK	450,000	450,000	-	-	-	672,000	MDPTPP04118948	MDPTPP04118953	STESAF00032426
12/5/2008	CHECK	(5,000)	-	(5,000)	-	-	667,000	Checkbook	Checkbook	STESAF00032426
	<b>Total:</b>		\$ 3,740,000	\$ (2,361,000)	\$ -	\$ (712,000)	\$ 667,000	Checkbook	Checkbook	STESAF00032426

<sup>11</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW372 - ESTATE OF LEONARD J SCHREIER C/O SCHULTE ROTH & ZABEL KIM BAPTISTE ESQ

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Dates	BLMIS SOURCE DOCUMENT: End Dates	DEFENDANTS' PRODUCTION Bates Ref <sup>3)</sup>
8/12/2002	CHECK	2,200,000	2,200,000	-	-	-	2,200,000	MDPTPP04121664	MDPTPP04121666	STESAC00067541
12/17/2002	CHECK	(500,000)	-	(500,000)	-	-	1,700,000	MDPTPP04121681	MDPTPP04121684	STESAC00149448
1/21/2003	TRANS FROM IKW24030 (IKW240)	31,884 <sup>[1]</sup>	-	-	-	-	1,700,000	MDPTPP04121686	MDPTPP04121691	STESAD00024930
5/5/2003	CHECK	850,000	850,000	-	-	-	2,550,000	MDPTPP04121712	MDPTPP04121717	STESAD00024930
7/2/2003	CHECK WIRE	(2,600,000)	-	(2,600,000)	-	-	(50,000)	MDPTPP04121725	MDPTPP04121730	STESAD00069908
8/19/2003	TRANS FROM IKW05230 (IKW052)	2,737,921 <sup>[1]</sup>	-	-	-	-	(50,000)	MDPTPP04121733	MDPTPP04121737	STESAD00069908
8/19/2003	TRANS FROM IKW27430 (IKW274)	136,289 <sup>[2]</sup>	-	-	90,000	-	40,000	MDPTPP04121733	MDPTPP04121737	STESAD00069908
8/19/2003	TRANS FROM IKW24030 (IKW240)	450,268 <sup>[1]</sup>	-	-	-	-	40,000	MDPTPP04121733	MDPTPP04121737	STESAD00069908
10/14/2003	TRANS FROM IKW05230 (IKW052)	4,193 <sup>[1]</sup>	-	-	-	-	40,000	MDPTPP04121746	MDPTPP04121751	STESAD00069908
10/14/2003	TRANS FROM IKW27430 (IKW274)	194 <sup>[1]</sup>	-	-	-	-	40,000	MDPTPP04121746	MDPTPP04121751	STESAD00069908
10/14/2003	TRANS FROM IKW24030 (IKW240)	683 <sup>[1]</sup>	-	-	-	-	40,000	MDPTPP04121746	MDPTPP04121751	STESAD00069908
6/14/2004	CHECK	(1,000,000)	-	(1,000,000)	-	-	(960,000)	MDPTPP04121794	MDPTPP04121800	STESAD0006117, STESAK0000262, STESAK0000609
2/13/2006	CHECK WIRE	(1,010,000)	-	(1,010,000)	-	-	(1,970,000)	MDPTPP04121909	MDPTPP04121914	STESAD0006117, STESAK0000262, STESAK0000609
3/20/2006	CHECK	(150,000)	-	(150,000)	-	-	(2,120,000)	MDPTPP04121916	MDPTPP04121923	STESAD0106206
6/27/2006	CHECK WIRE	(500,000)	-	(500,000)	-	-	(2,620,000)	MDPTPP04121940	MDPTPP04121944	STESAD00093686
11/15/2006	CHECK WIRE	(950,000)	-	(950,000)	-	-	(3,570,000)	MDPTPP04121973	MDPTPP04121978	STESAD00093686
12/14/2006	CHECK	(100,000)	-	(100,000)	-	-	(3,670,000)	MDPTPP04121980	MDPTPP04121985	STESAD00093686
1/8/2007	CHECK	(450,000)	-	(450,000)	-	-	(4,120,000)	MDPTPP04121987	MDPTPP04121989	STESAD00093686
1/29/2007	CHECK	1,900,000	1,900,000	-	-	-	(2,220,000)	MDPTPP04121987	MDPTPP04121989	STESAD00093686
3/14/2007	CHECK	(150,000)	-	(150,000)	-	-	(2,370,000)	MDPTPP04121997	MDPTPP04122002	STESAD00093686
4/24/2007	CHECK	450,000	450,000	-	-	-	(1,920,000)	MDPTPP04122005	MDPTPP04122010	STESAD00093686
5/22/2007	CHECK	(100,000)	-	(100,000)	-	-	(2,020,000)	MDPTPP04122012	MDPTPP04122016	STESAD00093686
7/21/2008	CHECK	1,756,164	1,756,164	-	-	-	(263,836)	MDPTPP04122077	MDPTPP04122078	STESAD00093686
	<b>Total:</b>		<b>\$ 7,156,164</b>	<b>\$ (7,510,000)</b>	<b>\$ 90,000</b>	<b>\$ -</b>	<b>\$ (263,836)</b>			

<sup>[1]</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[2]</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>[3]</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW374 - METS II LLC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref
8/29/2002	CHECK	600,000	600,000	-	-	-	600,000	MDFTPP04123641	MDFTPP04123641	STESAC0067553
9/11/2002	CHECK	(600,248)	-	(600,248)	-	-	(248)	MDFTPP04123642	MDFTPP04123643	STESAC0041593
4/7/2004	CHECK	5,500,000	5,500,000	-	-	-	5,499,752	MDFTPP04123644	MDFTPP04123644	STESAL0066199
12/10/2004	CHECK	(380,000)	-	(380,000)	-	-	5,119,752	MDFTPP04123680	MDFTPP04123684	STESAL0066175
7/17/2006	CHECK WIRE	(6,000,000)	-	(6,000,000)	-	-	(880,248)	MDFTPP04123795	MDFTPP04123801	STESAD0087089
9/22/2006	CHECK	(462,864)	-	(462,864)	-	-	(1,343,111)	MDFTPP04123806	MDFTPP04123807	STESAD0102242
1/5/2007	CHECK WIRE	4,900,000	4,900,000	-	-	-	3,556,889	MDFTPP04123809	MDFTPP04123810	STESAL0030328
	<b>Total:</b>		<b>\$ 11,000,000</b>	<b>\$ (7,443,111)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 3,556,889</b>			

BLMIS ACCOUNT NO. IKW376 - VICKY SCHULTZ SAUL B KATZ TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(d)</sup>
9/27/2002	CHECK	60,000	60,000	-	-	-	60,000	MDFTPP04124812	MDFTPP04124812	
9/27/2002	TRANS FROM IKW02430 (IKW024)	70,000 <sup>(1)</sup>	-	-	-	-	60,000	MDFTPP04124812	MDFTPP04124812	
2/26/2003	TRANS TO IKW02430 (IKW024)	(70,866) <sup>(2)</sup>	-	-	-	(60,000)	-	MDFTPP04124836	MDFTPP04124840	STESAC0118717
2/27/2003	CHECK	(60,733)	-	(60,733)	-	-	(60,733)	MDFTPP04124836	MDFTPP04124840	STESAC0118717
8/19/2003	TRANS TO IKW02430 (IKW024)	(73) <sup>(3)</sup>	-	-	-	-	(60,733)	MDFTPP04124858	MDFTPP04124859	STESAD0069940
8/22/2003	CHECK	(62)	-	(62)	-	-	(60,796)	MDFTPP04124858	MDFTPP04124859	STESAD0069940
	<b>Total:</b>		<b>\$ 60,000</b>	<b>\$ (60,796)</b>	<b>\$ -</b>	<b>\$ (60,000)</b>	<b>\$ (60,796)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(3)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(d)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW378 - STERLING METS (INSURANCE FUND)

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes	DEFENDANTS' PRODUCTION Bates Ref <sup>2</sup>
10/28/2002	CHECK	160,500	160,500	-	-	-	160,500	MDFTPP04125812	MDFTPP04125812	STESAC0039840
10/28/2002	CHECK	1,095,000	1,095,000	-	-	-	1,255,500	MDFTPP04125812	MDFTPP04125812	STESAC0039840
11/1/2002	CHECK	656,164	656,164	-	-	-	1,911,664	MDFTPP04125813	MDFTPP04125813	
11/12/2002	CHECK	144,792	144,792	-	-	-	2,056,456	MDFTPP04125813	MDFTPP04125813	
2/3/2003	CHECK	1,041,700	1,041,700	-	-	-	3,098,156	MDFTPP04125824	MDFTPP04125829	STESAC0118735, STESAL0069264
4/15/2003	CHECK	75,000	75,000	-	-	-	3,173,156	MDFTPP04125840	MDFTPP04125842	STESAC0113020, STESAL0069238
7/30/2003	TRANS TO 1KW24730 (1KW247)	(3,300,000) <sup>(1)</sup>	-	-	-	(3,173,156)	-	MDFTPP04125856	MDFTPP04125862	STESAC0093723, STESAL0069206
2/25/2004	CHECK WIRE	4,000,000	4,000,000	-	-	-	4,000,000	MDFTPP04125897	MDFTPP04125901	STESAL0069124
6/25/2004	CHECK	(3,000,000)	-	(3,000,000)	-	-	1,000,000	MDFTPP04125922	MDFTPP04125929	STESAL0069066
10/4/2004	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	-	MDFTPP04125947	MDFTPP04125949	STESAL0069020
3/23/2005	TRANS FROM 1KW24730 (1KW247)	6,650,000	-	-	6,650,000	-	6,650,000	MDFTPP04125975	MDFTPP04125979	STESAC0135434, STESAL0067438
6/28/2005	CHECK WIRE	(6,000,000)	-	(6,000,000)	-	-	650,000	MDFTPP04125992	MDFTPP04125996	STESAC0007048, STESAL0067404
6/29/2005	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	(350,000)	MDFTPP04125992	MDFTPP04125996	STESAC0007048, STESAL0067404
<b>Total:</b>			<b>\$ 7,173,156</b>	<b>\$ (11,000,000)</b>	<b>\$ 6,650,000</b>	<b>\$ (3,173,156)</b>	<b>\$ (350,000)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW384 - L. THOMAS OSTERMAN AND HILL PUPKE TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes	DEFENDANTS' PRODUCTION Bates Ref <sup>(2)</sup>
3/26/2003	TRANS FROM 1KW36930 (1KW369)	122,746 <sup>(1)</sup>	-	-	-	-	24,911	MDFTPP04130798	MDFTPP04130798	
3/28/2003	CHECK	10,000	10,000	-	24,911	-	34,911	MDFTPP04130798	MDFTPP04130798	
3/2/2004	CHECK	16,000	16,000	-	-	-	50,911	MDFTPP04130865	MDFTPP04130867	
3/2/2004	CHECK	16,000	16,000	-	-	-	66,911	MDFTPP04130865	MDFTPP04130867	
1/19/2005	CHECK	50,000	50,000	-	-	-	116,911	MDFTPP04130922	MDFTPP04130927	
1/19/2005	CHECK	50,000	50,000	-	-	-	166,911	MDFTPP04130922	MDFTPP04130927	
11/24/2008	CHECK	(30,000)	-	(30,000)	-	-	136,911	MDFTPP04131174	MDFTPP04131179	
	<b>Total:</b>		<b>\$ 142,000</b>	<b>\$ (30,000)</b>	<b>\$ 24,911</b>	<b>\$ -</b>	<b>\$ 136,911</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.



BLMIS ACCOUNT NO. 1KW388 - ARTHUR FRIEDMAN ET AL TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>d</sup>
7/25/2003	CHECK WIRE	3,000,000	3,000,000	-	-	-	3,000,000	MDFTPP04134583	MDFTPP04134583	
10/1/2003	CHECK	(115,000)	-	(115,000)	-	-	2,885,000	MDFTPP04134592	MDFTPP04134597	STESAD0002894
11/3/2003	CHECK	(115,000)	-	(115,000)	-	-	2,770,000	MDFTPP04134599	MDFTPP04134602	
11/21/2003	CHECK	(157,000)	-	(157,000)	-	-	2,613,000	MDFTPP04134599	MDFTPP04134602	
12/1/2003	CHECK	(130,000)	-	(130,000)	-	-	2,483,000	MDFTPP04134604	MDFTPP04134607	STESAC0022221
1/2/2004	CHECK	(130,000)	-	(130,000)	-	-	2,353,000	MDFTPP04134609	MDFTPP04134614	
2/2/2004	CHECK	(130,000)	-	(130,000)	-	-	2,223,000	MDFTPP04134616	MDFTPP04134622	
3/1/2004	CHECK	(130,000)	-	(130,000)	-	-	2,093,000	MDFTPP04134624	MDFTPP04134626	
4/1/2004	CHECK	(130,000)	-	(130,000)	-	-	1,963,000	MDFTPP04134628	MDFTPP04134633	
5/3/2004	CHECK	(130,000)	-	(130,000)	-	-	1,833,000	MDFTPP04134636	MDFTPP04134641	
5/26/2004	TRANS TO 1KW41230 (1KW412)	(2,000,000) <sup>(1)</sup>	-	-	-	(1,833,000)	-	MDFTPP04134636	MDFTPP04134641	
6/1/2004	CHECK	(130,000)	-	(130,000)	-	-	(130,000)	MDFTPP04134643	MDFTPP04134645	
6/8/2004	CHECK	150,000	150,000	-	-	-	20,000	MDFTPP04134643	MDFTPP04134645	
2/9/2005	CHECK	(65,107)	-	(65,107)	-	-	(45,107)	MDFTPP04134685	MDFTPP04134688	STESAC0012768
6/23/2005	CHECK	(46)	-	(46)	-	-	(45,153)	MDFTPP04134699	MDFTPP04134700	STESAC0007178
	<b>Total:</b>		<b>\$ 3,150,000</b>	<b>\$ (1,362,153)</b>	<b>\$ -</b>	<b>\$ (1,833,000)</b>	<b>\$ (45,153)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW391 - KATZ 2002 DESCENDANTS TRUST

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(1)</sup>
8/21/2003	CHECK	108,000	108,000	-	-	-	108,000	MDFTPP04135458	MDFTPP04135458	
1/18/2007	CHECK WIRE	1,962,500	1,962,500	-	-	-	2,070,500	MDFTPP04135694	MDFTPP04135696	
6/26/2007	CHECK	(2,000,000)	-	(2,000,000)	-	-	70,500	MDFTPP04135723	MDFTPP04135728	STESAF0028875
	<b>Total:</b>		<b>\$ 2,070,500</b>	<b>\$ (2,000,000)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 70,500</b>			

<sup>(1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW392 - FRED WILPON - APT TRACING

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(2)</sup>
8/19/2003	TRANS FROM 1KW31330 (1KW313)	975,000	-	-	975,000	-	975,000	MDFTPP04135825	MDFTPP04135825	
5/26/2004	TRANS TO 1KW41230 (1KW412)	(1,040,000) <sup>(1)</sup>	-	(10,495)	-	(975,000)	(10,495)	MDFTPP04135875	MDFTPP04135879	
2/9/2005	CHECK	(10,495)	-	(10,495)	-	-	(10,495)	MDFTPP04135904	MDFTPP04135905	
	<b>Total:</b>		<b>\$ -</b>	<b>\$ (10,495)</b>	<b>\$ 975,000</b>	<b>\$ (975,000)</b>	<b>\$ (10,495)</b>			STESAC0012806

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW396 - DEYVA ARTHUR

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(2)</sup>
8/19/2003	TRANS FROM IKW24030 (IKW240)	112,567 <sup>(1)</sup>	-	-	-	-	-	MDFTPP04137798	MDFTPP04137798	
10/14/2003	TRANS FROM IKW24030 (IKW240)	171 <sup>(1)</sup>	-	-	-	-	-	MDFTPP04137806	MDFTPP04137811	STESAD0002998
11/21/2003	CHECK	(8,000)	-	(8,000)	-	-	(8,000)	MDFTPP04137813	MDFTPP04137816	STESAD0005351
2/26/2004	CHECK	8,000	8,000	-	-	-	-	MDFTPP04137829	MDFTPP04137832	
1/26/2005	CHECK	(10,000)	-	(10,000)	-	-	(10,000)	MDFTPP04137891	MDFTPP04137896	STESAC0015310
10/20/2006	CHECK	130,000	130,000	-	-	-	120,000	MDFTPP04138016	MDFTPP04138021	STESAD0096477
11/3/2006	CHECK	127,000	127,000	-	-	-	247,000	MDFTPP04138023	MDFTPP04138027	STESAD0093906
11/8/2006	CHECK	10,000	10,000	-	-	-	257,000	MDFTPP04138023	MDFTPP04138027	STESAD0093906
12/5/2006	CHECK	10,000	10,000	-	-	-	267,000	MDFTPP04138029	MDFTPP04138034	
1/12/2007	CHECK	10,000	10,000	-	-	-	277,000	MDFTPP04138036	MDFTPP04138038	
1/29/2007	CHECK	65,000	65,000	-	-	-	342,000	MDFTPP04138036	MDFTPP04138038	
2/7/2007	CHECK	10,000	10,000	-	-	-	352,000	MDFTPP04138039	MDFTPP04138044	STESAF0047224
3/5/2007	CHECK	10,000	10,000	-	-	-	362,000	MDFTPP04138046	MDFTPP04138050	STESAF00048499
10/12/2007	CHECK	(56,000)	-	(56,000)	-	-	306,000	MDFTPP04138086	MDFTPP04138087	
	<b>Total:</b>		<b>\$ 380,000</b>	<b>\$ (74,000)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 306,000</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW402 - STERLING 10 LLC STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Dates	BLMIS SOURCE DOCUMENT: End Dates	DEFENDANTS' PRODUCTION Bates Ref <sup>al</sup>
9/10/2003	TRANS FROM IKW22730 (IKW227)	500,000 (1)	-	-	229,300	-	229,300	MDFTPP04142124	MDFTPP04142126	STESAD0073474
9/10/2003	TRANS FROM IKW10830 (IKW108)	450,000 (1)	-	-	88,896	-	318,196	MDFTPP04142124	MDFTPP04142126	STESAD0073474
9/10/2003	TRANS FROM IKW08130 (IKW081)	250,000 (2)	-	-	250,000	-	568,196	MDFTPP04142124	MDFTPP04142126	STESAD0073474
9/10/2003	TRANS FROM IKW01330 (IKW013)	360,000 (2)	-	-	-	-	568,196	MDFTPP04142124	MDFTPP04142126	STESAD0073474
9/10/2003	TRANS FROM IKW10930 (IKW109)	475,000 (1)	-	-	131,635	-	699,831	MDFTPP04142124	MDFTPP04142126	STESAD0073474
9/10/2003	TRANS FROM IKW02030 (IKW020)	1,655,000 (2)	-	-	-	-	699,831	MDFTPP04142124	MDFTPP04142126	STESAD0073474
9/10/2003	TRANS FROM IKW11030 (IKW110)	385,000 (1)	-	-	140,864	-	840,695	MDFTPP04142124	MDFTPP04142126	STESAD0073474
9/10/2003	TRANS FROM IKW11830 (IKW118)	231,000 (2)	-	-	-	-	840,695	MDFTPP04142124	MDFTPP04142126	STESAD0073474
9/10/2003	TRANS FROM IKW38930 (IKW389)	583,333 (1)	-	-	185,900	-	1,026,595	MDFTPP04142124	MDFTPP04142126	STESAD0073474
9/10/2003	TRANS FROM IKW30230 (IKW302)	840,000 (2)	-	-	-	-	1,026,595	MDFTPP04142124	MDFTPP04142126	STESAD0073474
9/10/2003	TRANS FROM IKW10330 (IKW103)	350,000 (2)	-	-	-	-	1,026,595	MDFTPP04142124	MDFTPP04142126	STESAD0073474
9/10/2003	TRANS FROM IKW08830 (IKW088)	350,000 (2)	-	-	-	-	1,026,595	MDFTPP04142124	MDFTPP04142126	STESAD0073474
9/10/2003	TRANS FROM IKW35430 (IKW354)	300,000 (2)	-	-	300,000	-	1,326,595	MDFTPP04142124	MDFTPP04142126	STESAD0073474
9/10/2003	TRANS FROM IKW08730 (IKW087)	350,000 (2)	-	-	-	-	1,326,595	MDFTPP04142124	MDFTPP04142126	STESAD0073474
9/10/2003	TRANS FROM IKW30330 (IKW303)	175,000 (1)	-	-	160,252	-	1,486,847	MDFTPP04142124	MDFTPP04142126	STESAD0073474
9/10/2003	TRANS FROM IKW34530 (IKW345)	150,000 (1)	-	-	150,000	-	1,636,847	MDFTPP04142124	MDFTPP04142126	STESAD0073474
9/10/2003	TRANS FROM IKW09630 (IKW096)	575,000 (1)	-	-	29,499	-	1,666,346	MDFTPP04142124	MDFTPP04142126	STESAD0073476
9/10/2003	TRANS FROM IKW00430 (IKW004)	10,000 (2)	-	-	-	-	1,666,346	MDFTPP04142124	MDFTPP04142126	STESAD0073474
9/10/2003	TRANS FROM IKW30430 (IKW304)	150,000 (1)	-	-	131,052	-	1,797,398	MDFTPP04142124	MDFTPP04142126	STESAD0073474
9/10/2003	TRANS FROM IKW20930 (IKW209)	340,000 (1)	-	-	178,500	-	1,975,898	MDFTPP04142124	MDFTPP04142126	STESAD0073474
9/10/2003	TRANS FROM IKW36730 (IKW367)	100,000 (1)	-	-	100,000	-	2,075,898	MDFTPP04142124	MDFTPP04142126	STESAD0073476
9/10/2003	TRANS FROM IKW24830 (IKW248)	425,000 (1)	-	-	357,850	-	2,433,748	MDFTPP04142124	MDFTPP04142126	STESAD0073474
9/10/2003	TRANS FROM IKW39030 (IKW390)	583,333 (1)	-	-	175,400	-	2,609,148	MDFTPP04142124	MDFTPP04142126	STESAD0073474
9/10/2003	TRANS FROM IKW20930 (IKW209)	583,333 (1)	-	-	192,200	-	2,801,348	MDFTPP04142124	MDFTPP04142126	STESAD0073474
9/10/2003	TRANS FROM IKW31930 (IKW319)	300,000 (1)	-	-	300,000	-	3,101,348	MDFTPP04142124	MDFTPP04142126	STESAD0073474
9/25/2003	CHECK	269,000	269,000	-	-	-	3,370,348	MDFTPP04142124	MDFTPP04142126	STESAD0073476
9/30/2003	CHECK WIRE	10,631,063	10,631,063	-	-	-	14,001,410	MDFTPP04142124	MDFTPP04142126	STESAD0073476
12/1/2003	CHECK	(70,000)	-	(70,000)	-	-	13,931,410	MDFTPP04142140	MDFTPP04142157	STESAC0022337
12/2004	CHECK	(30,000)	-	(30,000)	-	-	13,901,410	MDFTPP04142159	MDFTPP04142171	
2/2/2004	CHECK	(30,000)	-	(30,000)	-	-	13,871,410	MDFTPP04142174	MDFTPP04142186	
3/1/2004	CHECK	(30,000)	-	(30,000)	-	-	13,841,410	MDFTPP04142188	MDFTPP04142192	
4/1/2004	CHECK	(30,000)	-	(30,000)	-	-	13,811,410	MDFTPP04142194	MDFTPP04142209	
5/3/2004	CHECK	(30,000)	-	(30,000)	-	-	13,781,410	MDFTPP04142212	MDFTPP04142222	
6/1/2004	CHECK	(30,000)	-	(30,000)	-	-	13,751,410	MDFTPP04142224	MDFTPP04142245	
7/1/2004	CHECK	(30,000)	-	(30,000)	-	-	13,721,410	MDFTPP04142248	MDFTPP04142251	
7/2/2004	CHECK	(1,250,000)	-	(1,250,000)	-	-	12,471,410	MDFTPP04142248	MDFTPP04142251	
8/2/2004	CHECK	(30,000)	-	(30,000)	-	-	12,441,410	MDFTPP04142253	MDFTPP04142265	
9/1/2004	CHECK	(30,000)	-	(30,000)	-	-	12,411,410	MDFTPP04142268	MDFTPP04142280	
9/24/2004	CHECK	(450,000)	-	(450,000)	-	-	11,961,410	MDFTPP04142268	MDFTPP04142280	
10/1/2004	CHECK	(30,000)	-	(30,000)	-	-	11,931,410	MDFTPP04142282	MDFTPP04142285	
11/1/2004	CHECK	(30,000)	-	(30,000)	-	-	11,901,410	MDFTPP04142287	MDFTPP04142303	
12/1/2004	CHECK	(30,000)	-	(30,000)	-	-	11,871,410	MDFTPP04142306	MDFTPP04142318	STESAF0097909
12/14/2004	CHECK	(250,000)	-	(250,000)	-	-	11,621,410	MDFTPP04142306	MDFTPP04142318	STESAF0097911
1/3/2005	CHECK	(30,000)	-	(30,000)	-	-	11,591,410	MDFTPP04142320	MDFTPP04142333	STESAC0015387
2/1/2005	CHECK	(30,000)	-	(30,000)	-	-	11,561,410	MDFTPP04142336	MDFTPP04142339	STESAC0012900
3/1/2005	CHECK	(30,000)	-	(30,000)	-	-	11,531,410	MDFTPP04142341	MDFTPP04142355	STESAC00135722

BLMIS ACCOUNT NO. IKW402 - STERLING 10 LLC STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Dates	BLMIS SOURCE DOCUMENT: End Dates	DEFENDANTS' PRODUCTION Bates Ref <sup>al</sup>
3/17/2005	CHECK	400,000	400,000	-	-	-	11,931,410	MDPTPP04142341	MDPTPP04142355	STESAC00135738
3/23/2005	TRANS FROM IKW06130 (IKW061)	4,600,000 <sup>(1)</sup>	-	-	3,222,348	-	15,153,758	MDPTPP04142341	MDPTPP04142355	STESAC00135742
3/29/2005	CHECK WIRE	5,000,000	5,000,000	-	-	-	20,153,758	MDPTPP04142341	MDPTPP04142355	STESAC00135744
4/1/2005	CHECK	(30,000)	-	(30,000)	-	-	20,123,758	MDPTPP04142357	MDPTPP04142361	STESAC00131832
5/2/2005	CHECK	(30,000)	-	(30,000)	-	-	20,093,758	MDPTPP04142363	MDPTPP04142375	STESAC00134438
6/1/2005	CHECK	(30,000)	-	(30,000)	-	-	20,063,758	MDPTPP04142378	MDPTPP04142389	STESAC0007328
6/15/2005	CHECK	(100,000)	-	(100,000)	-	-	19,963,758	MDPTPP04142378	MDPTPP04142389	STESAC0007328
7/1/2005	CHECK	(45,000)	-	(45,000)	-	-	19,918,758	MDPTPP04142391	MDPTPP04142394	STESAC0009108
8/1/2005	CHECK	(45,000)	-	(45,000)	-	-	19,873,758	MDPTPP04142396	MDPTPP04142399	STESAC0010442
9/1/2005	CHECK	(45,000)	-	(45,000)	-	-	19,828,758	MDPTPP04142401	MDPTPP04142423	STESAC00126744
10/3/2005	CHECK	(45,000)	-	(45,000)	-	-	19,783,758	MDPTPP04142426	MDPTPP04142442	STESAC00129918
11/1/2005	CHECK	(45,000)	-	(45,000)	-	-	19,738,758	MDPTPP04142445	MDPTPP04142450	STESAC0089070
12/1/2005	CHECK	(45,000)	-	(45,000)	-	-	19,693,758	MDPTPP04142452	MDPTPP04142468	STESAC0086388
12/12/2005	CHECK	(2,250,000)	-	(2,250,000)	-	-	17,443,758	MDPTPP04142452	MDPTPP04142468	STESAC0086388
1/3/2006	CHECK	(45,000)	-	(45,000)	-	-	17,398,758	MDPTPP04142470	MDPTPP04142486	STESAC0080890
2/1/2006	CHECK	(45,000)	-	(45,000)	-	-	17,353,758	MDPTPP04142489	MDPTPP04142493	STESAD0008890
3/1/2006	CHECK	(45,000)	-	(45,000)	-	-	17,308,758	MDPTPP04142495	MDPTPP04142512	STESAD0008890
3/28/2006	CHECK	(500,000)	-	(500,000)	-	-	16,808,758	MDPTPP04142495	MDPTPP04142512	STESAD0008890
4/3/2006	CHECK	(45,000)	-	(45,000)	-	-	16,763,758	MDPTPP04142515	MDPTPP04142528	STESAD00109152
5/1/2006	CHECK	(45,000)	-	(45,000)	-	-	16,718,758	MDPTPP04142531	MDPTPP04142538	STESAD00106535
6/1/2006	CHECK	(45,000)	-	(45,000)	-	-	16,673,758	MDPTPP04142541	MDPTPP04142553	STESAD00087484
7/3/2006	CHECK	(45,000)	-	(45,000)	-	-	16,628,758	MDPTPP04142555	MDPTPP04142566	STESAD00087484
8/1/2006	CHECK	(45,000)	-	(45,000)	-	-	16,583,758	MDPTPP04142568	MDPTPP04142573	STESAD00084734
9/1/2006	CHECK	(45,000)	-	(45,000)	-	-	16,538,758	MDPTPP04142575	MDPTPP04142585	STESAD00102558
10/2/2006	CHECK	(45,000)	-	(45,000)	-	-	16,493,758	MDPTPP04142587	MDPTPP04142601	STESAD00096562
11/1/2006	CHECK	(45,000)	-	(45,000)	-	-	16,448,758	MDPTPP04142603	MDPTPP04142610	STESAD00093975
12/1/2006	CHECK	(45,000)	-	(45,000)	-	-	16,403,758	MDPTPP04142612	MDPTPP04142628	STESAD00093975
12/26/2006	CHECK	(160,000)	-	(160,000)	-	-	16,243,758	MDPTPP04142612	MDPTPP04142628	STESAD00093975
1/2/2007	CHECK	(70,000)	-	(70,000)	-	-	16,173,758	MDPTPP04142630	MDPTPP04142635	STESAF0047308
2/1/2007	CHECK	(70,000)	-	(70,000)	-	-	16,103,758	MDPTPP04142636	MDPTPP04142651	STESAG0009769
3/1/2007	CHECK	(70,000)	-	(70,000)	-	-	16,033,758	MDPTPP04142654	MDPTPP04142670	STESAG0009769
3/28/2007	CHECK	(3,300,000)	-	(3,300,000)	-	-	12,733,758	MDPTPP04142654	MDPTPP04142670	STESAG0009793
4/2/2007	CHECK	(70,000)	-	(70,000)	-	-	12,663,758	MDPTPP04142673	MDPTPP04142686	STESAF0051689
5/1/2007	CHECK	(70,000)	-	(70,000)	-	-	12,593,758	MDPTPP04142689	MDPTPP04142693	STESAF0054186
6/1/2007	CHECK	(70,000)	-	(70,000)	-	-	12,523,758	MDPTPP04142695	MDPTPP04142708	STESAF0028990
6/25/2007	CHECK	(1,150,000)	-	(1,150,000)	-	-	11,373,758	MDPTPP04142695	MDPTPP04142708	STESAF0029008
7/2/2007	CHECK	(70,000)	-	(70,000)	-	-	11,303,758	MDPTPP04142710	MDPTPP04142714	STESAF0031121
8/1/2007	CHECK	(70,000)	-	(70,000)	-	-	11,233,758	MDPTPP04142716	MDPTPP04142724	STESAF0031121
9/4/2007	CHECK	(70,000)	-	(70,000)	-	-	11,163,758	MDPTPP04142726	MDPTPP04142746	STESAF0041680
10/1/2007	CHECK	(70,000)	-	(70,000)	-	-	11,093,758	MDPTPP04142749	MDPTPP04142756	STESAF00039957
11/1/2007	CHECK	(70,000)	-	(70,000)	-	-	11,023,758	MDPTPP04142758	MDPTPP04142775	STESAF0041680
12/3/2007	CHECK	(70,000)	-	(70,000)	-	-	10,953,758	MDPTPP04142778	MDPTPP04142790	STESAF00039957
12/2/2008	CHECK	(70,000)	-	(70,000)	-	-	10,883,758	MDPTPP04142792	MDPTPP04142800	STESAF00039957
2/1/2008	CHECK	(70,000)	-	(70,000)	-	-	10,813,758	MDPTPP04142802	MDPTPP04142807	STESAF0001872
3/3/2008	CHECK	(70,000)	-	(70,000)	-	-	10,743,758	MDPTPP04142809	MDPTPP04142818	STESAF0002944
3/12/2008	CHECK	(1,500,000)	-	(1,500,000)	-	-	9,243,758	MDPTPP04142809	MDPTPP04142818	STESAF0002944
4/1/2008	CHECK	(70,000)	-	(70,000)	-	-	9,173,758	MDPTPP04142820	MDPTPP04142829	STESAF0005619
5/1/2008	CHECK	(70,000)	-	(70,000)	-	-	9,103,758	MDPTPP04142831	MDPTPP04142843	STESAF0008340
6/2/2008	CHECK	(70,000)	-	(70,000)	-	-	9,033,758	MDPTPP04142846	MDPTPP04142852	STESAF0010322
7/1/2008	CHECK	(70,000)	-	(70,000)	-	-	8,963,758	MDPTPP04142852	MDPTPP04142860	STESAF0010322

BLMIS ACCOUNT NO. IKW402 - STERLING 10 LLC STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
8/1/2008	CHECK	(70,000)	-	(70,000)	-	-	8,893,758	MDFTPP04142862	MDFTPP04142870	
8/11/2008	CHECK	(160,000)	-	(160,000)	-	-	8,733,758	MDFTPP04142862	MDFTPP04142870	
9/2/2008	CHECK	(70,000)	-	(70,000)	-	-	8,663,758	MDFTPP04142873	MDFTPP04142885	STESAF0016925
9/23/2008	CHECK	(1,300,000)	-	(1,300,000)	-	-	7,363,758	MDFTPP04142873	MDFTPP04142885	STESAF0016941
10/1/2008	CHECK	(70,000)	-	(70,000)	-	-	7,293,758	MDFTPP04142887	MDFTPP04142892	STESAF0018726
11/3/2008	CHECK	(70,000)	-	(70,000)	-	-	7,223,758	MDFTPP04142894	MDFTPP04142910	
12/1/2008	CHECK	(70,000)	-	(70,000)	-	-	7,153,758	Checkbook	Checkbook	
	<b>Total:</b>		<b>\$ 16,300,063</b>	<b>\$ (15,470,000)</b>	<b>\$ 6,323,096</b>	<b>\$ -</b>	<b>\$ 7,153,758</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW403 - RICHARD A WILPON ANITA M TAPPY T.L.C

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>11</sup>
11/25/2003	TRANS FROM IKW08130 /IKW087)	47,455	-	-	47,455	-	47,455	MDFTPP04143787	MDFTPP04143787	STESAD0005424
11/26/2003	CHECK	58,000	58,000	-	-	-	105,455	MDFTPP04143787	MDFTPP04143787	STESAD0005424
12/13/2005	CHECK	(5,000)	-	(5,000)	-	-	100,455	MDFTPP04143921	MDFTPP04143926	STESAC00086424
9/25/2006	CHECK	(10,909)	-	(10,909)	-	-	89,546	MDFTPP04143980	MDFTPP04143984	STESAD0102587
1/26/2007	CHECK	(10,909)	-	(10,909)	-	-	78,637	MDFTPP04144005	MDFTPP04144007	
6/7/2007	CHECK	(14,545)	-	(14,545)	-	-	64,092	MDFTPP04144034	MDFTPP04144039	STESAF0029020
11/28/2007	CHECK	(9,091)	-	(9,091)	-	-	55,001	MDFTPP04144058	MDFTPP04144062	
7/16/2008	CHECK	(9,091)	-	(9,091)	-	-	45,910	MDFTPP04144093	MDFTPP04144094	
9/4/2008	CHECK	(18,182)	-	(18,182)	-	-	27,728	MDFTPP04144102	MDFTPP04144106	STESAF0016953
	<b>Total:</b>		<b>\$ 58,000</b>	<b>\$ (77,727)</b>	<b>\$ 47,455</b>	<b>\$ -</b>	<b>\$ 27,728</b>			

<sup>11</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.



BLMIS ACCOUNT NO. 1KW407 - SAUL B KATZ FAMILY TRUST 2 C/O STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
3/3/2004	CHECK WIRE	4,967,000	4,967,000	-	-	-	4,967,000	MDFTPP04145678	MDFTPP04145678	
5/26/2004	TRANS TO 1KW41230 (1KW412)	(4,800,000)	-	-	-	(4,800,000)	167,000	MDFTPP04145687	MDFTPP04145691	
6/1/2004	CHECK	(300,000)	-	(300,000)	-	-	(133,000)	MDFTPP04145693	MDFTPP04145695	
6/8/2004	CHECK	400,000	400,000	-	-	-	267,000	MDFTPP04145693	MDFTPP04145695	
7/1/2004	CHECK	(100,000)	-	(100,000)	-	-	167,000	MDFTPP04145697	MDFTPP04145698	
8/2/2004	CHECK	(100,000)	-	(100,000)	-	-	67,000	MDFTPP04145700	MDFTPP04145705	
9/1/2004	CHECK	(100,000)	-	(100,000)	-	-	(33,000)	MDFTPP04145707	MDFTPP04145710	
2/5/2008	TRANS TO 1KW24230 (1KW242)	(82,320) <sup>(1)</sup>	-	-	-	-	(33,000)	MDFTPP04145936	MDFTPP04145937	STESAF0001898
	<b>Total:</b>		<b>\$ 5,367,000</b>	<b>\$ (600,000)</b>	<b>\$ -</b>	<b>\$ (4,800,000)</b>	<b>\$ (33,000)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW408 - FRED WILPON FAMILY TRUST 2 C/O STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bates.	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
6/24/2004	CHECK WIRE	4,965,223	4,965,223	-	-	-	4,965,223	MDPTPP04145955	MDPTPP04145955	
8/2/2004	CHECK	(100,000)	-	(100,000)	-	-	4,865,223	MDPTPP04145957	MDPTPP04145962	
9/1/2004	CHECK	(100,000)	-	(100,000)	-	-	4,765,223	MDPTPP04145964	MDPTPP04145968	
10/1/2004	CHECK	(100,000)	-	(100,000)	-	-	4,665,223	MDPTPP04145970	MDPTPP04145972	
10/7/2004	CHECK	(4,000,000)	-	(4,000,000)	-	-	665,223	MDPTPP04145970	MDPTPP04145972	
11/1/2004	CHECK	(100,000)	-	(100,000)	-	-	565,223	MDPTPP04145974	MDPTPP04145979	
12/1/2004	CHECK	(100,000)	-	(100,000)	-	-	465,223	MDPTPP04145981	MDPTPP04145985	
1/3/2005	CHECK	(100,000)	-	(100,000)	-	-	365,223	MDPTPP04145987	MDPTPP04145992	STESAC0015475
2/1/2005	CHECK	(100,000)	-	(100,000)	-	-	265,223	MDPTPP04145994	MDPTPP04145998	STESAC0012950
3/1/2005	CHECK	(100,000)	-	(100,000)	-	-	165,223	MDPTPP04146000	MDPTPP04146004	STESAC0135802
4/1/2005	CHECK	(100,000)	-	(100,000)	-	-	65,223	MDPTPP04146006	MDPTPP04146008	STESAC0131876
5/2/2005	CHECK	(100,000)	-	(100,000)	-	-	(34,778)	MDPTPP04146010	MDPTPP04146015	STESAC00134518
6/1/2005	CHECK	(100,000)	-	(100,000)	-	-	(134,778)	MDPTPP04146017	MDPTPP04146020	STESAC0007402
7/1/2005	CHECK	(100,000)	-	(100,000)	-	-	(234,778)	MDPTPP04146022	MDPTPP04146024	STESAC0009150
7/8/2005	CHECK	75,000	75,000	-	-	-	(159,778)	MDPTPP04146022	MDPTPP04146024	STESAC0009150
4/21/2006	TRANS TO IKW26030 / IKW260	(4,383)	-	-	-	-	(159,778)	MDPTPP04146047	MDPTPP04146048	STESAD00112269
	<b>Total:</b>		<b>\$ 5,040,223</b>	<b>\$ (5,200,000)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (159,778)</b>			

<sup>1)</sup> Although BLMIS statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

<sup>2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW412 - DAVID KATZ ET AL TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
5/26/2004	CHECK	5,510,000	5,510,000	-	-	-	5,510,000	MDFTPP04146049	MDFTPP04146049	
5/26/2004	TRANS FROM IKW02430 (IKW024)	1,000,000 <sup>(1)</sup>	-	-	-	-	5,510,000	MDFTPP04146049	MDFTPP04146049	
5/26/2004	TRANS FROM IKW06730 (IKW067)	4,000,000 <sup>(1)</sup>	-	-	-	-	5,510,000	MDFTPP04146049	MDFTPP04146049	
5/26/2004	TRANS FROM IKW06130 (IKW061)	300,000 <sup>(1)</sup>	-	-	-	-	5,510,000	MDFTPP04146049	MDFTPP04146049	
5/26/2004	TRANS FROM IKW02030 (IKW020)	1,750,000 <sup>(1)</sup>	-	-	-	-	5,510,000	MDFTPP04146049	MDFTPP04146049	
5/26/2004	TRANS FROM IKW39230 (IKW392)	1,040,000 <sup>(2)</sup>	-	-	975,000	-	6,485,000	MDFTPP04146049	MDFTPP04146049	
5/26/2004	TRANS FROM IKW40730 (IKW407)	4,800,000	-	-	4,800,000	-	11,285,000	MDFTPP04146049	MDFTPP04146049	
5/26/2004	TRANS FROM IKW26330 (IKW263)	100,000 <sup>(1)</sup>	-	-	-	-	11,285,000	MDFTPP04146049	MDFTPP04146049	
5/26/2004	TRANS FROM IKW38830 (IKW388)	2,000,000 <sup>(2)</sup>	-	-	1,833,000	-	13,118,000	MDFTPP04146049	MDFTPP04146049	
5/26/2004	TRANS FROM IKW01930 (IKW019)	225,000 <sup>(1)</sup>	-	-	-	-	13,118,000	MDFTPP04146049	MDFTPP04146049	
5/26/2004	TRANS FROM IKW12130 (IKW121)	300,000 <sup>(2)</sup>	-	-	47,498	-	13,165,498	MDFTPP04146049	MDFTPP04146049	
5/26/2004	TRANS FROM IKW08130 (IKW081)	550,000 <sup>(1)</sup>	-	-	-	-	13,165,498	MDFTPP04146049	MDFTPP04146049	
7/26/2004	CHECK	(300,000)	-	(300,000)	-	-	12,865,498	MDFTPP04146058	MDFTPP04146060	
12/28/2004	CHECK	(800,000)	-	(800,000)	-	-	12,065,498	MDFTPP04146113	MDFTPP04146125	STESAF0098009
7/1/2005	CHECK	(800,000)	-	(800,000)	-	-	11,265,498	MDFTPP04146194	MDFTPP04146197	STESAC0009158
12/12/2005	CHECK	(1,000,000)	-	(1,000,000)	-	-	10,265,498	MDFTPP04146251	MDFTPP04146266	STESAC0086486
3/27/2006	CHECK	(650,000)	-	(650,000)	-	-	9,615,498	MDFTPP04146292	MDFTPP04146309	
6/20/2006	CHECK	(650,000)	-	(650,000)	-	-	8,965,498	MDFTPP04146338	MDFTPP04146350	STESAD0106629
12/26/2006	CHECK	(1,100,000)	-	(1,100,000)	-	-	7,865,498	MDFTPP04146408	MDFTPP04146424	
3/29/2007	CHECK WIRE	(20,600,000)	-	(20,600,000)	-	-	(12,734,502)	MDFTPP04146450	MDFTPP04146466	STESAG0009901
6/27/2007	CHECK	(508,841)	-	(508,841)	-	-	(13,243,343)	MDFTPP04146481	MDFTPP04146485	STESAF0029092
9/20/2007	CHECK	(913)	-	(913)	-	-	(13,244,256)	MDFTPP04146493	MDFTPP04146494	
	<b>Total:</b>		<b>\$ 5,510,000</b>	<b>\$ (26,409,754)</b>	<b>\$ 7,655,498</b>	<b>\$ -</b>	<b>\$ (13,244,256)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW413 - CHARLES STERLING SUB LLC (PRIMARY)

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>2</sup>
10/13/2004	TRANS FROM IKW34130 (IKW341)	1,000,000 (1)	-	-	708,163	-	708,163	MDPTPP04146522	MDPTPP04146522	
10/15/2004	CHECK WIRE	9,924,000	9,924,000	-	-	-	10,632,163	MDPTPP04146522	MDPTPP04146522	
10/15/2004	CHECK WIRE	9,802,173	9,802,173	-	-	-	20,434,336	MDPTPP04146522	MDPTPP04146522	
2/1/2005	CHECK	(110,000)	-	(110,000)	-	-	20,324,336	MDPTPP04146571	MDPTPP04146574	STESAC0012972
3/1/2005	CHECK	(23,000)	-	(23,000)	-	-	20,301,336	MDPTPP04146576	MDPTPP04146589	STESAC00135844
3/18/2005	CHECK	(1,000,000)	-	(1,000,000)	-	-	19,301,336	MDPTPP04146576	MDPTPP04146589	STESAC00135864
4/1/2005	CHECK	(23,000)	-	(23,000)	-	-	19,278,336	MDPTPP04146591	MDPTPP04146595	STESAC00131894
5/2/2005	CHECK	(23,000)	-	(23,000)	-	-	19,255,336	MDPTPP04146597	MDPTPP04146609	STESAC00134562
6/1/2005	CHECK	(23,000)	-	(23,000)	-	-	19,232,336	MDPTPP04146612	MDPTPP04146623	STESAC0007436
7/1/2005	CHECK	(23,000)	-	(23,000)	-	-	19,209,336	MDPTPP04146625	MDPTPP04146628	STESAC0009176
7/8/2005	CHECK	(600,000)	-	(600,000)	-	-	18,609,336	MDPTPP04146625	MDPTPP04146628	STESAC0009176
8/1/2005	CHECK	(23,000)	-	(23,000)	-	-	18,586,336	MDPTPP04146630	MDPTPP04146632	STESAC0010490
9/1/2005	CHECK	(33,000)	-	(33,000)	-	-	18,553,336	MDPTPP04146634	MDPTPP04146656	STESAC00126904
10/3/2005	CHECK	(33,000)	-	(33,000)	-	-	18,520,336	MDPTPP04146659	MDPTPP04146674	STESAC00130054
11/1/2005	CHECK	(33,000)	-	(33,000)	-	-	18,487,336	MDPTPP04146677	MDPTPP04146681	STESAC00089142
12/1/2005	CHECK	(33,000)	-	(33,000)	-	-	18,454,336	MDPTPP04146683	MDPTPP04146699	STESAC00086520
1/3/2006	CHECK	(33,000)	-	(33,000)	-	-	18,421,336	MDPTPP04146701	MDPTPP04146716	STESAD00090024
2/1/2006	CHECK	(33,000)	-	(33,000)	-	-	18,388,336	MDPTPP04146719	MDPTPP04146723	STESAD0006460
3/1/2006	CHECK	(33,000)	-	(33,000)	-	-	18,355,336	MDPTPP04146725	MDPTPP04146742	
3/28/2006	CHECK	(1,250,000)	-	(1,250,000)	-	-	17,105,336	MDPTPP04146725	MDPTPP04146742	
4/3/2006	CHECK	(33,000)	-	(33,000)	-	-	17,072,336	MDPTPP04146745	MDPTPP04146758	
5/1/2006	CHECK	(33,000)	-	(33,000)	-	-	17,039,336	MDPTPP04146761	MDPTPP04146769	
6/1/2006	CHECK	(33,000)	-	(33,000)	-	-	17,006,336	MDPTPP04146772	MDPTPP04146784	STESAD0109240
7/3/2006	CHECK	(33,000)	-	(33,000)	-	-	16,973,336	MDPTPP04146786	MDPTPP04146797	STESAD0106639
8/1/2006	CHECK	(33,000)	-	(33,000)	-	-	16,940,336	MDPTPP04146799	MDPTPP04146804	STESAD00087600
9/1/2006	CHECK	(33,000)	-	(33,000)	-	-	16,907,336	MDPTPP04146806	MDPTPP04146816	STESAD00084802
10/2/2006	CHECK	(50,000)	-	(50,000)	-	-	16,857,336	MDPTPP04146818	MDPTPP04146831	STESAD00102646
11/1/2006	CHECK	(50,000)	-	(50,000)	-	-	16,807,336	MDPTPP04146833	MDPTPP04146840	STESAD00096694
12/1/2006	CHECK	(50,000)	-	(50,000)	-	-	16,757,336	MDPTPP04146842	MDPTPP04146858	STESAD00094066
1/2/2007	CHECK	(50,000)	-	(50,000)	-	-	16,707,336	MDPTPP04146860	MDPTPP04146865	STESAK0001298
2/1/2007	CHECK	(50,000)	-	(50,000)	-	-	16,657,336	MDPTPP04146866	MDPTPP04146881	STESAF0047450
3/1/2007	CHECK	(50,000)	-	(50,000)	-	-	16,607,336	MDPTPP04146884	MDPTPP04146900	STESAG0009915
3/28/2007	CHECK	(2,000,000)	-	(2,000,000)	-	-	14,607,336	MDPTPP04146884	MDPTPP04146900	STESAG0009939
4/2/2007	CHECK	(50,000)	-	(50,000)	-	-	14,557,336	MDPTPP04146903	MDPTPP04146917	STESAK0001261
4/27/2007	TRANS TO IKW41430 (IKW414)	(250,000)	-	-	-	(250,000)	14,307,336	MDPTPP04146903	MDPTPP04146917	STESAK0001271
5/1/2007	CHECK	(50,000)	-	(50,000)	-	-	14,257,336	MDPTPP04146920	MDPTPP04146924	STESAF0054258
6/1/2007	CHECK	(50,000)	-	(50,000)	-	-	14,207,336	MDPTPP04146926	MDPTPP04146939	
6/26/2007	CHECK	(500,000)	-	(500,000)	-	-	13,707,336	MDPTPP04146926	MDPTPP04146939	
7/2/2007	CHECK	(50,000)	-	(50,000)	-	-	13,657,336	MDPTPP04146941	MDPTPP04146945	STESAF0031187
8/1/2007	CHECK	(50,000)	-	(50,000)	-	-	13,607,336	MDPTPP04146947	MDPTPP04146955	STESAF00032671
9/4/2007	CHECK	(50,000)	-	(50,000)	-	-	13,557,336	MDPTPP04146957	MDPTPP04146977	
10/1/2007	CHECK	(50,000)	-	(50,000)	-	-	13,507,336	MDPTPP04146980	MDPTPP04146987	STESAF00037476
11/1/2007	CHECK	(50,000)	-	(50,000)	-	-	13,457,336	MDPTPP04146989	MDPTPP04147006	
12/3/2007	CHECK	(50,000)	-	(50,000)	-	-	13,407,336	MDPTPP04147009	MDPTPP04147021	STESAF00040045
12/2/2008	CHECK	(50,000)	-	(50,000)	-	-	13,357,336	MDPTPP04147023	MDPTPP04147031	
1/10/2008	TRANS TO IKW41430 (IKW414)	(250,000)	-	-	-	(250,000)	13,107,336	MDPTPP04147023	MDPTPP04147031	
2/1/2008	CHECK	(50,000)	-	(50,000)	-	-	13,057,336	MDPTPP04147033	MDPTPP04147038	STESAF0001902
3/3/2008	CHECK	(50,000)	-	(50,000)	-	-	13,007,336	MDPTPP04147040	MDPTPP04147049	STESAF0002978
4/1/2008	CHECK	(50,000)	-	(50,000)	-	-	12,957,336	MDPTPP04147051	MDPTPP04147060	STESAF0005683
5/1/2008	CHECK	(50,000)	-	(50,000)	-	-	12,907,336	MDPTPP04147062	MDPTPP04147074	STESAF0008406
5/29/2008	CHECK	(900,000)	-	(900,000)	-	-	12,007,336	MDPTPP04147062	MDPTPP04147074	STESAF0008424
6/2/2008	CHECK	(50,000)	-	(50,000)	-	-	11,957,336	MDPTPP04147077	MDPTPP04147081	STESAF0010358

BLMIS ACCOUNT NO. IKW413 - CHARLES STERLING SUB LLC (PRIMARY)

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(2)</sup>
7/1/2008	CHECK	(50,000)	-	(50,000)	-	-	11,907,336	MDFTPP04147083	MDFTPP04147091	
8/1/2008	CHECK	(50,000)	-	(50,000)	-	-	11,857,336	MDFTPP04147093	MDFTPP04147101	
9/2/2008	CHECK	(50,000)	-	(50,000)	-	-	11,807,336	MDFTPP04147104	MDFTPP04147116	STESAF0016989
9/23/2008	CHECK	(700,000)	-	(700,000)	-	-	11,107,336	MDFTPP04147104	MDFTPP04147116	STESAF0017005
10/1/2008	CHECK	(50,000)	-	(50,000)	-	-	11,057,336	MDFTPP04147118	MDFTPP04147123	STESAF0018758
11/3/2008	CHECK	(50,000)	-	(50,000)	-	-	11,007,336	MDFTPP04147125	MDFTPP04147141	
12/1/2008	CHECK	(50,000)	-	(50,000)	-	-	10,957,336	MDFTPP04092648	MDFTPP04092650	
	<b>Total:</b>		<b>\$ 19,726,173</b>	<b>\$ (8,977,000)</b>	<b>\$ 708,163</b>	<b>\$ (500,000)</b>	<b>\$ 10,957,336</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW420 - STERLING BRUNSWICK SEVEN LLC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>2</sup>
3/23/2005	CHECK	5,400,000	5,400,000	-	-	-	5,400,000	MDPTPP04149273	MDPTPP04149274	STESAC0135898
3/23/2005	TRANS FROM 1KW27930 (1KW279)	1,662,430 (1)	-	-	1,550,000	-	6,950,000	MDPTPP04149273	MDPTPP04149274	STESAC0135898
3/29/2005	CHECK WIRE	7,000,000	7,000,000	-	-	-	13,950,000	MDPTPP04149273	MDPTPP04149274	STESAC0135898
7/1/2005	CHECK	(120,000)	-	(120,000)	-	-	13,830,000	MDPTPP04149290	MDPTPP04149292	STESAC0009194
7/1/2005	CHECK	(22,000)	-	(22,000)	-	-	13,808,000	MDPTPP04149290	MDPTPP04149292	STESAC0009194
8/1/2005	CHECK	(22,000)	-	(22,000)	-	-	13,786,000	MDPTPP04149294	MDPTPP04149296	STESAC0010510
9/1/2005	CHECK	(22,000)	-	(22,000)	-	-	13,764,000	MDPTPP04149298	MDPTPP04149305	STESAC0126984
10/3/2005	CHECK	(22,000)	-	(22,000)	-	-	13,742,000	MDPTPP04149307	MDPTPP04149313	STESAC0130118
11/1/2005	CHECK	(22,000)	-	(22,000)	-	-	13,720,000	MDPTPP04149315	MDPTPP04149319	STESAC0089174
12/1/2005	CHECK	(22,000)	-	(22,000)	-	-	13,698,000	MDPTPP04149321	MDPTPP04149326	STESAC0086584
12/12/2005	CHECK (700,000)	(700,000)	-	(700,000)	-	-	12,998,000	MDPTPP04149321	MDPTPP04149326	STESAC0086584
1/3/2006	CHECK	(22,000)	-	(22,000)	-	-	12,976,000	MDPTPP04149328	MDPTPP04149334	STESAD0009088
2/1/2006	CHECK	(22,000)	-	(22,000)	-	-	12,954,000	MDPTPP04149336	MDPTPP04149339	STESAD0006492
3/1/2006	CHECK	(22,000)	-	(22,000)	-	-	12,932,000	MDPTPP04149341	MDPTPP04149349	
4/3/2006	CHECK	(22,000)	-	(22,000)	-	-	12,910,000	MDPTPP04149351	MDPTPP04149357	
5/1/2006	CHECK	(22,000)	-	(22,000)	-	-	12,888,000	MDPTPP04149359	MDPTPP04149364	STESAD0109288
6/1/2006	CHECK	(22,000)	-	(22,000)	-	-	12,866,000	MDPTPP04149366	MDPTPP04149370	STESAD0106691
7/3/2006	CHECK	(22,000)	-	(22,000)	-	-	12,844,000	MDPTPP04149372	MDPTPP04149378	STESAD0087674
8/1/2006	CHECK	(22,000)	-	(22,000)	-	-	12,822,000	MDPTPP04149380	MDPTPP04149383	STESAD0084838
9/1/2006	CHECK	(22,000)	-	(22,000)	-	-	12,800,000	MDPTPP04149385	MDPTPP04149389	STESAD0102696
10/2/2006	CHECK	(22,000)	-	(22,000)	-	-	12,778,000	MDPTPP04149391	MDPTPP04149396	STESAD0096752
11/1/2006	CHECK	(22,000)	-	(22,000)	-	-	12,756,000	MDPTPP04149398	MDPTPP04149402	STESAD0094106
12/1/2006	CHECK	(22,000)	-	(22,000)	-	-	12,734,000	MDPTPP04149404	MDPTPP04149409	
12/21/2006	CHECK (1,250,000)	(1,250,000)	-	(1,250,000)	-	-	11,484,000	MDPTPP04149404	MDPTPP04149409	
1/2/2007	CHECK	(35,000)	-	(35,000)	-	-	11,449,000	MDPTPP04149411	MDPTPP04149413	STESAF0044553
2/1/2007	CHECK	(35,000)	-	(35,000)	-	-	11,414,000	MDPTPP04149414	MDPTPP04149420	STESAF0047514
3/1/2007	CHECK	(35,000)	-	(35,000)	-	-	11,379,000	MDPTPP04149422	MDPTPP04149427	STESAG0009983
4/2/2007	CHECK	(35,000)	-	(35,000)	-	-	11,344,000	MDPTPP04149430	MDPTPP04149435	STESAF0051867
5/1/2007	CHECK	(35,000)	-	(35,000)	-	-	11,309,000	MDPTPP04149437	MDPTPP04149440	STESAF0054292
6/1/2007	CHECK	(35,000)	-	(35,000)	-	-	11,274,000	MDPTPP04149442	MDPTPP04149447	STESAF0029156
6/26/2007	CHECK (950,000)	(950,000)	-	(950,000)	-	-	10,324,000	MDPTPP04149442	MDPTPP04149447	STESAF0029162
7/2/2007	CHECK	(35,000)	-	(35,000)	-	-	10,289,000	MDPTPP04149449	MDPTPP04149452	STESAF0031207
8/1/2007	CHECK	(35,000)	-	(35,000)	-	-	10,254,000	MDPTPP04149454	MDPTPP04149455	
9/4/2007	CHECK	(35,000)	-	(35,000)	-	-	10,219,000	MDPTPP04149457	MDPTPP04149462	
9/6/2007	CHECK	(10,000)	-	(10,000)	-	-	10,209,000	MDPTPP04149457	MDPTPP04149462	STESAF0037506
10/1/2007	CHECK	(35,000)	-	(35,000)	-	-	10,174,000	MDPTPP04149464	MDPTPP04149466	STESAF0040097
11/1/2007	CHECK	(35,000)	-	(35,000)	-	-	10,139,000	MDPTPP04149468	MDPTPP04149472	STESAF0040101
12/3/2007	CHECK	(35,000)	-	(35,000)	-	-	10,104,000	MDPTPP04149474	MDPTPP04149478	STESAF0009926
12/21/2007	CHECK (600,000)	(600,000)	-	(600,000)	-	-	9,504,000	MDPTPP04149474	MDPTPP04149478	STESAF0001924
1/2/2008	CHECK	(35,000)	-	(35,000)	-	-	9,469,000	MDPTPP04149480	MDPTPP04149482	STESAF0003008
2/1/2008	CHECK	(35,000)	-	(35,000)	-	-	9,434,000	MDPTPP04149483	MDPTPP04149484	STESAF0005733
3/3/2008	CHECK	(35,000)	-	(35,000)	-	-	9,399,000	MDPTPP04149485	MDPTPP04149487	STESAF0008460
4/1/2008	CHECK	(35,000)	-	(35,000)	-	-	9,364,000	MDPTPP04149488	MDPTPP04149493	STESAF0008466
5/1/2008	CHECK	(35,000)	-	(35,000)	-	-	9,329,000	MDPTPP04149495	MDPTPP04149499	STESAF0010386
5/29/2008	CHECK (500,000)	(500,000)	-	(500,000)	-	-	8,829,000	MDPTPP04149495	MDPTPP04149499	STESAF0008466
6/2/2008	CHECK	(35,000)	-	(35,000)	-	-	8,794,000	MDPTPP04149501	MDPTPP04149503	STESAF0014304
7/1/2008	CHECK	(35,000)	-	(35,000)	-	-	8,759,000	MDPTPP04149505	MDPTPP04149506	STESAF0014304
8/1/2008	CHECK	(35,000)	-	(35,000)	-	-	8,724,000	MDPTPP04149508	MDPTPP04149512	STESAF0017041
9/2/2008	CHECK	(35,000)	-	(35,000)	-	-	8,689,000	MDPTPP04149514	MDPTPP04149519	STESAF0017041
9/23/2008	CHECK	(350,000)	-	(350,000)	-	-	8,339,000	MDPTPP04149514	MDPTPP04149519	STESAF0017047

BLMIS ACCOUNT NO. 1KW420 - STERLING BRUNSWICK SEVEN LLC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
10/1/2008	CHECK	(35,000)	-	(35,000)	-	-	8,304,000	MDPTPP04149521	MDPTPP04149523	STESAF0018784
11/3/2008	CHECK	(35,000)	-	(35,000)	-	-	8,269,000	MDPTPP04149525	MDPTPP04149530	
12/1/2008	CHECK	(35,000)	-	(35,000)	-	-	8,234,000	Checkbook	Checkbook	
	<b>Total:</b>		<b>\$ 12,400,000</b>	<b>\$ (5,716,000)</b>	<b>\$ 1,550,000</b>	<b>\$ -</b>	<b>\$ 8,234,000</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>(2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW423 - METS LIMITED PARTNERSHIP SHEA STADIUM

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref
6/7/2005	TRANS FROM 1KW21830 (IKW218)	46,872,417 <sup>(1)</sup>	-	-	6,998,163	-	6,998,163	MDFTPP04150752	MDFTPP04150765	STESAC0007526, STESAL0070007
6/29/2005	CHECK WIRE	(2,500,000)	-	(2,500,000)	-	-	4,498,163	MDFTPP04150752	MDFTPP04150765	STESAC0007538, STESAL0070019
7/11/2005	CHECK WIRE	(350,000)	-	(350,000)	-	-	4,148,163	MDFTPP04150767	MDFTPP04150770	STESAC0009218, STESAL0069993
9/26/2005	CHECK WIRE	(850,000)	-	(850,000)	-	-	3,298,163	MDFTPP04150776	MDFTPP04150798	STESAC0127062, STESAL0069963
12/21/2005	CHECK WIRE	(1,900,000)	-	(1,900,000)	-	-	1,398,163	MDFTPP04150826	MDFTPP04150842	STESAC0086644, STESAL0069867
7/13/2006	CHECK WIRE	(3,000,000)	-	(3,000,000)	-	-	(1,601,837)	MDFTPP04150928	MDFTPP04150939	STESAD0087708, STESAL0070173
8/27/2007	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	(6,601,837)	MDFTPP04151087	MDFTPP04151095	STESAK0001495, STESAL0070545
12/11/2007	CHECK WIRE	(2,500,000)	-	(2,500,000)	-	-	(9,101,837)	MDFTPP04151148	MDFTPP04151159	STESAF0040139, STESAK0002810, STESAL0070670
<b>Total:</b>			<b>\$ -</b>	<b>\$ (16,100,000)</b>	<b>\$ 6,998,163</b>	<b>\$ -</b>	<b>\$ (9,101,837)</b>			

<sup>(1)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.



BLMIS ACCOUNT NO. IKW424 - HOWARD S KATZ C/O STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(3)</sup>
6/6/2005	CHECK	55,000	55,000	-	-	-	55,000	MDFTPP04151901	MDFTPP04151901	STESAC0007576
6/6/2005	TRANS FROM IKW10930 (IKW109)	57,000 <sup>(1)</sup>	-	-	-	-	55,000	MDFTPP04151901	MDFTPP04151901	STESAC0007576
4/20/2006	CHECK	170,000	170,000	-	-	-	225,000	MDFTPP04151949	MDFTPP04151955	
5/31/2006	CHECK	(180,000)	-	(180,000)	-	-	45,000	MDFTPP04151957	MDFTPP04151961	STESAD0109348
8/28/2006	CHECK	25,000	25,000	-	-	-	70,000	MDFTPP04151977	MDFTPP04151981	STESAD00084886
1/18/2007	TRANS TO IKW44730 (IKW447)	(75,000) <sup>(2)</sup>	-	-	-	(70,000)	-	MDFTPP04152008	MDFTPP04152010	
10/9/2007	CHECK	35,607	35,607	-	-	-	35,607	MDFTPP04152057	MDFTPP04152058	
2/27/2008	CHECK	325,000	325,000	-	-	-	360,607	MDFTPP04152074	MDFTPP04152075	STESAF0001950
3/18/2008	CHECK	9,424	9,424	-	-	-	370,031	MDFTPP04152076	MDFTPP04152077	STESAF0003042
6/16/2008	CHECK	11,519	11,519	-	-	-	381,550	MDFTPP04152091	MDFTPP04152093	STESAF0010420
10/9/2008	CHECK	33,507	33,507	-	-	-	415,057	MDFTPP04152110	MDFTPP04152112	STESAF0018816
	<b>Total:</b>		<b>\$ 665,057</b>	<b>\$ (180,000)</b>	<b>\$ -</b>	<b>\$ (70,000)</b>	<b>\$ 415,057</b>			

<sup>(1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>(2)</sup> Although BLMIS statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>(3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW426 - GREGORY A KATZ & AMY BETH KATZ, JT/WROS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bates.	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>11</sup>
9/1/2005	CHECK	240,000	240,000	-	-	-	240,000	MDFTPP04152813	MDFTPP04152818	STESAC0127098
2/6/2006	CHECK	65,000	65,000	-	-	-	305,000	MDFTPP04152846	MDFTPP04152849	STESAD00066552
3/30/2007	CHECK	(285,000)	-	(285,000)	-	-	20,000	MDFTPP04152927	MDFTPP04152932	STESAG0010099
7/3/2007	CHECK	190,000	190,000	-	-	-	210,000	MDFTPP04152953	MDFTPP04152955	STESAF0031259
9/4/2007	CHECK	25,000	25,000	-	-	-	235,000	MDFTPP04152960	MDFTPP04152965	
10/11/2007	CHECK	55,000	55,000	-	-	-	290,000	MDFTPP04152967	MDFTPP04152968	
11/1/2007	CHECK	30,000	30,000	-	-	-	320,000	MDFTPP04152970	MDFTPP04152974	
	<b>Total:</b>		<b>\$ 605,000</b>	<b>\$ (285,000)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 320,000</b>			

<sup>11</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW427 - SAUL B KATZ ET AL TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(1)</sup>
11/30/2005	CHECK WIRE	22,000,000	22,000,000	-	-	-	22,000,000	MDFTPP04153048	MDFTPP04153048	STESAC0089240
6/26/2007	CHECK WIRE	(27,658,324)	-	(27,658,324)	-	-	(5,658,324)	MDFTPP04153281	MDFTPP04153293	STESAF0029290
9/20/2007	CHECK	(32,524)	-	(32,524)	-	-	(5,690,849)	MDFTPP04153301	MDFTPP04153302	
	<b>Total:</b>		<b>\$ 22,000,000</b>	<b>\$ (27,690,849)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (5,690,849)</b>			

<sup>(1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW434 - FFB AVIATION LLC C/O STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(1)</sup>
5/4/2006	CHECK	2,600,000	2,600,000	-	-	-	2,600,000	MDFTPP04155231	MDFTPP04155233	STESAD0109450
12/21/2006	CHECK	(245,675)	-	(245,675)	-	-	2,354,325	MDFTPP04155267	MDFTPP04155272	
1/16/2007	TRANS TO 1KW44730 (1KW447)	(2,200,000)	-	-	-	(2,200,000)	154,325	MDFTPP04155274	MDFTPP04155276	
7/13/2007	CHECK	(89,100)	-	(89,100)	-	-	65,225	MDFTPP04155310	MDFTPP04155313	STESAF0031311
3/25/2008	CHECK	(89,100)	-	(89,100)	-	-	(23,875)	MDFTPP04155344	MDFTPP04155346	STESAF0003072
7/31/2008	CHECK	(89,100)	-	(89,100)	-	-	(112,975)	MDFTPP04155364	MDFTPP04155365	
	<b>Total:</b>		<b>\$ 2,600,000</b>	<b>\$ (512,975)</b>	<b>\$ -</b>	<b>\$ (2,200,000)</b>	<b>\$ (112,975)</b>			

<sup>(1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW435 - STERLING INTERNAL V LLC C/O STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Bates.	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>1)</sup>
7/27/2006	CHECK WIRE	67,937,120	67,937,120	-	-	-	67,937,120	MDFTPP04155408	MDFTPP04155410	STESAD0087911
12/26/2006	CHECK	(1,440,000)	-	(1,440,000)	-	-	66,497,120	MDFTPP04155438	MDFTPP04155454	STESBC0000847, STESBE0007057
2/1/2007	CHECK	(1,350,000)	-	(1,350,000)	-	-	65,147,120	MDFTPP04155462	MDFTPP04155477	STESAF0047754
4/20/2007	CHECK	(20,000,000)	-	(20,000,000)	-	-	45,147,120	MDFTPP04155499	MDFTPP04155512	
9/24/2007	CHECK	(1,725,000)	-	(1,725,000)	-	-	43,422,120	MDFTPP04155551	MDFTPP04155570	
11/5/2007	CHECK	(7,687,500)	-	(7,687,500)	-	-	35,734,620	MDFTPP04155582	MDFTPP04155600	
11/9/2007	CHECK	(1,900,000)	-	(1,900,000)	-	-	33,834,620	MDFTPP04155582	MDFTPP04155600	
11/14/2007	CHECK	1,200,000	1,200,000	-	-	-	35,034,620	MDFTPP04155600	MDFTPP04155600	
7/7/2008	CHECK	(13,500,000)	-	(13,500,000)	-	-	21,534,620	MDFTPP04155673	MDFTPP04155681	STESAK0002926
7/9/2008	CHECK WIRE	(3,500,000)	-	(3,500,000)	-	-	18,034,620	MDFTPP04155673	MDFTPP04155681	STESAK0002926
	<b>Total:</b>		<b>\$ 69,137,120</b>	<b>\$ (51,102,500)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 18,034,620</b>			

<sup>1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW436 - STERLING AMERICAN ADVISORS II LP

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref
9/29/2006	CHECK WIRE	1,300,000	1,300,000	-	-	-	1,300,000	MDFTPP04155776	MDFTPP04155776	STESAD0102879
10/4/2006	CHECK	(75,000)	-	(75,000)	-	-	1,225,000	MDFTPP04155777	MDFTPP04155782	STESAD0097018
12/21/2007	CHECK	(1,400,655)	-	(1,400,655)	-	-	(175,655)	MDFTPP04155855	MDFTPP04155858	STESAF0040297
2/6/2008	CHECK	(1,760)	-	(1,760)	-	-	(177,415)	MDFTPP04155862	MDFTPP04155863	STESAF0001998
	<b>Total:</b>		<b>\$ 1,300,000</b>	<b>\$ (1,477,415)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (177,415)</b>			

BELMIS ACCOUNT NO. 1KW445 - WILPON 2002 DESCENDANT'S TRUST

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(1)</sup>
1/18/2007	CHECK WIRE	1,962,500	1,962,500	-	-	-	1,962,500	MDPTPP04157771	MDPTPP04157772	
6/26/2007	CHECK	(1,500,000)	-	(1,500,000)	-	-	462,500	MDPTPP04157799	MDPTPP04157804	
	<b>Total:</b>		<b>\$ 1,962,500</b>	<b>\$ (1,500,000)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 462,500</b>			STES.AF0029494

<sup>(1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW447 - STERLING TWENTY FIVE LLC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg. Baltes.	BLMIS SOURCE DOCUMENT: End Baltes.	DEFENDANTS' PRODUCTION Bates Ref <sup>al</sup>
1/16/2007	CHECK	300,000	300,000	-	-	-	300,000	MDPTPP04158000	MDPTPP04158003	STESAP00000883
1/16/2007	CHECK	500,000	500,000	-	-	-	800,000	MDPTPP04158000	MDPTPP04158003	STESAP00000883
1/16/2007	CHECK WIRE	3,000,000	3,000,000	-	-	-	3,800,000	MDPTPP04158000	MDPTPP04158003	STESAP00000883
1/16/2007	TRANS FROM IKW10330 (IKW103)	300,000 [1]	-	-	-	-	3,800,000	MDPTPP04158000	MDPTPP04158003	STESAP00000883
1/16/2007	TRANS FROM IKW00430 (IKW004)	400,000 [1]	-	-	-	-	3,800,000	MDPTPP04158000	MDPTPP04158003	STESAP00000883
1/16/2007	TRANS FROM IKW01330 (IKW013)	800,000 [1]	-	-	-	-	3,800,000	MDPTPP04158000	MDPTPP04158003	STESAP00000883
1/16/2007	TRANS FROM IKW08830 (IKW088)	400,000 [1]	-	-	-	-	3,800,000	MDPTPP04158000	MDPTPP04158003	STESAP00000883
1/16/2007	TRANS FROM IKW24830 (IKW248)	500,000 [2]	-	-	375,000	-	4,175,000	MDPTPP04158000	MDPTPP04158003	STESAP00000883
1/16/2007	TRANS FROM IKW27630 (IKW276)	1,000,000 [2]	-	-	322,691	-	4,497,691	MDPTPP04158000	MDPTPP04158003	STESAP00000883
1/16/2007	TRANS FROM IKW43430 (IKW434)	2,000,000	-	-	2,200,000	-	6,697,691	MDPTPP04158000	MDPTPP04158003	STESAP00000883
1/16/2007	TRANS FROM IKW08730 (IKW087)	400,000 [1]	-	-	-	-	6,697,691	MDPTPP04158000	MDPTPP04158003	STESAP00000883
1/16/2007	TRANS FROM IKW27530 (IKW275)	1,000,000 [2]	-	-	406,860	-	7,104,551	MDPTPP04158000	MDPTPP04158003	STESAP00000883
1/16/2007	TRANS FROM IKW38630 (IKW386)	1,500,000	-	-	1,500,000	-	8,604,551	MDPTPP04158000	MDPTPP04158003	STESAP00000883
1/17/2007	CHECK WIRE	2,000,000	2,000,000	-	-	-	10,604,551	MDPTPP04158000	MDPTPP04158003	STESAP00000883
1/17/2007	TRANS FROM IKW08130 (IKW081)	2,500,000	-	-	2,500,000	-	13,104,551	MDPTPP04158000	MDPTPP04158003	STESAP00000883
1/17/2007	TRANS FROM IKW26330 (IKW263)	500,000	-	-	500,000	-	13,604,551	MDPTPP04158000	MDPTPP04158003	STESAP00000883
1/18/2007	CHECK WIRE	200,000	200,000	-	-	-	13,804,551	MDPTPP04158000	MDPTPP04158003	STESAP00000884
1/18/2007	TRANS FROM IKW42430 (IKW424)	75,000 [2]	-	-	70,000	-	13,874,551	MDPTPP04158000	MDPTPP04158003	STESAP00000884
1/19/2007	CHECK WIRE	17,575,000	17,575,000	-	-	-	31,449,551	MDPTPP04158000	MDPTPP04158003	STESAP00000884
4/10/2007	TRANS TO IKW45530 (IKW455)	(400,000)	-	-	-	(400,000)	31,049,551	MDPTPP04158038	MDPTPP04158053	STESAK0001066
4/11/2007	CHECK	5,095,000	5,095,000	-	-	-	36,144,551	MDPTPP04158038	MDPTPP04158053	STESAK0001067
4/11/2007	CHECK	(8,984)	-	(8,984)	-	-	36,135,567	MDPTPP04158038	MDPTPP04158053	STESAK0001067
4/12/2007	TRANS FROM IKW36730 (IKW367)	600,000	-	-	600,000	-	36,735,567	MDPTPP04158038	MDPTPP04158053	STESAK0001067
4/12/2007	TRANS FROM IKW38530 (IKW385)	500,000	-	-	500,000	-	37,235,567	MDPTPP04158038	MDPTPP04158053	STESAK0001067
4/12/2007	TRANS FROM IKW42830 (IKW428)	125,000 [2]	-	-	120,000	-	37,355,567	MDPTPP04158038	MDPTPP04158053	STESAK0001067
4/18/2007	CHECK WIRE	250,000	250,000	-	-	-	37,605,567	MDPTPP04158038	MDPTPP04158053	STESAK0001067
4/19/2007	CHECK	(323,466)	-	(323,466)	-	-	37,282,101	MDPTPP04158038	MDPTPP04158053	STESAK0001067
4/19/2007	CHECK	150,000	150,000	-	-	-	37,432,101	MDPTPP04158038	MDPTPP04158053	STESAK0001070
4/20/2007	CHECK WIRE	6,726,067	6,726,067	-	-	-	44,158,168	MDPTPP04158038	MDPTPP04158053	STESAK0001073
6/13/2007	CHECK	(500,000)	-	(500,000)	-	-	43,658,168	MDPTPP04158062	MDPTPP04158075	STESAK0001569
7/2/2007	CHECK	(110,000)	-	(110,000)	-	-	43,548,168	MDPTPP04158077	MDPTPP04158081	STESAF00031427
8/1/2007	CHECK	(110,000)	-	(110,000)	-	-	43,438,168	MDPTPP04158083	MDPTPP04158091	STESAK0001584
9/4/2007	CHECK	(110,000)	-	(110,000)	-	-	43,328,168	MDPTPP04158093	MDPTPP04158113	STESAF00036069
10/1/2007	CHECK	(1,700,000)	-	(1,700,000)	-	-	41,628,168	MDPTPP04158116	MDPTPP04158123	STESAF00037676
10/1/2007	CHECK	(110,000)	-	(110,000)	-	-	41,518,168	MDPTPP04158116	MDPTPP04158123	STESAF00037676
12/3/2007	CHECK	(110,000)	-	(110,000)	-	-	41,408,168	MDPTPP04158125	MDPTPP04158142	STESAK0001513
12/21/2007	CHECK	(650,000)	-	(650,000)	-	-	41,298,168	MDPTPP04158145	MDPTPP04158157	STESAF00040399
1/2/2008	CHECK	(110,000)	-	(110,000)	-	-	40,648,168	MDPTPP04158145	MDPTPP04158157	STESAF00040411
2/1/2008	CHECK	(110,000)	-	(110,000)	-	-	40,538,168	MDPTPP04158159	MDPTPP04158167	STESAK00003071
3/3/2008	CHECK	(110,000)	-	(110,000)	-	-	40,428,168	MDPTPP04158169	MDPTPP04158174	STESAK00003129
3/12/2008	CHECK	(450,000)	-	(450,000)	-	-	40,318,168	MDPTPP04158176	MDPTPP04158185	STESAF00003132
4/1/2008	CHECK	(110,000)	-	(110,000)	-	-	39,868,168	MDPTPP04158176	MDPTPP04158185	STESAF00003134
5/1/2008	CHECK	(110,000)	-	(110,000)	-	-	39,758,168	MDPTPP04158187	MDPTPP04158196	STESAF00006035
5/29/2008	CHECK	(550,000)	-	(550,000)	-	-	39,648,168	MDPTPP04158198	MDPTPP04158210	STESAF00008740
6/2/2008	CHECK	(110,000)	-	(110,000)	-	-	39,098,168	MDPTPP04158198	MDPTPP04158210	STESAF00008758
							38,988,168	MDPTPP04158213	MDPTPP04158217	STESAF00010558



BLMIS ACCOUNT NO. IKW447 - STERLING TWENTY FIVE LLC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>3)</sup>
7/1/2008	CHECK	(110,000)	-	(110,000)	-	-	38,878,168	MDFTPP04158219	MDFTPP04158227	STESAK0003082
8/1/2008	CHECK	(110,000)	-	(110,000)	-	-	38,768,168	MDFTPP04158229	MDFTPP04158237	STESAF0014574
9/2/2008	CHECK	(110,000)	-	(110,000)	-	-	38,658,168	MDFTPP04158240	MDFTPP04158252	STESAF0017319
9/23/2008	CHECK	(1,600,000)	-	(1,600,000)	-	-	37,058,168	MDFTPP04158240	MDFTPP04158252	STESAF0017335
10/1/2008	CHECK	(110,000)	-	(110,000)	-	-	36,948,168	MDFTPP04158254	MDFTPP04158258	STESAF0018928
11/3/2008	CHECK	(110,000)	-	(110,000)	-	-	36,838,168	MDFTPP04158260	MDFTPP04158276	STESAK0003109
12/1/2008	CHECK	(110,000)	-	(110,000)	-	-	36,728,168	Checkbook	Checkbook	
	<b>Total:</b>		<b>\$ 35,796,067</b>	<b>\$ (7,762,450)</b>	<b>\$ 9,094,551</b>	<b>\$ (400,000)</b>	<b>\$ 36,728,168</b>			

<sup>1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>2)</sup> Although BLMIS statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>3)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW449 - SEE HOLDCO LLC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(1)</sup>
1/29/2007	CHECK WIRE	6,600,000	6,600,000	-	-	-	6,600,000	MDFTPP04158440	MDFTPP04158441	
3/29/2007	CHECK	(6,500,000)	-	(6,500,000)	-	-	100,000	MDFTPP04158449	MDFTPP04158454	STESAG0010439
6/25/2007	CHECK	(160,000)	-	(160,000)	-	-	(60,000)	MDFTPP04158469	MDFTPP04158474	STESAF0029546
	<b>Total:</b>		<b>\$ 6,600,000</b>	<b>\$ (6,660,000)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (60,000)</b>			

<sup>(1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. IKW453 - GREG KATZ (TR) C/O STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(1)</sup>
3/20/2007	CHECK	250,000	250,000	-	-	-	250,000	MDFTPP04159117	MDFTPP04159117	STESAG0010456
3/20/2007	CHECK	250,000	250,000	-	-	-	500,000	MDFTPP04159117	MDFTPP04159117	STESAG0010456
4/16/2007	TRANS TO IKW45530 (IKW455)	(250,000)	-	-	-	(250,000)	250,000	MDFTPP04159118	MDFTPP04159119	
4/30/2007	CANCEL CHECK 3/20/07	(250,000)	-	(2,398)	-	-	-	MDFTPP04159118	MDFTPP04159119	
4/30/2007	CHECK	(2,398)	-	(2,398)	-	-	(2,398)	MDFTPP04159118	MDFTPP04159119	
	<b>Total:</b>		<b>\$ 250,000</b>	<b>\$ (2,398)</b>	<b>\$ -</b>	<b>\$ (250,000)</b>	<b>\$ (2,398)</b>			

<sup>(1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW455 - STERLING TRACING LLC STERLING EQUITIES ARTHUR FRIEDMAN

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>u</sup>
4/3/2007	CHECK	2,954,056	2,954,056	-	-	-	2,954,056	MDPTPP04159123	MDPTPP04159128	STESAF0052321, STESAK0001085
4/3/2007	CHECK	1,142,811	1,142,811	-	-	-	4,096,867	MDPTPP04159123	MDPTPP04159128	STESAF0052321, STESAK0001085
4/3/2007	CHECK	269,530	269,530	-	-	-	4,366,397	MDPTPP04159123	MDPTPP04159128	STESAF0052321, STESAK0001085
4/3/2007	CHECK	4,142,685	4,142,685	-	-	-	8,509,082	MDPTPP04159123	MDPTPP04159128	STESAF0052321, STESAK0001085
4/10/2007	TRANS FROM 1KW44730 (1KW447)	400,000	-	-	400,000	-	8,909,082	MDPTPP04159123	MDPTPP04159128	STESAF0052321, STESAK0001085
4/16/2007	CHECK WIRE	5,000,000	5,000,000	-	-	-	13,909,082	MDPTPP04159123	MDPTPP04159128	STESAF0052321, STESAK0001085
4/16/2007	TRANS FROM 1KW45430 (1KW454)	150,000	-	-	150,000	-	14,059,082	MDPTPP04159123	MDPTPP04159128	STESAF0052321, STESAK0001085
4/16/2007	TRANS FROM 1KW45330 (1KW453)	250,000	-	-	250,000	-	14,309,082	MDPTPP04159123	MDPTPP04159128	STESAF0052321, STESAK0001085
4/20/2007	CHECK WIRE	14,309,082	14,309,082	-	-	-	28,618,164	MDPTPP04159123	MDPTPP04159128	STESAF0052323, STESAK0001086
7/26/2007	CHECK	(215,000)	-	(215,000)	-	-	28,403,164	MDPTPP04159143	MDPTPP04159145	STESAF0031421, STESAK0001668
8/1/2007	CHECK	(65,000)	-	(65,000)	-	-	28,338,164	MDPTPP04159147	MDPTPP04159154	STESAF0031421, STESAK0001657
9/4/2007	CHECK	(65,000)	-	(65,000)	-	-	28,273,164	MDPTPP04159156	MDPTPP04159175	STESAF0031421, STESAK0001657
9/6/2007	CHECK	(250,000)	-	(250,000)	-	-	28,023,164	MDPTPP04159156	MDPTPP04159175	STESAF0031421, STESAK0001657
10/1/2007	CHECK	(65,000)	-	(65,000)	-	-	27,958,164	MDPTPP04159178	MDPTPP04159185	STESAF0031421, STESAK0001595
10/1/2007	CHECK	(600,000)	-	(600,000)	-	-	27,358,164	MDPTPP04159178	MDPTPP04159185	STESAF0031421, STESAK0001595
11/1/2007	CHECK	(65,000)	-	(65,000)	-	-	27,293,164	MDPTPP04159187	MDPTPP04159204	STESAF0031421, STESAK0001636
12/3/2007	CHECK	(65,000)	-	(65,000)	-	-	27,228,164	MDPTPP04159207	MDPTPP04159219	STESAF0040451, STESAK0003202
12/21/2007	CHECK	(350,000)	-	(350,000)	-	-	26,878,164	MDPTPP04159207	MDPTPP04159219	STESAF0040463, STESAK0003208
1/2/2008	CHECK	(65,000)	-	(65,000)	-	-	26,813,164	MDPTPP04159221	MDPTPP04159229	STESAF0003162, STESAK0003293
2/1/2008	CHECK	(65,000)	-	(65,000)	-	-	26,748,164	MDPTPP04159231	MDPTPP04159236	STESAF0002056, STESAK0003285
3/3/2008	CHECK	(65,000)	-	(65,000)	-	-	26,683,164	MDPTPP04159238	MDPTPP04159247	STESAF0003162, STESAK0003293
3/12/2008	CHECK	(250,000)	-	(250,000)	-	-	26,433,164	MDPTPP04159238	MDPTPP04159247	STESAF0003164, STESAK0003294
4/1/2008	CHECK	(65,000)	-	(65,000)	-	-	26,368,164	MDPTPP04159249	MDPTPP04159258	STESAF0006085, STESAK0003178
5/1/2008	CHECK	(65,000)	-	(65,000)	-	-	26,303,164	MDPTPP04159260	MDPTPP04159272	STESAF0008794, STESAK0003239
5/29/2008	CHECK	(325,000)	-	(325,000)	-	-	25,978,164	MDPTPP04159260	MDPTPP04159272	STESAF0008812, STESAK0003248
6/2/2008	CHECK	(65,000)	-	(65,000)	-	-	25,913,164	MDPTPP04159275	MDPTPP04159279	STESAF0010586, STESAK0003156
7/1/2008	CHECK	(65,000)	-	(65,000)	-	-	25,848,164	MDPTPP04159281	MDPTPP04159289	STESAF0010586, STESAK0003228
7/21/2008	CHECK	(300,000)	-	(300,000)	-	-	25,548,164	MDPTPP04159281	MDPTPP04159289	STESAF0010586, STESAK0003230
8/1/2008	CHECK	(65,000)	-	(65,000)	-	-	25,483,164	MDPTPP04159291	MDPTPP04159299	STESAF0014620, STESAK0003190
9/2/2008	CHECK	(65,000)	-	(65,000)	-	-	25,418,164	MDPTPP04159302	MDPTPP04159314	STESAF0017371, STESAK0003163

BLMIS ACCOUNT NO. 1KW455 - STERLING TRACING LLC STERLING EQUITIES ARTHUR FRIEDMAN

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>11</sup>
9/23/2008	CHECK	(700,000)	-	(700,000)	-	-	24,718,164	MDPTPP04159302	MDPTPP04159314	STESAF0017387, STESAK0003171
10/1/2008	CHECK	(65,000)	-	(65,000)	-	-	24,653,164	MDPTPP04159316	MDPTPP04159321	STESAF0018952, STESAK0003275
11/3/2008	CHECK	(65,000)	-	(65,000)	-	-	24,588,164	MDPTPP04159323	MDPTPP04159339	STESAK0003275
12/1/2008	CHECK	(65,000)	-	(65,000)	-	-	24,523,164	Checkbook	Checkbook	STESAK0003255
	<b>Total:</b>		<b>\$ 27,818,164</b>	<b>\$ (4,095,000)</b>	<b>\$ 800,000</b>	<b>\$ -</b>	<b>\$ 24,523,164</b>			

<sup>11</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW458 - NATALIE KATZ O'BRIEN AND BRENDAN O'BRIEN JT WROS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates.	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>2)</sup>
9/19/2007	CHECK	450,000	450,000	-	-	-	450,000	MDFTPP04159551	MDFTPP04159552	
1/14/2008	CHECK	(11,000)	-	(11,000)	-	-	439,000	MDFTPP04159567	MDFTPP04159569	STESAF0001108
1/24/2008	CHECK	11,000	11,000	-	-	-	450,000	MDFTPP04159567	MDFTPP04159569	STESAF0001108
4/14/2008	CHECK	(180,000)	-	(180,000)	-	-	270,000	MDFTPP04159574	MDFTPP04159580	STESAF0006125
9/18/2008	CHECK	300,000	300,000	-	-	-	570,000	MDFTPP04159601	MDFTPP04159605	STESAF0017415
11/12/2008	TRANS FROM 1KW22730 (1KW227)	7,501 <sup>1)</sup>	-	-	-	-	570,000	MDFTPP04159610	MDFTPP04159615	
	<b>Total:</b>		<b>\$ 761,000</b>	<b>\$ (191,000)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 570,000</b>			

<sup>1)</sup> Although BLMIS statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>2)</sup> Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 1KW463 - STERLING VC IV LLC STERLING EQUITIES ATTN: ARTHUR FRIEDMAN

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref
6/24/2008	CHEKC WIRE	2,758,625	2,758,625	-	-	-	2,758,625	MDPTPP04159962	MDPTPP04159962	STESAF0010634
10/21/2008	CHECK	(825,000)	-	(825,000)	-	-	1,933,625	MDPTPP04159976	MDPTPP04159978	STESAF0019002
	<b>Total:</b>		<b>\$ 2,758,625</b>	<b>\$ (825,000)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 1,933,625</b>			

BLMIS ACCOUNT NO. IKW464 - STERLING VC V LLC STERLING EQUITIES ATTN: ARTHUR FRIEDMAN

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref
6/24/2008	CHEKC WIRE	12,437,500	12,437,500	-	-	-	12,437,500	MDPTPP04159987	MDPTPP04159987	STESAF0010636
10/27/2008	CHECK	(633,556)	-	(633,556)	-	-	11,803,944	MDPTPP04160001	MDPTPP04160003	STESAF0019010
	<b>Total:</b>		<b>\$ 12,437,500</b>	<b>\$ (633,556)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 11,803,944</b>			



BLMIS ACCOUNT NO. 1KW465 - STERLING DIST PROPERTIES LLC STERLING EQUITIES ATTN: ARTHUR FRIEDMAN

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref
6/24/2008	CHEKC WIRE	2,393,500	2,393,500	-	-	-	2,393,500	MDFTPP04160012	MDFTPP04160012	STESAF0010638
9/18/2008	CHECK	(380,000)	-	(380,000)	-	-	2,013,500	MDFTPP04160020	MDFTPP04160024	STESAF0017499
10/28/2008	CHECK	(356,139)	-	(356,139)	-	-	1,657,361	MDFTPP04160026	MDFTPP04160028	STESAF0019018
	<b>Total:</b>		<b>\$ 2,393,500</b>	<b>\$ (736,139)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 1,657,361</b>			

BLMIS ACCOUNT NO. 1KW466 - COLLEGE PLACE ENTERPRISES LLC C/O STERLING EQUITIES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(1)</sup>
7/21/2008	CHECK	3,000,000	3,000,000	-	-	-	3,000,000	MDPTPP04160037	MDPTPP04160037	
11/12/2008	CHECK	(40,000)	-	(40,000)	-	-	2,960,000	MDPTPP04160053	MDPTPP04160058	
	<b>Total:</b>		<b>\$ 3,000,000</b>	<b>\$ (40,000)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 2,960,000</b>			

<sup>(1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 100625 - ARTHUR FRIEDMAN T I C OTHERS ON FILE

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(1)</sup>
12/18/1986	CHECK	500,000	500,000	-	-	-	500,000	MF00078659	MF00078659	
5/14/1991	CHECK WIRE	(1,181,676)	-	(1,181,676)	-	-	(681,676)	MF00487479	MF00487479	
6/14/1991	CHECK	(3,403)	-	(3,403)	-	-	(685,079)	MF00485778	MF00485778	
	<b>Total:</b>		<b>\$ 500,000</b>	<b>\$ (1,185,079)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (685,079)</b>			

<sup>(1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 101149 - SAUL B KATZ MICHAEL KATZ L THOMAS OSTERMAN ARTHUR FRIEDMAN & JEFFREY S WILPON TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(1)</sup>
10/22/1987	CHECK	500,000	500,000	-	-	-	500,000	MF00062359	MF00062359	
10/22/1987	CHECK	500,000	500,000	-	-	-	1,000,000	MF00062361	MF00062361	
10/22/1987	CANCEL	(500,000)	(500,000)	-	-	-	500,000	MF00062361	MF00062361	
11/30/1987	CHECK	(510,398)	-	(510,398)	-	-	(10,398)	MF00063282	MF00063282	STESAG0021682
3/17/1988	CHECK	(3,787)	-	(3,787)	-	-	(14,185)	MF00537619	MF00537619	
	<b>Total:</b>		<b>\$ 500,000</b>	<b>\$ (514,185)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (14,185)</b>			

<sup>(1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 102343 - FRED WILPON RICHARD A WILPON & LEONARD SCHREIR TIC

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(1)</sup>
10/22/1987	CHECK	500,000	500,000	-	-	-	500,000	MF00062801	MF00062801	
10/22/1987	CHECK	500,000	500,000	-	-	-	1,000,000	MF00062803	MF00062803	
10/22/1987	CANCEL	(500,000)	(500,000)	-	-	-	500,000	MF00062803	MF00062803	
11/30/1987	CHECK	(510,398)	-	(510,398)	-	-	(10,398)	MF00063693	MF00063693	
3/17/1988	CHECK	(3,787)	-	(3,787)	-	-	(14,185)	MF00537953	MF00537953	
	<b>Total:</b>		<b>\$ 500,000</b>	<b>\$ (514,185)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (14,185)</b>			

<sup>(1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.

BLMIS ACCOUNT NO. 102352 - ROBIN WACHTLER & PHILIP WACHTLER JT TEN WROS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Transaction Amount as Reported in Customer Statement	Cash/Principal Deposits	Cash/Principal Withdrawals	Transfers of Principal In	Transfers of Principal Out	Balance of Principal	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	DEFENDANTS' PRODUCTION Bates Ref <sup>(1)</sup>
8/6/1990	CHECK	50,000	50,000	-	-	-	50,000	MF00037072	MF00037072	
8/26/1991	CHECK	(60,939)	-	(60,939)	-	-	(10,939)	MF00483083	MF00483083	
	<b>Total:</b>		<b>\$ 50,000</b>	<b>\$ (60,939)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (10,939)</b>			

<sup>(1)</sup>Transactions that do not include a Bates reference in this column were either not produced by the Defendants or were not able to be identified from the document production as of the date of this report.