

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

|                                  |   |
|----------------------------------|---|
| -----X                           |   |
| THE AUTHORS GUILD, INC., et al., | : |
|                                  | : |
| Plaintiffs,                      | : |
|                                  | : |
| - against -                      | : |
|                                  | : |
| HATHITRUST, et al.,              | : |
|                                  | : |
| Defendants.                      | : |
|                                  | : |
| -----X                           |   |

Index No. 11 Civ. 6351 (HB)

**DECLARATION OF ROXANA ROBINSON**

I, Roxana Robinson, hereby declare as follows:

1. I am one of the plaintiffs in the above-captioned action and submit this declaration in support of Plaintiffs’ motion for summary judgment.

2. I am a novelist, short-story writer and biographer. Four of my works have been Notable Books of the Year by *The New York Times*, and my work has appeared in *Best American Short Stories*, *The New Yorker*, *Harper’s*, *The Atlantic*, *The New York Times Book Review*, *The Wall Street Journal*, *The Boston Globe*, *Vogue*, *House and Garden*, and other publications. I have received Fellowships from *the Guggenheim Foundation*, *the National Endowment for the Arts*, and *the MacDowell Colony*. I have also taught creative writing workshops at Bennington College, the University of Southern Indiana, George Mason University, the Wesleyan Writers’ Conference at Wesleyan University, and in the Creative Writing Department at the University of Houston.

**The Works At Issue**

3. I am the sole author and copyright owner of each work listed on Exhibit A hereto (hereafter referred to as the “Works”). A true and correct copy of the copyright registration for

each Work is attached hereto as Exhibit B. My Works include both works of fiction and non-fiction.

4. Although I have licensed to my publishers certain exclusive rights in connection with the commercial exploitation of my Works, I did so in exchange for the payment of royalties and I remain the legal and/or beneficial owner of all rights in and to my Works. I never assigned to any third party the copyright to the Works.

#### **Unauthorized Uses Of My Works**

5. It has come to my attention that print copies of my Works were copied without my permission when they were digitized by one the defendant universities (collectively referred to herein along with HathiTrust as “Defendants”) in partnership with Google, as part of the HathiTrust and/or Google Books projects. This digitization took place without my knowledge, consent, or approval. I did not authorize Google, HathiTrust, or any of the university defendants to digitize or make any other use of my Works. To date, I have received no compensation of any kind for Defendants’ digitization and various uses of my Works.

#### **Harm Resulting From Defendants’ Use Of My Works**

6. As an author who depends in large part on the value of my work to earn a living, I brought this action because the Defendants’ unauthorized digitization and use of my Works has harmed or threatens to harm me in a number of ways.

7. I have reviewed the Declaration of T.J. Stiles and I agree with and incorporate by reference Mr. Stiles’ descriptions of the various harm and potential harm caused by the Defendants’ actions. One difference between Mr. Stiles and me is that (as described below) I have not yet chosen to make certain of my Works (specifically, *A Glimpse of Scarlet And Other Stories*, *Asking For Love And Other Stories*, *Georgia O’Keefe: A Life* and *Summer Light*)

available in digital form. This difference does not, however, change the fact that Defendants' actions are causing and threatening to cause damage to me and to the value of my Works.

Moreover, certain of my Works are available for sale in digital form at online retailers such as Amazon.com and others, as shown in the attached Exhibit A.

8. I believe that I am entitled to determine whether, when and under what circumstances my Works are scanned, digitized, copied and used. Defendants' insistence that the new, complex, technologically-enabled uses they intend to make of my Works should be permitted without my consent dangerously presupposes that copyright law does not give authors any right to control how their works are used and exploited in these contexts. To the best of my knowledge, this is not the law in the United States. While certain of my Works are not yet available in digital form, I reserve the right to license the creation of digital versions of these Works when I choose to.

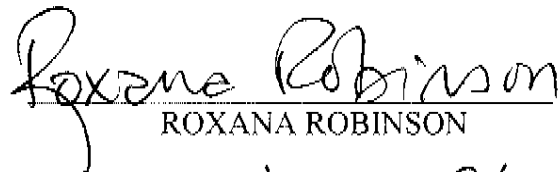
9. Defendants apparently argue that uses of my Works that do not allow individuals to read the text, such as non-consumptive research and full-text searching, do not inhibit sales of my Works or deprive me of licensing opportunities and therefore do not require my permission. This is not so. As the Declaration of T.J. Stiles points out, these kinds of uses represent a new market whose value is evidenced by Defendants' use of my Works, as well as the works owned by the other Plaintiffs and the millions of other works Defendants scanned and copied. I believe that I have the legal right to decide whether or not to permit these uses, and to seek remuneration for these uses if I do decide to allow them. Defendants could have asked my permission to digitize my work, or offered to purchase one or more additional copies for their library collections.

10. In addition, by failing to seek a license, Defendants eliminated the usual mechanism that authors use to exercise control over our work: licensing or other agreements that define terms of use and hold licensees accountable. Without such a contract, I am rendered powerless to dictate terms as to how my Work may or may not be used. I also have no ability to insist that HathiTrust take security measures to protect my work. I have no power to ensure that the infringing copies of my work are truly in a “dark archive” that is not accessible for viewing or further copying. I have no assurance that Defendants’ actual use of my work is limited to the uses they claim to intend to make, and no power of enforcement if their uses exceed this scope.

*[The rest of this page intentionally left blank]*

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Dated: New York, New York  
June \_\_, 2012

  
ROXANA ROBINSON

June 26, 2012

# **EXHIBIT A**

**EXHIBIT A**

| TITLE            | AUTHOR                                 | FIRST PUBLICATION   | SUBSEQUENT PUB(S).  | MOST RECENT PUB. HARDCOPY OR ELECTRONIC? | U.S. COPYRIGHT REGISTRATIONS OR RENEWALS |
|------------------|--|---|---|--|--|
| Robinson, Roxana | A glimpse of scarlet and other stories | 1991-05/New York: E. Burlingame Books (an imprint of HarperCollins) | 1991/London: Bloomsbury<br><br>1992-06/New York: HarperPerennial  | Hardcopy                                 | TX0007502287<br>2012-03-13               |
| Robinson, Roxana | A perfect stranger: and other stories  | 2005-04-25/New York: Random House                                   | 2006-03-14/New York: Random House<br><br>2007-12-18/New York: Random House  | Electronic                               | TX0007502294<br>2012-03-13               |
| Robinson, Roxana | Asking for love and other stories      | 1996-03-12/New York : Random House                                  | 1996-06-27/London: Bloomsbury   | Hardcopy                                 | TX0004268621<br>1996-04-18               |
| Robinson, Roxana | Georgia O'Keeffe: a life               | 1989-10-25/New York: Harper & Row                                   | 1989/London: Bloomsbury<br><br>1990/New York: HarperPerennial<br><br>1991/London: Bloomsbury<br><br>1992/Spain: Circe<br>1999-01-01/University Press of New England | Hardcopy                                 | TX0002736171<br>1990-01-18               |

| TITLE            | AUTHOR              | FIRST PUBLICATION                    | SUBSEQUENT PUB(S).  | MOST RECENT PUB. HARDCOPY OR ELECTRONIC? | U.S. COPYRIGHT REGISTRATIONS OR RENEWALS                     |
|------------------|---------------------|--------------------------------------|---|--|--|
| Robinson, Roxana | Summer light        | 1987-06-25/London:<br>J.M. Dent      | 1988-06-29/New<br>York: Viking<br><br>1991/New York:<br>Harper Collins<br><br>1995-08-15/University<br>Press of New England<br><br>1996-06-27/London:<br>Bloomsbury | Hardcopy                                 | TX0002260701<br>1988-03-03<br><br>TX0002346979<br>1988-07-05 |
| Robinson, Roxana | Sweetwater: a novel | 2003-05-13/New<br>York: Random House | 2005-03-08/New<br>York: Random House<br><br>2007-12-18/New<br>York: Random House  | Electronic                               | TX0005905727<br>2004-01-27                                   |



# **EXHIBIT B**

**FORM TX**  
 UNITED STATES COPYRIGHT OFFICE  
 REGISTRATION NUMBER

TX 2-260-701

TXU  
 EFFECTIVE DATE OF REGISTRATION  
 March 3, 1988  
Month Day Year

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE A SEPARATE CONTINUATION SHEET.

**1** TITLE OF THIS WORK ▼  
 SUMMER LIGHT

PREVIOUS OR ALTERNATIVE TITLES ▼

PUBLICATION AS A CONTRIBUTION If this work was published as a contribution to a periodical, serial, or collection, give information about the collective work in which the contribution appeared. Title of Collective Work ▼

If published in a periodical or serial give: Volume ▼ Number ▼ Issue Date ▼ On Pages ▼

**2 a** NAME OF AUTHOR ▼ Roxana Robinson

DATES OF BIRTH AND DEATH  
 Year Born ▼ Year Died ▼

Was this contribution to the work a "work made for hire"?  
 Yes  
 No

AUTHOR'S NATIONALITY OR DOMICILE  
 Name of Country: USA  
 OR  
 Citizen of: USA  
 Domiciled in: \_\_\_\_\_

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK  
 Anonymous?  Yes  No  
 Pseudonymous?  Yes  No

NATURE OF AUTHORSHIP Briefly describe nature of the material created by this author in which copyright is claimed. ▼  
 entire text

**b** NAME OF AUTHOR ▼

DATES OF BIRTH AND DEATH  
 Year Born ▼ Year Died ▼

Was this contribution to the work a "work made for hire"?  
 Yes  
 No

AUTHOR'S NATIONALITY OR DOMICILE  
 Name of Country: \_\_\_\_\_  
 OR  
 Citizen of: \_\_\_\_\_  
 Domiciled in: \_\_\_\_\_

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK  
 Anonymous?  Yes  No  
 Pseudonymous?  Yes  No

NATURE OF AUTHORSHIP Briefly describe nature of the material created by this author in which copyright is claimed. ▼

**c** NAME OF AUTHOR ▼

DATES OF BIRTH AND DEATH  
 Year Born ▼ Year Died ▼

Was this contribution to the work a "work made for hire"?  
 Yes  
 No

AUTHOR'S NATIONALITY OR DOMICILE  
 Name of Country: \_\_\_\_\_  
 OR  
 Citizen of: \_\_\_\_\_  
 Domiciled in: \_\_\_\_\_

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK  
 Anonymous?  Yes  No  
 Pseudonymous?  Yes  No

NATURE OF AUTHORSHIP Briefly describe nature of the material created by this author in which copyright is claimed. ▼

**3** YEAR IN WHICH CREATION OF THIS WORK WAS COMPLETED  
 1986

DATE AND NATION OF FIRST PUBLICATION OF THIS PARTICULAR WORK  
 Month: June Day: 25 Year: 1987  
 England

**4** COPYRIGHT CLAIMANT(S) Name and address must be given even if the claimant is the same as the author given in space 2. ▼  
 Roxana Robinson  
 C/O Viking Penguin Inc.  
 40 West 23rd Street  
 New York, New York 10010

APPLICATION RECEIVED  
 MAR 03 1988

ONE DEPOSIT RECEIVED  
 MAR 03 1988

TWO DEPOSITS RECEIVED

TRANSFER If the claimant(s) named here in space 4 are different from the author(s) named in space 2, give a brief statement of how the claimant(s) obtained ownership of the copyright. ▼

REMITTANCE NUMBER AND DATE

TX 2-260-701

EXAMINED BY *[Signature]*

FORM TX

CHECKED BY

CORRESPONDENCE  
Yes

FOR  
COPYRIGHT  
OFFICE  
USE  
ONLY

DEPOSIT ACCOUNT  
FUNDS USED

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE A SEPARATE CONTINUATION SHEET.

**PREVIOUS REGISTRATION** Has registration for this work, or for an earlier version of this work, already been made in the Copyright Office?  
 Yes  No If your answer is "Yes," why is another registration being sought? (Check appropriate box) ▼

- This is the first published edition of a work previously registered in unpublished form
- This is the first application submitted by this author as copyright claimant
- This is a changed version of the work, as shown by space 6 on this application.

If your answer is "Yes," give Previous Registration Number ▼ Year of Registration ▼

5

**DERIVATIVE WORK OR COMPILATION** Complete both space 6a & 6b for a derivative work; complete only 6b for a compilation  
**a. Preexisting Material** Identify any preexisting work or works that this work is based on or incorporates ▼

**b. Material Added to This Work** Give a brief, general statement of the material that has been added to this work and in which copyright is claimed ▼

See instructions  
before completing  
this space

6

**MANUFACTURERS AND LOCATIONS** If this is a published work consisting preponderantly of nondramatic literary material in English, the law may require that the copies be manufactured in the United States or Canada for full protection. If so, the names of the manufacturers who performed certain processes, and the places where these processes were performed must be given. See instructions for details.

Names of Manufacturers ▼ Places of Manufacture ▼  
Anchor Brendon Ltd. Tiptree, Essex, England

7

**REPRODUCTION FOR USE OF BLIND OR PHYSICALLY HANDICAPPED INDIVIDUALS** A signature on this form at space 10, and a check in one of the boxes here in space 8, constitutes a non-exclusive grant of permission to the Library of Congress to reproduce and distribute solely for the blind and physically handicapped and under the conditions and limitations prescribed by the regulations of the Copyright Office: (1) copies of the work identified in space 1 of this application in Braille (or similar tactile symbols) or (2) phonorecords embodying a fixation of a reading of that work; or (3) both  
a  Copies and Phonorecords b  Copies Only c  Phonorecords Only

See instructions

8

**DEPOSIT ACCOUNT** If the registration fee is to be charged to a Deposit Account established in the Copyright Office, give name and number of Account.  
Name ▼ Account Number ▼

Viking Penguin Inc. DA 018074

9

**CORRESPONDENCE** Give name and address to which correspondence about this application should be sent. Name Address/Apt./City/State/Zip ▼  
T. Uehara  
as below

Area Code & Telephone Number ▶ 212 337-5433

Be sure to  
give your  
daytime phone  
number

**CERTIFICATION** I, the undersigned, hereby certify that I am the  
Check one ▶  author  
 other copyright claimant  
 owner of exclusive right(s)  
 authorized agent of  
Name of author or other copyright claimant or owner of exclusive right(s) ▶ Roxana Robinson

10

Typed or printed name and date ▼ If this is a published work, this date must be the same as or later than the date of publication given in space 3.  
Tsuyako Uehara March 1, 1988

Handwritten signature (X) *[Signature]*

**MAIL CERTIFICATE TO**  
Certificate will be mailed in window envelope

Name ▼

Number/Street/Apartment Number ▼

City/State/Zip ▼

**VIKING PENGUIN INC.**  
40 W. 23rd ST.  
NEW YORK, N. Y. 10010

- Mail us:**
- Completed all necessary spaces?
  - Signed your application in space 10?
  - Enclosed check or money order for \$10 payable to Register of Copyrights?
  - Enclosed your deposit material with the application and fee?
- MAIL TO:** Register of Copyrights, Library of Congress, Washington, D.C. 20559

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\* 17 U.S.C. § 505(c): Any person who knowingly makes a false representation of a material fact in the application for copyright registration provided for by section 405, or in any written statement filed in connection with the application, shall be fined not more than \$2,500.

**FORM TX**  
 UNITED STATES COPYRIGHT OFFICE  
 REGISTRATION NUMBER

IX 2 346 979

TX TXU  
 EFFECTIVE DATE OF REGISTRATION  
 7 5 88  
 Month Day Year

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE A SEPARATE CONTINUATION SHEET.

**1** TITLE OF THIS WORK ▼

SUMMER LIGHT

PREVIOUS OR ALTERNATIVE TITLES ▼

**PUBLICATION AS A CONTRIBUTION** If this work was published as a contribution to a periodical, serial, or collection, give information about the collective work in which the contribution appeared. Title of Collective Work ▼

If published in a periodical or serial give: Volume ▼ Number ▼ Issue Date ▼ On Pages ▼

**2** NAME OF AUTHOR ▼

a Roxana Robinson

DATES OF BIRTH AND DEATH  
 Year Born ▼ Year Died ▼

Was this contribution to the work a "work made for hire"?  
 Yes  
 No

**AUTHOR'S NATIONALITY OR DOMICILE**  
 Name of Country: USA  
 or Citizen of: USA  
 Domiciled in: \_\_\_\_\_

**WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK**  
 Anonymous?  Yes  No  
 Pseudonymous?  Yes  No

**NATURE OF AUTHORSHIP** Briefly describe nature of the material created by this author in which copyright is claimed. ▼  
 additional text, revisions

**NOTE**  
 Under the law the "author" of a

1-800-368-9000

**b** NAME OF AUTHOR ▼

DATES OF BIRTH AND DEATH  
 Year Born ▼ Year Died ▼

Was this contribution to the work a "work made for hire"?  
 Yes  
 No

**AUTHOR'S NATIONALITY OR DOMICILE**  
 Name of Country: \_\_\_\_\_  
 or Citizen of: \_\_\_\_\_  
 Domiciled in: \_\_\_\_\_

**WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK**  
 Anonymous?  Yes  No  
 Pseudonymous?  Yes  No

**NATURE OF AUTHORSHIP** Briefly describe nature of the material created by this author in which copyright is claimed. ▼

**c** NAME OF AUTHOR ▼

DATES OF BIRTH AND DEATH  
 Year Born ▼ Year Died ▼

Was this contribution to the work a "work made for hire"?  
 Yes  
 No

**AUTHOR'S NATIONALITY OR DOMICILE**  
 Name of Country: \_\_\_\_\_  
 or Citizen of: \_\_\_\_\_  
 Domiciled in: \_\_\_\_\_

**WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK**  
 Anonymous?  Yes  No  
 Pseudonymous?  Yes  No

**NATURE OF AUTHORSHIP** Briefly describe nature of the material created by this author in which copyright is claimed. ▼

**3** YEAR IN WHICH CREATION OF THIS WORK WAS COMPLETED This information must be given in all cases. 1987 Year

DATE AND NATION OF FIRST PUBLICATION OF THIS PARTICULAR WORK  
 Complete this information ONLY if this work has been published. Month: June Day: 29 Year: 1988  
 USA Nation

**4** COPYRIGHT CLAIMANT(S) Name and address must be given even if the claimant is the same as the author given in space 2. ▼

Roxana Robinson  
 c/o Viking Penguin Inc.  
 40 W. 23rd Street  
 New York, NY 10010

APPLICATION RECEIVED

JUL 05 1988

ONE DEPOSIT RECEIVED

TWO DEPOSITS RECEIVED

JUL 05 1988

REMITTANCE NUMBER AND DATE

**TRANSFER** If the claimant(s) named here in space 4 are different from the author(s) named in space 2, give a brief statement of how the claimant(s) obtained ownership of the copyright. ▼

**NOTE ON BACK** • Complete all applicable spaces (numbers 5-11) on the reverse side of this page.  
 • See detailed instructions. • Sign the form at the 10.

DO NOT WRITE HERE

TX 2 346 979

EXAMINED BY

FORM TX

CHECKED BY

CORRESPONDENCE

FOR COPYRIGHT OFFICE USE ONLY

DEPOSIT ACCOUNT FUNDS USED

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE A SEPARATE CONTINUATION SHEET.

**PREVIOUS REGISTRATION** Has registration for this work, or for an earlier version of this work, already been made in the Copyright Office?

- Yes  No If your answer is "Yes," why is another registration being sought? (Check appropriate box) ▼
- This is the first published edition of a work previously registered in unpublished form.
- This is the first application submitted by this author as copyright claimant.
- This is a changed version of the work, as shown by space 6 on this application.

5

If your answer is "Yes," give: Previous Registration Number ▼

Year of Registration ▼

TX 2-260-701

1988

**DERIVATIVE WORK OR COMPILATION** Complete both space 6a & 6b for a derivative work; complete only 6b for a compilation.

a. **Preexisting Material** Identify any preexisting work or works that this work is based on or incorporates. ▼  
**SUMMER LIGHT** first published in 1987

6

b. **Material Added to This Work** Give a brief, general statement of the material that has been added to this work and in which copyright is claimed. ▼  
additional text, revisions

See instructions before completing this space.

**MANUFACTURERS AND LOCATIONS** If this is a published work consisting predominantly of nondramatic literary material in English, the law may require that the copies be manufactured in the United States or Canada for full protection. If so, the names of the manufacturers who performed certain processes, and the places where these processes were performed must be given. See instructions for details.

Names of Manufacturers ▼

Place of Manufacture ▼

USA

7

**REPRODUCTION FOR USE OF BLIND OR PHYSICALLY HANDICAPPED INDIVIDUALS** A signature on this form at space 10, and a check in one of the boxes here in space 8, constitutes a non-exclusive grant of permission to the Library of Congress to reproduce and distribute solely for the blind and physically handicapped and under the conditions and limitations prescribed by the regulations of the Copyright Office: (1) copies of the work identified in space 1 of this application in Braille (or similar tactile symbols); or (2) phonorecords embodying a fixation of a reading of that work; or (3) both.

a  Copies and Phonorecords

b  Copies Only

c  Phonorecords Only

See instructions

**DEPOSIT ACCOUNT** If the registration fee is to be charged to a Deposit Account established in the Copyright Office, give name and number of Account.

Name ▼

Account Number ▼

Viking Penguin Inc.

DA 018074

9

**CORRESPONDENCE** Give name and address to which correspondence about this application should be sent. Name/Address/Apt./City/State/Zip ▼

T. Uehara  
as below

Area Code & Telephone Number ▶ 212-337-5433

Be sure to give your daytime phone number.

**CERTIFICATION** I, the undersigned, hereby certify that I am the

Check one ▶

- author
- other copyright claimant
- owner of exclusive right(s)
- authorized agent of

of the work identified in this application and that the statements made by me in this application are correct to the best of my knowledge.

Name of author or other copyright claimant, or owner of exclusive right(s) ▶  
Roxana Robinson

Typed or printed name and date ▼ If this is a published work, this date must be the same as or later than the date of publication given in space 3.

Tsuyako Uehara

date ▶ 6/30/88

10

Handwritten signature (X) ▼

*Tsuyako Uehara*

**MAIL CERTIFICATE TO**

Certificate will be mailed by window coverage

Name ▼

Number/Street/Apartment Number ▼

City/State/Zip ▼

**VIKING PENGUIN INC.**  
40 W. 23rd ST.  
NEW YORK, N.Y. 10010

Instructions

- Completed at necessary spaces?
- Signed your application in space 10?
- Enclosed check or money order for \$10 payable to Register of Copyrights?
- Enclosed your deposit reported with the application and fee?

With: The Register of Copyrights, Library of Congress, Washington, D.C. 20540

11

\* 17 U.S.C. § 502(c): Any person who knowingly makes a false representation of a material fact in the application for copyright registration provided for by section 408, or in any written statement that in connection with the application, shall be fined not more than \$2,000.

U.S. GOVERNMENT PRINTING OFFICE: 1982 O-21-124/1224

May 1988 - 5020

**TX 2 736 171**

TX TXJ  
 EFFECTIVE DATE OF REGISTRATION  
**18 JAN 1990**  
 Month Day Year

**DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE A SEPARATE CONTINUATION SHEET.**  
**TITLE OF THIS WORK ▼**

**GEORGIA O'KEEFFE: A Life**

**PREVIOUS OR ALTERNATIVE TITLES ▼**

**PUBLICATION AS A CONTRIBUTION** If this work was published as a contribution to a periodical, serial, or collection, give information about the collective work in which the contribution appeared. **Title of Collective Work ▼**

If published in a periodical or serial, give **Volume ▼** **Number ▼** **Issue Date ▼** **On Pages ▼**

**NAME OF AUTHOR ▼**  
**Roxana Robinson**

**DATES OF BIRTH AND DEATH**  
 Year Born ▼ **1946** Year Died ▼

Was this contribution to the work a work made for hire?  Yes  No  
**AUTHOR'S NATIONALITY OR DOMICILE**  
 Name of Country: **U.S.A.**  
 OR Citizen of: **U.S.A.**  
 Domiciled in: **U.S.A.**

**WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK**  
 Anonymously?  Yes  No  
 Pseudonymously?  Yes  No

**NATURE OF AUTHORSHIP** Briefly describe nature of the material created by this author in which copyright is claimed. **Text excluding material which is acknowledged, compilation of photographs**

**NAME OF AUTHOR ▼** **DATES OF BIRTH AND DEATH**  
 Year Born ▼ Year Died ▼

Was this contribution to the work a work made for hire?  Yes  No  
**AUTHOR'S NATIONALITY OR DOMICILE**  
 Name of Country: **U.S.A.**  
 OR Citizen of: **U.S.A.**  
 Domiciled in: **U.S.A.**

**WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK**  
 Anonymously?  Yes  No  
 Pseudonymously?  Yes  No

**NATURE OF AUTHORSHIP** Briefly describe nature of the material created by this author in which copyright is claimed.

**NAME OF AUTHOR ▼** **DATES OF BIRTH AND DEATH**  
 Year Born ▼ Year Died ▼

Was this contribution to the work a work made for hire?  Yes  No  
**AUTHOR'S NATIONALITY OR DOMICILE**  
 Name of Country: **U.S.A.**  
 OR Citizen of: **U.S.A.**  
 Domiciled in: **U.S.A.**

**WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK**  
 Anonymously?  Yes  No  
 Pseudonymously?  Yes  No

**NATURE OF AUTHORSHIP** Briefly describe nature of the material created by this author in which copyright is claimed.

**YEAR IN WHICH CREATION OF THIS WORK WAS COMPLETED** This information must be given in all cases. **1989** Year  
**DATE AND NATION OF FIRST PUBLICATION OF THIS PARTICULAR WORK** Complete this information ONLY if this work has been published. Month: **October** Day: **25** Year: **1989** Nation: **United States of America**

**COPYRIGHT CLAIMANT(S)** Name and address must be given even if the claimant is the same as the author given in space 2.  
**Roxana Robinson**  
**c/o Literistic, Ltd.**  
**264 Fifth Avenue**  
**New York, New York 10001**

**APPROPRIATION RECEIVED**  
**JAN 18 1990**  
 ONE DEPOSIT RECEIVED  
**TWO DEPOSITS RECEIVED**  
**JAN 18 1990**  
 REMITTANCE NUMBER AND DATE

**TRANSFER** If the claimant(s) named here in space 4 are different from the author(s) named in space 2, give a brief statement of how the claimant(s) obtained ownership of the copyright.

**NOTE**  
 Under the law, the author of a work is entitled to certain rights.

031382397

See instructions before completing this form.

DO NOT WRITE HERE OFFICE USE ONLY

TX 2 736 171

EXAMINED BY

*OWM*

FORM TX

CHECKED BY

CORRESPONDENCE

DEPOSIT ACCOUNT FUNDS USED

FOR COPYRIGHT OFFICE USE ONLY

DO NOT WRITE ABOVE THIS LINE IF YOU NEED MORE SPACE. USE A SEPARATE CONTINUATION SHEET

**PREVIOUS REGISTRATION**

Has registration for this work, or for an earlier version of this work, already been made in the Copyright Office?

- Yes  No. If your answer is "Yes," why is another registration being sought? (Check appropriate box) ▼
- This is the best published edition of a work previously registered in unpublished form
- This is the first application submitted by this author as copyright claimant
- This is a changed version of the work, as shown by spaces on this application

If your answer is "Yes," give Previous Registration Number ▼

Year of Registration ▼

5

**DERIVATIVE WORK OR COMPILATION**

Complete both space 6a and 6b for a derivative work, complete only 6b for a compilation

- a. **Preexisting Material** Identify any preexisting work or works that this work is based on or that it contains. ▼
- b. **Material Added to This Work** Give a brief, general statement of the material that has been added to this work and in which copyright is claimed. ▼

See instructions before completing this space

6

—space deleted—

**REPRODUCTION FOR USE OF BLIND OR PHYSICALLY HANDICAPPED INDIVIDUALS**

check in one of the boxes here in space 8 constitutes a non-exclusive grant of permission to the Library of Congress to reproduce and distribute solely for the blind and physically handicapped and under the conditions and limitations prescribed by the regulations of the Copyright Office: (1) copies of the work identified in space 1 of this application in Braille (or similar tactile symbols), or (2) phonorecords embodying a fixation of that work, or (3) both

Copies and Phonorecords

Copies Only

Phonorecords Only

A signature on this form at space 10, and a

See instructions before completing this space

7

**DEPOSIT ACCOUNT**

If the registration fee is to be charged to a Deposit Account established in the Copyright Office, give name and number of Account

Harper & Row, Publishers, Inc.

Account Number ▼

DA 064726

8

**CORRESPONDENCE**

Give name and address to which correspondence about this application should be sent

Copyright Department / Harper & Row, Publishers, Inc.

10 East 53rd Street

New York, New York 10022

Area Code & Telephone Number ▼

(212) 207-7133

Be sure to give your complete phone number

9

**CERTIFICATION**

I, the undersigned, hereby certify that I am the author of the work identified in this application and that the statements made by me in this application are correct to the best of my knowledge

Check one ▶

- author
- other copyright claimant
- owner of exclusive rights
- authorized agent of

Roxana Robinson

Typed or printed name and date ▼ If this is a published work, this date must be the same as or later than the date of publication given in space 3

Marilyn Small

date ▶ January 5, 1990



Handwritten signature (X) ▼

*Marilyn Small*

10

MAIL CERTIFICATE TO

Certificate will be mailed in window envelope

Name ▼

HARPER & ROW, PUBLISHERS, INC. / COPYRIGHT DEPARTMENT

Number Street, Apartment Number ▼

10 EAST 53RD STREET

City State ZIP ▼

NEW YORK, NEW YORK 10022

- 1. Complete all necessary forms
- 2. Non-refundable \$10 filing fee in check or money order payable to Register of Copyrights
- 3. Check mailing

Register of Copyrights  
Library of Congress  
Washington, DC 20540

11

Any person who knowingly makes a false representation is a material fact in the application for copyright registration provided for by section 409 or in any other statement filed in connection with the application shall be fined not more than \$2,500

REC

TX 4-268-621



EFFECTIVE DATE

4  
Month

18  
Day

96  
Year

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE A SEPARATE CONTINUATION SHEET.

1

TITLE OF THIS WORK ▼

ASKING FOR LOVE

PREVIOUS OR ALTERNATIVE TITLES ▼

PUBLICATION AS A CONTRIBUTION If this work was published as a contribution to a periodical, serial, or collection, give information about the collective work in which the contribution appeared. Title of Collective Work ▼

If published in a periodical or serial give: Volume ▼ Number ▼ Issue Date ▼ On Pages ▼

2

NAME OF AUTHOR ▼

a Roxanna Robinson

DATES OF BIRTH AND DEATH  
Year Born ▼ Year Died ▼

Unknown

Was this contribution to the work a "work made for hire"?

Yes  
 No

AUTHOR'S NATIONALITY OR DOMICILE  
Name of Country

OR { Citizen of ► USA  
Domiciled in ► USA

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK

Anonymous?  Yes  No  
Pseudonymous?  Yes  No

If the answer to either of these questions is "Yes," see detailed instructions.

NATURE OF AUTHORSHIP Briefly describe nature of material created by this author in which copyright is claimed. ▼  
Entire work excluding previously published portions

NAME OF AUTHOR ▼

DATES OF BIRTH AND DEATH  
Year Born ▼ Year Died ▼

Was this contribution to the work a "work made for hire"?

Yes  
 No

AUTHOR'S NATIONALITY OR DOMICILE  
Name of Country

OR { Citizen of ►  
Domiciled in ►

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK

Anonymous?  Yes  No  
Pseudonymous?  Yes  No

If the answer to either of these questions is "Yes," see detailed instructions.

NATURE OF AUTHORSHIP Briefly describe nature of material created by this author in which copyright is claimed. ▼

NAME OF AUTHOR ▼

DATES OF BIRTH AND DEATH  
Year Born ▼ Year Died ▼

Was this contribution to the work a "work made for hire"?

Yes  
 No

AUTHOR'S NATIONALITY OR DOMICILE  
Name of Country

OR { Citizen of ►  
Domiciled in ►

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK

Anonymous?  Yes  No  
Pseudonymous?  Yes  No

If the answer to either of these questions is "Yes," see detailed instructions.

NATURE OF AUTHORSHIP Briefly describe nature of material created by this author in which copyright is claimed. ▼

\* 081597577 \*

081597577

was prepared) as "Author" of that part, and leave the space for dates of birth and death blank.

3

a YEAR IN WHICH CREATION OF THIS WORK WAS COMPLETED  
1995

This information must be given in all cases.

b DATE AND NATION OF FIRST PUBLICATION OF THIS PARTICULAR WORK  
Month ► 2 Day ► 29 Year ► 96

Complete this information ONLY if this work has been published.

USA

◀ Nation

4

c COPYRIGHT CLAIMANT(S) Name and address must be given even if the claimant is the same as the author given in space 2. ▼

Roxanna Robinson c/o  
Sterling Lord Literistic Inc  
One Madison Ave  
NY NY 10010  
Attn: Elizabeth Grossman

APPLICATION RECEIVED

APR 18 1996  
ONE DEPOSIT RECEIVED

TWO DEPOSITS RECEIVED  
APR 18 1996

REMITTANCE NUMBER AND DATE

DO NOT WRITE HERE OFFICE USE ONLY

d TRANSFER If the claimant(s) named here in space 4 is (are) different from the author(s) named in space 2, give a brief statement of how the claimant(s) obtained ownership of the copyright. ▼

MORE ON BACK ► • Complete all applicable spaces (numbers 5-11) on the reverse side of this page.  
• See detailed instructions. • Sign the form at line 10.



EXAMINED BY *[Signature]*

FORM TX

CHECKED BY *[Signature]*

CORRESPONDENCE  
 Yes

FOR  
COPYRIGHT  
OFFICE  
USE  
ONLY

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE A SEPARATE CONTINUATION SHEET.

5

**PREVIOUS REGISTRATION** Has registration for this work, or for an earlier version of this work, already been made in the Copyright Office?

Yes  No If your answer is "Yes," why is another registration being sought? (Check appropriate box) ▼

a.  This is the first published edition of a work previously registered in unpublished form.

b.  This is the first application submitted by this author as copyright claimant.

c.  This is a changed version of the work, as shown by space 6 on this application.

If your answer is "Yes," give: Previous Registration Number ▼

Year of Registration ▼

6

**DERIVATIVE WORK OR COMPILATION** Complete both space 6a and 6b for a derivative work; complete only 6b for a compilation.

a. Preexisting Material Identify any preexisting work or works that this work is based on or incorporates. ▼  
**Portions of this work have been previously published**

b. Material Added to This Work Give a brief, general statement of the material that has been added to this work and in which copyright is claimed. ▼  
**Entire work excluding previously published portions**

See instructions before completing this space.

7

—space deleted—

8

**REPRODUCTION FOR USE OF BLIND OR PHYSICALLY HANDICAPPED INDIVIDUALS** A signature on this form at space 10 and a check in one of the boxes here in space 8 constitutes a non-exclusive grant of permission to the Library of Congress to reproduce and distribute solely for the blind and physically handicapped and under the conditions and limitations prescribed by the regulations of the Copyright Office: (1) copies of the work identified in space 1 of this application in Braille (or similar tactile symbols); or (2) phonorecords embodying a fixation of a reading of that work; or (3) both.

a  Copies and Phonorecords

b  Copies Only

c  Phonorecords Only

See instructions.

9

**DEPOSIT ACCOUNT** If the registration fee is to be charged to a Deposit Account established in the Copyright Office, give name and number of Account.  
Name ▼ Account Number ▼

Random House Inc

59757

**CORRESPONDENCE** Give name and address to which correspondence about this application should be sent. Name/Address/Apt/City/State/ZIP ▼

Michael Greaves, Random House Inc

201 East 50th Street

New York NY 10022

Area Code and Telephone Number ▶ 212-572-2783

Be sure to give your daytime phone number

10

**CERTIFICATION\*** I, the undersigned, hereby certify that I am the

Check only one ▶

- author
- other copyright claimant
- owner of exclusive right(s)
- authorized agent of **Random House Inc**

Name of author or other copyright claimant, or owner of exclusive right(s) ▲

of the work identified in this application and that the statements made by me in this application are correct to the best of my knowledge.

Typed or printed name and date ▼ If this application gives a date of publication in space 3, do not sign and submit it before that date.

Michael Greaves

date ▶ 4-3-96

Handwritten signature (X) ▼



MAIL CERTIFICATE TO

Name ▼

Michael Greaves, Random House Inc

Number/Street/Apartment Number ▼

201 East 50th Street

City/State/ZIP ▼

New York, NY 10022

Certificate will be mailed in window envelope

**YOU MUST:**  
• Complete all necessary spaces  
• Sign your application in space 10

**SEND ALL 3 ELEMENTS IN THE SAME PACKAGE:**  
1. Application form  
2. Nonrefundable \$20 filing fee in check or money order payable to Register of Copyrights  
3. Deposit material

**MAIL TO:**  
Register of Copyrights  
Library of Congress  
Washington, D.C. 20559

The Copyright Office has the authority to adjust fees at 5-year intervals, based on changes in the Consumer Price Index. The next adjustment is due in 1996. Please contact the Copyright Office after July 1995 to determine the actual fee schedule.

11

\*17 U.S.C. § 506(e): Any person who knowingly makes a false representation of a material fact in the application for copyright registration provided for by section 409, or in any written statement filed in connection with the application, shall be fined not more than \$2,500.

**FEE CHANGES**

Fees are effective through June 30, 2002 After that date, check the Copyright Office Website at 31 (202) 707-

137894311



**FORM TX**  
For a Nondramatic Literary Work  
UNITED STATES COPYRIGHT OFFICE



TX 5-905-727



EFFECTIVE DATE OF REGISTRATION

JAN 27 2004

Month Day Year

DO NOT WRITE ABOVE THIS LINE IF YOU NEED MORE SPACE USE A SEPARATE CONTINUATION SHEET

1

TITLE OF THIS WORK ▼

SWEETWATER

PREVIOUS OR ALTERNATIVE TITLES ▼

PUBLICATION AS A CONTRIBUTION If this work was published as a contribution to a periodical serial or collection give information about the collective work in which the contribution appeared Title of Collective Work ▼

If published in a periodical or serial give Volume ▼ Number ▼ Issue Date ▼ On Pages ▼

2

NAME OF AUTHOR ▼

Roxana Robinson

DATES OF BIRTH AND DEATH

Year Born ▼ Year Died ▼

Was this contribution to the work a 'work made for hire'?

Yes  
 No

AUTHOR'S NATIONALITY OR DOMICILE  
Name of Country

OR { Citizen of ► USA  
Domiciled in ►

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK

Anonymous?  Yes  No  
Pseudonymous?  Yes  No

If the answer to either of these questions is "Yes" see detailed instructions

**NOTE**

Under the law the author of a work made for hire is generally the employer not the employee (see instructions) For any part of this work that was made for hire check Yes in the space provided give the employer (or other person for whom the work was prepared) as Author of that part and leave the space for dates of birth and death blank

NATURE OF AUTHORSHIP Briefly describe nature of material created by this author in which copyright is claimed ▼

Entire text

NAME OF AUTHOR ▼

Was this contribution to the work a 'work made for hire'?

Yes  
 No

AUTHOR'S NATIONALITY OR DOMICILE  
Name of Country

OR { Citizen of ►  
Domiciled in ►

DATES OF BIRTH AND DEATH

Year Born ▼ Year Died ▼

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK

Anonymous?  Yes  No  
Pseudonymous?  Yes  No

If the answer to either of these questions is "Yes" see detailed instructions

NATURE OF AUTHORSHIP Briefly describe nature of material created by this author in which copyright is claimed ▼

NAME OF AUTHOR ▼

Was this contribution to the work a 'work made for hire'?

Yes  
 No

AUTHOR'S NATIONALITY OR DOMICILE  
Name of Country

OR { Citizen of ►  
Domiciled in ►

DATES OF BIRTH AND DEATH

Year Born ▼ Year Died ▼

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK

Anonymous?  Yes  No  
Pseudonymous?  Yes  No

If the answer to either of these questions is "Yes" see detailed instructions

NATURE OF AUTHORSHIP Briefly describe nature of material created by this author in which copyright is claimed ▼

Introduction

3

YEAR IN WHICH CREATION OF THIS WORK WAS COMPLETED

2002

This information must be given in all cases

DATE AND NATION OF FIRST PUBLICATION OF THIS PARTICULAR WORK

Complete this information ONLY if this work has been published  
Month ► May Day ► 13 Year ► 2003  
USA

Nation

4

COPYRIGHT CLAIMANT(S) Name and address must be given even if the claimant is the same as the author given in space 2 ▼

Roxana Robinson c/o Janklow & Nesbit Associates  
445 Park Avenue  
New York, NY 10022

TRANSFER If the claimant(s) named here in space 4 is (are) different from the author(s) named in space 2 give a brief statement of how the claimant(s) obtained ownership of the copyright ▼

APPLICATION RECEIVED  
JAN 27 2004

ONE DEPOSIT RECEIVED

TWO DEPOSITS RECEIVED  
JAN 27 2004

FUNDS RECEIVED

DO NOT WRITE HERE OFFICE USE ONLY

See instructions before completing this space

MORE ON BACK ► Complete all applicable spaces (numbers 5-8) on the reverse side of this page See detailed instructions Sign the form at line 8

DO NOT WRITE HERE Page 1 of 2 pages

EXAMINED BY DM

FORM TX

CHECKED BY

CORRESPONDENCE  
Yes

FOR  
COPYRIGHT  
OFFICE  
USE  
ONLY

DO NOT WRITE ABOVE THIS LINE IF YOU NEED MORE SPACE USE A SEPARATE CONTINUATION SHEET

PREVIOUS REGISTRATION Has registration for this work or for an earlier version of this work, already been made in the Copyright Office?

Yes  No If your answer is Yes why is another registration being sought? (Check appropriate box) ▼

- a.  This is the first published edition of a work previously registered in unpublished form
- b.  This is the first application submitted by this author as copyright claimant
- c.  This is a changed version of the work, as shown by space 6 on this application.

If your answer is Yes give Previous Registration Number ▶

Year of Registration ▶

5

DERIVATIVE WORK OR COMPILATION

Preexisting Material Identify any preexisting work or works that this work is based on or incorporates ▼

a

6

See instructions  
before completing  
this space

Material Added to This Work Give a brief general statement of the material that has been added to this work and in which copyright is claimed ▼

b

DEPOSIT ACCOUNT If the registration fee is to be charged to a Deposit Account established in the Copyright Office give name and number of Account Name ▼ Account Number ▼

Random House Inc

59757

a

7

CORRESPONDENCE Give name and address to which correspondence about this application should be sent Name/Address/Apt/City/State/ZIP ▼

Deborah Foley, Random House, Inc  
1745 Broadway, 3rd Floor  
New York, NY 10019

b

Area code and daytime telephone number ▶ 212 572-2603

Fax number ▶ 212-572-6066

Email ▶ dfoley@randomhouse.com

CERTIFICATION\* I the undersigned hereby certify that I am the

Check only one ▶

- author
- other copyright claimant
- owner of exclusive right(s)
- authorized agent of Random House, Inc

of the work identified in this application and that the statements made by me in this application are correct to the best of my knowledge

Name of author or other copyright claimant, or owner of exclusive right(s) ▲

8

Typed or printed name and date ▼ If this application gives a date of publication in space 3 do not sign and submit it before that date

Deborah Foley

Date ▶ January 16 2004

Handwritten signature (X) ▼



X

*Deborah Foley*

Certificate will be mailed in window envelope to this address

Name ▼  
Deborah Foley Random House Inc

Number/Street/Apt ▼  
1745 Broadway 3rd Floor

City/State/ZIP ▼  
New York, NY 10019

YOU MUST:

Complete all necessary spaces  
Sign your application in space 8

SEND ALL 3 ELEMENTS  
IN THE SAME PACKAGE:

- 1 Application form
- 2 Nonrefundable filing fee in check or money order payable to Register of Copyrights
- 3 Deposit material

MAIL TO:  
Library of Congress  
Copyright Office  
101 Independence Avenue S E  
Washington D C 20559-6000

9

As of  
July 1  
1999  
the  
filing  
fee for  
Form TX  
is \$30

Registration Number  
TX 7-502-287

Effective date of  
registration:  
March 13, 2012

Title

---

**Title of Work:** A Glimpse of Scarlet: And Other Stories

Completion/ Publication

---

**Year of Completion:** 1991

**Date of 1st Publication:** May 1, 1991

**Nation of 1st Publication:** United States

**International Standard Number:** ISBN 0060163313

Author

---

■ **Author:** Roxana Robinson

**Author Created:** text, compilation, Compilation of short stories

**Citizen of:** United States

**Year Born:** 1946

Copyright claimant

---

**Copyright Claimant:** Roxana Robinson

c/o Lynn Nesbit, Janklow & Nesbit Assoc., 445 Park Ave., New York, NY,  
10022, United States

Certification

---

**Name:** Anita Fore

**Date:** March 9, 2012

---

**Registration #:** TX0007502287

**Service Request #:** 1-722961820

Anita Fore  
The Authors Guild  
31 E. 32nd Street, 7th Floor  
New York, NY 10016 United States

Registration Number  
TX 7-502-294

Effective date of  
registration:  
March 13, 2012

Title

---

**Title of Work:** A Perfect Stranger: And Other Stories

Completion/ Publication

---

**Year of Completion:** 2005

**Date of 1st Publication:** April 26, 2005

**Nation of 1st Publication:** United States

**International Standard Number:** ISBN 9780375509186

Author

---

■ **Author:** Roxana Robinson

**Author Created:** text, compilation, Compilation of short stories

**Citizen of:** United States

**Year Born:** 1946

Copyright claimant

---

**Copyright Claimant:** Roxana Robinson

c/o Lynn Nesbit, Janklow & Nesbit Assoc., 445 Park Ave., New York, NY,  
10022, United States

Certification

---

**Name:** Anita Fore

**Date:** March 9, 2012

---

**Registration #:** TX0007502294

**Service Request #:** 1-722961413

Anita Fore  
The Authors Guild  
31 E. 32nd Street, 7th Floor  
New York, NY 10016 United States