IN THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK

UNITED STATES OF AMERICA, Case No.: 12-CV-2826 Plaintiff, Judge: Denise L. Cote v. Deck Type: Antitrust APPLE, INC., HACHETTE BOOK GROUP, INC., Date Stamp: 04/19/12 HARPERCOLLINS PUBLISHERS L.L.C., VERLAGSGRUPPE GEORG VON HOLTZBRINCK GMBH, HOLTZBRINCK PUBLISHERS, LLC d/b/a MACMILLAN THE PENGUIN GROUP, A DIVISION OF PEARSON PLC, PENGUIN GROUP (USA) PLC, and SIMON & SCHUSTER, INC. Defendants.

CERTIFICATION OF DEFENDANT HARPERCOLLINS PURSUANT TO 15 U.S.C. §16(g)

Pursuant to 15 U.S.C. § 16(g), defendant HarperCollins Publishers L.L.C.

("HarperCollins") states that no written or oral communications occurred by, or on behalf of, HarperCollins with an officer or employee of the United States concerning or relevant to the Proposed Final Judgment, other than communications made by counsel of record alone with officers or employees of the United States, other than as follows. From roughly March 1, 2012 through the filing of the Proposed Final Judgment, counsel of record for HarperCollins and Simon & Schuster, Inc. had several meetings and teleconferences with officers or employees from the United States. Counsel of record for Hachette Book Group, Inc. as well as employees from the Attorneys General for the States of Texas and Connecticut and from the European Commission may have attended certain of these meetings or teleconferences.

Defendant certifies that, with this submission, it has complied with the requirements of 15 U.S.C. §16(g), and that this submission is a true and complete description of communications known to defendant or that defendant reasonably should have known that are required to be reported pursuant to that provision.

April 19, 2012

Respectfully submitted,

/s/ Clifford H. Aronson

Clifford H. Aronson C. Scott Lent

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