

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

TRUSTEES OF THE MASON TENDERS DISTRICT  
COUNCIL WELFARE FUND, PENSION FUND,  
ANNUITY FUND, AND TRAINING PROGRAM  
FUND; JOHN J. VIRGA, *in his fiduciary capacity as  
Director*; MASON TENDERS DISTRICT COUNCIL  
OF GREATER NEW YORK; and ROBERT  
BONANZA, *as Business Manager of the Mason  
Tenders District Council of Greater New York*,

Petitioners,

-v-

GENESUS ONE ENTERPRISES, INC.,

Respondent.

17 Civ. 5712 (PAE)

OPINION & ORDER



PAUL A. ENGELMAYER, District Judge:

Petitioners—the Trustees of the Mason Tenders District Council Welfare Fund, Pension Fund, Annuity Fund, and Training Program Fund (“the Trustees” of “the Funds”) and related individuals<sup>1</sup>—seek confirmation of an arbitration award issued against respondent Genesis One Enterprises, Inc. (“GOE”). *See* Dkt. 11 (“Savci Decl.”), Ex. 6 (“Award”). GOE is an employer bound by a Collective Bargaining Agreement with the Mason Tenders District Council of Greater New York (“the Union”). *See* Savci Decl. ¶ 9. Petitioners commenced this action on July 27, 2017, Dkt. 1 (“Pet.”), pursuant to Section 502 of the Employment Retirement Income

<sup>1</sup> Although this action was commenced by Complaint, Dkt. 1, the Court construes the filing as a petition to confirm arbitration because it meets the notice requirements and requests the relief appropriate for a petition. *See Trustees of N.Y.C. Dist. Council of Carpenters Pension Fund, Welfare Fund, Annuity Fund, & Apprenticeship, Journeyman Retraining, Educ. & Indus. Fund v. All. Workroom Corp.*, No. 13 Civ. 5096 (KPF), 2013 WL 6498165, at \*1 n.1 (S.D.N.Y. Dec. 11, 2013).

Security Act (“ERISA”), 29 U.S.C. § 1132; Section 301 of the Labor Management Relations Act (“LMRA”), 29 U.S.C. § 185; and Section 9 of the Federal Arbitration Act (“FAA”), 9 U.S.C.

§ 9. For the following reasons, the Court confirms the Award.

## **I. Background<sup>2</sup>**

### **A. The Parties and their Agreements**

The Funds were established and are maintained pursuant to a Benefit Plan Trust Agreement and a Pension Fund Trust Agreement, and qualify as “employee benefit plan[s]” and “multiemployer plan[s]” within the meaning of ERISA. Pet. ¶ 1. GOE is an “employer” in an industry affecting commerce. *Id.* ¶ 3. At all relevant times, it was bound by the Mason Tenders District Council of Greater New York Master Independent Collective Bargaining Agreement, *see* Savci Decl., Ex. 1B (the “CBA”), which remains in effect by virtue of its evergreen clause, CBA Art. XII.

GOE was bound under the CBA and the Trust Agreements to pay certain contributions to the Funds for all covered employees. Pet. ¶ 8. As a participating employer with the Funds, GOE was also bound through the CBA to the terms of the Trust Agreements, *see id.* ¶¶ 7–8, which authorized legal action by the Funds, including the commencement of arbitration proceedings, to collect delinquent contributions. *See id.* ¶¶ 9–12. In the event of legal action, the CBA and the Trust Agreements provide that the employer shall pay to the Funds all unpaid contributions due, interest on such unpaid contributions, liquidated damages, and reasonable attorney’s fees and costs. *Id.* ¶ 10.

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<sup>2</sup> The following undisputed facts are derived from the Petition; the Savci Declaration; and the arbitrator’s Opinion and Default Award dated July 30, 2016, Pet., Ex. 6 (the “Award”).

### **C. The Arbitration Award**

A dispute arose as to whether GOE had made contributions owed to the Funds covering work performed by its employees over various time periods between 2014 and 2015. *Id.* ¶ 16. Petitioners initiated arbitration proceedings before arbitrator Joseph A. Harris, *id.* ¶ 17, and a notice of the scheduled hearing was sent to GOE by first class mail, Award at 2. GOE, however, did not appear at the hearing on July 12, 2016. Pet. ¶ 18.

Petitioners presented evidence including an auditor's report completed after an examination of GOE's books and records for the periods October 31, 2014, through August 30, 2015, indicating hours worked by GOE employees for which corresponding contributions were not made by GOE to the Funds. *Id.* On July 30, 2016, arbitrator Harris issued the Award, finding that, "[b]ased on the substantial and credible evidence presented," GOE owed the Funds delinquent contributions. Award at 3. Harris directed GOE to pay to the Funds a total of \$33,747.37, comprising delinquent contributions, interest, ERISA liquidated damages, and fees. *Id.*

### **D. This Action**

On July 27, 2017, after GOE failed to comply with petitioners' demand for the Award amount, *see* Pet. ¶ 20, petitioners filed this action seeking to confirm the Award. To date, GOE has not opposed the Petition or otherwise appeared in this case.

## **II. Discussion**

### **A. Applicable Legal Standards**

"Arbitration awards are not self-enforcing"; "they must be given force and effect by being converted to judicial orders by courts." *Power Partners MasTec, LLC v. Premier Power Renewable Energy, Inc.*, No. 14 Civ. 8420 (WHP), 2015 WL 774714, at \*1 (S.D.N.Y. Feb. 20,

2015) (quoting *D.H. Blair & Co. v. Gottdiener*, 462 F.3d 95, 104 (2d Cir. 2006)) (internal quotation mark omitted). The FAA provides a “‘streamlined’ process for a party seeking ‘a judicial decree confirming an award.’” *Salzman v. KCD Fin., Inc.*, No. 11 Civ. 5865 (DLC), 2011 WL 6778499, at \*2 (S.D.N.Y. Dec. 21, 2011) (quoting *Hall St. Assocs. L.L.C. v. Mattel, Inc.*, 552 U.S. 576, 582 (2008)).

“Normally, confirmation of an arbitration award is a summary proceeding that merely makes what is already a final arbitration award a judgment of the court, and the court must grant the award unless the award is vacated, modified, or corrected.” *D.H. Blair*, 462 F.3d at 110 (citations and internal quotation marks omitted). In this Circuit, “[t]he showing required to avoid summary confirmation of an arbitration award is high.” *Willemijn Houdstermaatschappij, BV v. Standard Microsystems Corp.*, 103 F.3d 9, 12 (2d Cir. 1997) (quoting *Ottley v. Schwartzberg*, 819 F.2d 373, 376 (2d Cir. 1987)); see also *Duferco Int’l Steel Trading v. T. Klaveness Shipping A/S*, 333 F.3d 383, 388 (2d Cir. 2003) (“It is well established that courts must grant an [arbitrator’s] decision great deference.”).

Review of an arbitral award by a district court “is ‘severely limited’ so as not unduly to frustrate the goals of arbitration, namely to settle disputes efficiently and avoid long and expensive litigation.” *Salzman*, 2011 WL 6778499, at \*2 (quoting *Willemijn*, 103 F.3d at 12). Indeed, “an arbitration award should be enforced, despite a court’s disagreement with it on the merits, if there is ‘a barely colorable justification for the outcome reached.’” *Landy Michaels Realty Corp. v. Local 32B-32J, Serv. Emps. Int’l Union, AFL-CIO*, 954 F.2d 794, 797 (2d Cir. 1992) (quoting *Andros Compania Maritima, S.A. v. Marc Rich & Co.*, 579 F.2d 691, 704 (2d Cir. 1978)).

A motion to confirm an arbitration award against a party that has failed to appear in the action is evaluated under the legal standards applicable to a motion for summary judgment. *See D.H. Blair*, 462 F.3d at 109–10. To prevail on such a motion, the movant must “show[] that there is no genuine dispute as to any material fact and the movant is entitled to judgment as a matter of law.” Fed. R. Civ. P. 56(a). In making this determination, the Court must view all facts “in the light most favorable” to the non-moving party. *Tolan v. Cotton*, 134 S. Ct. 1861, 1866 (2014) (citations omitted). In determining whether there are genuine issues of material fact, the Court is “required to resolve all ambiguities and draw all permissible factual inferences in favor of the party against whom summary judgment is sought.” *Johnson v. Killian*, 680 F.3d 234, 236 (2d Cir. 2012) (quoting *Terry v. Ashcroft*, 336 F.3d 128, 137 (2d Cir. 2003) (internal quotation mark omitted)).

“Even when a motion for summary judgment is unopposed, the district court is not relieved of its duty to decide whether the movant is entitled to judgment as a matter of law.” *Vt. Teddy Bear Co. v. 1-800 Beargram Co.*, 373 F.3d 241, 242 (2d Cir. 2004); *see also Amaker v. Foley*, 274 F.3d 677, 681 (2d Cir. 2001). In reviewing an unopposed motion for confirmation of an arbitration award, a court:

may not grant the motion without first examining the moving party’s submission to determine if it has met its burden of demonstrating that no material issue of fact remains for trial. If the evidence submitted in support of the summary judgment motion does not meet the movant’s burden of production, then summary judgment must be denied *even if no opposing evidentiary matter is presented*.

*D.H. Blair*, 462 F.3d at 110 (emphasis in original) (quoting *Vt. Teddy Bear Co.*, 373 F.3d at 244).

Where “[t]here is no indication that the arbitration decision was made arbitrarily, exceeded the arbitrator’s jurisdiction, or otherwise was contrary to law [. . .] a court must grant an order to confirm an arbitration award upon the timely application of a party.” *Herrenknecht Corp. v. Best*

*Rd. Boring*, No. 06 Civ. 5106 (JFK), 2007 WL 1149122, at \*2 (S.D.N.Y. Apr. 16, 2007) (citing 9 U.S.C. § 9; *Florasynth, Inc. v. Pickholz*, 750 F.2d 171, 176 (2d Cir. 1984)).

**B. Confirmation of the Arbitral Award**

On the basis of the Award, and on the very limited review that is appropriate, the Court finds that summary judgment is warranted, as petitioners have shown there is no material issue of fact in dispute. The arbitrator acted within the scope of the authority granted him by the parties, and found “substantial and credible evidence” that GOE was required to make certain payments to the Funds, and that it failed to do so, as revealed by evidence submitted by petitioners at the arbitration hearing. Award at 3. From this, the Court concludes that there is at least a “barely colorable justification for the outcome reached,” and by all indications a more than colorable one. *Landy Michaels Realty Corp.*, 954 F.2d at 797. Accordingly, the Court confirms the Award in favor of petitioners, for a total amount of \$33,747.37.

**B. Attorney’s Fees Associated with this Action**

Parties seeking to confirm an arbitration award often request fees and costs incurred in bringing such a petition. *See, e.g., Trustees of N.Y.C. Dist. Council of Carpenters Pension Fund v. Richie Jordan Constr. Inc.*, No. 15 Civ. 3811 (PAE), 2015 WL 7288654, at \*4 (S.D.N.Y. Nov. 17, 2015). Here, petitioners do not request such fees and costs and have not included any records that would allow the Court to quantify them, even assuming petitioners were entitled to them. *See Trustees of N.Y.C. Dist. Council of Carpenters Pension Fund v. Dejl Sys., Inc.*, No. 12 Civ. 005 (JMF), 2012 WL 3744802, at \*4 (S.D.N.Y. Aug. 29, 2012) (parties not necessarily entitled to fees and costs associated with bringing petition to confirm arbitration award for recovery of delinquent contributions) (citing *Abondolo v. Jerry WWHS Co., Inc.*, 829 F. Supp. 2d 120, 130 (E.D.N.Y. 2011)); *Laundry, Dry Cleaning Workers & Allied Indus. Health Fund v. Stainless*

*Partners, Inc.*, No. 07 Civ. 3542 (CPS), 2007 WL 3232260, at \*3 (E.D.N.Y. Oct. 31, 2007)  
(denying request for attorney's fees where petitioners failed to submit any time records).

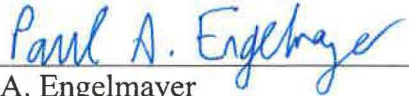
Therefore, the Court does not award fees and costs associated with bringing the Petition.

### CONCLUSION

For the reasons stated above, the Court confirms the Award in favor of petitioners and issues judgment in the amount of \$33,747.37.

The Clerk of Court is respectfully directed to close this case.

SO ORDERED.

  
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Paul A. Engelmayer  
United States District Judge

Dated: August 9, 2018  
New York, New York