ALAN M. POLLACK

DIRECT DIAL: 212-984-7794 EMAIL: apollack@wbny.com

PARTNER



WARSHAW BURSTEIN, LLP 575 Lexington Avenue New York, NY 10022 (212) 984-7700 www.wbny.com

June 14, 2024

VIA ECF

The Honorable Valerie Figueredo United States Magistrate Judge United States District Court Southern District of New York 500 Pearl Street New York, NY 10007

Re: *Harrington Global Opportunity Fund, Limited v. BofA Securities, Inc., et al.,* <u>1:21-cv-00761-LGS (S.D.N.Y.)</u>

Dear Judge Figueredo:

We write on behalf of Plaintiff Harrington Global Opportunity Fund, Limited ("Harrington") in the above-captioned matter. Pursuant to the Protective Order, ECF No. 111, we write to request approval to file under seal, and publicly file redacted, certain portions of a letter and an appended exhibit to be filed by Harrington opposing Defendant CIBC World Markets, Inc.'s ("CIBC") motion for a protective order.

The letter contains the name of a third-party client that CIBC disclosed in discovery and that may be relevant to Harrington's spoofing allegations. The exhibit includes correspondence between the parties in which this client is referenced by name.

The Protective Order provides that the parties "may designate as confidential for protection under this Protective Order, in whole or in part, any document, information, or material that constitutes or includes, in whole or in part, confidential or proprietary information or trade secrets of the Party or a Third Party to whom the Party reasonably and in good faith believes it owes an obligation of confidentiality with respect to such document, information, or material." CIBC has designated the identity of this third party as Confidential Discovery. Thus, Harrington seeks to file under seal references to the third party in the letter and exhibit.

Pursuant to Your Honor's Individual Rules and Practices, Harrington is publicly filing its letter and exhibit with proposed redactions and electronically filing under seal a copy of the unredacted documents with the proposed redactions highlighted.

Warshaw Burstein, LLP

Hon. Valerie Figueredo June 14, 2024 Page 2 of 2

Finally, the Appendix below lists the parties and their counsel of record who should have access to the sealed documents.

MEMO ENDORSED

HON. VALERIE FIGUEREDO UNITED STATES MAGISTRATE JUDGE DATED: June 17, 2024

The sealing request herein is granted in accordance with the Protective Order (ECF No. 111). The Clerk of Court is directed to maintain the viewing restrictions on ECF No. 317. The Clerk of Court is also respectfully directed to terminate the Motions at ECF Nos. 312 and 319.

> CHRISTIAN ATTAR James Wes Christian Ardalan Attar 2302 Fannin, Suite 205 Houston, Texas 77002 Tel.: (713) 659-7617 jchristian@christianattarlaw.com aattar@christianattarlaw.com

Respectfully submitted,

WARSHAW BURSTEIN LLP

By: <u>/s/ Alan M. Pollack</u> Alan M. Pollack Felicia S. Ennis Thomas Filardo Leron Thumim Meghan Hallinan 575 Lexington Avenue, 7th Floor New York, New York 10022 Tel.: (212) 984-7700 apollack@wbny.com fennis@wbny.com tfilardo@wbny.com Ithumim@wbny.com

HOLWELL SHUSTER & GOLDBERG LLP RICHARD J. HOLWELL GREGORY DUBINSKY ANDREW C. INDORF ADITI SHAH 425 LEXINGTON AVENUE NEW YORK, NY 10017 TEL.: (646) 837-5151 RHOLWELL@HSGLLP.COM GDUBINSKY@HSGLLP.COM MGURGEL@HSGLLP.COM

Appendix

The following parties and attorneys of record should have access to the sealed document:

Plaintiff Harrington Global Opportunity Fund, Limited

HOLWELL SHUSTER & GOLDBERG LLP

Richard J. Holwell Gregory Dubinsky Matthew Gurgel Andrew C. Indorf Aditi Shah

WARSHAW BURSTEIN LLP

Alan M. Pollack Felicia S. Ennis Thomas Filardo Leron Thumim Matthew A. Marcucci

CHRISTIAN ATTAR

James Wes Christian Ardalan Attar

Defendants BofA Securities, Inc. and Merrill Lynch Canada, Inc.

O'MELVENY & MYERS LLP

Abby F. Rudzin William J. Martin

Defendants TD Securities, Inc. and TD Securities (USA) LLC

KING & SPALDING LLP

Jamie S. Dycus David Lesser Jenny Pelaez

Defendants CIBC World Markets Inc. (Canada)

DENTONS

Sandra D. Hauser Stephen J. Senderowitz Timothy J. Storino