

IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF NORTH CAROLINA
WESTERN DIVISION

No. 5:08-CV-460-FL

DUKE ENERGY PROGRESS, INC.,)
)
Plaintiff,)
)
v.)
)
ALCAN ALUMINUM CORPORATION, et al.,)
)
Defendants.)

ORDER

No. 5:08-CV-463-FL

CONSOLIDATION COAL COMPANY,)
)
Plaintiff,)
)
v.)
)
ALCAN ALUMINUM CORPORATION, et al.,)
)
Defendants.)

This matter is before the court on motion for protective order (460 DE 1553, 463 DE 1544), filed by plaintiff Consolidation Coal Company and crossclaim/third-party plaintiff PCS Phosphate Company, Inc. (collectively “plaintiffs”). Third-party defendant Truland Corporation (“Truland”) filed a response in opposition. In this posture the issues raised are ripe for ruling. For the reasons that follow, plaintiffs’ motion is granted in part as set forth herein.

BACKGROUND

As pertinent to the present motion, on August 5, 2010, this court entered a consent protective order and stipulation of confidentiality providing for designation of materials produced in discovery in this action as “CONFIDENTIAL – ATTORNEY’S EYES ONLY,” where the materials are

“determined by the Producing Party, acting in good faith, to be non-public and to be confidential business or technical information.” (DE 349, ¶1).¹ The protective order provides a mechanism by which a party “may challenge such designation by notifying the Designating Party in writing at any time prior to thirty (30) days before the first deadline for exhibit lists to be submitted by any party.” (Id., ¶9). “The Designating Party must then seek a protective order from the Court for such documents, information or tangible items.” (Id.).

The protective order further states that “[n]othing in this Protective Order shall restrict or prohibit a party from seeking protections in addition to those set forth in this Protective Order for a particular document(s) or for particular testimony given in this action.” (Id., ¶22). On September 30, 2013, the court extended the August 5, 2010, protective order to apply to all third-party defendants. (DE 1326).

On July 3, 2014, in discovery in this matter, plaintiffs disclosed Mr. David Mauro, a chemist, as their expert on PCBs in mineral oil transformers. (DE 1558-1). In his expert report, Mauro relied upon and referenced two publications at issue in the present motion, which were published by the Electric Power Research Institute, Inc. (“EPRI”): (1) a 2009 report titled “Changes to PCB Congeners in Dielectric Fluids in Use” (the “2009 EPRI Report”) and (2) a 2012 report titled “Predicting the Potential Polychlorinated Biphenyl (PCB) Concentration in Electrical Equipment” (the “2012 EPRI Report”) (collectively, the “EPRI Reports”). (See, e.g., DE 1558-1, pp. 30-31).

On November 10, 2014, prior to deposition of Mr. Mauro, plaintiffs produced in discovery in this matter, under the designation “CONFIDENTIAL – ATTORNEY’S EYES ONLY,” copies of the EPRI Reports, by making them available for download or on disc. (DE 1554-6, pp. 3-4). On

¹ Henceforth in this order, unless otherwise noted, all citations to the record are to documents filed in Case 5:08-CV-460-FL, although the same documents were filed also in Case No. 5:08-CV-463-FL.

November 14, 2014, at the deposition of Mauro, Truland's counsel discussed the EPRI Reports during deposition questioning. (DE 1558-3). On December 5, 2014, Truland's counsel sent a letter to counsel for Consolidation Coal Company challenging the designation of the EPRI Reports as "CONFIDENTIAL – ATTORNEY'S EYES ONLY," and requesting that the designation be removed. (DE 1554-7, pp. 2-3).

On January 2, 2015, plaintiffs filed their motion for protective order, pursuant to paragraph 9 of the court's August 5, 2010, protective order, seeking a protective order designating all copies of the EPRI Reports produced by plaintiffs as "CONFIDENTIAL – ATTORNEY'S EYES ONLY." (DE 1553, p. 2). Truland filed its opposition on January 16, 2015.

COURT'S DISCUSSION

Pursuant to Federal Rule of Civil Procedure 26(c), "[t]he court may, for good cause, issue an order to protect a party or person from annoyance, embarrassment, oppression, or undue burden or expense, including one or more of the following: . . . requiring that a trade secret or other confidential research, development, or commercial information not be revealed or be revealed only in a specified way." Fed. R. Civ. P. 26(c)(1). "To establish good cause for a protective order under Federal Rule of Civil Procedure 26(c), . . . courts have insisted on a particular and specific demonstration of fact, as distinguished from stereotyped and conclusory statements." Gulf Oil Co. v. Bernard, 452 U.S. 89, 102, n.16 (1981) (internal quotations omitted).

In this case, plaintiffs have established good cause for a protective order requiring that the EPRI reports be produced under certain restrictions, although not exactly as designated up to this point. The current designation, "CONFIDENTIAL – ATTORNEY'S EYES ONLY" applies only to materials which are determined by the Producing Party "to be non-public and to be confidential

business or technical information.” (460 DE 349, ¶1). Based on the facts presented in support of the motion, however, the EPRI Reports are neither non-public nor confidential. Rather, EPRI sells copies of the reports to the public for a fee, where the cost to purchase a copy of the 2009 EPRI Report is \$950, and the cost to purchase a copy of the 2012 EPRI Report is \$2,500. (See, e.g., 460 DE 1554-8, p. 2; 460 DE 1558-3, pp. 3& 7). The EPRI Reports, therefore, do not fall squarely within the description of the designation “CONFIDENTIAL – ATTORNEY’S EYES ONLY.”

Nevertheless, based on these same facts, the EPRI Reports are materials of the type properly to be “revealed only in a specified way” to the parties during discovery in this case. Fed. R. Civ. P. 26(c)(1)(G). Given that the EPRI Reports are proprietary and commercially valuable documents available only from EPRI for a fee, allowing unrestricted access to copies of the EPRI Reports by all parties to this case undermines EPRI’s intellectual property rights to charge a fee for its own publications. The Federal Rules, in this respect, provide a mechanism for protecting EPRI, as a “person” specified in the rule, “from . . . undue burden or expense.” Fed. R. Civ. P. 26.

Although designating the EPRI Reports “CONFIDENTIAL – ATTORNEY’S EYES ONLY” is not appropriate, the same protections applicable to materials designated “CONFIDENTIAL – ATTORNEY’S EYES ONLY” will serve the interest of maintaining the integrity and value of EPRI’s commercial proprietary information. Restricting access to attorneys only for purposes of litigation in this case ensures that such proprietary information is used only for purposes of this litigation, and not for purposes of circumventing the cost of purchasing a report from EPRI.

The court, therefore, directs that the EPRI Reports be designated under a new category of protective designation, “PROPRIETARY REPORT,” but in all other respects be subjected to the same requirements as materials otherwise designated “CONFIDENTIAL – ATTORNEY’S EYES

ONLY” as set forth in the court’s August 5, 2010, protective order. For example, such requirements include but are not limited to the following requirements in Paragraph 4 of the August 5, 2010, protective order, modified here to incorporate the new category of designation:

4. [Information] designated as “[PROPRIETARY REPORT]” shall not be disclosed other than as expressly authorized in this Order and may be disclosed only to the following “Qualified Persons”:

a. This Court and its personnel.

b. Counsel of record in this litigation and attorneys and staff persons employed by or acting on behalf of any such counsel.

c. Any consultant, investigator or expert (collectively, “Expert”) who is assisting in the preparation or trial of this litigation.

d. A court reporter.

e. Third-party fact witnesses or potential fact witnesses, if disclosure to such potential fact witness of particular [Information] is in counsel’s good faith judgment, necessary to that party’s prosecution or defense of the case.

(DE 349, ¶4).

Such requirements also include those provisions in the protective order specifying procedures in the event a party seeks to file such EPRI Reports under seal with the court. In particular, “[e]ach time a party seeks to file under seal [PROPRIETARY REPORTS], said party shall accompany the request with a motion to seal and a supporting memorandum of law,” (DE 349, ¶7), which motion and memorandum must make the requisite showing based upon applicable law governing sealing of court filings, particularly pursuant to Stone v. University of Maryland, 855 F.2d 178, 180-181 (4th Cir.1988).

The court rejects Truland’s arguments in favor of lifting all restrictions on the designation of the EPRI Reports, at this juncture in the litigation. For example, Truland contends that a

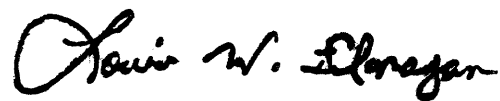
restrictive designation would run afoul of Fourth Circuit case law, such as Stone, regarding requirements for sealing materials filed on the docket. No party, however, has yet sought to file the EPRI Reports in connection with any motion before the court, and no motion to seal such documents is before the court. In the event a motion to seal is filed, Truland may renew its arguments at that time. The court expresses no opinion at this time, without benefit of further briefing on the issues raised in Truland's opposition regarding sealed materials, regarding the propriety of filing the EPRI Reports under seal in this case.

It suffices for present purposes, pursuant to Fed. R. Civ. P. 26(c), that plaintiffs have demonstrated through specific showing that a protective order restricting disclosure of the EPRI Reports in discovery in this case is warranted.

CONCLUSION

Based on the foregoing, plaintiffs' motion for protective order (460 DE 1553; 463 DE 1544) is GRANTED IN PART. As set forth herein, the EPRI Reports shall be marked "PROPRIETARY REPORT," and in all other respects be subjected to the same requirements as materials designated "CONFIDENTIAL – ATTORNEY'S EYES ONLY" as set forth in the court's August 5, 2010, protective order, attached hereto as Exhibit A, and the court's September 30, 2013, order, attached hereto as Exhibit B.

SO ORDERED, this the 11th day of March, 2015.



LOUISE W. FLANAGAN
United States District Judge

EXHIBIT A

IN THE UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NORTH CAROLINA
WESTERN DIVISION

CAROLINA POWER & LIGHT COMPANY)	
d/b/a PROGRESS ENERGY CAROLINAS, INC.,)	
)	
Plaintiff,)	
v.)	5:08-CV-00460-FL
)	
3 M COMPANY, et al.,)	
)	
Defendants.)	

CONSOLIDATION COAL COMPANY,)	
)	
Plaintiff,)	
v.)	5:08-CV-00463-FL
)	
3 M COMPANY, et al.,)	
)	
Defendants.)	

CONSENT PROTECTIVE ORDER AND STIPULATION OF CONFIDENTIALITY

THIS CAUSE came to be heard on the joint application of Plaintiffs and Defendants, and it appearing to the Court that the discovery and trial in this action may involve the production, disclosure, and filing with the Court of confidential, sensitive or proprietary business information, or trade secrets (collectively referred to as “Confidential Information”) requiring protection against unrestricted disclosure or use, including, but not limited to, confidential financial data, marketing or sales planning information, information on pricing and profitability, customer or client lists, trade secrets, product design information, engineering or business plans or strategies, proprietary technical or scientific information, and other proprietary and nonpublic

commercial information or commercially and/or competitively sensitive information of the type normally protected from disclosure to the public. This Protective Order governs the production or disclosure of all Confidential Information produced by the parties or their agents during the course of discovery, hearings or trial in this action. In addition, the parties contemplate that Confidential Information may be produced by a non-party, and the use of such information in this case is similarly governed by this Order.

THEREFORE, IT IS HEREBY STIPULATED, AGREED AND ORDERED that pursuant to Rule 26(c) of the Federal Rules of Civil Procedure, the following confidentiality provisions shall govern the disclosure, use, dissemination, and filing with the Court of all information, documents or materials designated as “Confidential” or “Confidential—Attorney’s Eyes Only” and produced in this action.

1. Designation. Any party to this action or other person who produces, supplies, or provides access to information, documents, testimony, data, or other tangible items (hereinafter “Discovery Materials”) for use in this action in the course of discovery (hereinafter the “Designating Party” or the “Producing Party”) may designate all or part of such Discovery Materials as “Confidential” or “Confidential—Attorney’s Eyes Only.” The Producing Party shall be obligated to designate only such Discovery Materials which it believes in good faith to be information within the scope of Rule 26(c) of the Federal Rules of Civil Procedure. Moreover, the Producing Party shall be obligated to make specific designations to the extent reasonably possible and to avoid overbroad designations.

“Confidential” applies to Discovery Materials which are determined by the Producing Party, acting in good faith, to be non-public and to be confidential business or technical information.

“Confidential—Attorney’s Eyes Only” applies to Discovery Materials which are determined by the Producing Party, acting in good faith, to be non-public and to be confidential business or technical information, and which is reasonably and in good faith considered by the Producing Party to be highly sensitive because at the time such Discovery Materials are produced contain competitive business information such as customer lists, trade secrets, product design information, marketing or sales planning information or cost, price, profitability or other similar financial information. It is the intention of the parties to designate only a limited number of documents and information for this more restricted level of confidentiality.

Discovery Materials shall be designated as “Confidential Information” by marking words on the document or item that in substance states: “CONFIDENTIAL” or “CONFIDENTIAL-ATTORNEY’S EYES ONLY.” Deposition testimony may be designated as Confidential at the time the testimony is given. Portions of deposition transcripts (including exhibits) containing Confidential Information shall be separately bound and treated as Confidential under this Order, and that portion of the transcript shall be designated “CONFIDENTIAL” or “CONFIDENTIAL-ATTORNEY’S EYES ONLY” before the final transcript is distributed. Within thirty (30) days after receipt of the deposition transcript, a Designating Party may designate deposition testimony as Confidential Information by advising all parties in writing of the pages and lines in which the Confidential Information appears.

2. Electronic or digital files containing Confidential Information shall be marked as follows:

- a. Any media produced containing Confidential Information in electronic or digital form shall be visibly marked on the outside or surface of the media with a legend substantially as follows: “CONTAINS INFORMATION

DESIGNATED AS CONFIDENTIAL” and/or “CONTAINS INFORMATION DESIGNATED AS CONFIDENTIAL-ATTORNEY’S EYES ONLY”

- b. Individual electronic or digital files shall be conspicuously marked or designated as containing Confidential Information by including the word "CONFIDENTIAL" or "CONFIDENTIAL-ATTORNEY’S EYES ONLY" in the file name or file designation in all capital letters. As an alternative to marking individual files in this manner, all digital files containing Confidential Information on a given media item can be segregated within a folder or directory on that media, which folder or directory name shall include the words "CONFIDENTIAL" or "CONFIDENTIAL-ATTORNEY’S EYES ONLY" in all capitals.
- c. Any electronic or digital files containing confidential information shall, to the maximum extent practicable, contain a visible legend that is displayed along with the data or file contents, when the data or contents are viewed in the normal course of use, containing the words "CONFIDENTIAL" or "CONFIDENTIAL-ATTORNEY’S EYES ONLY" in all capitals.

3. Confidential Information designated as “CONFIDENTIAL” shall not be disclosed other than as expressly authorized in this Order and may be disclosed only to the following “Qualified Persons”:

- a. This Court and its personnel.

- b. Counsel of record in this litigation, counsel of a deponent, in-house counsel for the parties, and attorneys and staff persons employed by or acting on behalf of any such counsel.
- c. Any consultant, investigator or expert (collectively, “Expert”) who is assisting in the preparation or trial of this litigation.
- d. A court reporter.
- e. Plaintiff’s current officers and employees.
- f. Current officers and employees of any Defendant.
- g. Third-party fact witnesses or potential fact witnesses, if disclosure to such potential fact witness of particular Confidential Information is, in counsel’s good faith judgment, necessary to that party’s prosecution or defense of the case.

4. Confidential Information designated as “CONFIDENTIAL—ATTORNEY’S EYES ONLY” shall not be disclosed other than as expressly authorized in this Order and may be disclosed only to the following “Qualified Persons”:

- a. This Court and its personnel.
- b. Counsel of record in this litigation and attorneys and staff persons employed by or acting on behalf of any such counsel.
- c. Any consultant, investigator or expert (collectively, “Expert”) who is assisting in the preparation or trial of this litigation.
- d. A court reporter.
- e. Third-party fact witnesses or potential fact witnesses, if disclosure to such potential fact witness of particular Confidential Information is, in

counsel's good faith judgment, necessary to that party's prosecution or defense of the case.

5. If a party wishes to disclose any Confidential Information to any person not described in Paragraphs 3 and 4 of this Order, permission to so disclose must be requested from the Designating Party in writing. If the Designating Party agrees, the person shall be considered a Qualified Person for the purposes of this Order. If the Designating Party objects to the proposed disclosure, no such disclosure shall be made unless this Court, upon application by the party requesting such permission, orders otherwise.

6. Confidential Information may be disclosed to a Qualified Person pursuant to Paragraph 3.c or 3.g, Paragraph 4.c or 4.e, or Paragraph 5, only if such person is first shown a copy of this Protective Order and agrees in writing to be bound by its terms by signing a copy of the Confidentiality Agreement attached hereto as Exhibit A. Counsel for the party obtaining the person's signature on the Confidentiality Agreement will retain a copy of the signed agreement. At the conclusion of the case, counsel will provide Designating Party with a copy of the signed agreements.

7. Where any Confidential Information is to be included in any materials filed with the Court, the filing Party must comply with the procedures in section T (1) of the Court's Electronic Case Filing Administrative Policies and Procedures Manual (Rev. Jan. 25, 2010). ("ECF Manual") Each time a party seeks to file under seal confidential documents, things, and/or information, said party shall accompany the request with a motion to seal and a supporting memorandum of law specifying:

- a. The exact documents, things, and/or information, or portions thereof, for which filing under seal is requested;

- b. Where it is necessary for the court to determine the source of the public's right to access before a request to seal may be evaluated, whether any such request to seal seeks to overcome the common law or the First Amendment presumption to access;
- c. The specific qualities of the material at issue which justify sealing such material, taking into account the balance of competing interests in access;
- d. The reasons why alternatives to sealing are inadequate; and
- e. Whether there is consent to the motion.

Finally, in addition to the motion and supporting memorandum, said party must set out such findings in a proposed order to seal for the Court. However, in the event that a party seeking to file Confidential Information is not the party who designated that material as Confidential Information, then the Filing Party and the Designating Party shall follow the procedures set forth in section T (1)(a)(6) of the Court's ECF Manual. Further proceedings with respect to a motion to seal will be governed by the Court's Case Management Order.

8. Inadvertent failure to designate as Confidential any information pursuant to this Protective Order shall not constitute a waiver of any otherwise valid claim for protection, so long as such claim is asserted within thirty (30) days of the discovery of the inadvertent failure. At such time, arrangements shall be made for return of all copies of the inadvertently misdesignated documents to the Designating Party and for the submission, where appropriate, of properly labeled copies.

9. If upon review any party reasonably and in good faith believes that any documents, information or tangible items designated by a Designating Party are not properly designated "Confidential" or "Confidential—Attorney's Eyes Only" as defined hereinabove,

then the party may challenge such designation by notifying the Designating Party in writing at any time prior to thirty (30) days before the first deadline for exhibit lists to be submitted by any party. The written notice must specifically identify the challenged documents, information or tangible items, by Bates number page where available. The Designating Party must then seek a protective order from the Court for such documents, information or tangible items. If such a motion is filed the documents will continue to be treated as "Confidential" pending resolution by the Court. Failure to file a motion for a protective order within thirty (30) days from receiving notification of a party's challenge to the designation shall cause such documents, information or tangible items to be excluded from the provisions of this Order.

10. No one may attend or review the Confidential portions of a deposition, or a transcript of such portion of the deposition, other than persons listed in Paragraphs 3 and 4, subject to the provisions of Paragraph 6.

11. Any presentation of Confidential Information to the Court at a hearing prior to the trial shall be made following advance notice to the Court prior to presentation of the material.

12. Except to the extent expressly authorized in this Protective Order, Confidential Information shall not be disclosed to any third party, or used or disclosed for any purpose other than the preparation and/or trial of this case and/or appeal therefrom.

13. Nothing contained herein shall prevent any party from disclosing its own Confidential Information or other Discovery Materials as it deems appropriate.

14. Each party or person bound by this Protective Order will use reasonable care to avoid designating any document or information as Confidential Information which is not entitled to such designation or which is generally available to the public. The restrictions and obligations relating to Confidential Information set forth in this Protective Order shall not apply to:

- a. Any document that is or becomes publicly known or available other than through a violation of this Protective Order; or
- b. Any document that the receiving party receives from a third party without violation of this Protective Order or breach of any other agreement between the producing party and such third party, provided the receiving party is not obligated to hold such document in confidence; or
- c. Any information that is independently developed by employees of the receiving party who have not had access to or received any Confidential Information under this Protective Order, as evidenced by written documentation of such independent development; or
- d. Any document that the Designating Party authorizes (in writing) to be released from the obligations of this Protective Order.

If any document marked as “Confidential” or “Confidential—Attorney’s Eyes Only” is believed by any party to fall within the description set forth in this paragraph, before disclosing such document to any person not authorized to receive same by this Order, the party seeking to disclose said document shall follow the procedure as set forth in paragraph 9 above.

15. Any notes, summaries, compilations or copies containing Confidential Information or electronic images or databases containing Confidential Information shall be subject to the terms of this Protective Order to the same extent as the material or information from which such notes, summaries, compilations, copies, electronic images or databases are made or derived.

16. In the case of inadvertently produced privileged and/or work product documents, upon request of the Producing Party, the documents together with all copies thereof shall be

returned to the party claiming privilege and/or work product immunity within seven (7) days of receipt of notice of the inadvertent production, and any notes made therefrom shall be destroyed. A party returning inadvertently produced documents pursuant to this paragraph does not waive its right to challenge the privileged and/or work product status of those documents. In support of such a challenge, a party returning inadvertently produced documents may, at that time, submit a copy of the returned documents to the Court under seal, to be opened *in camera* only if the party elects to challenge the privileged and/or work product status of those documents, which challenge must be made within fourteen (14) days of submission to the Court. If the party returning inadvertently produced privileged documents fails to file a motion to compel production of these documents within said fourteen (14) day period, the documents will be returned to the Designating Party.

17. This Protective Order does not affect any party's rights to object to discovery on any grounds other than an objection based solely on the ground that the information sought contains Confidential Information.

18. A Party or entity's compliance with the terms of this Protective Order shall not operate as an admission that any particular document is or is not (a) confidential, (b) proprietary, (c) privileged or subject to attorney work product protection, or (d) admissible in evidence at trial.

19. Any person in possession of materials designated as "Confidential" or "Confidential—Attorney's Eyes Only" pursuant to this Protective Order who receives a subpoena or other process from any court or person (including natural persons, corporations, partnerships, firms, governmental agencies, departments, or bodies, boards, or associations) who is not a party to this Protective Order, seeking production or other disclosure of such

Confidential material, shall promptly give telephonic notice and written notice by overnight delivery, electronic mail, and/or facsimile to counsel for the Designating Party or entity, identifying the materials sought and enclosing a copy of the subpoena or other process where possible at least ten (10) business days before production or other disclosure shall be given. Without limiting the remainder of this paragraph, in no event shall production or other disclosure be made before the latest of (1) the day following the date on which notice is given, or (2) the return date of the subpoena or process.

20. This Protective Order may be modified or amended by Order of the Court for good cause shown.

21. This Protective Order shall apply to discovery directed to non-parties to this case, if said non-party requests protection as to its Confidential material as defined above.

22. This Protective Order is without prejudice to the right of any party to seek modification thereof from the Court, and shall remain in effect until such time as it is modified, amended or set aside by the Court. Nothing in this Protective Order shall restrict or prohibit a party from seeking protections in addition to those set forth in this Protective Order for a particular document(s) or for particular testimony given in this Action.

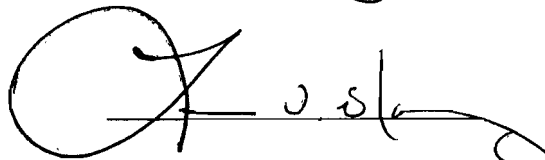
23. This Protective Order is not intended to prohibit the use or admissibility of Confidential Information upon trial of this action. Issues involving the protection of Confidential Information during trial will be presented to the Court prior to or during trial as each party deems appropriate, with direction from the Court.

24. Within sixty (60) days after the final disposition of this action, all documents and materials containing Confidential Information (other than exhibits to the official court record) shall, if requested, be returned to the Designating Party or, at the sole option of the Designating

Party, destroyed at the Designating Party's expense. The attorneys for each party to the litigation may keep one (1) archival copy of all pleadings and all transcripts (including deposition transcripts and exhibits thereto) containing Confidential Information. The attorneys returning documents containing Confidential Information may retain their attorney 'work product' and privileged attorney-client correspondence as may be necessary in their reasonable good judgment to comply with ethical requirements and/or insurer requirements; provided, however, that this exception shall not apply to any copies of Confidential documents that are incorporated into or attached to attorney-client communications or attorney work product. Counsel for any party or third party receiving Confidential Information shall make written certification of compliance with this provision and shall deliver the same to counsel for each Designating Party.

25. The obligations of this Protective Order shall survive the termination of this Action and shall continue to restrict the disclosure and use of discovery material designated as Confidential Information by the parties, their counsel, and all who signed a Confidentiality Agreement. Following termination of this Action, the Court will retain jurisdiction to enforce the terms of this Protective Order.

SO ORDERED, THIS 5th DAY OF August, 2010.

A handwritten signature in black ink, appearing to read "A. J. S.", written over a horizontal line. The signature is stylized and includes a large circular flourish on the left side.

UNITED STATES DISTRICT COURT JUDGE

CONSENTED TO:

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EXHIBIT A
CONFIDENTIALITY AGREEMENT

IN THE UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NORTH CAROLINA
WESTERN DIVISION

CAROLINA POWER & LIGHT COMPANY)	
d/b/a PROGRESS ENERGY CAROLINAS, INC.,)	
Plaintiff,)	
v.)	5:08-CV-00460-FL
)	
3 M COMPANY, et al.,)	
Defendants.)	
CONSOLIDATION COAL COMPANY,)	
)	
Plaintiff,)	
v.)	5:08-CV-00463-FL
)	
3 M COMPANY, et al.,)	
)	
Defendants.)	

CONFIDENTIALITY AGREEMENT

I, _____, under penalty of perjury, do declare, depose and state as follows:

1. I have read and understand the CONSENT PROTECTIVE ORDER AND STIPULATION OF CONFIDENTIALITY ("Protective Order") entered by the Court in this case.
2. I understand that access to information designated "Confidential" or "Confidential – Attorney’s Eyes Only” subject to the Protective Order may be provided to me subject to the terms of said Protective Order.
3. I agree to be bound by the terms and conditions of said Protective Order.
4. I hereby submit to the jurisdiction of the U.S. District Court for the Eastern District of North Carolina for the purpose of enforcement of the Protective Order.

5. I shall not use or disclose to others, except in accordance with the Protective Order, any Confidential information designated in accordance with the Protective Order. I understand that the Designating Parties retain all rights to enforce the Protective Order and all remedies regarding violations of the same.

Pursuant to 28 U.S.C. Section 1746, I declare under penalty of perjury that the foregoing is true and correct. Executed on _____, 201__.

Signature: _____

Name: _____

Address: _____

Party or Entity
Represented: _____

EXHIBIT B

IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF NORTH CAROLINA
WESTERN DIVISION

Civil Action No.: 5:08-CV-00460-FL

DUKE ENERGY PROGRESS, INC.,)
)
Plaintiff,)
)
v.)
)
3M COMPANY, et al.,)
)
Defendants.)

Civil Action No.: 5:08-CV-00463-FL

CONSOLIDATION COAL COMPANY,)
)
Plaintiff,)
)
v.)
)
3M COMPANY, et al.,)
)
Defendants.)

ORDER

Pursuant to the parties' September 30, 2013 Joint Motion for Entry of Protective Order, IT IS HEREBY ORDERED that the Consent Protective Order and Stipulation of Confidentiality entered August 5, 2010 (460 DE 349; 463 DE 352) (attached hereto as Exhibit A) and the Consent Protective Order Governing Access to Documents entered September 14, 2010 (460 DE 465; 463 DE 463) (attached hereto as Exhibit B) are hereby extended to apply to all Third-Party Defendants, in addition to all original parties. In addition, the contact information for the Ward Transformer Site Trust in the Consent Protective Order Governing Access to Documents is amended as follows:

Ward Transformer Site Trust
c/o Cheryl Bona
Smith, Anderson, Blount, Dorsett, Mitchell & Jernigan, L.L.P.
P.O. Box 2611
Raleigh, NC 27602-2611
cbona@smithlaw.com

SO ORDERED, this the 30th day of September, 2013.

A handwritten signature in black ink, reading "Louise W. Flanagan". The signature is written in a cursive, flowing style.

Honorable Louise W. Flanagan
U.S. District Court Judge