## UNITED STATES DISTRICT COURT WESTERN DISTRICT OF NORTH CAROLINA CHARLOTTE DIVISION

U.S. COMMODITY FUTURES TRADING COMMISSION,

Plaintiff,

v.

NO. 3:13-CV-196

JAMES HARVEY MASON,

Defendant, and

THE JHM FOREX ONLY POOL (f/k/a THE JHM FOREX ONLY POOL, LP), and FOREX TRADING AT HOME,

Relief Defendants.

## ORDER ESTABLISHING CASE PROCEDURES

This matter came before the Court on the *Receiver's Motion for Order Establishing Case Procedures* filed on May 23, 2013 (the "Motion"). The Court, having reviewed the Motion and the record in this case, has determined that the Motion should be allowed.

IT IS, THEREFORE, ORDERED that:

- 1. The Motion is GRANTED.
- 2. In the event that the Receiver seeks to:
  - (i) Sell Receivership Assets;
  - (ii) Enter into a contract that obligates the Estate for more than \$5,000.00;

(iii) Abandon Receivership Assets worth more than \$2,000.00;

(iv) Pay professional fees or expenses;

(v) Settle any litigation initiated by the Receiver; or

(vi) Determine any claim against Receivership Assets,

then the Receiver shall file with the Court a motion describing the action that the

Receiver proposed to take and seeking Court approval for that action (the "Motion").

The Receiver will serve a copy of the Motion on all customers of the Defendants or the

Relief Defendants (the "Customers") of which Receiver is aware and post a copy of the

Motion on the receivership webpage (located at

www.masonreceivership.wordpress.com). Unless otherwise requested by a Customer,

service by email shall be sufficient. Customers shall have fourteen (14) calendar days in

which to file a written objection to the Motion with the Court and serve that objection on

the Receiver. Customers may serve the Receiver by email at

masonreceivership@grierlaw.com. If no objection is filed, the Court will issue an order

ruling on the Motion. If a Customer files an objection to the Motion, the Court will rule

on the Motion and any objection(s) with or without a hearing, at the Court's discretion.

3. Entry of this Order shall be without prejudice to the rights of the CFTC,

the Receiver, or any Customer to subsequently move the Court to expand or limit the

Customer's ability to participate in this proceeding.

Signed: 12 June, 2013

Graham C. Mullen

United States District Judge

Graham C. Phuller