

**IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF NORTH CAROLINA
STATESVILLE DIVISION
CIVIL ACTION NO.: 5:14-CV-091-RLV-DCK**

CINDI JACOBS, individually, and as)
PARENT and GUARDIAN of S.J., her)
minor child, and MAUREEN WILCOX,)

Plaintiffs,)

v.)

IREDELL COUNTY sub nom. IREDELL)
COUNTY ANIMAL SERVICES AND)
CONTROL DEPARTMENT; RON)
SMITH, in his official capacity as Iredell)
County Manager; CHRISTINE ROYAL,)
individually and in her official capacity as)
“Animal Services Manager” for Iredell)
County; TRAVIS HEDRICK, individually)
and in his official capacity as an Iredell)
County Animal Services and Control) Officer;
TRAVIS HEDRICK, individually) and in his
official capacity as an Iredell) County Animal
Services and Control) Officer; JOSE
RODRIGUEZ, individually) and in his official
capacity as an Iredell) County Animal
Services and Control) Officer; and CRAIG
WAGGONER,)

Defendants.)

CONSENT PROTECTIVE ORDER

Pursuant to Rule 26(c) of the Federal Rules of Civil Procedure and upon a joint motion for protective order and agreement of counsel, and deeming it just and proper to do so, the Court enters the following Order: This Order governs the handling and disclosure of all materials produced, given or filed herein by the parties and designated as “CONFIDENTIAL.” For purposes of this Order, CONFIDENTIAL INFORMATION includes, but is not limited to, confidential personnel information pursuant to N.C. Gen. Stat. § 153A-98 and medical records

which may include confidential physician-patient communications and/or protected health information (“PHI”) as protected by either the Health Insurance Portability and Accountability Act of 1996 (HIPAA), Public Law 104-191 or N.C.G.S. § 8-53.

WHEREFORE, IT IS HEREBY ORDERED that:

1. “CONFIDENTIAL INFORMATION” as used herein means:
 - a. All information and documents made confidential by N.C. Gen. Stat. § 153A-98;
 - b. Any documents or information which are contained in a personnel file maintained by any party;
 - c. Any documents or information of medical, financial or personal nature which are not generally accessible to the public (including any confidential physician-patient communications and/or protected health information (“PHI”) as covered by either the Health Insurance Portability and Accountability Act “HIPAA” or N.C.G.S. § 8-53 “Communications between physician and patient”); and
 - d. Any documents or information that any party has designated as confidential in the normal course of business.

2. Any party producing documents may designate the documents as Confidential Information by marking each page of the document “CONFIDENTIAL.” Alternatively, when producing a multi-page document, all of which it contends is Confidential Information, a party may designate the entire document as Confidential Information by marking the cover page “CONFIDENTIAL.” The confidential documents shall be disclosed only for official use in this action, and for no other purpose.

3. Certain information disclosed at the deposition of any witness, including any party, may be designated as Confidential Information by indicating on the record at the deposition that the specific testimony is Confidential Information subject to the provisions of this Order. Alternatively, a party or witness may designate information disclosed at such deposition as Confidential Information by notifying all parties in writing, within thirty (30) days of receipt of the transcript or other date as agreed upon by the parties, of the specific pages and lines of the transcript which are deemed Confidential Information. Each party shall attach a copy of such written statement to the face of the transcript and each copy thereof in its possession, custody or control. All depositions shall be treated as Confidential Information for a period of thirty (30) days after a full and complete transcript of the deposition is available or other date as agreed upon by the parties.

4. The CONFIDENTIAL INFORMATION shall not be otherwise reviewed, disclosed or released to anyone other than:

- a. Any party to this action;
- b. Counsel for any party, the legal associates, clerical or other support staff of such counsel assisting in preparation of this action;
- c. Agents, representatives and employees of either party, as is necessary to prepare this case for litigation;
- d. Subject to the provision of ¶ 5 below, independent experts employed by counsel for the purpose of assisting in this action;
- e. The court, appellate court, court reporter and courtroom personnel at any deposition, pretrial hearing, or other proceedings held in connection with this action;

- f. Subject to ¶ 5 below, any potential witness as necessary to prepare this case for litigation; provided, that no such person shall be permitted to maintain a copy of any document designated as Confidential Information; and that no Confidential Information may be disclosed to any person under the paragraph until such person has read and signed a copy of this Order, thereby indicating his/her willingness to be bound by its provisions, which shall be maintained in confidence by the disclosing party subject to any order of disclosure by the court;
- g. Any other person or entity to whom the Court orders or allows disclosure after notice and opportunity for hearing.

5. Disclosure of CONFIDENTIAL INFORMATION hereunder shall be handled as follows:

Section 1. Protected Health Information (“PHI”)

(a) Neither the parties, their counsel of record, nor any other person to whom confidential physician-patient communications and/or protected health information (“PHI”) is disclosed (as covered by either the Health Insurance Portability and Accountability Act “HIPAA” or N.C.G.S. § 8-53 “Communications between physician and patient”), shall use or disclose information or other material that has been created or received by a health care provider, health plan, employer, or health care clearinghouse and that relates to the past, present, or future physical or mental health or condition of an individual, the provision of health care to an individual, or the past, present, or future payment for the provision of health care to an individual and that identifies the individual or with respect to which there is a reasonable basis to believe the information or other material can be used to identify the individual (“protected health information” or “PHI”) for any

purpose other than the purpose of litigating this case, nor shall any such PHI be used or disclosed in any case or proceeding other than this case.

(b) This Protective Order is intended to authorize disclosure of protected medical information under the privacy regulations of 45 C.F.R. § 164.512(e)(1)(i). For purposes of the protective provisions of the Protective Order, all information disclosed pursuant to this Consent Protective Order shall be considered protected medical information under HIPAA and/or N.C.G.S. § 8-53.

(c) The parties hereto are also bound to either return to producing counsel the material protected by this Order or to destroy such protected medical information (including all copies thereof), immediately upon conclusion of this action in accordance with 45 C.F.R. §§ 163.502(b) and 164.512(e)(1)(v).

(d) All protected medical information covered by this section (1) shall be filed with the Court only under seal and all necessary pleadings filed by any party to this case shall be redacted to protect the confidentiality of the information.

Section 2. Undertaking by Witnesses.

- a. Any person described in subparagraphs 4(a), (b), (c), (e), and (g) of this Order is bound by the provisions of this Order without the necessity of executing a separate confidentiality agreement;
- b. Prior to making disclosures to any person set forth in subparagraph 4(d) and (f) of this Order, the party disclosing the CONFIDENTIAL INFORMATION shall inform any persons to whom disclosure is made that CONFIDENTIAL INFORMATION shall be used for the purposes of the prosecution or defense of this action only, and that said person shall be bound by the terms of this Order

and shall execute an Agreement to be bound by this Order. The parties shall obtain from such persons a written statement or undertaking substantially in the form of Exhibit A, attached hereto. A record of all persons to whom disclosures are made shall be kept and all the written statements signed by those persons shall be retained in the possession, custody, and control of counsel by whom the person is retained; provided, however, the disclosing party shall have no obligation to disclose such records to the opposing party or any other person unless ordered to do so by the Court. All CONFIDENTIAL INFORMATION, and any documents containing information derived therefrom, including copies of such documents, shall be returned to counsel by persons given access to them as soon as practicable, but excluding any materials which in good faith judgment of counsel are work product materials. Counsel shall be permitted to maintain a file copy of all the documents subject to the terms of this Order.

6. The production or disclosure of CONFIDENTIAL MATERIALS pursuant to the terms of this Order by the producing party shall not be construed as prohibiting or restricting the use of CONFIDENTIAL INFORMATION during depositions, any hearing, the trial of this matter, or any appellate proceeding. Similarly, no party shall be deemed to have waived any objections as to the relevancy, admissibility, discoverability or any other objection under the Federal Rules of Civil Procedure or the Federal Rules of Evidence in connection with any proceeding in this action.

7. No CONFIDENTIAL DOCUMENTS produced pursuant to this Order shall be used for any purpose other than for relevant and proper conduct of this litigation.

8. If any such confidential documents or information are used in connection with a

deposition or other discovery or documents filed with the court, or are quoted or referenced in any memorandum, pleading or other paper filed with the court, the deposition transcript, filing or paper shall be submitted to the court with a request that the document be placed under seal and appropriately marked to indicate that the transcript, filing or paper is subject to the terms of this Order, or redacted so as to eliminate sensitive personal information.

9. The designation of documents or information as “CONFIDENTIAL INFORMATION” shall not be conclusive for purposes of the substantive issues in this case. In addition, any party is entitled to challenge, through an appropriate Motion to the Court, any designation herein by any other party at any time during this litigation.

10. The inadvertent, unintentional or in camera disclosure of confidential documents and information shall not, under any circumstances, be deemed a waiver, in whole or in part, of any party’s later claim(s) of confidentiality or subsequent designation of such document(s) or information as “CONFIDENTIAL INFORMATION” in accordance with this Consent Protective Order.

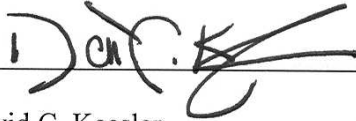
11. Within fifteen (15) days after the entry of final judgment or dismissal in this litigation (including appeals or petitions for review), the parties’ counsel, their staff, and all experts and/or consultants for the parties shall return all confidential documents produced pursuant to this Order (including all Xeroxed copies of the same) to the party producing said documents and/or the party whose PHI was included in or formed the subject of said documents or shall destroy the same with a written certification by the party's counsel of record that all such documents and copies of the same have, in fact, been returned or destroyed; provided, counsel shall be permitted to maintain such documents for their case files (or archives), which shall continue to be subject to the terms of this Order.

12. Each person who receives CONFIDENTIAL INFORMATION as set forth herein, submits himself or herself to the personal jurisdiction of this Court, wherever he or she shall be, for the enforcement of the provisions contained in this Order.

13. This Order shall be deemed to be a “Qualified Protective Order” in accordance with 45 C.F.R. §§ 163.502(b) and 164.512(e)(1)(v).

IT IS SO ORDERED.

Signed: September 8, 2015



David C. Keesler
United States Magistrate Judge



WE CONSENT:

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EXHIBIT A

Agreement concerning materials covered by a Protective Order entered in the United States District Court for the Western District of North Carolina.

The Undersigned acknowledges that she/he has read the Protective Order entered by the Court dated _____, 2015, in the Civil Action No. 5:14-cv-91 entitled Jacobs, et al. v. IREDELL COUNTY, et al., and understands the terms thereof, and agrees to be bound by such terms. The undersigned hereby acknowledges that she/he is subject to the jurisdiction of the United States District Court for the Western District of North Carolina with regard to this Protective Order and understand (1) the violation of the Order shall subject the offender to such penalties, damages and other relief as may be permitted by law, and (2) that the jurisdiction of the Court regarding this Order survives any settlement, discontinuance, dismissal, judgment, or other disposition of this action.

(Date)

(Signature)

Subscribed and sworn before me,

This _____ day of _____, 20_____.

NOTARY PUBLIC

My commission expires: _____