

PEARSON, J.

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF OHIO  
EASTERN DIVISION

HBK SORCE FINANCIAL, LLC., <i>et al.</i> ,	)	CASE NO. 4:10CV02284
	)	
Plaintiffs,	)	
	)	JUDGE BENITA Y. PEARSON
v.	)	
	)	
AMERIPRISE FINANCIAL SERVICES,	)	<b><u>MEMORANDUM OF OPINION AND</u></b>
INC., <i>et al.</i> ,	)	<b><u>ORDER (1) ADOPTING</u></b>
	)	<b><u>DEFENDANTS' PROTECTIVE</u></b>
Defendants.	)	<b><u>ORDER AND (2) GRANTING A STAY</u></b>
	)	<b><u>OF THE FEDERAL LITIGATION</u></b>
	)	<b><u>AND COMPELLING THE MATTER</u></b>
	)	<b><u>TO ARBITRATION</u></b> [Resolving ECF
	)	Nos. 5, 21, 23, 25, 27, 31, & 37]

Plaintiffs' HBK Sorce Financial, LLC and Defendants' Ameriprise Financial Services, Inc. submitted competing Proposed Protective Orders, despite the Court's instruction that a jointly stipulated order be filed. ECF 04/14/2011; *see also* [ECF Nos. 54-1, 55-1](#). The Court issues the Protective Order proposed by Defendants as it most closely meets the standards set by the Northern District of Ohio with few modifications.<sup>1</sup> *See* Local Rule—Appendix L. Should matters arise that are not covered by the issued Protective Order, the parties may notify the Court.

The Court grants a Stay of the instant federal litigation and compels the matter to the American Arbitration Association (“AAA”) arbitration, resolving [ECF Nos. 21, 23, 25, 27, 31, & 37](#). *See* [ECF Nos. 40, 41, & 50](#). The docket reflects that the parties have previously elected and submitted the dispute to AAA arbitration. *See* [ECF Nos. 50, 51](#).

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<sup>1</sup> Counsel is obligated to file a joint Status Report every forty-five (45) days hence notifying the Court of the progress of the arbitration until the earlier of the end of the arbitration or Stay. *See* ECF 04/14/2011.

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The Court denies the Motion for Preliminary Injunction as moot without prejudice to the resurrection of any issues raised in the motion for preliminary injunction not resolved by arbitration ([ECF No. 5](#)). The denial of the Motion for Preliminary Injunction is not prejudicial given the AAA requirement that the parties maintain the *status quo*.

IT IS SO ORDERED.

June 2, 2011  
Date

/s/ Benita Y. Pearson  
Benita Y. Pearson  
United States District Judge