# **Exhibit D**

No 15 Piz. 1 No 15 Treis. 1



# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

SE	RIAL NUMBER	FILING DATE	FIRST NAMED IN	VENTOR		ATTORNEY DOCKET NO.
	08/402,195	03/10/95	CLARK		A	1104-002
					L TEU, T	EXAMINER
	STANDLEY A	ND GILCREST	E6M1/1010			
	SUITE 500				ART UNIT	PAPER NUMBER
	555 METRO   DUBLIN OH	PLACE NORTH 43017			2617	2_
					DATE MAILED:	10/10/95
This COM	is a communication f	from the examiner in ch TENTS AND TRADEM	narge of your application. MARKS			
_/	/					
W.	This application has t	peen examined	Responsive to communication	n filed on	· · · · · · · · · · · · · · · · · · ·	This action is made fina
A sho	ortened statutory peri	od for response to this	action is set to expire <u>3</u>	month(s), _	days fro	om the date of this letter.
			will cause the application to be	come abandon	ed. 35 U.S.C. 133	
Part	THE FOLLOWING	G ATTACHMENT(S) A	RE PART OF THIS ACTION:	`_		
1. 3. 5.	Notice of Art Ci	rences Cited by Examinited by Applicant, PTO- How to Effect Drawing	ner, PTO-892. -1449. I Changes, PTO-1474.	2. Notice 4. Notice 6. Notice	e of Draftsman's Pa e of Informal Patent	tent Drawing Review, PTO-948 Application, PTO-152.
Part I	SUMMARY OF	ACTION				
1. 🗹	Claims 1-11					_ are pending in the application
2						
3. L	Claims				······	_are allowed.
4. 🔽	1 Claims 1 — [					_ are rejected.
5. 🗆	Claims					are objected to
6.	_					
7. C	_		nal drawings under 37 C.F.R. 1.			1
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۰. ــ	-	re required in response	•			
9	are 🔲 acceptable;	u not acceptable (see	e been received on e explanation or Notice of Drafts	sman's Patent i	Orawing Review, PT	O-948).
	examiner; 🗀 disa	pproved by the examin	•			
11. 🗀	The proposed draw	ing correction, filed	, has bee	n 🗆 approved	d; 🛘 disapproved (	see explanation).
	Acknowledgement i	s made of the claim for	r priority under 35 U.S.C. 119.	The certified or	ny hoa. Thoan re-	
13. 🗀	Since this applicatio accordance with the	on apppears to be in co practice under Ex par	ndition for allowance except for te Quayle, 1935 C.D. 11; 453 O	formal matters .G. 213.	, prosecution as to t	he merits is closed in
4. 🗌	Other	s de se				

**EXAMINER'S ACTION** 

PTOL-326 (Rev. 2/93)

Art Unit: 2617

1. Claims 1-11 are rejected under 35 U.S.C. § 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

In claim 1, are there different speakers and different electric circuits? If there is only one of each, it is suggested that the later occurences of the terms should be recited as "said speaker" and "said electric circuits".

In claim 8, are there different electric circuits? If there is only one, it is suggested that the later occurences of the terms should be recited as "said electric circuits".

2. The following is a quotation of 35 U.S.C. § 103 which forms the basis for all obviousness rejections set forth in this Office action:

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Subject matter developed by another person, which qualifies as prior art only under subsection (f) or (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

Art Unit: 2617

3. Claims 1-11 are rejected under 35 U.S.C. § 103 as being unpatentable over Hoshi (US Patent No. 4,934,079).

## In considering claim 1:

Referring to figure 10,

- a. the claimed posture is met by 11
- b. the claimed speaker is met by 5
- c. the claimed electric circuit is met by figure 9

except for the claimed blister pack with matching art.

However, as shown in figure 11, Hoshi suggests that the electrical components are housed in a housing on the back of the poster. The claimed blister pack matching the art work of the poster lacks criticality because the housing in Hoshi would still serve the same function as a housing for the electrical components.

#### In considering claim 2:

- a. the claimed circuit board is inherently met by the electrical circuit
- b. the claimed at least one battery is met by 6
- c. the claimed trigger is met by 2
- d. the claimed speech chip is met by 38.

#### In considering claims 3, 5, and 6:

As discussed in claim 1, the claimed blister pack that matches the art work lacks criticality. Instead of housing the electric components in the blister pack and matching the blister

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pack with the art work, one skilled in the art would have recognized to enclose the components in a housing and attach the housing to the poster. As a matter of fact, without housing the components in the blister pack with the art work, one would be able to use the device on any poster as desired. Therefore, the claimed blister pack with the matching art work would not be considered an inventive step because the function of the device would not be modified by placing the device in such blister pack.

# In considering claim 4:

The housing in Hoshi (fig. 11) has openings 31 for the sound to pass to the outside. The claimed feature would not considered to be inventive because one skilled in the art would have readily recognized that there must be some way for the sound to go through the housing to the outside so that it would be heard.

### In considering claim 7:

The claimed double-sided adhesive does not present novelty because double-sided is well-known and widely used.

# In considering claim 8:

- a. the claimed artwork is met by 11
- b. the claimed electric circuit is met by figure 9.

The claimed blister pack with the printing of a portion of the artwork is not met by Hoshi; however, the discussion for this follows what was discussed in claims 1, 5 and 6.

# In considering claims 9-11:

Art Unit: 2617

Claims 9-11 recite the rejection in claims 3, 5-7. The claimed vacuum forming does not present novelty because one of ordinary skill in the art would know that if the blister material is used to make a housing, it must be formed to have space to accommodate the electric components.

4. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Wilson, US Patent No. 4,299,041, discloses an animated device.

Rose, US Patent No. 3,978,598, discloses an apparatus for simulating an open fire.

Schwartz, US Patent No. 5,359,374, discloses talking picture frames.

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Julie Lieu whose telephone number is (703) 308-6738 and FAX number is (703) 308-5397. The examiner can be reached from Monday through Thursday, from 8:00 am to 5:00 pm.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 305-8576.

SUPERVISORY PATENT EXAMINER
GROUP 2600

JLieu

September 29, 1995

U.S. DEPARTMENT OF COMMERCE - Patent and Trademark Office

# NOTICE OF DRAFTSPERSON'S PATENT DRAWING REVIEW

PTO Draftpersons review all originally filed drawings regardless of whether they are designated as formal or informal. Additionally, patent Examiners will review the drawings for compliance with the regulations. Direct telephone inquiries concerning this review to the Drawing Review Branch, 703-305-8404.

The drawings filed (insert date) 3 10 9.5, are A not objected to by the Draftsperson under 37 CFR 1.84 or 1.152.	View and enlarged view not labled separatly or properly.
B. objected to by the Draftsperson under 37 CFR 1 84 or 1 152 as	Fig(s) Sectional views, 37 CFR 1.84 (h) 3
indicated below. The Examiner will require submission of new corrected	Hatching not indicated for sectional portions of an object.
drawings when necessary. Corrected drawings must be submitted according to the instructions on the back of this Notice.	Fig(s)
	Cross section not drawn same as view with parts in cross section with regularly spaced parallel oblique strokes. Fig(s)
1. DRAWINGS. 37 CFR 1.84(a): Acceptable categories of drawings:	8. ARRANGEMENT OF VIEWS. 37 CFR 1.84(i)
Black ink. Color.  Not black solid lines. Fig(s)	Words do not appear on a horizontal, left-to-right fashion when
Color drawings are not acceptable until petition is granted.	page is either upright or turned so that the top becomes the right
Fig(s)	side, except for graphs. Fig(s)
2. PHOTOGRAPHS. 37 CFR 1.84(b)	9. SCALE. 37 CFR 1.84(k)
Photographs are not acceptable until petition is granted.  Fig(s)	Scale not large enough to show mechanism with crowding when drawing is reduced in size to two-thirds in reproduction.
Photographs not properly mounted (must use brystol board or	Fig(s)
photographic double-weight paper). Fig(s)	Indication such as "actual size" or scale 1/2" not permitted.
Poor quality (half-tone). Fig(s)  3. GRAPHIC FORMS. 37 CFR 1.84 (d)	Fig(s)
Chemical or mathematical formula not labeled as separate figure.	<ol> <li>CHARACTER OF LINES, NUMBERS, &amp; LETTERS. 37 CFR 1.84(x)</li> </ol>
Fig(s)	Lines, numbers & letters not uniformly thick and well defined,
Group of waveforms not presented as a single figure, using	clean, durable, and black (except for color drawings).
common vertical axis with time extending along horizontal axis.	$Fig(s)$ $\mathcal{L}$
Individuals waveform not identified with a separate letter	11. SHADING. 37 CFR 1.84(m)
designation adjacent to the vertical axis. Fig(s)	Solid black shading areas not permitted.  Fig(s)
TYPE OF PAPER. 37 CFR 1.84(c)  Paper not flexible, strong, white, smooth, nonshiny, and durable.	Shade lines, pale, rough and blurred. Fig(s)
Sheet(s)	12. NUMBERS, LETTERS, & REFERENCE CHARACTERS. 37 CFR
Erasures, alterations, overwritings, interlineations, cracks, creases,	1.84(6)
and folds copy machine marks not accepted. Fig(s)  Mylar, velum paper is not acceptable (too thin). Fig(s)	Numbers and reference characters not plain and legible. 37 CFR 1.84(p)(l) Fig(s)
5. SIZE OF PAPER. 37 CFR 1.84(f): Acceptable sizes:	Numbers and reference characters not oriented in same direction
21.6 cm. by 35.6 cm. (8 1/2 by 14 inches)	as the view. 37 CFR 1.84(p)(l) Fig(s)
21.6 cm. by 33.1 cm. (8 1/2 by 13 inches) 21.6 cm. by 27.9 cm. (8 1/2 by 11 inches)	English alphabet not used. 37 CFR 1.84(p)(2) Fig(s)
21.0 cm. by 29.7 cm. (DIN size A4)	Numbers, letters, and reference characters do not measure at least
All drawing sheets not the same size. Sheet(s)	.32 cm. (1/8 inch) in height. 37 CFR(p)(3)
	Fig(a)
Drawing sheet not an acceptable size. Sheet(s)  6. MARGINS. 37 CFR 1.84(g): Acceptable margins:	Fig(s)
6. MARGINS. 37 CFR 1.84(g): Acceptable margins:	13. LEAD LINES. 37 CFR 1.84(q)
MARGINS. 37 CFR 1.84(g): Acceptable margins:     Paper size	13. LEAD LINES. 37 CFR 1.84(q)  Lead lines cross each other. Fig(s)  Lead lines missing. Fig(s)
6. MARGINS. 37 CFR 1.84(g): Acceptable margins:  Paper size  21.6 cm. X 35.6 cm. 21.6 cm. X 33.1 cm. 21.6 cm. X 27.9 cm. 21.0 cm. X 29.7 cm. (8 V2 X 14 inches) (8 V2 X 13 inches) (8 V2 X 11 inches) (DIN Size A4)	13. LEAD LINES. 37 CFR 1.84(q)  Lead lines cross each other. Fig(s)  Lead lines missing. Fig(s)  14. NUMBERING OF SHEETS OF DRAWINGS. 37 CFR 1.84(t)
6. MARGINS. 37 CFR 1.84(g): Acceptable margins:  Paper size  21.6 cm. X 35.6 cm. 21.6 cm. X 33.1 cm. 21.6 cm. X 27.9 cm. 21.0 cm. X 29.7 cm. (8 V2 X 14 inches) (8 V2 X 13 inches) (8 V2 X 11 inches) (DIN Size A4)  T 5.1 cm. (2") 2.5 cm. (1") 2.5 cm. (1") 2.5 cm. (1") 2.5 cm.	13. LEAD LINES. 37 CFR 1.84(q)  Lead lines cross each other. Fig(s)  Lead lines missing. Fig(s)  14. NUMBERING OF SHEETS OF DRAWINGS. 37 CFR 1.84(t)  Sheets not numbered consecutively, and in Arabic numerals,
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ATTACHMENT TO PAPER NO. PTO COPY

REVIEWER

DATE Santan Sandaharahan 1822 da Santan 4.004/20

#### REMINDER

Drawing changes may also require changes in the specification, e.g., if Fig. 1 is changed to Fig. 1A, Fig. 1B, Fig. 1C, etc., the specification, at the Brief Description of the Drawings, must likewise be changed. Please make such changes by 37 CFR 1.312 Amendment at the time of submitting drawing changes.

#### INFORMATION ON HOW TO EFFECT DRAWING CHANGES

#### 1. Correction of Informalities--37 CFR 1.85

File new drawings with the changes incorporated therein. The application number or the title of the invention, inventor's name, docket number (if any), and the name and telephone number of a person to call if the Office is smalle to match the drawings to the proper application, should be placed on the back of each sheet of drawings in accordance with 37 CFR 1.84(c). Applicant may delay filing of the new drawings until receipt of the Notice of Allowability (PTOL-37). Extensions of time may be obtained under the provisions of 37 CFR1.136. The drawing should be filed as a separate paper with a transmittal letter addressed to the Drawing Review Branch.

#### 2. Timing of Corrections

Applicant is required to submit acceptable corrected drawings within the three-month shortened statutory period set in the Notice of Allowability (PTOL-37). If a correction is determined to be unacceptable by the Office, applicant must arrange to have acceptable correction resubmitted within the original three-month period to avoid the necessity of obtaining as extension of time and paying the extension fee. Therefore, applicant should file corrected drawings as soon as possible.

Failure to take corrective action within set (or extended) period will result in **ABANDONMENT** of the Application.

# 3. Corrections other than Informalities Noted by the Drawing Review Branch on the Form PTO 948

All changes to the drawings, other than informalities noted by the Drawing Review Branch, MUST be approved by the examiner before the application will be allowed. No changes will be permitted to be made, other than correction of informalities, unless the examiner has approved the proposed changes.

ATTACHABINE CORAPERA