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11	IN THE UNITED STATES DISTRICT COURT
12	FOR THE DISTRICT OF OREGON
13	BRYONNA L. THOMAS, Civil No. 09-6208-A
14	OPINION AND ORDER Plaintiff,
15	Vs.
16 17	MICHAEL J. ASTRUE, Commissioner of Social Security,
18	Defendant.
19 20	Kathryn Tassinari Robert Baron
21 22	Harder, Wells, Baron & Manning, P.C. 474 Willamette, Suite 200 Eugene, Oregon 97401 Attorneys for plaintiff
23	Dwight Holton
24	United States Attorney District of Oregon
25	Adrian L. Brown Assistant United States Attorney
26	1000 S.W. Third Avenue Portland, Oregon 97204-2902
27 28	Franco L. Becia Special Assistant U.S. Attorney Social Security Administration

- OPINION AND ORDER

AIKEN, Chief Judge:

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Claimant, Bryonna Thomas, brings this action pursuant to the Social Security Act (the Act), 42 U.S.C. §§ 405(g) and 1383(c)(3), to obtain judicial review of a final decision of the Commissioner denying her application for Supplemental Security Income (SSI) disability benefits under Title XVI of the Act. For the reasons set forth below, the Commissioner's decision is affirmed and this case is dismissed.

### PROCEDURAL BACKGROUND

Plaintiff protectively applied for Supplemental Security Income on October 15, 2004, alleging disability as of March 1, 2004. Tr. 65. Plaintiff's request was denied initially and upon reconsideration. Tr. 30-37. Plaintiff requested a hearing before an Administrative Law Judge (ALJ) which was held on April Tr. 733, 736. At that time, plaintiff amended her 27, 2007. On June 21, 2007, the ALJ onset date to her date of filing. found plaintiff not disabled. On May 21, 2009, the Tr. 65. Appeals Council denied plaintiff's request for review. Accordingly, the ALJ's decision became the agency's final decision.

### STATEMENT OF THE FACTS

At the time of the hearing, plaintiff was 35 years old. Tr. 738. Plaintiff has a GED. <u>Id.</u> Plaintiff has worked in daycare and as a companion and a community program aid. Tr. 783-84.

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### STANDARD OF REVIEW

This court must affirm the Secretary's decision if it is based on proper legal standards and the findings are supported by substantial evidence in the record. Hammock v. Bowen, 879 F.2d 498, 501 (9th Cir. 1989). Substantial evidence is "more than a mere scintilla. It means such relevant evidence as a reasonable mind might accept as adequate to support a conclusion."

Richardson v. Perales, 402 U.S. 389, 401 (1971) (quoting Consolidated Edison Co. v. N.L.R.B., 305 U.S. 197, 229 (1938)).

The court must weigh "both the evidence that supports and detracts from the Secretary's conclusion." Martinez v. Heckler, 807 F.2d 771, 772 (9th Cir. 1986).

The initial burden of proof rests upon the claimant to establish disability. Howard v. Heckler, 782 F.2d 1484, 1486 (9th Cir. 1986). To meet this burden, plaintiff must demonstrate an "inability to engage in any substantial gainful activity by reason of any medically determinable physical or mental impairment which can be expected . . . to last for a continuous period of not less than 12 months. . . " 42 U.S.C. § 423(d)(1)(A).

The Secretary has established a five-step sequential process for determining whether a person is disabled. Bowen v. Yuckert, 482 U.S. 137, 140 (1987); 20 C.F.R. §§ 404.1520, 416.920. First the Secretary determines whether a claimant is engaged in "substantial gainful activity." If so, the claimant is not disabled. Yuckert, 482 U.S. at 140; 20 C.F.R. §§ 404.1520(b), 416.920(b).

In step two the Secretary determines whether the claimant

has a "medically severe impairment or combination of impairments." Yuckert, 482 U.S. at 140-41; see 20 C.F.R. SS 404.1520(c), 416.920(c). If not, the claimant is not disabled.

In step three the Secretary determines whether the impairment meets or equals "one of a number of listed impairments that the Secretary acknowledges are so severe as to preclude substantial gainful activity." <u>Id.; see</u> 20 C.F.R. §§ 404.1520(d), 416.920(d). If so, the claimant is conclusively presumed disabled; if not, the Secretary proceeds to step four. Yuckert, 482 U.S. at 141.

In step four the Secretary determines whether the claimant can still perform "past relevant work." 20 C.F.R. §§ 404.1520(e), 416.920(e). If the claimant can work, she is not disabled. If she cannot perform past relevant work, the burden shifts to the Secretary. In step five, the Secretary must establish that the claimant can perform other work. Yuckert, 482 U.S. at 141-42; see 20 C.F.R. §§ 404.1520(e)-(g), 416.920(e)-(g). If the Secretary meets this burden and proves that the claimant is able to perform other work which exists in the national economy, she is not disabled. 20 C.F.R. §§ 404.1566, 416.966.

### DISCUSSION

### 1. The ALJ's Findings

At step one, the ALJ found that plaintiff had not engaged in substantial gainful activity since October 15, 2004, her alleged amended disability date. Tr. 19, Finding 1.

At step two, the ALJ found that plaintiff had the following severe impairments: depression with a history of psychotic

features (hallucinations); post-traumatic stress disorder (PTSD); borderline intellectual functioning; borderline personality disorder; history of polysubstance abuse in apparent remission; history of pulmonary embolism (March 2004) with anxiety and panic episodes following from concern of recurrence; hypothyroid, treated; obesity; and diabetes mellitus. Tr. 19, Finding. 2

At step three, the ALJ found that plaintiff's impairments did not meet or equal the requirements of a listed impairment. Tr. 19, Finding 3. In determining plaintiff's residual functional capacity (RFC), the ALJ found that plaintiff was unable to follow complex or detailed instructions; unable to maintain ongoing interaction with the general public beyond occasional or superficial exchanges; unable to tolerate crowded conditions; unable to consistently engage in cooperative teamwork; unable to maintain rapid production pace; and intolerant of unannounced changes in the work setting. Tr. 20.

At step four, the ALJ found that plaintiff was able to perform her past relevant work as a home day care provider and companion. Tr. 25-26, Finding 5. Although not required to do so, the ALJ made an alternative step five finding. The ALJ found that, based on the above residual functional capacity, plaintiff could perform work existing in significant numbers in the national economy; specifically noting the positions identified by the vocational expert (VE): light duty cashier, such as a parking lot cashier, garment sorter; and cafeteria attendant. Tr. 26-27, Finding 9.

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# 2. Plaintiff's Allegations of Error

## A. Medical Evidence of Record

Plaintiff alleges that the ALJ failed to properly consider and address the medical evidence of record.

Plaintiff argues that the ALJ improperly evaluated the consultative psychiatric opinion of Dr. Salbador in December Tr. 388-95. Dr. Salbador's prognosis stated, "Appears to be guarded for this individual for having significant improvement in occupational functioning in the near future. Her prognosis for the long run may be somewhat less guarded due to the fact that she hasn't really had the benefit of much in the way of mood stabilizer trials." Tr. 393 (emphasis added). When considering the medical record in its entirety, plaintiff's impairments are not as severe as determined by Dr. Salbador. Tr. 19-26. Plaintiff reported that her pulmonary embolism caused her severe anxiety, however, her treating physicians reassured her of its probable resolution. 21, 388. Plaintiff suffered a Tr. pulmonary embolism in March 2004, although plaintiff was reported to be stable at all times. Tr. 20, 222. Plaintiff's physician started plaintiff on Coumadin and Lovenox and subsequent imaging studies in 2004 indicated the pulmonary embolism was resolved. Tr. 20, 422, 519.

The ALJ also noted that plaintiff complained of inability to remember, concentrate and multi-task, however, she stated that. she could these things do prior her Tr. 21, 389. The ALJ noted that methamphetamine abuse in 1999. plaintiff failed to mention that she had recently relapsed and the prior six months, she had abused alcohol, that in

methamphetamines, and marijuana. Tr. 21. Further, the ALJ noted 1 2 3 4 5 6 7 8 9 10 11 12 13

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that Dr. Salbador's opinion reflected plaintiff's self-reported problems of recent pulmonary embolism, however, Tr. 21, 388-95. mention her recent drug and alcohol abuse. physician's opinion that is premised on plaintiff's subjective complaints is weighed the same as plaintiff's own testimony. Tonapetyan v. Halter, 242 F.3d 1144, 1149 (9th Cir. 2001). Moreover, as quoted above, despite Dr. Salbador's opinion regarding plaintiff's marked limitations, he also opined that her condition could improve with medication and the record supports Tr. 21, 22-26. exactly that. The record as a whole indicates that when plaintiff ceased abusing drugs and alcohol and complied with her individual and group therapy sessions, she improved. Tr. 20-26.

Finally, the ALJ noted that Dr. Salbador's checklist assessment was in response to plaintiff's report to him that she was hiding in her room and having psychotic symptoms. found that in light of plaintiff's documented improvement with actual treatment, tr. 20-25, 390, 413, 417, 422-33, 590, 597, 605, 642-43, 653, 656, 663, 666; as well as resolution of the giving rise to plaintiff's perceived of impairment, tr. 20-25, 413, 417, 422-33, 519; documentation of symptom exaggeration, tr. 24, 711; the impact of plaintiff's noncompliance and drug and alcohol abuse, tr. 20, 326-34, 437-39); later observations of plaintiff's unimpaired and functioning, tr. 20-25, 390, 413, 417, 422-33, 590, 597, 605, 642-43, 653, 656, 663, 666; Dr. Salbador's checklist assessment was given little weight. Tr. 21-26. See Crane v. Shalala, 76

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F.3d 252, 253 (9<sup>th</sup> Cir. 1996) (ALJ rejected three psychological evaluations "because they were check -off reports that did not contain any explanation for the bases of their conclusions."). The ALJ here provided sufficient reasons supporting his decision, therefore the court accepts the ALJ's analysis of Dr. Salbador's opinion.

that the ALJ erred in plaintiff also argues discrediting the March 2007 disability opinion of Dr. Eckstein. Again, the ALJ met his burden by setting out a detailed and thorough summary of the facts and conflicting clinical evidence, and made findings in that regard. Tr. 19-26. The medical record whole supports the ALJ's finding that plaintiff's impairments were not as severe as determined by Dr. Eckstein. Eckstein acknowledged the possibility of Tr. 19-26. Dr. exaggeration in plaintiff's self reporting. Tr. 23, 711. An opinion based on uncritical acceptance of plaintiff's subjective complaints cannot be accorded significant weight under the Act and may be disregarded. Bayliss v. Commissioner, Social Security <u>Administration</u>, 427 F.3d 1211 (9<sup>th</sup> Cir. 2005). The ALJ noted plaintiff's inconsistent reporting to both Drs. Eckstein Tr. 23-24. and Salbador regarding her hallucinations. found that plaintiff's statements were not credible as her selfreports were erratic and not corroborated by the Douglas County Mental Health records. Tr. 20-21, 23-24, 431-33, 439, 488, 641-Moreover, plaintiff reported recovering from a relapse of 43. addiction to prescription drugs within two weeks of Also, as noted above, plaintiff evaluation. Tr. 24, 708. reported that her mental symptoms decreased significantly when

she was in counseling, which is corroborated by the record. When plaintiff participated in individual and group counseling sessions, her mental symptoms improved significantly so that she ceased attending counseling, and her status was described as "stable" and "doing well" by her therapists as well as by plaintiff herself. Tr. 24, 639-666, 705-17. Upon ceasing her counseling sessions, within one month plaintiff relapsed into drug abuse. The ALJ properly gave the chart notes of her counseling sessions over time greater weight than the one-time evaluation by Dr. Eckstein. Tr. 24. Therefore, the ALJ properly evaluated the medical evidence from Dr. Eckstein.

# B. <u>Plaintiff's Credibility</u>

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The plaintiff argues that the ALJ erred in finding her testimony and other subjective complaints not entirely credible. Tr. 25. The ALJ provided clear and convincing reasons for rejecting plaintiff's testimony that she was disabled by her impairment. In order to reject plaintiff's testimony, the ALJ must make findings "sufficiently specific to permit the reviewing court to conclude that the ALJ did not arbitrarily discredit the claimant's testimony." Orteza v. Shalala, 50 F.3d 748, 750 (9th 1995). Here, reliable evidence supports plaintiff's allegations that she experience some limitations, but does not support plaintiff's' allegations that she is incapable of working. Tr. 19-26. If a plaintiff submits medical evidence of an underlying impairment, but testifies that she experiences pain (or other symptom) at a higher level, the Commissioner may disbelieve that testimony. Nyman v. Heckler, 779 F.2d 528, 531 (9th Cir. 1985). Plaintiff's credibility was undermined by the

lack of medical evidence to support the severity of limitations she claimed. The lack of medical evidence combined with other evidence in the record allows the ALJ to draw an adverse inference as to plaintiff's credibility. Tonapetyan, 242 F.2d at 1147-48. Here, the ALJ judges plaintiff's credibility based on a consideration of the entire record and provided clear and convincing reasons supported by substantial evidence for his determination. SSR 96-7p.

### CONCLUSION

The Commissioner's decision is based on substantial evidence, and is therefore, affirmed. This case is dismissed. IT IS SO ORDERED.

Dated this 19 day of September 2010.

Ann Aiken United States District Judge