IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF PENNSYLVANIA

WILLIAM O. FLICK, JR.,

v.

Plaintiff,

CIVIL ACTION NO. 14-06953

CHARTWELL ADVISORY GROUP LTD. et al.,

Defendants.

ORDER

AND NOW, this 2nd day of July, 2015, upon consideration of Defendants Chartwell

Advisory Group LTD., Lawrence Kent, Maurice Kent, and Lawrence Palmer's Motion to

Dismiss, (ECF No. 7), Plaintiff's opposition, (ECF No. 11), Defendants' Reply (ECF No. 12),

and Plaintiff's Sur-Reply (ECF No. 16), it is ORDERED that the Motion to Dismiss is

GRANTED. It is further **ORDERED** that:

- 1. Counts I-IV of the complaint are dismissed without prejudice.
- Defendant Stephen Deviney's ERISA cross-claims in Counts I and II are dismissed without prejudice.
- 3. Counts V-XII of the complaint, Deviney's counterclaim Counts I and II, Deviney's cross-claim Counts III and IV, and Chartwell Advisory Group LTD, Lawrence Kent, Maurice Kent, and Lawrence Palmer's cross-claim Counts I-II are dismissed for lack of subject matter jurisdiction.
- 4. Plaintiff may file an amended complaint on or before July 16, 2015.

BY THE COURT:

<u>/s/ Gerald J. Pappert</u> GERALD J. PAPPERT, J.