

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF SOUTH CAROLINA

FREDERICK D. SHEPHERD, JR.,)	CIVIL ACTION FILE
)	
Plaintiff,)	NO. 8:15-cv-04337-MGL
)	
v.)	
)	
COMMUNITY FIRST BANK,)	
RICHARD D. BURLESON,)	CONSENT
GARY V. THRIFT, DR. LARRY S.)	CONFIDENTIALITY ORDER
BOWMAN, WILLIAM M. BROWN,)	
JOHN R. HAMRICK, JAMES E.)	
TURNER, CHARLES L.)	
WINCHESTER, and ROBERT H.)	
EDWARDS,)	
)	
Defendants.)	

Whereas, the parties to this Consent Confidentiality Order (“parties”), have stipulated that certain discovery material is and should be treated as confidential, and have agreed to the terms of this order; accordingly, it is this 2nd day of February, 2016, ORDERED:

1. **Scope.** All documents produced in the course of discovery, all responses to discovery requests and all deposition testimony and deposition exhibits and any other materials which may be subject to discovery, including documents obtained by subpoena (hereinafter collectively “documents”) shall be subject to this Order concerning confidential information as set forth below.

2. **Form and Timing of Designation.** Confidential documents shall be so designated by placing or affixing the word “CONFIDENTIAL” on the document in a manner which will not interfere with the legibility of the document and in a manner that can be removed, either electronically or physically, such that the document can be produced without a CONFIDENTIAL designation. Documents shall be designated CONFIDENTIAL prior to, or

contemporaneously with, the production or disclosure of the documents. Inadvertent or unintentional production of documents without prior designation as CONFIDENTIAL shall not be deemed a waiver, in whole or in part, of the right to designate documents as CONFIDENTIAL as otherwise allowed by this Order.

3. **Documents Which May be Designated Confidential.** Any party may designate documents as CONFIDENTIAL but only after review of the documents by an attorney¹ who has, in good faith, determined that the documents contain information protected from disclosure by statute, court rule, sensitive personal information, trade secrets, or confidential research, development, or commercial information. The certification shall be made concurrently with the disclosure of the documents, using the form attached hereto at Attachment A which shall be executed subject to the standards of Rule 11 of the Federal Rules of Civil Procedure. Information or documents which are available in the public sector may not be designated as CONFIDENTIAL.

4. **Depositions.** Portions of depositions shall be deemed CONFIDENTIAL only if designated as such when the deposition is taken or within ten (10) business days after receipt of the transcript. Such designation shall be specific as to the portions to be protected and shall be separately marked in the transcript.

5. **Protection of Confidential Material.**

a. **General Protections.** Documents designated CONFIDENTIAL under this Order shall not be used or disclosed by the parties or counsel for the parties or any other persons identified below (¶ 5.b.) for any purposes whatsoever other than preparing for and

¹ The attorney who reviews the documents and certifies them to be CONFIDENTIAL must be admitted to the Bar of at least one state but need not be admitted to practice in the District of South Carolina and need not apply for *pro hac vice* admission. By signing the certification, counsel submits to the jurisdiction of this court in regard to the certification.

conducting the litigation in which the documents were disclosed (including any appeal of that litigation).

b. **Limited Third Party Disclosures.** The parties and counsel for the parties shall not disclose or permit the disclosure of any documents designated CONFIDENTIAL under the terms of this Order to any other person or entity except as set forth in subparagraphs (1)-(7) below, and then only after the person to whom disclosure is to be made has executed an acknowledgment (in the form set forth at Attachment B hereto), that he or she has read and understands the terms of this Order and is bound by it. Subject to these requirements, the following categories of persons may be allowed to review documents which have been designated CONFIDENTIAL pursuant to this Order:

- (1) counsel and employees of counsel for the parties who have responsibility for the preparation and trial of the lawsuit;
- (2) parties and employees of a party to this Order but only to the extent counsel shall certify that the specifically named individual party or employee's assistance is necessary to the conduct of the litigation in which the information is disclosed²;
- (3) any person identified in the Confidential document as having authored or previously received the information or document;
- (4) any person who counsel in good faith believes is or may be a testifying witness in the lawsuit regarding the document;

² At or prior to the time such party or employee completes his or her acknowledgment of review of this Order and agreement to be bound by it (Attachment B hereto), counsel shall complete a certification in the form shown at Attachment C hereto. Counsel shall retain the certification together with the form signed by the party or employee.

(5) court reporters engaged for depositions and those persons, if any, specifically engaged for the limited purpose of making photocopies of documents;

(6) consultants, investigators, or experts (hereinafter referred to collectively as “experts”) employed by the parties or counsel for the parties to assist in the preparation and trial of the lawsuit; and

(7) other persons only upon consent of the producing party or upon order of the court and on such conditions as are agreed to or ordered.

c. **Use of Documents in Depositions.** To the extent that any information, document, electronic file, or thing designated as CONFIDENTIAL is used in the taking of a deposition, such material shall remain subject to this Confidentiality Order, along with pages of the deposition testimony referencing the material.

d. **Control of Documents.** Counsel for the parties shall take reasonable efforts to prevent unauthorized disclosure of documents designated as CONFIDENTIAL pursuant to the terms of this order. Counsel shall maintain a record of those persons, including employees of counsel, who have reviewed or been given access to the documents along with the originals of the forms signed by those persons acknowledging their obligations under this Order.

e. **Copies.** All copies, duplicates, extracts, summaries or descriptions (hereinafter referred to collectively as “copies”), of documents designated as CONFIDENTIAL under this Order or any portion of such a document, shall be immediately affixed with the designation “CONFIDENTIAL” if the word does not already appear on the copy. All such copies shall be afforded the full protection of this Order.

6. **Filing of Confidential Materials.** In the event a party seeks to file any material that is subject to protection under this Order with the court, that party shall take appropriate

action to insure that the documents receive proper protection from public disclosure including: (1) filing a redacted document with the consent of the party who designated the document as confidential; (2) where appropriate (*e.g.* in relation to discovery and evidentiary motions), submitting the documents solely for *in camera* review; or (3) where the preceding measures are not adequate, seeking permission to file the document under seal pursuant to the procedural steps set forth in Local Civil Rule 5.03, DSC, or such other rule or procedure as may apply in the relevant jurisdiction. Absent extraordinary circumstances making prior consultation impractical or inappropriate, the party seeking to submit the document to the court shall first consult with counsel for the party who designated the document as confidential to determine if some measure less restrictive than filing the document under seal may serve to provide adequate protection. This duty exists irrespective of the duty to consult on the underlying motion. Nothing in this Order shall be construed as a prior directive to the Clerk of Court to allow any document be filed under seal. The parties understand that documents may be filed under seal only with the permission of the court after proper motion.

7. **Documents containing Account Information.** Without limiting any provision to this Confidentiality Order, any Confidential document produced by party or third-party, including pursuant to subpoena, that includes account numbers regarding a bank account, loan account or other financial account of a Defendant may be produced without redacting the account number. In the event any document with account numbers are introduced as exhibits at depositions, or at any trial or hearing, or are submitted to the Court in connection with any motion or filing, the account numbers shall be redacted in addition to any other protections required by this Confidentiality Order.

8. **Inadvertent or Unintentional Disclosure of Confidential Documents.** The inadvertent or unintentional disclosure of Confidential document either by way of document

production or deposition testimony, regardless of whether the information was designated CONFIDENTIAL at the time of disclosure, shall not be deemed a waiver in whole or in part of a disclosing party's claim of confidentiality, either as to the specific information disclosed or as to any other information relating thereto or on the same or related subject matter. Any inadvertently or unintentionally disclosed Confidential document not designated as such pursuant to this Order at the time of its disclosure shall be designated as CONFIDENTIAL as soon as reasonably possible after the disclosing party becomes aware of the erroneous disclosure and shall thereafter be treated as Confidential. No party shall be responsible to any other party for any use made of information produced and not designated as CONFIDENTIAL until such designation is made in writing.

9. **Greater Protection of Specific Documents.** No party may withhold information from discovery on the ground that it requires protection greater than that afforded by this Order unless the party moves for an Order providing such special protection.

10. **Challenges to Designation as Confidential.** Any CONFIDENTIAL designation is subject to challenge. The following procedures shall apply to any such challenge.

a. The burden of proving the necessity of a CONFIDENTIAL designation remains with the party asserting confidentiality.

b. A party who contends that documents designated CONFIDENTIAL are not entitled to CONFIDENTIAL treatment shall give written notice to the party who affixed the designation of the specific basis for the challenge. The party who so designated the documents shall have fifteen (15) days from service of the written notice to determine if the dispute can be resolved without judicial intervention and, if not, to move for an Order confirming the CONFIDENTIAL designation.

c. Notwithstanding any challenge to the designation of documents as Confidential, all material previously designated CONFIDENTIAL shall continue to be treated as subject to the full protections of this Order until one of the following occurs:

(1) the party who claims that the documents are CONFIDENTIAL withdraws such designation in writing;

(2) the party who claims that the documents are Confidential fails to move timely for an Order designating the documents as CONFIDENTIAL as set forth in paragraph 10.b. above; or

(3) the court rules that the documents should no longer be designated as CONFIDENTIAL.

d. Challenges to the confidentiality of documents may be made at any time and are not waived solely by the failure to raise the challenge at the time of initial disclosure or designation.

11. **Subpoenas for Confidential documents.** With respect to subpoenas or other discovery requests seeking Confidential documents:

a. If any party receives a subpoena or other discovery request commanding the production of any Confidential document produced by another party, such party shall object to the production, refuse to produce the documents, and notify the producing party of the service of the subpoena or request.

b. If the producing party objects to the production of the Confidential documents, the party or person receiving the subpoena or request shall provide reasonable cooperation with any motion to quash, motion for protective order, or other such motion the producing party may bring objecting to the production of the Confidential documents pursuant to the Federal Rules of Civil Procedure or any similar federal or state rule,

whereupon the producing party shall bear the burden of defending such motion and any subsequent motion to enforce the subpoena or request.

c. Unless the producing party consents in writing, the party or person receiving the subpoena or request shall not produce any Confidential documents in response to the subpoena or request pending a ruling on the request for relief unless expressly required to produce such Confidential documents pursuant to court order.

12. **Treatment on Conclusion of Litigation.**

a. **Order Remains in Effect.** All provisions of this Order restricting the use of documents designated CONFIDENTIAL shall continue to be binding after the conclusion of the litigation unless otherwise agreed or ordered.

b. **Return of Confidential Documents.** Within thirty (30) days after the conclusion of the litigation, including conclusion of any appeal, all documents treated as CONFIDENTIAL under this Order, including copies as defined above (§ 3.e) shall be returned to the producing party unless: (1) the document has been entered as evidence at trial or deposition or filed (unless introduced or filed under seal); (2) the parties stipulate to destruction in lieu of return; or (3) as to documents containing the notations, summations, or other mental impressions of the receiving party, that party elects destruction. Notwithstanding the above requirements to return or destroy documents, counsel may retain attorney work product including an index which refers or relates to information designated CONFIDENTIAL so long as that work product does not duplicate verbatim substantial portions of the text of confidential documents. This work product continues to be Confidential under the terms of this Order. An attorney may use his or her work product in a subsequent litigation provided that its use does not disclose the confidential documents.

13. **Order Subject to Modification.** This Order shall be subject to modification on motion of any party or any other person who may show an adequate interest in the matter to intervene for purposes of addressing the scope and terms of this Order. The Order shall not, however, be modified until the parties shall have been given notice and an opportunity to be heard on the proposed modification.

14. **No Judicial Determination.** This Order is entered based on the representations and agreements of the parties and for the purpose of facilitating discovery. Nothing herein shall be construed or presented as a judicial determination that any specific document or item of information designated as CONFIDENTIAL by counsel is subject to protection under Rule 26(c) of the Federal Rules of Civil Procedure or otherwise until such time as a document-specific ruling shall have been made.

15. **Persons Bound.** This Order shall take effect when entered and shall be binding upon: (1) counsel who signed below and their respective law firms; and (2) their respective clients.

IT IS SO ORDERED.

s/Mary Geiger Lewis
United States District Judge

February 2, 2016
Columbia, South Carolina

WE SO MOVE:

PLAINTIFF(S)

DEFENDANT(S)

/s/ Douglas F. Patrick
Signature of Plaintiff's Counsel

/s/ David R. Price, Jr.
Signature of Defendant's Counsel

Douglas F. Patrick Fed. ID # 300
Douglas F. Patrick, PA
PO Box 2343
Greenville, SC 29602
864-242-9000

David R. Price, Jr. Fed. ID # 9964
Samuel B. Tooker, Fed. ID #12162
David R. Price, Jr., PA
PO Box 2446
Greenville, SC 29609
864-271-2636

/s/ Austin F. Watts
Signature of Plaintiff's Counsel

/s/ James C. Adams, Jr.
Signature of Defendant's Counsel

Austin F. Watts Fed. ID # 12120
Douglas F. Patrick, PA
PO Box 2343
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864-242-9000

James C. Adams, Jr. N.C. Bar #18063
Justin N. Outling, N.C. Bar # 38409
Brooks, Pierce, McLendon, Humphrey &
Leonard, LLP
PO Box 26000
Greensboro, NC 27420
336-373-8850

Attorneys for Plaintiff

Attorneys For Defendants

Dated: January 31, 2016

Dated: January 31, 2016

Date: _____

Signature of Counsel

Printed Name of Counsel

ATTACHMENT B

**ACKNOWLEDGMENT OF UNDERSTANDING
AND
AGREEMENT TO BE BOUND**

FREDERICK D. SHEPHERD, JR.,)	CIVIL ACTION FILE
)	
Plaintiff,)	NO. 8:15-cv-04337-MGL
)	
v.)	
)	
)	
COMMUNITY FIRST BANK,)	
RICHARD D. BURLESON,)	Acknowledgement of Understanding
GARY V. THRIFT, DR. LARRY S.)	and
BOWMAN, WILLIAM M. BROWN,)	Agreement to Be Bound
JOHN R. HAMRICK, JAMES E.)	
TURNER, CHARLES L.)	
WINCHESTER, and ROBERT H.)	
EDWARDS,)	
)	
Defendants.)	

The undersigned hereby acknowledges that he or she has read the Confidentiality Order dated _____, 2015, in the above captioned action, understands the terms thereof, and agrees to be bound by such terms. The undersigned submits to the jurisdiction of the United States District Court for the District of South Carolina in matters relating to the Confidentiality Order and understands that the terms of said Order obligate him/her to use discovery materials designated CONFIDENTIAL solely for the purposes of the above-captioned action, and not to disclose any such confidential information to any other person, firm or concern.

The undersigned acknowledges that violation of the Confidentiality Order may result in penalties for contempt of court.

Name: _____
Job Title: _____
Employer: _____
Business Address: _____

Date: _____

Signature

ATTACHMENT C

CERTIFICATION OF COUNSEL OF NEED
FOR ASSISTANCE OF PARTY/EMPLOYEE

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF SOUTH CAROLINA

FREDERICK D. SHEPHERD, JR.,)	CIVIL ACTION FILE
)	
Plaintiff,)	NO. 8:15-cv-04337-MGL
)	
v.)	
)	
COMMUNITY FIRST BANK,)	
RICHARD D. BURLESON,)	Certification of Counsel of Need
GARY V. THRIFT, DR. LARRY S.)	for Assistance of Party/Employee
BOWMAN, WILLIAM M. BROWN,)	
JOHN R. HAMRICK, JAMES E.)	
TURNER, CHARLES L.)	
WINCHESTER, and ROBERT H.)	
EDWARDS,)	
)	
Defendants.)	

Pursuant to the Confidentiality Order entered in this action, most particularly the provisions of Paragraph 5.b(2), I certify that the assistance of _____ is reasonably necessary to the conduct of this litigation and that this assistance requires the disclosure to this individual of information which has been designated as CONFIDENTIAL.

I have explained the terms of the Confidentiality Order to the individual named above and will obtain his or her signature on an "Acknowledgment of Understanding and Agreement to be Bound" prior to releasing any confidential documents to the named individual and I will release only such confidential documents as are reasonably necessary to the conduct of the litigation.

The individual named above is:

- A named party;
- An employee of named party _____. This employee's job title is _____ and work address is _____.

Date: _____

Signature