**EXHIBIT 4 to DEFENDANT UNIONBANCAL CORPORATION'S MOTION FOR CLARIFICATION OR FOR A PROTECTIVE** ORDER REGARDING DISCOVERY ORDER (D.E. NO. 597) AND ENLARGEMENT OF TIME

## UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF TEXAS MARSHALL DIVISION

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DATATREASURY CORPORATION

Plaintiff,

VS.

WELLS FARGO & COMPANY et al.

Defendants.

Civil Action No. 2:06cv72

# DEFENDANT UNIONBANCAL CORPORATION'S SUPPLEMENTAL OBJECTIONS AND RESPONSES TO PLAINTIFF'S FIRST SET OF INTERROGATORIES REGARDING JURISDICTIONAL DISCOVERY TO DEFENDANT UNIONBANCAL CORPORATION

Pursuant to Rules 26 and 33 of the Federal Rules of Civil Procedure and Rule 26 of the Local Rules of the United States District Court for the Eastern District of Texas, Defendant UnionBanCal Corporation ("UBC") hereby submits this supplemental response and objections to Plaintiff DataTreasury Corporation's ("DTC") First Set of Interrogatories Regarding Jurisdictional Discovery.

#### **GENERAL OBJECTIONS**

UBC incorporates herein by reference all of the General Objections, Objections to

Definitions, Objections to Instructions and Specific Objections included in Defendant

UnionBanCal Corporations Objections and Responses To Plaintiff's First Set of Interrogatories

Regarding Jurisdictional Discovery To Defendant UnionBanCal Corporation, served on January 25, 2007.

#### **OBJECTIONS AND RESPONSES**

Investigation and discovery are ongoing in this case. UBC responds to these interrogatories based on a reasonable investigation of the facts as presently known and without prejudice to its right to supplement or modify its responses hereto. UBC also reserves the right to produce or use any information or document, the existence or relevance of which is discovered after the service of this response, in support of or in opposition to any motion, in any deposition or at trial.

#### **INTERROGATORY NO. 15 [sic]:**

Please identify all officers, directors, and employees of UnionBanCal Corporation that also serve as officers, directors, or employees of Union Bank of California, N.A.

#### **OBJECTIONS AND SUPPLEMENTAL RESPONSE:**

UBC objects to this interrogatory on the grounds set forth in the General Objections and incorporates those objections herein. UBC further objects to this interrogatory as overly broad, unduly burdensome, vague, and ambiguous to the extent that it seeks information that is not relevant to UBC's contacts with Texas or the establishment of personal jurisdiction over UBC and, therefore, is beyond the scope of jurisdictional discovery. Subject to and without waiver of the foregoing general and specific objections, UBC responds as follows:

All officers of UBC are also officers of Union Bank of California, N.A. All directors of UBC are also directors of Union Bank of California, N.A., except Messrs. Kanari and Miki. UBC has no employees. As of January 31, 2007, Union Bank of California, N.A. had 3,782 officers. Of those 3,782 officers, 46 are also officers of UnionBanCal Corporation, including:

Tetsuo Shimura Chairman

Takashi Morimura President and Chief Executive Officer
Philip B. Flynn Vice Chair and Chief Operating Officer
David I. Matson Vice Chair and Chief Financial Officer

Masashi Oka Vice Chair, Administration and Support, and Insider

**Trading Compliance Officer** 

Linda F. Betzer Executive Vice President, Operations and Customer

Services Group

JoAnn M. Bourne Executive Vice President, Commercial Deposit and

**Treasury Management Division** 

Bruce H. Cabral Executive Vice President and Chief Credit Officer

John C. Erickson Executive Vice President, Commercial Banking & Wealth

Management Group

Paul E. Fearer Executive Vice President and Director of Human Resources

Steven L. Glaser Executive Vice President, Retail Banking Group

John H. McGuckin, Jr. Executive Vice President, General Counsel, and Secretary

James Yee Executive Vice President and Chief Information Officer

Johannes H. Worsoe Executive Vice President, Global Markets Group

William J. Stolte Executive Vice President, Independent Risk Monitoring

Group

Grant K. Ahearn Executive Vice President, Energy Capital Services

David A. Anderson Executive Vice President and Controller

William G. Christensen Executive Vice President

Paul E. Gaenger Executive Vice President and Senior Credit Officer

Kimihisa Imada Executive Vice President, Pacific Rim Corporate Group

J. Michael Stedman Executive Vice President, Real Estate Industries

Jacqueline F. Bean Senior Vice President

John M. Bell Senior Vice President, Insurance and Risk Management

Manager

Joseph J. Catalano Senior Vice President, Associate General Counsel and

**Assistant Secretary** 

Nancy S. Crooks Senior Vice President and Deputy Group Head, Independent

Risk Monitoring Group – Credit

Theodore E. Davis Senior Vice President and Assistant Secretary
David W. Dobon Senior Vice President, Corporate Development

Frank W. Foley, Jr. Senior Vice President and General Auditor Lois Grace Golde Senior Vice President, Financial Planning

David M. Hansen Senior Vice President and Assistant Controller

Morris W. Hirsch Senior Vice President, Deputy General Counsel and

**Assistant Secretary** 

Stephen L. Johnson Senior Vice President and Director of Public Relations

Barbara J. Kosnar Senior Vice President and Corporate Tax Manager

D. Jeffrey Morrow Senior Vice President

William A. Polkinghorn Senior Vice President, Deputy General Counsel and

**Assistant Secretary** 

Gabriel A. Renga Senior Vice President and Senior Credit Officer

John A. Rice, Jr. Senior Vice President, Investor Relations

Erin Selleck Senior Vice President and Treasurer

Daniel B. Brigham Vice President

Mary A. Helvey Vice President and Assistant Secretary
Hugh Lawrence Vice President and Assistant Secretary
Teryl Murabayashi Vice President and Assistant Secretary
James M. Purvis Vice President and Assistant Secretary

Brian W. Smith Vice President

Michelle R. Crandall Vice President, Investor Relations

Vicki J. Wilderman Vice President and Assistant Corporate Secretary

February 14, 2007

By: /s/ Raymond L. Sweigart

Raymond L. Sweigart (pro hac vice)

Scott J. Pivnick (pro hac vice)

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ATTORNEYS FOR DEFENDANT UNIONBANCAL CORPORATION

### **CERTIFICATE OF SERVICE**

I hereby certify that on the  $14^{\rm th}$  day of February 2007, a true and correct copy of the *Defendant* UnionBanCal Corporation's Supplemental Objections And Responses To Plaintiff's First Set Of Interrogatories Regarding Jurisdictional Discovery To Defendant UnionBanCal Corporation was forwarded electronically pursuant to the parties written agreement to the following counsel of record:

> Edward L. Hohn NIX PATTERSON & ROACH, L.L.P. 205 Linda Drive Daingerfield, Texas 75638

at

datatreasury@cooperiplaw.com

/s/ Scott J. Pivnick Scott J. Pivnick