

Exhibit C



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SEC Filings

Companies with at least 500 stockholders and/or \$5 million in assets and/or big private debt placements must file disclosure documents with the SEC. Brokers, investment advisers and certain shareholders must make filing too (see "Brokers," "Investment Advisers" and/or "Shareholders").

The rules for making filings are described in the SEC's [Edgar Filer's Manual](#) and [A Plain English Handbook: How to Create Clear SEC Disclosure Documents](#).

TO GET FILINGS from the last four years, you can use the SEC's free [EDGAR](#) database, or the [historical EDGAR](#) database, which goes back to 1994 (but doesn't include the most recent filings). If the free SEC databases are too limited, try [SEC Info](#) or a fee-based service such as [Knowledge Mosaic](#), [LIVEDGAR](#), [Morningstar Document Research](#) (formerly 10k Wizard), [Intelligize](#), Bloomberg terminals, [Bloomberg Law](#), [SECnet](#), [EDGAR Pro](#) or [DisclosureNet](#).

EXHIBITS to filings are available from [LIVEDGAR](#), [Intelligize](#), [Morningstar Document Research](#) and [Lexis](#) (COMPNY;EDGARP) and most of the other fee-based services, or place an order with Thomson Research Services (formerly Disclosure) by calling 800-249-1440, or contact a competitor. [Knowledge Mosaic](#) has a "Model Documents" database that includes exhibits that are titled as specific kind of agreements, etc. (allows searching in just that kind of document); [Intelligize](#) has an equivalent called "Agreement Checker." [Intelligize](#) and [Knowledge Mosaic](#) provide direct linking to exhibits **incorporated by reference**.

TO SEARCH FILINGS, you can try the SEC's free [Full-Text Search](#), though that goes back only four years, or the SEC's [historical EDGAR](#) database, but that searches only header information. Otherwise, use a fee-based service such as [Knowledge Mosaic](#), [Intelligize](#), [Morningstar Document Research](#), [DisclosureNet](#), [SECnet](#), [LIVEDGAR](#), [EDGAR Pro](#), Bloomberg terminals, [Bloomberg Law](#) or [Lexis](#) (COMPNY;EDGARP or Lexis Precedent Search). You can also use [Westlaw](#), but years ago I found the Westlaw database frustrating and haven't tried it since.

TO COMPARE FILINGS: A number of systems let you compare filings (e.g., a company's 10-K from two different years). [Knowledge Mosaic](#) and [Intelligize](#) have this feature.

OLD FILINGS: Electronic filing became mandatory in 1996, and you have to get lucky to find filings online before then. Here are some options for finding pre-1996 SEC filings:

1. The *ProQuest Historical Annual Reports* database covers over 800 companies (1844 to present), Mergent Archives covers ABI/INFORM Complete has annual reports from over 1,000 companies (1986-1989), Mergent Archives also covers over 1,000 companies (1900-present) and *SEC File: Microfiche Database of Corporate Annual Reports and U.S. Securities & Exchange Commission Documents* has selected filings from 1978 to 1997. These database are provided by many public and academic business libraries;
2. The Library of Congress posts lists of their [Pre-1974 Annual Reports](#) collections;
3. [LIVEDGAR](#) adds old filings if someone asks for them, and the SEC-ONLINE file on Westlaw has selected pre-1996 filings;
4. Check with your local public and/or academic business libraries to see if they have historical collections (probably on microform);
5. Search the Internet with a good search engine and keep your fingers crossed;
6. Order the document from the [Washington Service Bureau](#) (800-289-1057), ThomsonReuters Custom Research Services (301-545-4930 direct to DC; main number 800-249-1440), GSI Document Retrieval (800-669-1154) or another document retrieval service;
7. File a [FOIA request](#) with the SEC, which would be cheaper but could take up to 20 days or more.

EXACT TIME OF FILING: Just about all the sources for filings tell you the date of filing. If you pull up a filing using the [LIVEDGAR Company Search](#), you will also see the **time of filing** in the "Accepted" field. SEC Info has times for [Today's Filings](#) (actually the most recent business day - you'll get Friday's on Saturday or Sunday).

See Also

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