

# Exhibit 12

# Environmentally Friendly Quantum Dots for Display Applications

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*QD Forum 2018/March/13th*



# *Contents*

- 1. Introduction***
- 2. Material synthesis/Mass production***
- 3. QD Film***
- 4. QD CF***
- 5. QD-LED***
- 6. Summary***

# Quantum Dots

■ The active technology for the past 35 years

**'81 Quantum size effect**  
 Graph showing absorption spectra for CuCl, CuBr, and CuI. Equation:  $\Delta E = \hbar^2 \pi^2 / 2 m a^2$

**'82 GaAs synthesis (Alivisatos)**  
 TEM image of GaAs nanowires with a 5 nm scale bar.

**'93 Monodisperse (Murray)**  
 TEM image of a monolayer of quantum dots.

**'96 Core/Shell (Heins)**  
 Image of various colored quantum dot solutions.

**'99 Blinking (Brus)**  
 Graphs showing photoluminescence spectra and blinking behavior of quantum dots.

**'98 Bio Labeling (Alivisatos)**  
 Image of two yellow fluorescent structures.

**'99 printable TFT (Jacobson)**  
 Schematic of a quantum dot thin-film transistor structure: Cr/Au / CdSe / Cr/Au / SiO<sub>2</sub> / n+ Si.

**'92 QD laser (Bawendi)**  
 Schematic of a quantum dot laser structure.

**'00 QD LED (Bawendi)**  
 Image of a quantum dot light-emitting diode.

**'02 QD LED (Bulovic)**  
 Graph showing the photoluminescence spectrum of a quantum dot LED.

**'02 QD PV (Alivisatos)**  
 Schematic of a quantum dot solar cell structure: Irregular P3HT / P3HT / CdTe / CdTe / CdTe / Substrate.

**'04 Memory (Bawendi)**  
 Schematic of a quantum dot memory device structure.

**'03 SILAR (Peng)**  
 TEM image of a quantum dot array with a 100 nm scale bar.

**'00 Shape control (Alivisatos)**  
 TEM image of quantum dots with various shapes.

**'00 Doped QD (Bawendi)**  
 Graph showing the photoluminescence spectrum of a doped quantum dot.

**'07 InP/ZnS (Peng)**  
 Graph showing the photoluminescence spectra of InP/ZnS quantum dots with different shell thicknesses.

**'11 Blinking (Klimov)**  
 Energy level diagram and schematic of a quantum dot device showing the mechanism of blinking.

**'09 Full color QLED (Samsung)**  
 Image of a Samsung QLED TV displaying a colorful scene.

**'10 QD-LED TV (Samsung)**  
 Image of a Samsung QD-LED TV displaying a colorful scene.

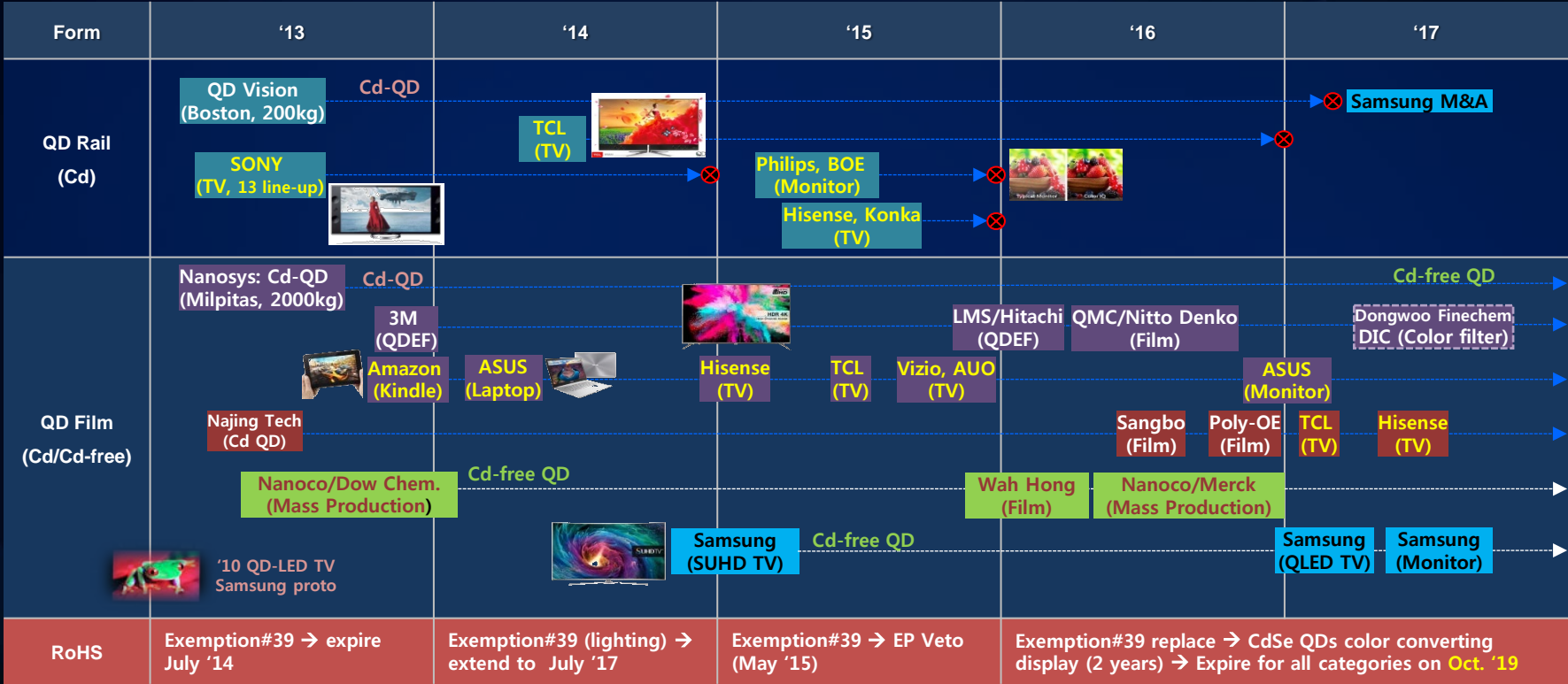
**'15 Cd-free QD TV (Samsung)**  
 Image of a Samsung QD TV displaying a colorful scene.

**'13 Cd-base QD TV (SONY)**  
 Image of a Sony QD TV displaying a scene with a woman in a red dress.

★ Samsung Challenge

# Trends

## Wide color gamut display with RoHS compliant materials



# Cd-free QDs

- Alternatives : Emission range, QY, FWHM, Reabsorption, Decay time
- InP, ZnSe:Mn, CuInS<sub>2</sub>

Table III. Comparison of typical properties of Cd-based and non-Cd QD composites. *ECS J. SS Sci. & Tech.(2013) Nanoco*

Property	Intrinsic QDs		Other non-Cd QDs	
	Cd-based QDs	Non-Cd QDs	Doped non-Cd QDs	Non-Cd alloy QDs
Chemical composition	CdSe/ZnS	InP-based core-shell	ZnSe:Mn/ZnS	CuInS <sub>2</sub> /ZnS
Emission color	Tunable in VIS	Tunable in VIS	Yellow-orange	Tunable (Y,O,R)
FWHM (nm)	<40	40-60	~155	~125
Toxic substance	Toxic (Cd)	no Cd, no Pb	no Cd, no Pb	no Cd, no Pb
Stokes shift (meV)	~40 <sup>85</sup>	~80 <sup>86</sup>	~1060-1423 <sup>9,87</sup>	~500-600 <sup>11</sup>
Reabsorption	Reabsorption/self-quenching (small Stokes shift)		No reabsorption /self-quenching (large Stokes shift)	Some reabsorption/self-quenching (moderate Stokes shift compared to doped QDs)

# InP-based QDs

High quality core → Core/Shell → Multi shell

JPC ('94) Nozik

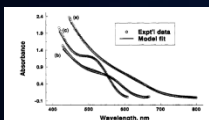


Figure 4. Experimental absorption spectra (solid line) for InP QD colloids with PL excitation at 400 nm. (b and c) Fit made to yield the size distribution from results of (a).

NL ('02) Peng

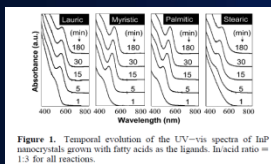


Figure 1. Temporal evolution of the UV-vis spectra of InP nanoparticles grown with fatty acids as the ligands. An acid ratio of 1:3 for all reactants.

JACS ('07) Peng

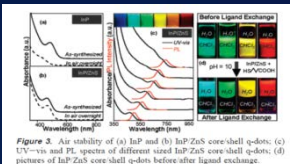
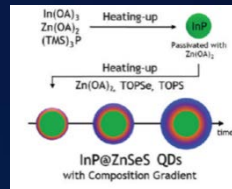


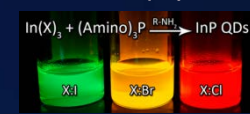
Figure 2. Air stability of (a) InP and (b) InP/ZnS core-shell q-dots; (c) UV-vis and PL spectra of different sized InP/ZnS core-shell q-dots; (d) pictures of InP/ZnS core-shell q-dots before and after ligand exchange.

CM ('11) Char



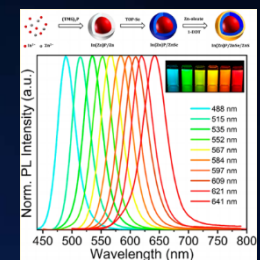
InP/ZnSeS gradient shell  
QY=70%, FWHM=45nm

Chem. Mater. ('15) Hens



InCl3 + Aminophosphine

CM ('17) Lee



FWHM : G 36nm, R 45nm

InP precursor with TOPO  
270 C, 3 days

In(OAc) + acid ligand,  
ODE, 270 C, 180min

C8 amine, 180 C  
→ 450~750nm, QY=40%

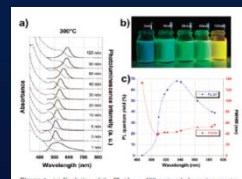
1990

2000

2010

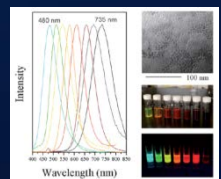
2020

JACS ('08) Reiss



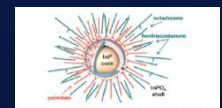
InP/ZnS One pot  
(510~580nm) QY=70%,  
~50h photo stability

JMC ('08) Nann



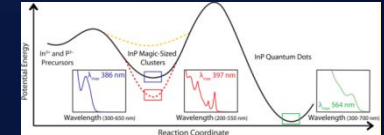
Zn, HAD → size control  
(480~750nm)

JACS ('10) Delpech



PO4, InPO3, acid ligand  
→ Ketone & Water

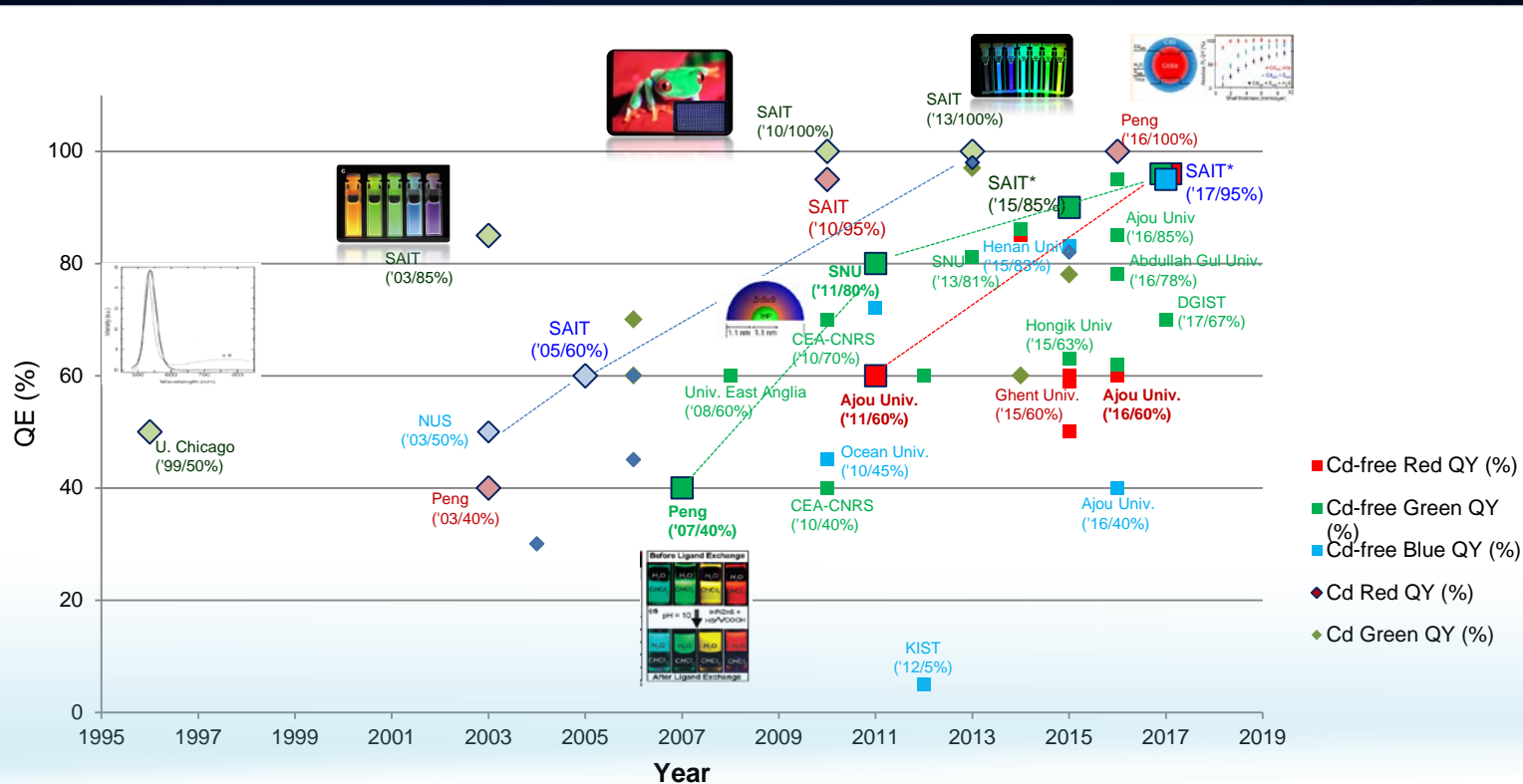
CM ('15) Cossairt



Magic sized cluster

# Progress of Materials

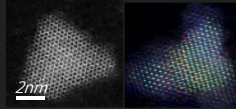
## Progress in QE of QDs





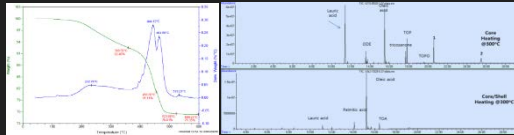
# Material Synthesis

## Specifically tailored structure for light emission



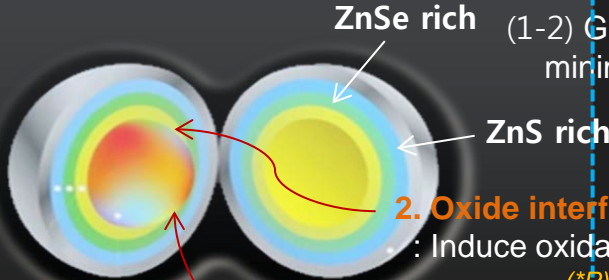
**3. Crystallinity**  
(High temperature growth)

**4. One pot synthesis with high concentration**



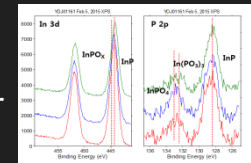
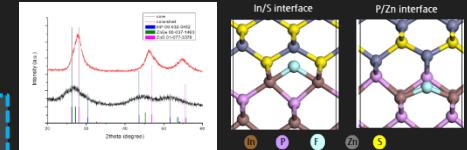
**5. Control the uniformity of size/shape and the byproducts**  
(\*P)

**1. Multi element core/Gradient shell structure**

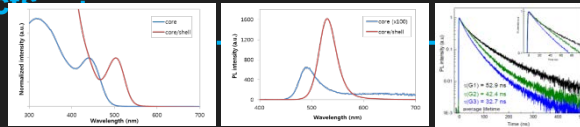


(1-2) Gradient shell composition → minimize the lattice mismatch  
(\*P)

**2. Oxide interfaces**  
: Induce oxidative layer  
(\*P)

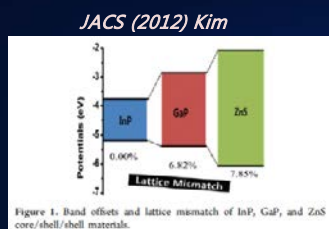
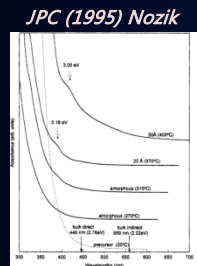


Multiple metal precursors  
(\*US)



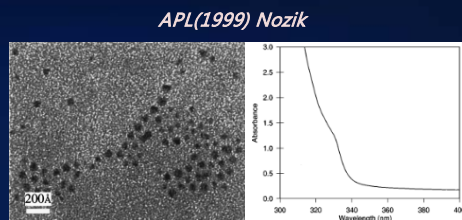
# Potential materials

## Other III-V semiconductor QDs and 2D structures

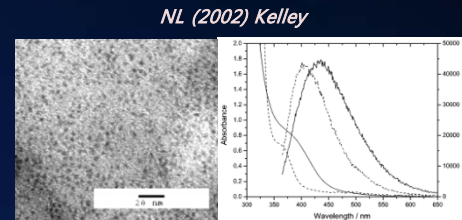


ZnInP/GaP/ZnS

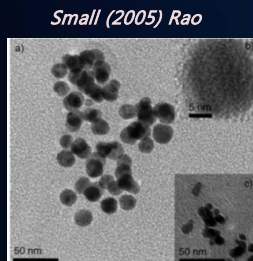
GaP  
Eg=2.78eV



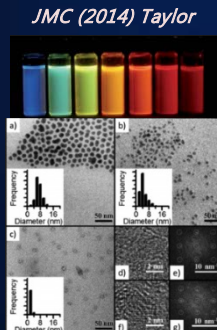
GaN, Abs 360~450nm



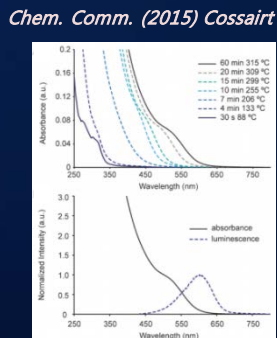
GaSe, Abs 360~450nm



InN  
Eg=0.65~0.7eV



Zn3N2  
PL=500~1100nm  
QY=52%(566nm)



Zn3P2  
PL=424~535nm  
tetragonal

2D structure

*CM(2014) Dubertret*

ZnSe nanoplate  
Cation exchange from CdSe

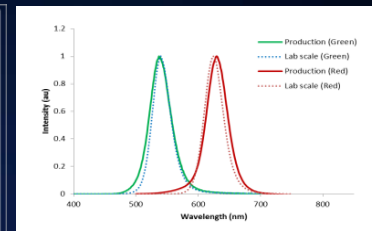
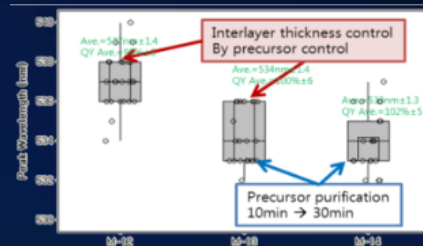
*NL (2003) Nozik*

InP quantum rod

# Mass Production

## Process Optimization → Basic design → Scale-up

Parameters: Precursors, Intermediates, Solvents, Surfactants, Additives, Reaction Process



ref. Courtesy of Hansol Chemical Corp.



20L



100L Pilot

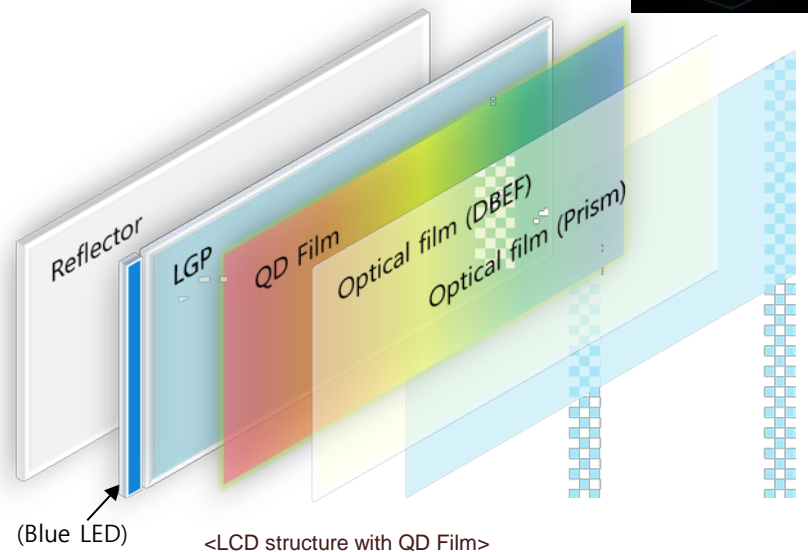
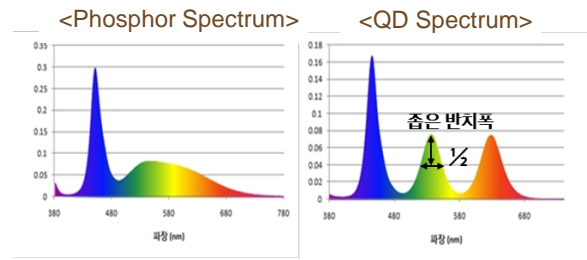
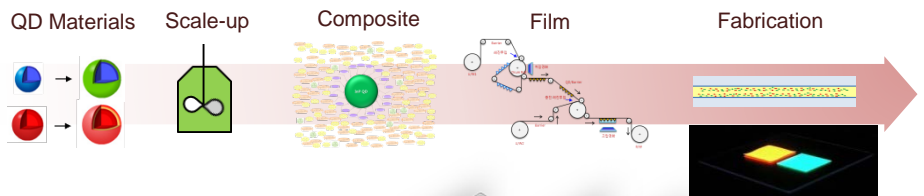


Mass Production

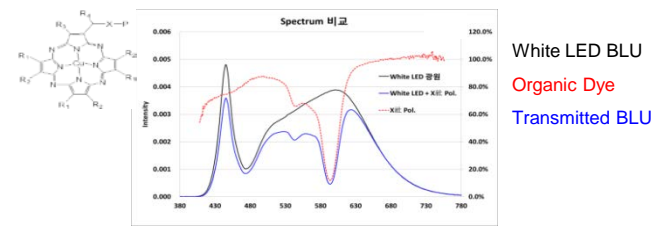


# QD Backlights (Film)

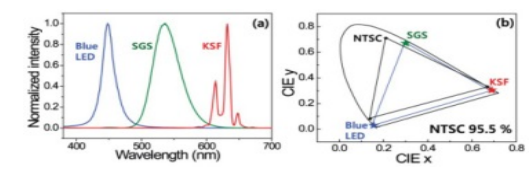
Wide color gamut display → Improve FWHM, Reduce cost



## Organic dye (Color filter)



## Narrow bandwidth KSF phosphor

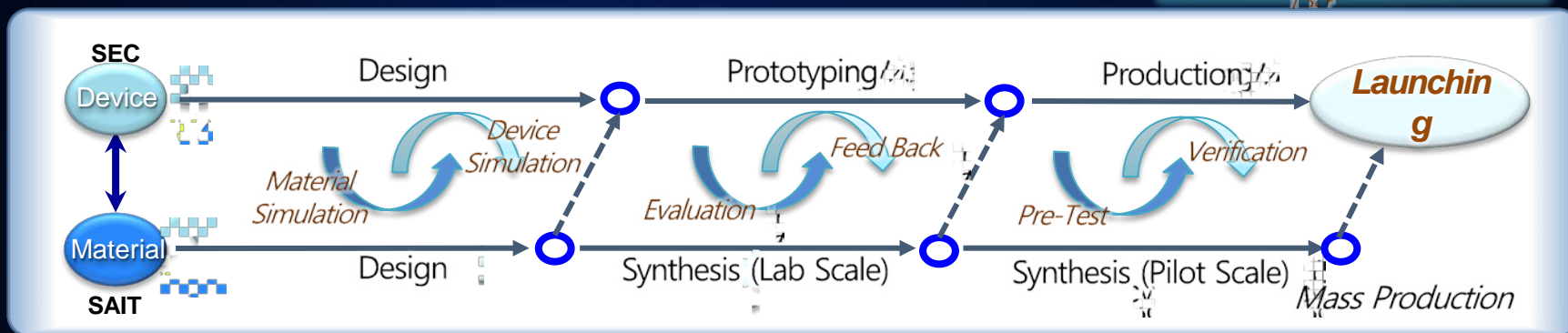


Optics Express Vol. 23, A791 (2015)

# Accelerating R&D Process

- Synchronize Technology Roadmap from the beginning stage of research

## Synchronized Roadmap

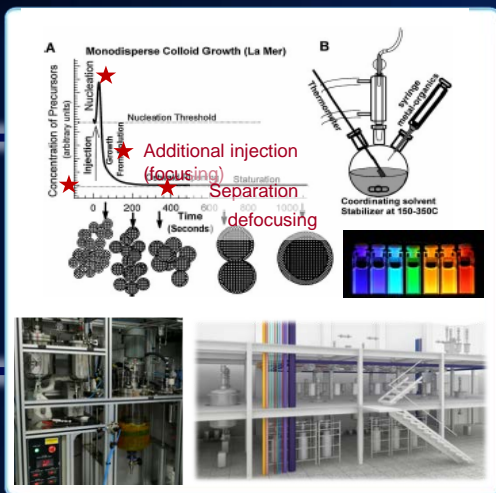


ref. H. Chang, MRS 2014 Fall Meeting

# Development Team

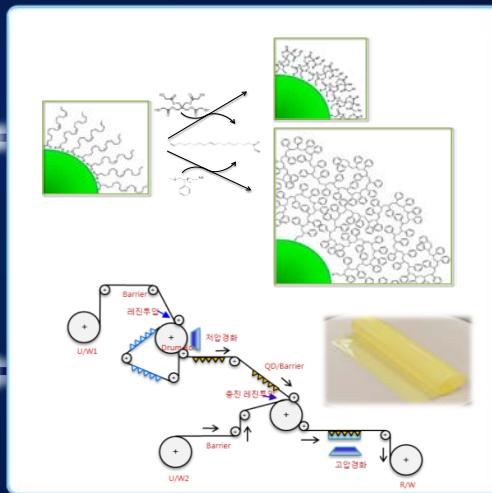
## Environmental Issues, Market size, Cost, Eco system, Patent portfolio

### Material Design & Synthesis



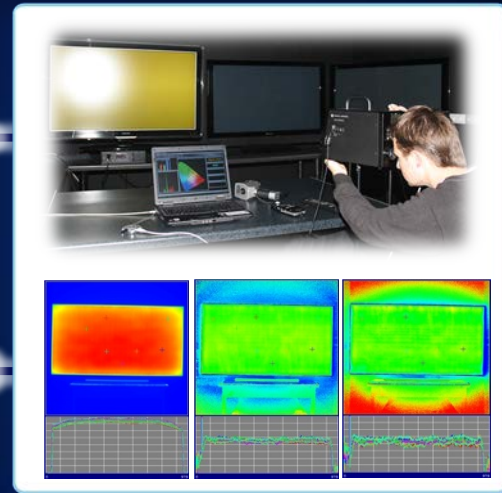
- Multi shell passivation
- Mass production

### Interface Design & Fabrication



- Encapsulation (stability)
- Process stability

### Device Application & Evaluation



- Customer requirements
- Supply chain/Eco system

# The Next Innovation in TV: QLED

Wide color gamut display with Cd-free quantum dot



Other TVs

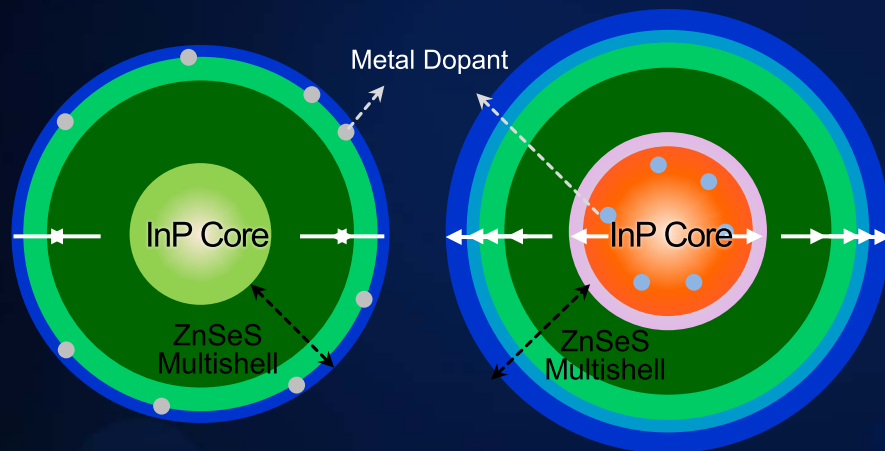


QLED TV

# Improvements in InP QDs

- Optimized structure for uniformity and efficiency → BT2020, High stability

## Gradient Multishell/Metal Dopant

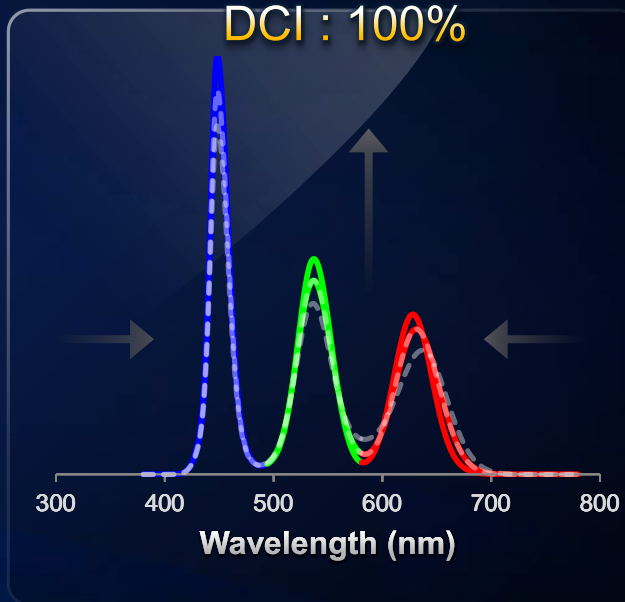


Green QD

Red QD

Brightness : 120%

DCI : 100%

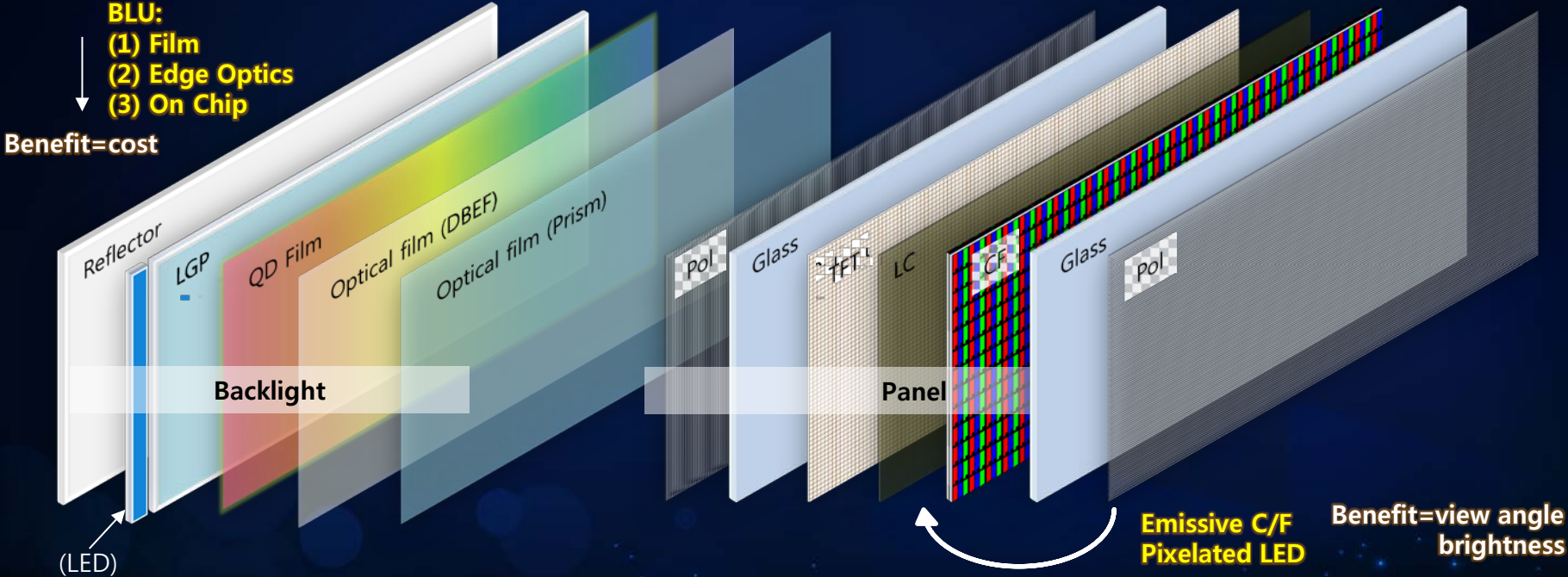


QD Film



# Structure of LCD

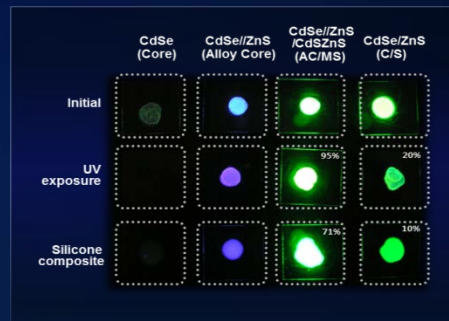
■ Solve the disadvantages (Viewing angle, Contrast)



# On-chip QD-LED

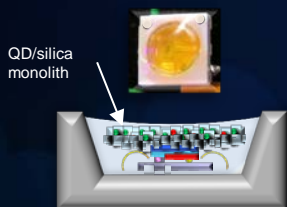
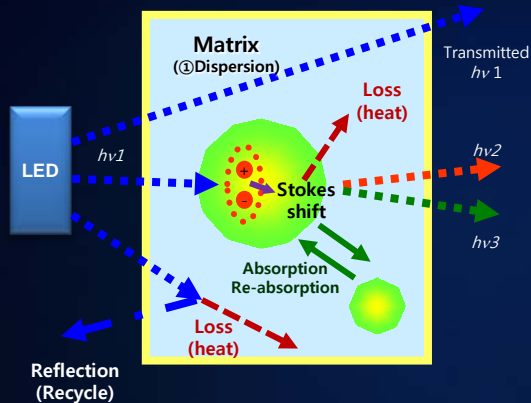
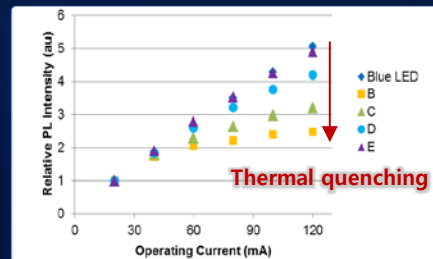
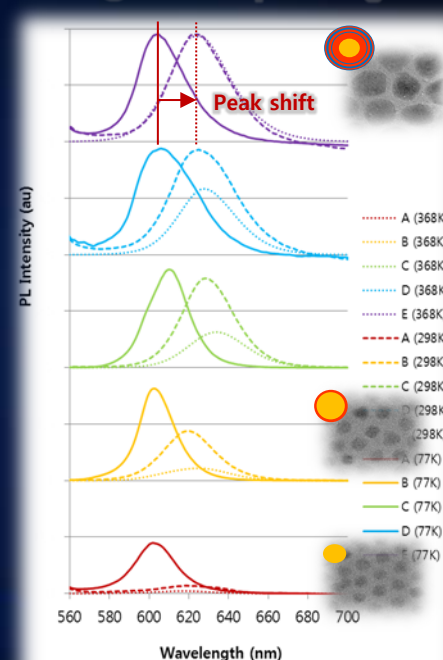
## Challenge in stability, PL quenching, Emission shift, and packaging

### ② PL quenching

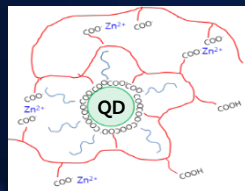


Angew. Chem. Int. Ed. 2013, 52, 679–682

### ③ Thermal quenching

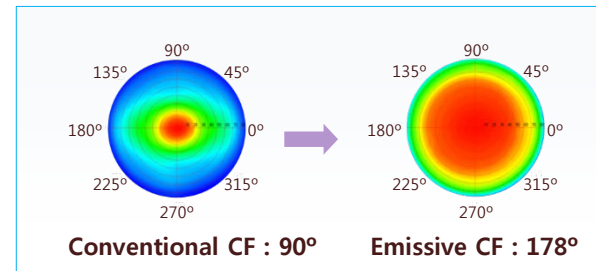
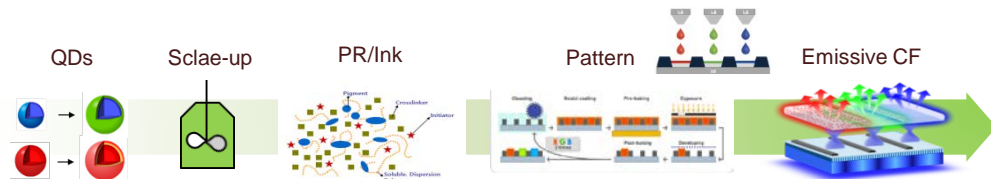


ACS Nano (2013) 1472

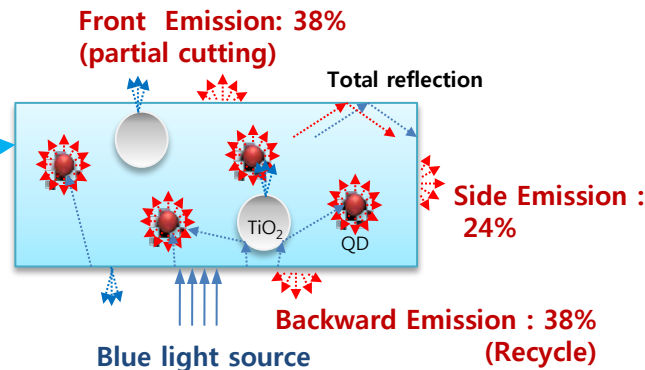
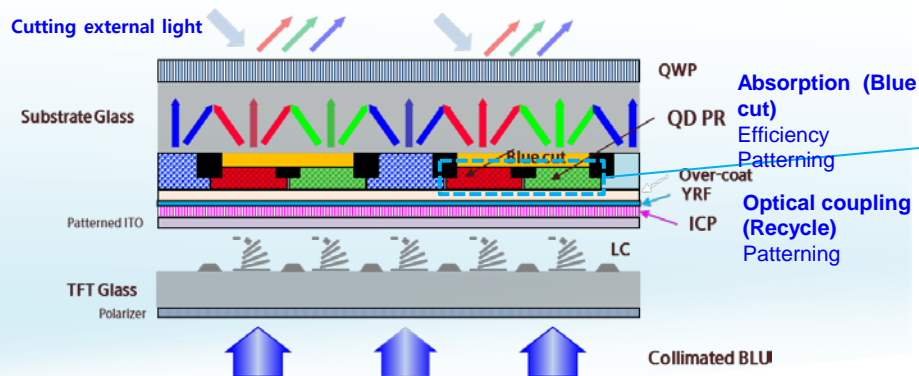


# QD Color Filter

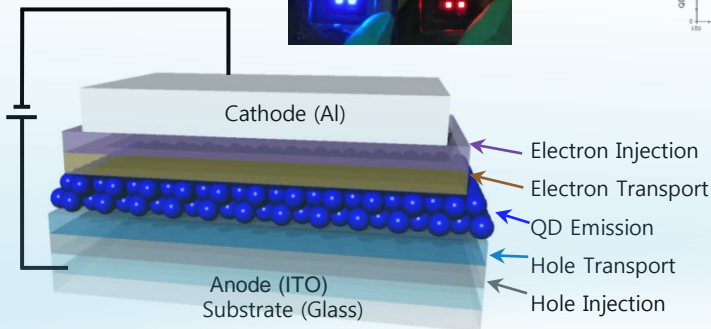
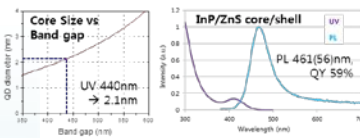
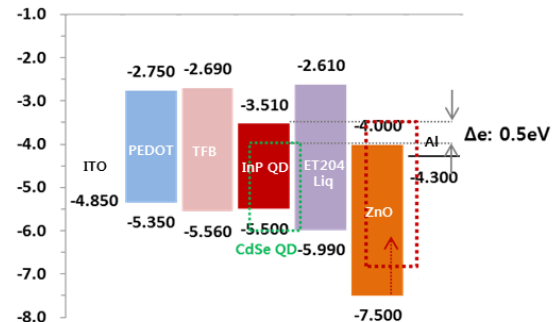
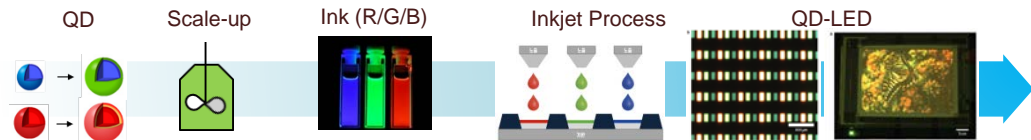
## Perfect viewing angle (Emissive CF)



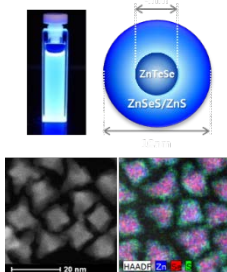
$$EQE = \text{Absorption} \times QY_{\text{initial}} \times PL_{\text{quenching}} \times \text{Thermal}_{\text{quenching}} \times \text{Out-coupling}$$



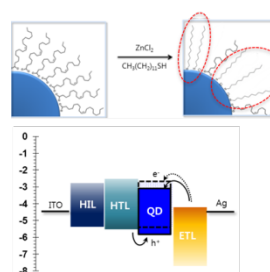
## Better contrast (Pixelated RGB LED)



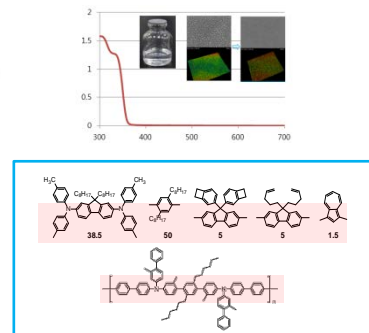
### <Blue QD Synthesis>



### <Ligand Exchange>

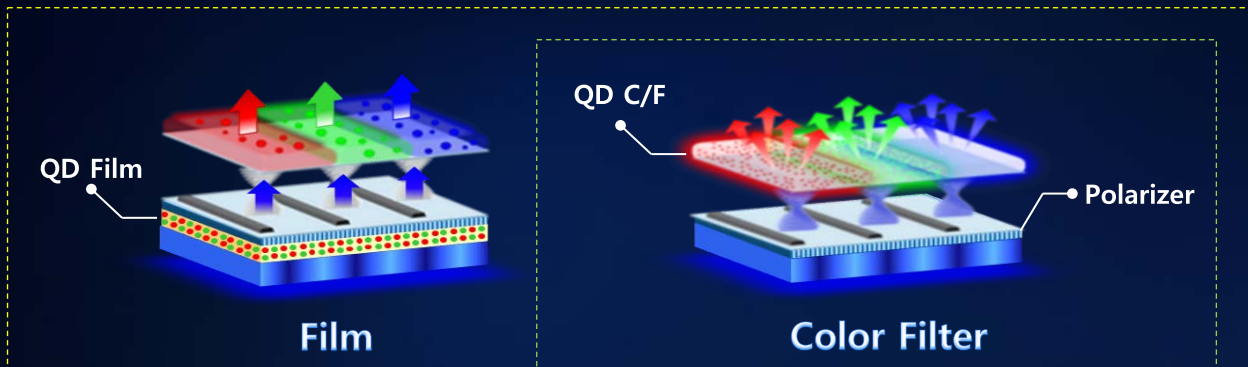


### <ETL/HTL Design>

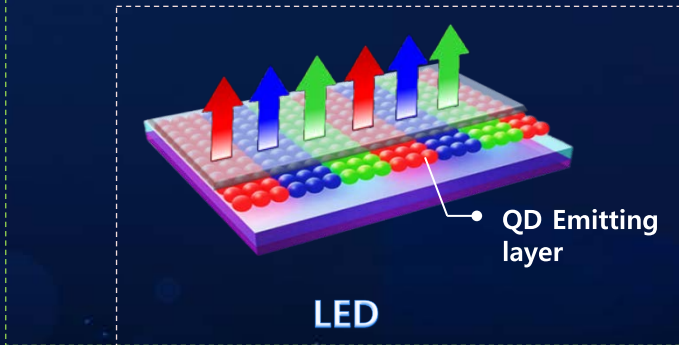


# Applications

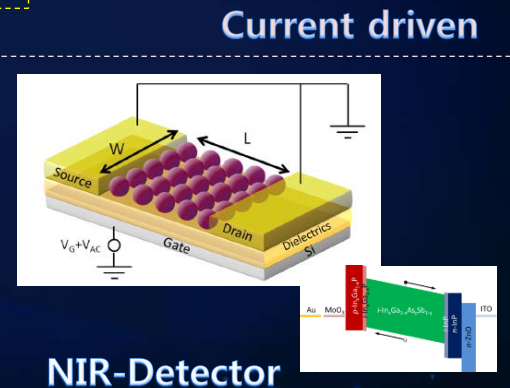
## Expansion of technology based on QDs



Color Conversion



Patterning



# Acknowledgement

SAMSUNG

#Samsung  
/ VD  
/ SDI  
/ SDC

#Hansol Chemical



# Thank you Q & A