1	IN THE UNITED STATES DISTRICT COURT	
2	FOR THE EASTERN DISTRICT OF TEXAS	
3	TEXARKANA DIVISION	
4	ESN, L.L.C CIVIL ACTION NO. 5:08CV20	
5	VS TEXARKANA, TEXAS	
6	CISCO SYSTEMS, INC., ET AL JUNE 11, 2009	
7	. 8:58 A.M.	
8	CLAIM CONSTRUCTION [REDACTED]	
9	BEFORE THE HONORABLE CHIEF JUDGE DAVID FOLSOM,	
10	UNITED STATES DISTRICT JUDGE.	
11	APPEARANCES:	
12 13	FOR PLAINTIFF ESN, L.L.C.:  MR. GERALD C. WILLIS, JR.  MR. PETER J. MCANDREWS  MCANDREWS HELD & MALLOY	
14 15	500 W. MADISON STREET SUITE 3400 CHICAGO, IL. 60661	
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18	FOR DEFENDANT	
19	CISCO SYSTEMS, INC.: MR. CHARLES K. VERHOEVEN QUINN EMANUEL URQUHART	
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PROCEEDINGS

TEXARKANA, TEXAS

3 JUNE 11, 2009

4 (OPEN COURT)

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5 THE COURT: PLEASE BE SEATED. GOOD MORNING. WE ARE HERE NOW FOR CLAIM CONSTRUCTION. NORMALLY, MY SCHEDULE 7 PROBABLY DOESN'T ALLOW THIS PROCESS, BUT I AM GOING TO GIVE THE PARTIES -- I THINK WE HAVE 13 DISPUTED TERMS. I AM GOING TO GIVE THE PARTIES A ONE PAGE, WITHOUT ANY RATIONALE, 10 REFLECTING THE COURT'S PRELIMINARY CLAIM CONSTRUCTION ON SIX OF THESE TERMS. I SHOULD HAVE DONE THIS YESTERDAY SO YOU 11 COULD HAVE GIVEN IT SOME THOUGHT OVERNIGHT. THEN I AM GOING TO TAKE ABOUT A 10, 15 MINUTE RECESS TO LET YOU REVIEW THESE, 13 KEEPING IN MIND THESE ARE VERY INTERLOCUTORY. BUT, YOU KNOW, 15 MAYBE WE HAVE SOME ROOM FOR AGREEMENT. IF SO, IT SHOULD SAVE 16 | SOME TIME.

IF YOU ARE NOT IN AGREEMENT WITH THE COURT'S PRELIMINARY CONSTRUCTION, DON'T BE BASHFUL IN SAYING WHY YOU THINK IT'S WRONG OR WHY IT NEEDS TO BE TWEAKED OR MODIFIED IN SOME FASHION. MAYBE WITH THIS PROCESS, LIKE I SAID, WE COULD COME TO SOME AGREEMENT ON SOME OF THESE TERMS AND SAVE SOME TIME. SO, MR. KEYZER, WHY DON'T YOU GIVE EACH SIDE ABOUT THREE COPIES. AND I AM GOING TO MAKE A COPY OF THIS PART OF THE RECORD, MRS. MARTIN, IF YOU WILL MAKE THIS EXHIBIT 1. SO WE WILL TAKE A 10 MINUTE RECESS, 10 OR 15 MINUTES. MR. KEYZER

WILL BE OUT IN A MINUTE TO SEE IF YOU HAVE HAD A CHANCE TO 1 READ THESE AND GIVE IT SOME THOUGHT. 2 (RECESS AT 8:59 A.M., UNTIL 9:15 A.M., OPEN COURT) 3 THE COURT: PLEASE BE SEATED. EVERYONE READY TO GO? 4 5 I TRUST YOU'VE HAD A CHANCE TO REVIEW THE PRELIMINARY CLAIM CONSTRUCTION. WE WILL TAKE THOSE UP SIMPLY IN THE ORDER YOU 7 REACH THOSE IN YOUR PRESENTATION, OR IF THE PARTIES ARE READY TO ANNOUNCE THAT YOU CAN AGREE ON SOME OF THOSE TERMS, MAYBE WE CAN AVOID ADDRESSING THOSE. MR. MCANDREWS: YOUR HONOR, WE HAVE REACHED AGREEMENT 10 THAT THE FINAL FIVE OF THE SIX LISTED ON THE SHEET HERE, THE 11 PARTIES WILL JUST REST ON THEIR BRIEFS. THERE IS NO NEED FOR 13 FURTHER ARGUMENT TODAY. THE COURT: GREAT. AT LEAST WE ARE MAKING PROGRESS. 14 15 MR. VERHOEVEN: YES. THE COURT: YOU DON'T AGREE NECESSARILY WITH THE 16 COURT'S SUGGESTED CONSTRUCTION BUT YOU CAN RELY ON THE 17 BRIEFING. IS THAT WHAT YOU ARE SAYING? 18 MR. VERHOEVEN: WELL, FOR CISCO, YOUR HONOR, THE 19 20 SECOND, THIRD, AND FOURTH --THE COURT: WHY DON'T YOU --21 22 MR. VERHOEVEN: -- TELEPHONE LINE INTERFACE --THE COURT: YOU AGREE WITH THE COURT'S CONSTRUCTION? 23 24 MR. VERHOEVEN: YES, WE DO. COMPUTER DATA INTERFACE,

WE MADE A POINT ABOUT WORKSTATIONS BUT WE ARE PREPARED TO

AGREE WITH THE COURT'S CONSTRUCTION. 1 2 THE COURT: OKAY. MR. VERHOEVEN: EXCUSE ME, YOUR HONOR. AND THEN TWO 3 DOWN, THE PHRASE ROUTE TELEPHONE CALLS IN A PEER-TO-PEER 5 FASHION OVER THE SHARED PACKET NETWORK --THE COURT: YOU COULD AGREE WITH --6 7 MR. VERHOEVEN: WE COULD AGREE WITH THAT ONE, YOUR HONOR. THE REMAINDER OF THE ITEMS, WE WOULD BE PREPARED TO JUST REST ON OUR PAPERS WITHOUT FURTHER ARGUMENT, YOUR HONOR. THE COURT: NOW, ARE THERE ANY THE PLAINTIFF CAN 10 11 AGREE TO? I ASSUME YOU DON'T AGREE TO THOSE THAT --12 MR. MCANDREWS: WELL, YOUR HONOR --THE COURT: -- CISCO AGREES WITH. 13 MR. MCANDREWS: -- WE CAN AGREE ON COMPUTER DATA 14 15 l INTERFACE, SHARED PACKET NETWORK, ROUTE --THE COURT: SO WE HAVE COMMON AGREEMENT ON -- LET'S 16 NUMBER THESE 1, 2, 3, 4, 5, 6. SO BOTH PARTIES CAN AGREE ON 17 TERMS --18 MR. MCANDREWS: YES, COMPUTER DATA -- TERM 3, 19 20 COMPUTER DATA --THE COURT: TERM 3. 21 22 MR. MCANDREWS: -- COMPUTER DATA INTERFACE; TERM 4, 23 | SHARED PACKET NETWORK --THE COURT: OKAY. THREE AND 4 ARE AGREED. 24

MR. MCANDREWS: -- TERM 5, ROUTE TELEPHONE CALLS IN A

- 1 | PEER-TO-PEER FASHION --
- 2 THE COURT: THOSE ARE AGREED UPON.
- 3 MR. MCANDREWS: THOSE ARE AGREED UPON. TERM 2,
- 4 | TELEPHONE LINE INTERFACE, WE ARE PREPARED TO REST ON OUR
- 5 BRIEF, BUT WE DID MAKE A POINT.
- 6 THE COURT: I WOULD LIKE TO HEAR FROM YOU VERY
- 7 BRIEFLY WHY YOU FEEL THE COURT'S CONSTRUCTION IS NOT
- 8 APPROPRIATE OR NEEDS SOME TWEAKING. AND MAYBE YOUR BRIEFING
- 9 ESSENTIALLY ADDRESSES THAT. BUT JUST VERY BRIEFLY, IF YOU
- 10 WANT TO DO IT NOW.
- 11 MR. MCANDREWS: SURE, I CAN -- I CAN HANDLE THAT
- 12 | RIGHT AWAY. AND IT'S A VERY -- VERY, VERY BRIEF ISSUE
- 13 ACTUALLY.
- 14 OKAY. SO THE COURT'S CONSTRUCTION AS IT'S CURRENTLY
- 15 PROPOSED WOULD INCLUDE THE DEFINITION OF TELEPHONE LINE
- 16 | INTERFACE FOUND IN THE LOWER QUOTE THERE, WHICH IS '519 PATENT
- 17 AT COLUMN 42, LINES 46 THROUGH 52. HOWEVER, ESN WOULD PROPOSE
- 18 | THE CONSTRUCTION SHOULD ALSO INCLUDE THE REQUIREMENT THAT
- 19 | TELEPHONE LINE INTERFACE PERFORM THE CONVERSION OF DEVICE
- 20 LEVEL TELEPHONE SIGNALING.
- 21 SO, FOR EXAMPLE, YESTERDAY WE DISCUSSED THE IDEA THAT A
- 22 | POTS PHONE COMMUNICATES WITH THE PSTN USING TONES AND OTHER
- 23 THINGS THAT ARE RECOGNIZABLE BY THE OLD CIRCUIT SWITCH
- 24 NETWORK. AND IN ORDER FOR THE NETWORK DEVICE TO ACCOMMODATE A
- 25 | POTS PHONE, IT WILL HAVE TO CONVERT THOSE DEVICE LEVEL

- 1 | SIGNALS. AND IT INCLUDES CONVERTING DEVICE LEVEL SIGNALING
- 2 WHICH IS, FOR EXAMPLE, PRESSING TONES TO KNOW WHAT TELEPHONE
- 3 NUMBER IS BEING DIALED. AND IT ALSO INCLUDES THE CONVERSION
- 4 OF THE ANALOG VOICE. YOU TALK INTO THE PHONE AND IT HAS TO
- 5 CONVERT THAT ANALOG VOICE SIGNAL INTO DIGITAL PACKETS SO THEY
- 6 ARE SUITABLE FOR TRANSMISSION OVER AN IP NETWORK.
- 7 THE PATENT USES THE SAME TELEPHONE LINE INTERFACE, ITEM
- 8 | 1.9, BOTH IN THE DEFINITION SECTION AND IN THE GENERAL BODY OF
- 9 | THE PATENT. AND I BELIEVE THAT CISCO'S ONLY CRITICISM OF THE
- 10 USE OF THIS PORTION OF THE DEFINITION OF TELEPHONE LINE
- 11 | INTERFACE IS THAT IT DIDN'T APPEAR IN THE DEFINITION SECTION
- 12 OF THE `519 PATENT, BUT THEY HAVE NOT CITED ANY LAW THAT IS
- 13 CONTRARY TO THE LAW THAT A PATENTEE CAN BE HIS OWN
- 14 LEXICOGRAPHER SUBJECT TO WHETHER HE PUTS IT IN A SECTION
- 15 | LABELED DEFINITIONS. WE DON'T BELIEVE THAT'S THE REQUIREMENT.
- 16 THE COURT: VERY WELL. COMMENTS FROM CISCO ON WHY
- 17 YOU FEEL --
- 18 MR. VERHOEVEN: WE CAN JUST LEAVE THAT SLIDE UP, YOUR
- 19 HONOR.
- 20 THE COURT: -- WHY YOU FEEL THE COURT'S CONSTRUCTION
- 21 IS APPROPRIATE.
- MR. VERHOEVEN: YES, YOUR HONOR. IF YOU LOOK AT THE
- 23 | SLIDE COUNSEL PUT UP, THE BOTTOM PARAGRAPH, THAT'S THE ACTUAL
- 24 DEFINITION OF TELEPHONE LINE INTERFACE. AND IN THIS PATENT
- 25 IT'S A LITTLE UNIQUE IN THAT THE PATENTEE DEFINED A WHOLE

- 1 BUNCH OF TERMS VERY EXPRESSLY IN A SECTION LABELED
- 2 DEFINITIONS. AND THAT IS THE DEFINITION. AND THE COURT'S
- 3 CONSTRUCTION IS CONSISTENT WITH THAT. THE TOP PARAGRAPH IS
- 4 FROM THE PREFERRED EMBODIMENT, YOUR HONOR, AND IT'S JUST AN
- 5 EXCERPT OUT OF THE PREFERRED EMBODIMENT WHICH COUNSEL FOR ESN
- 6 HAS APPENDED TO THE DEFINITION, WHICH IS INAPPROPRIATE UNDER
- 7 THE LAW OF CLAIM CONSTRUCTION AS YOUR HONOR KNOWS.
- 8 THE COURT: VERY WELL. SO I GATHER THEN -- WELL,
- 9 | THEN I WILL ADOPT IN THE FINAL ORDER THE CONSTRUCTION OFFERED
- 10 IN TERMS 3, 4, AND 5 BECAUSE THE PARTIES AGREE WITH THOSE. I
- 11 AM ASSUMING BOTH PARTIES DO NOT AGREE WITH 1 AND 6, AND WE
- 12 WILL SIMPLY TAKE THOSE UP IN THE DUE COURSE OF TIME. OR HAVE
- 13 | I MISSPOKEN?
- 14 MR. VERHOEVEN: NO, YOU DIDN'T MISSPEAK, BUT I THINK
- 15 | THERE MAY BE SOME CONFUSION BECAUSE I TOLD YOUR HONOR THAT WE
- 16 AGREED TO 2, TELEPHONE LINE INTERFACE; 3, COMPUTER DATA
- 17 | INTERFACE; AND 5. FOUR, WE'D REST ON OUR PAPERS, YOUR HONOR.
- 18 THE COURT: OKAY. I AM SORRY. I THOUGHT 4 WAS
- 19 AGREED UPON. DOES THE PLAINTIFF AGREE WITH 4?
- 20 MR. MCANDREWS: YES, YOUR HONOR.
- 21 THE COURT: AGREED BY PLAINTIFF, THEN. OKAY. ANY
- 22 ADDITIONAL COMMENTS YOU WOULD LIKE TO MAKE AS TO 4 OR DO YOU
- 23 THINK YOUR PAPERS --
- MR. VERHOEVEN: WE REST ON OUR PAPERS, YOUR HONOR.
- 25 THE COURT: VERY WELL. FINE. SO THAT LEAVES US 1

- 1 AND 6, THEN, AM I -- AM I CORRECT?
- 2 MR. MCANDREWS: THAT'S CORRECT, YOUR HONOR.
- 3 THE COURT: IN ADDITION TO THE OTHER TERMS.
- 4 MR. MCANDREWS: YOUR HONOR, IF I MAY HAVE A VERY
- 5 BRIEF TWO SENTENCE REBUTTAL --
- 6 THE COURT: YES, YOU MAY, SURE.
- 7 MR. MCANDREWS: -- ON THIS PARTICULAR TERM HERE. IF
- 8 YOU NOTICE IN THE LAST SENTENCE OF WHAT CISCO'S COUNSEL REFERS
- 9 TO AS THE DEFINITION SECTION, IT IS TRUE IT IS LABELED AS A
- 10 DEFINITION SECTION. IT SAYS: TELEPHONE STATIONS DO NOT
- 11 | NATIVELY SUPPORT SIP NETWORK SIGNALING AND AS A RESULT CANNOT
- 12 PRESENT THEMSELVES TO AN IP NETWORK AS SIP NETWORK SIGNALING
- 13 ENDPOINTS WITHOUT ASSISTANCE FROM THE EDGE SWITCH.
- 14 AND ONE READING THAT DEFINITION WOULD UNDERSTAND FROM THE
- 15 PREVIOUS DISCUSSION THAT WE CITE THERE FROM COLUMN 23, LINES 4
- 16 THROUGH 8, THAT THAT ASSISTANCE THAT IS REQUIRED IS NOT MERELY
- 17 | THAT YOU CAN PLUG IN A PLUG INTO A LITTLE PORT ON THE BACK OF
- 18 | THE NETWORK DEVICE, BUT THAT IT WILL PROVIDE THE ASSISTANCE
- 19 | NECESSARY TO ALLOW IT TO PERFORM SIP NETWORK SIGNALING AS A
- 20 | SIP NETWORK SIGNALING ENDPOINT. AND THAT IS THE CONVERSION
- 21 | REFERRED TO IN THE TELEPHONE LINE INTERFACE SECTION IN COLUMN
- 22 23.
- THE COURT: WELL, I THINK THIS HAS BEEN WORTHWHILE.
- $24\mid$  SO LET'S GO FORWARD WITH THE REST OF THE CONSTRUCTION.
- MR. MCANDREWS: THANK YOU, YOUR HONOR. I AM HOPEFUL,

- 1 GIVEN THE COURT'S DILIGENT WORK IN GETTING US A PRELIMINARY
- 2 CLAIM CONSTRUCTION, THAT WE CAN FINISH THIS UP MUCH QUICKER
- 3 THAN WE'D HOPED TODAY.
- 4 THE COURT: WELL, I WISH I HAD GIVEN YOU THOSE
- 5 YESTERDAY, BUT I'M READING THIS OVER MORNING COFFEE AND I
- 6 THOUGHT I SHOULD HAVE GIVEN THESE TO THE PARTIES AND GIVE THEM
- 7 A CHANCE TO COMMENT ON THEM.
- 8 MR. MCANDREWS: SO LET ME START -- WELL, I THINK I'LL
- 9 GO -- WELL, LET ME START HERE. SO JUST IN SUMMARY, THERE'S
- 10 FOUR ASSERTED CLAIMS. I CAN UNDERSTAND FROM THE COURT'S
- 11 ABILITY TO COME OUT WITH SIX PRELIMINARY CLAIM CONSTRUCTIONS
- 12 | AT THIS STAGE, THE COURT IS WELL AWARE OF THESE THINGS. I
- 13 JUST WANTED TO POINT OUT THAT THIS IS UNIQUE, AND IT WOULD BE
- 14 | STRANGE TO COURTS THAT DON'T REGULARLY DEAL WITH PATENTS, THAT
- 15 WE HAVE TAKEN THE LIBERTY TO REWRITE CLAIM 16 AS A DEPENDENT
- 16 CLAIM. BUT THE PARTIES ARE IN PERFECT AGREEMENT ON THAT.
- 17 | THAT'S COMMON WHERE AN INDEPENDENT CLAIM IS NO LONGER BEING
- 18 ASSERTED THAT THE DEPENDENT CLAIM BECOMES ESSENTIALLY AN
- 19 INDEPENDENT CLAIM, AND THAT'S WHAT'S BEEN DONE HERE. SO CLAIM
- 20 | 16 IS THE FOURTH OF THE FOUR ASSERTED CLAIMS.
- 21 NOW I WANT TO START WITH THE COURT'S CONSTRUCTION OF
- 22 NETWORK DEVICE AS THE BASIS FOR THE CONVERSATION HERE. AND
- 23 | THE COURT'S CONSTRUCTION IS A HARDWARE DEVICE CONFIGURED TO
- 24 TRANSMIT AND RECEIVE DATA OVER A BROADBAND NETWORK. THERE IS
- 25 NOTHING WRONG WITH THAT CONSTRUCTION IN AND OF ITSELF, BUT IT

- 1 | LEAVES AN AMBIGUITY WITH RESPECT TO THE TERM THAT I THINK IS
- 2 | THE LINCHPIN IN THE PARTIES' DISPUTE HERE. AND IF I CAN GO
- 3 FORWARD TO THE SLIDES THAT ARE RELEVANT HERE.
- 4 SO THE NETWORK DEVICE OF THE CLAIM, THE TERM NETWORK
- 5 DEVICE APPEARS IN THE PREAMBLE OF CLAIMS 9, 10, AND 12, AND IT
- 6 | SHOWS UP IN THE BODY OF CLAIM 16. BUT NETWORK DEVICE IS USED
- 7 | IN THE PREAMBLE TO INTRODUCE A DEVICE THAT WILL HAVE A NUMBER
- 8 OF ELEMENTS THAT FOLLOW THE PREAMBLE. AND THOSE ELEMENTS -- I
- 9 DON'T THINK THERE IS ANY DISPUTE THAT THOSE ELEMENTS ARE ALL
- 10 DEPLOYED AT THE SAME PREMISE AND USE A SINGLE BROADBAND
- 11 | NETWORK INTERFACE TO COMMUNICATE WITH THE GREATER NETWORK.
- 12 THE AMBIGUITY THAT'S LEFT WITH THE COURT'S PROPOSED
- 13 CONSTRUCTION IS THAT IT IS STILL NOT CLEAR WHAT A DEVICE NEEDS
- 14 TO BE MADE UP OF. ESN'S PROPOSED CONSTRUCTION WOULD ALLOW THE
- 15 JURY TO DETERMINE WHETHER A COLLECTION OF ENCLOSURES THAT MAKE
- 16 UP A SINGLE DEVICE IS A SINGLE DEVICE BY LOOKING AT WHETHER IT
- 17 | PRESENTS ITSELF TO A NETWORK AS A SINGLE, LOGICAL NODE ON THE
- 18 NETWORK AS WOULD BE THE CASE WITH AN EDGE SWITCH WHERE YOU
- 19 | HAVE MULTIPLE MODULES WORKING TOGETHER. BUT AS FAR AS THE
- 20 | NETWORK IS CONCERNED, IT'S A SINGLE LOGICAL ENTRYWAY INTO THE
- 21 NETWORK.
- 22 EVEN CISCO'S PROPOSED CONSTRUCTION LEAVES A CERTAIN
- 23 AMOUNT OF AMBIGUITY. LET ME RUN FORWARD TO CISCO'S
- 24 CONSTRUCTION HERE. CISCO'S CONSTRUCTION WOULD BE A SINGLE
- 25 PIECE OF EQUIPMENT THAT TRANSMITS AND RECEIVES DATA OVER THE

BROADBAND NETWORK. BUT AGAIN, IF YOU WERE TO READ THAT YOU 1 WOULD UNDERSTAND THAT IT HAS TO BE A SINGLE PIECE OF 2 EQUIPMENT. REALLY WHAT THEY ARE SAYING IS IT NEEDS TO BE IN A 3 SINGLE ENCLOSURE. HOWEVER, AND I THINK THAT'S THE WAY THE 4 5 JURY WOULD REASONABLY INTERPRET WHAT CISCO HAS WRITTEN AS --THE COURT: SO THAT'S YOUR CONCERN, THE JURY'S 6 7 INTERPRETATION OF EITHER THE COURT'S CONSTRUCTION OR CISCO'S? MR. MCANDREWS: MY CONCERN IS THAT THEY WOULD 8 CONCLUDE THAT IT NEEDS TO BE IN A SINGLE ENCLOSURE. AND AS WE 9 POINTED OUT, THE DOCTRINE OF CLAIM DIFFERENTIATION, CLAIM 12 10 ALREADY STATES THAT THE NETWORK DEVICE IS IN A SINGLE PHYSICAL 11 12 ENCLOSURE. UNDER THE DOCTRINE OF CLAIM DIFFERENTIATION, TYPICALLY THAT WOULD MEAN THAT CLAIM 9 IS BROADER. AND WHAT 13 WE HAVE ATTEMPTED TO DO IS DEFINE HOW THE JURY CAN GAUGE 15 WHETHER THEY ARE LOOKING AT SOMETHING THAT IS A SINGLE NETWORK DEVICE WITHOUT FOCUSING SO MUCH ON THE NUMBER OF ENCLOSURES. 16 AND WHAT'S ODD ABOUT CISCO'S CONSTRUCTION IS THAT THE 17 ARGUMENT THAT THEY USE TO SUPPORT THEIR CONSTRUCTION INCLUDES 18 A CONCESSION THAT THERE ARE -- THERE ARE THINGS THAT WOULD BE 19 CONSIDERED A SINGLE PIECE OF EQUIPMENT EVEN. THEY USE THE TERM SINGLE PIECE OF EQUIPMENT. WHERE THAT PIECE OF EQUIPMENT 21 IS A TELEPHONE, FOR EXAMPLE, AND A TELEPHONE HAS MULTIPLE PHYSICAL ENCLOSURES. IT HAS A HANDSET THAT IS ENCLOSED IN 23 24 PLASTIC, USUALLY, AND IT WILL HAVE A BASE STATION TO WHICH THE 25 HANDSET IS TETHERED. A SIMILAR EXAMPLE WOULD BE A DESKTOP

- 1 COMPUTER. FROM THE NETWORK'S PERSPECTIVE, IT'S A SINGLE
- 2 DEVICE THAT'S COMMUNICATING OVER THE INTERNET, FOR EXAMPLE,
- 3 BUT IT WOULD HAVE A KEYBOARD, A DISPLAY, A MAIN HOUSING, A
- 4 MOUSE, FOR EXAMPLE, POSSIBLY SOME OTHER PERIPHERALS. BUT AS
- 5 FAR AS THE NETWORK IS CONCERNED, AND WHAT WE ARE TALKING ABOUT
- 6 HERE IS A NETWORK DEVICE. AS FAR AS THE NETWORK IS CONCERNED,
- 7 THAT WOULD STILL COMPRISE A SINGLE DEVICE.
- 8 AND SO NOW WE RECOGNIZE THAT YOU HAVE TO HAVE SOME WAY OF
- 9 DETERMINING THE BOUNDARIES OF WHAT A DEVICE IS. AND SO OUR
- 10 PROPOSED CONSTRUCTION WOULD ALLOW THE JURY TO UNDERSTAND
- 11 WHETHER SOMETHING WAS A SINGLE DEVICE BASED ON WHETHER IT WAS
- 12 PRESENTED TO THE NETWORK AS A SINGLE DEVICE. CISCO CRITICIZES
- 13 OUR CONSTRUCTION BECAUSE IT USES THE WORD LOGICAL NODE AND IT
- 14 | SAYS THAT THAT WOULD CONFUSE THE JURY. AND THEY POINT TO A
- 15 | NEWTON'S TELECOM DICTIONARY DEFINITION THAT DEFINES LOGIC NODE
- 16 AS AN ABSTRACT REPRESENTATION OF A PEER GROUP OR A SWITCHING
- 17 | SYSTEM AS A SINGLE POINT. AND I THINK --
- 18 THE COURT: YEAH. WHERE DID YOU -- HOW DID YOU
- 19 ARRIVE AT THIS TERM? MY RECOLLECTION, IT'S USED NOWHERE IN
- 20 | THE PATENT. IS THAT CORRECT?
- 21 MR. MCANDREWS: I AM SORRY. LOGICAL NODE?
- 22 THE COURT: RIGHT, YES.
- 23 MR. MCANDREWS: THAT'S CORRECT. WE USED IT ACTUALLY
- 24 VERY MUCH IN THE SENSE THAT NEWTON'S TELECOM DICTIONARY USES
- 25 THE TERM. AND THAT IS THAT IT IS -- WHILE IT MAY BE AN

- 1 ABSTRACT GROUPING, A PEER GROUP OR A SWITCHING SYSTEM THAT
- 2 | MAKES UP MULTIPLE DISTINCT ENCLOSURES, IT WOULD STILL BE --
- 3 IT'S STILL REPRESENTED TO A NETWORK AS A LOGICAL NODE BY
- 4 INTERCABLING CONNECTIONS. ULTIMATELY ALL OF THOSE THINGS THAT
- 5 ARE CONNECTED COMMUNICATE WITH A NETWORK THROUGH A SINGLE
- 6 POINT. AND SO LOGICAL NODE, MAYBE THAT'S TOO MUCH FOR THE
- 7 JURY TO SWALLOW.
- 8 THE COURT: I STARTED TO SAY, DO YOU THINK THAT'S
- 9 GOING TO MEAN ANYTHING TO A JURY?
- 10 MR. MCANDREWS: RIGHT. AND POSSIBLY WHAT WE NEED TO
- 11 DO --
- 12 THE COURT: DO YOU HAVE ANYTHING THAT -- I AM NOT
- 13 | NECESSARILY AGREEING WITH YOU, BUT THAT WOULD BE MORE
- 14 UNDERSTANDABLE TO A JURY IF I SHOULD ADOPT YOUR CONSTRUCTION?
- 15 MR. MCANDREWS: WELL, IT SEEMS LIKE THE PARTIES ARE
- 16 | IN AGREEMENT ON THE FACT THAT THERE CAN BE MULTIPLE ENCLOSURES
- 17 | THAT STILL MAKE UP A NETWORK DEVICE. I THINK WHAT THE PARTIES
- 18 | STRUGGLED WITH WAS WHAT WAS THE PROPER WORDING FOR THE JURY TO
- 19 UNDERSTAND WHEN YOU ARE LOOKING AT A SINGLE NETWORK DEVICE AS
- 20 OPPOSED TO MULTIPLE. AND OUR PROPOSED CONSTRUCTION USES THE
- 21 | TERM LOGICAL NODE. IF WE SUBSTITUTED THE WORD POINT, A
- 22 | LOGICAL POINT ON A NETWORK, I THINK MAYBE THAT MIGHT BE MORE
- 23 UNDERSTANDABLE TO A JURY. AND THAT'S IN FACT THE WORD THAT IS
- 24 USED BY NEWTON'S TELECOM DICTIONARY, THAT IT IS AN ABSTRACT
- 25 REPRESENTATION OF A PEER GROUP OR SWITCHING SYSTEM AS A SINGLE

- 1 POINT.
- 2 NOW, THE WORD ABSTRACT DOESN'T DO ANYBODY ANY GOOD,
- 3 RIGHT, BUT I THINK THE POINT IS OF THE NEWTON'S TELECOM
- 4 | DICTIONARY IS THAT IT'S NOT A SPECIFIC TYPE OF REPRESENTATION
- 5 OR PEER GROUP. IT'S WHATEVER IT MIGHT BE AS LONG AS IT'S
- 6 REPRESENTED AS A SINGLE POINT. SO GIVEN -- AND I FULLY
- 7 UNDERSTAND THAT A JURY MAY HAVE TROUBLE SWALLOWING THE WORD
- 8 LOGIC NODE. AND IF THE COURT PERMITS, WE WOULD MODIFY OUR
- 9 | PROPOSED CONSTRUCTION AS A LOGICAL POINT ON THE NETWORK.
- 10 THE COURT: LOGICAL POINT. OKAY.
- 11 MR. MCANDREWS: AND I THINK THAT THAT WOULD HANDLE --
- 12 | THAT WOULD HANDLE THE POTENTIAL CONFUSION OF A CONSTRUCTION
- 13 | THAT LEAVES THE WORD DEVICE ONLY IN THERE AND LEAVES IT UP TO
- 14 | THE JURY TO GUESS AT WHAT, WHETHER IT NEEDS TO BE SINGLE
- 15 | ENCLOSURE OR MULTIPLE ENCLOSURES, OR WHAT IT NEEDS TO BE TO BE
- 16 A DEVICE.
- 17 THE COURT: ARE WE GOING TO HAVE A RESPONSE AT THIS
- 18 POINT?
- MR. VERHOEVEN: YES, YOUR HONOR.
- 20 THE COURT: THIS IS ONE OF THESE WHERE YOU FEEL THE
- 21 | PLAINTIFF'S CONSTRUCTION IS ALL WRONG, AS WELL AS THE COURT'S
- 22 SUGGESTED CONSTRUCTION. SO WHY?
- 23 MR. VERHOEVEN: WE AGREED -- WELL, THE ONLY -- THE
- 24 ONLY POINT OF CLARIFICATION WOULD BE THAT WE THINK IT'S A
- 25 | SINGLE HARDWARE DEVICE. I THINK I HEARD COUNSEL SAYING THAT

- 1 THEY AGREE IT'S A SINGLE, BUT THE QUESTION IS, WHAT'S A
- 2 DEVICE? I THINK THAT THE WORD DEVICE IS A COMMONLY USED WORD
- 3 A JURY WOULD UNDERSTAND, YOUR HONOR, FROM THEIR OWN LIVES.
- 4 AND THE SINGLE VERSUS MULTIPLE SOUNDS LIKE WE HAVE AGREEMENT
- 5 ON. BUT JUST BRIEFLY, YOUR HONOR, LET ME RUN THROUGH OUR
- 6 ARGUMENT.
- 7 THE COURT: IS THAT CORRECT? COULD YOU LIVE WITH A
- 8 | SINGLE WHATEVER YOUR -- WE THEN GO TO?
- 9 MR. MCANDREWS: WELL, YOUR HONOR, WE AGREE THAT WE
- 10 ARE TALKING ABOUT A SINGLE NETWORK DEVICE. THE PROBLEM IS
- 11 | THAT THE JURY MAY BE CONFUSED BY THE WORD SINGLE, AGAIN, IF WE
- 12 DON'T GIVE SOME SCOPE AND BOUNDARY TO WHAT A DEVICE NEEDS TO
- 13 BE TO BE CONSIDERED A SINGLE DEVICE.
- 14 THE COURT: OKAY.
- 15 MR. VERHOEVEN: IF I MAY JUST RUN THROUGH OUR POINTS,
- 16 YOUR HONOR. SO AS YOUR HONOR KNOWS, YOU START WITH THE CLAIMS
- 17 | THEMSELVES. AND HERE, IF YOU GO TO THE NEXT SLIDE, PLEASE.
- 18 MS. SHARPER: CAN WE -- CAN WE SWITCH OVER TO OURS?
- 19 MR. VERHOEVEN: GO TO THE NEXT SLIDE. IF YOU LOOK AT
- 20 THE CLAIMS THEMSELVES, YOUR HONOR, EVERY TIME IN THE CLAIMS
- 21 WHERE THE PHRASE APPEARS, IT APPEARS IN THE SINGULAR, A
- 22 | NETWORK DEVICE. SO WE ARE NOT TALKING ABOUT MULTIPLE DEVICES
- 23 HERE. IT'S A SINGLE DEVICE. AND IF YOU LOOK THEN TO THE
- 24 | SPECIFICATION, YOUR HONOR, AND SEE HOW THE SPECIFICATION
- 25 | INFORMS THINGS, THE SPECIFICATION DESCRIBES SUBCOMPONENTS OF

- 1 THIS SINGLE DEVICE. AND IN THE DEFINITIONS, FOR EXAMPLE, YOU
- 2 WILL SEE THAT THE DIFFERENT SUBCOMPONENTS ARE REFERRED TO AS
- 3 SUBCOMPONENTS THAT I THINK, YOUR HONOR, WOULD LEAD TO THE
- 4 IMPLICATION OR INFERENCE THAT THEY ARE SUBCOMPONENTS OF THE
- 5 | SINGLE DEVICE. GO TO THE NEXT SLIDE, PLEASE.
- 6 EVERY PICTURE IN THE SPECIFICATION SHOWS A SINGLE DEVICE,
- 7 YOUR HONOR. AND THE NEXT SLIDE. PERHAPS THE MOST IMPORTANT
- 8 | PIECE OF THE SPECIFICATION IN TERMS OF THIS IS THE SUMMARY OF
- 9 | THE INVENTION, YOUR HONOR. AND THAT'S AT COLUMN 12, LINES 2
- 10 | THROUGH 4. IN THE SUMMARY OF THE INVENTION IT SAYS, QUOTE: IT
- 11 AGGREGATES SEVERAL FUNCTIONS TOGETHER INTO A SINGLE, COST-
- 12 EFFECTIVE DEVICE THAT IS DEPLOYED BY THE CARRIER AS A PREMISE-
- 13 BASED NETWORK ELEMENT. AND AS YOUR HONOR KNOWS, THE SUMMARY
- 14 OF THE INVENTION IS NOT JUST A PREFERRED EMBODIMENT. IT'S
- 15 DESCRIBING THE WHOLE INVENTION. IF YOU GO TO THE NEXT SLIDE.
- 16 THE CONSTRUCTION PROPOSED BY PLAINTIFF, ESN, WE WOULD
- 17 | CONTEND CREATES AMBIGUITY WITH THIS PHRASE LOGICAL NODE,
- 18 WHATEVER THAT IS. AND ALSO THE PHRASE, QUOTE: COLLECTION OF
- 19 HARDWARE AND SOFTWARE. LITERALLY UNDER THEIR CONSTRUCTION
- 20 | THAT COULD BE A HUNDRED COMPUTERS THAT ARE LOGICALLY CONNECTED
- 21 IN DISPARATE AREAS OF THE COUNTRY. THAT'S NOT WHAT THE PATENT
- 22 IS TALKING ABOUT WHEN IT TALKS ABOUT A NETWORK DEVICE.
- 23 IN FACT, YESTERDAY AT THE TUTORIAL THEY WERE TELLING YOU
- 24 | THAT WHAT THEY ALLEGE IS THE THING THAT'S NEW OR UNIQUE ABOUT
- 25 | THEIR INVENTION IS THEY INTEGRATED EVERYTHING INTO A SINGLE

- 1 BOX. THEY DON'T CONTEND THAT SIP WAS THEIR INVENTION. WHAT
- 2 THEY CONTEND IS THEY PUT IT ALL -- THEY PUT IT TOGETHER IN A
- 3 BOX IN THE EDGE SWITCH. AND NOW WHEN THEY ARE GOING TO
- 4 | CONSTRUE WHAT THAT DEVICE IS, THEY WANT TO CONSTRUE IT SO IT
- 5 COULD BE IN A BUNCH OF BOXES THAT ARE NOT NECESSARILY
- 6 CONNECTED IN ANY WAY EXCEPT LOGICALLY. SO WE THINK THAT THAT
- 7 CREATES AMBIGUITY AND UNDULY BROADENS THE PHRASE AND SHOULD BE
- 8 | REJECTED BY THE COURT. GO TO THE NEXT SLIDE, PLEASE.
- 9 WITH RESPECT TO THE CLAIM DIFFERENTIATION ARGUMENT, YOUR
- 10 HONOR, THERE IS A DIFFERENCE BETWEEN WHETHER IT'S A SINGLE
- 11 DEVICE OR NOT AND WHETHER IT'S IN A SINGLE ENCLOSURE OR NOT.
- 12 AND SO THE FACT THAT THERE IS A DEPENDENT CLAIM THAT SAYS THAT
- 13 THE SINGLE DEVICE IS ALSO IN A SINGLE ENCLOSURE DOES NOT MEAN
- 14 | THAT THE INDEPENDENT CLAIM DEVICE MEANS IT COULD BE MULTIPLE
- 15 DEVICES. AND WE USED THE TELEPHONE AS AN EXAMPLE, YOUR HONOR,
- 16 BECAUSE TELEPHONE IS CLEARLY A SINGLE DEVICE AND THE JURY
- 17 WOULD UNDERSTAND THAT, YOUR HONOR. AND IT HAS A COUPLE OF
- 18 | ENCLOSURES. IT'S GOT THE HANDSET WHICH HAS A SEPARATE
- 19 | ENCLOSURE FROM THE BOX. SO THE CLAIM DIFFERENTIATION
- 20 ARGUMENT, IN OUR VIEW, IS NOT PERSUASIVE, YOUR HONOR. WITH
- 21 | THAT I WILL CONCLUDE.
- 22 THE COURT: ANY REPLY POINTS OR COMMENTS?
- MR. MCANDREWS: YES, BRIEFLY, YOUR HONOR. FIRST OF
- 24 ALL, I NEVER REPRESENTED YESTERDAY THAT OUR INVENTION WAS
- 25 JAMMING A BUNCH OF THINGS IN A SINGLE BOX. I DON'T THINK I

- 1 EVER USED THAT TERM. BUT MORE IMPORTANTLY, THE CRITICISM
- 2 AGAIN IS THAT THIS LOGICAL NODE IS UNDEFINED. AND CISCO'S
- 3 COUNSEL LOOSELY REPRESENTED WHAT I SAID A LOGICAL NODE
- 4 REQUIRED. HE SUGGESTED THAT COMPUTERS STREWN ABOUT THE
- 5 COUNTRY COULD BE CONSIDERED A SINGLE DEVICE AS LONG AS THEY
- 6 ARE LOGICALLY CONNECTED IN SOME WAY. THAT'S NOT AT ALL OUR
- 7 | POINT. THOSE COMPUTERS STREWN ABOUT THE COUNTRY WOULD BE
- 8 REPRESENTED TO THE NETWORK AS MULTIPLE DIFFERENT POINTS AROUND
- 9 THE NETWORK. WHAT WE ARE TALKING ABOUT IS A COLLECTION OF
- 10 PIECES OF EQUIPMENT OR A COLLECTION OF ENCLOSURES ON A SINGLE
- 11 PREMISE THAT I PRESENTED TO A NETWORK AS A SINGLE LOGICAL
- 12 | POINT, NOT A LOGICAL COLLECTION OF THINGS IN THE ABSTRACT BUT
- 13 AS A SINGLE, LOGICAL POINT REPRESENTED FROM THE NETWORK
- 14 | PERSPECTIVE.
- 15 THE COURT: VERY WELL. NOW WHAT WOULD YOU LIKE TO GO
- 16 TO NOW, WHICH CLAIM TERM?
- MR. MCANDREWS: I THINK THAT THE BEST PLACE TO GO
- 18 | NEXT IS TO TAKE ON THE SIP TERMS. AND THE SIP TERMS, I
- 19 BELIEVE THERE ARE SIX OF THEM AND THEY ARE ALL -- THEY KIND OF
- 20 BEGIN TO FLOW TOGETHER. SO I THINK AS WE AGREED YESTERDAY, WE
- 21 ARE GOING TO TAKE THESE AS ONE SINGLE TRANCHE ALL THE WAY
- 22 THROUGH. AND THEN WHEN THAT IS DONE I THINK IT WILL LEAVE US
- 23 WITH THE SIXTH TERM ON THE SHEET WHICH THE PARTIES I BELIEVE
- 24 ARE IN -- DID WE DECIDE WHAT WE WERE GOING TO DO WITH THE
- 25 | SIXTH TERM ON THE SHEET? I THOUGHT WE DECIDED THAT MAYBE WE

WERE --1 THE COURT: GOING TO STAND ON THE --2 MR. MCANDREWS: -- JUST HAPPY TO JUST LIVE WITH OUR 3 BRIEFS. 4 5 MR. VERHOEVEN: STAND ON THE PAPERS. 6 MR. MCANDREWS: STAND ON OUR PAPERS. 7 MR. VERHOEVEN: FROM OUR PERSPECTIVE, YES, UNLESS YOU PRESENT ARGUMENT, COUNSEL. 8 MR. MCANDREWS: NO, I WILL NOT BE PRESENTING ARGUMENT 9 10 THEN. THE COURT: WELL, I'D LIKE TO HEAR FROM YOU VERY 11 BRIEFLY ON THE SIXTH TERM WHY YOU DISAGREE, AND MAYBE IT'S CLEAR FROM YOUR BRIEFING WHY YOU DISAGREE WITH MY PRELIMINARY 13 14 | CONSTRUCTION. 15 MR. MCANDREWS: YES, YOUR HONOR. 16 THE COURT: YOU DON'T NECESSARILY HAVE TO DO IT NOW, IN WHATEVER ORDER YOU'D LIKE TO TAKE IT UP. 17 MR. MCANDREWS: MAYBE A GOOD WAY TO DO IT IS TO 18 HANDLE IT NOW WHILE WE'VE GOT THE SHEET OUT. YOUR HONOR --20 THE COURT: AND THIS DOESN'T HAVE TO BE LENGTHY IF YOU ARE GOING TO RELY ON YOUR BRIEFING, BUT IF YOU'LL BRIEFLY 21 22 MR. MCANDREWS: YEAH, I'M JUST -- I AM NOT GOING TO 23 GO WITH THE POWERPOINT HERE. I'LL JUST GO OFF THE PAPER HERE.

WE ARE IN -- WE ARE LARGELY IN AGREEMENT WITH THE COURT'S

- 1 | CONSTRUCTION.
- 2 THE COURT: WHERE ARE YOU IN DISAGREEMENT WITH THE
- 3 COURT'S CONSTRUCTION?
- 4 MR. MCANDREWS: AND ACTUALLY IT'S I DON'T EVEN THINK
- 5 WE ARE IN DISAGREEMENT. I JUST THINK THERE IS -- THERE IS
- 6 POSSIBLE ROOM FOR A MISUNDERSTANDING OF ONE PORTION OF THE
- 7 | CONSTRUCTION. AND SO IT READS, THE CONSTRUCTION READS: SIP
- 8 PROXY SERVER THAT CAN BE USED BY THE SIP USER AGENTS
- 9 REPRESENTING TELEPHONE STATIONS. ACTUALLY LET ME POINT OUT
- 10 TWO MINOR THINGS HERE. SO THE FIRST PORTION THAT I JUST READ
- 11 | THERE, WHILE I DON'T THINK IT NECESSARILY READS AS A
- 12 REQUIREMENT, IT DOES STATE THAT THERE ARE SIP USER AGENTS,
- 13 PLURAL, REPRESENTING TELEPHONE STATIONS, PLURAL, AND I DON'T
- 14 | THINK THAT'S A REQUIREMENT OF THE CLAIM. AND I WOULD -- I
- 15 WOULD BE HAPPY TO LEAVE THAT PORTION OF IT IF THOSE WERE
- 16 | SINGULARIZED.
- THE COURT: IF IT SIMPLY SAID: AGENT AND STATION. IS
- 18 | THAT WHAT YOU ARE --
- 19 MR. MCANDREWS: RIGHT. USED BY THE SIP USER AGENT
- 20 REPRESENTING THE TELEPHONE STATION, OR IT'S A ONE OR MORE
- 21 | TELEPHONE STATION SITUATION, ACTUALLY. BUT THEN THE SECOND
- 22 | PART WHICH -- AND IF WE RECALL FROM THE DISCUSSION YESTERDAY,
- 23 | THE SIP USER AGENT IS ACTUALLY PROVIDED BY THE NETWORK DEVICE
- 24 AND SO IT REPRESENTS A TELEPHONE STATION WHICH IS INCAPABLE OF
- 25 REPRESENTING ITSELF TO A SIP SIGNALING NETWORK WITHOUT THE

1 | NETWORK DEVICE.

THE SECOND PORTION READS, THOUGH, SIP USER AGENTS

REPRESENTING COMPUTER STATIONS TO PARTICIPATE IN SIP NETWORK

SIGNALING OPERATIONS. AND THE CLAIM ITSELF DOES NOT SUGGEST

OR REQUIRE IN ANY WAY THAT THERE IS A SIP USER AGENT IN THE

NETWORK DEVICE. IT MAY BE THAT THE COMPUTER WORK STATION HAS

ITS OWN SIP USER AGENT SO IT'S ABLE TO REPRESENT ITSELF EVEN

TO THE NETWORK DEVICE.

NOW, YOUR PROPOSED CLAIM CONSTRUCTION DOESN'T REQUIRE

THAT. A NATURAL READING OF THIS IS THAT THE SIP USER AGENT

COULD BE IN THE NETWORK DEVICE OR IT COULD BE SOMEWHERE ELSE

BETWEEN THE COMPUTER WORK STATION AND THE NETWORK DEVICE, OR

IT COULD BE IN THE COMPUTER WORK STATION. MY CONCERN IS THAT

BECAUSE IT PARALLELS THE LANGUAGE IMMEDIATELY BEFORE IT THAT

IT'S A SIP USER AGENT REPRESENTING A TELEPHONE STATION, THAT

IT MAY CONFUSE THE JURY INTO BELIEVING IT --

THE COURT: WELL, HOW WOULD YOU LIKE TO CLARIFY IT OR
TWEAK IT?

MR. MCANDREWS: THE ONLY WAY I WOULD CLARIFY IT IS

LEAVE OUT THE PORTION THAT SAYS A SIP USER AGENT REPRESENTING

COMPUTER WORK STATIONS. SO IT WOULD SAY: SIP PROXY SERVER

THAT CAN BE USED BY THE SIP USER AGENT REPRESENTING TELEPHONE

-- REPRESENTING THE TELEPHONE STATION AND COMPUTER WORK

STATIONS TO PARTICIPATE IN SIP NETWORK SIGNALING OPERATIONS.

SO WE WOULD JUST LEAVE OUT THE SIP USER AGENT REPRESENTING.

THE COURT: I SEE. COMMENTS FROM CISCO? 1 2 MR. VERHOEVEN: ONE SECOND, YOUR HONOR. THE COURT: YOU MAY CONFER IF YOU WISH, ESPECIALLY IF 3 IT LEADS TO AGREEMENT. 4 5 (SIDE BAR DISCUSSION BETWEEN MR. VERHOEVEN AND MS. 6 SHARPER, OFF THE RECORD) 7 MR. VERHOEVEN: YOUR HONOR, WE THINK THAT AS TO THE POINT THAT COUNSEL JUST MADE, THAT YOUR CONSTRUCTION IS ACTUALLY CLEARER BECAUSE IT'S JUST EASIER TO UNDERSTAND. SO 10 WE WOULD PREFER YOUR WORDING. AND YOUR HONOR REQUESTED VERY BRIEFLY FOR US TO ARTICULATE WHY WE WOULDN'T STIPULATE TO THIS 11 CONSTRUCTION, SO LET ME DO THAT REAL QUICK FOR THE RECORD. 13 CAN WE SWITCH OVER TO --THE COURT: SO IF I AM GOING TO USE THIS, YOU WANT IT 14 15 USED AS IS. YOU DON'T NECESSARILY AGREE WITH THE CONSTRUCTION BUT YOU DON'T LIKE THE PLAINTIFF'S MODIFICATIONS OR 17 CLARIFICATION? MR. VERHOEVEN: AGREE. OUR POINT IS A DIFFERENT 18 POINT FROM THE PLAINTIFF'S POINT, YOUR HONOR. AND WITH 19 20 RESPECT TO THE PLAINTIFF'S POINT, WE THINK THAT YOUR CONSTRUCTION IS ACTUALLY CLEARER, YOUR HONOR. SO WE'D LIKE IT 21 AS IS. AND THE REASON WE ARE NOT STIPULATING TO THE COURT'S CONSTRUCTION IS BECAUSE WE THINK THAT THE PROPER 23

INTERPRETATION OF THIS PHRASE WOULD INCLUDE THE NOTION THAT

THIS SIP PROXY SERVER IS THE DEFAULT SERVER.

JUST REALLY BRIEFLY, YOUR HONOR, EVERY TIME IT APPEARS IN 1 THE SPEC -- AND WE PUT THIS UP, I AM NOT GOING TO READ THEM --2 BUT EVERY TIME IT APPEARS IN THE SPEC, IT'S CLEAR THAT IT'S 3 REFERRING TO --4 5 THE COURT: THIS IS YOUR SLIDE 67? MR. VERHOEVEN: THIS IS SLIDE 67 OF OUR POWERPOINT 6 7 AND THERE'S CITATIONS ON EACH OF THESE. I AM NOT GOING TO READ THEM INTO THE RECORD, YOUR HONOR, UNLESS YOU WANT ME TO. 8 THE COURT: NO. WE'LL MAKE THE SLIDES A PART OF THE 9 RECORD, BOTH PARTIES. 10 MR. VERHOEVEN: THANK YOU, YOUR HONOR. BUT EACH OF 11 THESE SHOWS THAT IT'S REFERRING TO THIS AS THE DEFAULT SIP PROXY SERVER. AND THE NEXT SLIDE, PLEASE. 13 IN THE SPECIFICATION, AND I WILL CITE THIS ONE. THIS IS 15 COLUMN 31, 44 THROUGH 47. THIS IS THE BOTTOM BULLET HERE. IT SAYS, QUOTE: "THESE SIP USER AGENTS MUST UTILIZE THE SIP PROTOCOL STACK AS THEIR DEFAULT SIP PROXY SERVER IN ORDER TO 17 PARTICIPATE IN SIP NETWORK SIGNALING OPERATIONS THAT INVOLVE 18 CARRIER-OWNED SIP NETWORK SIGNALING ENDPOINTS," CLOSE QUOTE. 19 20 AND SO BASED ON THE SPECIFICATION, I KNOW THERE IS A GENERAL PROPOSITION THAT YOU ARE NOT SUPPOSED TO IMPORT 21 LIMITATIONS FROM THE SPECIFICATION INTO THE CLAIMS, BUT HERE

WE FEEL THAT THE SPECIFICATION AS A WHOLE WHEN YOU READ IT,

THAT ONE OF ORDINARY SKILL WOULD UNDERSTAND THAT THIS NOTION

THAT THE SIP'S PROXY SERVER IS THE DEFAULT PROXY SERVER SHOULD

23

- 1 BE INCLUDED. AND THAT'S OUR -- THAT'S OUR ONLY POINT OF
- 2 DISAGREEMENT, YOUR HONOR.
- 3 THE COURT: ANY REPLY COMMENTS, OR DO YOU WANT TO
- 4 STAND ON THE BALANCE OF YOUR -- ON YOUR PAPERS?
- 5 MR. MCANDREWS: I JUST WANT TO MAKE A FEW BRIEF
- 6 COMMENTS HERE, AND THAT IS, SO AS CISCO COUNSEL ACKNOWLEDGES,
- 7 | THIS IS TAKING WORDS FROM THE SPECIFICATION FROM THE PREFERRED
- 8 EMBODIMENT AND IMPORTING THEM INTO THE CLAIM. AND IF WE CAN
- 9 SWITCH OVER TO MINE JUST BRIEFLY.
- 10 AND SO CISCO'S CONSTRUCTION ADDS, FIRST OF ALL, IT ADDS
- 11 | THE WORD DEFAULT AS CISCO'S COUNSEL JUST MENTIONED, BUT IT
- 12 | ALSO THROWS IN THAT IT REQUIRES THAT INVOLVED CARRIER-OWNED
- 13 | SIP NETWORK SIGNALING ENDPOINTS. AND THESE TERMS DEFAULT, AND
- 14 THE REFERENCE TO SIP NETWORK SIGNALING ENDPOINTS APPEARS
- 15 NOWHERE IN THE CLAIM.
- 16 THIS IS A CLASSIC CASE OF IMPORTING LIMITATIONS FROM A
- 17 | PREFERRED EMBODIMENT INTO THE CLAIM WITHOUT ANY HOOK IN THE
- 18 CLAIM. THERE IS NO HOOK IN THE CLAIM. YOU KNOW, THE FEDERAL
- 19 CIRCUIT HAS ASKED FOR A TEXTUAL HOOK IN THE CLAIM BECAUSE WE
- 20 ARE SUPPOSED TO BE INTERPRETING THE LANGUAGE OF THE CLAIM, NOT
- 21 ADDING LIMITATIONS. AND THIS IS A SITUATION WHERE WE BELIEVE
- 22 THAT THE TERM DEFAULT, BECAUSE IT'S NOT IN THE CLAIM, MERELY
- 23 IN THE PREFERRED EMBODIMENT, AND THIS DISCUSSION OF SIP
- 24 | NETWORK SIGNALING ENDPOINTS THAT ARE INVOLVED IN CARRIER-OWNED
- 25 OPERATIONS IS UNSUPPORTED BY THE LANGUAGE IN THE CLAIM.

- 1 THE COURT: ARE YOU READY TO GO FORWARD ON THE
- 2 | BALANCE? I THINK YOU ARE GOING TO DO THOSE IN ALL ONE GROUP,
- 3 IS THAT CORRECT? AND THEN WE ARE GOING TO HAVE A RESPONSE AND
- 4 A REPLY. IS THAT CORRECT?
- 5 MR. MCANDREWS: THAT'S CORRECT, YOUR HONOR. YOUR
- 6 HONOR, AS I MENTIONED, THERE ARE SIX DISPUTED TERMS IN THE SIP
- 7 TRANCHE, AND I SKIPPED FORWARD TO SLIDE 32 OF OUR
- 8 PRESENTATION. HERE WE SEE THE DISPUTED CLAIM TERM OR PHRASE.
- 9 IN CONTEXT, IT'S A MACHINE-READABLE STORAGE MEDIUM THAT STORES
- 10 PROCESSOR-EXECUTABLE INSTRUCTIONS TO PROVIDE SIP AGENTS, AND
- 11 THE DISPUTED TERM HERE IS SIP.
- 12 ESN'S PROPOSED CONSTRUCTION IS THE TERM SIP IS SHORTHAND
- 13 | FOR SESSION INITIATION PROTOCOL, WHICH IS A COMMUNICATIONS
- 14 PROTOCOL FOR CREATING, MODIFYING, AND TERMINATING SESSIONS
- 15 WITH ONE OR MORE PARTICIPANTS. THESE SESSIONS MAY INCLUDE
- 16 INTERNET TELEPHONE CALLS, INTERNET MULTIMEDIA CONFERENCES, AND
- 17 OTHER TYPES OF MULTIMEDIA DISTRIBUTION.
- 18 NOW, ESN'S CONSTRUCTION COMES DIRECTLY OUT OF RFC 2543,
- 19 AND THERE WAS QUITE A BIT OF DISCUSSION OVER WHAT AN RFC IS
- 20 | YESTERDAY. OUR PROPOSED CONSTRUCTION IS NEARLY A WORD-FOR-
- 21 WORD REPEAT OF THE ABSTRACT OF RFC 2543. AS YOU CAN SEE RIGHT
- 22 | HERE, THIS COMES RIGHT FROM PAGE 1 OF RFC 2543, AND ESN'S
- 23 | CONSTRUCTION MIRRORS THIS CONSTRUCTION VERY CLOSELY.
- 24 NOW, IT SEEMS TO BE THE DEBATE BETWEEN THE PARTIES THAT
- 25 | THE JURY SHOULD ADDITIONALLY BE TOLD THAT THIS MUST BE SIP

- 1 ACCORDING TO RFC 2543, BUT I THINK THAT THE JURY SHOULD BE
- 2 ALLOWED TO UNDERSTAND THAT THIS IS SIP. IT IS NOT SIP PER
- 3 2543. IT IS SIP AS ONE OF SKILL IN THE ART WOULD UNDERSTAND
- 4 AT THE TIME. AND AS WE DISCUSSED YESTERDAY, THESE RFCS --
- 5 WELL, FOR STARTERS, RFC 2543 WAS REPLACED. IN OTHER WORDS,
- 6 THE DRAFT SIP STANDARD WAS UPDATED ONLY ABOUT SIX WEEKS AFTER
- 7 THE
- 8 | PATENT-IN-SUIT WAS FILED. AND I THINK THAT RFC 3261 WILL
- 9 INCLUDE MUCH OF WHAT THOSE OF SKILL IN THE ART AT THE TIME THE
- 10 PATENT WAS FILED WOULD UNDERSTAND TO BE THE USAGE OF SIP. SO
- 11 | I DON'T THINK THAT FOR STARTERS WE SHOULD BE LIMITED TO RFC
- 12 | 2543.
- 13 THE COURT: THAT'S ESSENTIALLY THE CRUX OF THE BATTLE
- $14\mid$  ON ALL THESE TERMS, IS IT NOT, WHETHER IT'S LIMITED TO THESE
- 15 | STANDARDS, THIS LANGUAGE AS SET FORTH AND IN ACCORDANCE WITH?
- 16 | IS THAT CORRECT?
- 17 MR. MCANDREWS: THAT'S TRUE, YOUR HONOR. AND WE
- 18 BELIEVE THAT WHEN THE TERM IN ACCORDANCE WITH IS USED,
- 19 ESPECIALLY WHEN IT SAYS IN ACCORDANCE WITH AND A SPECIFIC
- 20 | STANDARD, RFC 25 -- SPECIFIC DRAFT STANDARD RFC 2543 IS
- 21 | INCLUDED IN THE CLAIM LANGUAGE, IT PUTS THE JURY IN THE
- 22 | IMPROPER POSITION OF HAVING TO PULL OUT THIS VERY LENGTHY
- 23 | SPECIFICATION AND DETERMINE ON THE FLY WHETHER SOMETHING THAT
- 24 | CISCO IS ASSERTING TO BE A REQUIREMENT IS ACTUALLY A
- 25 REQUIREMENT. AND I WILL SHOW YOU AS WE MOVE THROUGH THESE

- 1 TERMS THAT THAT REALLY IS A DANGER BECAUSE CISCO AND ITS
- 2 EXPERT HAVE TAKEN SOME LIBERTIES WITH WHAT ARE THE ACTUAL
- 3 REQUIREMENTS EVEN OF THE DRAFT STANDARDS.
- 4 OUR POINT, ESN'S POSITION IS THAT AT LEAST WITH RESPECT
- 5 TO THIS ELEMENT, INTERPRETATION OF THE WORD SIP, THAT USING
- 6 THE GENERAL DEFINITION OF SIP AS IT'S PROVIDED IN RFC 2543 AND
- 7 | IN RFC 3261 SHOULD BE SUFFICIENT FOR THE JURY.
- 8 NOW, ONE ADDITIONAL POINT IS THAT THESE DOCUMENTS ARE
- 9 CALLED REQUEST FOR COMMENTS. AND CISCO'S COUNSEL SUGGESTED
- 10 YESTERDAY THAT IT DOESN'T REALLY MEAN WHAT IT SAYS. IT'S NOT
- 11 ASKING FOR COMMENTS. BUT RIGHT IN THE DOCUMENT ITSELF IT SAYS
- 12 | THIS DOCUMENT SPECIFIES AN INTERNET STANDARDS TRACK PROTOCOL
- 13 FOR THE INTERNET COMMUNITY, AND REQUESTS DISCUSSION AND
- 14 | SUGGESTIONS FOR IMPROVEMENTS.
- 15 SO THE POINT HERE IS THAT THE GUIDELINES OF THE RFC, THEY
- 16 ARE GUIDELINES. THEY ARE NOT ETCHED IN STONE. THERE IS NOT
- 17 PERFECT TO THE LETTER COMPLIANCE REQUIRED WITH EVERY NUANCE
- 18 AND DETAIL OF RFC 2543 OR 3261. THOSE IN THE INTERNET
- 19 COMMUNITY THAT ARE IMPLEMENTING THESE STANDARDS WOULD
- 20 UNDERSTAND THAT ONLY SUBSTANTIAL COMPLIANCE WITH A VIEW
- 21 | TOWARDS THE PURPOSE OF THE STANDARD, WHICH IS INTEROPERABILITY
- 22 BETWEEN EQUIPMENT MADE BY DIFFERENT MANUFACTURERS. CISCO'S
- 23 COUNSEL MENTIONED YESTERDAY THAT IN THE MID 1990S VOCALTEC
- 24 CAME OUT WITH A PIECE OF SOFTWARE, BUT IT WAS PROPRIETARY. IT
- 25 COULD ONLY BE USED BY VOCALTEC. SO IF YOU WANTED TO

- 1 | COMMUNICATE WITH SOMEBODY THAT HAD A VOCALTEC PIECE OF
- 2 | EQUIPMENT, YOU HAD TO BUY IT FROM
- 3 VOCALTEC.
- 4 THE COURT: ANY FEDERAL CIRCUIT CASE LAW ON THIS
- 5 ISSUE?

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- 6 MR. MCANDREWS: ANY FEDERAL CASE LAW ON THE
- 7 INTERPRETATION OF STANDARDS?
- 8 THE COURT: RIGHT.
  - MR. MCANDREWS: THERE IS NO DOUBT THAT THERE ARE
    FEDERAL CIRCUIT CASES THAT ALLOW INCLUSION OF STANDARDS IN A
    PROPOSED CONSTRUCTION. BUT THE UNDERSTANDING HERE IS BECAUSE
    THIS IS NOT A STANDARD, IT IS A DRAFT STANDARD, THAT IT'S
    STILL IN A STAGE OF THE RFC WHERE THEY ARE ACTIVELY SOLICITING
    COMMENTS FOR IMPROVEMENTS, IT WOULD BE UNDERSTOOD, BUILT INTO
    THE LITERAL SCOPE OF THE CONSTRUCTION THAT ONLY SUBSTANTIAL
    COMPLIANCE WITH THE IMPORTANT PARTS OF THE RFC WOULD BE

NOW, THE IMPORTANT PARTS ARE EASY TO IDENTIFY. AS I'LL SHOW YOU IN A MINUTE, CISCO TOOK SOME LIBERTIES, THOUGH, AND THERE IS A DANGER IN ALLOWING THEM TO ALLEGE TO THE JURY THAT THE RFC REQUIRES SOMETHING WHEN IT DOESN'T IN FACT REQUIRE IT BY ITS OWN LANGUAGE. HERE IN FACT IS -- THIS IS RFC 2119.

IT'S ESN EXHIBIT L AT PAGE 1. THIS IS CALLED BEST CURRENT PRACTICE FOR RFCS. AND THE RFCS ARE PUT OUT BY A GROUP CALLED THE INTERNET ENGINEERING TASK FORCE, IETF. AND ALL OF THESE

REQUIRED TO MEET THE LITERAL LANGUAGE OF THE CLAIM.

- 1 RFCS ARE READ. THEY CROSS REFERENCE EACH OTHER. THEY ARE
- 2 READ AS A GROUP BY THOSE OF SKILL IN THE ART.
- 3 RFC 2119 PROVIDES BEST CURRENT PRACTICES FOR THE DRAFTING
- 4 OF AN RFC. AND THIS SAYS: IN MANY STANDARDS TRACK DOCUMENTS,
- 5 SUCH AS RFC 2543 AND 3261, THE DRAFT STANDARDS ON SIP --
- 6 SEVERAL WORDS ARE USED TO SIGNIFY THE REQUIREMENTS IN THE
- 7 | SPECIFICATION. THESE WORDS ARE OFTEN CAPITALIZED. THIS
- 8 | DOCUMENT DEFINES THESE WORDS AS THEY SHOULD BE INTERPRETED IN
- 9 THE LETE DOCUMENTS. AUTHORS WHO FOLLOW THESE GUIDELINES
- 10 SHOULD INCORPORATE THIS PHRASE NEAR THE BEGINNING OF THEIR
- 11 DOCUMENT. SO, IF AN AUTHOR OF AN RFC IS GOING TO FOLLOW THE
- 12 GUIDELINES OF 2119, THEY ARE GOING TO INCORPORATE THIS PHRASE.
- 13 AND THE PHRASE IS THE KEY WORDS CAPITALIZED M-U-S-T, MUST NOT,
- 14 AND SO ON, IN THIS DOCUMENT ARE TO BE INTERPRETED AS DESCRIBED
- 15 | IN RFC 2119.
- 16 RFC 2119 GOES ON TO CAUTION DRAFTERS IN THE USE OF THESE
- 17 TERMS THAT ARE CALLED IMPERATIVES. THESE CAPITALIZED MUST,
- 18 | MUST NOT, SHALL, OPTIONALLY, AND SO ON, THOSE ARE CALLED
- 19 IMPERATIVES. AND THE GUIDELINES -- THIS PROVIDES GUIDANCE IN
- 20 | THE USE OF IMPERATIVES. IMPERATIVES OF THE TYPE DEFINED IN
- 21 | THIS MEMO MUST BE USED WITH CARE AND SPARINGLY. IN
- 22 | PARTICULAR, THEY MUST ONLY BE USED WHERE IT IS ACTUALLY
- 23 REQUIRED FOR INTEROPERATION. AND AGAIN, THE WHOLE PURPOSE OF
- 24 | THESE STANDARDS IS TO ALLOW INTEROPERATION BETWEEN EQUIPMENT
- 25 MADE BY DIFFERENT MANUFACTURERS. SO THEY MUST ONLY BE USED

- 1 WHERE IT IS ACTUALLY REQUIRED FOR INTEROPERATION OR TO LIMIT
- 2 | BEHAVIOR WHICH HAS POTENTIAL FOR CAUSING HARM (E.G., LIMITING
- 3 RETRANSMISSION.) FOR EXAMPLE, THEY MUST NOT BE USED TO TRY TO
- 4 IMPOSE A PARTICULAR METHOD ON IMPLEMENTORS WHERE THE METHOD IS
- 5 NOT REQUIRED FOR INTEROPERABILITY.
- 6 SO STANDARDS MAY MENTION CERTAIN IMPLEMENTATION DETAILS
- 7 BUT THOSE AREN'T IMPERATIVES. THEY DON'T HAVE TO BE FOLLOWED
- 8 | NECESSARILY. SO THOSE WOULD NOT BE CONSIDERED A REQUIREMENT
- 9 OF THE SPECIFICATION AND A DEVICE THAT DID NOT FOLLOW THE NON-
- 10 | IMPERATIVES OF THE SPEC COULD STILL BE CONSIDERED COMPLIANT
- 11 | WITH THE DRAFT STANDARD.
- 12 NOW, I SAID THAT -- I MENTIONED THAT IF A DRAFTER OF A
- 13 | STANDARD, SUCH AS RFC 2543, IS GOING TO RELY ON THOSE
- 14 GUIDELINES ON IMPERATIVES FROM 2119, THEY WOULD INCLUDE A
- 15 PHRASE RIGHT IN THE RFC ITSELF. AND THIS COMES FROM RFC 2543.
- 16 | IT'S ALSO FOUND IN RFC 3261. IT'S ON PAGE 8 OF RFC 2543 AND
- 17 PAGE 10 OF RFC 3261. AND THIS IS -- THIS IS THE PHRASE THAT
- 18 INCORPORATES THE REQUIREMENTS OF RFC 2119. IT SAYS THAT THESE
- 19 | IMPERATIVE TERMS ARE TO BE INTERPRETED AS DESCRIBED IN RFC
- 20 | 2119.
- 21 SO THE POINT, YOUR HONOR, IS THAT SUBSTANTIAL COMPLIANCE
- 22 | WITH THE DRAFT STANDARDS WOULD BE ALL THAT'S EXPECTED TO
- 23 DETERMINE WHETHER YOU ARE USING SIP OR SOME OTHER STANDARD.
- 24 AND OF COURSE THE FOCUS WOULD BE ON IMPERATIVES. NOW, WE FEEL
- 25 -- ESN FEELS THAT IT WOULD HAVE BEEN APPROPRIATE WHERE CISCO

- 1 | FELT THAT A PARTICULAR REQUIREMENT OF A STANDARD WAS RELEVANT
- 2 TO THE DISPUTE, THEY SHOULD HAVE BROUGHT IT FORWARD. IN ONE
- 3 CASE THEY DID, AND I WILL BE ABLE TO SHOW TO THE COURT THAT
- 4 | THAT PARTICULAR REQUIREMENT THAT THEY -- DETAIL THAT THEY CALL
- 5 A REQUIREMENT IS ACTUALLY NOT A REQUIREMENT PER RFC 2119
- 6 BECAUSE IT IS NOT USED WITH THE IMPERATIVES. IN OTHER WORDS,
- 7 | IT'S AN IMPLEMENTATION DETAIL THAT IS LEFT UP TO THE
- 8 IMPLEMENTOR TO DECIDE WHETHER THEY WANT TO USE IT OR NOT.
- 9 THE COURT: SO DO YOU TAKE THE POSITION ON ALL THESE

  10 TERMS THERE ARE NO REQUIREMENT PRESENT ON ANY OF THEM? OR DO

  11 YOU CONCEDE THERE ARE REQUIREMENTS PRESENT WITH SOME OF THE
- 12 | TERMS? WHAT'S YOUR --

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REQUIREMENTS FOR CERTAIN TERMS. BUT ONE MUST BE VERY CAREFUL

IN DETERMINING WHETHER IT IS A REQUIREMENT BECAUSE IT WILL USE

MR. MCANDREWS: WE CONCEDE THAT THERE ARE

- 16 IMPERATIVES. AND IT MAY USE AN IMPERATIVE SUCH AS MAY. AND
- 17 IN THAT CASE WE KNOW IT MAY OR IT MAY NOT DO WHAT IT STATED,
- 18 | SO IT WOULD BE DIFFICULT TO CALL THAT A REQUIREMENT. OR IT
- 19 | MAY SAY OPTIONALLY. SO YOU ARE REALLY NOT CALLING -- I
- 20 | SUPPOSE IT'S A REQUIREMENT, BUT IT'S A REQUIREMENT THAT IT MAY
- 21 OR MAY NOT, SO IT'S REALLY NOT A REQUIREMENT. IT'S GOING TO
- 22 | BE THE ONES THAT SAY MUST AND MUST NOT AND SHALL AND SHALL NOT
- 23 THAT REALLY ARE THE ONES THAT ARE REQUIREMENTS.
- 24 SINCE CISCO HASN'T POINTED OUT ANY OF THOSE REQUIREMENTS
- 25 OTHER THAN THE SINGLE ONE THAT I'LL ADDRESS, THEY HAVE REALLY

- 1 | PUT THE JURY IN A DIFFICULT SPOT IF THE COURT'S CONSTRUCTION
- 2 SAYS IN ACCORDANCE WITH. WE FEEL LIKE OUR CONSTRUCTIONS PULL
- 3 IN THE ESSENTIAL REQUIREMENTS OF THE SIP SPECIFICATION THAT
- 4 | ARE NECESSARY TO DETERMINE WHETHER YOU ARE LOOKING AT A SIP
- 5 USER AGENT AND A SIP PROXY SERVER OR NOT.
- 6 NOW MOVING ON TO THE NEXT TERM, SIP AGENTS, AND THE
- 7 DISPUTE HERE IS OVER WHETHER THE TERM SIP AGENTS IS INDEFINITE
- 8 OR NOT. CISCO HAS NOT PROPOSED ANY CONSTRUCTION FOR THIS TERM
- 9 BECAUSE THEY CLAIM THAT THE TERM SIP AGENTS IS INDEFINITE.
- 10 OUR CONSTRUCTION IS THAT A SIP AGENT IS A SOFTWARE ENTITY THAT
- 11 PROVIDES A SIP FUNCTION AND ACTS ON BEHALF OF A PERSON, THING,
- 12 OR OTHER SOFTWARE ENTITY. A SIP USER AGENT AND A SIP PROXY
- 13 SERVER ARE EXAMPLES OF SIP AGENTS. AND I WILL DEMONSTRATE HOW
- 14 THAT IS SO.
- 15 | FIRST OF ALL, FROM THE CONTEXT OF THE CLAIM WE UNDERSTAND
- 16 | THAT THE DRAFTER OF THE CLAIM INTENDED THAT THE SIP AGENTS
- 17 | REFERRED TO IN THE FIRST PORTION OF THE QUOTE THAT I PROVIDE
- 18 ON SLIDE 41 ARE THE TWO AGENTS THAT FOLLOW. SO, IN OTHER
- 19 | WORDS, IT'S A MACHINE-READABLE STORAGE MEDIUM THAT STORES
- 20 | PROCESSOR-EXECUTABLE INSTRUCTIONS TO PROVIDE SIP AGENTS. SO
- 21 THIS IS SAYING THAT THERE IS A MEMORY, IT STORES SOFTWARE, AND
- 22 IT PROVIDES AT LEAST TWO SIP AGENTS. THE LANGUAGE THAT
- 23 FOLLOWS, THE TWO SUBSECTIONS OF THE CLAIM THAT FOLLOW LISTS
- 24 WHAT THOSE TWO AGENTS ARE. THE INSTRUCTIONS CAUSE THE NETWORK
- 25 DEVICE TO PROVIDE A SIP USER AGENT. THE INSTRUCTIONS FURTHER

CAUSE THE NETWORK DEVICE TO IMPLEMENT A SIP PROXY SERVER.

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NOW, SO CISCO PROPOSES THAT IT'S INDEFINITE. BUT TO DO 2 THIS THEY MUST IGNORE THE CONTEXT IN WHICH THE TERM IS USED IN 3 CLAIM 9 AND THEY ALSO HAVE TO IGNORE THE FACT THAT THE TERM 5 AGENTS IS USED IN A MANNER THAT IS PERFECTLY CONSISTENT WITH THE CLASSIC DEFINITION OF AGENT. SO THE CLASSIC DEFINITION --7 SO THE CLASSIC DEFINITION OF AGENT IS AN AGENT -- OF AN AGENT IS AN ENTITY ACTING ON BEHALF OF ANOTHER. AND THIS COMES OUT OF NEWTON'S TELECOM DICTIONARY WHICH IS A DICTIONARY FROM THE 9 RELEVANT FIELD, TELECOMMUNICATIONS. CISCO'S ONLY REBUTTAL TO 10 THIS DEFINITION IS THAT IT'S NOT APPLICABLE TO THE FIELD OF 11 THE '519 PATENT. THEY SUGGEST BECAUSE IT'S NOT A SIP DICTIONARY THAT WE CANNOT RELY ON IT FOR DETERMINING WHAT THE 13 TERM SIP AGENT MEANS. 15

NOW, THIS IS ODD THAT CISCO WOULD SUGGEST NEWTON'S

TELECOM DICTIONARY CAN'T BE USED FOR THE '519 PATENT BECAUSE

CISCO RELIED ON NEWTON'S TELECOM IN THEIR OWN PROPOSED

CONSTRUCTIONS. NOW, THOSE DIDN'T MAKE IT INTO THE BRIEFS

BECAUSE THE PARTIES WOUND UP AGREEING ON THOSE CONSTRUCTIONS

AND THEY BECAME AGREED CONSTRUCTIONS. BUT CISCO, AND THIS IS

IN ESN'S EXHIBIT O IN THEIR LOCAL RULE 4-2 PRELIMINARY CLAIM

CONSTRUCTIONS RELIED ON NEWTON'S, THERE IS NO REASON TO

BELIEVE THAT NEWTON'S IS NOT AN AUTHORITATIVE DICTIONARY. AND

WHAT THIS DEMONSTRATES, THAT IN THE TELECOM FIELD, THE TERM

ENTITY -- I AM SORRY -- THE TERM AGENT TAKES ON THE SAME

MEANING THAT IT WOULD IN ORDINARY ENGLISH.

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2 NOW, THE CLASSIC DEFINITION PERFECTLY APPLIES TO THE MANNER IN WHICH SIP AGENTS IS USED IN THE CLAIM. 3 THE FIRST AGENT IS LISTED HERE: TO PROVIDE A SIP USER AGENT TO REPRESENT 5 A NON-SIP TELEPHONE. SO IT'S A USER AGENT THAT IS ACTING ON BEHALF OF THE NON-SIP TELEPHONE. AND THAT'S EXACTLY WHAT'S 7 STATED IN THE PATENT SPECIFICATION, BY THE WAY. IN THE '519 PATENT AT COLUMN 31, LINES 41 THROUGH 44, IT SAYS: SIP USER AGENTS ARE CREATED TO OPERATE ON BEHALF OF TELEPHONE STATIONS. 9 I DON'T THINK IT COULD BE ANY MORE CLEAR THAT A SIP USER AGENT 10 IS ONE OF THE TWO SIP AGENTS REFERRED TO IN THE CLAIM, NOT TO 11 MENTION IT HAS RIGHT IN ITS OWN NAME THE WORD AGENT, SIP USER 13 AGENT.

NOW THE SECOND OF THE TWO AGENTS LISTED IN THE CLAIM IS
THE SIP PROXY SERVER. AND THE CLAIM STATES THAT THE SIP PROXY
SERVER MEDIATES ALL SIP COMMUNICATIONS OVER THE BROADBAND
NETWORK INTERFACE INVOLVING A NON-SIP TELEPHONE. SO IT IS
ACTING ON BEHALF OF THE NON-SIP TELEPHONE SOMEWHAT INDIRECTLY
BECAUSE IT'S ACTUALLY OPERATING ON BEHALF OF THE SIP USER
AGENT THAT IS REPRESENTING THE NON-SIP TELEPHONE. BUT IN ANY
EVENT, THE DEFINITION OF SIP PROXY SERVER PROVIDED IN THE RFC
THAT BOTH OF THE PARTIES RELIED ON IS A SIP PROXY SERVER IS AN
INTERMEDIARY PROGRAM THAT ACTS AS BOTH A SERVER AND A CLIENT
FOR THE PURPOSE OF MAKING REQUESTS ON BEHALF OF OTHER CLIENTS.
SO IT PERFECTLY TRACKS THE LANGUAGE OF THE CLASSIC DEFINITION

- 1 OF AGENT. SO WE BELIEVE SIP AGENT IS DEFINITE, AND WE BELIEVE
- 2 | SIP AGENT SHOULD BE CONSTRUED IN THE MANNER ESN HAS PROPOSED
- 3 BECAUSE CISCO HAS NOT PROPOSED AN ALTERNATIVE CONSTRUCTION.
- 4 | FINALLY IN ORDER TO FIND THE CLAIM INDEFINITE AS CISCO
- 5 SUGGESTS, THEY HAVE TO OVERCOME THE PRESUMPTION OF VALIDITY.
- 6 THERE IS A PRESUMPTION THAT THE PATENT EXAMINER WHEN HE READ
- 7 | THE CLAIM UNDERSTOOD WHAT HE WAS READING AND UNDERSTOOD IT IN
- 8 | THE CONTEXT OF THE CLAIM JUST THE WAY WE HAVE PROPOSED. AND
- 9 | INVALIDATING A CLAIM FOR INDEFINITE REQUIRES AN EXACTING
- 10 | STANDARD. IT CAN'T JUST BE THAT WE ARE GOING TO IGNORE THE
- 11 CONTEXT OF THE CLAIM AND WE ARE GOING TO IGNORE THE STANDARD
- 12 USAGE OF A TERM IN THE ENGLISH LANGUAGE AND SAY IT'S
- 13 INDEFINITE. IT REQUIRES AN EXACTING STANDARD.
- 14 AND CLAIMS ARE ONLY INDEFINITE IF THEY ARE INSOLUBLY
- 15 AMBIGUOUS THE FEDERAL CIRCUIT HAS CAUTIONED. I DON'T THINK
- 16 | THAT WE HAVE A SITUATION HERE WHERE WE CANNOT SOLVE THE
- 17 PROBLEM OF WHAT WAS MEANT BY THE TERM SIP AGENTS IN THE
- 18 | CONTEXT OF THE CLAIM, GIVEN THE PLAIN ENGLISH MEANING OF THE
- 19 TERM AGENTS AND THE FACT THAT BOTH OF THE ENTITIES THAT FOLLOW
- 20 PERFECTLY MATCH THAT DEFINITION.
- 21 | FINALLY, THE FEDERAL CIRCUIT STATES THAT EVEN IF IT'S A
- 22 | FORMIDABLE TASK TO UNDERSTAND A CLAIM AND THE RESULT IS NOT
- 23 UNANIMOUSLY ACCEPTED, AS LONG AS THE BOUNDARIES OF THE CLAIM
- 24 MAY BE UNDERSTOOD, IT IS SUFFICIENTLY CLEAR TO AVOID
- 25 | INVALIDITY FOR INDEFINITENESS. I DON'T THINK CISCO IS

SUGGESTING THAT WE CAN'T DETERMINE THE BOUNDARIES OF THE CLAIM 1 BECAUSE THE LANGUAGE THAT IMMEDIATELY FOLLOWS SIP AGENTS TELLS 2 US WHAT THE BOUNDARY OF THE CLAIM IS. THE CLAIM REQUIRES A 3 SIP USER AGENT AND A SIP PROXY SERVER. SO OUR PROPOSED 4 5 CONSTRUCTION IS AS STATED, AND WE DO NOT BELIEVE THAT THE CLAIM IS INDEFINITE BECAUSE OF THE USE OF THE TERM SIP AGENTS. 7 NOW I WILL GO ON TO ONE OF THE SIP AGENTS MENTIONED IN THE CLAIM, AND IT'S SIP USER AGENT. IN THE CONTEXT OF THE CLAIM, IT'S THE INSTRUCTIONS CAUSING THE NETWORK DEVICE TO 9 PROVIDE A SIP USER AGENT TO REPRESENT A NON-SIP TELEPHONE THAT 10 USES THE TELEPHONE LINE INTERFACE. NOW BOTH PARTIES DISCUSSED 11 THE USE OF SIP USER AGENT IN THE CONTEXT OF THE CLAIM 12 YESTERDAY -- I AM SORRY -- IN THE CONTEXT OF THE PATENT 13 YESTERDAY, AND WE WERE IN GENERAL AGREEMENT THAT THE SIP USER 15 AGENT WAS THE REPRESENTATIVE OF AN ENDPOINT. IT WAS THE ACTUAL ENDPOINT IN A CASE WHERE A DEVICE IS NATIVELY CAPABLE 16 17 OF BEING A SIP USER AGENT, OR IT WAS THE ESSENTIALLY VIRTUAL ENDPOINT WHEN A SIP USER AGENT IS SET UP TO REPRESENT A NON-18 SIP DEVICE, SUCH AS A NON-SIP TELEPHONE, A POTS TELEPHONE. 19 20 ESN'S PROPOSED CONSTRUCTION CONSISTENT WITH THE ESSENTIAL IDENTITY OF A SIP USER AGENT, IS THAT IT'S A SIP NETWORK 21 SIGNALING ENDPOINT. NOW, THESE ARE SOME OF THE PORTIONS OF THE RFC THAT EXPLAIN THAT A USER AGENT HAS BOTH A USER AGENT 23 CLIENT AND A USER AGENT SERVER IN IT. WE DO NOT DISPUTE THAT 24 25 THESE REQUIREMENTS -- WELL, I AM NOT EVEN SURE THAT THEY ARE

- 1 REQUIREMENTS, BUT THEY APPEAR IN THE DEFINITION SECTION OF THE
- 2 | SIP SPECIFICATION, THE DRAFT SIP SPECIFICATION. AND WE DON'T
- 3 DISPUTE THAT A SIP USER AGENT WILL INCLUDE THESE ELEMENTS.
- 4 HOWEVER, THE ESSENTIAL IDENTITY OF A SIP USER AGENT IS THAT IT
- 5 IS THE ENDPOINT. AND IT IS ESSENTIAL TO IDENTIFY IT AS AN
- 6 ENDPOINT BECAUSE THERE ARE OTHER ELEMENTS OF A SIP NETWORK
- 7 THAT ARE NOT ENDPOINTS THAT WILL ACTUALLY INCLUDE A SIP USER
- 8 AGENT CLIENT AND A USER AGENT SERVER. FOR EXAMPLE, YESTERDAY
- 9 BOTH PARTIES EXPLAINED TO THE COURT THAT A SIP PROXY SERVER
- 10 ACTUALLY WILL HAVE BOTH A USER AGENT CLIENT AND A USER AGENT
- 11 | SERVER, BUT IT'S AN INTERMEDIARY PROGRAM, IT'S NOT AN
- 12 ENDPOINT. SO THE JURY WILL BE ABLE TO UNDERSTAND FROM OUR
- 13 | PROPOSED CONSTRUCTION THAT THE SIP USER AGENT IS IDENTIFIED AS
- 14 THE ENDPOINT OF THE SIP NETWORK SIGNALING.
- 15 THE COURT: SO IF I UNDERSTAND WHAT YOU ARE -- I KNOW
- 16 YOU FEEL THAT IT'S INAPPROPRIATE TO HAVE THE LANGUAGE IN
- 17 ACCORDANCE WITH RFC 2543, SO I GATHER EVEN IF THAT WAS LEFT
- 18 | OUT YOU STILL COULDN'T AGREE WITH CISCO'S SUGGESTED
- 19 CONSTRUCTION WITHOUT THIS ENDPOINT LANGUAGE. IS THAT -- IS
- 20 | THAT WHAT YOU ARE SAYING?
- 21 MR. MCANDREWS: WELL, AGAIN AS I JUST MENTIONED,
- 22 | CISCO'S CONSTRUCTION IDENTIFIES AS AN APPLICATION THAT
- 23 CONTAINS BOTH A USER AGENT CLIENT AND USER AGENT SERVER. THE
- 24 PROBLEM IS THAT WOULD CAUSE SOME CONFUSION BECAUSE A PROXY
- 25 | SERVER ALSO HAS A USER AGENT CLIENT.

- THE COURT: THAT'S WHY I SAY YOU FEEL IT NEEDS THE
  LANGUAGE ABOUT THE ENDPOINT. IS THAT CORRECT?
- 3 MR. MCANDREWS: RIGHT. AND SO -- AND, YOUR HONOR, I
- 4 | COULD AGREE TO CISCO'S CONSTRUCTION IF WE PUT IN THAT IT IS A
- 5 | SIP NETWORK SIGNALING ENDPOINT THAT CONTAINS BOTH A USER AGENT
- 6 CLIENT AND USER AGENT SERVER, BUT THEN LEAVE OUT THE IN
- 7 ACCORDANCE WITH BECAUSE IT'S SUCH A LOADED PHRASE.
- 8 NOW I WENT OVER THIS YESTERDAY. I DON'T THINK IT'S
- 9 | NECESSARY TO CONTINUE THROUGH THE LENGTHY EXAMPLE HERE. BUT
- 10 | THE PATENT -- I AM SORRY, BUT YESTERDAY I DID PROVIDE A
- 11 | TUTORIAL ON AN ENDPOINT AND HOW THE SIP ENDPOINT, THE USER
- 12 | AGENT, IS USED. IT GENERATES REQUESTS LIKE AN INVITE. IT
- 13 ACTS AS THE USER AGENT CLIENT AND SO ON. BUT THESE -- THESE
- 14 ARE MORE TUTORIAL THAT THE JURY WILL UNDERSTAND THROUGH THE
- 15 COURSE OF THE TRIAL, AND ULTIMATELY IT'S THE FACT THAT IT'S A
- 16 | SIP ENDPOINT THAT HAS A USER AGENT SERVER AND CLIENT THAT ARE
- 17 | THE IMPORTANT DETAILS, SO I WON'T BELABOR. IT APPEARS THAT
- 18 | THE COURT HAS A GENERAL UNDERSTANDING THAT'S NECESSARY TO
- 19 UNDERSTAND THE DISPUTE HERE.
- 20 JUST ONE MORE POINT. THE PATENT ITSELF USES THE TERM
- 21 | ENDPOINT TO REFER TO A SIP USER AGENT. AND THE RFC, RFC 3261,
- 22 | AT PAGE 8 THROUGH 9, ALSO REFERS TO THE INTERNET ENDPOINTS AS
- 23 USER AGENTS. SO THE RFC ITSELF RECOGNIZES THAT THE ESSENTIAL
- 24 IDENTITY OF A USER AGENT IS THE ENDPOINT.
- 25 NOW MOVE ON TO AN ELEMENT OF THE CLAIM, AND THIS IS

- 1 ACTUALLY THE SIP USER AGENT WAS A SUBSET, A SUBCOMPONENT OF
- 2 | THIS ELEMENT IN THE CLAIM, AND THE ENTIRE LIMITATION IS
- 3 PROVIDE A SIP USER AGENT TO REPRESENT A NON-SIP TELEPHONE THAT
- 4 USES THE TELEPHONE LINE INTERFACE.
- 5 AS WE EXPLAINED YESTERDAY, THE SIP USER AGENT IN THE
- 6 NETWORK DEVICE IS THERE TO REPRESENT A NON-SIP TELEPHONE WHEN
- 7 IT'S PLUGGED INTO THE TELEPHONE LINE INTERFACE. AND CISCO'S
- 8 -- I AM SORRY -- ESN'S PROPOSED CONSTRUCTION IS CONSISTENT
- 9 | WITH THAT. IT'S THE INSTRUCTIONS CAUSE THE NETWORK DEVICE TO
- 10 PROVIDE A SIP USER AGENT AND THEN WE INCLUDE IN HERE THE
- 11 DEFINITION PREVIOUSLY PROVIDED OF SIP USER AGENT, SO THIS
- 12 | CONSTRUCTION WOULD ENCOMPASS BOTH THE CONSTRUCTION OF SIP USER
- 13 AGENT AND THE TERM THAT IT'S USED IN IN CONTEXT.
- 14 SO IT SAYS: FOR THE PURPOSE OF REPRESENTING A NON-IP
- 15 | TELEPHONE THAT IS ATTACHED TO THE NETWORK DEVICE THROUGH THE
- 16 TELEPHONE LINE INTERFACE. BECAUSE THE NON-IP TELEPHONE IS NOT
- 17 | NATIVELY CAPABLE OF DIRECT PARTICIPATION IN SIP
- 18 COMMUNICATIONS, IT RELIES ON THE SIP USER AGENT PROVIDED BY
- 19 | THE NETWORK DEVICE TO PARTICIPATE IN SIP COMMUNICATIONS ON ITS
- 20 BEHALF THEREBY ENABLING THE NON-SIP TELEPHONE TO INDIRECTLY
- 21 | PARTICIPATE IN SIP COMMUNICATIONS.
- 22 NOW I UNDERSTAND THAT THIS --
- 23 THE COURT: YOU MAY BE CORRECT ON THIS CONSTRUCTION,
- 24 BUT I CAN SEE AS I READ THIS TO A JURY THE BLANK LOOK ON THEIR
- 25 FACE.

- MR. MCANDREWS: I ASSUME THAT THEY GET A COPY OF THIS

  2 AS THEY GO BACK TO THE JURY ROOM BUT, AND WE AGREE THAT THIS
- THE COURT: YEAH. BUT WHAT -- I KNOW YOU DISAGREE

  WITH YET ONE MORE TIME, I AM SURE, IN ACCORDANCE WITH RFC

  2543. WHAT DO YOU DISAGREE WITH ON THE REST OF CISCO'S

  SUGGESTED CONSTRUCTION?
- 8 MR. MCANDREWS: OKAY. SO CISCO'S PROPOSED
  9 CONSTRUCTION --

IS A LITTLE LONG ON THE FACE.

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THE COURT: IF WE ENDED IT SIMPLY AFTER SIGNALING,

11 PERIOD, DO YOU DISAGREE WITH THAT CONSTRUCTION? IF SO, WHY?

MR. MCANDREWS: WELL, FIRST OF ALL, IT BEGS THE

QUESTION WHAT IS ALL THE REQUIRED, BECAUSE AGAIN THEY ARE

THROWING IN THE WORD REQUIREMENT AND THEN WE HAVE TO GO GUESS

AT WHAT THE REQUIREMENTS ARE. AND THERE IS A REAL DANGER IN

MAKING UP WHAT THOSE REQUIREMENTS ARE ON THE FLY. THE OTHER

PORTION, I HAVE THE TWO AREAS HIGHLIGHTED HERE THAT WE HAD A

PROBLEM WITH, AND IT'S EACH TELEPHONE STATION. AND THAT WOULD

SUGGEST THAT THERE NECESSARILY WOULD BE MORE THAN ONE

TELEPHONE STATION CONNECTED TO THE NETWORK DEVICE IN ORDER TO

MEET THE LANGUAGE OF THE CLAIM, IN OTHER WORDS, THAT THERE

WOULD BE MORE THAN ONE SIP USER AGENT TO REPRESENT MORE THAN

ONE TELEPHONE. THAT LANGUAGE I THINK COULD BE RESOLVED BY

SAYING: PROVIDES ONE OR MORE SIP USER AGENTS. AND I BELIEVE

OUR CONSTRUCTION, ESN'S PROPOSED CONSTRUCTION WOULD ALLOW IT

- 1 TO BE JUST A SINGLE USER AGENT FOR A SINGLE PHONE OR MULTIPLE
- 2 USER AGENTS FOR MULTIPLE PHONES, WHATEVER IS REQUIRED.
- 3 SO IT'S THE USE OF THE TERM EACH AND THE REMAINDER OF
- 4 | THAT WHICH IS TO PERFORM ALL THE REQUIRED SIP SIGNALING IN
- 5 ACCORDANCE WITH IETF RFC 2543. SO IT BEGS THE QUESTION,
- 6 WHAT'S REQUIRED AND WHAT IS IN ACCORDANCE WITH?
- 7 SO AGAIN, WE BELIEVE THAT IT WOULD BE IMPROPER TO GIVE
- 8 | THE JURY AN INSTRUCTION THAT MERELY TELLS THEM GO FIGURE OUT
- 9 WHAT THE REQUIREMENTS ARE. AND IN THIS CASE, CISCO'S EXPERT
- 10 DID NOT IDENTIFY ANY ALLEGED REQUIREMENTS BUT WERE UNCERTAIN
- 11 WHAT THEIR EXPERT MAY DO AT TRIAL. HE MAY TAKE A POSITION
- 12 | THAT SOME OBSCURE DETAIL IS A REQUIREMENT. AND ABSENT SOME
- 13 | CLARIFYING INSTRUCTION THAT ONLY SUBSTANTIAL COMPLIANCE IS
- 14 UNDERSTOOD WITH THE RFCS AND THE REQUIREMENTS MUST BE
- 15 DETERMINED BASED ON THE USE OF IMPERATIVES, WE THINK THAT
- 16 CISCO'S CONSTRUCTION WOULD BE IMPROPER TO GIVE TO THE JURY.
- 17 NOW, AND SO THOSE, THE COURT CUT RIGHT TO THE ESSENTIAL
- 18 | DEBATE, AND I THINK THAT WE'VE COVERED THAT. I AM GOING TO
- 19 MOVE ON TO THE TERM SIP PROXY SERVER. SIP PROXY SERVER, THE
- 20 | DEFINITION OF SIP PROXY SERVER OR THE PROPOSED CONSTRUCTION OF
- 21 | SIP PROXY SERVER THAT ESN PROPOSES MIRRORS AGAIN NEARLY
- 22 | EXACTLY A DEFINITION OF A SIP PROXY SERVER THAT COMES RIGHT
- 23 OUT OF THE RFC.
- 24 HERE IS THE RFC AND IT'S -- AND, BY THE WAY, THIS IS
- 25 ACTUALLY -- IT'S A LITTLE STRONGER THAN EVEN THE FACT THAT THE

- 1 RFC INCLUDES A DEFINITION. THE DEFINITION FROM THE RFC WAS
- 2 | EXPRESSLY INCORPORATED, NOT EVEN JUST BY REFERENCE BUT
- 3 EXPRESSLY WRITTEN OUT IN THE PATENT SPECIFICATION. ESN
- 4 EXHIBIT A, WHICH IS THE '519 PATENT AT COLUMN 62, LINES 38
- 5 THROUGH 45 STATES: ACCORDING TO RFC -- ACCORDING TO LETF RFC
- 6 2543 ON SIP, THE SESSION INITIATION PROTOCOL, A SIP PROXY
- 7 SERVER IS DEFINED AS FOLLOWS, AND IT INCLUDES LANGUAGE THAT IS
- 8 | MERELY -- IS NEARLY THE EXACT MIRROR OF THE PROPOSED
- 9 CONSTRUCTION THAT ESN PROVIDES. WE BELIEVE THAT THIS IS THE
- 10 ESSENTIAL IDENTITY OF A SIP PROXY SERVER AND NO MORE IS
- 11 REQUIRED OF THE JURY TO UNDERSTAND WHAT A SIP PROXY SERVER IS.
- 12 THE COURT: WHAT DO YOU DISAGREE WITH AS FAR AS
- 13 CISCO'S CONSTRUCTION OTHER THAN THE LAST IN ACCORDANCE WITH
- 14 | LANGUAGE?
- MR. MCANDREWS: AND IT'S THE IN ACCORDANCE WITH
- 16 LANGUAGE. AND THIS IS A SITUATION WHERE I THINK IT WILL BE
- 17 INSTRUCTIVE FOR THE COURT TO UNDERSTAND THE DANGER OF
- 18 | INCLUDING THAT BECAUSE IN THIS SITUATION CISCO AND THEIR
- 19 EXPERT HAVE IDENTIFIED WHAT IS REALLY A NONESSENTIAL DETAIL OF
- 20 THE SIP SPECIFICATION. THEY HAVE CALLED IT A REQUIREMENT. IN
- 21 | FACT THEIR WITNESS FOR THE FIRST TIME IN HIS DECLARATION FILED
- 22 | IN OPPOSITION TO OUR CLAIM CONSTRUCTION MOTION IDENTIFIES IT
- 23 AS ONE OF THE MOST IMPORTANT REQUIREMENTS. IT'S NOT EVEN --
- 24 AS I'LL DEMONSTRATE HERE IN A MOMENT, IT'S NOT EVEN A
- 25 REQUIREMENT, MUCH LESS ONE OF THE MOST IMPORTANT. AND THAT

- 1 WOULD BE A REAL DANGER FOR THEIR EXPERT TO BE ALLOWED TO ARGUE
- 2 | THAT TO THE JURY WITH AN OPEN-ENDED PHRASE LIKE IN ACCORDANCE
- 3 WITH IN THE CLAIM CONSTRUCTION.
- 4 SO LET'S JUMP FORWARD. SO HERE IS CISCO'S LANGUAGE IN
- 5 ACCORDANCE WITH IETF. SO THE INCLUSION OF THIS PHRASE IS
- 6 IMPROPER BECAUSE IT'S UNCLEAR WHAT IT MEANS TO OPERATE IN
- 7 ACCORDANCE WITH. CISCO ORIGINALLY -- CISCO'S ORIGINALLY
- 8 | PROPOSED CLAIM CONSTRUCTION INCLUDED THIS PHRASE BUT AT THE
- 9 | TIME THE PARTIES EXCHANGED PROPOSED CONSTRUCTIONS AND
- 10 | IDENTIFIED EXTRINSIC EVIDENCE, CISCO DID NOT IDENTIFY ANY
- 11 | PARTICULAR ALLEGED REQUIREMENT OF A SIP PROXY SERVER PER RFC
- 12 2543 THAT MUST BE MET IN ORDER FOR SOMETHING TO OPERATE IN
- 13 ACCORDANCE WITH.
- 14 NOW, LIKE WITH CISCO'S PROPOSED CONSTRUCTION OF SIP USER
- 15 AGENTS, CISCO'S CONSTRUCTION OF SIP PROXY SERVER WOULD PUT THE
- 16 JURY IN THE IMPROPER ROLE OF DETERMINING THE LITERAL SCOPE OF
- 17 | THE CLAIM ELEMENT ON THE FLY AND IT WOULD ALLOW CISCO'S EXPERT
- 18 TO SHAPE THE LITERAL SCOPE OF THE CLAIM TO SERVE ITS PURPOSE
- 19 DURING TRIAL.
- 20 CASE IN POINT, I MENTIONED EARLIER THAT THERE IS A REAL
- 21 DANGER IN ALLOWING CISCO TO MAKE UP REQUIREMENTS ON THE FLY.
- 22 | CISCO AND ITS EXPERT DID JUST THAT IN ITS OPPOSITION BRIEF
- 23 ADDRESSING CLAIM CONSTRUCTION IN THE DECLARATION OF THEIR
- 24 EXPERT, DR. BURGER. DR. BURGER STATES: ONE OF THE MOST
- 25 | IMPORTANT RULES, SO HE IS CALLING IT A RULE HERE, THAT A SIP

- 1 PROXY SERVER MUST FOLLOW IS THAT WHEN FORWARDING A SIP REQUEST
- 2 MESSAGE FROM A SIP USER AGENT THE PROXY SERVER MUST COPY THE
- 3 TO, FROM, CALL-ID, AND CONTACT TAGS EXACTLY AS IT RECEIVED
- 4 FROM THE SIP USER AGENT.
- 5 BUT AS YOU CAN SEE FROM THE ACTUAL QUOTE OF RFC 2543,
- 6 THERE IS NO WORD LIKE MUST. THERE IS NO WORD LIKE
- 7 REQUIREMENT. THERE IS NO DISCUSSION THAT THIS IS AN ACTUAL
- 8 REQUIREMENT OF THE SPECIFICATION. IN OTHER WORDS, ACCORDING
- 9 TO RFC 2119, THE BEST PRACTICES FOR DRAFTING THESE DOCUMENTS,
- 10 THE AUTHOR, UNDERSTANDING THOSE REQUIREMENTS, UNDERSTANDING
- 11 WHAT IMPERATIVES ARE USED FOR, PURPOSELY LEFT THEM OUT BECAUSE
- 12 | IT UNDERSTOOD THAT THIS WAS A NONESSENTIAL IMPLEMENTATION
- 13 DETAIL THAT AN IMPLEMENTOR OF A SIP PROXY SERVER MIGHT CHOOSE
- 14 TO FOLLOW OR MIGHT CHOOSE TO NOT FOLLOW.
- 15 HERE IS THE ALLEGED REQUIREMENT IN CONTEXT. AND AS YOU
- 16 CAN SEE, AND THIS COMES FROM 12.3.1, A SECTION CALLED PROXYING
- 17 | REQUESTS FROM RFC 2543, THE SENTENCE IMMEDIATELY FOLLOWING THE
- 18 ALLEGED REQUIREMENT AND THE SENTENCE IMMEDIATELY PRIOR TO THE
- 19 ALLEGED REQUIREMENT DO USE IMPERATIVES. SO THE AUTHOR EVEN IN
- 20 DRAFTING THIS SPECIFIC SECTION WAS WELL AWARE OF THE USE OF
- 21 | IMPERATIVES. SO HERE IT SAYS TO PREVENT LOOPS, A SERVER MUST
- 22 | CHECK, RIGHT? AND THEN IT SAYS THE PROXY SERVER SHOULD
- 23 CHANGE. BUT IN THE ALLEGED REQUIREMENT, NO IMPERATIVE IS
- 24 USED.
- 25 SO DR. -- NOW, DR. BURGER HAS AN OPINION THAT THIS IS AN

- 1 | IMPORTANT REQUIREMENT. IN FACT, HE SAYS IT'S ONE OF THE MOST
- 2 IMPORTANT RULES THAT A SIP PROXY SERVER MUST FOLLOW. NOW, DR.
- 3 BURGER OPINES IN HIS DECLARATION THAT IT'S THIS REQUIREMENT
- 4 THAT DISTINGUISHES A SIP PROXY SERVER FROM A SIP ENTITY CALLED
- 5 A BACK-TO-BACK USER AGENT, OR B2BUA. NOW, THAT TERM WAS NEVER
- 6 USED IN CISCO'S PROPOSED CONSTRUCTIONS, BUT IT APPEARS FROM
- 7 THEIR ARGUMENTS NOW THAT THEY WOULD ARGUE THAT THE LITERAL
- 8 | SCOPE OF WHAT IS A SIP PROXY SERVER NECESSARILY EXCLUDES
- 9 | SOMETHING CALLED A BACK-TO-BACK USER AGENT.

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WAS DRAFTED.

NOW THERE IS A COUPLE OF THINGS THAT WOULD BE WRONG WITH THAT. NUMBER ONE, IT'S UNSUPPORTED BY ANYTHING IN THE SPECIFICATION AND IT'S UNSUPPORTED BY ANYTHING EVEN IN RFC 2543. THERE IS NOTHING THAT SAYS IT EXCLUDES A BACK-TO-BACK USER AGENT. EVEN MORE TO THE POINT, AT THE TIME RFC 2543 WAS PUBLISHED, THE TERM BACK-TO-BACK USER AGENT HADN'T EVEN BEEN COINED. AND IN FACT WHAT IS CURRENTLY CONSIDERED A BACK-TO-

BACK USER AGENT WOULD FALL SQUARELY WITHIN THE DEFINITION OF

WHAT WAS CONSIDERED A SIP PROXY SERVER AT THE TIME RFC 2543

NOW IN JUNE OF 2002, AFTER THE PATENT WAS FILED, A NEW VERSION OF THE RFC DRAFT SPECIFICATION, THE DRAFT SIP SPECIFICATION CAME OUT AND THAT DID USE THE TERM BACK-TO-BACK USER AGENT, BUT IT'S A VERY ABBREVIATED DISCUSSION OF WHAT A BACK-TO-BACK USER AGENT IS, AND IT'S VERY CLEAR THAT WHAT A BACK-TO-BACK USER AGENT IS MATCHES EXACTLY WHAT WOULD HAVE

- 1 BEEN CONSIDERED A SIP PROXY SERVER BACK AT THE TIME THAT RFC
- 2 2543 WAS THE GOVERNING LANGUAGE.
- 3 SO THE COPYING OF TAGS EXACTLY FROM THE ORIGINAL REQUEST
- 4 IS NOT A REQUIREMENT AND IT SHOULD NOT BE READ INTO THE CLAIM
- 5 CONSTRUCTION. AND THAT IS A PERFECT EXAMPLE OF A DANGER THAT
- 6 IS INHERENT IN LEAVING A CONSTRUCTION THAT SAYS IN ACCORDANCE
- 7 | WITH, BECAUSE CISCO AND THEIR EXPERTS COULD MAKE UP ON THE FLY
- 8 WHAT THE REQUIREMENTS ACTUALLY ARE.
- 9 THE COURT: MAYBE I DIDN'T MAKE MYSELF CLEAR, OR
- 10 MAYBE YOU'VE ANSWERED ME, BUT IF I AGREE THAT IT'S
- 11 | INAPPROPRIATE -- I AM NOT NECESSARILY SAYING I AGREE THAT THE
- 12 | IN ACCORDANCE LANGUAGE IS NOT APPROPRIATE AND YOU SIMPLY PUT A
- 13 PERIOD AFTER CLIENTS IN CISCO'S SUGGESTED CONSTRUCTION, DO YOU
- 14 | FEEL THAT CONSTRUCTION WOULD THEN BE INAPPROPRIATE? IT SEEMS
- 15 TO TRACK WITH THE INITIAL LANGUAGE OF YOUR SUGGESTED
- 16 CONSTRUCTION, THEN YOU GO ON TO ADD THE LAST COUPLE OF
- 17 | SENTENCES. I GUESS I AM TRYING TO SAY, WHY ARE THOSE
- 18 | NECESSARY?
- MR. MCANDREWS: THE REMAINING SENTENCES, THE
- 20 REMAINING TWO SENTENCES OF ESN'S PROPOSED CONSTRUCTION, FIRST
- 21 OF ALL, THEY DO COME OUT OF THE EXACT DEFINITION. THEY ARE
- 22 BASED ON THE EXACT DEFINITION OF A SIP PROXY SERVER IN RFC
- 23 2543. AND WE FEEL THEY WOULD BE NECESSARY FOR CLARIFYING THAT
- 24 | THE SIP PROXY SERVER IS SOMETHING THAT IS ACTING IN THE MIDDLE
- 25 OF THE NETWORK AND THAT IT HAS SOME PROCESSING ABILITY OF ITS

- 1 OWN. IT CAN LOOK AT MESSAGES. IT CAN CHANGE THINGS IN THE
- 2 MESSAGE BEFORE IT PASSES THEM ON. SO IT SAYS A PROXY
- 3 INTERPRETS AND, IF NECESSARY, REWRITES A SIP REQUEST MESSAGE
- 4 BEFORE FORWARDING IT. AND WHAT THIS -- WHAT THIS WOULD TELL
- 5 THE JURY IS THAT THE SIP PROXY SERVER IS NOT JUST A DUMB
- 6 TRANSMITTER OF A MESSAGE RECEIVED HERE PASSED THROUGH TO THE
- 7 OTHER SIDE. IT ACTUALLY HAS SOME PROCESSING CAPABILITY OF ITS
- 8 OWN. IT CAN MAKE ITS OWN JUDGMENT CALLS ON THINGS, AND IT CAN
- 9 DO CERTAIN THINGS THAT WILL DETERMINE WHERE THAT MESSAGE IS
- 10 | SENT AND WHETHER IT'S SENT IN THE FIRST PLACE, WHETHER IT'S
- 11 PASSED ON. AND, SO WE DON'T WANT THIS TO TURN A PROXY SERVER
- 12 INTO A DUMB SHUTTLER OF MESSAGES. SO WE BELIEVE THAT THE
- 13 ADDITIONAL SENTENCES HERE THAT COME RIGHT FROM THE DEFINITION
- 14 IN RFC 2543 WILL PROVIDE THAT TO THE JURY.
- 15 THE COURT: WHAT'S YOUR NEXT TERM?
- MR. MCANDREWS: OKAY. THE NEXT TERM IS WHERE SIP
- 17 PROXY SERVER IS USED IN THE GREATER CONTEXT OF THE CLAIM, AND
- 18 WE BELIEVE IT'S APPROPRIATE TO FIRST INTERPRET SIP PROXY
- 19 SERVER BUT THEN TO INTERPRET SIP PROXY SERVER AS IT APPEARS IN
- 20 | THE CLAIM, BECAUSE THE SIP PROXY SERVER HAS A SPECIAL ROLE IN
- 21 THE CLAIM. IT'S NOT ANY SIP PROXY SERVER. IT'S A SIP PROXY
- 22 | SERVER THAT DOES THESE PARTICULAR THINGS THAT THE CLAIM CALLS
- 23 FOR.
- 24 SO IN THE CONTEXT, THE CLAIM ELEMENT DEFINES A PARTICULAR
- 25 ROLE THAT THE SIP PROXY SERVER PLAYS IN PROVIDING SUPPORT FOR

- 1 DEVICES ATTACHED TO THE NETWORK DEVICE. CLAIM 9 STATES THAT
- 2 THE INSTRUCTIONS CAUSE THE NETWORK DEVICE TO IMPLEMENT A SIP
- 3 PROXY SERVER THAT MEDIATES ALL SIP COMMUNICATIONS OVER THE
- 4 BROADBAND NETWORK INTERFACE INVOLVING A NON-SIP TELEPHONE.
- 5 AND THIS LIMITATION DISTINGUISHES THE SIP PROXY SERVER
- 6 | FUNCTIONALITY FROM OTHER TYPES OF SIP PROXY SERVERS THAT MIGHT
- 7 | SERVE DIFFERENT ROLES.
- 8 IT'S IMPORTANT TO MAKE THIS DISTINCTION BECAUSE AT THE
- 9 TIME OF THE INVENTION DISCLOSED IN THE '519 PATENT, THOSE
- 10 FAMILIAR WITH SIP WOULD HAVE RECOGNIZED THAT SIP PROXY SERVERS
- 11 PROVIDE A GENERAL LOGICAL FUNCTION, WHICH IS ACTING AS AN
- 12 | INTERMEDIARY WITH CERTAIN PROCESSING CAPABILITIES TO PASS
- 13 MESSAGES ON, BUT THEY WOULD ALSO HAVE UNDERSTOOD THAT IT WAS
- 14 | CRITICAL TO KNOW WHAT FEATURES WERE BUILT ON TOP OF THE SIP
- 15 PROXY FUNCTION, SO WHAT PROCESSING THE SIP PROXY SERVER WAS
- 16 CAPABLE OF AND PERFORMED BEFORE PASSING ON MESSAGES.
- 17 | I PROVIDED HERE A SNAPSHOT OF A PRESENTATION GIVEN BY
- 18 JONATHAN ROSENBERG. AND JONATHAN ROSENBERG IS ONE OF THE
- 19 AUTHORS OF THE DRAFT SIP STANDARD RFC 2543. HE IS ALSO -- HE
- 20 ALSO HAPPENS TO BE A CURRENT CISCO EMPLOYEE. AND I DOUBT
- 21 | CISCO WOULD DISPUTE THAT HE HAS SOMETHING WORTHWHILE TO SAY ON
- 22 THE TOPIC OF SIP.
- 23 AND IN A PRESENTATION, RIGHT AROUND THE TIME OF THE
- 24 | FILING OF THE PATENTS OR THE PATENT IN THE CASE, HE STATED
- 25 | THAT A SIP PROXY SERVER IS JUST A SIP DEFINED LOGICAL

- 1 | FUNCTION. IT'S NOT USEFUL IN AND OF ITSELF. THE CRITICAL
- 2 PIECE IS THE VALUE ADDED FEATURES BUILT ON TOP OF THE SIP
- 3 PROXY FUNCTION, AND WHICH FEATURES YOU NEED DEPENDS ON THE
- 4 ROLES THAT THE SIP PROXY SERVER PLAYS.
- 5 SO IN THE PATENT WE NEED TO UNDERSTAND WHAT IS THE ROLE
- 6 | THAT THE SIP PROXY SERVER PLAYS. WELL, FIRST THE CLAIM
- 7 | LANGUAGE MAKES IT CLEAR THAT THE TYPE OF SIP COMMUNICATIONS
- 8 ARE THOSE INVOLVING THE NON-SIP TELEPHONE. SO THE PROXY SERVER
- 9 IS DEALING WITH COMMUNICATIONS FROM A NON-SIP TELEPHONE,
- 10 RIGHT? AND THEN IT'S FURTHER NARROWED TO SIP COMMUNICATIONS
- 11 | THAT TAKE PLACE OVER THE BROADBAND NETWORK INTERFACE. SO, FOR
- 12 EXAMPLE, THIS IS NOT A SIP PROXY SERVER THAT IS MANAGING A
- 13 CALL BETWEEN TWO ENDPOINTS THAT ARE ON THE SAME PREMISE. IT
- 14 ACTUALLY REQUIRES THAT IT INVOLVES SIP ENDPOINTS ON DIFFERENT
- 15 | PREMISES BECAUSE THE BROADBAND NETWORK INTERFACE HAS TO BE
- 16 | INCLUDED. SO IT'S A COMMUNICATION THAT PASSES OVER THE
- 17 BROADBAND NETWORK INTERFACE.
- 18 FIGURE 11 ILLUSTRATES HOW THE SIP PROXY SERVER IN
- 19 DIFFERENT NETWORK DEVICES -- FIGURE 11 IS SHOWN HERE AND BLOWN
- 20 UP, AND IT SHOWS HOW SIP PROXY SERVERS IN DIFFERENT NETWORK
- 21 DEVICES DEPLOYED ON DIFFERENT PREMISES ARE INTERMEDIARIES FOR
- 22 SIP COMMUNICATIONS OVER THE BROADBAND NETWORK INTERFACE. AND
- 23 | THE MULTIPLE -- THEY ARE VERY LIGHT NOW, BUT THE MULTIPLE
- 24 DASHED LINES THAT PASS BETWEEN THESE DEVICES DEMONSTRATE THAT
- 25 | IT'S THE COMMUNICATIONS BETWEEN AND AMONG DIFFERENT PREMISES

- 1 THAT PASS OVER THE BROADBAND NETWORK INTERFACE THAT THE CLAIM
  2 IS TALKING ABOUT.
- NOW THE ROLE AND FUNCTION OF THE SIP PROXY SERVER OF THE 3 CLAIM IS FURTHER CLARIFIED AS REQUIRING THE SIP PROXY SERVER 4 5 TO MEDIATE THE SIP COMMUNICATIONS BETWEEN THE ATTACHED NON-SIP TELEPHONE AND REMOTE SIP ENDPOINTS. CISCO'S CONSTRUCTION 6 7 WOULD GIVE ESSENTIALLY NO MEANING TO THE TERM MEDIATE AND IT WOULD RENDER IT NEARLY REDUNDANT TO THE GENERAL DEFINITION OF SIP PROXY SERVER AS AN INTERMEDIARY. BUT THE SPECIFICATION 9 MAKES IT PERFECTLY CLEAR THE INVENTOR INTENDED THE WORD 10 MEDIATE TO HAVE A MORE SPECIFIC MEANING. THE SPECIFICATION 11
- MAKES IT CLEAR THAT THE TERM MEDIATE IS INTENDED TO CLAIM THE

  13 SIP PROXY SERVER'S ABILITY TO PERFORM CALL CONTROL OPERATIONS.
- 14 THIS TERM IS INTENDED TO DISTINGUISH THE INTELLIGENT NETWORK
- 15 DEVICE DESCRIBED AND CLAIMED FROM UNINTELLIGENT NETWORK
- 16 DEVICES REFERRED TO AS RESIDENTIAL GATEWAYS.

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- AND YESTERDAY WE HAD THE DISCUSSION, AND IT WAS ONE OF
  THE ONLY THINGS THAT IF YOU LISTENED REALLY CLOSE WHERE THE
  PARTIES WERE REALLY AT ODDS. CISCO TOOK THE POSITION THAT A
  SIP ENDPOINT THAT HAS MERELY A SIP USER AGENT IS INTELLIGENT,
  AS OPPOSED TO OUR POSITION WAS THAT YOU REALLY NEEDED TO
  INVOLVE A PROXY SERVER THAT WAS CAPABLE OF CALL CONTROL TO
  HAVE INTELLIGENCE.
- AND THE PATENT USES THE TERM. THEY WERE ABLE TO PICK AND CHOOSE AMONG SOME EXTRINSIC EVIDENCE WHERE THE TERM

- 1 INTELLIGENCE WAS USED IN VARIOUS WAYS. BUT IN THE CONTEXT OF
- 2 THE '519 PATENT THE INVENTOR USED THE INTELLIGENCE TO -- FOR A
- 3 VERY SPECIFIC PURPOSE. AND IN THIS CASE, THE INVENTOR FLAT
- 4 OUT STATES AT COLUMN 8, LINES 41 THROUGH 54, THAT A
- 5 RESIDENTIAL GATEWAY -- RESIDENTIAL GATEWAYS ARE UNINTELLIGENT
- 6 IN THE SENSE THAT THEY REQUIRE A MEDIA GATEWAY CONTROLLER TO
- 7 MEDIATE ALL NETWORK SIGNALING FUNCTIONS ON THEIR BEHALF. THEY
- 8 | CANNOT DETERMINE THE BROADER NETWORK SIGNALING CONTEXT OF THE
- 9 | CALLING OPERATIONS IN WHICH THEY PARTICIPATE. THEY ARE
- 10 | INCAPABLE OF INDEPENDENTLY EXECUTING SERVICE LOGIC THAT
- 11 | INVOLVES NETWORK SIGNALING OPERATIONS, SUCH AS CALL
- 12 | REDIRECTION, MULTIPOINT CALL CONTROL, CALL SUPERVISION,
- 13 | MULTIPLE LINE APPEARANCES, ETCETERA, WITHOUT CENTRALIZED
- 14 PARTICIPATION BY THE MEDIA GATEWAY CONTROLLER.
- NOW THIS IS IN REFERENCE TO FIGURE 2 WHERE IT WAS
- 16 DESCRIBING, GENERICALLY DESCRIBING SOME OF THE PRIOR ART
- 17 ELEMENTS. IN THIS SITUATION A SIP USER AGENT WOULD BE
- 18 | INCAPABLE OF THESE CALL CONTROL OPERATIONS THAT I MENTIONED
- 19 HERE. SO THEY RELY ON THE INTELLIGENCE OF THE SIP PROXY
- 20 | SERVER IN ITS ROLE AS -- IN ITS ROLE TO MEDIATE. AND SO HERE
- 21 | IT SAYS THE FACTORS -- THESE FACTORS, THE LACK OF
- 22 | INTELLIGENCE, THE LACK OF MEDIATION IN THE ENDPOINT DEVICES,
- 23 | IMPOSE SUBSTANTIAL CONSTRAINTS ON THE VARIETY OF NETWORK
- 24 | SERVICES THAT THE NEXT GENERATION NETWORK CAN DELIVER, BECAUSE
- 25 EACH NEW SERVICE MUST BE TIGHTLY INTEGRATED WITH THE MEDIA

1 GATEWAY CONTROLLER IN ORDER TO PERFORM CALL CONTROL

CALLS OVER THE BROADBAND NETWORK INTERFACE.

2 OPERATIONS.

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- THE PATENT ALSO SAYS: INTELLIGENT PARTICIPATION REFERS TO 3 THE ABILITY OF A CONNECTIVITY ELEMENT TO OPERATE BOTH AS A SIP 4 5 NETWORK SIGNALING ENDPOINT AND AS A CALL CONTROL AGENT CAPABLE OF COMPLEX CALL CONTROL OPERATIONS. SO IT MAKES VERY CLEAR 7 THAT WHEN THE PATENT USES THE TERM INTELLIGENT PARTICIPATION, IT IS NOT SIMPLY REFERRING TO THE FUNCTION PROVIDED BY A SIP NETWORK SIGNALING ENDPOINT, A SIP USER AGENT. IT MUST ALSO 9 10 INCLUDE THE ABILITY TO PERFORM AS A CALL CONTROL AGENT WHICH IS PERFORMED BY THE SIP PROXY SERVER IN ITS ROLE OF MEDIATING 11
  - NOW, I MADE THIS POINT BEFORE. CISCO DID NOT CHOOSE TO CONSTRUE SIP PROXY SERVER ALONG WITH THE ENTIRETY OF THE ROLE AND FUNCTION THAT IT PROVIDES AS EXPRESSLY STATED IN THE CLAIM. INSTEAD, THEY HAVE INDEPENDENTLY BROKEN DOWN SOME OF THE INDIVIDUAL WORDS IN THE CLAIM AND THEN STATED SIMPLY THAT THE ORDINARY MEANING FOR THE REMAINDER OF THE PHRASES IS SUFFICIENT FOR THE JURY. I DON'T THINK THAT THAT IS SUFFICIENT. THIS IS -- SOME OF THESE THINGS THAT WE ARE TALKING ABOUT ARE STRAIGHTFORWARD AT A VERY HIGH LEVEL, BUT I DON'T THINK THAT THE JURY SHOULD BE LEFT WITH THE ORDINARY MEANING OF THE CLAIM ON SOMETHING THAT IS --
  - THE COURT: YOU MAY BE RIGHT ON YOUR CONSTRUCTION,
    BUT YET ONE MORE EXAMPLE I CAN SEE READING THIS TO THE JURY

- 1 AND THE TOTAL CONFUSION THAT APPEARS ON THEIR FACE AS I READ
  2 THROUGH THIS.
- 3 MR. MCANDREWS: YOUR HONOR, IT IS A FAIRLY -- I MEAN,
- 4 THE REQUIREMENT THAT IT MEDIATE DOES INVOLVE A COUPLE OF
- 5 THINGS HERE AND SO WE HAVE LISTED THEM AS MAKING SIP SIGNALING
- 6 EVENTS AVAILABLE TO A TELEPHONE CALL CONTROL FUNCTION. SO IN
- 7 OTHER WORDS, A SIP PROXY SERVER IN ITS ROLE AS INTERMEDIARY
- 8 | WILL TAKE IN A MESSAGE, A REQUEST. SO AN INVITE, FOR EXAMPLE,
- 9 | THAT INVITE WILL HAVE INFORMATION ABOUT WHERE THE CALL IS
- 10 INTENDED TO GO, SO IT MIGHT INCLUDE A TELEPHONE NUMBER.
- 11 NOW, AS CISCO MENTIONED YESTERDAY, ONE OF THE ROLES OF
- 12 THE SIP PROXY SERVER IS DETERMINING IF ONE ENDPOINT DOESN'T
- 13 KNOW HOW TO FIND ANOTHER ENDPOINT, FOR EXAMPLE, A TELEPHONE
- 14 NUMBER IS DIALED AND THE ENDPOINT, THE FIRST ENDPOINT DOESN'T
- 15 KNOW WHERE THAT TELEPHONE NUMBER WINDS UP AT THE OTHER END,
- 16 | THE TELEPHONE NUMBER IS SENT WITH THE INVITE REQUEST TO THE
- 17 | SIP PROXY SERVER. THE SIP PROXY SERVER CAN THEN LOOK UP IN A
- 18 | DIRECTORY WHERE TO FIND THAT, THE EQUIVALENT OF THAT TELEPHONE
- 19 NUMBER. AND THESE TELEPHONE NUMBERS ARE CALLED E.164 NUMBERS.
- 20 | FIND THE EQUIVALENT OF THAT LOCATED AS AN INTERNET ADDRESS FOR
- 21 | THE FAR END ENDPOINT THAT IT'S ATTEMPTING TO REACH.
- 22 SO IN ITS ROLE AS MEDIATING SIP COMMUNICATIONS OVER THE
- 23 BROADBAND NETWORK INTERFACE, THE SIP PROXY SERVER WOULD MAKE
- 24 | SIGNALING EVENTS AVAILABLE TO A TELEPHONE CALL CONTROL
- 25 FUNCTION AND TRANSLATE E.164 NUMBERS INTO IP ADDRESSES AS

- 1 REQUIRED TO ESTABLISH SIP CALL SESSIONS.
- 2 NOW, CISCO'S -- CISCO'S CONSTRUCTION OF MEDIATE WOULD
- 3 RENDER THE TERM MEDIATE SURPLUSAGE BECAUSE WE ALREADY KNOW
- 4 THAT A SIP USER AGENT -- I AM SORRY -- A SIP PROXY SERVER IS A
- 5 | SIP INTERMEDIARY FROM THE GENERAL DEFINITION. AND SO MEDIATE,
- 6 ESPECIALLY IN THE CONTEXT OF WHAT IS REQUIRED TO BE MEDIATED
- 7 BY THE SIP PROXY SERVER, SHOULD BE GIVEN MEANING IN THE CLAIM.
- 8 AND THIS IS JUST A QUOTE FROM A SUPREME COURT CASE THAT SAYS
- 9 | THAT EACH ELEMENT CONTAINED IN A PATENT CLAIM IS DEEMED
- 10 MATERIAL TO DEFINING THE SCOPE OF THE PATENTED INVENTION. AND
- 11 NO CLAIM LANGUAGE MAY BE INTERPRETED AS MERE SURPLUSAGE, THIS
- 12 IS IN A SOUTHERN DISTRICT OF NEW YORK CASE. BUT YOUR HONOR
- 13 MENTIONED YESTERDAY THAT YOU ARE WELL FAMILIAR WITH CLAIM
- 14 CONSTRUCTION. YOU HAVE PROBABLY HEARD THIS A HUNDRED TIMES.
- 15 THE COURT: WELL, GENERAL PRINCIPLES.
- MR. MCANDREWS: SO I WON'T BELABOR THE POINT. BUT WE
- 17 | BELIEVE THAT CISCO'S CONSTRUCTION WOULD RENDER THE TERM
- 18 | MEDIATE MEANINGLESS IN THE CLAIM.
- 19 THAT'S ALL OF MY ARGUMENT ON THE SIP ELEMENTS. I'LL --
- 20 THE COURT: WHY DON'T WE TAKE A SHORT BREAK. I THINK
- 21 | -- SEEMS LIKE WE ARE MOVING ALONG NICELY, SO WE WILL BE IN
- 22 RECESS 10 MINUTES.
- 23 (RECESS AT 10:37 A.M., UNTIL 10:47 A.M., OPEN COURT)
- THE COURT: WE WILL NOW HEAR CISCO'S RESPONSE.
- MR. VERHOEVEN: THANK YOU, YOUR HONOR. YOU HAD ASKED

- 1 COUNSEL FOR ESN IF THERE WERE ANY FEDERAL CIRCUIT AUTHORITY ON
- 2 THE BIG ISSUE HERE WHICH IS THE INDUSTRY STANDARD AND WHETHER
- 3 IT NEEDS TO BE INCORPORATED, AND THERE IS. AND I'LL CITE YOUR
- 4 HONOR TO LG ELECTRONICS V. BIZCOM ELECTRONICS, 453 F.3D, 1364
- 5 WHERE THE FEDERAL CIRCUIT REVERSED A DISTRICT COURT FOR
- 6 | FAILING -- WELL, A COUPLE OF THINGS. THE FEDERAL CIRCUIT SAID
- 7 WHEREIN THE PATENT CITES TO THE SPECIFICATION, EXCUSE ME, TO
- 8 | THE STANDARD --
- 9 THE COURT: STANDARDS.
- 10 MR. VERHOEVEN: -- THAT THAT IS QUOTE, UNQUOTE,
- 11 INTRINSIC EVIDENCE, NOT EXTRINSIC EVIDENCE AND REVERSED THE
- 12 DISTRICT COURT FOR NOT CONSTRUING THE CLAIMS CONSISTENT WITH
- 13 THE INDUSTRY STANDARD. SO THAT'S THE ANSWER TO THAT QUESTION,
- 14 YOUR HONOR.
- 15 LET ME START WITH THE TERM SIP AND GO THROUGH THE CLAIM
- 16 | CONSTRUCTION ANALYSIS, YOUR HONOR, IF I MAY. LET'S START WITH
- 17 THE CLAIMS, THE CLAIM ITSELF. GO TO THE NEXT SLIDE, PLEASE.
- 18 HERE THE CLAIM ITSELF CLEARLY USES THE TERMS FROM THE RFC
- 19 2543. IT REFERS EXPRESSLY TO SIP AGENTS, SIP USER AGENT, SIP
- 20 PROXY SERVER, YOUR HONOR. SO THE ISSUE HERE IS NOT WHETHER
- 21 | SIP IS REQUIRED, THE SIP PROTOCOL IS REQUIRED, THE SIP USER
- 22 AGENTS ARE REQUIRED, OR A SIP PROXY SERVER IS REQUIRED. THE
- 23 | CLAIMS EXPRESSLY REQUIRE IT. SO THE QUESTION IS SIMPLY HOW WE
- 24 DEFINE THAT.
- 25 SO LET'S GO TO THE ANSWER TO THAT QUESTION. THE ANSWER

- 1 TO THAT QUESTION IS DEFINED IN RFC 2543. THIS IS THE
- 2 APPLICABLE STANDARD AT THE TIME OF THE FILING OF THE
- 3 APPLICATION THAT DEFINED THE SIP PROTOCOL. IT IS A STANDARDS
- 4 TRACK DOCUMENT. WE WENT THROUGH WHAT THAT MEANT YESTERDAY,
- 5 YOUR HONOR.
- 6 LET'S LOOK AT THE SPECIFICATION AND SEE HOW THE
- 7 | SPECIFICATION REFERS TO RFC 2543. THE SPECIFICATION CAN
- 8 INFORM US AS TO THE MEANING OF THESE TERMS AS THEY ARE IN THE
- 9 CLAIMS. WELL, THE SPECIFICATION VERY CLEARLY STATES THAT WHAT
- 10 THEY ARE REFERRING TO WHEN THEY TALK ABOUT SIP PROXY SERVER --
- 11 AND THIS IS JUST ONE EXAMPLE, YOUR HONOR -- IS THE IETF RFC
- 12 2543. SO HERE IN THE SPEC, AND THIS IS COLUMN 44, LINES 46
- 13 | THROUGH 51, SAYS, QUOTE, SOFTWARE SUBCOMPONENT -- IT SAYS: SIP
- 14 PROTOCOL STACK. AND THEN IT SAYS: SOFTWARE SUBCOMPONENT OF
- 15 | THE EDGE SWITCH THAT IMPLEMENTS SUPPORT FOR THE, QUOTE, "SIP
- 16 PROXY SERVER," CLOSE QUOTE, FUNCTIONALITY DESCRIBED FURTHER IN
- 17 | THIS DISCLOSURE AND IN IETF RFC 2543. SO IT'S SPECIFICALLY
- 18 | SAYING THIS IS WHERE YOU NEED TO LOOK FOR THE DETAILS OF WHAT
- 19 | THIS IS. AND IT'S TELLING THE PERSON OF ORDINARY SKILL IN THE
- 20 ART TO LOOK AT THAT. LET'S GO TO THE NEXT SLIDE.
- 21 HERE IS ANOTHER EXAMPLE WHERE THE DEFINITION SECTION FOR
- 22 | SIP PROXY SERVER, THE SPECIFICATION SPECIFICALLY REFERENCE THE
- 23 RFC SAYING: ACCORDING TO LETF RFC 2543 ON SIP. SO THE
- 24 | SPECIFICATION TELLS US THESE PHRASES IN THE CLAIM ARE
- 25 REFERRING TO THE DETAILS OF THIS RFC. THAT'S WHERE YOU FIND

1 THE MEANINGS OF THESE PHRASES.

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NOW LET'S LOOK AT SOME MORE --

THE COURT: WELL, IS THAT -- DO YOU THINK THAT'S

MANDATORY LANGUAGE OR IS IT DISCRETIONARY, IF THAT'S THE

5 APPROPRIATE TERM TO USE, UNDER THE --

MR. VERHOEVEN: I THINK THAT IT -- BY REFERRING TO THAT AND SAYING HERE IS WHERE YOU NEED TO LOOK FOR THE DETAILS, YOUR HONOR, THAT THAT MAKES THE RFC INTRINSIC EVIDENCE AS TO THE MEANING. SO IT HAS THE SAME IMPORT AS THE -- AS IF IT WAS APPENDED TO THE SPECIFICATION AND MADE PART OF THE SPECIFICATION, YOUR HONOR. AND IT'S THE SAME THING IF YOU -- IF YOU CITE A PATENT AND REFER TO THE DETAILS OF XYZ TECHNOLOGY ARE SET FORTH IN PATENT ABC PRIOR ART, THE FEDERAL CIRCUIT HAS SAID THAT IS INTRINSIC EVIDENCE. THAT PATENT YOU REFERRED TO IS INTRINSIC EVIDENCE AND THAT'S WHERE YOU LOOK TO TO SEE WHAT THESE CLAIMS MEAN AND TO FURTHER DEFINE THESE CLAIMS. AND I HAVEN'T CITED THAT CASE TO YOUR HONOR, BUT THAT'S ANOTHER EXAMPLE. BUT THE REASON WE DIDN'T CITE THAT CASE IS BECAUSE WE HAVE SPECIFIC CASES ON STANDARDS THAT SAY THAT.

SO THEN LET'S LOOK AT THE PROSECUTION HISTORY TOO, YOUR HONOR. THAT CAN ALSO, IS A FORM OF INTRINSIC EVIDENCE THAT CAN HELP US UNDERSTAND HOW A NORMAL PERSON OF ORDINARY SKILL WOULD LOOK AT THIS. HERE WE'VE GOT A LITTLE TIMELINE FIRST JUST TO -- SO WE DON'T GET TOO -- SO I AT LEAST DON'T GET TOO

- 1 | CONFUSED. THERE WAS A RELATED, OR I SHOULDN'T EVEN SAY
- 2 RELATED. THERE WAS A SEPARATE APPLICATION FILED ON FEBRUARY
- 3 28, 2000, YOUR HONOR, THAT WE MAY REFER TO AS THE SIP-TSI
- 4 | PROVISIONAL APPLICATION AND THAT WAS LATER IN THAT TREE, A
- 5 | NON-PROVISIONAL APPLICATION WAS FILED.
- 6 AND I AM REFERRING TO THOSE APPLICATIONS AS THE SIP-TSI
- 7 APPLICATIONS. AND THE REASON I AM REFERRING TO THESE IS THE
- 8 | PROSECUTION HISTORY FOR THIS PATENT, WHICH IS IN GREEN, REFERS
- 9 BACK AND INCORPORATES THESE EARLIER PATENTS, THE TERMS IN
- 10 THESE EARLIER PATENTS. SO THE GREEN BOXES THERE, YOUR HONOR,
- 11 ARE THE APPLICATIONS RELATED TO THIS PATENT, THE '519 PATENT,
- 12 AND THE PURPLE ONES ARE THIS SIP-TSI APPLICATION.
- 13 NOW, IF WE GO AND LOOK AT WHAT HAPPENED IN THE
- 14 PROSECUTION HISTORY, THE PATENT, THE '519 PATENT INCORPORATES
- 15 | THE PROVISIONAL APPLICATION, THE '888 PROVISIONAL APPLICATION.
- 16 AND THE '888 PROVISIONAL APPLICATION IN TURN INCORPORATES THE
- 17 | SIP-TSI PROVISIONAL APPLICATION. AND WE HAVE BROUGHT OUT THE
- 18 QUOTE WHERE THEY DO THAT, YOUR HONOR.
- 19 AND THE PROVISIONAL APPLICATION SAYS: NOTE: SYSTEM
- 20 | ELEMENT DEFINITIONS WILL DEFER TO DEFINITIONS FOUND IN U.S.
- 21 | PATENT APPLICATION TITLED, AND IT GOES ON, AND THAT'S A
- 22 | CITATION OF THIS SIP-TSI PROVISIONAL APPLICATION. AND IT
- 23 | SAID: IN THE TEXT BELOW, CERTAIN REFERENCES TO THIS BODY OF
- 24 WORK MAY BE REFERENCED USING THE ABBREVIATION SIP-TSI. SO IT
- 25 | -- THE PROVISIONAL FOR THIS APPLICATION REFERS TO THIS TSI-SIP

- 1 APPLICATION. IT SAYS LOOK THERE FOR THE DEFINITIONS. LET'S
- 2 GO TO THE NEXT SLIDE.
- NOW IF YOU LOOK AT THE SIP-TSI PROVISIONAL APPLICATION,
- 4 YOUR HONOR, IT CLEARLY REFERENCES RFC 2543. AND I'VE
- 5 HIGHLIGHTED, AND I'LL READ IT INTO THE RECORD. IT SAYS: ALL
- 6 | TERMINOLOGY USED IN THIS DOCUMENT ARE CONSISTENT WITH THE
- 7 DEFINITIONS PROVIDED IN SECTION 1.3 OF RFC 2543 ON SESSION
- 8 | INITIATION PROTOCOL. NO ATTEMPT HAS BEEN MADE IN THIS
- 9 DOCUMENT TO DESCRIBE IMPLEMENTATION OF THE DEPENDENT
- 10 | FOUNDATIONAL PROTOCOL SUCH AS SIP. A FULL SPECIFICATION FOR
- 11 | THE PURPOSE OF IMPLEMENTING THE APPARATUS WILL REQUIRE A
- 12 LITANY OF EXISTING AND PROPOSED STANDARDS FOUND IN SECTION 3,
- 13 REFERENCES. AND IN SECTION 3 THEY REFERENCE RFC 2543.
- 14 SO THIS IS INCORPORATED BY REFERENCE EXPRESSLY INTO THE
- 15 PROVISIONAL APPLICATION FOR THIS PATENT. AND THIS
- 16 INCORPORATED BY REFERENCE DOCUMENT, THE PROVISIONAL SAYS FOR
- 17 | THE DEFINITIONS, LOOK TO THIS OTHER APPLICATION. THIS OTHER
- 18 | APPLICATION, THE DEFINITIONS SAY OUR DEFINITIONS ARE -- ARE
- 19 CONSISTENT WITH THE DEFINITIONS IN 2543. CAN'T GET MUCH
- 20 | CLEARER THAN THAT, YOUR HONOR, THAT THEY HAVE INCORPORATED
- 21 | 2543 INTO WHAT THEY MEAN WHEN THEY ARE REFERRING TO THESE SIP
- 22 ELEMENTS.
- 23 AND THEN FINALLY ON SLIDE 29 ON THE LATER SIP-TSI NON-
- 24 PROVISIONAL APPLICATION, AND THIS IS AFTER THE INCORPORATION
- 25 BY REFERENCE, YOUR HONOR, BUT JUST ANOTHER POINT OF NOTE, THAT

- 1 | THAT NON-PROVISIONAL APPLICATION ALSO INCORPORATES AND REFERS
- 2 EXPLICITLY TO RFC 2543. SO -- NEXT SLIDE, PLEASE.
- 3 SO WHAT WE HAVE HERE, YOUR HONOR, IS CLEAR GUIDANCE FROM
- 4 | THE FEDERAL CIRCUIT THAT WHEN YOU REFER TO AND RELY ON A
- 5 PROTOCOL THAT THAT IS INTRINSIC EVIDENCE AND THE CONSTRUCTIONS
- 6 NEED TO BE CONSISTENT WITH THE PROTOCOL. AND IN THIS CASE,
- 7 APPLYING THAT LAW TO THIS CASE, THERE IS JUST NO QUESTION FROM
- 8 | THE SPECIFICATION AND THE PROSECUTION HISTORY THAT THE `519
- 9 PATENT HAS REFERRED TO RFC 2543 FOR THE DEFINITIONS OF SIP,
- 10 SIP AGENT, SIP PROXY SERVER, AND FOR THE DETAILS TO FILL IN
- 11 | THE DETAILS OF THOSE PHRASES. THE PROSECUTION HISTORY DOES
- 12 THAT AND THE SPECIFICATION DOES IT. NEXT SLIDE.
- 13 AND I WILL GO THROUGH THESE QUICK. THERE IS A LOT OF
- 14 MATERIAL ON HERE. THE ONLY POINT HERE IS IF YOU LOOK AT THE
- 15 ACTUAL PATENT, YOUR HONOR, IT TALKS ABOUT SIP PROXY SERVER IN
- 16 A VERY HIGH LEVEL BUT IT DOESN'T TELL YOU HOW IT WORKS.
- 17 | INSTEAD OF TELLING YOU HOW IT WORKS, IT REFERS YOU TO RFC
- 18 | 2543. NEXT SLIDE.
- 19 IF YOU WANTED TO KNOW HOW IT WORKS, YOU HAVE TO READ RFC
- 20  $\mid$  2543, AND WE WENT THROUGH THIS YESTERDAY IN THE TUTORIAL. A
- 21 | PERSON OF ORDINARY SKILL IS NOT GOING TO KNOW HOW A SIP AGENT
- 22 | WORKS, HOW TO COMPLY WITH THE PROTOCOL FOR A SIP AGENT, OR HOW
- 23 A SIP PROXY SERVER WORKS UNLESS THEY READ THE IMPERATIVES OF
- 24 2543. AND YOU HAVE TO -- AND THAT'S -- THAT'S WHERE YOU SAW
- 25 | THIS YESTERDAY, THESE REQUIRED REQUESTS. YOU HAVE FIVE, SIX

- 1 REQUESTS, FORMS. YOU HAVE TO FOLLOW THAT. IF YOU DON'T
- 2 | FOLLOW THAT YOU ARE NOT GOING TO BE SIP. THAT'S SET FORTH IN
- 3 THE RFC. NEXT SLIDE.
- 4 SAME THING WITH SIP USER AGENT HERE. THE PATENT HAS A
- 5 BIG BOX AND IT TALKS ABOUT SIP USER AGENT. AND IT REFERS TO
- 6 | SIP USER AGENT BUT IT DOESN'T TELL YOU EXACTLY WHAT IT IS AND
- 7 HOW IT WORKS. INSTEAD, IT REFERS TO THE RFC 2543 FOR THAT.
- 8 | NEXT SLIDE.
- 9 AND THIS IS HOW IT WORKS. BOTH PARTIES AGREE THIS IS --
- 10 YOU SAW THIS TWICE YESTERDAY. BOTH PARTIES AGREE THIS IS HOW
- 11 IT WORKS. AND WHERE IS THE -- WHERE ARE THE -- AND BOTH
- 12 PARTIES AGREE THESE ARE REQUIREMENTS, YOUR HONOR. TO INITIATE
- 13 A SESSION YOU HAVE TO SEND AN INVITE. YOU HAVE A 180 CODE
- 14 WHEN IT'S RINGING. IF THE PERSON PICKS IT UP IT'S A 200 CODE.
- 15 | THESE ARE ALL SET FORTH AS IMPERATIVES IN 2543. AND BOTH
- 16 | SIDES WHEN THEY TOLD YOU HOW, WHAT A SIP USER AGENT IS IN THE
- 17 | TUTORIAL, DIDN'T RELY ON THE PATENT, THEY RELIED ON THE RFC
- 18 | 2543.
- 19 AND THIS IS AN EXCERPT FROM ESN'S BRIEF DESCRIBING WHAT
- 20 | ITS INVENTION IS. AND WHAT IS IT CITING HERE? WHAT IS IT
- 21 | RELYING ON IN THIS ILLUSTRATION? IT'S NOT -- IT'S NOT THE
- 22 | PATENT, YOUR HONOR. THIS IS INFORMATION TAKEN DIRECTLY OUT OF
- 23 | RFC 2543, AND THESE ARE ALL REQUIREMENTS.
- NOW, IF WE DON'T REQUIRE -- LET ME TAKE A STEP BACK, YOUR
- 25 HONOR. YOU HAD ASKED COUNSEL FOR ESN WHETHER THEY CONCEDE

- 1 THAT THERE ARE SOME REQUIREMENTS THAT NEED TO BE FOLLOWED.
- 2 AND I THOUGHT I HEARD COUNSEL SAY YEAH. THEY -- THEY DON'T
- 3 DISPUTE THAT THERE ARE SOME REQUIREMENTS. AND WE ARE NOT
- 4 TAKING THE POSITION, YOUR HONOR, THAT BY OUR PHRASE, IN
- 5 ACCORDANCE WITH RFC 2543, THAT THAT MEANS THAT A PERSON
- 6 DOESN'T INFRINGE IF THEY DON'T PRACTICE A SHOULD ELEMENT OR A
- 7 SUGGESTION THAT'S NOT AN IMPERATIVE. THAT'S NOT WHAT WE ARE
- 8 | SUGGESTING, YOUR HONOR. SO WE MAY NOT BE THAT FAR APART HERE
- 9 FROM WHAT I AM HEARING THE OTHER SIDE SAY. ALL WE ARE SAYING
- 10 | IS THAT A SIP PROXY SERVER HAS TO BE IN ACCORDANCE WITH THE
- 11 IMPERATIVES, THE MUSTS, OF RFC 2543.
- 12 THE COURT: ASSUMING YOU ARE CORRECT AND I SHOULD
- 13 ADOPT YOUR CONSTRUCTION, HOW DOES THE JURY GO ABOUT CARRYING
- 14 OUT ITS FUNCTION IN THIS REGARD?
- 15 MR. VERHOEVEN: WELL, IT'S JUST LIKE ANY OTHER CLAIM
- 16 CONSTRUCTION, YOU HAVE TWO STEPS, YOUR HONOR. AND THE CLAIM
- 17 CONSTRUCTION IS SIMPLY THAT THIS IS BY SIP USER AGENT WE MEAN
- 18 | SIP USER AGENT HAS TO COMPLY WITH THE REQUIREMENTS OF 2543.
- 19 THE SECOND STEP --
- 20 THE COURT: AND THEY HAVE A COPY OF 2543 AND THEY --
- MR. VERHOEVEN: WELL, THE SECOND STEP, YOUR HONOR, IS
- 22 | INFRINGEMENT. AND JUST LIKE YOU SAY, THE CAR HAS TO BE --
- 23 | THAT I AM CONSTRUING THIS AUTOMATIC TRANSMISSION CLAIM AS
- 24 REQUIRING THAT IT BE CONSISTENT WITH OR REQUIRING THAT IT HAS
- 25 | SOME TECHNOLOGICAL ATTRIBUTE, OKAY, THE JURY STILL HAS TO

- 1 DECIDE WHAT IT -- WHETHER IT DOES OR DOESN'T. AND SO THE -- I
- 2 | THINK THAT WE'VE SEEN FROM BOTH SIDES, IF YOU LOOK AT RFC
- 3 2543, IT EITHER USES AN IMPERATIVE OR IT DOESN'T. IT EITHER
- 4 SAYS MUST OR IT DOESN'T SAY MUST. AND THE JURY CAN FIGURE
- 5 THAT OUT. DOES IT SAY MUST OR NOT? AND -- AND I'M PERFECTLY
- 6 WILLING TO SAY AN IMPERATIVE -- FURTHER DEFINE AN IMPERATIVE
- 7 AND SAY IMPERATIVE MEANS IT SAYS MUST OR WHATEVER THE OTHER
- 8 WORDS WERE.
- 9 THE COURT: SHALL OR WHATEVER THE --
- 10 MR. VERHOEVEN: RIGHT.
- 11 THE COURT: OKAY.
- 12 MR. VERHOEVEN: ABSOLUTELY, YOUR HONOR. AND THAT'S
- 13 VERY EASY FOR THE JURY TO DECIDE. DOES IT HAVE THE MAGIC
- 14 WORD? SO I DON'T THINK WE ARE THAT FAR OFF. AND THE PROBLEM
- 15 | IS UNLESS YOU DO -- UNLESS WE DO THIS, FIRST OF ALL, IF WE
- 16 DON'T DO IT, IT'S CONTRARY TO THE FEDERAL CIRCUIT. BUT ALSO
- 17 UNLESS WE DO THIS, JUST A MATTER OF COMMON SENSE, IF YOU JUST
- 18 | TAKE THE DEFINITION, EVEN IF YOU JUST TAKE THE DEFINITION OUT
- 19 OF THE RFC DEFINITION SECTION AND NOTHING MORE, THAT CAN READ
- 20 ON OTHER PROTOCOLS. THAT CAN READ ON THE COMPETING PROTOCOL
- 21 THAT MS. SHARPER WENT OVER BECAUSE THE DEFINITION DOESN'T SAY
- 22 | REALLY ANYTHING. LET'S GO TO SLIDE 37.
- 23 I AM GOING TO GO THROUGH THIS PRETTY QUICKLY, YOUR HONOR,
- 24 BUT NOT JUST THE FEDERAL CIRCUIT CASE. THERE'S NUMEROUS
- 25 CASES, YOUR HONOR, WHERE THE COURTS HAVE REQUIRED THAT THE

- 1 | CLAIMS BE CONSTRUED CONSISTENT WITH STANDARDS. AND DISPLAY
- 2 | LINK CORP. V. MAGIC CONTROL TECHNOLOGY CASE, YOUR HONOR, THERE
- 3 THE DEFENDANT ARGUED THAT VGA MEANT ANY VIDEO DISPLAY
- 4 STANDARDS COMPATIBLE WITH ANY 15 PIN VGA PORT PLUG. THE COURT
- 5 REJECTED IT BECAUSE IT FOUND IT CIRCULARLY DEFINED VGA
- 6 RECURSIVELY JUST BY SAYING VGA IS VGA, WHICH IS A LOT OF WHAT
- 7 ESN'S CONSTRUCTIONS ON SIP ARE HERE IN THIS CASE.
- 8 THE PLAINTIFF ARGUED THAT VGA MEANT THE TECHNOLOGY
- 9 DESCRIBED IN TWO IBM REFERENCE DOCUMENTS. THE DOCUMENTS
- 10 WEREN'T EVEN PROMULGATED BY A STANDARD SETTING ORGANIZATION,
- 11 YOUR HONOR, BUT THE INDUSTRY HAD ADOPTED THE REFERENCE
- 12 DOCUMENTS AS A SPECIFICATION FOR THE VGA STANDARD. AND THE
- 13 COURT ADOPTED THE CONSTRUCTION THAT THE PLAINTIFF ARGUED FOR,
- 14 | THAT IT HAD TO BE THE TECHNOLOGY DESCRIBED IN THE REFERENCED
- 15 DOCUMENTS, JUST WHAT WE ARE DOING HERE. NEXT SLIDE.
- 16 IN THE GRACENOTE V. MUSICMATCH CASE THE PARTIES DISPUTED
- 17 | THE CONSTRUCTION OF UNIFORM RESOURCE LOCATOR, URL, WHICH I
- 18 | THINK YOUR HONOR KNOWS IS PRETTY MUCH A STANDARD. THE PATENT
- 19 | SPECIFICATION DEFINED URL IN TERMS OF RFC 1945. THE COURT
- 20 ADOPTED THE CONSTRUCTION THAT A URL MUST BE IN THE FORMAT
- 21 DEFINED IN THE RFC, SIMILAR TO WHAT WE ARE ASKING FOR IN THIS
- 22 CASE, YOUR HONOR. NOTABLY, RFC 1945 WAS NOT CONSIDERED AN
- 23 INTERNET STANDARD BY THE IETF AT THAT TIME. IT WAS AN
- 24 INFORMATIONAL RFC.
- 25 WELL, INFORMATIONAL IS NOT EVEN A STANDARD, YOUR HONOR,

- 1 UNDER THE STANDARDS TRACK RULES BUT YET THE COURT DID THIS.
- 2 | HERE, WE'VE GOT -- WE'VE GOT NO QUESTION THAT IT'S A STANDARDS
- 3 TRACK DOCUMENT THAT WAS REFERRED TO IN THE PATENT. NEXT
- 4 | SLIDE.
- 5 I AM NOT GOING TO GO THROUGH ALL THESE, YOUR HONOR. THEY
- 6 ARE CITED IN A FOOTNOTE IN OUR BRIEF. BUT THERE IS CASE AFTER
- 7 CASE IN THE CASE LAW THAT CONSTRUE CLAIMS CONSISTENT WITH
- 8 | STANDARDS WHEN THE CLAIMS ARE REFERRING TO THESE STANDARDS.
- 9 THE COURT: SO THIS ISSUE AROSE IN EPICREALM? I
- 10 DON'T RECALL. YOU CITE THE EPICREALM CASE.
- 11 MR. VERHOEVEN: UH-HUH. IN THAT CASE YOUR HONOR
- 12 | CONSTRUED HTTP-COMPLIANT DEVICE TO BE, QUOTE, "A DEVICE THAT
- 13 | IS COMPLIANT WITH THE COMMUNICATION PROTOCOL KNOWN AS
- 14 HYPERTEXT TRANSPORT PROTOCOL." SO, YOUR HONOR HAS DONE IT,
- 15 TOO. SO THIS IS NOT SOMETHING UNUSUAL THAT WE ARE ASKING FOR,
- 16 YOUR HONOR. AND IT'S NOT SOMETHING THAT THE JURY CAN'T
- 17 | HANDLE. IT'S -- IT'S -- IT'S A DOCUMENT, SURE. YOU HAVE TO
- 18 LOOK AT THE DOCUMENT, BUT IT'S A DOCUMENT THAT'S BEEN REFERRED
- 19 TO AS A REFERENCE DOCUMENT SET FORTH THE DETAILS. AND THE
- 20 JURY IS PERFECTLY CAPABLE OF DOING THAT. AND YOU KNOW THE
- 21 | PARTIES ARE GOING TO -- AT SOME POINT THEY ARE GOING TO HAVE
- 22 | TWO OR THREE THINGS THAT ARE GOING TO BE THEIR POINTS AND THEY
- 23 ARE GOING TO ASK THE JURY TO LOOK AT THOSE THINGS FOR NON-
- 24 INFRINGEMENT.
- 25 SO IT'S NOT GOING TO BE SOME SITUATION WHERE THE JURY HAS

- 1 TO LOOK AT 147 PAGES AND TRY TO FIGURE IT OUT. IT'S NOT GOING
- 2 TO BE WHAT HAPPENS. IT'S GOING TO BE A SITUATION WHERE THE
- 3 PARTIES HAVE CRYSTALIZED THEIR ARGUMENTS, AND ONE SIDE IS
- 4 GOING TO BE SAYING, WE DON'T PRACTICE THIS REQUIREMENT OF THE
- 5 RFC, AND THEY ARE GOING TO SAY IT'S NOT AN IMPERATIVE, AND THE
- 6 JURY IS GOING TO LOOK AT IT AND SAY, WELL, DOES IT SAY MUST?
- 7 DOES IT SAY SHALL, OR NOT? THAT'S A PRETTY SIMPLE THING FOR
- 8 | THE JURORS TO DO.
- 9 ESN CONTENDS -- LET'S GO TO THE NEXT SLIDE -- NEXT SLIDE.
- 10 ESN CONTENDS THAT THE RFC STANDARDS TRACK DOCUMENT IS NOT A
- 11 | STANDARD, BUT THAT'S NOT CORRECT, YOUR HONOR. AND I THINK WE
- 12 COVERED THAT YESTERDAY. SO I'LL JUST VERY BRIEFLY, UNDER THE
- 13 RULES FOR -- FOR THE IETF, THERE IS DIFFERENT TYPES OF
- 14 DOCUMENTS. AND THE RULES SAY THAT LETF STANDARDS TRACK
- 15 DOCUMENTS ARE STANDARDS ON THEIR FACE. AND THIS IS ON THE
- 16 DOCUMENT THAT ESN PROVIDED TO THE COURT. IN ITS BRIEFING IT
- 17 | SAYS THAT. ALSO, ESN SAYS, WELL, THIS IS JUST A PROPOSED
- 18 | STANDARD, BUT AS WE SAW YESTERDAY, I THINK 90 PERCENT OF THE
- 19 | IETF STANDARDS TRACK DOCUMENTS ARE PROPOSED STANDARDS. AND
- 20 | SIP IS STILL A PROPOSED STANDARD, EVEN THOUGH IT'S BEEN A
- 21 | STANDARD FOR MANY, MANY YEARS. LET'S GO TO THE NEXT SLIDE.
- 22 YOUR HONOR, UNDER ESN'S CONSTRUCTION, LITERALLY THE
- 23 CONSTRUCTION WOULD ENCOMPASS THE COMPETING PROTOCOL THAT WE
- 24 LOOKED AT YESTERDAY THAT WAS TELEPHONE CENTRIC H.323. THAT'S
- 25 | PROTOCOL THAT'S CITED IN THE PATENT AS PRIOR ART. SO

- 1 LITERALLY LOOKING AT THEIR PROPOSED CONSTRUCTION WOULD READ ON
- 2 | THE PRIOR ART AND READ OUT THEIR INNOVATION. LET'S GO TO THE
- 3 NEXT SLIDE.
- 4 ESN'S RESPONSE TO US POINTING OUT THIS FLAW IN THEIR
- 5 CONSTRUCTION WE THINK IS NOT PERSUASIVE. AND THIS IS ALL THEY
- 6 SAY ABOUT IT, YOUR HONOR, IN THEIR BRIEF, WAS A CUT AND PASTE
- 7 OUT OF THEIR BRIEF. QUOTE: FURTHER, CISCO ARGUES AGAINST A
- 8 | STRAW MAN IN ITS CRITICISMS OF ESN'S CONSTRUCTION. FIRST,
- 9 CISCO CONTENDS THAT ESN'S CONSTRUCTION WOULD READ ON COMPETING
- 10 PROTOCOLS SUCH AS H.323. AND THIS IS THEIR RESPONSE, YOUR
- 11 HONOR.
- 12 HOWEVER, ESN'S CONSTRUCTION OF SIP SPECIFIES THAT IT IS
- 13 SESSION INITIATION PROTOCOL, WHICH PRECLUDES OTHER PROTOCOLS.
- 14 WELL, THAT'S RECURSIVE, YOUR HONOR. THAT'S CIRCULAR. THAT'S
- 15 | THE SAME REASONING THAT COURTS HAVE ALREADY REJECTED. THE
- 16 ONLY WAY TO FIGURE OUT WHETHER IT'S SIP OR NOT IS YOU HAVE TO
- 17 LOOK AT THE RFC. YOU HAVE TO LOOK AT RFC 2543. TO SAY, WELL,
- 18 | IT'S SIP BECAUSE IT'S SIP DOESN'T PROVIDE ANY GUIDANCE TO THE
- 19 JURY. NEXT SLIDE.
- 20 IN ITS BRIEFING PAPERS AND EVEN TODAY I THINK I HEARD ESN
- 21 CONCEDE THAT A PERSON OF ORDINARY SKILL WOULD UNDERSTAND
- 22 | LOOKING AT THIS PATENT AND LOOKING AT THESE CLAIMS THAT THEY
- 23 HAVE TO COMPLY WITH THE IMPERATIVES OF RFC 2543, WHICH IS ALL
- 24 WE ARE REALLY ASKING FOR, YOUR HONOR. THIS IS A QUOTE OUT OF
- 25 | THEIR BRIEF. THEY SAY, QUOTE, ESN -- INSTEAD, ESN'S

- 1 | CONSTRUCTION IS PLAINLY INCORPORATING THE FACT THAT INDUSTRY
- 2 PRACTICE WOULD BE TO SUBSTANTIALLY COMPLY WITH THIS PROPOSED
- 3 | STANDARD, PAYING PARTICULAR ATTENTION TO THE IMPERATIVES WHICH
- 4 PROMOTE INTEROPERABILITY. SO IF WE TAKE OUT THE WILL WORDS
- 5 LIKE SUBSTANTIALLY AND JUST SAY, OKAY, YOU HAVE TO COMPLY WITH
- $\mathsf{6}\mid\mathsf{THE}$  IMPERATIVES, WE ARE PRETTY CLOSE. ESN DOESN'T SAY WHAT
- 7 | CRITERIA A COURT OR JURY WOULD USE TO DETERMINE WHETHER YOU
- 8 | SUBSTANTIALLY COMPLY OR NOT, SO WE HAVE A PROBLEM WITH THAT.
- 9 BUT OTHERWISE, IF YOU SAY WE HAVE TO COMPLY WITH THE
- 10 | IMPERATIVES, WE HAVE NO PROBLEM WITH THAT, YOUR HONOR.
- 11 AND THIS NEXT SLIDE, SLIDE 45, I THINK I HAVE ALREADY
- 12 COVERED A LITTLE BIT. THIS IS THE ARGUMENT THAT ESN MAKES THAT
- 13 | WE'RE -- OUR CONSTRUCTION WOULD REQUIRE IMPLEMENTATION OF ALL
- 14 DETAILS IN ALL THE HUNDRED AND SOME ODD PAGES. THAT'S NOT
- 15 WHAT WE ARE SAYING. A PERSON OF ORDINARY SKILL IN THE ART
- 16 | WOULD UNDERSTAND THAT IN ACCORDANCE WITH RFC 2543 MEANT THAT
- 17 | THE PROTOCOL WOULD COMPLY WITH THE REQUIREMENT LEVEL
- 18 | STATEMENTS OF THE RFC. AND HERE WE HAVE QUOTED THIS YOU HAVE
- 19 ALREADY SEEN. THERE THEY ARE. THEY ARE RIGHT THERE, EASY FOR
- 20 | THE JURY TO SEE. MUST, THIS WORD, OR THE TERMS REQUIRED OR
- 21 | SHALL, MEAN THAT THE DEFINITION IS AN ABSOLUTE REQUIREMENT OF
- 22 | THE SPECIFICATION. MUST NOT, THIS PHRASE, OR THE PHRASE SHALL
- 23 NOT, MEAN THAT THE DEFINITION IS AN ABSOLUTE PROHIBITION. SO
- 24 THE JURY JUST HAS TO LOOK TO SEE IF THE MAGIC WORDS ARE THERE.
- 25 SO IN CONCLUSION, ON THE FIRST TERM HERE, SIP, WE THINK

- 1 THAT ASKING FOR IN ADDITION TO SIMPLY SAYING THAT IT'S A
- 2 | SESSION INITIATION PROTOCOL, IN ADDITION TO THAT, SAYING THAT
- 3 IT ALSO MEETS THE REQUIREMENTS OR THE IMPERATIVES OF RFC 2543,
- 4 IT'S REQUIRED BY THE FEDERAL CIRCUIT, IT'S CLEARLY APPROPRIATE
- 5 GIVEN THE REFERENCE AND INCORPORATION OF THE SPECIFICATION AND
- 6 THE PROSECUTION HISTORY, AND WOULD NOT BE CONFUSING TO THE
- 7 JURY AND WOULD ACTUALLY BE HELPFUL FOR THEM TO ASCERTAIN
- 8 WHETHER IT'S SIP OR IT ISN'T SIP.
- 9 SO I AM GOING TO MOVE ON TO THE NEXT TERM, YOUR HONOR,
- 10 AND I'LL BE SHORTER ON THESE OTHER TERMS. SIP USER AGENT. I
- 11 | THINK WE ARE PRETTY CLOSE ON SIP USER AGENT. I AM NOT GOING
- 12 TO REARGUE IN ACCORDANCE WITH LETF RFC 2543 ON THIS.
- 13 THE COURT: YOUR ARGUMENT WOULD BE THE SAME
- 14 | ESSENTIALLY?
- 15 MR. VERHOEVEN: EXACTLY, YOUR HONOR. WELL, I MIGHT
- 16 ADD ONE OR TWO POINTS, BUT I WON'T REPEAT EVERYTHING. THE
- 17 REMAINDER OF OUR CONSTRUCTION IS TAKEN RIGHT OUT OF THE
- 18 DEFINITION OF RFC 2543 IN THE DEFINITION SECTION. AND I
- 19 BELIEVE, ACCORDING TO MY NOTES, YOU ASKED COUNSEL IF HE HAD A
- 20 PROBLEM WITH THOSE.
- 21 THE COURT: THE PROBLEM SEEMS TO BE THE ENDPOINT
- 22 | LANGUAGE.
- 23 MR. VERHOEVEN: YEAH, WELL, THE ENDPOINT LANGUAGE IS
- 24 -- THIS GOES TO -- IF I CAN JUST BE BLUNT, THIS GOES TO THEY
- 25 ARE LOOKING FORWARD TO THE JURY AND THEY HAVE A PROBLEM WITH

- 1 HOW THEY ARE GOING TO DESCRIBE THEIR INVENTION AS BEING
- 2 | SOMETHING OTHER THAN SIP AND THE IMPLEMENTATION OF SIP. AND
- 3 IN THE TUTORIAL YESTERDAY THEY SAID, WELL, THEIR INVENTION IS
- 4 THAT THE PROXY SERVER -- THAT IN THE OLD -- IN THE OLD SIP THE
- 5 PROXY SERVER IS IN THE MIDDLE OF THE NETWORK AND THEY PUT IT
- 6 OUT ON THE END. AND SO FRANKLY THE REASON THEY ARE PUTTING
- 7 ENDPOINT IN HERE IS NOT BECAUSE IT'S IN THE INTRINSIC
- 8 EVIDENCE. IT'S NOT BECAUSE IT'S REQUIRED IN ANY OF THE
- 9 | DEFINITIONS. IT'S BECAUSE THEY WANT TO DISTINGUISH USER AGENT
- 10 AND SAY, WELL, SEE, THAT'S AN ENDPOINT AND THE PROXY SERVER IS
- 11 NOT AN ENDPOINT. AND THE PROXY SERVER IS IN THE MIDDLE OF THE
- 12 SYSTEM AND THE USER AGENT IS IN THE ENDPOINT.
- 13 SO THIS IS A CONSTRUCTION THAT'S DRIVEN BY THEY ARE
- 14 LOOKING DOWN THE ROAD AND THEY HAVE TO FIND SOME ARGUMENT AS
- 15 TO WHY THEIR PATENT IS ACTUALLY NEW OR UNIQUE. AND FRANKLY,
- 16 | THAT'S WHY I THINK THE WORD ENDPOINT IS IN THERE. THERE IS
- 17 CERTAINLY NO SUPPORT FOR THAT IN THE INTRINSIC EVIDENCE, YOUR
- 18 HONOR. AND IT'S NOT IN THE DEFINITION IN LETT RFC 2543. AND
- 19 | SO WE, YOU KNOW, WE DON'T THINK IT'S APPROPRIATE TO ADD IT.
- 20 SO IF WE -- I'LL GO QUICKLY ON THIS. ON SLIDE 47 OUR
- 21 | PROPOSED CONSTRUCTION WE BELIEVE IS APPROPRIATE BECAUSE IT
- 22 | INCORPORATES THE DEFINITIONS OF SIP USER AGENT CLIENT AND SIP
- 23 USER AGENT SERVER AND SIP USER AGENT. AND IT SIMPLIFIES IT A
- 24 LITTLE BIT, BUT IT'S CONSISTENT IN COMPLYING WITH THAT. AND
- 25 THEN ALL WE DO IS WE ADD THAT IT'S IN ACCORDANCE WITH RFC

- 1 2543. REALLY QUICKLY, THIS IS SPECIFIC TO USER AGENT, BUT
- 2 AGAIN IN ESN'S BRIEF THEY USE RFC 2543 TO EXPLAIN TO YOUR
- 3 HONOR WHAT A SIP USER AGENT IS. NEXT SLIDE.
- 4 IN THE TUTORIAL YESTERDAY, THIS IS FROM THEIR TUTORIAL,
- 5 YOUR HONOR. SIP USER AGENT THEY PUT IN QUOTES. AND THE
- 6 INVITE, THIS WHOLE DIAGRAM, EXCEPT FOR THE RED ENDPOINT
- 7 | LANGUAGE, IS RIGHT OUT OF RFC 2543. IT'S NOT OUT OF THE
- 8 | PATENT. SO THEY NEED TO REFER TO RFC 2543 TO EVEN TELL YOU
- 9 WHAT IT IS.
- 10 AGAIN, LET'S GO TO THE NEXT SLIDE AND I'LL BE QUICK, YOUR
- 11 HONOR. ESN'S CONSTRUCTION BY NOT INCORPORATING RFC 2543 COULD
- 12 | BE READ ON H.323. SIP USER AGENT UNDER THEIR DEFINITION COULD
- 13 BE SOMETHING THAT'S NOT SIP, WHICH WOULD BE CONFUSING TO THE
- 14 JURY AND WOULD READ ON THE PRIOR ART. NEXT SLIDE.
- 15 SO NEXT TERM, YOUR HONOR, SIP AGENT. OUR POSITION IS
- 16 | THAT THIS TERM IS INDEFINITE, YOUR HONOR. YOU HAVE TO
- 17 REMEMBER HERE WE ARE TALKING ABOUT SPECIFIC LANGUAGE, SPECIFIC
- 18 | TERMS, AND THE PERSON OF ORDINARY SKILL IN THE ART IS REFERRED
- 19 TO A SPECIFIC DOCUMENT TO DEFINE THOSE TERMS. IN OTHER WORDS,
- 20 | WHAT'S SIP? YOU HAVE TO LOOK AT THE RFC. WHAT'S A SIP USER
- 21 AGENT? WELL, IT'S DEFINED EXPRESSLY IN THE RFC AND THERE'S
- 22 | SECTIONS IN THE RFC THAT DESCRIBES HOW IT WORKS. WHAT'S A SIP
- 23 PROXY SERVER? IT'S DEFINED IN THE RFC. THERE'S SECTIONS IN
- 24 THE RFC THAT TELLS YOU, YOU HAVE TO USE CERTAIN COMMANDS TO
- 25 | MAKE IT A SIP PROXY SERVER. WHAT'S A SIP AGENT? LET'S LOOK

- 1 AT THE RFC. THERE IS NO DEFINITION OF SIP AGENT IN THE RFC.
- 2 THERE IS NOWHERE THAT YOU CAN LOOK THAT TELLS YOU WHAT A SIP
- 3 AGENT IS. LET'S GO TO SLIDE 53.
- 4 FIRST, YOU MIGHT SAY, WELL, SIP AGENT IS JUST SHORTHAND
- 5 FOR SIP USER AGENT. THEY JUST GOT SLOPPY. WELL, THAT'S NOT
- 6 THE CASE HERE, YOUR HONOR. ESN EVEN AGREES THAT SIP AGENT IS
- 7 | NOT THE EQUIVALENT OF SIP USER AGENT. THEY CALL IT SOMETHING
- 8 | LIKE A SUPER SET, BUT THE SUPER SET HAS NO BOUNDARIES. AND AS
- 9 YOUR HONOR KNOWS, A DIFFERENT PATENT TERMS -- IF DIFFERENT
- 10 PHRASES ARE USED THEY ARE PRESUMED TO HAVE DIFFERENT MEANINGS.
- 11 WHAT'S THE MEANING OF SIP AGENT? WE DON'T KNOW. NEXT SLIDE.
- 12 | SIP AGENT ONLY APPEARS ONCE AND IT APPEARS IN ASSERTED
- 13 CLAIM 9. NEITHER THE SPECIFICATION NOR THE CLAIMS OTHERWISE
- 14 REFER TO SIP AGENT OR DEFINE SIP AGENT. AND AS I SAID, THE
- 15 RFC DOES NOT REFER TO OR DEFINE SIP AGENT, THAT PHRASE. IT
- 16 REFERS TO SIP USER AGENT BUT DOESN'T REFER TO SIP AGENT.
- 17 | SIMILARLY, THERE IS NO TECHNICAL DICTIONARY OUT THERE THAT
- 18 DEFINES THIS PHRASE SIP AGENT. NEXT SLIDE.
- 19 WE SUBMITTED EVIDENCE, YOUR HONOR, BECAUSE WE KNOW THERE
- 20 | IS A BURDEN HERE ON US. WE SUBMITTED EVIDENCE FROM DR. ERIC
- 21 BURGER. DR. BURGER IS THE CHAIRMAN OF THE BOARD OF DIRECTORS
- 22 OF THE SIP FORUM. HE HAS BEEN DESIGNING VOIP PRODUCTS SINCE
- 23 | 1998. HE FOUNDED A TECHNOLOGY COMPANY THAT DESIGNED AND
- 24 DEVELOPED SIP PRODUCTS IN 2000. AND HE HAS PUBLISHED TWELVE
- 25 | IETF RFCS AND A BOOK ON SIP. HE KNOWS SIP. DR. BURGER OPINED

- 1 THAT A PERSON OF ORDINARY SKILL IN THE ART WOULD BE UNABLE TO
- 2 DETERMINE THE MEANING OF THIS PHRASE SIP AGENT.
- 3 WHAT HAS ESN DONE IN RESPONSE TO THIS EVIDENCE? THEY
- 4 | HAVE SUBMITTED NO EXPERT DECLARATION AT ALL. SO WE HAVE
- 5 UNREBUTTED TESTIMONY FROM A PERSON WHO INDISPUTABLY IS SKILLED
- 6 IN THE ART SAYING YOU WOULDN'T KNOW WHAT THE BOUNDARIES ARE
- 7 HERE. NEXT SLIDE.
- 8 WHAT ESN DOES TO PROVIDE, ATTEMPT TO PROVIDE A DEFINITION
- 9 IS IT JUST -- IT DEFINES THE DEFINITION OF THE WORD AGENT.
- 10 | THIS IS NOT -- THIS IS NOT GOING TO SAVE THE DAY BECAUSE THESE
- 11 | TERMS IN THE PATENT MEAN SPECIFIC THINGS. SIP USER AGENT
- 12 | MEANS A SPECIFIC THING. YOU CAN'T JUST FIND THE DEFINITION OF
- 13 AGENT FOR SIP USER AGENT AND APPEND IT TO THE REST OF THE
- 14 DEFINITION. ESN PROVIDES NO EVIDENCE THAT THE CITATION IN
- 15 | NEWTON'S TELECOM DICTIONARY RELATES TO SIP IN ANY WAY. IF YOU
- 16 LOOK AT OTHER DEFINITIONS, FOR EXAMPLE, USER AGENT, IT'S NOT
- 17 FROM THAT DICTIONARY UNDER THE SAME LOGIC. IT'S CLEARLY NOT
- 18 | IN ACCORD WITH THE `519 PATENT'S USE OF THE PHRASE SIP USER
- 19 AGENT.
- 20 NOW, I THINK I HEARD COUNSEL SAY THAT, WELL, CISCO RELIED
- 21 ON THIS DICTIONARY WITH RESPECT TO A DIFFERENT TERM THAT THE
- 22 | PARTIES LATER STIPULATED TO, SO IT'S OKAY FOR US TO RELY ON
- 23 THIS DICTIONARY. WELL, THAT'S TOO SIMPLE LOGIC. I MEAN, AS
- 24 YOUR HONOR KNOWS, YOU CAN LOOK TO A DICTIONARY AND THERE MIGHT
- 25 BE 15 DEFINITIONS FOR A WORD. AND YOU NEED TO LOOK AT THE

- 1 | SPECIFICATION AND THE CONTEXT TO EVEN HAVE A DICTIONARY
- 2 | DEFINITION BE APPROPRIATE OR HAVE MEANING. SO SIMPLY BECAUSE
- 3 WE CITED TO A DEFINITION EARLIER FOR A DIFFERENT TERM HAS NO
- 4 | EVIDENTIARY BEARING ON THE PARTICULAR DEFINITION THEY PICKED
- 5 HERE AND WHETHER IT'S APPROPRIATE OR NOT. SO WE BELIEVE THAT
- 6 | THIS PHRASE IS INDEFINITE, YOUR HONOR. NEXT SLIDE.
- 7 WE ALSO BELIEVE THAT CISCO'S CONSTRUCTION WOULD NOT FIX
- 8 | THE INDEFINITENESS. CISCO BASICALLY SUBSTITUTES ONE UNDEFINED
- 9 TERM, SIP AGENT, WITH ANOTHER UNDEFINED TERM, SIP FUNCTION.
- 10 NEITHER THE PATENT NOR ESN DEFINES WHAT A SIP FUNCTION IS.
- 11 AGAIN, A PERSON OF ORDINARY SKILL, EVEN IF YOU ADOPTED ESN'S
- 12 | CONSTRUCTION WOULD HAVE TO FIGURE OUT, WELL, WHAT'S A SIP
- 13 | FUNCTION AND A NON-SIP FUNCTION? WELL, WHERE WOULD THEY LOOK?
- 14 THEY WOULD LOOK TO THE RFC. RFC DOESN'T DEFINE SOMETHING
- 15 CALLED A SIP FUNCTION AND WHAT IS INCLUDED OR EXCLUDED. SO
- 16 | THAT -- THAT'S NOT GOING TO SAVE THE DAY. NEXT SLIDE.
- 17 OKAY. NEXT TERM, YOUR HONOR, SIP PROXY SERVER. HERE
- 18 AGAIN, THE FIRST PART OF OUR CONSTRUCTION IS THE SAME AS THE
- 19 | FIRST SENTENCE IN ESN'S CONSTRUCTION, SO WE DON'T NEED TO
- 20 WORRY ABOUT THAT. WE HAVE THE LAST CLAUSE IN ACCORDANCE WITH
- 21 | IETC RFC 2543. WE HAVE ALREADY HASHED THAT OUT. WE KNOW
- 22 THAT'S A DISPUTE. AND THEN YOU'VE GOT THE SECOND --
- 23 THE COURT: BASICALLY YOU -- YOU AGREE THAT IT WOULD
- 24 PROBABLY BE MORE APPROPRIATE IN -- SOME LANGUAGE TO THE EFFECT
- 25 IN ACCORDANCE WITH THE MANDATORY LANGUAGE SUCH AS OR FOR

- 1 EXAMPLE, SHALL, MUST?
- 2 MR. VERHOEVEN: YES. THAT WOULD ACTUALLY PROBABLY BE
- 3 | HELPFUL. WE SHOULD HAVE THOUGHT ABOUT THAT HARDER MAYBE. BUT
- 4 WE ARE NOT TRYING TO ARGUE THAT NON-REQUIREMENTS ARE REQUIRED.
- 5 SO, YES, THE SHORT ANSWER IS YES.
- 6 YOUR HONOR HAD ASKED COUNSEL ABOUT THE SECOND SENTENCE IN
- 7 | THEIR LONG CONSTRUCTION HERE. IT DOESN'T COME FROM THE
- 8 | DEFINITION IN THE RFC. AND THE -- AGAIN, THE ANSWER TO OUR
- 9 REBUTTAL TO THEIR STATEMENT IS THE REASON THEY WANT THAT
- 10 SECOND SENTENCE IS THE SAME REASON THEY WANT ENDPOINT BECAUSE
- 11 | THEY ARE TRYING TO SAY, WELL, THIS SIP PROXY SERVER IS IN THE
- 12 | MIDDLE OF THE NETWORK AND DISTINGUISH THAT FROM THE SIP USER
- 13 AGENT, SAY IT'S IN THE ENDPOINT. AND THIS IS A -- THIS IS AN
- 14 ARGUMENT THEY WANT TO MAKE TO THE JURY TO TRY TO SAY WHAT --
- 15 WHY WHAT THEY HAVE IS NEW OR UNIQUE.
- 16 WELL, WE ARE GOING TO SHOW THE JURY THAT IN FACT THE
- 17 PRIOR ART HAD BOTH OF THESE THINGS IN THE END IN THE
- 18 RESIDENTIAL GATEWAY. BUT THAT'S NOT FOR CLAIM CONSTRUCTION,
- 19 YOUR HONOR. THAT'S -- THAT'S A DIFFERENT PART OF THE CASE.
- 20 AND IT'S NOT APPROPRIATE FOR THEM TO ADD ADDITIONAL SENTENCES
- 21 | TO THEIR CONSTRUCTION FOR THAT PURPOSE. WHAT THE COURT IS
- 22 | SUPPOSED TO DO IS LOOK AT THE INTRINSIC EVIDENCE AND CONSTRUE
- 23 | THE CLAIM. AND THIS SECOND SENTENCE THAT THEY HAVE COME UP
- 24 WITH HERE IS NO CONNECTION TO THAT PROCESS.
- 25 AGAIN, AND I'LL DO THIS QUICKLY, YOUR HONOR, BUT JUST FOR

- 1 | COMPLETENESS IN SUPPORT OF OUR CONSTRUCTION, AGAIN AS WE SAW
- 2 EARLIER, THE SPECIFICATION -- AND I REPEAT THIS BECAUSE IT
- 3 RELATES SPECIFICALLY TO THIS TERM, YOUR HONOR. THE
- 4 | SPECIFICATION IN PARTICULAR REFERENCES IETF RFC 2543 FOR THE
- 5 DETAILS OF THE MEANING OF THE PHRASE, QUOTE, SIP PROXY SERVER.
- 6 | SAYS: THE, QUOTE, "SIP PROXY SERVER," CLOSE QUOTE,
- 7 | FUNCTIONALITY DESCRIBED FURTHER IN THIS DISCLOSURE AND IN IETF
- 8 RFC 2543. SO IT SPECIFICALLY REFERENCED THAT IT'S DESCRIBED
- 9 FURTHER IN THIS RFC. NEXT SLIDE.
- 10 AGAIN, EVEN WITH THIS -- WITH THIS PHRASE AS WELL AS SIP
- 11 USER AGENT, ESN RELIES ON RFC 2543 TO TELL YOU HOW IT WORKS.
- 12 | NEXT SLIDE.
- 13 YESTERDAY IN THE TUTORIAL, THIS IS ESN'S SLIDE, EXPLAINED
- 14 TO YOUR HONOR HOW IT WORKS QUOTING THE PHRASE. YOU CAN SEE
- 15 | THIS DIAGRAM. THESE ARE -- THESE ARE THE REQUIREMENTS OF RFC
- 16 | 2543, THE INVITE, CODE, AND THE WAY THE PROTOCOL WORKS. IT'S
- 17 TAKEN STRAIGHT OUT OF RFC 2543. THE ONLY THING THAT ISN'T IS
- 18 | THE PHRASE SIP INTERMEDIARY. AND THEY WANT TO ADD THAT NOTION
- 19 BECAUSE THEY WANT TO SAY THE USER AGENT IS AN ENDPOINT AND THE
- 20 | PROXY SERVER IS AN INTERMEDIARY. BUT THESE ARE MADE UP
- 21 | NOTIONS THAT THEY ARE COMING UP WITH, YOUR HONOR. THEY ARE
- 22 NOT IN THE RFC AND THEY ARE NOT IN THE INTRINSIC EVIDENCE.
- 23 | NEXT SLIDE.
- 24 BUT IF YOU LOOK AT ESN'S CONSTRUCTION, IT'S VERY CLEAR
- 25 YOU NEED TO LOOK AT THE RFC TO UNDERSTAND WHAT IT MEANS. SO,

- 1 AND THIS IS THE SAME REASON WHY YOU CAN'T JUST TAKE A
- 2 | DEFINITION OUT OF THE RFC IN ISOLATION WITHOUT FURTHER
- 3 | CLARIFYING THAT THAT HAS TO WORK IN ACCORDANCE WITH THE
- 4 | REQUIREMENTS. SO ESN'S CONSTRUCTION REFERS TO SIP REQUESTS.
- 5 WELL, WHAT DO WE MEAN WHEN WE SAY SIP REQUESTS? WELL, YOU ARE
- 6 GOING TO HAVE TO -- WHAT'S A SIP REQUEST AND WHAT'S NOT A SIP
- 7 REQUEST? WELL, YOU HAVE TO LOOK AT RFC 2543 IN SECTION 4. AS
- 8 YOU SAW YESTERDAY, THERE'S ONLY SIX THINGS THAT CAN BE SIP
- 9 REQUESTS: INVITE, AND ACK, AND OPTIONS, A BYE, A CANCEL, OR A
- 10 REGISTER COMMAND. IF IT'S NOT ONE OF THOSE SIX THINGS, IT'S
- 11 | NOT SIP. IT'S NOT A SIP REQUEST. SO JUST TO SAY SIP REQUEST
- 12 | WITHOUT ANYTHING FURTHER ISN'T GOING TO DO IT. YOU NEED TO
- 13 LOOK AT THE RFC. NEXT SLIDE.
- 14 | SAME THING WITH SIP USER AGENT. AND I AM NOT GOING TO
- 15 REPEAT THE SAME ARGUMENT. YOU WILL HAVE IT AS PART OF THE
- 16 | RECORD, BUT IN ORDER TO UNDERSTAND HOW A SIP USER AGENT
- 17 | BEHAVES, WHETHER IT'S A SIP USER AGENT OR NOT, YOU NEED TO
- 18 LOOK AT SECTION 11. NEXT SLIDE.
- 19 NOW ESN HAS MADE AN ARGUMENT, YOUR HONOR, AND THIS IS --
- 20 | I AM JUST GOING TO RESPOND BECAUSE THEY MADE IT AND WE DIDN'T
- 21 HAVE A -- I HAVEN'T HAD A CHANCE TO RESPOND. THIS IS REALLY
- 22 FOR ANOTHER DAY. BUT ESN HAS MADE AN ARGUMENT THAT OUR
- 23 EXPERT, DR. BURGER, IMPROPERLY INSERTED THE WORD MUST INTO
- 24 RFC'S 2543 STATEMENT THAT THE TO, FROM, CALL-ID, AND CONTACT
- 25 TAGS ARE COPIED EXACTLY FROM THE ORIGINAL REQUEST.

- AND THAT ARGUMENT WE BELIEVE IS INCORRECT. ALTHOUGH THE 1 2 SPECIFIC SENTENCE THAT WE CITED IN THE RFC WAS NOT PRECEDED BY A MUST, YOUR HONOR, THE REQUIREMENT THAT ALL END-TO-END 3 HEADERS MUST REMAIN UNMODIFIED IS IN FACT SPECIFIED IN SECTION 4 5 6.5 OF RFC 2543. AND I AM QUOTING IT HERE ON THIS SLIDE: 6.5 END-TO-END AND HOP-BY-HOP HEADERS. THIS RFC SAYS: END-TO-END 7 HEADERS MUST -- THERE IS THE MAGIC WORD, YOUR HONOR -- BE TRANSMITTED UNMODIFIED ACROSS ALL PROXIES, WHILE HOP-TO-HOP HEADERS MAY BE MODIFIED OR ADDED BY PROXIES. 9 SO WHAT WE WOULD SAY, YOUR HONOR, UNDER -- IF WE GET THE 10 CONSTRUCTION WE ARE ASKING FOR IS THE REQUIREMENT HERE IS END-11 12 TO-END HEADERS MUST BE TRANSMITTED UNMODIFIED ACROSS ALL PROXIES. WHAT'S NOT A REQUIREMENT IS THAT HOP-TO-HOP HEADERS 13 MUST BE MODIFIED OR ADDED. IT SAYS MAY, SO THAT'S NOT A 15 REQUIREMENT. IT'S VERY SIMPLE TO DETERMINE WHETHER IT'S A 16 REQUIREMENT OR NOT. IF YOU LOOK AT THE RFC 2543 TABLES 4 AND 17 5, IT'S VERY CLEAR THAT THE TO, THE FROM, THE CALL-ID, AND THE CONTACT ARE CLASSIFIED AS END-TO-END HEADERS, AND ARE A MUST, 18 FOLLOWS A MUST. 19 20 BUT THAT IS NOT FOR TODAY, YOUR HONOR. THAT IS -- THAT'S INFRINGEMENT. OKAY. BUT THAT'S WHEN YOU GET DOWN TO 21
- BUT THAT IS NOT FOR TODAY, YOUR HONOR. THAT IS -- THAT'S

  INFRINGEMENT. OKAY. BUT THAT'S WHEN YOU GET DOWN TO

  INFRINGEMENT. DOES THE PRODUCT HAVE -- PERFORM A MUST OR NOT

  PERFORM A MUST? IS IT COMPLIANT OR NOT COMPLIANT? WE MERELY

  RAISE IT BECAUSE THE STATEMENT HAS BEEN MADE AND WE WANTED TO

  CLARIFY THE RECORD. NEXT SLIDE.

- ESN'S CONSTRUCTION, YOUR HONOR, COPIES THE DEFINITION OF 1 SIP PROXY SERVER OUT OF THE RFC BUT DOESN'T REFERENCE THE 2 REQUIREMENTS THAT ARE SET FORTH IN THE RFC. AND THE 3 DEFINITIONS IN THE RFC ARE ONLY MEANT TO BE UNDERSTOOD, YOUR 4 5 HONOR, AND ANYONE OF SKILL IN THE ART WOULD KNOW THIS. THEY ARE ONLY MEANT TO BE UNDERSTOOD IN THE CONTEXT OF THE ENTIRE 7 RFC. AND THEY REFER TO OTHER PHRASES AND THE FUNCTIONALITY OF THOSE PHRASES IS SET FORTH IN THE RFC. THEY DON'T -- THE DEFINITIONS, YOU CAN'T PULL THEM OUT AND PUT THEM ON THEIR OWN 9 10 IN ISOLATION OUTSIDE THE RFC. IF YOU DO THAT, THEN LITERALLY THOSE DEFINITIONS READ ON THE COMPETING PROTOCOL, WHICH MAKES 11 12 NO SENSE. SO THAT'S -- I FINISHED WITH SIP PROXY SERVER, YOUR 13
- HONOR. AND I'M SKIPPING OVER NOW THE PHRASE SIP PROXY SERVER 15 FOR DEVICES USING THE TELEPHONE LINE INTERFACE BECAUSE WE'VE COVERED THAT. OKAY. SO I HAVE ONE MORE PHRASE, YOUR HONOR. 16 AND THIS IS THE SIP PROXY -- IT'S A BIG, LONG CLAUSE THAT ESN 17 WANTS THE COURT TO CONSTRUE. AND THE CLAUSE IS: SIP PROXY 18 SERVER THAT MEDIATES ALL SIP COMMUNICATIONS OVER THE BROADBAND 19 NETWORK INTERFACE INVOLVING THE NON-SIP TELEPHONE. AND YOU 20 CAN SEE IN THE RIGHT-HAND COLUMN YOU'VE GOT ABOUT A PAGE OF A 21 BOOK THERE FOR ESN'S CONSTRUCTION.
  - OUR POSITION, YOUR HONOR, IS WE DON'T NEED TO CONSTRUE

    THIS PHRASE. YOU KNOW, TO THE EXTENT THERE IS DISPUTES ABOUT

    A SPECIFIC WORD OR PHRASE, WE'VE COVERED THEM. WE ARE GOING

23

24

25

- 1 TO CONSTRUE SIP. WE ARE GOING TO CONSTRUE SIP PROXY SERVER.
- 2 | THE PARTIES HAVE AGREED TO BROADBAND NETWORK INTERFACE AND TO
- 3 NON-SIP TELEPHONE.
- 4 SO, WE DON'T NEED TO -- WE DON'T NEED TO CONSTRUE EVERY
- 5 SINGLE THING IN ALL THE CLAIMS. AND HERE, YOU ARE ASKING FOR
- 6 -- YOU ARE TAKING A VERY LONG PHRASE AND THEN YOU ARE ASKING
- 7 FOR A CONSTRUCTION THAT'S MUCH LONGER. THAT'S NOT GOING TO BE
- 8 | HELPFUL FOR THE JURY. IF THERE IS SOME POINT OF DISPUTE WITH
- 9 RESPECT TO A PARTICULAR WORD AND WHAT IT MEANS, THAT'S FINE.
- 10 AND I THINK THERE ARE. AND WE HAVE ACTUALLY DEALT WITH THOSE.
- 11 | SO THERE IS NO NEED TO CONSTRUE THIS BIG, LONG PHRASE. LET'S
- 12 | GO TO 72.
- 13 IN ADDITION, IF YOU WERE TO TAKE ESN'S CONSTRUCTION,
- 14 | WE'VE GOT PROBLEMS WITH IT. ESN'S CONSTRUCTION OMITS
- 15 | LIMITATIONS IN THE EXPRESSED WORDS. THE CLAIM REQUIRES THAT
- 16 | THE DEVICE MEDIATES ALL IDENTIFIED SIP COMMUNICATIONS AND ITS
- 17 EXPRESSED WORDS. THE CLAIM EXPLICITLY REQUIRES THAT EACH AND
- 18 | EVERY SIP COMMUNICATION OVER THE BROADBAND INTERFACE THAT
- 19 | INVOLVES THE NON-SIP TELEPHONE BE MEDIATED BY THE PROXY
- 20 | SERVER. ESN'S CONSTRUCTION DOESN'T ACCOUNT FOR THAT
- 21 | LIMITATION.
- 22 IN ADDITION TO OMITTING A REQUIREMENT, ESN'S LENGTHY
- 23 PARAGRAPH ADDS CLAIM LIMITATIONS THAT AREN'T IN THE CLAIMS.
- 24 | SO WE ARE IN SLIDE 73 NOW, YOUR HONOR. ESN'S CONSTRUCTION
- 25 WOULD ADD THE LIMITATION, QUOTE, THE SIP PROXY SERVER MUST

- 1 | CONTROL SIP TELEPHONE CALL SESSIONS INVOLVING THE NON-SIP
- 2 | TELEPHONE BY (1) MAKING SIP SIGNALING EVENTS AVAILABLE TO A
- 3 | TELEPHONE CALL CONTROL FUNCTION AND (2) TRANSLATING E.164
- 4 NUMBERS INTO IP ADDRESSES (AS REQUIRED TO ESTABLISH SIP CALL
- 5 | SESSIONS).
- 6 YOU KNOW, THAT'S NOT IN THAT SENTENCE. THAT'S NOT IN
- 7 | THAT CLAUSE. THEY ARE JUST ADDING -- ADDING THE SENTENCE ON.
- 8 | WHAT'S THEIR SUPPORT FOR THAT? WELL, THEY CITE THE PATENT'S
- 9 DESCRIPTION OF ONE EMBODIMENT OF THE SIP PROTOCOL STACK AND
- 10 THE DNS SERVER FOR THIS LONG, LENGTHY SENTENCE. BUT THE
- 11 EMBODIMENTS CAN'T BE IMPORTED, AS YOUR HONOR KNOWS, INTO THE
- 12 CLAIMS.
- 13 AND IN FACT IF YOU LOOK AT THE DESCRIPTION, THEIR
- 14 CITATION TO THIS PREFERRED EMBODIMENT, THE PASSAGE MAKES NO
- 15 REFERENCE TO MAKING SIP SIGNALING EVENTS AVAILABLE TO A
- 16 TELEPHONE CALL CONTROL FUNCTION. THE CITED PASSAGE STATES
- 17 THAT THE DNS SERVER, NOT THE PROXY SERVER, TRANSLATES E.164
- 18 | DIALING NUMBERS INTO IP ADDRESSES. SO EVEN THE -- EVEN THE
- 19 PREFERRED EMBODIMENT, WHICH YOU ARE NOT SUPPOSED TO IMPORT
- 20 | INTO THE CLAIMS IN THE FIRST PLACE, EVEN IF YOU ASSUME THAT'S
- 21 OKAY, IT DOESN'T SUPPORT THE NOTION OF ADDING THE LIMITATIONS
- 22 THAT THEY ARE TALKING ABOUT.
- 23 SO IN SUMMARY ON THIS TERM, WE THINK THAT THE COURT
- 24 | SHOULD JUST CONCLUDE THE PHRASE DOESN'T NEED TO BE CONSTRUED.
- 25 AND TO THE EXTENT THERE IS PROBLEMS WITH PARTICULAR MEANINGS

- 1 OF PARTICULAR WORDS, IT'S ALREADY BEEN BROUGHT TO THE COURT'S
- 2 ATTENTION AND THE COURT IS GOING TO RULE ON THOSE PARTICULAR
- 3 WORDS. THANK YOU, YOUR HONOR.
- 4 THE COURT: THANK YOU. BRIEF REPLY COMMENTS.
- 5 MR. MCANDREWS: YES, YOUR HONOR. THIS SLIDE ACTUALLY
- 6 IS -- I AM GOING TO JUMP AROUND A LITTLE BIT, SO PAY NO
- 7 ATTENTION TO THIS SLIDE FOR THE TIME BEING. I WANT TO START
- 8 | WITH COUNSEL REFERENCED AN LG CASE AND SUGGESTED THAT THE
- 9 | FEDERAL CIRCUIT HAS STATED THAT A SPECIFICATION IS TO BE
- 10 CONSIDERED INTRINSIC EVIDENCE. YOUR HONOR, THAT -- THAT CASE,
- 11 AS FAR AS WE CAN TELL, HAS NOT PREVIOUSLY BEEN CITED BY CISCO.
- 12 AND WE'D LIKE TO HAVE AN OPPORTUNITY TO REVIEW THAT CASE AND,
- 13 | IF NECESSARY, SUBMIT A SHORT BRIEF ON THAT POINT. I DON'T
- 14 KNOW THAT IN THE OVERALL SCHEME OF THINGS WHETHER IT'S
- 15 CONSIDERED INTRINSIC EVIDENCE OR EXTRINSIC EVIDENCE IS ALL
- 16 | THAT IMPORTANT, BUT WE'LL TAKE A LOOK AT IT AND IF WE FEEL A
- 17 | RESPONSE IS NECESSARY --
- 18 THE COURT: WELL, I MEAN THE CASE I AM ASSUMING
- 19 | SPEAKS FOR ITSELF BUT --
- MR. MCANDREWS: OKAY.
- 21 THE COURT: -- I GUESS IF YOU LOOK AT IT AND
- 22 | CONSIDER ADDITIONAL BRIEFING IS NEEDED, THEN YOU NEED TO SEEK
- 23 PERMISSION AND TELL ME WHY YOU FEEL IT'S NECESSARY.
- MR. MCANDREWS: WE DO NOT HAVE A BURNING DESIRE TO
- 25 | FILE ANY ADDITIONAL PAPERS IN THIS CASE BEYOND WHAT'S

- 1 | NECESSARY. SO IT WOULD ONLY BE IF THEY FLAT OUT
- 2 | MISREPRESENTED THE CASE AND IT'S IN A WAY THAT WE DON'T FEEL
- 3 THE COURT CAN SORT OUT.
- 4 THE COURT: I GUESS WHEN WE READ THE CASE, IF IT'S
- 5 BEEN MISREPRESENTED, WE'LL RECOGNIZE THAT.
- 6 MR. MCANDREWS: OKAY. NOW ON THE MAIN POINT, WE
- 7 DON'T DISPUTE THAT THE RFCS ON SIP WOULD BE WHERE ONE OF SKILL
- 8 IN THE ART WOULD GO TO DETERMINE WHETHER IT'S SIP. THEIR --
- 9 THE COURT: WELL, DOES THIS RELIEVE YOUR CONCERNS ANY
- 10 | THAT IF LANGUAGE WERE INCLUDED IN ACCORDANCE WITH THE
- 11 | MANDATORY LANGUAGE OF RFC 2543, SUCH AS SHALL, MUST?
- 12 MR. MCANDREWS: IN GENERAL THE -- THAT WOULD CORRECT
- 13 | THE PROBLEM WITH THE LANGUAGE IN ISOLATION, IN ACCORDANCE
- 14 WITH. IT WOULD CORRECT IT FOR THE MOST PART, ALTHOUGH WE FEEL
- 15 | THAT IT WOULD BE APPROPRIATE TO INSTRUCT THE JURY THAT BECAUSE
- 16 | THESE ARE RFCS IN A DRAFT STAGE THAT IT WOULD BE UNDERSTOOD
- 17 | THAT THERE WOULD ONLY BE SUBSTANTIAL COMPLIANCE. AND EVEN
- 18 | CISCO AND THEIR EXPERT UNDERSTANDS THAT TO BE --
- 19 THE COURT: WELL, IS THAT AN ISSUE FOR JURY CHARGE
- 20 | TIME OR CLAIM CONSTRUCTION?
- 21 MR. MCANDREWS: WELL, I FEEL IT'S -- GRANTED, YOUR
- 22 | HONOR, IF THERE ISN'T PERFECT COMPLIANCE WE HAVE A DOCTRINE OF
- 23 | EQUIVALENTS TO FALL BACK ON, BUT IN THIS PARTICULAR SITUATION
- 24 | I BELIEVE THAT THE LITERAL LANGUAGE OF THE CLAIM LEAVES SOME
- 25 ROOM FOR SUBSTANTIAL COMPLIANCE AS OPPOSED TO TO-THE-LETTER

- 1 100 PERCENT COMPLIANCE. AND IT'S FOR TWO REASONS. NUMBER
- 2 ONE, IT IS A DRAFT STANDARD. AND IT IS -- IT'S A DRAFT
- 3 | STANDARD PROPOSED AS A REQUEST FOR COMMENTS THAT EXPLICITLY
- 4 ASK FOR PARTIES TO GO OUT AND BEGIN IMPLEMENTING, TRY THINGS
- 5 DIFFERENT WHILE THEY ARE IN THE PROCESS OF TRYING TO FIGURE
- 6 OUT WHAT IS THE BEST STANDARD THAT THE LETF SHOULD ULTIMATELY
- 7 | REACH, AND SO THERE IS AN UNDERSTANDING OF SUBSTANTIAL
- 8 | COMPLIANCE.
- 9 IN FACT, CISCO AND THEIR EXPERT IN THEIR PAPERS
- 10 REFERENCE, I'M GOING TO USE A TERM HERE THAT MAY NOT BE THE
- 11 EXACT TERM OF ART, BUT THERE IS ESSENTIALLY SIP BAKE-OFFS.
- 12 | IT'S LIKE A RIB CONTEST FOR PEOPLE THAT MAKE SIP THINGS. AND
- 13 | THEY GET TOGETHER IN THESE BIG GROUPS AND THEY START
- 14 | CONNECTING THEIR EQUIPMENT. THEY GET INTO A BIG ROOM LIKE
- 15 | THIS AND THEY'VE GOT LAWYERS RUNNING ALL OVER THE PLACE AND
- 16 | THEY GO ABOUT TRYING TO DETERMINE WHETHER THEIR EQUIPMENT
- 17 | INTEROPERATES WITH OTHER EQUIPMENT. AND THE REASON FOR THAT
- 18 | IS BECAUSE THEY UNDERSTAND THAT WHEN YOU GO ABOUT IMPLEMENTING
- 19 THESE DRAFT STANDARDS THAT ARE PROPOSED IN RFCS, THERE WILL
- 20 | NOT BE 100 PERCENT TO THE LETTER COMPLIANCE EVEN WITH THE
- 21 | IMPERATIVES. AND SO THEY HAVE THESE TO TRY TO FIGURE OUT IF
- 22 | THE PRODUCTS WORK TOGETHER.
- 23 THE COURT: I'M NOT SURE IF THAT ANSWERS MY QUESTION
- 24 WHETHER THIS IS A MATTER OF AN ISSUE FOR CHARGE, THE JURY
- 25 CHARGE OR FOR CLAIM CONSTRUCTION. WHAT --

- 1 MR. MCANDREWS: I SUPPOSE IT'S -- SINCE, YOUR HONOR,
- 2 I'M NOT FAMILIAR WITH HOW YOU SEPARATE THE CLAIM CONSTRUCTION
- 3 FROM THE JURY CHARGE, I SUPPOSE IT'S A MATTER THAT SHOULD BE
- 4 ADDRESSED.
- 5 THE COURT: OKAY. BY EITHER CLAIM CONSTRUCTION OR
- 6 JURY CHARGE?
- 7 MR. MCANDREWS: OR JURY CHARGE, CORRECT, YOUR HONOR.
- 8 THE COURT: VERY WELL.
- 9 MR. MCANDREWS: NOW, THEY DID CITE TO A CASE THAT YOU
- 10 WERE THE AUTHOR OF AND THEY SUGGESTED THAT BECAUSE THE CLAIM
- 11 | LANGUAGE SAID HTTP COMPLIANT THAT THE CONSTRUCTION WAS YOU
- 12 | HAVE TO BE COMPLIANT WITH HTTP. WELL, THAT'S BECAUSE THE
- 13 | CLAIM LANGUAGE ITSELF SAID HTTP COMPLIANT. SO I'M NOT CERTAIN
- 14 | THAT THE CASE THEY CITED FROM YOUR HONOR IS NECESSARILY
- 15 RELEVANT TO THE ISSUE WE HAVE HERE BECAUSE THE CLAIM LANGUAGE
- 16 ITSELF TOLD YOU IT HAD TO BE COMPLIANT.
- 17 NOW JUST A FREE -- I JUST HAVE A FEW BRIEF REBUTTAL
- 18 | POINTS ON A COUPLE OF THE INDIVIDUAL ELEMENTS HE WENT THROUGH.
- 19 SO ON SIP USER AGENT, CISCO'S COUNSEL MADE THE STATEMENT THAT
- 20 OUR CONSTRUCTION THAT REFERS TO AN ENDPOINT IS AN
- 21 | INAPPROPRIATE ATTEMPT TO START ARGUING VALIDITY OF THE PATENT.
- 22 AND HE STATED THAT IT HAD NO BASIS IN THE INTRINSIC EVIDENCE.
- 23 BUT, YOUR HONOR, THAT IS NOT ENTIRELY CORRECT. THE INTRINSIC
- 24 EVIDENCE RIGHT HERE, COLUMN 14, LINE 2 THROUGH 7 OF THE `519
- 25 PATENT SAYS, FOR EXAMPLE, IF THE CALLING PARTY IS A SIP

- 1 NETWORK SIGNALING ENDPOINT (SIP USER AGENT). SO THE INTRINSIC
- 2 EVIDENCE USES THOSE TERMS SYNONYMOUSLY.
- 3 ALSO IN THE RFC, THE DRAFT SIP STANDARD, IT REFERS TO AN
- 4 INTERNET ENDPOINT ALSO CALLED A USER AGENT. SO THERE IS
- 5 SUPPORT IN BOTH THE INTRINSIC AND EXTRINSIC EVIDENCE FOR A SIP
- 6 USER AGENT BEING DEFINED AS AN ENDPOINT.
- 7 NOW ON THE ISSUE OF SIP AGENTS, AGAIN, CISCO FACES A HIGH
- 8 BURDEN. THEY SUGGEST THAT BECAUSE YOU CAN'T FIND THE VERY
- 9 WORD SIP AGENT DEFINED IN THE SIP STANDARD, THEREFORE WE JUST
- 10 HAVE TO THROW UP OUR HANDS AND SAY WE DON'T KNOW WHAT IT
- 11 MEANS. BUT CERTAINLY THEY MUST RECOGNIZE THAT ONE OF SKILL IN
- 12 | THE ART IS NOT DEVOID OF COMMON SENSE. THEY ARE NOT IGNORANT
- 13 OF COMMON USES OF THE ENGLISH LANGUAGE. AND ONE OF SKILL IN
- 14 THE ART READING THE CLAIM UNDERSTANDS THAT THE COMMON USE OF
- 15 | THE WORD AGENT IS THAT IT'S AN ENTITY THAT OPERATES ON BEHALF
- 16 OF ANOTHER.
- 17 CISCO'S COUNSEL SUGGESTED THAT THE WORD SIP AGENTS IS
- 18 UNBOUNDED BUT THAT'S NOT TRUE. WE KNOW THAT IT'S SIP AND ALL
- 19 THE THINGS THAT ENTAILS BASED ON THE OTHER ELEMENTS WE'VE
- 20 | DISCUSSED TODAY, AND WE KNOW THAT IT'S AN AGENT, THAT IT ACTS
- 21 ON BEHALF OF SOMETHING ELSE. SO SIP AGENTS, THE TERM IS NOT
- 22 | INSOLUBLY AMBIGUOUS. WE DON'T BELIEVE THAT THERE IS ANY BASIS
- 23 FOR A FINDING THAT THAT TERM IS INDEFINITE.
- 24 FINALLY, ON SIP PROXY SERVER, THERE ARE A COUPLE OF
- 25 ARGUMENTS MADE. ONE IS THAT THE ADDITIONAL SENTENCES OF ESN'S

- 1 PROPOSED CLAIM CONSTRUCTION ARE AGAIN NOT SUPPORTED BY THE
- 2 INTRINSIC OR EXTRINSIC EVIDENCE. THEY SUGGESTED THAT WE JUST
- 3 MADE UP THOSE ADDITIONAL SENTENCES. THAT'S NOT TRUE AT ALL.
- 4 IF I CAN FIND -- RIGHT HERE. IF YOU RECALL, I MENTIONED THAT
- 5 AT COLUMN 62, LINE 30 THROUGH 45 THE `519 PATENT EXPRESSLY
- 6 INCORPORATES THE DEFINITION THAT COMES DIRECTLY OUT OF RFC
- 7 2543.
- 8 AND THIS DOES NOT JUST SAY THAT IT'S AN INTERMEDIARY
- 9 PROGRAM THAT ACTS AS BOTH A SERVER AND A CLIENT FOR PURPOSES
- 10 OF MAKING REQUESTS ON BEHALF OF OTHER CLIENTS. IT INCLUDES
- 11 | THE ADDITIONAL SENTENCES NEARLY WORD FOR WORD OF WHAT ARE
- 12 FOUND IN ESN'S PROPOSED CONSTRUCTION.
- 13 SO ESN'S PROPOSED CONSTRUCTION FINDS SUPPORT IN BOTH THE
- 14 | INTRINSIC EVIDENCE AND THE EXTRINSIC EVIDENCE THAT CISCO
- 15 BELIEVES IS RELEVANT TO DETERMINING THESE ISSUES.
- 16 NOW, CISCO DID NOT ADDRESS THE ISSUE OF THE SENTENCE THAT
- 17 DID NOT INCLUDE AN IMPERATIVE. INSTEAD, THEY'VE GONE TO A
- 18 | SEPARATE PORTION. AGAIN, THIS IS THE DANGER OF ON THE FLY
- 19 ALLOWING CISCO TO MAKE UP REQUIREMENTS. THEY'VE GONE TO A
- 20 DIFFERENT SECTION ON HEADERS. THIS IS THE FIRST TIME THEY'VE
- 21 | MADE THAT ARGUMENT. WE HAVEN'T HAD A CHANCE TO REVIEW EXACTLY
- 22 WHAT THOSE SECTIONS OF THE RFC RELATE TO. BUT THEY NOW
- 23 SUGGEST THAT THERE IS A SEPARATE SECTION OF THE RFC THAT
- 24 RENDERS DR. BURGER'S OPINION THAT NEVER DISCUSSED THIS SECTION
- 25 OF THE RFC, THAT RENDERS HIS OPINION CORRECT. DR. BURGER'S

- 1 OPINION DID NOT ADDRESS THAT SECTION, AND HE RELIED ON A
- 2 | SENTENCE THAT CLEARLY DID NOT HAVE AN IMPERATIVE IN IT FOR THE
- 3 CREATION OF A SO-CALLED REQUIREMENT.
- 4 FINALLY, LAST POINT, CISCO SUGGESTED THAT AN ELEMENT THAT
- 5 IS SEPARATE AND APART FROM THE SIP PROXY SERVER DOES THE
- 6 TRANSLATION OF E.164 NUMBERS AS APPEARS IN ESN'S PROPOSED
- 7 CONSTRUCTION. BUT THE LANGUAGE FROM THE PATENT ACTUALLY READS
- 8 -- I AM SORRY, YOUR HONOR. THEY HAD QUOTED THE LANGUAGE. I
- 9 DIDN'T HAVE THAT WITH ME.
- 10 YOUR HONOR, I'M HAVING DIFFICULTY FINDING THE EXACT
- 11 | LANGUAGE, BUT THEIR POINT WAS THAT THE SIP PROXY SERVER DID
- 12 | NOT PERFORM THE E.164 TRANSLATION. THEY SUGGESTED THAT
- 13 | SOMETHING CALLED A DNS SERVER DID THAT TRANSLATION. BUT THE
- 14 ACTUAL OUOTE FROM THE PATENT IS THAT THE SIP PROXY SERVER USES
- 15 | THE DNS SERVER TO TRANSLATE. SO IT'S ACTUALLY THE SIP PROXY
- 16 | SERVER THAT'S DOING THE TRANSLATION. IT'S JUST THAT IT RELIES
- 17 ON THE DNS SERVER.
- 18 | SO WHILE THEY SUGGESTED THAT WE WERE TRYING TO
- 19 | INCORPORATE A FUNCTION FROM SOMETHING OTHER THAN THE SIP PROXY
- 20 | SERVER, IT ACTUALLY IS A FUNCTION OF THE SIP PROXY SERVER TO
- 21 DO THE E.164 NUMBER TRANSLATION. THAT'S ALL I HAVE, YOUR
- 22 HONOR.
- 23 THE COURT: I APPRECIATE EVERYONE'S COMMENTS. I WILL
- 24 TAKE THIS UNDER ADVISEMENT AND HAVE AN ORDER OUT AS QUICKLY AS
- 25 POSSIBLE. DID THE PARTIES MAKE YOUR SLIDES FROM YESTERDAY'S

TUTORIAL AND TODAY A PART OF THE RECORD? HAVE YOU? IF NOT, 1 2 LET --MR. VERHOEVEN: WE WILL. 3 MR. MCANDREWS: WE HAVE NOT MADE THEM PART OF THE 4 5 RECORD. WE HAVE HANDED THEM UP. THE COURT: SO WHY DON'T I JUST SIMPLY -- MRS. 6 7 MARTIN, I DON'T WANT TO BURDEN THE RECORD TOO MUCH. IF SOMEONE HAS ALREADY MADE THOSE A PART OF THE RECORD, WE'LL NOT MAKE TWO COPIES A PART, BUT --MR. VERHOEVEN: I DON'T THINK -- WE HAVEN'T FORMALLY 10 MADE THEM PART OF THE RECORD, HAVE WE? 11 MR. MCANDREWS: NOT FORMALLY PART OF THE RECORD. 12 THE COURT: WELL, THEN WHY DON'T WE MAKE THESE 13 14 COURT'S COPIES PART OF THE RECORD. 15 NOW WHERE ARE THE PARTIES ON THE DISCOVERY ON THE MOTION TO DISMISS THAT WAS THE SUBJECT OF A RECENT TELEPHONE CONFERENCE? IS THAT MOVING ALONG? IF SO, HOW LONG? HOW MUCH 17 18 | MORE TIME IS NEEDED? XXXXXXXXXXXXXX SEALED XXXXXXXXXXXXXXXXXXXX 19 21 XXXXXXXXXXXXXXXXXXXXXXX SEALED XXXXXXXXXXXXXXXXXXXXXXX 23 

25

XXXXXXXXXXXXXXXXXXXXXXX SEALED XXXXXXXXXXXXXXXXXXXXXXXX 1 2 3 4 5 6 7 9 10 11 XXXXXXXXXXX 13 THE COURT: WELL, I DON'T WANT AN ARGUMENT. I DIDN'T 14 15 ASK FOR A SPEECH. I ASKED FOR A --MR. MCANDREWS: BUT WE'RE HAVING SOME DIFFICULTY, 16 YOUR HONOR. WE HOPE THAT WE ARE GOING TO BE DONE IN THE 60 17 DAYS THE COURT ALLOWED IT. 18 THE COURT: YEAH. WELL, AND I THINK I MADE IT CLEAR 19 TO THE PARTIES, QUITE CLEAR IN THE CONFERENCE CALL THAT I DON'T WANT A BUNCH OF PROBLEMS. YOU KNOW WHAT YOU ARE GOING TO 21 RELY ON TO SUPPORT THIS MOTION TO DISMISS. THE PLAINTIFF IS ENTITLED TO DISCOVERY ON THOSE ISSUES AND I AM EXPECTING THE 23 PARTIES TO WORK THROUGH THIS. IF YOU DON'T, I WANT THIS 25 BROUGHT TO MY ATTENTION BY WAY OF MOTION, AND THE LOSING PARTY

- WILL FACE POSSIBLE SANCTIONS IF THE COURT'S INSTRUCTIONS HAVE 1 NOT BEEN FOLLOWED. SIMPLE AS THAT --2 MR. VERHOEVEN: UNDERSTOOD, YOUR HONOR. 3 THE COURT: -- FROM BOTH SIDES. 4 5 MR. VERHOEVEN: UNDERSTOOD, YOUR HONOR. THE COURT: YOU KNOW WHAT YOU NEED, WHAT YOU'RE 6 ENTITLED TO. YOU KNOW WHAT THEY SHOULD BE ABLE TO HAVE 7 BENEFIT OF. SO I WANT THIS DISCOVERY COMPLETED. I WANT IT COMPLETED TIMELY. I AM HERE FOR DISPUTES, BUT YOU BETTER MAKE 9 10 SURE THEY ARE GOOD FAITH DISPUTES, BOTH SIDES. MR. VERHOEVEN: UNDERSTOOD, YOUR HONOR. 11 THE COURT: NOW, HOW MUCH TIME REMAINS UNTIL THE 12 DISCOVERY -- I DON'T RECALL WHEN WE HAD THAT CONFERENCE. IT'S 13 MY RECOLLECTION THAT ABOUT 60 DAYS WAS NEEDED. SO WHEN DOES 15 THAT RUN? MR. MCANDREWS: AND IT WOULD BE UP ON JULY 19<sup>TH</sup> AND 16 YOUR HONOR REQUESTED THAT WE FILE OUR OPPOSITION BRIEF ON JULY 17 19<sup>™</sup> AS WELL. 18 THE COURT: IF YOU ARE HAVING PROBLEMS ON SOME OF 19 20 THESE ISSUES, I WOULD SUGGEST YOU ARE ALL HERE, MEET-AND-CONFER AND TRY AND WORK THROUGH THEM. IF YOU CAN'T WORK 21 THROUGH THEM, FILE YOUR APPROPRIATE MOTION.
- 23 WE WILL BE IN RECESS.
- 24 (ADJOURNED AT 11:48 A.M.)

REPORTER'S CERTIFICATION I CERTIFY THAT THE FOREGOING IS A CORRECT TRANSCRIPT FROM 6 THE RECORD OF PROCEEDINGS IN THE ABOVE-ENTITLED MATTER. DATED THIS 22<sup>ND</sup> DAY OF JUNE, 2009 /S/LIBBY CRAWFORD LIBBY CRAWFORD, CSR OFFICIAL COURT REPORTER