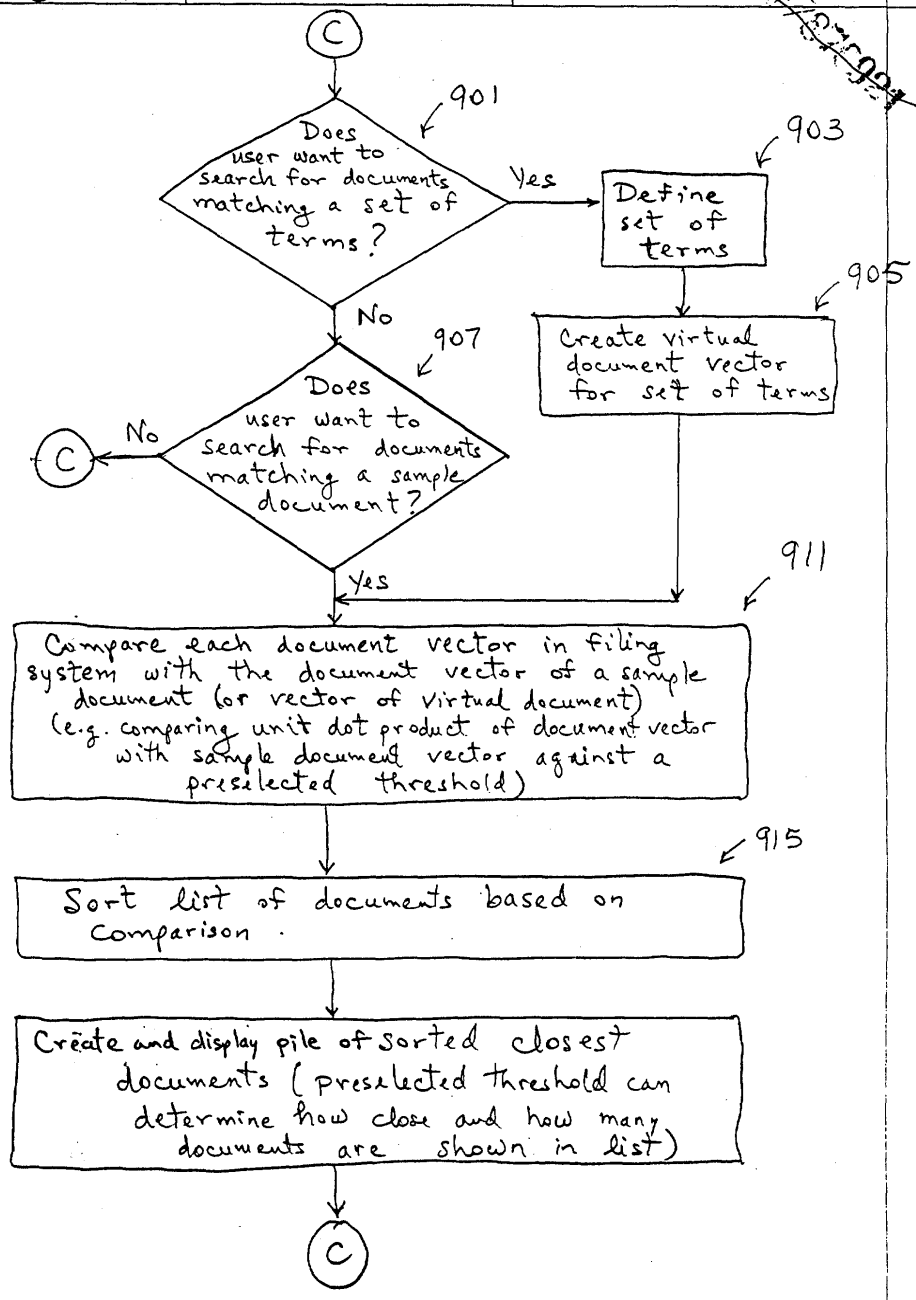


As Originally Filed

08/28/108

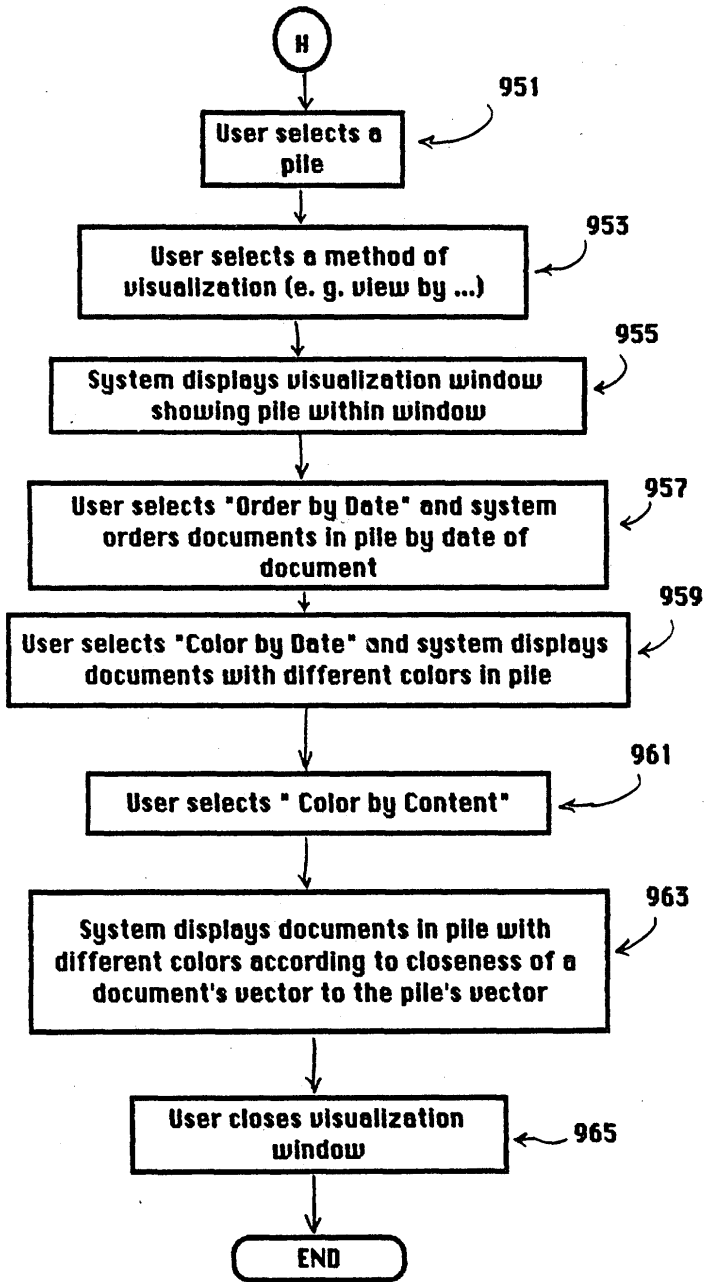
Figure 19

42,389, 100 SHEETS 3 SQUARE
42,389, 200 SHEETS 3 SQUARE
42,389, 300 SHEETS 3 SQUARE
42,389, 400 SHEETS 3 SQUARE
42,389, 500 SHEETS 3 SQUARE
42,389, 600 SHEETS 3 SQUARE
42,389, 700 SHEETS 3 SQUARE
42,389, 800 SHEETS 3 SQUARE
42,389, 900 SHEETS 3 SQUARE
42,389, 1000 SHEETS 3 SQUARE



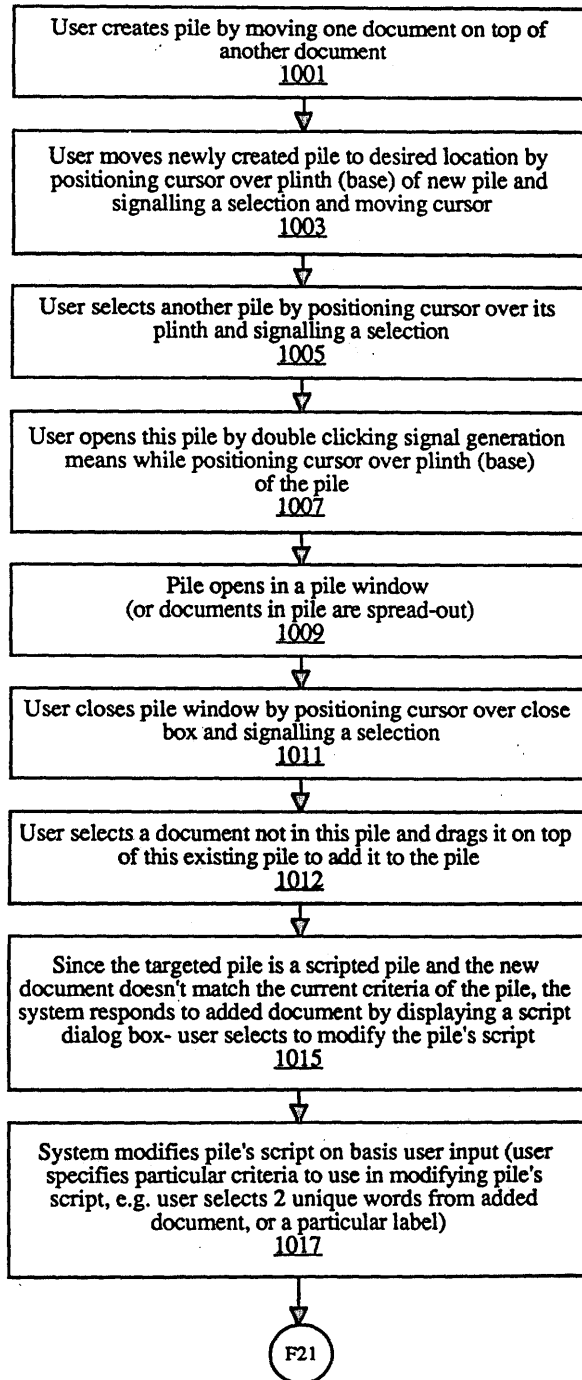
08/287,108
077070921

Figure 20



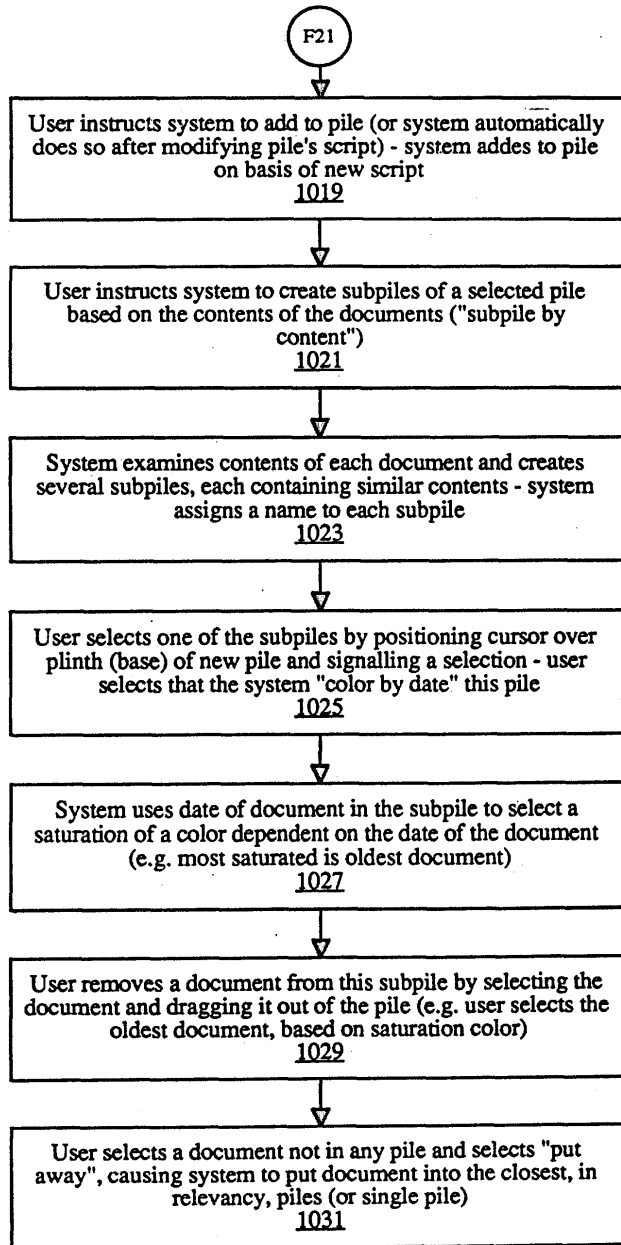
~~CONFIDENTIAL~~

Figure 21



~~10/10/01~~

Figure 21 continued



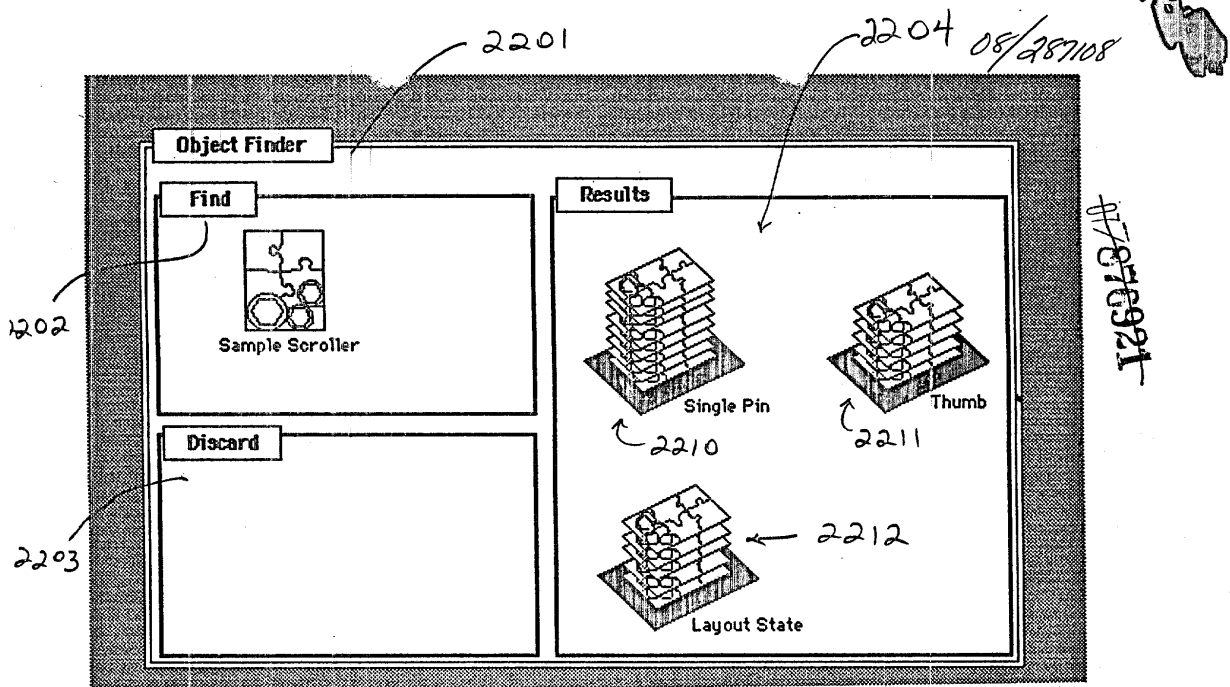


Figure 22a

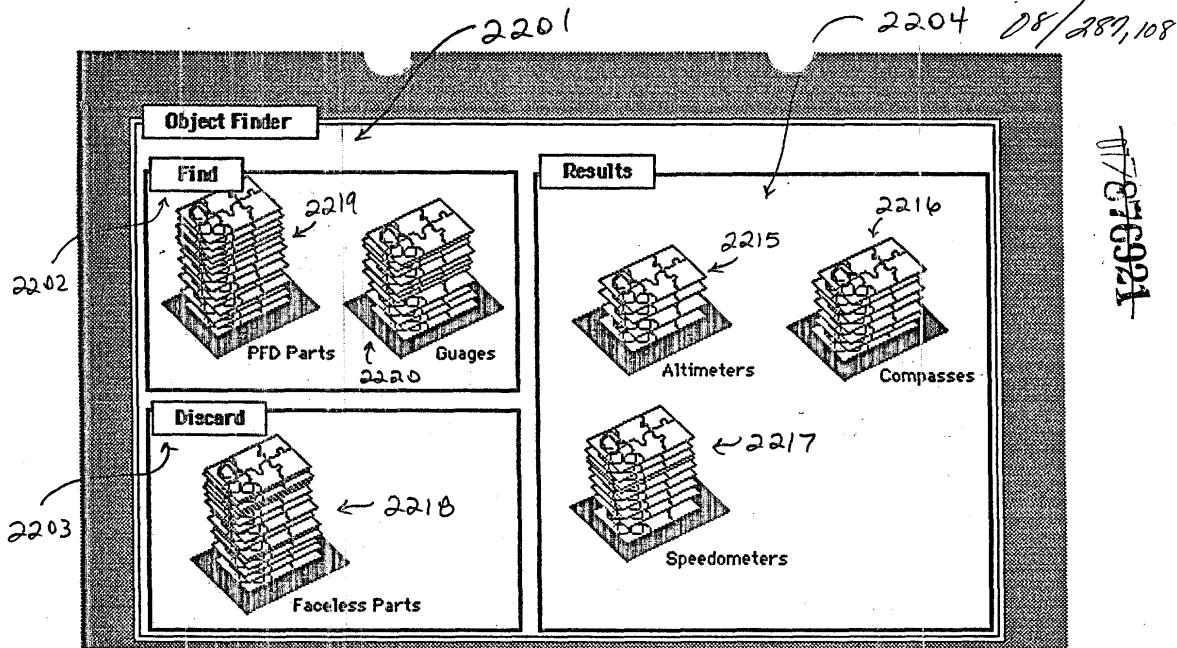


Figure 22b

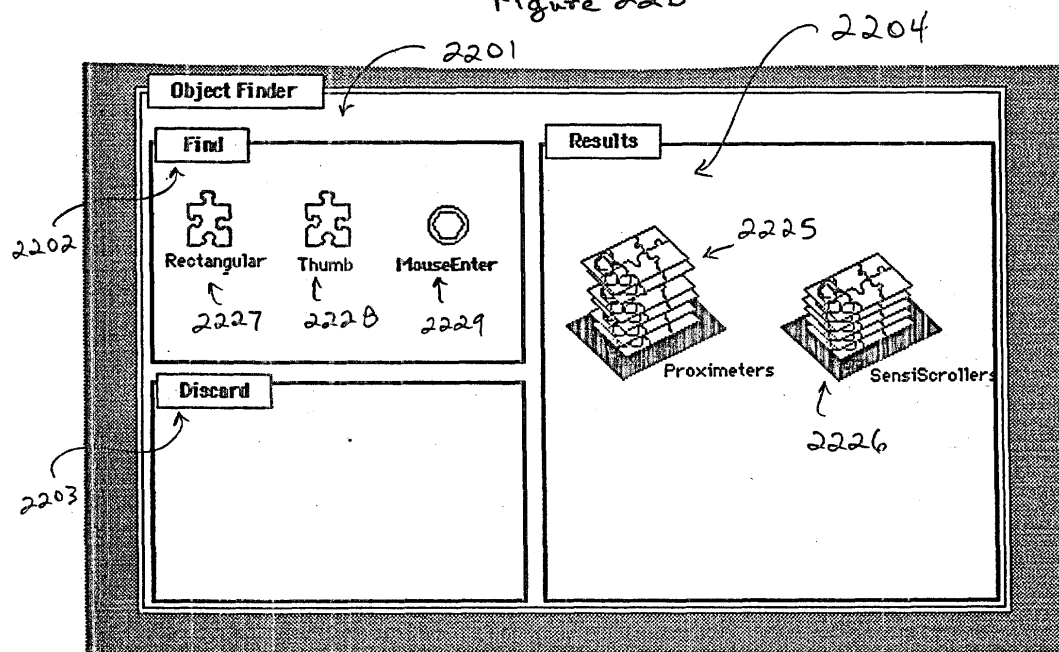


Figure 22c

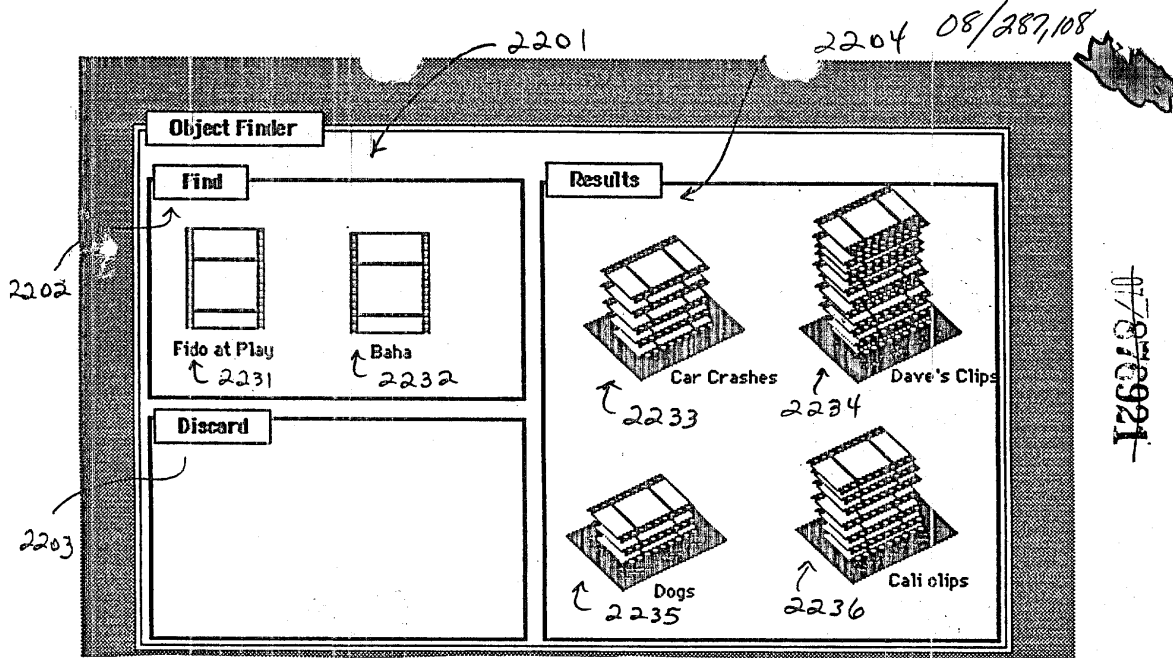


Figure 22d

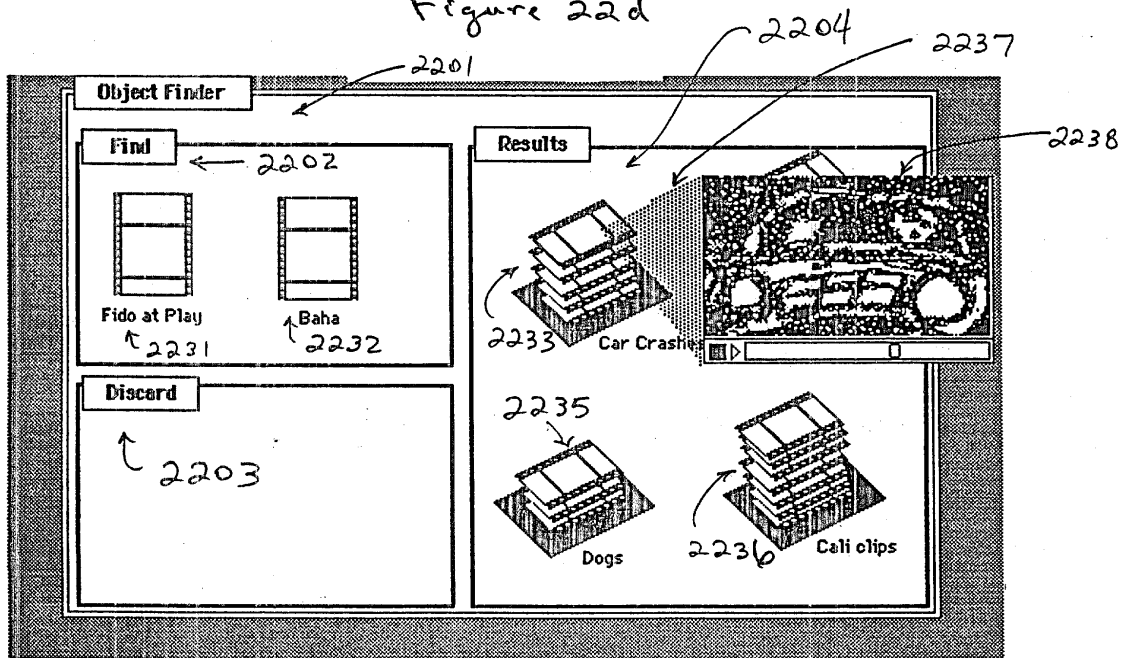


Figure 22e



Attorney's Docket No. 04860.P624

07/876921 Patent

THE COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Transmitted herewith for filing is the patent application of
inventor(s): Richard Mander, Daniel E. Rose, Gitta Salomon, Yin Yin Wong, Timothy Oren,
Susan Booker and Stephanie Houde

For: METHOD AND APPARATUS FOR ORGANIZING INFORMATION IN A COMPUTER SYSTEM
(Title)

Enclosed are:
 Twenty nine (29) sheet(s) of Drawings.
 An Assignment of the invention to APPLE COMPUTER, INC.
 A Declaration and Power of Attorney (signed/ unsigned)
 A Verified Statement to establish Small Entity Status under 37 C.F.R. §§ 1.9 and 1.27.

The Filing Fee has been calculated as shown below:

For:	(Col. 1)		SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	No. Filed	No. Extra	Rate	Fee	Rate	Fee
Basic Fee:				\$ 345		\$ 690
Total Claims:	72 - 20	* 52	x 10	\$	x 20	\$ 1040
Indep. Claims:	3 - 3	* 0	x 36	\$	x 72	\$ 0
<input type="checkbox"/> Multiple Dependent Claim(s) Presented			+ 110	\$	+ 220	\$
			TOTAL	\$	TOTAL	\$ 1040 ¹⁷³⁰

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

- A check for \$ 1,730.00 for the filing fee is enclosed.
- A check for \$ for recordation of the Assignment is enclosed.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication, or credit any overpayment, to our Deposit Account No. 02-2666. **A duplicate copy of this sheet is enclosed.**
 - Any additional filing fees required under 37 C.F.R. § 1.16.
 - Any patent application processing fees under 37 C.F.R. § 1.17.
- The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application, or credit any overpayment, to our Deposit Account No. 02-2666. **A duplicate copy of this sheet is enclosed.**
 - Any processing fees under 37 C.F.R. § 1.17, including any extension fees.
 - Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.
- Send all correspondence to the undersigned at BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, 12400 Wilshire Boulevard, Seventh Floor, Los Angeles, California 90025, and direct all telephone calls to the undersigned at (408) 720-8598.

Respectfully submitted,
BLAKELY SOKOLOFF TAYLOR & ZAFMAN

Date: April 30, 1992

By James C. Scheller, Jr.

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
(408) 720-8598

Reg. No.: 31.195

(LJV/ic 12/16/91)

"Express Mail" mailing label number RB713051471

Date of Deposit April 30, 1992

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Tricia Pires
(Typed or printed name of person mailing paper or fee)

Tricia Pires
(Signature of person mailing paper or fee)

PATENT APPLICATION SERIAL NO. 07876921

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

040 RP 05/08/92 07876921

1 101 1,690.00 CK 04860.P624

0814295 05/26/92 07876921

02-2666 140 101 40.00CH

PTO-1556
(5/87)



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

#2

APPLICATION NUMBER FILING DATE FIRST NAMED APPLICANT ATTY DOCKET NO./TITLE

07/876,921 04/30/92 MANDER R 04860.P624

JAMES C. SCHELLER, JR.
12400 WILSHIRE BLVD., 7TH FL.
LOS ANGELES, CA 90025

0000

DATE MAILED: 05/20/92

**NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED**

A filing date has been granted to this application. However, the following parts are missing.

If all missing parts are filed within the period set below, the total amount owed by applicant as a

large entity, small entity (verified statement filed), is \$ 130.00

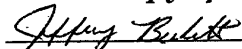
1. The statutory basic filing fee is: missing insufficient. Applicant as a large entity small entity, must submit \$ _____ to complete the basic filing fee and **MUST ALSO SUBMIT THE SURCHARGE AS INDICATED BELOW.**
2. Additional claim fees of \$ _____ as a large entity small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. **NO SURCHARGE IS REQUIRED FOR THIS ITEM.**
3. The oath or declaration:
 - is missing.
 - does not cover items omitted at time of execution.

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required. **A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.**
4. The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required. **A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.**
5. The signature to the oath or declaration is: missing; a reproduction; by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required. **A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.**
6. The signature of the following joint inventor(s) is missing from the oath or declaration: _____ . An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Receipt Date is required. **A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.**
7. The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$30.00 under 37 CFR 1.17(k), unless this fee has already been paid. **NO SURCHARGE IS RERQUIRED FOR THIS ITEM.**
8. A \$50.00 processing fee is required for returned checks. (37 CFR 1.21(m)).
9. Your filing receipt was mailed in error because check was returned without payment.
10. Other.

An Application Number and Filing Date have been assigned to this application. The missing parts and fees identified above in items 1 and 3-6 must be timely provided **ALONG WITH THE PAYMENT OF A SURCHARGE of \$130.00 for large entities or \$65.00 for small entities who have filed a verified statement claiming such status.** The surchage is set forth in 37 CFR 1.16(e). Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all missing parts and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

Direct the response to, and any questions about, this notice to **ATTENTION: Application Division, Special Handling Unit.**

A copy of this notice MUST be returned with response.


For: Manager, Application Division
(703) 555-1202

5-1533 (REV. 6-90)

OFFICE COPY

Handwritten initials



04860.P624

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of)
)
 Richard Mander, et al.)
)
 Serial No. 07/876,921)
)
 Filed: April 30, 1992)
)
 For: METHOD AND APPARATUS FOR)
 ORGANIZING INFORMATION IN A)
COMPUTER SYSTEM)

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Commissioner of Patents
and Trademarks
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Application" from the Patent Office dated May 20, 1992, on the above-referenced utility patent application, enclosed herewith is Form PTO- 1533, executed Declaration and Power of Attorney, and Assignment, as well as our check number 7330 in the amount of one hundred thirty (\$130.00) as the total amount owed for a surcharge under 37CFR 1.16(e).

Also, please charge any additional fees required to file the application (under 37 CFR 1.16 or 1.17) to Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Date: June 17, 1992

J. C. Scheller
James C. Scheller, Jr.
Reg. No. 31,195

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025
(408) 720-8598

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

on June 17, 1992
Date of Deposit

Tricia Pires
Name of Person Mailing Correspondence

Tricia Pires 6/17/92
Signature Date



7

Attorney's Docket No.: 04860.P624

Patent

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

I, a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHOD AND APPARATUS FOR ORGANIZING INFORMATION IN A COMPUTER SYSTEM
the specification of which

_____ is attached hereto.
X was filed on April 30, 1992 as
Application Serial No. 07/876,921
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the same was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119, of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed</u>	
<u>(Number)</u>	<u>(Country)</u>	<u>(Day/Month/Year Filed)</u>	<u>Yes</u>	<u>No</u>
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

_____	_____	_____
<u>(Application Serial No.)</u>	<u>Filing Date</u>	<u>(Status -- patented, pending, abandoned)</u>
_____	_____	_____
<u>(Application Serial No.)</u>	<u>Filing Date</u>	<u>(Status -- patented, pending, abandoned)</u>

27

I hereby appoint Paul A. Apffel, Reg. No. 35,427; Keith G. Askoff, Reg. No. 33,828; Aloysius T. C. AuYeung, Reg. No. 35,432; Bradley J. Berezniak, Reg. No. 33,474; Roger W. Blakely, Jr., Reg. No. 25,831; Jeffrey Jay Blatt, Reg. No. 30,244; Stephen D. Gross, Reg. No. 31,020; David R. Halvorson, Reg. No. 33,395; George W. Hoover, Reg. No. 32,992; Tracy L. Hurt, Reg. No. 34,188; Eric S. Hyman, Reg. No. 30,139; Stephen L. King, Reg. No. 19,180; James D. McFarland, Reg. No. 32,544; George R. Meyer, Reg. No. 35,284; Ronald W. Reagan, Reg. No. 20,340; James H. Salter, Reg. No. 35,668; James C. Scheiler, Reg. No. 31,195; Ira M. Siegel, Reg. No. 28,907; Maria McCormack Sobrino, Reg. No. 31,639; Stanley W. Sokoloff, Reg. No. 25,128; Edwin H. Taylor, Reg. No. 25,129; Lester J. Vincent, Reg. No. 31,460; Ben J. Yorks, Reg. No. 33,609; Philip K. Yu, Reg. No. 35,742; and Norman Zafman, Reg. No. 26,250; my attorneys; and Anthony C. Murabito, Reg. No. 35,295; and Edwin A. Sloane, Reg. No. 34,728; my patent agents; of BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor Richard Mander ¹⁻⁰⁰

Inventor's Signature R Mander Date 6-5-92

Residence Palo Alto, California CA (City, State) Citizenship New Zealand (Country)

Post Office Address 899 Webster #2
Palo Alto, California 94301

Full Name of Second/Joint Inventor Daniel E. Rose ²⁻⁰⁰

Inventor's Signature D E Rose Date 6-15-92

Residence San Jose, California CA (City, State) Citizenship U.S.A. (Country)

Post Office Address 1084 South Blaney
San Jose, California 95129

Full Name of Third/Joint Inventor Gitta Salomon ³⁻⁰⁰

Inventor's Signature Gitta Salomon Date 6-3-92

Residence Palo Alto, California CA (City, State) Citizenship U.S.A. (Country)

Post Office Address 816 Cowper Street #3
Palo Alto, California 94301

Full Name of Fourth/Joint Inventor Yin Yin Wong ⁴⁻⁰⁰

Inventor's Signature Yin Yin Wong Date 6-2-92

Residence Menlo Park, California CA (City, State) Citizenship U.S.A. (Country)

Post Office Address 970 Alice Lane #4
Menlo Park, California 94025

5-00
Full Name of Fifth/Joint Inventor Timothy Oren
Inventor's Signature *Timothy Oren* Date 4/15/92
Residence Sunnyvale, California CA Citizenship U.S.A.
(City, State) (Country)
Post Office Address 961 Glenbar Avenue
Sunnyvale, California 94087
6-00
Full Name of Sixth/Joint Inventor Susan Booker
Inventor's Signature *Susan Booker* Date 4/13/92
Residence San Carlos, California CA Citizenship U.S.A.
(City, State) (Country)
Post Office Address 147 Highland Avenue
San Carlos, California 94070
7-00
Full Name of Seventh/Joint Inventor Stephanie Houde
Inventor's Signature *Stephanie Houde* Date 6/17/92
Residence Cambridge, MA MA Citizenship U.S.A.
(City, State) (Country)
Post Office Address 279 Harvard Street #33
Cambridge, MA 02139



130-105 AN #3

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS
 Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY DOCKET NO./TITLE
077576-521	04/30/92	MANDEF	P 04860.P624

JAMES C. SCHELLER, JR.
 10400 MULSHIRE BLVD., 7TH FL.
 LOS ANGELES, CA 90025

0000

DATE MAILED 05/20/92

NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED

RECEIVED

JUN 30 1992

APPLICATION BRANCH

A filing date has been granted to this application. However, the following parts are missing.

If all missing parts are filed within the period set below, the total amount owed by applicant as a

large entity, small entity (verified statement filed), is \$ 130.00

1. The statutory basic filing fee is: missing insufficient. Applicant as a large entity small entity, must submit \$ _____ to complete the basic filing fee and **MUST ALSO SUBMIT THE SURCHARGE AS INDICATED BELOW.**
 2. Additional claim fees of \$ _____ as a large entity small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. **NO SURCHARGE IS REQUIRED FOR THIS ITEM.**
 3. The oath or declaration:
 - is missing.
 - does not cover items omitted at time of execution.
- An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required. **A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.**
4. The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required. **A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.**
 5. The signature to the oath or declaration is: missing; a reproduction; by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required. **A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.**
 6. The signature of the following joint inventor(s) is missing from the oath or declaration: _____ . An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Receipt Date is required. **A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.**
 7. The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$30.00 under 37 CFR 1.17(k), unless this fee has already been paid. **NO SURCHARGE IS REQUIRED FOR THIS ITEM.**
 8. A \$50.00 processing fee is required for returned checks. (37 CFR 1.21(m)).
 9. Your filing receipt was mailed in error because check was returned without payment.
 10. Other.

An Application Number and Filing Date have been assigned to this application. The missing parts and fees identified above in items 1 and 3-6 must be timely provided **ALONG WITH THE PAYMENT OF A SURCHARGE of \$130.00 for large entities or \$60.00 for small entities who have filed a verified statement claiming such status.** The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all missing parts and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

Direct the response to, and any questions about, this notice to **ATTENTION: Application Division, Special Handling Unit.**

A copy of this notice MUST be returned with response.

Jeffrey Bullett
 For: Manager, Application Division
 (703) 557-1202
 308

FORM PTO-1533 (REV. 6-90) COPY TO BE RETURNED WITH RESPONSE

04860.P624



Patent

#4
W.L.
8/2/92

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Richard Mander, et al.

Serial No.: 07/876,921

Filed: April 30, 1992

For: METHOD AND APPARATUS FOR ORGANIZING INFORMATION IN A COMPUTER SYSTEM

Examiner:

Art Unit: 2307

RECEIVED

AUG 20 1992

GROUP 230

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

Applicant hereby provides a listing pursuant to 37 CFR 1.56, on PTO Form 1449 and encloses a copy of the references cited on the above referenced form. Pursuant to 37 C.F.R. § 1.97, this information disclosure statement is being submitted under 37 C.F.R. §1.97(b).

Very truly yours,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Date: Aug. 10, 1992

James C. Scheller
James C. Scheller, Jr.
Reg. No. 31, 195

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

on August 10, 1992
Date of Deposit

Tricia Pires
Name of Person Mailing Correspondence

Tricia Pires 8/10/92
Signature Date

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025
(408) 720-8598



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

rw

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
07/876,921	04/30/92	MANDER	R 04860.P624

E3M1/0603
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
12400 WILSHIRE BLVD., 7TH FL.
LOS ANGELES, CA 90025

HERNDON, EXAMINER	
ART UNIT	PAPER NUMBER
2301	5

DATE MAILED:

06/03/93

This is a communication from the examiner in charge of your application.
COMMISSIONER OF PATENTS AND TRADEMARKS

- This application has been examined Responsive to communication filed on 8-14-92 This action is made final.

A shortened statutory period for response to this action is set to expire 3 month(s), _____ days from the date of this letter.
Failure to respond within the period for response will cause the application to become abandoned. 35 U.S.C. 133

Part I THE FOLLOWING ATTACHMENT(S) ARE PART OF THIS ACTION:

1. Notice of References Cited by Examiner, PTO-892. 2. Notice re Patent Drawing, PTO-948.
3. Notice of Art Cited by Applicant, PTO-1449 (*one sheet*) 4. Notice of informal Patent Application, Form PTO-152
5. Information on How to Effect Drawing Changes, PTO-1474 6. _____

Part II SUMMARY OF ACTION

1. Claims 1-72 are pending in the application.
Of the above, claims _____ are withdrawn from consideration.
2. Claims _____ have been cancelled.
3. Claims _____ are allowed.
4. Claims 1-72 are rejected.
5. Claims _____ are objected to.
6. Claims _____ are subject to restriction or election requirement.
7. This application has been filed with informal drawings which are acceptable for examination purposes until such time as allowable subject matter is indicated.
8. Allowable subject matter having been indicated, formal drawings are required in response to this Office action.
9. The corrected or substitute drawings have been received on _____. These drawings are acceptable; not acceptable (see explanation).
10. The proposed drawing correction and/or the proposed additional or substitute sheet(s) of drawings, filed on _____, has (have) been approved by the examiner. disapproved by the examiner (see explanation).
11. The proposed drawing correction, filed _____, has been approved. disapproved (see explanation). However, the Patent and Trademark Office no longer makes drawing changes. It is now applicant's responsibility to ensure that the drawings are corrected. Corrections **MUST** be effected in accordance with the instructions set forth on the attached letter "INFORMATION ON HOW TO EFFECT DRAWING CHANGES", PTO-1474.
12. Acknowledgment is made of the claim for priority under 35 U.S.C. 119. The certified copy has been received not been received
 been filed in parent application, serial no. _____; filed on _____.
13. Since this application appears to be in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11; 453 O.G. 213.
14. Other

Serial No. 876921

-2-

Art Unit 2301

1. This application has been filed with informal drawings which are acceptable for examination purposes only. Formal drawings will be required when the application is allowed.
2. The title of the invention is not descriptive. A new title is required that is clearly indicative of the invention to which the claims are directed.
3. The information disclosure statement filed 8-14-92 has been considered as shown on the attached copy of PTO-1449.
4. Claims 1-72 are rejected under 35 U.S.C. § 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
5. In independent claim 1, line 4, it is unclear where the graphical representation is "provided" nor how it is provided. In lines 7-8, it is unclear whether the "said first document and said second document" are the same as recited in lines 4-6 since they are recited as separate first and second plurality of documents.

In independent claim 37, line 3, "providing" is again considered to be vague and indefinite since it has not been stated where or how the representation is provided.

In Independent claims 1 and 37, the word "and" should be inserted before the last step in order to be grammatically correct.

Serial No. 876921

-3-

Art Unit 2301

In independent claim 66, lines 11-14 are considered to be unclear because these lines do not recite any structural element which is part of the "computer filing system." Furthermore, it is not clearly stated where the "graphical representation" is presented. It is also unclear how all of the different "representations" and "graphical representations" are related and whether they are all displayed simultaneously.

The dependent claims are rejected for fully incorporating the deficiencies of their base claims.

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. § 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --
(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

Claims 1-72 are rejected under 35 U.S.C. § 102(e) as being anticipated by Levine et al, U.S. Patent No. 5,060,135.

7. The patent to Levine et al discloses the instant invention including displaying a plurality of documents in a reduced format (stamps 34) on a display screen. Levine et al further disclose associating multiple documents by using a cursor and a pointing device to "drag" the documents to the desired pile (70) (see column 12, line 25 et seq.) Levine et al further disclose using an automatic process which enables the user to order the

Serial No. 876921

-4-

Art Unit 2301

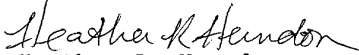
documents by alphabetizing, chronological order or "otherwise manipulate the contents" (see column 13, line 30 et seq.) Levine et al further disclose the use of their system with electronic mailing systems (see column 15, line 32 et seq.)

8. No claims are allowed.

9. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

10. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Heather Herndon whose telephone number is (703) 305-9793.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 305-9700.


Heather R. Herndon
Primary Examiner
Art Unit 2301

hrh
May 31, 1993

TO SEPARATE, TOP AND BOTTOM EDGES, SNAP-APART AND ISCARD CARBON

08/287108

FORM PTO-892 (REV. 3-78)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	SERIAL NO. 07/876,921	GROUPART UNIT 2301	ATTACHMENT TO PAPER NUMBER 5
NOTICE OF REFERENCES CITED		APPLICANT(S) Mander et al		

U.S. PATENT DOCUMENTS

*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
A	5060135	10-22-91	Levine et al	364	Dig 1x	11-1-88
B	5202828	4-13-93	Vertelney et al	395	159x	5-15-91
C						
D						
E						
F						
G						
H						
I						
J						
K						

FOREIGN PATENT DOCUMENTS

*	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUB-CLASS	PERTINENT SHTS. DWG.	PP. SPEC.
L								
M								
N								
O								
P								
Q								

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

R	
S	
T	
U	

EXAMINER Heurdon	DATE 5-31-93
---------------------	-----------------

* A copy of this reference is not being furnished with this office action.
(See Manual of Patent Examining Procedure, section 707.05 (a).)

ATTACHMENT TO PAPER NUMBER 5
APPLICATION NUMBER 89 6921

GROUP 2301

NOTICE OF DRAFTSMAN'S PATENT DRAWING REVIEW

The PTO Draftsmen review all originally filed drawings regardless of whether they were designated as informal or formal.

The drawings filed 4/30/92

A. are approved.

B. are objected to under 37 CFR 1.84 for reason(s) checked below. The examiner will require submission of new, corrected drawings at the appropriate time. Corrected drawings must be submitted according to the instructions listed on the back of this Notice.

1. Paper and ink. 37 CFR 1.84(a)

Poor Quality Paper. Must Be White. Transparent Paper Not Allowed. Sheet(s) _____

2. Size of Sheet and Margins. 37 CFR 1.84(b)

Acceptable Paper Sizes and Margins

Margin	8 1/2 by 14 inches	8 1/2 by 13 inches	DIN size A4 21 by 29.7 cm.
Top	2 inches	1 inch	2.5 cm.
Left	1/4 inch	1/4 inch	2.5 cm.
Right	1/4 inch	1/4 inch	1.5 cm.
Bottom	1/4 inch	1/4 inch	1.0 cm.

Proper Size Paper Required. All Sheets Must be Same Size. Sheet(s) 1-16, 19, 20, 22A-22E

Proper Margins Required. Sheet(s) _____

Top Right
 Left Bottom

3. Character of Lines. 37 CFR 1.84(c)

Lines Pale, Rough and Blurred, or Jagged. Fig(s) 1-16, 19, 22A-22E

Solid Black Shading Not Allowed. Fig(s) _____

4. Photographs Not Approved. _____

Comments:
- Stopplings obj. Fig. 2A, 2B, 2E-4E, 5A, 5B, 6-14, 22A-22E.

5. Hatching and Shading. 37 CFR 1.84(d)

Shade Lines are Required. Fig(s) _____

Criss-Cross Hatching Not Allowed. Fig(s) _____

Double Line Hatching Not Allowed. Fig(s) _____

Parts in Section Must be Hatched Properly. Fig(s) _____

6. Reference Characters. 37 CFR 1.84(f)

Reference Characters Poor or Rough and Blurred. Fig(s) 1-16, 19, 20, 22A-22E

Minimum 1/8 inch (3.2 mm.) in height is required. Fig(s) 1-22E

Figure Legends Poor or Placed Incorrectly. Fig(s) 1-16, 19, 22A-22E

7. Views. 37 CFR 1.84(i) & (j)

Figures Must be Numbered Separately. _____

Figures Must Not be Connected. Fig(s) _____

8. Identification of Drawings. 37 CFR 1.84(l)

Extraneous Matter or Copy Machine Marks Not Allowed. Fig(s) _____

9. Changes Not Completed from Prior PTO-948 dated _____

Telephone inquiries concerning this review should be directed to the Chief Draftsman at telephone number (703) 557-6404.

Reviewing Draftsman

2/11/92
Date

INFORMATION ON HOW TO EFFECT DRAWING CHANGES

1. Correction of Informalities—37 CFR 1.85

File new drawings with the changes incorporated therein. The art unit number, serial number and number of drawing sheets should be written on the drawings in accordance with 37 CFR 1.84(l). Applicant may delay filing of the new drawings until receipt of the "Notice of Allowability" (PTOL-37). If delayed, the new drawings **MUST** be filed within the **THREE MONTH** shortened statutory period set for response in the "Notice of Allowability" (PTOL-37). Extensions of time may be obtained under the provisions of 37 CFR 1.136. The drawing should be filed as a separate paper with a transmittal letter addressed to the Official Draftsman.

Timing of Corrections

Applicant is required to submit **acceptable** corrected drawings within the three month shortened statutory period set in the "Notice of Allowability" (PTOL-37). Within that three month period, two weeks should be allowed for review by the Office of the correction. If a correction is determined to be unacceptable by the Office, applicant must arrange to have acceptable correction re-submitted within the original three month period to avoid the necessity of obtaining an extension of time and paying the extension fee. Therefore, applicant should file corrected drawings as soon as possible.

Failure to take corrective action within set (or extended) period will result in **ABANDONMENT** of the Application.

2. Corrections other than Informalities Noted by the Draftsman on the PTO-948

All changes to the drawings, other than informalities noted by the Draftsman, **MUST** be made in the same manner as above except that, normally, a red ink sketch of the changes to be incorporated into the new drawings **MUST** be approved by the examiner before the application will be allowed. No changes will be permitted to be made, other than correction of informalities, unless the examiner has approved the proposed changes.

23X1
12-21-93 84n-117
041

RECEIVED
H.H. JES 23 1993
Patent
231
12-23-93
#6

Attorney's Docket No.: 04860.P624

In re the Application of: Richard Mander, et al.
(inventor(s))

Serial No.: 07876921

Filed: April 30, 1992

METHOD AND APPARATUS FOR ORGANIZING INFORMATION IN A COMPUTER SYSTEM

(title)



THE COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Transmitted herewith is an Amendment for the above application.

- Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.
- A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.
- No additional fee is required.

The fee has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra	Rate	Additional Fee	Rate	Additional Fee
Total Claims	* 72	Minus	** 72	0	x11	\$	x22	\$ 0
Indep. Claims	* 3	Minus	*** 3	0	x37	\$	x74	\$ 0
First Presentation of Multiple Dependent Claim(s)					+115	\$	+230	\$
					Total	\$	Total	\$ 0
					Add. Fee	\$	Add. Fee	\$ 0

- * If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- ** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- *** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

- A check in the amount of \$ _____ is attached for presentation of additional claim(s).
- Applicant(s) hereby Petition(s) for an Extension of Time of 3 month(s) pursuant to 37 C.F.R. § 1.136(a).
- A check for \$ 840.00 is attached for processing fees under 37 C.F.R. § 1.17. Please charge my Deposit Account No. 02-2666 the amount of \$ _____.
- A duplicate copy of this sheet is enclosed.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-2666 (a duplicate copy of this sheet is enclosed):
 - Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of extra claims.
 - Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN

Date: Dec. 3, 1993

James C. Scheller, Jr.
Reg. No. 31.195

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
(408) 720-8598

080 KJ 12/21/93 07876921 1 117 840.00 CR

"Express Mail" mailing label number TB506540287

Date of Deposit December 3, 1993

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Tricia Pires
(Typed or printed name of person mailing paper or fee)
Tricia Pires
(Signature of person mailing paper or fee)

04860.P624

RECEIVED
DEC 23 1993

JC
12/23/93
#7A



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 2200

In Re Application of:)
Richard Mander, et al.)
Serial No.: 07/876,921)
Filed: April 30, 1992)
For: METHOD AND APPARATUS FOR)
ORGANIZING INFORMATION IN A COMPUTER)
SYSTEM)

RESPONSE TO OFFICE ACTION

Commissioner of
Patents and Trademarks
Washington, D.C. 20231

2/21
12-27-93

Sir:

In response to the Office Action mailed June 3, 1993, please enter the following amendments and consider the following remarks.

IN THE TITLE

Please delete the present title and insert the following title:

A1

Method and Apparatus for Organizing Information into Collections of Documents and for Viewing Documents in a Computer System.

IN THE SPECIFICATION

✓ ~~NE~~ ^{or 11/17/90} Immediately before the period on line ²¹ ~~28~~ of page 48, please add the following--and which is now U.S. Patent No. 5,202,828--.

IN THE CLAIMS

Sub C

1 (Amended). A method for organizing information in a computer filing system having a display device and a first plurality of documents, said method comprising :

ARCO

~~[providing] displaying at some time on said display device a graphical representation of a first document and a graphical representation of a second document from said first plurality of documents;
creating a collection of documents comprising a second plurality of documents having said first document and said second document;
displaying a graphical representation of said collection of documents on said display device; and
viewing at least one of the documents in said collection by displaying an indicia of said at least one document.~~

AB
Sub C⁸

~~37 (Amended). A method for organizing information in a computer system having a display device, said method comprising:
[providing] displaying at some time on said display device a representation of a first document and a representation of a second document;
creating a collection of documents comprising said first and said second documents;
displaying a representation of said collection of documents; and
determining a representation of said collection when a third document is added to said collection based on one of: (a) an internal representation of each of the documents in said collection, and (b) a user defined specification.~~

AH
Sub C¹²

~~66 (Amended). A computer filing system for organizing information in a computer system having a processor, a bus, and memory for storing information including a plurality of documents, said computer filing system comprising:
a display means for producing a display of graphical representations, said display means coupled to said processor;
a cursor control means coupled to said processor, said cursor control means for controlling the position of a cursor on said display;~~

FILED

a switch means for indicating a selection of an object displayed on said display, said switch means coupled to said processor and having a first and a second position;

a graphical representation of a first document and a graphical representation of a second document, said cursor control means and said switch means being used with said graphical representations of said first and said second documents, ~~which are displayed on said display means~~, to create a collection of documents comprising said first and said second documents;

a means for creating a graphical representation of said collection of documents comprising said first and said second document; and

a means for determining a representation of said collection, said means for determining providing a representation based on an internal representation of said first and said second documents.

REMARKS

The Examiner rejected certain claims under § 112, first paragraph. These rejections have been noted, and the claims have been amended to overcome these rejections. The rejections concerning "providing" and "and" have been addressed by amending the pertinent claims.

The Examiner's comments concerning lines 7-8 of claim 1 have been noted. It is submitted that no amendment is necessary because the claim is not ambiguous. It is true that there are two pluralities of documents, a first plurality of documents and a second plurality of documents. However, it is clear that the first and second documents are in both the first plurality of documents and the second plurality of documents. It is noted that the first plurality of documents may contain other documents which are not in the second plurality of documents and vice versa.

Concerning claim 66, the Examiner has stated that lines 11-14 are "considered to be unclear." It is submitted that with the present amendment, these lines are now clear in that the graphical representations of the first and second documents are displayed on a display device which is part of the computer filing system. Thus, these graphical representations are indeed

presented by being displayed on the display device. It is noted that the representation of the collection as required in the last subparagraph of this claim 66 is a representation that need not be displayed. This representation has been described in various ways, including for example, the vector representation of a collection of documents. It is submitted that in view of the specification, claim 66 is not ambiguous or "unclear."

Applicant has provided a new title in order to satisfy the Examiner's request concerning a new title. Applicant has also amended the specification to refer to the patent number which is now available for the application referred to on page 48 of the present application.

Applicant has also submitted separately the PTO Form 1449 along with two patents which were cited in the PCT search for the corresponding PCT application. These references were cited as "defining the general state of the art which is not considered to be part of the particular evidence..."

The remaining rejection by the Examiner is under § 102(e) on the basis of a U.S. patent to Levine. It is respectfully submitted that Levine does not anticipate or render obvious the present invention. Levine describes a direct manipulation user interface system which has stamps which may be aligned together and moved as an aligned group which is referred to as a stack.

It is noted that Levine merely describes certain visual aspects and certain user interactions with the computer system of Levine without describing any of the underlying functionality provided by the system of the present invention. In many ways, the Levine system with its stack of stamps represents nothing more than a "dumb" collection of documents without the features of the present invention. For example, there is no teaching or suggestion in Levine that a representation of the collection of documents, such as, for example, a vector representation as described in the present application be provided for the collection of documents. Moreover, there is no disclosure or suggestion in Levine that any of the documents in a collection of documents may be viewed by displaying an indicia of the document. Rather, in Levine, only the document which is the top stamp on the top of a stack can be viewed in a full screen mode by selecting it. See, column 12, lines 50-53 of Levine. Thus, Levine actually

teaches away from the present invention in that Levine allows the user to see only a full screen view of a particular document if that document is on top of the stack. Thus, Levine does not teach or suggest the required step of "viewing at least one of the documents in said collection by displaying an indicia of said at least one document." See claim 1.

Also, Levine fails to disclose or suggest a representation of a collection of documents. For example, Levine does not disclose "determining a representation of said collection when the third document is added to said collection..." See claim 37.

It is noted that there are numerous dependent claims which add further limitations which are neither suggested nor taught by Levine. See, for example, claims 7, 8, 17 and 18.

In conclusion, it is respectfully submitted that Levine does not anticipate and does not render obvious the presently claimed invention.

Applicant hereby petitions for an extension of time to respond the pending Office Action and has enclosed herewith a check for the extension fee.

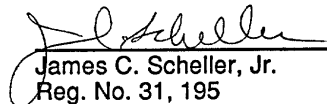
If there are any further charges, please charge our Deposit Account No. 02-2666.



Very truly yours,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Date: Dec. 3, 1993


James C. Scheller, Jr.
Reg. No. 31, 195

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025
(408) 720-8598

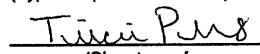
EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number TR506540287

Date of Deposit December 3, 1993

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

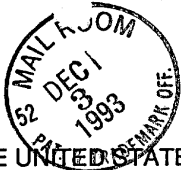
Tricia Pires
(Typed or printed name of person mailing paper or fee)


(Signature of person mailing paper or fee)

207-126

231

04860.P624



DEC 23 1993

Handwritten: 22393 #8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)
 Richard Mander, et al.)
 Serial No.: 07/876,921)
 Filed: April 30, 1992)
 For: METHOD AND APPARATUS FOR)
 ORGANIZING INFORMATION IN A COMPUTER)
 SYSTEM)

INFORMATION DISCLOSURE STATEMENT

Handwritten: Ukt 12-27-93

Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

Applicant hereby provides a listing pursuant to 37 CFR 1.56, on PTO Form 1449 and encloses a copy of the references cited on the above referenced form.

The Applicant has enclosed check no. 3074 for \$200.00, as required for submission of Information Disclosure Statements under 37 C.F.R. § 1.56, § 1.97, and § 1.17(p).

Very truly yours,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Date: Dec. 3, 1993

Handwritten signature: James C. Scheller, Jr. Reg. No. 31, 195

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8598

EXPRESS MAIL CERTIFICATE OF MAILING


"Express Mail" mailing label number TB506540287

Date of Deposit December 3, 1993
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

TRCA Pires 12/21/93 07876921 1 126 200.00 CK
(Typed or printed name of person mailing paper or fee)

Tina Pires
(Signature of person mailing paper or fee)

Sheet 1 of 1

Form PTO-1449 (REV. 8-83)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 04860.P624	SERIAL NO. <i>02/287108</i> 07/876,921
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)			APPLICANT Mander, et al.	RECEIVED DEC 23 1993
			FILING DATE April 30, 1992	GROUP 610000000

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>JRH</i>	5 1 4 0 6 7 7	8/18/92	Fleming, et al.	395	159	
<i>JRH</i>	4 8 8 5 7 0 4	12/5/89	Takagi et al.	395	159	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER <i>Henders</i>	DATE CONSIDERED <i>3-6-94</i>
-------------------------	-------------------------------

***EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
---------------	-------------	----------------------	---------------------

07/876,921 04/30/92 MANDER

R 04860.P624

HERNDON, H EXAMINER

E3M1/0307

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
12400 WILSHIRE BLVD., 7TH FL.
LOS ANGELES, CA 90025

ART UNIT	PAPER NUMBER
----------	--------------

2301

9

DATE MAILED: 03/07/94

This is a communication from the examiner in charge of your application.
COMMISSIONER OF PATENTS AND TRADEMARKS

- This application has been examined Responsive to communication filed on 12-3-93 This action is made final.

A shortened statutory period for response to this action is set to expire 3 month(s), _____ days from the date of this letter.
Failure to respond within the period for response will cause the application to become abandoned. 35 U.S.C. 133

Part I THE FOLLOWING ATTACHMENT(S) ARE PART OF THIS ACTION:

- Notice of References Cited by Examiner, PTO-892.
- Notice re Patent Drawing, PTO-948.
- Notice of Art Cited by Applicant, PTO-1449. (one sheet)
- Notice of Informal Patent Application, Form PTO-152.
- Information on How to Effect Drawing Changes, PTO-1474.
- _____

Part II SUMMARY OF ACTION

- Claims 1-72 are pending in the application.
Of the above, claims _____ are withdrawn from consideration.
- Claims _____ have been cancelled.
- Claims _____ are allowed.
- Claims 1-72 are rejected.
- Claims _____ are objected to.
- Claims _____ are subject to restriction or election requirement.
- This application has been filed with Informal drawings under 37 C.F.R. 1.85 which are acceptable for examination purposes.
- Formal drawings are required in response to this Office action.
- The corrected or substitute drawings have been received on _____. Under 37 C.F.R. 1.84 these drawings are acceptable. not acceptable (see explanation or Notice re Patent Drawing, PTO-948).
- The proposed additional or substitute sheet(s) of drawings, filed on _____ has (have) been approved by the examiner. disapproved by the examiner (see explanation).
- The proposed drawing correction, filed on _____, has been approved. disapproved (see explanation).
- Acknowledgment is made of the claim for priority under U.S.C. 119. The certified copy has been received not been received
 been filed in parent application, serial no. _____; filed on _____
- Since this application appears to be in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11; 453 O.G. 213.
- Other _____

EXAMINER'S ACTION

PTOL-326 (Rev. 9-89)

Serial No. 876921

-2-

Art Unit 2301

1. This action is in response to the correspondence filed December 3, 1993, which has been entered into the record.
2. The amended title of the invention has been approved.
3. The information disclosure statement filed 12-3-93 has been considered as shown on the attached copy of PTO-1449.
4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. § 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --
(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

Claims 1-72 are rejected under 35 U.S.C. § 102(e) as being anticipated by Levine et al, U.S. Patent No. 5,060,135.

5. The patent to Levine et al discloses the instant invention including displaying a plurality of documents in a reduced format (stamps 34) on a display screen. Levine et al further disclose associating multiple documents by using a cursor and a pointing device to "drag" the documents to the desired pile (70) (see column 12, line 25 et seq.) Levine et al further disclose using an automatic process which enables the user to order the documents by alphabetizing, chronological order or "otherwise manipulate the contents" (see column 13, line 30 et seq.) Levine et al further disclose the use of their system with electronic mailing systems (see column 15, line 32 et seq.)

Serial No. 876921

-3-

Art Unit 2301

6. The applicant has stated that Levine "merely describes certain visual aspects and certain user interactions with the computer system of Levine without describing any of the underlying functionality provided by the system of the present invention." However, the "functionality provided by the system of the present invention" has not been distinctly set forth in the independent claims. The claim language as now amended merely sets forth the display of the representations of the documents which is considered to be equivalent to the system as disclosed by Levine et al.
7. No claims are allowed.
8. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.
9. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 C.F.R. § 1.136(a).

A SHORTENED STATUTORY PERIOD FOR RESPONSE TO THIS FINAL ACTION IS SET TO EXPIRE THREE MONTHS FROM THE DATE OF THIS ACTION. IN THE EVENT A FIRST RESPONSE IS FILED WITHIN TWO MONTHS OF THE MAILING DATE OF THIS FINAL ACTION AND THE ADVISORY ACTION IS NOT MAILED UNTIL AFTER THE END OF THE THREE-MONTH SHORTENED STATUTORY PERIOD, THEN THE SHORTENED STATUTORY PERIOD WILL EXPIRE ON THE DATE THE ADVISORY ACTION IS MAILED, AND ANY EXTENSION FEE PURSUANT TO 37 C.F.R. § 1.136(a) WILL BE CALCULATED FROM THE MAILING DATE OF THE ADVISORY ACTION. IN NO EVENT WILL THE STATUTORY PERIOD FOR RESPONSE EXPIRE LATER THAN SIX MONTHS FROM THE DATE OF THIS FINAL ACTION.

10. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Heather

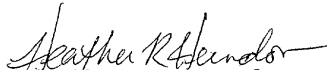
Serial No. 876921

-4-

Art Unit 2301

Herndon whose telephone number is (703) 305-9793.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 305-9600.


Heather R. Herndon
Primary Examiner
Art Unit 2301

hrh
March 6, 1994

4. The Commissioner is hereby authorized to charge any fees that may be required, or credit any overpayment, to Deposit Account No. 02-2666. A duplicate copy of this sheet is enclosed.
5. A check in the amount of \$ 1854.00 is enclosed for the filing fee.
6. A check in the amount of \$ 360.00 is enclosed for the petition fee pursuant to 37 C.F.R. § 1.17.
7. Cancel in this application claims _____ of the prior application before calculating the filing fee (wherein at least one independent claim is retained for filing purposes).
8. Please enter the preliminary amendment enclosed before calculating the filing fee.
9. Before calculating the filing fee, please enter in the present application the amendment filed on _____ under 37 C.F.R. § 1.116, but unentered, in the parent application.
10. Amend the specification by inserting the following before the first sentence on the first page:
- (a) - This is a continuation / _____ divisional of application serial no. 07876921, filed April 30, 1992.
- (b) -, which is a _____ continuation / _____ divisional of application serial no. _____, filed _____.
- _____

(list all prior applications)
11. It is hereby requested that any request for a convention priority made in the prior application be transferred to this Rule 62 application.
12. The prior application is assigned of record to:
Apple Computer, Inc.
13. The Power of Attorney in the prior application is to:
James C. Scheller, Jr. 3195
(Name) (Reg. No.)
Edwin H Taylor, Reg. No. 25,129, and certain other listed attorneys or agent(s) of:
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
12400 Wilshire Blvd., Seventh Floor
Los Angeles, California 90025
(310) 207-3800
- (a) The Power appears in the original papers of the prior application serial no. 07876921 filed April 30, 1992.
- (b) The Power does not appear in the original papers, but was filed on _____ in prior application serial no. _____ filed _____.
- (c) A new Power has been executed and is attached.
- (d) Recognize as an associate attorney or agent and address all future communications to:

(Name) (Reg. No.)
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
12400 Wilshire Blvd., Seventh Floor
Los Angeles, California 90025
(408) 720-8598
- (d) Address all future communications to the undersigned.


- 14. Enclosed is a photocopy of a petition for an extension of time pursuant to 37 C.F.R. § 1.136 concurrently (or previously) submitted under separate cover for the above-referenced prior application.
- 15. Applicant(s) hereby petition(s) for an extension of time pursuant to Rule 1.136, if needed, for the above-noted prior application. The Commissioner is hereby authorized to charge any extension or petition fee under 37 C.F.R. § 1.17 that may be required for the above-referenced prior application to Deposit Account No. 02-2666. Two photocopies of this document are enclosed for filing in the prior application file and for Deposit Account purposes.
- 16. The filing of an application under 37 C.F.R. § 1.62 will be construed to include a waiver of secrecy under 35 U.S.C. § 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. § 1.14 to access to or information concerning either the prior application or any continuing application filed under the provisions of 37 C.F.R. § 1.62 may be given similar access to, or similar information concerning, the other application(s) in the file wrapper. 37 C.F.R. § 1.62(f).
- 17. Accompanying this application is a statement requesting deletion of the name(s) of the person or persons who are not inventors of the invention being claimed in the continuation/divisional application. 37 C.F.R. § 1.62(a).

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN

Date: August 8, 1994

By


James C. Scheller, Jr.

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
(408) 720-8598

Reg. No. 31,195

- Attorney or Agent of Record
- Associate Attorney or Agent
- Filed Under 37 C.F.R. § 1.34(a)

07/876921



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
07/876,921	04/30/92	MANDER	R 04860.P624

E3M1/0920
 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
 12400 WILSHIRE BLVD., 7TH FL.
 LOS ANGELES, CA 90025

FETTING, A

EXAMINER	
ART UNIT	PAPER NUMBER
2301	//

DATE MAILED: 09/20/94

NOTICE OF ABANDONMENT

This application is abandoned in view of:

- Applicant's failure to respond to the Office letter, mailed 3/7/94.
- Applicant's letter of express abandonment which is in compliance with 37 C.F.R. 1.138.
- Applicant's failure to timely file the response received _____ within the period set in the Office letter.
- Applicant's failure to pay the required issue fee within the statutory period of 3 months from the mailing date of _____ of the Notice of Allowance.
 - The issue fee was received on _____.
 - The issue fee has not been received in Allowed Files Branch as of _____.

In accordance with 35 U.S.C. 151, and under the provisions of 37 C.F.R. 1.316(b), applicant(s) may petition the Commissioner to accept the delayed payment of the issue fee if the delay in payment was unavoidable. The petition must be accompanied by the issue fee, unless it has been previously submitted, in the amount specified by 37 C.F.R. 1.17(l), and a verified showing as to the causes of the delay.

If applicant(s) never received the Notice of Allowance, a petition for a new Notice of Allowance and withdrawal of the holding of abandonment may be appropriate in view of Delgar Inc. v. Schuyler, 172 U.S.P.Q. 513.

- Applicant's failure to timely correct the drawings and/or submit new or substitute formal drawings by _____ as required in the last Office action.
 - The corrected and/or substitute drawings were received on _____.
- The reason(s) below.

MARK K. ZIMMERMAN
PRIMARY EXAMINER
GROUP 2300

FORM PTO-635
(Rev. 6-82)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

**DO NOT REMOVE
FROM FOLDER**

EXAMINATION OUTLINE

NUMBER OF CLAIMS	810	NUMBER OF REFERENCES	5
REJECTIONS	112 103	ACTION SIGNED BY	Fetting
CONTINUATION-IN-PART			
CONTINUATION			
CO-PENDING			
BOARD DECISION BY			

PATENT APPLICATION FEE DETERMINATION RECORD					Application or Docket Number					
Effective December 16, 1991					876921					
CLAIMS AS FILED - PART I					SMALL ENTITY		OR		OTHER THAN SMALL ENTITY	
(Column 1)		(Column 2)			(Column 3)		(Column 4)		(Column 5)	
FOR	NUMBER FILED	NUMBER EXTRA			RATE	FEE	OR		RATE	FEE
BASIC FEE						\$ 345.00	OR			\$ 690.00
TOTAL CLAIMS	72	minus 20 =	* 52		x \$10 =		OR		x \$20 =	1040
INDEPENDENT CLAIMS	3	minus 3 =	*		x 36 =		OR		x 72 =	
MULTIPLE DEPENDENT CLAIM PRESENT					+ 110 =		OR		+ 220 =	
* If the difference in column 1 is less than zero, enter "0" in column 2					TOTAL		OR		TOTAL	1730
CLAIMS AS AMENDED - PART II					SMALL ENTITY		OR		OTHER THAN SMALL ENTITY	
(Column 1)		(Column 2)			(Column 3)		(Column 4)		(Column 5)	
AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE	OR		RATE	ADDITIONAL FEE
	Total	*	Minus	**	=	x \$10 =	OR		x \$20 =	
	Independent	*	Minus	***	=	x 36 =	OR		x 72 =	
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM					+ 110 =		OR		+ 220 =
					TOTAL		OR		TOTAL	
					ADDIT. FEE		OR		ADDIT. FEE	
AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE	OR		RATE	ADDITIONAL FEE
	Total	*	Minus	**	=	x \$10 =	OR		x \$20 =	
	Independent	*	Minus	***	=	x 36 =	OR		x 72 =	
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM					+ 110 =		OR		+ 220 =
					TOTAL		OR		TOTAL	
					ADDIT. FEE		OR		ADDIT. FEE	
AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE	OR		RATE	ADDITIONAL FEE
	Total	*	Minus	**	=	x \$10 =	OR		x \$20 =	
	Independent	*	Minus	***	=	x 36 =	OR		x 72 =	
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM					+ 110 =		OR		+ 220 =
					TOTAL		OR		TOTAL	
					ADDIT. FEE		OR		ADDIT. FEE	

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
 ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".
 *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".
 The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

PTO 1120 U.S. DEPARTMENT OF COMMERCE- PATENT & TRADEMARK OFFICE DATE 5-14-92

(REV 11/91) PACE DATA ENTRY CODING SHEET

1ST EXAMINER [Signature] DATE 7/17

2ND EXAMINER [Signature]

GROUP ART UNIT CLASS SHEETS OF DRAWING

8307 364 -29

APPLICATION NUMBER 07/876921

TYPE APPL MONTH DAY YEAR SPECIAL HANDLING

1 043092 0

FOREIGN LICENSE 4

ATTORNEY DOCKET NUMBER 04860.7624

TOTAL CLAIMS 72

INDEPENDENT CLAIMS -3

SMALL ENTITY?

FILING FEE 1800

CONTINUITY DATA

CONTINUITY CODE	PARENT APPLICATION SERIAL NUMBER	PARENT PATENT NUMBER	PARENT FILING DATE MONTH DAY YEAR
0			
0			
0			
0			
0			

STATUS CODE

--	--	--	--	--

COUNTRY CODE

--	--	--	--	--

FOREIGN PRIORITY CLAIMED

--	--	--	--	--

PCT/FOREIGN APPLICATION DATA

FOREIGN FILING DATE MONTH DAY YEAR

--	--	--	--	--

UTILITY SERIAL NUMBER	08/ 287108	PATENT DATE	JUN 0 5 1991	PATENT NUMBER	6243724
SERIAL NUMBER	08/287,108	FILING DATE	08/08/94	CLASS	395 707
				SUBCLASS	526
				GROUP ART UNIT	2301-2176 2776
				EXAMINER	FEILD FATTING

RICHARD MANDER, PALO ALTO, CA; DANIEL E. ROSE, SAN JOSE, CA; GITTA ALOMON, PALO ALTO, CA; YIN Y. WONG, MENLO PARK, CA; TIMOTHY OREN, LINDSEYVALE, CA; SUSAN BOOKER, SAN CARLOS, CA; STEPHANIE HOUE, CAMBRIDGE, MA

CONTINUING DATA*****
 VERIFIED THIS APPLN IS A CON OF , 07/876,921 04/30/92 ABN

*FOREIGN/PCT APPLICATIONS*****
 VERIFIED: None

FOREIGN FILING LICENCE GRANTED 09/27/94

Foreign priority claimed 35 USC 119 conditions met:	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	AS FILED	STATE OR COUNTRY	SHEETS DRWGS.	TOTAL CLAIMS	INDEP. CLAIMS	FILING FEE RECEIVED	ATTORNEY'S DOCKET NO.
Verified and Acknowledged:	Examiner's initials	→	CA	29	72	3	\$1,854.00	04860.P624C

ADDRESS: SCHEIDT, SCHLOFF, TAYLOR & ZAPFMAN
 100 WILSHIRE BLVD., SEVENTH FLOOR
 LOS ANGELES, CA 90025

TITLE: METHOD AND APPARATUS FOR ORGANIZING INFORMATION IN A COMPUTER SYSTEM

U.S. DEPT. of COM.-Pat. & TM Office - PTO-436L (rev. 10-78)

PARTS OF APPLICATION FILED SEPARATELY		Applications Examiner	
NOTICE OF ALLOWANCE MAILED?	10-16-00 H-6-50	CLAIMS ALLOWED	
ISSUE FEE	9/21/01	Total Claims	Print Claim
Date Paid	1-19-01	8	1
Label Area	Assistant Examiner JOSEPH H. FEILD PRIMARY EXAMINER	DRAWING	
		Sheets Drwg.	Figs. Drwg. Print Fig.
	Primary Examiner	29/28	59/54 4e
	PREPARED FOR ISSUE	ISSUE BATCH NUMBER	K-73 T-73
WARNING: The information disclosed herein may be restricted. Unauthorized disclosure may be prohibited by the United States Code Title 35, Sections 22, 41, and 368. Possession outside the U.S. Patent & Trademark Office is restricted to authorized employees and contractors only.			

TO 436A
 12)

(FACE)

08/ 287108

Date Entered or Counted

PATENT APPLICATION



08287108

CONTENTS

APPROVED FOR LICENSE

INITIALS

Date Received or Mailed

RECEIVED

OCT 06 1994

GROUP 2300

Date	Application	papers.	Date
10-7-94	12.	Pre Amdt B	8-8-94
	13.	Prior Art	9-6-94
11-8-94	14.	Pre Rept C	10-31-94 9-8-94
11-8-94	15.	Rej 3 mos	11-14-94
	16.	Ext of Time 2 mos.	04-17-95 <i>c/m 04-14</i>
05-08-95	17.	Amdt D	04-17-95
06-09-95	18.	Final Rej B	6-12-95
	19.	Ext of Time 1 mo.	10-16-95
	20.	Notice of Appeal	10-16-95
	21.	IDS	Feb. 28, 1996
	22.	Ext of Time 3 mos	03-20-96 <i>c/m 03-12</i>
	23.	Appeal Brief	03-20-96
4/19/94		Examiner Interview Summary	4-22-96
	25.	Reply Brief w/ Attach.	06-28-96
	26.	Req for Oral Hearing	06-28-96
07-22-96	27.	Examiner's Answer (Supple)	JUL 23 1996 T.H.B
	28.	Remanded to Examiner	10-13-97
	29.	Reply Brief	7-21-97
	30.	IDS (re submission of 29 + IDS)	9/12/97 08
	31.	Decision by Board OCT 29, 1999, Affirmed - in part	
	32.	Amdt E (A-E)	3/29/00
	33.	Allowance after ex answer	4-6-00
	34.	Req for CPA	7-5-00
	35.	IDS	7-5-00
	36.	Drawings	10-16-00
11/30/01	37.	Formal Drawings (28 sheets) set	11/9/01
3-7-01	38.	Notice of Drawing Requirement	March 7, 2001
4/20/01	39.	Formal Drawings (28 sheets) set 1	4/9/01

Staple Issue Slip Here

POSITION	ID NO.	DATE
CLASSIFIER		
EXAMINER	405	9-20-94
TYPIST	289	9/25
VERIFIER	258	9/28
CORPS CORR.		
SPEC. HAND		
FILE MAINT.		
DRAFTING		

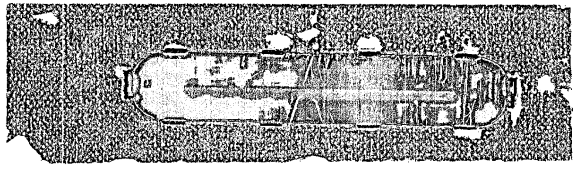
INDEX OF CLAIMS

Claim	Date			
	Final	Original	02	07
1	✓	✓	✓	✓
2	✓	✓	✓	✓
3	✓	✓	✓	✓
4	✓	✓	✓	✓
5	✓	✓	✓	✓
6	✓	✓	✓	✓
7	✓	✓	✓	✓
8	✓	✓	✓	✓
9	✓	✓	✓	✓
10	✓	✓	✓	✓
11	✓	✓	✓	✓
12	✓	✓	✓	✓
13	✓	✓	✓	✓
14	✓	✓	✓	✓
15	✓	✓	✓	✓
16	✓	✓	✓	✓
17	✓	✓	✓	✓
18	✓	✓	✓	✓
19	✓	✓	✓	✓
20	✓	✓	✓	✓
21	✓	✓	✓	✓
22	✓	✓	✓	✓
23	✓	✓	✓	✓
24	✓	✓	✓	✓
25	✓	✓	✓	✓
26	✓	✓	✓	✓
27	✓	✓	✓	✓
28	✓	✓	✓	✓
29	✓	✓	✓	✓
30	✓	✓	✓	✓
31	✓	✓	✓	✓
32	✓	✓	✓	✓
33	✓	✓	✓	✓
34	✓	✓	✓	✓
35	✓	✓	✓	✓
36	✓	✓	✓	✓
37	✓	✓	✓	✓
38	✓	✓	✓	✓
39	✓	✓	✓	✓
40	✓	✓	✓	✓
41	✓	✓	✓	✓
42	✓	✓	✓	✓
43	✓	✓	✓	✓
44	✓	✓	✓	✓
45	✓	✓	✓	✓
46	✓	✓	✓	✓
47	✓	✓	✓	✓
48	✓	✓	✓	✓
49	✓	✓	✓	✓
50	✓	✓	✓	✓

Claim	Date			
	Final	Original	02	07
51	✓	✓	✓	✓
52	✓	✓	✓	✓
53	✓	✓	✓	✓
54	✓	✓	✓	✓
55	✓	✓	✓	✓
56	✓	✓	✓	✓
57	✓	✓	✓	✓
58	✓	✓	✓	✓
59	✓	✓	✓	✓
60	✓	✓	✓	✓
61	✓	✓	✓	✓
62	✓	✓	✓	✓
63	✓	✓	✓	✓
64	✓	✓	✓	✓
65	✓	✓	✓	✓
66	✓	✓	✓	✓
67	✓	✓	✓	✓
68	✓	✓	✓	✓
69	✓	✓	✓	✓
70	✓	✓	✓	✓
71	✓	✓	✓	✓
72	✓	✓	✓	✓
73	✓	✓	✓	✓
74	✓	✓	✓	✓
75	✓	✓	✓	✓
76	✓	✓	✓	✓
77	✓	✓	✓	✓
78	✓	✓	✓	✓
79	✓	✓	✓	✓
80	✓	✓	✓	✓
81	✓	✓	✓	✓
82	✓	✓	✓	✓
83	✓	✓	✓	✓
84	✓	✓	✓	✓
85	✓	✓	✓	✓
86	✓	✓	✓	✓
87	✓	✓	✓	✓
88	✓	✓	✓	✓
89	✓	✓	✓	✓
90	✓	✓	✓	✓
91	✓	✓	✓	✓
92	✓	✓	✓	✓
93	✓	✓	✓	✓
94	✓	✓	✓	✓
95	✓	✓	✓	✓
96	✓	✓	✓	✓
97	✓	✓	✓	✓
98	✓	✓	✓	✓
99	✓	✓	✓	✓
100	✓	✓	✓	✓

SYMBOLS
 ✓ Rejected
 = Allowed
 - (Through numeral) Canceled
 + Restricted
 N Non-elected
 I Interference
 A Appeal
 O Objected

(LEFT INSIDE)



SEARCHED			
Class	Sub.	Date	Exmr.
345	144	11/1/44	AT
↓	145	↓	↓
	146		
	155		
	159		
	161		
345	115		
245	116		
345	121		
369	419, 14		
Above search brought to date		6-6-95	AT
Update Above		4/3/00	AT
707	526		
↓	527		
	528		
	529		
345	326		
↓	334		
	348		
	349		
	350		
	357		
Update Above		9/26/00	AT

INTERFERENCE SEARCHED			
Class	Sub.	Date	Exmr.
707	526	4/3/00	AT
345	334	↓	
↓	349	↓	
Update Above		9/26/00	AT

SEARCH NOTES		
	Date	Exmr.
AP's enclosed - US AT	6-7-95	AT

(RIGHT OUTSIDE)

54-101-A/FWC

08/287108

Patent

#12/B

10-7-94
mjc



Attorney's Docket No. 04860.P624C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

The Commissioner of
Patents and Trademarks
Washington, D.C. 20231
BOX FWC

Prior Application:
Examiner: Hendon H.
Art Unit: 2301

10-7-94
mjc

RULE 62

Sir: This is a request for filing a file wrapper

Continuation application Divisional application

under 37 C.F.R. § 1.62 of pending prior application serial no. 07/876,921

filed on April 30, 1992

of Richard Mander, Daniel E. Rose, Gitta Salomon, Yin Y. Wong, Timothy Oren, Susan Booker and

Stephanie Houde

(inventor(s) currently of record for prior application)

for METHOD AND APPARATUS FOR ORGANIZING INFORMATION IN A COMPUTER SYSTEM

(title)

Got to Corp

1. The above-identified prior application in which no payment of the issue fee, abandonment of, or termination of proceedings has occurred is hereby expressly abandoned as of the filing date of this new application. Please use all the contents of the prior application file wrapper, including the drawings, as the basic papers for the new application. **No such copy of the prior application is included herewith.**

2. The filing fee is calculated below:

CLAIMS NOW PENDING IN THE PRIOR APPLICATION PLUS/MINUS CLAIMS ADDED/CANCELED BELOW

For:	(Col. 1)		(Col. 2)		SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	No. Filed	No. Extra	Rate	Fee	Rate	Fee	Rate	Fee
Basic Fee:				\$ 355		\$ 710		
Total Claims:	72 - 20	* 52	x 11	\$	x 22	\$ 1,144		
Indep. Claims:	3 - 3	* 0	x 37	\$	x 74	\$		
<input type="checkbox"/> Multiple Dependent Claim(s) Presented			+ 115	\$	+ 230	\$		
			TOTAL	\$	TOTAL	\$ 1,854		

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

3. A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed/_____ was filed in the pending prior application and such status is still proper and desired. 37 C.F.R. § 1.28(a).

"Express Mail" mailing label number TB230566358

Date of Deposit August 8, 1994

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Tricia Pires

(Typed or printed name of person mailing paper or fee)

Tricia Pires
(Signature of person mailing paper or fee)

LJV/cak (10/01/92) Rule 62

B

- 4. The Commissioner is hereby authorized to charge any fees that may be required, or credit any overpayment, to Deposit Account No. 02-2666. A duplicate copy of this sheet is enclosed.
- 5. A check in the amount of \$ 1854.00 is enclosed for the filing fee.
- 6. A check in the amount of \$ 360.00 is enclosed for the petition fee pursuant to 37 C.F.R. § 1.17.
- 7. Cancel in this application claims _____ of the prior application before calculating the filing fee (wherein at least one independent claim is retained for filing purposes).
- 8. Please enter the preliminary amendment enclosed before calculating the filing fee.
- 9. Before calculating the filing fee, please enter in the present application the amendment filed on _____ under 37 C.F.R. § 1.116, but unentered, in the parent application.
- 10. Amend the specification by inserting the following before the first sentence on the first page:

(a) - This is a continuation / _____ divisional of application serial no. 07/876,921, filed April 30, 1992 *now abandoned*

B'

(b) -, which is a _____ continuation / _____ divisional of application serial no. _____, filed _____

 (list all prior applications)

11. It is hereby requested that any request for a convention priority made in the prior application be transferred to this Rule 62 application.

12. The prior application is assigned of record to:
Apple Computer Inc.

13. The Power of Attorney in the prior application is to:
James C. Scheller, Jr. 3195
 (Name) (Reg. No.)
 Edwin H Taylor, Reg. No. 25,129, and certain other listed attorneys or agent(s) of:
 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
 12400 Wilshire Blvd., Seventh Floor
 Los Angeles, California 90025
 (310) 207-3800

(a) The Power appears in the original papers of the prior application serial no. 07/876,921 filed April 30, 1992.

(b) The Power does not appear in the original papers, but was filed on _____ in prior application serial no. _____ filed _____.

(c) A new Power has been executed and is attached.

(d) Recognize as an associate attorney or agent and address all future communications to:

 (Name) (Reg. No.)
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
12400 Wilshire Blvd., Seventh Floor
Los Angeles, California 90025
(408) 720-8598

(d) Address all future communications to the undersigned.

79

- 14. Enclosed is a photocopy of a petition for an extension of time pursuant to 37 C.F.R. § 1.136 concurrently (or previously) submitted under separate cover for the above-referenced prior application.
- 15. Applicant(s) hereby petition(s) for an extension of time pursuant to Rule 1.136, if needed, for the above-noted prior application. The Commissioner is hereby authorized to charge any extension or petition fee under 37 C.F.R. § 1.17 that may be required for the above-referenced prior application to Deposit Account No. 02-2666. Two photocopies of this document are enclosed for filing in the prior application file and for Deposit Account purposes.
- 16. The filing of an application under 37 C.F.R § 1.62 will be construed to include a waiver of secrecy under 35 U.S.C. § 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. § 1.14 to access to or information concerning either the prior application or any continuing application filed under the provisions of 37 C.F.R. § 1.62 may be given similar access to, or similar information concerning, the other application(s) in the file wrapper. 37 C.F.R. § 1.62(f).
- 17. Accompanying this application is a statement requesting deletion of the name(s) of the person or persons who are not inventors of the invention being claimed in the continuation/divisional application. 37 C.F.R. § 1.62(a).

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN

Date: August 8, 1994

By *J. C. Scheller*
James C. Scheller, Jr.

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
(408) 720-8598

Reg. No. 31,195

- Attorney or Agent of Record
- Associate Attorney or Agent
- Filed Under 37 C.F.R. § 1.34(a)

B