DAVID GELERNTER June 18, 2009 Page 1 UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK MIRROR WORLDS, LLC

Plaintiff

VS

(Civil Action No. ) 6:08-CV-88 (LED) APPLE, INC. Defendant DEPOSITION OF: DAVID GELERNTER DATE: JUNE 18, 2009 HELD AT: OMNI HOTEL 155 TEMPLE STREET NEW HAVEN, CONNECTICUT

Reporter: WENDY J. ALLEN, RPR, CRR, LSR #00221

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1	I N D E X
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	WITNESS: PAGE:
3	David Gelernter
4	Direct Examination by Mr. Cherensky 6
5	
6	EXHIBITS:
	Exhibit 1, US Patent 6,006,227
7	Exhibit 2, US Patent 6,638,313 B1
	Exhibit 3, US Patent 6,725,427 B2
8	Exhibit 4, US Patent 6,768,999 B2
	Exhibit 5, Washington Post article
9	Exhibit 6, April 1995 Yale technical report
	Exhibit 7, August 1995 Yale technical report
10	Exhibit 8, dissertation
	Exhibit 9, April 1995 Yale technical report
11	Exhibit 10, grant request
10	Exhibit 11, thesis by Nancy Silver
12	Exhibit 12, file history
13	Exhibit 13, paper authored by Scott Fertig, Eric Freeman and David Gelernter
	Exhibit 14, paper authored by Richard Mander, Gitta
14	Salomon and Yin Yin Wong
	Exhibit 15, subpoena
15	Exhibit 16, CoverFlow display screen shot
	Exhibit 17, email stream from 11/23/08
16	Exhibit 18, statement of David Gelernter to US Patent
	and Trademark Office
17	Exhibit 19, patent purchase agreement
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	1	the 999 patent?
	2	A No.
	3	Q Why not?
09:02	4	A It deals with a topic and proposes a
	5	technology which is not my topic and not my imagine.
	6	Q And what do you consider to be the topic of
	7	the 999 patent?
	8	A Well, insofar as I didn't write the patent and
09:02	9	wasn't involved in the work that went up to it, I
	10	can't say a lot about it, but it deals with
	11	information management in a so-called enterprise
	12	environment or enterprise computing environment.
	13	Q What do you understand an enterprise computing
09:02	14	environment to be?
	15	A It's an environment in which several things
	16	hold. Information services are provided by server
	17	client models, because two important aspects of the
	18	enterprise, as I understand it. I really think that
09:03	19	more of it is a commercial term than technical term,
	20	although it has technical implications. A large
	21	number of users may be involved, therefore issues of
	22	efficiently storing, indexing, searching, maybe I
	23	should say very large numbers of documents become
09:03	24	important, and it is a dynamic environment in the
	25	sense that I don't know beforehand, I can't say

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	1	statistically how many computers are encompassed, how
	2	many users there are, what types of computers,
	3	computer sets in the door at any time.
09:04	4	Q Now, you've said in that answer that you
	5	didn't, or maybe the previous answer, you didn't write
	6	the 999 patent. Did you write the specification for
	7	the other three patents, Exhibits 1 through 3?
	8	MR. AN: Objection to form.
09:04	9	THE WITNESS: I did not write but was
	10	involved in the process.
	11	MR. AN: Before you continue, without
	12	getting into the substance of any communications
	13	you've had with any of your counsel, whether it be
09:04	14	prosecuting counsel or other counsel, go ahead and
	15	answer.
	16	MR. CHERENSKY: He can answer what he
	17	did.
	18	MR. AN: Well, I'm still giving him that
09:04	19	instruction.
	20	THE WITNESS: I was involved, I was
	21	involved in the process of writing these three
	22	patents, I guess they're 1, Exhibit 1, 2 and 3. I was
	23	not involved in the process of writing Exhibit 4.
09:05	24	BY MR. CHERENSKY:
	25	Q When you say involved in the process, did you

Page 232 CERTIFICATE OF REPORTER 2 3 I, WENDY J. ALLEN, a Registered Professional Reporter/Commissioner within and for the State of Connecticut, do hereby certify that I took the deposition of DAVID GELERNTER, on June 18, 2009, who was by me duly sworn to testify to the truth and 5 nothing but the truth; that he was thereupon carefully 6 examined upon his oath and his examination reduced to writing under my direction by means of Computer 7 Assisted Transcription, and that this deposition is a true record of the testimony given by the witness. I further certify that I am neither attorney nor 8 counsel for, nor related to, nor employed by any of the parties to the action in which this deposition was taken; and further, that I am not a relative or 10 employee of any attorney or counsel employed by the parties hereto, nor financially interested in the 11 outcome of the action. WITNESS my hand and seal this 7TH day of 12 July, 2009. 13 Wendy J. Allen, RPR 14 My commission expires: April 30, 2010 15 16 17 18 19 20 21 22 23 24 25