# IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF TEXAS TYLER DIVISION

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MIRROR WORLDS, LLC,	§
	§
Plaintiff,	§
	§
vs.	§
	§
APPLE INC.,	§
	§
Defendant.	§

CASE NO. 6:08 cv 88 LED

#### PLAINTIFF MIRROR WORLDS' INITIAL DISCLOSURES

Pursuant to the Court's Orders, Plaintiff Mirror Worlds, LLC ("Mirror Worlds") hereby discloses the following information to Defendant Apple, Inc. ("Apple"). Mirror Worlds has not yet completed discovery relating to this action, and its investigation of the facts is continuing and will continue up to and throughout the trial of this action. Therefore, Mirror Worlds' disclosures are made without prejudice to its right to supplement these disclosures and to introduce any and all documents, witnesses and other evidence of any kind in the proceedings in this action.

By making these disclosures, Mirror Worlds does not represent that it is identifying every witness, document, or tangible thing that it may use to support its claims or defenses in this action. Mirror Worlds also does not waive its right to object to the production of any document or tangible thing disclosed on the basis of any privilege, the work product doctrine, or any other valid objection. Rather, Mirror Worlds' disclosures represent a good faith effort to identify information that it reasonably believes it may use to support its claims or defenses.

#### A. <u>Party Names</u>

The parties' names, as listed in the case-caption above, are the correct names of the

parties.

#### B. <u>Potential Parties</u>

Mirror Worlds is currently unaware of any potential parties to this matter.

# C. Legal Theories and General Factual Bases

Infringement: Mirror Worlds alleges that Apple infringes certain claims of U.S. Patent Nos. 6,006,227; 6,638,313; 6,725,427; and 6,768,999, consistent with legal theories and general factual bases as set forth in Mirror Worlds' Complaint (Dkt. 1), and in Mirror Worlds' Patent Rule 3-1 Disclosures, served on August 15, 2008.

<u>Willfulness</u>: Mirror Worlds alleges that Apple has and continues to infringe the patentsin-suit willfully, on the basis that, upon information and belief, Apple has been aware of the patents-in-suit prior to and/or during the period of Apple's infringement.

# D. <u>Persons Having Knowledge</u>

Based on its reasonable investigation and the pleadings filed to date, Mirror Worlds hereby discloses the individuals it presently believes may have information that Mirror Worlds may use to support its claims or defenses. Mirror Worlds reserves the right to supplement this list as further information comes to light through ongoing investigation and the progression of this case beyond its current early status.

 The following individuals, who are presently, or were formerly associated with, Mirror Worlds or its predecessors-in-interest.

Individual	Contact	Connection with case	Subject
David H. Gelernter	Jenner &	Inventor of U.S.	Conception of the patented
	Block	Patent Nos.	inventions in which he is a named
		6,006,227; 6,638,313;	inventor; reduction to practice of
		and 6,725,427.	the inventions of the patents-in-
		Former Chief	suit; prosecution of the patents-in-
		Scientist of Mirror	suit; the need for the patented
		Worlds Technologies,	inventions; and Mirror Worlds
		Inc.	Technologies, Inc.'s business.
Eric Freeman	Jenner &	Inventor of U.S.	Conception and reduction to
	Block	Patent Nos.	practice of the patented inventions
		6,006,227; 6,638,313;	in which he is a named inventor;
		and 6,725,427.	prosecution of the patents-in-suit
		Former Chief	in which he is a named inventor;
		Technology Officer of	the need for the patented
		Mirror Worlds	inventions; and Mirror Worlds
		Technologies, Inc.	Technologies, Inc.'s business.
Randy Prager	Jenner &	Inventor of U.S.	Conception and reduction to
	Block	Patent No. 6,768,999.	practice of the patented inventions
		Former Chief	in which he is a named inventor;
		Technology Officer of	the need for the patented
		Mirror Worlds	inventions; and Mirror Worlds
		Technologies, Inc.	Technologies, Inc.'s business.
Peter Sparago	Jenner &	Inventor of U.S.	Conception and reduction to
	Block	Patent No. 6,768,999.	practice of the patented inventions
		Former Principal	in which he is a named inventor;
		Architect of Mirror	prosecution of the patents-in-suit;
		Worlds Technologies,	the need for the patented
		Inc.	inventions; and Mirror Worlds
			Technologies, Inc.'s business.
Louis Nemeth	Jenner &	Former Senior Vice	The need for the patented
	Block	President, Products &	inventions; and Mirror Worlds
		Operations of Mirror	Technologies, Inc.'s business.
		Worlds Technologies,	
		Inc.	
Thomas Carulli	Jenner &	Former Board	Reduction to practice of the
	Block	Member and advisor	inventions of the patents-in-suit;
		of Mirror Worlds	the need for the patented
		Technologies, Inc.	inventions; and Mirror Worlds
			Technologies, Inc.'s business.
Frank Weil	Jenner &	Former Chairman of	Mirror Worlds Technologies,
	Block	the Board of Mirror	Inc.'s business.
		Worlds Technologies,	
		Inc.	

Individual	Contact	Connection with case	Subject
Michael S. Satow	Jenner &	Former Chief	Mirror Worlds Technologies,
	Block	Executive Officer of	Inc.'s business.
		Mirror Worlds	
		Technologies, Inc.	
Robert Raisch	Jenner &	Worked on behalf of	Corporate and patent
	Block	Recognition Interface,	administrative matters for
		a predecessor-in-	Recognition Interface.
		interest of Mirror	_
		Worlds, LLC.	
Edward Stone	Jenner &	Works on behalf of	Operations of Mirror Worlds,
	Block	Mirror Worlds, LLC.	LLC.

2. The following individuals currently or formerly employed by Apple. In addition, there may be additional individuals currently or formerly employed by Apple that may have information that Mirror Worlds may use to support its claims or defenses.

Individual	Address	Connection with case	Subject
Guy Kawasaki	California	Former Apple employee who had communications with Mirror Worlds Technologies, Inc. regarding a possible business relationship.	Communications between Mirror Worlds Technologies, Inc. and Apple; current and former Apple products and services.
Don Lindsay	Unknown	Former Manager, Interface Group at Apple who had communications with Mirror Worlds Technologies, Inc. regarding a possible business relationship.	Communications between Mirror Worlds Technologies, Inc. and Apple; current and former Apple products and services.
Other present or former employees of Apple.	Unknown	Present or former employees of Apple.	Communications between Mirror Worlds Technologies, Inc. and Apple; current and former Apple products and services.

<sup>3.</sup> The following third parties may also likely have information that Mirror Worlds

may use to support its claims or defenses. In addition, there may be additional individuals currently or formerly employed by (a) former clients and/or business partners of Mirror Worlds Technologies, Inc. or (b) companies that Mirror Worlds Technologies, Inc. had communications with regarding Mirror Worlds Technologies, Inc.'s technology and/or a possible business relationship, that may have information that Mirror Worlds may use to support its claims or defenses.

Individual	Address	Connection with case	Subject
Present or former employees of Google.	Mountain View, California	Google had communications with Mirror Worlds Technologies, Inc. regarding a possible business relationship involving Mirror Worlds Technologies, Inc.'s technology.	Communications between Mirror Worlds Technologies, Inc. and Google; current and former Google products and services.
Present or former employees of Microsoft, including, but not limited to, Kai-Fu Lee.	Redmond, Washington	Microsoft had communications with Mirror Worlds Technologies, Inc. regarding a possible business relationship involving Mirror Worlds Technologies, Inc.'s technology.	Communications between Mirror Worlds Technologies, Inc. and Microsoft; current and former Microsoft products and services.
Present or former employees of Lexmark.	Lexington, Kentucky	Former business partner of Mirror Worlds Technologies, Inc.	Communications between Mirror Worlds Technologies, Inc. and Lexmark; current and former Lexmark products and services.
Present or former employees of Xerox.	Stamford, Connecticut	Former business partner of Mirror Worlds Technologies, Inc.	Communications between Mirror Worlds Technologies, Inc. and Xerox; current and former Xerox products and services.
Intellectual Ventures	Washington	Potential business partner of Mirror Worlds Technologies, Inc.	Licensing and/or commercialization of the patents- in-suit.

Mirror Worlds incorporates by reference witnesses identified in Apple's Initial Disclosure to the extent they have information that supports Mirror Worlds' claims or defenses.

Mirror Worlds reserves the right to supplement this disclosure as discovery proceeds in order to identify additional individuals who may possess discoverable information.

## E. <u>Computation of Damages Pursuant to Paragraph 3(c) of the Discovery Order</u>

Mirror Worlds contends that it is entitled to a reasonable royalty, plus interests and costs, for past infringements pursuant to 35 U.S.C. § 284, and an injunction against future infringements pursuant to 35 U.S.C. § 283. In addition, Mirror Worlds may seek other categories of damages, including, but not limited to, diverted sales, price erosion, increased costs, and convoyed sales.

Mirror Worlds also contends that it is entitled to its reasonable attorneys' fees pursuant to 35 U.S.C. § 285 and a trebling of any damages for Apple's willful infringement pursuant to 35 U.S.C. § 285.

Mirror Worlds does not possess sufficient information to compute a more definite damages calculation at this time as that calculation will depend on information in Apple's possession.

## F. Insurance and Indemnity Agreements

Mirror Worlds is currently unaware of any insurance or indemnity agreements applicable to this disclosure.

# G. <u>Settlement Agreements</u>

Mirror Worlds is currently unaware of any settlement agreements relevant to the subject matter of this action.

# H. <u>Reservation Of Rights</u>

The foregoing disclosures are based on information currently available to Mirror Worlds. Mirror Worlds specifically reserves the right to supplement and amend these Initial Disclosures to the extent that additional information supporting its claims or defenses becomes available.

Dated: November 5, 2008

Respectfully submitted,

By: /s/ Kenneth L. Stein

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ATTORNEYS FOR PLAINTIFF MIRROR WORLDS, LLC

# **CERTIFICATE OF SERVICE**

I hereby certify that Nicholas Brown and Stefani Smith, counsel for Apple, are being served this 5th day of November 2008, with a copy of this document via email PDF.

/s/ Richard H. An Richard H. An