| Issued by the | | | | |
|--|--|--|--|--|
| United States District Court | | | | |
| DISTRICT OF | CONNECTICUT . | | | |
| v. CA | TBPOENA IN A CIVIL CASE SE NUMBER: 1 6:08 cv 88 LED stern District of Texas, Tyler Division) | | | |
| TO: Julie Teodosio 10 Oak St Branford, CT 06405-4523 | | | | |
| YOU ARE COMMANDED to appear in the United States District Court at the place, date, and time specified below to testify in the above case. | | | | |
| PLACE OF TESTIMONY | COURTROOM | | | |
| | DATE AND TIME | | | |
| ☑ YOU ARE COMMANDED to appear at the place, date, and time specified below to testify at the taking of a deposition in the above case. | | | | |
| PLACE OF DEPOSITION Weil Gotshal & Manges, LLP | June 10, 2009 | | | |
| 767 Fifth Avenue | 9:30 am | | | |
| New York, NY 10022 | | | | |
| ✓ YOU ARE COMMANDED to produce and permit inspection and copying of the following documents or objects at the place, date, and time specified below (list documents or objects): See Attachment A. | | | | |
| PLACE Weil Gotshal & Manges, LLP | May 22, 2009 | | | |
| 767 Fifth Avenue | 9:30 am | | | |
| New York, NY 10022 | | | | |
| YOU ARE COMMANDED to permit inspection of the following premises at the date and time specified below. | | | | |
| PREMISES | DATE AND TIME | | | |
| Any organization not a party to this suit that is subpoenaed for the taking of a deposition shall designate one or more officers, directors, or managing agents, or other persons who consent to testify on its behalf, and may set forth, for each person designated, the matters on which the person will testify. Federal Rules of Civil Procedure. 30(b)(6). | | | | |
| ISSUING OFFICER IGNATURE AND TITLE (INDICATE IF ATTORNEY FOR PLAINTIFF OR DEFENDANT) ATTORNEY FOR DEFENDANT APPLE INC. | May 1, 2009 | | | |
| Sonal N. Mehta, Weil, Gotshal & Manges LLP, 201 Redwood Shores Parkway, Redwood Shores, CA 94065; Telephone (650) 802-3000 | | | | |

(See Rule 45, Federal Rules of Civil Procedure Parts C & D on Reverse)

¹ If action is pending in district other than district of issuance, state district under case number.

| PROOF OF SERVICE | | | | |
|---|------|-------|---------------------|--|
| | DATE | PLACE | | |
| SERVED | | | | |
| SERVED ON (PRINT NA | ME) | | MANNER OF SERVICE | |
| SERVED BY (PRINT NA | ME) | | TITLE | |
| DECLARATION OF SERVER | | | | |
| I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Proof of Service is true and correct. | | | | |
| Executed on DA | ТЕ | | SIGNATURE OF SERVER | |
| | | | ADDRESS OF SERVER | |

Rule 45, Federal Rules of Civil Procedure, Parts C & D:

(c) PROTECTION OF PERSONS SUBJECT TO SUBPOENAS.

- (1) A party or an attorney responsible for the issuance and service of a subpoena shall take reasonable steps to avoid imposing undue burden or expense on a person subject to that subpoena. The court on behalf of which the subpoena was issued shall enforce this duty and impose upon the party or attorney in breach of this duty an appropriate sanction, which may include, but is not limited to, lost earnings and a reasonable attorney's fee.
- (2)(A) A person commanded to produce and permit inspection and copying of designated books, papers, documents or tangible things, or inspection of premises need not appear in person at the place of production or inspection unless commanded to appear for deposition, hearing or trial.
- (B) Subject to paragraph (d)(2) of this rule, a person commanded to produce and permit inspection and copying may, within 14 days after service of the subpoena or before the time specified for compliance if such time is less than 14 days after service, serve upon the party or attorney designated in the subpoena written objection to inspection or copying of any or all of the designated materials or of the premises. If objection is made, the party serving the subpoena shall not be entitled to inspect and copy the materials or inspect the premises except pursuant to an order of the court by which the subpoena was issued. If objection has been made, the party serving the subpoena may, upon notice to the person commanded to produce, move at any time for an order to compel the production. Such an order to compel production shall protect any person who is not a party or an officer of a party from significant expense resulting from the inspection and copying commanded.
- (3)(A) On timely motion, the court by which a subpoena was issued shall quash or modify the subpoena if it
 - (i) fails to allow reasonable time for compliance;
 - (ii) requires a person who is not a party or an officer of a party to travel to a place more than 100 miles from the place where that person resides, is employed or regularly transacts business in person, except

that, subject to the provisions of clause (c)(3)(B)(iii) of this rule, such a person may in order to attend trial be commanded to travel from any such place within the state in which the trial is held, or

(iii) requires disclosure of privileged or other protected matter and no exception or waiver applies, or

(iv) subjects a person to undue burden.

(B) If a subpoena

- (i) requires disclosure of a trade secret or other confidential research, development, or commercial information, or
- (ii) required disclosure of an unretained expert's opinion or information not describing specific events or occurrences in dispute and resulting from the expert's study made not at the request of any party, or
- (iii) requires a person who is not a party or an officer of a party to incur substantial expense to travel more than 100 miles to attend trial, the court may, to protect a person subject to or affected by the subpoena, quash or modify the subpoena or, if the party in whose behalf the subpoena is issued shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship and assures that the person to whom the subpoena is addressed will be reasonably compensated, the court may order appearance or production only upon specified conditions.

(d) DUTIES IN RESPONDING TO SUBPOENA.

- (1) A person responding to a subpoena to produce documents shall produce them as they are kept in the usual course of business or shall organize and label them to correspond with the categories in the demand.
- (2) When information subject to a subpoena is withheld on a claim that is privileged or subject to protection as trial preparation materials, the claim shall be made expressly and shall be supported by a description of the nature of the documents, communications, or things not produced that is sufficient to enable the demanding party to contest the claim.

ATTACHMENT A

Pursuant to Rule 45 of the Federal Rules of Civil Procedure, Defendant and Counterclaimant Apple Inc. hereby requests that Julie Teodosio produce for inspection and copying the documents and things requested.

DEFINITIONS

- 1. "Yale" means Yale University, its predecessors, successors, divisions, departments, and other organizational and operating units of any of the foregoing, and all past and present directors, officers, employees, agents, affiliates, representatives (including consultants and attorneys), and others purporting to act on its behalf.
 - 2. "You" or "Your" means you, Julie Teodosio, or anyone working on your behalf.
- 3. "Mirror Worlds" means Mirror Worlds LLC, Mirror Worlds Technologies, Inc., Lifestreams, Inc., Abacus Ventures, Recognition Interface, Inc., Recognition Interface LLC, Plainfield Specialty Holdings I, Inc., their predecessors, successors, past and present parents, subsidiaries, divisions, departments, and other organizational and operating units of any of the foregoing, and all past and present directors, officers, employees, agents, affiliates, representatives (including consultants and attorneys), and others purporting to act on their behalf.
- 4. "Dr. Gelernter" means Dr. David Gelernter, Ph.D., or anyone working on his behalf.
- 5. "Dr. Carriero" means Dr. Nicholas Carriero, Ph.D., or anyone working on his behalf.
 - 6. "Dr. Freeman" means Dr. Eric Freeman, Ph.D., or anyone working on his behalf.
 - 7. "Scott Fertig" means Scott Fertig, or anyone working on his behalf.
 - 8. "Randy Prager" means Randy Prager, or anyone working on his behalf.

- 9. "Peter Sparago" means Peter Sparago, or anyone working on his behalf.
- 10. The "Lifestreams Project" means all research, writing or work relating to the Yale Lifestreams Project (including without limitation as described at http://cs-www.cs.yale.edu/homes/freeman/lifestreams.html), or to research, writing or work relating to lifestreams by anyone associated with Yale or anyone affiliated with Yale, including without limitation, Dr. Gelernter, Dr. Carriero, Dr. Freeman, Scott Fertig, Randy Prager or Peter Sparago.
- 11. "Document" shall have the meaning set forth in Federal Rule of Civil Procedure 34, and shall include without limitation, information stored in electronic, magnetic, or optical media, drafts, all translations of documents, and all materials relating to communications.
- 12. "Communication" means any form of oral or written interchange or attempted interchange, whether in person, by telephone, by facsimile, by telex, by electronic mail, or by any other medium.
- 13. "Concerning" means pertaining to, referring to, and/or relating to the matter specified.

INSTRUCTIONS

- 1. This request seeks production of all documents and things described in the request which are in your possession, custody or control, whether prepared by you or anyone else, and in any location they may exist.
- 2. If you withhold any document or any portion thereof on a claim of privilege, provide a privilege log pursuant to Fed. R. Civ. P. 26.
- 3. If you contend that a portion of a document contains information which is immune from discovery, then produce the document with the immune portion redacted therefrom and describe the redacted portion in a privilege log pursuant to Fed. R. Civ. P. 26 (b)(5).

- 4. The words "and" and "or" shall be used conjunctively or disjunctively, whichever makes the request more inclusive.
- 5. The words "any," "all," or "each" shall be construed as "any, all, and each" inclusively.
 - 6. The singular form of a word shall include the plural and vice versa.

REQUESTS FOR DOCUMENTS AND THINGS

REQUEST NO. 1:

All documents and things relating to technical reports concerning the Lifestreams Project, including, without limitation, Yale University Department of Computer Science Technical Report TR1070.

REQUEST NO. 2:

All documents and things relating to files, indices, lists, binders, repositories or other systems for maintaining, keeping, tracking and organizing any technical reports concerning the Lifestreams Project, including, without limitation, any files, indices, lists, or binders in Your possession or control concerning technical reports relating to the Lifestreams Project.

REQUEST NO. 3:

All documents and things relating to the distribution of any technical reports concerning the Lifestreams Project, including, without limitation, any files, indices, lists, or records in Your possession or control concerning the distribution of technical reports relating to the Lifestreams Project.

REQUEST NO. 4:

All documents and things relating to communications between You and any person seeking access to any files, indices, lists, or records concerning the distribution of technical reports relating to the Lifestreams Project.

REQUEST NO. 5:

All documents and things concerning communications between You and any person seeking access to Yale University Department of Computer Science Technical Report TR1070.

REQUEST NO. 6:

All documents and things concerning any person or entity who requested or had access to files, indices, lists, binders, repositories or other systems for maintaining, keeping, tracking and organizing any technical reports concerning the Lifestreams Project, including *inter alia*, when and how such individuals or institutions requested, received or had access to files, indices, lists, binders, repositories or other systems for maintaining, keeping, tracking and organizing any technical reports concerning the Lifestreams Project.

REQUEST NO. 7:

All documents and things concerning any person or entity who requested technical reports concerning the Lifestreams Project, who received technical reports concerning the Lifestreams Project, and who had access to technical reports concerning the Lifestreams system, including *inter alia*, when such individuals or institutions requested, received or had access to technical reports concerning the Lifestreams Project.

REQUEST NO. 8:

All documents and things concerning policies, procedures or practices for generating, maintaining, keeping, tracking, organizing and distributing technical reports, including *inter alia*, when, where and how technical reports are generated and printed; where, how, and by whom technical reports are maintained; and when, how, by whom and to whom technical reports are distributed.

REQUEST NO. 9:

All documents and things concerning policies, procedures or practices relating to access to files, indices, lists, binders, repositories or other systems for maintaining, keeping, tracking and organizing any technical reports including *inter alia*, when, where and how such

files, indices, lists, binders, repositories or other systems for maintaining, keeping, tracking and organizing any technical reports are created and updated; where, how, and by whom files, indices, lists, binders, repositories or other systems for maintaining, keeping, tracking and organizing any technical reports are maintained; and when, how, and by whom such files, indices, lists, binders, repositories or other systems for maintaining, keeping, tracking and organizing any technical reports can be accessed.

REQUEST NO. 10:

All documents and things concerning the content of the fourth paragraph of the Information Disclosure Statement submitted by Richard S. Milner on March 19, 1998 to the United States Patent and Trademark Office located in the prosecution file history of U.S. Patent No. 6,006,227, including without limitation, all documents and things relating to Mr. Milner's statements that the Technical Report entitled "The 'Lifestreams' Approach To Reorganizing the Information World," dated April 1995 "was stored at Yale University in the files of Christopher Hatchell, an Administrative Associate, whose tasks included distribution of this Technical Report," that "According to Mr. Hatchell's records and to the best of his knowledge, this Technical Report was not distributed outside of the Department of Computer Science at Yale University," and that "the list containing bibliographic information about the Technical Report from which the technical report number was determined, is kept in a locked file in the Office of Computer Science at Yale University."

REQUEST NO. 11:

All documents and things relating to communications between You and any other person or entity concerning the content of the fourth paragraph of the Information Disclosure

Statement submitted by Richard S. Milner on March 19, 1998 to the United States Patent and Trademark Office located in the prosecution file history of U.S. Patent No. 6,006,227.

REQUEST NO. 12:

All documents and things concerning Your April 16, 2009 Declaration.

REQUEST NO. 13:

All documents and things concerning the content of Your April 16, 2009 Declaration.

REQUEST NO. 14:

All documents and things concerning the preparation of Your April 16, 2009 Declaration.

REQUEST NO. 15:

All drafts of Your April 16, 2009 Declaration.

REQUEST NO. 16:

All documents and things relating to communications between You and any other person or entity concerning Your April 16, 2009 Declaration.

REQUEST NO. 17:

All documents and things concerning communications between You and Mirror Worlds or anyone working on behalf of Mirror Worlds, including without limitation its attorneys.

REQUEST NO. 18:

All documents and things concerning Your past or present relationship with Mirror Worlds, including without limitation, any agreements with or compensation received from Mirror Worlds or its attorneys.

REQUEST NO. 19:

All documents and things relating to communications between You and Dr. Gelernter regarding technical reports concerning the Lifestreams Project, including, without limitation, Yale University Department of Computer Science Technical Report TR1070.

REQUEST NO. 20:

All documents and things relating to communications between You and Dr. Gelernter regarding policies, procedures or practices for generating, maintaining, keeping, tracking, organizing and distributing technical reports.

REQUEST NO. 21:

All documents and things relating to communications between You and Dr. Gelernter regarding policies, procedures or practices relating to access to files, indices, lists, binders, repositories or other systems for maintaining, keeping, tracking and organizing any technical reports.