

# Ex. C

IN THE UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF TEXAS  
TYLER DIVISION

ERIC M. ALBRITTON,

Plaintiff,

vs.

No. 6:08-CV-00089

(1) CISCO SYSTEMS, INC.,  
(2) RICHARD FRENKEL, (3) MALLUN  
YEN and (4) JOHN NOH,

Defendants.

CONFIDENTIAL - SUBJECT TO PROTECTIVE ORDER

DEPOSITION OF RICHARD G. FRENKEL

Tuesday, November 18, 2008

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CRS-100282-023

1 hour. It is now 1:45.

2 MR. McWILLIAMS: Do you want to take a  
3 break?

4 MR. PATTON: Let's take a short break.  
5 Yeah.

6 THE VIDEOGRAPHER: Going off the record.  
7 The time is 1:45 p.m.

8 (Off the record.)

9 THE VIDEOGRAPHER: We are back on the  
10 record. The time is 1:56 -- I'm sorry. Yes. 1:56  
11 p.m.

12 BY MR. PATTON:

13 Q. Mr. Frenkel, I want to change subjects for  
14 a while.

15 You know you have been offered as a  
16 30(b)(6) witness in several different areas?

17 A. Yes.

18 Q. You do know that?

19 A. Yes.

20 Q. Okay. I want to talk about the  
21 affirmative defenses for a minute, okay, in this  
22 lawsuit, and I want you to tell me what the  
23 affirmative defenses to our lawsuit are.

24 MR. McWILLIAMS: Objection. Form.

25 THE WITNESS: One of them is truth.

1 MR. BABCOCK: You know, we've pled the  
2 affirmative defenses if you want to look at them  
3 there in the answer.

4 BY MR. PATTON:

5 Q. All right. What are the facts that would  
6 support your affirmative defenses?

7 MR. BABCOCK: Object to the form.

8 MR. McWILLIAMS: Same objection.

9 THE WITNESS: There are many facts, and if  
10 I were to recite them all to you, I'd be sitting  
11 here for a good long time. Do you want me to do  
12 that?

13 BY MR. PATTON:

14 Q. Why don't you try to abbreviate them.

15 MR. McWILLIAMS: Objection. Form.

16 MR. BABCOCK: Same objection.

17 THE WITNESS: I couldn't possibly  
18 abbreviate them. I could start going through them.

19 BY MR. PATTON:

20 Q. What are the facts you've got that would  
21 support the truth defense?

22 A. Okay. There is the fact that the  
23 complaint was stamped with an October 15th date on  
24 numerous pages and the civil cover sheet was stamped  
25 with an October 15th date. It was signed on

1 October 15th.

2 There is the fact that the docket, when it  
3 was originally -- when the complaint was originally  
4 filed, the docket said it had been filed on  
5 October 15th.

6 There is the fact that Mr. Albritton's  
7 office called the district court clerk and asked  
8 them to change the date, and there is the fact that  
9 the district court clerk did in fact change the date  
10 on the complaint and the docket.

11 There is the fact that the patent that was  
12 at issue in the ESN lawsuit had not -- did not issue  
13 until October 16th, 2008.

14 Q. Okay.

15 A. There may be more, but I'd have to review  
16 documents and see what else there is.

17 Q. Okay. Would you do this for me. If you  
18 do come up with additional things that you think of,  
19 would you notify your attorney, and he can notify me  
20 and we'll try to find out what they are.

21 MR. McWILLIAMS: We have no agreement as  
22 to that, and he'll discuss that with his counsel.

23 MR. PATTON: What now, George?

24 MR. McWILLIAMS: I said we're making no  
25 agreement for that. You can ask him any questions

1 A. Ask me questions about it.

2 Q. The issue of malice -- did you make a  
3 reasonable investigation to determine what facts you  
4 have to support the defense of a lack of malice?

5 A. I don't know that that is an affirmative  
6 defense or -- but if it is, I believe that I've made  
7 a reasonable investigation of that, too.

8 Q. Okay. And what did you find that would  
9 indicate to you that there wasn't any malice here?

10 A. Well --

11 MR. BABCOCK: Object to the form. Define  
12 malice.

13 THE WITNESS: That's exactly what I want  
14 to know. What do you mean by "malice"?

15 BY MR. PATTON:

16 Q. Were you told what it was you were  
17 supposed to testify about as a 30(b)(6) witness?

18 MR. McWILLIAMS: Objection. Form.

19 BY MR. PATTON:

20 Q. Were you informed?

21 A. Yes.

22 Q. Okay. Were you informed that one of the  
23 things that you would have to support was that there  
24 was no malice here?

25 A. I was told that you would ask me questions

1 relating to that defense, and I would have to answer  
2 those questions to the best of my ability after  
3 having -- for example, if you put documents in front  
4 of me.

5 Q. Okay.

6 A. I wasn't told I had to memorize every fact  
7 in the case and...

8 Q. Okay. You're aware, of course, that you  
9 did use the terminology there was a lack of malice?

10 A. Yes.

11 MR. BABCOCK: Object to the form.

12 MR. McWILLIAMS: Same objection.

13 BY MR. PATTON:

14 Q. Okay. What facts can you tell me about  
15 that would support that assertion on your part?

16 A. If you put documents in front of me, I'll  
17 be able to remember whether they do. All the facts  
18 that I told you about with the truth, though --

19 Q. Okay.

20 A. -- also apply to the lack of malice  
21 defense.

22 Q. Okay.

23 A. I think -- I don't remember if I testified  
24 before that we had Baker Botts call the district  
25 court clerk and ask them what had happened, but

1 that's part of what the lack of malice -- that would  
2 go into the lack of malice part of it, too.

3 Q. Speaking of that, having the Baker Botts  
4 people call the court clerk, there has been  
5 criticism by an expert about Mr. Albritton's office  
6 calling the court clerk. Are you aware of that?

7 MR. McWILLIAMS: Objection. Form.

8 MR. BABCOCK: Same objection.

9 THE WITNESS: No.

10 BY MR. PATTON:

11 Q. You don't know there is an expert named  
12 Herring (phonetic) that so opines that that might be  
13 an ethical breach?

14 MR. McWILLIAMS: Objection. Form.

15 MR. BABCOCK: Same objection.

16 THE WITNESS: I knew there's an expert  
17 named Herring, but I haven't -- I don't know what's  
18 in his report.

19 BY MR. PATTON:

20 Q. Okay. Do you think it was unethical for  
21 the Baker Botts people to call the clerk without  
22 getting Mr. Albritton on the phone with them?

23 MR. McWILLIAMS: Objection. Form.

24 MR. BABCOCK: Objection. Form.

25 THE WITNESS: No.



1           A.    I said "wittingly or unwittingly." I said  
2 the clerk was wittingly or unwittingly conspiring  
3 with a non-practicing entity to try to manufacture  
4 subject matter jurisdiction. That's not trying to  
5 accuse anyone of a crime.

6           Q.    Of course, there are a couple of flaws in  
7 this conspiracy.

8                    Do you know if anything on here -- did you  
9 verify it? Did you look at the rules? Did you look  
10 at the local rules?

11           MR. McWILLIAMS: Objection. Form.

12           THE WITNESS: Yes.

13 BY MR. PATTON:

14           Q.    You did?

15           A.    Yes.

16           Q.    You looked at the local rules?

17           A.    I believe that I did.

18           Q.    Okay. And what were you looking for?

19           A.    The filing date information.

20           Q.    Okay. And you're telling me you couldn't  
21 find it?

22           A.    No, I found it.

23           Q.    And it told you that the electronic file  
24 stamp was the official record of the filing, did it  
25 not?

1 MR. McWILLIAMS: Objection. Form.

2 THE WITNESS: It confirmed for me that the  
3 original stamp that was placed on the complaint in  
4 the docket of October 15th, 2007, were indeed  
5 correct.

6 BY MR. PATTON:

7 Q. That the case was filed on the 15th was  
8 correct?

9 A. Yes.

10 Q. Have you ever asked anybody in the clerk's  
11 office whether or not that statement is correct?

12 MR. McWILLIAMS: Objection. Form.

13 THE WITNESS: We asked Baker Botts to call  
14 the clerk's office to find out what had happened,  
15 and they informed us that they had changed the date  
16 from October 15th to October 16th.

17 BY MR. PATTON:

18 Q. So you were -- you didn't engage Baker  
19 Botts until after you had already posted it, did  
20 you? Did you post it before or after you engaged  
21 Baker Botts?

22 A. Engaged? I'm sorry. I don't understand  
23 what you mean.

24 Q. I thought you had hired -- I thought you  
25 said we engaged Baker Botts to do something, did you

1 violation, so I don't know what you're talking about  
2 right now.

3 Q. Well, I thought that was pretty simple.  
4 Did you call the clerk yourself? That's a pretty  
5 simple question. Did you?

6 A. Did me, Rick Frenkel, call the clerk?

7 Q. Uh-huh.

8 A. No, I had somebody do it for me.

9 Q. You had the lawyers in Dallas do that?

10 A. Yes.

11 Q. And did they give you information that  
12 satisfied you that some bad thing had occurred?

13 MR. McWILLIAMS: Objection. Form.

14 MR. BABCOCK: Same objection.

15 THE WITNESS: I mean it satisfied me that  
16 the date had been changed based on a call to the  
17 clerk's office.

18 BY MR. PATTON:

19 Q. Did the correspondence you received from  
20 Baker Botts give you pause that this might not be  
21 real clear-cut?

22 MR. McWILLIAMS: Objection. Form.

23 THE WITNESS: No.

24 BY MR. PATTON:

25 Q. Do you recall the comment in the email,