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IN RE REEXAMINATION OF: Richard Michael NEMES

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EXAMINER: ANDREW NALVEN

FILED: FOR:

METHODS AND APPARATUS FOR INFORMATION STORAGE AND RETRIEVAL USING A HASHING TECHNIQUE WITH EXTERNAL CHAINING AND ON-THE-FLY REMOVAL OF

EXPIRED DATA

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.555

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Patent holder(s) wishes to disclose the following information.

REFERENCES

- Patent holder(s) wishes to make of record the reference(s) listed on the attached form PTO-1449 and/or accompanying documents from a corresponding foreign application. Copies of the listed reference(s) are attached, where required, as are either statements of relevancy or any readily available partial or full English translations of pertinent portions of any non-English language reference(s).
- ☐ Credit card payment is being made online (if electronically filed), or is attached hereto (if paper filed), in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of patent holder's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ Credit card payment is being made online (if electronically filed), or is attached hereto (if paper filed), in the amount required under 37 CFR §1.17(p).

Respectfully submitted,

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MASSACHUSETTS INSTITUTE OF TECHNOLOGY ARTIFICIAL INTELLIGENCE LABORATORY

Memo No. 444

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LISP Machine Progress Report

by the Lisp Machine Group

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ABSTRACT

This informal paper introduces the LISP Machine, describes the goals and current status of the project, and explicates some of the key ideas. It covers the LISP machine implementation, LISP as a system language, input/output, representation of data, representation of programs, control structures, storage organization, garbage collection, the editor, and the current status of the work.

This report describes research done at the Artificial Intelligence Laboratory of the Massachusetts Institute of Technology. Support for the laboratory's artificial intelligence research is provided in part by the Advanced Research Projects Agency of the Department of Defense under Office of Naval Research contract N00014-75-C-0643.

INTRODUCTION:

The LISP Machine is a new computer system designed to provide a high performance and economical implementation of the LISP programming language.

The LISP language is used widely in the artificial intelligence research community, and is rapidly gaining adherents outside this group. Most serious LISP usage has historically been on the DEC PDP-10 computer, and both "major" implementations (InterLisp at BBN/XEROX and Maclisp at M.I.T.) were originally done on the PDP-10. Our personal experience has largely been with the Maclisp dialect of LISP, which was originally written in 1965.

Over the years, dramatic changes have taken place in the Maclisp implementation. At a certain point, however, modification and reimplementation of a language on a given machine can no longer efficiently gloss over basic problems in the architecture of the computer system. We, and many others, believe this is now the case on the PDP-10 and similar time-shared computer systems.

Time sharing was introduced when it became apparent that computers are easier to use in an interactive fashion than in a batch system, and that during an interactive session a user typically uses only a small fraction of the processor and memory available; often during much of the time his process is idle or waiting, and so the computer can be multiplexed among many users while giving each the impression that he is on his own machine.

However, in the Lisp community there has been a strong trend towards programs which are very highly interactive, very large, and use a good deal of computer time; such programs include advanced editors and debuggers, the MACSYMA system, and various programming assistants. When running programs such as these, which spend very significant amounts of time supporting user interactions, time sharing systems such as the PDP-10 run into increased difficulties. Not only is the processor incapable of providing either reasonable throughput or adequate response time for a reasonable number of users, but the competition for main memory results in large amounts of time being spent swapping pages in and out (a condition known as "thrashing"). Larger and larger processors and memory, and more and more complex operating systems, are required, with more than proportionally higher cost, and still the competition for memory remains a The programs are sufficiently large, and the interactions sufficiently frequent, that the usual time-sharing strategy of swapping the program out of memory while waiting for the user to interact, then swapping it back in when the user types something, cannot be successful because the swapping cannot happen fast enough.

The Lisp Machine is a personal computer. Personal computing means that the processor and main memory are not time-division multiplexed, instead each person gets his own. The personal computation system consists of a pool of processors, each with its own main memory, and its own disk for swapping. When a user logs in, he is assigned a processor, and he has exclusive use of it for the duration of the session. When he logs out, the processor is returned to the pool, for the next person to use. This way, there is no competition from other users for memory; the pages the user is frequently referring to remain in core, and so swapping overhead is considerably reduced. Thus the Lisp Machine solves a basic problem of time sharing Lisp systems.

The user also gets a much higher degree of service from a Lisp machine than from a timesharing system, because he can use the full throughput capacity of the processor and the disk. Although these are quite inexpensive compared to those used in PDP-10 timesharing systems, they are comparable in speed. In fact, since disk access times are mainly limited by physical considerations, it often turns out that the disk used in a personal computer system is less expensive simply because of its smaller size, and has fully comparable throughput charactistics to the larger disk used by a timesharing system.

In a single-user machine, there is no penalty for interactiveness, since there are no competing users to steal a program's memory while it is waiting for its user to type. Thus the Lisp machine system, unlike time sharing systems, encourages highly interactive programs. It puts service to the user entirely ahead of efficiency considerations.

Another problem with the PDP-10 Lisp implementations is the small address space of the PDP-10 processor. Many Lisp systems, such as MACSYMA and Woods's LUNAR program, have difficulty running in an 18-bit address space. This problem is further compounded by the inefficiency of the information coding of compiled Lisp code; compilers for the PDP-10 produce only a limited subset of the large instruction set made available by the hardware, and usually make inefficient use of the addressing modes and fields provided. It is possible to design much more compact instruction sets for Lisp code. Future programs are likely to be quite a bit bigger; intelligent systems with natural language front ends may well be five or ten times the size of a PDP-10 address space.

The Lisp Machine has a 24 bit virtual address space and a compact instruction set, described later in this paper. Thus much larger programs may be used, without running into address space limitations. Since the instruction set is designed specifically for the Lisp language, the compiler is much simpler than

the PDP-10 compiler, providing faster and more reliable compilation.

The Lisp machine's compact size and simple hardware construction are likely to make it more reliable than other machines, such as the PDP-10; the prototype machine has had almost no hardware failures.

Much of the inspiration for the Lisp Machine project comes from the pioneering research into personal computing and display-oriented systems done by Xerox's Palo Alto Research Center.

THE LISP MACHINE IMPLEMENTATION:

Each logged in user of the Lisp Machine system has a processor, a memory, a keyboard, a display, and a means of getting to the shared resources. Terminals, of course, are placed in offices and various rooms; ideally there would be one in every office. The processors, however, are all kept off in a machine room. Since they may need special environmental conditions, and often make noise and take up space, they are not welcome office companions. The number of processors is unrelated to the number of terminals, and may be smaller depending on economic circumstance.

The processor is implemented with a microprogrammed architecture. It is called the CONS Machine, designed by Tom Knight [CONS]. CONS is a very unspecialized machine with 32-bit data paths and 24-bit address paths. It has a large microcode memory (16K of 48-bit words) to accommodate the large amount of specialized microcode to support Lisp. It has hardware for extracting and depositing arbitrary fields in arbitrary registers, which substitutes for the specialized data paths found in conventional microprocessors. It does not have a cache, but does have a "pdl buffer" (a memory with hardware push-down pointer) which acts as a kind of cache for the stack, which is where most of the memory references go in Lisp.

Using a very unspecialized processor was found to be a good idea for several reasons. For one thing, it is faster, less expensive, and easier to debug. For another thing, it is much easier to microprogram, which allows us to write and debug the large amounts of microcode required to support a sophisticated Lisp system with high efficiency. It also makes feasible a compiler which generates microcode, allowing users to microcompile some of their

functions to increase performance.

The memory is typically 64k of core or semiconductor memory, and is expandable to about 1 million words. The full virtual address space is stored on a 16 million word disk and paged into core (or semiconductor) memory as required. A given virtual address is always located at the same place on the disk. The access time of the core memory is about 1 microsecond, and of the disk about 25 milliseconds. Additionally, there is an internal 1K buffer used for holding the top of the stack (the PDL buffer) with a 200ns access time (see [CONS] for more detail).

The display is a raster scan TV driven by a 1/4 Mbit memory, similar to the TV display system now in use on the Artificial Intelligence Lab's PDP-10. Characters are drawn entirely by software, and so any type or size of font can be used, including variable width and several styles at the same time. One of the advantages of having an unspecialized microinstruction processor such as CONS is that one can implement a flexible terminal in software for less cost than an inflexible, hardwired conventional terminal. The TV system is easily expanded to support gray scale, high resolution, and color. This system has been shown to be very useful for both character display and graphics.

The keyboard is the same type as is used on the Artificial Intelligence Lab TV display system; it has several levels of control/shifting to facilitate easy single-keystroke commands to programs such as the editor. The keyboard is also equipped with a speaker for beeping, and a pointing device, usually a mouse [MOUSE].

The shared resources are accessed through a 10 million bit/sec packet switching network with completely distributed control. The shared resources are to include a highly reliable file system implemented on a dedicated computer equipped with state of the art disks and tapes, specialized I/O devices such as high-quality hardcopy output, special-purpose processors, and connections to the outside world (e.g. other computers in the building, and the ARPANET).

As in a time sharing system, the file system is shared between users. Time sharing has pointed up many advantages of a shared file system, such as common access to files, easy inter-user communication, centralized program maintenance, centralized backup, etc. There are no personal disk packs to be lost, dropped by users who are not competent as operators, or to be filled with copies of old, superseded software.

The complete LISP Machine, including processor, memory, disk, terminal, and connection to the shared file system, is packaged in a single 19" logic cabinet, except for the disk which is free-standing. The complete machine would be likely to cost about \$80,000 if commercially produced. Since this is a complete, fully-capable system (for one user at a time), it can substantially lower the cost of entry by new organizations into serious Artificial Intelligence work.

LISP AS A SYSTEM LANGUAGE:

In the software of the Lisp Machine system, code is written in only two languages (or "levels"): Lisp, and CONS machine microcode. There is never any reason to hand-code macrocode, since it corresponds so closely with Lisp; anything one could write in macrocode could be more easily and clearly written in the corresponding Lisp. The READ, EVAL, and PRINT functions are completely written in Lisp, including their subfunctions (except that APPLY of compiled functions is in micro-code). This illustrates the ability to write "system" functions in Lisp.

In order to allow various low-level operations to be performed by Lisp code, a set of "sub-primitive" functions exist. Their names by convention begin with a "%", so as to point out that they are capable of performing unlispy operations which may result in meaningless pointers. These functions provide "machine level" capabilities, such as performing byte-deposits into memory. The compiler converts calls to these sub-primitives into single instructions rather than subroutine calls. Thus Lisp-coded low-level operations are just as efficient as they would be in machine language on a conventional machine.

In addition to sub-primitives, the ability to do system programming in Lisp depends on the Lisp machine's augmented array feature. There are several types of arrays, one of which is used to implement character strings. This makes it easy and efficient to manipulate strings either as a whole or character by character. An array can have a "leader", which is a little vector of extra information tacked on. The leader always contains Lisp objects while the array often contains characters or small packed numbers. The leader facilititates the use of arrays to represent various kinds of abstract object types. The presence in the language of both arrays and lists gives the programmer more control over data representation.

A traditional weakness of Lisp has been that functions have to take a fixed number of arguments. Various implementations have added kludges to allow variable numbers of arguments; these, however, tend either to slow down the function-calling mechanism, even when the feature is not used, or to force peculiar programming styles. Lisp-machine Lisp allows functions to have optional parameters with automatic user-controlled defaulting to an arbitrary expression in the case where a corresponding argument is not supplied. It is also possible to have a "rest" parameter, which is bound to a list of the arguments not bound to previous parameters. This is frequently important to simplify system programs and their interfaces.

A similar problem with Lisp function calling occurs when one wants to return more than one value. Traditionally one either returns a list or stores some of the values into global variables. In Lisp machine Lisp, there is a multiple-value-return feature which allows multiple values to be returned without going through either of the above subterfuges.

Lisp's functional orientation and encouragement of a programming style of small modules and uniform data structuring is appropriate for good system programming. The Lisp machine's micro-coded subroutine calling mechanism allows it to also be efficient.

Paging is handled entirely by the microcode, and is considered to be at a very low level (lower level than any kind of scheduling). Making the guts of the virtual memory invisible to all Lisp code and most microcode helps keep things simple. It would not be practical in a time sharing system, but in a one-user machine it is reasonable to put paging at the lowest level and forget about it, accepting the fact that sometimes the machine will be tied up waiting for the disk and unable to run any Lisp code.

Micro-coded functions can be called by Lisp code by the usual Lisp calling mechanism, and provision is made for micro-coded functions to call macro-coded functions. Thus there is a uniform calling convention throughout the entire system. This has the effect that uniform subroutine packages can be written, (for example the TV package, or the EDITOR package) which can be called by any other program. (A similar capability is provided by Multics, but not by ITS nor TENEX).

Many of the capabilities which system programmers write over and over again in an ad hoc way are built into the Lisp language, and are sufficiently good in their Lisp-provided form that it usually is not necessary to waste time worrying about how to implement better ones. These include symbol tables, storage management, both fixed and flexible data structures, function-calling,

and an interactive user interface.

Our experience has been that we can design, code, and debug new features much faster in Lisp-machine programs than in PDP-10 programs, whether they are written in assembler language or in traditional "higher-level" languages.

INPUT/OUTPUT:

Low level:

The Lisp Machine processor (CONS) has two busses used for accessing external devices: the "XBUS", and the "UNIBUS". The XBUS is 32 bits wide, and is used for the disk and for main memory. The UNIBUS is a standard PDP-11 16-bit bus, used for various I/O devices. It allows commonly available PDP-11 compatible devices to be easily attached to the Lisp Machine.

Input/output software is essentially all written in Lisp; the only functions provided by the microcode are XUNIBUS-READ and XUNIBUS-WRITE, which know the offset of the UNIBUS in physical address space, and refer to the corresponding location. The only real reason to have these in microcode is to avoid a timing error which can happen with some devices which have side effects when read. It is Lisp programs, not special microcode, which know the location and function of the registers in the keyboard, mouse, TV, and cable network interfaces. This makes the low-level I/O code just as flexible and easy to modify as the high level code.

There are also a couple of microcoded routines which speed up the drawing of characters in the TV memory. These do not do anything which could not be done in Lisp, but they are carefully hand-coded in microcode because we draw an awful lot of characters.

High level:

Many programs perform simple stream-oriented (sequential characters) I/O. In order that these programs be kept device-independent, there is a standard definition of a "stream": a stream is a functional object which takes one required argument and one optional argument. The first argument is a symbol which is a "command" to the stream, such as "TYI", which means "input one character, and return it" and "TYO", which means "output one character". The character argument to the TYO command is passed in the second argument to the stream. There are several other standard optional stream operations, for several

purposes including higher efficiency. In addition particular devices can define additional operations for their own purposes.

Streams can be used for I/O to files in the file system, strings inside the Lisp Machine, the terminal, editor buffers, or anything else which is naturally represented as sequential characters.

For I/O which is of necessity device-dependent, such as the sophisticated operations performed on the TV by the editor, which include multiple blinkers and random access to the screen, special packages of Lisp functions are provided, and there is no attempt to be device-independent. (See documentation on the TV and network packages).

In general, we feel no regret at abandoning device independence in interactive programs which know they are using the display. The advantages to be gained from sophisticated high-bandwidth display-based interaction far outweigh the advantages of device-independence. This does mean that the Lisp machine is really not usable from other than its own terminal; in particular, it cannot be used remotely over the ARPANET.

REPRESENTATION OF DATA:

A Lisp object in Maclisp or Interlisp is represented as an 18 bit pointer, and the datatype of the object is determined from the pointer; each page of memory can only contain objects of a single type. In the Lisp machine, Lisp objects are represented by a 5 bit datatype field, and a 24 bit pointer. (The Lisp machine virtual address space is 24 bits). There are a variety of datatypes (most of the 32 possible codes are now in use), which have symbolic names such as DTP-LIST, DTP-SYMBOL, DTP-FIXNUM, etc.

The Lisp machine data types are designed according to these criteria: There should be a wide variety of useful and flexible data types. Some effort should be made to increase the bit-efficiency of data representation, in order to improve performance. The programmer should be able to exercise control over the storage and representation of data, if he wishes. It must always be possible to take an anonymous piece of data and discover its type; this facilitates storage management. There should be as much type-checking and error-checking as feasible in the system.

Symbols are stored as four consecutive words, each of which contains one object. The words are termed the PRINT NAME cell, the VALUE cell, the FUNCTION

cell, and the PROPERTY LIST cell. The PRINT NAME cell holds a string object, which is the printed representation of the symbol. The PROPERTY LIST cell, of course, contains the property list, and the VALUE CELL contains the current value of the symbol (it is a shallow-binding system). The FUNCTION cell replaces the task of the EXPR, SUBR, FEXPR, MACRO, etc. properties in Maclisp. When a form such as (FOO ARG1 ARG2) is evaluated, the object in FOO's function cell is applied to the arguments. A symbol object has datatype DTP-SYMBOL, and the pointer is the address of these four words.

Storage of list structure is somewhat more complicated. Normally a "list object" has datatype DTP-LIST, and the pointer is the address of a two word block; the first word contains the CAR, and the second the CDR of the node.

However, note that since a Lisp object is only 29 bits (24 bits of pointer and 5 bits of data-type), there are three remaining bits in each word. Two of these bits are termed the CDR-CODE field, and are used to compress the storage requirement of list structure. The four possible values of the CDR-CODE field are given the symbolic names CDR-NORMAL, CDR-ERROR, CDR-NEXT, and CDR-NIL. CDR-NORMAL indicates the two-word block described above. CDR-NEXT and CDR-NIL are used to represent a list as a vector, taking only half as much storage as usual; only the CARs are stored. The CDR of each location is simply the next location, except for the last, whose CDR is NIL. The primitive functions which create lists (LIST, APPEND, etc.) create these compressed lists. If RPLACD is done on such a list, it is automatically changed back to the conventional two-word representation, in a transparent way.

The idea is that in the first word of a list node the CAR is represented by 29 bits, and the CDR is represented by 2 bits. It is a compressed pointer which can take on only 3 legal values: to the symbol NIL, to the next location after the one it appears in, or indirect through the next location. CDR-ERROR is used for words whose address should not ever be in a list object; in a "full node", the first word is CDR-NORMAL, and the second is CDR-ERROR. It is important to note that the cdr-code portion of a word is used in a different way from the data-type and pointer portion; it is a property of the memory cell itself, not of the cell's contents. A "list object" which is represented in compressed form still has data type DTP-LIST, but the cdr code of the word addressed by its pointer field is CDR-NEXT or CDR-NIL rather than CDR-NORMAL.

Number objects may have any of three datatypes. "FIXNUMS", which are 24-bit signed integers, are represented by objects of datatype DTP-FIX, whose "pointer" parts are actually the value of the number. Thus fixnums, unlike all other objects, do not require any "CONS"ed storage for their representation.

This speeds up arithmetic programs when the numbers they work with are reasonably small. Other types of numbers, such as floating point, BIGNUMs (integers of arbitrarily high precision), complex numbers, and so on, are represented by objects of datatype DTP-EXTENDED-NUMBER which point to a block of storage containing the details of the number. The microcode automatically converts between the different number representations as necessary, without the need for explicit declarations on the programmer's part.

There is also a datatype DTP-PDL-NUMBER, which is almost the same as DTP-EXTENDED-NUMBER. The difference is that pdl numbers can only exist in the pdl buffer (a memory internal to the machine which holds the most recent stack frames), and their blocks of storage are allocated in a special area. Whenever an object is stored into memory, if it is a pdl number its block of storage is copied, and an ordinary extended number is substituted. The idea of this is to prevent intermediate numeric results from using up storage and causing increased need for garbage collection. When the special pdl number area becomes full, all pdl numbers can quickly be found by scanning the pdl buffer. Once they have been copied out into ordinary numbers, the special area is guaranteed empty and can be reclaimed, with no need to garbage collect nor to look at other parts of memory. Note that these are not at all the same as pdl numbers in Maclisp; however, they both exist for the same reason.

The most important other data type is the array. Some problems are best attacked using data structures organized in the list-processing style of Lisp, and some are best attacked using the array-processing style of Fortran. The complete programming system needs both. As mentioned above, Lisp Machine arrays are augmented beyond traditional Lisp arrays in several ways. First of all, we have the ordinary arrays of Lisp objects, with one or more dimensions. Compact storage of positive integers, which may represent characters or other non-numeric entities, is afforded by arrays of 1-bit, 2-bit, 4-bit, 8-bit, or 16-bit elements.

For string-processing, there are string-arrays, which are usually one-dimensional and have 8-bit characters as elements. At the microcode level strings are treated the same as 8-bit arrays, however strings are treated differently by READ, PRINT, EVAL, and many other system and user functions. For example, they print out as a sequence of characters enclosed in quotes. The characters in a character string can be accessed and modified with the same array-referencing functions as one uses for any other type of array. Unlike arrays in other Lisp systems, Lisp machine arrays usually have only a single word

of overhead, so the character strings are quite storage-efficient.

There are a number of specialized types of arrays which are used to implement other data types, such as stack groups, internal system tables, and, most importantly, the refresh memory of the TV display as a two-dimensional array of bits.

An important additional feature of Lisp machine arrays is called "array leaders." A leader is a vector of Lisp objects, of user-specified size, which may be tacked on to an array. Leaders are a good place to remember miscellaneous extra information associated with an array. Many data structures consist of a combination of an array and a record (see below); the array contains a number of objects all of the same conceptual type, while the record contains miscellaneous items all of different conceptual types. By storing the record in the leader of the array, the single conceptual data structure is represented by a single actual object. Many data structures in Lisp-machine system programs work this way.

Another thing that leaders are used for is remembering the "current length" of a partially-populated array. By convention, array leader element number 0 is always used for this.

Many programs use data objects structured as "records"; that is, a compound object consisting of a fixed number of named sub-objects. To facilitate the use of records, the Lisp machine system includes a standard set of macros for defining, creating, and accessing record structures. The user can choose whether the actual representation is to be a Lisp list, an array, or an array-leader. Because this is done with macros, which translate record operations into the lower-level operations of basic Lisp, no other part of the system needs to know about records.

Since the reader and printer are written in Lisp and user-modifiable, this record-structure feature could easily be expanded into a full-fledged user-defined data type facility by modifying read and print to support input and output of record types.

Another data type is the "locative pointer." This is an actual pointer to a memory location, used by low-level system programs which need to deal with the guts of data representation. Taking CAR or CDR of a locative gets the contents of the pointed-to location, and RPLACA or RPLACD stores. It is possible to LAMBDA-bind the location. Because of the tagged architecture and highly-organized storage, it is possible to have a locative pointer into the middle of almost anything without causing trouble with the garbage collector.

REPRESENTATION OF PROGRAMS:

In the Lisp Machine there are three representations for programs. Interpreted Lisp code is the slowest, but the easiest for programs to understand and modify. It can be used for functions which are being debugged, for functions which need to be understood by other functions, and for functions which are not worth the bother of compiling. A few functions, notably EVAL, will not work interpreted.

Compiled Lisp ("macrocode") is the main representation for programs. This consists of instructions in a somewhat conventional machine-language, whose unusual features will be described below. Unlike the case in many other Lisp systems, macrocode programs still have full checking for unbound variables, data type errors, wrong number of arguments to a function, and so forth, so it is not necessary to resort to interpreted code just to get extra checking to detect bugs. Often, after typing in a function to the editor, one skips the interpretation step and requests the editor to call the compiler on it, which only takes a few seconds since the compiler is always in the machine and only has to be paged in.

Compiled code on the Lisp Machine is stored inside objects called (for historical reasons) Function Entry Frames (FEFs). For each function compiled, one FEF is created, and an object of type DTP-FEF-POINTER is stored in the function cell of the symbol which is the name of the function. A FEF consists of some header information, a description of the arguments accepted by the function, pointers to external Lisp objects needed by the function (such as constants and special variables), and the macrocode which implements the function.

The third form of program representation is microcode. The system includes a good deal of hand-coded microcode which executes the macrocode instructions, implements the data types and the function-calling mechanism, maintains the paged virtual memory, does storage allocation and garbage collection, and performs similar systemic functions. The primitive operations on the basic data types, that is, CAR and CDR for lists, arithmetic for numbers, reference and store for arrays, etc. are implemented as microcode subroutines. In addition, a number of commonly-used Lisp functions, for instance GET and ASSQ, are hand-coded in microcode for speed.

In addition to this system-supplied microcode, there is a feature called micro compilation. Because of the simplicity and generality of the CONS microprocessor, it is feasible to write a compiler to compile user-written Lisp functions directly into microcode, eliminating the overhead of fetching and

interpreting macroinstructions. This can be used to boost performance by microcompiling the most critical routines of a program. Because it is done by a compiler rather than a system programmer, this performance improvement is available to everyone. The amount of speedup to be expected depends on the operations used by the program; simple low-level operations such as data transmission, byte extraction, integer arithmetic, and simple branching can expect to benefit the most. Function calling, and operations which already spend most of their time in microcode, such as ASSQ, will benefit the least. In the best case one can achieve a factor of about 20. In the worst case, maybe no speedup at all.

Since the amount of control memory is limited, only a small number of microcompiled functions can be loaded in at one time. This means that programs have to be characterized by spending most of their time in a small inner kernel of functions in order to benefit from microcompilation; this is probably true of most programs. There will be fairly hairy metering facilities for identifying such critical functions.

We do not yet have a microcompiler, but a prototype of one was written and heavily used as part of the Lisp machine simulator. It compiles for the PDP-10 rather than CONS, but uses similar techniques and a similar interface to the built-in microcode.

In all three forms of program, the flexibility of function calling is augmented with generalized LAMBDA-lists. In order to provide a more general and flexible scheme to replace EXPRs vs. FEXPRs vs. LEXPRs, a syntax borrowed from Muddle and Conniver is used in LAMBDA lists. In the general case, there are an arbitrary number of REQUIRED parameters, followed by an arbitrary number of OPTIONAL parameters, possibly followed by one REST parameter. When a function is APPLIED to its arguments, first of all the required formal parameters are paired off with arguments; if there are fewer arguments than required parameters, an error condition is caused. Then, any remaining arguments are paired off with the optional parameters; if there are more optional parameters than arguments remaining, then the rest of the optional parameters are initialized in a user-specified manner. The REST parameter is bound to a list, possibly NIL, of all arguments remaining after all OPTIONAL parameters are bound. To avoid CONSing, this list is actually stored on the pdl; this means that you have to be careful how you use it, unfortunately. It is also possible to control which arguments are evaluated and which are quoted.

Normally, such a complicated calling sequence would entail an

unacceptable amount of overhead. Because this is all implemented by microcode, and because the simple, common cases are special-cased, we can provide these advanced features and still retain the efficiency needed in a practical system.

We will now discuss some of the issues in the design of the macrocode instruction set. Each macroinstruction is 16 bits long; they are stored two per word. The instructions work in a stack-oriented machine. The stack is formatted into frames; each frame contains a bunch of arguments, a bunch of local variable value slots, a push-down stack for intermediate results, and a header which gives the function which owns the frame, links this frame to previous frames, remembers the program counter and flags when this frame is not executing, and may contain "additional information" used for certain esoteric purposes. Originally this was intended to be a spaghetti stack, but the invention of closures and stack-groups (see the control-structure section), combined with the extreme complexity of spaghetti stacks, made us decide to use a simple linear stack. The current frame is always held in the pdl buffer, so accesses to arguments and local variables do not require memory references, and do not have to make checks related to the garbage collector, which improves performance. Usually several other frames will also be in the pdl buffer.

The macro instruction set is bit-compact. The stack organization and Lisp's division of programs into small, separate functions means that address fields can be small. The use of tagged data types, powerful generic operations, and easily-called microcoded functions makes a single 16-bit macro instruction do the work of several instructions on a conventional machine such as a PDP-10.

The primitive operations which are the instructions which the compiler generates are higher-level than the instructions of a conventional machine. They all do data type checks; this provides more run-time error checking than in Maclisp, which increases reliability. But it also eliminates much of the need to make declarations in order to get efficient code. Since a data type check is being made, the "primitive" operations can dynamically decide which specific routine is to be called. This means that they are all "generic", that is, they work for all data types where they make sense.

The operations which are regarded as most important, and hence are easiest for macrocode to do, are data transmission, function calling, conditional testing, and simple operations on primitive types, that is, CAR, CDR, CADR, CDDR, RPLACA, and RPLACD, plus the usual arithmetic operations and comparisons. More complex operations are generally done by "miscellaneous" instructions, which call microcoded subroutines, passing arguments on the temporary-results stack.

There are three main kinds of addressing in macrocode. First, there is

implicit addressing of the top of the stack. This is the usual way that operands get from one instruction to the next.

Second, there is the source field (this is sometimes used to store results, but I will call it a source anyway). The source can address any of the following: Up to 64 arguments to the current function. Up to 64 local variables of the current function. The last result, popped off the stack. One of several commonly-used constants (e.g. NIL) stored in a system-wide constants area. A constant stored in the FEF of this function. A value cell or a function cell of a symbol, referenced by means of an invisible pointer in the FEF; this mode is used to reference special variables and to call other functions.

Third, there is the destination field, which specifies what to do with the result of the instruction. The possibilities are: Ignore it, except set the indicators used by conditional branches. Push it on the stack. Pass it as an argument. Return it as the value of this function. Cons up a list.

There are five types of macroinstructions, which will be described. First, there are the data transmission instructions, which take the source and MOVE it to the destination, optionally taking CAR, CDR, CAAR, CADR, CDAR, or CDDR in the process. Because of the powerful operations that can be specified in the destination, these instructions also serve as argument-passing, function-exiting, and list-making instructions.

Next we have the function calling instructions. The simpler of the two is CALLO, call with no arguments. It calls the function indicated by its source, and when that function returns, the result is stored in the destination. The microcode takes care of identifying what type of function is being called, invoking it in the appropriate way, and saving the state of the current function. It traps to the interpreter if the called function is not compiled.

The more complex function call occurs when there are arguments to be passed. The way it works is as follows. First, a CALL instruction is executed. The source operand is the function to be called. The beginnings of a new stack frame are constructed at the end of the current frame, and the function to be called is remembered. The destination of the CALL instruction specifies where the result of the function will be placed, and it is saved for later use when the function returns. Next, instructions are executed to compute the arguments and store them into the destination NEXT-ARGUMENT. This causes them to be added to the new stack frame. When the last argument is computed, it is stored into the destination LAST-ARGUMENT, which stores it in the new stack frame and then activates the call. The function to be called is analyzed, and the arguments are bound to the formal parameters (usually the arguments are already in the correct

slots of the new stack frame). Because the computation of the arguments is introduced by a CALL instruction, it is easy to find out where the arguments are and how many there are. The new stack frame becomes current and that function begins execution. When it returns, the saved destination of the CALL instruction is retrieved and the result is stored. Note that by using a destination of NEXT-ARGUMENT or LAST-ARGUMENT function calls may be nested. By using a destination of RETURN the result of one function may become the result of its caller.

The third class of macro instructions consists of a number of common operations on primitive data types. These instructions do not have an explicit destination, in order to save bits, but implicitly push their result (if any) onto the stack. This sometimes necessitates the generation of an extra MOVE instruction to put the result where it was really wanted. These instructions include: Operations to store results from the pdl into the "source". The basic arithmetic and bitwise boolean operations. Comparison operations, including EQ and arithmetic comparison, which set the indicators for use by conditional branches. Instructions which set the "source" operand to NIL or zero. Iteration instructions which change the "source" operand using CDR, CDDR, 1+, or 1- (add or subtract one). Binding instructions which lambda-bind the "source" operand, then optionally set it to NIL or to a value popped off the stack. And, finally, an instruction to push its effective address on the stack, as a locative pointer.

The fourth class of macro instructions are the branches, which serve mainly for compiling COND. Branches contain a self-relative address which is transferred to if a specified condition is satisfied. There are two indicators, which tell if the last result was NIL, and if it was an atom, and the state of these indicators can be branched on; there is also an unconditional branch, of course. For branches more than 256 half-words away, there is a double-length long-branch instruction. An interesting fact is that there are not really any indicators; it turns out to be faster just to save the last result in its entirety, and compare it against NIL or whatever when that is needed by a branch instruction. It only has to be saved from one instruction to the immediately following one.

The fifth class of macro instructions is the "miscellaneous function." This selects one of 512 microcoded functions to be called, with arguments taken from results previously pushed on the stack. A destination is specified to receive the result of the function. In addition to commonly-used functions such as GET, CONS, CDDDDR, REMAINDER, and ASSQ, miscellaneous functions include sub-primitives (discussed above), and instructions which are not as commonly used

as the first four classes, including operations such as array-accessing, consing up lists, un-lambda-binding, special funny types of function calling, etc.

The way consing-up of lists works is that one first does a miscellaneous function saying "make a list N long". One then executes N instructions with destination NEXT-LIST to supply the elements of the list. After the Nth such instruction, the list-object magically appears on the top of the stack. This saves having to make a call to the function LIST with a variable number of arguments.

Another type of "instruction set" used with macrocode is the Argument Description List, which is executed by a different microcoded interpreter at the time a function is entered. The ADL contains one entry for each argument which the function expects to be passed, and for each auxiliary variable. It contains all relevant information about the argument: whether it is required, optional, or rest, how to initialize it if it is not provided, whether it is local or special, datatype checking information, and so on. Sometimes the ADL can be dispensed with if the "fast argument option" can be used instead; this helps save time and memory for small, simple functions. The fast-argument option is used when the optional arguments and local variables are all to be initialized to NIL, there are not too many of them, there is no data-type checking, and the usage of special variables is not too complicated. The selection of the fast-argument option, if appropriate, is automatically made by the system, so the user need not be concerned with it. The details can be found in the FORMAT document.

CONTROL STRUCTURES:

Function calling. Function calling is, of course, the basic main control structure in Lisp. As mentioned above, Lisp machine function calling is made fast through the use of microcode and augmented with optional arguments, rest arguments, multiple return values, and optional type-checking of arguments.

CATCH and THROW. CATCH and THROW are a Maclisp control structure which will be mentioned here since they may be new to some people. CATCH is a way of marking a particular point in the stack of recursive function invocations. THROW causes control to be unwound to the matching CATCH, automatically returning through the intervening function calls. They are used mainly for handling errors and unusual conditions. They are also useful for getting out of a hairy piece of

code when it has discovered what value it wants to return; this applies particularly to nested loops.

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Closures. The LISP machine contains a data-type called "closure" which is used to implement "full funarging". By turning a function into a closure, it becomes possible to pass it as an argument with no worry about naming conflicts, and to return it as a value with exactly the minimum necessary amount of binding environment being retained, solving the classical "funarg problem". Closures are implemented in such a way that when they are not used the highly speed- and storage-efficient shallow binding variable scheme operates at full efficiency, and when they are used things are slowed down only slightly. The way one creates a closure is with a form such as:

(CLOSURE '(FOO-PARAM FOO-STATE)

(FUNCTION FOO-BAR))

The function could also be written directly in place as a LAMBDA-expression, instead of referring to the externally defined FOO-BAR. The variables FOO-PARAM and FOO-STATE are those variables which are used free by FOO-BAR and are intended to be "closed". That is, these are the variables whose binding environment is to be fixed to that in effect at the time the closure is created. The explicit declaration of which variables are to be closed allows the implementation to have high efficiency, since it does not need to save the whole variable-binding environment, almost all of which is useless. It also allows the programmer to explicitly choose for each variable whether it is to be dynamically bound (at the point of call) or statically bound (at the point of creation of the closure), a choice which is not conveniently available in other languages. In addition the program is clearer because the intended effect of the closure is made manifest by listing the variables to be affected.

Here is an example, in which the closure feature is used to solve a problem presented in "LAMBDA - The Ultimate Imperative" [LAMBDA]. The problem is to write a function called GENERATE-SQRT-OF-GIVEN-EXTRA-TOLERANCE, which is to take one argument, which is the factor by which the tolerance is to be increased, and return a function which takes square roots with that much more tolerance than usual, whatever "usual" is later defined to be. You are given a function SQRT which makes a free reference to EPSILON, which is the tolerance it demands of the trial solution. The reason this example presents difficulties to various languages is that the variable EPSILON must be bound at the point of call (i.e.

dynamically scoped), while the variable FACTOR must be bound at the point of creation of the function (i.e. lexically scoped). Thus the programmer must have explicit control over how the variables are bound.

```
(DEFUN GENERATE-SQRT-OF-GIVEN-EXTRA-TOLERANCE (FACTOR)

(CLOSURE '(FACTOR)

(FUNCTION

(LAMBDA (X)

((LAMBDA (EPSILON) (SQRT X))

(* EPSILON FACTOR))))))
```

The function, when called, rebinds EPSILON to FACTOR times its current value, then calls SQRT. The value of FACTOR used is that in effect when the closure was created, i.e. the argument to GENERATE-SQRT-OF-GIVEN-EXTRA-TOLERANCE.

The way closures are implemented is as follows. For each variable to be closed an "external value cell" is created, which is a CONSed up free-storage cell which contains the variable's value when it is at that level of binding. Because this cell is CONSed up, it can be retained as long as necessary, just like any other data, and unlike cells in a stack. Because it is a cell, if the variable is SETQed the new value is seen by all the closures that should see it. The association between the symbol which is the name of the variable and this value cell is of the shallow-binding type, for efficiency; an invisible pointer (see the storage organization section) in the normal (internal) value cell supplies the connection, eliminating the overhead of searching stack frames or a-lists. If at the time the closure is created an external value cell already exists for a variable, that one is used instead of creating a new one. Thus all closures at the same "level of binding" use the same value cell, which is the desired semantics.

The CLOSURE function returns an object of type DTP-CLOSURE, which contains the function to be called and, for each variable closed over, locative pointers to its internal and external value cells.

When a closure is invoked as a function, the variables mentioned in the closure are bound to invisible pointers to their external value cells; this puts these variables into the proper binding environment. The function contained in the closure is then invoked in the normal way. When the variables happen to be referred to, the invisible pointers are automatically followed to the external value cells. If one of the closed variables is then bound by some other

function, the external value cell pointer is saved away on the binding stack, like any saved variable value, and the variable reverts to normal nonclosed status. When the closed function returns, the bindings of the closed variables are restored just like any other variables bound by the function.

Note the economy of mechanism. Almost all of the system is completely unaffected by and unaware of the existence of closures; the invisible pointer mechanism takes care of things. The retainable binding environments are allocated through the standard CONS operation. The switching of variables between normal and "closed" status is done through the standard binding operation. The operations used by a closed function to access the closed variables are the same as those used to access ordinary variables; closures are called in the same way as ordinary functions. Closures work just as well in the interpreter as in the compiler. An important thing to note is the minimality of CONSing in closures. When a closure is created, some CONSing is done; external value cells and the closure-object itself must be created, but there is no extra "overhead". When a closure is called, no CONSing happens.

One thing to note is that in the compiler closed variables have to be declared "special". This is a general feature of the Maclisp and Lisp machine compilers, that by default variables are local, which means that they are lexically bound, only available to the function in which they are bound, and implemented not with atomic symbols, but simply as slots in the stack. Variables that are declared special are implemented with shallow-bound atomic symbols, identical to variables in the interpreter, and have available either dynamic binding or closure binding. They are somewhat less efficient since it takes two memory references to access them and several to bind them.

Stack groups. The stack group is a type of Lisp object useful for implementation of certain advanced control structures such as coroutines, asynchronous processes, and generators. A stack group is similar to a process (or fork or job or task or control-point) in a time-sharing system; it contains such state information as the "regular" and "special" (binding) PDLs and various internal registers. At all times there is one stack group running on the machine.

Control may be passed between stack groups in several ways (not all of which exist yet on our prototype machine). A stack-group may be called like a function; when it wants to return it can do a %STACK-GROUP-RETURN which is different from an ordinary function return in that the state of the stack group remains unchanged; the next time it is called it picks up from where it left

off. This is good for generator-like applications; each time %STACK-GROUP-RETURN is done, a value is emitted from the generator, and as a side-effect execution is suspended until the next time the generator is called. %STACK-GROUP-RETURN is analogous to the ADIEU construct in CONNIVER.

Control can simply be passed explicitly from one stack group to another, coroutine-style. Alternatively, there can be a scheduler stack-group which invokes other stack groups when their requested scheduling conditions are satisfied.

Interrupts cause control of the machine to be transferred to an interrupt-handler stack group. Essentially this is a forced stack group call like those calls described above. Similarly, when the microcode detects an error the current stack group is suspended and control is passed to an error-handling stack group. The state of the stack group that got the error is left exactly as it was when the error occurred, undisturbed by any error-handling operations. This facilitates error analysis and recovery.

When the machine is started, an "initial" stack group becomes the current stack group, and is forced to call the first function of Lisp.

Note that the same scheduler-driven stack-group switching mechanism can be used both for user programs which want to do parallel computations, and for system programming purposes such as the handling of network servers and peripheral handlers.

Each stack group has a call-state and a calling-stack-group variable, which are used in maintaining the relations between stack groups. A stack group also has some option flags controlling whether the system tries to keep different stack groups' binding environments distinct by undoing the special variable bindings of the stack group being left and redoing the bindings of the stack group being entered.

Stack groups are created with the function MAKE-STACK-GROUP, which takes one main argument, the "name" of the stack group. This is used only for debugging, and can be any mnemonic symbol. It returns the stack group, i.e., a Lisp object with data type DTP-STACK-GROUP. Optionally the sizes of the pdls may be specified.

The function STACK-GROUP-PRESET is used to initialize the state of a stack group: the first argument is the stack group, the second is a function to be called when the stack group is invoked, and the rest are arguments to that function. Both PDLs are made empty. The stack group is set to the AWAITING-INITIAL-CALL state. When it is activated, the specified function will find that it has been called with the specified arguments. If it should return

in the normal way, (i.e. the stack group "returns off the top", the stack group will enter a "used up" state and control will revert to the calling stack group. Normally, the specified function will use %STACK-GROUP-RETURN several times; otherwise it might as well have been called directly rather than in a stack group.

One important difference between stack groups and other means proposed to implement similar features is that the stack group scheme involves no loss of efficiency in normal computation. In fact, the compiler, the interpreter, and even the runtime function-calling mechanism are completely unaware of the existance of stack groups.

STORAGE ORGANIZATION:

Incremental Garbage Collection. The Lisp machine will use a real-time, incremental, compacting garbage collector. Real-time means that CONS (or related functions) never delay Lisp execution for more than a small, bounded amount of time.

This is very important in a machine with a large address space, where a traditional garbage collection could bring everything to a halt for several minutes. The garbage collector is incremental, i.e. garbage collection is interleaved with execution of the user's program; every time you call CONS the garbage collection proceeds for a few steps. Copying can also be triggered by a memory reference which fetches a pointer to data which has not yet been copied. The garbage collector compactifies in order to improve the paging characteristics.

The basic algorithm is described in a paper by Henry Baker [GC]. We have not implemented it yet, but design is proceeding and most of the necessary changes to the microcode have already been made. It is much simpler than previous methods of incremental garbage collection in that only one process is needed; this avoids interlocking and synchronization problems, which are often very difficult to debug.

Areas. Storage in the Lisp machine is divided into "areas." Each area contains related objects, of any type. Since unlike PDP-10 Lisps we do not encode the data type in the address, we are free to use the address to encode the area. Areas are intended to give the user control over the paging behavior of

his program, among other things. By putting related data together, locality can be greatly increased. Whenever a new object is created, for instance with CONS, the area to be used can optionally be specified. There is a default Working Storage area which collects those objects which the user has not chosen to control explicitly.

Areas also give the user a handle to control the garbage collector. Some areas can be declared to be "static", which means that they change slowly and the garbage collector should not attempt to reclaim any space in them. This can eliminate a lot of useless copying. All pointers out of a static area can be collected into an "exit vector", eliminating any need for the garbage collector to look at that area. As an important example, an English-language dictionary can be kept inside the Lisp without adversely affecting the speed of garbage collection. A "static" area can be explicitly garbage-collected at infrequent intervals when it is believed that that might be worthwhile.

Each area can potentially have a different storage discipline, a different paging algorithm, and even a different data representation. The microcode will dispatch on an attribute of the area at the appropriate times. The structure of the machine makes the performance cost of these features negligible; information about areas is stored in extra bits in the memory mapping hardware where it can be quickly dispatched on by the microcode. These dispatches usually have to be done anyway to make the garbage collector work, and to implement invisible pointers.

Invisible Pointers. An invisible pointer is similar to an indirect address word on a conventional computer except the indirection is specified in the data instead of in the instruction. A reference to a memory location containing an invisible pointer is automatically altered to use the location pointed to by the invisible pointer. The term "invisible" refers to the fact that the presence of such pointers is not visible to most of the system, since they are handled by the lowest-level memory-referencing operations. The invisible pointer feature does not slow anything down too much, because it is part of the data type checking that is done anyway (this is one of the benefits of a tagged architecture). A number of advanced features of the Lisp machine depend upon invisible pointers for their efficient implementation.

Closures use invisible pointers to connect internal value cells to external value cells. This allows the variable binding scheme to be altered from normal shallow binding to allocated-value-cell shallow binding when closures are being used, without altering the normal operation of the machine when closures

are not being used. At the same time the slow-down when closures are used amounts to only 2 microseconds per closed-variable reference, the time needed to detect and follow the invisible pointer.

Invisible pointers are necessary to the operation of the cdr-coded compressed list scheme. If an RPLACD is done to a compressed list, the list can no longer be represented in the compressed form. It is necessary to allocate a full 2-word cons node and use that in its place. But, it is also necessary to preserve the identity (with respect to EQ) of the list. This is done by storing an invisible pointer in the original location of the compressed list, pointing to the uncompressed copy. Then the list is still represented by its original location, preserving EQ-ness, but the CAR and CDR operations follow the invisible pointer to the new location and find the proper car and cdr.

This is a special case of the more general use of invisible pointers for "forwarding" references from an old representation of an object to a new one. For instance, there is a function to increase the size of an array. If it cannot do it in place, it makes a new copy and leaves behind an invisible pointer.

The exit-vector feature uses invisible pointers. One may set up an area to have the property that all references from inside that area to objects in other areas are collected into a single exit-vector. A location which would normally contain such a reference instead contains an invisible pointer to the appropriate slot in the exit vector. Operations on this area all work as before, except for a slight slow-down caused by the invisible pointer following. It is also desirable to have automatic checking to prevent the creation of new outside references; when an attempt is made to store an outside object into this area execution can trap to a routine which creates a new exit vector entry if necessary and stores an invisible pointer instead. The reason for exit vectors is to speed up garbage collection by eliminating the need to swap in all of the pages of the area in order to find and relocate all its references to outside objects.

The macrocode instruction set relies on invisible pointers in order to access the value cells of "special" (non-local) variables and the function cells of functions to be called.

Certain system variables stored in the microcode scratchpad memory are made available to Lisp programs by linking the value cells of appropriately-named Lisp symbols to the scratchpad memory locations with invisible pointers. This makes it possible not only to read and write these variables, but also to lambda-bind them. In a similar fashion, invisible pointers could be used to link two symbols' value cells together, in the fashion of MicroPlanner but with much

greater efficiency.

THE EDITOR:

The Lisp machine system includes an advanced real-time display oriented editor, which is written completely in Lisp. The design of this editor drew heavily on our experience with the EMACS editor (and its predecessors) on the PDP-10. The high-speed display and fast response time of the Lisp machine are crucial to the success of the editor.

The TV display is used to show a section of the text buffer currently being edited. When the user types a normal printing character on the keyboard, that character is inserted into his buffer, and the display of the buffer is updated; you see the text as you type it in. When using an editor, most of the user's time is spent in typing in text; therefore, this is made as easy as possible. Editing operations other than the insertion of single characters are invoked by control-keys, i.e. by depressing the CONTROL and/or META shift keys, along with a single character. For example, the command to move the current location for typein in the buffer (the "point") backward is Control-B (B is mnemonic for Backward); the command to move to the next line is Control-N. There are many more advanced commands, which know how to interpret the text as words or as the printed representation of Lisp data structure; Meta-F moves forward over an English word, and Control-Meta-F moves forward over a Lisp expression (an atom or a list).

The real-time display-oriented type of editor is much easier to use than traditional text editors, because you can always see exactly what you are doing. A new user can sit right down and type in text. However, this does not mean that there can be no sophisticated commands and macros. Very powerful operations are provided in the Lisp machine editor. Self-documentation features exist to allow the user to ask what a particular key does before trying it, and to ask what keys contain a given word in their description. Users can write additional commands, in Lisp, and add them to the editor's command tables.

The editor knows how much a line should be indented in a Lisp program in order to reflect the level of syntactic nesting. When typing in Lisp code, one uses the Linefeed key after typing in a line to move to the next line and automatically indent it by the right amount. This serves the additional purpose of instantly pointing out errors in numbers of parentheses.

The editor can be used as a front end to the Lisp top level loop. This provides what can be thought of as very sophisticated rubout processing. When the user is satisfied that the form as typed is correct, he can activate it, allowing Lisp to read in the form and evaluate it. When Lisp prints out the result, it is inserted into the buffer at the right place. Simple commands are available to fetch earlier inputs, for possible editing and reactivation.

In addition to commands from the keyboard, the mouse can be used to point to parts of the buffer, and to give simple editing commands. The use of mice for text editing was originated at SRI, and has been refined and extended at XEROX-PARC.

The character-string representation of each function in a program being worked on is stored in its own editor buffer. One normally modifies functions by editing the character-string form, then typing a single-character command to read it into Lisp, replacing the old function. Compilation can optionally be requested. The advantage of operating on the character form, rather than directly on the list structure, is that comments and the user's chosen formatting of the code are preserved; in addition, the editor is easier to use because it operates on what you see on the display. There are commands to store sets of buffers into files, and to get them back again.

The editor has the capability to edit and display text in multiple fonts, and many other features too numerous to mention here.

CURRENT STATUS (August 1977)

The original prototype CONS machine was designed and built somewhat more than two years ago. It had no memory and no I/O capability, and remained pretty much on the back burner while software was developed with a simulator on the PDP-10 (the simulator executed the Lisp machine macro instruction set, a function now performed by CONS microcode.) Microprogramming got under way a little over a year ago, and in the beginning of 1977 the machine got memory, a disk, and a terminal.

We now have an almost-complete system running on the prototype machine. The major remaining "holes" are the lack of a garbage collector and the presence of only the most primitive error handling. Also, floating-point and big-integer numbers and microcompilation have been put off until the next machine. The system includes almost all the functions of Maclisp, and quite a few new ones.

The machine is able to page off of its disk, accept input from the keyboard and the mouse, display on the TV, and do I/O to files on the PDP-10. The display editor is completely working, and the compiler runs on the machine, so the system is quite usable for typing in, editing, compiling, and debugging Lisp functions.

As a demonstration of the system, and a test of its capabilities, two large programs have been brought over from the PDP-10. William Woods's LUNAR English-language data-base query system was converted from InterLisp to Maclisp, thence to Lisp machine Lisp. On the Lisp machine it runs approximately 3 times as fast as in Maclisp on the KA-10, which in turn is 2 to 4 times as fast as in InterLisp. Note that the Lisp machine time is elapsed real time, while the PDP-10 times are virtual run times as given by the operating system and do not include the delays due to timesharing.

Most of the Macsyma symbolic algebraic system has been converted to the Lisp machine; nearly all the source files were simply compiled without any modifications. Most of Macsyma works except for some things that require bignums. The preliminary speed is the same as on the KA-10, but a number of things have not been optimally converted. (This speed measurement is, again, elapsed time on the Lisp machine version versus reported run time on the KA-10 time sharing system. Thus, paging and scheduling overhead in the KA-10 case are not counted in this measurement.)

LUNAR (including the dictionary) and Macsyma can reside together in the Lisp machine with plenty of room left over; either program alone will not entirely fit in a PDP-10 address space.

The CONS machine is currently being redesigned, and a new machine will be built soon, replacing our present prototype. The new machine will have larger sizes for certain internal memories, will incorporate newer technology, will have greatly improved packaging, and will be faster. It will fit entirely in one cabinet and will be designed for ease of construction and servicing. In late 1977 and early 1978 we plan to build seven additional machines and install them at the MIT AI Lab. During the fall of 1977 we plan to finish the software, bringing it to a point where users can be put on the system. User experience with the Lisp machine during 1978 should result in improvement and cleaning up of the software and documentation, and should give us a good idea of the real performance to be expected from the machine. At that time we will be able to start thinking about ways to make Lisp machines available to the outside world.

REFERENCES:

CONS: Steele, Guy L. "Cons", not yet published. This is a revision of
Working paper 80, CONS by Tom Knight

GC: Baker, Henry, "List Processing in Real Time on a Serial Computer",
Working Paper 139

LAMBDA: Steele, Guy L. "LAMBDA - The Ultimate Imperative", Artificial Intelligence

Memo 353

MOUSE: See extensive publications by Englebart and group at SRI.

9/28/1995 key.c

```
key.c: Key Management Engine for BSD
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 5
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 6
     License governs distribution and use of this software.
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11
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12
13
     the note that:
14
           This product includes technology developed at and
       licensed from the Information Technology Division,
15
16
       US Naval Research Laboratory.
17
18
  /*-----
20 # @(#)COPYRIGHT 1.1a (NRL) 17 August 1995
21
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23
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25
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27
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67

9/28/1995 key.c 68 The views and conclusions contained in the software and documentation 69 are those of the authors and should not be interpreted as representing 70 official policies, either expressed or implied, of the US Naval 71 Research Laboratory (NRL). 72 _____*/ 73 74 75 #include <sys/types.h> 76 #include <sys/param.h> 77 #include <sys/proc.h> 78 #include <sys/mbuf.h> 79 #include <sys/socket.h> 80 #include <sys/socketvar.h> #include <sys/time.h>
#include <sys/kernel.h> 83 #include <net/raw cb.h> #include <net/if.h>
#include <net/if types.h> 86 #include <net/if dl.h> 87 #include <net/route.h>
88 #include <netinet/in.h> 89 #include <netinet/in var.h> 90 #include <netinet/if_ether.h> 91 92 #include <netinet6/in6.h> 93 #include <netinet6/in6 var.h> 94 #include <netinet6/ipsec.h> 95 #include <netinet6/key.h> 96 #include <netinet6/in6_debug.h> 97 98 #define MAXHASHKEYLEN (2 * sizeof(int) + 2 * sizeof(struct sockaddr_in6)) 99 100 /* Not clear whether these values should be 101 tweakable at kernel config time. 102 103 #define KEYTBLSIZE 61 104 #define KEYALLOCTBLSIZE 61 105 106 #define SO2SPITBLSIZE 61 107 108 * These values should be tweakable... 109 * perhaps by using sysctl 110 111 112 113 #define MAXLARVALTIME 240; /* Lifetime of a larval key table entry */ #define MAXKEYACQUIRE 1; /* Max number of key acquire messages sent 114 115 per destination address 116 #define MAXACQUIRETIME 15; /* Lifetime of acquire message */ 117 118 119 * Key engine tables and global variables 120 121 struct key tblnode keytable[KEYTBLSIZE]; 122 struct key allocnode keyalloctbl[KEYALLOCTBLSIZE]; 123 124 struct key_so2spinode so2spitbl[SO2SPITBLSIZE]; 125 126 struct keyso cb keyso cb;

127 struct key tblnode nullkeynode = { 0, 0, 0, 0, 0 };

128 struct key registry *keyregtable; 129 struct key acquirelist *key acquirelist; 130 u long maxlarvallifetime = MAXLARVALTIME;

131 int maxkeyacquire = MAXKEYACQUIRE;

```
9/28/1995
key.c
 132
      u long maxacquiretime = MAXACQUIRETIME;
 133
      extern void dump_secassoc();
 134
 135
 136
 137
       * (temporary) Dump a data buffer
 138
 139
 140
 141
      biov
      dump buf (buf, len)
 142
          char *buf;
 143
 144
           int len;
 145
        int i;
 146
 147
        printf("buf=0x%x len=%d:\n", buf, len);
 148
        for (i = 0; i < len; i++) {
        printf("0x%x ", (u_int8)*(buf+i));
}
 149
 150
 151
     printf("\n");
}
 152
 153
 154
 155
      /*-----
 156
       * (temporary) Dump a key tblnode structrue
 157
 158
 159
 160
      void
      dump_keytblnode(ktblnode)
 161
          struct key tblnode *ktblnode;
 162
 163
 164
       if (!ktblnode) {
         printf("NULL key table node pointer!\n");
 165
 166
          return;
 167
       printf("solist=0x%x ", ktblnode->solist);
printf("secassoc=0x%x ", ktblnode->secassoc);
 168
 169
       printf("next=0x%x\n", ktblnode->next);
 170
 171
 172
 173
 174
      * key secassoc2msghdr():
 175
 176
             Copy info from a security association into a key message buffer.
 177
             Assume message buffer is sufficiently large to hold all security
             association information including src, dst, from, key and iv.
 178
 179
      int
     key_secassoc2msghdr(secassoc, km, keyinfo)
 181
 182
           struct ipsec assoc *secassoc;
 183
           struct key msghdr *km;
 184
           struct key msgdata *keyinfo;
 185
 186
        DPRINTF(IDL_GROSS_EVENT, ("Entering key_secassoc2msghdr\n"));
 187
 188
 189
        if ((km == 0) | (keyinfo == 0) | (secassoc == 0))
 190
         return(-1);
 191
 192
        km->type = secassoc->type;
 193
        km->state = secassoc->state;
        km->label = secassoc->label;
 194
195
       km->spi = secassoc->spi;
```

```
9/28/1995
kev.c
 196
        km->keylen = secassoc->keylen;
        km->ivlen = secassoc->ivlen;
 197
 198
        km->algorithm = secassoc->algorithm;
 199
        km->lifetype = secassoc->lifetype;
 200
        km->lifetime1 = secassoc->lifetime1;
201
        km->lifetime2 = secassoc->lifetime2;
 202
 203
            Stuff src/dst/from/key/iv in buffer after
 204
 205
            the message header.
206
         */
        cp = (char *)(km + 1);
 207
 208
209
      #define ROUNDUP(a)
         ((a) > 0 ? (1 + ((a) - 1) | (sizeof(long) - 1))) : sizeof(long))
 210
      #define ADVANCE(x, n) \
211
           \{ x += ROUNDUP(n); 
212
 213
214
        DPRINTF(IDL FINISHED, ("sa2msghdr: 1\n"));
215
        keyinfo->src = (struct sockaddr *)cp;
        if (secassoc->src.sin6 len) {
 216
          bcopy((char *)&(secassoc->src), cp, secassoc->src.sin6_len);
217
218
           ADVANCE(cp, secassoc->src.sin6_len);
219
         } else
220
          bzero(cp, sizeof(struct sockaddr in6));
221
          ADVANCE(cp, sizeof(struct sockaddr_in6));
 222
223
        DPRINTF(IDL FINISHED, ("sa2msghdr: 2\n"));
224
        keyinfo->dst = (struct sockaddr *)&(secassoc->dst);
if (secassoc->dst.sin6 len) {
225
226
          bcopy((char *)&(secassoc->dst), cp, secassoc->dst.sin6_len);
ADVANCE(cp, secassoc->dst.sin6_len);
227
228
229
          else {
230
          bzero(cp, sizeof(struct sockaddr in6));
          ADVANCE(cp, sizeof(struct sockaddr_in6));
231
232
233
        DPRINTF(IDL FINISHED, ("sa2msqhdr: 3\n"));
234
235
        keyinfo->from = (struct sockaddr *)cp;
        if (secassoc->from.sin6 len) {
236
          bcopy((char *)&(secassoc->from), cp, secassoc->from.sin6_len);
ADVANCE(cp, secassoc->from.sin6_len);
237
238
239
240
          bzero(cp, sizeof(struct sockaddr in6));
241
          ADVANCE(cp, sizeof(struct sockaddr_in6));
242
        DPRINTF(IDL FINISHED, ("sa2msghdr: 4\n"));
243
244
245
        keyinfo->key = cp;
246
        keyinfo->keylen = secassoc->keylen;
247
        if (secassoc->keylen) {
248
          bcopy((char *)(secassoc->key), cp, secassoc->keylen);
249
          ADVANCE(cp, secassoc->keylen);
250
251
252
        DPRINTF(IDL_FINISHED, ("sa2msghdr: 5\n"));
        keyinfo->iv = cp;
253
254
        keyinfo->ivlen = secassoc->ivlen;
255
        if (secassoc->ivlen) {
256
          bcopy((char *)(secassoc->iv), cp, secassoc->ivlen);
257
          ADVANCE(cp, secassoc->ivlen);
258
259
        DDO(IDL FINISHED, printf("msgbuf(len=%d):\n",(char *)cp - (char *)km));
260
        DDO(IDL FINISHED, dump_buf((char *)km, (char *)cp - (char *)km));
DPRINTF(IDL_FINISHED, ("sa2msghdr: 6\n"));
261
262
```

```
9/28/1995
key.c
        return(0);
 264 }
 265
 266
 267
 268
       * key msghdr2secassoc():
            Copy info from a key message buffer into an ipsec_assoc
 269
 270
 271
 272
      int
      key_msghdr2secassoc(secassoc, km, keyinfo)
 273
           struct ipsec assoc *secassoc;
 274
            struct key msghdr *km;
 275
 276
           struct key msgdata *keyinfo;
 277
        DPRINTF(IDL GROSS EVENT, ("Entering key_msghdr2secassoc\n"));
 278
 279
 280
        if ((km == 0) | (keyinfo == 0) | (secassoc == 0))
 281
          return(-1);
 282
        secassoc->len = sizeof(*secassoc);
 283
 284
        secassoc->type = km->type;
        secassoc->state = km->state;
secassoc->label = km->label;
 285
 286
 287
        secassoc->spi = km->spi;
        secassoc->keylen = km->keylen;
secassoc->ivlen = km->ivlen;
 288
 289
 290
        secassoc->algorithm = km->algorithm;
        secassoc->lifetype = km->lifetype;
291
        secassoc->lifetime1 = km->lifetime1;
 292
 293
        secassoc->lifetime2 = km->lifetime2;
 294
 295
        if (keyinfo->src)
          bcopy((char *)(keyinfo->src), (char *)&(secassoc->src),
296
297
            keyinfo->src->sa_len);
298
        if (keyinfo->dst)
299
300
          bcopy((char *)(keyinfo->dst), (char *)&(secassoc->dst),
301
            keyinfo->dst->sa len);
302
303
        if (keyinfo->from)
304
          bcopy((char *) (keyinfo->from), (char *)&(secassoc->from),
305
            keyinfo->from->sa_len);
306
307
308
         * Make copies of key and iv
309
        if (secassoc->ivlen) {
310
311
          K Malloc(secassoc->iv, caddr_t, secassoc->ivlen);
312
          if (secassoc->iv == 0)
            DPRINTF(IDL CRITICAL, ("msghdr2secassoc: can't allocate mem for
313
            iv(n"));
314
            return(-1);
315
          bcopy((char *)keyinfo->iv, (char *)secassoc->iv, secassoc->ivlen);
316
317
          secassoc->iv = NULL;
318
319
320
        if (secassoc->keylen) {
          K Malloc(secassoc->key, caddr_t, secassoc->keylen);
if (secassoc->key == 0) {
321
322
            DPRINTF(IDL_CRITICAL, ("msghdr2secassoc: can't allocate mem for
323
            key\n"));
324
            if (secassoc->iv)
325
          KFree (secassoc->iv);
326
            return(-1);
```

```
9/28/1995
key.c
 327
           bcopy((char *)keyinfo->key, (char *)secassoc->key,
 328
           secassoc->keylen);
         } else
           secassoc->key = NULL;
 330
 331
        return(0);
 332
 333
 334
 335
       * addrpart equal():
 336
               Determine if the address portion of two sockaddrs are equal.
 337
               Currently handles only AF INET and AF INET6 address families.
 338
 339
      int
      addrpart equal(sa1, sa2)
 341
            struct sockaddr *sal;
 342
            struct sockaddr *sa2;
 343
 344
 345
        if ((sa1->sa family == sa2->sa_family))
 346
 347
           switch(sal->sa_family) {
 348
           case AF INET:
             if (((struct sockaddr in *)sal)->sin addr.s addr ==
 349
             ((struct sockaddr_in *)sa2)->sin_addr.s_addr)
 350
 351
           return(1):
 352
            break;
 353
           case AF INET6:
            if (IN6 ADDR EQUAL(((struct sockaddr in6 *)sal)->sin6_addr,
 354
                     ((struct sockaddr_in6 *)sa2)->sin6_addr))
 355
 356
 357
            break;
 358
 359
        return(0);
 360
 361
 362
 363
 364
       * my addr():
            Determine if an address belongs to one of my configured
 365
       interfaces.
           Currently handles only AF INET and AF INET6 addresses.
 366
 367
      int
 368
      my_addr(sa)
 369
 370
           struct sockaddr *sa;
 371
        extern struct in6 ifaddr *in6 ifaddr;
 372
         extern struct in ifaddr *in_ifaddr;
 373
        struct in6 ifaddr *i6a = 0;
struct in_ifaddr *ia = 0;
 374
 375
 376
 377
        switch(sa->sa family) {
        case AF INET6:
 378
           for (i6a = in6 ifaddr; i6a; i6a = i6a->i6a_next) {
   if (IN6 ADDR EQUAL(((struct sockaddr_in6 *)sa)->sin6_addr,
 379
 380
                    i6a->i6a_addr.sin6_addr))
 381
 382
           return(1);
 383
 384
          break;
        case AF INET:
 385
           for (ia = in ifaddr; ia; ia = ia->ia next) {
   if (((struct sockaddr in *)sa)->sin_addr.s_addr ==
 386
 387
              ia->ia addr.sin addr.s addr)
 388
 389
           return(1);
```

```
9/28/1995
key.c
 390
 391
          break;
 392
 393
        return(0);
 394
 395
 396
 397
       * key inittables():
 398
       * Allocate space and initialize key engine tables
 399
 400
      void
 401
 402
      key_inittables()
 403
 404
        struct key tblnode *keynode;
 405
        int i;
406
 407
        K Malloc(keyregtable, struct key registry *, sizeof(struct
        key registry));
if (keyregtable == 0)
 408
         panic("key inittables");
409
        bzero((char *)keyregtable, sizeof(struct key registry));
 410
411
        K Malloc(key acquirelist, struct key acquirelist *,
             sizeof(struct key_acquirelist));
 412
        if (key acquirelist == 0)
  panic("key inittables");
bzero((char *)key acquirelist, sizeof(struct key_acquirelist));
for (i = 0; i < KEYTBLSIZE; i++)</pre>
413
414
415
416
          bzero((char *)&keytable[i], sizeof(struct key_tblnode));
417
        for (i = 0; i < KEYALLOCTBLSIZE; i++)
418
          bzero((char *)&keyalloctbl[i], sizeof(struct key_allocnode));
419
420
        for (i = 0; i < SO2SPITBLSIZE; i++)
421
          bzero((char *)&so2spitbl[i], sizeof(struct key_so2spinode));
422
423
424
425
       * key gethashval():
426
427
             Determine keytable hash value.
428
429
      int
      key_gethashval(buf, len, tblsize)
430
           char *buf;
431
432
           int len;
433
           int tblsize;
434
        int i, j = 0;
435
436
437
         * Todo: Use word size xor and check for alignment
438
                 and zero pad if necessary. Need to also pick
439
440
                  a good hash function and table size.
441
         */
        if (len <= 0) {
442
         DPRINTF(IDL_CRITICAL,("key_gethashval got bogus len!\n"));
443
444
         return(-1);
445
        for(i = 0; i < len; i++) {
  j ^= (u_int8)(*(buf + i));
446
447
448
        return (j % tblsize);
449
450
451
452
```

```
9/28/1995
kev.c
 454
        * key createkey():
               Create hash key for hash function
 455
               key is: type+src+dst if keytype = 1
 456
                        type+src+dst+spi if keytype = 0
 457
               Uses only the address portion of the src and dst sockaddrs to form key. Currently handles only AF INET and AF INET6 sockaddrs
 458
 459
 460
 461
      int
      key_createkey(buf, type, src, dst, spi, keytype)
 462
 463
            char *buf;
 464
            u int type;
            struct sockaddr *src;
 465
            struct sockaddr *dst;
 466
 467
            u int32 spi;
 468
            u_int keytype;
 469
 470
        char *cp, *p;
 471
 472
        DPRINTF(IDL FINISHED, ("Entering key_createkey\n"));
 473
 474
         if (!buf || !src || !dst)
 475
          return(-1);
 476
        cp = buf;
 477
        bcopy((char *)&type, cp, sizeof(type));
 478
 479
        cp += sizeof(type);
 480
 481
          * Assume only IPv4 and IPv6 addresses.
 482
 483
 484
      #define ADDRPART(a) \
           ((a)->sa family == AF INET6) ? \
 485
           (char *)&(((struct sockaddr in6 *)(a))->sin6 addr) : \
 486
           (char *)&(((struct sockaddr in *)(a))->sin addr)
 487
 488
 489
      #define ADDRSIZE(a) \
 490
           ((a)->sa family == AF INET6) ? sizeof(struct in_addr6) : \
 491
           sizeof(struct in_addr)
 492
 493
        DPRINTF(IDL GROSS EVENT, ("src addr:\n"));
        DDO(IDL GROSS EVENT, dump smart sockaddr(src));
DPRINTF(IDL GROSS EVENT, ("dst addr:\n"));
 494
 495
        DDO(IDL_GROSS_EVENT, dump_smart_sockaddr(dst));
 496
 497
 498
        p = ADDRPART(src);
        bcopy(p, cp, ADDRSIZE(src));
 499
 500
        cp += ADDRSIZE(src);
 501
 502
        p = ADDRPART(dst);
        bcopy(p, cp, ADDRSIZE(dst));
 503
 504
        cp += ADDRSIZE(dst);
 505
      #undef ADDRPART
 506
 507
      #undef ADDRSIZE
 508
 509
         if (keytype == 0) {
 510
          bcopy((char *)&spi, cp, sizeof(spi));
 511
           cp += sizeof(spi);
 512
 513
        DPRINTF(IDL FINISHED,("hash key:\n"));
 514
 515
        DDO(IDL FINISHED, dump_buf(buf, cp - buf));
 516
        return(cp - buf);
517
 518
 519
```

```
9/28/1995
key.c
      /*-----
 520
 521
       * key sosearch():
              Search the so2spi table for the security association allocated
 522
       to
              the socket. Returns pointer to a struct key_so2spinode which
 523
       can
              be used to locate the security association entry in the
 524
       keytable.
 525
      struct key so2spinode *
 526
 527
      key_sosearch(type, src, dst, so)
 528
           u int type;
           struct sockaddr *src;
 529
           struct sockaddr *dst;
530
 531
           struct socket *so;
532
        struct key_so2spinode *np = 0;
533
 534
        if (!(src && dst)) {
    DPRINTF(IDL CRITICAL,("key_sosearch: got null src or dst
535
536
          pointer!\n"));
537
          return (NULL);
538
 539
        for (np = so2spitbl[((u_int32)so) % SO2SPITBLSIZE].next; np; np = np->
540
        next)
          if ((so == np->socket) && (type == np->keynode->secassoc->type)
 541
542
          && addrpart equal(src,
543
                     (struct sockaddr *)&(np~>keynode->secassoc->src))
          && addrpart equal(dst,
544
                     (struct sockaddr *)&(np->keynode->secassoc->dst)))
545
546
            return(np);
547
548
       return(NULL);
549
      }
550
551
552
553
       * key sodelete():
554
              Delete entries from the so2spi table.
                flag = 1 purge all entries
flag = 0 delete entries with socket pointer matching socket
555
556
557
       - - -
558
     void
      key_sodelete(socket, flag)
559
560
           struct socket *socket;
561
           int flag;
562
563
        struct key so2spinode *prevnp, *np;
564
        int s = splnet();
565
566
        DPRINTF(IDL MAJOR EVENT, ("Entering keysodelete w/so-0x%x flag-%d\n",
        socket, flag));
567
        if (flag) {
568
          int i;
569
          for (i = 0; i < SO2SPITBLSIZE; i++)</pre>
570
            for(np = so2spitbl[i].next; np; np = np->next) {
571
572
          KFree(np);
573
574
          splx(s);
575
          return;
576
577
578
        prevnp = &so2spitbl[((u_int32)socket) % SO2SPITBLSIZE];
```

```
9/28/1995
key.c
 579
        for(np = prevnp->next; np; np = np->next) {
          if (np->socket == socket)
 580
            struct socketlist *socklp, *prevsocklp;
 581
 582
 583
            (np->keynode->alloc count)--;
 584
 585
             * If this socket maps to a unique secassoc,
 586
             * we go ahead and delete the secassoc, since it
 587
             * can no longer be allocated or used by any other
 588
             * socket.
 589
             */
 590
            if (np->keynode->secassoc->state & K UNIQUE) {
 591
          if (key delete(np->keynode->secassoc) != 0)
 592
            panic("key sodelete");
 593
 594
          np = prevnp;
 595
          continue;
 596
 597
 598
 599
             * We traverse the socketlist and remove the entry
             * for this socket
 600
 601
 602
            DPRINTF(IDL FINISHED,("keysodelete: deleting from socklist..."));
            prevsocklp = np->keynode->solist;
 603
            for (socklp = prevsocklp->next; socklp; socklp = socklp->next) {
 604
          if (socklp->socket == socket) {
 605
 606
            prevsocklp->next = socklp->next;
 607
            KFree(socklp);
 608
            break;
 609
 610
          prevsocklp = socklp;
 611
            DPRINTF(IDL FINISHED,("done\n"));
 612
 613
            prevnp->next = np->next;
            KFree(np);
 614
 615
           np = prevnp;
 616
 617
          prevnp = np;
 618
        splx(s);
 619
 620
 621
622
623
624
      * key deleteacquire():
625
       * Delete an entry from the key acquirelist
 626
627
      biov
628
      key_deleteacquire(type, target)
629
          u int type;
           struct sockaddr *target;
630
 631
632
        struct key acquirelist *ap, *prev;
633
 634
        prev = key acquirelist;
        for(ap = key acquirelist->next; ap; ap = ap->next) {
635
          if (addrpart equal(target, (struct sockaddr *)&(ap->target)) &&
636
 637
          (type == ap->type))
            DPRINTF(IDL MAJOR EVENT, ("Deleting entry from acquire list!\n"));
638
639
            prev->next = ap->next;
640
            KFree(ap);
641
           ap = prev;
642
         prev = ap;
643
644
```

```
9/28/1995
key.c
 645
 646
 647
                        648
      * key search():
 649
 650
             Search the key table for an entry with same type, src addr, dest
             addr, and spi. Returns a pointer to struct key_tblnode if found
 651
             else returns null.
 652
 653
 654
      struct key tblnode *
      key_search(type, src, dst, spi, indx, prevkeynode)
          u int type;
 656
 657
           struct sockaddr *src;
 658
          struct sockaddr *dst;
          u int32 spi;
 659
 660
          int indx;
          struct key tblnode **prevkeynode;
 661
 662
 663
       struct key_tblnode *keynode, *prevnode;
 664
       if (indx > KEYTBLSIZE || indx < 0)</pre>
 665
         return (NULL);
 666
        if (!(&keytable[indx]))
 667
         return (NULL);
668
 669
      #define sec type keynode->secassoc->type
670
      #define sec spi keynode->secassoc->spi
671
672
      #define sec src keynode->secassoc->src
673
      #define sec dst keynode->secassoc->dst
674
675
       prevnode = &keytable[indx];
       for (keynode = keytable[indx].next; keynode; keynode = keynode->next)
676
         if ((type == sec type) && (spi == sec spi) &&
 677
         addrpart equal(src, (struct sockaddr *)&(sec src))
 678
         && addrpart_equal(dst, (struct sockaddr *)&(sec_dst)))
679
680
           break;
681
         prevnode = keynode;
682
683
        *prevkeynode = prevnode;
684
       return(keynode);
685
686
687
688
      * key addnode():
689
            Insert a key_tblnode entry into the key table. Returns a
690
      pointer
       * to the newly created key tblnode.
691
692
693
     struct key tblnode *
694
     key_addnode(indx, secassoc)
695
          int indx;
696
          struct ipsec assoc *secassoc;
697
698
       struct key tblnode *keynode;
699
700
       DPRINTF(IDL GROSS EVENT, ("Entering key addnode w/indx=%d
       secassoc=0x%x\n",indx, (u_int32)secassoc));
701
702
       if (!(&keytable[indx]))
703
         return(NULL);
       if (!secassoc) {
704
         panic("key addnode: Someone passed in a null secassoc!\n");
705
706
```

```
9/28/1995
key.c
 707
        K Malloc(keynode, struct key_tblnode *, sizeof(struct key_tblnode));
 708
 709
        if (keynode == 0)
          return(NULL);
 710
 711
        bzero((char *)keynode, sizeof(struct key_tblnode));
 712
        K Malloc(keynode->solist, struct socketlist *, sizeof(struct
 713
        socketlist));
        if (keynode->solist == 0) {
 714
 715
          KFree(keynode);
 716
          return (NULL);
 717
        bzero((char *)(keynode->solist), sizeof(struct socketlist));
 718
 719
720
        keynode->secassoc = secassoc;
 721
        keynode->solist->next = NULL;
        keynode->next = keytable[indx].next;
keytable[indx].next = keynode;
 722
 723
 724
        return(keynode);
 725
 726
 727
 728
 729
       * key add():
 730
              Add a new security association to the key table. Caller is
731
              responsible for allocating memory for the struct ipsec_assoc as
              well as the buffer space for the key and iv. Assumes the
 732
       security
 733
             association passed in is well-formed.
 734
      int
736
     key_add(secassoc)
737
           struct ipsec_assoc *secassoc;
 738
 739
        char buf [MAXHASHKEYLEN];
740
        int len, indx;
        int inbound = 0;
 741
742
        int outbound = 0;
743
        struct key tblnode *keynode, *prevkeynode;
        struct key_allocnode *np;
 744
745
        int s;
746
        DPRINTF(IDL GROSS EVENT, ("Entering key add w/secassoc=0x%x\n",
747
        secassoc));
748
749
        if (!secassoc)
         panic("key_add: who the hell is passing me a null pointer");
750
751
752
753
754
           For storage purposes, the two esp modes are
755
           treated the same.
756
        if (secassoc->type == SS ENCRYPTION NETWORK)
757
758
          secassoc->type = SS_ENCRYPTION_TRANSPORT;
759
760
        * Should we allow a null key to be inserted into the table ?
761
762
        * or can we use null key to indicate some policy action...
763
764
765
        * For esp using des-cbc or tripple-des we call
766
        * des_set_odd_parity.
767
768
```

```
9/28/1995
key.c
        if (secassoc->key && (secassoc->type == SS ENCRYPTION TRANSPORT) &&
 769
             ((secassoc->algorithm == IPSEC ALGTYPE ESP DES CBC) ||
(secassoc->algorithm == IPSEC ALGTYPE_ESP_3DES)))
 770
 771
 772
           des set odd parity(secassoc->key);
 773
 774
 775
            Check if secassoc with same spi exists before adding
 776
 777
        bzero((char *)&buf, sizeof(buf));
         len = key_createkey((char *)&buf, secassoc->type,
 778
                      (struct sockaddr *)&(secassoc->src),
(struct sockaddr *)&(secassoc->dst),
 779
 780
 781
                      secassoc->spi, 0);
        indx = key gethashval((char *)&buf, len, KEYTBLSIZE);
DPRINTF(IDL GROSS EVENT,("keyadd: keytbl hash position=%d\n", indx));
 782
 783
        keynode = key_search(secassoc->type, (struct sockaddr *)&(secassoc->
 784
        src).
 785
                       (struct sockaddr *)&(secassoc->dst),
 786
                       secassoc->spi, indx, &prevkeynode);
 787
        if (keynode)
 788
          DPRINTF(IDL MAJOR EVENT, ("keyadd: secassoc already exists!\n"));
 789
           return(-2);
 790
 791
        inbound = my addr((struct sockaddr *)&(secassoc->dst));
 792
        outbound = my addr((struct sockaddr *)&(secassoc->src));
 793
        DPRINTF(IDL FINISHED, ("inbound=%d outbound=%d\n", inbound, outbound));
 794
 795
 796
         * We allocate mem for an allocation entry if needed.
 797
 798
         * This is done here instead of in the allocaton code
 799
         * segment so that we can easily recover/cleanup from a
 800
         * memory allocation error.
 801
         * /
 802
        if (outbound | (!inbound && !outbound)) {
          K Malloc(np, struct key_allocnode *, sizeof(struct key_allocnode));
if (np == 0) {
 803
 804
 805
             DPRINTF(IDL_CRITICAL,("keyadd: can't allocate allocnode!\n"));
 806
             return(-1);
807
 808
        }
 809
810
        s = splnet();
 811
        if ((keynode = key addnode(indx, secassoc)) == NULL)
 812
813
          DPRINTF(IDL_CRITICAL,("keyadd: key_addnode failed!\n"));
814
           if (np)
             KFree(np);
815
816
          splx(s);
817
          return(-1);
818
        DPRINTF(IDL EVENT, ("Added new keynode: \n"));
819
820
        DDO(IDL GROSS EVENT, dump keytblnode(keynode));
821
        DDO(IDL_GROSS_EVENT, dump_secassoc(keynode->secassoc));
822
823
824
            We add an entry to the allocation table for
            this secassoc if the interfaces are up and
825
            the secassoc is outbound. In the case
826
827
            where the interfaces are not up, we go ahead
828
            and do it anyways. This wastes an allocation
            entry if the secassoc later turned out to be
829
830
            inbound when the interfaces are ifconfig up.
831
832
        if (outbound | (!inbound && !outbound)) {
          len = key createkey((char *)&buf, secassoc->type,
833
                   (struct sockaddr *)&(secassoc->src),
834
```

```
9/28/1995
key.c
 835
                   (struct sockaddr *)&(secassoc->dst),
 836
                   0, 1);
          indx \( \) key gethashval((char *)&buf, len, KEYALLOCTBLSIZE);
 837
          DPRINTF(IDL_GROSS_EVENT,("keyadd: keyalloc hash position=%d\n",
 838
          indx));
 839
          np->keynode = keynode;
 840
          np->next = keyalloctbl[indx].next;
 841
          keyalloctbl[indx].next = np;
 842
 843
        if (inbound)
 844
          secassoc->state |= K_INBOUND;
 845
        if (outbound)
          secassoc->state |= K OUTBOUND;
 846
 847
        key deleteacquire(secassoc->type, (struct sockaddr
 848
        *)&(secassoc->dst));
 849
 850
        splx(s);
 851
        return 0;
 852
 853
 854
      /*----
 855
       * key get():
 856
              Get a security association from the key table.
 857
 858
 859
      int
 860
      key_get(type, src, dst, spi, secassoc)
 861
           u int type;
 862
           struct sockaddr *src;
           struct sockaddr *dst;
 863
 864
           u int32 spi;
 865
           struct ipsec_assoc **secassoc;
 866
        char buf [MAXHASHKEYLEN];
 867
 868
        struct key tblnode *keynode, *prevkeynode;
 869
        int len, indx;
 870
 871
 872
            For storage purposes, the two esp modes are
         *
 873
            treated the same.
 874
         */
 875
        if (type == SS ENCRYPTION NETWORK)
 876
          type = SS ENCRYPTION TRANSPORT;
877
 878
        bzero(&buf, sizeof(buf));
879
        *secassoc = NULL;
        len = key createkey((char *)&buf, type, src, dst, spi, 0);
880
        indx = key gethashval((char *)&buf, len, KEYTBLSIZE);
 881
        \label{eq:def:def:def:def:def:def} \mbox{DPRINTF(IDL GROSS EVENT,("keyget: indx=$d\n",indx));}
 882
 883
        keynode = key search(type, src, dst, spi, indx, &prevkeynode);
884
        if (keynode) {
          DPRINTF(IDL_EVENT,("keyget: found it! keynode=0x%x",keynode));
885
 886
          *secassoc = keynode->secassoc;
887
          return(0);
 888
        } else
 889
          return(-1); /* Not found */
890
891
892
893
       * key dump():
894
       *
              Dump all valid entries in the keytable to a pf key socket. Each
895
              security associaiton is sent one at a time in a pf_key message.
896
 897
              message with segmo = 0 signifies the end of the dump
```

```
9/28/1995
key.c
 897
       transaction.
 898
 899
 900
      key_dump(so)
 901
           struct socket *so;
 902
 903
        int len, i;
 904
        int seq = 1;
 905
        struct mbuf *m;
 906
        struct key msgdata keyinfo;
 907
        struct key msghdr *km;
        struct key tblnode *keynode;
 908
        extern struct sockaddr key src; extern struct sockaddr key_dst;
 909
 910
 911
 912
         * Routine to dump the key table to a routing socket
 913
         * Use for debugging only!
 914
 915
 916
        DPRINTF(IDL GROSS EVENT, ("Entering key_dump()"));
 917
 918
         * We need to speed this up later. Fortunately, key dump
 919
         * messages are not sent often.
 920
 921
 922
        for (i = 0; i < KEYTBLSIZE; i++) {
 923
          for (keynode = keytable[i].next; keynode; keynode = keynode->next) {
 924
 925
             * We exclude dead/larval/zombie security associations for now
 926
              * but it may be useful to also send these up for debugging
 927
             purposes
 928
              */
            if (keynode->secassoc->state & (K_DEAD | K_LARVAL | K_ZOMBIE))
 929
 930
          continue;
 931
 932
            len = (sizeof(struct key msghdr) +
 933
                ROUNDUP(keynode->secassoc->src.sin6 len) +
                ROUNDUP(keynode->secassoc->dst.sin6 len) +
 934
 935
                ROUNDUP(keynode->secassoc->from.sin6_len) +
 936
                ROUNDUP(keynode->secassoc->keylen) +
 937
                ROUNDUP(keynode->secassoc->ivlen));
            K Malloc(km, struct key_msghdr *, len);
 938
 939
            if (km == 0)
          return(ENOBUFS);
 940
 941
            if (key secassoc2msghdr(keynode->secassoc, km, &keyinfo) != 0)
          panic("key dump");
 942
            km->key msglen = len;
 943
            km->key msgvers = KEY VERSION;
 944
 945
            km->key msgtype = KEY DUMP;
            km->key pid = curproc->p_pid;
km->key seq = seq++;
 946
 947
            km->key errno = 0;
MGETHDR(m, M WAIT, MT DATA);
 948
 949
 950
            m->m len = m->m_pkthdr.len = 0;
            m->m next = 0;
 951
 952
            m->m nextpkt = 0;
 953
            m->m pkthdr.rcvif = 0;
            m copyback(m, 0, len, (caddr_t)km);
 954
 955
            KFree(km);
            if (sbappendaddr(&so->so_rcv, &key_src, m, (struct mbuf *)0) == 0)
 956
 957
          m free(m);
 958
           _else
 959
          sorwakeup(so);
 960
 961
```

```
9/28/1995
key.c
 962
        K Malloc(km, struct key_msghdr *, sizeof(struct key_msghdr));
 963
        if (km == 0)
          return(ENOBUFS);
 964
        bzero((char *)km, sizeof(struct key msghdr));
 965
        km->key msglen = sizeof(struct key_msghdr);
 966
        km->key magvers = KEY VERSION;
 967
        km->key msgtype = KEY DUMP;
 968
        km->key pid = curproc->p_pid;
km->key seq = 0;
 969
 970
 971
        km->key errno = 0;
        MGETHOR(m, M WAIT, MT DATA);
 972
 973
        m->m len = m->m pkthdr.len = 0;
 974
        m->m next = 0;
 975
        m->m nextpkt = 0;
        m->m pkthdr.rcvif = 0;
 976
 977
        m copyback(m, 0, km->key msglen, (caddr_t)km);
 978
        KFree(km);
        if (sbappendaddr(&so->so_rcv, &key_src, m, (struct mbuf *)0) == 0)
 979
 980
          m free(m);
 981
        else
 982
          sorwakeup(so);
 983
        DPRINTF(IDL GROSS EVENT, ("Leaving key dump()\n"));
 984
        return(0);
 985
 986
 987
       * key delete():
 988
              Delete a security association from the key table.
 989
 990
 991
      int
      key_delete(secassoc)
 992
 993
           struct ipsec_assoc *secassoc;
 994
 995
        char buf [MAXHASHKEYLEN];
 996
        int len, indx;
        struct key tblnode *keynode = 0;
 997
 998
        struct key tblnode *prevkeynode = 0;
        struct socketlist *socklp, *deadsocklp;
struct key so2spinode *np, *prevnp;
 999
1000
        struct key_allocnode *ap, *prevap;
1001
1002
        int s;
1003
        DPRINTF(IDL GROSS EVENT, ("Entering key_delete w/secassoc=0x%x\n",
1004
        secassoc));
1005
1006
        if (secassoc->type == SS ENCRYPTION NETWORK)
          secassoc->type = SS_ENCRYPTION_TRANSPORT;
1007
1008
        bzero((char *)&buf, sizeof(buf));
1009
        len = key_createkey((char *)&buf, secassoc->type,
1010
1011
                     (struct sockaddr *) & (secassoc->src),
                     (struct sockaddr *)&(secassoc->dst),
1012
1013
                     secassoc->spi, 0);
1014
        indx = key gethashval((char *)&buf, len, KEYTBLSIZE);
1015
        DPRINTF(IDL GROSS EVENT, ("keydelete: keytbl hash position=%d\n",
        indx));
        keynode = key search(secassoc->type, (struct sockaddr *)&(secassoc->
1016
        src),
1017
                      (struct sockaddr *)&(secassoc->dst),
                      secassoc->spi, indx, &prevkeynode);
1018
1019
1020
        if (keynode) {
1021
          s = splnet();
          DPRINTF(IDL EVENT, ("keydelete: found keynode to delete\n"));
1022
1023
          keynode->secassoc->state |= K_DEAD;
1024
```

```
9/28/1995
key.c
1025
          if (keynode->ref count > 0) {
            DPRINTF(IDL MAJOR EVENT, ("keydelete: secassoc still held, marking
1026
            for deletion only!\n"));
1027
            splx(s):
1028
            return(0);
1029
1030
1031
          prevkeynode->next = keynode->next;
1032
1033
1034
              Walk the socketlist and delete the
1035
           *
              entries mapping sockets to this secassoc
              from the so2spi table.
1036
1037
           * /
          DPRINTF(IDL GROSS EVENT, ("keydelete: deleting socklist..."));
1038
          for(socklp = keynode->solist->next; socklp; )
1039
            prevnp = &so2spitbl[((u int32)(socklp->socket)) % SO2SPITBLSIZE];
1040
            for(np = prevnp->next; np; np = np->next) {
1041
1042
          if ((np->socket == socklp->socket) && (np->keynode == keynode)) {
1043
            prevnp->next = np->next;
            KFree(np);
1044
1045
            break;
1046
1047
          prevnp = np;
1048
1049
            deadsocklp = socklp;
1050
            socklp = socklp->next;
1051
            KFree(deadsocklp);
1052
          DPRINTF(IDL_GROSS_EVENT,("done\n"));
1053
1054
           * If an allocation entry exist for this
1055
1056
           * secassoc, delete it.
1057
           * /
1058
          bzero((char *)&buf, sizeof(buf));
          1059
1060
                  (struct sockaddr *) & (secassoc->dst),
1061
1062
                  0, 1);
          indx = key gethashval((char *)&buf, len, KEYALLOCTBLSIZE);
1063
          DPRINTF(IDL_GROSS_EVENT, ("keydelete: alloctbl hash position=%d\n",
1064
          indx));
1065
          prevap = &keyalloctbl[indx];
1066
          for (ap = prevap->next; ap; ap = ap->next) {
1067
            if (ap->keynode == keynode) {
1068
          prevap->next = ap->next;
1069
          KFree(ap);
1070
          break;
1071
1072
            prevap = ap;
1073
1074
1075
          if (keynode->secassoc->iv)
1076
            KFree(keynode->secassoc->iv);
1077
          if (keynode->secassoc->key)
1078
            KFree(keynode->secassoc->key);
1079
          KFree(keynode->secassoc);
1080
          if (keynode->solist)
1081
            KFree(keynode->solist);
1082
          KFree(keynode);
1083
          splx(s);
1084
          return(0);
1085
1086
        return(-1);
1087
1088
1089
```

```
9/28/1995
key.c
1090 /*-----
1091
       * key flush():
       * Delete all entries from the key table.
1092
1093
1094 void
1095 key_flush(void)
1096
1097
        struct key_tblnode *keynode;
1098
1099
1100
        /*
 * This is slow, but simple.
1101
1102
        DPRINTF(IDL_FINISHED,("Flushing key table..."));
1103
        for (i = 0; i < KEYTBLSIZE; i++) {
1104
         while (keynode = keytable[i].next)
1105
           if (key delete(keynode->secassoc) != 0)
1106
          panic("key_flush");
1107
1108
       DPRINTF(IDL_FINISHED, ("done\n"));
1109
1110
1111
1112
1113
      * key getspi():
1114
             Get a unique spi value for a key management daemon/program. The
1115
            spi value, once assigned, cannot be assigned again.
1116
1117
1118 int
      key_getspi(type, src, dst, spi)
1119
1120
          u int type;
1121
           struct sockaddr *src;
1122
           struct sockaddr *dst;
1123
           u int32 *spi;
1124 {
       struct ipsec assoc *secassoc;
struct key_tblnode *keynode, *prevkeynode;
1125
1126
1127
        int count, done, len, indx;
1128
        int maxcount = 1000;
1129
        u int32 val;
        char buf [MAXHASHKEYLEN];
1130
        int s;
1131
1132
1133
        DPRINTF(IDL MAJOR EVENT, ("Entering getspi w/type=%d\n",type));
1134
1135
       if (!(src && dst))
         return(-1);
1136
1137
1138
        * For storage purposes, the two esp modes are
1139
        * treated the same.
1140
1141
        */
        if (type == SS ENCRYPTION NETWORK)
1142
          type = SS ENCRYPTION TRANSPORT;
1143
1144
       done = count = 0;
1145
1146
        do {
         count++;
1147
1148
          * Currently, valid spi values are 32 bits wide except for
1149
             the value of zero. This need to change to take into
1150
          * account more restrictive spi ranges.
1151
1152
          * TODO: Kebe says to allow key mgnt daemon to specify range
1153
```

```
9/28/1995
key.c
1154
                      of valid spi to get.
1155
            */
          val = random();
1156
          DPRINTF(IDL_FINISHED,("%u ",val));
1157
1158
           if (val)
            DPRINTF(IDL_FINISHED,("\n"));
1159
             bzero(&buf, sizeof(buf));
1160
             len = key createkey((char *)&buf, type, src, dst, val, 0);
1161
             indx = key gethashval((char *)&buf, len, KEYTBLSIZE);
1162
1163
            if(!key search(type, src, dst, val, indx, &prevkeynode)) {
1164
          s = splnet();
          K Malloc(secassoc, struct ipsec_assoc *, sizeof(struct
1165
           ipsec assoc));
          if (secassoc == 0)
1166
            DPRINTF(IDL_CRITICAL,("key_getspi: can't allocate memory\n"));
1167
1168
             splx(s);
1169
            return(-1);
1170
          bzero((char *)secassoc, sizeof(struct ipsec assoc));
1171
1172
          DPRINTF(IDL FINISHED,("getspi: indx=%d\n",indx));
1173
          secassoc->len = sizeof(struct ipsec assoc);
1174
1175
           secassoc->type = type;
1176
           secassoc->spi = val;
          secassoc->state |= K LARVAL;
1177
          if (my addr((struct sockaddr *)&(secassoc->dst)))
1178
            secassoc->state |= K INBOUND;
1179
          if (my addr((struct sockaddr *)&(secassoc->src)))
1180
1181
            secassoc->state |= K_OUTBOUND;
1182
1183
          bcopy((char *)src, (char *)&(secassoc->src), src->sa len);
          bcopy((char *)dst, (char *)&(secassoc->dst), dst->sa_len);
1184
          secassoc->from.sin6 family = AF INET6;
secassoc->from.sin6_len = sizeof(struct sockaddr_in6);
1185
1186
1187
1188
           * We need to add code to age these larval key table
1189
1190
           * entries so they don't linger forever waiting for
1191
            * a KEY UPDATE message that may not come for various
           * reasons. This is another task that key reaper can
1192
1193
            * do once we have it coded.
1194
1195
          secassoc->lifetime1 = time.tv_sec + maxlarvallifetime;
1196
1197
          if (!(keynode = key addnode(indx, secassoc))) {
            DPRINTF(IDL_CRITICAL,("key_getspi: can't add node\n"));
1198
1199
            splx(s);
1200
            return(-1);
1201
1202
          DPRINTF(IDL FINISHED,("key getspi: added node 0x%x\n",keynode));
          done++;
1203
1204
          splx(s);
1205
1206
         } while ((count < maxcount) && !done);</pre>
1207
        DPRINTF(IDL FINISHED, ("getspi returns
1208
        w/spi=%u,count=%d\n",val,count));
1209
        if (done) {
          *spi = val;
1210
1211
          return(0);
1212
         } else {
          *spi = 0;
1213
1214
          return(-1);
1215
1216
1217
1218
```

```
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key.c
      /*-----
1219
1220
       * key update():
        * Update a keytable entry that has an spi value assigned but is
1221
1222
              incomplete (e.g. no key/iv).
1223
1224
      int
1225
      key_update(secassoc)
1226
            struct ipsec_assoc *secassoc;
1227
        struct key tblnode *keynode, *prevkeynode;
struct key allocnode *np = 0;
1228
1229
1230
        u int8 newstate;
        int len, indx, inbound, outbound;
char buf[MAXHASHKEYLEN];
1231
1232
1233
        int s;
1234
1235
         * For storage purposes, the two esp modes are
1236
1237
            treated the same.
         */
1238
        if (secassoc->type == SS ENCRYPTION NETWORK)
1239
1240
           secassoc->type = SS_ENCRYPTION_TRANSPORT;
1241
1242
        bzero(&buf, sizeof(buf));
1243
        len = key_createkey((char *)&buf, secassoc->type,
1244
                     (struct sockaddr *) & (secassoc->src),
                     (struct sockaddr *) & (secassoc->dst),
1245
1246
                     secassoc->spi, 0);
1247
         indx = key gethashval((char *)&buf, len, KEYTBLSIZE);
1248
        if(!(keynode = key search(secassoc->type,
1249
                        (struct sockaddr *)&(secassoc->src),
                        (struct sockaddr *) & (secassoc->dst),
1250
1251
                       secassoc->spi, indx, &prevkeynode))) {
1252
          return(ESRCH);
1253
        if (keynode->secassoc->state & K_DEAD)
1254
1255
           return(ESRCH);
1256
        /* Should we also restrict updating of only LARVAL entries ? */
1257
1258
1259
        s = splnet();
1260
1261
        inbound = my addr((struct sockaddr *)&(secassoc->dst));
        outbound = my_addr((struct sockaddr *)&(secassoc->src));
1262
1263
1264
        newstate = keynode->secassoc->state;
1265
        newstate &= ~K_LARVAL;
        if (inbound)
1266
1267
          newstate |= K INBOUND;
        if (outbound)
1268
1269
          newstate |= K OUTBOUND;
1270
        if (outbound | (!inbound && !outbound)) {
1271
          K Malloc(np, struct key_allocnode *, sizeof(struct key_allocnode));
if (np == 0) {
1272
1273
1274
            DPRINTF(IDL_CRITICAL,("keyupdate: can't allocate allocnode!\n"));
1275
             splx(s);
            return(ENOBUFS);
1276
1277
        }
1278
1279
1280
            We now copy the secassoc over. We don't need to copy the key and iv into new buffers since the calling routine
1281
1282
1283
            does that already.
1284
```

9/28/1995 key.c 1285 1286 *(keynode->secassoc) = *secassoc; 1287 keynode->secassoc->state = newstate; 1288 1289 * Should we allow a null key to be inserted into the table ? 1290 * or can we use null key to indicate some policy action... 1291 1292 1293 1294 if (keynode->secassoc->key && 1295 (keynode->secassoc->type == SS ENCRYPTION TRANSPORT) && ((keynode->secassoc->algorithm == IPSEC ALGTYPE ESP DES CBC) || 1296 (keynode->secassoc->algorithm == IPSEC ALGTYPE_ESP_3DES))) 1297 des set odd parity(keynode->secassoc->key); 1298 1299 1300 * We now add an entry to the allocation table for this 1301 1302 updated key table entry. */ 1303 1304 if (outbound | (!inbound && !outbound)) { len = key createkey((char *)&buf, secassoc->type, 1305 1306 (struct sockaddr *) & (secassoc->src), 1307 (struct sockaddr *) & (secassoc->dst), 1308 0, 1); 1309 indx = key gethashval((char *)&buf, len, KEYALLOCTBLSIZE); 1310 DPRINTF(IDL_FINISHED,("keyupdate: keyalloc hash position=dn", indx)); np->keynode = keynode; np->next = keyalloctbl[indx].next; 1312 1313 keyalloctbl[indx].next = np; 1314 1315 1316 key deleteacquire(secassoc->type, (struct sockaddr *)&(secassoc->dst)); 1317 1318 splx(s); return(0); 1319 1320 1321 1322 /*-----1323 * key register(): Register a socket as one capable of acquiring security 1324 associations 1325 for the kernel. 1326 1327 key_register(socket, type)
 struct socket *socket; 1328 1329 1330 u int type; 1331 1332 struct key registry *p, *new; int s = splnet(); 1333 1334 DPRINTF(IDL MAJOR EVENT, ("Entering key register w/so=0x%x,type=%d\n", 1335 socket,type)); 1336 1337 if (!(keyregtable && socket)) 1338 panic("key_register"); 1339 1340 1341 * Make sure entry is not already in table 1342 1343 for(p = keyregtable->next; p; p = p->next) { if ((p->type == type) && (p->socket == socket)) { 1344 1345 splx(s); 1346 return (EEXIST);

```
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key.c
1347
          }
1348
1349
        K Malloc(new, struct key_registry *, sizeof(struct key registry));
1350
1351
        if (new == 0) {
         splx(s);
1352
          return(ENOBUFS);
1353
1354
        new->type = type;
1355
1356
        new->socket = socket;
1357
        new->next = keyregtable->next;
1358
        keyregtable->next = new;
1359
        splx(s);
1360
        return(0);
1361 }
1362
1363
       * key unregister():
1364
1365
              Delete entries from the registry list.
               allflag = 1 : delete all entries with matching socket
1366
                  allflag = 0 : delete only the entry matching socket and type
1367
1368
1369
      void
      key_unregister(socket, type, allflag)
1370
1371
           struct socket *socket;
1372
           u int type;
1373
           int allflag;
1374
1375
        struct key registry *p, *prev;
1376
        int s = splnet();
1377
        DPRINTF(IDL MAJOR EVENT, ("Entering key unregister
1378
        w/so=0x%x,type=%d,flag=%d\n",socket, type, allflag));
1379
1380
        if (!(keyregtable && socket))
1381
          panic("key register");
        prev = keyregtable;
1382
        for(p = keyregtable->next; p; p = p->next) {
  if ((allflag && (p->socket == socket)) ||
1383
1384
          ((p-)type == type) && (p-)socket == socket))) {
1385
1386
            prev->next = p->next;
1387
            KFree(p);
1388
            p = prev;
1389
1390
          prev = p;
1391
1392
        splx(s);
1393
1394
1395
1396
1397
       * key acquire():
              Send a key acquire message to all registered key mgnt daemons
1398
1399
              capable of acquire security association of type type.
1400
1401
              Return: 0 if successfully called key mgnt. daemon(s)
1402
                 -1 if not successfull.
1403
1404
     int
      key_acquire(type, src, dst)
1405
1406
           u int type;
1407
           struct sockaddr *src;
1408
           struct sockaddr *dst;
1409
1410
        struct key registry *p;
```

```
9/28/1995
key.c
1411
         struct key acquirelist *ap, *prevap;
        int success = 0, created = 0;
struct socket *last = 0;
1412
1413
1414
         struct mbuf *m = 0;
1415
         u int etype;
1416
        extern struct sockaddr key_src;
1417
1418
        DPRINTF(IDL_MAJOR_EVENT,("Entering key_acquire()\n"));
1419
1420
         if (!keyregtable | !src | !dst)
1421
          return (-1);
1422
1423
         * We first check the acquirelist to see if a key_acquire
1424
1425
         * message has been sent for this destination.
1426
1427
        etype = type;
         if (etype == SS ENCRYPTION NETWORK)
1428
          etype = SS ENCRYPTION TRANSPORT;
1429
1430
         prevap = key acquirelist;
1431
         for(ap = key acquirelist->next; ap; ap = ap->next) {
          if (addrpart equal(dst, (struct sockaddr *)&(ap->target)) &&
1432
1433
           (etype == ap->type))
1434
            DPRINTF(IDL MAJOR EVENT, ("acquire message previously sent!\n"));
1435
             if (ap->expiretime < time.tv sec) {
          DPRINTF(IDL MAJOR EVENT, ("acquire message has expired!\n"));
1436
1437
          ap->count = 0;
1438
          break;
1439
1440
             if (ap->count < maxkeyacquire) {
          DPRINTF(IDL MAJOR_EVENT, ("max acquire messages not yet
1441
          exceeded!\n"));
1442
          break;
1443
1444
            return(0);
1445
          } else if (ap->expiretime < time.tv_sec) {</pre>
1446
1447
                 Since we're already looking at the list, we may as
1448
                 well delete expired entries as we scan through the list.
                 This should really be done by a function like key reaper()
1449
                but until we code key_reaper(), this is a quick and dirty
1450
1451
                hack.
             */
1452
            DPRINTF(IDL MAJOR EVENT, ("found an expired entry...deleting
1453
            it!\n"));
1454
            prevap->next = ap->next;
1455
            KFree (ap);
1456
            ap = prevap;
1457
1458
          prevap = ap;
1459
1460
1461
         * Scan registry and send KEY ACQUIRE message to
1462
1463
         * appropriate key management daemons.
1464
1465
        for(p = keyregtable->next; p; p = p->next) {
1466
          if (p->type != type)
1467
            continue:
1468
1469
          if (!created) {
1470
            struct key_msghdr *km;
1471
            int len;
1472
            len = sizeof(struct key_msghdr) + ROUNDUP(src->sa_len) +
1473
1474
          ROUNDUP(dst->sa len);
            K_Malloc(km, struct key_msghdr *, len);
1475
```

```
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key.c
1476
             if (km == 0) {
          DPRINTF(IDL_CRITICAL,("key_acquire: no memory\n"));
1477
1478
          return(-1);
1479
            DPRINTF(IDL_FINISHED,("key_acquire/created: 1\n"));
bzero((char *)km, len);
1480
1481
1482
             km->key msglen = len;
            km->key msgvers = KEY VERSION;
km->key msgtype = KEY_ACQUIRE;
1483
1484
1485
             km->type = type;
            DPRINTF(IDL_FINISHED,("key_acquire/created: 2\n"));
1486
1487
              * This is inefficient and slow.
1488
1489
1490
1491
              * We zero out sin zero here for AF INET addresses because
1492
              * ip_output() currently does not do it for performance reasons.
1493
1494
            if (src->sa family == AF INET)
1495
          bzero((char *)(&((struct sockaddr in *)src)->sin zero),
1496
                 sizeof(((struct sockaddr in *) src) -> sin zero));
1497
1498
             if (dst->sa family == AF INET)
          bzero((char *)(&((struct sockaddr_in *)dst)->sin zero),
1499
                 sizeof(((struct sockaddr in *)dst)->sin_zero));
1500
1501
1502
             bcopy((char *)src, (char *)(km + 1), src->sa len);
            bcopy((char *)dst, (char *)((int)(km + 1) + ROUNDUP(src->sa_len)),
1503
1504
               dst->sa len);
1505
             DPRINTF(IDL FINISHED, ("key acquire/created: 3\n"));
            MGETHDR (m, M WAIT, MT DATA);
1506
            m->m len = m->m_pkthdr.len = 0;
1507
            m->m next = 0;
1508
1509
            m->m nextpkt = 0;
1510
            m->m pkthdr.rcvif = 0;
1511
            m copyback(m, 0, len, (caddr_t)km);
1512
            KFree(km):
            DPRINTF(IDL FINISHED,("key acquire/created: 4\n"));
1513
            DDO(IDL FINISHED, dump mchain(m));
1514
1515
            created++;
1516
          if (last) {
1517
1518
             struct mbuf *n;
            if (n = m copy(m, 0, (int)M COPYALL)) {
1519
          if (sbappendaddr(&last->so_rcv, &key_src, n, (struct mbuf *)0) == 0)
1520
1521
            m freem(n);
1522
          else {
            sorwakeup(last);
1523
1524
            success++;
1525
          }
1526
1527
            DPRINTF(IDL FINISHED, ("key_acquire/last: 1\n"));
1528
1529
          last = p->socket;
1530
        if (last) {
1531
          if (sbappendaddr(&last->so_rcv, &key_src, m, (struct mbuf *)0) == 0)
1532
1533
            m freem(m);
1534
           else {
1535
            sorwakeup(last);
1536
1537
          DPRINTF(IDL FINISHED, ("key acquire/last: 2\n"));
1538
1539
        } else
1540
          m_freem(m);
1541
1542
```

```
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key.c
1543
         * Update the acquirelist
1544
1545
        if (success) {
1546
          if (!ap)
            DPRINTF(IDL MAJOR EVENT,("Adding new entry in acquirelist\n"));
1547
            K Malloc(ap, struct key_acquirelist *, sizeof(struct
1548
            key acquirelist));
1549
            if(ap == 0)
1550
          return(success ? 0 : -1);
            bzero((char *)ap, sizeof(struct key acquirelist));
1551
            bcopy((char *)dst, (char *)&(ap->target), dst->sa_len);
1552
1553
            ap->type = etype;
1554
            ap->next = key acquirelist->next;
            key acquirelist->next = ap;
1555
1556
1557
          DPRINTF(IDL EVENT, ("Updating acquire counter and expiration
          time\n"));
1558
          ap->count++;
          ap->expiretime = time.tv sec + maxacquiretime;
1559
1560
        DPRINTF(IDL MAJOR EVENT, ("key_acquire: done! success=%d\n", success));
1561
1562
        return(success ? 0 : -1);
1563
1564
1565
       * key alloc():
1566
1567
              Allocate a security association to a socket. A socket
       requesting
1568
              unique keying (per-socket keying) is assigned a security
1569
              exclusively for its use. Sockets not requiring unique keying
       are
1570
              assigned the first security association which may or may not be
              used by another socket.
1571
1572
1573 int
      key_alloc(type, src, dst, socket, unique_key, keynodep)
1574
1575
           u int type;
           struct sockaddr *src;
1576
           struct sockaddr *dst;
1577
1578
           struct socket *socket;
           u int unique key;
1579
1580
           struct key_tblnode **keynodep;
1581
        struct key tblnode *keynode;
1582
1583
        char buf [MAXHASHKEYLEN];
        struct key allocnode *np, *prevnp;
1584
1585
        struct key_so2spinode *newnp;
1586
        int len;
1587
        int indx;
1588
        DPRINTF(IDL GROSS EVENT, ("Entering key_alloc w/type=%u!\n",type));
1589
1590
        if (!(src && dst)) {
          DPRINTF(IDL_CRITICAL,("key_alloc: received null src or dst!\n"));
1591
1592
          return(-1);
        }
1593
1594
1595
           We treat esp-transport mode and esp-tunnel mode
1596
1597
        *
           as a single type in the keytable.
1598
        if (type == SS ENCRYPTION NETWORK)
1599
1600
          type = SS_ENCRYPTION_TRANSPORT;
1601
1602
1603
         * Search key allocation table
```

```
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key.c
1604
          */
1605
         bzero((char *)&buf, sizeof(buf));
1606
         len = key createkey((char *)&buf, type, src, dst, 0, 1);
         indx = key gethashval((char *)&buf, len, KEYALLOCTBLSIZE);
1607
1608
      #define np type np->keynode->secassoc->type
1609
      #define np state np->keynode->secassoc->state
1610
1611
       #define np src (struct sockaddr *)&(np->keynode->secassoc->src)
      #define np_dst (struct sockaddr *)&(np->keynode->secassoc->dst)
1612
1613
1614
         prevnp = &keyalloctbl[indx];
         for (np = keyalloctbl[indx].next; np; np = np->next) {
1615
           if ((type == np type) && addrpart_equal(src, np_src) &&
1616
           addrpart equal(dst, np_dst) && !(np_state & (K_LARVAL | K_DEAD | K_UNIQUE))) {
1617
1618
1619
             if (!(unique_key))
1620
           break;
             if (!(np_state & K_USED))
1621
1622
           break;
1623
1624
           prevnp = np;
1625
1626
         if (np) {
  struct key so2spinode *newp;
1627
1628
           struct socketlist *newsp;
1629
1630
           int s = splnet();
1631
1632
           DPRINTF(IDL MAJOR EVENT, ("key_alloc: found node to allocate\n"));
1633
           keynode = np->keynode;
1634
           K Malloc(newnp, struct key_so2spinode *, sizeof(struct
1635
           key so2spinode));
1636
           if (newnp == 0)
             DPRINTF(IDL CRITICAL, ("key_alloc: Can't alloc mem for so2spi
1637
             node!\n"));
1638
             splx(s);
             return (ENOBUFS);
1639
1640
1641
           K Malloc(newsp, struct socketlist *, sizeof(struct socketlist));
1642
           if (newsp == 0)
             DPRINTF(IDL CRITICAL, ("key alloc: Can't alloc mem for
1643
             socketlist!\n"));
1644
             if (newnp)
1645
           KFree (newnp);
1646
             splx(s);
             return (ENOBUFS);
1647
1648
1649
1650
            * Add a hash entry into the so2spi table to
1651
            * map socket to allocated secassoc.
1652
1653
            * /
1654
           DPRINTF(IDL GROSS EVENT, ("key alloc: adding entry to so2spi
           table..."));
           newnp->keynode = keynode;
1655
1656
           newnp->socket = socket;
          newnp->next = so2spitbl[((u_int32)socket) % SO2SPITBLSIZE].next;
so2spitbl[((u_int32)socket) % SO2SPITBLSIZE].next = newnp;
1657
1658
           DPRINTF(IDL_GROSS_EVENT,("done\n"));
1659
1660
1661
           if (unique key) {
1662
              * Need to remove the allocation entry
1663
1664
              * since the secassoc is now unique and
              * can't be allocated to any other socket
1665
1666
```

```
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key.c
             DPRINTF(IDL MAJOR EVENT, ("key alloc: making keynode unique..."));
1667
             keynode->secassoc->state |= K_UNIQUE;
1668
1669
             prevnp->next = np->next;
1670
             KFree(np);
             DPRINTF(IDL_MAJOR_EVENT,("done\n"));
1671
1672
           keynode->secassoc->state |= K USED;
keynode->secassoc->state |= K_OUTBOUND;
1673
1674
1675
           keynode->alloc count++;
1676
1677
            * Add socket to list of socket using secassoc.
1678
1679
1680
           DPRINTF(IDL GROSS EVENT, ("key alloc: adding so to solist..."));
1681
           newsp->socket = socket;
           newsp->next = keynode->solist->next;
1682
1683
           keynode->solist->next = newsp;
           DPRINTF(IDL_GROSS EVENT, ("done\n"));
1684
1685
           *keynodep = keynode;
1686
           splx(s);
1687
           return(0);
1688
1689
         *keynodep = NULL;
1690
        return(0);
1691
1692
1693
1694
1695
       * key free():
               Decrement the refcount for a key table entry. If the entry is
1696
       *
               marked dead, and the refcount is zero, we go ahead and delete
1697
       it.
1698
1699
      void
1700
      key_free(keynode)
1701
           struct key tblnode *keynode;
1702
        DPRINTF(IDL MAJOR EVENT, ("Entering key_free
1703
        w/keynode=0x%x\n",keynode));
1704
        if (!keynode)
          DPRINTF(IDL CRITICAL,("Warning: key_free got null pointer\n"));
1705
1706
          return;
1707
1708
         (keynode->ref count) --;
1709
        if (keynode->ref count < 0) {</pre>
1710
          DPRINTF(IDL CRITICAL, ("Warning: key_free decremented refcount to
           %d\n",keynode->ref_count));
1711
        if ((keynode->secassoc->state & K DEAD) && (keynode->ref_count <= 0))
1712
          DPRINTF(IDL MAJOR EVENT, ("key free: calling key_delete\n"));
1713
1714
          key_delete(keynode->secassoc);
1715
1716
1717
1718
1719
       * getassocbyspi():
1720
              Get a security association for a given type, src, dst, and spi.
1721
1722
               Returns: 0 if sucessfull
1723
                        -1 if error/not found
1724
               Caller must convert spi to host order. Function assumes spi is
1725
1726
              in host order!
1727
```

```
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key.c
1727
1728
      int
1729
      getassocbyspi(type, src, dst, spi, keyentry)
            u int type;
struct sockaddr *src;
1730
1731
            struct sockaddr *dst;
1732
1733
            u int32 spi;
            struct key_tblnode **keyentry;
1734
1735
1736
         char buf [MAXHASHKEYLEN];
1737
         int len, indx;
1738
         struct key_tblnode *keynode, *prevkeynode = 0;
1739
         DPRINTF(IDL_GROSS_EVENT,("Entering getassocbyspi w/type=%u spi=%u\n",
1740
         type, spi));
1741
1742
          * We treat esp-transport mode and esp-tunnel mode
1743
1744
            as a single type in the keytable.
1745
1746
         if (type == SS ENCRYPTION NETWORK)
1747
           type = SS_ENCRYPTION_TRANSPORT;
1748
         *keyentry = NULL;
bzero(&buf, sizeof(buf));
1749
1750
         len = key createkey((char *)&buf, type, src, dst, spi, 0);
1751
         indx = key gethashval((char *)&buf, len, KEYTBLSIZE);
1752
1753
         DPRINTF(IDL FINISHED, ("getassocbyspi: indx=%d\n",indx));
1754
         DDO(IDL FINISHED, dump sockaddr(src); dump sockaddr(dst));
         keynode = key search(type, src, dst, spi, indx, &prevkeynode);
DPRINTF(IDL GROSS EVENT, ("getassocbyspi: keysearch
1755
1756
         ret=0x%x\n",keynode));
if (keynode && !(keynode->secassoc->state & (K DEAD | K LARVAL))) {
1757
1758
           DPRINTF(IDL EVENT, ("getassocbyspi: found secassoc!\n"));
1759
           (keynode->ref count)++;
1760
           *keyentry = keynode;
1761
         } else {
           DPRINTF(IDL MAJOR EVENT, ("getassocbyspi: secassoc not found!\n"));
1762
1763
           return (-1);
1764
1765
        return(0);
1766
1767
1768
1769
1770
       * getassocbysocket():
               Get a security association for a given type, src, dst, and
1771
       socket.
1772
               If not found, try to allocate one.
1773
               Returns: 0 if successfull
1774
                        -1 if error condition/secassoc not found (*keyentry =
       NULL)
1775
                         1 if secassoc temporarily unavailable (*keynetry =
       NULL)
1776
                           (e.g., key mgnt. daemon(s) called)
1777
1778
      int
      getassocbysocket(type, src, dst, socket, unique_key, keyentry)
1779
1780
            u int type;
            struct sockaddr *src;
1781
            struct sockaddr *dst;
1782
1783
            struct socket *socket;
            u int unique key;
1784
1785
            struct key_tblnode **keyentry;
1786
        struct key_tblnode *keynode = 0;
1787
```

```
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key.c
1788
        struct key so2spinode *np;
1789
        int len, indx;
1790
        u int32 spi;
1791
        u_int realtype;
1792
        DPRINTF(IDL GROSS EVENT, ("Entering getassocbysocket w/type=%u
1793
        so=0x%x\n",type,socket));
1794
1795
1796
            We treat esp-transport mode and esp-tunnel mode
            as a single type in the keytable. This has a side
1797
1798
            effect that socket using both esp-transport and
1799
            esp-tunnel will use the same security association
            for both modes. Is this a problem?
1800
1801
         */
1802
        realtype = type;
        if (type == SS ENCRYPTION NETWORK)
1803
1804
          type = SS ENCRYPTION TRANSPORT;
1805
1806
        if (np = key sosearch(type, src, dst, socket)) {
          if (np->keynode && np->keynode->secassoc &&
1807
           !(np->keynode->secassoc->state & (K DEAD | K LARVAL))) {
1808
1809
            DPRINTF(IDL FINISHED,("getassocbysocket: found secassoc!\n"));
             (np->keynode->ref count)++;
1810
1811
            *keyentry = np->keynode;
1812
            return(0);
1813
1814
        }
1815
1816
1817
         * No secassoc has been allocated to socket,
1818
         * so allocate one, if available
1819
        DPRINTF(IDL EVENT, ("getassocbyso: can't find it, trying to
1820
        allocate!\n"));
1821
        if (key_alloc(realtype, src, dst, socket, unique_key, &keynode) == 0)
          if (keynode) {
   DPRINTF(IDL EVENT,("getassocbyso: key_alloc found secassoc!\n"));
1822
1823
1824
            keynode->ref count++;
1825
            *keyentry = keynode;
1826
            return(0);
1827
          } else {
1828
             * Kick key mgnt. daemon(s)
1829
1830
             * (this should be done in ipsec output policy() instead or
1831
             * selectively called based on a flag value)
1832
1833
            DPRINTF(IDL FINISHED, ("getassocbyso: calling key mgnt
            daemons!\n"));
1834
            *keyentry = NULL;
1835
            if (key acquire(realtype, src, dst) == 0)
1836
          return (1);
1837
            else
1838
          return(-1);
1839
          }
1840
1841
        *keyentry = NULL;
1842
        return(-1);
1843
1844
1845
```

key.h 9/28/1995

```
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11
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12
    * the note that:
13
          This product includes technology developed at and
14
          licensed from the Information Technology Division,
15
16
    * US Naval Research Laboratory.
17
18
   /*-----
   # @(#)COPYRIGHT 1.1a (NRL) 17 August 1995
20
21
```

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```
The views and conclusions contained in the software and documentation
 69 are those of the authors and should not be interpreted as representing
      official policies, either expressed or implied, of the US Naval
      Research Laboratory (NRL).
 72
                  ______*/
 73
 74
 75
 76
       * PF_KEY messages
 77
 78
 79
 80
      #define KEY ADD
      #define KEY DELETE
 81
      #define KEY UPDATE
 83
      #define KEY GET
      #define KEY ACQUIRE
 84
      #define KEY GETSPI
      #define KEY REGISTER
                                    7
 86
 87
      #define KEY EXPIRE
      #define KEY DUMP
 88
      #define KEY_FLUSH
                                   10
 89
 90
      #define KEY VERSION
 91
                                   1
 92
      #define POLICY_VERSION
 93
 94
      * Security association state
 95
 96
 97
 98
      #define K USED
                                   0x1
                                            /* Key used/not used */
                                            /* Key unique/reusable */
/* SPI assigned, but sa incomplete */
 99
      #define K UNIQUE
                                   0x2
100
      #define K LARVAL
                                   0x4
                                            /* sa expired but still useable */
101
      #define K ZOMBIE
                                   8x0
                                           /* sa marked for deletion, ready for
102
      #define K DEAD
                                   0x10
      reaping */
      #define K INBOUND
103
                                   0x20
                                           /* sa for inbound packets, ie. dst=myhost
                                          /* sa for outbound packets, ie.
      #define K OUTBOUND
                                   0x40
104
      src=myhost */
105
106
      * Structure for key message header.
107
       * PF KEY message consists of key msghdr followed by
108
       * src sockaddr, dest sockaddr, from sockaddr, key, and iv.
109
       * Assumes size of key message header less than MHLEN.
110
111
112
113
      struct key msghdr {
                                  /* length of message including
        u short key msglen;
114
        src/dst/from/key/iv */
        u char key msgvers; /* key version number */
115
        u char key msgvers; /* key version number */
u char key msgtype; /* key message type, eg. KEY ADD */
pid_t key pid; /* process id of message sender */
int key seq; /* message sequence number */
int key errno; /* error code */
u int8 type; /* type of security association */
u int8 state; /* state of security association */
116
117
118
119
120
121
                                 /* sensitivity level */
122
        u int8 label;
                                 /* spi value */
/* key length */
123
        u int32 spi;
        u int8 keylen;
124
                                 /* iv length */
125
        u int8 ivlen;
                                 /* algorithm identifier */
        u int8 algorithm;
u int8 lifetype;
126
                                 /* type of lifetime */
/* lifetime value 1 */
127
        u int32 lifetime1;
128
        u_int32 lifetime2;
                                 /* lifetime value 2 */
129
130 };
```

```
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 key.h
 131
 132
      struct key msgdata {
                                  /* source host address */
        struct sockaddr *src;
 133
        struct sockaddr *dst;
                                  /* destination host address */
 134
        struct sockaddr *from;
                                  /* originator of security association */
 135
                                   /* initialization vector */
 136
        caddr t iv;
                                  /* key */
/* key length */
 137
        caddr t key;
 138
        int ivlen;
                                   /* iv length */
 139
        int keylen;
 140
 141
 142
      struct policy msghdr {
        u short policy msglen; /* message length */
u char policy msgvers; /* message version */
 143
 144
        u char policy msgtype; /* message type */
 145
                                 /* message sequence number */
                policy seq;
 146
        int
                                  /* error code */
 147
                policy_errno;
        int
 148
 149
 150
      #ifdef KERNEL
 151
 152
 153
       * Key engine table structures
 154
 155
 156
 157
      struct socketlist {
                                   /* pointer to socket */
/* next */
 158
        struct socket *socket;
 159
        struct socketlist *next;
 160
 161
     struct key tblnode {
 162
 163
       int alloc count;
                                       /* number of sockets allocated to
        secassoc */
                                       /* number of sockets referencing secassoc
 164
        int ref_count;
        */
                                       /* list of sockets allocated to secassoc
165
        struct socketlist *solist;
        struct ipsec assoc *secassoc; /* security association */
166
        struct key_tblnode *next; /* next node */
167
168
169
170
      struct key allocnode {
171
        struct key tblnode *keynode;
172
        struct key_allocnode *next;
173
174
175
      struct key so2spinode {
176
        struct socket *socket;
                                       /* socket pointer */
        struct key_tblnode *keynode; /* pointer to tblnode containing secassoc
177
                      /* info for socket */
        struct key_so2spinode *next;
179
180
181
182
     struct key registry {
183
      u int8 type;
                                 /* secassoc type that key mgnt. daemon can
        acquire */
        struct socket *socket; /* key management daemon socket pointer */
184
185
       struct key registry *next;
186
187
188
     struct key acquirelist {
189
                                      /* secassoc type to acquire */
       u int8 type;
       struct sockaddr_in6 target; /* destination address of secassoc */
190
191
       u int32 count;
                                      /* number of acquire messages sent */
                                      /* expiration time for acquire message */
192
       u long expiretime;
```

```
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key.h
        struct key acquirelist *next;
194
195
196
      struct keyso cb {
197
        int ip4 count;
                                    /* IPv4 */
                                    /* IPv6 */
198
        int ip6 count;
                                    /* Sum of above counters */
199
        int any_count;
200
201
202
      #endif
203
204
       * Useful macros
205
206
207
208
      #ifndef KERNEL
      #define K Malloc(p, t, n) (p = (t) malloc((unsigned int)(n)))
209
      #define KFree(p) free((char *)p);
210
211
      #else
212
      #define K Malloc(p, t, n) (p = (t) malloc((unsigned long)(n), M_SECA,
      M DONTWAIT))
213
      #define KFree(p) free((caddr_t)p, M_SECA);
214
      #endif /* KERNEL */
215
      #ifdef KERNEL
216
              key init _P((void));
key cbinit _P((void));
217
      void
218 void
              key inittables
                                  P((void));
219
     void
              key secassoc2msghdr __P((struct ipsec_assoc *, struct key_msghdr
220
     int
221
                         struct key_msgdata *));
              key_msghdr2secassoc __P((struct ipsec_assoc *, struct key_msghdr
222
     int
      *,
223
                         struct key_msgdata *));
224
     int
              key add
                         P((struct ipsec assoc *));
              key delete P((struct ipsec assoc *));
225
     int.
              key_get P((u int, struct sockaddr *, struct sockaddr *, u_int32,
226 int
                    struct ipsec assoc **));
227
228
     void
              key flush P((void));
              key dump _P((struct socket *));
key_getspi _P((u int, struct sockaddr *, struct sockaddr *,
229
     int
230
     int
231
                        u int32 *));
              key update P((struct ipsec assoc *));
key register P((struct socket *, u_int));
key unregister P((struct socket *, u_int, int));
232
233
     int.
234
      void
              key acquire    P((u int, struct sockaddr *, struct sockaddr *));
getassocbyspi    P((u int, struct sockaddr *, struct sockaddr *,
235
     int
236
     int
                           u int32, struct key tblnode **));
socket P((u_int, struct sockaddr *, struct sockaddr *,
237
238
     int
              getassocbysocket
                          struct socket *, u int, struct key_tblnode **));
239
              key free _P((struct key tblnode *));
key output P((struct mbuf *, struct socket *));
key_usrreq _P((struct socket *, int, struct mbuf *, struct mbuf
240
     void
241
     int
242
     int
243
                        struct mbuf *));
      #endif
244
245
```