


|  | Page 2 |  | Page 3 |
| :---: | :---: | :---: | :---: |
| 1 | December 16, 2011 | 1 | APPEARANCES: |
| 2 | 9:11 a.m. | 3 | For Plaintiff: |
| 3 |  | 4 | McKOOL SMITH <br> BY: JOSHUA W BUDWIN, ESQ |
| 4 |  | 5 | 300 W 6th Street |
| 5 |  | 6 | Suite 1700 |
| 6 |  |  | 5126928700 |
| 7 | Deposition of DALE P DOUGHERTY | 7 | jbudwin@mckoolsmith com |
|  | Deposition of DALE P. DOUGHERTY, | 8 |  |
| 8 | behalf of Plaintiff, at 201 Redwood Shores Parkway, | 10 | For Defendants Yahoo! Inc , Amazon com, Inc , and |
| 9 | Redwood Shores, California, before Cynthia Manning, |  | Third-party Witness Dale P Dougherty: |
| 10 | Certified Shorthand Reporter No. 7645, Certified | $\begin{aligned} & 11 \\ & 12 \end{aligned}$ | HALTOM \& DOAN |
| 11 | LiveNote Reporter, California Certified Realtime |  | BY: JENNIFER HALTOM DOAN, ESQ |
| 12 | Reporter. | 13 | SHAWN LATCHFORD, ESQ |
| 13 |  | 14 | 6500 N Summerhill Road |
|  |  |  | Suite 100 |
| 14 |  | 15 | Texarkana, Texas 75503 |
| 15 |  | 16 | 9032551000 <br> jdoan@haltomdoan com |
| 16 |  |  | slatchford@haltomdoan com |
| 17 |  | 17 |  |
| 8 |  | 19 |  |
|  |  |  | For Defendants Google Inc and YouTube: |
| 19 |  | 20 |  |
| 20 |  | 21 | ROPES \& GRAY LLP BY: BRANDON H STROY ESQ |
| 21 |  |  | BY: BRANDON H STROY, ESQ SASHA G RAO, ESQ |
|  |  | 22 | 1900 University Avenue |
| 22 |  |  | 6th Floor |
| 23 |  | 23 | East Palo Alto, California 94303 6506174000 |
| 24 |  | 24 | brandon stroy@ropesgray com |
| 25 |  | 25 | sasha rao@ropesgray com |
|  | Page 4 |  | Page 5 |
| 1 | APPEARANCES (Continued): | 1 | REDWOOD SHORES, CALIFORNIA; |
| 2 |  | 2 | FRIDAY, DECEMBER 16, 2011; 9:11 A.M. |
| 3 | Also present: | 3 |  |
| 4 | Aric Kerhoulis, Videographer | 4 | THE VIDEOGRAPHER: Good morning. |
| 5 |  | 5 | This marks the beginning of Disk 1 of the 09:11 |
| 6 |  | 6 | videotaped deposition of Dale Dougherty in the |
| 7 |  | 7 | matter Eolas Technologies, Incorporated, versus |
| 8 |  | 8 | Adobe Systems, Incorporated, et al., in the United |
| 9 |  | 9 | States District Court for the Eastern District of |
| 10 |  | 10 | Texas, Tyler Division. Number 6:09-CV-446. 09:11 |
| 11 |  | 11 | This deposition is being held at the office |
| 12 |  | 12 | of Weil, Gotshal \& Manges at 201 Redwood Shores, |
| 13 |  | 13 | Parkway, in Redwood Shores, California. The date |
| 14 |  | 14 | today is December 16, 2011, and the time is |
| 15 |  | 15 | approximately 9:12 a m. 09:12 |
| 16 |  | 16 | My name is Aric Kerhoulis from TSG |
| 17 |  | 17 | Reporting. |
| 18 |  | 18 | Our court reporter today is Cynthia Manning |
| 19 |  | 19 | in association with TSG. |
| 20 |  | 20 | Will counsel please introduce yourselves 09:12 |
| 21 |  | 21 | for the record. |
| 22 |  | 22 | MR. BUDWIN: Josh Budwin of McKool Smith on |
| 23 |  | 23 | behalf of Eolas and the university. |
| 24 |  | 24 | MS. DOAN: Jennifer Doan, Haltom \& Doan, |
| 25 |  | 25 | for Yahoo!, Amazon, and we also represent Dale 09:12 |


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| :---: | :---: | :---: |
| Dougherty. 09:12 | 1 | A. 8155 Pillow Road in Sebastopol, California. 09:12 |
| MR. LATCHFORD: Shawn Latchford, Haltom \& | 2 | Q. And, Mr. Dougherty, you understand that |
| Doan, for Yahoo!, Amazon, and Dale Dougherty. | 3 | you've been placed under oath? |
| MR. RAO: Sasha Rao with Ropes \& Gray for | 4 | A. Yes. |
| Google and YouTube. 09:12 | 5 | Q. And that the testimony you're about to give 09:13 |
| MR. STROY: And Brandon Stroy, Ropes \& | 6 | has the same legal effect as if you're appearing |
| Gray, also for Google and YouTube. | 7 | live in a court of law? |
| THE VIDEOGRAPHER: If the court reporter | 8 | A. Yes. |
| will please swear in the witness, we can proceed. | 9 | Q. And you understand that penalties of |
| 09:12 | 10 | perjury apply equally to a deposition as they do if 09:13 |
| DALE P. DOUGHERTY, | 11 | you were appearing live in court? |
| ving first been duly sworn, testified as | 12 | A. Yes. |
| follows: | 13 | Q. Are you under the influence of drugs or |
|  | 14 | alcohol, or is there any other reason why you can't |
| THE VIDEOGRAPHER: Proceed. 09:12 | 15 | testify truthfully and accurately today? 09:13 |
|  | 16 | A. No. |
| EXAMINATION | 17 | Q. And do you have any medical conditions that |
| BY MR. BUDWIN: | 18 | impact your ability to recall past events? |
| Q. Good morning, Mr. Dougherty. | 19 | A. No. |
| Can you state your name for the record, 09:12 | 20 | Q. Have you had your deposition taken before? 09:13 |
| please. | 21 | A. Yes. |
| A. Dale Dougherty. | 22 | Q. How many times? |
| Q. Do you have a middle name? | 23 | A. Once. |
| A. Patrick. | 24 | Q. And was that in the prior Eolas versus |
| Q. And, Mr. Dougherty, what's your address? 09:12 | 25 | Microsoft case? 09:13 |
| Page 8 |  | Page 9 |
| A. Yes, it was. 09:13 | 1 | Latchford? 09:14 |
| Q. And have you ever testified at trial | 2 | A. That's correct. |
| before? | 3 | Q. Anybody else? |
| A. Once. | 4 | A. No. |
| Q. Was that also in the prior Eolas versus 09:13 | 5 | Q. There may be times, although I'm sure it 09:14 |
| Microsoft case? | 6 | will be very rare, because my questions will be |
| A. Yes, it was. | 7 | perfectly formed, that your attorney may object. |
| Q. So other than the testimony in the prior | 8 | She may say things like "objection; form," things of |
| Eolas versus Microsoft case, you've never given a | 9 | that nature. Unless you're specifically instructed |
| deposition or testified in court? 09:14 | 10 | not to answer, do you understand that you have to 09:14 |
| A. No. | 11 | answer my questions? |
| Q. And we have a court reporter here today. | 12 | A. Yes. |
| She is going to take down your testimony. So it's | 13 | MR. BUDWIN: Hand you a document that will |
| important that you and I don't speak over each | 14 | be marked as Exhibit 1. |
| other. 09:14 | 15 | (Deposition Exhibit 1 was marked for 09:15 |
| Is that understood? | 16 | identification) |
| A. Yes. | 17 | MR. BUDWIN: I didn't know you guys were |
| Q. It's also important that you give verbal | 18 | coming, Sasha, otherwise I would have brought extra |
| answers to my questions; no nods or head shakes, | 19 | copies. |
| things of that nature. 09:14 | 20 | Q. Exhibit 1 is a copy of a document entitled 09:15 |
| A. Yes. | 21 | "Plaintiff's Notice of Subpoena to Dale Dougherty." |
| Q. And you are represented by counsel today; | 22 | Have you seen this document before? |
| is that right? | 23 | A. No, I have not. |
| A. I am. | 24 | Q. You've never seen it? |
| Q. Okay. And that's counsel, Ms. Doan and Mr. 09:14 | 25 | A. No. 09:15 |

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Q. And you were asked to produce documents in $09: 15$
Q. And you were asked to produce documents in 09:15 this case by Eolas.

Do you understand that?
MS. DOAN: Objection.
THE WITNESS: I never received any notice. 09:16 BY MR. BUDWIN:
Q. You never received any request to produce documents?
A. Not from Eolas.

MS. DOAN: Counsel, the subpoena that you 09:16
just produced, Exhibit No. 1, together with what you
served on us did not have a document request on it.
MR. BUDWIN: Okay.
MS. DOAN: We have already asserted an objection on that. We have produced relevant 09:16 documents to you.

MR. BUDWIN: That's fine.
Q. But you have never seen the document in Exhibit 1 before?
A. No.
09:16
Q. All right. Did you meet with your
attorneys to prepare for today's deposition?
A. Yes, I did.
Q. When did you meet with them?
A. Yesterday and in August.

09:16
Page 12
did you know that there had been a new lawsuit filed 09:17
by Eolas?
A. No, I did not.
Q. So your first indication of the new lawsuit
filed by Eolas was when you were contacted by Mr. 09:17
Latchford?
A. That's right.
Q. And then to prepare for today's deposition,
you said you met with your attorneys yesterday; is
that right?
09:18
A. That's right.
Q. And also once in August?
A. That's right.
Q. Other than those two meetings, did you have
any other face-to-face meetings with any of the 09:18
attorneys for any of the defendants in this case?
A. I have only met with Jennifer face-to-face.
Q. Okay. And only on those two occasions?
A. That's what I recall. There could have
been a third, but I'm -- I'm thinking you came up to 09:18
Sebastopol once and we tried to set up another meeting, but it didn't happen because of my travel.
Q. Who was present at the meeting yesterday?
A. Shawn Latchford and Jennifer Doan.
Q. Anybody else? 09:18
Q. Prior to receiving this subpoena in Exhibit 09:16 1, had you had any conversations or discussions -- I don't want to know the substance, but had you had any conversations or discussions with any of the defendants in this case or their attorneys? 09:16

MS. DOAN: Objection; form. He didn't receive a subpoena.

THE WITNESS: Right. I did not receive a subpoena.

## BY MR. BUDWIN: <br> 09:16

Q. Prior to the date on the subpoena in

Exhibit 1, did you have any discussions with any of the attorneys or defendants in this case?
A. Yes.
Q. Who first contacted you?

09:17
A. Shawn Latchford.
Q. And when did Mr. Latchford contact you?
A. Mid summer.
Q. Of what year?
A. This year, 2011.

09:17
Q. And what was your reaction on being contacted by Mr. Latchford?
A. I was simply informed that the Eolas case
was going another round.
Q. Now, before Mr. Latchford contacted you, 09:17

Page 13
A. No.
09:18
Q. Now, since you became aware of this new

Eolas case, have you had any communications with Pei
Wei, Scott Silvey, or Tim Berners-Lee?
A. No, I have not.
09:19
Q. When was the last time you talked with Mr.

Wei?
A. It's not within the last year or two. It's
been a while.
Q. Is the last time you talked with Mr. Wei
during the pendency of Eolas' prior case against
Microsoft?
MS. DOAN: Objection; form.
THE WITNESS: Give me the date on that.
BY MR. BUDWIN:
09:19
Q. Sure.

Why don't you tell me. When do you recall last speaking with Mr. Wei?
A. I don't recall speaking with him other than exchanging an e-mail sometime after the Eolas case. 09:19
Q. The first case against Microsoft?
A. Right.
Q. When was the last time you spoke to Scott

Silvey?
A. I have not spoken to Scott Silvey since he 09:19

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| :---: | :---: | :---: | :---: |
| 1 | was in my employment. 09:20 | 1 | testimony? 09:21 |
| 2 | Q. So it's fair to say that you haven't spoken | 2 | A. No. |
| 3 | with Mr. Silvey in more than ten years? | 3 | Q. So you stand by today the prior testimony |
| 4 | A. Yes. | 4 | that you gave in your deposition in the previous |
| 5 | Q. When was the last time you spoke with Tim 09:20 | 5 | Eolas versus Microsoft case? 09:21 |
| 6 | Berners-Lee? | 6 | A. Yes, I do, mm-hmm. |
| 7 | A. In Madrid at the World Wide Web Conference, | 7 | Q. And we also mentioned that you testified at |
| 8 | which was probably 2009 or '8. | 8 | trial in the Eolas versus Microsoft case; is that |
| 9 | Q. And at the time you last spoke with | 9 | right? |
| 10 | Mr. Berners-Lee, did you speak about Eolas at all? 09:20 | 10 | A. Yes. 09:21 |
| 11 | A. No. | 11 | Q. And when was the last time you reviewed |
| 12 | Q. Now, we mentioned that you gave a | 12 | that testimony? |
| 13 | deposition in the prior Eolas versus Microsoft case; | 13 | A. I reviewed it yesterday. |
| 14 | right? | 14 | Q. And you understood that when you testified |
| 15 | A. Right. 09:20 | 15 | at trial in the Eolas versus Microsoft case, you 09:21 |
| 16 | Q. When was the last time you reviewed that | 16 | were testifying under oath and had the obligation to |
| 17 | testimony? | 17 | testify truthfully and accurately? |
| 18 | A. I reviewed it yesterday. | 18 | A. Yes. |
| 19 | Q. And at the time you gave your deposition in | 19 | Q. And based upon your review of your trial |
| 20 | the prior Eolas versus Microsoft case, you 09:20 | 20 | testimony from the Eolas versus Microsoft case 09:21 |
| 21 | understood that you were under oath and were | 21 | yesterday, did you see any errors or omissions or |
| 22 | testifying truthfully and accurately? | 22 | anything that you'd like to correct today? |
| 23 | A. Yes. | 23 | A. No. |
| 24 | Q. Based upon your review yesterday, did you | 24 | Q. So you stand by the testimony that you gave |
| 25 | notice any inconsistencies or errors in your prior 09:21 | 25 | at trial in the Eolas versus Microsoft case? 09:21 |
|  | Page 16 |  | Page 17 |
| 1 | A. That's correct. 09:21 | 1 | Q. Was that the Godici report? Godici report? 09:22 |
| 2 | Q. Other than the deposition transcript and | 2 | A. I don't recognize that name. |
| 3 | the trial transcript, what other documents do you | 3 | Q. Do you recall the name of the author of the |
| 4 | recall reviewing yesterday? | 4 | report that you looked at? |
| 5 | A. A record of e-mails that I had produced for 09:22 | 5 | A. Actually, I don't. 09:23 |
| 6 | the first trial. | 6 | Q. Do you recall if that was a report that was |
| 7 | Q. Anything else? | 7 | prepared by the defendants or was prepared by Eolas? |
| 8 | A. Just -- you know, just some other documents | 8 | A. Prepared by the defendants. |
| 9 | that were largely e-mails from -- and, you know, | 9 | Q. And you said it was a patent examiner's |
| 10 | things from the first trial, some evidence. 09:22 | 10 | report? 09:23 |
| 11 | Q. So you remember reviewing some e-mails that | 11 | MS. DOAN: Objection; form. |
| 12 | were admitted into evidence as part of the last | 12 | THE WITNESS: My understanding, it was |
| 13 | trial? | 13 | someone with expertise in patents. |
| 14 | A. Right. | 14 | BY MR. BUDWIN: |
| 15 | MS. DOAN: Objection; form. 09:22 | 15 | Q. Okay. Do you recall if the report was 09:23 |
| 16 | BY MR. BUDWIN: | 16 | written by Richard Phillips? |
| 17 | Q. Is that right? | 17 | A. I don't recall. |
| 18 | A. Right. | 18 | Q. You just -- |
| 19 | Q. Do you recall reviewing any other documents | 19 | A. I just -- I just reviewed it quickly and |
| 20 | other than e-mails that were admitted into evidence 09:22 | 20 | didn't really get very far in it. 09:23 |
| 21 | in the last trial? | 21 | Q. So you didn't study it -- |
| 22 | A. I reviewed the -- a patent examiner's -- he | 22 | A. No. |
| 23 | was an expert witness in the case. | 23 | Q. -- and review it in detail? |
| 24 | Q. That was a report? | 24 | A. No, there wasn't time. |
| 25 | A. Yes. 09:22 | 25 | Q. Without putting it into evidence just yet, 09:24 |


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| :---: | :---: | :---: | :---: |
| 1 | let me just show you. Did the report look like this 09:24 | 1 | sometime; isn't that right? 09:25 |
| 2 | (indicating)? | 2 | A. Since I've reviewed the patent and reviewed |
| 3 | A. It did not look like that. | 3 | the claims. |
| 4 | Q. It did not -- | 4 | Q. So you were willing to get involved in this |
| 5 | A. Judging from the first page, it did not 09:24 | 5 | case, Eolas versus the defendants in this case, 09:25 |
| 6 | look like that. | 6 | because it's your belief that the patent is invalid; |
| 7 | Q. Now, you mentioned a little bit earlier | 7 | is that right? |
| 8 | that the first you became aware of Eolas' new case | 8 | A. That's correct. |
| 9 | was when Mr. Latchford called you; is that right? | 9 | Q. And it's your belief that in allowing the |
| 10 | A. Right, mm-hmm. 09:24 | 10 | patent, the Eolas patent at issue in this case, that 09:26 |
| 11 | Q. Yes? | 11 | a lot of the early history of the Web, particularly |
| 12 | A. Yes. | 12 | of Viola, was ignored? |
| 13 | Q. And that was in the summer of 2011? | 13 | A. That's correct. |
| 14 | A. Yes. | 14 | Q. And that's a belief that you've held for |
| 15 | Q. And what was your reaction upon hearing 09:24 | 15 | longer than ten years; correct? 09:26 |
| 16 | that Eolas had filed a new lawsuit? | 16 | A. That's correct. |
| 17 | A. My reaction was simply that, you know, it's | 17 | Q. Is there any other reason separate and |
| 18 | an involved process to get -- to testify in a case | 18 | apart from your belief about the validity of the |
| 19 | and this was going to take up some time if I agreed | 19 | patent as to why you were willing to be involved in |
| 20 | to do that. 09:25 | 20 | this case? 09:26 |
| 21 | Q. Why were you willing to get involved? | 21 | A. No. |
| 22 | A. Because I believe that the patent is | 22 | Q. Are you being compensated in any way for |
| 23 | invalid and I believe that a lot of the early | 23 | your time? |
| 24 | history of the Web was ignored. | 24 | A. No. |
| 25 | Q. And that's a belief that you've held for 09:25 | 25 | Q. Are you having any expenses or incidentals 09:26 |
|  | Page 20 |  | Page 21 |
| 1 | reimbursed to you? 09:26 | 1 | A. Yes, he is. 09:27 |
| 2 | A. I may submit some expenses. I have not | 2 | Q. And he has no objection or problem with |
| 3 | done so. | 3 | that? |
| 4 | Q. And you're currently employed at O'Reilly \& | 4 | A. No. |
| 5 | Associates? 09:26 | 5 | Q. Now, you yourself are not paying any of the 09:28 |
| 6 | A. O'Reilly Media. | 6 | fees for the attorneys representing you here today; |
| 7 | Q. O'Reilly Media. | 7 | is that right? |
| 8 | O'Reilly Media was formally known as | 8 | A. No, I'm not. |
| 9 | O'Reilly \& Associates? | 9 | Q. And O'Reilly Media is not paying any of the |
| 10 | A. That's correct. 09:27 | 10 | fees for any of the attorneys that are representing 09:28 |
| 11 | Q. And it's true, isn't it, that you have been | 11 | you; right? |
| 12 | employed by O'Reilly since 1984? | 12 | A. That's correct. |
| 13 | A. That's true. | 13 | MR. BUDWIN: Hand you a document which will |
| 14 | Q. Mr. Dougherty, do you presently plan to | 14 | be marked as Exhibit 2. |
| 15 | testify at trial in this action in February of next 09:27 | 15 | (Deposition Exhibit 2 was marked for 09:28 |
| 16 | year? | 16 | identification) |
| 17 | A. I -- I plan to, schedule permitting and | 17 | BY MR. BUDWIN: |
| 18 | other conflicts. | 18 | Q. Exhibit 2 is a copy of a letter from Haltom |
| 19 | Q. And are you still employed -- or is Mr. | 19 | Doan to you, dated August 16th, 2011. It's got |
| 20 | O'Reilly still at O'Reilly Media? 09:27 | 20 | production number Dougherty-EolasTexas, whole bunch 09:28 |
| 21 | A. Yes. | 21 | of zeros and a 1. |
| 22 | Q. That's Tim O'Reilly? | 22 | Do you see that? |
| 23 | A. Tim O'Reilly. | 23 | A. Mm-hmm. |
| 24 | Q. And is Mr. O'Reilly aware of your | 24 | Q. And this is your representation agreement? |
| 25 | involvement in this case? 09:27 | 25 | A. It is. 09:28 |


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| :---: | :---: | :---: | :---: |
| 1 | Q. You understand, don't you, that Yahoo! and 09:28 | 1 | A. Right. 09:29 |
| 2 | Amazon are paying the fees for the attorneys that | 2 | Q. There was an expert report of some type |
| 3 | are representing you here today; right? | 3 | prepared by the defendants? |
| 4 | A. I do. | 4 | A. True. |
| 5 | Q. And you understand that Yahoo! and Amazon 09:28 | 5 | Q. And there were the patents? 09:29 |
| 6 | are challenging the validity of the Eolas patent? | 6 | A. Yes. |
| 7 | A. I do. | 7 | Q. Anything else? |
| 8 | Q. Mr. Dougherty, do you understand that Eolas | 8 | MS. DOAN: Objection; form. |
| 9 | is asserting two patents in this case: U.S. Patent | 9 | Counsel, I think you're entitled to ask if |
| 10 | 5,838,906 and 7,599,985? 09:29 | 10 | refreshed his recollection. You're entitled to 09:30 |
| 11 | A. Yes, I do. | 11 | those documents, but you're not entitled to ask all |
| 12 | Q. Have you read those patents? | 12 | the questions he reviewed with his counsel. |
| 13 | A. I have. | 13 | MR. BUDWIN: Okay. |
| 14 | Q. When did you read them? | 14 | MS. DOAN: That's an improper question. |
| 15 | A. As recently as yesterday. 09:29 | 5 | MR. BUDWIN: I disagree. So -- 09:30 |
| 16 | Q. You didn't mention the patents in your list | 16 | MS. DOAN: Fine. You can ask him if it |
| 17 | of documents reviewed yesterday. Did you just | 17 | refreshed his recollection, but just asking every |
| 18 | forget about that? | 8 | single thing he reviewed with his counsel I think is |
| 19 | A. Yes, I guess I did | 9 | getting into our discussions and I think it's an |
| 20 | Q. So just to make sure that I understand 09:29 | 20 | improper question. 09:30 |
| 21 | everything that you looked at yesterday, you had the | 21 | BY MR. BUDWIN: |
| 22 | deposition and trial transcript; right? | 22 | Q. Other than those documents, do you |
| 23 | A. Right | 3 | recall reviewing any other documents yesterday? |
| 24 | Q. Okay. You had some e-mails that were | 4 | A. Well -- |
| 25 | produced by you as part of the last case? 09:29 | 25 | MS. DOAN: Same objection. 09:30 |
|  | Page 24 |  | Page 25 |
| 1 | THE WITNESS: -- I'll answer that I 09:30 | 1 | forward. Because I think the defendants have had a 09:31 |
| 2 | reviewed those documents that you listed. | 2 | different view in the depositions of our people. So |
| 3 | BY MR. BUDWIN: | 3 | that's -- that's fine. |
| 4 | Q. Okay. Any other documents? | 4 | Q. Other than the four documents I listed, did |
| 5 | MS. DOAN: Same objection, Counsel. 09:30 | 5 | you review any other documents that refreshed your 09:31 |
| 6 | THE WITNESS: I reviewed those documents. | 6 | recollection about any events related to the Viola, |
| 7 | BY MR. BUDWIN: | 7 | the patents-in-suit, or any prior art? |
| 8 | Q. Okay. Did you review other documents other | 8 | A. I mentioned the patent and we talked about |
| 9 | than the four that I listed? | 9 | it here. You know, I'm not prepared to list |
| 10 | MS. DOAN: Same objection. 09:30 | 10 | everything that I might have reviewed. 09:31 |
| 11 | THE WITNESS: I have answered the question. | 11 | Q. But do you recall reviewing any documents |
| 12 | BY MR. BUDWIN: | 12 | yesterday that refreshed your recollection about any |
| 13 | Q. Did you review other documents in addition | 13 | past events? |
| 14 | to the four that I listed? | 14 | A. I have enumerated the documents that we |
| 15 | MS. DOAN: Same objection. 09:30 | 15 | agreed on. 09:31 |
| 16 | Mr. Dougherty, I'm going to instruct you | 16 | Q. So no documents other than the ones we |
| 17 | not to answer that based on the attorney-client | 17 | talked about refreshed your recollection about any |
| 18 | privilege. | 18 | past events? |
| 19 | I've asked you to rephrase it, Josh. If | 19 | MS. DOAN: Objection; form. |
| 20 | you don't want to rephrase it, it's totally fine. 09:30 | 20 | You can answer. 09:31 |
| 21 | MR. BUDWIN: All right. Well, I just | 21 | THE WITNESS: I didn't say that. |
| 22 | wanted to make sure that I understood your | 22 | BY MR. BUDWIN: |
| 23 | objection -- | 23 | Q. Okay. So -- |
| 24 | MS. DOAN: Absolutely. | 24 | A. I said that the specific documents that you |
| 25 | MR. BUDWIN: -- and we'll use that going 09:31 | 25 | mentioned were reviewed. 09:31 |


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| :---: | :---: | :---: | :---: |
| 1 | Q. Okay. And my question to you, sir, is: 09:32 | 1 | Q. Other than yesterday, have you looked at 09:32 |
| 2 | Other than the specific documents that have been | 2 | those patents? |
| 3 | listed, did you review any documents that refreshed | 3 | A. Yes, in the past. |
| 4 | your recollection about any past events? | 4 | Q. When? |
| 5 | MS. DOAN: Objection -- 09:32 | 5 | A. Certainly in regard to the first trial, and 09:32 |
| 6 | THE WITNESS: As to what? What kinds of | 6 | after that as well. |
| 7 | documents are you asking about -- | 7 | Q. You aren't a patent attorney, are you? |
| 8 | BY MR. BUDWIN: | 8 | A. No, I am not. |
| 9 | Q. Sure. As to Viola -- | 9 | Q. And you're not a patent expert? |
| 10 | A. -- specifically? 09:32 | 10 | A. No, I am not. 09:33 |
| 11 | Q. Sure. As to Viola. | 11 | Q. Do you have any of your own patents? |
| 12 | A. Well, I said I reviewed collections of | 12 | A. No, I do not. |
| 13 | documents, which we have enumerated here, which, you | 13 | Q. Do you have an understanding of patent law |
| 14 | know, how do you group them and what they are. You | 14 | in broad sense? |
| 15 | know, if you want to say did I review a specific 09:32 | 15 | A. I have an understanding. 09:33 |
| 16 | document, I'll address that. | 16 | Q. But you aren't an expert in the details of |
| 17 | Q. Okay. | 17 | patent law? |
| 18 | A. But I can't enumerate everything. | 18 | A. No. |
| 19 | Q. So I asked you about the two patents in | 19 | Q. Have you ever heard of something called |
| 20 | this case: the '906 and the '985 patent. 09:32 | 20 | "invalidity"? 09:33 |
| 21 | A. Mm-hmm. | 21 | A. Explain. |
| 22 | Q. And you said you looked at those yesterday? | 22 | Q. Have you ever heard of something called |
| 23 | A. Mm-hmm. | 23 | "invalidity" in the context of a patent? |
| 24 | Q. Yes? | 24 | A. Well, the idea of an invalid patent. Is |
| 25 | A. Yes. 09:32 | 25 | that what you're asking me? 09:33 |
|  | Page 28 |  | Page 29 |
| 1 | Q. Yes. 09:34 | 1 | the two. It seems to simply restate a lot of what 09:35 |
| 2 | A. Yes, I have heard of that. | 2 | was in the earlier patent and address it a little |
| 3 | Q. Have you ever heard of something called | 3 | bit closer to the Web. |
| 4 | "anticipation" with respect to patent law? | 4 | Q. Can you enumerate what you understand the |
| 5 | A. No. 09:34 | 5 | differences between the inventions claimed in the 09:35 |
| 6 | Q. Do you have a legal understanding of what | 6 | '906 patent and the '985 patent to be? |
| 7 | it means for something to anticipate a patent? | 7 | A. No, I cannot. |
| 8 | MS. DOAN: Objection; form. | 8 | Q. Mr. Dougherty, have you ever been involved |
| 9 | You can answer. | 9 | with the W3C? |
| 10 | THE WITNESS: I don't have a specific legal 09:34 | 10 | A. Yes, I have. 09:36 |
| 11 | understanding of that. | 11 | Q. What is the W3C? |
| 12 | BY MR. BUDWIN: | 12 | A. World Wide Web Consortium. |
| 13 | Q. Were you -- or have you reviewed a copy of | 13 | Q. And is the W3C the group that's run by |
| 14 | Judge Davis' claim construction order in this case? | 14 | Mr. Berners-Lee? |
| 15 | A. I don't recall seeing that. 09:34 | 15 | A. Yes, it is. 09:37 |
| 16 | Q. In your own words, can you tell me what you | 16 | Q. And you understand that one of the purposes |
| 17 | understand the invention of the ' 906 patent to be? | 17 | of the W3C is to set standards for -- that are used |
| 18 | A. An embedded interactive object in a | 18 | on the Web; for example, the HTML standards? |
| 19 | hypermedia browser that can be controlled within the | 19 | A. Yes. |
| 20 | browser, and those controls cause interactions from 09:35 | 20 | Q. Can you describe your involvement with the 09:37 |
| 21 | a remote program to update that browser, the | 21 | W3C? |
| 22 | embedded object's viewer, within the browser. | 22 | A. Well, as even prior to the formation of the |
| 23 | Q. Do you have an understanding of what the | 23 | W3C, I've been involved in standards discussions |
| 24 | invention of the ' 985 patent is? | 24 | and -- or early discussions about the needs for some |
| 25 | A. I'm less clear on the distinction between 09:35 | 25 | body like the W3C. We were -- O'Reilly was a 09:37 |


|  | Page 30 |  | Page 31 |
| :---: | :---: | :---: | :---: |
| 1 | founding member of the W3C and I was the 09:37 | 1 | Q. Why did you stop being involved in the W3C? 09:38 |
| 2 | representative for some period of time. I don't | 2 | A. It was -- it was a larger, well-established |
| 3 | recollect the exact dates. | 3 | organization at that point and it had the other |
| 4 | Q. So O'Reilly, your employer, was one of the | 4 | players. And our interests really -- other than the |
| 5 | founding members of the W3C? 09:37 | 5 | existence of standards, we didn't really care to be 09:38 |
| 6 | A. That's right. | 6 | involved in the development of those standards. |
| 7 | Q. And that would have been back in the | 7 | Q. Do you recall the W3C forming a Patent |
| 8 | earlier or mid 1990s? | 8 | Action Group, or PAG, with respect to Eolas? |
| 9 | A. That's right. | 9 | A. I do recall it, yes. |
| 10 | Q. And you were O'Reilly's participant in the 09:37 | 10 | Q. Were you involved in that Patent Action 09:39 |
| 11 | WC3 for some number of years? | 11 | Group? |
| 12 | A. That's right. | 12 | A. You know, I don't recall being -- I recall |
| 13 | Q. Do you remember how many years? | 13 | knowing about it. I recall my name being on a list |
| 14 | A. Three to four. | 14 | of people that were engaged in it, but I don't |
| 15 | Q. When did you cease being O'Reilly's 09:38 | 15 | recall specific activities of that group or, you 09:39 |
| 16 | representative to the W3C? | 16 | know, being present in meetings. |
| 17 | A. You know, I'd have to look it up, but a | 17 | MR. BUDWIN: I'll hand you a document which |
| 18 | guess or an estimate is, say, 1998 or '9. | 18 | will be marked as Exhibit 3. |
| 19 | Q. Does O'Reilly currently have a | 19 | (Deposition Exhibit 3 was marked for |
| 20 | representative on the W3C? 09:38 | 20 | identification) 09:39 |
| 21 | A. No. | 21 | BY MR. BUDWIN: |
| 22 | Q. When did O'Reilly cease being involved in | 22 | Q. Exhibit 3 is a copy of the document |
| 23 | the W3C? | 23 | entitled "Results of Questionnaire Call for |
| 24 | A. Probably about the time that I stopped | 24 | Participation in HTML PAG," and it has production |
| 25 | being involved. 09:38 | 25 | No. 00324 to 325.09 |
|  | Page 32 |  | Page 33 |
| 1 | A. (Witness reviewing document.) 09:40 | 1 | Q. And you see your name listed in the 09:41 |
| 2 | Q. Mr. Dougherty, is the document in Exhibit | 2 | document in Exhibit 3 as being a selected |
| 3 | 3, which you're referring to, a list that includes | 3 | representative for O'Reilly \& Associates' |
| 4 | your name with respect to the Eolas -- | 4 | participation in the Eolas Patent Action Group? |
| 5 | A. Yes, it -- 09:40 | 5 | A. I see it on this list. I'm not sure what 09:41 |
| 6 | Q. -- patent? | 6 | "nominees" mean here. |
| 7 | A. Yes, it does. | 7 | Q. Sitting here today, you don't recall one |
| 8 | Q. And do you see this document is dated -- | 8 | way or the other whether you actively participated |
| 9 | A. 2003. | 9 | in the Patent Action Group? |
| 10 | Q. -- in 2003? 09:40 | 10 | A. I don't. 09:41 |
| 11 | A. Yes. | 11 | Q. And do you know that the W3C filed a |
| 12 | Q. Do you recall how your name came to be | 12 | request for the Patent Office to reexamine Eolas' |
| 13 | listed on the document in Exhibit 3 as being a | 13 | '906 patent? |
| 14 | member of the Eolas Patent Action Group -- Patent | 14 | A. Yes, I do. |
| 15 | Advisory Group? 09:40 | 15 | Q. And do you know that part of that request 09:42 |
| 16 | A. No, I really don't. That's... | 16 | for the W3C to reexamine Eolas' patent came out of |
| 17 | Q. All right. Mr. Dougherty, you were aware | 17 | the work of the Patent Action Group referenced in |
| 18 | of after conclusion of Eolas' first case against | 18 | Exhibit 3? |
| 19 | Microsoft that the W3C formed this PAG or Patent | 19 | A. Yes. |
| 20 | Action Group? 09:41 | 20 | Q. Now, did you tell the W3C or the Patent 09:42 |
| 21 | A. Yes. | 21 | Action Group at any time during the reexamination of |
| 22 | Q. And you were aware that the Patent Action | 22 | Eolas' '906 patent they should look at the Viola |
| 23 | Group formed by the W3C was specific to Eolas and | 23 | prior art and submit the Viola prior art to the |
| 24 | its patent? | 24 | Patent Office? |
| 25 | A. Yes. 09:41 | 25 | A. I don't know in the context of any 09:42 |


|  | Page 34 |  | Page 35 |
| :---: | :---: | :---: | :---: |
| 1 | committee. I think there was certainly e-mail and 09:42 | 1 | Exhibit 3 didn't submit Viola to the Patent Office 09:43 |
| 2 | other discussions about the Eolas patent and the | 2 | when it asked the Patent Office to reexamine the |
| 3 | Viola archive and other things were pretty well | 3 | Eolas' '906 patent? |
| 4 | known. I don't know that they needed my specific | 4 | A. No, I don't understand that. |
| 5 | direction. 09:42 | 5 | Q. Well, as we talked about a little bit 09:44 |
| 6 | Q. Okay. So you don't recall one way or the | 6 | earlier, Tim Berners-Lee is the director of the W3C? |
| 7 | other whether you told the W3C or the Patent Action | 7 | A. Yes. |
| 8 | Group referred to in Exhibit 3 about Viola and | 8 | Q. And you know from the time -- your time |
| 9 | whether they should consider submitting that to the | 9 | working at O'Reilly with respect to Viola that |
| 10 | Patent Office? 09:43 | 10 | Mr. Berners-Lee was aware of Viola and Pei's work? 09:44 |
| 11 | MS. DOAN: Objection; form. | 11 | THE WITNESS: Absolutely. |
| 12 | You can answer. | 12 | MS. DOAN: Object to the form. |
| 13 | THE WITNESS: I don't recall giving them | 13 | BY MR. BUDWIN: |
| 14 | any specific instructions about Viola. | 14 | Q. And despite being one of the founding |
| 15 | BY MR. BUDWIN: 09:43 | 15 | members of the W3C, you have no understanding as to 09:44 |
| 16 | Q. But it was your understanding during the | 16 | why the W3C and Mr. Berners-Lee didn't submit Viola |
| 17 | time frame of Exhibit 3, 2003, after the conclusion | 17 | to the Patent Office when it requested the |
| 18 | of Eolas' first case against Microsoft, that | 18 | reexamination of Eolas' '906 patent? |
| 19 | everyone in the W3C generally knew about Viola and | 19 | A. That's correct. |
| 20 | the claims that it was prior art? 09:43 | 20 | Q. Now, Mr. Dougherty you understand that the 09:45 |
| 21 | A. Yes. | 21 | Patent Office completed reexamination of Eolas' '906 |
| 22 | MS. DOAN: Objection; form. | 22 | patent? |
| 23 | BY MR. BUDWIN: | 23 | A. Yes. |
| 24 | Q. Do you have an understanding as to why the | 24 | Q. And you understand, don't you, that the |
| 25 | W3C and the Patent Action Group referred to in 09:43 | 25 | result of that reexamination by the Patent Office 09:45 |
|  | Page 36 |  | Page 37 |
| 1 | was to confirm the validity of Eolas' '906 patent? 09:45 | 1 | MS. DOAN: Object to form. "Examined." 09:46 |
| 2 | MS. DOAN: Objection; form. | 2 | Objection; form. |
| 3 | You can answer. | 3 | BY MR. BUDWIN: |
| 4 | THE WITNESS: I understand that it went | 4 | Q. And you understand, don't you, that the |
| 5 | through that process. 09:45 | 5 | Patent Office allowed Eolas' '985 patent to issue? 09:46 |
| 6 | BY MR. BUDWIN: | 6 | A. Yes. |
| 7 | Q. And do you understand what the result of | 7 | Q. So even though the Patent Office has looked |
| 8 | the reexamination of Eolas' '906 patent was? | 8 | at Eolas' '906 patent in a first reexamination and a |
| 9 | A. Yes. | 9 | second reexamination and allowed Eolas' '985 patent |
| 10 | Q. Okay. And what was that result? 09:45 | 10 | to issue, it's your belief that those patents are 09:46 |
| 11 | A. You know, they continued with the patent. | 11 | invalid in light of Viola? |
| 12 | Q. And do you understand that there was | 12 | A. It is. |
| 13 | actually a second reexamination request of Eolas' | 13 | Q. Was the Patent Office wrong? |
| 14 | '906 patent? | 14 | A. I'm -- I'm -- just say what I said about |
| 15 | A. Yes. 09:45 | 15 | the patent, for whatever reason is -- in light of 09:46 |
| 16 | Q. Okay. And you understand, don't you, that | 16 | the Viola prior art, I believe it to be invalid. |
| 17 | the result of the second reexamination of Eolas' | 17 | Q. Did the Patent Office get it wrong in |
| 18 | '906 patent was to confirm the validity of the | 18 | allowing Eolas' '906 patent to issue after the |
| 19 | patent? | 19 | reexaminations? |
| 20 | A. Yes. 09:46 | 20 | A. I don't think they had the right 09:47 |
| 21 | Q. And, Mr. Dougherty, you understand, don't | 21 | information. |
| 22 | you, that Eolas' '985 patent, the second patent at | 22 | Q. And how do you know what information the |
| 23 | issue in this case, was also examined by the Patent | 23 | Patent Office did and didn't have? |
| 24 | Office? | 24 | A. The patent holder provided them with |
| 25 | A. I presume. It was a patent. 09:46 | 25 | limited information. 09:47 |

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                                    Page 38
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Q. How do you have that understanding? Did 09:47 you review --
A. From the patent.
Q. Did you review the file histories?
A. Of -- file histories?

09:47
Q. Sure. Did you review the file histories of either of the examinations of Eolas' '906 patent?
A. I looked at something that -- I looked at something that might have been that.
Q. And what did you look at?

09:47
A. It was part of that -- the patent
examiner -- or the patent documents I looked at yesterday.
Q. So you looked at a report that was prepared by the defendants in this case? 09:47

## A. That's correct.

MS. DOAN: Objection; form.

## BY MR. BUDWIN:

Q. Did you ever personally yourself look at the file histories for any of Eolas' patents? 09:48
A. No.
Q. Do you believe that the Patent Office in allowing Eolas' patents to issue after a first reexamination, a second reexamination, and the prosecution of the ' 985 patent got it wrong? 09:48
Q. Have you ever provided testimony where you 09:49 said that patents were silly?
A. It was a question that was asked me in the trial. I believe that some patents are wrong, if not harmful, but -- and I may have informally used a 09:49 word like "silly," but I'm not here to characterize patents in the general sense.

MR. BUDWIN: Hand you a document which will be marked as Exhibit 4.
(Deposition Exhibit 4 was marked for
09:50 identification)
BY MR. BUDWIN:
Q. Mr. Dougherty, Exhibit 4 is a copy of a
document entitled "Viola Is a Repository of Prior
Art For The Web."
09:50
Do you see that?
A. Yes, I do.
Q. And it was posted by you on March 30th of the year 2000?
A. Yes.
09:50
Q. And the document in Exhibit 4 was in fact authored by you?
A. Yes, it was.
Q. And you were questioned about the document
in Exhibit 4 in your prior deposition and at in the 09:50
A. Yes, I do. 09:48
Q. You understand, don't you, that patent examiners are trained to examine patents?

MS. DOAN: Objection; form.
You can answer.
09:48
THE WITNESS: I don't have that understanding.

## BY MR. BUDWIN:

Q. Do you understand that patent examiners are trained in the law with respect to patents? 09:48
A. Yes.
Q. And you yourself aren't trained to examine patents, are you, sir?
A. No, I'm not.
Q. You're not trained in the law with respect 09:48 to the validity of a patent?
A. No, I'm not.
Q. So even though you yourself aren't trained with the respect to patents or the standard of validity, you still think that the Patent Office and 09:49 the patent examiners got it wrong?
A. That's right.
Q. Now, Mr. Dougherty, it's true, isn't it that you think patents are silly?
A. No.

09:49
A.09:4Page 41
Microsoft case? ..... 09:50
A. That's correct.
Q. Will you look to the third page of the document.
A. Okay.
09:50
Q. And I'm going to read a paragraph to you.
"Pei and I agreed to provide information to Microsoft to help fight this software patent." Do you see that?

09:51
A. I do.
Q. Is that a reference to Eolas' '906 patent?
A. Yes, it is.
Q. And you wrote those words?
A. I did.
09:51
Q. And that was accurate when you wrote them?
A. Yes, it is.
Q. And you continued, the last sentence says: "This just points out how silly the whole business of patents really is."

09:51
Do you see that?
A. Mm-hmm. Mm-hmm.

MS. DOAN: Objection; form.

## BY MR. BUDWIN:

Q. You wrote those words?

09:51



|  | Page 50 |  | Page 51 |
| :---: | :---: | :---: | :---: |
| 1 | Okay? 10:00 | 1 | case? 10:00 |
| 2 | A. Sure. | 2 | A. It is. |
| 3 | Q. All right. | 3 | Q. And was it accurate when you gave it? |
| 4 | MS. DOAN: And for the record, we think | 4 | A. It's accurate. It's not -- it's not -- |
| 5 | this entire line of questioning of asking him to 10:00 | 5 | there is -- as I said, this is a rhetorical comment. 10:01 |
| 6 | read in answers from prior testimony is | 6 | It is not a matter of accuracy. |
| 7 | objectionable and should not be allowed in federal | 7 | Q. Do you stand by the testimony that you gave |
| 8 | court. | 8 | on page 2486 and page 2487 today? |
| 9 | If you want to ask him the question to get | 9 | A. Well, if we understand the -- you know, the |
| 10 | the answer, Counsel, you're entitled to do that. 10:00 | 10 | phrase is the "whole business of patents is silly," 10:01 |
| 11 | MR. BUDWIN: Okay. | 11 | referring to the business of this, the way these are |
| 12 | MS. DOAN: But we will raise this objection | 12 | framed. And he asked me if some patents are silly. |
| 13 | with Judge Davis and the objections to form. So you | 13 | Some are. Some are absolutely silly and wonderful |
| 14 | can fix it now. | 14 | and funny and lots of other adjectives. |
| 15 | MR. BUDWIN: Okay. Thank you. 10:00 | 15 | Q. So you believe that some patents are silly? 10:01 |
| 16 | "Objection form" going forward. Okay, | 16 | A. I believe that the -- again, in a general |
| 17 | Counsel? | 17 | sense. I would rather not have the word "silly" |
| 18 | Q. Are you on page 2486 of Exhibit 7? | 18 | used to define my views on patents. |
| 19 | A. Yes. | 19 | Q. You've used the word "silly" yourself? |
| 20 | Q. And line 21? 10:00 | 20 | MS. DOAN: Objection; form. 10:02 |
| 21 | A. Yes. | 21 | THE WITNESS: I've used lots of words. I'm |
| 22 | Q. Have you read lines 21 of page 2486 to line | 22 | a writer. |
| 23 | 2 of page 2487 to yourself? | 23 | BY MR. BUDWIN: |
| 24 | A. Yes, I have. | 24 | Q. And one of the words that you use to |
| 25 | Q. Was that testimony you gave in the prior 10:00 | 25 | describe patents is "silly"? 10:02 |
|  | Page 52 |  | Page 53 |
| 1 | A. Right. 10:02 | 1 | MR. BUDWIN: Ms. Doan, you can do whatever 10:02 |
| 2 | MS. DOAN: Objection; form. | 2 | you please. |
| 3 | BY MR. BUDWIN: | 3 | MS. DOAN: Absolutely. Then let's break |
| 4 | Q. Now, are you on page -- | 4 | and call the hotline. |
| 5 | A. But it's not a legal framing of anything 10:02 | 5 | MR. BUDWIN: Okay. 10:02 |
| 6 | I've said. | 6 | MS. DOAN: I'm not going to put up with an |
| 7 | Q. Are you on page 2486 of Exhibit 7, sir? | 7 | entire deposition where you're reading in the |
| 8 | A. 24 what? | 8 | questions and answers. If you want to ask him the |
| 9 | Q. 86 of Exhibit 7. | 9 | question, that's fine. |
| 10 | A. Yeah. 10:02 | 10 | MR. BUDWIN: Ms. Doan, if you want to call 10:02 |
| 11 | Q. Line 21? | 11 | the hotline, you can call the hotline. |
| 12 | A. Yeah. | 12 | MS. DOAN: Sure. |
| 13 | Q. I'll read you the question and you read me | 13 | MR. BUDWIN: I believe my questioning is |
| 14 | the answer. | 14 | proper. |
| 15 | A. We already did this. 10:02 | 15 | MS. DOAN: It's not. 10:02 |
| 16 | Q. Okay. (Reading): | 16 | MR. BUDWIN: Okay. So you can object to |
| 17 | "Question: And you in fact think the whole | 17 | form. |
| 18 | business of patents is silly; correct, | 18 | MS. DOAN: I'm objecting to form. And if |
| 19 | sir?" | 19 | you want to ask this question for him to read in |
| 20 | And what did you answer? 10:02 | 20 | answers for the entire testimony he has given 10:02 |
| 21 | A. I already did this. | 21 | before, which he has already talked about, then I'm |
| 22 | MS. DOAN: Objection; form. | 22 | happy to call the hotline. |
| 23 | Counsel, if you're going to continue this | 23 | MR. BUDWIN: Okay. |
| 24 | line of questioning, I'm going to call the hotline | 24 | MS. DOAN: Is that what you want to do? |
| 25 | because this is improper questioning. 10:02 | 25 | MR. BUDWIN: Okay. It's your decision if 10:03 |

you want to call the hotline. I'm going to ask my 10:03 54
questions and I'm going to get answers to those
questions.
MS. DOAN: If they're sustained by the
court, Counsel.
MR. BUDWIN: Okay.
MS. DOAN: If you want to ask him the
questions, just tell me this is what I want to do,
I'm going to call.
MR. BUDWIN: I'm going to ask him --
MS. DOAN: I don't want you to get on the
line with the judge and then you say, "You know
what? That's not what I intended to do."
MR. BUDWIN: I intend to ask him the
question and have him read the answer he gave in the 10:03
prior trial.
MS. DOAN: Absolutely. I want to call the
hotline.
MR. BUDWIN: Okay. Let's go off the
record.
THE VIDEOGRAPHER: Go off the record. The
time is 10:03 a m.
(Pause in the proceedings while counsel
call Judge Giblin)
THE VIDEOGRAPHER: We're on the record.
10:37

$$
\begin{array}{l|l}
\text { prior trial. } & 16
\end{array}
$$

MS. DOAN: Absolutely. I want to call the
MR. BUDWIN: Okay. Let's go off the record.

10:03

THE VIDEOGRAPHER: We're on the record. 10:37 25
Page 56
Q. In the prior case -- this is line 21 of

10:38 page 2486 -- you were asked the question:
"And you in fact think this whole business
of patents is silly; correct, sir?"
You were asked that question?
10:38
A. Yes.
Q. And you answered --

MS. DOAN: Counsel, I think this is
improper. That's what she was going to say. The court -- when we just called on the hotline, Judge 10:38 Giblin's secretary specifically told you that you were entitled to get an answer, not to read in the question and answer and say is it true or not true.

MR. BUDWIN: I'm going to read -- why can't
I read the answer? 10:38
MS. DOAN: I don't think this is a proper way to make your record.

MR. BUDWIN: Well --
MS. DOAN: You can ask him a question and then he can give the answer, and then if it's 10:38 materially --

MR. BUDWIN: Okay.
MS. DOAN: -- different under the rules --
MR. BUDWIN: All right. We'll try it your
way, Jennifer.
10:39

The time is 10:37 a.m.
Page 55

BY MR. BUDWIN:
Q. Mr. Dougherty, do you have Exhibit 7 in front of you?

## A. Yes.

10:37
Before you begin, I'd just like to say, you
asked me a question about claim constructions, and I
had seen a document, but it didn't know it was labeled that.
Q. Okay. So let me ask you about that. You 10:37
saw Judge Davis' claim construction order in this case?
A. I saw it.
Q. Did you study it?
A. Not closely, no. 10:38
Q. Did you understand -- did you read the whole thing?
A. I -- I spent a minute with it.
Q. But no more than a minute?
A. Right.

10:38
Q. All right. Do you have Exhibit 7 in front of you?
A. Yes, I do.
Q. Can you go to page 2486 .
A. Yes. 10:38 Page 57

MS. DOAN: I think that's the way it's 10:39 proper.
BY MR. BUDWIN:
Q. Mr. Dougherty, you think the whole business of patents is silly, correct, sir? 10:39
A. I have written that.
Q. And you believe that today, do you not?

MS. DOAN: Objection; form.
THE WITNESS: I think I have a more nuanced
view of it.
10:39
BY MR. BUDWIN:
Q. And you've described patents as "silly," correct, sir?
A. No, I have not.
Q. Do you recall being asked that question in 10:39 the prior case?
A. The phrase is the "whole business of patents is silly." The "whole business" is what I referred to.
Q. All right. Do you have Exhibit 7 in front 10:39 of you, sir?
A. I do.
Q. Can you turn to page 2487 ?
A. 87, okay.
Q. And do you see the question? $\quad 10: 39$

|  | Page 58 |  | Page 59 |  |
| :---: | :---: | :---: | :---: | :---: |
| 1 | "And you've described patents as silly; 10:39 | 1 | A. No. 10:40 |  |
| 2 | correct, sir?" | 2 | Q. Now, I want to refer back to the |  |
| 3 | Do you see that question? | 3 | controversial patent list that you maintain. Do you |  |
| 4 | A. I see that question. | 4 | have that document? |  |
| 5 | Q. Let me ask you -- 10:39 | 5 | A. Yes. 10:40 |  |
| 6 | A. I did not affirm that question. | 6 | Q. Why do you maintain a list of controversial |  |
| 7 | Q. Okay. Let me ask you a question: And | 7 | patents? |  |
| 8 | you've described patents as "silly," correct, sir? | 8 | A. I'm interested in an open Web that is not |  |
| 9 | MS. DOAN: Objection; form, asked and | 9 | encumbered later on by patents which are granted |  |
| 10 | answered. 10:40 | 10 | when work that already exists was in the public | 10:41 |
| 11 | You can answer this question. | 11 | domain. |  |
| 12 | THE WITNESS: I have answered that some | 12 | Q. And just for the record, you're referring |  |
| 13 | patents are silly. | 13 | to the list in Exhibit 5? |  |
| 14 | BY MR. BUDWIN: | 14 | A. I am. |  |
| 15 | Q. And you believe that the Eolas patent is 10:40 | 15 | Q. What makes a patent controversial, in your | 10:41 |
| 16 | one of those silly patents? | 16 | words? |  |
| 17 | MS. DOAN: Objection; form. | 17 | A. I think whether it -- one is whether there |  |
| 18 | THE WITNESS: I did not say that. | 18 | is prior art that was not engaged in -- or |  |
| 19 | BY MR. BUDWIN: | 19 | represented by the patent holder. One is whether |  |
| 20 | Q. You believe that Eolas' '906 patent is 10:40 | 20 | it's not obvious. And the context if -- for that is 10 |  |
| 21 | silly? | 21 | a -- I'm coming at it from a technical point of |  |
| 22 | A. I believe it's invalid. | 22 | view, not a legal point of view. |  |
| 23 | Q. Do you believe it's silly? | 23 | Q. And that's what qualifies a patent to be |  |
| 24 | A. I believe it's invalid. | 24 | controversial with respect to your list in Exhibit |  |
| 25 | Q. Do you believe it's silly? 10:40 | 25 | 5 ? 10:42 |  |
|  | Page 60 |  |  | age 61 |
| 1 | A. These are -- are patents that mostly affect 10:42 | 1 | MS. DOAN: Objection; form. 10 | :43 |
| 2 | the World Wide Web and transactions and interactions | 2 | THE WITNESS: No. |  |
| 3 | across the Web. | 3 | MR. BUDWIN: I'm going to hand you a |  |
| 4 | Q. And you're opposed to any patent that has | 4 | document which will be -- what am I up to? |  |
| 5 | the potential to encumber or limit the openness of 10:42 | 5 | THE REPORTER: $8.10: 43$ |  |
| 6 | the World Wide Web? | 6 | MR. BUDWIN: -- 8. |  |
| 7 | MS. DOAN: Objection; form. | 7 | (Deposition Exhibit 8 was marked for |  |
| 8 | THE WITNESS: I am opposed to the -- to the | 8 | identification) |  |
| 9 | manner in which patents could encumber the open Web. | 9 | BY MR. BUDWIN: |  |
| 10 | BY MR. BUDWIN: 10:42 | 10 | Q. Exhibit 8 is a copy of a document entitled | 10:43 |
| 11 | Q. What do you mean by that, "the manner"? | 11 | "O'Reilly Opposes W3C Patent Policy." |  |
| 12 | A. One could get a patent and not sue for it. | 12 | Do you see that, sir? |  |
| 13 | Companies get lots of patents and they don't always | 13 | A. Mm-hmm. |  |
| 14 | act to defend the rights of patents. So it's not | 14 | Q. Yes? |  |
| 15 | the act of patenting that is at issue; it is often 10:42 | 15 | A. Yes. 10:43 |  |
| 16 | what you do with it. | 16 | Q. It was posted by you on October 11th of |  |
| 17 | Q. So you have a problem with companies | 17 | 2001; is that right? |  |
| 18 | enforcing patents that could encumber the openness | 18 | A. Yes. |  |
| 19 | of the Web? | 19 | Q. Were you still involved in the W3C as of |  |
| 20 | MS. DOAN: Objection; form. 10:43 | 20 | October 11th, 2001? 10:43 |  |
| 21 | THE WITNESS: I am concerned about it. | 21 | A. I don't know. Previously I said I was not |  |
| 22 | BY MR. BUDWIN: | 22 | involved. I -- I -- I would have to check. You |  |
| 23 | Q. Have you ever seen any company enforce a | 23 | know, I don't really recall. It was sort of not a |  |
| 24 | web-related patent that you thought was a good | 24 | specific -- I could still contribute and speak about |  |
| 25 | patent? 10:43 | 25 | the W3C whether I was a member or not. | 10:44 |

Q. So you can't recall today whether you were $10: 44$ still a member as of October 11th of 2001?
A. Not specifically.
Q. But you'll agree with me that at least as of October 11th, 2001, you were still following some 10:44 developments at the W3C?
A. Yes.
Q. Now, do you see at the bottom of Exhibit 8
there is a paragraph that begins "in fact"?
A. Mm-hmm.
10:44
Q. Yes?
A. Yes.
Q. And it reads:
"In fact, we'd like to see the W3C lead the Web community in fighting the imposition of 10:44 patent rights on the Web." Do you see that?
A. I do.
Q. Is that a belief that you held in October
of 2001?
$10: 44$
A. It is.
Q. Is that a belief that you hold today?
A. In large part I agree with it.
Q. The second sentence there reads:
"As an international organization, the W3C 10:45

## Page 64

obligations.
10:46
Q. So you opposed the W3C adopting any
standards that could read upon patents or other intellectual property obligations?
A. No. I opposed the RAND licensing option in 10:46 relation to standards.
Q. When you refer to "RAND," that's R-A-N-D?
A. Yes. It's in the second paragraph there.
Q. And do you know what "RAND" stands for?
A. Not at the moment. It is a research and 10:47 development organization.
Q. Mr. Dougherty, do you understand that the patents in this case are owned by the University of California?
A. In a -- on a small sense. I've not -- I 10:47
don't understand what their rights are to the patent.
Q. Did you ever attend any schools that are run by the University of California?
A. I taught at University of California $10: 47$

Berkeley, but I did not attend there.
Q. What classes did you teach at Berkeley?
A. I taught an electronic publishing class.
Q. Were you an adjunct --
A. Yes.

10:48

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should take a global view of the public $\quad 10: 45$ good and oppose the narrow, US-centric view that rationalizes software and business-method patents."
Do you see that?
10:45
A. That's true.
Q. And you wrote those words?
A. Yes.
Q. And did you believe them when you wrote them?

10:45
A. I do.
Q. And do you hold that belief today, sir?
A. I do.
Q. Why did O'Reilly and you yourself oppose the W3C's patent policy? 10:45
A. Let me refresh this. It had to do with this RAND licensing. So, you know, I think in this -- in this case, you know, what I write under the proposed framework, the W3C commits to keeping core standards royalty free, which is what I 10:46
supported; but it sets up the opportunity to -- for
higher layer standards to be chartered and to
basically make agreements about whether or not
something could be used by the -- and supported by the W3C if it was -- it had intellectual property 10:46 Page 65
Q. -- professor.

10:48
What's your view on the University of
California?
A. Can you be more specific?
Q. Do you think it's a good institution?

10:48
A. It's a public university. It's a fine -fine private university.
Q. I think you might have misspoke. It's a fine public university?
A. I'm sorry. Public. Sorry, public 10:48 university.
Q. So it's your belief that the University of California is a fine public university?
A. That's correct.
Q. Do you understand that the University of $10: 48$

California is the largest public research institution in the country?

MS. DOAN: Objection; form.
THE WITNESS: No, I don't understand that. BY MR. BUDWIN:

10:48
Q. Do you understand the University of California holds the most patents of any public research institution in the country?

MS. DOAN: Objection; form.
THE WITNESS: No, I don't understand it. 10:48

|  | Page 66 |  | Page 67 |
| :---: | :---: | :---: | :---: |
| 1 | BY MR. BUDWIN: 10:48 | 1 | that filed the '906 and '985 patents? 10:50 |
| 2 | Q. Do you have a problem with the University | 2 | MS. DOAN: Objection; form. |
| 3 | of California enforcing its patent rights? | 3 | THE WITNESS: No. |
| 4 | A. No, I don't. | 4 | BY MR. BUDWIN: |
| 5 | Q. Does it change your opinion in one way or 10:49 | 5 | Q. You've heard of a man named Pei Wei? 10:50 |
| 6 | the other that the '906 and the '985 patent, the | 6 | A. I have. |
| 7 | Eolas patents, are actually owned by the University | 7 | Q. When did you first meet Mr. Wei? |
| 8 | of California? | 8 | A. I believe it was in 1991. I had -- |
| 9 | A. No. | 9 | Q. Okay. How did you come to meet Mr. Wei in |
| 10 | Q. Do you have an understanding of the 10:49 | 10 | 1991? 10:50 |
| 11 | research that led to the filing of the '906 and the | 11 | A. I saw on a news group some information |
| 12 | '985 patents by the University of California? | 12 | about what he was doing with Viola and I sought him |
| 13 | A. No. | 13 | out, e-mailed him, and we met for lunch. |
| 14 | Q. You've never studied any of Dr. Doyle's | 14 | Q. So you arranged a meeting with Mr. Wei in |
| 15 | journal papers, his IEEE article, anything like 10:49 | 15 | 1991? 10:50 |
| 16 | that? | 16 | A. That's correct. |
| 17 | A. No. | 17 | Q. And you ultimately hired Mr. Wei to work at |
| 18 | Q. Do you understand that the University of | 18 | O'Reilly \& Associates? |
| 19 | California has a Patent Office or a patent group | 19 | A. That's correct. |
| 20 | inside that reviews patents before they're filed? 10:49 | 20 | Q. When did you hire Mr. Wei? 10:51 |
| 21 | A. No. | 21 | A. I believe it was the spring of 1992. |
| 22 | MS. DOAN: Objection; form. | 22 | Q. Why did you decide to hire Mr. Wei? |
| 23 | BY MR. BUDWIN: | 23 | A. I wanted to support the work he was doing |
| 24 | Q. And did you understand before today it was | 24 | and to -- I thought that support would help |
| 25 | actually the University of California and not Eolas 10:49 | 25 | accelerate development on Viola. I thought it was 10:51 |
|  | Page 68 |  | Page 69 |
| 1 | important. 10:51 | 1 | Q. Did O'Reilly ever release any commercial 10:52 |
| 2 | Q. Why did O'Reilly have an interest in | 2 | products based on Viola? |
| 3 | supporting Mr. Wei's work on Viola? | 3 | MS. DOAN: Objection; form. |
| 4 | A. Because specifically around electronic | 4 | THE WITNESS: This was a -- I would regard |
| 5 | publishing, and as a publisher we were interested in 10:51 | 5 | the kiosk as a commercial product. 10:52 |
| 6 | looking at ways that that information could be | 6 | BY MR. BUDWIN: |
| 7 | distributed online. | 7 | Q. And the kiosk had part of a book called |
| 8 | Q. So O'Reilly is primarily a technical book | 8 | "The Whole Internet Catalog" on it? |
| 9 | publisher? | 9 | A. Yes, it did. |
| 10 | A. That's correct. 10:51 | 10 | Q. Other than the kiosk with part of "The 10:53 |
| 11 | Q. And so you publish books on computers and | 11 | Whole Internet Catalog" on it, did O'Reilly have any |
| 12 | computer programming and things of that nature? | 12 | other products that used Viola to distribute books? |
| 13 | A. Yes. | 13 | A. Not to distribute books. We did use Viola |
| 14 | Q. And one of the things that O'Reilly became | 14 | with GNN in its early development. |
| 15 | interested in Viola was you thought it might be a 10:52 | 15 | Q. How did O'Reilly use Viola with GNN? 10:53 |
| 16 | way to distribute your books online? | 16 | A. It was -- it was the first graphical web |
| 17 | A. That's correct. | 17 | browser and we used it to develop the content and it |
| 18 | Q. You never actually released any products | 18 | was -- it was more -- it was built off of what we |
| 19 | where you distributed books online using Viola, did | 19 | did for the kiosk, and it was, you know, webpages |
| 20 | you? 10:52 | 20 | that -- that formed an interface to what other kinds 10:53 |
| 21 | A. Well, we set up kiosk, and we had a book | 21 | of content was on the Web. |
| 22 | called "The Whole Internet Catalog," which we took a | 22 | Q. Is that something that you were doing |
| 23 | section of that book and set it up as a -- as a | 23 | internally at O'Reilly? |
| 24 | public kiosk. So it was functioning in public in | 24 | A. Yes. Yes, internally in the sense it was a |
| 25 | several locations over the 1992 time frame. 10:52 | 25 | product we were developing. 10:54 |


|  | Page 70 |  | Page 71 |
| :---: | :---: | :---: | :---: |
| 1 | Q. Did O'Reilly ever use Viola to earn any 10:54 | 1 | MS. DOAN: Objection; form. 10:55 |
| 2 | money? | 2 | THE WITNESS: We included a chapter on |
| 3 | MS. DOAN: Objection; form. | 3 | Viola in the August -- in the fall 1992 edition the |
| 4 | THE WITNESS: Interesting. We never sold | 4 | "Whole Internet Guide and Catalog." |
| 5 | it. We never -- we never tried to seek money for 10:54 | 5 | BY MR. BUDWIN: 10:55 |
| 6 | Viola. | 6 | Q. O'Reilly never published any books solely |
| 7 | BY MR. BUDWIN: | 7 | and specifically about Viola? |
| 8 | Q. So to your knowledge, O'Reilly never sold | 8 | A. That's true. |
| 9 | Viola? | 9 | Q. And the only publication that O'Reilly made |
| 10 | A. That's true. 10:54 | 10 | that mentions Viola, to your knowledge, is a chapter 10:55 |
| 11 | Q. And to your knowledge, O'Reilly never filed | 11 | in "The Whole Internet Catalog"? |
| 12 | any patents related to Viola? | 12 | A. That's not true. |
| 13 | A. That's true. | 13 | Q. Okay. What publications beyond "The Whole |
| 14 | Q. Now, I think we mentioned earlier that | 14 | Internet Catalog" are you aware of that talk about |
| 15 | O'Reilly was -- was and is primarily in the business 10:54 | 15 | Viola? 10:55 |
| 16 | of publishing technical books; is that right? | 16 | A. We published a -- in the -- in 1993 in the |
| 17 | A. Yes. | 17 | spring, we published a catalog of our books and -- |
| 18 | Q. And you talked to Mr. Wei about writing a | 18 | which also contained articles. It was a catazine, |
| 19 | technical book on Viola; isn't that right? | 19 | contained sort of a magazine, plus books, and it |
| 20 | A. That's correct. 10:55 | 20 | contained an article about Viola. 10:56 |
| 21 | Q. And you talked to Mr. Wei about writing a | 21 | Q. Do you still have that today? |
| 22 | technical book about Viola as early as 1991? | 22 | A. I have not been able to find it. |
| 23 | A. That's correct. | 23 | Q. You recall there is a chapter on Viola in |
| 24 | Q. And to your knowledge, O'Reilly never | 24 | "The Whole Internet Catalog"? |
| 25 | published any books on Viola? 10:55 | 25 | A. That's right. 10:56 |
|  | Page 72 |  | Page 73 |
| 1 | Q. And that's in 1992? 10:56 | 1 | A. Mm-hmm. 10:57 |
| 2 | A. That's true. | 2 | Q. Yes? |
| 3 | Q. And do you still have that today? | 3 | A. Yes. |
| 4 | A. Yes. | 4 | Q. Why is it that Mr. Wei or O'Reilly never |
| 5 | Q. And that was one of the things that was 10:56 | 5 | got around to finishing a book on Viola? 10:57 |
| 6 | included in your production? | 6 | A. Largely because I think the demands of |
| 7 | MS. DOAN: That's publicly available. | 7 | development on Viola, software development, became |
| 8 | THE WITNESS: It's publicly available, | 8 | his highest priority, and the -- the idea of the |
| 9 | so... | 9 | book fell -- fell to the sort of side. |
| 10 | BY MR. BUDWIN: 10:56 | 10 | Q. When did Mr. Wei leave O'Reilly? 10:58 |
| 11 | Q. And then you're aware of a magazine in 1993 | 11 | A. When GNN was sold to AOL, he went to work |
| 12 | that mentions Viola? | 12 | with AOL. |
| 13 | A. That's correct. | 13 | Q. And do you recall when that was? |
| 14 | Q. And you haven't been able to find that | 14 | A. '95. |
| 15 | magazine? 10:56 | 15 | Q. Did you consider Viola to be finished when 10:58 |
| 16 | A. No. But I've been able to find through our | 16 | Mr. Wei left O'Reilly in 1995? |
| 17 | e-mail thread of the time some of what was written. | 17 | MS. DOAN: Objection; form as "finished." |
| 18 | Q. And that was included in your document | 18 | THE WITNESS: I really don't know. I |
| 19 | production, those e-mails? | 19 | wasn't focused on Viola in '95. |
| 20 | A. Yes. 10:57 | 20 | BY MR. BUDWIN: 10:58 |
| 21 | Q. But, to your knowledge, O'Reilly has never | 21 | Q. When were you focused on Viola? |
| 22 | released a standalone book on Viola? | 22 | A. '91 through the early 1994. |
| 23 | A. That's true. | 23 | Q. Why did your focus shift away from Viola |
| 24 | Q. Now, you talked to Mr. Wei as early as 1991 | 24 | after early 1994? |
| 25 | about writing a book on Viola; isn't that right? 10:57 | 25 | A. Because of -- our overall goal was to help 10:58 |

launch a Web, World Wide Web, and there were lots of 10:59 other browsers emerging in late '93.
Q. What other browsers were there that were emerging in late ' 93 ?
A. Well, in March of ' 93 we saw the first 10:59

Mosaic browser, and in August of ' 93 we see Windows and Mac versions of it in development, and by late fall the Mosaic suite of programs begins to be pretty -- you know, cross-platform web browser.
Q. So by the end of 1993 and into early 1994 10:59
the Mosaic web browsers had basically become commonplace across the different platforms?
A. I can't -- they were available.
Q. And is it your belief that the
proliferation of the Mosaic browsers is one of the 10:59
things that led to Viola being used less?
A. Yes.
Q. When was the last time you used Viola?
A. I don't have specific documentation on
that.
11:00
Q. Would it have been more than ten years?
A. Yes.
Q. And Viola is not still in use at O'Reilly today, is it?
A. No.

11:00
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Mr. Wei realize you wouldn't be able to compete with 11:01 the browsers like Mosaic that were coming out?
A. Yes.
Q. And why was it that you wouldn't be able to compete -- Viola wouldn't be able to compete with 11:02 Mosaic and the browsers, those browsers, that were coming out in late '93 or early ' 94 ?
A. Well, I think two things. One is teams
like NCSA were getting more -- they had more resources to apply to it. The web was beginning to 11:02 get more people's attention. But, you know, it was also -- you know, from O'Reilly's point of view, we were interested primarily in the development, distribution of the content and the browser was a means to be able to do that. So we wanted -- we wanted it to be -- we helped to accelerate and -that development early on and that was good.
Q. So one of the reasons why you think that Viola lost competitiveness with the emergence of Mosaic and other browsers in late '93 and early '94 11:03 were those teams, the Mosaic team, the NCSA team, had more resources than you did at O'Reilly?

MS. DOAN: Objection; form.
THE WITNESS: I think that is one of the reasons.
Q. When was the last time anybody at O'Reilly 11:00 used Viola, to your knowledge?
A. I don't have that knowledge.
Q. More than ten years?
A. Yes.

11:00
Q. Why did O'Reilly and Mr. Wei stop
developing the Viola browser?
A. Well, we had put resources into it and our goal was to make it available, and as other browsers became widely available, there were other people 11:01
putting even more resources into web browser development and it wasn't necessarily something we regarded as being competitive about.
Q. So one of the reasons that O'Reilly and Mr. Wei stopped pursuing the development of Viola dealt 11:01 with the proliferation of other browsers, like Mosaic in the fall of '93?

MS. DOAN: Objection; form.
THE WITNESS: Well, we kept -- we kept
our -- I think we kept supporting the development 11:01
of -- of Viola during that period that you
mentioned, and at the same time we see the rise of
Mosaic coming as well.
BY MR. BUDWIN:
Q. And at some point did you or O'Reilly or 11:01 Page 77

## BY MR. BUDWIN:

11:03
Q. And another one of the reasons is O'Reilly, as a book publisher, was focusing more on the content as opposed to the manner of delivering that content? 11:03
A. Well, I would say that we were focusing on the web as an open platform, which was the manner for delivering that content, and we wanted to do everything we could to support the development of the web. It didn't necessarily have to be us doing 11:03 that development, though.
Q. Basically, O'Reilly came to the realization that it could use other browsers, like Mosaic, that had bigger teams supporting them to better deliver the content that was part of its primary business? 11:04
A. That's true.
Q. Now, in 1993 you never personally
distributed copies of Viola source code or Viola executables to anyone, did you?

MS. DOAN: Objection; form.
THE WITNESS: So probably this is a
conversation. So what do we mean by "personally"?
How limited is that?
BY MR. BUDWIN:
Q. Sure.

11:04

|  | Page 78 |  | Page 79 |
| :---: | :---: | :---: | :---: |
| 1 | Did you yourself ever give a copy of Viola 11:04 | 1 | source or executable on any type of 11:05 |
| 2 | source code or Viola executables to anyone at any | 2 | computer-readable media, like a disk or a CD, and |
| 3 | point in 1993? | 3 | deliver that to anyone in 1993? |
| 4 | A. I made it available. Made sure it was | 4 | A. I certainly instructed others to do that |
| 5 | available publicly for people to download. None of 11:04 | 5 | for me. 11:06 |
| 6 | us would have delivered a copy of source code to | 6 | Q. Did you personally do it yourself? |
| 7 | someone else directly. | 7 | A. No. |
| 8 | Q. So you said you made it available publicly | 8 | Q. Now, you are aware of the demonstration |
| 9 | to download? | 9 | that Mr. Wei gave of his Viola browser to some Sun |
| 10 | A. Right. 11:05 | 10 | engineers in May of 1993? 11:06 |
| 11 | Q. How did you make Viola available publicly | 11 | A. I am. |
| 12 | to download? | 12 | Q. Do you still have a copy of the code that |
| 13 | A. Pei was instructed to do | 13 | Mr. Wei demonstrated to Sun in May of 1993? |
| 14 | Q. Okay | 14 | A. As part of the first trial, we went back |
| 15 | A. He was already doing it when I first found 11:05 | 15 | and looked at what was in the O'Reilly archives and 11:06 |
| 16 | him. It was -- it was down through FTP and other | 16 | we found something in that time frame. |
| 17 | places. | 17 | Q. Do you have the exact code as it existed on |
| 18 | Q. Did you ever personally put a c | 18 | Qe date of the demonstration to the Sun engineers? |
| 19 | Viola source or executable on an FTP site in 1993? | 19 | A. I don't know if it was the exact code. |
| 20 | A. No. 11:05 | 20 | Q. Now, you're also aware, are you not, that 11:07 |
| 21 | Q. Did you ever personally e-mail | 21 | Mr. Wei sent some Viola code to those same two Sun |
| 22 | of any Viola source or executables to any person in | 22 | engineers over an FTP site? |
| 23 | 1993? | 23 | A. Yes. |
| 24 | A. No, I did not | 24 | Q. Do you still have a copy of the code that |
| 25 | Q. Did you ever put any copies of any Viola 11:05 | 25 | was given to the Sun engineers over the FTP site? 11:07 |
|  | Page 80 |  | Page 81 |
| 1 | A. I believe we recovered some code that was 11:07 | 1 | Q. Now, you're aware, are you not, that the 11:08 |
| 2 | made available to them in that time frame. | 2 | date the code was allegedly made available on the |
| 3 | MS. DOAN: And, Counsel, we've reproduced | 3 | FTP site was May 31st of 1993? |
| 4 | all the code from the first trial and we've produced | 4 | A. Yes. |
| 5 | new code, or all the backup tapes, that he has 11:07 | 5 | Q. Do you have any Viola code that's dated May 11:08 |
| 6 | during this time period as well, so just for | 6 | 31st of 1993? |
| 7 | clarification purposes. | 7 | MS. DOAN: Objection; form. |
| 8 | BY MR. BUDWIN: | 8 | THE WITNESS: Again, we produced what code |
| 9 | Q. Do you have the exact code that was given | 9 | we had. |
| 10 | to the Sun engineers on the FTP site in May of '93, 11:07 | 10 | BY MR. BUDWIN: 11:08 |
| 11 | to your knowledge? | 11 | Q. Are you aware of any Viola code that's |
| 12 | A. I believe we have -- I think, yes. | 12 | dated May 31st of 1993? |
| 13 | Q. You think that you have the exact code? | 13 | A. I believe there's a date there, but I don't |
| 14 | A. Of that time frame, I think the dates match | 14 | have the documentation in front of me. |
| 15 | up really well. 11:08 | 15 | Q. To your knowledge, do you have any Viola 11:09 |
| 16 | Q. Okay. Now, you've seen some e-mails, have | 16 | code in your possession that's dated May 31st of |
| 17 | you not, when there is a reference from Sun | 17 | 1993? |
| 18 | engineers to there being an 11-megabyte-core file in | 18 | MS. DOAN: Objection; form. |
| 19 | the code that they received from the FTP site? | 19 | Counsel, we produced all code from May |
| 20 | A. Yes. 11:08 | 20 | 1993.11109 |
| 21 | Q. Do you have any copies of any Viola | 21 | MR. BUDWIN: Okay. |
| 22 | distributions from May of 1993 that contain an | 22 | THE WITNESS: Right now in my possession, |
| 23 | 11-megabyte-core file, to your knowledge? | 23 | no, I don't have anything here. |
| 24 | A. I don't. I don't know if I do. I don't -- | 24 | BY MR. BUDWIN: |
| 25 | I didn't look through the listings. 11:08 | 25 | Q. Are you aware of the existence of any Viola 11:09 |



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                        Page 86
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Q. Production is Dougherty-EolasTX, a bunch of $11: 15$ zeros, 360 .

And this is an e-mail sent to Tim O'Reilly?
A. That's correct.
Q. And is Mr. O'Reilly your boss? 11:15
A. Yes.
Q. He is the founder of O'Reilly?
A. Yes.
Q. Do you see in the top of the e-mail, the
e-mail from Mr. O'Reilly to you, it says, "I would 11:15 make clear though, that this kind of software we are interested in doing as part of ORA. It's the information interface business."
A. Mm-hmm.
Q. Do you know what he is referring to? 11:15
A. We saw -- Viola -- we saw the web as an information interface, and -- and so this -- this kind of software, we're referring to someone -- Dan Heller approaches Pei about commercializing Viola. And so the question here is about whether Dan wants $11: 16$ to spin off Viola to create another company. And so the question when I say "as part of ORA," I think this kind of software is part of what we're doing and it's important to us and not something we would want to just see sort of go off in a different $11: 16$
see that?
11:17
A. Right.
Q. Do you know what that's referring to?
A. Spyglass had obtained a commercial license to Mosaic. 11:17
Q. And so are you saying that another option for displaying O'Reilly's content is Spyglass?
A. No. I think Spyglass is -- you know, they took the Mosaic software, they were commercializing
it. We had worked with them a bit around the $11: 17$
Internet on a box product, and, you know, meaning they're another option other than Dan if we wanted to commercialize it.
Q. Okay. And you never followed up with Spyglass in an attempt to commercialize Viola?
A. I can't say that I didn't follow-up with him. I know that nothing came of it, if I did.

MR. BUDWIN: You can set that aside. Hand you what will be marked as Exhibit 12. (Deposition Exhibit 12 was marked for 11:18 identification)

## BY MR. BUDWIN:

Q. Exhibit 12 is a copy of an e-mail that came from Mr. Wei's production, dated August 22, 1995. And do you see it's from Eric Schmidt? 11:18
Q. So Mr. Heller was not an ORA employee?
A. No, he was an O'Reilly author.
Q. And it's your recollection that Mr. Heller had proposed forming some kind of separate venture that would look to commercialize Viola?
A. Yes. He was -- he was investigating and 11:17 talking about it.
Q. But you and Mr. O'Reilly decided that you weren't interested in spinning out Viola to another company for commercial purposes?
A. I would say we had not made the decision. $11: 17$

We were -- this is -- we were discussing what our options were.
Q. And do you see a little further down in the e-mail, right in the middle, it says, "Another

Page 89
A. I do. $11: 18$
Q. Did you see this document in preparing yesterday?
A. I did.
Q. In August of 1995, what was your
understanding of Mr. Schmidt's position at Sun?
A. He -- let's see. He was a vice president at Sun, I believe.
Q. And do you see you're listed as one of the recipients on the e-mail, dale@ora.com? 11:19
A. Yes.
Q. And do you see in this e-mail from

Mr. Schmidt it says, "We are searching for lots of prior art. A few months ago Eolas called me to discuss this."

11:19
Do you see that?
A. Yes, I do.
Q. Do you recall receiving this e-mail from Mr. Schmidt in 1995?
A. Not particularly. I recall it because of $11: 19$ the evidence here.
Q. Do you recall having discussions between people at O'Reilly and people at Sun, or elsewhere, in 1995 about Eolas and its patent?
A. Not beyond this e-mail, no.
$11: 20$

|  | Page 90 |  | Page 91 |
| :---: | :---: | :---: | :---: |
| 1 | Q. You don't recall any follow-up discussions 11:20 | 1 | Q. And at that time you began having 11:21 |
| 2 | that you had with Mr. Schmidt? | 2 | discussions with Mr. Wei and others about finding |
| 3 | A. No, I don't. I did not have any, as far as | 3 | potential prior art to Eolas' patents or other |
| 4 | I recall. | 4 | web-related patents; is that right? |
| 5 | Q. How did you become aware of -- how did you 11:20 | 5 | A. That's -- that's right. 11:21 |
| 6 | become aware of Eolas and its patents in 1995? | 6 | Q. And you're aware that Eolas filed suit |
| 7 | Strike that. Let me ask you a better | 7 | against Microsoft in 1999? |
| 8 | question. | 8 | A. I became aware of that. |
| 9 | Was the e-mail on Exhibit 12 your first | 9 | Q. And you testified at trial and in a |
| 10 | awareness of Eolas and its patents or had you heard 11:20 | 10 | deposition in the Microsoft case? 11:21 |
| 11 | of Eolas before you got the e-mail in Exhibit 12? | 11 | A. Mm-hmm. |
| 12 | A. I had heard about it before. | 12 | Q. Is that right? |
| 13 | Q. And how did you first come to hear about | 13 | A. Yes. |
| 14 | Eolas and its patents? | 14 | Q. And that was in the early 2000s? |
| 15 | A. I believe Pei had let me know, but I don't 11:20 | 15 | A. Right. 11:21 |
| 16 | have a specific recollection. | 16 | Q. And at the time that you gave the testimony |
| 17 | Q. And do you recall you or Mr. Wei beginning | 17 | in the Microsoft case, it was about your awareness |
| 18 | to search for potential prior art to Eolas' patents | 18 | of Eolas and its potential as prior art? |
| 19 | as far back as 1995? | 19 | A. Yes. |
| 20 | A. In this context we discussed the importance 11:21 | 20 | Q. And then if you have Exhibit 4 in front of 11:22 |
| 21 | of Viola as prior art, yes. Not just for Eolas, | 21 | you, in March of 2000, you published to your website |
| 22 | though. | 22 | a document entitled "Viola Is a Repository For Prior |
| 23 | Q. So you became aware of Eolas in 1995; is | 23 | Art For the Web"? |
| 24 | that right? | 24 | A. Mm-hmm. |
| 25 | A. Yes, I believe so. 11:21 | 25 | Q. Is that right? 11:22 |
|  | Page 92 |  | Page 93 |
| 1 | A. Yes. 11:22 | 1 | MS. DOAN: Objection; form. 11:23 |
| 2 | Q. And then after you testified at trial in | 2 | THE WITNESS: Do you have specific |
| 3 | the Microsoft case, you became aware that the W3C | 3 | questions there? I don't know what that means. |
| 4 | and others requested a reexamination of Eolas' | 4 | MR. BUDWIN: Exhibit 15. |
| 5 | patent? 11:22 | 5 | THE REPORTER: $13.11: 23$ |
| 6 | A. Yes. | 6 | (Deposition Exhibit 13 was marked for |
| 7 | Q. And as we saw in Exhibit 3, you were aware | 7 | identification) |
| 8 | of the Eolas-specific Patent Action Group that was | 8 | BY MR. BUDWIN: |
| 9 | formed in 2003? | 9 | Q. Exhibit 13 is a document entitled |
| 10 | A. Yes. 11:22 | 10 | "Microsoft Reaches Settlement on Eolas Patent." And 11:23 |
| 11 | Q. And you followed at least some of the | 11 | it's published by you on July 31st, 2007; is that |
| 12 | developments with respect to the reexamination | 12 | right? |
| 13 | proceedings after it was instituted; isn't that | 13 | A. That's true. |
| 14 | right? | 14 | Q. And so you followed the progression of |
| 15 | A. Yes. 11:23 | 15 | Eolas and its case and its reexamination proceedings 11:24 |
| 16 | Q. And what we see here in Exhibit 6 is a | 16 | at least up through July of 2007, didn't you, sir? |
| 17 | document from you dated June of 2004 related to the | 17 | A. I happened on this date to see a news |
| 18 | reexamination proceedings? | 18 | report. |
| 19 | A. Mm-hmm. | 19 | Q. And do you see in there it says, "I learned |
| 20 | MS. DOAN: Objection; form. 11:23 | 20 | yesterday that Microsoft had reached an agreement to 11:24 |
| 21 | THE WITNESS: Yes. | 21 | settle the case with Eolas so I would not be called |
| 22 | BY MR. BUDWIN: | 22 | to testify this week." |
| 23 | Q. And you in fact continued to monitor the | 23 | Do you see that? |
| 24 | progress of Eolas' reexamination and its case | 24 | A. That's true. |
| 25 | against Microsoft until 2007, didn't you? 11:23 | 25 | Q. And you had planned to testify on the 11:24 |


|  | Page 94 |  | Page 95 |
| :---: | :---: | :---: | :---: |
| 1 | retrial in 2007, did you not? 11:24 | 1 | Q. Okay. Exhibit 12 is an August 22, 1995 11:25 |
| 2 | A. Yes. | 2 | e-mail from Eric Schmidt to you; right? |
| 3 | Q. And prior to the time that you posted the | 3 | A. True. |
| 4 | document in Exhibit 13, you had had some meetings | 4 | Q. And it says, "We are searching for lots of |
| 5 | with some of the attorneys representing Microsoft to 11:24 | 5 | prior art. A few months ago Eolas called me to 11:25 |
| 6 | discuss your trial testimony? | 6 | discuss this." |
| 7 | MS. DOAN: Objection; form. | 7 | Do you see that? |
| 8 | THE WITNESS: I can't recall. I don't know | 8 | A. I do. |
| 9 | whether we met. | 9 | Q. And you received the e-mail in Exhibit 12 |
| 10 | BY MR. BUDWIN: 11:24 | 10 | in 1995? 11:25 |
| 11 | Q. Okay. It's fair to say, isn't it, Mr. | 11 | A. I did. |
| 12 | Dougherty, that you became aware of Eolas and its | 12 | Q. And you don't dispute, do you, sir, that |
| 13 | patents at least as early as 1995; right? | 13 | you began talking with Mr. Wei and others about |
| 14 | MS. DOAN: Objection; form. There were no | 14 | Eolas and finding potential prior art as early as |
| 15 | patents in 1995, Counsel. 11:25 | 15 | 1995? 11:25 |
| 16 | THE WITNESS: I -- I was aware of the | 16 | A. I don't agree with that. I agree that we |
| 17 | general idea of patents, you know, becoming an issue | 17 | talked about patents and prior art, and I don't know |
| 18 | in 1995. | 18 | that I know who "Eolas" is even in this e-mail. |
| 19 | BY MR. BUDWIN: | 19 | Q. And you did that as early as 1995? |
| 20 | Q. You first became aware of Eolas in 1995, 11:25 | 20 | A. Pei had -- I believe there is an e-mail 11:26 |
| 21 | did you not? | 21 | correspondence between Pei and me about patents as |
| 22 | A. I don't know that that word "Eolas" would | 22 | an issue in prior art. |
| 23 | have had any meaning to me in 1995. | 23 | Q. In 1995? |
| 24 | Q. Do you have Exhibit 12 in front of you? | 24 | A. Yes. |
| 25 | A. Yes. 11:25 | 25 | Q. And you became aware of Eolas' case against 11:26 |
|  | Page 96 |  | Page 97 |
| 1 | Microsoft, patent infringement case against 11:26 | 1 | MS. DOAN: He is not a party to this case. 11:27 |
| 2 | Microsoft, didn't you? | 2 | He deserves better respect than you're giving him. |
| 3 | MS. DOAN: Say it again. | 3 | MR. BUDWIN: Okay. |
| 4 | THE WITNESS: When? | 4 | MS. DOAN: And you're a better lawyer to be |
| 5 | BY MR. BUDWIN: 11:26 | 5 | able to question -- 11:27 |
| 6 | Q. You became aware -- I'm not asking about a | 6 | MR. BUDWIN: Thank you. |
| 7 | time, just in general. | 7 | MS. DOAN: -- him so rudely. |
| 8 | You became aware -- | 8 | MR. BUDWIN: Thank you, Ms. Doan. |
| 9 | A. Eventually, yes, I became aware of it. | 9 | Q. Mr. Dougherty, I apologize, but I want to |
| 10 | Q. And you gave a deposition in that case, did 11:26 | 10 | step back just a little bit to make sure that we 11:27 |
| 11 | you not? | 11 | have my questions in mind. |
| 12 | MS. DOAN: Objection; form. | 12 | Do you have Exhibit 12 in front of you? |
| 13 | THE WITNESS: I did. | 13 | A. Yes. |
| 14 | BY MR. BUDWIN: | 14 | Q. Exhibit 12 is a copy of an e-mail that you |
| 15 | Q. And do you recall that the date of your 11:26 | 15 | received from Eric Schmidt in August of 1995; right? 11:27 |
| 16 | deposition in that case was October of 2001? | 16 | A. Yes. |
| 17 | MS. DOAN: Objection; form. Counsel, you | 17 | Q. And that document says, "We are searching |
| 18 | have it to put it in front of him. | 18 | for lots of prior art. A few months ago Eolas |
| 19 | MR. BUDWIN: Ms. Doan, "objection; form," | 19 | called me to discuss this." Right? That's what it |
| 20 | okay? 11:27 | 20 | says? 11:27 |
| 21 | MS. DOAN: I know how to object. | 21 | A. Yes. |
| 22 | MR. BUDWIN: You constantly -- | 22 | Q. And you don't dispute that you received the |
| 23 | MS. DOAN: Objection; form. He is a third | 23 | e-mail in Exhibit 12 -- |
| 24 | party, Counsel. | 24 | A. No, I do not. |
| 25 | MR. BUDWIN: Okay. 11:27 | 25 | Q. -- in August of 1995? 11:27 |

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                    Page 98
                    Page 99
    MS. DOAN:Let him answer -- let him ask 11:27
    his question fully.
    THE WITNESS: Sorry.
    MS. DOAN:That's okay.
BY MR. BUDWIN:
                    11:27
        Q. In August of 1995?
    A. I do not dispute that.
    Q. And you recall having some discussions with
Mr. Wei and others as early as }1995\mathrm{ related to
potential prior art for patents related to the Web? 11:28
    A. Yes.
    Q. And if you have Exhibit 4 in front of you,
exhibit 4 is a copy of a document that you posted to
the O'Reilly website in March of 2000 entitled
"Viola Is a Repository of Prior Art To the Web"? 11:28
    A. Yes.
    MS. DOAN: Objection; form.
    BY MR. BUDWIN:
    Q. And you recall, do you not, that Eolas
    filed a patent infringement case against Microsoft? 11:28
        A. Yes.
        Q. And you testified in that prior case in
    deposition and at trial?
        MS. DOAN: Asked and answered; form.
        THE WITNESS: Yes. 11:28
        Page 100
        2004, "Butting Heads Over The '906 Rebuttal"? 11:30
    A. Yes.
        MS. DOAN: Objection; form.
    BY MR. BUDWIN:
    Q. And you followed the pendency of Eolas' 11:30
    reexaminations?
        MS. DOAN: Objection; form.
        THE WITNESS: All I say is I wrote about
    it.
    BY MR. BUDWIN: 11:30
    Q. And then as we see Exhibit 13, it's a copy
    of a blog post that you wrote, dated July 31st of
    2007; is that right?
    A. Yes.
    Q. Titled "Microsoft Reaches Settlement on 11:30
    Eolas Patent."
        Do you see that?
    A. Yes.
    Q. So it's fair to say, is it not, Mr.
    Dougherty, that you first became aware of Eolas in 11:30
    1995?
        MS. DOAN: Objection; form.
        THE WITNESS: You've already asked that
    question, and I said I became aware that patents
    were an issue; that Viola may be useful in disputing 11:30
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BY MR. BUDWIN:
11:28
Q. And if you have Exhibit 7 in front of you.
A. Okay.
Q. That's your trial testimony from July 29th of 2003, is it not? 11:29
A. Yes.
Q. And after you testified at the Microsoft trial, you're aware, are you not, that the W3C and others requested a reexamination of Eolas' '906 patent?

11:29
A. Yes.
Q. And as we see in Exhibit 3 there is a reference to an HTML Patent Action Group formed by the W3C.

Do you see that?
11:29
A. I'm sorry, say that again.
Q. And as we see in Exhibit 3, there is a
reference to an HTML Patent Action Group formed by the W3C in --
A. Yes. We've --

11:30
Q. -- in 2003?
A. -- we've gone over this.
Q. Okay. And we see -- do you have Exhibit 6
in front of you?
It's a blog post from you, dated June 2nd, 11:30
25

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some of those. 11:31

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some of those. 11:31
BY MR. BUDWIN:
BY MR. BUDWIN:
Q. And you participated in the prior trial
Q. And you participated in the prior trial
with respect to Microsoft, did you not?
with respect to Microsoft, did you not?
MS. DOAN: Objection; form, Counsel. 11:31
MS. DOAN: Objection; form, Counsel. 11:31
THE WITNESS: True.
THE WITNESS: True.
BY MR. BUDWIN:
BY MR. BUDWIN:
Q. You appeared at trial and testified in
Q. You appeared at trial and testified in
2003?
2003?
MS. DOAN:Asked and answered. Objection; 11:31
MS. DOAN:Asked and answered. Objection; 11:31
form.
form.
THE WITNESS: True.
THE WITNESS: True.
BY MR. BUDWIN:

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BY MR. BUDWIN:
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Q. And after trial you became aware of the reexamination proceedings and followed those 11:31 reexamination proceedings from 2003 until they finished?
MS. DOAN: Objection; form.
Counsel, if you want to ask some new questions, because I'm getting ready to call the 11:31 judge again. You've asked this about seven times in the same order and I'm not going to go with this all seven hours today.
MR. BUDWIN: Ms. Doan --
MS. DOAN: I've got a flight to go back 11:31

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\begin{tabular}{|c|c|c|c|}
\hline & Page 102 & & Page 103 \\
\hline 1 & home. I'm just putting you on notice. 11:31 & 1 & THE VIDEOGRAPHER: This marks the end of 11:32 \\
\hline 2 & MR. BUDWIN: Objection; form. & 2 & Disk 1. We'll go off the record. The time is 11:32 \\
\hline 3 & MS. DOAN: Objection; form. And you keep & 3 & m. \\
\hline 4 & going down this again, the same line of questions & 4 & (Recess taken) \\
\hline 5 & that he has already answered affirmatively six 11:31 & 5 & THE VIDEOGRAPHER: This marks the beginning 11:50 \\
\hline 6 & times. & 6 & of Disk 2, Volume I, in the deposition of Dale \\
\hline 7 & MR. BUDWIN: Please limit your objections. & 7 & Dougherty. We're on the record. The time is 11:51 \\
\hline 8 & Okay? & 8 & m. \\
\hline 9 & MS. DOAN: Absolutely. I'm not going to & 9 & BY MR. BUDWIN: \\
\hline 10 & limit them. I'm putting you on notice I'm getting 11:31 & 10 & Q. Mr. Dougherty, and after trial in the 11:51 \\
\hline 11 & ready to call the hotline again. & 11 & Microsoft case, you became aware of the \\
\hline 12 & BY MR. BUDWIN: & 12 & reexamination proceedings with respect to Eolas' \\
\hline 13 & Q. Okay. After trial in the Microsoft case in & 13 & '906 patent, and you followed those reexaminations \\
\hline 14 & 2003, you followed the reexamination proceedings & 14 & proceedings from 2003 until they finished? \\
\hline 15 & with respect to Eolas' patents? 11:32 & 15 & MS. DOAN: Objection; form. 11:51 \\
\hline 16 & MS. DOAN: Objection; form. Don't answer & 16 & THE WITNESS: I wrote about them when news \\
\hline 17 & that question. We're going to call the hotline & 17 & was breaking. \\
\hline 18 & again, Josh, if this is what you want me to put up & 18 & BY MR. BUDWIN: \\
\hline 19 & with. & 19 & Q. And do you have Exhibit 13 in front of you? \\
\hline 20 & MR. BUDWIN: Okay. 11:32 & 20 & A. Yes. 11:51 \\
\hline 21 & MS. DOAN: All right. Fine. & 21 & Q. And you became aware of Microsoft's \\
\hline 22 & Do you have the record, Ms. Cynthia, so we & 22 & settlement with Eolas in July of 2007, did you not? \\
\hline 23 & can tell the court exactly how many times it has & 23 & MS. DOAN: Objection; form. \\
\hline 24 & been read back in? & 24 & I can answer. \\
\hline 25 & THE REPORTER: Yes. 11:32 & 25 & THE WITNESS: I did become aware. 11:51 \\
\hline & Page 104 & & Page 105 \\
\hline 1 & BY MR. BUDWIN: 11:51 & 1 & A. Yes. Yes. 11:53 \\
\hline 2 & Q. And at the time of the settlement in July & 2 & MS. DOAN: Let him ask the question. \\
\hline 3 & of 2007, you were preparing to testify at trial & 3 & THE WITNESS: Sure. \\
\hline 4 & again on behalf of Microsoft? & 4 & BY MR. BUDWIN: \\
\hline 5 & MS. DOAN: Objection; form. 11:51 & 5 & Q. And you're aware, are you not, that the 11:53 \\
\hline 6 & THE WITNESS: I wasn't necessarily & 6 & judge and the jury in the last case disagreed with \\
\hline 7 & preparing. I was -- I was prepared to testify if it & 7 & you -- \\
\hline 8 & went to trial. & 8 & MS. DOAN: Objection; form. \\
\hline 9 & BY MR. BUDWIN: & 9 & BY MR. BUDWIN: \\
\hline 10 & Q. And here we are today in 2011 and you're 11:52 & 10 & Q. -- and found Eolas's patent valid? 11:53 \\
\hline 11 & still providing testimony with respect to Viola and & 11 & A. Yes. \\
\hline 12 & your understanding of Eolas and its patents? & 12 & Q. And you're also aware that the Patent \\
\hline 13 & A. Yes. & 13 & Office in the first reexamination of Eolas' '906 \\
\hline 14 & Q. It's fair to say, is it not, that you've & 14 & patent and the second reexamination of Eolas '906 \\
\hline 15 & held the belief that Eolas and its '906 patent are 11:52 & 15 & patent found that patent valid? 11:53 \\
\hline 16 & invalid for more than ten years; isn't that right? & 16 & MS. DOAN: Objection; form. \\
\hline 17 & MS. DOAN: Objection; form. & 17 & You can answer. \\
\hline 18 & THE WITNESS: I have worked over a period & 18 & THE WITNESS: Yes. \\
\hline 19 & of time to dispute this patent. & 19 & BY MR. BUDWIN: \\
\hline 20 & BY MR. BUDWIN: 11:52 & 20 & Q. And you're also aware that the Patent 11:53 \\
\hline 21 & Q. And you testified at trial in the last case & 21 & Office allowed Eolas' '985 patent to issue? \\
\hline 22 & about that, did you not? & 22 & A. Yes. \\
\hline 23 & A. I did. We've established that. & 23 & Q. You have no training in the patent law, do \\
\hline 24 & Q. And you're testifying again in this case & 24 & you? \\
\hline 25 & about the same subject matter? 11:53 & 25 & A. You asked me that already. I said no, I do 11:53 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|}
\hline & Page 106 & & Page 107 \\
\hline 1 & not. 11:53 & 1 & THE WITNESS: That's fine. 11:55 \\
\hline 2 & Q. So despite the prior determinations, & 2 & MR. BUDWIN: -- and it may be less than an \\
\hline 3 & despite the prior action in the Patent Office, & 3 & hour. \\
\hline 4 & despite your lack of training in patent law, you & 4 & MS. DOAN: That's great. \\
\hline 5 & still hold your belief that Eolas' patent is 11:54 & 5 & THE WITNESS: Great. Let's do that. 11:55 \\
\hline 6 & invalid? & 6 & MS. DOAN: We just don't want to break for \\
\hline 7 & A. I do. & 7 & lunch. \\
\hline 8 & Q. And it's your belief, is it not, sir, that & 8 & THE VIDEOGRAPHER: We'll go off the record. \\
\hline 9 & the Patent Office just got it wrong? & 9 & he time is 11:55 a m. \\
\hline 10 & A. It's true. Government does those things 11:54 & 10 & (Recess taken) 11:55 \\
\hline 11 & sometimes. & 11 & THE VIDEOGRAPHER: We're on the record. \\
\hline 12 & MR. BUDWIN: All right. Why don't we take & 12 & he time is 12:14 p.m. \\
\hline 13 & a break. Maybe get a little bit of lunch and then & 13 & MR. BUDWIN: All right. I'm going to hand \\
\hline 14 & we can figure out how much I've got left. & 14 & you a document that will be marked as Exhibit 14. \\
\hline 15 & MS. DOAN: I thought you told me you had an 11:54 & 15 & (Deposition Exhibit 14 was marked for 12:14 \\
\hline 16 & hour left and we agreed not to call the court on the & 16 & identification) \\
\hline 17 & hotline based upon your last line of questioning & 17 & BY MR. BUDWIN: \\
\hline 18 & based upon representation you had a couple more & 18 & Q. Exhibit 14 is a copy of the document titled \\
\hline 19 & questions and then about an hour left. & 19 & "Viola Timeline," Bates No. PA-00203841. \\
\hline 20 & MR. BUDWIN: Yeah, I think I do have about 11:54 & 20 & Do you recognize the document? 12:14 \\
\hline 21 & an hour left. I'd like -- we don't have to break & 21 & A. I do. \\
\hline 22 & for lunch, but if you give me ten minutes to consult & 22 & Q. Did you see this document yesterday? \\
\hline 23 & my notes -- & 23 & A. Yes. \\
\hline 24 & MS. DOAN: Sure. & 24 & Q. Is this a document that you prepared? \\
\hline 25 & MR. BUDWIN: -- I'll come back -- 11:54 & 25 & A. It is. 12:14 \\
\hline & Page 108 & & Page 109 \\
\hline 1 & Q. And is this a document that you prepared in 12:14 & 1 & through that period I was storing e-mail. When I 12:16 \\
\hline 2 & the early-to-mid 1990s? & 2 & aw there was about something on Viola, I would put \\
\hline 3 & A. I prepared it for the trial for Microsoft & 3 & the -- a message that I had received into that \\
\hline 4 & and Eolas when I generated documents for them. & 4 & folder. \\
\hline 5 & Q. Do you recall when you prepared the 12:15 & 5 & Q. And do you still have the entirety of the 12:16 \\
\hline 6 & document in Exhibit 14? & 6 & Viola e-mail file today? \\
\hline 7 & A. You know, it would have been in the trial & 7 & A. It's been produced. \\
\hline 8 & time. & 8 & Q. Okay. And do you see there is a series of \\
\hline 9 & Q. Okay. & 9 & dates here: 1991, 1992? \\
\hline 10 & A. Once I knew that Microsoft and Eolas had a 12:15 & 10 & A. That's right. 12:16 \\
\hline 11 & patent case. & 11 & Q. And so you put the document in Exhibit 14 \\
\hline 12 & Q. So it's -- at some point after Eolas filed & 12 & together based upon what you could see in your \\
\hline 13 & its first case against Microsoft, you were contacted & 13 & e-mail file; is that right? \\
\hline 14 & and asked to look for documents? & 14 & A. That's correct. \\
\hline 15 & A. That's correct. 12:15 & 15 & Q. So for the things where there are dates and 12:16 \\
\hline 16 & Q. And at the time that you were looking for & 16 & descriptions of things that happened on various \\
\hline 17 & documents is when you prepared Exhibit 14? & 17 & dates, are those accurate to your present \\
\hline 18 & A. That's correct. & 18 & understanding and belief? \\
\hline 19 & Q. And do you see it says, "Viola Timeline. & 19 & A. Generally so. \\
\hline 20 & Compiled from e-mail correspondence of 1991-1993. 12:15 & 20 & Q. Okay. 12:16 \\
\hline 21 & Viola e-mail file"? & 21 & A. I have not validated that, but generally \\
\hline 22 & Do you see that? & 22 & it's been out there for ten years or so practically. \\
\hline 23 & A. That's correct. & 23 & Q. Having looked at the document in Exhibit 14 \\
\hline 24 & Q. What's the "Viola e-mail file"? & 24 & yesterday in preparing for your deposition, did you \\
\hline 25 & A. It is a folder of e-mails that -- you know, 12:16 & 25 & notice anything -- any of the descriptions for any 12:17 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|}
\hline & Page 110 & & Page 111 \\
\hline 1 & of the dates that you believe to be inaccurate? 12:17 & 1 & demonstrations to the Sun engineers or others of 12:18 \\
\hline 2 & A. No. & 2 & Viola? \\
\hline 3 & Q. And sitting here today, are you aware of & 3 & A. Yes. \\
\hline 4 & any of the descriptions for any of the dates for the & 4 & Q. Is there a reason why you didn't list those \\
\hline 5 & document in Exhibit 14 that you believe to be 12:17 & 5 & in Exhibit 14, the Viola Timeline, if you had a 12:19 \\
\hline 6 & inaccurate? & 6 & recollection of those events at the time you were \\
\hline 7 & A. No. & 7 & preparing this document? \\
\hline 8 & Q. You see toward the bottom of the documen & 8 & MS. DOAN: Objection; form. \\
\hline 9 & it says, "I'm not sure why there is a gap from this & 9 & THE WITNESS: No particular reason. I was \\
\hline 10 & point up until 1994. I stopped filing e-mail under 12:17 & 10 & really composing this, you know, as to what the 12:19 \\
\hline 11 & Viola and under the work we were doing to build GNN, & 11 & correspondence was. I didn't really necessarily \\
\hline 12 & which started in late fall of 1992"? & 12 & point out everything that was in the e-mails. \\
\hline 13 & A. Yes. & 13 & BY MR. BUDWIN: \\
\hline 14 & Q. Do you have an understanding as to why & 14 & Q. At the time that you were preparing the \\
\hline 15 & there is a gap in the timeline? 12:17 & 15 & document in Exhibit 14, did you believe that the 12:19 \\
\hline 16 & A. There are a couple of reasons. One is a & 16 & demonstrations of Viola to the Sun engineers were \\
\hline 17 & change in e-mail practice of copying e-mails into a & 17 & important? \\
\hline 18 & folder versus leaving them in a general inbox. & 18 & A. I'm -- I don't recall when I understood \\
\hline 19 & Second, I believe around a certain time I & 19 & hat to be important. And this e-mail does not -- \\
\hline 20 & got my own machine and the e-mail correspondence 12:18 & 20 & this list does not really cover \(1993.12: 19\) \\
\hline 21 & that is in this file was reflected on a backup or an & 21 & Q. But when you were preparing the list in \\
\hline 22 & archive that was made at O'Reilly & 22 & xhibit 14, you had a recollection of the \\
\hline 23 & Q. Now, at the time that you were putting & 23 & monstrations that you say were provided to the Sun \\
\hline 24 & together the document in Exhibit 14 for the last & 24 & engineers of Viola in May of 1993? \\
\hline 25 & case, did you have a recollection of the 12:18 & 25 & A. That's correct. 12:20 \\
\hline & Page 112 & & Page 113 \\
\hline 1 & Q. And is the reason that you chose not to 12:20 & 1 & let's start with, the fall of '93? 12:21 \\
\hline 2 & list those 1993 demonstrations in Exhibit 14 because & 2 & A. I believe it was the first meeting they \\
\hline 3 & at the time you were making Exhibit 14 you didn't & 3 & held and I recall participating in the meeting. \\
\hline 4 & have copies of the e-mails referring to those & 4 & Q. So you recall participating in the October \\
\hline 5 & demonstrations? 12:20 & 5 & 1993 SIGWEB meeting? 12:22 \\
\hline 6 & A. This -- Exhibit 14 is an index of the & 6 & A. I believe that's the right date. \\
\hline 7 & e-mails I had at the time. & 7 & Q. Do you recall Dr. Doyle attending the \\
\hline 8 & Q. And at the time you were preparing Exhibit & 8 & October 1993 SIGWEB meeting? \\
\hline 9 & 14, did you not have access to any e-mails referring & 9 & A. No, I do not. \\
\hline 10 & to the Sun demonstration? 12:20 & 10 & Q. Do you recall a discussion of Dr. Doyle and 12:22 \\
\hline 11 & A. As pointed out here, it was largely from & 11 & his Visible Embryo Project at the October \\
\hline 12 & 1991 through September of '92. & 12 & 1993 SIGWEB meeting? \\
\hline 13 & Q. Are you -- strike that. & 13 & A. No. \\
\hline 14 & Do you know what SIG-Web was? & 14 & Q. Do you have any recollection of Dr. Doyle \\
\hline 15 & A. I do. 12:21 & 15 & presenting a slide show describing the Visible 12:22 \\
\hline 16 & Q. What was SIGWEB? & 16 & Embryo Project at the October 1993 SIGWEB meeting? \\
\hline 17 & A. "SIG" stands for Special Interest Group, & 17 & A. I don't, no. \\
\hline 18 & and it was just a meeting that was formed in the Bay & 18 & MR. BUDWIN: What am I up to? \\
\hline 19 & Area I believe in the fall of 1993, and a person & 19 & THE REPORTER: 15. \\
\hline 20 & named Chris McRae had organized it just as a way to 12:21 & 20 & (Deposition Exhibit 15 was marked for 12:22 \\
\hline 21 & bring together people who were interested in the & 21 & identification) \\
\hline 22 & Web. & 22 & BY MR. BUDWIN: \\
\hline 23 & Q. Did you ever attend any SIGWEB meetings? & 23 & Q. Exhibit 15 is a copy of a document dated \\
\hline 24 & A. Yes, I did. & 24 & October 3rd, 1993 titled "Notes for Initial SIGWEB \\
\hline 25 & Q. What SIGWEB meetings do you recall in, 12:21 & 25 & Meeting," and at the bottom it's production No. 12:23 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|}
\hline & Page 114 & & Page 115 \\
\hline 1 & CM000950. 12:23 & 1 & the -- sorry, the first page, fifth paragraph, the 12:27 \\
\hline 2 & Have you seen the document in Exhibit 15 & 2 & one that starts "and." \\
\hline 3 & before? & 3 & A. Mm-hmm. \\
\hline 4 & A. No, I haven't. Not that I recall. & 4 & Q. And in the middle it starts, "There are \\
\hline 5 & Q. Can you turn to the -- 12:23 & 5 & representatives here from eight University of 12:27 \\
\hline 6 & MS. DOAN: Counsel, he has not seen this & 6 & California institutions." \\
\hline 7 & document. He can't authenticate it. I don't think & 7 & Do you see that? \\
\hline 8 & it's proper to ask questions about it. & 8 & A. Mm-hmm. \\
\hline 9 & MR. BUDWIN: Okay. Well, I think it is. & 9 & Q. And do you recall there being \\
\hline 10 & Q. Why don't you take a minute, Mr. Dougherty, 12:23 & 10 & representatives from the University of California in 12:27 \\
\hline 11 & and review the contents of the document, and tell me & 11 & the SIGWEB meeting in October of 1993? \\
\hline 12 & when you're done looking at it. & 12 & MS. DOAN: Objection; form. \\
\hline 13 & Okay? & 13 & THE WITNESS: I don't have any particular \\
\hline 14 & A. (Witness reviewing document.) & 14 & recollection. \\
\hline 15 & Okay, I've reviewed it quickly. 12:26 & 15 & BY MR. BUDWIN: 12:27 \\
\hline 16 & Q. Okay. Do you recall speaking at the SIGWEB & 16 & Q. And can you look to the third page of this \\
\hline 17 & conference in October of 1993? & 17 & document, 952. \\
\hline 18 & A. I don't have a personal recollection of it. & 8 & A. Yes. \\
\hline 19 & Obviously this document says I said something and we & 19 & Q. And it says in the second paragraph up from \\
\hline 20 & were there and supported it. 12:27 & 20 & the bottom of the page, refers to, quote, "The 12:28 \\
\hline 21 & Q. You know for a fact, though, you attended & 21 & Center for Knowledge Management, or CKM, is a branch \\
\hline 22 & the initial SIGWEB meeting in October of 1993? & 22 & f the UCSF Medical Library." \\
\hline 23 & A. That's correct. & 23 & Do you see that? \\
\hline 24 & Q. And do you see there is a reference in the & 24 & A. Mm-hmm. \\
\hline 25 & document, Exhibit 15, to "representatives." It's on 12:27 & 25 & Q. Yes? 12:28 \\
\hline & Page 116 & & Page 117 \\
\hline 1 & A. Yes, I do. 12:28 & 1 & BY MR. BUDWIN: 12:29 \\
\hline 2 & Q. Do you recall anyone from the Center for & 2 & Q. -- the inventor of the '906 patent? \\
\hline 3 & Knowledge Management or the University of San & 3 & A. I understand it now. \\
\hline 4 & Francisco speaking at the SIGWEB conference in & 4 & Q. You didn't at the time? \\
\hline 5 & October of 1993? 12:28 & 5 & A. No. 12:29 \\
\hline 6 & MS. DOAN: Objection; form. & 6 & Q. Now, do you see at the bottom of the third \\
\hline 7 & THE WITNESS: I don't have a recollection. & 7 & page, page 952 of Exhibit 15, the bottom paragraph \\
\hline 8 & BY MR. BUDWIN: & 8 & says, "Michael Doyle is the Director of the CKM. \\
\hline 9 & Q. Do you recall Chris McRae speaking at the & 9 & David Martin is Assistant Directer of CKM in charge \\
\hline 10 & SIGWEB conference in October of 1993? 12:28 & 10 & of ISSG"? 12:29 \\
\hline 11 & A. Yes, I do. & 11 & Do you see that? \\
\hline 12 & Q. Do you have a recollection of where Mr. & 12 & A. Yes. \\
\hline 13 & McRae was employed in October of 1993? & 13 & Q. Do you recall meeting Dr. Doyle or Mr. \\
\hline 14 & A. I didn't -- I didn't really know. I might & 14 & Martin at the SIGWEB meeting? \\
\hline 15 & have known that he worked at the Center for 12:28 & 15 & A. I do not. 12:29 \\
\hline 16 & Knowledge Management, but I wouldn't have known & 16 & Q. Do you see there is also a reference in the \\
\hline 17 & really what that was. & 17 & next sentence to Cheong Ang? \\
\hline 18 & Q. Do you have an understanding today that in & 18 & Do you see that? \\
\hline 19 & October of 1993 Mr. McRae was employed at the & 19 & A. I do. \\
\hline 20 & University of California San Francisco? 12:29 & 20 & Q. Do you recall Mr. Ang at the SIGWEB meeting 12:29 \\
\hline 21 & A. I do understand that now. & 21 & in October of 1993? \\
\hline 22 & Q. And do you understand that in October of & 22 & A. No, I don't. \\
\hline 23 & 1993 Mr. McRae was actually working for Dr. Doyle -- & 23 & Q. You understand now, don't you, that Michael \\
\hline 24 & MS. DOAN: Objection -- & 24 & Doyle, David Martin and Cheong Ang are the three \\
\hline 25 & // & 25 & inventors of Eolas' '906 and '985 patents? 12:30 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|}
\hline & Page 118 & & Page 119 \\
\hline 1 & A. I understand that. 12:30 & 1 & people to talk to for additional 12:31 \\
\hline 2 & Q. You have no recollection of meeting or & 2 & information. I believe Mike's got a slide \\
\hline 3 & speaking with any of them or any other person with & 3 & show presentation running on his PowerBook \\
\hline 4 & the University of California at the SIGWEB meeting & 4 & over on the back table which describes both \\
\hline 5 & in October of '93? 12:30 & 5 & the RedSage and Visible Embryo Projects." 12:31 \\
\hline 6 & A. No. & 6 & Do you see that? \\
\hline 7 & Q. In reviewing the document in Exhibit 15, & 7 & A. I do. \\
\hline 8 & did it refresh your recollection that Mr. Doyle, Mr. & 8 & Q. Do you recall seeing the slide shows \\
\hline 9 & Martin and Mr. Ang may have been present at the & 9 & describing RedSage or the Visible Embryo Project at \\
\hline 10 & SIGWEB meeting? 12:30 & 10 & the SIGWEB meeting in October of '93? 12:31 \\
\hline 11 & A. No, it doesn't. I mean, there is & 11 & A. No. \\
\hline 12 & obviously -- Chris is saying they were. There were & 12 & Q. So -- all right. \\
\hline 13 & a lot of people there. I wasn't there to & 13 & So just to summarize, you don't dispute, do \\
\hline 14 & particularly meet them and I don't have any & 14 & you, sir, that you attended the SIGWEB meeting in \\
\hline 15 & recollection of meeting them. 12:30 & 15 & October of 1993, do you? 12:31 \\
\hline 16 & Q. Will you turn to the next page, the one & 16 & MS. DOAN: Objection; form. \\
\hline 17 & that ends 953. & 17 & THE WITNESS: No, I don't dispute that. \\
\hline 18 & A. Mm-hmm. & 18 & BY MR. BUDWIN: \\
\hline 19 & Q. Do you see there is a reference to the & 19 & Q. You also recall Mr. McRae, Chris McRae, \\
\hline 20 & "Visible Embryo Project"? 12:31 & 20 & being present at the SIGWEB meeting in October of 12:31 \\
\hline 21 & A. Mm-hmm. & 21 & 1993? \\
\hline 22 & Q. And you see the last sentence of that & 22 & A. He was organizing it. \\
\hline 23 & paragraph says -- or second-to-the-last sentence & 23 & Q. And you recall him being there? \\
\hline 24 & says: & 24 & A. Yes. \\
\hline 25 & "Michael Doyle or Cheong Ang are the best 12:31 & 25 & Q. Sitting here today, you have no 12:32 \\
\hline & Page 120 & & Page 121 \\
\hline 1 & recollection of either Dr. Doyle or Mr. Ang or Mr. 12:32 & 1 & Q. So the reason -- the reason you think you 12:33 \\
\hline 2 & Martin, the inventors of the patents, the '906 and & 2 & can't remember the specifics of what was shown at \\
\hline 3 & '985 patent, being at the SIGWEB meeting in October & 3 & the SIGWEB meeting or whether even Dr. Doyle or Mr. \\
\hline 4 & of 1993? & 4 & Ang or any of the inventors were there is because it \\
\hline 5 & MS. DOAN: Objection; form. 12:32 & 5 & was a busy meeting? 12:33 \\
\hline 6 & THE WITNESS: No. & 6 & A. I think the bulk of the meeting was about \\
\hline 7 & BY MR. BUDWIN: & 7 & the web; it wasn't about Doyle. It wasn't about any \\
\hline 8 & Q. And you have no recollection of seeing a & 8 & those folks. \\
\hline 9 & slide slow presentation by Dr. Doyle or Mr. Ang of & 9 & So as Chris talks about in the first part, \\
\hline 10 & their Visible Embryo Project at the SIGWEB meeting? 12:32 & 10 & people were interested in understanding Gopher and 12:33 \\
\hline 11 & A. No. & 11 & the World Wide Web, and that's why I was there and I \\
\hline 12 & MS. DOAN: Same objection. & 12 & was there to talk about that. \\
\hline 13 & BY MR. BUDWIN: & 13 & Q. Do you have any notes or e-mails where you \\
\hline 14 & Q. Is there any reason in particular why & 14 & describe the SIGWEB meeting from October of '93 of \\
\hline 15 & sitting here today you don't recall the specifics of 12:32 & 15 & which you're aware? 12:33 \\
\hline 16 & who was present at the SIGWEB meeting and what & 16 & A. Not that I have seen. \\
\hline 17 & demonstrations were shown? & 17 & Q. Having reviewed the document in Exhibit 15 \\
\hline 18 & A. I recall it as a busy meeting with lots of & 18 & and based upon what you recall of the SIGWEB meeting \\
\hline 19 & people there. And Chris is obviously writing this & 19 & in October of '93, do you see anything inaccurate \\
\hline 20 & from his perspective, and, as an employee, he is 12:32 & 20 & that Mr. McRae said, anything that you can dispute 12:34 \\
\hline 21 & writing a lot about their work; but I didn't know & 21 & from your personal knowledge? \\
\hline 22 & that much about it. & 22 & MS. DOAN: Objection; form. \\
\hline 23 & Q. Did you know anything about Dr. Doyle or & 23 & THE WITNESS: Do you have anything \\
\hline 24 & the work on the Visible Embryo Project in 1993? & 24 & specifically that you think is inaccurate? I mean, \\
\hline 25 & A. No. 12:33 & 25 & I'm not going to attest to what he has written. 12:34 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|}
\hline & Page 122 & & Page 123 \\
\hline 1 & BY MR. BUDWIN: 12:34 & 1 & with Ms. Doan representing you, had you heard of 12:35 \\
\hline 2 & Q. Okay. I'm asking you: Having read what's & 2 & something called MediaView? \\
\hline 3 & written in Exhibit 15, and since you attended the & 3 & A. I don't think so. It's possible, but I \\
\hline 4 & meeting, the October 1993 meeting referred to in & 4 & hadn't heard about it until recently. \\
\hline 5 & Exhibit 15, do you see anything that jumps out to 12:34 & 5 & Q. Now, in the 1993 and 1994 time frame you 12:35 \\
\hline 6 & you as being inaccurate based on what you recall of & 6 & were actively involved in the Web community, were \\
\hline 7 & the meeting? & 7 & you not? \\
\hline 8 & MS. DOAN: Objection; form. & 8 & A. Yes. \\
\hline 9 & You can answer. & 9 & Q. And you were actively -- or you were aware \\
\hline 10 & THE WITNESS: I -- I have read this in a -- 12:34 & 10 & of all of the web browsers or most of the commonly 12:35 \\
\hline 11 & in the limited time I have here. Nothing jumped out & 11 & used web browsers at the time? \\
\hline 12 & at me. That doesn't mean it's completely inaccurate & 12 & A. I was aware of most of them. \\
\hline 13 & or that I would agree with it in all cases. & 13 & Q. And despite your involvement in the Web \\
\hline 14 & BY MR. BUDWIN: & 14 & community in 1993 or 1994, to your recollection you \\
\hline 15 & Q. Sitting here today and having reviewed the 12:34 & 15 & had never heard of MediaView prior to your 12:36 \\
\hline 16 & document, read the document in Exhibit 15, can you & 16 & involvement in this case? \\
\hline 17 & point out any inaccuracies to me that you see based & 17 & MS. DOAN: Objection; form. \\
\hline 18 & upon your recollection? & 18 & THE WITNESS: Yes. \\
\hline 19 & MS. DOAN: Objection; form. & 19 & BY MR. BUDWIN: \\
\hline 20 & THE WITNESS: Nothing specific. 12:35 & 20 & Q. Prior to your involvement in this case, had 12:36 \\
\hline 21 & BY MR. BUDWIN: & 21 & you heard of something called HyperCard? \\
\hline 22 & Q. Okay. All right. You can set that & 22 & A. Yes. \\
\hline 23 & document aside. & 23 & Q. Have you used HyperCard? \\
\hline 24 & Now, prior to your involvement in this & 24 & A. Yes. \\
\hline 25 & case, and I mean by "this case," I mean the case 12:35 & 25 & Q. Is HyperCard a web browser? 12:36 \\
\hline & Page 124 & & Page 125 \\
\hline 1 & A. No. 12:36 & 1 & Q. And when you say retrieve from a server, do 12:37 \\
\hline 2 & Q. Why not? & 2 & you mean using protocols like HTTP? \\
\hline 3 & A. It doesn't implement the Web protocols for & 3 & A. That's true. \\
\hline 4 & retrieving information across a network. It is a & 4 & MS. DOAN: Objection; form. \\
\hline 5 & hypermedia browser. 12:36 & 5 & BY MR. BUDWIN: 12:37 \\
\hline 6 & Q. In the 1993 to 1994 time frame, what would & 6 & Q. So in 1993 to 1994, would you agree with me \\
\hline 7 & your definition of a web browser be? & 7 & that one of the salient characteristics of a web \\
\hline 8 & A. In what time frame? & 8 & browser is that it would be able to retrieve \\
\hline 9 & Q. 1993 to 1994. & 9 & documents from a server using protocols like HTTP \\
\hline 10 & A. I think in the simplist case would be the 12:37 & 10 & and it would support the formatting of those 12:38 \\
\hline 11 & ability to retrieve a document from a web server and & 11 & documents; for example, in HTML? \\
\hline 12 & support the formatting of that document. A web & 12 & MS. DOAN: Objection; form. \\
\hline 13 & browser would also be able to access other & 13 & THE WITNESS: Yes. \\
\hline 14 & protocols, such as WAIS or Gopher, FTP. & 14 & MS. DOAN: You can answer. \\
\hline 15 & (Reporter clarification) 12:37 & 15 & THE WITNESS: Yes. 12:38 \\
\hline 16 & BY MR. BUDWIN: & 16 & MR. BUDWIN: I'll pass the witness. \\
\hline 17 & Q. You said I believe one of the & 17 & MS. DOAN: All right. Let's go off the \\
\hline 18 & characteristics of a web browser from 1993 to 1994 & 18 & record for just a little bit. \\
\hline 19 & would be that it would retrieve a document from a & 19 & THE VIDEOGRAPHER: We'll go off the record. \\
\hline 20 & server and support the formatting of the document; 12:37 & 20 & The time is \(12: 38 \mathrm{p} \mathrm{m}\). 12:38 \\
\hline 21 & is that right? & 21 & (Recess taken) \\
\hline 22 & A. That's correct. & 22 & THE VIDEOGRAPHER: We're on the record. \\
\hline 23 & Q. When you say support the formatting of the & 23 & The time is 12:59 p m. \\
\hline 24 & document, do you mean text formats like HTML? & 24 & MS. DOAN: We reserve our questions to the \\
\hline 25 & A. Yes. 12:37 & 25 & time of trial, Amazon and Yahoo! do. 12:59 \\
\hline
\end{tabular}



\begin{tabular}{|c|c|c|c|c|}
\hline A & 60:1 & 58:10,12 98:24 & 10:1 24:19 26:19 35:2 & 81:2 \\
\hline ability (2) & affiliate (2) & 101:10 102:5 & 40:3 51:12 55:7 & Avenue (1) \\
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\hline access (2) & 18:19 25:15 41:7 44:9 & apologize (1) & Associates (4) & awareness (2) \\
\hline 112:9 124:13 & 106:16 & 97:9 & 20:5,9 33:3 67:18 & 90:10 91:17 \\
\hline accuracy (2) & agreement (2) & appear (1) & association (1) & a.m (8) \\
\hline 42:21 51:6 & 21:24 93:20 & 127:6 & 5:19 & 2:2 5:2,15 54:22 55:1 \\
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\hline 109:17 129:14 & al (3) & appeared (1) & attend (3) & \\
\hline accurately (4) & 1:7 5:8 128:1 & 101:8 & \begin{tabular}{l}
64:18,21 112:23 \\
attended (3)
\end{tabular} & \[
\begin{aligned}
& \text { back (9) } \\
& \text { 30:7 59:2 79:14 90:19 }
\end{aligned}
\] \\
\hline 7:15 14:22 15:17 & \[
\begin{aligned}
& \text { alcohol (1) } \\
& 7: 14
\end{aligned}
\] & appearing (2) & \begin{tabular}{l}
attended (3) \\
114:21 119:14 122:3
\end{tabular} & 30:7 59:2 79:14 90:19 97:10 101:25 \\
\hline 49:18 & 7:14
allegedly (1) & \[
\begin{aligned}
& \text { 7:6,11 } \\
& \text { apply (2) }
\end{aligned}
\] & 114:21 119:14 122:3
attending (1) & \[
\begin{aligned}
& \text { 97:10 101:25 } \\
& \text { 102:24 106:25 }
\end{aligned}
\] \\
\hline \[
60: 14,15
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\hline 20:15 31:8,10 32:14 & 37:5,9 50:7 105:21 & 86:19 & 76:11 & 80:5 110:21 \\
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\hline actively (3) & Amazon (9) & archives (1) & attorneys (9) & 122:6,17 \\
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\hline 24:13 & Amazon.com (2) & 4:4 5:16 & August (11) & 132:7 133:6,10 \\
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\hline adjectives (1) & 121:4 & 37:16 40:15 59:18 & Austin (1) & 94:17 \\
\hline 51:14 & answer (28) & 89:14 90:18,21 91:3 & 3:6 & began (2) \\
\hline adjunct (1) & 9:10,11 24:1,17 25:20 & 91:18,23 95:5,14,17 & authenticate (1) & 91:1 95:13 \\
\hline 64:24 & 28:9 34:12 36:3 & 95:22 97:18 98:10 & 114:7 & beginning (4) \\
\hline admitted (2) & 39:5 48:22 49:9,11 & 98:15 131:19 & author (2) & 5:5 76:10 90:17 103:5 \\
\hline 16:12,20 & 50:10 52:14,20 & article (6) & 17:3 87:11 & begins (2) \\
\hline Adobe (3) & 54:15 56:12,13,15 & 66:15 71:20 131:18 & authored (1) & 62:9 74:8 \\
\hline 1:75:8 128:1 & 56:20 58:11 98:1 & 132:5,15 133:17 & 40:22 & behalf (3) \\
\hline adopting (1) & 102:16 103:24 & articles (1) & authors (1) & 2:8 5:23 104:4 \\
\hline 64:2 & 105:17 122:9
125:14 130:12 & \(71: 18\)
aside (2) & 87:7
available (11) & \[
\begin{aligned}
& \text { belief (16) } \\
& \text { 18:25 19:6,9,14,18 }
\end{aligned}
\] \\
\hline Advisory (1)
\(32 \cdot 15\) & \[
\begin{aligned}
& \text { 125:14 130:12 } \\
& \text { answered (8) }
\end{aligned}
\] & \[
\begin{aligned}
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