SCO Grp v. Novell Inc Doc. 347 Att. 10 Case 2:04-cv-00139-DAK-BCW Document 347-11 Filed 05/29/2007 Page 1 of 69

EXHIBIT 16

Dockets.Justia.com

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INTELLECTUAL PROPERTY ASSIGNMENT

THIS INTELLECTUAL PROPERTY ASSIGNMENT (this "Assignment") is made as of May <u>1</u>, 2001 (the "Effective Date") by and between The Santa Cruz Operation, Inc. a California corporation having its principal place of business at 425 Encinal Street, Santa Cruz, California 95060 (the "Assignor") and Caldera International, Inc. a Delaware corporation having its principal place of business at 240 West Center Street, Orem, Utah 84057 (the "Assignee").

WHEREAS, Assignor and Assignee are parties to the Agreement and Plan of Reorganization, dated August 1, 2000, and amended September 13, 2000, December 12, 2000 and February 9, 2001 (collectively, the "Reorganization Plan"), which requires the execution of Ancillary Agreements, including an intellectual property assignment.

WHEREAS, Assignor has developed, created, written, and/or acquired certain inventions, patent application, trade secrets, trademarks and trademark applications, designs, products, processes and works of authorship prior to the Effective Date, including but not limited to, the software code, inventions, trade secrets, trademarks and trademark applications designs, products, processes and works of authorship listed in <u>Schedules A-C</u> attached hereto (the "Inventions and Works") (which do not include the Excluded Assets, as defined in the Reorganization Plan, and any intellectual property rights appurtenant thereto);

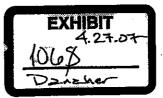
WHEREAS, Assignor owns certain rights, title and interest to the Invention and Works and patent rights, trade secret rights, trademarks, copyrights, design rights and other rights throughout the world appurtenant or related thereto, including certain rights to recover for past infringement of such rights;

WHEREAS, Assignee is desirous of acquiring all rights, title and interest in and to the Inventions and Works, pursuant to the Reorganization Plan; and

WHEREAS, Assignor retains a license to use the SCO and SCO and design trademarks and the UNIX, UnixWare and SCO OpenServer technology as set forth herein.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged by Assignor, the parties hereby agree as follows:

1. <u>Assignment</u>. Assignor hereby assigns, transfers and conveys to Assignee, and Assignee accepts, all of Assignor's right, title, and interest throughout the world in and to the Inventions and Works, including, but not limited to, any of the following appurtenant or related to the Inventions and Works: (i) all patents and patent applications and any and all patents that are or may be granted therefrom whether in the United States or any other country, including, without limitation, any extensions, continuations, continuations-in-part, divisions, reissues, reexaminations, and renewals thereof, or other equivalents thereof; (ii) all copyrights and all necessary and appropriate renewals and extensions thereof (iii) all trademarks, service marks, trade names, domain names, logos, trade dress, get up and product aesthetic features, including,



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without limitation, all registrations, applications for registration and common law rights thereto and all goodwill associated therewith; (iv) all design rights, whether registered, unregistered, patented, patentable or otherwise: (v) all trade secret rights and rights to enforce confidentiality or similar obligations in relation to the Inventions and Works; (vi) any and all other forms of intellectual property or proprietary right recognized anywhere in the world; and (vii) all rights and privileges pertaining to (i) through (vi), including without limitation, the right, if any, to sue or bring other actions for past, present and future infringement thereof ((i) through (vii) hereinafter collectively referred to as the "Rights"). Notwithstanding the foregoing, Assignor retains a perpetual, non-transferable, non-sublicensable, royalty free, license back to use the SCO and SCO and design trademarks without attribution to Caldera, to the extent that Assignor enjoyed such rights prior to entering into the Reorganization Plan; and Assignor retains i) a perpetual, non-transferable, non-sublicensable, royalty free license back of the UNIX, UnixWare and SCO Open Server source code for Assignor's internal business use only, and ii) a perpetual. non-transferable, non-sublicensable, royalty free license to any future enhancements (meaning bug fixes, patches and any minor modifications) for a period of five years, for Assignor's internal business use only.

2. <u>Protection</u>. Assignor further hereby assigns to and empowers Assignee, its successors, assigns or nominees, all rights to make applications for patents, copyright, trademark or design registrations or other forms of protection for the Inventions and Works and to prosecute such applications as well as to claim and receive the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention that may henceforth be substituted for it, and the right to invoke and claim such right of priority without further written or oral authorization.

3. <u>Authorization to Record</u>. Assignor further agrees that this Assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document that may be required in any country for any purpose regarding the subject matter hereof, as well as constituting proof of the right of Assignee or its successors, assigns or nominees to apply for patent, copyright, trademark or design or other protection for the Inventions and Works and to claim the aforesaid benefits of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention that may henceforth be substituted for it.

4. <u>Delivery</u>. Assignor agrees to deliver promptly to Assignee all the tangible examples reasonably requested by Assignee in Assignor's possession and all prototypes of the Inventions and Works held by Assignor as of the Effective Date.

5. Further Assurances. Assignor further agrees that Assignor will: (a) cooperate with Assignee's reasonable requests in the prosecution of patent, copyright, trademark or design applications on the Inventions and Works; (b) execute, verify, acknowledge and deliver all such reasonably required further papers, including applications and instruments of transfer; and (c) perform such other acts as Assignee lawfully and reasonably may request to obtain, maintain, defend or enforce Rights for the Inventions and Works any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns. In the event that Assignee is unable to to secure Assignor's signature to any document it is reasonably entitled to hereunder, after making a reasonable effort to secure such signatures, Assignor hereby irrevocably designates and

appoints Assignee and its duly authorized officers and agents, as its agents and attorneys-in-fact to act for and on its behalf and instead of it, to execute and file any such document and to do all other lawfully permitted acts to further the purposes of the foregoing with the same legal force and effect as if executed by Assignor.

6. <u>Moral Rights</u>. Any assignment of copyright hereunder includes all rights of paternity, integrity, disclosure and withdrawal and any other rights that may be known as or referred to as "moral rights" (collectively "Moral Rights"). To the extent such Moral Rights cannot be assigned under applicable law and to the extent the following is allowed by the laws in the various countries where Moral Rights exist, Assignee hereby waives such Moral Rights. Assignee shall confirm any such waivers and consents from time to time as requested by the Assignor.

7. <u>Confidentiality</u>. Assignor hereby acknowledges and agrees that as of the Effective Date of this Assignment, the Inventions and Works, the Rights related or appurtenant thereto, and fact and terms and conditions of this Agreement are confidential and proprietary information of Assignee and Assignor may not use or disclose such information to any third party upon or after the Effective Date.

8. <u>Representations and Warranties</u>. Assignor hereby represents and warrants to Assignee the following:

(i) Assignor has the full power, authority and all rights necessary to transfer and assign Assignor's Rights in the Inventions and Works to Assignee and to carry out the terms and provisions of this Agreement;

(ii) Assignor's Rights in the Inventions and Works has not heretofore been pledged, hypothecated or otherwise encumbered and is, in all respects, free and clear of all encumbrances including, without limitation, interests, claims, options, prior assignments, licenses, leases, liens, charges or any other restrictions of any nature whatsoever; except for a security interest in all of the assets of Assignor, including the Inventions and Works, by The Canopy Group, filed with the California Secretary of State on January 12, 2001; and except for the pending opposition action against the UnixWare trademark in the EU.

(iii) By making this assignment Assignor will not breach any obligation to any third party under contract or otherwise;

(iv) The Inventions and Works do not infringe the Rights of any third

party;

(v) Assignor has no knowledge of any fact that would prevent Assignee's registration of any Rights related or appurtenant to the Inventions and Works or recording the transfer of Rights hereunder (except that Assignor may not be able to establish a chain of title from Novell Inc. but shall diligently endeavor to do so as soon as possible); and (vi) Assignor will not in the future register the Inventions and Works or any of the Rights related or appurtenant to the Inventions and Works.

Assignor agrees to defend and indemnify Assignee from and against any liabilities, claims, actions, demands or damages including, without limitation, reasonable attorneys' fees and costs arising from any breach of any of the foregoing warranties.

9. Miscellaneous. The waiver by either party of any breach of this Agreement or any right hereunder shall not constitute a waiver of any subsequent breach of this Agreement; nor shall any delay by either party to exercise any right under this Agreement operate as a waiver of any such right. If any provision of this Agreement shall be adjudged by any court of competent jurisdiction to be unenforceable or invalid, that provision shall be limited or eliminated to the minimum extent necessary so that this Agreement shall otherwise remain in full force and effect and enforceable. Headings and captions are for convenience only and are not to be used in the interpretation of this Agreement. Any party to this Agreement that takes steps to enforce the terms of this Agreement shall be entitled to reimbursement of any costs and expenses. (including reasonable attorneys' fees) in the event of any such action. This Agreement shall be deemed to have been made in, and shall be construed pursuant to the laws of the State of New York and the United States America without regard to conflicts of laws provisions thereof. The parties agree that this Agreement is the complete and exclusive statement of the mutual understanding of the parties and supersedes and cancels all previous written and oral agreements and communications, if any, relating to the subject matter of this Agreement. This Agreement shall be binding on the parties' respective heirs, executors, successors and assigns,

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IN WITNESS WHEREOF, the parties hereto have executed this Intellectual Property Assignment as of the date first written above.

ASSIGNEE:

CALDERA INTERNATIONAL, INC., a Delaware corporation

-By: <u>K</u> NEH Its: VP

ASSIGNOR:

THE SANTA CRUZ OPERATION INC., a California corporation

By:		
Year	·	

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State of <u>California</u>	
country of Santa Chiz	
On April 30 2001 before me,	Naucy Valek-Confaett, Notzny Public" Warne and Title of Officer (e.g., Jane Doe, Motary Public") SER Name(e) of Signer(e)
personally appeared <u>Raudal</u> Br	Name(a) of Sciner(a)
Dersonally known to me – OR – D proved to me W a NANCY VIEK CORRECT NANCY VIEK CORRECT Commission & 1222/05 F Hubby Public - Contents	on the basis of satisfactory evidence to be the person hose name(s) is/are subscribed to the within instrume nd acknowledged to me that he/she/they executed it ame in his/her/their authorized capacity(ies), and that is/her/their signature(s) on the instrument the person r the entity upon behalf of which the person(s) activity executed the instrument.
	Signature of Notary Public
Though the information below is not required by law, it may p	
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Though the Information below is not required by law, it may p fraudulent removal and reattach Description of Attached Document Title or Type of Document: Document Date: Signer(s) Other Than Named Above: Capacity(ies) Claimed by Signer(s) Signer's Name: Individual Corporate Officer Title(s): Partner	TIONAL rove valuable to persons relying on the document and could prevent ment of this form to another document. A Property Assignment - 300 A Property Assignment - 300 Mumber of Pages:

IN WITNESS WHEREOF, the parties hereto have executed this Intellectual Property Assignment as of the date first written above.

ASSIGNEE:

CALDERA INTERNATIONAL, INC., a Delaware corporation

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	_	 			 	 		
By:	-						•	
Its:								
		 _	-	_	 	 		

ASSIGNOR:

THE SANTA CRUZ OPERATION INC., a California corporation

BRASSEE By:_ KANDALL. Its: CFO

88.

STATE OF Calibonia COUNTY OF Santa Cruz

On this <u>20th</u> day of <u>Appi</u>, in the year <u>200</u>, before me, the undersigned <u>Notary Public, duly commissioned and sworn, personally appeared <u>Paudall Presee</u>, personally known to me or proved to me on the basis of satisfactory evidence to be the person who executed the within instrument as <u>Chief Financial Officer</u>, on behalf of The <u>Sanha Care</u> <u>Operator</u>, and acknowledged to me that <u>Paudall Bresce</u> executed it.</u>

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year in this certificate above written.

[SEAL]



Notary Hublic in and for the aforesaid County and State

SCHEDULE A ASSIGNED PATENT APPLICATION

Patent Application:

U.S. Utility Patent Application entitled "Method and Apparatus for Executing Java Applications on a Single Java Virtual Machine," Application No. 09/464,352; Filed December 15, 1999.

SCHEDULE B ASSIGNED TRADEMARKS AND TRADEMARK APPLICATIONS

Country	Trademark	Registration Date	Registration No.	Status/Class
Argentina	SCO	09/30/93	1472693	Registered 9
	SCO	06/30/94	1530433	Registered 42
	Open Desktop	(Applied for, filing date: 7/14/89, Application no. 1696846)	(Applied for)	Abandoned/9
Australia	Open Desktop	7/13/89	A514837	Abondoned/9 1996
	ODT	(Applied for, filing date: 7/22/91, Aplication no. 560086)	(Applied for)	Pending/9
-	sco	09/05/01	563113	Registered/42
	SCO	09/05/91	563112	Registered/9
	SCO and Design (Stylized Tree)	02/15/96	702508	Registered/9
	UNIXWARE	07/01/94	633864	Registered/9
Austria	Open Desktop	4/30/90	130350	Registered/9, 16, 36, 42 Do not renew instructions 1/5/2000
	sco	01/22/92	139950	Registered/9, 16, 36, 41, 42
Bangladesh	Open Desktop	09/30/91	33556	Registered/9 Renewal date 9/30/1998
	Open	09/30/91	33557	Registered/16

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	Desktop			Renewal date 9/30/1998
	sco	01/13/00	33554	Registered/9
	sco	09/30/91	33557	Registered/16
	UNIXWARÉ	(Applied for, filing date: 9/17/94, Application no. 41673)	(Applied for)	(Applied for)
•	UNIXWARE	(Applied for, filing date: 9/17/94, Application no. 41672)	(Applied for)	(Applied for)
Benelux	Open Desktop	07/17/89	467837	Registered, 9, 16, 42 Do not renew instructions 3/23/99
	SCO	02/08/90	472347	Registered/9, 16, 41, 42
	SCO and Design (Stylized Tree)	02/14/86	587243	Registered/9, 16, 41
Bolivia	Open Desktop	10/02/90	50510C	Registered/9 Renewal Date 10/2/00
Brazil	Open Desktop	(Applied for, filing date: 7/24/89, Application No. 814975062)	(Applied for)	Abandoned/9 1996
	SCO and Design (Stylized Tree)	03/30/99	819433667	Registered/9, 40
	SCO	03/22/94	816968500	Registered/9
	sco	04/05/94	816966133	Registered/40

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Canada	Open Desktop	07/19/91	386744	Registered Renewal date 07/19/06
	Open Desktop And Design	(Applied for, filing date: 4/4/89, Application No. 623809)	(Applied for)	Abandoned/19 95
	SCO and Design (Stylized Tree)	02/29/96	475,359	Registered
	sco	04/23/96	411425	Registered
-	SCO and Design	12/17/93	420940	Registered
Chile	Open Desktop	02/26/92	382125	Registered/41 42 Renewal date 02/26/02
	Open Desktop	12/10/93	418111	Registered/9, 16 Renewal date 12/10/03
	SCO	5/17/89 (renewed 3/23/99)	342750	Registered/42
	sco	03/10/92	383070	Registered/4, 42
China	Open Desktop	(Applied for, filing date: 9/17/92)	(Applied for)	Inactive/16
	Open Desktop	(Applied for, filing date 9/17/92)	(Applied for)	Inactive/9
	Design (Stylized Tree)	07/28/97	1065879	Registered/9
	UNIXWARE	08/07/96	860857	Registered/9
Columbia	Open Desktop	06/09/93	141336	Registered/9 Renewal date

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				06/09/03
Costa Rica	Open Desktop	12/21/90	74001	Registered/9 Renewal Date 12/21/00
Denmark	Open Desktop	08/27/93	VR060871993	Registered/9 Renewal Date 08/27/03 To be abandoned
	UNIXWARE	06/24/94	41.125.1994	Registered/9
	SCO	10/30/92	VR100061992	Registered/9, 16, 41, 42
Ecuador	Open Desktop	06/20/90	310090	Registered/9 Renewal Date 06/20/05
El Salvador	Open Desktop	(Applied for, Application No. E163489)	(Applied for)	Abandoned/9 1996
	Open Desktop	(Applied for, Application No. E163589)	(Applied for)	Abandoned/16 1996
European Community	SCO and Design (Stylized Tree)	9/11/98	183129	Registered/9, 16, 42
	UNIXWARE	(Applied for, filing date: 4/1/96; Application no. 183103)	N/A	Opposed/9, 16 41
Federal Republic of	UNIXWARE	5/12/93	203107	Registered/9, 16, 37, 38, 42
Germany	UNIXWARE (stacked)	06/17/93	2038592	Registered/9, 16, 37, 38, 42
	UNIXWARE	04/29/93	2035231	Registered/9, 16, 37, 38, 42
Finland	Open Desktop	01/20/95	135997	Registered/9, 16, 42 Renewal date 1/20/05

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	Open Desktop	(Applied for, filing date: 7/13/89, Application No. 353789)	(Applied for)	Abandoned/9 1996
1	SCO	12/21/92	123767	Registered/9, 16, 42
France	Open Desktop	07/27/89	1543525	Registerd/9, 16, 42 Do not renew instructions 3/23/99
•	SCO	09/09/91	1692453	Registered/9, 16, 41, 42
	SCO	03/13/87	1398504	Registered/9, 42
Germany	Open Desktop	03/23/94	1189990	Registered/9, 16, 35, 42 Do not renew instructions 08/04/00
	sco	03/01/90	1186634	Registered/9, 16, 41, 42
Greece	Open Desktop	07/12/89	94650	Registered/9, 16 Renewal date 07/12/99
Guatemala	Open Desktop	10/24/90	63279	Registered/9 Renewal date 10/24/00
Hong Kong	SCO and Design (Stylized Tree)	02/24/96	5080/97	Registered/9
	sco	09/11/91	1108/94	Registered/9
	SCO	09/11/91	879/93	Registered/16
Indonesia	Open Desktop	03/23/92	273226	Registered/9, 16 Renewal date 03/23/02
-	UNIXWARE	08/28/95	360559	Registered/9

CONFIDENTIAL

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[SCO	1/24/95	327021	Registered/9
	SCO	(Applied for, filing date: 9/30/93, Application no. HC010114085A)	333456	(Applied for)
International Bureau	SCO	07/15/87	516330	Registered/9, 42
Ireland	Open Desktop	05/31/93	140756	Abandoned/9 1/23/96
· .	SCO	09/05/91	146285	Registered/9
Israel	Open Desktop	(Applied for, filing date 9/16/91, Application no. 80953)	(Applied for)	Abandoned/9 12/23/96
	Open Desktop	(Applied for, filing date 10/12/94, Application no. 95553)	(Applied for)	Abandoned/9 12/23/96
Italy	Open Desktop	01/29/92	558705	Registered/9, 16, 36, 42 Renewal date 7/26/99
	UNIXWARE	12/02/93	678741	Registered/9
	SCO	03/02/94	615327	Registered/9, 16, 41, 42
Japan	Open Desktop	05/31/93	2538133	Registered/11 Renewal date 05/31/03
	Open Desktop	05/21/93	2538133	Registered/11 Renewal date 05/31/03 Do not renew instructions 3/23/99
	ODT	2/28/95	2704134	Registered/11 Renewal Date 2/28/05
	Open	(Applied for, filing	(Applied for)	Abandoned/11

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	Desktop in	date 12/12/90,	1	
	Katakana .	Application no. HEI2138270)		
	SCO and Design (Stylized Tree)	10/09/98	4197569	Registered/9
	UNIXWARE	03/21/99	4,273,396	Registered
	SCO	6/27/97	3325688	Registered/42
	sco	02/24/93	2610962	Registered/11
Korea (North)	Open Desktop	02/22/91	210102	Registered/39 Renewal date: 02/22/01 Do not renew instructions 1/23/96
Korea (South)	SCO and Design (Stylized Tree)	11/01/97	380641	Registered/9
Malaysia	Opėn Desktop	(Applied for, filing date 7/26/89, Application No. 8904470)	(Applied for)	Abandoned/9 1/23/96
Mexico	ODT	3/14/91	433850	Registered/9 Renewal Date 3/14/01
	Open Desktop	07/25/94	382323	Registered/ 1,6,8,9,11, 12,14,16 Renewal date 07/25/04
	SCO and Design (Stylized Tree)	(Applied for, filing date 02/20/96, Application no. 254919)	(Applied for)	Filed/9
	UNIXWARE	(Applied for, filing date: 12/10/93, Application no.	(Applied for)	Filed/9

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	SCO	3/14/91 renewal filed on 10/2/2000)	399947	Registered/9
• •	SCO	10/15/91 (renewal filed on 11/22/2000)	400984	Registered/42
	SCO	03/14/91 (renewal field on 10/2/2000)	399948	Registered/16
New Zealand	Open Desktop	(Applied for, filing date: 7/10/89, Application no. 194555)	(Appiled for)	Abandoned/9 1/23/96
	SCO	02/09/96	A212704	Registered/9
	UNIXWARE	08/02/94	239501	Registered/9
Norway	Open Desktop	10/31/91	147391	Registered/9, 16, 42 Renewal date 10/31/01 To be abandoned
	SCO	01/14/93	154571	Registered/9, 16, 42
	UNIXWARE	11/02/95	169 934	Registered/9
Pakistan	Open Desktop	(Applied for, filing date 12/4/91, Application no. 113420)	(Applied for)	Abandoned/9 1/23/96
	SCO	11/29/99	113421	Registered/9
•	SCO	(Application filed, filling date: 12/04/91, Application No. 113422)	(Application filed)	(Pending – published on 2/28/97)
Paraguay	Open Desktop	12/18/89	137959	Registered/9 Renewal date 12/18/99
Peru	Open Desktop	(Applied for, filing date 8/4/89,	(Applied for)	Rejected

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		Application no. 157636)		
Portugal	Open Desktop	12/10/92	257352	Registered/9 Renewal Date 12/10/02
• ·	sco	08/17/93	278165	Registered/9
	sco	09/20/93	278743	Registered/42
Russia	Open Desktop	10/16/92	40861-	Registered/9 Renewal Date 10/09/01
	sco	10/09/91	109157	Registered/9
Singapore	Open Desktop	3/16/89	A453989	Abandoned/9 1/23/96
	ODT	(Applied for, filing date 2/28/91, Application no. 128891)	(Applied for)	Abandoned/9
	sco	02/28/91 (renewed 2/28/98)	128991	Registered/9
	SCO	(Application filed on 2/28/91, Application No. 129091)	(Applied for)	(Pending/16
	sco	03/01/91	218291	Registered/42
South Africa	Open Desktop	08/02/89	B896925	Registered/9 Renewal Date 08/02/99 Not renewed
Spain	Open Desktop	(Applied for, filing date 7/27/89, Application no. 1514081)	(Applied for)	Abandoned/9 1/23/96
	UNIXWARE	12/05/95	1737324	Registered/9
	SCO	02/20/90 (application renewed until	1550163	Registered/9

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	sco	06/03/93	1667248	Registered/42
Sri Lanka	Open Desktop	11/08/91	62566	Registered/9 Renewal Date 11/08/01
	SCO	05/23/95	62568	Registered/9
	sco	11/08/91	62569	Registered/42
Sweden	Open Desktop	07/23/93	250260	Registered/9, 16, 42 Renewal Date 07/23/03
	UNIXWARE	04/29/94	257804	Registered/9
	SCO	05/14/93	249102	Registered/9, 41, 42
Switzerland	Open Desktop	07/11/89	374836	Registered/9, Renewal Date 7/11/09
	SCO	09/05/91	392642	Registered/9, 16
Taiwan	Open Desktop	09/16/90	498741	Registered/72 Renewal Date 09/15/00
	ODT	10/16/91	538693	Registered/49 Renewal Date 9/15/00
	ODT .	11/16/91	542354	Registered/72 Renewal Date 11/15/01
	SCO and Design (Stylized Tree)	03/16/97	753030	Registered/9
	SCO	01/16/92 renewal filed 7/7/99	548772	Registered/72
	sco	10/16/91 renewal	538736	Registered/49

		filed 7/7/99	<u> </u>	<u> </u>
Thailand	Open Desktop	03/17/92	KOR272	Registered/9 Renewal Date 03/17/02
	sco	06/30/93	KOR3310	Registered/9
	sco	06/30/93	KOR3321	Registered/16
Turkey	Open Desktop	07/18/89	113450	Registered/9, 16 Renewal Date 07/18/99 Not Renewed
United Kingdom	Open Desktop	(Applied for, filing daet: 1/27/89, Application no. 1390250)	1/27/89	Abandoned/9 1/23/96
	Open Desktop	03/09/91	B1457899	Registered/9 Renewal Date 03/09/98 Do not renew instructions 1/4/95
	UNIXWARE	09/22/93	1,548,436	Registered/9
	SCO -	09/17/91 (renewed through 9/17/2008)	1477302	Registered/9
	sco	9/17/91 (renewed through 9/17/2008)	1477303	Registered/42
United States	Open Desktop	2/6/90	1581180	Abandoned/9 1/23/96
	Open Desktop	03/20/90	1587521	Registered/9 Renewal Date 03/20/90 Do not renew isntructions 1/4/95
	UNIXWARE	07/19/94	1,845,474	Registered/9
	UNIXWARE	07/27/99	2,241,666	Registered/9
	SCO and	05/27/97	2,064,732	Registered/9

	Design (Stylized Tree)			
	sco	01/23/90 (request for renewal sent 1/4/00)	1578766	Registered/9, 16, 41,42
Uruguay	Open Desktop	04/03/92	231681	Registered/9, 16, 42 Renewal Date 04/03/02
Venezuela	Open Desktop	(Applied for, filing date 8/7/89, Application no. 011681)	(Applied for)	Abandoned/50 1/23/96

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SCHEDULE C ASSIGNED COPYRIGHTS AND TECHNOLOGY

Copyrights

Source Code Products: A.

UnixWare 7 Source Code product family as described in SCO's UnixWare 7 Licensing Schedules.

UnixWare 2 product family as described in SCO's UnixWare 2 Licensing Schedules.

UnixWare 1.1 as described in the UnixWare 1.1 Licensing Schedule.

UNIX SVR4.2MP as described in the UNIX SVR4.2MP Licensing Schedule and those products listed as "prior" products on such schedule.

UNIX SVR4.2 as described in the UNIX SVR4.2 Licensing Schedule and those products listed as "prior" products on such schedule.

UNIX SVR4.1 ES as described in the UNIX SVR4.1 ES Licensing Schedule and those products listed as "prior" products on such schedule.

UNIX SVR4.0MP as described in the UNIX SVR4.0 MP Licensing Schedule and those products listed as "prior" products on such schedule.

Auxiliary SVRx Products as set forth in Schedule 1 attached hereto.

В. **Binary Products:**

UnixWare 7 product family as described in SCO's price list attached hereto. UnixWare 7 NonStop Clusters as described in SCO's price list attached hereto. UnixWare 2 product family as described in SCO's price list attached hereto. UnixWare 1.1 product family, including UnixWare 1.1.x update releases.

C. **Products Under Development:**

UnixWare 7.1.1 Media Kit Refresh ("Binary Roll-up").

UnixWare 7.1.1 Feature Supplements for UDI, UODK, and DCAP UnixWare 7.1.2 Maintenance Release.

UnixWare 7.2 Upgrade Release - Code Named Aries. Linux Personality for UnixWare7 - Code Named LKC. UnixWare 7 NonStop Clusters Release 7.1.1C.

Other Technology

UnixWare system/HBA/etc. Test/Certification Suites UnixWare "OS Branding" Test Suites UnixWare "OS Compatible" Requirements

Gaede Performance Test Suite

ARTUS, Bart, Buster International UNIX Test Suite and test harnesses

Requirements, Design, and Test Specifications for UnixWare 2

Technical Support Update Manager

Marketing collateral/information in electronic form

ODI transmogrification software

Perk up technology

OpenServer Products: all software, development tools, compilers, libraries, driver kits, utilities, and the operating system software and other products in whole or in part based on or developed from or for the AT&T Unix System V version 3.2 kernel and any successor to that kernel, including the kernel, the code base, the application program interfaces, the application binary interfaces, derivative works thereof, and those products offered under the names or marks "Appliance Server", SCO Admin, SCO OpenServer Enterprise System, SCO OpenServer Host System, SCO OpenServer Internet FastStart System, or SCO OpenServer Desktop System, SCO Virtual Disk Manager, SCO Doctor, SCO ARCserve/Open, SCO Merge, SCO OpenServer SMP[™] Licenses, SCO PPP from Morning Star, SCO Internet Security Package, SCO Internet to NetWare Gateway, and Interscan VirusWall, and all successors, upgrades, enhancements, releases, new versions, and updates to any of the above that have been developed or acquired by the Contributing Companies as of the Effective Time, as defined in the Reorganization Plan.

D. Auxiliary SVRx Products

Open Network Computing+

386 Implementation of UNIX System V Release 4 Multi-National Language Supplement
386 Implementation of UNIX System5 Release 4 Multi-National Language Supplement
3B2 Implementation of UNIX System5 Release 4 Multi-National Language Supplement
Application Source Verifier Release 2.0

Artus

C Compilation System for Motorola 68000

C Optimized Compilation System for UNIX System 5 386/486

C++ Documents

C++ Language System Release 2.1

C++ Language System Release 3.0 and 3.0.1

C++ Language System Release 3.0.2

C++ Language System Release 3.0.3

C++ Object Interface Library Release 1.1

C++ Standard Components Release 2.0

C++ Standard Components Release 2.0.1

C++ Standard Components Release 3.0

C++ Standard Libraries Release 2.0

C++ Standard Libraries Release 3.0

C++ Standard Libraries Extension Release 1.0

C++LS 2.0

C++Translator

CFRONT Release 1.2

Chinese System Messages Implementation of UNIX System V Release 4 System Messages Distributed Manager/Framework & Host Manager Release 1.0

Distributed Manager/Framework & Host Manager Technology Licensing Program 1

Distributed Manager/Framework & Host Manager U.I. Early Access

Distributed Manager/Print Manager Release 1.0

Distributed Manager/Print Manager Technology Licensing Program 1

Distributed Manager/Print Manager Technology Licensing Program 1

Distributed Manager/Print Manager U.I. Early Access

DM/SM-TLPI

Documentation Reproduction Provision-UNIX System V Handbook Documentation Reproduction Provision-UNIX System V Programming Books Documentation Reproduction Provision-UNIX System V Reference Books Documentation Reproduction Provision-UNIX System V Users and Administrators Books European Supplement Release 3.2

European System Messages Release 3.2

French Application Environment 1.0/3b2

French System Messages Implementation of UNIX System V Release 4 System Messages French System Messages Implementation of UNIX System V Release 4.1 Enhanced Security

System Messages

German Application Environment

German System Messages Implementation of UNIX System V Release 4 System Messages German System Messages Implementation of UNIX System V Release 4.1 Enhanced Security System Messages

Hindi System Messages Implementation of UNIX System V Release 4 System Messages Intel386 Microprocessor Implementation of VERITAS File System (VxFS) Release 1.0 Intel386 Microprocessor Implementation of VERITAS Visual Administrator Release 1.01 Intel386 Microprocessor Implementation of VERITAS Volume Manager (VxVM) Release 1.01 Intel386 Microprocessor Implementation of VERITAS Volume Manager (VxVM) Release 1.1 Intel386 Microprocessor Implementation of VERITAS Volume Manager (VxVM) Release 1.1 Intel386 Microprocessor Implementation of VERITAS Volume Manager (VxVM) Release 1.1 Intel386 Microprocessor Implementation of VERITAS Volume Manager (VxVM) Release 1.1 Intel386 Microprocessor Implementation of VERITAS Volume Manager (VxVM) Release 1.1 Intel386 Microprocessor Implementation of VERITAS Volume Manager (VxVM) Release 1.1

Italian System Messages Implementation of UNIX System V Release 4 System Messages Japanese Application Environment I/O Rel 1.0

Japanese Application Environment Release 2.0

Japanese Application Environment Release 2.0

Japanese Application Environment Release 2.1

Japanese Application for SVR4.2

Japanese Extension Implementation of UNIX System V Release 4.2

Japanese I/O Release 1.0

Japanese System Messages Implementation of UNIX System V Release 4.1 Enhanced Security System Messages

Japanese System Messages Release 3.2

Korean System Messages Implementation of UNIX System V Release 4 System Messages Optimizing C Compiler for Intel, Release 3.0

Spanish System Messages Implementation of UNIX System V Release 4 System Messages Spanish System Messages Implementation of UNIX System V Release 4.1 Enhanced Security System Messages

System V Release 2.0 Machine Readable Documentation

System V Release 3.0 Documentation Reproduction Provision

System V Release 3.1 Documentation Reproduction Provision

System V Release 3.2 Documentation Reproduction Provision

System V Verification Suite Release 2

System V Verification Suite Release 3

System V Verification Suite Release 4

UNIX System V French System Messages Release 3.2

UNIX System V German System Messages Release 3.2

UNIX System V Release 1.0 for 3B2 Multi-National Language Supplement

UNIX System V Release 1.0 for Intel 386 Multi-National Language Supplement

UNIX System V Release 3.2 386 Documentation Reproduction Provision

UNIX System V Release 3.2 for Intel 386 Multi-National Language Supplement

UNIX System V Release 3.2 for Intel 386 Multi-National Language Supplement UNIX System V Release 3.2 Multi-National Language Supplement

UNIX System V Release 4 European Language Supplement

UNIX System V Release 4 STREAMS-Based Korean Input/Output Subsystem

UNIX System V Release 4.0 386 Documentation Reproduction Provision

UNIX System V Release 4.0 3B2 Documentation Reproduction Provision

UNIX System V Release 4.0 i860 Documentation Reproduction Provision

UNIX System V Release 4.2 European Language Supplement, Version 1

UNIX System V Release 4.2 MP Japanese Extension

UNIX Time Sharing Operating System Phototypesetter and C Compiler Edition #7 USL Standard C Development Environment for the 860 Implementation of UNIX System V Release 4.0

Veritas File System (VxFS) Release 1.3 for UNIX System V Release 4.2

XWIN Graphical Windowing System Release 3.0

XWIN Graphical Windowing System Release 4.0

XWIN Graphical Windowing System Release 4.01

[SEE TAB NUMBER 6 EXHIBIT C]

EXHIBIT 17

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IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF UTAH

THE SCO GROUP, INC., a Delaware corporation, Plaintiff/Counterclaim-Defendant,

vs.

DECLARATION OF TROY KELLER

Civil No.: 2:04CV00139

NOVELL, INC., a Delaware corporation, Defendant/Counterclaim-Plaintiff.

Judge Dale A. Kimball Magistrate Judge Brooke C. Wells I, Troy Keller, declare as follows:

- I submit this declaration in connection with <u>The SCO Group v. Novell, Inc.</u>, Civil Action No. 2:04CV00139 DAK (D. Utah 2004), and <u>The SCO Group, Inc. v. International</u> <u>Business Machines Corporation</u>, Civil Action No. 2:03CV-0294 DAK (D. Utah 2003). I make this declaration based upon personal knowledge.
- I am an attorney licensed to practice in New York and Colorado and now live and work in Utah.
- 3. In 2000 and 2001, I was employed as an attorney at the law firm of Brobeck, Phleger & Harrison ("Brobeck") in its Broomfield, Colorado office. I was also a member of the Brobeck legal team representing Caldera Systems, Inc. ("Caldera") in its acquisition of two divisions of The Santa Cruz Operation, Inc. ("Santa Cruz") comprising the UNIX and UnixWare business. I was involved on a regular and continuing basis in the acquisition on behalf of the acquiring Caldera entities, but I did not have primary responsibility for intellectual property issues in the transaction. However, I did participate in many discussions of intellectual property matters with IP specialists on both sides of the transaction.
- 4. As part of the transaction, Caldera merged with the two divisions it acquired from Santa Cruz, forming a new entity named Caldera International. In connection with the acquisition, Santa Cruz transferred and conveyed to Caldera International all the intellectual property associated with the UNIX and UnixWare technology, including the UNIX and UnixWare copyrights, through an Intellectual Property Assignment executed by Santa Cruz and Caldera International on May 7, 2001. (Attached as Exhibit A, hereto.)

- Page 31 of 69
- 5. In performing due diligence for the transaction, I, along with other members of the Brobeck team reviewed, among other things, the 1995 Asset Purchase Agreement between Santa Cruz and Novell, Inc. ("Novell"), whereby Santa Cruz had acquired the UNIX and UnixWare business and assets from Novell (the "APA"). We also reviewed Amendments Nos. 1 and 2 to the APA.
- 6. After review of the APA and Amendment No. 2 thereto, the transaction team, including the Brobeck lawyers, lawyers from Parsons, Behle & Latimer ("PB&L"), which also represented the Caldera entities, in house counsel for the Caldera entities, and in house counsel for Santa Cruz, and Wilson Sonsini discussed and concluded that Santa Cruz had acquired the ownership of the UNIX and UnixWare copyrights (including those that came to be listed on Schedule C to the May 2001 IP Assignment) from Novell under the APA in 1995, as amended, and that Santa Cruz consequently had the ownership, right and authority to transfer the ownership of those copyrights as part of the Intellectual Property Assignment in 2001.
- For the sale from Santa Cruz to Caldera, which was closed in May 2001, Santa Cruz was represented by Wilson Sonsini Goodrich & Rosati, ("WSGR"), and Caldera (including Caldera International) was represented by Brobeck and PB&L.
- 8. To my knowledge, during the negotiations between Santa Cruz and Caldera in 2000 and 2001, and during the communications between Brobeck and PB&L and WSGR in performing due diligence for the transaction, neither the WSGR attorneys nor representatives from Santa Cruz ever stated or suggested that Novell had retained

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ownership of the UNIX and UnixWare copyrights under the APA or that Santa Cruz lacked the authority to transfer ownership of those copyrights to Caldera International.

- 9. On the contrary, the WSGR attorneys reviewed, approved, and helped draft the Intellectual Property Assignment, wherein Santa Cruz expressly represented and warranted that it had the power, authority, and rights to transfer ownership of the UNIX and UnixWare copyrights, and Santa Cruz expressly transferred and conveyed those copyrights to Caldera International. (Ex. A § 1, § 8(i).)
- 10. In Section 8(v) of the Intellectual Property Assignment, Santa Cruz also represented that it had no knowledge of any fact that would prevent Caldera International from registering the rights it acquired under the Intellectual Property Assignment. During the due diligence process, a question arose regarding the copyright registrations and the location of the registration certificates and whether certain UNIX copyrights had been previously registered in the name of The Santa Cruz Operation, Inc. The parenthetical language in Section 8 (v) relates only to the question about the location and transfer of the copyright registrations. That language does not relate to, and was not intended to relate to Santa Cruz's ownership of the copyrights, Novell's sale of the UNIX and UnixWare copyrights to Caldera International.
- 11. If anyone on either of the transaction teams had offered an opinion that the Santa Cruz Operation, Inc. had not previously acquired ownership of the relevant UNIX and UnixWare copyrights from Novell or did not have the right to transfer the ownership of those copyrights to the Caldera entities, it is unlikely that the transaction would have gone

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forward as planned or it would have been structured very differently. At a minimum, there would have been more specific and clear documentation of that lack of ownership to the core assets that were being transferred. This would have likely included material changes to the Intellectual Property Assignment, including clear language calling out the lack of ownership in at least paragraphs 1 and 8(i) and (ii) and on Schedule C and possibly other approaches.

12. Based upon my interaction and discussion with members of the teams on both sides of the transaction in 2001, it was not my understanding then and it is not my understanding now that the parenthetical in paragraph 8 (v) of the Intellectual Property Assignment somehow negates or overrides the transfer of the ownership of the UNIX and UnixWare copyrights, or other assets, listed and referenced on Schedule C.

I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge.

May /P , 2007

<u>rov Kelle</u>

INTELLECTUAL PROPERTY ASSIGNMENT

THIS INTELLECTUAL PROPERTY ASSIGNMENT (this "Assignment") is made as of May 1, 2001 (the "Effective Date") by and between The Santa Cruz Operation, Inc. a California corporation having its principal place of business at 425 Encinal Street, Santa Cruz, California 95060 (the "Assignor") and Caldera International, Inc. a Delaware corporation having its principal place of business at 240 West Center Street, Orem, Utah 84057 (the "Assignee").

WHEREAS, Assignor and Assignee are parties to the Agreement and Plan of Reorganization, dated August 1, 2000, and amended September 13, 2000, December 12, 2000 and February 9, 2001 (collectively, the "Reorganization Plan"), which requires the execution of Ancillary Agreements, including an intellectual property assignment.

WHEREAS, Assignor has developed, created, written, and/or acquired certain inventions, patent application, trade secrets, trademarks and trademark applications, designs, products, processes and works of authorship prior to the Effective Date, including but not limited to, the software code, inventions, trade secrets, trademarks and trademark applications designs, products, processes and works of authorship listed in <u>Schedules A-C</u> attached hereto (the "Inventions and Works") (which do not include the Excluded Assets, as defined in the Reorganization Plan, and any intellectual property rights appurtenant thereto);

WHEREAS, Assignor owns certain rights, title and interest to the Invention and Works and patent rights, trade secret rights, trademarks, copyrights, design rights and other rights throughout the world appurtenant or related thereto, including certain rights to recover for past infringement of such rights;

WHEREAS, Assignee is desirous of acquiring all rights, title and interest in and to the Inventions and Works, pursuant to the Reorganization Plan; and

WHEREAS, Assignor retains a license to use the SCO and SCO and design trademarks and the UNIX, Unix Ware and SCO OpenServer technology as set forth herein.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged by Assignor, the parties hereby agree as follows:

1. <u>Assignment</u>. Assignor hereby assigns, transfers and conveys to Assignee, and Assignee accepts, all of Assignor's right, title, and interest throughout the world in and to the Inventions and Works, including, but not limited to, any of the following appurtenant or related to the Inventions and Works: (i) all patents and patent applications and any and all patents that are or may be granted therefrom whether in the United States or any other country, including, without limitation, any extensions, continuations, continuations-in-part, divisions, reissues, reexaminations, and renewals thereof, or other equivalents thereof; (ii) all copyrights and all necessary and appropriate renewals and extensions thereof (iii) all trademarks, service marks, trade names, domain names, logos, trade dress, get up and product aesthetic features, including,

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without limitation, all registrations, applications for registration and common law rights thereto and all goodwill associated therewith; (iv) all design rights, whether registered, unregistered, patented, patentable or otherwise; (v) all trade secret rights and rights to enforce confidentiality or similar obligations in relation to the Inventions and Works; (vi) any and all other forms of intellectual property or proprietary right recognized anywhere in the world; and (vii) all rights and privileges pertaining to (i) through (vi), including without limitation, the right, if any, to sue or bring other actions for past, present and future infringement thereof ((i) through (vii) hereinafter collectively referred to as the "Rights"). Notwithstanding the foregoing, Assignor retains a perpetual, non-transferable, non-sublicensable, royalty free, license back to use the SCO and SCO and design trademarks without attribution to Caldera, to the extent that Assignor enjoyed such rights prior to entering into the Reorganization Plan; and Assignor retains i) a perpetual, non-transferable, non-sublicensable, royalty free license back of the UNIX, UnixWare and SCO Open Server source code for Assignor's internal business use only, and ii) a perpetual, non-transferable, non-sublicensable, royalty free license to any future enhancements (meaning bug fixes, patches and any minor modifications) for a period of five years, for Assignor's internal business use only.

2. <u>Protection</u>. Assignor further hereby assigns to and empowers Assignee, its successors, assigns or nominees, all rights to make applications for patents, copyright, trademark or design registrations or other forms of protection for the Inventions and Works and to prosecute such applications as well as to claim and receive the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention that may henceforth be substituted for it, and the right to invoke and claim such right of priority without further written or oral authorization.

3. <u>Authorization to Record</u>. Assignor further agrees that this Assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document that may be required in any country for any purpose regarding the subject matter hereof, as well as constituting proof of the right of Assignee or its successors, assigns or nominees to apply for patent, copyright, trademark or design or other protection for the Inventions and Works and to claim the aforesaid benefits of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention that may henceforth be substituted for it.

4. <u>Delivery</u>. Assignor agrees to deliver promptly to Assignee all the tangible examples reasonably requested by Assignee in Assignor's possession and all prototypes of the Inventions and Works held by Assignor as of the Effective Date.

5. <u>Further Assurances</u>. Assignor further agrees that Assignor will: (a) cooperate with Assignce's reasonable requests in the prosecution of patent, copyright, trademark or design applications on the Inventions and Works; (b) execute, verify, acknowledge and deliver all such reasonably required further papers, including applications and instruments of transfer; and (c) perform such other acts as Assignee lawfully and reasonably may request to obtain, maintain, defend or enforce Rights for the Inventions and Works any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns. In the event that Assignee is unable to to secure Assignor's signature to any document it is reasonably entitled to hereunder, after making a reasonable effort to secure such signatures, Assignor hereby irrevocably designates and

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appoints Assignee and its duly authorized officers and agents, as its agents and attorneys-in-fact to act for and on its behalf and instead of it, to execute and file any such document and to do all other lawfully permitted acts to further the purposes of the foregoing with the same legal force and effect as if executed by Assignor.

6. Moral Rights. Any assignment of copyright hereunder includes all rights of paternity, integrity, disclosure and withdrawal and any other rights that may be known as or referred to as "moral rights" (collectively "Moral Rights"). To the extent such Moral Rights cannot be assigned under applicable law and to the extent the following is allowed by the laws in the various countries where Moral Rights exist, Assignee hereby waives such Moral Rights. Assignee shall confirm any such waivers and consents from time to time as requested by the Assignor.

7. Confidentiality. Assignor hereby acknowledges and agrees that as of the Effective Date of this Assignment, the Inventions and Works, the Rights related or appurtenant thereto, and fact and terms and conditions of this Agreement are confidential and proprietary information of Assignce and Assignor may not use or disclose such information to any third party upon or after the Effective Date.

8. <u>Representations and Warranties</u>. Assignor hereby represents and warrants to Assignee the following:

Assignor has the full power, authority and all rights necessary to (i) transfer and assign Assignor's Rights in the Inventions and Works to Assignee and to carry out the terms and provisions of this Agreement;

(ii) Assignor's Rights in the Inventions and Works has not heretofore been pledged, hypothecated or otherwise encumbered and is, in all respects, free and clear of all encumbrances including, without limitation, interests, claims, options, prior assignments, licenses, leases, liens, charges or any other restrictions of any nature whatsoever; except for a security interest in all of the assets of Assignor, including the Inventions and Works, by The Canopy Group, filed with the California Secretary of State on January 12, 2001; and except for the pending opposition action against the UnixWare trademark in the EU.

By making this assignment Assignor will not breach any obligation (iii) to any third party under contract or otherwise:

party;

(iv) The Inventions and Works do not infringe the Rights of any third

Assignor has no knowledge of any fact that would prevent (v) Assignce's registration of any Rights related or appurtenant to the Inventions and Works or recording the transfer of Rights hereunder (except that Assignor may not be able to establish a chain of title from Novell Inc. but shall diligently endeavor to do so as soon as possible); and

(vi) Assignor will not in the future register the Inventions and Works or any of the Rights related or appurtenant to the Inventions and Works.

Assignor agrees to defend and indemnify Assignee from and against any liabilities, claims, actions, demands or damages including, without limitation, reasonable attorneys' fees and costs arising from any breach of any of the foregoing warranties.

9. <u>Miscellaneous</u>. The waiver by either party of any breach of this Agreement or any right hereunder shall not constitute a waiver of any subsequent breach of this Agreement; nor shall any delay by either party to exercise any right under this Agreement operate as a waiver of any such right. If any provision of this Agreement shall be adjudged by any court of competent jurisdiction to be unenforceable or invalid, that provision shall be limited or eliminated to the minimum extent necessary so that this Agreement shall otherwise remain in full force and effect and enforceable. Headings and captions are for convenience only and are not to be used in the interpretation of this Agreement, Any party to this Agreement that takes steps to enforce the terms of this Agreement shall be entitled to reimbursement of any costs and expenses (including reasonable attorneys' fees) in the event of any such action. This Agreement shall be deemed to have been made in, and shall be construed pursuant to the laws of the State of New York and the United States America without regard to conflicts of laws provisions thereof. The parties agree that this Agreement is the complete and exclusive statement of the mutual understanding of the parties and supersedes and cancels all previous written and oral agreements and communications, if any, relating to the subject matter of this Agreement. This Agreement shall be binding on the parties' respective heirs, executors, successors and assigns.

IN WITNESS WHEREOF, the parties hereto have executed this Intellectual Property Assignment as of the date first written above.

ASSIGNEE:

CALDERA INTERNATIONAL, INC., a Delaware corporation

By: KOBERT ENTO Its: VP C

ASSIGNOR:

THE SANTA CRUZ OPERATION INC., a California corporation

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By:	
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Tea.	
Its:	
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State of <u>California</u>			
County of Santa Chiz			
on April 30 2001 b	fore me. Naucy	Talek-Confett.	Notzne Pub
personally appeared	Reace R	e and Title of Officer (e.g., "Jane Doe, W	otary Public")
personally appeared	Name(s) (N Signer(s)	
Dersonally known to me – OR – □ pro NANCY, VALES-CORSEIT Commission & L222403 Noticity Plants - Containing Sound Cite County My Comm Extended up 27,2008	whose name(and acknowle same in his/he his/her/their s or the entity executed the	s) la/are subscribed to the dged to me that he/she/ er/their authorized capaci- ignature(s) on the instrum upon behalf of which the instrument. Thand and official seal.	e within instrument they executed the ty(les), and that by tent the person(s)
		Signature of Notary Public	
	/	1	
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Though the information below is not required by fraudulent removal		persons relying on the docum	ent and could prevent
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Title or Type of Document: Title or Type of Document: Document Date: Signer(s) Other Than Named Above: Capacity(ies) Claimed by Signer Signer's Name: Individual Corporate Officer Title(s): Catom Individual Partner Limited General Attorney-in-Fact Guardian or Conservator	r(s) Signer's rUsePPEPHT	e persons relying on the document m to another document.	ges:
Title or Type of Document: Title or Type of Document: Document Date: Signer(s) Other Than Named Above: Capacity(ies) Claimed by Signer Signer's Name: Individual Corporate Officer Title(s): CHO Partner Limited General Attorney-in-Fact Guardian or Conservator	r(s) Signer's rUsePPEPHT	s Name: how more that the document.	eral
Title or Type of Document: Title or Type of Document: Document Date: Signer(s) Other Than Named Above: Capacity(ies) Claimed by Signer Signer's Name: Individual Corporate Officer Title(s): CHO Partner Limited General Attorney-in-Fact Guardian or Conservator	r(s) Signer's I control Pres I control Pres I control Pr	s Name: how more that the document.	eral
Traudulent removal Description of Attached Docum Title or Type of Document: Document Date:	r(s) Signer's I control Pres I control Pres I control Pr	s Name:	eral

IN WITNESS WHEREOF, the parties hereto have executed this Intellectual Property Assignment as of the date first written above.

ASSIGNEE:

CALDERA INTERNATIONAL, INC., a Delaware corporation

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By:	
Its:	

ASSIGNOR:

THE SANTA CRUZ OPERATION INC., a California corporation

By:_ ZANDALL. SROSGE Its: CFO

STATE OF California 88. COUNTY OF Saula Cinz

On this 20th day of Amil _, in the year 2001_, before me, the undersigned Notary Public, duly commissioned and sworn, personally appeared Raudal Presee, personally known to me or proved to me on the basis of satisfactory evidence to be the person who executed the within instrument as Chief Financial Officer, on behalf of The Sonta Cone Operation, and acknowledged to me that Randell Bresse executed it.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year in this certificate above written.

[SEAL]

NANCY VALEK-CORBE Commission # 1232405 lotary Public - (

Notary Public in and for the aforesaid County and State

SCHEDULE A ASSIGNED PATENT APPLICATION

Patent Application:

U.S. Utility Patent Application entitled "Method and Apparatus for Executing Java Applications on a Single Java Virtual Machine," Application No. 09/464,352; Filed December 15, 1999. :

SCHEDULE B ASSIGNED TRADEMARKS AND TRADEMARK APPLICATIONS

Country	Trademark	Registration Date	Registration No.	Status/Class
Argentina	SCO	09/30/93	1472693	Registered 9
•	sco	06/30/94	1530433	Registered 42
	Open Desktop	(Applied for, filing date: 7/14/89, Application no. 1696846)	(Applied for)	Abandoned/9
Australia	Open Desktop	7/13/89	A514837	Abondoned/9 1996
	ODT	(Applied for, filing date: 7/22/91, Aplication no. 560086)	(Applied for)	Pending/9
	sco	09/05/01	563113	Registered/42
	sco	09/05/91	563112	Registered/9
	SCO and Design (Stylized Tree)	02/15/96	702508	Registered/9
	UNIXWARE	07/01/94	633864	Registered/9
Austria	Open Desktop	4/30/90	130350	Registered/9, 16, 36, 42 Do not renew instructions 1/5/2000
	sco	01/22/92	139950	Registered/9, 16, 36, 41, 42
Bangladesh	Open Desktop	09/30/91	33556	Registered/9 Renewal date 9/30/1998
	Open	09/30/91	33557	Registered/16

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0		Desktop			Renewal date 9/30/1998
		sco	01/13/00	33554	Registered/9
		SCO	09/30/91	33557	Registered/16
·		UNIXWARE	(Applied for, filing date: 9/17/94, Application no. 41673)	(Applied for)	(Applied for)
		UNIXWARE	(Applied for, filing date: 9/17/94, Application no. 41672)	(Applied for)	(Applied for)
	Benelux	Öpen Desktop	07/17/89	467837	Registered, 9, 16, 42 Do not renew instructions 3/23/99
\frown		SCO	02/08/90	472347	Registered/9, 16, 41, 42
		SCO and Design (Stylized Tree)	02/14/86	587243	Registered/9, 16, 41
	Bolivia	Open Desktop	10/02/90	50510C	Registered/9 Renewal Date 10/2/00
	Brazil	Open Desktop	(Applied for, filing date: 7/24/89, Application No. 814975062)	(Applied for)	Abandoned/9 1996
		SCO and Design (Stylized Tree)	03/30/99	819433667	Registered/9, 40
~		SCO	03/22/94	816968500	Registered/9
L)		sco	04/05/94	816966133	Registered/40

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Canada	Open Desktop	07/19/91	386744	Registered Renewal date 07/19/06
	Open Desktop And Design	(Applied for, filing date: 4/4/89, Application No. 623809)	(Applied for)	Abandoned/2 95
	SCO and Design (Stylized Tree)	02/29/96	475,359	Registered
	SCO	04/23/96	411425	Registered
	SCO and Design	12/17/93	420940	Registered
Chile	Open Desktop	02/26/92	382125	Registered/4 42 Renewal date 02/26/02
	Open Desktop	12/10/93	418111	Registered/9 16 Renewal date 12/10/02
	SCO	5/17/89 (renewed 3/23/99)	342750	Registered/4
	sco	03/10/92	383070	Registered/4
China	Open Desktop	(Applied for, filing date: 9/17/92)	(Applied for)	Inactive/16
	Open Desktop	(Applied for, filing date 9/17/92)	(Applied for)	Inactive/9
	Design (Stylized Tree)	07/28/97	1065879	Registered/9
	UNIXWARE	08/07/96	860857	Registered/9
Columbia	Open Desktop	06/09/93	141336	Registered/9 Renewal date

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				06/09/03
Costa Rica	Open Desktop	12/21/90	74001	Registered/9 Renewal Date 12/21/00
Denmark	Open Desktop	08/27/93	VR060871993	Registered/9 Renewal Date 08/27/03 To b abandoned
	UNIXWARE	06/2 4/9 4	41.125.1994	Registered/9
	sco	10/30/92	VR100061992	Registered/9, 16, 41, 42
Ecuador	Open Desktop	06/20/90	310090	Registered/9 Renewal Date 06/20/05
El Salvador	Open Desktop	(Applied for, Application No. E163489)	(Applied for)	Abandoned/9 1996
	Open Desktop	(Applied for, Application No. E163589)	(Applied for)	Abandoned/16 1996
European Community	SCO and Design (Stylized Tree)	9/11/98	183129	Registered/9, 16, 42
	UNIXWARE	(Applied for, filing date: 4/1/96; Application no. 183103)	N/A	Opposed/9, 16 41
Federal Republic of Germany	UNIXWARE UNIXWARE	5/12/93 06/17/93	203107 2038592	Registered/9, 16, 37, 38, 42
Commany	(stacked)			Registered/9, 16, 37, 38, 42
	UNIXWARE	04/29/93	2035231	Registered/9, 16, 37, 38, 42
Finland	Open Desktop	01/20/95	135997	Registered/9, 16, 42 Renewal date 1/20/05

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	Open Desktop	(Applied for, filing date: 7/13/89, Application No.	(Applied for)	Abandoned/9 1996
	sco	353789) 12/21/92	123767	Registered/9 16, 42
France	Open Desktop	07/27/89	1543525	Registerd/9, 16, 42 Do no renew instructions 3/23/99
	sco	09/09/91	1692453	Registered/9 16, 41, 42
	SCO	03/13/87	1398504	Registered/9 42
Germany	Open Desktop	03/23/94	1189990	Registered/9 16, 35, 42 D not renew instructions 08/04/00
	sco	03/01/90	1186634	Registered/9 16, 41, 42
Greece	Open Desktop	07/12/89	94650	Registered/9 16 Renewal date 07/12/9
Guatemala	Open Desktop	10/24/90	63279	Registered/9 Renewal data 10/24/00
Hong Kong	SCO and Design (Stylized Tree)	02/24/96	5080/97	Registered/9
	sco	09/11/91	1108/94	Registered/9
	sco	09/11/91	879/93	Registered/1
Indonesia	Open Desktop	03/23/92	273226	Registered/9 16 Renewal date 03/23/02
	UNIXWARE	08/28/95	360559	Registered/9

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	SCO	1/24/95	327021	Registered/9
	SCO	(Applied for, filing date: 9/30/93, Application no. HC010114085A)	333456	(Applied for
International Bureau	SCO	07/15/87	516330	Registered/9 42
Ireland	Open Desktop	05/31/93	140756	Abandoned/9 1/23/96
	SCO	09/05/91	146285	Registered/9
Israel	Open Desktop	(Applied for, filing date 9/16/91, Application no. 80953)	(Applied for)	Abandoned/9 12/23/96
	Open Desktop	(Applied for, filing date 10/12/94, Application no. 95553)	(Applied for)	Abandoned/9 12/23/96
Italy	Open Desktop	01/29/92	558705	Registered/9 16, 36, 42 Renewal date 7/26/99
	UNIXWARE	12/02/93	678741	Registered/9
	SCO	03/02/94	615327	Registered/9, 16, 41, 42
Japan	Open Desktop	05/31/93	2538133	Registered/1 Renewal date 05/31/03
• •	Open Desktop	05/21/93	2538133	Registered/1 Renewal data 05/31/03 Do
•				not renew instructions 3/23/99
	ODT	2/28/95	2704134	Registered/11 Renewal Date 2/28/05
	Open	(Applied for, filing	(Applied for)	Abandoned/1

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	Desktop in	date 12/12/90,		
·.	Katakana .	Application no. HEI2138270)		
	SCO and Design (Stylized Tree)	10/09/98	4197569	Registered/9
	UNIXWARE	03/21/99	4,273,396	Registered
	SCO	6/27/97	3325688	Registered/4
• • •	sco	02/24/93	2610962	Registered/1
Korea (North)	Open Desktop	02/22/91	210102	Registered/3 Renewal date 02/22/01 Do not renew instructions 1/23/96
Korea (South)	SCO and Design (Stylized Tree)	11/01/97	380641	Registered/9
Malaysia	Open Desktop	(Applied for, filing date 7/26/89, Application No. 8904470)	(Applied for)	Abandoned/9 1/23/96
Mexico	ODT	3/14/91	433850	Registered/9 Renewal Dat 3/14/01
	Open Desktop	07/25/94	382323	Registered/ 1,6,8,9,11, 12,14,16 Renewal date 07/25/04
	SCO and Design (Stylized Tree)	(Applied for, filing date 02/20/96, Application no. 254919)	(Applied for)	Filed/9
	UNIXWARE	(Applied for, filing date: 12/10/93, Application no.	(Applied for)	Filed/9

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1	_	185653)		
	sco	3/14/91 renewal filed on 10/2/2000)	399947	Registered/9
	SCO	10/15/91 (renewal filed on 11/22/2000)	400984	Registered/42
	sco	03/14/91 (renewal field on 10/2/2000)	399948	Registered/16
New Zealand	Open Desktop	(Applied for, filing date: 7/10/89, Application no. 194555)	(Appiled for)	Abandoned/9 1/23/96
•	sco	02/09/96	A212704	Registered/9
	UNIXWARE	08/02/94	239501	Registered/9
Norway	Open Desktop	10/31/91	147391	Registered/9, 16, 42 Renewal date 10/31/01 To be abandoned
	SCO	01/14/93	154571	Registered/9, 16, 42
	UNIXWARE	11/02/95	169 934	Registered/9
Pakistan	Open Desktop	(Applied for, filing date 12/4/91, Application no. 113420)	(Applied for)	Abandoned/9 1/23/96
	SCO	11/29/99	113421	Registered/9
••••	SCO	(Application filed, filling date: 12/04/91, Application No. 113422)	(Application filed)	(Pending – published on 2/28/97)
Paraguay	Open Desktop	12/18/89	137959	Registered/9 Renewal date 12/18/99
Peru	Open Desktop	(Applied for, filing date 8/4/89,	(Applied for)	Rejected

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		Application no. 157636)		
Portugal	Open Desktop	12/10/92	257352	Registered/9 Renewal Date 12/10/02
	sco	08/17/93	278165	Registered/9
	sco	09/20/93	278743	Registered/42
Russia	Open Desktop	10/16/92	40861-	Registered/9 Renewal Date 10/09/01
	sco	10/09/91	109157	Registered/9
Singapore	Open Desktop	3/16/89	A453989	Abandoned/9 1/23/96
	ODT	(Applied for, filing date 2/28/91, Application no. 128891)	(Applied for)	Abandoned/9
	SCO	02/28/91 (renewed 2/28/98)	128991	Registered/9
	sco	(Application filed on 2/28/91, Application No. 129091)	(Applied for)	(Pending/16
	sco	03/01/91	218291	Registered/42
South Africa	Open Desktop	08/02/89	B896925	Registered/9 Renewal Date 08/02/99 Not renewed
Spain	Open Desktop	(Applied for, filing date 7/27/89, Application no. 1514081)	(Applied for)	Abandoned/9 1/23/96
	UNIXWARE	12/05/95	1737324	Registered/9
	sco	02/20/90 (application renewed until	1550163	Registered/9

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<u></u>		2/20/2010)		
	sco	06/03/93	1667248	Registered/42
Sri Lanka	Open Desktop	11/08/91	62566	Registered/9 Renewal Date 11/08/01
	sco	05/23/95	62568	Registered/9
х.	sco	11/08/91	62569	Registered/42
Sweden	Open Desktop	07/23/93	250260	Registered/9, 16, 42 Renewal Date 07/23/03
	UNIXWARE	04/29/94	257804	Registered/9
	sco	05/14/93	249102	Registered/9, 41, 42
Switzerland	Open Desktop	07/11/89	374836	Registered/9, 6 Renewal Date 7/11/09
• •	sco	09/05/91	392642	Registered/9, 16
Taiwan	Open Desktop	09/16/90	498741	Registered/72 Renewal Date 09/15/00
·	ODT	10/16/91	538693	Registered/49 Renewal Date 9/15/00
	ODT .	11/16/91	542354	Registered/72 Renewal Date 11/15/01
	SCO and Design (Stylized Tree)	03/16/97	753030	Registered/9
	SCO	01/16/92 renewal filed 7/7/99	548772	Registered/72
	sco	10/16/91 renewal	538736	Registered/49

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		filed 7/7/99		
Thailand	Open Desktop	03/17/92	KOR272	Registered/9 Renewal Date 03/17/02
	sco	06/30/93	KOR3310	Registered/9
	sco	06/30/93	KOR3321	Registered/16
Turkey	Open Desktop	07/18/89	113450	Registered/9, 16 Renewal Date 07/18/99 Not Renewed
United Kingdom	Open Desktop	(Applied for, filing daet: 1/27/89, Application no. 1390250)	1/27/89	Abandoned/9 1/23/96
	Open Desktop	03/09/91	B1457899	Registered/9 Renewal Date 03/09/98 Do not renew instructions 1/4/95
	UNIXWARE	09/22/93	1,548,436	Registered/9
	SCO	09/17/91 (renewed through 9/17/2008)	1477302	Registered/9
	sco	9/17/91 (renewed through 9/17/2008)	1477303	Registered/42
United States	Open Desktop	2/6/90	1581180	Abandoned/9 1/23/96
	Open Desktop	03/20/90	1587521	Registered/9 Renewal Date 03/20/90 Do not renew isntructions 1/4/95
. · · .	UNIXWARE	07/19/94	1,845,474	Registered/9
· •	UNIXWARE	07/27/99	2,241,666	Registered/9
	SCO and	05/27/97	2,064,732	Registered/9

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· · · · · · · · · · · · · · · · · · ·	Design (Stylized Tree)			
	sco	01/23/90 (request for renewal sent 1/4/00)	1578766	Registered/9, 16, 41,42
Uruguay	Open Desktop	04/03/92	231681	Registered/9, 16, 42 Renewal Date 04/03/02
Venezuela	Open Desktop	(Applied for, filing date 8/7/89, Application no. 011681)	(Applied for)	Abandoned/50 1/23/96

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SCHEDULE C ASSIGNED COPYRIGHTS AND TECHNOLOGY

Copyrights

Source Code Products: A

UnixWare 7 Source Code product family as described in SCO's UnixWare 7 Licensing Schedules.

UnixWare 2 product family as described in SCO's UnixWare 2 Licensing Schedules. UnixWare 1.1 as described in the UnixWare 1.1 Licensing Schedule.

UNIX SVR4.2MP as described in the UNIX SVR4.2MP Licensing Schedule and those products listed as "prior" products on such schedule.

UNIX SVR4.2 as described in the UNIX SVR4.2 Licensing Schedule and those products listed as "prior" products on such schedule.

UNIX SVR4.1 ES as described in the UNIX SVR4.1 ES Licensing Schedule and those products listed as "prior" products on such schedule.

UNIX SVR4.0MP as described in the UNIX SVR4.0 MP Licensing Schedule and those products listed as "prior" products on such schedule.

Auxiliary SVRx Products as set forth in Schedule 1 attached hereto.

B. **Binary Products:**

UnixWare 7 product family as described in SCO's price list attached hereto. UnixWare 7 NonStop Clusters as described in SCO's price list attached hereto. UnixWare 2 product family as described in SCO's price list attached hereto. UnixWare 1.1 product family, including UnixWare 1.1.x update releases.

C. **Products Under Development:**

UnixWare 7.1.1 Media Kit Refresh ("Binary Roll-up"). UnixWare 7.1.1 Feature Supplements for UDI, UODK, and DCAP UnixWare 7.1.2 Maintenance

Release.

UnixWare 7.2 Upgrade Release - Code Named Aries.

Linux Personality for UnixWare7 - Code Named LKC.

UnixWare 7 NonStop Clusters Release 7.1.1C.

Other Technology

UnixWare system/HBA/etc. Test/Certification Suites UnixWare "OS Branding" Test Suites UnixWare "OS Compatible" Requirements Gaede Performance Test Suite ARTUS, Bart, Buster International UNIX Test Suite and test harnesses Requirements, Design, and Test Specifications for UnixWare 2 Technical Support Update Manager Marketing collateral/information in electronic form **ODI** transmogrification software Perk up technology

OpenServer Products: all software, development tools, compilers, libraries, driver kits, utilities, and the operating system software and other products in whole or in part based on or developed from or for the AT&T Unix System V version 3.2 kernel and any successor to that kernel, including the kernel, the code base, the application program interfaces, the application binary interfaces, derivative works thereof, and those products offered under the names or marks "Appliance Server", SCO Admin, SCO OpenServer Enterprise System, SCO OpenServer Host System, SCO OpenServer Internet FastStart System, or SCO OpenServer Desktop System, SCO Virtual Disk Manager, SCO Doctor, SCO ARCserve/Open, SCO Merge, SCO OpenServer SMPTM Licenses, SCO PPP from Morning Star, SCO Internet Security Package, SCO Internet to NetWare Gateway, and Interscan VirusWall, and all successors, upgrades, enhancements, releases, new versions, and updates to any of the above that have been developed or acquired by the Contributing Companies as of the Effective Time, as defined in the Reorganization Plan.

D. Auxiliary SVRx Products

Open Network Computing+

386 Implementation of UNIX System V Release 4 Multi-National Language Supplement 386 Implementation of UNIX System5 Release 4 Multi-National Language Supplement 3B2 Implementation of UNIX System5 Release 4 Multi-National Language Supplement Application Source Verifier Release 2.0

Artus

C Compilation System for Motorola 68000

C Optimized Compilation System for UNIX System 5 386/486

C++ Documents

C++ Language System Release 2.1

C++ Language System Release 3.0 and 3.0.1

C++ Language System Release 3.0.2

C++ Language System Release 3.0.3

C++ Object Interface Library Release 1.1

C++ Standard Components Release 2.0

C++ Standard Components Release 2.0.1

C+++ Standard Components Release 3.0

C++ Standard Libraries Release 2.0

C++ Standard Libraries Release 3.0

C++ Standard Libraries Extension Release 1.0

C++LS 2.0

C++Translator

CFRONT Release 1.2

Chinese System Messages Implementation of UNIX System V Release 4 System Messages Distributed Manager/Framework & Host Manager Release 1.0

Distributed Manager/Framework & Host Manager Technology Licensing Program 1

Distributed Manager/Framework & Host Manager U.I. Early Access

Distributed Manager/Print Manager Release 1.0

Distributed Manager/Print Manager Technology Licensing Program 1

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German Application Environment

German System Messages Implementation of UNIX System V Release 4 System Messages German System Messages Implementation of UNIX System V Release 4.1 Enhanced Security System Messages

Hindi System Messages Implementation of UNIX System V Release 4 System Messages Intel386 Microprocessor Implementation of VERITAS File System (VxFS) Release 1.0 Intel386 Microprocessor Implementation of VERITAS Visual Administrator Release 1.01 Intel386 Microprocessor Implementation of VERITAS Volume Manager (VxVM) Release 1.01 Intel386 Microprocessor Implementation of VERITAS Volume Manager (VxVM) Release 1.1 Intel386 Microprocessor Implementation of VERITAS Volume Manager (VxVM) Release 1.1 Intel386 Microprocessor Implementation of VERITAS Volume Manager (VxVM) Release 1.1 Intel386 Microprocessor Implementation of VERITAS Volume Manager (VxVM) Release 1.1 Intel386 Microprocessor Implementation of VERITAS Volume Manager (VxVM) Release 1.1

Italian System Messages Implementation of UNIX System V Release 4 System Messages Japanese Application Environment I/O Rel 1.0

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Japanese Application Environment Release 2.0

Japanese Application Environment Release 2.1

Japanese Application for SVR4.2

Japanese Extension Implementation of UNIX System V Release 4.2

Japanese I/O Release 1.0

Japanese System Messages Implementation of UNIX System V Release 4.1 Enhanced Security System Messages

Japanese System Messages Release 3.2

Korean System Messages Implementation of UNIX System V Release 4 System Messages Optimizing C Compiler for Intel, Release 3.0

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UNIX System V Release 3.2 for Intel 386 Multi-National Language Supplement

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UNIX Time Sharing Operating System Phototypesetter and C Compiler Edition #7 USL Standard C Development Environment for the 860 Implementation of UNIX System V Release 4.0

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XWIN Graphical Windowing System Release 3.0

XWIN Graphical Windowing System Release 4.0

XWIN Graphical Windowing System Release 4.01

[SEE TAB NUMBER 6 EXHIBIT C]

CONFIDENTIAL

SCO1578608

EXHIBIT 18

Case 2:04-cv-00139-DAK-BCW

Document 347-11

Filed 05/29/2007

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IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF UTAH

THE SCO GROUP, INC., a Delaware corporation.)

Plaintiff/Counterclaim-Defendant,

vs.

Judge Dale A. Kimball Magistrate Brooke C. Wells

Civil No.: 2:04CV00139

DECLARATION OF RALPH YARRO

NOVELL, INC., a Delaware corporation,

Defendant/Counterclaim-Plaintiff.

LIBNY/4566965.2

I, Ralph Yarro, declare as follows:

1. I submit this Declaration in connection with <u>The SCO Group, Inc. v. Novell, Inc.</u>, Case No. 2:04CV00139DAK (D. Utah). I have previously signed a Declaration in connection with this lawsuit. I provide my employment and shareholder status in that Declaration. I make this declaration based upon personal knowledge.

2. I met with Chris Stone, an executive at Novell, early in 2003 to update Chris on The Canopy Group's portfolio and consider potential business opportunities between our two companies. We had met several times prior to explore similar opportunities. On this occasion SCO was of interest to Chris, and he ask me several questions about SCO and the lawsuit. I recommended that he refer his question to Darl McBride, who I felt would more appropriately answer his questions. Chris informed me that Darl McBride had been trying to set up a meeting with him, and I encouraged him to do so. I was later informed that Chris had scheduled a meeting at SCO with Darl.

3. I never told Mr. Stone (contrary to what I understand Mr. Stone claims) that I "would like for Novell to make changes to the agreements to give them the copyrights" or any words to that effect. It was not my view at the time of my conversations with Mr. Stone that SCO needed in any way for Novell to transfer the copyrights at issue. Instead, it was my understanding as Chairman of the Board that The SCO Group had acquired all of the Unix copyrights when it purchased the two Unix divisions from the The Santa Cruz Operation, Inc. in 2001.

LIBNY/4566965.2

4. I declare under penalty of perjury that the foregoing is true and correct.

2

May 17, 2007

árro,

LIBNY/4566965.2

EXHIBIT 19

Page 1

IN THE UNITED STATES DISTRICT COURT

FOR THE DISTRICT OF UTAH

THE SCO GROUP, INC.,

Plaintiff and Counterclaim Defendant,

vs.

C.A. No. 2:04CV00139

NOVELL, INC.,

Defendant and Counterclaim Plaintiff.

Deposition of

DOUGLAS MICHELS

March 28, 2007

Reported by Katherine E. Lauster CSR 1894

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	Page 6		Page 8
1	answers. This reporter here will take down everything,	1	execution of the Asset Purchase Agreement in September
2	and as you know, you're being videotaped.	2	of '95 at SCO?
3	It's important that we try not to talk over	3	A. You know, dates aren't my favorite thing, but
4	each other, so that the reporter can take down what each	4	as best that I can determine, I was probably CTO at that
5	of us says. It's also important that we keep our	5	point, Executive Vice-President and then CTO.
б	conversation verbal, as opposed to nods of the head or	6	Q. Are there other possibilities, or are you
7	shrugs of the shoulder, so that she can take down	7	sure
8	everything. Do you understand?	8	A. I was there for 20 years, and I had half a
9	A. Yes, I do.	9	dozen titles, and it never really changed my job much,
10	Q. Now, my purpose here today isn't to try to	10	so I never really worried about what my title was.
11	trick you or trip you up. So if there's ever anything I	11	So
12	say that you don't understand, please just let me know,	12	Q. I saw in your declaration that by April '98
13	and I'll try and rephrase the question or clarify my	13	you were SCO's President and CEO. Did you have
14	question. Do you understand?	14	different positions between being CTO in September '95
15	A. Sure.	15	and those positions in April of 1998?
16	Q. The next thing, I'm going to use the term	16 17	MR. NORMAND: Objection. Form.
17 10	"SCO" today to refer both to the entity that's the	18	THE WITNESS: I don't think so. I mean, I was
18 19	plaintiff in this action, and to the corporate entities that it claims as its predecessors. If there's ever a	10 19	generally well, in that period I was generally Executive Vice-President and CTO, or CEO, but I mean,
20	point where you feel that you need to clarify that an	20	I was part of the part of the, you know, executive
21	answer applies only to one particular entity, or that my	21	team running the company. Exact titles didn't didn't
22	question doesn't make sense in light of the different	22	make much difference, other than CEO. That's a little
23	corporate entities, just let me know.	23	different.
24	A. Okay.	24	BY MR. MELAUGH:
25	Q. Now, from time to time, I expect Mr. Normand	25	Q. Now, in terms of the negotiations that led to
	Page 7		Page 9
1	will make objections to my questions. Unless he	1	the Asset Purchase Agreement in September '95, is it
2	specifically instructs you not to answer, you can go	2	fair to say that you participated in those negotiations
3	ahead and answer my question.	3	only at sort of high level, as an executive?
4	If you find his objection or any conversation	4	MR. NORMAND: Objection. Form.
5	that he and I have had distracting, let me know, and I	5	THE WITNESS: I don't know what that would
6	can have the question repeated to you or I can restate	б	mean.
7	it myself. Do you understand that?	7	BY MR. MELAUGH:
8	A. I do.	8	Q. Well, how would you characterize your
9	Q. All right. At the end of all this you will be	9	participation in the Asset Purchase Agreement
10	given a written transcript to review. You'll have the	10	negotiations?
11 12	opportunity to make corrections to the transcript, but	11 12	A. Well, I was very involved in the initiation of it, and I was very involved in the strategy behind it,
13	I'll know you made those corrections and I'll be able to comment on them, so it's important that you give as	13	and I was very involved in the strategy bennu it, and I was very involved in the high level structure of
14^{13}	accurate and complete testimony as you can today. Do		the agreement, and I was involved in supervising pretty
15	you understand?	15	directly the people who were negotiating the details of
16	A. I do.	16	the agreement.
17	Q. Are you taking any medica medication that	17	Q. When you say you were involved in the
18	might impair your ability to give truthful, accurate	18	initiation of the agreement, can you tell me what you
19	testimony today?	19	mean by that?
20	A. No.	20	A. Well, unfortunately this is a very long time
21	Q. Do you have any medical condition that might	21	ago, and and I'm really bad at dates, and I know
22	impair your ability to give truthful and accurate	22	that. You know, trying to trying to get, you know,
23	testimony today?	23	remote facts in exactly the right order.
24	A. Nope.	24	But, you know, the discussion of essentially
25	Q. What was your position at the time of the	25	acquiring UNIX had been going on for ten years. Before

3 (Pages 6 to 9)

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	Page 98		Page 100
1	sent it to one or two people, and none of them had	1	A
2	any they all said it looks exactly right. I mean,	2	A. Yes.
3	just I just wanted to make sure I hadn't missed any	3	Q which says:
4	obvious dates, or anything, you know, because I don't	4	With respect to Schedule 1.1(b) of the
5	trust my memory about dates. There were no comments	5	Agreement, titled "Excluded Assets",
6	that were resulted in any changes.	6	Section V, Subsection A shall be revised
7	Q. You had a what I would describe as a fairly	7	to read:
8	long discussion with Mr. Melaugh this morning regarding	8	And let me stop there.
9	source code rights originating with OEMs versus binary	9	A. Right.
10	royalty streams and and source code rights that did	10	Q. Do you recall reviewing that schedule this
11	not originate with OEMs. Do you recall, generally,	11	morning
12	those questions and answers?	12	A. Yes.
13	A. Oh, yes.	13	Q with Mr. Melaugh?
14	Q. Did you have a view at the time of the APA as	14	And paragraph A of Amendment Number 2 goes on
15	to whether Novell was entitled to keep source code fees	15	to say that the Excluded Assets is revised to read,
16	that were paid to Novell or Santa Cruz based on the	16	quote:
17	licensing of additional CPUs?	17	All copyrights and trademarks, except
18	A. Um	18	for the copyrights and trademarks owned
19	Q. Does your recollection	19	by Novell as of the date of the
20	A. Well, I'm	20	Agreement required for SCO to exercise
21	Q go into that specifically?	21	its rights with respect to the
22	A. Well, very specifically, Novell didn't have	22	acquisition of UNIX and UnixWare
23	the right to collect anything from anyone. I mean, all	23	technologies,
24	collection had to be by us. So, you know, it was very	24	and the language goes on. Do you see that language?
25	clear. We were the interface to all customers and	25	A. Yes.
	Page 99		Page 101
1	all all billing. So there was never any intention	1	Q. Do you have a view as to the copyrights that
2	that Novell could bill anybody for anything or collect	2	Novell owned as of the date of the APA that were
3	any royalty from anyone.	3	required for SCO to exercise its rights with respect to
4	So it to the extent your question is, did	4	the acquisition of UNIX and the UnixWare technologies?
5	they have the right to keep something, well, they would	5	A. Well, it would have been all the copyrights
6	have had to have a right to collect it before they could	6	regarding the intellectual property we bought.
7	keep it	7	Q. And why do you say that?
8	Q. Okay.	8	A. Because we were buying all the intellectual
9	A which they didn't.	9	property. I mean, I think the only reason it's written
10	And as to, you know, specifically what you	10	this way is because nobody was sure what all the
11	know, I'll say my recollection is that the only economic	11	copyrights were. I mean, there were hundreds I mean,
12	revenue stream they had an interest in was revenues	12	you know, you're dealing with a business that had been
13	resulting from existing binary contracts, and if there	13	around a long time, created millions of things, and had
14 15	was any source that was source that was related	14	manuals and documents and source codes. I think this
15 16	somehow, and embedded in those binary contracts.	15 16	was just a blanket statement saying, yeah, whatever
10 17	And then, as I say, I just don't remember if any such source existed, but these contracts were very	17	whatever is whatever is part of the business you get, because
18	convoluted and had very strange provisions. So and	18	Q. Let me ask a very fundamental question that I
19	I'm not willing to say for sure that there isn't.	19	probably didn't capture clearly in my previous question.
20	Q. I wanted to show you, Mr. Michels, what's been	20	Why, in your view, was it necessary or required for
21	previously marked as Exhibit 1009, which is entitled	21	Santa Cruz to have the copyrights in order to exercise
22	"Amendment Number 2 to the Asset Purchase Agreement."	22	its rights with respect to UNIX and UnixWare
23	Do you recognize this document?	23	technologies?
24	A. Looks like a document.	24	MR. MELAUGH: Objection. Form.
25	Q. Wanted to direct your attention to paragraph	25	THE WITNESS: I mean, in the software business
<u> </u>	C and a strift a strift and the bundle bundl		

26 (Pages 98 to 101)

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	Page 102		Page 104
1	the products are, you know, effectively, source code and	1	Q. Did any attorney from Santa Cruz ever tell you
2	documentation and screens, all of which are governed by	2	any such thing?
3	copyrights. That's what that's what you own. That's	3	A. No.
4	the intellectual property of a source code product. It	4	Q. Did any executive or any employee of Santa
5	would be meaningless to own it if you didn't own the	5	Cruz ever tell you any such thing?
6	copyrights. I mean, that's that's what you would	6	A. Not that I can recall. I think I would have
7	have to have.	7	laughed them out of my office.
8	And there's no reason if you own it,	8	THE REPORTER: Um
9	there's no reason for anybody else to have it, other	9	MR. TIBBITTS: Did you get that?
10	than, you know, this sort of residual thing we've talked	10	THE REPORTER: No. "I would have asked him
11	about.	11	out of my office"?
12	BY MR. NORMAND:	12	THE WITNESS: Laughed them out of my office.
13	Q. Was it part of Santa Cruz's exercise of its	13	If I was in a good mood.
14	rights with respect to the UNIX and UnixWare	14	BY MR. NORMAND:
15	technologies to make copies of the UNIX and UnixWare	15	Q. Now, I had directed your attention earlier to
16	source code?	16	Exhibit 241, which was your declaration. Did you have
17	A. What do you mean?	17	a ever have occasion to speak with counsel for IBM
18	Q. Do you know, from your experience at Santa	18	about the prospect of a declaration?
19	Cruz, whether, after the APA, Santa Cruz had occasion to	19	A. I did not speak to them specifically about a
20	make copies and distribute versions of the UNIX and	20	declaration. I did they asked me if I would come in
21	UnixWare source code?	21	and and answer a few questions, and I and I did.
22	A. Of course we did. What business were we in?	22	In fact, in this very in, I think, the room across
23	Q. Do you have a view, from your experience at	23	the hall, actually, but
24	Santa Cruz, as to whether, after the APA, Santa Cruz had	24	Q. Can you recall whom you spoke with?
25	occasion to license the rights to use UNIX and UnixWare	25	A. I no.
	Page 103		Page 105
1	to other companies?	1	Q. What
1 2	to other companies? A. Of course.	1 2	Q. What A. I mean, if you give me some names, I might
			•
2	A. Of course.	2	A. I mean, if you give me some names, I might
2 3	A. Of course.Q. Do you recall any discussion or negotiation	2 3	A. I mean, if you give me some names, I might remember, but
2 3 4	A. Of course.Q. Do you recall any discussion or negotiation surrounding the language in paragraph A of Amendment	2 3 4	A. I mean, if you give me some names, I might remember, butQ. David Marriott?
2 3 4 5	A. Of course.Q. Do you recall any discussion or negotiationsurrounding the language in paragraph A of AmendmentNumber 2 at the time Amendment Number 2 was executed?	2 3 4 5	A. I mean, if you give me some names, I might remember, butQ. David Marriott?A. Could be.
2 3 4 5 6	 A. Of course. Q. Do you recall any discussion or negotiation surrounding the language in paragraph A of Amendment Number 2 at the time Amendment Number 2 was executed? A. No. I mean, looking at these two documents 	2 3 4 5 6	 A. I mean, if you give me some names, I might remember, but Q. David Marriott? A. Could be. Q. Christopher Kao?
2 3 4 5 6 7	 A. Of course. Q. Do you recall any discussion or negotiation surrounding the language in paragraph A of Amendment Number 2 at the time Amendment Number 2 was executed? A. No. I mean, looking at these two documents here and now, I mean, it's pretty clear this is 	2 3 4 5 6 7	 A. I mean, if you give me some names, I might remember, but Q. David Marriott? A. Could be. Q. Christopher Kao? A. No. Dave Marriott maybe. I don't know. They
2 3 4 5 6 7 8	 A. Of course. Q. Do you recall any discussion or negotiation surrounding the language in paragraph A of Amendment Number 2 at the time Amendment Number 2 was executed? A. No. I mean, looking at these two documents here and now, I mean, it's pretty clear this is correcting an error in the previous document. I mean, there there was no money that changed hands for this. And if the previous document 	2 3 4 5 6 7 8	 A. I mean, if you give me some names, I might remember, but Q. David Marriott? A. Could be. Q. Christopher Kao? A. No. Dave Marriott maybe. I don't know. They called me up and said we have some questions
2 3 4 5 6 7 8 9	 A. Of course. Q. Do you recall any discussion or negotiation surrounding the language in paragraph A of Amendment Number 2 at the time Amendment Number 2 was executed? A. No. I mean, looking at these two documents here and now, I mean, it's pretty clear this is correcting an error in the previous document. I mean, there there was no money that changed hands for this. And if the previous document was correct, then this would be a huge concession. You 	2 3 4 5 6 7 8 9 10 11	 A. I mean, if you give me some names, I might remember, but Q. David Marriott? A. Could be. Q. Christopher Kao? A. No. Dave Marriott maybe. I don't know. They called me up and said we have some questions Q. Dave A and would you come in? Q. Dave Saltarelli?
2 3 4 5 6 7 8 9 10	 A. Of course. Q. Do you recall any discussion or negotiation surrounding the language in paragraph A of Amendment Number 2 at the time Amendment Number 2 was executed? A. No. I mean, looking at these two documents here and now, I mean, it's pretty clear this is correcting an error in the previous document. I mean, there there was no money that changed hands for this. And if the previous document was correct, then this would be a huge concession. You would expect there would have been, you know, payment or 	2 3 4 5 6 7 8 9 10 11 12	 A. I mean, if you give me some names, I might remember, but Q. David Marriott? A. Could be. Q. Christopher Kao? A. No. Dave Marriott maybe. I don't know. They called me up and said we have some questions Q. Dave A and would you come in? Q. Dave Saltarelli? A. No.
2 3 4 5 6 7 8 9 10 11 12 13	 A. Of course. Q. Do you recall any discussion or negotiation surrounding the language in paragraph A of Amendment Number 2 at the time Amendment Number 2 was executed? A. No. I mean, looking at these two documents here and now, I mean, it's pretty clear this is correcting an error in the previous document. I mean, there there was no money that changed hands for this. And if the previous document was correct, then this would be a huge concession. You would expect there would have been, you know, payment or consideration of some form. 	2 3 4 5 6 7 8 9 10 11 12 13	 A. I mean, if you give me some names, I might remember, but Q. David Marriott? A. Could be. Q. Christopher Kao? A. No. Dave Marriott maybe. I don't know. They called me up and said we have some questions Q. Dave A and would you come in? Q. Dave Saltarelli? A. No. Q. What came of those discussions, if anything?
2 3 4 5 6 7 8 9 10 11 12 13 14	 A. Of course. Q. Do you recall any discussion or negotiation surrounding the language in paragraph A of Amendment Number 2 at the time Amendment Number 2 was executed? A. No. I mean, looking at these two documents here and now, I mean, it's pretty clear this is correcting an error in the previous document. I mean, there there was no money that changed hands for this. And if the previous document was correct, then this would be a huge concession. You would expect there would have been, you know, payment or consideration of some form. So you see something this massive being 	2 3 4 5 6 7 8 9 10 11 12 13 14	 A. I mean, if you give me some names, I might remember, but Q. David Marriott? A. Could be. Q. Christopher Kao? A. No. Dave Marriott maybe. I don't know. They called me up and said we have some questions Q. Dave A and would you come in? Q. Dave Saltarelli? A. No. Q. What came of those discussions, if anything? A. Never heard from them again.
2 3 4 5 6 7 8 9 10 11 12 13	 A. Of course. Q. Do you recall any discussion or negotiation surrounding the language in paragraph A of Amendment Number 2 at the time Amendment Number 2 was executed? A. No. I mean, looking at these two documents here and now, I mean, it's pretty clear this is correcting an error in the previous document. I mean, there there was no money that changed hands for this. And if the previous document was correct, then this would be a huge concession. You would expect there would have been, you know, payment or consideration of some form. So you see something this massive being granted in the cleanup amendment, you know, I can only 	2 3 4 5 6 7 8 9 10 11 12 13 14 15	 A. I mean, if you give me some names, I might remember, but Q. David Marriott? A. Could be. Q. Christopher Kao? A. No. Dave Marriott maybe. I don't know. They called me up and said we have some questions Q. Dave A and would you come in? Q. Dave Saltarelli? A. No. Q. What came of those discussions, if anything? A. Never heard from them again. Q. Why do you think that is? Do you have a view?
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16	 A. Of course. Q. Do you recall any discussion or negotiation surrounding the language in paragraph A of Amendment Number 2 at the time Amendment Number 2 was executed? A. No. I mean, looking at these two documents here and now, I mean, it's pretty clear this is correcting an error in the previous document. I mean, there there was no money that changed hands for this. And if the previous document was correct, then this would be a huge concession. You would expect there would have been, you know, payment or consideration of some form. So you see something this massive being granted in the cleanup amendment, you know, I can only assume there must have been an error in the first 	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16	 A. I mean, if you give me some names, I might remember, but Q. David Marriott? A. Could be. Q. Christopher Kao? A. No. Dave Marriott maybe. I don't know. They called me up and said we have some questions Q. Dave A and would you come in? Q. Dave Saltarelli? A. No. Q. What came of those discussions, if anything? A. Never heard from them again. Q. Why do you think that is? Do you have a view? MR. MELAUGH: Objection to form.
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17	 A. Of course. Q. Do you recall any discussion or negotiation surrounding the language in paragraph A of Amendment Number 2 at the time Amendment Number 2 was executed? A. No. I mean, looking at these two documents here and now, I mean, it's pretty clear this is correcting an error in the previous document. I mean, there there was no money that changed hands for this. And if the previous document was correct, then this would be a huge concession. You would expect there would have been, you know, payment or consideration of some form. So you see something this massive being granted in the cleanup amendment, you know, I can only assume there must have been an error in the first document. That's it doesn't make any sense. 	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17	 A. I mean, if you give me some names, I might remember, but Q. David Marriott? A. Could be. Q. Christopher Kao? A. No. Dave Marriott maybe. I don't know. They called me up and said we have some questions Q. Dave A and would you come in? Q. Dave Saltarelli? A. No. Q. What came of those discussions, if anything? A. Never heard from them again. Q. Why do you think that is? Do you have a view? MR. MELAUGH: Objection to form. THE WITNESS: I I can only assume that what
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19	 A. Of course. Q. Do you recall any discussion or negotiation surrounding the language in paragraph A of Amendment Number 2 at the time Amendment Number 2 was executed? A. No. I mean, looking at these two documents here and now, I mean, it's pretty clear this is correcting an error in the previous document. I mean, there there was no money that changed hands for this. And if the previous document was correct, then this would be a huge concession. You would expect there would have been, you know, payment or consideration of some form. So you see something this massive being granted in the cleanup amendment, you know, I can only assume there must have been an error in the first document. That's it doesn't make any sense. Q. Was it ever your understanding when you were at Santa Cruz that in order to acquire any UNIX or 	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19	 A. I mean, if you give me some names, I might remember, but Q. David Marriott? A. Could be. Q. Christopher Kao? A. No. Dave Marriott maybe. I don't know. They called me up and said we have some questions Q. Dave A and would you come in? Q. Dave Saltarelli? A. No. Q. What came of those discussions, if anything? A. Never heard from them again. Q. Why do you think that is? Do you have a view? MR. MELAUGH: Objection to form. THE WITNESS: I I can only assume that what I said they didn't find helpful. BY MR. NORMAND:
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20	 A. Of course. Q. Do you recall any discussion or negotiation surrounding the language in paragraph A of Amendment Number 2 at the time Amendment Number 2 was executed? A. No. I mean, looking at these two documents here and now, I mean, it's pretty clear this is correcting an error in the previous document. I mean, there there was no money that changed hands for this. And if the previous document was correct, then this would be a huge concession. You would expect there would have been, you know, payment or consideration of some form. So you see something this massive being granted in the cleanup amendment, you know, I can only assume there must have been an error in the first document. That's it doesn't make any sense. Q. Was it ever your understanding when you were at Santa Cruz that in order to acquire any UNIX or UnixWare copyrights, Santa Cruz was obligated to go back 	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20	 A. I mean, if you give me some names, I might remember, but Q. David Marriott? A. Could be. Q. Christopher Kao? A. No. Dave Marriott maybe. I don't know. They called me up and said we have some questions Q. Dave A and would you come in? Q. Dave Saltarelli? A. No. Q. What came of those discussions, if anything? A. Never heard from them again. Q. Why do you think that is? Do you have a view? MR. MELAUGH: Objection to form. THE WITNESS: I I can only assume that what I said they didn't find helpful. BY MR. NORMAND: Q. Can you recall what you talked about with the
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	 A. Of course. Q. Do you recall any discussion or negotiation surrounding the language in paragraph A of Amendment Number 2 at the time Amendment Number 2 was executed? A. No. I mean, looking at these two documents here and now, I mean, it's pretty clear this is correcting an error in the previous document. I mean, there there was no money that changed hands for this. And if the previous document was correct, then this would be a huge concession. You would expect there would have been, you know, payment or consideration of some form. So you see something this massive being granted in the cleanup amendment, you know, I can only assume there must have been an error in the first document. That's it doesn't make any sense. Q. Was it ever your understanding when you were at Santa Cruz that in order to acquire any UNIX or UnixWare copyrights, Santa Cruz was obligated to go back to Novell and make a case as to why Santa Cruz needed 	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	 A. I mean, if you give me some names, I might remember, but Q. David Marriott? A. Could be. Q. Christopher Kao? A. No. Dave Marriott maybe. I don't know. They called me up and said we have some questions Q. Dave A and would you come in? Q. Dave Saltarelli? A. No. Q. What came of those discussions, if anything? A. Never heard from them again. Q. Why do you think that is? Do you have a view? MR. MELAUGH: Objection to form. THE WITNESS: I I can only assume that what I said they didn't find helpful. BY MR. NORMAND: Q. Can you recall what you talked about with the counsel for IBM?
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	 A. Of course. Q. Do you recall any discussion or negotiation surrounding the language in paragraph A of Amendment Number 2 at the time Amendment Number 2 was executed? A. No. I mean, looking at these two documents here and now, I mean, it's pretty clear this is correcting an error in the previous document. I mean, there there was no money that changed hands for this. And if the previous document was correct, then this would be a huge concession. You would expect there would have been, you know, payment or consideration of some form. So you see something this massive being granted in the cleanup amendment, you know, I can only assume there must have been an error in the first document. That's it doesn't make any sense. Q. Was it ever your understanding when you were at Santa Cruz that in order to acquire any UNIX or UnixWare copyrights, Santa Cruz was obligated to go back to Novell and make a case as to why Santa Cruz needed the copyright? 	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	 A. I mean, if you give me some names, I might remember, but Q. David Marriott? A. Could be. Q. Christopher Kao? A. No. Dave Marriott maybe. I don't know. They called me up and said we have some questions Q. Dave A and would you come in? Q. Dave Saltarelli? A. No. Q. What came of those discussions, if anything? A. Never heard from them again. Q. Why do you think that is? Do you have a view? MR. MELAUGH: Objection to form. THE WITNESS: I I can only assume that what I said they didn't find helpful. BY MR. NORMAND: Q. Can you recall what you talked about with the counsel for IBM? A. Nah, I mean, general general questions
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	 A. Of course. Q. Do you recall any discussion or negotiation surrounding the language in paragraph A of Amendment Number 2 at the time Amendment Number 2 was executed? A. No. I mean, looking at these two documents here and now, I mean, it's pretty clear this is correcting an error in the previous document. I mean, there there was no money that changed hands for this. And if the previous document was correct, then this would be a huge concession. You would expect there would have been, you know, payment or consideration of some form. So you see something this massive being granted in the cleanup amendment, you know, I can only assume there must have been an error in the first document. That's it doesn't make any sense. Q. Was it ever your understanding when you were at Santa Cruz that in order to acquire any UNIX or UnixWare copyrights, Santa Cruz was obligated to go back to Novell and make a case as to why Santa Cruz needed the copyright? A. Of course of course not. 	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	 A. I mean, if you give me some names, I might remember, but Q. David Marriott? A. Could be. Q. Christopher Kao? A. No. Dave Marriott maybe. I don't know. They called me up and said we have some questions Q. Dave A and would you come in? Q. Dave Saltarelli? A. No. Q. What came of those discussions, if anything? A. Never heard from them again. Q. Why do you think that is? Do you have a view? MR. MELAUGH: Objection to form. THE WITNESS: I I can only assume that what I said they didn't find helpful. BY MR. NORMAND: Q. Can you recall what you talked about with the counsel for IBM? A. Nah, I mean, general general questions about the the you know, the history of the
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	 A. Of course. Q. Do you recall any discussion or negotiation surrounding the language in paragraph A of Amendment Number 2 at the time Amendment Number 2 was executed? A. No. I mean, looking at these two documents here and now, I mean, it's pretty clear this is correcting an error in the previous document. I mean, there there was no money that changed hands for this. And if the previous document was correct, then this would be a huge concession. You would expect there would have been, you know, payment or consideration of some form. So you see something this massive being granted in the cleanup amendment, you know, I can only assume there must have been an error in the first document. That's it doesn't make any sense. Q. Was it ever your understanding when you were at Santa Cruz that in order to acquire any UNIX or UnixWare copyrights, Santa Cruz was obligated to go back to Novell and make a case as to why Santa Cruz needed the copyright? 	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	 A. I mean, if you give me some names, I might remember, but Q. David Marriott? A. Could be. Q. Christopher Kao? A. No. Dave Marriott maybe. I don't know. They called me up and said we have some questions Q. Dave A and would you come in? Q. Dave Saltarelli? A. No. Q. What came of those discussions, if anything? A. Never heard from them again. Q. Why do you think that is? Do you have a view? MR. MELAUGH: Objection to form. THE WITNESS: I I can only assume that what I said they didn't find helpful. BY MR. NORMAND: Q. Can you recall what you talked about with the counsel for IBM? A. Nah, I mean, general general questions

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	Page 126		Page 128
1	BY MR. MELAUGH:	1	BY MR. MELAUGH:
2	Q. So I understand, I think you answered a	2	Q. Why are all those copyrights required for SCO
3	similar question from Mr. Normand, but I just want to	3	to exercise its rights with respect to the acquisition?
4	understand your testimony on this point.	4	A. We took over
5	Is it your opinion that just a moment.	5	MR. NORMAND: Objection. Asked and answered.
б	A. Take your time.	6	THE WITNESS: We took over the business. We
7	Q. So turning to Amendment Number 2 here,	7	were in the business of selling intellectual property.
8	Mr. Normand read you some text from this under paragraph	8	We were in the business of supporting the intellectual
9	A. I'll read it again, so we're all on the same page.	9	property. We were in the business of providing
10	It modifies the excluded assets to read:	10	training. We were in the business of providing
11	All copyrights and trademarks, except	11	marketing materials. We couldn't do any of that without
12	for the copyrights and trademarks owned	12	owning the copyrights.
13	by Novell as of the date of the	13	Q. Well, let's take SVRX licenses, for example.
14	Agreement required for SCO to exercise	14	Was SCO, at the time, in the business of entering into
15	its rights with respect to the	15	new SVRX binary resource
16	acquisition of UNIX and UnixWare	16	A. Yes.
17	technologies.	17	Q licenses?
18	What is your opinion as to the scope of that phrase,	18	MR. NORMAND: Objection to form.
19	namely, "copyrights required for SCO to exercise its	19	BY MR. MELAUGH:
20	rights," and so on? What copyrights does that include?	20	Q. Both binary and resource licenses?
21	MR. NORMAND: Objection to form, and asked and	21	A. Yes. If somebody wanted one, we were the
22 23	answered.	22	place they would have got it. OpenServer was an SVRX
	THE WITNESS: I mean, I believe the scope of	23	license, and we were that was still our primary
24 25	the term here is all copyrights relating to the UNIX business source code, documentation, screens, you	24 25	product. So we were still selling source and binary
25	business source code, documentation, screens, you	2.5	rights to OpenServer. It was all based on SVRX
	Page 127		Page 129
1	know, training materials, you know, that brochures,	1	licenses.
2	know, training materials, you know, that brochures, marketing literature every you know, there's	2	licenses. Q. But for SVRX binary licenses at least, SCO
2 3	know, training materials, you know, that brochures, marketing literature every you know, there's millions of copyright things in a business.	2 3	licenses. Q. But for SVRX binary licenses at least, SCO owed an obligation to Novell to turn over revenue from
2 3 4	know, training materials, you know, that brochures, marketing literature every you know, there's millions of copyright things in a business. BY MR. MELAUGH:	2 3 4	licenses. Q. But for SVRX binary licenses at least, SCO owed an obligation to Novell to turn over revenue from those licenses; isn't that right?
2 3 4 5	know, training materials, you know, that brochures, marketing literature every you know, there's millions of copyright things in a business.BY MR. MELAUGH:Q. Were there any copyrights held by Novell	2 3 4 5	licenses. Q. But for SVRX binary licenses at least, SCO owed an obligation to Novell to turn over revenue from those licenses; isn't that right? MR. NORMAND: Objection to form.
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