

# **EXHIBIT 10**

**Bahr, Robert**

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**From:** Morse, Gregory  
**Sent:** Wednesday, March 21, 2007 5:03 PM  
**To:** Bahr, Robert



IDs in Cases by Ent Data Response 3  
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Let me know what else you need - I still need to get you issued claims over 5/25.

**Data Request**

1. For fiscal years 2005 and 2006, the number (by entity) of—  
 nonprovisional applications (UPRs, including 371s **but not RCEs**) (including cons, divs, CIPS?),  
 continuation applications,  
 continuation-in-part applications,  
 divisional applications, and  
 RCEs.

**All UPRD**

	FY 2005	FY 2006
<b>Nonprovisionals: (Includes CON/CIP/DIV, not RCE)</b>		
<b>Small e :</b>	109,642	109,838
<b>Non-small :</b>	244,411	260,932
<b>RCE/CPA/R129:</b>		
<b>Small e :</b>	11,172	15,347
<b>Non-small :</b>	44,307	59,496
<b>CONs</b>		
<b>Small e :</b>	8174	8848
<b>Non-small :</b>	22726	23832
<b>CIPs</b>		
<b>Small e :</b>	8000	8031
<b>Non-small :</b>	7604	7657
<b>DIVs</b>		
<b>Small e :</b>	4111	4555
<b>Non-small :</b>	15075	16057

2. For fiscal year 2006, the number of FAOMs issued. (first actions taking cases out of "new case" status, or FAOMs that get a count? First actions take cases out of pending inventory)

**First actions (UPRD):**

2005 317,395  
 2006 343,640

3. For fiscal year 2006, the number (by entity) of—

Applications containing more than 5 independent claims or more than 25 total claims (at filing, presumably, or at issue or allowance?)

**At filing: 25% of small entities and 24% of non-small entities had more than 5/25. Since not all the serialized filings have claim data entered, a raw number that have this many in the database is misleading (318k/345k had data)**

- 4. The number of applications in the backlog (as some date) and the number of applications in the backlog that contain (??) more than 5/25

**As of 2/28/07, 708,321 UPR cases in the backlog. 29% of the non-small entity cases were over 5 or 25, and 30% of the small entity cases were over 5 and 25. Only about 95% have claims data, so misleading to give a raw number. In addition some cases other than the 95% have not yet been processed enough to determine large/small entity or claims.**

- 5. For fiscal years 2000-2006, the number of applications (by entity) filed in each fiscal year in which a terminal disclaimer was filed (skewed results – TD often at end of prosecution – TDs filed with the app or within some time?)

**Separate file.**

- 6. The pendency (average) to FAOM in FY 2006 overall, in the biotech area, computer area, and business method area.

**Pendency is reported to First action rather than FAOM.**

**UPR: 22.6 months  
 1600 (biotech): 23.5 months  
 2100 (computer): 30.8 months  
 3620 and 3690: business methods: 43.9 months (approximate – weighted average of the two groups, not directly reported. TC-level data are from annual report)**

- 7. The number of examiners hired in fiscal years 2005 and 2006

	<b>2005</b>	<b>2006</b>
<b>UPRD hires:</b>	<b>978</b>	<b>1218</b>

- 8. The number of requests for pre-appeal brief conference (since inception), and number of applications in which the prosecutions were reopened after the conference. (decision at conference to reopen, or an action reopening that followed?)

**Life of the program, there have been 7,967 conferences conducted as of 3/20/2007. 4,602 conferences resulted in a decision to proceed to the BPAI, and 3,228 conferences resulted in a decision to reopen or allow the application. The remainder identified the request as defective.**

9. The number of appeal briefs filed after a pre-appeal brief conference and number of answers issued in those cases; and number of appeal briefs filed (without a pre-appeal brief conference) and number of answers issued in those cases.

**Requests for a pre-appeal brief conference must accompany a notice of appeal.**

**For notices of appeal filed in the first half of fiscal 2006 (12,811), 2,947 were accompanied by a request for a pre-appeal brief conference. These resulted in 1,463 decisions to proceed to the BPAI at conference, 990 appeal briefs and 682 examiner's answers.**

**Of the 9,864 notices of appeal with no request for pre-appeal brief conference, 5,458 appeal briefs were filed and 2,267 examiner's answers were written.**

10. How big will the corps be after hiring 1,200 examiner a year until 2012?

**7,751 examiners UPR, 4,773 examiners UPR at the end of FY 06.**



**Bahr, Robert**

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**From:** Morse, Gregory  
**Sent:** Thursday, March 22, 2007 12:34 PM  
**To:** Bahr, Robert  
**Subject:** Re:

Before you say that; let me check how many are in the early stages of processing. Ninety pct of cases that get through OIPE have claim data.

----- Original Message -----

**From:** Bahr, Robert  
**To:** Morse, Gregory  
**Sent:** Thu Mar 22 12:31:09 2007  
**Subject:** RE:

WRT--

4. The number of applications in the backlog (as some date) and the number of applications in the backlog that contain (??) more than 5/25

As of 2/28/07, 708,321 UPR cases in the backlog. 29% of the non-small entity cases were over 5 or 25, and 30% of the small entity cases were over 5 and 25. Only about 95% have claims data, so misleading to give a raw number. In addition some cases other than the 95% have not yet been processed enough to determine large/small entity or claims.

Any objection to me saying--

Of the applications currently awaiting examination for which claims data is available in PALM (which is over ninety percent of such applications), about thirty percent contain more than five independent claims or more than twenty-five total claims.

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