Tafas v. Dudas et al Doc. 69 Att. 3

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EXHIBIT 29



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Please note that the OMB number and expiration date may not have been determined when this Information Collection Request and associated Information Collection forms were submitted to OMB. The approved OMB number and expiration date may be found by clicking on the Notice of Action link below.

View ICR - OIRA Conclusion

ICR Reference No: 200706-0651-004 **OMB Control No:** 0651-0031

Previous ICR Reference No: 200703-0651-001 Status: Historical Inactive

Agency/Subagency: DOC/PTO **Agency Tracking No:**

Title: Patent Processing (Updating)

Type of Information Collection: No material or nonsubstantive change to a currently approved collection

Type of Review Request: Regular

OIRA Conclusion Action: Disapproved and continue Conclusion Date: 07/26/2007

Retrieve Notice of Action (NOA) Date Received in OIRA: 06/22/2007

Terms of Clearance: The requested change is material and substantive and cannot be in this action.

	Inventory as of this Action	Requested	Previously Approved	
Expiration Date	09/30/2007	09/30/2007	11/30/2007	
Responses	2,508,139	2,509,139	2,508,139	
Time Burden (Hours)	3,724,791	3,727,291	3,724,791	
Cost Burden (Dollars)	114,723,256	114,723,256	114,723,256	

Abstract: This collection of information is required by 35 U.S.C. sec. 101 et.seq. and is administered through 37 CFR Part 1. During the pendency of a patent application or the period of enforceability of a patent, situations arise that require collection of information for the USPTO to further process the patent or application. This information can be used by the USPTO to continue the processing of the patent or application or to ensure that applicants are complying with the patent regulations.

Authorizing Statute(s): US Code: 35 USC 101 Name of Law: null

Citations for New Statutory Requirements: None

Associated Rulemaking Information

RIN: Stage of Rulemaking: **Federal Register Citation:** Date:

Not associated with rulemaking

Federal Register Notices & Comments

Did the Agency receive public comments on this ICR? No

Document 69-4

Number of Information Collection (IC) in this ICR: 5

IC Title Form No. Form Name Information Disclosure Statement by Applicant, Information Disclosure Statement by Applicant, Processing Fee Under 37 CFR PTO/SB/08/08A/, 08B, 1.17(i) Transmittal, Petition Fee Under 37 PTO/SB/171. CFR 1.17(f), (g) & (h) Transmittal, PTO/SB/17P, Transmittal Form, Petition for Express Abandonment to Obtain a Refund, Request PTO/SB/21-27, **Patent Processing** PTO/SB/24B, (Updating) for Continued Examination (RCE) PTO/SB/30-32, Transmittal, Nonpublication Request Under PTO/SB/35-39, 35 U.S.C. 122(b)(2)(B)(i), Information PTO/SB/42-43, Disclosure Citation in a Patent, Petition for PTO/SB/61-64 Revival of an Application for Patent Abandoned Unavoidably under 37 CFR 1.137

Petition for a second request for continued examination showing why the amendment, argument, or evidence could not have been submitted prior to the close of prosecution in the application

Petition for a second continuation or continuation-in-part application showing why the amendment, argument, or evidence could not have been submitted prior to the close of prosecution **Examination support** document filed in certain

nonprovisional applications covering the independent claims and the designated dependent claims (proposed 37 CFR 1.75(b)

Request for First-Action Interview (Pilot

Program)

PTOL-413C

Request for First-Action Interview (Pilot

Program)

Burden increases because of Program Change due to Agency Discretion: Yes

Burden Increase Due to: Miscellaneous Actions

Burden decreases because of Program Change due to Agency Discretion: No

Burden Reduction Due to:

Short Statement: The USPTO proposes to accelerate a petition activity relevant to existing form PTOL-413A Applicant Initiated Interview Request by allowing the applicant to submit a new form, PTOL-413C Request for First Action Interview, to request a pre-first Office Action interview and attest to the concurrent submission of form PTO/SB/08a Information Disclosure Statement By Applicant. See attached statement. **Annual Cost to Federal Government: \$0**

Does this IC contain surveys, censuses, or employ statistical methods? No

Is the Supporting Statement intended to be a Privacy Impact Assessment required by the E-Government Act of 2002? No

Agency Contact: Samual Broda 5712727711

On behalf of this Federal agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9 and the related provisions of 5 CFR 1320.8(b)(3).

The following is a summary of the topics, regarding the proposed collection of information, that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It uses plain, coherent, and unambiguous language that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention periods for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8 (b)(3) about:
 - (i) Why the information is being collected;
 - (ii) Use of information;
 - (iii) Burden estimate;
 - (iv) Nature of response (voluntary, required for a benefit, or mandatory);
 - (v) Nature and extent of confidentiality; and
 - (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected.
- (i) It uses effective and efficient statistical survey methodology (if applicable); and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of these provisions, identify the item by leaving the box unchecked and explain the reason in the Supporting Statement.

Certification Date: 06/22/2007

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Information Collection List

IC Title	Status	Responses	Hours	Dollars	Document Type	Form No.	Form Name
					Form	PTO/SB/08/08A/	Information Disclosure Statement by Applicant
					Form	08B	Information Disclosure Statement by Applicant
					Form	PTO/SB/171	Processing Fee Under 37 CFR 1.17(i) Transmittal
					Form	PTO/SB/17P	Petition Fee Under 37 CFR 1.17(f), (g) & (h) Transmittal
					Form	PTO/SB/21-27	Transmittal Form
Patent Processing (Updating)	Unchanged	2495139	3472791	113518186	Form	PTO/SB/24B	Petition for Express Abandonment to Obtain a Refund
					Form	PTO/SB/30-32	Request for Continued Examination (RCE) Transmittal
					Form	PTO/SB/35-39	Nonpublication Request Under 35 U.S.C. 122 (b)(2)(B)(i)
					Form	PTO/SB/42-43	Information Disclosure Citation in a Patent
					Form		Petition for Revival of an Application for Patent Abandoned Unavoidably under 37 CFR 1.137(a)

Petition for a second request for continued examination showing why the amendment, argument, or evidence could not have been submitted prior to the close of prosecution in the application	Unchanged	1000	4000	400390		
Petition for a second continuation or continuation- in-part application showing why the amendment, argument, or evidence could not have been submitted prior to the close of prosecution	Unchanged	2000	8000	800780		
Examination support document filed in certain nonprovisional applications covering the independent claims and the designated dependent claims (proposed 37 CFR 1.75(b)	Unchanged	10000	240000	3900		
Request for First-Action Interview (Pilot Program)	New	1000	2500	0 Form and Instruction	PTOL-413C	Request for First-Action Interview (Pilot Program)

Total burden requested under this ICR: 2509139 3727291 114723256

To view an IC, click on IC Title

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NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Date 07/26/2007

Department of Commerce Patent and Trademark Office

FOR CERTIFYING OFFICIAL: Barry West FOR CLEARANCE OFFICER: Diana Hynek

In accordance with the Paperwork Reduction Act, OMB has taken action on your request received <u>06/22/2007</u>

ACTION REQUESTED: No material or nonsubstantive change to a currently approved collection

TYPE OF REVIEW REQUESTED: Regular ICR REFERENCE NUMBER: 200706-0651-004

AGENCY ICR TRACKING NUMBER: TITLE: Patent Processing (Updating)

OMB ACTION: <u>Disapproved and continue</u>

OMB Control Number: 0651-0031

The agency is required to display the OMB Control Number and inform respondents of its legal

significance in accordance with 5 CFR 1320.5(b).

EXPIRATION DATE: 09/30/2007 DISCONTINUE DATE:

COMMENT: Terms of the previous clearance remain in effect. The requested change is material and substantive and cannot be in this action.

OMB Authorizing Official: John F. Morrall III

Acting Deputy Administrator,

Office Of Information And Regulatory Affairs