

**Defendants'
Exhibit 1
(Part 2)**

05/03/06	Comments from Cantor Colburn LLP	A02863-A02866
05/03/06	Comments from Ladas & Parry LLP	A02867-A02884
05/03/06	Comments from Pearne & Gordon LLP	A02885-A02900
05/03/06	Comments from Thomas, Kayden, Horstemeyer & Risley, LLP	A02901-A02923
05/03/06	Comments from Tillman Wright, PLLC	[A01544-A01552]
05/03/06	Comments from Guy Cumberbatch	A02924-A02925
05/03/06	Comments from Townsend and Townsend and Crew LLP	A02926-A02929
05/03/06	Comments from Wood, Herron & Evans, LLP	A02930-A02935
05/03/06	Comments from "Patent Attorney" at e-mail address uspatentnol@yahoo.com	[A01553-A01557]
05/03/06	Comments from Dick Apley	A02936-A02937
05/03/06	Comments from D. Benjamin Borson, Paul Kovelman, Robert Kovelman, William Benman, George Grunebach, R. Dabney Eastham, Thomas Ward	A02938-A02949
05/03/06	Comments from Kerry Broome	A02950-A02954
05/03/06	Comments from Katrina Leonardi	A02955-A02956
05/03/06	Comments from Terry Callahan	A02957-A02965
05/03/06	Comments from Dean Alderucci, Robert Bahrapour	[A01572- A01609]
05/03/06	Comments from Mark Carter	[A01610-A01614]
05/03/06	Comments from Kent Chambers	A02966-A02967
05/03/06	Comments from Clyde Christofferson, Marshall Curtis	A02968-A02971
05/03/06	Comments from the Business Software Alliance (BSA)	A02972-A02976
05/03/06	Comments from Lawrence Ebert	A02977-A02979
05/03/06	Comments from Paul Fenster	A02980-A02983
05/03/06	Comments from John Fitzpatrick	A02984-A02992
05/03/06	Comments from Glenn Foster	[A01615-A01632]
05/03/06	Comments from Derek Freyberg	[A01633-A01635]
05/03/06	Comments from Nicholas Godici	[A01636-A01642]
05/03/06	Comments from Thomas Kelley	A02993-A02995
05/03/06	Comments from Michael Kirschner	[A01650-A01654]
05/03/06	Comments from David Metzger	A02996-A03000
05/03/06	Comments from Steven Lundberg, Ann McCrackin	A03001-A03003
05/03/06	Comments from Jerry Mahurin, Curtis Castleman, Jeffery Thurnau, Paul Dunlap	A03004-A03007
05/03/06	Comments from Robert Miller	[A01655-A01668]
05/03/06	Comments from Joseph Milstein	A03008-A03010
05/03/06	Comments from Timothy Ball, Grace Bonner, Forchisha Davis, Dennis Hoerner, Lawrence Lavin, Chunping Li, Matthew Madsen, Margarita Unson (Practitioners from Monsanto Company)	A03011-A03034
05/03/06	Comments from Tom Moran	[A01669-A01670]

05/03/06	Comments from James Mullen III, Gladys Monroy, Barry Bretschneider, Gregory Einhorn, Kate Murashige, Catherine Polizzi, Steven Durant, Norman Klivans, Jr., Robert Scheid, Daniel Yannuzzi	A03035-A03039
05/03/06	Comments from Elizabeth Nugent, Heather Callahan	A03040-A03041
05/03/06	Comments from Devan Padmanabhan	A03042-A03047
05/03/06	Comments from Stephen Pang	A03048-A03057
05/03/06	Comments from Steven Parmelee	[A01687-A01691]
05/03/06	Comments from Robert Resis	A03058-A03075
05/03/06	Comments from Mark Sandbaken	A03076-A03083
05/03/06	Comments from Suzannah Sundby	A03084-A03091
05/03/06	Comments from Michael Wach	A03092-A03093
05/03/06	Comments from Seth Watkins	A03094-A03097
05/03/06	Comments from Steven Wigmore	A03098-A03102
05/03/06	Comments from Mark Wolfe	[A01731]
05/03/06	Comments from Kevin Wolff	[A01732-A01740]
05/03/06	Comments from Lee Wright	A03103-A03105
05/03/06	Comments from Richard Breneman	[A01750]
05/03/06	Comments from Lisa Brothers	[A01751]
05/03/06	Comments from Rose Marie Copeland-Carr	A03106-A03108
05/03/06	Comments from Glenn Strawder	A03109-A03111
05/03/06	Comments from Edwin Suominen	A03112-A03113
05/03/06	Comments from Mary Jo West	A03114
05/03/06	Comments from "Anonymous" in Sunnyvale, CA	A03115-A03118
05/03/06	Comments from "Anonymous"	A03119-A03123
05/03/06	Comments from J. Bonnamy	A03124
05/03/06	Comments from G. Foster	A03125
05/03/06	Comments from Mark Greenstein	[A01762-A01766]
05/03/06	Comments from James Kulbaski	A03126-A03127
05/03/06	Comments from V. Bryan Lawlis	A03128-A03129
05/03/06	Comments from Van Mahamedi	A03130-A03132
05/03/06	Comments from Jeff Stewart	A03133-A03137
05/03/06	Comments from Jason Taylor	[A01709-A01721]
05/03/06	Comments from Daniel Wasil	A03138-A03141
05/04/06	Comments from Margo Wach	A03142
05/04/06	Comments from Fallbrook Technologies Inc.	A03143-A03152
05/04/06	Comments from Cameron Weiffenbach	A03153-A03155
05/06/06	Comments from Samson Helfgott	A03156-A03164
Undated	Comments from Francis Hand	A03165
Undated	Comments from Steven Hoffberg	A03166-A03190
Undated	Comments from Clifford Kraft	A03191-A03192
Undated	Comments from Justin Petruzzelli	[A01124-A01132]
Undated	Comments from Robert Usher	A03193-A03195
Undated	Comments from Robert Vanderhye	A03196-A03199
Undated	Comments from Kurt VanVoorhies	[A01722-A01727]

V. DATA		
DATE	DESCRIPTION	BATES NO.
00/00/03	AIPLA Report of the Economic Survey 2003	A03200-A03201
10/00/03	To Promote Innovation: The Proper Balance of Competition and Patent Law and Policy, A Report by the Federal Trade Commission, October 2003	A03202-A03516
06/00/04	Appeal Conference Effects – Examiner Actions in Response to Appeal Brief	A03517-A03524
00/00/05	Refile Report – Compiled via ExPo for all types of filings	A03525-A03530
02/09/05	Re-Work Stats	A03531-A03537
02/10/05	FAOM Rework by TC Group for FY 2000-2005	A03538-A03544
03/02/05	Claims breakdown by Tech Center for FY 2000-2005	A03545-A03553
03/10/05	Claims and percentages data for FY 2004	A03554-A03620
04/07/05	Patents Issuing (Utility and Plant Only) for FY 1981-2005	A03621
04/25/05	CPA-RCE-CON Multiple Entries: Small Entity-Based RCE-R129-CPA Filing Counts in Applications, Continuations Filed in Applications for FY 2002-2005	A03622-A03623
04/25/05	Terminal Disclaimers by Entity	A03624-A03625
04/26/05	Refiles by Tech Centers for FY 2002-2005	A03626-A03638
04/26/05	Corrected Refiles by Tech Centers for FY 2002-2005	A03639-A03651
05/23/05	Claims in Application at Filing (Serialized Filings Only) for FY 2001-2005	A03652-A03653
05/26/05	Loss of Revenue Exercise for Patents	A03654
06/01/05	Claim Fee Analysis	A03655-A03657
06/01/05	Updated Claim Fee Analysis	A03658-A03660
06/01/05	Claim Fee Analysis – Claims Ranges Summary for FY 2002-2005, Total Claims Ranges by TC Group	A03661-A03678
06/03/05	Data for meeting regarding claims for examination and time/counts	A03679-A03683
06/08/05	Appeal brief survey data – IFW cases placed in 124 status by the BPAI in FY 2004 and 2005	A03684-A03736
06/08/05	Appeal brief survey data	A03737-A03763
06/16/05	Goals Proposal for Mid-Year FY 2006	A03764-A03766
06/27/05	Claim Fee Analysis for FY 2002-2005	A03767-A03769
06/29/05	Independent Claims Filed by Large Entities, Small Entities and All Entities	A03770-A03771
06/29/05	Updated Refiles by Tech Centers for FY 2002-2005	A03772-A03784
06/29/05	CPA-RCE-CON Multiple Entries: Entity-Based RCE-R129-CPA Filing Counts in Applications, Continuations Filed in Applications and Continuations/CIPS Filed in Applications for FY 2002-2005	A03785-A03788

07/08/05	Independent Claim Analysis	A03789-A03795
07/14/05	Briefs – Notice of Appeals by Entity FY 2003-2005	A03796-A03797
07/19/05	Independent Claims Breakdown	A03798-A03804
07/19/05	Total Claims Breakdown	A03805-A03821
07/26/05	Independent Claims Breakdown by Entity	A03822-A03824
08/00/05	U.S. Patent and Trademark Office: Transforming To Meet the Challenges of the 21 st Century, A Report by a Panel of the National Academy of Public Administration for the U.S. Congress and the U.S. Patent and Trademark Office	A03825-A04112
09/00/05	AIPLA Report of the Economic Survey 2005 Prepared Under Direction of the American Intellectual Property Law Association Law Practice Management Committee	A04113-A04329
09/22/05	Data Update regarding Petitions to Make Special	A04330-A04332
10/13/05	Independent Claims Breakdown by Entity - Independent Claims in Cases Received 1/1/2005+	A04333-A04338
10/13/05	Refiles by TC – Entity FY 2002-2005	A04339-A04351
10/14/05	CPA-RCE-CON Multiple Entries: Entity-Based RCE-R129-CPA Filing Counts in Applications, Continuations Filed in Applications and Continuations/CIPS Filed in Applications for FY 2002-2005	A04352-A04355
10/14/05	UPR New Hire Examiner Attritions for FY 2000-2005	A04356
10/17/05	Petitions To Make Special (PTMS) – All Data Update	A04357-A04359
10/18/05	Priority Claims & First Actions with Analysis for FY 2002-2005	A04360-A04368
10/18/05	Average Claims for Applications Filed, Allowed and Issued During a Fiscal Year and by Fiscal Year of Filing	A04369-A04371
10/19/05	Office Action Analysis by Tech Center for FY 2002-2005	A04372-A04382
10/19/05	Appeal Information and Disclaimers by Entity for FY 2004-2005	A04383-A04384
10/20/05	First Action Ratios for FY 2001-2005	A04385-A04386
10/23/05	Continuations/RCEs – 2 nd and subsequent for FY 2002-2005	A04387-A04398
10/27/05	Independent Claims Breakdown by Entity	A04399-A04402
11/30/05	CPA-RCE-CON Multiple Entries: RCE-R129-CPA Filing Counts in Applications and Continuations Filed in Applications	A04403-A04404
03/07/06	2005 Filings, RCEs, Continuations	A04405-A04407
03/21/06	Percent First Action Allowances	A04408-A04411
03/31/06	Data regarding second plus continuations	A04412-A04416
04/04/06	CPA-RCE-CON Multiple Entries: Entity-Based RCE-R129-CPA Filing Counts in Applications, Continuations Filed in Applications and Continuations/CIPS Filed in Applications	A04417-A04420

04/04/06	USPTO FOIA 06-159	A04421-A04432
05/26/06	E-mail from C. Kirik to R. Fawcett regarding FOIA 06-176 and attaching 2007 President's Budget Report	A04433-A04507
06/02/05	Group Patent Case Pendency – TC Group 3620	A04508
06/05/06	Performance and Accountability Report for Fiscal Year 2005 – Table 2: Patent Applications Filed	A04509
06/13/06	Claims Analysis on Applications in Status 18-32	A04510-A04511
06/14/06	Updated Claims Analysis on Applications in Status 18-32	A04512-A04513
06/22/06	RCE-CON-DIV After Allowance	A04514-A04515
07/10/06	Divisional Analysis – Number Claimed in Applications for FY 2001-2006	A04516-A04519
07/13/06	Final Rejections by Month – Analysis for FY 2005-2006	A04520-A04521
07/18/06	Final Rejections by Month – Analysis for FY 2005-2006	A04522-A04523
07/19/06	Continuing Applications Data for FY 1980-2006	A04524-A04527
07/21/06	Claims Analysis on Applications in Status 18-32	A04528-A04529
07/24/06	Statistics on Continuations for FY 2002-2005	A04530-A04532
07/24/06	Divisional Filings with/without Restrictions in Parent – All TCs for FY 2005-2006	A04533-A04534
07/27/06	Claims Analysis on Applications in Status 18-32	A04535-A04536
07/27/06	Claims Analysis for FY 2005 and 1 st Half of FY 2006	A04537-A04538
07/27/06	Claims Analysis for FY 2005, Quarters 2, 3 & 4 of FY 2005 and 1 st Half of FY 2006	A04539-A04541
07/29/06	Claims Analysis (Entity Based) for FY 2005, Quarters 2, 3 & 4 of FY 2005 and 1 st Half of FY 2006	A04542-A04545
08/03/06	Relevant Data regarding USPTO filings	A04546-A04553
08/29/06	Updated Claims Analysis (Entity Based) for FY 2005, Quarters 2, 3 & 4 of FY 2005 and FY 2006-to-Date	A04554-A04557
08/31/06	CPA-RCE-CON-CIP 2 Filings for FY 1995-2006	A04558-A04559
08/31/06	CPA-RCE-CON-CIP 2 Filings for FY 1995-2006	A04560-A04561
08/31/06	CPA-RCE-CON-CIP 2 nd and 3 rd Generation Refiles for FY 1995-2006	A04562-A04564
09/01/06	CPA-RCE-CON-CIP 2 nd and 3 rd Generation Filings for FY 1995-2006	A04565-A04566
09/13/06	Group Patent Case Pendency Slide	A04567-A04568
09/15/06	Claims Analysis by Entity for FY 2005, Quarters 2, 3 & 4 of FY 2005 and FY 2006-to-Date	A04569-A04572
09/15/06	RCE Count with Post RCE Result – Disposition of Cases Having 1+ RCES/CPSs for FY 1994-2006	A04573-A04574
09/26/06	Claims Analysis by Entity for FY 2005, Quarters 2, 3 & 4 of FY 2005 and FY 2006-to-Date	A04575-A04578
09/27/06	Statistics on First Action Allowances, Board Outcomes and RCEs	A04579-A04580
09/28/06	Independent Claims Filed in Cases for FY 2005-2006 and Total Claims Filed in Cases for FY 2005-2006	A04581-A04585

09/28/06	Independent and Total Claims in Application at Filing for FY 1998-2006	A04586-A04590
09/29/06	Claim Counts for FY 2006	A04591-A04672
09/30/06	Biweekly Technology Center 2900 Time And Activity Report	A04673-A04674
10/12/06	FY 2005 Statistics on Continuations	A04675
10/12/06	Proposed Rule Changes to Focus the Patent Process in the 21 st Century	A04676-A04681
10/12/06	The State of the Patent System Background for Rule Proposals	A04682-A04686
10/12/06	USPTO Request for Public Input: Strategic Panning	A04687-A04704
10/18/06	OPQA RCE Review	A04705-A04718
10/23/06	PCTs Filed in CY05 with Parent Entity Information	A04719-A04720
10/23/06	Patent Examiner Hiring Models	A04721-A04731
10/25/06	Claims Analysis on Applications in Status 18-32 (New Cases)	A04732-A04733
10/26/06	CPA-RCE-CON-CIP 2 nd and 3 rd Generation Filings by Entity for FY 1995-2006	A04734-A04737
10/26/06	CPA-RCE-CON-CIP 2 nd and 3 rd Generation Filings by Entity for FY 1995-2006	A04738-A04742
12/13/06	Claims Analysis on Applications in Status 18-32 (New Cases)	A04743-A04744
12/13/06	Claims Analysis on Applications in Status 18-32 (New Cases)	A04745-A04747
12/13/06	Claims Analysis by Entity for FY 2005, Quarters 2, 3 & 4 of FY 2005 and FY 2006-to-Date	A04748-A04752
01/08/07	Distribution of claims in FY 2006 filings, newly serialized cases only, PALM Data 1/8/2007	A04753
01/08/07	Distribution of Independent Claims at Filing, PALM Data 1/8/2007	A04754
01/08/07	Distribution of Total Claims at Filing, PALM Data, 1/8/2007	A04755
01/08/07	Total Claims at Filing and Issue, FY06, PALM Data, 1/8/2007	A04756
01/11/07	Claims Analysis by Entity for FY 2005, Quarters 2, 3 & 4 of FY 2005 and FY 2006-to-Date	A04757-A04760
01/22/07	Claims Analysis by Entity for FY 2005, Quarters 2, 3 & 4 of FY 2005 and FY 2006-to-Date	A04761-A04764
01/24/07	RCE-CONs 4 Plus Filings	A04765-A04766
01/26/07	Claim Categories with Continuity	A04767-A04783
01/29/07	Terminal Disclaimers in FY 1999-2001 Filings	A04784-A04785
02/00/07	2008 President's Budget	A04786-A04984
02/07/07	Issued Claims in Utility Patents Based on PALM Data for FY 2005-2007	A04985-A04988

02/07/07	Claims Analysis by Entity for FY 2005, Quarters 2, 3 & 4 of FY 2005 and FY 2006-to-Date	A04989-A04992
02/07/07	Issued Claims in Utility Patents (PALM Data, FY 2006 Issues)	A04993-A04995
02/12/07	Filings by Tech Center & by Entity for FY 2001-2007	A04996-A04999
02/13/07	Issued Claims (by Entity) in Utility Patents Based on PALM Data for FY 2005-2007	A05000-A05009
02/15/07	Divisional Analysis – Number Claimed in Applications for FY 2001-2007	A05010-A05013
02/15/07	CPA-RCE-CON-CIP 2 nd and 3 rd Generation Filings by Entity for FY 1995-2007	A05014-A05017
03/05/07	Pre-Appeal Brief Conference Effects – second half of FY2006	A05018
03/06/07	UPR Filings and Refilings for FY 1980-2007	A05019-A05020
03/07/07	Refilings – 1980-2007	A05021
03/15/07	Number of 3 CON/CIP filings or 4+ CON/CIP/RCE/CPA filings, by TC as of 3/13/07	A05022
03/21/07	Data Request	A05023-A05027
03/22/07	Data re: issued claims over 5/25	A05028
03/22/07	Data re: restriction/election only actions issued in FY 2006	A05029
03/22/07	3 Plus Design Filings for FY 2006	A05030-A05031
03/26/07	FY 2006 Filings for RoBahr	A05032-A05033
04/10/07	Independent & Total Claims in Application at Filing for FY 1998-2007	A05034
04/26/07	Data re: FY 06 applications with more than 5 independent claims	A05035
05/01/07	Claims in cases – new rule	A05036-A05037
05/08/07	Claims in cases – new rule	A05038-A05039
05/10/07	Claims in cases – new rule	A05040-A05041
05/15/07	Independent and Total Claims Breakdown by Entity for FY 2006	A05042-A05052
05/18/07	OPQA Error Rate Findings vs. Claims at Filing	A05053-A05060
06/05/07	UPR Filings and Refilings for FY 1980-2007	A05061-A05062
06/11/07	Claims in cases – new rule	A05063-A05065
06/12/07	Claims in cases – new rule update	A05066-A05070
06/13/07	UPR Filings and Refilings for FY 1980-2007	A05071-A05072
06/13/07	RCE-CONs Combined 4 Plus Entity for 2006 Filings	A05073-A05618
06/19/07	Independent and Total Claims in Application at Filing for FY 1998-2007	A05619-A05620
Undated	Reviewer Comments: Examiner Action Not Proper	A05621-A05622
Undated	CPA-RCE-CON-CIP 2 nd and 3 rd Generation Filings by Entity for FY 1995-2006	A05623-A05625
Undated	Issued Claims in Utility Patents (PALM Data, FY2006 issues)	A05626

Undated	FY06 Claims Report	A05627
Undated	Claims in patents issued FY 2006, utility patents only	A05628
Undated	Claims in utility patents issued FY 2006	A05629
Undated	RCE Filings w 3+ Prior	A05630
Undated	Total Claim Counts in U-R Applications for FY 2006	A05631-A05638
Undated	CONs/CIPs consecutively claimed	A05639
Undated	Petitions to Make Special – Accelerated Examination for FY 2003-2005	A05640
Undated	OMB Budget Models	A05641-A05721
Undated	2005 Filings – 12/8/2004 to EOY – Claim fees paid to date; 2006 filings – entire FY – Claim fees paid to date	A05722
Undated	FY 2006 Filings	A05723
Undated	Claims in patents issued 2006	A05724
Undated	FY06 Claims at Allowance; FY05 Claims at Allowance	A05725
Undated	Average Claims for Applications Filed, Allowed And Issued During a Fiscal Year	A05726
Undated	Targeted RCE Review Results Summary	A05727
Undated	Marked-Up Copy of Targeted RCE Review Results Summary	A05728
Undated	Independent and Effective Claim Count Data for FY 2002-2005	A05729-A06080
Undated	Independent and Total Claim Count Data for FY 2002-2005	A06081-A07079
Undated	UPR Filings	A07080
Undated	Utility Patent Count for FY 1962-2007	A07081
Undated	Attrition Data For Examiners Hired Since FY 2003 With And Without Recruitment Incentive	A07082
Undated	First Action Pendency/Total Pendency (Target and Actual) for FY 2001-2015	A07083
Undated	Total Pendency Chart	A07084
Undated	Effects on Pendency Chart	A07085
Undated	Error Rate Chart	A07086
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Undated	Independent and Total Claims Analysis for FY 2005 - Quarters 2, 3 & 4 of FY 2005	A07088
Undated	Refile Report – Compiled via ExPo for all types of filings for FY 2005	A07089
Undated	FY 2006 Filings by Tech Center and 2006 Serialized Filings with Claim Data	A07090
Undated	Refile Report – Compiled via ExPo for all types of filings for FY 2006	A07091
Undated	RCE Filings in FY 2005 – Business Methods	A07092
Undated	Patent Excess Claims Fees Collected By Year; Excess Claims Fees Paid In FY 2006 Filings	A07093

Undated	Pendency Reduction Action Plan Charts for FY 1982-2012	A07094-A07095
Undated	Pendency Savings	A07096
Undated	Demand versus Capacity, Replacement Hiring Only Chart for FY 1989-2012	A07097
Undated	Patent Applications Filed for FY 2001-2006	A07098
Undated	Average Claims for Applications Filed, Allowed and Issued During a Fiscal Year for FY 1990-2006	A07099
Undated	UPR for FY 2005-2006	A07100
Undated	Examiner Attritions by Class and Service Time	A07101-A07103
Undated	Years Onboard for Attritted Examiners (FY 2000-2006)	A07104
Undated	FY 2000-2006 Hires	A07105
Undated	Patents UPR Filings by Technology Center for FY 1997-2005	A07106
Undated	GS 12 Equivalent Hours per Production Unit and Rate of Increase per Fiscal Year (FY 1991-2006)	A07107
Undated	Final FY 2006 Quality and Pendency Data	A07108
Undated	Patent Applications Filed (FY 1985-2005)	A07109
Undated	Patents Issued (FY 1985-2005)	A07110
Undated	Without Strategic Plan (Red Diamond)	A07111-A07118
Undated	FY 2005 Claims at Filing	A07119-A07120
Undated	Allowances (NOAs) for FY 2004-2005	A07121
Undated	USPTO Claims Fee Income	A07122
Undated	Independent and Total Claims in Application at Filing for FY 1998-2005	A07123-A07124
Undated	Refile Report – Compiled via ExPo for all types of filings for FY 2005	A07125-A07127
Undated	Description of Continuation Application, Divisional Application, CIP, RCE, CPA	A07128-A07129
Undated	Attrition of Patent Examiners for FY 1990-2005	A07130
Undated	EOY 05 UPR Actions Per Disposal	A07131
Undated	Years Onboard for Attritted Examiners	A07132-A07134
Undated	Percent FAOM Rework for FY 2000-2005	A07135-A07138
Undated	Hours Per Application: Complex vs. Non-Complex	A07139-A07140
Undated	Increasing Capacity/Hire and Retain More Examiners	A07141-A07142
Undated	Productivity Gains	A07143
Undated	Technology Centers Rework Statistics for FY 2002-2005	A07144
Undated	UPR Filings for FY 1980-2005	A07145
Undated	Comparison of Allowances	A07146
Undated	FY 1981-2004 Patent Data	A07147
Undated	Art Unit Production Profile	A07148
Undated	FY 2006 President's Model Projected 2010 First Action Pendency	A07149
Undated	Average Claims Allowed in Utility-Reissue Applications for FY 1995-2005	A07150

Undated	Average Claims Allowed per TC per FY – Utility-Reissue Cases for FY 1997-2005	A07151
Undated	Applications Abandoned in Response to FAOM for FY 2001-2005	A07152
Undated	Bush Administration Plan Comparison	A07153-A07154
Undated	FAOM Rework for FY 2002-2005	A07155-A07158
Undated	First Action on Merits Allowance Rates by Discipline for FY 1983-2005 (FAOMs after RCE/CPA/R129 are not included)	A07159-A07160
Undated	First Action on Merits Allowance Rates by Discipline for FY 1983-2005 (FAOMs after RCE/CPA/R129 are included)	A07161-A07162
Undated	Second Action on Merits Allowance Rates by Discipline for FY 1983-2005 (2 nd Actions on Merits after RCE/CPA/R129 are not included)	A07163-A07164
Undated	Patent Applications – Utility, Plant and Reissue; FY 2006 Goal: 414,966	A07165
Undated	RCEs Filed and Growth in RCEs for FY 2002-2006	A07166
Undated	UPR – RCE - R129 – CPA Filing Counts in Applications	A07167
Undated	Entire Corps Attrition	A07168
Undated	Final Rejection/Action in Response to Final for FY 2002-2006	A07169
Undated	Applications Abandoned in Response to FAOM for FY 2001-2006	A07170
Undated	Pendency to Issue	A07171
Undated	FAOM Allowances	A07172-A07174
Undated	Patents UPR Filings by Technology Center for FY 1993-2004	A07175
Undated	Final FY 2005 Application filing Information	A07176
Undated	FY 1985-2005 Patent Data	A07177
Undated	Allowances After CPA/RCE for FY 2002-2004	A07178
Undated	AIPLA Rule Items For Discussion	A07179-A07183
Undated	Patent Application Filing Types Seen in Examination	A07184
Undated	BD's for FY 2004-2005	A07185
Undated	Disposals for FY 2004-2005	A07186
Undated	FAOM's for FY 2004-2005	A07187
Undated	UPR Electronic Filing for FY 2003-2005	A07188
Undated	Total Monthly Pendency 2B41 for CY 2004-2005	A07189
Undated	Monthly Pendency 2B41 for CY 2004-2005	A07190
Undated	Monthly Allowance Rate for CY 2004-2005	A07191
Undated	Allowances Mailed by Month for CY 2004-2005	A07192
Undated	Monthly Grant by FY for FY 2003-2005	A07193
Undated	Pendency Charts	A07194-A07202

VI. INFORMATION RELATING TO REGULATORY FLEXIBILITY ACT		
DATE	DESCRIPTION	BATES NO.
11/00/86	NBER Working Paper Series – Working Paper No. 2083 - The Value Of Patents As Indicators Of Incentive Activity by Zvi Griliches, Ariel Pakes, Bronwyn Hall	A07203-A07205
03/00/95	NBER Working Paper Series – Working Paper No. 5049 – Trade In Ideas: Patenting And Productivity On The OECD by Jonathan Eaton and Samuel Kortum	A07206-A07208
05/00/00	Market Value and Patent Citations: A First Look by Bronwyn Hall, Adam Jaffee and Manuel Trajtenberg	A07209-A07211
06/00/01	Statement of Financial Accounting Standards No. 142; Goodwill and Other Intangible Assets	A07212-A07321
05/00/05	Who enjoys “TRIPs” Abroad? An empirical analysis of intellectual property rights in the Uruguay Round by Phillip McCalman	A07322-A07324
11/25/05	Memorandum from B. Knight to T. Sullivan regarding Certification Under 605(b) of the Regulatory Flexibility Act	A07325-A07327
12/22/05	Memorandum from S. Brown to D. Rostker regarding submission of a proposed addition of a currently approved collection	A07328-A07477
00/00/06	United States Patent and Trademark Office Performance And Accountability Report, Fiscal Year 2006	A07478-A07479
09/12/06	E-mail from E. Gormsen to R. Bahr regarding cost elements question	A07480
09/20/06	E-mail from R. Bahr to E. Gormsen regarding request for information, and scheduling a meeting	A07481
09/28/06	E-mail from J. Collier to R. Bahr regarding estimating representative claims	A07482
09/29/06	E-mail from J. Collier to R. Bahr regarding update on tentative conclusions on AB93/AB94	A07483
09/29/06	Pre-Examination Search Document for Attorney Docket No. 076376.0411	A07484-A07560
09/29/06	Pre-Examination Search Document for Attorney Docket No. 076376.0412	A07561-A07609
09/29/06	Pre-Examination Search Document for Attorney Docket No. 076376.0413	A07610-A07698
11/20/06	71 Fed. Reg. 67109 – Business Size Standard for Purposes of United States Patent and Trademark Office Regulatory Flexibility Analysis for Patent-Related Regulations	A07699-A07702

11/21/06	Request For Reconsideration Of Decision On Petition To Make Special for Application No. 11/549,286	A07703-A07811
12/00/06	The Small Business Economy For Data Year 2005 – A Report To The President	A07812-A08091
12/04/06	Supplemental Petition To Make Special Under Accelerated Examination Program	A08092-A08208
03/13/07	PRA Update	A08209-A08226
03/14/07	E-mail from G. Morse to J. Doll regarding number of 3 CON/CIP filings or 4+ CON/CIP/RCE/CPA filings, by TC, as of 3/13/07	A08227
03/28/07	E-mail from R. Bahr to E. Gormsen regarding cost elements question	A08228-A08230
04/02/07	E-mail from R. Bahr to E. Gormsen regarding patenting trends report	A08231
04/05/07	E-mail from R. Bahr to E. Gormsen regarding patenting trends report	A08232-A08233
04/10/07	E-mail from R. Bahr to E. Gormsen regarding cost elements question	A08234-A08236
04/25/07	E-mail from J. Collier to A. Ostrup regarding follow-up to yesterday's call	A08237
05/03/07	E-mail from J. Collier to R. Bahr attaching claims data spreadsheet	A08238-A08239
05/06/07	E-mail from R. Bahr to J. Collier regarding claims data	A08240
05/06/07	E-mail from R. Bahr to J. Collier attaching claims data spreadsheet	A08241-A08242
05/07/07	E-mail from J. Collier to R. Bahr regarding applicants affected by claims and continuing application requirements	A08243
05/08/07	E-mail from J. Collier to R. Bahr regarding AIPLA definition of "minimal complexity"	A08244-A08247
05/08/07	E-mail from E. Gormsen to R. Bahr regarding claims data analysis	A08248
05/09/07	E-mail from R. Bahr to J. Collier regarding bottom up analysis of ESD	A08249-A08250
05/10/07	E-mail from R. Bahr to E. Gormsen regarding applications and preexamination searches	A08251
05/11/07	E-mail from E. Gormsen to R. Bahr regarding data request	A08252-A08254
05/15/07	E-mail from R. Bahr to E. Gormsen regarding data request	A08255-A08263
06/06/07	E-mail from R. Bahr to J. Collier regarding regulatory alternatives	A08264-A08265
06/12/07	E-mail from R. Bahr to E. Gormsen regarding claims data spreadsheet	A08266-A08267
06/27/07	E-mail from R. Bahr to J. Collier regarding certification analysis	A08268-A08269

06/29/07	Certification Analysis Under The Regulatory Flexibility Act: Changes to Practice for Continued Examination Filings, Patent Applications Containing Patentably Indistinct Claims, and Examination of Claims in Patent Applications, Prepared by ICF International	A08270-A08306
07/10/07	Memorandum from W. Covey to T. Sullivan regarding Certification Under 605(b) of the Regulatory Flexibility Act	A08307-A08315
09/18/07	USPTO Organization Chart	A08316-A08318
09/20/07	FY 2007 Fee Schedule from USPTO website	A08319-A08329
VII. ADDITIONAL CORRESPONDENCE		
DATE	DESCRIPTION	BATES NO.
11/04/04	Letter from E. Meltzer to W. Logsdon responding to an invitation extended to J. Dudas to speak to the Patent Bar in Pittsburgh	A08330-A08333
02/08/06	Letter from J. Dudas to T. Davis responding to concerns raised with respect to procedures for the examination and reexamination of patents	A08334-A08340
03/02/06	Letter from E. Meltzer to C. Stephens responding to an invitation extended to the USPTO to participate in a panel discussion during the New York Biotechnology Association's Annual Meeting	A08341-A08344
05/01/06	Letter from T. Dzenitis to B. Boxer responding to concerns over proposed rule changes by the USPTO	A08345-A08364
05/08/06	Letter from J. DelPonti to J. Dudas providing comments to the proposed rule changes	A08365-A08368
05/25/06	Letter from E. Meltzer to B. Groff responding to an invitation extended to the USPTO for a speaking engagement for the State Bar of Georgia	A08369-A08370
06/12/06	Letter from E. Meltzer to M. Dowling responding to an invitation extended to the USPTO for a speaking engagement for the Greater Dallas Chamber's Technology Business Council	A08371-A08375
06/22/06	Letter from R. Bahr to M. Voet responding to comments received regarding proposed rule changes	A08376-A08377
08/17/06	Letter from C. Gutierrez to S. Crooke responding to comments received regarding proposed rule changes	A08378-A08392
10/03/06	Letter from E. Meltzer to E. Lonergan responding to an invitation extended to the USPTO for a speaking engagement in the Upstate New York area	A08393-A08398

10/04/06	Letter from D. Sampson to V. Norton responding to comments received regarding proposed rule changes	A08399-A08413
10/29/06	Letter from R. Nydegger to S. Pinkos providing comments regarding the proposed rule changes	A08414-A08419
10/31/06	Letter from E. Meltzer to P. Thurlow responding to an invitation extended to the USPTO for a speaking engagement for the New York Intellectual Property Law Association	A08420-A08423
01/10/07	Letter from E. Meltzer to K. Christensen responding to an invitation extended to the USPTO for a speaking engagement at the Utah IP Summit	A08424-A08427
04/17/07	Letter from J. Dudas to P. Wenger responding to comments received regarding proposed rule changes	A08428-A08432
07/03/07	Letter from D. Boundy to D. Copley regarding budget effects of two proposed rule changes	A08433-A08442
07/05/07	Letter from E. Meltzer to T. Kastelic responding to an invitation extended to the USPTO for a speaking engagement at the 17 th Annual Ohio Annual Institute on Intellectual Property in Cleveland	A08443-A08449
07/05/07	Letter from E. Meltzer to D. Leo responding to an invitation extended to the USPTO for a speaking engagement at the Corporate Patent Roundtable in Hilton Head Island, South Carolina	A08450-A08451
08/21/07	Letter from E. Meltzer to J. Wager responding to an invitation extended to the USPTO for a speaking engagement in Cambridge, Massachusetts	A08452-A08453
VIII. MISCELLANEOUS		
DATE	DESCRIPTION	BATES NO
10/05/98	63 Fed. Reg. 53498 – Changes To Implement the Patent Business Goals	A08454-A08487
08/30/04	USPTO Federal Register Publications And Rule Making, And Official Gazette Publications Agency Administrative Order 217-02A	A08488-A08502
05/16/07	Meeting Record Regarding: Changes to Practice	A08503-A08504
06/29/07	E-mail from C. Barnes to J. McDowell regarding revised AB93 AB94	A08505
07/05/07	E-mail from D. Rostker to J. McDowell regarding budget effect of two Patent Office rules (w/attachment)	A08506-A08515
08/02/07	Letter from J. Dudas to Pelosi, Cheney, Gamboa enclosing final rule entitled “Changes to Practice for Continued Examination Filings, Patent Applications Containing Patentably Indistinct Claims, and Examination of Claims in Patent Applications	A08516-A08524

IX. ADDITIONAL USPTO PRESENTATIONS		
DATE	DESCRIPTION	BATES NO
01/10/06	Notice of Proposed Rule Making – Proposed Changes to Practice for continuing Applications, Requests for Continued Examination Practice, and Applications Containing Patentably Indistinct Claims (71 Fed. Reg. 48, January 3, 2006) by Robert Spar, Director of Office of Patent Legal Administration	A08525-A08591
01/11/06	Proposed Changes to Practice of Continued Examination Practice – Proposed Requirements for Continuing Applications	A08592-A08610
01/23/06	Summary of Proposed Rule Changes to Continuations, Double Patenting, and Claims by James Toupin, General Counsel	A08611-A08625
01/25/06	Coming Attractions – Los Angeles Intellectual Property Law Association “Washington and the West” Conference by James Toupin, General Counsel	A08626-A08633
01/25/06	The State Of The Patent System Background For Rule Proposals - Los Angeles Intellectual Property Law Association “Washington and the West” Conference by James Toupin, General Counsel	A08634-A08648
02/01/06	Summary of Proposed Rule Changes to Continuations, Double Patenting, and Claims by James Toupin, General Counsel	A08649-A08687
02/04/06	USPTO Request for Public Input: Strategic Planning - AIPLA Mid-Winter Institute by John Doll, Commissioner for Patents	A08688-A08748
03/02/06	Rules Proposals On Claims And Continuations And Developments In Appeal Practice – Oregon Patent Law Association by James Toupin	A08749-A08793
03/14/06	The Patent Office Speaks – DC Bar Intellectual Property Law Section by John Doll, Jay Lucas and Stewart Levy	A08792-A08868
03/25/06	USPTO Rules Proposals: Seeking Focus In The Burgeoning Patent System – Orange County Patent Lawyers Association – San Diego IP Lawyers Association by James Toupin, General Counsel	A08869-A08910
04/26/06	Challenges Facing the USPTO Presentation by Peggy Focarino, Deputy Commissioner for Patent Operations	A08911-A08925
07/17/06	USPTO 21 st Century Strategic Plan Update – National Association of Patent Practitioners (NAPP) by John Doll, Commissioner for Patents	A08926-A08959

07/18/06	How the New PTO Regulations Will Impact Your Practice – George Mason University School Of Law Fifth Annual Symposium on Hot Topics in Patent Law by Jay Lucas, Deputy Commissioner for Patent Examination Policy	A08960-A08979
07/22/06	Intellectual Property Law Summer Institute by John Doll, Commissioner for Patents	A08980-A09029
Undated	Notice of Proposed Rule Making	A09030-A09031
Undated	Chicago Regional Independent Inventors Conference by John Doll, Commissioner for Patents	A09032-A09061
Undated	Notice of Proposed Rule Making – Changes To Practice For The Examination Of Claims In Patent Applications	A09062-A09085
Undated	11 th Annual Independent Inventors Conference by John Doll, Commissioner for Patents	A09086-A09113
Undated	USPTO Request for Public Input: Strategic Planning by John Doll, Commissioner for Patents	A09114-A09198
Undated	Notice of Proposed Rule Making –Changes to Practice for the Examination of Claims in Patent Applications (71 Fed. Reg. 61, January 3, 2006) by Robert Spar, Director of Office of Patent Legal Administration	A09199-A09255
Undated	USPTO Request for Public Input: Strategic Planning by John Doll, Commissioner for Patents	A09256-A09314
Undated	Examination of Claims	A09315-A09330
Undated	USPTO Request for Public Input: Strategic Planning by John Doll and Jay Lucas	A09331-A09389
X. FINAL RULES		
DATE	DESCRIPTION	BATES NO
08/21/07	72 Fed. Reg. 46716 - Changes to Practice for Continued Examinations Filings, Patent Applications Containing Patentably Indistinct Claims, and Examination of Claims in Patent Applications; Final Rule	A9390-A09518
09/11/07	1322 O.G. 76 - Changes to Practice for Continued Examinations Filings, Patent Applications Containing Patentably Indistinct Claims, and Examination of Claims in Patent Applications	A09519-A09622