

EXHIBIT J



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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
90/009,991	05/24/2012	6314420	S0217.0002/P002-RE	4797
24998	7590	09/25/2012	EXAMINER PROCTOR, JASON SCOTT	
DICKSTEIN SHAPIRO LLP 1825 EYE STREET NW Washington, DC 20006-5403			ART UNIT	PAPER NUMBER
			3992	
			MAIL DATE	DELIVERY MODE
			09/25/2012	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



DO NOT USE IN PALM PRINTER

(THIRD PARTY REQUESTER'S CORRESPONDENCE ADDRESS)

QUINN EMANUEL URQUHART &
SULLIVAN, LLP
50 California Street, 22nd Floor
San Francisco, CA 94111

EX PARTE REEXAMINATION COMMUNICATION TRANSMITTAL FORM

REEXAMINATION CONTROL NO. 90/009,991.

PATENT NO. 6314420.

ART UNIT 3992.

Enclosed is a copy of the latest communication from the United States Patent and Trademark Office in the above identified *ex parte* reexamination proceeding (37 CFR 1.550(f)).

Where this copy is supplied after the reply by requester, 37 CFR 1.535, or the time for filing a reply has passed, no submission on behalf of the *ex parte* reexamination requester will be acknowledged or considered (37 CFR 1.550(g)).

Office Action in Ex Parte Reexamination	Control No. 90/009,991	Patent Under Reexamination 6314420
	Examiner JASON PROCTOR	Art Unit 3992

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

- a Responsive to the communication(s) filed on 24 May 2012. b This action is made FINAL.
c A statement under 37 CFR 1.530 has not been received from the patent owner.

A shortened statutory period for response to this action is set to expire 2 month(s) from the mailing date of this letter. Failure to respond within the period for response will result in termination of the proceeding and issuance of an *ex parte* reexamination certificate in accordance with this action. 37 CFR 1.550(d). **EXTENSIONS OF TIME ARE GOVERNED BY 37 CFR 1.550(c)**. If the period for response specified above is less than thirty (30) days, a response within the statutory minimum of thirty (30) days will be considered timely.

Part I/ THE FOLLOWING ATTACHMENT(S) ARE PART OF THIS ACTION:

1. Notice of References Cited by Examiner, PTO-892. 3. Interview Summary, PTO-474.
2. Information Disclosure Statement, PTO/SB/08. 4. _____.

Part II SUMMARY OF ACTION

- 1a. Claims 10,14,15,25,27 and 28 are subject to reexamination.
1b. Claims 1-9,11-13,16-24,26 and 29-36 are not subject to reexamination.
2. Claims _____ have been canceled in the present reexamination proceeding.
3. Claims _____ are patentable and/or confirmed.
4. Claims 10,14,15,25,27 and 28 are rejected.
5. Claims _____ are objected to.
6. The drawings, filed on _____ are acceptable.
7. The proposed drawing correction, filed on _____ has been (7a) approved (7b) disapproved.
8. Acknowledgment is made of the priority claim under 35 U.S.C. § 119(a)-(d) or (f).
a) All b) Some* c) None of the certified copies have
1 been received.
2 not been received.
3 been filed in Application No. _____ .
4 been filed in reexamination Control No. _____ .
5 been received by the International Bureau in PCT application No. _____ .
* See the attached detailed Office action for a list of the certified copies not received.
9. Since the proceeding appears to be in condition for issuance of an *ex parte* reexamination certificate except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte* Quayle, 1935 C.D. 11, 453 O.G. 213.
10. Other: _____

cc: Requester (if third party requester)

DETAILED ACTION

This Office Action addresses claims 10, 14, 15, 25, 27, and 28 of US Patent No. 6,314,420 issued to Lang et al. ("the '420 Patent").

A Request for *Ex Parte* Reexamination was filed on 16 March 2012. The Office issued a Notice of Incomplete *Ex Parte* Reexamination on 26 April 2012, and vacated the filing date of the original Request. A corrected Request for *Ex Parte* Reexamination was filed on 24 May 2012 ("the Request").

It was determined in the Order Granting *Ex Parte* Reexamination entered on 18 July 2012 ("the Order") that the Request raised at least one Substantial New Question of Patentability regarding at least one patent claim. *Ex Parte* Reexamination was ordered for claims 10, 14, 15, 25, 27, and 28 of the '420 Patent.

For reasons set forth below, claims 10, 14, 15, 25, 27, and 28 are rejected.

Claims 1-9, 11-13, 16, 24, 26, and 29-36 are not subject to the present reexamination proceeding.

I. REFERENCES CITED

US Patent No. 6,202,058 to Rose et al. ("Rose")

US Patent No. 5,835,087 to Herz et al. ("Herz")

David Goldberg et al., "Using Collaborative Filtering to Weave an Information Tapestry," Communications of the ACM (December 1992) ("Goldberg")

Yezdezard Lashkari, "Feature Guided Automated Collaborative Filtering," MIT Masters Thesis (September 1995) ("Lashkari")

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Paul Resnick et al., "GroupLens: An Open Architecture for Collaborative Filtering of Netnews," Proceedings of ACM 1994 Conference (1994) ("Resnick")

Shoshana Loeb, "Architecting Personalized Delivery of Multimedia Information," Communications of the ACM (December 1992) ("Loeb")

II. CLAIM INTERPRETATION

During patent examination, the pending claims must be given their broadest reasonable interpretation consistent with the specification.

Claims 10 and 25 recite the term "demand search". The '420 Patent discloses that "a regular search engine is operated to make immediate or short-term "demand" searches for other user queries on the basis of content-based filtering" (the '420 Patent, 23:48-51) and "[t]he search engine employs a regular search engine to make one-shot or demand searches for information entities which provide at least threshold matches to user queries" (the '420 Patent, abstract). Therefore the term "demand search" is interpreted as an immediate query-based search performed by a regular search engine, *e.g.*, a conventional keyword-type content search as would have been known in the art at the time the invention was made.

III. RELEVANT STATUTES

The following is a quotation of the appropriate paragraphs of 35 U.S.C. § 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this

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subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

The following is a quotation of 35 U.S.C. § 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

IV. CLAIM REJECTIONS

1. Claims 10 and 25 are rejected under 35 U.S.C. § 102(e) as being anticipated by Rose.

This rejection is proposed in the Request, pages 13-16; pages 39-41; and Exhibit CC-1; all of which are incorporated herein by reference.

This rejection is adopted.

2. Claims 14, 15, 27, and 28 are rejected under 35 U.S.C. § 103(a) as being unpatentable over Rose in view of Herz.

This rejection is proposed in the Request, pages 16-18; pages 39-41; and Exhibit CC-1; all of which are incorporated herein by reference.

This rejection is adopted.

3. Claims 14, 15, 27, and 28 are rejected under 35 U.S.C. § 103(a) as being unpatentable over Rose in view of Loeb.

This rejection is proposed in the Request, pages 18-20; pages 39-41; and Exhibit CC-1; all of which are incorporated herein by reference.

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This rejection is adopted.

4. Claims 10, 14, 15, 25, 27, and 28 are rejected under 35 U.S.C. § 102(e) as being anticipated by Herz.

This rejection is proposed in the Request, pages 20-23; page 41; and Exhibit CC-2; all of which are incorporated by reference.

This rejection is adopted.

5. Claims 10, 14, 15, 25, 27, and 28 are rejected under 35 U.S.C. § 102(b) as being anticipated by Goldberg.

This rejection is proposed in the Request, pages 23-26; page 41; and Exhibit CC-3; all of which are incorporated by reference.

This rejection is adopted.

6. Claims 10 and 25 are rejected under 35 U.S.C. § 102(b) as being anticipated by Lashkari.

This rejection is proposed in the Request, pages 26-28; pages 41-42; and Exhibit CC-4; all of which are incorporated herein by reference.

This rejection is adopted.

7. Claims 14, 15, 27, and 28 are rejected under 35 U.S.C. § 103(a) as being unpatentable over Lashkari in view of Herz.

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This rejection is proposed in the Request, pages 28-30; pages 41-42; and Exhibit CC-4; all of which are incorporated herein by reference.

This rejection is adopted.

8. Claims 14, 15, 27, and 28 are rejected under 35 U.S.C. § 103(a) as being unpatentable over Lashkari in view of Loeb.

This rejection is proposed in the Request, pages 30-32; pages 41-42; and Exhibit CC-4; all of which are incorporated herein by reference.

This rejection is adopted.

9. Claims 10 and 25 are rejected under 35 U.S.C. § 102(b) as being anticipated by Resnick.

This rejection is proposed in the Request, pages 32-35; pages 42-44; and Exhibit CC-5; all of which are incorporated herein by reference.

This rejection is adopted.

10. Claims 14, 15, 27, and 28 are rejected under 35 U.S.C. § 103(a) as being unpatentable over Resnick in view of Herz.

This rejection is proposed in the Request, pages 35-37; pages 42-44; and Exhibit CC-5; all of which are incorporated herein by reference.

This rejection is adopted.

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11. Claims 14, 15, 27, and 28 are rejected under 35 U.S.C. § 103(a) as being unpatentable over Resnick in view of Loeb.

This rejection is proposed in the Request, pages 37-39; pages 42-44; and Exhibit CC-5; all of which are incorporated herein by reference.

This rejection is adopted.

V. CONCLUSION

Claims 10, 14, 15, 25, 27, and 28 are rejected.

Claims 1-9, 11-13, 16, 24, 26, and 29-36 are not subject to the present reexamination proceeding.

Time for Response

A shortened statutory period of 2 months is set for response to this Office Action in accordance with MPEP 2263.

Patent Owner Statement

The Patent Owner has not filed a statement under 37 CFR 1.530.

Extensions of Time

Extensions of time under 37 CFR 1.136(a) will not be permitted in these proceedings because the provisions of 37 CFR 1.136 apply only to "an applicant" and not to parties in a reexamination proceeding. Additionally, 35 U.S.C. 305 requires that *ex parte* reexamination

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proceedings "will be conducted with special dispatch" (37 CFR 1.550(a)). Extensions of time in *ex parte* reexamination proceedings are provided for in 37 CFR 1.550(c).

Amendment in Reexamination Proceedings

Patent owner is notified that any proposed amendment to the specification and/or claims in this reexamination proceeding must comply with 37 CFR 1.530(d)-(j), must be formally presented pursuant to 37 CFR § 1.52(a) and (b), and must contain any fees required by 37 CFR § 1.20(c). See MPEP § 2250(IV) for examples to assist in the preparation of proper proposed amendments in reexamination proceedings.

In order to ensure full consideration of any amendments, affidavits or declarations, or other documents as evidence of patentability, such documents must be submitted in response to this Office action. Submissions after the next Office action, which is intended to be a final action, will be governed by the requirements of 37 CFR 1.116, after final rejection and 37 CFR 41.33 after appeal, which will be strictly enforced.

Service of Papers

Any paper filed with the USPTO, i.e., any submission made, by either the Patent Owner or the Third Party Requester must be served on every other party in the reexamination proceeding, including any other third party requester that is part of the proceeding due to merger of the reexamination proceedings. As proof of service, the party submitting the paper to the Office must attach a Certificate of Service to the paper, which sets forth the name and address of

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the party served and the method of service. Papers filed without the required Certificate of Service may be denied consideration. 37 CFR 1.550; MPEP 2266.03.

Notification of Concurrent Proceedings

The patent owner is reminded of the continuing responsibility under 37 CFR 1.565(a) to apprise the Office of any litigation activity, or other prior or concurrent proceeding, involving Patent No. 6,314,420 throughout the course of this reexamination proceeding. The third party requester is also reminded of the ability to similarly apprise the Office of any such activity or proceeding throughout the course of this reexamination proceeding. See MPEP §§ 2207, 2282 and 2286.

All correspondence relating to this *ex parte* reexamination proceeding should be directed as follows:

By U.S. Postal Service Mail to:
Mail Stop *Ex Parte* Reexam
ATTN: Central Reexamination Unit
Commissioner for Patents P.O. Box 1450
Alexandria, VA 22313-1450

By FAX to: (571) 273-9900
Central Reexamination Unit

By hand to: Customer Service Window
Randolph Building
401 Dulany St.
Alexandria, VA 22314

By EFS-Web:

Registered users of EFS-Web may alternatively submit such correspondence via the electronic filing system EFS-Web, at

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<https://efs.uspto.gov/efile/myportal/efs-registered>

EFS-Web offers the benefit of quick submission to the particular area of the Office that needs to act on the correspondence. Also, EFS-Web submissions are "soft scanned" (i.e., electronically uploaded) directly into the official file for the reexamination proceeding, which offers parties the opportunity to review the content of their submissions after the "soft scanning" process is complete.

Any inquiry concerning this communication or as to the status of this proceeding should be directed to the Central Reexamination Unit at telephone number (571) 272-7705.


/Jason Proctor/
Primary Examiner, Art Unit 3992

Conferees:

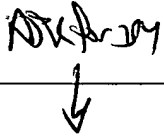
/EBK/

ALEXANDER J. KOSOWSKI
Supervisory Patent Reexamination Specialist
CRU -- Art Unit 3992



Reexamination 	Application/Control No. 90009991	Applicant(s)/Patent Under Reexamination 6314420
	Certificate Date	Certificate Number

Requester Correspondence Address:	<input type="checkbox"/> Patent Owner	<input checked="" type="checkbox"/> Third Party
QUINN EMANUEL URQUHART & SULLIVAN, LLP 50 California Street, 22nd Floor San Francisco, California 94111		

LITIGATION REVIEW <input checked="" type="checkbox"/>	jsp (examiner initials)	03/22/2012 (date)
Case Name		Director Initials
2:11cv512 I/P Engine v AOL - open		
1:10cv10054 Choicestream v Lycos - closed		

COPENDING OFFICE PROCEEDINGS	
TYPE OF PROCEEDING	NUMBER

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FOR POWER OF ATTORNEY TO ONE OR MORE REGISTERED PRACTITIONERS

NOTE: This form is to be submitted with the Power of Attorney by Applicant form (PTO/AIA/82B or equivalent) to identify the application to which the Power of Attorney is directed, in accordance with 37 CFR 1.5. If the Power of Attorney by Applicant form is not accompanied by this transmittal form or an equivalent, the Power of Attorney will not be recognized in the application.

Application Number	90,009,991
Filing Date	05/24/12
First Named Inventor	Andrew K. Lang
Title	Collaborative / Adaptive Search Engine
Art Unit	3992
Examiner Name	Jason Scott Proctor
Attorney Docket Number	688465-1RX

SIGNATURE of Applicant or Patent Practitioner

Signature	/John D. Simmons/	Date	09/27/2012
Name	John D. Simmons	Telephone	215-965-1268
Registration Number	52,225		

NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications.

*Total of 2 forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

POWER OF ATTORNEY BY APPLICANT

I hereby revoke all previous powers of attorney given in the application identified in the attached transmittal letter.

- I hereby appoint Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s), and to transact all business in the United States Patent and Trademark Office connected therewith for the application referenced in the attached transmittal letter (form PTO/AIA/82A or equivalent):

00570

OR

- I hereby appoint Practitioner(s) named below as my/our attorney(s) or agent(s), and to transact all business in the United States Patent and Trademark Office connected therewith for the application referenced in the attached transmittal letter (form PTO/AIA/82A or equivalent):

Name	Registration Number	Name	Registration Number

Please recognize or change the correspondence address for the application identified in the attached transmittal letter to:

- The address associated with the above-mentioned Customer Number.

OR

- The address associated with Customer Number:

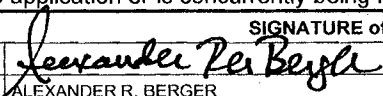
OR

<input type="checkbox"/> Firm or Individual Name			
Address			
City	State	Zip	
Country			
Telephone	Email		

I am the Applicant:

- Inventor or Joint Inventor
- Legal Representative of a Deceased or Legally Incapacitated Inventor
- Assignee or Person to Whom the Inventor is Under an Obligation to Assign
- Person Who Otherwise Shows Sufficient Proprietary Interest (e.g., a petition under 37 CFR 1.46(b)(2) was granted in the application or is concurrently being filed with this document)

SIGNATURE of Applicant for Patent

Signature		Date	September 26, 2012
Name	ALEXANDER R. BERGER	Telephone	(646) 532-6773
Title and Company	CHIEF OPERATING OFFICER; I/P ENGINE, INC.		

NOTE: Signature - This form must be signed by the applicant in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications. Submit multiple forms for more than one signature, see below *.

- *Total of 2 forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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STATEMENT UNDER 37 CFR 3.73(c)Applicant/Patent Owner: I/P Engine, Inc.Application No./Patent No.: 90,009,991 Filed/Issue Date: 05/24/12Titled: COLLABORATIVE / ADAPTIVE SEARCH ENGINE

I/P Engine, Inc. _____, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that, for the patent application/patent identified above, it is (choose **one** of options 1, 2, 3 or 4 below):

1. The assignee of the entire right, title, and interest.
2. An assignee of less than the entire right, title, and interest (check applicable box):
- The extent (by percentage) of its ownership interest is _____%. Additional Statement(s) by the owners holding the balance of the interest must be submitted to account for 100% of the ownership interest.
- There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

3. The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

4. The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.

The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose **one** of options A or B below):

- A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
- B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Lang, Andrew K., Kosak, Donald To: Lycos, Inc.The document was recorded in the United States Patent and Trademark Office at
Reel 010266, Frame 0056, or for which a copy thereof is attached.2. From: Lycos, Inc. To: Smart Search Labs, Inc.The document was recorded in the United States Patent and Trademark Office at
Reel 026489, Frame 0384, or for which a copy thereof is attached.

[Page 1 of 2]

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(c)3. From: Smart Search Labs, Inc. To: NAME CHANGE - I/P Engine, Inc.The document was recorded in the United States Patent and Trademark Office at
Reel 026908, Frame 0763, or for which a copy thereof is attached.

4. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

5. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

6. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached. Additional documents in the chain of title are listed on a supplemental sheet(s). As required by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/John D. Simmons/09/27/2012

Signature

Date

John D. Simmons52,225

Printed or Typed Name

Title or Registration Number

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Acknowledgement Receipt

EFS ID:	13850067
Application Number:	90009991
International Application Number:	
Confirmation Number:	4797
Title of Invention:	
First Named Inventor/Applicant Name:	6314420
Customer Number:	24998
Filer:	John D. Simmons/Theresa Boyle
Filer Authorized By:	John D. Simmons
Attorney Docket Number:	S0217.0002/P002-RE
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Time Stamp:	10:59:15
Application Type:	Reexam (Patent Owner)

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Power of Attorney	Executed_Power_of_Attorney_1.PDF	130835 5af87924abf6f7c3f145cd91d5f34062cd649cc4	no	2

Warnings:

Information:

2	Assignee showing of ownership per 37 CFR 3.73.	Statement_Under_37_CFR_373_c_2.PDF	122382 <small>9ce4a2825432f720c1fdebc83cfb6920945f8104</small>	no	3
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Warnings:

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.