

EXHIBIT 11

UNITED STATES DISTRICT COURT

EASTERN DISTRICT OF VIRGINIA

NORFOLK DIVISION

-----x
I/P ENGINE, INC.,

Plaintiff,

v.

Civil Action No.:
2:11-cv-512

GOOGLE INC.,

Defendant.

-----x

CONFIDENTIAL - ATTORNEYS' EYES ONLY

Videotaped 30(b)(6) Deposition

of

JAIME G. CARBONELL, Ph.D.

Washington, D.C.

Friday, September 21, 2012

9:04 a.m.

Reported by: Amy E. Sikora, RPR, CRR, CSR-NY, CLR

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9349fef0-7eb5-423b-bd0b-89d5688fb872

1 Q. Do you have any estimate at all?

2 A. I can give you an estimate, but I
3 cannot guarantee its accuracy.

4 Q. Understood.

5 A. It would be between one and 200 hours.

6 Q. Okay.

7 MR. NELSON: Let's mark this next one
8 here.

9 (Carbonell Exhibit No. 4, copy of U.S.
10 Patent No. 6,006,222, marked for identification
11 as of this date.)

12 Q. Okay. I'm showing you now what I've
13 had marked as Carbonell Deposition Exhibit No. 4.
14 It is a -- United States Patent No. 6,006,222.
15 Do you see that?

16 A. Yes.

17 Q. And the named inventor is Gary
18 Culliss. Do you see that?

19 A. I see that.

20 Q. I think -- well, actually, let me just
21 ask that first. Have you reviewed Exhibit No. 4
22 before?

23 A. Yes, I have.

24 Q. Do you recall about how long you spent
25 reviewing it?

1 A. I reviewed the asserted prior art as a
2 group as well as individually. So it's difficult
3 to say collectively, I mean, how my time
4 distributed among the various items of prior art.

5 Q. Okay. But do you feel like you have a
6 good understanding of what we've marked here as
7 Carbonell Deposition Exhibit No. 4?

8 A. I have read it more than once. Yes,
9 the answer to your question.

10 Q. Okay. Now, if you look to page 24 of
11 your report that we marked as Exhibit No. 1, you
12 have a section that's headed "XII."

13 Do you see that?

14 A. Yes.

15 Q. And this section concerns what we've
16 marked as Carbonell Deposition Exhibit No. 4;
17 correct?

18 A. Correct.

19 Q. Okay. The -- here in parentheses
20 and throughout this section of your report, you
21 refer to it as "the Culliss reference"; is that
22 right?

23 A. Just as "Culliss."

24 Q. Okay. So I'll -- is it okay for the
25 deposition, if I just refer to it as Culliss,

1 then, so that we use the same terminology used in
2 your report?

3 A. Yes.

4 Q. Okay. Now, in paragraph 102, you
5 express a summary of your opinions concerning the
6 Culliss reference with respect to the '420
7 patent; correct?

8 A. Correct.

9 Q. And there are two elements that you
10 identify in -- let's just start with independent
11 claim 10 that you believe are not found in the
12 Culliss reference; correct?

13 A. Correct.

14 Q. Okay. Now, with respect to inde --
15 independent claim 10, at least, of the '420
16 patent, and you have it there in front of you, if
17 you want to look at it, in your report you didn't
18 express any opinions concerning elements of
19 claim 10 beyond the two that you addressed here
20 or you list here in paragraph 102; correct?

21 A. That's correct.

22 Q. So, then --

23 A. Let me -- let me put claim 10 up here
24 so that I can refresh my memory.

25 Q. Yup.

1 Q. Okay. Well, let's -- let's -- let's
2 go back to column 3 towards the bottom, about
3 line 61 for a moment.

4 You see it says, "These articles" --
5 that are given the generic labels A1, A2, A3.
6 You see that?

7 A. Right.

8 Q. "These articles are each associated
9 with one or more of these key terms by any
10 conceivable method of association, such as
11 through indexing all words or through meta tag
12 headers containing keywords selected by the
13 author or the editor."

14 A. Right.

15 Q. Okay. You see that?

16 A. Yes, I see that.

17 Q. So you agree that one of the ways that
18 Culliss teaches that the key terms can be
19 selected is by indexing words in the article;
20 correct?

21 A. That's how we would initialize the
22 tables.

23 Q. Okay. Now, if we go over to column 4,
24 about line 10, it says, "The invention will
25 accept a search query from a user, and a search

1 engine will identify key terms which match the
2 search query"?

3 A. Yes.

4 Q. "These key terms which match the
5 search query are called matched key terms. The
6 search engine then identifies in any conceivable"
7 matter -- "manner, the articles which are
8 associated with the matched key terms."

9 Do you see that?

10 A. I see that.

11 Q. So do you understand that to mean that
12 the key term scores are used by the search engine
13 to do that matching in response to the search
14 query that we just looked at at the top of
15 column 4?

16 A. I do not know. If the key term scores
17 reach zero or some very small number, it would be
18 normal to -- they would be ranked very low, and
19 Culliss could return them or could not return
20 them. If Culliss were to return everything that
21 has an entry in the table, then the scores are
22 not material. I do not know which way he does
23 it.

24 Q. Okay. So you don't know which -- one
25 way or the other is what you're saying?

1 A. Yeah.

2 Q. Okay.

3 A. That's why I can't agree when you say
4 he does it one specific way. I don't -- I don't
5 know.

6 Q. Okay. Understood.

7 So now, let's go back to your report,
8 which we've marked as Exhibit 1, and look at
9 paragraph 108. There you say, "Third, Culliss
10 does not disclose filtering each informon for
11 relevance to the query or filtering the combined
12 information for relevance to at least one of the
13 query and the first user."

14 Do you see that?

15 A. I see that.

16 Q. And then further down, you say that --
17 and I'm paraphrasing here because I don't want to
18 just read the whole thing -- that Culliss
19 discloses ranking, but not filtering; correct?

20 A. That's correct.

21 Q. Okay. So can you explain to me what
22 you mean by the difference between ranking and
23 filtering?

24 A. Yes.

25 Q. Please do.

1 A. Ranking is putting a set of elements,
2 whether they be documents or other items, in
3 order. That order would typically be derived
4 from a score, a relevant score. It can be a
5 popularity score. It can be based on other
6 criteria.

7 So ranking is -- is essentially you
8 start with a set, and you end up with an ordered
9 set. Same set in order.

10 Filtering is the process where you
11 examine elements of a set one at a time, and you
12 determine whether or not they qualify according
13 to some filtering criterion.

14 Then that set is divided into two
15 sets, one of which is the filtered or accepted
16 set, one of which is the rejected or filtered out
17 set.

18 Q. So let me have an example. If you
19 have a -- a criterion that says, I want to review
20 the top 10 something, then you rank whatever the
21 candidates are, and you display the top 10, is
22 that ranking or is that filtering?

23 A. That is ranking.

24 Q. Okay. Even though you used a
25 criterion to decide which ones were going to be

1 displayed and which ones were not going to be
2 displayed; correct?

3 A. That -- that criterion is not based
4 on -- it's not an absolute criterion. It is not
5 based on -- it is based on relative properties of
6 the members of the set where they -- where they
7 belong in the ranking.

8 Q. So you think that in order for
9 something to be filtering, it has to -- there has
10 to be a decision being made concerning only the
11 properties of that individual member of the set?

12 A. Right. Say yea or nay, depending on
13 those properties.

14 Q. So if you're including some decision
15 concern -- some criteria concerning properties of
16 other members of the set in your decision to say
17 yea or nay, then you're not filtering?

18 A. If you're comparing this one to the
19 other members of the set in your -- in your
20 criteria, these comparative criterion, then
21 you're not filtering. Bowman calls that
22 subsetting.

23 Q. What -- what was that last part?

24 A. There are -- one of the other
25 references uses a different term for it.

1 Q. Oh, okay.

2 A. We can get to it later.

3 Q. Okay. Good. I understand what you're
4 saying now.

5 Okay. So let's look at -- back to the
6 Culliss reference, which is Exhibit No. 4 to your
7 deposition, and I want to look at column 11 here.

8 So you see here there's a section that
9 begins about line 6 or 7, something like that,
10 the heading is "Ratings"?

11 A. Yes.

12 Q. And it says, "The invention can also
13 be used to organize articles by ratings. To this
14 end, the key terms of the index may additionally
15 or alternatively comprise rating key terms
16 represented by the generic labels G rated and X
17 rated, for example."

18 Do you see that?

19 A. Yes.

20 Q. So you understand that Culliss is
21 saying, in addition to the key terms used in the
22 index, you can include a rating index?

23 A. You can include rating labels. It
24 didn't say index, but --

25 Q. Oh, that's fair. You can include in

1 your index, along with the key terms, a rating
2 label; correct?

3 A. You can include a rating label, yes.
4 It could be in the index.

5 Q. Okay. So then it goes -- the Culliss
6 reference goes on to say, "The rating key term is
7 considered appropriate for all ages while the
8 rating key term X rated is considered appropriate
9 only for adults."

10 Do you see that?

11 A. Where does he say that? There it is.
12 I found it.

13 Q. It's like line 12, maybe.

14 A. Yes, I see that.

15 Q. Then at line 15 it says, "The articles
16 are initially associated with one or more of
17 these key terms by any possible manner, such as
18 by human judgment or default association."

19 Do you see that?

20 A. I see that.

21 Q. So do you understand a human could
22 initially decide this is G-rated content or this
23 is X-rated content in the article?

24 A. Yes.

25 Q. Okay. And then if we go down a little

1 bit further about line 23, which is the next
2 paragraph there in column 11. It says, "Moreover
3 the rating key terms can be incorporated into the
4 index of key terms and included in the
5 association of the comparison score and, if used,
6 the key term probability score."

7 Do you see that?

8 A. I see that.

9 Q. So the -- the rating can be an
10 additional value in the key term index; correct?

11 A. Yes.

12 Q. And then in the example that's
13 provided here, if we go down about line 39, it
14 says, "The invention operating separately from or
15 in addition to the manner described above would
16 permit or require the user to enter a rating key
17 term in the search query."

18 Do you see that?

19 A. I see that.

20 Q. So there you understand that you could
21 have an example where you put in key terms alpha
22 and gamma, and in addition you say, I want G
23 rated; right?

24 A. You would -- not exactly, but close to
25 what you said. You would provide three key terms

1 in the query: Alpha, gamma, G or G rated.

2 Q. Okay. And -- and we talked about
3 earlier, alpha and gamma can be associated with
4 words that are in the article; correct?

5 A. Yes, they can be.

6 Q. So then, the next sentence there,
7 beginning about line 41, says, "The invention
8 would operate in a similar manner for the rating
9 key terms as described above for the key terms
10 alone, whereby the search activity of the user
11 would alter the key term scores and key term
12 total scores for the rating key terms."

13 Do you see that?

14 A. I see that.

15 Q. So, in other words, users -- you'd
16 have a key term score associated with the rating
17 that's initialized at some particular value;
18 right?

19 A. Yes. It seems to be once here.

20 Q. Yeah. In the example that's shown,
21 for example, in the index, you know, surrounding
22 line 35 of column 11, they're initialized to the
23 values of one; correct?

24 A. Yes.

25 Q. And then continuing with the example,

1 one of the key terms that the user would enter in
2 the query in this embodiment is to include a
3 rating key term; correct?

4 A. You're referring to 47 through 55?

5 Q. Correct.

6 A. Yeah, that's what it says there.

7 Q. And so that rating key term score
8 would be altered by both whether an article is
9 returned, as well as whether it's selected by the
10 user as per the previous examples that we
11 discussed; correct?

12 A. Yes. Under the embodiment where it
13 keeps both scores with a slash notation as -- as
14 exemplified here, that would be correct.

15 Q. Okay. So then, if we look toward the
16 bottom of column 11, continuing onto the top of
17 column 12, it says, "In this manner," peo --
18 "people looking for X-rated material will
19 identify and effectively label that material as X
20 rated. Such X-rated material can then be
21 screened entirely from the rating key term of
22 G rated by precluding articles entirely from the
23 search results which have a key term probability
24 score or comparison score for the rating key term
25 X rated above a predetermined threshold."

1 Right, you see that?

2 A. I see what it says here, yes.

3 Q. So in what's described there, the --
4 the rating key term will be evaluated for each
5 article individually; correct?

6 A. It -- he's talking about putting in
7 the ratings as -- in the same way you would put
8 other data associated with the -- with the
9 article such as key terms.

10 Q. Understood. But here in the part that
11 I just read at the bottom of column 11, the
12 rating key term for each of the articles is
13 evaluated to determine whether the article will
14 be displayed independently of any of the other
15 articles in the set; correct?

16 A. It doesn't say that it's evaluated
17 with respect to whether the article will be
18 displayed.

19 Q. Well, it says, "In this manner, people
20 looking for X-rated material will identify and
21 effectively label that material as X" -- "as X
22 rated. Such X-rated material can then be
23 screened entirely from the rating key term of
24 G rated by precluding articles entirely from the
25 search results which have a key term probability

1 score or comparison score for the rating key term
2 X rated above a predetermined threshold"; right?

3 A. That's what it says.

4 Q. So isn't that saying that the rating
5 key term score will be evaluated independently
6 for each article to determine whether that
7 article will be screened entirely from the search
8 results?

9 A. The -- the X rated or the G-rated key
10 term will have a score, and then that score can
11 be modified over time, depending on the feedback
12 from the user and the key term probability score
13 or comparison score above a predetermined
14 threshold, and then that -- that key term, then,
15 can be used as -- as a factor or criterion in
16 determining what to show or what to -- what to --
17 not to show, it doesn't say show. What does he
18 say? From the search results.

19 Q. Right. And if we look -- let's just
20 look further. Maybe we can shed a little bit
21 more light on this in the example.

22 Continuing in column 12, about line 6,
23 it says, "For example, suppose article A3
24 contained adult content, and articles A1 and A2
25 contained not adult content, which would not be

1 of interest to users searching with the rating
2 key term of X rated."

3 Do you see that?

4 A. Right.

5 Q. So in this example, the users that are
6 performing the query, one of the key terms they
7 include is a rating key term; correct?

8 A. That's right.

9 Q. Okay. Then it goes on to say, "After
10 several users have performed searches, the index
11 may look like this," and then provides an
12 example.

13 Do you see that?

14 A. Provides an example where X rated was
15 preferred for -- for article A3.

16 Q. For article A3, correct.

17 And then it continues in the
18 description, beginning at line 20 of column 12,
19 "While the article A3 containing adult content
20 was clearly of interest to the G-rated crowd, it
21 was also clearly of interest to the X-rated
22 crowd. Accordingly, the invention would screen
23 the article A3 (i.e., prevent the squib from
24 being displayed from search queries containing
25 the rating key term of G rated)."

1 Do you see that?

2 A. I see what he says, but I don't -- I
3 don't see how the invention would -- would screen
4 it, because it also has a high -- high
5 probability value under his example.

6 Q. Right. Well, what it says is when it
7 has a high probability value of being X rated, it
8 will be screened from key queries containing a
9 G-rated key term; correct?

10 A. Under this example, it would have a
11 high probability value for both X rated and
12 G rated. Slightly higher for X rated, because
13 it's 45 over 45 for one. In the other case, 21
14 over 22 or, you know, .95 or whatever that number
15 actually is.

16 Q. Right. And what -- what it's saying
17 is because the article A3 has a high probability
18 for X rated, it will be screened from queries --
19 meaning not displayed, from queries that contain
20 the G-rated term; correct?

21 A. The -- the method disclosed or taught
22 here does not -- would not have that effect.

23 Q. Well, that's exactly what it says;
24 right? "While the article A3 containing adult
25 content was clearly of interest to the G-rated

1 crowd."

2 In other words, little kids may be
3 wanting to look at it, but they're not supposed
4 to be looking at it; right?

5 A. Right. Like the -- even if you divide
6 the -- the users into two groups, the G rated and
7 the X-rated crowd here, what's taught in the
8 invention would not have that -- that result.

9 Q. Even where it says, "Accordingly, the
10 invention would screen the article A3 (prevent
11 the squib being displayed from search queries
12 containing the rating key term of G rated)";
13 right?

14 A. The methods that are described before
15 will not have the effect of performing that
16 screening.

17 Q. Sure they will. If your -- if your
18 threshold is -- if it has a high probability of
19 being X rated, I'm not going to show it to
20 G rated; correct?

21 MR. JACOBS: Objection. Asked and
22 answered.

23 A. Yeah. The -- the method described
24 here where you show by -- by the scores will not
25 have that effect.

1 Q. You don't think that this will screen
2 article A3 from -- from queries that include the
3 G-rated key term?

4 MR. JACOBS: Objection. Asked and
5 answered.

6 A. The method described of generating
7 these tables, calculating the probability or the
8 incremental values, will not have the desired
9 effect or the stated effect of screening one and
10 permitting the other.

11 Q. Well, sure it will, if your threshold
12 is -- does it have a high probability of being
13 X-rated content; correct?

14 A. That is not the way the method here
15 works. The method here works is -- works by
16 calculating the scores between what the terms in
17 the user preference is and ranking according to
18 those scores.

19 Q. Right. But this screening of X rated
20 from G rated is based solely upon the score
21 associated with the rating key term; correct?

22 A. Yeah. The -- the part here that is
23 inconsistent with the earlier teachings is,
24 accordingly, the invention would screen the
25 article A3. The way that the invention is

1 described, it will not have the effect of
2 screening that article.

3 Q. Okay. So you -- you think that both
4 the G-rated probability and the X-rated
5 probability have to be used in order to determine
6 whether you're going to screen the article in the
7 embodiment described in column 11 and 12 here,
8 that's what you're saying?

9 A. It's essentially by looking at all of
10 the terms associated with the article. You would
11 need to devise an additional or new or different
12 mechanism to have the desired effect.

13 Q. Well, let's look at the next one. "On
14 the other hand" -- this is -- begins at
15 column 26. "On the other hand, the rating key
16 terms for articles A1 and A2 under the X-rated
17 key term are low and suggests that those
18 articles, A1 and A2, do not contain adult
19 content. Accordingly, these articles could be
20 displayed in response to a search query
21 containing a rating key term of G rated."

22 Do you see that?

23 A. Yes, I see what it says there.

24 Q. So, in other words, the screening of
25 X rated content, meaning determining whether to

1 display it to G-rated users, is based upon the
2 probability that a particular article has X-rated
3 content in this example; correct?

4 A. The -- the method described here of
5 tabulating and calculating sums or probabilities
6 and then using that to score which items have a
7 higher score and which ones have a -- have a
8 lower score would not have the effect of using
9 the presence of some item to serve as a way of
10 excluding that item.

11 Q. Well, of course it would. We have --
12 we have the first example that's given that says
13 article A3 has a high probability, 45 out of 45,
14 right, of having X-rated content; correct?

15 A. And that's what it says, yes, in the
16 example.

17 Q. Right. And so in that example,
18 it's -- in column 12, the Culliss reference says,
19 I'm not going to show that to people who included
20 a G-rated key term in their query; correct?

21 A. The -- the mechanism -- that's what it
22 says what he wants to do. The mechanism
23 disclosed here will not have the effect that he
24 desires.

25 Q. Well, if you -- if you base -- well,

1 let's just continue with the example.

2 Then we have the example of articles
3 A1 and A2 that have a low probability of
4 including X-rated content; correct?

5 A. According to the example.

6 Q. Right. And in that instance, that low
7 probability of including X-rated content leads to
8 the conclusion that I can show those articles or
9 display those articles to a user, including the
10 G-rated key term in their query; correct?

11 A. That's what it says here. The Culliss
12 mechanism for generating the scores and so on
13 would not have that effect, either.

14 Q. Well, the mechanism that's described
15 here is, take the X-rated probability and
16 determine whether you're going to display that
17 particular article to someone who does a G-rated
18 search; correct?

19 A. There's no real mechanism described
20 here. There's a desired outcome described here.

21 Q. But you agree that in the example
22 that's shown here that we just looked at in
23 column 11 and 12, that the -- the determination
24 of whether to screen an article, meaning not
25 display it, is done on an article-by-article

1 basis?

2 MR. JACOBS: Objection as to form.

3 A. The -- the -- no, not necessarily.
4 The -- Culliss discloses a mechanism for ranking
5 articles based on user feedback with some
6 initialization step, then he goes on to add
7 additional features like G rated and X rated and
8 collects statistics on those.

9 So if people who put X-rated items
10 liked a particular item a considerable number of
11 the time, and people who liked G rated also liked
12 it, that does not mean that that article
13 necessarily is -- is -- well, first of all, it
14 doesn't mean it's an X-rated article, it just
15 simply means it is liked by both crowds.

16 Q. It says here, right, "While the
17 article A3 containing adult content was clearly
18 of interest to the G rated" crowd -- "crowd, it
19 was also clearly of interest to the X-rated
20 crowd. Accordingly, the invention would screen
21 the article A3 (i.e., prevent the squib from
22 being displayed from search queries containing
23 the rating key term of G rated."

24 Do you see that?

25 A. Right. I agree that it says that.

1 Q. Right. So the article has a high
2 probability of being X rated, so it's not shown
3 in response to a query including a G-rated search
4 term; correct?

5 MR. JACOBS: Objection as to form.
6 Asked and answered.

7 A. Right. So Culliss would need a
8 different mechanism beyond the one described here
9 to have the desired effect.

10 Q. The mechanism being determining
11 whether there's a high probability that it's X
12 rated; correct?

13 A. The mechanism being how the -- the
14 ranking and selection are done compared to his
15 method of keeping these tables about all of the
16 other terms.

17 Q. Yeah. The probability associated with
18 whether the article has X-rated content is
19 determined at least in part by the feedback from
20 users that included an X-rated rating key term in
21 their query; correct?

22 A. The way that the -- that the Culliss
23 works, that would be one of the criterion that
24 would be combined with -- with the others to
25 determine the pertinence of that article to the

1 query.

2 Q. That would be the only criterion;
3 correct?

4 MR. JACOBS: Objection as to form.

5 A. Culliss is -- developed a method where
6 he combines criteria from all the terms
7 associated with the article. Then at the top of
8 12, he mentions an example where one particular
9 criterion may dominate or maybe dominate over
10 others. He doesn't provide any mechanism to
11 describe under what cases when a criterion would
12 be used instead of the combination of the
13 criterion. His description throughout is a
14 combination of the criterion.

15 Q. So you don't think that beginning at
16 line 20, where he says article A3's got a high
17 level of interest to the X-rated crowd, so I'm
18 not going to show it to the G-rated crowd, and
19 conversely, articles A1 and A2 have a low level
20 of interest to the X-rated crowd, so I am going
21 to show it to the G-rated crowd describes a
22 mechanism?

23 MR. JACOBS: Objection as to form.

24 A. Article A2, for example, has no
25 ratings with respect to the G-rated crowd, so

1 it's not clear whether they would like to see
2 item A2 or not.

3 Q. Right. Because I'm only -- in the
4 example that's shown here, I'm only basing it on
5 the interest that the X-rated crowd had in the
6 article; correct?

7 A. So you're saying that the lack of
8 interest of the X-rated crowd on A1 and A2 makes
9 that a higher candidate for -- more eligible
10 candidate for showing it to other people.

11 Q. To the G-rated crowd, that's what it
12 says right here. On the other hand, the rating
13 key terms for articles A1 and A2 under the
14 X-rated key term are low and suggests those
15 articles A1 and A2 do not contain adult-rated
16 content. Accordingly, these articles could be
17 displayed in response to a search query
18 containing a rating key term of G rated; right?

19 A. Right. So what you're describing here
20 is using a low probability as a positive
21 criterion for showing something. If the method
22 that he had described here had a way of favoring
23 low probabilities over high probabilities under
24 certain cases and not under others, and there was
25 a mechanism for selecting these things, then I

1 would -- then that would make sense, it would be
2 consistent with his invention.

3 He's describing here a desired
4 outcome, but he's not providing a modification of
5 his mechanism that -- that would work -- that
6 would work in general.

7 Q. Well, sure he is, isn't he? Isn't he
8 saying that I'm going to look at the probability
9 results associated with a particular key term for
10 a particular article and determine whether that
11 has a high probability of being X rated or a low
12 probability of being X rated?

13 A. So let's take another -- another term
14 here like table or wood table. There are some
15 people that like wood tables and other people
16 that don't seem to -- another group of people
17 that also like wood tables, but they happen to
18 have another property, like they -- they like
19 classic furniture, and the first one likes modern
20 furniture, and they both like wood tables.

21 So in this case, because the people
22 who like classic furniture like wood tables, you
23 would never show that to somebody who likes
24 modern furniture, even if they also like wood
25 tables. The mechanism you're describing would

1 have that effect.

2 Q. Wood tables are X rated?

3 A. Substitute for X rated modern
4 furniture versus classical furniture.

5 Q. Well, I'm trying to stick with the
6 example that's here, X rated and G rated.

7 A. Yeah. And I'm giving you another
8 example that is structurally equivalent to show
9 that there's no mechanism that is being offered
10 to address this -- this -- to address this
11 problem.

12 So if I have -- you're the classic
13 furniture guy, and I'm the modern furniture guy,
14 or the other way around, and we both like wood
15 tables, just like the G and the X rated both
16 liked A3 here, like some -- some article that
17 talks about wood tables, the fact that you are
18 now a member of a club different from mine or a
19 crowd different from mine, to use your word, that
20 means that I would no longer be able to see wood
21 tables because you got wood tables or vice versa.

22 Q. Sure. If you -- if you decided
23 that -- in your example that you were going to
24 screen -- in your implementation, you were going
25 to screen on the basis of whether you were a

1 member of the classic furniture club or the
2 modern furniture club; right?

3 A. Yeah, but they could both like wood
4 tables.

5 Q. So what? I mean, we can see right
6 here in article A3, little kids can like
7 pornography, too; right?

8 A. I agree it's a laudable goal to not
9 display pornography to little kids.

10 Q. Right.

11 A. This is -- this is not a part of what
12 the Culliss method would necessarily do by
13 combining all of the -- all of the elements. If
14 you were to add to Culliss the fact that having
15 some items then precludes -- having a high value
16 on some item precludes showing -- a high value in
17 some terms, excuse me, or feature precludes
18 showing items that were preferred by people who
19 had a different value for that term, then you
20 would get an invention that works very
21 differently and not very well in general than
22 what Culliss actually describes.

23 Q. So what you're saying is because you
24 can think of a bad implementation that Culliss
25 doesn't describe --

1 A. Well --

2 Q. Let me just finish so the record is
3 clear.

4 So you're saying because you can think
5 of a bad implementation not using the G-rated-
6 and the X-rated example, but using wood furniture
7 example, that Culliss doesn't describe a means
8 for screening articles based upon the results
9 associated with the rating key term?

10 MR. JACOBS: Objection. Misstates
11 testimony.

12 A. Okay. So these are not -- I'm not
13 describing an implementation. I am describing an
14 outcome or a desired outcome, in this case an
15 undesired outcome that is analogous to the one
16 that is suggested here.

17 And I'm saying, in fact, that neither
18 one of these would be -- would result from an
19 implementation of the Culliss invention or the
20 Culliss patent describing these particular tables
21 that associate terms with items based on -- based
22 on popularity.

23 Q. But in terms of the screening that's
24 described here in columns 11 and 12, the
25 screening's not based on any results associated

1 with the other key terms; correct?

2 A. The screening is -- that is described
3 here is essentially ignoring the other key terms.

4 Q. Right. So it's based upon -- solely
5 based upon the rating key term; correct?

6 A. So, in general, in the invention how
7 would the system know which key term to associate
8 in order to do this kind of screening?

9 Q. Because it -- you're saying that the
10 invention doesn't know one key term from another?

11 A. The method described in the invention
12 does not use one key term and ignore all the
13 others throughout the description of the patent.

14 Q. So are you saying that one of ordinary
15 skill in the art in 1998 couldn't implement a
16 system that looked at a particular key term like
17 a rating key term and made a judgment based upon
18 that key term?

19 MR. JACOBS: Objection as to form.

20 A. That would -- implementing a system
21 that -- that essentially looks at a single key
22 term, and then looks at the value of that key
23 term, and then decides whether to block it or not
24 is a mechanism which is separate from the
25 mechanisms described in the rest of Culliss.

1 Q. Right. So the coding would be if
2 rating X-rated key term is above a threshold,
3 then block from G-rated queries; correct?

4 MR. JACOBS: Objection as to form.
5 Incomplete hypothetical.

6 A. The -- the method here would -- would
7 be, ignore everything else that -- that Culliss
8 does and then look at a single attribute; in
9 fact, look at the specific attributes that you
10 just described, and then make a decision based on
11 that particular attribute.

12 This is a little bit like saying that
13 if you have an automobile, and describe the
14 automobile invention, and -- and how to rate it,
15 and how to drive it, and so forth, and then you
16 also have -- add on to the automobile like if you
17 want to take a walk, put your shoes on, ignore
18 the automobile, jump out of the automobile, and
19 now whether you can walk or not depends upon
20 whether you have shoes.

21 Q. Okay. So that -- that isn't an
22 accurate example at all, is it?

23 So how about this example, would be a
24 better one to stick with your automobile example.
25 You have all sorts of factors: Drivability,

1 handling, engine power, model, make. All those
2 kinds of things; right? You also have color.

3 Now, the user says, I don't want a red
4 car. It could happen all the time; right?

5 A. That's right.

6 Q. So in that instance, the user could
7 say any color but red. If it's red, I don't want
8 to see it because I'm not going to buy it; right?

9 A. Uh-huh.

10 Q. So that would be filtering on the
11 criteria of the color of the car; correct?

12 A. That would be the user doing that
13 filtering, not the system. But, yes.

14 Q. Right. But you could implement a
15 system, they do this on -- they've done this on
16 search systems for quite some time now, haven't
17 they, where one of the criterion will be a
18 particular aspect of the product, in other words,
19 maybe the color in terms of cars, and then it
20 will display to you cars either only of that
21 color or, if you say, I don't want that color,
22 cars not of that color; correct?

23 MR. JACOBS: Objection as to form.
24 Calls for speculation.

25 A. There could be such a system. That is

1 not the mechanism described under Culliss.

2 Q. Well, it is the mechanism described as
3 Culliss; right? You include a rating term that
4 is indicative of whether the article has X-rated
5 content; correct?

6 MR. JACOBS: Objection as to form.
7 Argumentative.

8 A. One of the terms that is added to the
9 system is a rating term, yes.

10 Q. Right. And as described here in
11 columns 11 and 12, the purpose of that is to
12 determine whether an article is appropriate for
13 display to the G-rated audience; correct?

14 A. The term that you're adding is the
15 rating term. Whether it's appro -- who it is
16 appropriate to display or not is -- is -- it's
17 not contained within that particular table.

18 Q. Well, but it says right at the
19 beginning of the example, going back to
20 column 11, about line 12, it says, "The rating
21 key term G rated is considered appropriate for
22 all ages, while the rating key term X rated is
23 considered appropriate only for adults"; right?

24 A. That's what it says, right.

25 Q. Right. So G rated, I can show that to

1 everybody. X rated, I can only show that to
2 adults; correct? That's what it's saying?

3 MR. JACOBS: Objection as to form.

4 A. Right. So how would the system know
5 which are the adults and which are the G rated?

6 Q. Well, because we know that one of the
7 key terms that you have to include is whether
8 you're searching for X-rated content or G-rated
9 content; correct?

10 MR. JACOBS: Objection as to form.

11 A. You could have a term in the query
12 that would be X rated or G rated, yes.

13 Q. Right. So you can use that as a proxy
14 for determining whether someone's an adult in
15 this instance; correct?

16 MR. JACOBS: Objection as to form.

17 A. If someone who's an adult was to
18 search for G rated or someone who's a child
19 states X rated when they shouldn't, you -- you
20 don't control that.

21 Q. Understood. So what you're saying is
22 the system might not be perfect; right?

23 MR. JACOBS: Objection as to form.

24 Misstates testimony.

25 A. Yeah. I'm saying that this depends on