

## Exhibit 3



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(54) **SYSTEM FOR DETECTING VOICE ACTIVITY AND BACKGROUND NOISE/SILENCE IN A SPEECH SIGNAL USING PITCH AND SIGNAL TO NOISE RATIO INFORMATION**

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(\*) **Notice:** Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

This patent is subject to a terminal disclaimer.

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(51) **Int. Cl.<sup>7</sup>** ..... G10L 11/04

(52) **U.S. Cl.** ..... 704/207; 704/226; 704/228; 704/208

(58) **Field of Search** ..... 704/207, 208, 704/225, 223, 219, 226, 227, 228, 214, 258, 266, 201

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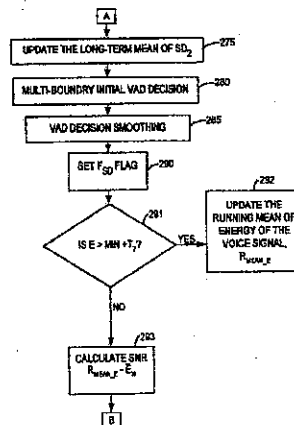
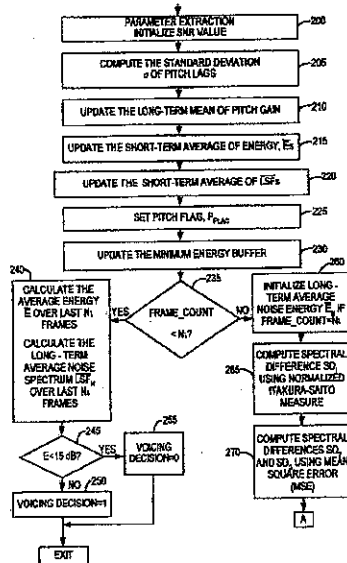
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(57) **ABSTRACT**

A method and apparatus for generating frame voicing decisions for an incoming speech signal having periods of active voice and non-active voice for a speech encoder in a speech communications system. A predetermined set of parameters is extracted from the incoming speech signal, including a pitch gain and a pitch lag. A frame voicing decision is made for each frame of the incoming speech signal according to values calculated from the extracted parameters. The predetermined set of parameters further includes a partial residual frame full band energy, and a set of spectral parameters called Line Spectral Frequencies (LSF). A signal-to-noise value is estimated and tracked to adaptively set threshold values, thereby improving performance under various noise conditions.

16 Claims, 5 Drawing Sheets



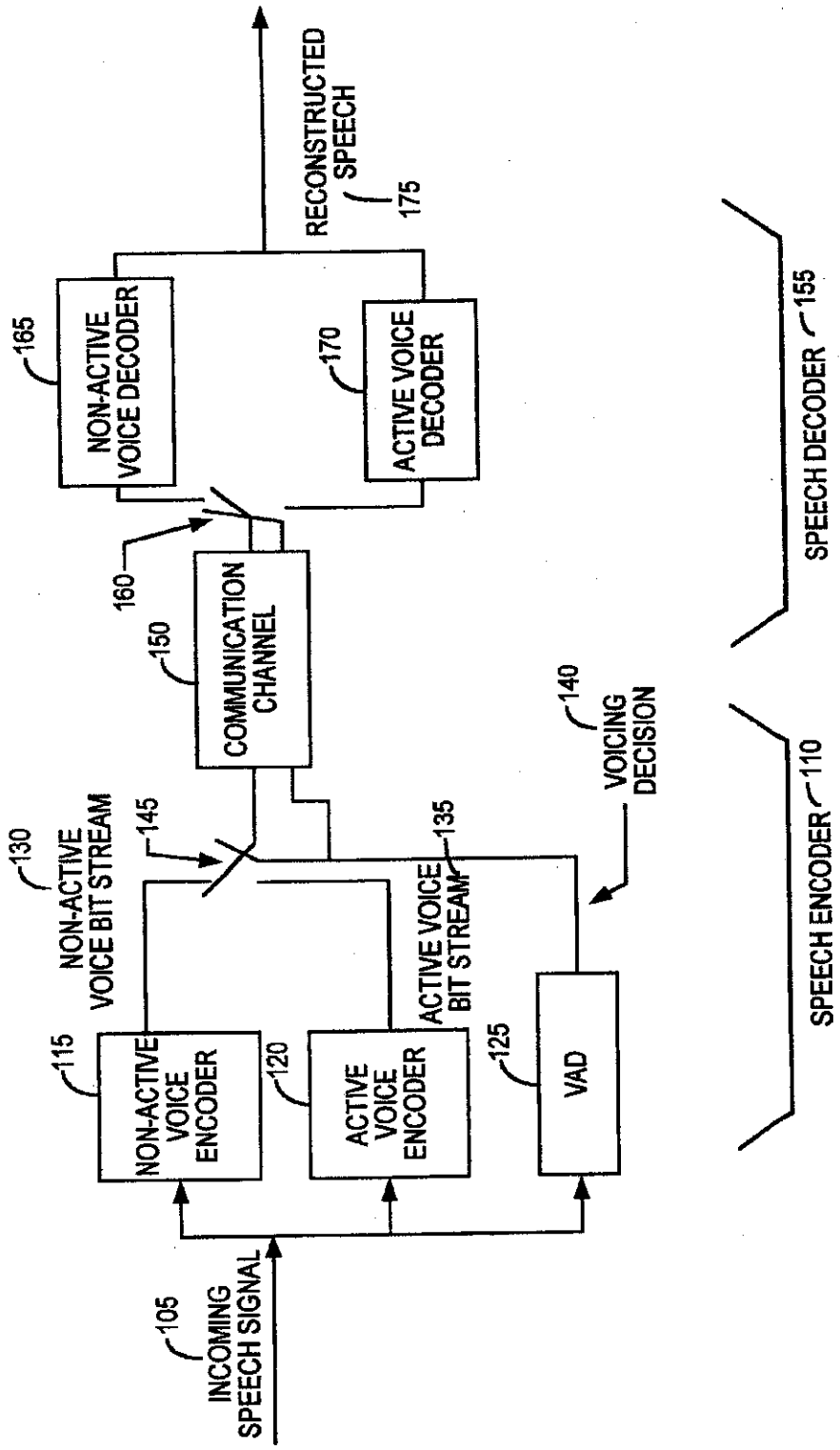


FIG. 1  
PRIOR ART

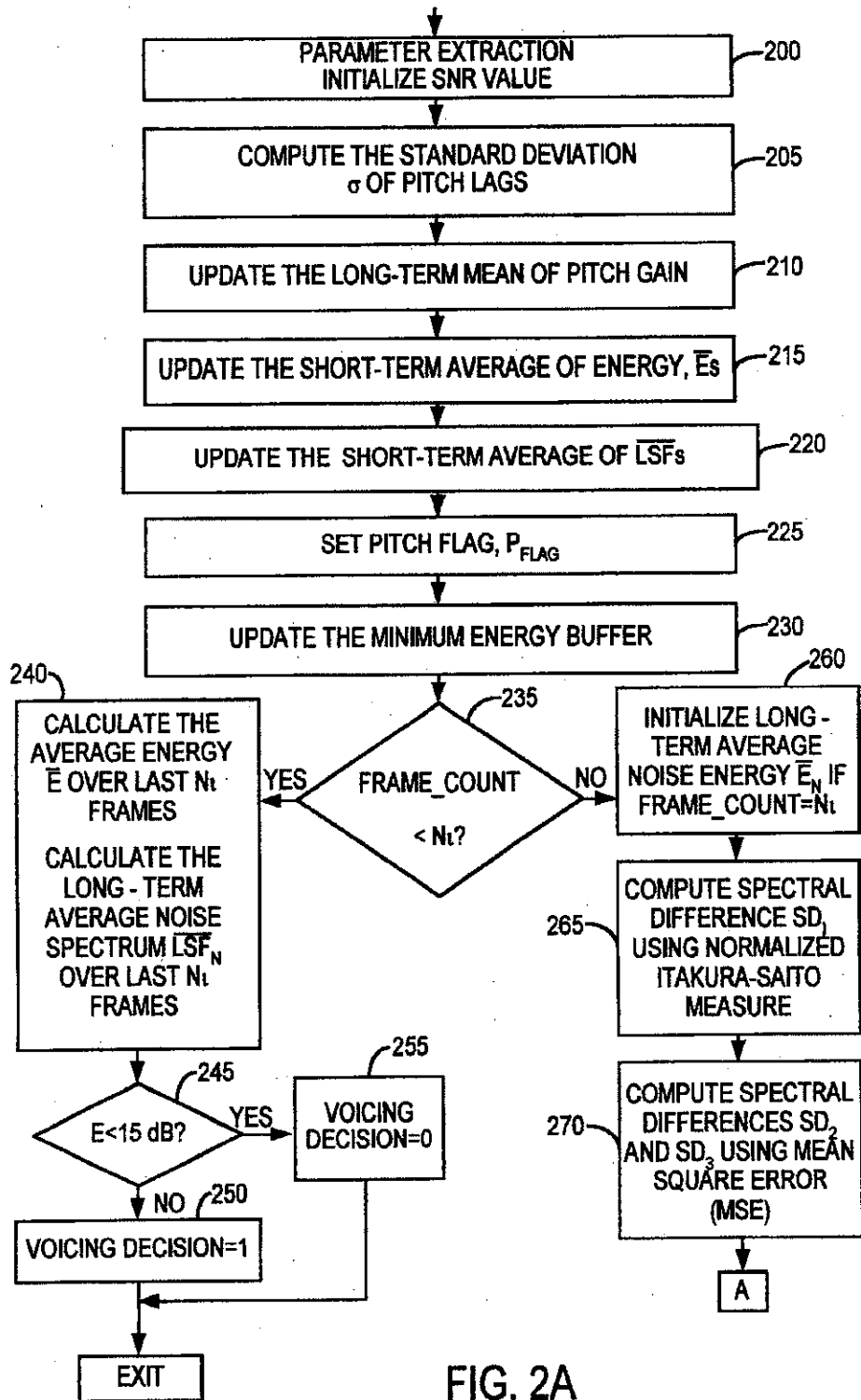


FIG. 2A

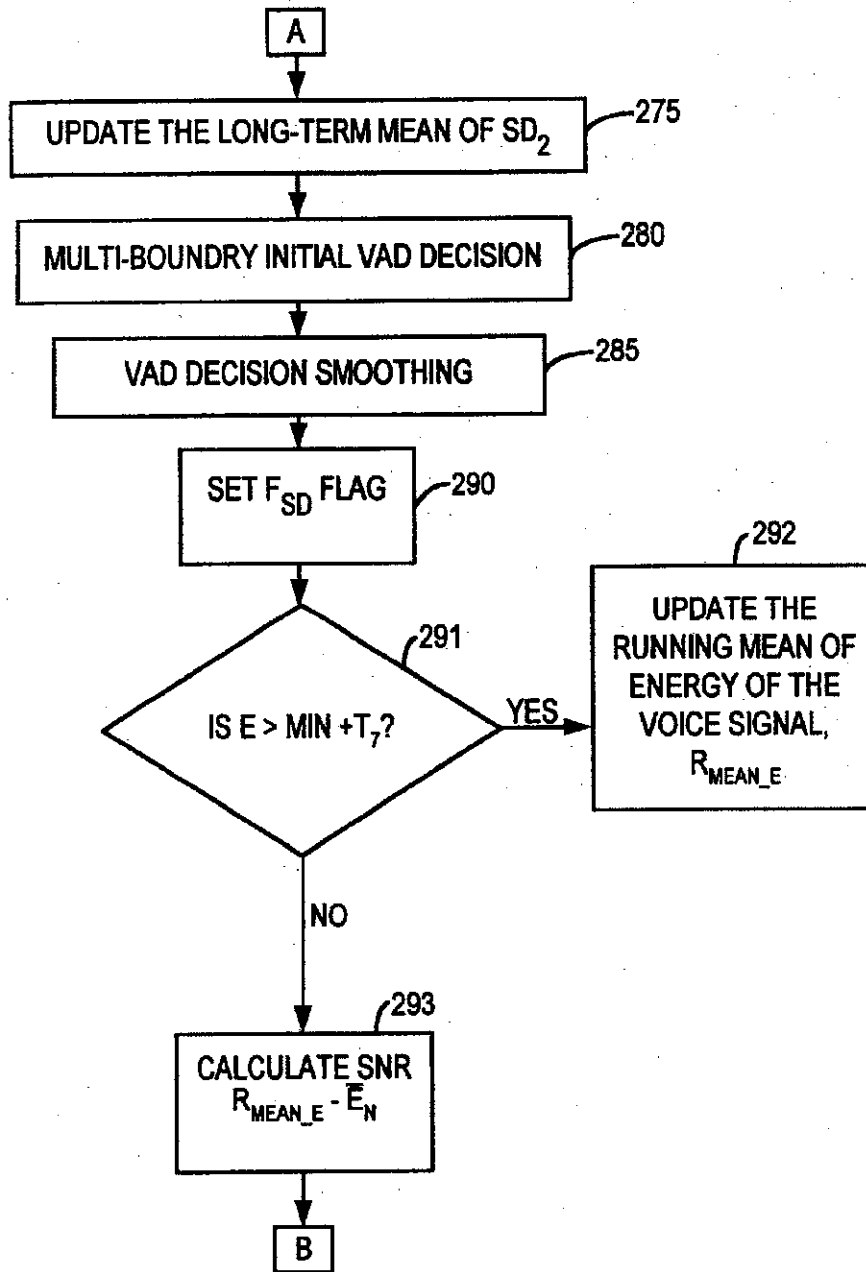


FIG. 2B

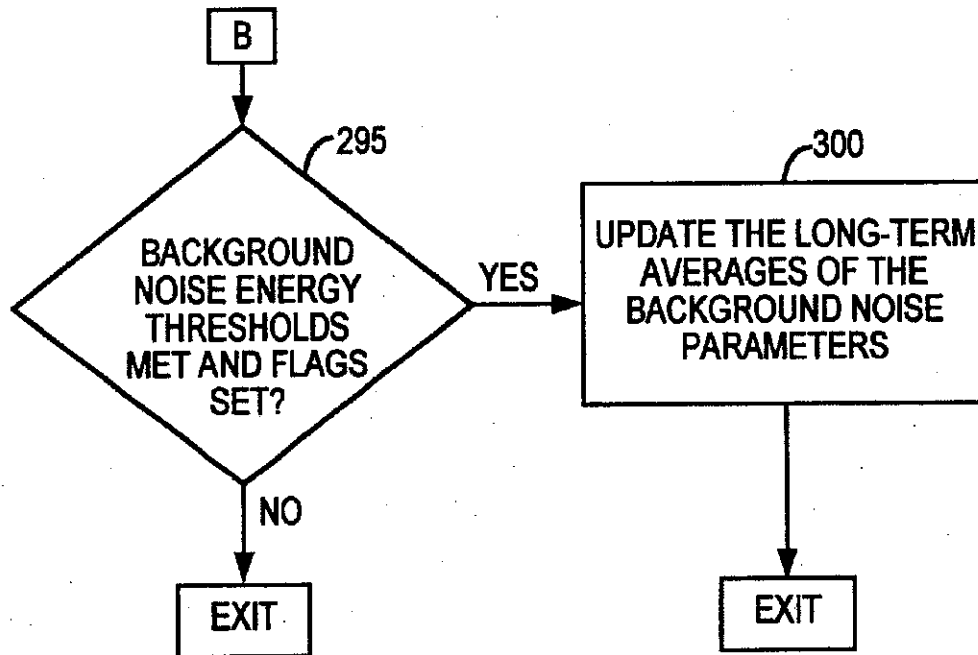


FIG. 2C

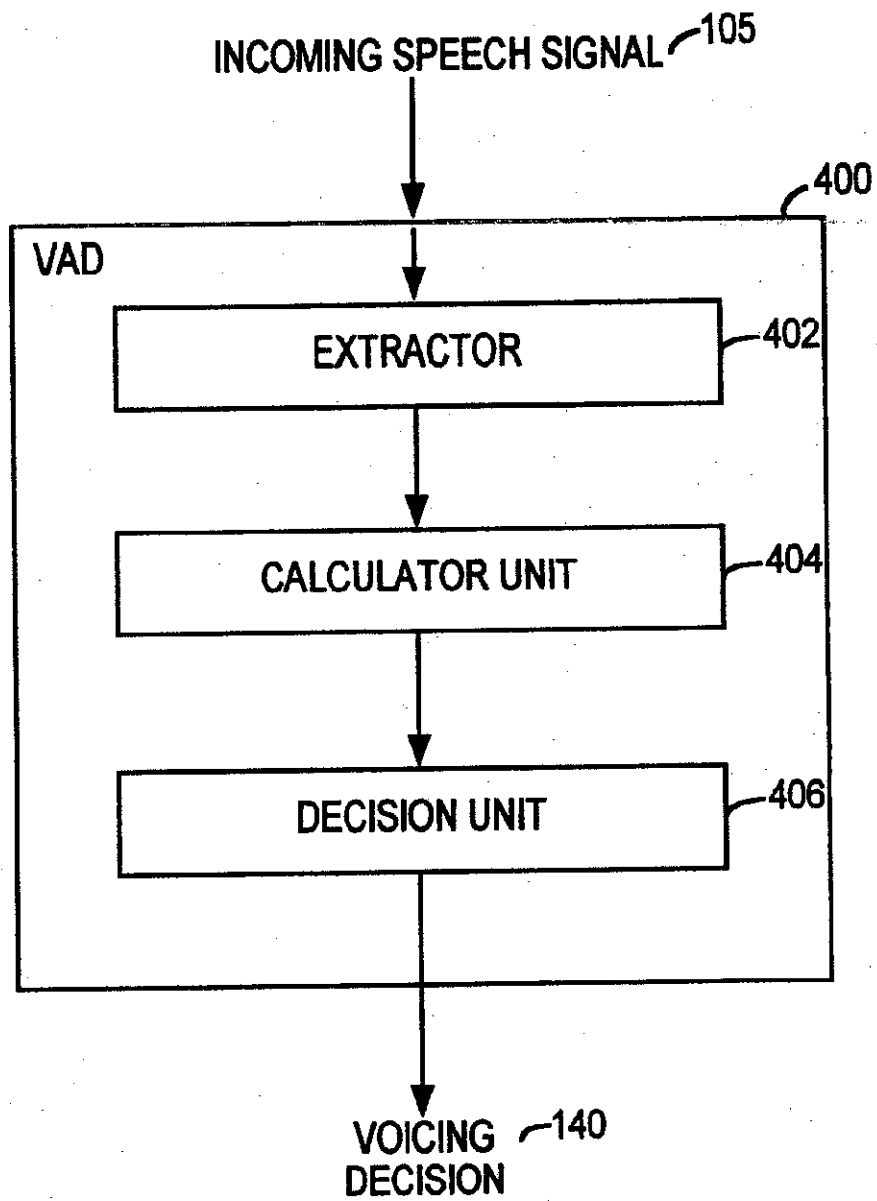


FIG. 3

**SYSTEM FOR DETECTING VOICE  
ACTIVITY AND BACKGROUND  
NOISE/SILENCE IN A SPEECH SIGNAL  
USING PITCH AND SIGNAL TO NOISE  
RATIO INFORMATION**

This application is a continuation-in-part of application serial number 09/156,416 filed on Sep. 18, 1998 now U.S. Pat. No. 6,188,981.

**BACKGROUND OF THE INVENTION**

**1. Field of the Invention**

The present invention relates generally to the field of speech coding in communication systems, and more particularly to detecting voice activity in a communications system.

**2. Description of Related Art**

Modern communication systems rely heavily on digital speech processing in general, and digital speech compression in particular, in order to provide efficient systems. Examples of such communication systems are digital telephony trunks, voice mail, voice annotation, answering machines, digital voice over data links, etc.

A speech communication system is typically comprised of an encoder, a communication channel and a decoder. At one end of a communications link, the speech encoder converts a speech signal which has been digitized into a bit-stream. The bit-stream is transmitted over the communication channel (which can be a storage medium), and is converted again into a digitized speech signal by the decoder at the other end of the communications link.

The ratio between the number of bits needed for the representation of the digitized speech signal and the number of bits in the bit-stream is the compression ratio. A compression ratio of 12 to 16 is presently achievable, while still maintaining a high quality reconstructed speech signal.

A significant portion of normal speech is comprised of silence, up to an average of 60% during a two-way conversation. During silence, the speech input device, such as a microphone, picks up the environment or background noise. The noise level and characteristics can vary considerably, from a quiet room to a noisy street or a fast moving car. However, most of the noise sources carry less information than the speech signal and hence a higher compression ratio is achievable during the silence periods. In the following description, speech will be denoted as "active-voice" and silence or background noise will be denoted as "non-active-voice".

The above discussion leads to the concept of dual-mode speech coding schemes, which are usually also variable-rate coding schemes. The active-voice and the non-active voice signals are coded differently in order to improve the system efficiency, thus providing two different modes of speech coding. The different modes of the input signal (active-voice or non-active-voice) are determined by a signal classifier, which can operate external to, or within, the speech encoder. The coding scheme employed for the non-active-voice signal uses less bits and results in an overall higher average compression ratio than the coding scheme employed for the active-voice signal. The classifier output is binary, and is commonly called a "voicing decision." The classifier is also commonly referred to as a Voice Activity Detector ("VAD").

A schematic representation of a speech communication system which employs a VAD for a higher compression rate is depicted in FIG. 1. The input to the speech encoder 110

is the digitized incoming speech signal 105. For each frame of a digitized incoming speech signal the VAD 125 provides the voicing decision 140, which is used as a switch 145 between the active-voice encoder 120 and the non-active-voice encoder 115. Either the active-voice bit-stream 135 or the non-active-voice bit-stream 130, together with the voicing decision 140 are transmitted through the communication channel 150. At the speech decoder 155 the voicing decision is used in the switch 160 to select the non-active-voice decoder 165 or the active-voice decoder 170. For each frame, the output of either decoders is used as the reconstructed speech 175.

An example of a method and apparatus which employs such a dual-mode system is disclosed in U.S. Pat. No. 5,774,849, commonly assigned to the present assignee and herein incorporated by reference. According to U.S. Pat. No. 5,774,849, four parameters are disclosed which may be used to make the voicing decision. Specifically, the full band energy, the frame low-band energy, a set of parameters called Line Spectral Frequencies ("LSF") and the frame zero crossing rate are compared to a long-term average of the noise signal. While this algorithm provides satisfactory results for many applications, the present inventors have determined that a modified decision algorithm can provide improved performance over the prior art voicing decision algorithms.

**SUMMARY OF THE INVENTION**

A method and apparatus for generating frame voicing decisions for an incoming speech signal having periods of active voice and non-active voice for a speech encoder in a speech communications system. A predetermined set of parameters is extracted from the incoming speech signal, including a pitch gain and a pitch lag. A frame voicing decision is made for each frame of the incoming speech signal according to values calculated from the extracted parameters. The predetermined set of parameters further includes a partial residual frame full band energy, and a set of spectral parameters called Line Spectral Frequencies (LSF). A signal-to-noise ratio value is estimated and used to adaptively set threshold values, improving performance under various noise conditions.

**BRIEF DESCRIPTION OF THE DRAWINGS**

The exact nature of this invention, as well as its objects and advantages, will become readily apparent from consideration of the following specification as illustrated in the accompanying drawings, in which like reference numerals designate like parts throughout the figures thereof, and wherein:

FIG. 1 is a block diagram representation of a speech communication system using a VAD;

FIGS. 2(A), 2(B) and 2(C) are process flowcharts illustrating the operation of the VAD in accordance with the present invention; and

FIG. 3 is a block diagram illustrating one embodiment of a VAD according to the present invention.

**DETAILED DESCRIPTION OF THE  
PREFERRED EMBODIMENTS**

The following description is provided to enable any person skilled in the art to make and use the invention and sets forth the best modes contemplated by the inventor for carrying out the invention. Various modifications, however, will remain readily apparent to those skilled in the art, since



the basic principles of the present invention have been defined herein specifically to provide a voice activity detection method and apparatus.

In the following description, the present invention is described in terms of functional block diagrams and process flow charts, which are the ordinary means for those skilled in the art of speech coding for describing the operation of a VAD. The present invention is not limited to any specific programming languages, or any specific hardware or software implementation, since those skilled in the art can readily determine the most suitable way of implementing the teachings of the present invention.

In the preferred embodiment, a Voice Activity Detection (VAD) module is used to generate a voicing decision which switches between an active-voice encoder/decoder and a non-active-voice encoder/decoder. The binary voicing decision is either 1 (TRUE) for the active-voice or 0 (FALSE) for the non-active-voice.

The VAD process flowchart is illustrated in FIGS. 2(A) and 2(B). The VAD operates on frames of digitized speech. The frames are processed in time order and are consecutively numbered from the beginning of each conversation/recording. The illustrated process is performed once per frame.

At the first block 200, four parametric features are extracted from the input signal. Extraction of the parameters can be shared with the active-voice encoder module 120 and the non-active-voice encoder module 115 for computational efficiency. The parameters are the partial residual frame full band energy, a set of spectral parameters called Line Spectral Frequencies ("LSF"), the pitch gain and the pitch lag. A set of linear prediction coefficients is derived from the auto correlation and a set of

$$\{LSF_i\}_{i=1}^N$$

is derived from the set of linear prediction coefficients, as described in ITU-T, Study Group 15 Contribution—Q. 12/15, Draft Recommendation G.729, Jun. 8, 1995, Version 5.0, or DIGITAL SPEECH—Coding for Low Bit Rate Communication Systems by A. M. Kondoz, John Wiley & Son, 1994, England. The partial residual full band energy E is the logarithm of the normalized first auto correlation coefficient R(0):

$$E = 10 \log_{10} \left[ \frac{1}{N} R(0) + \alpha \right]$$

where N is a predetermined normalization factor, and  $\alpha$  is determined according to the formula:

$$\alpha = \prod_{i=1}^4 (1 - k_i^2)$$

where  $k_i$  are the reflection (Parcor) coefficients. The pitch gain is a measure of the periodicity of the input signal. The higher the pitch gain, the more periodic the signal, and therefore the greater the likelihood that the signal is a speech signal. The pitch lag is the fundamental frequency of the speech (active-voice) signal. At block 200, a signal-to-noise value SNR is also initialized.

After the parameters are extracted, the standard deviation  $\sigma$  of the pitch lags of the last four previous frames are computed at block 205. The long-term mean of the pitch

gain is updated with the average of the pitch gain from the last four frames at block 210. In the preferred embodiment, the long-term mean of the pitch gain is calculated according to the following formula:

$$\overline{P_{gain}} = 0.8 * P_{gain} + 0.2 * [\text{average of last four frames}]$$

The short-term average of energy,  $\overline{E_{st}}$ , is updated at block 215 by averaging the last three frames with the current frame energy. Similarly, the short-term average of LSF vectors,  $\overline{LSF_s}$ , is updated at block 220 by averaging the last three LSF frame vectors with the current LSF frame vector extracted by the parameter extractor at block 200.

At block 225, a pitch flag is set according to the following decision statements:

If  $\sigma < T_1$ , then  $P_{flag1} = 1$ , otherwise  $P_{flag1} = 0$   
 If  $P_{gain} > T_2$ , then  $P_{flag2} = 1$ , otherwise  $P_{flag2} = 0$   
 $P_{gain} = P_{flag1}$  OR  $P_{flag2}$   
 If  $[\overline{LSF_s}[0] < T_6$  AND  $P_{flag1} = 0]$   
 then  $P_{flag} = 0$

In the preferred embodiment,  $T_1 = 1.2$ ,  $T_2 = 0.7$  and  $T_6 = 180$  Hz.

At block 230, a minimum energy buffer is updated with the minimum energy value over the last 128 frames. In other words, if the present energy level is less than the minimum energy level determined over the last 128 frames, then the value of the buffer is updated, otherwise the buffer value is unchanged.

If the frame count (i.e. current frame number) is less than a predetermined frame count  $N_t$  at block 235, where  $N_t$  is 32 in the preferred embodiment, an initialization routine is performed by blocks 240–255. At block 240 the average energy  $\overline{E}$ , and the long-term average noise spectrum  $\overline{LSF_N}$  are calculated over the last  $N_t$  frames. The average energy  $\overline{E}$  is the average of the energy of the last  $N_t$  frames. The initial value for  $\overline{E}$ , calculated at block 240, is:

$$\overline{E} = \frac{1}{N_t} \sum_{n=1}^{N_t} E$$

The long-term average noise spectrum  $\overline{LSF_N}$  is the average of the LSF vectors of the last  $N_t$  frames. At block 245, if the instantaneous energy E extracted at block 200 is less than 15 dB, then the voicing decision is set to zero (block 255), otherwise the voicing decision is set one (block 250). The processing for the frame is then completed and the next frame is processed, beginning with block 200.

The initialization processing of blocks 240–255 initializes the processing over the last few frames. It is not critical to the operation of the present invention and may be skipped. The calculations of block 240 are required, however, for the proper operation of the invention and should be performed, even if the voicing decisions of blocks 245–255 are skipped. Also, during initialization, the voicing decision could always be set to "1" without significantly impacting the performance of the present invention.

If the frame count is not less than  $N_t$  at block 235, then the first time through block 260 (Frame\_Count =  $N_t$ ), the long-term average noise energy  $\overline{E_N}$  is initialized by subtracting 12 dB from the average energy E:

$$\overline{E_N} = \overline{E} - 12 \text{ dB}$$

Next, at block 265, a spectral difference value  $SD_1$  is calculated using the normalized Itakura-Saito measure. The value  $SD_1$  is a measure of the difference between two spectra

(the current frame spectra represented by R and  $E_{\text{sp}}$  and the background noise spectrum represented by  $\vec{a}$ . The Itakura-Saito measure is a well-known algorithm in the speech processing art and is described in detail, for example, in *Discrete-Time Processing of Speech Signals*, Deller, John R., Proakis, John G. and Hansen, John H. L., 1987, pages 327-329, herein incorporated by reference. Specifically,  $SD_1$  is defined by the following equation:

$$SD_1 = \frac{\vec{a}^T R \vec{a}}{E_{\text{sp}}}$$

where  $E_{\text{sp}}$  is the prediction error from linear prediction (LP) analysis of the current frame;

R is the auto-correlation matrix from the LP analysis of the current frame; and

$\vec{a}$  is a linear prediction filter describing the background noise obtained from  $\overline{LSF}_N$ .

At block 270 the spectral differences  $SD_2$  and  $SD_3$  are calculated using a mean square error method according to the following equations:

$$SD_2 = \sum_{i=1}^p [LSF_s(i) - \overline{LSF}_N(i)]^2$$

$$SD_3 = \sum_{i=1}^p [LSF_s(i) - LSF(i)]^2$$

Where  $\overline{LSF}_s$  is the short-term average of LSF;

$\overline{LSF}_N$  is the long-term average noise spectrum; and

LSF is the current LSF extracted by the parameter extraction.

The long-term mean of  $SD_2$  ( $sm\_SD_2$ ) in the preferred embodiment is updated at block 275 according to the following equation:

$$sm\_SD_2 = 0.4 * SD_2 + 0.6 * sm\_SD_2$$

Thus, the long term mean of  $SD_2$  is a linear combination of the past long-term mean and the current  $SD_2$  value.

The initial voicing decision, obtained in block 280, is denoted by  $I_{VD}$ . The value of  $I_{VD}$  is determined according to the following decision statements:

```

If
then E > EN + X2 dB
      IVD = 1;
      If E - EN < X3 dB
      AND
      sm_SD2 < T3
      AND
      SD2 < T6
then IVD = 0; else IVD = 1;
OR
      If E > 1/2 (E-1 + E-2) + X4 dB
then SD1 > 1.65
      IVD = 1.
    
```

In the preferred embodiment,  $X_2=5$ ,  $X_3=4$ ,  $T_3=0.0015$  and  $T_6=0.001133$ . The value of  $X_4$  is adaptive and is calculated as discussed below.

The initial voicing decision is smoothed at block 285 to reflect the long term stationary nature of the speech signal. The smoothed voicing decision of the frame, the previous

frame and the frame before the previous frame are denoted by  $S_{VD}^0$ ,  $S_{VD}^{-1}$  and  $S_{VD}^{-2}$ , respectively. Both  $S_{VD}^{-1}$  and  $S_{VD}^{-2}$  are initialized to 1 and  $S_{VD}^0 = I_{VD}$ . A Boolean parameter  $F_{VD}^{-1}$  is initialized to 1 and a counter denoted by  $C_c$  is initialized to 0. The energy of the previous frame is denoted by  $E_{-1}$ . Thus, the smoothing stage is defined by:

```

10 if FVD-1 = 1 and IVD = 0 and SVD-1 = 1 and SVD-2 = 1
      SVD0 = 1
      Cc = Cc + 1
      if Cc ≤ T4 {
          FVD-1 = 0
      }
      else {
          FVD-1 = 0
          Cc = 0
      }
      }
      else
      FVD-1 = 1
    
```

$C_c$  is reset to 0 if  $S_{VD}^{-1}=1$  and  $S_{VD}^{-2}=1$  and  $I_{VD}=1$ .

If  $P_{flag}=1$ , then  $S_{VD}^0=1$

If  $E < 15$  dB, then  $S_{VD}^0=0$

In the preferred embodiment,  $T_4$  is adaptive and is calculated as discussed below. The final value of  $S_{VD}^0$  represents the final voicing decision, with a value of "1" representing an active voice speech signal, and a value of "0" representing a non-active voice speech signal.

$F_{SD}$  is a flag which indicates whether consecutive frames exhibit spectral stationarity (i.e., spectrum does not change dramatically from frame to frame).  $F_{SD}$  is set at block 290 according to the following where  $C_s$  is a counter initialized to 0.

```

If Frame_Count > 128 AND SD3 < T5
then
  Cs = Cs + 1
else
  Cs = 0;
  If Cs > N
  FSD = 1
else
  FSD = 0.
    
```

In the preferred embodiment,  $T_5=0.0005$  and  $N=20$ .

At block 291, a determination is made whether  $E > \text{Min} + T_7$  dB. If so, a running mean of energy of the voice signal is calculated at block 292, according to the following equation:

$$R_{MEAN\_E} = \alpha * R_{MEAN\_E} + (1-\alpha)E$$

where  $\alpha=0.9$  and the initial value of  $R_{MEAN\_E}$  is equal to the  $\text{VALUE}_E$  over the last  $N_1$  frames (block 240). In the preferred embodiment,  $T_7=7$  dB. The value  $R_{MEAN\_E}$  represents the running mean of energy of the voice component only of the incoming speech signal.

Next, an SNR value is updated according to the following equation:

$$SNR = R_{MEAN\_E} - \overline{E}_N$$

This SNR value is used to adaptively set the values of variables  $X_4$  and  $T_4$ . At block 200, a signal-to-noise ratio value SNR was initialized to a predetermined value. This initialization value is used to initially determine the value of  $X_4$  and  $T_4$ . The value of  $X_4$  is then adaptively determined according to the following decision statements:

---

IF SNR < 5 dB, then  $X_4 = 3$  dB  
 else  
 IF SNR < 10 dB, then  $X_4 = 4$  dB  
 otherwise  
 $X_4 = 5$  dB

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The value of  $T_4$  is also adaptively determined according to the following decision statements:

---

IF SNR < 8 dB, then  $T_4 = 16$   
 else  
 IF SNR < 11 dB, then  $T_4 = 14$   
 else  
 IF SNR < 14 dB, then  $T_4 = 10$   
 else  
 IF SNR < 17 dB; then  $T_4 = 6$   
 otherwise  
 $T_4 = 2$

---

By estimating and tracking the signal-to-noise ratio SNR, the  $X_4$  and  $T_4$  thresholds can be adaptively determined. This improves the performance of the present VAD under various noise conditions, compared to prior art systems.

The running averages of the background noise characteristics are updated at the last stage of the VAD algorithm At block 295 and 300, the following conditions are tested and the updating takes place only if these conditions are met:

---

If  $E < \max[(\text{Min})_i(\bar{E}_N)] + 2.44$  AND  $P_{\text{NOISE}} = 0$   
 then  $\bar{E}_N = \beta_{\text{EN}} * \bar{E}_N + (1 - \beta_{\text{EN}}) * [\max \text{ of } E \text{ AND } \bar{E}_i]$   
 AND  
 $\overline{\text{LSF}}_N(i) = \beta_{\text{LSF}} * \overline{\text{LSF}}_N(i) + (1 - \beta_{\text{LSF}}) * \text{LSF}(i) \quad i = 1, \dots, p$   
 If  $\text{Frame\_Count} > 128$  AND  
 $\bar{E}_N < \text{Min}$  AND  $F_{\text{SD}} = 1$  AND  $P_{\text{NOISE}} = 0$   
 then  
 $\bar{E}_N = \text{Min}$   
 else If  $\text{Frame\_Count} > 128$  AND  $\bar{E}_N > \text{Min} + 10$   
 then  
 $\bar{E}_N = \text{Min}$ .

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FIG. 3 illustrates a block diagram of one possible implementation of a VAD 400 according to the present invention. An extractor 402 extracts the required predetermined parameters, including a pitch lag and a pitch gain, from the incoming speech signal 105. A calculator unit 404 performs the necessary calculations on the extracted parameters, as illustrated by the flowcharts in FIGS. 2(A) and 2(B). A decision unit 406 then determines whether a current speech frame is an active voice or a non-active voice signal and outputs a voicing decision 140 (as shown in FIG. 1).

Those skilled in the art will appreciate that various adaptations and modifications of the just-described preferred embodiments can be configured without departing from the scope and spirit of the invention. For example, many specific values for threshold values have been presented. Those skilled in the art will readily know how to select appropriate values for various conditions. Therefore, it is to be understood that within the scope of the appended claims, the invention may be practiced other than as specifically described herein.

What is claimed is:

1. In a speech communication system comprising:
  - (a) a speech encoder for receiving and encoding an incoming speech signal to generate a bit stream for transmission to a speech decoder;
  - (b) a communication channel for transmission; and
  - (c) a speech decoder for receiving the bit stream from the speech encoder to decode the bit stream to generate a reconstructed speech signal, the incoming speech signal comprising periods of active voice and non-active voice, a method for generating a frame voicing decision comprising the steps of:
    - i. extracting a predetermined set of parameters, including a pitch gain and a pitch lag, from the incoming speech signal for each frame;
    - ii. estimating a signal-to-noise ratio; and
    - iii. making a frame voicing decision according to the predetermined set of parameters and the signal-to-noise ratio.
2. The method according to claim 1, wherein the predetermined set of parameters further comprises a partial residual full band energy and line spectral frequencies (LSF).
3. A method according to claim 2, wherein the step of making a frame voicing decision further comprises the steps of:
  - i. calculating a standard deviation C of the pitch lag;
  - ii. calculating a long-term mean of pitch gain;
  - iii. calculating a short-term average of energy E,  $\bar{E}_s$ ;
  - iv. calculating a short-term average of LSF<sub>s</sub>;
  - v. calculating an average energy  $\bar{E}$ ; and
  - vi. calculating an average LSF value,  $\overline{\text{LSF}}_N$ .
4. A method according to claim 3, wherein the step of making a frame voicing decision further comprises the steps of:
  - i) calculating a spectral difference  $\text{SD}_1$  using a normalized Itakura-Saito measure;
  - ii) calculating a spectral difference  $\text{SD}_2$  using a mean square error method;
  - iii) calculating a spectral difference  $\text{SD}_3$  using a mean square error method; and
  - iv) calculating a long-term mean of  $\text{SD}_2$ .
5. A method according to claim 4, wherein an initial frame voicing decision is made according to the calculated values.
6. A method according to claim 5, wherein the initial frame voicing decision is smoothed.
7. A method according to claim 6, wherein an initialization routine is performed for a predetermined number of initial frames, such that the voicing decision is set to active voice.
8. A method according to claim 1, wherein the step of estimating the signal-to-noise ratio comprises the step of subtracting a running mean of energy of a noise signal  $\bar{E}_N$  from a running mean of energy of a voice signal  $R_{\text{MEAN}_E}$ .
9. A voice activity detector (VAD) for making a voicing decision on an incoming speech signal frame, the VAD comprising:
  - a) an extractor for extracting a predetermined set of parameters, including a pitch gain and a pitch lag, from the incoming speech signal for each frame;
  - a) a calculator unit for calculating a set of predetermined values, including a signal-to-noise ratio SNR, based on the extracted predetermined set of parameters and for adaptively determining threshold values according to the SNR value; and

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a decision unit for making a frame voicing decision according to the predetermined set of values.

10. The VAD according to claim 9, wherein the predetermined set of parameters further comprises a partial residual full band energy and line spectral frequencies (LSF).

11. The VAD according to claim 10, wherein the calculator unit calculates:

a standard deviation  $\sigma$  of the pitch lag;

a long-term mean of pitch gain;

a short-term average of energy  $E$ ,  $\bar{E}_s$ ,

a short-term average of LSF,  $\overline{LSF}_s$ ;

an average energy  $\bar{E}$ ; and

an average LSF value,  $\overline{LSF}_N$ .

12. The VAD according to claim 11, wherein the calculator unit further calculates:

a spectral difference  $SD_1$  using a normalized Itakura-Saito measure;

a spectral difference  $SD_2$  using a mean square error method;

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a spectral difference  $SD_3$  using a mean square error method; and

a long-term mean of  $SD_2$ .

13. The VAD according to claim 12, wherein the decision unit makes an initial frame voicing decision according to the values calculated by the calculator unit.

14. The VAD according to claim 13, wherein the initial frame voicing decision is smoothed.

15. A voice activity detection method for detecting voice activity in an incoming speech signal frame, the improvement comprising making a voicing decision based on a pitch lag and a pitch gain of the speech signal frame and using a signal-to-noise ratio to adaptively set threshold values.

16. The voice activity detection method of claim 15, further comprising making the voicing decision based on a partial residual frame full band energy and a set of spectral parameters called Line Spectral Frequencies (LSF).

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