





1 523 F.3d 1128, 1134 (9th Cir. 2008) (quoting *White v. City of San Diego*, 605 F.2d  
2 455, 461 (9th Cir. 1979) (internal quotations omitted)).

3 As a threshold matter, the Court must determine what standard applies to the  
4 question of whether an opposing party can depose a party's attorneys. Defendants  
5 contend that a party seeking to depose an attorney must meet a three-prong test,  
6 applying an Eighth Circuit case, *Shelton v. American Motors Corp.*, 805 F.2d  
7 1323, 1327 (8th Cir. 1986). Plaintiff states that Defendants have the burden to  
8 show that all discovery questions to the attorney witnesses would be protected by  
9 the attorney-client privilege. ECF No. 55 at 3. Even if *Shelton* is the correct law,  
10 Plaintiff contends, it applies only to opposing counsel, and therefore does not apply  
11 to in-house counsel Mr. Pettet because he does not represent any party in the  
12 present litigation. ECF No. 55 at 14. Nor should *Shelton* apply to outside counsel  
13 Mr. Stevens, Plaintiff contends, because even though he has appeared in this  
14 matter, he has done nothing of substance in the litigation. *Id.* at 15.

15 While no published Ninth Circuit cases address this matter directly, district  
16 court cases in this circuit have adopted the *Shelton* standard for evaluating whether  
17 counsel may be deposed. *See, e.g., Massachusetts Mutual Life Ins. Co. v. Cerf*, 177  
18 F.R.D. 472, 479 (N. D. Cal. 1998) (*Shelton* "is generally regarded as the leading  
19 case on attorney depositions"); *Willer v. Las Vegas Valley Water District*, 176 F.3d  
20 486 (9th Cir. 1999) (unpublished table decision) (citing *Massachusetts Mutual* for

1 the test from *Shelton*); *Bybee Farms, LLC v. Snake River Sugar Co.*, 2008 WL  
2 820186 (E. D. Wash. 2008). Fed. R. Civ. P. 30(a) provides that depositions may be  
3 taken of “any person.” Therefore, there is no express prohibition against the taking  
4 of attorney depositions. *See Shelton*, 805 F.2d at 1327; and *American Cas. Co. of*  
5 *Reading, Pennsylvania v. Krieger*, 160 F.R.D. 582, 585 (S. D. Cal. 1995). Courts,  
6 however, have cautioned that attorney depositions should be allowed only where  
7 the discovery sought cannot be obtained from another source. *Massachusetts Mut*  
8 *177 F.R.D. at 479*. In *Shelton*, the Eighth Circuit explained the judicial attitude  
9 toward such depositions as follows:

10 We do not hold that opposing trial counsel is absolutely immune from being  
11 deposed. We recognize that circumstances may arise in which the court  
12 should order the taking of opposing counsel's deposition. But those  
13 circumstances should be limited to where the party seeking to take the  
14 deposition has shown that **(1) no other means exist to obtain the  
information than to depose opposing counsel**, *see, e.g., Fireman's Fund*  
*Ins. Co. v. Superior Court*, 72 Cal.App.3d 786, 140 Cal.Rptr. 677, 679  
(1977); **(2) the information sought is relevant and nonprivileged**; and **(3)**  
**the information is crucial to the preparation of the case.**

15 *Shelton*, 805 F.2d at 1327 (emphasis added). *See also Krieger*, 160 F.R.D. at 588  
16 (“There are good reasons to require the party seeking to depose another party's  
17 attorney to bear the burden of establishing the propriety and need for the  
18 deposition.”).

19 With respect to whether this three-part test applies to the proposed  
20 deposition of Mr. Stevens, Plaintiff offers no support for the proposition that

1 opposing counsel must have done something of “substance” to warrant application  
2 of *Shelton*, nor can the Court find any support for this notion. Accordingly,  
3 because Plaintiff acknowledges that Stevens has appeared as opposing counsel,  
4 ECF No. 55 at 15, the Court will apply the factors to him.

5 The Court next turns to application of this test to Mr. Pettet, in-house  
6 counsel for the Richland School District. Though *Shelton*’s holding applies to  
7 “opposing trial counsel,” 805 F.2d at 1327, district courts in this circuit have  
8 applied the standard to depositions of in-house counsel as well. *See, e.g.,*  
9 *Caterpillar v. Friedemann*, 164 F.R.D. 76, 78-79 (D. Oregon 1995) (applying  
10 *Shelton* standard and finding that deposition of senior in-house attorney was not  
11 warranted); *Bybee Farms, LLC v. Snake River Sugar Co.*, 2008 WL 820186 (E. D.  
12 Wash. 2008). Accordingly, the Court will also examine the proposed deposition of  
13 Mr. Pettet in light of *Shelton*.

14 Plaintiff contends in the alternative that the depositions should be permitted  
15 even under the *Shelton* analysis. First, Plaintiff argues, there is no other means to  
16 discover advice given because all advice would have to have been given in writing  
17 for it to be discoverable. ECF No. 55 at 15. Second, Plaintiff contends, the advice  
18 is clearly relevant. ECF No. 55 at 15. It is non-privileged, Plaintiff argues, because  
19 the privilege was waived when Defendants put the advice the attorneys gave to  
20 Defendants at issue in the case. *Id.* at 11, 15-16. Third, Plaintiff maintains, the

1 advice given to board members is critical to the case. *Id.* at 16. Defendants counter  
2 that Plaintiff has not established any of the *Shelton* requirements. ECF No. 59.

3 **a. Conversations Between RSD's Attorneys and Mr. Busey**

4 Plaintiff contends that any conversations between Mr. Busey and RSD  
5 attorneys were not privileged because they were communications between adverse  
6 parties. ECF No. 55 at 7. Defendant points out that while these communications  
7 may not be privileged, Plaintiff cannot establish that there is no other means of  
8 obtaining that information, as required under *Shelton*, because Mr. Busey  
9 participated in the conversations and can therefore speak to their content. ECF No.  
10 59 at 9. The Court agrees with Defendant.

11 **b. RSD's Attorneys' Advice to RSD Board Members**

12 Plaintiff contends that Defendants waived the attorney-client privilege with  
13 respect to RSD's attorneys' advice to RSD board members when they put that  
14 advice at issue in the case by publicly claiming to have acted on the attorneys'  
15 advice. ECF No. 55 at 8, 11-12. Plaintiff further argues that if it is established that  
16 the board acted contrary to its attorneys' recommendations, it would form a basis  
17 for punitive damages for malicious, oppressive and reckless conduct. ECF No. 55  
18 at 12. Defendants respond that there must be some sort of affirmative act to waive  
19 privilege. ECF No. 59 at 5-6.

1 “Where a party raises a claim which in fairness requires disclosure of the  
2 protected communication, the privilege may be implicitly waived.” *Chevron Corp.*  
3 *v. Pennzoil Co.*, 974 F.2d 1156, 1162 (9th Cir. 1992). Both parties refer to the  
4 Ninth Circuit’s three-part test for whether waiver of the attorney-client privilege  
5 has occurred, from *Home Indemnity Co. v. Lane Powell Moss and Miller*, 43 F.3d  
6 1322, 1326 (9th Cir. 1995).<sup>1</sup> Under this standard, an implied waiver of attorney-  
7 client privilege occurs when

8 (1) the party asserts the privilege as part of an affirmative act, such as filing  
9 suit; (2) through the affirmative act, the asserting party puts the privileged  
10 information at issue; and (3) allowing the privilege would deny the opposing  
11 party access to information vital to its defense.

12 *Home Indemnity Co.*, 43 F.3d at 1326. See *United States v. Amlani*, 169 F.3d 1189,  
13 1195-96 (9th Cir. 1999) (client asserting he discharged attorney for certain reasons  
14 cannot invoke the attorney-client privilege to deny government counsel access to  
15 the information needed to refute claim); *Confederated Tribes of Chehalis*  
16 *Reservation v. Thurston County Bd. of Equalization*, 2009 WL 3835304 (W. D.  
17 Wash. 2009) (finding no implied waiver where plaintiffs did not explain “how  
18 Defendants asserted the privilege as a result of some affirmative act, such as  
19 asserting an affirmative defense or filing a counterclaim.”).

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19 <sup>1</sup> Plaintiffs cite *United States v. Amlani*, 169 F.3d 1189, 1195 (9th Cir. 1999),  
20 which cites *Home Indemnity Co.*

1           The Court agrees with Defendants. Defendants have not yet filed an answer  
2 in this matter.<sup>2</sup> Thus, the Court has little by way of an “affirmative act, such as  
3 filing suit” to determine how Defendants have put the privileged information at  
4 issue. Plaintiff contends that Defendants put it at issue by claiming to have acted in  
5 reliance on its attorneys’ advice, but do not specify the source of this contention.  
6 ECF No. 55 at 11. Plaintiff does provide an exhibit of an article from the local  
7 newspaper stating that Richland School Board Chairman Rick Jansons said that  
8 “We followed all the directions of our attorney and were within the law.” *See* ECF  
9 No. 56-1. Insofar as Plaintiff relies on this statement to show that Defendants put  
10 privileged information at issue, the Court disagrees. A statement by a board  
11 member, before litigation begins, does not rise to the level of “at issue” represented  
12 by case law, in which parties put privileged information at issue by raising a  
13 defense relying on the information , for example. *Shelton* puts the burden on the  
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16 <sup>2</sup> Defendants initially moved to stay proceedings and compel arbitration (ECF No.  
17 5), which was denied (ECF No. 27), then made an interlocutory appeal of that  
18 decision to the Ninth Circuit (ECF No. 32) and moved this Court for a stay  
19 pending the result of their appeal (ECF No. 41), which was granted in part and  
20 denied in part, staying proceedings except for discovery (ECF No. 46).



1 party seeking the deposition to show that the information is non-privileged.

2 Plaintiff simply has not met that burden.

3 **ACCORDINGLY, IT IS HEREBY ORDERED:**

4 Defendants' Motion for a Protective Order (ECF No. 53) is GRANTED.

5 The District Court Executive is hereby directed to enter this Order and  
6 provide copies to counsel.

7 **DATED** April 10, 2014.



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*Thomas O. Rice*  
THOMAS O. RICE  
United States District Judge