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**UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF WASHINGTON**

ADAM GOSVENER,

Plaintiff,

vs.

COMMISSIONER OF SOCIAL

SECURITY,

Defendant.

No. 2:16-cv-00221-MKD

ORDER DENYING PLAINTIFF’S
MOTION FOR SUMMARY
JUDGMENT AND GRANTING
DEFENDANT’S MOTION FOR
SUMMARY JUDGMENT

ECF Nos. 14, 16

BEFORE THE COURT are the parties’ cross-motions for summary judgment. ECF Nos. 14, 16. The parties consented to proceed before a magistrate judge. ECF No. 9. The Court, having reviewed the administrative record and the parties’ briefing, is fully informed. For the reasons discussed below, the Court denies Plaintiff’s Motion (ECF No. 14) and grants Defendant’s Motion (ECF No. 16).

1 **JURISDICTION**

2 The Court has jurisdiction over this case pursuant to 42 U.S.C. § 1383(c)(3).

3 **STANDARD OF REVIEW**

4 A district court’s review of a final decision of the Commissioner of Social
5 Security is governed by 42 U.S.C. § 405(g). The scope of review under § 405(g) is
6 limited; the Commissioner’s decision will be disturbed “only if it is not supported
7 by substantial evidence or is based on legal error.” Hill v. Astrue, 698 F.3d 1153,
8 1158 (9th Cir. 2012). “Substantial evidence” means “relevant evidence that a
9 reasonable mind might accept as adequate to support a conclusion.” Id. at 1159
10 (quotation and citation omitted). Stated differently, substantial evidence equates to
11 “more than a mere scintilla[,] but less than a preponderance.” Id. (quotation and
12 citation omitted). In determining whether the standard has been satisfied, a
13 reviewing court must consider the entire record as a whole rather than searching
14 for supporting evidence in isolation. Id.

15 In reviewing a denial of benefits, a district court may not substitute its
16 judgment for that of the Commissioner. Edlund v. Massanari, 253 F.3d 1152,
17 1156 (9th Cir. 2001). If the evidence in the record “is susceptible to more than one
18 rational interpretation, [the court] must uphold the ALJ’s findings if they are
19 supported by inferences reasonably drawn from the record.” Molina v. Astrue, 674
20 F.3d 1104, 1111 (9th Cir. 2012). Further, a district court “may not reverse an

1 ALJ’s decision on account of an error that is harmless.” Id. An error is harmless
2 “where it is inconsequential to the [ALJ’s] ultimate nondisability determination.”
3 Id. at 1115 (quotation and citation omitted). The party appealing the ALJ’s
4 decision generally bears the burden of establishing that it was harmed. *Shinseki v.*
5 *Sanders*, 556 U.S. 396, 409-10 (2009).

6 **FIVE-STEP EVALUATION PROCESS**

7 A claimant must satisfy two conditions to be considered “disabled” within
8 the meaning of the Social Security Act. First, the claimant must be “unable to
9 engage in any substantial gainful activity by reason of any medically determinable
10 physical or mental impairment which can be expected to result in death or which
11 has lasted or can be expected to last for a continuous period of not less than twelve
12 months.” 42 U.S.C. §§ 423(d)(1)(A); 1382c(a)(3)(A). Second, the claimant’s
13 impairment must be “of such severity that he is not only unable to do his previous
14 work[,] but cannot, considering his age, education, and work experience, engage in
15 any other kind of substantial gainful work which exists in the national economy.”
16 42 U.S.C. §§ 423(d)(2)(A); 1382c(a)(3)(B).

17 The Commissioner has established a five-step sequential analysis to
18 determine whether a claimant satisfies the above criteria. See 20 C.F.R. §§
19 404.1520(a)(4)(i)-(v); 416.920(a)(4)(i)-(v). At step one, the Commissioner
20 considers the claimant’s work activity. 20 C.F.R. §§ 404.1520(a)(4)(i);

1 416.920(a)(4)(i). If the claimant is engaged in “substantial gainful activity,” the
2 Commissioner must find that the claimant is not disabled. 20 C.F.R. §§
3 404.1520(b); 416.920(b).

4 If the claimant is not engaged in substantial gainful activity, the analysis
5 proceeds to step two. At this step, the Commissioner considers the severity of the
6 claimant’s impairment. 20 C.F.R. §§ 404.1520(a)(4)(ii); 416.920(a)(4)(ii). If the
7 claimant suffers from “any impairment or combination of impairments which
8 significantly limits [his or her] physical or mental ability to do basic work
9 activities,” the analysis proceeds to step three. 20 C.F.R. §§ 404.1520(c);
10 416.920(c). If the claimant’s impairment does not satisfy this severity threshold,
11 however, the Commissioner must find that the claimant is not disabled. 20 C.F.R.
12 §§ 404.1520(c); 416.920(c).

13 At step three, the Commissioner compares the claimant’s impairment to
14 severe impairments recognized by the Commissioner to be so severe as to preclude
15 a person from engaging in substantial gainful activity. 20 C.F.R. §§
16 404.1520(a)(4)(iii); 416.920(a)(4)(iii). If the impairment is as severe or more
17 severe than one of the enumerated impairments, the Commissioner must find the
18 claimant disabled and award benefits. 20 C.F.R. §§ 404.1520(d); 416.920(d).

19 If the severity of the claimant’s impairment does not meet or exceed the
20 severity of the enumerated impairments, the Commissioner must pause to assess

1 the claimant’s “residual functional capacity.” Residual functional capacity (RFC),
2 defined generally as the claimant’s ability to perform physical and mental work
3 activities on a sustained basis despite his or her limitations, 20 C.F.R. §§
4 404.1545(a)(1); 416.945(a)(1), is relevant to both the fourth and fifth steps of the
5 analysis.

6 At step four, the Commissioner considers whether, in view of the claimant’s
7 RFC, the claimant is capable of performing work that he or she has performed in
8 the past (past relevant work). 20 C.F.R. §§ 404.1520(a)(4)(iv); 416.920(a)(4)(iv).
9 If the claimant is capable of performing past relevant work, the Commissioner
10 must find that the claimant is not disabled. 20 C.F.R. §§ 404.1520(f); 416.920(f).
11 If the claimant is incapable of performing such work, the analysis proceeds to step
12 five.

13 At step five, the Commissioner considers whether, in view of the claimant’s
14 RFC, the claimant is capable of performing other work in the national economy.
15 20 C.F.R. §§ 404.1520(a)(4)(v); 416.920(a)(4)(v). In making this determination,
16 the Commissioner must also consider vocational factors such as the claimant’s age,
17 education and past work experience. 20 C.F.R. §§ 404.1520(a)(4)(v);
18 416.920(a)(4)(v). If the claimant is capable of adjusting to other work, the
19 Commissioner must find that the claimant is not disabled. 20 C.F.R. §§
20 404.1520(g)(1); 416.920(g)(1). If the claimant is not capable of adjusting to other

1 work, analysis concludes with a finding that the claimant is disabled and is
2 therefore entitled to benefits. 20 C.F.R. §§ 404.1520(g)(1); 416.920(g)(1).

3 The claimant bears the burden of proof at steps one through four above.
4 *Tackett v. Apfel*, 180 F.3d 1094, 1098 (9th Cir. 1999). If the analysis proceeds to
5 step five, the burden shifts to the Commissioner to establish that (1) the claimant is
6 capable of performing other work; and (2) such work “exists in significant
7 numbers in the national economy.” 20 C.F.R. §§ 404.1560(c)(2); 416.960(c)(2);
8 *Beltran v. Astrue*, 700 F.3d 386, 389 (9th Cir. 2012).

9 “A finding of ‘disabled’ under the five-step inquiry does not automatically
10 qualify a claimant for disability benefits.” *Parra v. Astrue*, 481 F. 3d 742, 746 (9th
11 Cir. 2007) (citing *Bustamante v. Massanari*, 262 F.3d 949, 954 (9th Cir. 2001)).
12 When there is medical evidence of drug or alcohol addiction, the ALJ must
13 determine whether the drug or alcohol addiction is a material factor contributing to
14 the disability. 20 C.F.R. §§ 404.1535(a), 416.935(a). In order to determine
15 whether drug or alcohol addiction is a material factor contributing to the disability,
16 the ALJ must evaluate which of the current physical and mental limitations would
17 remain if the claimant stopped using drugs or alcohol, then determine whether any
18 or all of the remaining limitations would be disabling. 20 C.F.R. §§
19 404.1535(b)(2), 416.935(b)(2). If the remaining limitations would not be
20 disabling, drug or alcohol addiction is a contributing factor material to the

1 determination of disability. Id. If the remaining limitations would be disabling,
2 the claimant is disabled independent of the drug or alcohol addiction and the
3 addiction is not a contributing factor material to disability. Id. Plaintiff has the
4 burden of showing that drug and alcohol addiction is not a contributing factor
5 material to disability. Parra, 481 F.3d at 748.

6 **ALJ'S FINDINGS**

7 Plaintiff applied for supplemental security income benefits and disability
8 insurance benefits on May 30, 2012. Tr. 144-51. He alleged an onset date of
9 October 10, 2007, Tr. 144-51, which was subsequently amended to December 1,
10 2009. Tr. 43-44. The applications were denied initially, Tr. 96-104, and on
11 reconsideration, Tr. 108-15. Plaintiff appeared at a hearing before an
12 administrative law judge (ALJ) on January 10, 2014. Tr. 37-59. On January 23,
13 2015, the ALJ denied Plaintiff's claim. Tr. 10-36.

14 At step one, the ALJ found Plaintiff has not engaged in substantial gainful
15 activity since December 1, 2012. Tr. 16. At step two, the ALJ found Plaintiff has
16 the following severe impairments: polysubstance dependence; cognitive disorder;
17 borderline intellectual functioning; depressive disorder; and a history of carpal
18 tunnel syndrome, status-post bilateral releases. Tr. 16. At step three, the ALJ
19 found that Plaintiff's impairments, including substance use disorder, meet Medical
20 Listings 12.02, 12.04, and 12.09. Tr. 17. The ALJ found that if Plaintiff stopped

1 substance use, his impairments would be severe but would not meet or medically
2 equal the requirements of any listed impairment. Tr. 23-24. The ALJ found that if
3 Plaintiff stopped substance abuse, he would have the RFC to perform light work as
4 defined in 20 C.F.R. §§ 404.1567(b) and 416.967(b), with the following
5 limitations:

6 [T]he claimant would be limited to simple, routine, and repetitive tasks that
7 did not require the need to make judgments on complex work-related
8 decisions. The claimant would work best with only superficial contact with
9 the general public but could have occasional interaction with coworkers and
10 supervisors. The claimant would need additional time to respond to changes
11 in a routine work setting.

12 Tr. 25.

13 At step four, the ALJ found that if Plaintiff stopped substance use, he would
14 be unable to perform his past relevant work. Tr. 25. At step five, considering
15 Plaintiff's age, education, work experience, and RFC, the ALJ concluded there are
16 jobs in significant numbers in the national economy that Plaintiff can perform,
17 such as agricultural produce sorter, cannery worker, blender-tank tender helper,
18 and assembler. Tr. 31. The ALJ found substance abuse disorder is thus a
19 contributing factor material to the disability determination. Tr. 31. On that basis,
20 the ALJ concluded that Plaintiff is not disabled as defined by the Act because
21 substance abuse renders him ineligible for benefits. Tr. 31-32.

1 On April 25, 2016, the Appeals Council denied review, Tr. 1-7, making the
2 ALJ's decision the Commissioner's final decision for purposes of judicial review.
3 See 42 U.S.C. § 1383(c)(3); 20 C.F.R. §§ 404.981, 422.210.

4 ISSUES

5 Plaintiff seeks judicial review of the Commissioner's final decision denying
6 him disability insurance benefits under Title II and supplement security income
7 under Title XVI of the Social Security Act. ECF No. 14. Plaintiff raises the
8 following issues for review:

- 9 1. Whether the ALJ properly considered Listing 12.05C;
- 10 2. Whether the ALJ properly found Plaintiff's ankle impairment as non-
11 severe at step two;
- 12 3. Whether the ALJ properly evaluated the medical opinion evidence;
- 13 4. Whether the ALJ properly weighed Plaintiff's symptom claims; and
- 14 5. Whether the ALJ's step five findings are supported by substantial
15 evidence.

16 ECF No. 14 at 8.

17 DISCUSSION

18 A. Listing 12.05C

19 Plaintiff challenges the ALJ's determination that he did not meet Listing
20 12.05C. ECF No. 14 at 4-8.

1 Listing 12.05¹ explains that “[i]ntellectual disability refers to significantly
2 subaverage general intellectual functioning with deficits in adaptive functioning
3 initially manifested during the developmental period; i.e., the evidence
4 demonstrates or supports onset of the impairment before age 22.” 20 C.F.R. pt.
5 404, subpt. P, app. 1, § 12.05. It then goes on to state the “[t]he required level of
6 severity for this disorder is met when the requirements in A, B, C, or D are
7 satisfied.” Id. Section C requires that two conditions be met: (1) “[a] valid verbal,
8 performance, or full scale IQ of 60 through 70” and (2) “a physical or other mental
9 impairment imposing additional and significant work-related limitation of
10 function.” Id. The Ninth Circuit has held that the introductory paragraph of 12.05
11 is not met by meeting the two prongs of 12.05C, but actually establishes a separate
12 prong itself. *Kennedy v. Colvin*, 738 F.3d 1172, 1175-76 (9th Cir. 2013).

13 Therefore a claimant must establish the following three prongs to prove he meets
14 Listing 12.05C: (1) subaverage intellectual functioning with deficits in adaptive

15 _____
16 ¹ On January 17, 2017, new 12.00 listings took effect, however the Social Security
17 Administration has directed district courts reviewing decisions dated before
18 January 17, 2017 to apply the prior versions of the listings. See Revised Medical
19 Criteria for Evaluating Mental Disorders, 81 Fed. Reg. 66138, 66160–62 (Sept. 26,
20 2016).

1 functioning initially manifested before age 22; (2) an IQ score of 60 through 70;
2 and (3) a physical or other mental impairment causing an additional and significant
3 work-related limitation. Id.

4 In considering Listing 12.05, the ALJ concluded that Plaintiff failed to
5 establish the first prong; he did not demonstrate deficits in adaptive functioning
6 that manifested prior to age 22. Tr. 25. “Adaptive functioning” is a person’s
7 “effectiveness in areas such as social skills, communication, and daily living skills,
8 and how well the person meets the standards of personal independence and social
9 responsibility expected of his or her age by his or her cultural group.” Heller v.
10 Doe, 509 U.S. 312, 329 (1993).

11 First, the ALJ noted that Plaintiff was able to work for years prior to his
12 alleged onset date and even after he turned 22. Tr. 25 (citing Tr. 152-59).
13 Plaintiff’s earning records show that Plaintiff worked at substantial gainful levels
14 the year he turned 22 (1998), and for several years after, from 1998-2001 and also
15 in 2007. Tr. 152. In his application, Plaintiff indicated he worked as a groundman
16 laborer for electric companies for 13 years, from 1994 to 2007, a job which
17 required him to use machines, equipment, and tools. Tr. 169-70. Plaintiff
18 challenges the ALJ’s reliance on Plaintiff’s ability to work, but fails to cite any
19 legal authority indicating that was not an appropriate factor for the ALJ to
20 consider.

1 Next, the ALJ noted that the earliest medical records available were from
2 2007, when the Plaintiff was 31, which is several years after he turned 22. Tr. 25.

3 Third, the ALJ noted that Plaintiff stated in his application paperwork that he
4 graduated from high school the month he turned 19 years of age, was not in special
5 education, and had no difficulties with reading and writing. Tr. 25 (citing Tr. 167-
6 173). Plaintiff challenges this finding, stating that there was evidence in the record
7 that Plaintiff was in special education. ECF No. 14 at 11 (citing Tr. 400, 53).

8 Plaintiff cites a psychiatric evaluation indicating Plaintiff told the provider that he
9 was in special education all through his schooling, Tr. 400, and at the hearing

10 Plaintiff testified that he was in special education, and graduated after taking three
11 years of summer school and two extra years of high school, Tr. 53. To the extent

12 the evidence could be interpreted differently, it is the role of the ALJ to resolve
13 conflicts and ambiguity in the evidence. See *Morgan v. Comm'r of Soc. Sec.*

14 *Admin.*, 169 F.3d 595, 599-600 (9th Cir. 1999); see also *Sprague v. Bowen*, 812
15 F.2d 1226, 1229-30 (9th Cir. 1987). Here, there is conflicting evidence in the

16 record regarding whether Plaintiff was in special education. Moreover, the Court
17 notes that Plaintiff graduating from high school the same month of his 19th

18 birthday is not consistent with his statement that he took two extra years of high
19 school. As the ALJ mentioned, there are no records provided prior to 2007. To the

20 extent Plaintiff may have been in special education classes, there is no evidence in

1 the record regarding what caused him to be placed in special education classes.
2 The ALJ's conclusion that Plaintiff failed to establish the first prong of Listing
3 12.05C is supported by substantial evidence.

4 **B. Step Two – Severe Impairments**

5 Plaintiff contends the ALJ improperly failed to identify Plaintiff's ankle
6 impairment as a severe impairment at step two. ECF No. 14 at 11-13.

7 At step two of the sequential process, the ALJ must determine whether
8 plaintiff suffers from a "severe" impairment, i.e., one that significantly limits his
9 physical or mental ability to do basic work activities. 20 C.F.R. §§ 404.1520(c),
10 416.920(c). To show a severe impairment, the claimant must first prove the
11 existence of a physical or mental impairment by providing medical evidence
12 consisting of signs, symptoms, and laboratory findings; the claimant's own
13 statement of symptoms alone will not suffice. 20 C.F.R. §§ 404.1508, 416.908.

14 An impairment may be found to be not severe when "medical evidence
15 establishes only a slight abnormality or a combination of slight abnormalities
16 which would have no more than a minimal effect on an individual's ability to
17 work." S.S.R. 85-28 at *3. Similarly, an impairment is not severe if it does not
18 significantly limit a claimant's physical or mental ability to do basic work

1 activities. 20 C.F.R. §§ 404.1521(a), 416.921(a).² Basic work activities include
2 walking, standing, sitting, lifting, pushing, pulling, reaching, carrying, or handling;
3 seeing, hearing, and speaking; understanding, carrying out and remembering
4 simple instructions; responding appropriately to supervision, coworkers and usual
5 work situations; and dealing with changes in a routine work setting. 20 C.F.R. §§
6 404.1521(b), 416.1521(b); S.S.R. 85-28.

7 Further, even where non-severe impairments exist, these impairments must
8 be considered in combination at step two to determine if, together, they have more
9 than a minimal effect on a claimant's ability to perform work activities. 20 C.F.R.
10 §§ 404.1523, 416.923. If impairments in combination have a significant effect on
11 a claimant's ability to do basic work activities, they must be considered throughout
12 the sequential evaluation process. *Id.*

13 The ALJ noted that Plaintiff had reported a history of left ankle surgery to
14 medical personnel and testified to having pain and swelling in his left ankle,
15 needing to elevate his ankle most days, and being unable to walk when the ankle
16 swells. Tr. 16. The ALJ further noted that during an examination in March 2013,
17 Plaintiff was observed to have swelling in his ankle. Tr. 16 (citing Tr. 367).

18
19 ²The Supreme Court upheld the validity of the Commissioner's severity regulation,
20 as clarified in S.S.R. 85-28, in *Bowen v. Yuckert*, 482 U.S. 137, 153-54 (1987).

1 The ALJ found that Plaintiff did not establish that his ankle impairment was
2 severe. First, the ALJ noted that a single examination does not establish a chronic
3 impairment. To be found disabled, a claimant must be unable to engage in any
4 substantial gainful activity due to an impairment which “can be expected to result
5 in death or which has lasted or can be expected to last for a continuous period of
6 not less than 12 months.” 42 U.S.C. § 423(d)(1)(A); see also Chaudhry v. Astrue,
7 688 F.3d 661, 672 (9th Cir. 2012). Here, the ALJ reasonably determined that this
8 single examination did not establish a chronic impairment that meets the durational
9 requirement for a finding of disability.

10 Next, the ALJ noted that Plaintiff sought minimal treatment for his ankle
11 over the course of years, indicating it likely caused no more than minimal
12 limitations. Tr. 16. The record supports the ALJ’s conclusion. Plaintiff’s medical
13 records indicate that Dr. Ross treated Plaintiff on six occasions, and Plaintiff
14 complained of ankle pain on only one of those occasions. See Tr. 340 (Sept. 18,
15 2012 initial visit: no discussion of ankle pain or observation of ankle
16 swelling/abnormality); Tr. 341 (Sept. 25, 2012 office visit: no discussion of ankle

1 pain or observation of ankle swelling/abnormality); Tr. 345 (Dec. 4, 2012: same);
2 Tr. 345-55, 366 (Jan. 8, 2013: same); Tr. 348-49, 366 (Jan. 24, 2013: same).³

3 Plaintiff counters that he had significant periods of homelessness and the
4 ALJ erred in criticizing Plaintiff's inability to seek regular treatment for his ankle.
5 ECF No. 14 at 13. Plaintiff's contention is not supported by the record. Here, the
6 ALJ noted that when Plaintiff did seek treatment (notably both before and after the
7 report of the swollen ankle), he did not complain of ankle pain and he did not
8 exhibit problems with ambulation. Tr. 16 (citing, e.g., Tr. 451) (In February 2014,
9 treatment provider observed "mobility and gait appeared to be normal, with no
10 evidence of hampered movement or pain"); see also Tr. 324 (July 17, 2012
11 "normal gait and posture"). Significantly, in addition to the visits to Dr. Ross,
12 Plaintiff sought treatment for a variety of other ailments and did not complain of
13 ankle pain. See, e.g., Tr. 323-24 (July 17, 2012 office visit: complained of
14 testicular and back pain, no mention of ankle pain); Tr. 325-26 (July 20, 2012
15 office visit: complained of testicular pain; mention of prior ankle surgery, no
16 mention of ankle pain); Tr. 327-28 (July 23, 2012 office visit: complained of
17 testicular pain, no mention of ankle pain); Tr. 328 (July 24, 2012 office visit:

18 _____
19 ³The only instance Plaintiff mentioned ankle pain to Dr. Ross was the same date
20 that he asked Dr. Ross to fill out disability paperwork. See Tr. 367-68.

1 complained of hand pain, no mention of ankle pain); Tr. 331 (July 27, 2012 office
2 visit: complained of testicular pain, no mention of ankle pain); Tr. 338 (Aug. 30,
3 2012 office visit: complained of testicular/back pain, no mention of ankle pain);
4 Tr. 339 (Sept. 4, 2012: complained of testicular pain, no mention of ankle pain).
5 Given this record, the ALJ reasonably determined that Plaintiff's failure to seek
6 treatment for an ankle impairment indicated it caused no more than minimal
7 limitations. Here, the ALJ reasonably determined that the ankle impairment is not
8 severe.

9 Even if the ALJ should have determined that the ankle condition is a severe
10 impairment, any error would be harmless because the step was resolved in
11 Plaintiff's favor. See *Stout v. Comm'r of Soc. Sec. Admin.*, 454 F.3d 1050, 1055
12 (9th Cir. 2006); *Burch v. Barnhart*, 400 F.3d 676, 682 (9th Cir. 2005). Plaintiff
13 makes no showing that any of the conditions mentioned creates limitations not
14 already accounted for in the RFC and otherwise fails to develop the argument.⁴
15 See *Carmickle v. Comm'r of Soc. Sec. Admin.*, 533 F.3d 1155, 1161 n.2 (9th Cir.

16
17 _____
18 ⁴ Moreover, as Defendant noted, Dr. Ross opined that Plaintiff's need to lie down
19 could be accommodated during an eight hour period with two fifteen minute and
20 an hour-long break, which is consistent with a normal work schedule. Tr. 364.

1 2008) (determining issue not argued with specificity may not be considered by the
2 Court). Thus, the ALJ's step two finding is legally sufficient.

3 **C. Medical Opinion Evidence**

4 Plaintiff contends that the ALJ improperly rejected the medical opinion of
5 Tom Ross, M.D. regarding physical limitations and the medical opinions of Julie
6 Rickard, Ph.D.; Terra Rae, Psy.D.; and John Arnold, Ph.D. regarding mental
7 limitations. ECF No. 14 at 13-16.

8 There are three types of physicians: "(1) those who treat the claimant
9 (treating physicians); (2) those who examine but do not treat the claimant
10 (examining physicians); and (3) those who neither examine nor treat the claimant
11 but who review the claimant's file (nonexamining or reviewing physicians)."
12 *Holohan v. Massanari*, 246 F.3d 1195, 1201-02 (9th Cir. 2001) (brackets omitted).
13 "Generally, a treating physician's opinion carries more weight than an examining
14 physician's, and an examining physician's opinion carries more weight than a
15 reviewing physician's." *Id.* "In addition, the regulations give more weight to
16 opinions that are explained than to those that are not, and to the opinions of
17 specialists concerning matters relating to their specialty over that of
18 nonspecialists." *Id.* (citations omitted).

19 If a treating or examining physician's opinion is uncontradicted, an ALJ may
20 reject it only by offering "clear and convincing reasons that are supported by

1 substantial evidence.” *Bayliss v. Barnhart*, 427 F.3d 1211, 1216 (9th Cir. 2005).
2 “However, the ALJ need not accept the opinion of any physician, including a
3 treating physician, if that opinion is brief, conclusory and inadequately supported
4 by clinical findings.” *Bray v. Comm’r of Soc. Sec. Admin.*, 554 F.3d 1219, 1228
5 (9th Cir. 2009) (internal quotation marks and brackets omitted). “If a treating or
6 examining doctor’s opinion is contradicted by another doctor’s opinion, an ALJ
7 may only reject it by providing specific and legitimate reasons that are supported
8 by substantial evidence.” *Bayliss*, 427 F.3d at 1216 (citing *Lester v. Chater*, 81
9 F.3d 821, 830-31 (9th Cir. 1995)).

10 1. Dr. Ross

11 Dr. Ross completed a medical report in March 2013 and diagnosed Plaintiff
12 with left ankle tendinopathy, low back pain, and bilateral carpal tunnel syndrome.
13 Tr. 21, 364-65. Dr. Ross opined that Plaintiff was not likely to return to work,
14 would need to lie down and to elevate his legs during the day; and would miss four
15 or more days of work per month. Tr. 365. The ALJ gave Dr. Ross’s opinion little
16 weight. Tr. 28.

17 Because Dr. Ross’s opinion was contradicted by Dr. Koukol, Tr. 91-92, the
18 ALJ was required to give specific and legitimate reasons supported by substantial
19 evidence for giving it little weight. See *Flaten v. Sec’y of Health and Human*
20 *Serv.*, 44 F.3d 1453, 1463 (9th Cir. 1995) (when there is conflicting medical

1 evidence, the Secretary need only set forth “specific, legitimate reasons”
2 constituting substantial evidence for disregarding a treating [or examining]
3 physician’s opinion) (internal quotation and citations omitted).

4 First, the ALJ discredited Dr. Ross’s opinion because Dr. Ross observed
5 swelling in Plaintiff’s ankle on one occasion, which does not establish a chronic
6 impairment and is not consistent with disabling limitations. Tr. 28. To be found
7 disabled, a claimant must be unable to engage in any substantial gainful activity
8 due to an impairment which “can be expected to result in death or which has lasted
9 or can be expected to last for a continuous period of not less than 12 months.” 42
10 U.S.C. § 423(d)(1)(A); see also Chaudhry, 688 F.3d at 672. Here, the ALJ
11 reasonably determined that this single examination did not establish a chronic
12 impairment that meets the durational requirement for a finding of disability. As
13 mentioned supra, Plaintiff’s medical records indicate Dr. Ross treated Plaintiff on
14 six occasions, and Plaintiff complained of ankle pain on only one of those
15 occasions. See Tr. 340 (Sept. 18, 2012); Tr. 341 (Sept. 25, 2012); Tr. 345 (Dec. 4,
16 2012); Tr. 345-55, 366 (Jan. 8, 2013); Tr. 348-49, 366 (Jan. 24, 2013).⁵ This was a
17 specific and legitimate reason for rejecting Dr. Ross’s opinion.

18 _____
19 ⁵ Of the six office visits to Dr. Ross, the only time Plaintiff mentioned ankle pain
20 was the same date that he asked Dr. Ross to fill out disability paperwork. See Tr.

1 Dr. Ross's assessed limitations were based in part on Plaintiff's allegations
2 of back pain. Tr. 364-65. As Defendant notes, the ALJ found that Plaintiff's back
3 pain was not a severe impairment, Tr. 16, which Plaintiff did not challenge.

4 Second, the ALJ found that Dr. Ross's opinion was inconsistent with the
5 medical record. Tr. 28. An ALJ may discredit a physician's opinions that are
6 unsupported by the record as a whole or by objective medical findings. *Batson v.*
7 *Comm'r of Soc. Sec. Admin.*, 359 F.3d 1190, 1195 (9th Cir. 2004). Specifically,
8 the ALJ found that while Plaintiff is alleging years of incapacitating physical
9 limitations, he has only minimal visits for his allegedly disabling medical
10 conditions in the record. Tr. 28. The ALJ accurately concluded that the record is

11 _____
12 367-68. In addition, Plaintiff saw other providers at the same medical facility and
13 did not complain of ankle pain. See Tr. 323-24 (July 17, 2012 office visit:
14 complained of testicular and back pain, no mention of ankle pain, "normal gait and
15 posture"); Tr. 325-26 (July 20, 2012 office visit: complained of testicular pain;
16 mention of prior ankle surgery, no mention of ankle pain); Tr. 327-28 (July 23,
17 2012 office visit: complained of testicular pain, no mention of ankle pain); Tr. 328
18 (July 24, 2012 office visit: complained of hand pain); Tr. 331 (July 27, 2012 office
19 visit: testicular pain); Tr. 338 (Aug. 30, 2012 office visit: testicular/back pain); Tr.
20 339 (Sept. 4, 2012: testicular pain).

1 devoid of any medical evidence supporting the allegations of disabling limitations
2 related to an ankle or back impairment. As noted supra, Plaintiff sought treatment
3 for a variety of ailments, but failed to mention ankle pain and infrequently
4 mentioned back pain. As the ALJ noted, there is no objective medical imaging of
5 Plaintiff's ankle or back indicating an impairment. Tr. 28.

6 Moreover, the ALJ noted that Dr. Ross's opinion was contradicted by Dr.
7 Koukol, a state agency medical consultant, who reviewed the records in February
8 2013. Tr. 28. The ALJ credited Dr. Koukol's opinion that Plaintiff could
9 occasionally lift 50 pounds, frequently lift 25 pounds, stand or walk for six hours
10 and sit for six hours in an eight-hour day because it was consistent with the record
11 as a whole. The ALJ noted that electrodiagnostic testing showed only mild
12 findings on the left wrist; and there is no objective medical imaging of Plaintiff's
13 ankle or back indicating an impairment. Tr. 28. Inconsistency with the medical
14 record is another specific and legitimate reason to discredit Dr. Ross's opinion.

15 2. Dr. Rickard

16 In February 2010, Dr. Rickard performed a mental assessment of Plaintiff.
17 Tr. 377-81. In the clinical interview, Plaintiff complained of carpal tunnel
18 syndrome, describing the pain as being so severe that he wanted to cry when he
19 moved his hand. Tr. 377-78. He admitted that he would "take a couple of hits" of
20 THC (marijuana) in the mornings to manage the pain. Tr. 377. Plaintiff expressed

1 frustration that he was unable to obtain prescription pain medication due to his
2 drug addiction, so he has been buying medication on the street. Tr. 377. Plaintiff
3 told Dr. Rickard that when taking medication he could perform light work and
4 function. Tr. 377. From this assessment, Dr. Rickard concluded that “it is unlikely
5 [Plaintiff] will return to work based on issues.” Tr. 377, 428. Dr. Rickard
6 concluded that Plaintiff “lacks insight into drug use,” was a “high risk for misuse
7 of medication,” and she recommended he participate in inpatient drug treatment.
8 Tr. 377-78. The ALJ assigned some weight to Dr. Rickard’s opinion. Tr. 20-21.

9 First, the ALJ noted that Dr. Rickard’s conclusion that Plaintiff was unable
10 to work is not a specific functional limitation. Tr. 21. The regulations provide that
11 a statement by a medical source that a Plaintiff is “unable to work” is not a medical
12 opinion and is not due any special significance. 20 C.F.R. §§ 404.1527 (d),
13 416.927(d). The legal conclusion of disability is reserved exclusively to the
14 Commissioner. See 20 C.F.R. § 404.1527(d)(3) (“We will not give any special
15 significance to the source of an opinion on issues reserved to the
16 Commissioner. . .”); *Aaron v. Astrue*, No. 1:07-cv-1303-SMS, 2008 WL 4502268,
17 at *7 (E.D. Cal. Oct. 7, 2008) (“It is true that the ultimate issue of disability vel non
18 is reserved to the Commissioner because a determination of whether or not a
19 claimant meets the statutory definition of disability is a legal conclusion reserved
20 to the Commissioner”). The court in *Aaron* noted that such a conclusion has value

1 only when the physician supported the conclusion by addressing “the specific
2 extent of a claimant’s exertional capacity, precisely limited the maximum hours to
3 be worked, specified the requirement of elevating the legs for precise periods of
4 time, and set forth specific postural limitations.” *Fabilla v. Astrue*, No. 1:10-cv-
5 2184-AWI-BAM, 2012 WL 3985140, at *4 (E.D. Cal. Sept. 11, 1012) (citing
6 *Aaron*, 2008 WL 4502268, at *7). Here, Dr. Rickard did not provide any opinion
7 regarding Plaintiff’s functional limitations and did not support her opinion that
8 Plaintiff is unlikely to return to work by addressing, for example, the extent of
9 Plaintiff’s exertional capacity, or the existence of any postural or mental
10 limitations. Because Dr. Rickard’s conclusion was unsupported, the ALJ was
11 entitled to reject it. This was a specific, legitimate reason to give limited weight to
12 Dr. Rickard’s opinion.

13 In addition, the ALJ rejected Dr. Rickard’s opinion because she did not
14 explain the basis for the conclusion. Tr. 21. A medical opinion may be rejected by
15 the ALJ if it is conclusory, contains inconsistencies, or is inadequately supported.
16 *Bray*, 554 F.3d at 1228; *Thomas v. Barnhart*, 278 F.3d 947, 957 (9th Cir. 2002).
17 Moreover, a physician’s opinion may be rejected if it is unsupported by the
18 physician’s treatment notes. See *Connett v. Barnhart*, 340 F.3d 871, 875 (9th Cir.
19 2003) (affirming ALJ’s rejection of physician’s opinion as unsupported by
20

1 physician's treatment notes). Here, the ALJ correctly noted that Dr. Rickard
2 offered no basis for the conclusion.

3 Plaintiff argues the ALJ ignored parts of Dr. Rickard's report including
4 Plaintiff's statements about being uncomfortable in groups, swelling in his hands,
5 lacking insight, unable to read and write, suffering from depression and anxiety,
6 and exhibiting poor decision making skills. ECF No. 14 at 14. However, Plaintiff
7 points to Plaintiff's subjective complaints, not objective medical findings made by
8 Dr. Rickard. Tr. 423-8. In short, these disclosures are not part of the objective
9 medical findings. The ALJ provided specific, legitimate reasons for discounting
10 Dr. Rickard's opinion.

11 3. Dr. Rea

12 On June 10, 2010, Dr. Rea performed a "brief psychological evaluation,"
13 diagnosed major depressive disorder, moderate cannabis dependence, in early full
14 remission, amphetamine dependence, in early full remission, and anxiety state
15 NOS. Tr. 382. Dr. Rea concluded that Plaintiff was not able to return to work for
16 three to six months. Tr. 382. The ALJ gave this opinion little weight. Tr. 21.
17 First, the ALJ noted that Dr. Rea's opinion was temporary, making it of little value
18 because it did not inform as to Plaintiff's long-term capabilities. Tr. 21. Next, the
19 ALJ rejected it as conclusory. Tr. 21. Third, the ALJ rejected the opinion because
20

1 it was based primarily on Plaintiff's subjective complaints. Tr. 21. Plaintiff did
2 not contest the ALJ's weighing of this opinion. ECF No. 14 at 14.

3 On June 24, 2010, Dr. Rea assessed Plaintiff and concluded that Plaintiff is
4 unlikely to "return to meaningful work in the near future. He will likely need
5 significant accommodations if/when he does return to work." Tr. 384. The ALJ
6 gave this opinion little weight. Tr. 21.

7 First, the ALJ found that the opinions were vague and ambiguous. Tr. 21.
8 An ALJ may discredit a physician's opinions that are unsupported by the record as
9 a whole or by objective medical findings. *Batson*, 359 F.3d at 1195. Additional
10 factors relevant to evaluating any medical opinion include the amount of relevant
11 evidence that supports the opinion, the quality of the explanation provided in the
12 opinion, and the consistency of the medical opinion with the record as a whole. 20
13 C.F.R. §§ 404.1527(c), 416.927(c); *Lingenfelter v. Astrue*, 504 F.3d 1028, 1042
14 (9th Cir. 2007); *Orn v. Astrue*, 495 F.3d 625, 631 (9th Cir. 2007). Moreover, the
15 regulations provide that a statement by a medical source that a Plaintiff is "unable
16 to work" is not a medical opinion and is not due any special significance. 20
17 C.F.R. §§ 404.1527 (d), 416.927(d). Here, Dr. Rea provided no explanation or
18 support for her conclusion. She provided no functional limitations regarding
19 Plaintiff's work abilities. This was a specific, legitimate reason to reject her
20 conclusions.

1 Next, the ALJ gave Dr. Rea's conclusion limited weight because it made no
2 reference to Plaintiff's substance abuse, making it of less value than other opinions
3 in the record. Tr. 21. The ALJ found Plaintiff was disabled when DAA is
4 included. Tr. 17. This meant the only real question remaining for the ALJ was to
5 determine how Plaintiff functioned without DAA, in order to determine if it was a
6 contributing factor material to disability. The ALJ's determination that Dr. Rea's
7 report does not reflect Plaintiff's limitations without substance abuse is accurate.
8 Tr. 21. Significantly, Plaintiff failed to address this reason provided by the ALJ.
9 Thus, this argument is waived. See Carmickle, 533 F.3d at 1161 n.2 (determining
10 issue not argued with specificity may not be considered by the Court). Here, the
11 ALJ did not err in giving little weight to the opinion.

12 4. Dr. Arnold

13 In June 2013, Dr. Arnold performed a mental evaluation of Plaintiff and
14 opined that Plaintiff has severe limitations in learning new tasks, and has several
15 marked limitations in basic work activities. Tr. 385-87. Dr. Arnold concluded that
16 Plaintiff's impairments did not primarily result from drug or alcohol use and these
17 impairments would persist despite sobriety. Tr. 386. However, Dr. Arnold

1 recommended Plaintiff undergo a substance abuse evaluation and treatment. Tr.
2 386.

3 The ALJ gave little weight to Dr. Arnold's opinion that Plaintiff's
4 impairments would persist without drug and alcohol use. Tr. 23. Because Dr.
5 Arnold's opinion was contradicted by Dr. Toews, Tr. 488-501, the ALJ was
6 required to give specific and legitimate reasons supported by substantial evidence
7 for giving Dr. Arnold's opinion little weight. See *Flaten*, 44 F.3d at 1463.

8 First, the ALJ discredited Dr. Arnold's conclusion regarding the
9 impairments persisting because they were based on Plaintiff's self-reports of total
10 remission of use of methamphetamine and alcohol, little use of marijuana, and
11 denying a history of prescription drugs. Tr. 22. A physician's opinion may be
12 rejected if it is based on a claimant's subjective complaints which were properly
13 discounted. *Tonapetyan v. Halter*, 242 F.3d 1144, 1149 (9th Cir. 2001); *Morgan*,
14 169 F.3d at 602; *Fair v. Bowen*, 885 F.2d 597, 604 (9th Cir. 1989). Here, the ALJ
15 reasonably concluded that Dr. Arnold's opinion regarding Plaintiff's limitations
16 persisting despite substance abuse were based on Plaintiff's self-reports, which
17 were properly discounted. This was a clear and convincing reason to give limited
18 weight to Dr. Arnold's opinion.

19 Next, the ALJ gave Dr. Arnold's opinions less weight because Plaintiff gave
20 inconsistent information regarding the extent of his drug and alcohol use at the

1 evaluation. Tr. 29. The record supports the ALJ's finding. See *Coffman v. Astrue*,
2 469 Fed. App'x 609, 611 (9th Cir. 2012) (affirming ALJ's rejection of examining
3 psychologist's opinion, in part, due to the fact that "plaintiff periodically
4 concealed" his substance abuse from providers); *Serpa v. Colvin*, No. 11-cv-121-
5 RHW, 2013 WL 4480016, *8 (E.D. Wa., Aug. 19, 2013) (affirming ALJ's
6 rejection of a physician's opinion because it was made without knowledge of the
7 claimant's substance abuse and narcotic-seeking behavior). At the evaluation in
8 June 2013, Plaintiff reported that he had not drank alcohol in years, he used
9 marijuana for his hand pain maybe two to three times a month, but he had mostly
10 quit, he last used methamphetamine approximately a year ago, and he did not
11 disclose any pain medication abuse. Tr. 385. In contrast, as the ALJ noted,
12 Plaintiff previously admitted to buying prescription drugs on the street when his
13 doctor would not prescribe them, and admitted to methamphetamine use at the time
14 of this assessment. Tr. 21-23; compare Tr. 385 ("Px/OTC abuse: Denied"); with
15 Tr. 377 ("he is currently buying medications on the street), Tr. 450 ("drug abuse
16 began with his prescription oxycodone after his surgeries"); see also Tr. 50
17 (testified to methamphetamine use from January to September 2013); Tr. 433
18 (methamphetamine use in late 2013). The ALJ reasonably relied on Plaintiff's lack
19 of candor regarding his substance abuse in giving little weight to Dr. Arnolds'

1 opinion that the limitations would persist absent drug use. This was another
2 specific and legitimate reason to reject the assessed mental limitations.

3 Finally, the ALJ found that Dr. Arnold's opinion was inconsistent with Dr.
4 Toews's opinion, which the ALJ credited. Tr. 23, 29-30. The ALJ credited Dr.
5 Toews over Dr. Arnold because Dr. Toews had the benefits of reviewing the entire
6 medical record and not only the Plaintiff's subjective complaints. Tr. 23, 29-30.
7 Plaintiff did not advance any argument that the ALJ erred in crediting Dr. Toews'
8 opinion over that of Dr. Arnold. See ECF No. 14 at 15-16; ECF No. 17 at 5-7.
9 Thus, any argument is waived. See Carmickle, 533 F.3d at 1161 n.2 (determining
10 issue not argued with specificity may not be considered by the Court). Moreover,
11 the extent to which a medical source is "familiar with the other information in [the
12 claimant's] case record" is relevant in assessing the weight of that source's medical
13 opinion. See 20 C.F.R. §§ 404.1527(c)(6); 416.927(c)(6). This was an appropriate
14 reason for the ALJ to credit Dr. Toews's opinion over Dr. Arnold's opinion.

15 **D. Plaintiff's Symptom Complaints**

16 Plaintiff faults the ALJ for failing to rely on reasons that were clear and
17 convincing in discrediting his symptom claims. ECF No. 14 at 16-19.

18 An ALJ engages in a two-step analysis to determine whether a claimant's
19 testimony regarding subjective pain or symptoms is credible. "First, the ALJ must
20 determine whether there is objective medical evidence of an underlying

1 impairment which could reasonably be expected to produce the pain or other
2 symptoms alleged.” *Molina*, 674 F.3d at 1112 (internal quotation marks omitted).
3 “The claimant is not required to show that [his] impairment could reasonably be
4 expected to cause the severity of the symptom [he] has alleged; [he] need only
5 show that it could reasonably have caused some degree of the symptom.” *Vasquez*
6 *v. Astrue*, 572 F.3d 586, 591 (9th Cir. 2009) (internal quotation marks omitted).

7 Second, “[i]f the claimant meets the first test and there is no evidence of
8 malingering, the ALJ can only reject the claimant’s testimony about the severity of
9 the symptoms if [the ALJ] gives ‘specific, clear and convincing reasons’ for the
10 rejection.” *Ghanim v. Colvin*, 763 F.3d 1154, 1163 (9th Cir. 2014) (internal
11 citations and quotations omitted). “General findings are insufficient; rather, the
12 ALJ must identify what testimony is not credible and what evidence undermines
13 the claimant’s complaints.” *Id.* (quoting *Lester*, 81 F.3d at 834); see also *Thomas*,
14 278 F.3d at 958 (“[T]he ALJ must make a credibility determination with findings
15 sufficiently specific to permit the court to conclude that the ALJ did not arbitrarily
16 discredit claimant’s testimony.”). “The clear and convincing [evidence] standard
17 is the most demanding required in Social Security cases.” *Garrison v. Colvin*, 759
18 F.3d 995, 1015 (9th Cir. 2014) (quoting *Moore v. Comm’r of Soc. Sec. Admin.*, 278
19 F.3d 920, 924 (9th Cir. 2002)).

1 In making an adverse credibility determination, the ALJ may consider, inter
2 alia, (1) the claimant's reputation for truthfulness; (2) inconsistencies in the
3 claimant's testimony or between his testimony and his conduct; (3) the claimant's
4 daily living activities; (4) the claimant's work record; and (5) testimony from
5 physicians or third parties concerning the nature, severity, and effect of the
6 claimant's condition. Thomas, 278 F.3d at 958-59.

7 This Court finds that the ALJ provided specific, clear, and convincing
8 reasons for finding Plaintiff's statements concerning the intensity, persistence, and
9 limiting effects of his symptoms not credible to the extent they are inconsistent
10 with the RFC assessment. Tr. 26.

11 1. Inconsistent Statements and Exaggeration

12 In support of the credibility finding, the ALJ identified inconsistencies
13 between Plaintiff's testimony and statements he made in the medical record. Tr.
14 16. In evaluating the credibility of symptom testimony, the ALJ may utilize
15 ordinary techniques of credibility evaluation, including prior inconsistent
16 statements. See Smolen v. Chater, 80 F.3d 1273, 1284 (9th Cir. 1996). For
17 example, the ALJ noted that Plaintiff testified that due to his back pain, sitting for
18 even an hour would "kill" him. Tr. 16. The ALJ noted this statement contradicted
19 his prior statement to a medical provider where he reported low back pain which
20

1 bothered him “from time to time when he is very active or on his feet a lot.” Tr. 16
2 (citing Tr. 345).

3 In addition, the ALJ found that Plaintiff gave inconsistent statements
4 regarding his drug use and history, which undermined his credibility. Tr. 19.
5 Conflicting or inconsistent reporting of alcohol or drug use can contribute to an
6 adverse credibility finding. See *Thomas*, 278 F.3d at 959; *Verduzco v. Apfel*, 188
7 F.3d 1087, 1090 (9th Cir. 1999). For example, the ALJ noted that in December
8 2012, Plaintiff admitted that methamphetamine caused him to avoid being around
9 important people in his life, and he admitted to using a gram daily up until
10 December 2012. Tr. 19 (citing Tr. 307, 315). The ALJ found that these statements
11 were inconsistent with his hearing testimony, in which he stated that prior to going
12 to rehab (which occurred in January 2013), he had used methamphetamine only on
13 and off. Tr. 19 (referring to Tr. 50-51). Moreover, the ALJ noted these statements
14 were inconsistent with Plaintiff’s prior denials to treatment providers regarding
15 drug use. Tr. 19; see also Tr. 287 (Sept. 2012: told treatment provider he is not
16 using any illegal drugs except occasional marijuana); Tr. 323 (July 2012: told
17 treatment provider he uses meth and marijuana); Tr. 335 (Aug 2012: told treatment
18 provider he quit using methamphetamine in 2011).

19 As another example, the ALJ noted that a June 2013 evaluation, Plaintiff
20 told Dr. Arnold that he was in total remission of methamphetamine use (had not

1 used in a year) and had no history of abusing prescription drugs. Tr. 22 (citing Tr.
2 385). As the ALJ identified, Plaintiff admitted to another provider that he bought
3 pain medication on the street when his provider refused to prescribe it due to his
4 addiction history. Tr. 23 (citing Tr. 377). Moreover, his statement about not
5 having used methamphetamine for a year was inconsistent with his December 2012
6 statement that he was using a gram daily. The ALJ reasonably considered
7 Plaintiff's inconsistent statements regarding his drug use in assessing his
8 credibility.

9 Moreover, the ALJ found Plaintiff less than credible due to instances where
10 Plaintiff exaggerated his symptoms. Tr. 26. The tendency to exaggerate provided
11 a permissible reason for discounting Plaintiff's credibility. See *Tonapetyan*, 242
12 F.3d at 1148 (the ALJ appropriately considered Plaintiff's tendency to exaggerate
13 when assessing Plaintiff's credibility, which was shown in a doctor's observation
14 that Plaintiff was uncooperative during cognitive testing but was "much better"
15 when giving reasons for being unable to work.). The ALJ noted several examples
16 related to Plaintiff's complaints of hand pain. For example, the ALJ noted that
17 Plaintiff reported pain in his wrist on (Tr. 26, 257 ("exquisite tenderness")), but
18 electodiagnostic testing the following day, showed normal results. Tr. 262. As
19 another example, Dr. Thorson examined Plaintiff related to his carpal tunnel
20 symptom and noted no "objective evidence" of carpal tunnel, but did note "chronic

1 pain behavior,” “erratic” test results, and “fairly marked give way weakness.” Tr.
2 26 (citing 270). This was another clear and convincing reason to find Plaintiff’s
3 symptom complaints less than credible.

4 2. Crime of Dishonesty

5 The ALJ found that Plaintiff’s convictions for crimes of dishonesty further
6 undermined the credibility of his statements. Tr. 27. It was proper for the ALJ to
7 consider this factor in making the adverse credibility determination. See *Bunnell v.*
8 *Sullivan*, 947 F.2d 341, 346 (9th Cir. 1991); see also *Hardisty v. Astrue*, 592 F.3d
9 1072, 1080 (9th Cir. 2010) (relying in part on a prior conviction when assessing
10 credibility has a reasonable basis in law); *Albidrez v. Astrue*, 504 F.Supp.2d 814,
11 822 (C.D. Cal. 2007) (convictions for crimes of moral turpitude are proper basis
12 for adverse credibility finding). The record indicates that Plaintiff was convicted
13 of breaking and entering and theft of a firearm in 2012 and shoplifting. Tr. 312,
14 385. Plaintiff’s contends that his crimes of stealing food while homeless should
15 not be used to discount his testimony. ECF No. 14 at 19. Even if the Court
16 concurred, that argument does not address his prior conviction for theft of a
17 firearm. Here, the ALJ reasonably considered Plaintiff’s criminal history in
18 assessing his credibility.

1 3. Objective Medical Evidence

2 Next, the ALJ concluded that the medical evidence did not support the
3 severity of symptoms Plaintiff alleged. Tr. 16-17, 26-27. An ALJ may not
4 discredit a claimant's pain testimony and deny benefits solely because the degree
5 of pain alleged is not supported by objective medical evidence. Rollins v.
6 Massanari, 261 F.3d 853, 857 (9th Cir. 2001); Bunnell, 947 F.2d at 346-47; Fair,
7 885 F.2d at 601. However, the medical evidence is a relevant factor in determining
8 the severity of a claimant's pain and its disabling effects. Rollins, 261 F.3d at 857;
9 20 C.F.R. §§ 404.1529(c)(2). Minimal objective evidence is a factor which may be
10 relied upon in discrediting a claimant's testimony, although it may not be the only
11 factor. See Burch v. Barnhart, 400 F.3d 676, 680 (9th Cir. 2005). Here, the ALJ
12 set out, in great detail, the medical evidence contradicting Plaintiff's claims of
13 disabling physical and mental limitations; ultimately concluding that Plaintiff was
14 able to function in accordance with the assessed RFC. Tr. 26.

15 First, the ALJ found that Plaintiff's complaints regarding his back pain were
16 inconsistent with the medical evidence showing little if any abnormalities related
17 to his ack. Tr. 16-17. Plaintiff testified that due to back pain, sitting for even an
18 hour would "kill" him. Tr. 16. The ALJ found that Plaintiff symptom complaints
19 regarding his back were inconsistent with the examination findings. Tr. 17 (citing
20

1 Tr. 324 (July 2012: no CVA tenderness, normal gait and posture); Tr. 266 (April
2 2012: normal range of motion, no edema, no tenderness, normal
3 strength/coordination); Tr. 346 (Jan. 2013: low back pain which is not significant
4 for disk problems, negative straight leg raising and normal neurologic exam); Tr.
5 348 (Jan. 2014: despite back pain complaints, treatment provider found “[h]is back
6 is really not remarkable. He has good range of motion, good movement, good
7 flexion,” recommending over the counter medication for pain and exercise and
8 weight loss); Tr. 367 (March 2013: despite back tenderness, treatment provider
9 found “[e]xamination of low back shows a fairly good range of motion,” except he
10 could not flex well due to being overweight in the belly; negative straight leg test,
11 and neurologic screening normal)). The ALJ reasonably determined that Plaintiff’s
12 complaints of back pain are inconsistent with the medical records, which
13 undermined his credibility.

14 Next, the ALJ found that Plaintiff was not fully credible in his subjective
15 complaints about his hand impairments. Tr. 26-27. As an example, the ALJ noted
16 that when Dr. Thorson evaluated Plaintiff for a surgical consultation, Dr. Thorson
17 noted that the exam results were erratic and difficult to interpret and Plaintiff had
18 “marked give way weakness.” Tr. 26 (citing Tr. 367). Dr. Thorson observed that
19 he did not see any objective evidence of either trigger digits or carpal tunnel
20 present to warrant surgical treatment and would relieve symptoms and concluded

1 that Plaintiff's "marked complaints of pain, numbness, and loss of function are not
2 clearly borne out by objective findings on either clinical exam and nerve
3 conduction studies." Tr. 26, 270. As another example, the ALJ noted that in
4 March 2013, Plaintiff presented to his family doctor that he had significant
5 weakness in both hands, reported that it hurt when he squeezed his hands, and he
6 had restrictions when straightening his fingers out completely. Tr. 27 (citing Tr.
7 367). The physician noted "I cannot really evaluate as to whether that is real or
8 not." Tr. 367. The provider made no observations or clinical findings to support
9 Plaintiff's claims of pain. Tr. 367. The ALJ's conclusion that the medical record
10 does not support the severity of Plaintiff's allegations is supported by the record.
11 The Court concludes that the ALJ provided clear and convincing reasons,
12 supported by substantial evidence to find Plaintiff less than credible.

13 **E. Step Five**

14 Plaintiff contends the ALJ's step four and step five findings regarding
15 Plaintiff's ability to perform work are not supported by substantial evidence
16 because the testimony from the vocational expert was based on an improper
17 hypothetical. ECF No. 14 at 19. The ALJ's hypothetical must be based on
18 medical assumptions supported by substantial evidence in the record that reflects
19 all of the claimant's limitations. *Osenbrook v. Apfel*, 240 F.3d 1157, 1165 (9th Cir.
20 2001). The hypothetical should be "accurate, detailed, and supported by the

1 medical record.” Tackett, 180 F.3d at 1101. The ALJ is not bound to accept as
2 true the restrictions presented in a hypothetical question propounded by a
3 claimant’s counsel. Magallanes v. Bowen, 881 F.2d 747, 756-57 (9th Cir. 1989);
4 Martinez v. Heckler, 807 F.2d 771, 773 (9th Cir. 1986). The ALJ is free to accept
5 or reject these restrictions as long as they are supported by substantial evidence,
6 even when there is conflicting medical evidence. Id. Plaintiff’s argument assumes
7 the ALJ erred in evaluating the medical evidence. ECF No. 14 at 19-20. For
8 reasons discussed throughout this decision, the ALJ’s hypothetical to the
9 vocational expert was based on the evidence and reasonably reflects Plaintiff’s
10 limitations. Thus, the ALJ’s findings are supported by substantial evidence and are
11 legally sufficient.

12 CONCLUSION

13 Having reviewed the record and the ALJ’s findings, the Court concludes the
14 ALJ’s decision is supported by substantial evidence and free of harmful legal error.

15 IT IS ORDERED:

- 16 1. Plaintiff’s motion for summary judgment (ECF No. 14) is **DENIED**.
- 17 2. Defendant’s motion for summary judgment (ECF No. 16) is **GRANTED**.

1 3. The District Court Executive is directed to file this Order, enter
2 **JUDGMENT FOR DEFENDANT**, provide copies to counsel, and **CLOSE** the
3 file.

4 DATED this September 30, 2017.

5 s/Mary K. Dimke
6 MARY K. DIMKE
7 UNITED STATES MAGISTRATE JUDGE