

FILED IN THE  
U.S. DISTRICT COURT  
EASTERN DISTRICT OF WASHINGTON

Jul 29, 2021

SEAN F. McAVOY, CLERK

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF WASHINGTON

VINCENT J.,

Plaintiff,

v.

KILOLO KIJAKAZI, ACTING  
COMMISSIONER OF SOCIAL  
SECURITY,<sup>1</sup>

Defendant.

No. 2:20-CV-0227-JTR

ORDER GRANTING DEFENDANT'S  
MOTION FOR SUMMARY JUDGMENT

**BEFORE THE COURT** are cross-motions for summary judgment. ECF No. 21, 22. Attorney Chad Hatfield represents Vincent J. (Plaintiff); Special Assistant United States Attorney Sarah L. Martin represents the Commissioner of Social Security (Defendant). The parties have consented to proceed before a magistrate judge. ECF No. 6. After reviewing the administrative record and the briefs filed by the parties, the Court **GRANTS** Defendant's Motion for Summary Judgment and **DENIES** Plaintiff's Motion for Summary Judgment.

**JURISDICTION**

Plaintiff filed applications for Supplemental Security Income and Disability Insurance Benefits in July 2017, alleging disability since October 31, 2016, due to

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<sup>1</sup>Kilolo Kijakazi became the Acting Commissioner of Social Security on July 9, 2021. Pursuant to Rule 25(d) of the Federal Rules of Civil Procedure, Kilolo Kijakazi is substituted for Andrew M. Saul as the defendant in this suit. No further action need be taken to continue this suit. *See* 42 U.S.C. § 405(g).

1 “Scoliosis, Back Pain, Gastro Issues, [and] Insomnia.” Tr. 200, 204, 236. The  
2 applications were denied initially and upon reconsideration. Administrative Law  
3 Judge (ALJ) Stewart Stallings held a hearing on February 8, 2019, Tr. 30-64, and  
4 issued an unfavorable decision on April 3, 2019, Tr. 15-25. The Appeals Council  
5 denied Plaintiff’s request for review on April 29, 2020. Tr. 1-6. The ALJ’s April  
6 2019 decision thus became the final decision of the Commissioner, which is  
7 appealable to the district court pursuant to 42 U.S.C. § 405(g). Plaintiff filed this  
8 action for judicial review on June 18, 2020. ECF No. 1.

9 **STATEMENT OF FACTS**

10 Plaintiff was born on October 24, 1970, Tr. 203, and was 46 years old on the  
11 alleged disability onset date, October 31, 2016. Tr. 200. He completed high  
12 school in 1990 and earned a certification in welding. Tr. 36, 237. He has past  
13 work in welding and metal fabrication, in construction, and as a nurse assistant.  
14 Tr. 38, 55-56, 237.

15 Plaintiff’s disability report indicates he stopped working on June 1, 2015  
16 because of his conditions. Tr. 236. Plaintiff testified at the administrative hearing  
17 that he last worked in 2016 and stopped working because of upper back pain that  
18 eventually travelled to his lower back. Tr. 37-39, 40. He stated the pain radiated  
19 to his right leg and he had numbness/tingling in both hands and his fingers. Tr. 39-  
20 40. When he experiences the numbness/tingling sensation in his hands, he does  
21 not want to touch anything. Tr. 47-48. Plaintiff indicated he also has irritable  
22 bowel syndrome and there are days (at least three times a week) when he needs to  
23 constantly use the bathroom. Tr. 49.

24 Plaintiff testified he has good days and bad days. Tr. 41. On a good day, he  
25 is able to sit for a couple of hours and then must either lie down or walk around.  
26 Tr. 42. He will walk for about ten minutes or lie down for about an hour and will  
27 then be able to sit for another two hours. Tr. 42-43. On a bad day, he hurts and  
28 does not want to move. Tr. 43. He stated there are days when he does not leave

1 the couch, Tr. 43, and will lie down for eight hours or more, Tr. 45. He is not able  
2 to concentrate and is irritable on bad days. Tr. 47. He indicated he has bad days  
3 three to four times a week. Tr. 45.

4 Plaintiff testified he was discharged from physical therapy because on “bad  
5 days,” when his back was hurting and/or he was having issues with his stomach, he  
6 was not able to make it to appointments. Tr. 50-52. Since he had not completed  
7 physical therapy, his insurance had not approved an MRI for his back. Tr. 52.

8 Plaintiff indicated he took muscle relaxers and a nerve medication for his  
9 pain but did not want to take pain pills. Tr. 45-46. His medications caused him to  
10 be tired. Tr. 46.

### 11 STANDARD OF REVIEW

12 The ALJ is responsible for determining credibility, resolving conflicts in  
13 medical testimony, and resolving ambiguities. *Andrews v. Shalala*, 53 F.3d 1035,  
14 1039 (9th Cir. 1995). The ALJ’s determinations of law are reviewed *de novo*, with  
15 deference to a reasonable interpretation of the applicable statutes. *McNatt v. Apfel*,  
16 201 F.3d 1084, 1087 (9th Cir. 2000). The decision of the ALJ may be reversed  
17 only if it is not supported by substantial evidence or if it is based on legal error.  
18 *Tackett v. Apfel*, 180 F.3d 1094, 1097 (9th Cir. 1999). Substantial evidence is  
19 defined as being more than a mere scintilla, but less than a preponderance. *Id.* at  
20 1098. Put another way, substantial evidence is such relevant evidence as a  
21 reasonable mind might accept as adequate to support a conclusion. *Richardson v.*  
22 *Perales*, 402 U.S. 389, 401 (1971). If the evidence is susceptible to more than one  
23 rational interpretation, the Court may not substitute its judgment for that of the  
24 ALJ. *Tackett*, 180 F.3d at 1097; *Morgan v. Commissioner of Social Sec. Admin.*,  
25 169 F.3d 595, 599 (9th Cir. 1999). If substantial evidence supports the  
26 administrative findings, or if conflicting evidence supports a finding of either  
27 disability or non-disability, the ALJ’s determination is conclusive. *Sprague v.*  
28 *Bowen*, 812 F.2d 1226, 1229-1230 (9th Cir. 1987). Nevertheless, a decision

1 supported by substantial evidence will be set aside if the proper legal standards  
2 were not applied in weighing the evidence and making the decision. *Browner v.*  
3 *Secretary of Health and Human Services*, 839 F.2d 432, 433 (9th Cir. 1988).

#### 4 **SEQUENTIAL EVALUATION PROCESS**

5 The Commissioner has established a five-step sequential evaluation process  
6 for determining whether a person is disabled. 20 C.F.R. § 416.920(a); *Bowen v.*  
7 *Yuckert*, 482 U.S. 137, 140-142 (1987). In steps one through four, the claimant has  
8 the burden of establishing a prima facie case of disability by showing that severe  
9 impairments prevent the performance of past relevant work. *Tackett*, 180 F.3d at  
10 1098-1099. Once the claimant establishes a prima facie case, the ALJ proceeds to  
11 step five, and the burden shifts to the Commissioner to show (1) the claimant can  
12 make an adjustment to other work and (2) the claimant can perform specific jobs  
13 that exist in the national economy. *Batson v. Comm'r of Soc. Sec. Admin.*, 359  
14 F.3d 1190, 1193-1194 (9th Cir. 2004). If a claimant cannot make an adjustment to  
15 other work in the national economy, the claimant will be found disabled.

#### 16 **ADMINISTRATIVE DECISION**

17 On April 3, 2019, the ALJ issued a decision finding Plaintiff was not  
18 disabled as defined in the Social Security Act.

19 At step one, the ALJ found Plaintiff had not engaged in substantial gainful  
20 activity since October 31, 2016, the alleged onset date. Tr. 17.

21 At step two, the ALJ determined Plaintiff had the following severe  
22 impairments: scoliosis, facet spondylosis, degenerative disc disease of the spine,  
23 and obesity. Tr. 18.

24 At step three, the ALJ found Plaintiff did not have an impairment or  
25 combination of impairments that meets or medically equals the severity of one of  
26 the listed impairments. Tr. 19.

27 The ALJ assessed Plaintiff's Residual Functional Capacity (RFC) and found  
28 Plaintiff could perform a limited range of light exertion level work with the

1 following limitations: he can lift and carry a maximum of 10 pounds frequently  
2 and a maximum of 20 pounds occasionally; he can sit for a total of six hours in an  
3 eight-hour workday with normal breaks and stand and walk for a total of six hours  
4 in an eight-hour workday with normal breaks, but would need to alternate between  
5 sitting and standing at 30-minute intervals; he can never climb ladders, ropes or  
6 scaffolds, kneel, or crawl; he can rarely crouch; he can occasionally climb ramps  
7 and stairs and stoop; he can frequently handle and finger bilaterally; and he can  
8 never have exposure to moving machinery or unprotected heights. Tr. 20.

9 At step four, the ALJ found Plaintiff was not able to perform his past  
10 relevant work. Tr. 23.

11 At step five, the ALJ determined that, based on the testimony of the  
12 vocational expert, and considering Plaintiff's age, education, work experience, and  
13 RFC, Plaintiff was capable of making a successful adjustment to other work that  
14 exists in significant numbers in the national economy, including the jobs of office  
15 helper, electronic worker and marker. Tr. 23-24.

16 The ALJ thus concluded Plaintiff was not under a disability within the  
17 meaning of the Social Security Act at any time from October 31, 2016, the alleged  
18 onset date, through the date of the ALJ's decision, April 3, 2019. Tr. 24-25.

### 19 ISSUES

20 The question presented is whether substantial evidence supports the ALJ's  
21 decision denying benefits and, if so, whether that decision is based on proper legal  
22 standards.

23 Plaintiff raised the following issues for review: (1) Did the ALJ err in  
24 rejecting severe impairments as groundless at step two; (2) Did the ALJ err by  
25 failing to conduct an adequate analysis at step three, failing to meet his duty to  
26 develop the record, and failing to find Plaintiff disabled as meeting or equaling a  
27 Listing; (3) Did the ALJ err in rejecting Plaintiff's subjective complaints; and  
28 (4) Did the ALJ err in failing to meet his burden at step five? ECF No. 21 at 8-9.

## DISCUSSION

### A. Step Two

Plaintiff contends the ALJ erred at step two of the sequential evaluation process by not finding severe the following impairments: (1) lumbar radiculopathy, with involvement of the right L5 dermatome, and X-ray showing degenerative disc disease at L5-S1; (2) bilateral upper extremity radiculopathy; and (3) postural dysfunction with superior T4 syndrome, positive thoracic compression test, decreased thoracic mobility, and triceps and serratus weakness. ECF No. 21 at 11-12; ECF No. 23 at 2. Defendant responds that Plaintiff has not shown the ALJ failed to consider any impairment and the step two finding is supported. ECF No. 22 at 2-3.

Plaintiff has the burden of proving he has a severe impairment at step two of the sequential evaluation process. 42 U.S.C. § 423(d)(1)(A); 20 C.F.R. § 423(d)(1)(A), 416.912. In order to meet this burden, Plaintiff must furnish medical and other evidence that shows he has a severe impairment. 20 C.F.R. § 416.912(a). Step two is “a de minimis screening device [used] to dispose of groundless claims,” *Smolen v. Chater*, 80 F.3d 1273, 1290 (9th Cir. 1996), and an ALJ may find a claimant lacks a medically severe impairment or combination of impairments at step two when this conclusion is “clearly established by medical evidence.” *Webb v. Barnhart*, 433 F.3d 683, 686-687 (9th Cir. 2005). Applying the normal standard of review to the requirements of step two, the Court must determine whether substantial evidence supported the ALJ’s determination that medical evidence clearly established Plaintiff did not have a severe impairment. *Yuckert v. Bowen*, 841 F.2d 303, 306 (9th Cir. 1988); *Webb*, 433 F.3d at 687.

The Court notes that Plaintiff’s disability application did not assert disability from the specific spinal diagnoses noted in his argument or radiculopathy from his back impairment. *See* Tr. 236 (alleging disability due to “Scoliosis, Back Pain, Gastro Issues, [and] Insomnia”). Plaintiff’s briefing states that the medical record

1 establishes he has these various ailments, ECF No. 21 at 11-12; ECF No. 23 at 1-2;  
2 however, “the mere existence of an impairment is insufficient proof of a  
3 disability,” *Matthews v. Shalala*, 10 F.3d 678, 680 (9th Cir. 1993); *Edlund v.*  
4 *Massanari*, 253 F.3d 1152, 1159-1160 (9th Cir. 2001) (a claimant must prove an  
5 impairment affects his ability to perform basic work activities). Moreover, while  
6 Plaintiff asserts he should be limited to occasional handling and fingering as a  
7 result of the medical records he cites in his argument, he fails to establish this  
8 specific functional limitation is present in the record and supported. The Court  
9 finds Plaintiff’s back impairments and symptoms were considered in the decision  
10 and were specifically accounted for in the RFC assessment by limiting Plaintiff to  
11 a limited range of light exertion work with postural and manipulative limitations.  
12 Tr. 20. Any error the ALJ may have made in failing to include any specific spinal  
13 diagnosis at step two was harmless. *See Lewis v. Astrue*, 498 F.3d 909, 911 (9th  
14 Cir. 2007) (ALJ’s failure to include impairment as severe at step two was harmless  
15 error where ALJ considered the limitations posed by the impairment at step four);  
16 *Johnson v. Shalala*, 60 F.3d 1428, 1436 n. 9 (9th Cir. 1995) (an error is harmless  
17 when the correction of that error would not alter the result); *Burch v. Barnhart*, 400  
18 F.3d 676, 679 (9th Cir. 2005) (an ALJ’s decision will not be reversed for errors  
19 that are harmless).

### 20 **B. Step Three**

21 Plaintiff contends the ALJ erred at step three of the sequential evaluation  
22 process by failing to cite or discuss any record evidence, failing to make adequate  
23 findings concerning Listing 1.04, failing to meet his duty to develop the record,  
24 and failing to find Plaintiff disabled as meeting or equaling a Listing. ECF No. 21  
25 at 12-14; ECF No. 23 at 3-5. Defendant asserts Plaintiff failed to meet his burden  
26 of showing his back impairment met or equaled a Listing, there was no ambiguity  
27 or inadequacy that required record development, and the ALJ’s analysis is  
28 supported by substantial evidence. ECF No. 22 at 3-7.



1           **1. Listing 1.04**

2           Plaintiff argues he meets or equals all elements of Listing 1.04A and the  
3 ALJ's step three finding fails to provide any reasoning or record evidence to  
4 support a finding to the contrary. ECF No. 21 at 12-13; ECF No. 23 at 3-5.  
5 Defendant responds that Plaintiff failed to demonstrate his back impairment met or  
6 equaled a listing and the ALJ's analysis was sufficient. ECF No. 22 at 4-6.

7           An ALJ must adequately explain a conclusion that an impairment does not  
8 meet or equal a Listing. The Ninth Circuit has held that in "determining whether a  
9 combination of impairments establishes equivalence" under step three of the  
10 Listings, a mere statement that "[a claimant] did not equal the listing [is]  
11 insufficient." *Murphy v. Commissioner Social Sec. Admin.*, 423 Fed. Appx. 703,  
12 704 (9th Cir. 2011) (citing *Marcia v. Sullivan*, 900 F.2d 172, 176 (9th Cir. 1990))  
13 (holding boilerplate finding is insufficient to conclude impairment does not meet a  
14 Listing). However, the claimant ultimately bears the burden of producing evidence  
15 to establish a Listing's requisite medical findings. *Sullivan v. Zebley*, 493 U.S.  
16 521, 531 (1990); *Bowen v. Yuckert*, 482 U.S. 137, 146 (1987) (noting the burden is  
17 on claimant to establish his impairment is disabling at Step Three); *Burch v.*  
18 *Barnhart*, 400 Fd.3d 676, 683 (9th Cir. 2005).

19           At step three, the ALJ specifically considered Listing 1.04 (Disorders of the  
20 spine) and indicated there was no evidence Plaintiff's musculoskeletal impairments  
21 of the spine had resulted in compromise of a nerve root or the spinal cord with  
22 evidence of nerve root compression accompanied by sensory or reflex loss and  
23 positive sitting and supine straight-leg raising tests. Tr. 19. The ALJ further noted  
24 no physician of record had opined the severity of Plaintiff's impairments of the  
25 spine would be of equal medical significance to any Listing. Tr. 19; *see* Tr. 69-74,  
26 99-104. The ALJ thus concluded the severity of Plaintiff's musculoskeletal  
27 impairments did not meet or equal the criteria of Listing 1.04. Tr. 19.

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1 The evidence of record supports the ALJ’s finding at step three with respect  
2 to Listing 1.04. Listing 1.04A, entitled “Disorders of the spine,” provides, in  
3 relevant part:

4 Disorders of the spine (e.g., herniated nucleus pulposus, spinal arachnoiditis,  
5 spinal stenosis, osteoarthritis, degenerative disc disease, facet arthritis,  
6 vertebral fracture), resulting in compromise of a nerve root (including the  
7 cauda equina) or the spinal cord. With:

8 A. Evidence of nerve root compression characterized by neuro-anatomic  
9 distribution of pain, limitation of motion of the spine, motor loss (atrophy  
10 with associated muscle weakness or muscle weakness) accompanied by  
11 sensory or reflex loss and, if there is involvement of the lower back, positive  
straight-leg raising test (sitting and supine). . . .

12 20 C.F.R. Pt. 404, Subpt. P, App. 1, § 1.04A.

13 As noted by the ALJ, Tr. 21-22, during the relevant time period in this case,  
14 the medical record reflects Plaintiff had normal muscle strength, negative straight  
15 leg raise tests, full range of motion, no tenderness, normal reflexes, and grossly  
16 intact sensation, Tr. 326, 329, 359, 387, 390, 396-397. There has been no showing  
17 that all of the requirements of Listing 1.04 were met during the relevant time  
18 period. Therefore, any assessed error based on the ALJ’s failure to adequately  
19 address Listing 1.04A would be harmless.

20 Because the ALJ’s step three determination is sufficiently supported and, in  
21 any event, the evidence of record does not reflect Plaintiff meets or equals the  
22 requirements of Listing 1.04, the Court concludes the ALJ did not err at step three.

23 **2. Duty to Develop Record**

24 Plaintiff also contends the ALJ erred by failing to meet his duty to develop  
25 the record and a remand is required for further development of the record, imaging  
26 of Plaintiff’s spine, and medical expert testimony to determine whether he meets or  
27 equals Listing 1.04. ECF No. 21 at 14. Defendant asserts Plaintiff has identified

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1 no ambiguities or inadequacy in the evidence to trigger further development of the  
2 record. ECF No. 22 at 6-7.

3 An ALJ's duty to develop the record is triggered only when there is  
4 ambiguous evidence or when the record is inadequate to allow for proper  
5 evaluation of the evidence. *Tonapetyan v. Halter*, 242 F.3d 1144, 1150 (9th Cir.  
6 2001).

7 Plaintiff has failed to identify any specific ambiguities in the record to  
8 trigger the ALJ's duty to develop the record, and the Court finds the record before  
9 the ALJ was neither ambiguous nor inadequate to allow for proper evaluation of  
10 the evidence. The ALJ did not err by failing to further develop the record in this  
11 case.

### 12 **C. Plaintiff's Subjective Complaints**

13 Plaintiff next challenges the ALJ's rejection of Plaintiff's symptom  
14 testimony. ECF No. 21 at 14-20. Defendant responds that the ALJ reasonably  
15 found Plaintiff's testimony inconsistent with his limited treatment history, daily  
16 activities, and the objective medical findings. ECF No. 22 at 8-12.

17 It is the province of the ALJ to make credibility determinations. *Andrews*,  
18 53 F.3d at 1039. However, the ALJ's findings must be supported by specific  
19 cogent reasons. *Rashad v. Sullivan*, 903 F.2d 1229, 1231 (9th Cir. 1990). Absent  
20 affirmative evidence of malingering, the ALJ's reasons for rejecting the claimant's  
21 testimony must be "specific, clear and convincing." *Lester v. Chater*, 81 F.3d 821,  
22 834 (9th Cir. 1996). "General findings are insufficient: rather the ALJ must  
23 identify what testimony is not credible and what evidence undermines the  
24 claimant's complaints." *Lester*, 81 F.3d at 834; *Dodrill v. Shalala*, 12 F.3d 915,  
25 918 (9th Cir. 1993).

26 In this case, the ALJ found Plaintiff's medically determinable impairments  
27 could reasonably be expected to cause the alleged symptoms; however, Plaintiff's  
28 statements concerning the intensity, persistence and limiting effects of those

1 symptoms were not entirely consistent with the medical and other evidence of  
2 record. Tr. 20.

3 The ALJ first determined Plaintiff's treatment history was inconsistent with  
4 debilitating impairments. Tr. 21. The ALJ specifically indicated the record did not  
5 reflect Plaintiff had sought or required significant forms of treatment for his  
6 alleged disabling impairments. Tr. 21.

7 Evidence of "conservative treatment" is sufficient to discount a claimant's  
8 testimony regarding severity of an impairment. *Parra v. Astrue*, 481 F.3d 742, 751  
9 (9th Cir. 2007); *Johnson v. Shalala*, 60 F.3d 1428, 1434 (9th Cir. 1995)  
10 (conservative treatment suggests a lower level of both pain and functional  
11 limitation). Furthermore, an ALJ may rely on the effectiveness of treatment to find  
12 a plaintiff's testimony unpersuasive. *See Morgan v. Comm'r of Social Sec.*  
13 *Admin.*, 169 F.3d 595, 600 (9th Cir. 1999) (an ALJ may properly rely on a report  
14 that a plaintiff's symptoms improved with the use of medication); *Odle v. Heckler*,  
15 707 F.2d 439, 440 (9th Cir. 1983) (noting impairments that are controlled by  
16 treatment cannot be considered disabling).

17 It was noted in November 2018 that Plaintiff was not a candidate for surgery  
18 and had been previously prescribed the conservative treatment measures of  
19 physical therapy and medication for his pain. Tr. 386, 392-393. Plaintiff testified  
20 he took muscle relaxers and a nerve medication for his pain but refused to take  
21 narcotic pain medications. Tr. 45-46. Plaintiff reported muscle relaxants  
22 alleviated his pain in April 2016, Tr. 302, gabapentin and Flexeril (a muscle  
23 relaxant) had previously helped with pain, Tr. 328, and Cyclobenzaprine (a muscle  
24 relaxant) was noted as beneficial in May 2018, Tr. 389. Plaintiff's limited  
25 treatment efforts are further evidenced by minimal medical records in this case.

26 The ALJ properly relied on Plaintiff's minimal, conservative treatment and  
27 effective medication management in evaluating the severity of Plaintiff's  
28 symptoms in this case.

1 The ALJ additionally found Plaintiff's allegations of disabling impairments  
2 were not substantiated by the objective medical evidence. Tr. 21.

3 A lack of supporting objective medical evidence is a factor which may be  
4 considered in evaluating an individual's credibility, provided it is not the sole  
5 factor. *Bunnell v. Sullivan*, 347 F.2d 341, 345 (9th Cir. 1991); *Robbins v. Soc. Sec.*  
6 *Admin.*, 466 F3d 880, 883 (9th Cir. 2006).

7 Examination findings during the relevant time period were mostly normal or  
8 mild. In June 2017, Plaintiff had normal muscle tone and strength, no tenderness,  
9 normal gait and station, and grossly intact nerves, Tr. 326; in May 2018, he had  
10 normal movement of all extremities, normal tone and motor strength, and no  
11 tenderness, Tr. 390; in November 2018, he had full spinal range of motion with no  
12 spasms, normal gait and station, grossly intact sensation normal reflexes, a  
13 negative seated straight leg raise test, and normal leg strength, Tr. 387; and in  
14 December 2018 he had normal range of motion and negative straight leg raise  
15 tests, Tr. 392, no atrophy, normal gait, full motor strength, and normal sensation  
16 and reflexes, Tr. 396-397.

17 Plaintiff contends the ALJ failed to acknowledge Plaintiff's radiating  
18 symptomology to his right leg, positive right straight leg raise testing, and positive  
19 Kemp testing (a test for facet joint pain). ECF No. 21 at 19 citing Tr. 396 & 398.  
20 The ALJ did not ignore the foregoing medical report but instead discussed it, found  
21 Plaintiff's scoliosis was assessed as being only mild, and noted it was  
22 recommended Plaintiff try physical therapy for six to eight weeks to help alleviate  
23 pain before trying other options. Tr. 21, 398. While there is a positive straight leg  
24 test on the right at this examination, Tr. 396, as noted above, the report did not  
25 indicate whether it was positive in both the sitting and supine positions and the  
26 record reflects negative straight leg tests at other appointments.

27 Plaintiff also contends the ALJ erred by failing to consider Plaintiff's alleged  
28 manipulative limitations. ECF No. 21 at 19. As asserted by Defendant, ECF No.

1 22 at 12, the ALJ considered Plaintiff's manipulative limitations, *see* Tr. 20  
2 (frequently handle and finger bilaterally), and found Plaintiff's allegations of  
3 greater hand limitations inconsistent with evidence showing he had normal  
4 sensation, reflexes, and nerves, Tr. 303, 326, 329, 333, 338, 342, 346, 387, 390,  
5 397.

6 As concluded by the ALJ, the objective medical evidence demonstrates  
7 Plaintiff was not as limited as he alleged in this case.

8 The ALJ also noted Plaintiff's acknowledged activities established he could  
9 perform the limited range of light work outlined in the RFC determination. Tr. 21.

10 It is well-established that the nature of daily activities may be considered  
11 when evaluating credibility. *Fair v. Bowen*, 885 F.2d 597, 603 (9th Cir. 1989).  
12 For daily activities to discount subjective symptom testimony, the activities do not  
13 need to be equivalent to full-time work; it is sufficient that a claimant's activities  
14 "contradict claims of a totally debilitating impairment." *See Molina v. Astrue*, 674  
15 F.3d 1104, 1112-1113 (9th Cir. 2012). A claimant, however, need not be utterly  
16 incapacitated to receive disability benefits, and completion of certain routine  
17 activities is insufficient to discount subjective symptom testimony. *See Molina*,  
18 674 F.3d at 1112-1113 (9th Cir. 2012) (noting that a "claimant need not vegetate in  
19 a dark room in order to be eligible for benefits" (quotation marks omitted));

20 As noted by the ALJ, in April 2016, prior to the alleged onset date, Plaintiff  
21 arrived for treatment carrying a backpack and reported increased pain with  
22 activities but indicated the only activity he was no longer able to perform was  
23 "Jeeping." Tr. 21, 301-302. The record reflects Plaintiff reported in December  
24 2017 he had "some difficulty" doing laundry, cooking, cleaning and shopping but  
25 that he had no significant difficulty with personal care or hygiene. Tr. 21, 380.  
26 Plaintiff testified at the administrative hearing he was able to donate plasma and do  
27 chores, but he needed to alternate between sitting and standing/walking while  
28 performing those chores. Tr. 21, 42. The ALJ reflected this need to alternate

1 between sitting and standing at 30-minute intervals in his RFC determination. Tr.  
2 20.

3 It appears reasonable for the ALJ to have concluded Plaintiff's activities  
4 were inconsistent with his allegations of totally disabling symptoms and thus  
5 detracted from his overall credibility. *See Rollins v. Massanari*, 261 F.3d 853, 857  
6 (9th Cir. 2001) (affirming the ALJ's adverse credibility determination and noting  
7 the claimant's claim of disability was undermined by testimony about her daily  
8 activities, such as attending to the needs of her two young children, cooking, and  
9 shopping). However, even if the Court determined the ALJ erred by finding  
10 Plaintiff's level of activity inconsistent with his subjective complaints, given the  
11 ALJ's other reasons for finding Plaintiff less than fully credible, as indicated  
12 above, the Court finds this error would be harmless. *See Carmickle v. Comm'r,*  
13 *Soc. Sec. Admin.*, 533 F.3d 1160, 1163 (9th Cir. 2008) (upholding adverse  
14 credibility finding where ALJ provided four reasons to discredit claimant, two of  
15 which were invalid); *Batson v. Comm'r, Soc. Sec. Admin.*, 359 F.3d 1190, 1197  
16 (9th Cir. 2004) (affirming credibility finding where one of several reasons was  
17 unsupported by the record).

18 The ALJ is responsible for reviewing the evidence and resolving conflicts or  
19 ambiguities in testimony. *Magallanes v. Bowen*, 881 F.2d 747, 751 (9th Cir.  
20 1989). It is the role of the trier of fact, not this Court, to resolve conflicts in  
21 evidence. *Richardson*, 402 U.S. at 400. The Court has a limited role in  
22 determining whether the ALJ's decision is supported by substantial evidence and  
23 may not substitute its own judgment for that of the ALJ even if it might justifiably  
24 have reached a different result upon *de novo* review. 42 U.S.C. § 405(g). After  
25 reviewing the record, the Court finds that the ALJ provided clear and convincing  
26 reasons, which are fully supported by the record, for finding Plaintiff's symptom  
27 allegations were not entirely credible in this case.

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1 **D. Step Five**

2 Plaintiff's final contention is that the ALJ erred at step five of the sequential  
3 evaluation process by relying on an incomplete hypothetical to the vocational  
4 expert that neglected to include several of Plaintiff's limitations. ECF No. 21 at  
5 20-21. Defendant asserts the ALJ's step five finding is supported by substantial  
6 evidence. ECF No. 22 at 12-13.

7 As indicated above, Plaintiff has failed to demonstrate the ALJ has  
8 otherwise erred in this case. Therefore, the ALJ's RFC determination is sustained.  
9 At the administrative hearing, the vocational expert testified that with the RFC  
10 assessed by the ALJ, Plaintiff retained the capacity to perform a significant number  
11 of jobs existing in the national economy. Tr. 24, 57-59. Since the vocational  
12 expert's testimony was based on a properly supported RFC determination by the  
13 ALJ, the Court finds the ALJ did not err at step five of the sequential evaluation  
14 process in this case.

15 **CONCLUSION**

16 Having reviewed the record and the ALJ's findings, the Court finds the  
17 ALJ's decision is supported by substantial evidence and free of error.

18 Accordingly, **IT IS HEREBY ORDERED:**

- 19 1. Defendant's Motion for Summary Judgment, **ECF No. 22**, is  
20 **GRANTED**.
- 21 2. Plaintiff's Motion for Summary Judgment, **ECF No. 21**, is **DENIED**.  
22 **IT IS SO ORDERED**. The District Court Executive is directed to file this  
23 Order and provide a copy to counsel for Plaintiff and Defendant. Judgment shall  
24 be entered for Defendant and the file shall be **CLOSED**.

25 DATED July 29, 2021.

A handwritten signature in black ink, appearing to be "M" or "Rodgers".

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JOHN T. RODGERS  
UNITED STATES MAGISTRATE JUDGE