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FILED IN THE
U.S. DISTRICT COURT
EASTERN DISTRICT OF WASHINGTON

Mar 18, 2019

SEAN F. McAVOY, CLERK

**UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF WASHINGTON**

CHRISTOPHER B.,
Plaintiff,

vs.

COMMISSIONER OF SOCIAL
SECURITY,
Defendant.

No. 4:18-cv-05029-MKD

ORDER DENYING PLAINTIFF'S
MOTION FOR SUMMARY
JUDGMENT AND GRANTING
DEFENDANT'S MOTION FOR
SUMMARY JUDGMENT

ECF Nos. 13, 14

Before the Court are the parties' cross-motions for summary judgment. ECF Nos. 13, 14. The parties consented to proceed before a magistrate judge. ECF No. 4. The Court, having reviewed the administrative record and the parties' briefing, is fully informed. For the reasons discussed below, the Court denies Plaintiff's Motion, ECF No. 13, and grants Defendant's Motion, ECF No. 14.

ORDER - 1

1 **JURISDICTION**

2 The Court has jurisdiction over this case pursuant to 42 U.S.C. § 405(g).

3 **STANDARD OF REVIEW**

4 A district court’s review of a final decision of the Commissioner of Social
5 Security is governed by 42 U.S.C. § 405(g). The scope of review under § 405(g) is
6 limited; the Commissioner’s decision will be disturbed “only if it is not supported
7 by substantial evidence or is based on legal error.” *Hill v. Astrue*, 698 F.3d 1153,
8 1158 (9th Cir. 2012). “Substantial evidence” means “relevant evidence that a
9 reasonable mind might accept as adequate to support a conclusion.” *Id.* at 1159
10 (quotation and citation omitted). Stated differently, substantial evidence equates to
11 “more than a mere scintilla[,] but less than a preponderance.” *Id.* (quotation and
12 citation omitted). In determining whether the standard has been satisfied, a
13 reviewing court must consider the entire record as a whole rather than searching
14 for supporting evidence in isolation. *Id.*

15 In reviewing a denial of benefits, a district court may not substitute its
16 judgment for that of the Commissioner. *Edlund v. Massanari*, 253 F.3d 1152,
17 1156 (9th Cir. 2001). If the evidence in the record “is susceptible to more than one
18 rational interpretation, [the court] must uphold the ALJ’s findings if they are
19 supported by inferences reasonably drawn from the record.” *Molina v. Astrue*, 674
20 F.3d 1104, 1111 (9th Cir. 2012). Further, a district court “may not reverse an

1 ALJ's decision on account of an error that is harmless." Id. An error is harmless
2 "where it is inconsequential to the [ALJ's] ultimate nondisability determination."
3 Id. at 1115 (quotation and citation omitted). The party appealing the ALJ's
4 decision generally bears the burden of establishing that it was harmed. *Shinseki v.*
5 *Sanders*, 556 U.S. 396, 409-10 (2009).

6 **FIVE-STEP EVALUATION PROCESS**

7 A claimant must satisfy two conditions to be considered "disabled" within
8 the meaning of the Social Security Act. First, the claimant must be "unable to
9 engage in any substantial gainful activity by reason of any medically determinable
10 physical or mental impairment which can be expected to result in death or which
11 has lasted or can be expected to last for a continuous period of not less than twelve
12 months." 42 U.S.C. § 423(d)(1)(A). Second, the claimant's impairment must be
13 "of such severity that he is not only unable to do his previous work[,] but cannot,
14 considering his age, education, and work experience, engage in any other kind of
15 substantial gainful work which exists in the national economy." 42 U.S.C. §
16 423(d)(2)(A).

17 The Commissioner has established a five-step sequential analysis to
18 determine whether a claimant satisfies the above criteria. See 20 C.F.R. §
19 404.1520(a)(4)(i)-(v). At step one, the Commissioner considers the claimant's
20 work activity. 20 C.F.R. § 404.1520(a)(4)(i). If the claimant is engaged in

1 “substantial gainful activity,” the Commissioner must find that the claimant is not
2 disabled. 20 C.F.R. § 404.1520(b).

3 If the claimant is not engaged in substantial gainful activity, the analysis
4 proceeds to step two. At this step, the Commissioner considers the severity of the
5 claimant’s impairment. 20 C.F.R. § 404.1520(a)(4)(ii). If the claimant suffers
6 from “any impairment or combination of impairments which significantly limits
7 [his or her] physical or mental ability to do basic work activities,” the analysis
8 proceeds to step three. 20 C.F.R. § 404.1520(c). If the claimant’s impairment
9 does not satisfy this severity threshold, however, the Commissioner must find that
10 the claimant is not disabled. 20 C.F.R. § 404.1520(c).

11 At step three, the Commissioner compares the claimant’s impairment to
12 severe impairments recognized by the Commissioner to be so severe as to preclude
13 a person from engaging in substantial gainful activity. 20 C.F.R. §
14 404.1520(a)(4)(iii). If the impairment is as severe or more severe than one of the
15 enumerated impairments, the Commissioner must find the claimant disabled and
16 award benefits. 20 C.F.R. § 404.1520(d).

17 If the severity of the claimant’s impairment does not meet or exceed the
18 severity of the enumerated impairments, the Commissioner must pause to assess
19 the claimant’s “residual functional capacity.” Residual functional capacity (RFC),
20 defined generally as the claimant’s ability to perform physical and mental work

1 activities on a sustained basis despite his or her limitations, 20 C.F.R. §
2 404.1545(a)(1), is relevant to both the fourth and fifth steps of the analysis.

3 At step four, the Commissioner considers whether, in view of the claimant's
4 RFC, the claimant is capable of performing work that he or she has performed in
5 the past (past relevant work). 20 C.F.R. § 404.1520(a)(4)(iv). If the claimant is
6 capable of performing past relevant work, the Commissioner must find that the
7 claimant is not disabled. 20 C.F.R. § 404.1520(f). If the claimant is incapable of
8 performing such work, the analysis proceeds to step five.

9 At step five, the Commissioner considers whether, in view of the claimant's
10 RFC, the claimant is capable of performing other work in the national economy.
11 20 C.F.R. § 404.1520(a)(4)(v). In making this determination, the Commissioner
12 must also consider vocational factors such as the claimant's age, education and
13 past work experience. 20 C.F.R. § 404.1520(a)(4)(v). If the claimant is capable of
14 adjusting to other work, the Commissioner must find that the claimant is not
15 disabled. 20 C.F.R. § 404.1520(g)(1). If the claimant is not capable of adjusting to
16 other work, analysis concludes with a finding that the claimant is disabled and is
17 therefore entitled to benefits. 20 C.F.R. § 404.1520(g)(1).

18 The claimant bears the burden of proof at steps one through four above.
19 *Tackett v. Apfel*, 180 F.3d 1094, 1098 (9th Cir. 1999). If the analysis proceeds to
20 step five, the burden shifts to the Commissioner to establish that (1) the claimant is

1 capable of performing other work; and (2) such work “exists in significant
2 numbers in the national economy.” 20 C.F.R. § 404.1560(c)(2); Beltran v. Astrue,
3 700 F.3d 386, 389 (9th Cir. 2012).

4 **ALJ’S FINDINGS**

5 On January 18, 2016, Plaintiff filed an application for Title II disability
6 insurance benefits, alleging an onset date of October 5, 2011. Tr. 261-67. The
7 application was denied initially, Tr. 123-29, and on reconsideration, Tr. 131-36.
8 Plaintiff appeared at a hearing before an administrative law judge (ALJ) on June 8,
9 2017. Tr. 36-76. On July 17, 2017, the ALJ denied Plaintiff’s claim. Tr. 12-35.

10 At step one, the ALJ found Plaintiff had not engaged in substantial gainful
11 activity from October 5, 2011, the alleged onset date, through June 30, 2017, the
12 date last insured. Tr. 17. At step two, the ALJ found Plaintiff had the following
13 severe impairments: major depressive disorder, PTSD, seizure disorder, cannabis
14 use disorder, and chronic lumbar strain. Id. At step three, the ALJ found Plaintiff
15 did not have an impairment or combination of impairments that meets or medically
16 equals the severity of a listed impairment. Tr. 21. The ALJ then concluded
17 Plaintiff had the RFC to perform light work with the following limitations:

18 [Plaintiff] cannot climb ladders, ropes, or scaffolds, and can only
19 occasionally perform all other postural activities; he can have no
20 concentrated exposure to extreme cold/heat, or vibration; he can have no
exposure to hazards such as unprotected heights and moving mechanical
parts; he cannot operate a motor vehicle; and he needs a routine, predictable

1 work environment with no more than occasional changes and no multi-
2 tasking.

3 Tr. 22-23.

4 At step four, the ALJ found Plaintiff had no past relevant work. Tr. 28. At
5 step five, the found that, considering Plaintiff's age, education, work experience,
6 RFC, and testimony from a vocational expert, there were other jobs that existed in
7 significant numbers in the national economy that Plaintiff could perform, such as
8 office cleaner I, small parts assembler, or electrical assembler. Id. The ALJ
9 concluded Plaintiff was not under a disability, as defined in the Social Security
10 Act, from October 5, 2011, through June 30, 2017, the date last insured. Tr. 29.

11 On December 21, 2017, the Appeals Council denied review, Tr. 1-6, making
12 the ALJ's decision the Commissioner's final decision for purposes of judicial
13 review. See 42 U.S.C. § 1383(c)(3).

14 **ISSUES**

15 Plaintiff seeks judicial review of the Commissioner's final decision denying
16 him disability income benefits under Title II of the Social Security Act. Plaintiff
17 raises the following issues for this Court's review:

- 18 1. Whether the ALJ properly weighed Plaintiff's symptom claims;
- 19 2. Whether the ALJ properly weighed the medical opinion evidence;
- 20 3. Whether the ALJ properly considered Plaintiff's VA disability rating;

- 1 4. Whether the ALJ properly identified Plaintiff’s severe impairments at
2 step two;
- 3 5. Whether the ALJ properly concluded Plaintiff did not have an
4 impairment that meets or medically equals the severity of a listed
5 impairment at step three; and
- 6 6. Whether the ALJ properly found at step five that Plaintiff was capable of
7 performing other work in the national economy.

8 ECF No. 13 at 6.

9 DISCUSSION

10 A. Plaintiff’s Symptom Claims

11 Plaintiff faults the ALJ for failing to rely on reasons that were clear and
12 convincing in discrediting his subjective symptom claims. ECF No. 13 at 16-19.
13 An ALJ engages in a two-step analysis to determine whether to discount a
14 claimant’s testimony regarding subjective symptoms. SSR 16-3p, 2016 WL
15 1119029, at *2. “First, the ALJ must determine whether there is objective medical
16 evidence of an underlying impairment which could reasonably be expected to
17 produce the pain or other symptoms alleged.” Molina, 674 F.3d at 1112 (quotation
18 marks omitted). “The claimant is not required to show that [his] impairment could
19 reasonably be expected to cause the severity of the symptom []he has alleged; []he

1 need only show that it could reasonably have caused some degree of the
2 symptom.” *Vasquez v. Astrue*, 572 F.3d 586, 591 (9th Cir. 2009).

3 Second, “[i]f the claimant meets the first test and there is no evidence of
4 malingering, the ALJ can only reject the claimant’s testimony about the severity of
5 the symptoms if [the ALJ] gives ‘specific, clear and convincing reasons’ for the
6 rejection.” *Ghanim v. Colvin*, 763 F.3d 1154, 1163 (9th Cir. 2014) (citations
7 omitted). General findings are insufficient; rather, the ALJ must identify what
8 symptom claims are being discounted and what evidence undermines these claims.
9 *Id.* (quoting *Lester v. Chater*, 81 F.3d 821, 834 (9th Cir. 1995)); *Thomas v.*
10 *Barnhart*, 278 F.3d 947, 958 (9th Cir. 2002) (requiring the ALJ to sufficiently
11 explain why it discounted claimant’s symptom claims). “The clear and convincing
12 [evidence] standard is the most demanding required in Social Security cases.”
13 *Garrison v. Colvin*, 759 F.3d 995, 1015 (9th Cir. 2014) (quoting *Moore v. Comm’r*
14 *of Soc. Sec. Admin.*, 278 F.3d 920, 924 (9th Cir. 2002)).

15 Factors to be considered in evaluating the intensity, persistence, and limiting
16 effects of an individual’s symptoms include: 1) daily activities; 2) the location,
17 duration, frequency, and intensity of pain or other symptoms; 3) factors that
18 precipitate and aggravate the symptoms; 4) the type, dosage, effectiveness, and
19 side effects of any medication an individual takes or has taken to alleviate pain or
20 other symptoms; 5) treatment, other than medication, an individual receives or has

1 received for relief of pain or other symptoms; 6) any measures other than treatment
2 an individual uses or has used to relieve pain or other symptoms; and 7) any other
3 factors concerning an individual's functional limitations and restrictions due to
4 pain or other symptoms. SSR 16-3p, 2016 WL 1119029, at *7; 20 C.F.R. §
5 404.1529 (2011). The ALJ is instructed to "consider all of the evidence in an
6 individual's record," "to determine how symptoms limit ability to perform work-
7 related activities." SSR 16-3p, 2016 WL 1119029, at *2.

8 The ALJ found that Plaintiff's medically determinable impairments could
9 reasonably be expected to cause the alleged symptoms, but that Plaintiff's
10 statements concerning the intensity, persistence, and limiting effects of his
11 symptoms were not entirely consistent with the evidence. Tr. 24.

12 1. Lack of Supporting Medical Evidence

13 The ALJ found Plaintiff's symptom testimony was not supported by the
14 medical evidence. Tr. 24-25. An ALJ may not discredit a claimant's symptom
15 testimony and deny benefits solely because the degree of the symptoms alleged is
16 not supported by objective medical evidence. *Rollins v. Massanari*, 261 F.3d 853,
17 857 (9th Cir. 2001); *Bunnell v. Sullivan*, 947 F.2d 341, 346-47 (9th Cir. 1991);
18 *Fair v. Bowen*, 885 F.2d 597, 601 (9th Cir. 1989); *Burch v. Barnhart*, 400 F.3d
19 676, 680 (9th Cir. 2005). However, the medical evidence is a relevant factor in
20

1 determining the severity of a claimant's symptoms and their disabling effects.

2 Rollins, 261 F.3d at 857; 20 C.F.R. § 404.1529(c)(2) (2011).

3 Here, the ALJ first noted that Plaintiff alleged he experienced back pain that
4 prohibited him from working by limiting his ability to carry, stand, sit, move, and
5 bend. Tr. 24; see Tr. 316. However, the ALJ found that objective imaging and
6 physical examinations of Plaintiff's back failed to support the severity of the
7 alleged limitations. Tr. 24; see Tr. 513 (November 27, 2013: x-ray showed
8 straightening of lordosis and mild disc space narrowing at L5-S1); Tr. 872
9 (October 7, 2015: normal gait, no abnormal movement noticed); Tr. 448 (January
10 13, 2016: normal physical examination of back); Tr. 454 (January 18, 2016: same);
11 Tr. 469 (January 21, 2016: back movement without difficulty); Tr. 785 (April 13,
12 2016: "essentially normal" lumbosacral spine imaging series); Tr. 882 (October 14,
13 2016: full motor strength, normal gait, negative Romberg test); Tr. 993 (October
14 20, 2016: full range of motion, mild tenderness, and no spasm bilaterally); Tr. 1056
15 (November 27, 2016: normal range of motion in back). The ALJ further found that
16 where Plaintiff's examinations did yield abnormal findings, they were mild. Tr.
17 24; see Tr. 445 (November 30, 2013: point tenderness of left SI joint, full flexion
18 and extension at waist but with pain); Tr. 474-75 (February 17, 2016: vague
19 lumbar spine tenderness but no step offs, deformities, or redness and no back pain
20 red flags); Tr. 789 (April 12, 2016: neck range of motion within normal limits but

1 decreased range of motion in back); Tr. 1056 (November 27, 2016: vertebral
2 spasms and tenderness to palpation in lower cervical and upper thoracic area,
3 mildly limited range of motion of neck due to pain).

4 Second, the ALJ found Plaintiff's alleged impairments from seizure disorder
5 were not supported by the medical evidence. Tr. 24. Plaintiff alleged he
6 experienced disabling limitations from seizure fits. Tr. 58, 365, 374-76. However,
7 the ALJ found that the objective evidence failed to corroborate the presence of
8 disabling seizures. Tr. 24; see Tr. 428 (December 14, 2013: unremarkable brain
9 MRI); Tr. 448 (January 13, 2016: head CT showed no acute findings); Tr. 455
10 (January 18, 2016: MRI showed mastoid effusion but otherwise normal findings);
11 Tr. 970-71 (June 15, 2016: EEG normal).

12 Third, the ALJ found Plaintiff's alleged severe PTSD and depression were
13 not supported by the medical evidence. Plaintiff alleged significant limitations in
14 mood and interactions with others. Tr. 65-71, 365. However, the ALJ found
15 Plaintiff's allegations were not supported by the longitudinal record of Plaintiff's
16 mental status examinations. Tr. 24; see Tr. 486 (October 7, 2015: normal mental
17 status exam with fair insight/judgment); Tr. 870-71 (October 9, 2015: normal
18 mental status exam); Tr. 882 (February 23, 2016: normal mental status exam); Tr.
19 1033 (March 30, 2016: normal mental status examination with fair
20

1 insight/judgment and affect stoic but appearing calm and euthymic); Tr. 831 (June
2 6, 2016: PHQ-2 screen negative for depression).

3 Based on this record, the ALJ reasonably concluded that Plaintiff's
4 subjective symptom testimony was not supported by the objective medical
5 evidence. Tr. 24-25. Plaintiff challenges the ALJ's conclusion by offering
6 evidence that Plaintiff argues supports his symptom claims. ECF No. 13 at 3-6,
7 17-18; see, e.g., Tr. 768 (lumbar spine x-ray abnormal due to muscle spasm and
8 mild arthritis); Tr. 1054 (Plaintiff reported shooting pain in upper back); Tr. 448
9 (Plaintiff reported headache, dizziness, blurred vision, photophobia, and tunnel
10 vision); Tr. 541 (Plaintiff reported pain and pressure around the skull and blurry
11 vision); Tr. 494 (Plaintiff reported feelings of panic, hypervigilance, and
12 hopelessness); Tr. 705-06 (Plaintiff reported hallucinations, passing suicidal
13 thoughts, flashbacks, and depressed mood). Where evidence is subject to more
14 than one rational interpretation, the ALJ's conclusion will be upheld. *Burch*, 400
15 F.3d at 679. The Court will only disturb the ALJ's findings if they are not
16 supported by substantial evidence. *Hill*, 698 F.3d at 1158. Here, the ALJ's
17 conclusion remains supported by substantial evidence despite the additional
18 evidence identified by Plaintiff. Because the ALJ's finding is based on a rational
19 interpretation of the evidence, the Court defers to the ALJ's interpretation.

1 2. Lack of Supporting Symptom Reports and Inconsistent Statements to
2 Medical Providers

3 The ALJ found Plaintiff's symptom testimony was inconsistent with
4 Plaintiff's failure to report similar symptoms to his treatment providers. Tr. 25-26.
5 In evaluating a claimant's symptom claims, an ALJ may consider the consistency
6 of an individual's own statements made in connection with the disability review
7 process with any other existing statements or conduct made under other
8 circumstances. *Smolen v. Chater*, 80 F.3d 1273, 1284 (9th Cir. 1996); *Thomas*,
9 278 F.3d at 958-59. Additionally, "[t]he failure to report symptoms to treatment
10 providers is a legitimate consideration in determining the credibility of those
11 complaints." *Leshner v. Comm'r of Soc. Sec.*, No. 2:15-cv-00237-SMJ, 2018 WL
12 314819, at *4 (E.D. Wash. Jan. 5, 2018) (citing *Greger v. Barnhart*, 464 F.3d 972,
13 972 (9th Cir. 2006)).

14 Here, the ALJ noted that despite Plaintiff's allegations in his benefits
15 application of disabling seizure fits two to four times per month and up to two
16 times per week, Plaintiff did not report frequent seizures to his treating providers.
17 Tr. 24; compare Tr. 58, 374 with Tr. 721 (June 13, 2014: Plaintiff reported his last
18 seizure was May 17, 2014); Tr. 889 (August 14, 2014: Plaintiff reported no
19 seizures since taking Gabapentin regularly); Tr. 660 (April 2, 2015: Plaintiff
20 reported his last seizure was December 6, 2014); Tr. 555 (May 13, 2015: Plaintiff

1 again reported his last seizure was December 2014); Tr. 911 (January 13, 2016:
2 Plaintiff reported his last seizure was early December 2015); Tr. 881 (February 23,
3 2016: Plaintiff reported his seizure frequency had decreased from a few times a
4 month to one every few months). The ALJ also observed that despite Plaintiff's
5 allegations that he experienced two to five headaches per week beginning in
6 January 2016 and that his severe headaches continued through the date of the
7 hearing in June 2017, Plaintiff's treatment notes reflected Plaintiff did not
8 complain of ongoing headaches after February 2016. Tr. 25; compare Tr. 58-59,
9 393-94 with Tr. 827 (June 3, 2016: Plaintiff reported no hospitalization for
10 headaches since February 2016 and that medication was effective in managing his
11 migraines); Tr. 993 (October 20, 2016: Plaintiff denied headache or dizziness).
12 Moreover, the ALJ noted that despite alleging back pain that limited his ability to
13 carry weight, stand, and sit, Plaintiff denied back pain to treating providers on
14 several occasions. Tr. 25; compare Tr. 316 with Tr. 448 (January 13, 2016:
15 Plaintiff denied back pain); Tr. 463 (January 20, 2016: Plaintiff denied back pain);
16 Tr. 1061 (March 18, 2017: Plaintiff denied joint or muscle pain). Finally, the ALJ
17 found that Plaintiff did not report any significant cognitive or memory problems to
18 his providers, other than during the consultative examination. Tr. 26; see Tr. 495.
19 The ALJ reasonably concluded, based on this record, that Plaintiff's symptom
20 allegations in connection with his claim were inconsistent with his reporting to his

1 treating providers. This was a clear and convincing reason, supported by
2 substantial evidence, to discount his symptom complaints.

3 3. Positive Response to Treatment

4 The ALJ found Plaintiff's symptom testimony was inconsistent with
5 evidence documenting a positive response to treatment. Tr. 25. The effectiveness
6 of treatment is a relevant factor in determining the severity of a claimant's
7 symptoms. 20 C.F.R. § 404.1529(c)(3) (2011); see *Warre v. Comm'r of Soc. Sec.*
8 *Admin.*, 439 F.3d 1001, 1006 (9th Cir. 2006); *Tommasetti v. Astrue*, 533 F.3d
9 1035, 1040 (9th Cir. 2008) (a favorable response to treatment can undermine a
10 claimant's complaints of debilitating pain or other severe limitations). Here, the
11 ALJ found that Plaintiff's mental impairments were "well controlled" with
12 treatment. Tr. 25; see Tr. 485 (October 7, 2015: Plaintiff reported compliance with
13 medications and that his PTSD symptoms improved, his mood problems resolved,
14 and that he was sleeping better); Tr. 484 (February 3, 2016: Plaintiff reported full
15 compliance with mental health medications and denied any significant PTSD or
16 mood symptoms); Tr. 1031 (March 30, 2017: Plaintiff reported his mood and
17 irritability improved with Sertraline). The ALJ reasonably concluded that
18 Plaintiff's symptom testimony was inconsistent with his record of improvement
19 with treatment. This was a clear and convincing, and unchallenged, reason,
20 supported by substantial evidence, to discount his symptom complaints.

1 4. Daily Activities

2 The ALJ found Plaintiff's symptom testimony was inconsistent with
3 Plaintiff's daily activities. Tr. 25-26. The ALJ may consider a claimant's
4 activities that undermine reported symptoms. Rollins, 261 F.3d at 857. If a
5 claimant can spend a substantial part of the day engaged in pursuits involving the
6 performance of exertional or non-exertional functions, the ALJ may find these
7 activities inconsistent with the reported disabling symptoms. Fair, 885 F.2d at
8 603; Molina, 674 F.3d at 1113. "While a claimant need not vegetate in a dark
9 room in order to be eligible for benefits, the ALJ may discount a claimant's
10 symptom claims when the claimant reports participation in everyday activities
11 indicating capacities that are transferable to a work setting" or when activities
12 "contradict claims of a totally debilitating impairment." Molina, 674 F.3d at 1112-
13 13.

14 Here, the ALJ found Plaintiff's daily activities were inconsistent with the
15 level of impairment he alleged. Tr. 25-26. Plaintiff alleged that that his
16 impairments caused him to be unable to sit, stand, or walk for more than 15-20
17 minutes at a time, that he could pay attention for 5-10 minutes at a time, that he
18 would forget tasks, and that he did not get along with others. Tr. 321-23. Plaintiff
19 further testified at the hearing that his headaches caused him to need to lie down in
20 the dark several times per week. Tr. 61-62. Despite these alleged limitations, the

1 ALJ noted Plaintiff was able to complete daily activities including childcare, some
2 cooking, housework, managing his own finances, and reading daily. Tr. 25; see Tr.
3 320, 366-68, 495.

4 The ALJ also considered Plaintiff's activity coaching youth football and
5 serving on the board of a youth sports nonprofit. Tr. 25-26. Plaintiff testified at
6 the hearing that his coaching activity was minimal, involving only one team and
7 coaching for only two to three hours per week, that he limited his interaction with
8 others when coaching, and that Plaintiff did not actually do work for the nonprofit
9 and was listed as a board member for "paper reasons." Tr. 54-57. However, the
10 ALJ found that Plaintiff's characterization of his involvement was inconsistent
11 with the documentation of these activities in the record. Tr. 25-26; see Tr. 485
12 (October 7, 2015: Plaintiff reported enjoying coaching youth football); Tr. 495
13 (March 8, 2016: Plaintiff reported spending "considerable time" attending kids'
14 football games, reported he was the head coach of a youth football team, and
15 described his nonprofit work as involving attending board meetings, talking to
16 businesses and high schools, and handling complaints); Tr. 844 (March 14, 2016:
17 Plaintiff reported enjoying coaching eight different youth football teams, hosting
18 kids at his home for games, and being invited to coach at camps in Denver and
19 Seattle); Tr. 999 (October 18, 2016: Plaintiff reported being invited to coach at
20 other youth football camps and declining because of travel expenses, reported

1 staying busy on a daily basis with coaching and nonprofit work, and reported
2 receiving awards for his coaching). The ALJ reasonably concluded that Plaintiff's
3 daily activities were inconsistent with the level of impairment he alleged. This was
4 another clear and convincing reason, supported by substantial evidence, to discount
5 his symptom complaints.

6 The ALJ did not err in discounting Plaintiff's symptom complaints.

7 **B. Medical Opinion Evidence**

8 Plaintiff challenges the ALJ's evaluation of the medical opinions of Lynn
9 Orr, Ph.D., Donna Veraldi, Ph.D., and Robert Smiley, M.D. ECF No. 13 at 11-14.

10 There are three types of physicians: "(1) those who treat the claimant
11 (treating physicians); (2) those who examine but do not treat the claimant
12 (examining physicians); and (3) those who neither examine nor treat the claimant
13 [but who review the claimant's file] (nonexamining [or reviewing] physicians)."
14 *Holohan v. Massanari*, 246 F.3d 1195, 1201-02 (9th Cir. 2001) (citations omitted).
15 Generally, a treating physician's opinion carries more weight than an examining
16 physician's, and an examining physician's opinion carries more weight than a
17 reviewing physician's. *Id.* at 1202. "In addition, the regulations give more weight
18 to opinions that are explained than to those that are not, and to the opinions of
19 specialists concerning matters relating to their specialty over that of
20 nonspecialists." *Id.* (citations omitted).

1 If a treating or examining physician’s opinion is uncontradicted, the ALJ
2 may reject it only by offering “clear and convincing reasons that are supported by
3 substantial evidence.” *Bayliss v. Barnhart*, 427 F.3d 1211, 1216 (9th Cir. 2005).
4 “However, the ALJ need not accept the opinion of any physician, including a
5 treating physician, if that opinion is brief, conclusory and inadequately supported
6 by clinical findings.” *Bray v. Comm’r of Soc. Sec. Admin.*, 554 F.3d 1219, 1228
7 (9th Cir. 2009) (internal quotation marks and brackets omitted). “If a treating or
8 examining doctor’s opinion is contradicted by another doctor’s opinion, an ALJ
9 may only reject it by providing specific and legitimate reasons that are supported
10 by substantial evidence.” *Bayliss*, 427 F.3d at 1216 (citing *Lester*, 81 F.3d at 830-
11 831).

12 1. Dr. Orr

13 Dr. Orr examined Plaintiff on March 8, 2016, diagnosed Plaintiff with major
14 depressive disorder and posttraumatic stress disorder; measured Plaintiff’s visual
15 working memory in the sixth percentile and all other memory measures in the first
16 percentile or lower; and opined Plaintiff’s symptoms would interfere with his
17 ability to be consistent in his ability to carry out tasks; that Plaintiff had
18 significantly impaired memory that would be a significantly limiting factor in his
19 ability to be consistent in carrying out tasks; that Plaintiff had reasonably good
20 remote memory, good fund of knowledge, good concentration, fair abstract

1 thinking ability, and fair insight and judgment; that Plaintiff had no limitations in
2 comprehension or understanding; and that Plaintiff demonstrated adequate social
3 skills. Tr. 492-97. The ALJ gave this opinion little weight. Tr. 27. Because Dr.
4 Orr's opinion was contradicted by Dr. Veraldi, Tr. 44-45, 47-48, the ALJ was
5 required to provide specific and legitimate reason to reject Dr. Orr's opinion.
6 Bayliss, 427 F.3d at 1216.

7 The ALJ found Dr. Orr's opinion was inconsistent with the longitudinal
8 evidence, including Dr. Veraldi's hearing testimony. Tr. 27. An ALJ may
9 discredit physicians' opinions that are unsupported by the record as a whole.
10 *Batson v. Comm'r of Soc. Sec. Admin.*, 359 F.3d 1190, 1195 (9th Cir. 2004).
11 Additionally, an ALJ may credit the opinion of nonexamining expert who testifies
12 at the hearing and is subject to cross-examination. See *Andrews v. Shalala*, 53
13 F.3d 1035, 1042 (9th Cir. 1995) (citing *Torres v. Sec'y of H.H.S.*, 870 F.2d 742,
14 744 (1st Cir. 1989)). The opinion of a nonexamining physician may serve as
15 substantial evidence if it is supported by other evidence in the record and is
16 consistent with it. *Andrews*, 53 F.3d at 1041. Other cases have upheld the
17 rejection of an examining or treating physician based in part on the testimony of a
18 non-examining medical advisor when other reasons to reject the opinions of
19 examining and treating physicians exist independent of the non-examining doctor's
20 opinion. *Lester*, 81 F.3d at 831 (citing *Magallanes v. Bowen*, 881 F.2d 747, 751-

1 55 (9th Cir. 1989) (reliance on laboratory test results, contrary reports from
2 examining physicians and testimony from claimant that conflicted with treating
3 physician's opinion)); *Roberts v. Shalala*, 66 F.3d 179, 184 (9th Cir. 1995)
4 (rejection of examining psychologist's functional assessment which conflicted with
5 his own written report and test results). Thus, case law requires not only an
6 opinion from the consulting physician but also substantial evidence (more than a
7 mere scintilla but less than a preponderance), independent of that opinion which
8 supports the rejection of contrary conclusions by examining or treating physicians.
9 *Andrews*, 53 F.3d at 1039.

10 Here, the ALJ found Dr. Orr's opinion was based on memory scores that
11 were inconsistent with the longitudinal record of Plaintiff's mental status
12 examinations and his overall level of functioning. Tr. 27; see Tr. 486 (October 7,
13 2015: normal mental status exam including cognition grossly intact); Tr. 870-71
14 (October 9, 2015: normal mental status exam including concentration and memory
15 within normal limits); Tr. 882 (February 23, 2016: normal mental status exam
16 including normal concentration/attention span, ability to perform three step
17 command, and adequate recent and remote recall); Tr. 1033 (March 30, 2016:
18 normal mental status examination including cognition grossly intact). Incongruity
19 between a doctor's medical opinion and treatment records or notes is a specific and
20 legitimate reason to discount a doctor's opinion. *Tommasetti*, 533 F.3d at 1041.

1 Instead, the ALJ reasonably relied on the opinion of Dr. Veraldi, who testified at
2 the hearing and was available¹ for cross-examination. Tr. 27; see Tr. 44-49. Dr.
3 Veraldi testified that Dr. Orr’s memory scores indicated a level of functioning so
4 low that Plaintiff would not be able to coach football or interact with others in “any
5 functional manner.” Tr. 44. The ALJ reasonably relied on Dr. Veraldi’s opinion
6 over that of Dr. Orr in light of the substantial evidence of Plaintiff’s mental status
7 examinations and daily activities in conflict with Dr. Orr’s findings. The ALJ
8 provided specific and legitimate reason, supported by substantial evidence, to
9 discredit Dr. Orr’s opinion.

10 2. Dr. Veraldi

11 Dr. Veraldi reviewed the record and testified at the hearing that Plaintiff’s
12 memory scores as measured by Dr. Orr seemed to under-represent his ability; that
13 Plaintiff’s impairments did not meet or medically equal the severity of a listed
14 impairment; that Plaintiff had mild limitations in understanding, remembering, or
15 applying information; that Plaintiff had mild limitation in his ability to interact
16 with others; that Plaintiff had moderate impairments in concentration, persistence,
17 and pace; that Plaintiff had mild limitations in his ability to adapt or manage
18 himself; that Plaintiff was not limited to simple, routine, repetitive tasks; and that

19 _____
20 ¹ Plaintiff declined the opportunity to cross examine Dr. Veraldi. Tr. 48.

1 Plaintiff did not have any social limitations. Tr. 44-49. The ALJ gave Dr.
2 Veraldi's opinion great weight. Tr. 26.

3 Plaintiff asserts the ALJ should have incorporated a limitation to simple,
4 repetitive work into the RFC based on Dr. Veraldi's testimony. ECF No. 13 at 14.
5 "[T]he ALJ is responsible for translating and incorporating clinical findings into a
6 succinct RFC." *Rounds v. Comm'r Soc. Sec. Admin.*, 807 F.3d 996, 1006 (9th Cir.
7 2015). Plaintiff's argument misconstrues Dr. Veraldi's testimony. Dr. Veraldi
8 testified that Plaintiff could do "at least simple, repeat, repetitive work," and later
9 testified that "I don't think he's limited to simple, routine, repetitive." Tr. 46-47.
10 Accordingly, the ALJ did not err in crediting Dr. Veraldi's opinion while failing to
11 incorporate a limitation to simple, repetitive work into the RFC.

12 3. Dr. Smiley

13 Dr. Smiley reviewed the record and opined Plaintiff was capable of standing
14 or walking six hours out of the day; capable of sitting eight hours out of the day;
15 could not climb ladders or scaffolds; had no manipulative limitations; had no
16 problems with foot control; and should not be exposed to unprotected heights or
17 dangerous, moving machinery. Tr. 40-44. The ALJ gave Dr. Smiley's opinion
18 great weight. Tr. 26-27.

19 Plaintiff asserts the ALJ should have incorporated limitations from
20 Plaintiff's headaches into the RFC based on Dr. Smiley's testimony. ECF No. 13

1 at 14. “[T]he ALJ is responsible for translating and incorporating clinical findings
2 into a succinct RFC.” *Rounds*, 807 F.3d at 1006. However, an ALJ does not need
3 to incorporate into the RFC an opinion that does “not show how [a claimant’s]
4 symptoms translate into specific functional deficits which preclude work activity.”
5 See *Morgan v. Comm’r of Soc. Sec. Admin.*, 169 F.3d 595, 601 (9th Cir. 1999).
6 Plaintiff identifies Dr. Smiley’s statement that “if somebody is having
7 incapacitating headaches therefore interferes with his ability to work” as evidence
8 that the ALJ should have incorporated limitations for headaches in the RFC. ECF
9 No. 13 at 14; see Tr. 43. Contrary to Plaintiff’s assertion, Dr. Smiley’s testimony
10 did not address Plaintiff’s specific functioning. The statement Plaintiff identifies is
11 a conditional statement Dr. Smiley made while explaining a hypothetical situation.
12 Tr. 43. Dr. Smiley then clarified that the evidence of headaches in this record was
13 subjective and “it all depends on whether or not the Judge believe[s] that the record
14 [] for the client’s testimony is believable.” *Id.* Here, the ALJ found Plaintiff’s
15 symptom testimony regarding the severity of his headaches was not corroborated
16 by objective evidence and concluded it was not a severe impairment. Tr. 20.
17 Additionally, Dr. Smiley’s statement does not specify what type of limitations
18 would be caused by incapacitating headaches. *Id.* Because Dr. Smiley did not
19 render an opinion as to any actual functional limitation Plaintiff experienced due to
20 headaches, the ALJ was not required to incorporate such a limitation into the RFC.

1 See 20 C.F.R. § 404.1545(a)(4) (“When we assess your residual functional
2 capacity, we will consider your ability to meet the physical, mental, sensory, and
3 other requirements of work”) (emphasis added). Accordingly, the ALJ did not err
4 in crediting Dr. Smiley’s opinion while failing to incorporate a limitation specific
5 to headaches into the RFC.

6 **C. VA Disability Determination**

7 Plaintiff challenges the ALJ’s determination that Plaintiff’s 100% disability
8 rating from the Department of Veterans’ Affairs was entitled to little weight. ECF
9 No. 13 at 9-11. The ALJ must ordinarily give great weight to a VA determination
10 of disability “because of the marked similarities between” the VA and SSA as
11 “federal disability programs.” *McCartey v. Massanari*, 298 F.3d 1072, 1076 (9th
12 Cir. 2002).² However, “[b]ecause the VA and SSA criteria for determining

13
14 ² For claims filed on or after March 27, 2017, decisions made by other
15 governmental agencies are “neither inherently valuable or persuasive,” and ALJs
16 “will not provide any analysis about how we considered such evidence.” 20 C.F.R.
17 § 404.1520b(c) (2017). “This amended regulation will overrule *McCartey*’s
18 requirement that ‘an ALJ must ordinarily give great weight to a VA determination
19 of disability’ or provide ‘persuasive, specific, valid reasons for [giving less weight]
20 that are supported by the record.” *Underhill v. Berryhill*, 685 F. App’x 522, 524

1 disability are not identical, [] the ALJ may give less weight to a VA disability
2 rating if he gives persuasive, specific, valid reasons for doing so that are supported
3 by the record.” Id.

4 First, the ALJ found that the VA rating was not explained. Tr. 27. A lack of
5 explanation for the disability rating can be a persuasive, specific, valid reason to
6 discredit the disability rating. See *Carinio v. Berryhill*, 736 F. App’x 670, 674 (9th
7 Cir. 2018). Here, Plaintiff’s disability rating is documented throughout his VA
8 treatment notes. See, e.g., Tr. 482, 529, 875. Although the record contains over
9 450 pages of VA evidence, no VA record explains how Plaintiff’s disability rating
10 was determined.³ Tr. 429-33, 481-91, 499-783, 796-874, 875-910, 973-1009,
11 1018-53. The lack of explanation for the disability rating in the record constitutes

12
13 n.1 (9th Cir. 2017) (J. Ikuta, dissenting) (internal citations omitted). Because this
14 case was filed before March 27, 2017, the Court applies *McCartey* to the ALJ’s
15 analysis.

16 ³ The Court notes that because Plaintiff was represented by counsel throughout the
17 administrative proceedings, Tr. 122, and because Plaintiff’s VA disability rating
18 was contained in the record, Tr. 482, the ALJ did not have a duty to obtain the
19 actual disability determination from the VA. *Chaudhry v. Astrue*, 688 F.3d 661,
20 669-70 (9th Cir. 2012).

1 a persuasive, specific, valid reason for the ALJ to give less weight to the VA
2 rating.

3 Second, the ALJ found that the VA rating was inconsistent with the medical
4 evidence. Tr. 27. Inconsistency with the medical evidence provides “persuasive,
5 specific, valid reason[]” for rejecting a VA disability rating. *Berry v. Astrue*, 622
6 F.3d 1228, 1236 (9th Cir. 2010); see also *Phillips v. Berryhill*, No. 3:16-cv-00226-
7 LRH-WGC, 2017 WL 3130418, at *10 (D. Nev. July 24, 2017) (ALJ properly
8 rejected VA’s mental impairment 70% disability rating where claimant’s
9 longitudinal record showed normal mental status examinations). The ALJ
10 observed, as an example, that the VA rated Plaintiff 70% disabled based on
11 traumatic brain injury (TBI), yet the record did not document objective findings of
12 TBI. Tr. 27; see Tr. 427-28 (December 14, 2013: unremarkable brain MRI); Tr.
13 448-49 (January 13, 2016: head CT showed no acute intracranial pathology); Tr.
14 458 (January 18, 2016: normal brain MRI); Tr. 970-71 (normal EEG). Plaintiff
15 challenges the ALJ’s finding by purporting to identify evidence of TBI
16 complications. ECF No. 13 at 10; see Tr. 482 (70% disability rating for TBI); Tr.
17 484 (Plaintiff “did suffer a TBI and has a [seizure] disorder as a result of that
18 TBI”); Tr. 486 (Assessment: “TBI with cognitive impairment provisional[.]
19 [Patient] returns for [follow up] and appears clinically improved and clinically
20 stable”); Tr. 488 (Plaintiff denied ever having neurocognitive evaluation for TBI);

1 Tr. 533 (Plaintiff reported worsening headache symptoms resulting in three
2 emergency room visits in two weeks); Tr. 613 (spinal tap revealed fluid building
3 up back of Plaintiff’s head); Tr. 644 (differential diagnoses of PTSD, major
4 depressive disorder, cognitive disorder due to TBI, and/or alcohol dependence in
5 full sustained remission).⁴ Although Plaintiff identifies evidence that references
6 Plaintiff’s reports of a prior TBI, the ALJ reasonably determined that the record
7 was devoid of objective evidence of the TBI to support a 70% disability rating
8 based on TBI. Tr. 27. Furthermore, the ALJ’s finding was not limited to the lack
9 of objective evidence of TBI. Rather, the ALJ found there was no “apparent basis
10 in the record to support the degree of disability outlined in the VA rating decision.”
11 Tr. 27. This is consistent with the ALJ’s discussion of the evidence throughout the
12 record, noting normal mental status examinations, a lack of objective
13 documentation of seizures, and a lack of objective findings to support back pain
14 allegations, discussed supra. The lack of supporting medical evidence provides
15 persuasive, specific, valid reason for the ALJ to give less weight to the VA rating.

17 ⁴ Plaintiff also identifies Dr. Smiley’s testimony that it’s “actually unusual to find
18 something abnormal on their CT or MRIs” to explain the lack of objective
19 evidence of TBI in the record. ECF No. 13 at 10; see Tr. 43. However, Dr.
20 Smiley’s statement was about headaches, not TBI. Tr. 43.

1 Third, the ALJ found the VA rating was inconsistent with Plaintiff's
2 activities. Tr. 27. An ALJ may consider a claimant's activities as evidence
3 inconsistent with a VA disability rating. See *Connors v. Berryhill*, No. 6:15-cv-
4 2365-SI, 2017 WL 2930584, at *5 (D. Or. July 5, 2017) (claimant's work history
5 provided persuasive, specific, valid reason to reject VA disability rating). Here,
6 the ALJ found the VA's 100% disability rating was inconsistent with Plaintiff's
7 robust daily activities, including substantial involvement in coaching youth
8 football. Tr. 27; see Tr. 485 (October 7, 2015: Plaintiff reported enjoying coaching
9 youth football); Tr. 495 (March 8, 2016: Plaintiff reported spending "considerable
10 time" attending kids' football games, reported he was the head coach of a youth
11 football team, and described his nonprofit work as involving attending board
12 meetings, talking to businesses and high schools, and handling complaints); Tr.
13 844 (March 14, 2016: Plaintiff reported enjoying coaching eight different youth
14 football teams, hosting kids at his home for games, and being invited to coach at
15 camps in Denver and Seattle); Tr. 999 (October 18, 2016: Plaintiff reported being
16 invited to coach at other youth football camps and declining because of travel
17 expenses, reported staying busy on a daily basis with coaching and nonprofit work,
18 and reported receiving awards for his coaching). Plaintiff's activities provide
19 persuasive, specific, valid reason for the ALJ to give less weight to the VA rating.
20

1 Finally, the ALJ found that the VA rating criteria was inconsistent with
2 Social Security Administration policy. Tr. 27. Specifically, the ALJ found the
3 VA's disability rating for non-severe impairments like scars and tinnitus indicated
4 that the VA's disability rating criteria was inconsistent with SSA policy. Id.
5 Differences between VA and SSA governing rules do not provide persuasive,
6 specific, valid reason for rejecting a VA disability rating. *Valentine v. Comm'r*
7 *Soc. Sec. Admin.*, 574 F.3d 685, 695 (9th Cir. 2009) ("Insofar as the ALJ
8 distinguished the VA's disability rating on the general ground that the VA and
9 SSA disability inquiries are different, her analysis fell afoul of *McCartey*.").
10 Therefore, this was not a persuasive, specific, valid reason for the ALJ to give less
11 weight to the VA rating. However, the ALJ's error was harmless because the ALJ
12 provided several other persuasive, specific, valid reasons to give less weight to the
13 VA rating. *Tommasetti*, 533 F.3d at 1038 (an error is harmless when "it is clear
14 from the record that the . . . error was inconsequential to the ultimate nondisability
15 determination"). Plaintiff is not entitled to remand on these grounds.

16 **D. Step Two**

17 Plaintiff challenges the ALJ's failure to identify certain impairments as
18 severe impairments at step two of the sequential evaluation. ECF No. 13 at 14-15.

19 At step two of the sequential process, the ALJ must determine whether
20 claimant suffers from a "severe" impairment, i.e., one that significantly limits her

1 physical or mental ability to do basic work activities. 20 C.F.R. § 404.1520(c). To
2 show a severe impairment, the claimant must first prove the existence of a physical
3 or mental impairment by providing medical evidence consisting of signs,
4 symptoms, and laboratory findings; the claimant’s own statement of symptoms
5 alone will not suffice. 20 C.F.R. § 404.1521 (1985).

6 An impairment may be found to be not severe when “medical evidence
7 establishes only a slight abnormality or a combination of slight abnormalities
8 which would have no more than a minimal effect on an individual’s ability to
9 work....” Social Security Ruling (SSR) 85-28 at *3. Similarly, an impairment is
10 not severe if it does not significantly limit a claimant’s physical or mental ability to
11 do basic work activities; which include walking, standing, sitting, lifting, pushing,
12 pulling, reaching, carrying, or handling; seeing, hearing, and speaking;
13 understanding, carrying out and remembering simple instructions; responding
14 appropriately to supervision, coworkers and usual work situations; and dealing
15 with changes in a routine work setting. 20 C.F.R. § 404.1521 (1985); SSR 85-28.⁵

18 ⁵ The Supreme Court upheld the validity of the Commissioner’s severity
19 regulation, as clarified in SSR 85-28, in *Bowen v. Yuckert*, 482 U.S. 137, 153-54
20 (1987).

1 Step two is “a de minimus screening device [used] to dispose of groundless
2 claims.” Smolen, 80 F.3d at 1290. “Thus, applying our normal standard of review
3 to the requirements of step two, [the Court] must determine whether the ALJ had
4 substantial evidence to find that the medical evidence clearly established that
5 [Plaintiff] did not have a medically severe impairment or combination of
6 impairments.” Webb v. Barnhart, 433 F.3d 683, 687 (9th Cir. 2005).

7 Plaintiff asserts the ALJ erred by failing to find the following diagnoses as
8 severe impairments: TBI, mild arthritis of the lumbar spine, bulging disc, sciatica,
9 hypertension, insomnia, and hyperlipidemia. ECF No. 13 at 14-15. Plaintiff cites
10 treatment notes where these conditions were diagnosed, observed, or reported. *Id.*
11 However, the “mere diagnosis of an impairment ... is not sufficient to sustain a
12 finding of disability.” Key v. Heckler, 754 F.2d 1545, 1549 (9th Cir. 1985).

13 Plaintiff identifies no evidence in the record that mild arthritis of the lumbar spine,
14 bulging disc, sciatica, hypertension, insomnia, or hyperlipidemia have any impact
15 on his basic work abilities. ECF No. 13 at 14-15. Therefore, Plaintiff does not
16 establish these diagnoses are severe impairments.

17 Plaintiff alleges his TBI causes cognitive disorder and headaches, resulting
18 in alleged impairments in memory, focus, concentration, and persistence. ECF No.
19 13 at 14-15. The record documents Plaintiff reported suffering a TBI during his
20 military service in 2008. Tr. 577-78. However, the ALJ found no objective

1 evidence in the record to support the presence of TBI. Tr. 21; see Tr. 427-28
2 (December 14, 2013: unremarkable brain MRI); Tr. 448-49 (January 13, 2016:
3 head CT showed no acute intracranial pathology); Tr. 458 (January 18, 2016:
4 normal brain MRI); Tr. 970-71 (normal EEG). Additionally, no medical source
5 opined functional limitations as a result of TBI. Furthermore, the record indicates
6 Plaintiff's past TBI may be associated with other impairments that the ALJ did find
7 were severe impairments. Tr. 17; see Tr. 484 (Plaintiff "did suffer a TBI and has a
8 [seizure] disorder as a result of that TBI"); Tr. 644 (differential diagnoses of
9 PTSD, major depressive disorder, cognitive disorder due to TBI, and/or alcohol
10 dependence in full sustained remission); Tr. 757 (Plaintiff's reported cognitive
11 difficulties "are likely due to a combination of TBI and PTSD"). Even if the ALJ
12 should have identified TBI as a severe impairment at step two, such error is
13 harmless because step two was resolved in Plaintiff's favor. See *Stout v. Comm'r*
14 *of Soc. Sec. Admin.*, 454 F.3d 1050, 1055 (9th Cir. 2006); *Burch*, 400 F.3d at 682.
15 Plaintiff is not entitled to remand on these grounds.

16 **E. Step Three**

17 Plaintiff challenges the ALJ's failure to find Plaintiff's impairments met or
18 medically equaled the severity of a listed impairment at step three of the sequential
19 evaluation. ECF No. 13 at 15-16.
20

1 At step three, the ALJ must determine if a claimant's impairments meet or
2 equal a listed impairment. 20 C.F.R. § 404.1520(a)(4)(iii). The Listing of
3 Impairments "describes for each of the major body systems impairments [which
4 are considered] severe enough to prevent an individual from doing any gainful
5 activity, regardless of his or her age, education or work experience." 20 C.F.R.
6 § 404.1525 (2011). To meet a listed impairment, a claimant must establish that he
7 meets each characteristic of a listed impairment relevant to his claim. 20 C.F.R.
8 § 404.1525(d) (2011). If a claimant meets the listed criteria for disability, he will
9 be found to be disabled. 20 C.F.R. § 404.1520(a)(4)(iii). The claimant bears the
10 burden of establishing he meets a listing. Burch, 400 F.3d at 683.

11 Plaintiff asserts the ALJ should have found Plaintiff's impairments met or
12 medically equaled Listings 12.02 (neurocognitive disorder), 12.04 (depressive
13 disorder), and 12.15 (trauma- and stressor-related disorder). ECF No. 13 at 15-16.
14 The Paragraph B criteria associated with these three listings are met if the
15 impairment results in extreme limitation of one, or marked limitation of two, of the
16 following areas of mental functioning: understand, remember, or apply
17 information; interact with others; concentrate, persist, or maintain pace; adapt or
18 manage oneself. 20 C.F.R. § 404, Subpart P, Appendix I. Plaintiff asserts Dr.
19 Orr's memory scores and Dr. Veraldi's testimony should have compelled the ALJ
20 to find Plaintiff met Listings 12.02, 12.04, or 12.15 singly or in combination. ECF

1 No. 13 at 15-16. In response to the ALJ's question about the Paragraph B criteria,
2 Dr. Veraldi testified that Dr. Orr's memory scores would compel "concurrence" on
3 the "understand, remember, or apply information" prong, but that Dr. Orr's
4 memory scores "make no sense to me. I just note them." Tr. 45. Dr. Veraldi
5 testified that she rated Plaintiff's impairment in understanding, remembering, or
6 applying new information as mild. Id.

7 Plaintiff's argument rests on the assumption that the ALJ erred in
8 discrediting Dr. Orr's opinion. ECF No. 13 at 15. However, as discussed supra,
9 the ALJ did not err in discrediting Dr. Orr's opinion. Additionally, Dr. Veraldi
10 testified at the hearing, was available for cross examination, and the ALJ found
11 substantial evidence in the record supported her opinion and assigned her opinion
12 great weight. Tr. 26. The ALJ reasonably relied on Dr. Veraldi's testimony to
13 discredit Dr. Orr's memory scores and on Dr. Veraldi's opinion that Plaintiff's
14 impairment in understanding, remembering, or applying new information was
15 mild. Plaintiff does not establish that his impairments met or medically equaled
16 the severity of a listed impairment.

17 **F. Step Five**

18 Plaintiff challenges the ALJ's step five analysis for being based on an RFC
19 Plaintiff contends fails to incorporate all of Plaintiff's limitations. ECF No. 13 at
20 19-20.

1 At step five of the sequential evaluation analysis, the burden shifts to the
2 Commissioner to establish that 1) the claimant can perform other work, and 2)
3 such work “exists in significant numbers in the national economy.” 20 C.F.R. §
4 404.1560(c); Beltran, 700 F.3d at 389. In assessing whether there is work
5 available, the ALJ must rely on complete hypotheticals posed to a vocational
6 expert. Nguyen v. Chater, 100 F.3d 1462, 1467 (9th Cir. 1996). The ALJ’s
7 hypothetical must be based on medical assumptions supported by substantial
8 evidence in the record that reflects all of the claimant’s limitations. Osenbrook v.
9 Apfel, 240 F.3d 1157, 1165 (9th Cir. 2001). The hypothetical should be “accurate,
10 detailed, and supported by the medical record.” Tackett, 180 F.3d at 1101.

11 Plaintiff’s argument is based entirely on the assumption that the ALJ erred in
12 considering the medical opinion evidence and Plaintiff’s symptom claims. ECF
13 No. 13 at 19-20. For reasons discussed throughout this decision, the ALJ’s
14 evaluation of Plaintiff’s symptom complaints and the medical evidence is legally
15 sufficient and supported by substantial evidence. Thus, the ALJ did not err in
16 assessing the RFC or finding Plaintiff capable of performing other work in the
17 national economy.

1 **CONCLUSION**

2 Having reviewed the record and the ALJ’s findings, this court concludes the
3 ALJ’s decision is supported by substantial evidence and free of harmful legal error.

4 Accordingly, **IT IS HEREBY ORDERED:**

- 5 1. Plaintiff’s Motion for Summary Judgment, ECF No. 13, is **DENIED**.
6 2. Defendant’s Motion for Summary Judgment, ECF No. 14, is **GRANTED**.
7 3. The Court enter **JUDGMENT** in favor of Defendant.

8 The District Court Executive is directed to file this Order, provide copies to
9 counsel, and **CLOSE THE FILE**.

10 DATED March 18, 2019.

11 s/Mary K. Dimke
12 MARY K. DIMKE
13 UNITED STATES MAGISTRATE JUDGE
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