# **EXHIBIT L**

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13 14	Attorneys for Plaintiff Microsoft Corporation			
15	UNITED STATES DISTRICT COURT			
16	NORTHERN DISTRICT OF CALIFORNIA			
17	SAN JOSE DIVISION			
18	MICROSOFT CORPORATION, a Washington corporation,	Case No. 5:10-cv-00240-RS (PVT)		
19	Plaintiff,	PLAINTIFF MICROSOFT CORPORATIONS' DISCLOSURE OF ASSERTED CLAIMS AND INFRINGEMENT CONTENTIONS		
20	V.			
21	TIVO INC., a Delaware corporation,  Defendant.	(Patent Local Rules 3-1 and 3-2)		
22				
23	AND RELATED COUNTERCLAIMS.			
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Microsoft's Infringement Contentions

41826-0011/LEGAL18359087.1

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Pursuant to Patent Local Rules ("P.L.R.") 3-1 of the Local Rules of Practice for Patent Cases before the United States District Court for the Northern District of California, Plaintiff Microsoft Corporation ("Microsoft") makes the following Disclosure of Asserted Claims and Infringement Contentions. Microsoft's statements are based on publicly available sources that describe or show aspects of the accused infringing devices. Discovery in this action has only recently begun, no third party discovery has yet been taken, no source code has been reviewed, and the claims of the patents-in-suit have not yet been construed by the Court. Pursuant to the Federal Rules of Civil Procedure and the Patent Local Rules, Microsoft therefore reserves the right to supplement, amend and/or modify this Disclosure as its theories of the case are more fully developed over the course of discovery.

This disclosure is made solely for the purpose of this action. This disclosure is subject to all objections as to competence, relevance, materiality, propriety, and admissibility, and to any and all other objections on any grounds that would require the exclusion of statements contained herein if such disclosure were asked of, or statements contained herein were made by, a witness present and testifying in court, all of which objections and grounds are expressly reserved and may be interposed at the time of trial.

#### P.L.R. 3-1: DISCLOSURE OF ASSERTED CLAIMS AND INFRINGEMENT I. CONTENTIONS FOR U.S. PATENT NO. 6,008,803

#### A. **Asserted Claims**

Microsoft alleges that Defendant TiVo Inc. ("TiVo") infringes at least claims 1 and 2 of United States Patent No. 6,008,803 ("the '803 Patent")(the "Asserted '803 Patent Claims"). The contentions of Asserted '803 Patent Claims are, at this stage in the proceedings, necessarily limited in the sense that Microsoft has had limited access to information concerning the structure and function of TiVo's accused products, software, and services. Microsoft reserves the right to supplement these contentions as it obtains additional information concerning TiVo's accused products and/or services over the course of discovery.

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#### **B.** Accused Instrumentalities

Subject to the foregoing reservations, and based on information presently known to it, Microsoft believes that at least the following apparatuses, products, devices, processes, methods, acts, or other instrumentalities of TiVo infringe or are covered by the Asserted '803 Patent Claims: Series2, Series2 DT, Series3 HD, TiVo HD, TiVo HD XL, TiVo Premiere, and TiVo Premiere XL. Microsoft reserves the right to add, amend, or remove TiVo products as discovery continues.

#### **C.** Preliminary Claim Charts

A claim chart identifying where each element of each Asserted '803 Patent Claim is found within the Accused Instrumentalities is attached hereto as Attachment A. This claim chart is based solely on publicly-available documents and information because no documents have been provided yet by TiVo. Therefore, additional claims, and infringement positions may become applicable as information regarding TiVo's instrumentalities is acquired through the discovery process and otherwise. Microsoft expressly reserves the right to augment, supplement and revise its infringement contentions and charted information as discovery progresses.

### D. <u>Indirect Infringement</u>

Microsoft also and/or alternatively contends that TiVo indirectly infringes at least the '803 Patent Asserted Claims by actively inducing third parties (i.e., customers, subscribers and business partners) to infringe by providing third parties with specific and detailed explanations, instructions, and information as to arrangements, applications, and uses of its products, software and services that promote and demonstrate how to use its products, software and services in an infringing manner.

#### **E.** Doctrine of Equivalents

While Microsoft alleges that TiVo has literally infringed each of the Asserted '803 Patent Claims, it may also have infringed one or more of the Asserted '803 Patent Claims through the doctrine of equivalents. To the extent that any differences are alleged to exist between the Asserted Claims and the Accused Instrumentalities, such differences are insubstantial. TiVo's products and/or methods perform substantially the same function, in substantially the same way,

to yield substantially the same result as the claimed subject matter, and therefore TiVo has infringed the Asserted '803 Patent Claims both literally and under the doctrine of equivalents.

#### F. Priority Dates

Each of the Asserted '803 Patent Claims is entitled to a priority date at least as early as, and possibly earlier than, November 29, 1994, the filing date of U.S. Application No. 08/346,442, now U.S. Patent No. 5,623,613, the grandparent to the '803 Patent; and/or December 13, 1996, the filing date of U.S. Application No. 08/766,808, now U.S. Patent No. 5,812,123, the parent to the '803 Patent. The Asserted '803 Patent Claims may be entitled to an earlier priority date based on their conception and/or actual reduction to practice dates.

#### G. Willful Infringement

TiVo has been willfully infringing the Asserted '803 Patent Claims since at least as early as January 25, 2010, the date on which Microsoft served TiVo with the present Complaint.

# II. P.L.R. 3-1: DISCLOSURE OF ASSERTED CLAIMS AND INFRINGEMENT CONTENTIONS FOR U.S. PATENT NO. 6,055,314

#### A. <u>Asserted Claims</u>

Microsoft alleges that TiVo infringes at least claims 1-4, 6-8, 27, 29, 30, 32, 42, 63-64, and 70 of United States Patent No. 6,055,314 ("the '314 Patent") (the "Asserted '314 Patent Claims"). The contentions of Asserted '314 Patent Claims are, at this stage in the proceedings, necessarily limited in the sense that Microsoft has had limited access to information concerning the structure and function of TiVo's accused products, software and services. The '314 Patent claims implicate non-public operation of circuits, logic, software, and/or firmware within TiVo's DVRs that cannot be readily investigated from public information or research. Microsoft reserves the right to supplement these contentions and/or provide additional evidence of infringement following TiVo's and third parties' disclosure of that information concerning TiVo's accused products and/or services over the course of discovery.

#### **B.** Accused Instrumentalities

Subject to the foregoing reservations, and based on information presently known to it,

Microsoft believes that at least the following apparatuses, products, devices, processes, methods,

reserves the right to add, amend, or remove TiVo products as discovery continues.

C. <u>Preliminary Claim Charts</u>

A claim chart identifying where each element of each Asserted '314 Patent Claim is found within the Accused Instrumentalities is attached hereto as Attachment B. This claim chart is based solely on publicly-available documents and information because no documents have been provided yet by TiVo. Therefore, additional claims, and infringement positions may become applicable as information regarding TiVo's instrumentalities is acquired through the discovery process and otherwise. Microsoft expressly reserves the right to augment, supplement and revise its infringement contentions and charted information as discovery progresses.

acts, or other instrumentalities of TiVo infringe or are covered by the Asserted '314 Patent

Claims: Series3 HD, TiVo HD, TiVo HD XL, TiVo Premiere, and TiVo Premiere XL. Microsoft

### D. <u>Indirect Infringement</u>

Microsoft also and/or alternatively contends that TiVo indirectly infringes at least the Asserted '314 Patent Claims by actively inducing third parties (i.e., customers, subscribers and business partners) to infringe by providing third parties with specific and detailed explanations, instructions, and information as to arrangements, applications, and uses of its products and services that promote and demonstrate how to use its products and services in an infringing manner.

#### **E.** <u>Doctrine of Equivalents</u>

While Microsoft alleges that TiVo has literally infringed each of the Asserted '314 Patent Claims, it may also have infringed one or more of the Asserted '314 Patent Claims through the doctrine of equivalents. To the extent that any differences are alleged to exist between the Asserted '314 Patent Claims and the Accused Instrumentalities, such differences are insubstantial. TiVo's products and/or methods perform substantially the same function, in substantially the same way, to yield substantially the same result as the claimed subject matter, and therefore TiVo has infringed the Asserted '314 Patent Claims both literally and under the doctrine of equivalents.

1		F.	<b>Priority Dates</b>	
2	Each of the Asserted Claims of the '314 Patent is entitled to a priority date at least as early			
3	as, and possibly earlier than, March 22, 1996, the filing date of U.S. Application No. 08/620,603,			
4	which	issued	as the '314 Patent. The	Asserted Claims of both patents may be entitled to even
5	earlier	priorit	y dates based on the res	pective conception and/or actual reduction to practice dates.
6	 	G.	Willful Infringement	<u>t</u>
7		TiVo	has been willfully infrir	nging the '314 Patent since at least as early as January 25,
8	2010, the date on which Microsoft served TiVo with the present Complaint.			
9	III.	P.L.R	R. 3-2: PRODUCTION	OF DOCUMENTS ACCOMPANYING DISCLOSURE
10		Pursu	ant to P.L.R. 3-2, Micro	soft produces the following documents with its infringement
11	contentions:			
12	•	Docu	ments evidencing the co	enception, reduction to practice, design, and development of
13	each claimed invention, which were created on or before the date of application for the			
14	patent in suit or the priority date identified pursuant to Patent L.R. 3-1(f), whichever is			
15		earlie	r (MS001-000001 - MS	001-005776);
16	• A copy of the file history for the asserted patents and parent applications (MS001-005777			
17		- MS	001-006567); and	
18	• Ownership evidence (MS001-006568 - MS001-006577).			
19				
20	DATE	ED: Ma	ay 27, 2010	Respectfully submitted,
21				PERKINS COIE LLP PERKINS COIE BROWN & BAIN P.A.
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23				By: <u>Jawen Sha</u> Chad S. Campbell
24				Lauren Sliger Farschad Farzan
25				Attorneys for Plaintiff Microsoft Corporation
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#### PROOF OF SERVICE - EMAIL AND FEDERAL EXPRESS

STATE OF CALIFORNIA, COUNTY OF LOS ANGELES

I am and was at all times herein mentioned employed in the County of Los Angeles, State of California. I am over the age of 18 years and not a party to the within action or proceeding. My business address is 1888 Century Park East, Suite 1700, Los Angeles, California 90067-1721.

On May 27, 2010, I served a true copy of PLAINTIFF MICROSOFT CORPORATIONS' DISCLOSURE OF ASSERTED CLAIMS AND INFRINGEMENT CONTENTIONS on the interested parties in this action by EMAIL, I caused a copy of the document(s) to be sent from email address <a href="mailto:DMuench@perkinscoie.com">DMuench@perkinscoie.com</a> to the person at the email addresses listed below. I did not receive, within a reasonable time after the transmission, any electronic message or other indication that the transmission was unsuccessful on the interested parties in this action by email; and FEDERAL EXPRESS by placing said document in a sealed envelope or package designated by the express service carrier with delivery fees paid or provided for, addressed to the person on whom it is to be served, thence placing the document in a mailbox, substation, box or facility regularly maintained by the express service carrier, or delivering to an authorized courier or driver authorized by the express service carrier to receive documents, addressed as follows:

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I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct; that I am employed in the office of a member of the Bar of this Court at whose direction this service was made; and that this Proof of Service was executed on May 27, 2010, at Los Angeles, California.

Dehorah Muench