

1 evidence that Faletoogo used the firearm in connection with another felony. The Ninth Circuit
2 denied his appeal and held that the four-level sentencing enhancement was warranted for using
3 the firearm in connection with the felony offense of assault. Petitioner timely brought this
4 petition challenging his sentence for ineffective assistance of counsel and lack of evidentiary
5 support for the four-level enhancement. After full consideration of the record and for the reasons
6 set forth below, Faletoogo's § 2255 Petition is DENIED.

7 **II. BACKGROUND**

8 Petitioner was prosecuted after his arrest resulting from investigation of a 9-1-1 call
9 reporting an assault with a firearm at the El Toro Apartments. After his indictment, defense
10 counsel entered into plea negotiations with the United States and Petitioner entered a plea of
11 guilty to one count of felon in possession of a firearm on September 23, 2009. Prior to
12 sentencing, Faletoogo moved to withdraw his plea and the Court held an evidentiary hearing on
13 December 7, 2010. At the hearing, the Court concluded that Faletoogo was cognizant of the
14 charge against him and of the consequences of entering a guilty plea. Dkt. # 5-1, p. 257. The
15 Court also concluded that he entered the plea of his own volition. The Court denied Faletoogo's
16 motion to withdraw his guilty plea. *Id.* at 258.

17 The Court held an evidentiary hearing during sentencing to determine whether to impose
18 a four-level base offense upward enhancement. Probation's Presentence Report recommended
19 that the Court impose a four-level enhancement for the unlawful possession of the firearm in
20 connection with another felony pursuant to USSG § 2K2.1(b)(6)(B). The Presentence Report
21 recommended this enhancement for assault in the second degree based on the grounds of assault
22 with a deadly weapon under RCW 9A.36.021(1)(c). Faletoogo objected to the upward adjustment
23 and argued that he did not use the firearm in connection with another felony because he did not
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1 point the gun at his brother. Faletogo and his brother then testified that Faletogo did not point
2 the gun at his brother. However, based on the witnesses' written statements and other relevant
3 evidence such as the 9-1-1 call transcript and the reporting officer's testimony, the Court found
4 Faletogo and his brothers' testimony at the hearing not credible. Dkt. # 5-1, pp. 90-92. Upon
5 reviewing the facts, exhibits, witness testimony, and evidence before it, the Court held that
6 Faletogo was subject to a four-level upward adjustment because Faletogo used the unlawfully
7 possessed firearm in connection with assault in the second degree when he pointed it at his
8 brother.

9 Next, the Court imposed Faletogo's sentence. It found the Guideline range to be 51 to 63
10 months. The Court considered whether to depart from the guidelines based on traditional factors
11 as well as the USSG 3553(a) factors. The Court, disturbed by Faletogo's long criminal history
12 and the violent nature of that criminal history, held that an upward departure from the Guideline
13 range of 51 to 63 months was warranted because Faletogo's criminal history score was under-
14 represented. The Court found that if Faletogo's criminal history score was increased by one
15 level, the range would be 63 to 78 months. The Court sentenced Faletogo to 78 months of
16 imprisonment. Dkt. # 5-1, pp. 110-118.

17 **III. DISCUSSION**

18 Petitioner now brings a motion to vacate, set aside, or correct his sentence. A 28 U.S.C. §
19 2255 motion permits a federal prisoner, in custody, to collaterally challenge his sentence on the
20 grounds that it was imposed in violation of the Constitution or laws of the United States or that
21 the Court lacked jurisdiction to impose the sentence or that the sentence exceeded the maximum
22 authorized by law. Here, Petitioner challenges his sentence on the grounds that he received
23 ineffective assistance of counsel, and that the Court erred in imposing a sentence of 78 months of
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1 imprisonment. The Court finds that Petitioner is not entitled to an evidentiary hearing in this
2 matter because the petition, files, and totality of the record conclusively demonstrate that
3 Faletogo is not entitled to relief. *See United States v. Howard*, 381 F.3d 873, 877 (9th Cir. 2004).

4 **A. Ineffective Assistance of Counsel**

5 Petitioner argues that he received ineffective assistance of counsel in two circumstances.
6 First, Petitioner asserts that he received ineffective assistance of counsel at his sentencing.
7 Second, Petitioner asserts that he received ineffective assistance of counsel for his appeal.

8 To establish a claim for ineffective assistance of counsel, Petitioner must prove (1) that
9 counsel's performance was deficient and, (2) that the deficient performance prejudiced the
10 defense. *Strickland v. Washington*, 466 U.S. 668, 687 (1984). In order to establish that counsel's
11 performance was deficient, a petitioner must show that counsel's performance fell below an
12 objective standard of reasonableness. *Id.* at 688. There is a strong presumption that counsel was
13 within the range of reasonable assistance. *Id.* at 689. In order to establish that the counsel's
14 performance prejudiced the defense, a petitioner "must show that there is a reasonable
15 probability that, but for counsel's unprofessional errors, the result of the proceeding would have
16 been different. A reasonable probability is a probability sufficient to undermine confidence in
17 the outcome." *Id.* at 694.

18 Petitioner asserts that his counsel should have argued that the four-level enhancement
19 was not proper because the government did not prove the necessary elements of assault in the
20 second degree. Specifically, in his Reply brief, Petitioner argues that his counsel should have
21 argued that the government did not prove that Petitioner acted with intent or knowledge, and the
22 government did not show that "substantial bodily harm" occurred.

1 However, Petitioner misreads the elements of assault in the second degree. RCW
2 9A.36.021 sets forth seven separate grounds for assault in the second degree.¹ The Court applied
3 the four-level enhancement based on just one of those grounds: assault with a deadly weapon.
4 RCW 9A.36.021(1)(c). Unlike some of the other grounds for assault in the second degree,
5 assault with a deadly weapon does not require a showing of intent, knowledge, or substantial
6 bodily harm. Therefore, Petitioner’s counsel at sentencing was well within the standard of
7 objective reasonableness when he failed to raise issues regarding intent, knowledge, or bodily
8 harm because the government did not need to prove these “elements.”

9 Additionally, a four-level enhancement under USSG § 2K2.1(b)(6)(B) applies in one of
10 two scenarios. Pursuant to USSG 2K2.1 (b)(6)(B), a four-level enhancement applies if the

12 ¹ (1) A person is guilty of assault in the second degree if he or she, under circumstances not amounting to
13 assault in the first degree:

14 (a) Intentionally assaults another and thereby recklessly inflicts substantial bodily harm; or

15 (b) Intentionally and unlawfully causes substantial bodily harm to an unborn quick child by
intentionally and unlawfully inflicting any injury upon the mother of such child; or

16 (c) Assaults another with a deadly weapon; or

17 (d) With intent to inflict bodily harm, administers to or causes to be taken by another, poison or any
other destructive or noxious substance; or

18 (e) With intent to commit a felony, assaults another; or

19 (f) Knowingly inflicts bodily harm which by design causes such pain or agony as to be the equivalent
20 of that produced by torture; or

21 (g) Assaults another by strangulation or suffocation.

22 (2)(a) Except as provided in (b) of this subsection, assault in the second degree is a class B felony.

23 (b) Assault in the second degree with a finding of sexual motivation under RCW 9.94A.835 or
13.40.135 is a class A felony.

1 defendant “used or possessed any firearm or ammunition in connection with another felony
2 offense; or possessed or transferred any firearm or ammunition with knowledge, intent, or reason
3 to believe that it would be used or possessed in connection with another felony offense.”

4 Therefore, under the first scenario, the government must only prove that the defendant actually
5 used or possessed the firearm during, or in connection with, another felony offense. Under the
6 second scenario, the government must prove that the defendant possessed or transferred the
7 firearm to another individual with the intent, knowledge, or reasonable belief that the firearm
8 would be used by himself, or another, to commit a felony offense in the future. Thus, in contrast
9 to the second scenario, the government did not need to prove intent for application of the four-
10 level enhancement application under USSG § 2K2.1(b)(6)(B) because Faleto go possessed a
11 firearm in connection with a felony assault.

12 The Court found overwhelming evidence at the evidentiary hearing in support of the fact
13 that Faleto go “reached into his jacket, pulled out a silver or nickel plated pistol, racked or cycled
14 a round into the chamber, pointed the gun at his brother – at the chest of his brother, who was
15 sitting on the couch in the living room.” Dkt. # 5-1, p. 91. Based on this fact, the Court held that
16 clear and convincing evidence existed to support the four-level enhancement because Faleto go’s
17 conduct amounted to the felony offense of assault in the second degree. Dkt. # 5-1, pp. 91–93.
18 Because the four-level enhancement for using the firearm in assault in the second degree does
19 not require a showing of intent knowledge, or reasonable belief, counsel’s failure to raise the
20 issue of intent or knowledge at sentencing was neither unreasonable nor prejudicial.

21 Petitioner also asserts that his counsel should have argued that the four-level
22 enhancement does not apply because Petitioner’s actions regarding the firearm are a
23 misdemeanor rather than a felony. Petitioner cites RCW 9.41.230(1)(a), which states that a
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1 person is guilty of a gross misdemeanor, when that person “[a]ims any firearm, whether loaded
2 or not, at or towards any human being.” However, RCW 9.41.230 only applies “[f]or conduct not
3 amounting to a violation of chapter 9A.36 RCW.” At the evidentiary hearing, the Court held that
4 Petitioner violated RCW 9A.36, assault in the second degree, when he pointed a loaded firearm
5 at his brother. Dkt. # 5-1, pp. at 91–93. Thus, counsel’s failure to raise RCW 9.41.230 was
6 neither unreasonable nor prejudicial because RCW 9.41.230 does not apply to a situation where a
7 defendant’s conduct amounts to the felony offense of assault in the second degree.

8 Petitioner also fails to show that he received ineffective counsel for his appeal. Petitioner
9 argues that his appellate counsel failed to argue that the facts, even as found by the Court, did not
10 establish that he committed the felony offense of assault in the second degree by clear and
11 convincing evidence. However, his appellate counsel did argue that “[t]here was not sufficient
12 evidence to support the district court’s finding that he used a gun in connection with an assault
13 by clear and convincing evidence.” Dkt. 5-1, pp. 12–15. Thus, appellate counsel’s representation
14 was neither unreasonable nor prejudicial because counsel did in fact make the argument on
15 appeal that Petitioner now presents in his motion to vacate sentencing.

16 In addition, Petitioner asserts that his counsel should have argued that the Court cannot
17 apply the four-level enhancement because Petitioner committed a misdemeanor rather than a
18 felony. According to Petitioner, the Court did not have clear and convincing evidence of the
19 felony crime of assault in the second degree; rather, the Court had clear and convincing evidence
20 of the gross misdemeanor crime of aiming a loaded or unloaded gun at another human being
21 under RCW 9.41.230(1)(a). Again, RCW 9.41.230(1)(a) only applies to conduct not in violation
22 of RCW 9A.36, however Petitioner violated chapter RCW 9A.36 when, as the Court held in the
23 evidentiary hearing, Petitioner assaulted his brother with the unlawfully possessed firearm. Dkt.

1 # 5-1, pp. 91–93. Even if the Court found clear and convincing evidence of a misdemeanor
2 crime, the Court still would find clear and convincing evidence of the felony crime of assault in
3 the second degree because the Court found overwhelming evidence that Faletogo pointed the
4 loaded and unlawfully possessed firearm at his brother. Dkt. # 5-1, pp. at 91–93. Thus, appellate
5 counsel’s failure to raise RCW 9.41.230(1)(a) was neither unreasonable nor prejudicial.

6 **B. Base Offense Four-Level Enhancement**

7 Pursuant to USSG 2K2.1(b)(6)(B), a four-level enhancement applies if the defendant
8 “used or possessed any firearm or ammunition in connection with another felony offense; or
9 possessed or transferred any firearm or ammunition with knowledge, intent, or reason to
10 believe that it would be used or possessed in connection with another felony offense.” Again,
11 there are two scenarios in which this enhancement applies. In the first scenario, if a defendant
12 actually uses the unlawfully possessed firearm in connection with another felony, then the
13 enhancement applies regardless of the defendant’s intent. In the second scenario, if the
14 defendant did not actually use the unlawfully possessed firearm in connection with another
15 felony but knew, intended to, or reasonably believed the firearm would be used in another
16 felony, then the enhancement applies if the government proves intent, knowledge, or
17 reasonable belief.

18 Here, the Court applied the enhancement under the first scenario because it found that
19 Petitioner actually assaulted his brother with the unlawfully possessed firearm. The Court did
20 not assume that Petitioner used the firearm to assault his brother. Rather, the Court held an
21 evidentiary hearing to make this determination. Upon finding the testimony at the evidentiary
22 hearing not credible, and after reviewing all of the facts and evidence, the Court concluded
23 that the Petitioner “reached into his jacket, pulled out a silver or nickel plated pistol, racked or
24 cycled a round into the chamber, pointed the gun at his brother – at the chest of his brother,

1 | who was sitting on the couch in the living room.” Dkt. # 5-1, pp. at 91. Based on the totality
2 | of the evidence, the Court held that clear and convincing evidence exists to support the
3 | conclusion that defendant’s conduct amounted to felony assault in the second degree. Because
4 | a showing of intent is not necessary for the application of the four-level enhancement in this
5 | circumstance under USSG 2K2.1(b)(6)(B), the Court correctly applied the four-level
6 | enhancement.

7 | **C. Certificate of Appealability**

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9 | A petitioner seeking post-conviction relief under § 2255 may appeal a district court’s
10 | dismissal of his federal habeas petition only after obtaining a certificate of appealability from a
11 | district or circuit judge. A certificate of appealability may issue only where a petitioner has
12 | made “a substantial showing of the denial of a constitutional right.” *See* 28 U.S.C. § 2253(c)(3).
13 | A petitioner satisfies this standard “by demonstrating that jurists of reason could disagree with
14 | the district court’s resolution of his constitutional claims or that jurists could conclude the issues
15 | presented are adequate to deserve encouragement to proceed further.” *Miller-El v. Cockrell*, 537
16 | U.S. 322, 327 (2003). Under this standard, the Court concludes that petitioner is not entitled to a
17 | certificate of appealability with respect to any of the claims in his § 2255 motion.

18 | **IV. CONCLUSION**

19 | The Court, having considered Petitioner’s motion, Respondent’s response thereto, the
20 | reply, and the remainder of the record, hereby finds and ORDERS:

- 21 | (1) Petitioner’s 28 U.S.C. § 2255 motion (Dkt. #1) is hereby DENIED.
- 22 | (2) In accordance with Rule 11 of the Rules Governing Section 2255 Proceedings for
23 | the United States District Courts, a certificate of appealability is DENIED; and

1 (3) The Clerk of the Court is directed to forward a copy of this Order to Petitioner
2 and all counsel of record.

3 Dated this 3rd day of January 2013.
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6 RICARDO S. MARTINEZ
7 UNITED STATES DISTRICT JUDGE
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