1 2 3 4 5 6 7 UNITED STATES DISTRICT COURT WESTERN DISTRICT OF WASHINGTON 8 AT SEATTLE 9 SECURITIES AND EXCHANGE CASE NO. C17-0405JLR 10 COMMISSION, **ORDER** 11 Plaintiff, 12 v. 13 ANDY SHIN FONG CHEN, et al., 14 Defendants. 15 Before the court is the parties' September 27, 2019, joint status report. (JSR (Dkt. 16 #72).) The JSR explains that counsel for Plaintiff Securities and Exchange Commission 17 ("the SEC") "has received approval from [the SEC] for a requested penalty amount in 18 this matter." (Id. at 1.) The JSR also indicates that Defendants' counsel still needs 19 additional information about the immigration status of remaining investors and that 20 Defendants cannot yet determine whether they will "request a hearing for remedies 21 determination." (Id. at 1-2.) Despite these outstanding issues, the parties have agreed on 22

1	the following briefing schedule for the remedies phase of this proceeding: (1) the SEC's
2	motion and supporting papers will be due October 16, 2019; (2) Defendants' opposition
3	will be due on November 20, 2019; and (3) the SEC's reply will be due on December 4,
4	2019. (Id. at 2.) The parties also indicate that they intend to exchange additional
5	information before October 16, 2019, and "examine the possibility of stipulated
6	resolution." (Id.)
7	The court finds this proposal appropriate. Accordingly, the relief requested in
8	parties' JSR is GRANTED. (Dkt. # 72.)
9	Dated this 27th day of September, 2019.
10	
11	(Jun R. Klut
12	JAMES L. ROBART United States District Judge
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	