# UNITED STATES DISTRICT COURT WESTERN DISTRICT OF WASHINGTON AT SEATTLE 

## SECURITIES AND EXCHANGE

 COMMISSION,
## Plaintiff,

v.

ANDY SHIN FONG CHEN, et al., Defendants.

CASE NO. C17-0405JLR
ORDER

Before the court is the parties' September 27, 2019, joint status report. (JSR (Dkt. \# 72).) The JSR explains that counsel for Plaintiff Securities and Exchange Commission ("the SEC") "has received approval from [the SEC ] for a requested penalty amount in this matter." (Id. at 1.) The JSR also indicates that Defendants' counsel still needs additional information about the immigration status of remaining investors and that Defendants cannot yet determine whether they will "request a hearing for remedies determination." (Id. at 1-2.) Despite these outstanding issues, the parties have agreed on
the following briefing schedule for the remedies phase of this proceeding: (1) the SEC's motion and supporting papers will be due October 16, 2019; (2) Defendants' opposition will be due on November 20, 2019; and (3) the SEC's reply will be due on December 4, 2019. (Id. at 2.) The parties also indicate that they intend to exchange additional information before October 16, 2019, and "examine the possibility of stipulated resolution." (Id.)

The court finds this proposal appropriate. Accordingly, the relief requested in parties' JSR is GRANTED. (Dkt. \# 72.)

Dated this 27th day of September, 2019.


JAMES L. ROBART
United States District Judge

