

THE HONORABLE JOHN C. COUGHENOUR

UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
AT SEATTLE

INTEUM COMPANY, LLC,

Plaintiff,

v.

NATIONAL UNIVERSITY OF  
SINGAPORE,

Defendant.

CASE NO. C17-1252-JCC

STIPULATED PROTECTIVE  
ORDER

Pursuant to the parties' Stipulated Motion for Protective Order (Dkt. No. 14), the Court  
ORDERS as follows:

**1. PURPOSES AND LIMITATIONS**

Discovery in this action is likely to involve production of confidential, proprietary, or private information for which special protection may be warranted. Accordingly, the parties hereby stipulate to and petition the Court to enter the following Stipulated Protective Order. The parties acknowledge that this agreement is consistent with LCR 26(c). It does not confer blanket protection on all disclosures or responses to discovery. The protection it affords from public disclosure and use extends only to the limited information or items that are entitled to confidential treatment under the applicable legal principles, and it does not presumptively entitle parties to file confidential information under seal. The parties to this action stipulate that the

1 following Protective Order applies to documents and information produced or disclosed in this  
2 case.

3 **2. “CONFIDENTIAL” MATERIAL**

4 “Confidential” material shall include the following documents and tangible things  
5 produced or otherwise exchanged:

- 6 (a) Trade secret or otherwise proprietary material, including but not limited to  
7 software and source code;
- 8 (b) Other confidential information regarding the parties’ intellectual property, or that  
9 of third parties, including but not limited to reports that summarize such  
10 intellectual property and management of the same;
- 11 (c) Sensitive financial information that is not otherwise publicly available;
- 12 (d) Confidential personal information for current and former employees of the  
13 parties, or of third parties;
- 14 (e) Confidential and sensitive investigative files;
- 15 (f) Accounting or audit information, including but not limited to any financial  
16 account number, access code, or password;
- 17 (g) Documents that otherwise describe, contain or disclose internal company or  
18 organizational information, including student, faculty, or customer information,  
19 internal policy discussions, competitive or strategic initiatives, business plans and  
20 other business-related information; where such information is not readily  
21 ascertainable and with respect to which the party asserting confidentiality has  
22 taken reasonable steps to maintain its confidentiality; and
- 23 (h) Any other information that a party in good faith believes constitutes or includes  
24 sensitive business or organizational information, or personal information or  
25 information furnished in confidence by any third party, which information is not  
26 known or freely accessible to the general public.

1 **3. SCOPE**

2 The protections conferred by this agreement cover not only confidential material (as  
3 defined above), but also (1) any information copied or extracted from confidential material;  
4 (2) all copies, excerpts, summaries, or compilations of confidential material; and (3) any  
5 testimony, conversations, or presentations by parties or their counsel that might reveal  
6 confidential material. However, the protections conferred by this agreement do not cover  
7 information that is in the public domain or becomes part of the public domain through trial or  
8 otherwise.

9 **4. ACCESS TO AND USE OF CONFIDENTIAL MATERIAL**

10 **4.1 Basic Principles.** A receiving party may use confidential material that is  
11 disclosed or produced by another party or by a non-party in connection with this case only for  
12 prosecuting, defending, or attempting to settle this litigation. Confidential material may be  
13 disclosed only to the categories of persons and under the conditions described in this agreement.  
14 Confidential material must be stored and maintained by a receiving party at a location and in a  
15 secure manner that ensures that access is limited to the persons authorized under this agreement.

16 **4.2 Disclosure of “CONFIDENTIAL” Information or Items.** Unless otherwise  
17 ordered by the court or permitted in writing by the designating party, a receiving party may  
18 disclose any confidential material only to:

19 (a) the receiving party’s counsel of record in this action, as well as employees of  
20 counsel to whom it is reasonably necessary to disclose the information for this litigation;

21 (b) the officers, directors, and employees (including in-house counsel) of the  
22 receiving party to whom disclosure is reasonably necessary for this litigation, unless a particular  
23 document or material produced is designated “Attorney’s Eyes Only” (“AEO”)<sup>1</sup> ;

24 \_\_\_\_\_  
25 <sup>1</sup> All references herein to “confidential” material shall include material designated as  
26 “Attorneys’ Eyes Only,” and all rights and protections accorded to confidential material apply to  
“Attorneys’ Eyes Only” material.

1 (c) experts and consultants to whom disclosure is reasonably necessary for this  
2 litigation and who have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A);

3 (d) the Court, court personnel, and court reporters and their staff;

4 (e) copy or imaging services (including any e-discovery vendors) retained by counsel  
5 to assist in the duplication and production of confidential material, provided that counsel for the  
6 party retaining the copy or imaging service instructs the service not to disclose any confidential  
7 material to third parties and to immediately return all originals and copies of any confidential  
8 material;

9 (f) during their depositions, witnesses in the action to whom disclosure is reasonably  
10 necessary and who have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit  
11 A), unless otherwise agreed by the designating party or ordered by the Court. Pages of  
12 transcribed deposition testimony or exhibits to depositions that reveal confidential material must  
13 be separately bound by the court reporter and may not be disclosed to anyone except as permitted  
14 under this agreement;

15 (g) the author or recipient of a document containing the information or a custodian or  
16 other person who otherwise possessed or knew the information;

17 (h) a mediator, or similar alternative dispute resolution neutral, and his or her staff.

18 Notwithstanding the foregoing or any other provision of this Stipulation, a designating  
19 party may disclose or make use of its own confidential or AEO material in any manner it deems  
20 appropriate.

21 **4.3 Filing Confidential Material.** Before filing confidential material or discussing  
22 or referencing such material in court filings, the filing party shall confer with the designating  
23 party to determine whether the designating party will remove the confidential designation,  
24 whether the document can be redacted, or whether a motion to seal or stipulation and proposed  
25 order is warranted. Local Civil Rule 5(g) sets forth the procedures that must be followed and the  
26

standards that will be applied when a party seeks permission from the Court to file material under seal.

## **5. DESIGNATING PROTECTED MATERIAL**

**5.1 Exercise of Restraint and Care in Designating Material for Protection.** Each party or non-party that designates information or items for protection under this agreement must take care to limit any such designation to specific material that qualifies under the appropriate standards. The designating party must designate for protection only those parts of material, documents, items, or oral or written communications that qualify, so that other portions of the material, documents, items, or communications for which protection is not warranted are not swept unjustifiably within the ambit of this agreement.

Mass, indiscriminate, or routinized designations are prohibited. Designations that are shown to be clearly unjustified or that have been made for an improper purpose (*e.g.*, to unnecessarily encumber or delay the case development process or to impose unnecessary expenses and burdens on other parties) expose the designating party to sanctions.

If it comes to a designating party's attention that information or items that it designated for protection do not qualify for protection, the designating party must promptly notify all other parties that it is withdrawing the mistaken designation.

**5.2 Manner and Timing of Designations.** Except as otherwise provided in this agreement (see, *e.g.*, second paragraph of section 5.2(a) below), or as otherwise stipulated or ordered, disclosure or discovery material that qualifies for protection under this agreement must be clearly so designated before or when the material is disclosed or produced.

(a) Information in documentary form (*e.g.*, paper or electronic documents and deposition exhibits, but excluding transcripts of depositions or other pretrial or trial proceedings): The designating party must affix the word "CONFIDENTIAL" or "ATTORNEYS' EYES ONLY" to each page that contains confidential material. If only a portion or portions of the material on a page qualifies for protection, the producing party also

1 must clearly identify the protected portion(s) (*e.g.*, by making appropriate markings in the  
2 margins).

3 (b) Testimony given in deposition or in other pretrial or trial proceedings: The  
4 parties and any participating non-parties must identify on the record, during the deposition or  
5 other pretrial proceeding, all protected testimony, without prejudice to their right to so designate  
6 other testimony after reviewing the transcript. Any party or non-party may, within fifteen days  
7 after receiving the transcript of the deposition or other pretrial proceeding, designate portions of  
8 the transcript, or exhibits thereto, as confidential. If a party or non-party desires to protect  
9 confidential information at trial, the issue should be addressed during the pre-trial conference.

10 (c) Other tangible items: The producing party must affix in a prominent place on the  
11 exterior of the container or containers in which the information or item is stored the word  
12 “CONFIDENTIAL” or “ATTORNEYS’ EYES ONLY.” If only a portion or portions of the  
13 information or item warrant protection, the producing party, to the extent practicable, shall  
14 identify the protected portion(s).

15 **5.3 Inadvertent Failures to Designate.** If timely corrected, an inadvertent failure to  
16 designate qualified information or items does not, standing alone, waive the designating party’s  
17 right to secure protection under this agreement for such material. Upon timely correction of a  
18 designation, the receiving party must make reasonable efforts to ensure that the material is  
19 treated in accordance with the provisions of this agreement.

## 20 **6. CHALLENGING CONFIDENTIALITY DESIGNATIONS**

21 **6.1 Timing of Challenges.** Any party or non-party may challenge a designation of  
22 confidentiality at any time. Unless a prompt challenge to a designating party’s confidentiality  
23 designation is necessary to avoid foreseeable, substantial unfairness, unnecessary economic  
24 burdens, or a significant disruption or delay of the litigation, a party does not waive its right to  
25 challenge a confidentiality designation by electing not to mount a challenge promptly after the  
26 original designation is disclosed.

1           **6.2 Meet and Confer.** The parties must make every attempt to resolve any dispute  
2 regarding confidential designations without Court involvement. Any motion regarding  
3 confidential designations or for a protective order must include a certification, in the motion or in  
4 a declaration or affidavit, that the movant has engaged in a good faith meet and confer  
5 conference with other affected parties in an effort to resolve the dispute without Court action.  
6 The certification must list the date, manner, and participants to the conference. A good faith  
7 effort to confer requires a face-to-face meeting or a telephone conference.

8           **6.3 Judicial Intervention.** If the parties cannot resolve a challenge without Court  
9 intervention, the designating party may file and serve a motion to retain confidentiality under  
10 Local Civil Rule 7 (and in compliance with Local Civil Rule 5(g), if applicable). The burden of  
11 persuasion in any such motion shall be on the designating party. Frivolous challenges, and those  
12 made for an improper purpose (e.g., to harass or impose unnecessary expenses and burdens on  
13 other parties) may expose the challenging party to sanctions. All parties shall continue to  
14 maintain the material in question as confidential until the Court rules on the challenge.

15 **7. PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN**  
16 **OTHER LITIGATION**

17           If a party is served with a subpoena or a court order issued in other litigation that compels  
18 disclosure of any information or items designated in this action as “CONFIDENTIAL” or  
19 “ATTORNEYS’ EYES ONLY,” that party must:

20           (a) promptly notify the designating party in writing and include a copy of the  
21 subpoena or court order;

22           (b) promptly notify in writing the party who caused the subpoena or order to issue in  
23 the other litigation that some or all of the material covered by the subpoena or order is subject to  
24 this agreement. Such notification shall include a copy of this agreement; and

25           (c) cooperate with respect to all reasonable procedures sought to be pursued by the  
26 designating party whose confidential material may be affected.

1 **8. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL**

2 If a receiving party learns that, by inadvertence or otherwise, it has disclosed confidential  
3 material to any person or in any circumstance not authorized under this agreement, the receiving  
4 party must immediately (a) notify in writing the designating party of the unauthorized  
5 disclosures, (b) use its best efforts to retrieve all unauthorized copies of the protected material,  
6 (c) inform the person or persons to whom unauthorized disclosures were made of all the terms of  
7 this agreement, and (d) request that such person or persons execute the "Acknowledgment and  
8 Agreement to Be Bound" that is attached hereto as Exhibit A.

9 **9. INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE  
10 PROTECTED MATERIAL**

11 When a producing party gives notice to receiving parties that certain inadvertently  
12 produced material is subject to a claim of privilege or other protection, the obligations of the  
13 receiving parties are those set forth in Federal Rule of Civil Procedure 26(b)(5)(B). This  
14 provision is not intended to modify whatever procedure may be established in an e-discovery  
15 order or agreement that provides for production without prior privilege review. The parties agree  
16 to the entry of a non-waiver order under Fed. R. Evid. 502(d) as set forth herein.

17 **10. NON TERMINATION AND RETURN OF DOCUMENTS**

18 Within 60 days after the termination of this action, including all appeals, each receiving  
19 party must return all confidential material to the producing party, including all copies, extracts  
20 and summaries thereof. Alternatively, the parties may agree upon appropriate methods of  
21 destruction.

22 Notwithstanding this provision, counsel are entitled to retain one archival copy of all  
23 documents filed with the court, trial, deposition, and hearing transcripts, correspondence,  
24 deposition and trial exhibits, expert reports, attorney work product, and consultant and expert  
25 work product, even if such materials contain confidential material.

26 The confidentiality obligations imposed by this agreement shall remain in effect until a  
designating party agrees otherwise in writing or a court orders otherwise.

1  
2 **IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.**

3 DATED this 25th day of September, 2017.

4 s/ Joe McMillan, WSBA No. 26527

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*Attorneys for Plaintiff Inteum Company, LLC*

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1 IT IS FURTHER ORDERED that pursuant to Fed. R. Evid. 502(d), the production of any  
2 documents in this proceeding shall not, for the purposes of this proceeding or any other  
3 proceeding in any other court, constitute a waiver by the producing party of any privilege  
4 applicable to those documents, including the attorney-client privilege, attorney work-product  
5 protection, or any other privilege or protection recognized by law.

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7 DATED this 25th day of October, 2017.

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12 John C. Coughenour  
13 UNITED STATES DISTRICT JUDGE  
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**EXHIBIT A**  
**ACKNOWLEDGEMENT AND AGREEMENT TO BE BOUND**

I, \_\_\_\_\_ [FULL NAME], of \_\_\_\_\_  
[FULL ADDRESS], declare under penalty of perjury that I have read in its entirety and  
understand the Stipulated Protective Order that was issued by the United States District Court for  
the Western District of Washington on \_\_\_\_\_, 2017, in the case of *Inteum Company, LLC*  
*v. National University of Singapore*, No. 2:17-cv-01252-JCC. I agree to comply with and to be  
bound by all the terms of this Stipulated Protective Order and I understand and acknowledge that  
failure to so comply could expose me to sanctions and punishment in the nature of contempt. I  
solemnly promise that I will not disclose in any manner any information or item that is subject to  
this Stipulated Protective Order to any person or entity except in strict compliance with the  
provisions of this Order.

I further agree to submit to the jurisdiction of the United States District Court for the  
Western District of Washington for the purpose of enforcing the terms of this Stipulated  
Protective Order, even if such enforcement proceedings occur after termination of this action.

Date: \_\_\_\_\_, 20\_\_.

City and State where sworn and signed: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Signature: \_\_\_\_\_