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UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON
AT SEATTLE

MONIQUE BOND and BRIGITTE
GRINGAS,

Plaintiffs,

v.

CRUISEPORT CURACAO, C.V., et
al.,

Defendants.

CASE NO. C17-1639-MJP

ORDER DENYING MOTION TO
DISMISS LOSS OF CONSORTIUM
CLAIM AND TO ENFORCE THE
ATHENS CONVENTION

THIS MATTER comes before the Court on Defendants’ Motion to Dismiss and to Enforce the Athens Convention. (Dkt. No. 25.) Having reviewed the Motion, the Response (Dkt. No. 29), the Reply (Dkt. No. 30) and the related record, the Court DENIES the Motion.

Background

This matter arises from a slip-and-fall by Plaintiff Monique Bond while she was a passenger on board the *MS Noordam*, a cruise ship owned and operated by Defendants Cruiseport Curacao C.V., Holland America Line L.V., and Holland America Line, Inc. (See

1 Dkt. No. 1.) On November 8, 2016, Ms. Bond, along with her spouse Ms. Gringas, boarded the
2 *MS Noordam* in Auckland, New Zealand for a tour of Australia, New Zealand, and the South
3 Pacific. (*Id.* at ¶ 13.) On December 5, 2016, Ms. Bond slipped on board, dislocating and
4 fracturing her knee. (*Id.* at ¶¶ 14, 19.) Ms. Bond and Ms. Gringas brought this action against
5 Defendants for negligence and loss of consortium. (*Id.* at ¶¶ 25-35.)

6 Defendants now move to dismiss Ms. Gringas’ claim for loss of consortium and to
7 enforce the limitations on liability under the Athens Convention.

8 Discussion

9 I. Legal Standard

10 A. Rule 12(b)(6)

11 The Court may dismiss a complaint for “failure to state a claim upon which relief can be
12 granted.” Fed. R. Civ. P. 12(b)(6). “A complaint may fail to show a right of relief either by
13 lacking a cognizable legal theory or by lacking sufficient facts alleged under a cognizable legal
14 theory.” *Woods v. U.S. Bank N.A.*, 831 F.3d 1159, 1162 (9th Cir. 2016). In ruling on a Rule
15 12(b)(6) motion, the Court must accept all material allegations as true and construe the complaint
16 in the light most favorable to the non-movant. *Wyler Summit P’Ship v. Turner Broad. Sys., Inc.*,
17 135 F.3d 658, 661 (9th Cir. 1998). The complaint “must contain sufficient factual matter,
18 accepted as true, to ‘state a claim to relief that is plausible on its face.’” *Ashcroft v. Iqbal*, 556
19 U.S. 662, 678 (2009) (citing *Bell Atlantic Corp. v. Twombly*, 550 U.S. 544, 570 (1955)). A
20 complaint that offers “labels and conclusions” or “a formulaic recitation of the elements of a
21 cause of action” will not suffice, nor will “naked assertions” devoid of “further factual
22 enhancement.” *Id.*

1 **B. Rule 56**

2 In general, the Court may not consider materials beyond the pleadings in ruling on a Rule
3 12(b)(6) motion without converting it into a motion for summary judgment. See Van Buskirk v.
4 CNN, 284 F.2d 977, 980 (9th Cir. 2002). Under Rule 56, summary judgment is proper if the
5 pleadings, depositions, answers to interrogatories, admissions on file, and affidavits show that
6 there is no genuine issue of material fact and that the moving party is entitled to judgment as a
7 matter of law. Fed. R. Civ. P. 56(c). The movant bears the initial burden to demonstrate the
8 absence of a genuine dispute of material fact. Celotex Corp. v. Catrett, 477 U.S. 317, 323
9 (1986). A genuine dispute over a material fact exists if there is sufficient evidence for a
10 reasonable jury to return a verdict for the non-movant. Anderson v. Liberty Lobby, Inc., 477
11 U.S. 242, 253 (1986). On a motion for summary judgment, “[t]he evidence of the non-movant is
12 to be believed, and all justifiable inferences are to be drawn in his favor.” Id. at 255.

13 **II. Loss of Consortium Claim**

14 Defendants contend that general maritime law does not recognize a claim for loss of
15 consortium where a ship passenger is injured. (Dkt. No. 25 at 4-8.) Plaintiffs respond that the
16 cases relied upon by Defendants—namely Miles v. Apex Marine Corp., 498 U.S. 19 (1990) and
17 Chan v. Society Expeditions, Inc., 39 F.3d 1398 (9th Cir. 1994)—do not preclude recovery for
18 loss of consortium in an unseaworthiness claim. (Dkt. No. 29 at 3-4.) For the same reasons it
19 did so in Barrette v. Jubilee Fisheries, Inc., Case No. 10-1206MJP, 2011 WL 3516061 (W.D.
20 Wash. Aug. 11, 2011), the Court concludes that Miles and Chan have been limited by the
21 Supreme Court’s later ruling in Atlantic Sounding Co., Inc. v. Townsend, 557 U.S. 404 (2009),
22 indicating that Ms. Gringas’ loss of consortium claim is not barred.

1 In Miles, the Supreme Court held that limitations on recovery for loss of consortium
2 under the Jones Act also precluded recovery for loss of consortium in wrongful death actions
3 brought under general maritime law. See 498 U.S. at 33. The Court reasoned that its holding
4 brought general maritime law into conformity with the Jones Act and the Death on the High Seas
5 Act (DOHSA), 46 U.S.C. §§ 761-762, which explicitly excluded loss of consortium in wrongful
6 death actions. Id.

7 In Chan, the Ninth Circuit extended Miles to preclude recovery for loss of consortium for
8 every maritime tort that occurs on the high seas, reasoning that to do otherwise “would
9 effectively reward a tortfeasor for killing, rather than merely injuring his victim.” 39 F.3d at
10 1408.

11 After Chan, however, the Supreme Court clarified the scope of Miles in Townsend,
12 cautioning that lower courts had applied it too broadly to the detriment of maritime plaintiffs. In
13 Townsend, the plaintiff sought punitive damages on a maintenance and cure claim brought under
14 general maritime law and the Jones Act. 557 U.S. at 408. The defendants argued that, under
15 Miles, the plaintiff was limited to damages available under the Jones Act, which did not include
16 punitive damages. Id. The Supreme Court disagreed, reasoning that the Jones Act applied only
17 to causes of action involving negligence and “did not eliminate pre-existing remedies available
18 to seamen for the separate common-law cause of action based on a seaman’s right to
19 maintenance and cure.” Id. at 415-16. “Because the then-accepted remedies for injured seamen
20 arose from the general maritime law, it necessarily follows that Congress was envisioning the
21 continued availability of those common-law causes of action.” Id. at 416 (citations omitted).
22 The Jones Act was “for the benefit and protection of seamen,” and “[i]ts purpose was to enlarge
23 that protection, not to narrow it.” Id. at 417 (citations omitted). In other words, Townsend
24

1 recognized that simply because the Jones Act provides a remedy for a given cause of action
2 “does not mean that the Jones Act provides the only remedy.” Id. at 422-23 (citation omitted).

3 Here, as in Barrette, the Court finds that, because the claim of unseaworthiness and the
4 remedy of loss of consortium both existed in general maritime law long before the Jones Act, the
5 Jones Act does not preclude recovery for loss of consortium in an unseaworthiness action. See
6 Barrette, 2011 WL 3516061 at *5. The Court further finds that the fact that Ms. Bond was a
7 passenger as opposed to a seaman does not undermine, but rather strengthens her claim for
8 recovery, as nowhere does the Jones Act limit a *passenger*’s right to recover non-pecuniary
9 damages. See Morgan v. Almars Outboards, Inc., 316 F. Supp. 3d 828, 844 (D. Del. 2018)
10 (explaining that, although the Jones Act limits damages by reference to the Federal Employers’
11 Liability Act (“FELA”), 45 U.S.C § 51 et seq., “only the Jones Act’s provision governing
12 seamen incorporates FELA; the passenger provision does not,” and for this reason “it becomes
13 all the more clear that non-pecuniary damages should be available to passengers, to whom the
14 limitations of FELA have no logical applicability.”).

15 Therefore, the Court DENIES Defendants’ Motion to Dismiss Ms. Gringas’ loss of
16 consortium claim.

17 **III. Motion to Enforce the Athens Convention**

18 Defendants contend that the Athens Convention was incorporated into the passenger
19 ticket contract (the “Cruise Contract”) issued to Plaintiffs, and limits their monetary recovery
20 against Defendants to 400,000 Special Drawing Rights (SDRs).¹ As an initial matter, because
21 this portion of Defendants’ Rule 12(b)(6) Motion requires the Court to consider materials beyond
22 the pleadings, the Court will treat it as a motion for partial summary judgment under Rule 56.

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24 ¹ The equivalent of approximately USD \$553,200 as of December 3, 2018.

1 Because the United States is not a signatory to the Athens Convention, its limitation on
2 liability applies only where it is validly incorporated into a passenger ticket contract. See Wallis
3 v. Princess Cruises, Inc., 306 F.3d 827, 839 (9th Cir. 2002). As such, “an Athens Convention
4 limitation must be reasonably communicated before it can bind a passenger under federal
5 maritime law.” Id. In making this determination, the Court must consider the “the overall
6 circumstances,” including (1) “the physical characteristics of the ticket” (i.e., “features such as
7 size of type, conspicuousness and clarity of notice on the face of the ticket, and the ease with
8 which a passenger can read the provisions in question”) and (2) the “surrounding circumstances”
9 and “extrinsic factors indicating the passenger’s ability to become meaningfully informed” (i.e.,
10 “the passenger’s familiarity with the ticket, the time and incentive under the circumstances to
11 study the provisions of the ticket, and any other notice that the passenger received outside of the
12 ticket.” Id. at 835-36 (citations omitted) (emphasis omitted). Whether a ticket provides
13 reasonable notice is a question of law. Id. at 839. (citation omitted).

14 Here, the Cruise Contract provides as follows:

15 **LIMITATIONS ON CARRIER’S LIABILITY; INDEMNIFICATION**

16 **(E) Cruises To/From or Within the EU:** *On international cruises which neither*
17 *embark, disembark nor call at any U.S. port and where You commence the cruise by*
18 *embarking or disembarking in a port of a European Member State, Carrier shall be*
19 *entitled to any and all liability limitations and immunities for loss of or damage to*
20 *luggage, death and/or personal injury as provided under EU Regulation 392/2009 on the*
21 *liability of carriers to passengers in the event of accidents. Unless the loss or damage*
22 *was caused by a shipping incident, which is defined as a shipwreck, capsizing, collision*
23 *or stranding of the ship, explosion or fire in the ship, or defect in the ship (as defined by*
24 *the Regulation), Carrier's liability is limited to no more than 400,000 Special Drawing*
Rights ("SDR") per passenger, (approximately U.S. \$564,000, which fluctuates
depending on the daily exchange rate as published in the Wall Street Journal) if the
passenger proves that the incident was a result of Carrier's fault or neglect. . .

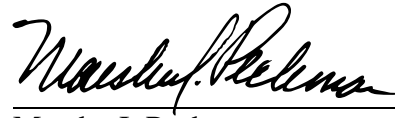
(Dkt. No. 25-3, Ex. 1 at 13-14) (emphasis added).

1 While Defendants claim that “[t]his language placed Plaintiffs on notice that the Athens
2 Convention limited [their] liability” (Dkt. No. 25 at 10), the Court disagrees. By its own
3 language, the limitation on liability does not apply to the *MS Noordam*, which manifestly did not
4 “commence . . . by embarking or disembarking in a port of a European Member State.”
5 Although the physical characteristics of the Cruise Contract otherwise appear sufficient to put
6 Plaintiffs on notice of this provision (i.e., it is legible and bears the heading “LIMITATIONS ON
7 CARRIER’S LIABILITY; INDEMNIFICATION”), the use of the conjunctive “and” in the
8 excerpted language above would lead a reasonable passenger to believe that the Athens
9 Convention applies *only* where a cruise embarks or disembarks within the EU, and would
10 provide the average passenger with “little incentive to invest sufficient effort . . . to study the
11 provisions of the ticket” that follow. Wallis, 306 F.3d at 836. In Wallis, the Ninth Circuit found
12 that a passenger contract was not reasonably communicated where it was “unclear . . . whether
13 the liability limitations applicable under the Athens Convention would necessarily apply,” such
14 that a passenger would be led to regard such limitations as “only a potentially binding term” of
15 the contract. Id. Here, a reasonable passenger on a cruise that does not embark or disembark
16 within the EU—such as the *MS Noordam*—would be led to regard the Athens Convention as
17 entirely inapplicable, and would have no incentive whatsoever to research its provisions or
18 otherwise learn that its reach was broader than stated in the Cruise Contract.

19 Therefore, the Court DENIES Defendants’ Motion to Enforce the Athens Convention,
20 and finds that its limitations on liability do not apply as a matter of law.

21 The clerk is ordered to provide copies of this order to all counsel.
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1 Dated December 4, 2018.

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4 Marsha J. Pechman
5 United States District Judge
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