

The Honorable James L. Robart

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON
AT SEATTLE

BLOCK MINING INC., f/k/a
BLOCKWARE MINING, INC.,

CASE NO. C24-0319-JLR

**AGREEMENT REGARDING
DISCOVERY OF
ELECTRONICALLY STORED
INFORMATION AND
[PROPOSED] ORDER**

Plaintiff,

v.

HOSTING SOURCE, LLC,

Defendant.

The parties hereby stipulate to the following provisions regarding the discovery of electronically stored information (“ESI”) in this matter:

A. General Principles

1. An attorney’s zealous representation of a client is not compromised by conducting discovery in a cooperative manner. The failure of counsel or the parties to litigation to cooperate in facilitating and reasonably limiting discovery requests and responses raises litigation costs and contributes to the risk of sanctions.

1 2. As provided in LCR 26(f), the proportionality standard set forth in Fed. R. Civ. P.
2 26(b)(1) must be applied in each case when formulating a discovery plan. To further the
3 application of the proportionality standard in discovery, requests for production of ESI and related
4 responses should be reasonably targeted, clear, and as specific as possible. This agreement is
5 intended to assist the parties in identifying relevant, responsive information that has been stored
6 electronically and is proportional to the needs of the case. The agreement does not supplant the
7 parties' obligations to comply with Fed. R. Civ. P. 34.

8 **B. ESI Disclosures**

9 Within 30 days of entry of this Order, or at a later time if agreed to by the parties, each
10 party shall disclose:

11 1. Custodians. The custodians most likely to have discoverable ESI in their
12 possession, custody, or control. The custodians shall be identified by name, title, connection to
13 the instant litigation, and the type of the information under the custodian's control. This disclosure
14 provision is distinct from the parties' agreement set forth in Section C below about determining
15 the number of custodians from whom ESI should be gathered. The parties reserve the right both
16 to name additional custodians and also to argue that ESI need not be gathered from every
17 custodian named.

18 2. Non-custodial Data Sources. A list of non-custodial data sources (*e.g.*, shared
19 drives, servers), if any, likely to contain discoverable ESI.

20 3. Third-Party Data Sources. A list of third-party data sources, if any, likely to
21 contain discoverable ESI (*e.g.*, third-party email providers, mobile device providers, cloud
22 storage) and, for each such source, the extent to which a party is (or is not) able to preserve
23 information stored in the third-party data source.

1 4. Inaccessible Data. A list of data sources, if any, likely to contain discoverable ESI
2 (by type, date, custodian, electronic system or other criteria sufficient to specifically identify the
3 data source) that a party asserts are not reasonably accessible under Fed. R. Civ. P. 26(b)(2)(B).

4 **C. ESI Discovery Procedures**

5 1. On-site inspection of electronic media. Except as required by an existing
6 agreement between the parties independent of the requirements of the Federal Rules of Civil
7 Procedure, an inspection under the Federal Rules governing discovery shall not be required absent
8 a demonstration by the requesting party of specific need and good cause or by agreement of the
9 parties.

10 2. Search methodology. The parties shall timely confer to attempt to reach agreement
11 on appropriate search terms and queries, file type and date restrictions, data sources (including
12 custodians), and other appropriate computer- or technology-aided methodologies, before any such
13 effort is undertaken, taking into account the complexity, proportionality, and nature of the case.
14 The parties shall continue to cooperate in revising the appropriateness of the search methodology.

15 a. Prior to running searches:

16 i. The producing party shall disclose the data sources (including
17 custodians), search terms and queries, any file type and date restrictions, and any other
18 methodology that it proposes to use to locate ESI likely to contain responsive and discoverable
19 information. The producing party may provide unique hit counts for each search query.

20 ii. After disclosure, the parties will engage in a meet and confer
21 process regarding additional terms sought by the non-producing party.

22 iii. The following provisions apply to search terms / queries of the
23 requesting party. Focused terms and queries should be employed; broad terms or queries, such
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1 as product and company names, generally should be avoided unless using them would be
2 reasonable in light of the relationship or dealings and the scope of the relationship or dealings
3 between the parties (*e.g.*, if the parties only interacted with each other in connection with the
4 events giving rise the suit, it is possible that broad search terms and queries might be reasonable).
5 The producing party may identify each search term or query returning overbroad results
6 demonstrating the overbroad results and a counter proposal correcting the overbroad search or
7 query.

8 b. Upon reasonable request, a party shall disclose information relating to
9 network design, the types of databases, database dictionaries, the access control list and security
10 access logs and rights of individuals to access the system and specific files and applications, the
11 ESI document retention policy, organizational chart for information systems personnel, or the
12 backup and systems recovery routines, including, but not limited to, tape rotation and
13 destruction/overwrite policy.

14 3. Format.

15 a. ESI will be produced to the requesting party with searchable text, in a
16 format to be decided between the parties. Acceptable formats include, but are not limited to, native
17 files, multi-page TIFFs (with a companion OCR or extracted text file), single-page TIFFs (only
18 with load files for e-discovery software that includes metadata fields identifying natural document
19 breaks and also includes companion OCR and/or extracted text files), and searchable PDF.

20 b. Unless otherwise agreed to by the parties, files that are not easily converted
21 to image format, such as spreadsheet, database, and drawing files, will be produced in native
22 format and with a corresponding, bates numbered, placeholder sheet. The following file types
23 will be produced in their native format unless redactions are necessary to protect privileged
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1 material or sensitive content: PowerPoints, Excel spreadsheets, Microsoft Access files, and
2 audio/video files. All other electronically stored information (ESI), whether standalone or part of
3 a family, will be produced as static images, including full text extracts (or OCR text for redacted
4 ESI), with its corresponding metadata, to the extent the Metadata is available.

5 c. Each document image file shall be named with a unique number (Bates
6 Number). Image file names should not be more than twenty characters long or contain spaces.
7 When a text-searchable image file is produced, the producing party must preserve the integrity of
8 the underlying ESI, *i.e.*, the original formatting, the metadata (as noted below) and, where
9 applicable, the revision history.

10 d. If a document is more than one page, the unitization of the document and
11 any attachments and/or affixed notes shall be maintained as they existed in the original document.

12 e. The parties shall produce their information in the following format: single-
13 page images and associated multi-page text files containing extracted text or with appropriate
14 software load files containing all information required by the litigation support system used by
15 the receiving party.

16 f. The full text of each electronic document shall be extracted (“Extracted
17 Text”) and produced in a text file. The Extracted Text shall be provided in searchable ASCII text
18 format (or Unicode text format if the text is in a foreign language) and shall be named with a
19 unique Bates Number (*e.g.*, the unique Bates Number of the first page of the corresponding
20 production version of the document followed by its file extension).

21 4. De-duplication. The parties may de-duplicate their ESI production across custodial
22 and non-custodial data sources after disclosure to the requesting party, and the duplicate custodian
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1 information removed during the de-duplication process tracked in a duplicate/other custodian
2 field in the database load file.

3 5. Email Threading. The parties may use analytics technology to identify email
4 threads and need only produce the unique most inclusive copy and related family members and
5 may exclude lesser inclusive copies, provided, however, that a lesser inclusive copy shall be
6 considered a unique copy if it contained an attachment that is not reflected in longer email thread.
7 Upon reasonable request, the producing party will produce a less inclusive copy.

8 6. Metadata fields. The parties agree that only the following metadata fields need be
9 produced, and only to the extent it is reasonably accessible and non-privileged: document type;
10 custodian and duplicate custodians (or storage location if no custodian); author/from; recipient/to,
11 cc and bcc; title/subject; email subject; file name; file size; file extension; original file path; date
12 and time created, sent, modified and/or received; and hash value. The list of metadata type is
13 intended to be flexible and may be changed by agreement of the parties, particularly in light of
14 advances and changes in technology, vendor, and business practices.

15 7. Hard-Copy Documents. If the parties elect to produce hard-copy documents in an
16 electronic format, the production of hard-copy documents will include a cross-reference file that
17 indicates document breaks and sets forth the custodian or custodian/location associated with each
18 produced document. Hard-copy documents will be scanned using Optical Character Recognition
19 technology and searchable ASCII text files will be produced (or Unicode text format if the text is
20 in a foreign language), unless the producing party can show that the cost would outweigh the
21 usefulness of scanning (for example, when the condition of the paper is not conducive to scanning
22 and will not result in accurate or reasonably useable/searchable ESI). Each file will be named

1 with a unique Bates Number (e.g., the unique Bates Number of the first page of the corresponding
2 production version of the document followed by its file extension).

3 **D. Preservation of ESI**

4 The parties acknowledge that they have a common law obligation, as expressed in Fed. R.
5 Civ. P. 37(e), to take reasonable and proportional steps to preserve discoverable information in
6 the party's possession, custody, or control. With respect to preservation of ESI, the parties agree
7 as follows:

8 1. Absent a showing of good cause by the requesting party, the parties shall not be
9 required to modify the procedures used by them in the ordinary course of business to back-up and
10 archive data; provided, however, that the parties shall preserve all discoverable ESI in their
11 possession, custody, or control and shall suspend any auto-deletion processes that could result in
12 the loss of discoverable ESI.

13 2. The parties will supplement their disclosures in accordance with Fed. R. Civ. P.
14 26(e) with discoverable ESI responsive to a particular discovery request or mandatory disclosure
15 where that data is created after a disclosure or response is made (unless excluded under Sections
16 (D)(3) or (E)(1)-(2)).

17 3. Absent a showing of good cause by the requesting party, the following categories
18 of ESI need not be preserved:

- 19 a. Deleted, slack, fragmented, or other data only accessible by forensics.
- 20 b. Random access memory (RAM), temporary files, or other ephemeral data
21 that are difficult to preserve without disabling the operating system.
- 22 c. On-line access data such as temporary internet files, history, cache,
23 cookies, and the like.
- 24 d. Data in metadata fields that are frequently updated automatically, such as
25 last-opened dates (see also Section (E)(5)).

- 1 e. Data remaining from systems no longer in use that is unintelligible on the
2 systems in use.

3 **E. Privilege**

4 1. A producing party shall create a privilege log of all documents fully withheld from
5 production on the basis of a privilege or protection, unless otherwise agreed or excepted by this
6 Agreement and Order. Privilege logs shall include a unique identification number for each
7 document and the basis for the claim (attorney-client privileged or work-product protection). For
8 ESI, the privilege log may be generated using available metadata, including author/recipient or
9 to/from/cc/bcc names; the subject matter or title; and date created. Should the available metadata
10 provide insufficient information for the purpose of evaluating the privilege claim asserted, the
11 producing party shall include such additional information as required by the Federal Rules of
12 Civil Procedure. Privilege logs will be produced to all other parties no later than 30 days after
13 delivering a production.

14 2. With respect to privileged or work-product information generated by litigation
15 counsel after the filing of the complaint, parties are not required to include any such information
16 in privilege logs.

17 3. Activities undertaken in compliance with the duty to preserve information are
18 protected from disclosure and discovery under Fed. R. Civ. P. 26(b)(3)(A) and (B).

19 4. Pursuant to Fed. R. Evid. 502(d), the production of any documents, electronically
20 stored information (ESI) or information, whether inadvertent or otherwise, in this proceeding
21 shall not, for the purposes of this proceeding or any other federal or state proceeding, constitute
22 a waiver by the producing party of any privilege applicable to those documents, including the
23 attorney-client privilege, attorney work-product protection, or any other privilege or protection
24 recognized by law. This Order shall be interpreted to provide the maximum protection allowed

1 by Fed. R. Evid. 502(d). The provisions of Fed. R. Evid. 502(b) do not apply. Nothing contained
2 herein is intended to or shall serve to limit a party's right to conduct a review of documents, ESI
3 or information (including metadata) for relevance, responsiveness and/or segregation of
4 privileged and/or protected information before production. Information produced in discovery
5 that is protected as privileged or work product shall be immediately returned to the producing
6 party.

7 5. Upon demand by the producing party, the receiving party shall sequester any paper
8 and/or electronic copies of material claimed to be privileged or protected ESI. If the court
9 determines privilege exists or if the receiving party chooses not to challenge the designation, then
10 the receiving party shall destroy any paper copies and delete all electronic copies of the material
11 and provide confirmation of that destruction to the producing party. When a producing party gives
12 notice to receiving party that produced material is subject to a claim of privilege or other
13 protection, the obligations of the receiving party are those set forth in Federal Rule of Civil
14 Procedure 26(b)(5)(B)

1 DATED: May 2, 2024

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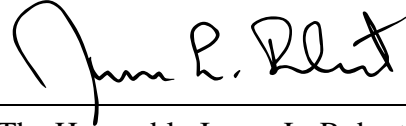
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ORDER

Based on the foregoing, IT IS SO ORDERED.

DATED: May 7, 2024



The Honorable James L. Robart
UNITED STATES DISTRICT JUDGE

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