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8 UNITED STATES DISTRICT COURT
9 WESTERN DISTRICT OF WASHINGTON
10 AT TACOMA

11 JAMES PHILIP DOUGLAS,
12 Plaintiff,

13 v.

14 PIERCE COUNTY, *et al*,
15 Defendants.

Case No. C09-5214BHS-KLS
ORDER TO SHOW CAUSE

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18 This matter has been referred to Magistrate Judge Karen L. Strombom pursuant to 28 U.S.C. §
19 636(b)(1), Local Magistrates Rules MJR 3 and 4, and Federal Rule of Civil Procedure (“Fed. R. Civ. P.”)
20 72. The case is before the Court upon its review of a civil rights complaint filed by plaintiff pursuant to
21 28 U.S.C. § 1983. (Dkt. #1-2). After reviewing the complaint and the balance of the record, the Court
22 finds and orders as follows:

23 A complaint is frivolous when it has no arguable basis in law or fact. Franklin v. Murphy, 745
24 F.2d 1221, 1228 (9th Cir. 1984). When a complaint is frivolous, fails to state a claim, or contains a
25 complete defense to the action on its face, the court may dismiss an *in forma pauperis* complaint before
26 service of process under 28 U.S.C. § 1915(d). Noll v. Carlson, 809 F.2d 1446, 1448 (9th Cir. 1987)
27 (*citing* Franklin v. Murphy, 745 F.2d 1221, 1227 (9th Cir. 1984)).

28 Before the Court “may dismiss a *pro se* complaint for failure to state a claim,” it “must provide the

1 *pro se* litigant with notice of the deficiencies of his or her complaint and an opportunity to amend the
2 complaint prior to dismissal.” McGuckin v. Smith, 974 F.2d 1050, 1055 (9th Cir. 1992); see also, 809 F.2d
3 1446, 1449 (9th Cir. 1987) (court erred by not notifying *pro se* prisoner litigant of amended complaint’s
4 deficiencies and allowing him leave to amend).

5 To state a claim under 42 U.S.C. § 1983, a complaint must allege: (i) the conduct complained of
6 was committed by a person acting under color of state law and (ii) the conduct deprived a person of a
7 right, privilege, or immunity secured by the Constitution or laws of the United States. Parratt v. Taylor,
8 451 U.S. 527, 535 (1981), overruled on other grounds, Daniels v. Williams, 474 U.S. 327 (1986). Section
9 1983 is the appropriate avenue to remedy an alleged wrong only if both of these elements are present.
10 Haygood v. Younger, 769 F.2d 1350, 1354 (9th Cir. 1985).

11 Plaintiff also must allege facts showing how individually named defendants caused or personally
12 participated in causing the harm alleged in the complaint. Arnold v. IBM, 637 F.2d 1350, 1355 (9th Cir.
13 1981). A defendant cannot be held liable under 42 U.S.C. § 1983 solely on the basis of supervisory
14 responsibility or position. Monell v. New York City Dept. of Social Services, 436 U.S. 658, 694 n.58
15 (1978). A theory of *respondeat superior* is not sufficient to state a section 1983 claim. Padway v.
16 Palches, 665 F.2d 965, 968 (9th Cir. 1982).

17 In his complaint, plaintiff makes a number of general claims concerning alleged violations of his
18 constitutional rights he asserts occurred during proceedings surrounding his first criminal trial, which he
19 further asserts were caused by various state and private parties. In addition, plaintiff, who apparently is
20 currently in the process of being criminally re-tried, claims he will not receive a fair trial in Pierce County
21 Superior Court. Consequently, plaintiff requests relief in the form of moving his criminal re-trial either to
22 another state superior court or to federal court. He also requests that his divorce proceedings be vacated
23 until he can be present therefor. Finally, plaintiff seeks assignment of private counsel in his state criminal
24 matter from outside Pierce County, a federal investigation into the conduct of his prior legal counsel and,
25 again apparently, an arrest of his prior judgment and conviction.

26 As will be explained, however, plaintiff’s complaint suffers from several fatal deficiencies. First,
27 none of the parties plaintiff actually has named as defendants in his complaint appear to be liable under 28
28 U.S.C. § 1983 for any alleged violation of his civil rights. For example, he names both Pierce County and
its executive, John Ladenburg, as defendants in this matter. But plaintiff has failed set forth specific facts

1 showing how either named defendant caused or personally participated in causing the harm alleged. With
2 respect to defendant Ladenburg, furthermore, it appears plaintiff has asserted claims against him only on
3 the basis of supervisory responsibility or position.

4 In addition, while a local government entity, such as Pierce County, can be held liable under
5 section 1983, to do so plaintiff must show: (a) he was deprived of a constitutional right; (b) the local
6 government agency has a policy; (c) the policy amounts to deliberate indifference to his constitutional
7 rights; and (d) the policy is the moving force behind the constitutional violation. Oviatt v. Pearce, 954
8 F.2d 1470, 1474 (9th Cir.1992). In addition, such an entity may be held liable under the above standards,
9 if the plaintiff identifies a municipal “custom,” as opposed to an actual “policy,” caused the alleged injury.
10 Board of County Commissioners of Bryan County v. Brown, 520 U.S. 397, 403 (1997).

11 For purposes of liability under section 1983, an official policy is “[a] policy statement, ordinance,
12 regulation or decision that is officially adopted and promulgated by the entity’s lawmaking officers or by an
13 official to whom the lawmakers have delegated policy-making authority.” Brown v. Bryan County, 219 F.3d
14 450, 457 (5th Cir. 2000) (citation omitted). On the other hand, as noted above, local entity liability may be
15 found if there is “[a] persistent, widespread practice of city officials or employees, which, although not
16 authorized by officially adapted and promulgated policy, is so common and well settled as to constitute a
17 custom that fairly represents municipal policy.” Id.; Mariani v. City of Pittsburgh, 624 F.Supp. 506, 509
18 (W.D. Pa. 1986) (term “custom” denotes practice which is so widespread, well settled and permanent, that it
19 rises to level of law).

20 Also as noted above, plaintiff must present evidence that the local entity’s “action was taken with
21 ‘deliberate indifference’ as to its known or obvious consequences.” Brown (citing Board of County
22 Commissioners, 520 U.S. at 407) (“A showing of simple or even heightened negligence will not suffice.”);
23 Board of County Commissioners, 520 U.S. at 410 (“[D]eliberate indifference’ is a stringent standard of
24 fault.”). Further, plaintiff must “demonstrate that, through its *deliberate* conduct,” the entity “was the
25 ‘moving force’ behind the injury alleged.” Board of County Commissioners, 520 U.S. at 404 (emphasis in
26 original). In other words, plaintiff must show the entity’s action “was taken with the requisite degree of
27 culpability,” as well as “demonstrate a direct causal link” between that action and “the deprivation of federal
28 rights.” Id.

To support his or her claim, plaintiff thus “must develop facts which demonstrate an ‘affirmative

1 link' between the misconduct" alleged and "some policy, express or implied, which has been adopted or
2 authorized" by the local entity. Mariani, 624 F.Supp. at 509; Wellington v. Daniels, 717 F.2d 932, 935-36
3 (4th Cir. 1983) (municipality's acts or omissions actionable only if they constitute "tacit authorization" of or
4 "deliberate indifference" to constitutional injuries). Plaintiff, however, has not made the required showing
5 needed to establish entity liability on the part of Pierce County here. In particular, he has not set forth any
6 specific facts demonstrating a policy or custom of Pierce County, or any of its agencies, was the moving
7 force behind a violation of his constitutional rights.

8 Plaintiff also names as defendants in his complaint the Pierce County Department of Assigned
9 Counsel ("DAC"), and his former legal counsel, Robert Quillian, whom plaintiff claims is a "member"
10 thereof. Any claims against these defendants, though, similarly are deficient in that plaintiff has failed to
11 set forth any specific facts showing either defendant caused or participated in causing the harm alleged.
12 Given that the DAC appears to be an agency of Pierce County, furthermore, any claims against it are also
13 deficient again on the basis that plaintiff has failed to establish local entity liability under the above legal
14 standards. As for defendant Quillan, it appears he was assigned to be plaintiff's criminal defense counsel
15 by the DAC, and thus is not himself a state actor or official, but rather a private party.

16 In general, private parties do not act under color of state law. Price v. State of Hawaii, 939 F.2d
17 702, 707-08 (9th Cir. 1991). A plaintiff may bring a claim against a private party under 42 U.S.C. §
18 1983, however, "who 'is a willful participant in joint action with the State or its agents.'" Degrassi v. City
19 of Glendora, 207 F.3d 636, 647 (9th Cir. 2000) (citing Dennis v. Sparks, 449 U.S. 24, 27-28 (1980)
20 ("Private persons, jointly engaged with state officials in the challenged action, are acting 'under color' of
21 law for purposes of § 1983 actions.")).

22 A "bare allegation of such joint action," however, will not suffice here. Id.; see also Radcliffe v.
23 Tainbow Construction Co., 254 F.3d 772, 783-84. Thus, specific facts showing defendants "acted 'under
24 color of state law or authority'" must be alleged. Degrassi, 207 F.3d at 647 (citation omitted); United
25 Steelworkers v. Phelps Dodge Corp., 865 F.2d 1539, 1540-41 (9th Cir. 1989) (to prove conspiracy
26 between state and private parties under section 1983, plaintiff must show agreement or "meeting of the
27 minds" to violate constitutional rights). In other words, to be liable "each [defendant] must at least share
28 the common objective of the conspiracy." Steelworkers, 865 F.2d at 1541.

The "required nexus" between the State and the challenged action may be present if the party

1 “has exercised powers that are ‘traditionally the exclusive prerogative of the State.’” Blum v. Yaretsky,
2 457 U.S. 991, 1005 (1982) (quoting Jackson v. Metropolitan Edison Co., 419 U.S. 345, 353 (1974)).
3 However, “[m]ere approval of or acquiescence” in the action being challenged is insufficient “to justify
4 holding the State responsible” for that action. Id. at 1004-05. In general, therefore, the State may be held
5 responsible for the action of a private party only when exercising “coercive power” or providing “such
6 significant encouragement,” that the action “must in law be deemed to be that of the State.” Id. at 1004.
7 Thus, for example, the fact that a private party is “subject to state regulation” or that the State has
8 “authorized and approved” a particular practice, does not alone convert that party’s action or practice into
9 that of the State. Jackson, 419 U.S. at 350, 354.

10 The federal courts, furthermore, have found no state action in those cases where the decisions of
11 the private parties ultimately turned on judgments that were made “according to professional standards”
12 not established by the State. Blum, 457 at 1008 (concerning medical decisions); see also Mathis v. Pacific
13 Gas and Electric Company, 891 F.2d 1429, 1432 (9th Cir. 1989) (requisite nexus to government absent
14 where decision is based on independent professional judgments not subject to state direction); Polk
15 County v. Dodson, 454 U.S. 312, 325 (1981) (public defender does not act under color of state law when
16 performing lawyer’s traditional functions as counsel to criminal defendant). Here, there is no evidence
17 that defendant Quillan performed functions other than those traditionally engaged in by public defenders
18 or other legal counsel to criminal defendants.

19 Also named as defendants in the complaint are the Washington State Bar Association (“WSBA”)
20 and it’s member, John P. Jensen, who also appears to have provided legal counsel to plaintiff with respect
21 to his criminal proceedings. However, to the extent that the WSBA can be considered a state entity (see
22 <http://www.wsba.org/info/about/default.htm> (describing the organization as being “an administrative arm
23 of the Washington State Supreme Court”) – it is immune from section 1983 liability under the Eleventh
24 Amendment. Under the Eleventh Amendment, a state is not subject to suit by its own citizens in federal
25 court. Edelman v. Jordan, 415 U.S. 651, 662-63 (1974). A state agency, as an arm of the state (and by
26 extension an arm of that agency) is immune from suit in federal court under the Eleventh Amendment as
27 well. Howlett v. Rose, 496 U.S. 356, 365 (1990); Will v. Michigan Dep’t of State Police, 491 U.S. 58, 70
28 (1989). An entity that has Eleventh Amendment immunity also is not a “person” within the meaning of

1 42 U.S.C. § 1983.¹ Howlett, 496 U.S. at 365.

2 As with the other named defendants, plaintiff also has not set forth specific facts showing how the
3 WSBA allegedly caused or participated in causing the harm alleged. Similarly, plaintiff has not shown
4 defendant Jensen to be a state actor, given that he does not appear to be an employee of the WSBA, and
5 that mere membership therein – since it is a requirement for all attorneys seeking to practice in the State
6 of Washington. In addition, no specific facts have been presented to show defendant Jensen engaged in a
7 conspiracy with a state actor to deprive plaintiff of his constitutional rights or otherwise acted outside the
8 traditional role of providing legal counsel to him as a criminal defendant. Plaintiff, therefore, has failed to
9 establish liability as to any named defendant in this case.

10 The Court further notes that in terms of requested relief, plaintiff primarily has sought the Court’s
11 intervention into state court criminal and civil proceedings. A federal district court does have the
12 authority to issue all writs, including writs of *mandamus*, which are “necessary or appropriate in aid of
13 their respective jurisdictions and agreeable to the usages and principals of law.” 28 U.S.C. § 1651(a). A
14 district court lacks jurisdiction, however, “to issue a writ of mandamus to a state court.” Demos v. United
15 States District Court, 925 F.2d 1160, 1161 (9th Cir. 1991). Thus, because plaintiff is attempting to obtain
16 a writ in this Court to compel the Washington state courts to act or decline to act on his criminal and civil
17 matters, his complaint is “frivolous as a matter of law.” Id. at 1161-62.

18 Due to the deficiencies described above, the Court will not serve the complaint. Plaintiff shall file
19 an amended complaint, curing, if possible, the above noted deficiencies, or show cause explaining why
20 this matter should not be dismissed by **no later than May 30, 2009**. The amended complaint must carry
21 the same case number as this one. If an amended complaint is not timely filed or if plaintiff fails to
22 adequately address these issues, the Court will recommend dismissal of this action as frivolous pursuant
23 to 28 U.S.C. § 1915, and such dismissal will count as a “strike” under 28 U.S.C. § 1915(g).

24 Plaintiff is advised that an amended pleading operates as a *complete* substitute for an original
25 pleading. See Ferdik v. Bonzelet, 963 F.2d 1258, 1262 (9th Cir. 1992) (citing Hal Roach Studios, Inc. v.

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27 ¹Section 1983 reads in relevant part: “Every person who, under color of any statute, ordinance, regulation, custom, or
28 usage, of any State or Territory or the District of Columbia, subjects, or causes to be subjected, any citizen of the United States or
other person within the jurisdiction thereof to the deprivation of any rights, privileges, or immunities secured by the Constitution
and laws, shall be liable to the party injured in an action at law, suit in equity, or other proper proceeding for redress.” 42 U.S.C.
§ 1983.

1 Richard Feiner & Co., 896 F.2d 1542, 1546 (9th Cir. 1990) (as amended), *cert. denied*, 506 U.S. 915
2 (1992). Thus, if plaintiff chooses to file an amended complaint, the Court will not consider his original
3 complaint.

4 The Clerk is directed to send plaintiff the appropriate forms so that he may file an amended
5 complaint. The Clerk is further directed to send a copy of this Order and a copy of the General Order to
6 plaintiff.

7 DATED this 30th day of April, 2009.

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11 Karen L. Strombom
12 United States Magistrate Judge
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