

HONORABLE RONALD B. LEIGHTON

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UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
AT TACOMA

AL DENNIS,

Plaintiff,

v.

THE HERSHEY COMPANY,  
GREGORY JOHN PELL, THOMAS C.  
SMUDA, BENJAMIN J STOFFEL,  
MICHAEL R. WEST,

Defendants.

CASE NO. C13-05131-RBL

ORDER GRANTING IN PART  
DEFENDANTS' MOTION TO  
DISMISS FOR LACK OF  
PERSONAL JURISDICTION

(Dkt. #9)

THIS MATTER is before the Court on Defendants' Motion to Dismiss for lack of personal jurisdiction. (Dkt. # 9). Plaintiff Dennis was a former employee of the Hershey Company. He sued Hershey and four of his former co-workers for race discrimination and disparate treatment under Title VII of the Civil Rights Act of 1964 and the Washington Law Against Discrimination, Wash. Rev. Code § 49.60 *et seq.*, as well as for intentional infliction of emotional distress (IIED).

Plaintiff claims damages of \$2,250,000 and attorney's fees. The individual Defendants move to dismiss for lack of personal jurisdiction, arguing that none have sufficient personal contacts with Washington State to support this Court's jurisdiction.

1 Dennis argues that the individual Defendants’ contacts with Washington made in their  
2 capacities as corporate officers are sufficient to support personal jurisdiction.

3 For the reasons below, Dennis’ Title VII claims against the individual Defendants are  
4 dismissed regardless of jurisdiction. Dennis has 20 days to amend his complaint with respect to  
5 the IIED claim. Defendants’ Motion to Dismiss the WLAD claims for lack of personal  
6 jurisdiction is **GRANTED**.

### 7 **I. BACKGROUND**

8 Defendant Hershey’s is a Delaware corporation, with its principal place of business in  
9 Hershey, Pennsylvania. Hershey’s does not contest this Court’s jurisdiction and has filed an  
10 Answer and counterclaims against Dennis for charges made on the company credit card. (Dkt.  
11 #14).

#### 12 **A. Residency of Parties**

13 Defendant Pell resides in Michigan and is a district sales manager at Hershey’s. Pell has  
14 never been to Washington State. Defendant Smuda resides in Pennsylvania and is the vice  
15 president of field sales at Hershey’s. Defendant Stoffel resides in Ohio and is the south area  
16 district sales director at Hershey’s. Defendant West resides in Minnesota and is the area sales  
17 director at Hershey’s. (Compl. ¶¶ 13–17).

18 From 2003 to 2010, Dennis worked as an associate district sales manager in Texas and  
19 was promoted to district sales manager in Seattle. *Id.* ¶¶ 24, 31.

#### 20 **B. Allegations of Discrimination**

21 While in Texas, Dennis alleges that Smuda, Pell, and Stoffel “belittled” him for refusing  
22 to terminate an employee. Later, Dennis applied for and was denied a district sales manager  
23 position in Atlanta. Stoffel, the district sales director, oversaw hiring for the position. Dennis  
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1 claims that Stoffel retaliated against him for his earlier refusal to terminate the employee and  
2 refused him the promotion. *Id.* ¶¶ 28–30. Dennis complained to the director of human resources,  
3 to no avail. In September 2006, Hershey’s promoted Dennis to district sales manager in Seattle.  
4 *Id.* ¶ 30.

5 In March 2007, a quarterly district-review meeting was held in California. (Smuda Decl.  
6 ¶ 10). Dennis was the only African American at the meeting. He claims that Smuda and West  
7 (his direct supervisor in Seattle) scheduled him to present first. During his presentation, Smuda,  
8 Stoffel, and West harshly criticized and belittled Dennis’ performance. Compl. at ¶¶ 33–34.  
9 After unsuccessfully complaining to West, Dennis confronted Smuda. In response, Smuda  
10 insulted Dennis and called him names. *Id.* ¶¶ 36–37. Dennis complained to the director of  
11 human resources, but no disciplinary action was taken. *Id.*

12 In 2008, Stoffel asked Dennis to terminate another employee, and Dennis refused.  
13 Dennis alleges that Stoffel retaliated against him by denying him a position as customer sales  
14 executive in California. Dennis applied for and did not obtain three more positions. *Id.* ¶¶ 43–  
15 46. Dennis claims the denials were the result of racial discrimination rather than for refusing to  
16 terminate the employee. He bases these claims on the fact that the positions were eventually  
17 filled by allegedly less-qualified, white employees. *Id.* Dennis again complained to the director  
18 of human resources, who suggested he find another job. *Id.* ¶ 45.

19 Dennis eventually accepted a position as a customer sales executive in what Hershey’s  
20 calls the “west area.” In the summer of 2010, at the Hershey’s national sales meeting in Florida,  
21 Dennis alleges that Smuda called him a “nigger” in the presence of other Hershey’s employees.  
22 *Id.* ¶ 51. Dennis complained about the incident to West, who suggested he find another job.  
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1 Hershey's put Dennis on a "90-day development plan." Dennis refused to sign the plan and  
2 complained to Human Resources. *Id.* ¶ 53.

3 In August 2010, Dennis took leave under the Family Medical Leave Act due to the stress.  
4 *Id.* ¶ 56. Dennis claims that his psychiatrist advised him not to return to work and that he was  
5 constructively discharged in December 2010. *Id.* ¶ 57. Three years later, Dennis filed his lawsuit.

### 6 **C. Defendants' Arguments**

7 The individual Defendants move to dismiss for lack of personal jurisdiction. They argue  
8 that their Washington contacts were made only in the performance of their official duties as  
9 corporate officers and that they do not have the minimum contacts required for personal  
10 jurisdiction. (Dkt. # 9 at 11).

11 Dennis argues that the Defendants' status as employees does not shield them from  
12 jurisdiction. He claims that their contacts with Washington made solely through their capacities  
13 as Hershey's employees are sufficient for personal jurisdiction. He also argues that the  
14 Defendants purposefully availed themselves of the laws of Washington by committing an  
15 intentional tort. (Dkt. #17 at 3-4).

## 16 **II. DISCUSSION**

### 17 **A. Personal Jurisdiction**

18 The plaintiff bears the burden of showing that this Court has personal jurisdiction. *Shute*  
19 *v. Carnival Cruise Lines*, 897 F.2d 377, 379 (9th Cir. 1990), *rev'd on other grounds*, 499 U.S.  
20 585 (1991). He must make only a prima facie showing of jurisdiction as this motion is being  
21 decided without an evidentiary hearing. *Id.* The plaintiff's uncontroverted statements must be  
22 considered true and factual conflicts must be resolved in his favor. *Boschetto v. Hansing*, 539  
23 F.3d 1011, 1015 (9th Cir. 2008).

1 Jurisdiction must comport with the state long arm statute and with constitutional due  
2 process. *Omeluk v. Langsten Slip & Batbyggeri A/S*, 52 F.3d 267, 269 (9th Cir. 1995).  
3 Washington’s long-arm statute, Wash. Rev. Code § 4.28.185, represents legislative intent to  
4 assert personal jurisdiction over a foreign entity to the full extent permitted by due process.  
5 *Byron Nelson Co. v. Orchard Mgmt. Corp.*, 95 Wash. App. 462, 465 (1999). “[D]ue process  
6 requires only that in order to subject a defendant to a judgment *in personam*, if he be not present  
7 within the territory of the forum, he have certain minimum contacts with it such that the  
8 maintenance of the suit does not offend ‘traditional notions of fair play and substantial justice.’”  
9 *Int’l Shoe Co. v. Wash.*, 326 U.S. 310, 316 (1945) (quoting *Milliken v. Meyer*, 311 U.S. 457, 463  
10 (1940)). Defendants’ conduct and connections with the forum state must be such that the  
11 defendants “should reasonably anticipate being haled into court there.” *World-Wide Volkswagen*  
12 *Corp. v. Woodson*, 444 U.S. 286, 297 (1980).

13 Dennis does not allege that the Court has general jurisdiction over the individual  
14 Defendants, and the Court will address only whether it has specific jurisdiction. The Ninth  
15 Circuit employs a three-part test to determine whether a court has specific jurisdiction:

- 16 (1) The non-resident defendant must purposefully direct his activities or consummate some  
17 transaction with the forum or resident thereof; or perform some act by which he purposefully  
18 avails himself of the privilege of conducting activities in the forum, thereby invoking the benefits  
19 and protections of its laws;
- 20 (2) the claim must be one which arises out of or relates to the defendant’s forum-related activities;  
and
- (3) the exercise of jurisdiction must comport with fair play and substantial justice, i.e. it must be  
reasonable.

21 *Schwarzenegger v. Fred Martin Motor Co.*, 374 F.3d 797, 802 (9th Cir. 2004).  
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1 The plaintiff bears the burden of alleging the first two prongs of the test. If successful,  
2 the defendant bears the burden of showing that an exercise of jurisdiction would not be  
3 reasonable. *See CollegeSource, Inc. v. AcademyOne, Inc.*, 653 F.3d 1066, 1076 (9th Cir. 2011).

4 To determine the first prong in cases of intentional tort, the Court applies the purposeful  
5 direction, “*Calder-effects*” test. *Dole Food Co., Inc. v. Watts*, 303 F.3d 1104, 1111 (9th Cir.  
6 2002). To satisfy the purposeful direction test, the plaintiff must allege that the defendant “(1)  
7 committed an intentional act, (2) expressly aimed at the forum state, (3) causing harm that the  
8 defendant knows is likely to be suffered in the forum state.” *Id.*

9 The Court assesses each of Dennis’ claims separately.

#### 10 **1. Title VII Claims**

11 The Ninth Circuit has consistently held that Title VII does not provide a cause of action  
12 for damages against supervisors or fellow employees. *Holly D. v. Cal. Inst. of Tech.*, 339 F.3d  
13 1158, 1179 (9th Cir. 2003); *see also Pink v. Modoc Indian Health Project*, 157 F.3d 1185, 1189  
14 (9th Cir. 1998); *Miller v. Maxwell's Int'l Inc.*, 991 F.2d 583, 587–88 (9th Cir. 1993). Dennis’  
15 Title VII claims against the individual Defendants are insufficient as a matter of law and are  
16 dismissed.

#### 17 **2. Intentional Infliction of Emotional Distress**

18 Dennis alleges that Defendants purposefully availed themselves of Washington state law  
19 under the *Calder-effects* test by committing the tort of intentional infliction of emotional distress  
20 against him, knowing that he would suffer the effects of that tort here. Therefore, the Court’s  
21 personal jurisdiction over the Defendants with regard to the IIED claim depends on the viability  
22 of the IIED claim.

1 As currently pled, Dennis' IIED claim is insufficient as a matter of law. A claim is  
2 facially plausible when plaintiff has alleged enough factual content for the court to draw a  
3 reasonable inference that the defendant is liable for the misconduct alleged. *Ashcroft v. Iqbal*,  
4 129 S. Ct. 1937, 1949 (2009). "Threadbare recitals of the elements of a cause of action,  
5 supported by mere conclusory statements, do not suffice." *Id.* at 1949.

6 In Washington, outrage and intentional infliction of emotional distress are the same tort,  
7 and require the plaintiff to prove (1) extreme and outrageous conduct, (2) intentional or reckless  
8 infliction of emotional distress, (3) and actual severe emotional distress. *Bradford v. City of*  
9 *Seattle*, 557 F. Supp. 2d 1189 (W.D. Wash. 2008); *see also Kloepfel v. Bokor*, 149 Wash. 2d 192,  
10 66 P.3d 630 (2003). The tort of outrage does not extend to mere insults, indignities, threats,  
11 annoyances, petty oppressions, or other trivialities. *Id.* Claims for IIED "must be predicated on  
12 behavior 'so outrageous in character, and so extreme in degree, as to go beyond all possible  
13 bounds of decency, and to be regarded as atrocious, and utterly intolerable in a civilized  
14 community.'" *Id.* at 196 (quoting *Grimsby v. Samson*, 85 Wash. 2d 52, 59, 530 P.2d 291  
15 (1975)). Emotional distress cannot merely be embarrassment or humiliation. *Pettis v. State*, 98  
16 Wash. App. 553, 990 P.2d 453 (1999).

17 Dennis has not asserted how Defendants' actions constitute extreme or outrageous  
18 conduct beyond "mere insults [or] indignities." Indeed, Dennis alleges only insults, which are as  
19 a matter of law insufficient. Nor has Dennis asserted any facts to support the contention that his  
20 alleged emotional distress was severe. Dennis has not asserted any symptoms of severe distress.  
21 Thus, Dennis' IIED claim cannot support personal jurisdiction over the individual defendants.

22 Dennis shall amend his complaint as to his IIED claim within 20 days. Otherwise, even  
23 assuming jurisdiction, this Court will *sua sponte* dismiss the IIED claim.

1           **3. WLAD Claims**

2           Dennis argues that the Court should apply the *Calder*-effects test to establish that this  
3 Court has personal jurisdiction over the Defendants based solely on the contacts they had with  
4 Washington in their corporate capacities.

5           The Court declines to apply the *Calder*-effects test to Dennis' WLAD because "it is well-  
6 established that the *Calder* test applies only to intentional torts" and not to statutory claims.  
7 *Holland Am. Line Inc. v. Wartsila N. Am., Inc.*, 485 F.3d 450, 460 (9th Cir. 2007); *see Calder*,  
8 465 U.S. at 789 (distinguishing between intentional action and untargeted negligence); *see also*  
9 *Dole Food Co., Inc.*, 303 F.3d at 1111.

10           Therefore, the Court will consider whether Dennis has alleged that Defendants  
11 purposefully availed themselves of the benefits and protections of Washington law such that they  
12 could reasonably anticipate being haled into court here. *See World-Wide Volkswagen Corp*, 444  
13 U.S. at 297. As alleged, the conduct of the Defendants does not amount to such purposeful  
14 availment.

15           Dennis claims hostile work environment and racial discrimination under Washington law.  
16 He alleges two specific incidents to support his claim of a hostile work environment: when  
17 Defendants allegedly harshly criticized and belittled him in California, and when defendant  
18 Smuda allegedly called him a "nigger" in Florida. Compl. at ¶33, 51. Taking these allegations  
19 as true, Defendants did not "conduct activities" in Washington under the Ninth Circuit test.  
20 Defendants' conduct while in California and Florida simply does not invoke the benefits and  
21 protections of Washington law. Dennis' residence in Washington does not dictate where the  
22 Defendants can reasonably expect to be haled into court. Further, Dennis' argument that he felt  
23 the effects of "humiliation" in Washington is not helpful for his statutory claims. Therefore,  
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1 because the conduct Dennis alleges in support of his hostile work environment claim took place  
2 outside the forum state, they do not suffice for purposeful availment under the Ninth Circuit  
3 specific jurisdiction test.

4 In support of his discrimination claim, Dennis alleges that Defendants failed to promote  
5 him several times, and that these failures were part of a “pattern and practice” of racial  
6 discrimination. Compl. ¶ 45. These allegations are omissions that do not amount to “conducting  
7 activities in the forum” by the individual Defendants. They do not result in the individual  
8 Defendants “invoking the benefits and protections” of Washington law because, by failing to  
9 promote Dennis, they did not direct their activities at Washington. Again, Dennis’ argument that  
10 he felt the effects of the Defendants’ actions in Washington is not helpful for his statutory  
11 claims.

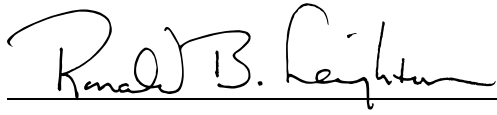
12 Furthermore, finding that the Defendants’ alleged conduct constitutes purposeful  
13 availment would offend “traditional notions of fair play and substantial justice.” If the Court  
14 found otherwise, every mid-level manager of a multi-state corporation would be subject to  
15 personal jurisdiction in any state where he or she oversees employees. But a manager could not  
16 and should not “reasonably anticipate being haled into court” in any state where he or she  
17 oversees employees—especially where the manager has no say in the location of his or her  
18 employees. This is particularly true where, as here, the corporation itself is subject to  
19 jurisdiction. Dennis has failed to establish purposeful availment.

20 Accordingly, Dennis has not met his burden of demonstrating that Defendants had the  
21 required contacts to support personal jurisdiction with regard to the WLAD claims. Dennis’  
22 WLAD claims against the individual defendants are therefore dismissed for want of jurisdiction.

1 **III. CONCLUSION**

2 For the reasons explained above, Dennis' Title VII claims against the individual  
3 Defendants are dismissed regardless of jurisdiction. Dennis has 20 days to amend his complaint  
4 with respect to the intentional infliction of emotional distress claim. Defendants' motion to  
5 dismiss for lack of personal jurisdiction is **GRANTED** with respect to Dennis' WLAD claims.

6 Dated this 28<sup>th</sup> day of June, 2013.

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8 RONALD B. LEIGHTON  
9 UNITED STATES DISTRICT JUDGE