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UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
AT TACOMA

ALICIA WONSER,

Plaintiff,

v.

CAROLYN W. COLVIN,

Defendant.

CASE NO. C14-5818 RJB

ORDER

This matter comes before the Court on review of the file herein.

**Procedural History.** On October 15, 2014, Plaintiff filed this civil action, alleging that the Social Security Administration improperly denied her application for disability insurance when the ALJ failed to properly consider the opinion of Ann Cull, MSW, Plaintiff’s therapist, and Jeffrey Collins, M.D., one of Plaintiff’s treating physicians, and failed to give specific and legitimate reasons for rejecting the opinion of examining psychiatrist Clifford Tartalia, M.D.

**Basic Data.** Born in 1974, Plaintiff has prior work experience as a cashier, fast food worker, and busser. She has a General Education Diploma (“GED”) and some college education.

1           **ALJ Decision.** The ALJ found: (1) that Plaintiff met the insured status requirements of  
2 the Social Security Act; (2) that Plaintiff had not engaged in substantial gainful activity since  
3 July 17, 2012, the amended alleged onset date; (3) that Plaintiff suffered from the following  
4 severe impairments: obesity, diabetes mellitus, degenerative disc disease, affective disorder, and  
5 anxiety disorder; that the impairments, even in combination, did not qualify under the Listings;  
6 (4) that Plaintiff has the residual functional capacity:

7           to perform light work as defined in 20 CFR 416.967(b) with some additional  
8 limitations. The claimant can stand and walk for about six hours and sit for more  
9 than six hours with normal breaks and can lift, carry, push and pull within light  
10 exertional limits. She can never climb ladders, ropes or scaffolds, can frequently  
11 crouch, and can occasionally stoop, kneel and crawl. The claimant can frequently  
12 handle and finger. She can perform work in which concentrated exposure to  
13 hazards is not present. In order to meet ordinary and reasonable employer  
14 expectations regarding attendance, workplace behavior and production, the  
15 claimant can understand, remember, and carry out unskilled, routine and  
16 repetitive work. She can work in proximity to co-workers, but not in a team or  
17 cooperative effort. The claimant can perform work in which direct service to the  
18 general public is not required, but other incidental contact is not precluded;

19           that she could not perform any past relevant work; and lastly, (5) that Plaintiff could perform  
20 other work existing in the national economy, such as house keeper, production assembler, and  
21 electronics assembler. AR 12-26.

22           **Legal Standard.** The findings of the Secretary of the Social Security Administration are  
23 conclusive (42 U.S.C. § 405(g)), and the decision of the Secretary to deny benefits will be  
24 overturned only if it is not supported by substantial evidence or it is based on legal error.  
*Gonzalez v. Sullivan*, 914 F.2d 1197, 1200 (9th Cir.1990).

25           **Discussion.**

26           1. *Medical Opinion Evidence.* The ALJ erred in rejecting the opinions of Plaintiff's  
27 treating physician and an examining physician.

1           **Treating Physician.** The ALJ did not provide clear and convincing reasons for failing to  
2 adopt all Plaintiff’s treating physician’s (Jeffrey Collins, M.D.) June 25, 2013 opinion regarding  
3 her limitations.

4           The opinion at issue is contained in a form, entitled “Mental Functional Capacity  
5 Assessment,” filled out and signed by Plaintiff’s long time therapist, Ann Cull, MSW, of Kitsap  
6 Mental Health, and which was also signed by Dr. Collins (who also treated Plaintiff at Kitsap  
7 Mental Health) under a line which read: “I have reviewed the preceding and agree with the  
8 assessment.” AR 577. Based on this endorsement, the form is from an “acceptable medical  
9 source,” a treating physician.

10           As to every question asked, this form indicated that Plaintiff was “markedly limited,”  
11 defined on the form as “[i]n a vocational setting, the individual cannot be expected to function  
12 independently, appropriately, and effectively, in the designated area on a regular and sustained  
13 basis, i.e. 8 hours a day, for 5 days a week, or equivalent work schedule.” AR 574-577.

14           The ALJ did not accept several of the limitations contained in the form. For example, the  
15 ALJ did not accept Dr. Collins’ and Ms. Cull’s opinions that Plaintiff was “markedly limited” as  
16 to her ability to “remember locations and work-like procedures,” “understand and remember  
17 very short and simple instructions,” “carry out short and simple instructions,” “perform activities  
18 within a schedule, maintain regular attendance and be punctual within customary tolerances,”  
19 “sustain an ordinary routine without special supervision,” “make simple work-related decisions,”  
20 or “complete a normal workday and workweek without interruptions from psychologically based  
21 symptoms and to perform at a consistent pace without an unreasonable number and length of rest  
22 periods.” AR 574-575. By way of further example, she did not accept Dr. Collins’ and Ms.  
23 Cull’s opinions that Plaintiff was “markedly limited” in her ability to “ask simple questions or  
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1 request assistance,” “get along with co-workers or peers without unduly distracting them or  
2 exhibiting behavioral extremes,” or “respond appropriately to changes in the work setting.” AR  
3 575-576.

4 The ALJ stated that she gave “no weight” to this opinion because she mistakenly  
5 assumed it came only from Ms. Cull and failed to realize that it was also from Dr. Collins.  
6 Although the Defendant argues that, in any event, the ALJ did address this evidence, that is not  
7 wholly clear. The ALJ mentions “Exhibit 9F” which includes two documents: Ms. Cull’s  
8 “Report for Claim of Disability Due to Mental Impairment” and Dr. Collins and Ms. Cull’s  
9 “Mental Functional Capacity Assessment.” AR 570-577. The ALJ states that she gave “Exhibit  
10 9F” “no weight” because it was (1) “wholly inconsistent with the claimant’s reported activities  
11 and demonstrated abilities,” and (2) the notation of four episodes of decompensation are not  
12 found elsewhere in record. AR 23-24.

13 Neither of these reasons provide a basis to reject Dr. Collins’ and Ms. Cull’s opinions  
14 found in the “Mental Functional Capacity Assessment.” As to the first reason that ALJ gives,  
15 that Plaintiff’s activities are inconsistent with Dr. Collins’ and Ms. Cull’s opinion, the ALJ  
16 points to Plaintiff’s “going out in public for numerous reasons” and cares for herself and her  
17 children. AR 23. There is no showing that these activities are transferable to a work setting,  
18 particularly as defined in the form as “function independently, appropriately, and effectively, in  
19 the designated area on a regular and sustained basis, i.e. 8 hours a day, for 5 days a week, or  
20 equivalent work schedule.” As to the ALJ’s second basis for rejecting this opinion, it appears  
21 that the ALJ was not referring to Dr. Collins’ and Ms. Cull’s “Mental Functional Capacity  
22 Assessment” (AR 574-577), but to Ms. Cull’s “Report for Claim of Disability Due to Mental  
23 Impairment” (AR 570-573). The opinion regarding decompensation is only in Ms. Cull’s  
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1 “Report for Claim of Disability Due to Mental Impairment,” (AR 573) and does not provide a  
2 basis to reject the Dr. Collins’ and Ms. Cull’s “Mental Functional Capacity Assessment” (AR  
3 574-577). The ALJ failed to properly assess this medical evidence.

4 **Examining Physician.** The ALJ failed to provide clear and convincing reasons for not  
5 adopting Plaintiff’s examining psychiatric physician, Clifford Tartalia, M.D.’s opinion regarding  
6 Plaintiff’s limitations. In August of 2012, Dr. Tartalia opined that Plaintiff’s “phobic, obsessive  
7 features, and anxiety would interfere with her ability to interact with coworkers and deal with the  
8 public.” AR 420 - 421. He opined that she “appears to be unable to perform work activities on a  
9 consistent basis without special or additional instruction,” and that “[s]uch efforts, however,  
10 would be untimely at present and again likely to precipitate more psychopathology.” AR 421.  
11 He noted that she “appears to be unable to maintain regular attendance in the workplace,  
12 complete a normal workday or workweek without significant interruptions due to her psychiatric  
13 conditions.” *Id.* Dr. Tartalia further opined that she “has markedly decreased stress tolerance  
14 and her other psychiatric issues preclude her from dealing adequately with the usual stresses  
15 encountered in the workplace.” *Id.* He concluded that she “appears to be moderately severely  
16 impaired.” *Id.*

17 The ALJ rejected Dr. Tartalia’s opinions regarding certain of Plaintiff’s limitations  
18 because the limitations were: (1) “inconsistent with his clinical findings” and appear to be based  
19 on subjective reports, and (2) inconsistent with Plaintiff’s demonstrated abilities. AR 23.  
20 Plaintiff properly points out that under *Ryan v. Commissioner*, 528 F.3d 1194, 1199-1200 (9th  
21 Cir. 2008), an ALJ does not provide clear and convincing reasons for rejecting an examining  
22 physician’s opinion by questioning the patient’s complaints where the doctor did not discredit  
23 those complaints and supported his opinion with his own observations. Here, Dr. Tartalia  
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1 supported his opinion with his own observations and he did not discount Plaintiff's subjective  
2 complaints. In regard to the second basis for rejecting Dr. Tartalia's opinions, as stated above,  
3 there is no showing that these "demonstrated abilities" are transferable to a full time work  
4 setting. The ALJ failed to provide a sufficient basis to reject Dr. Tartalia's opinions and  
5 certainly did not point to clear and convincing reasons to reject his opinion.

6 **Conclusion on Assessment of Medical Evidence.** The ALJ failed to properly assess the  
7 medical evidence.

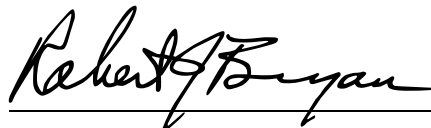
8 *2. Other Jobs in National Economy.* The ALJ failed to meet the burden of showing that  
9 there were other jobs in the national economy that Plaintiff could perform on a regular and  
10 sustained basis. The ALJ failed to properly assess the medical evidence, and as a consequence, it  
11 is unclear whether her assessment of Plaintiff's residual functional capacity and her questions to  
12 the vocational expert accurately reflected all Plaintiff's limitations. *See Osenbrock v. Apfel*, 240  
13 F.3d 1157, 1163-65 (9th Cir. 2001). Consequently, the ALJ has failed to carry her burden at step  
14 five. The matter should be remanded to the Commissioner for further proceedings consistent  
15 with this opinion.

16 Accordingly, it is hereby **ORDERED** that:

17 The Commissioner's decision is **REVERSED** and the case is **REMANDED** for further  
18 proceedings consistent with this opinion.

19 The Clerk is directed to send uncertified copies of this Order to all counsel of record and  
20 to any party appearing *pro se* at said party's last known address.

21 Dated this 19<sup>th</sup> day of March, 2015.

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23 ROBERT J. BRYAN  
24 United States District Judge