

UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
AT TACOMA

KEENETH ALVAREZ, CAROL SHELTER, and RAUL FLORES, individual providers in Washington,

## Plaintiffs,

V.

GOVERNOR JAY INSLEE, in his official capacity as Governor of the State of Washington, PATRICIA LASHWAY, in her official capacity as Director of the Washington Department of Social and Health Services, SERVICE EMPLOYEES INTERNATIONAL UNION HEALTHCARE 775 NW, a labor organization,

## Defendants.

CASE NO. 16-5111 RJB

ORDER ON PLAINTIFFS' MOTION  
TO COMPEL PRODUCTION OF  
DOCUMENTS FROM NONPARTY  
SEIU PARTNERSHIP AND  
REQUEST FOR RELIEF FROM  
DISCOVERY DEADLINES

This matter comes before the Court on the Plaintiffs' Motion to Compel Production of

Documents from Nonparty [SEIU Healthcare Northwest Training Partnership ("NW Training

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1 Partnership”)] and Request for Relief from Discovery Deadlines. Dkt. 63. The Court has  
2 reviewed the pleadings filed regarding the motion and the remaining file.

3 **I. FACTS**

4 **A. BACKGROUND FACTS**

5 Plaintiff Alvarez originally filed this case against the currently named Defendants and  
6 NW Training Partnership on February 11, 2016. Dkt. 1. On May 9, 2016, the NW Training  
7 Partnership’s motion to dismiss was granted because Plaintiff Alvarez failed to state a claim  
8 against it and the NW Training Partnership was dismissed. Dkt. 26. Plaintiff was given leave to  
9 amend his complaint, and on October 25, 2016, he filed an Amended Complaint, which, among  
10 other things, added two additional Plaintiffs, but did not plead claims against NW Training  
11 Partnership. Dkt. 51.

12 According to the Amended Complaint, Plaintiffs are “individual provider[s] . . . of  
13 personal or respite care services” (“IP”) who are paid by Washington’s Department of Social and  
14 Health Services (“DSHS” or “State”) to provide care for qualifying disabled individuals. Dkt.  
15 51, at 5. They assert that “IPs are public employees ‘solely for the purposes of collective  
16 bargaining’ and have been organized into a single statewide bargaining unit.” *Id.*, at 6 (citing  
17 RCW 74.39A.270). Defendant Service Employees International Union Healthcare 775 NW  
18 (“SEIU”) is the “exclusive representative of the IP bargaining unit,” and so engages in collective  
19 bargaining with the state (as represented by the governor or governor’s designee). *Id.*, at 6-7.  
20 The state and SEIU are obligated to bargain in good faith. *Id.*, at 7. NW Training Partnership  
21 provides basic and continuing education/training classes for the IPs. Dkt. 70. The Amended  
22 Complaint asserts that attendance at the IP education/training classes is a condition of the IP’s  
23 employment. *Id.* at 8-9.

1 The Amended Complaint asserts that under the Collective Bargaining Agreement  
2 (“CBA”) the State must set aside 30 minutes for SEIU’s presentations at the NW Training  
3 Partnership’s basic education/training class, and 15 minutes for SEIU’s presentations at  
4 contracting appointments and at the NW Training Partnership’s continuing education/training  
5 classes. *Id.*, at 7-9. Plaintiffs allege that the State pays IPs while they are attending these events,  
6 and IPs are required to, or believe they are required to, listen to SEIU’s speech. *Id.* The  
7 Amended Complaint alleges that SEIU also receives space on the “necessarily frequented”  
8 bulletin boards and on the online payroll system. *Id.*, at 9-10. It maintains that by paying the IPs  
9 to attend NW Training Partnership’s basic training, contracting appointments, and NW Training  
10 Partnership’s continuing education/training (all of where SEIU makes its presentations), the State  
11 “gives [the State’s] money in the aid of SEIU.” *Id.*, at 12. The Amended Complaint asserts that  
12 the State “uses its employees, money and property for the benefits of SEIU.” *Id.*, at 13.

13 The Amended Complaint acknowledges that on April 4, 2016, after the initial Complaint  
14 in this case was filed, a Memorandum of Understanding was entered into by the State and SEIU.  
15 *Id.*, at 16. According to the Amended Complaint, this Memorandum of Understanding provided  
16 that:

17 Individual providers will not be required to meet with Union representatives and  
18 will suffer no discrimination or retaliation as a result of their choice to meet or not  
19 to meet. The Employer will remain neutral, and will not either encourage  
individual providers to meet or discourage them from meeting with Union  
representatives.

20 *Id.* The Memorandum of Understanding is alleged to further provide:

21 The parties agree that the Training Partnership shall provide the Union with  
22 reasonable access to its training classes, including providing the Union with  
23 technical support for online learning, in order for the Union to make a  
presentation concerning the Union and individual providers’ rights and benefits  
(“Union issues”). The content of the presentation will be determined solely by the

1 Union, but will not include urging support or opposition to any political candidate  
2 or ballot measure, and will be in compliance with RCW 42.52.160 and .180. The  
3 Employer agrees to compensate up to thirty (30) minutes of time for a  
4 presentation on Union issues to all individual providers attending the Union  
5 portion of required basic training. The Employer agrees to compensate up to  
6 fifteen (15) minutes of time annually for a presentation on Union issues to all  
7 individual providers attending the Union portion of required continuing education.  
8 Individual providers are not required to attend the Union presentations, and will  
9 suffer no retaliation or discrimination as a result of their choice to attend or not to  
10 attend. Any additional time for presentations on Union issues agreed upon  
11 between the Union and the Partnership shall not be compensated by the  
12 Employer.

13 *Id.*, at 16-17. The Amended Complaint contends that this Memorandum of Understanding does  
14 not resolve the underlying concerns because there is no provision that requires either the State or  
15 the SEIU to inform the IPs that the SEIU's presentations are not mandatory. *Id.*, at 17.

16 The Amended Complaint alleges a claim for violation of Plaintiffs' First Amendment  
17 rights to the U.S. Constitution, pursuant to 42 U.S.C. § 1983, by asserting that:

18 The State violates Plaintiffs' and similarly situated IPs' First Amendment rights  
19 by compelling, or appearing to compel, a captive audience of IPs to receive  
20 SEIU's pro-union speech in three ways: i) by mandating, or appearing to mandate,  
21 meetings with SEIU in contracting appointments, basic training, and continuing  
22 education classes, ii) by SEIU bulletin boards in State offices "necessarily  
23 frequented" by IPs for work-related matters; and iii) by SEIU links and  
24 notification messages on mandatory payroll systems.

25 *Id.*, at 17. In the second claim for relief, the Amended Complaint asserts that by paying the IPs  
26 to attend meetings with SEIU, which occur around training events, and "when SEIU provides  
27 nothing in exchange" Article 8 Section 5 of the Washington Constitution is violated. *Id.* In the  
28 third claim for relief, the Amended Complaint maintains that "[t]he State's use of its employees,  
29 money, and property for the private benefit of SEIU violates RCW 42.52.160." *Id.*

30 For relief, Plaintiffs seek declarations from the Court that portions of the CBA and  
31 Memorandum of Understanding are unconstitutional under the both the Federal and Washington

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1 State Constitutions, they violate RCW 42.52.160, and are “null and void.” *Id.*, at 27-28.  
2 Plaintiffs also seek injunctive relief prohibiting the Defendants from enforcing portions of the  
3 CBA and Memorandum of Understanding and prohibiting the State from “compensating IPs for  
4 their time during mandated meetings with SEIU representatives.” *Id.*, at 28. Plaintiffs also seek  
5 attorneys’ fees and costs. *Id.*

6 Plaintiffs have now voluntarily dismissed some of their claims related to the bulletin  
7 boards and online mandatory payroll systems. Dkt. 96 and 97.

8 **B. FACTS RELATED TO THE PENDING MOTION**

9 On November 14, 2016, Plaintiffs served NW Training Partnership with a subpoena  
10 requesting five categories of documents and demanded production by November 25, 2016. Dkt.  
11 63-1, at 4. The NW Training Partnership objected, and as is relevant here, argued that the first  
12 category of requested documents (containing the phrase “union time” or “union presentation”)  
13 was overly broad and not relevant, and asserted that there was no basis to take the personal  
14 deposition of Charissa Raynor, NW Training Partnership’s executive director. Counsel for the  
15 Plaintiffs and NW Training Partnership conferred and discussed limiting the request to the first  
16 quarter of 2016. NW Training Partnership provided some documents in response. Plaintiffs  
17 sought more, but parties were not able to come to an agreement.

18 On January 3, 2017, Plaintiffs filed the instant motion, seeking an order from this Court  
19 that nonparty NW Training Partnership is to produce documents “responsive to Category 1” as  
20 listed in Plaintiffs’ subpoena duces tecum for “all information, documents and things that contain  
21 the phrase ‘union time’ OR ‘union presentation’” within the first quarter of 2016. Dkts. 63 and  
22 75. Plaintiffs also seek an extension of the discovery and discovery motion deadline until  
23

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1 fourteen days after the NW Training Partnership produces this discovery and after Defendants  
2 Inslee and Lashway complete production of the discovery requested of them. *Id.*

3 NW Training Partnership opposes the motion and argues that it has fully complied with  
4 the subpoena. Dkt. 69. It argues that it has turned over all of the documents related to the four  
5 Plaintiffs, an “exemplar basic training class schedule, an exemplar screenshot relating to [u]nion  
6 [t]ime seen by students who take online continuing education classes,” a “faculty instructor  
7 employee manual, excerpts related to the provision of union time in an exemplar instructional  
8 services agreement, the student code of conduct, and the welcome package” given to new  
9 students. Dkt. 70, at 1-2. The NW Training Partnership also argues that Plaintiffs’ motion to  
10 compel should be denied because the expense of the discovery they seek is disproportionate to its  
11 limited relevancy. Dkt. 69. The NW Training Partnership notes that even if the search were  
12 limited to the first quarter of 2016, it would be required to search 30 computers, view over 1,600  
13 emails, and would take dozens of hours of staff time. Dkt. 70, at 2. If the Court is inclined to  
14 extend the discovery deadline, NW Training Partnership requests that the deposition of Ms.  
15 Raynor be ordered to take place before February 2016 because she has an international trip  
16 planned and Plaintiffs have already canceled her deposition twice. *Id.*

17 SEIU filed a Response, and opposes extension of the discovery deadline. Dkt. 67. It  
18 notes that the case was filed almost a year ago (in February of 2016), and Plaintiffs made the  
19 disputed discovery request of NW Training Partnership in mid-November 2016. *Id.* SEIU also  
20 points out that Plaintiffs have cancelled the Rule 30(b)(6) deposition of Ms. Raynor twice, and  
21 SEIU opposes further continuation of the deposition. *Id.*

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Currently, trial is set to begin on May 1, 2017 and the dispositive motions deadline is set for January 31, 2017. Dkt. 31. Parties have already sought and received an extension of the deadline to file discovery and discovery related motions. Dkts. 61 and 66.

## II. DISCUSSION

## A. MOTION TO COMPEL DISCOVERY

Under Fed. R. Civ. P. 34(c), “a nonparty may be compelled to produce documents and tangible things or to permit an inspection.” Likewise Rule 45 “Subpoena,” provides: “[a] command to produce documents, electronically stored information, or tangible things or to permit the inspection of premises may be included in a subpoena commanding attendance at a deposition, hearing, or trial, or may be set out in a separate subpoena.” Fed. R. Civ. P. 26 (b)(1) provides:

Unless otherwise limited by court order, the scope of discovery is as follows: Parties may obtain discovery regarding any nonprivileged matter that is relevant to any party's claim or defense and proportional to the needs of the case, considering the importance of the issues at stake in the action, the amount in controversy, the parties' relative access to relevant information, the parties' resources, the importance of the discovery in resolving the issues, and whether the burden or expense of the proposed discovery outweighs its likely benefit. Information within this scope of discovery need not be admissible in evidence to be discoverable.

Plaintiffs' motion to compel (Dkt. 63) should be denied. Non-party NW Training Partnership has sufficiently responded to the subpoena. Moreover, the additional discovery Plaintiffs seek is overly broad and would be disproportionate to the needs of the case. Plaintiffs have already been given documents related to themselves and examples of a schedule from typical training sessions, manuals, etc. Dkt. 70, at 1-2. The importance of the additional documents sought appears of little importance to the issues at stake in the action. This is a case

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1 for non-monetary relief (except for attorneys' fees). Moreover, the actual parties in this case,  
2 including the named Defendants, have access to all or most of these documents and have more  
3 resources to respond to the discovery requests than non-party NW Training Partnership, a non-  
4 profit entity organized under IRS § 501(3)(c). The burden or expense to the non-party outweighs  
5 the documents' likely benefit, particularly considering their lack of importance in resolving the  
6 issues in the case. The NW Training Partnership notes that even if the search were limited to the  
7 first quarter of 2016, it would be required to search 30 computers, view over 1,600 emails, and  
8 would take dozens of hours of staff time. Dkt. 70, at 2. Each of the emails may well be several  
9 pages long. *Id.* Plaintiffs' assertion that these emails go to their "as applied" constitutional  
10 claims seems doubtful, particularly because the documents Plaintiffs seek are for the first quarter  
11 of 2016 and the Memorandum of Understanding was entered into in April of 2016. Requiring  
12 non-party NW Training Partnership to produce more documents places an undue burden on  
13 them, considering the documents' limited relevance. *See Dart Indus. Co., Inc. v. Westwood*  
14 *Chem. Co.*, 649 F.2d 646, 649 (9th Cir.1980) (limitations on discovery may be broader  
15 where target is nonparty). Plaintiffs' motion to compel (Dkt. 63) should be denied.

16 **B. MOTION FOR EXTENSION OF THE DISCOVERY AND DISCOVERY  
17 RELATED MOTIONS DEADLINE**

18 Plaintiffs have shown good cause for a brief extension of the discovery deadline.  
19 Plaintiffs' motion for an extension of the January 3, 2017 discovery deadline and of the deadline  
20 to file discovery related motions (Dkt. 63) should be granted, in part. A firm deadline should be  
21 set. Accordingly, the deadline for completion of discovery and the deadline to file discovery  
22 related motions should be reset to January 31, 2017.

1 Plaintiffs have canceled the deposition of nonparty NW Training Partnership's Rule  
2 30(b)(6) witness twice. The discovery deadline is now January 31, 2017. Parties are encouraged  
3 to work together to schedule depositions. All other deadlines remain in effect.

4 **III. ORDER**

5 It is **ORDERED** that:

6 Plaintiffs' Motion to Compel Production of Documents from Nonparty SEIU Healthcare  
7 Northwest Training Partnership and Request for Relief from Discovery Deadlines (Dkt. 63) **IS**:

- 8 • **DENIED** as to Plaintiffs' Motion to Compel Production of Documents from  
9 Nonparty SEIU Healthcare Northwest Training Partnership; and
- 10 • **GRANTED, IN PART**, as to the Request for Relief from Discovery Deadlines;
- 11 • The deadline for completion of discovery **IS RESET** to January 31, 2017.

12 The Clerk is directed to send uncertified copies of this Order to all counsel of record and  
13 to any party appearing *pro se* at said party's last known address.

14 Dated this 24<sup>th</sup> day of January, 2017.

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17 ROBERT J. BRYAN  
18 United States District Judge