

**Form 12. Application for Leave to File Second or Successive Petition Under 28 U.S.C. § 2254 or Motion Under 28 U.S.C. § 2255**

*(New, 7/1/02; Rev. 7/1/16)*

UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT  
P.O. Box 193939  
San Francisco, California 94119-3939

Docket Number (to be provided by Court) \_\_\_\_\_

Applicant Name \_\_\_\_\_

Prisoner Registration Number \_\_\_\_\_

Address \_\_\_\_\_

Name of Respondent (Warden) \_\_\_\_\_

**Instructions - Read Carefully**

(1) This application, whether handwritten or typewritten, must be legible and signed by the applicant under penalty of perjury. An original must be provided to the Clerk of the Ninth Circuit. The application must comply with 9th Circuit Rule 22-3, which is attached to this form.

(2) All questions must be answered concisely. Add separate sheets if necessary.

(3) If this is a capital case, the applicant shall serve a copy of this application and any attachments on respondent and must complete and file the proof of service that accompanies this form. If this is not a capital case, service on the respondent is not required.

(4) The proposed 28 U.S.C. § 2254 petition or 28 U.S.C. § 2255 motion that applicant seeks to file in the district court must be included with this form.

(5) Applicants seeking authorization to file a second or successive section 2254 habeas corpus petition shall include copies of all relevant state court decisions if reasonably available.

**You *Must* Answer the Following Questions:**

(1) What conviction(s) are you challenging?

\_\_\_\_\_  
\_\_\_\_\_

(2) In what court(s) were you convicted of these crime(s)?

\_\_\_\_\_  
\_\_\_\_\_

(3) What was the date of each of your conviction(s) and what is the length of each sentence?

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**For questions (4) through (10), provide information separately for each of your previous §§ 2254 or 2255 proceedings. Use additional pages if necessary.**

(4) Has the judgment of your conviction or sentence been modified or amended? If yes, when and by what court?

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(5) With respect to **each** conviction and sentence, have you ever filed a petition or motion for habeas corpus relief in federal court under **28 U.S.C. § 2254** or **§ 2255**?

Yes  No

(a) In which federal district court did you file a petition or motion?

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(b) What was the docket number?

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(c) On what date did you file the petition/motion?

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(6) What grounds were raised in your previous habeas proceeding?  
(list all grounds and issues previously raised in that petition/ motion)

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(7) Did the district court hold an evidentiary hearing? Yes  No

(8) How did the district court rule on your petition/motion?

District court **dismissed** petition/motion? If yes, on what grounds?

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District court **denied** petition/motion;

District court **granted** relief; if yes, on what claims and what was the relief?

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(9) On what date did the district court decide your petition/motion?

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(10) Did you file an appeal from that disposition? Yes  No

(a) What was the docket number of your appeal?

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(b) How did the court of appeals decide your appeal?

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(11) State concisely each and every ground or issue you wish to raise in your current petition or motion for habeas relief. Summarize briefly the facts supporting each ground or issue.

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(12) For each ground raised, was it raised in the state courts? If so, what did the state courts rule and when?  
(Attach a copy of all relevant state court decisions, if available)

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(13) For each ground/issue raised, was this claim raised in any prior federal petition/motion?  
(list each ground separately)

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(14) For each ground/issue raised, does this claim rely on a new rule of constitutional law?  
(list each ground separately and give case name and citation for each new rule of law)

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(15) For each ground/issue raised, does this claim rely on newly discovered evidence? What is the evidence and when did you discover it? Why has this newly discovered evidence not been previously available to you?  
(list each ground separately)

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(16) For each ground/issue raised, does the newly discovered evidence establish your innocence?  
How?

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(17) For each ground/issue raised, does the newly discovered evidence establish a federal constitutional error? Which provision of the Constitution was violated and how?

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(18) Provide any other basis for your application not previously stated.

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**Date:** \_\_\_\_\_ **Signature:** \_\_\_\_\_

**In capital cases only, proof of service on respondent MUST be attached. A sample proof of service is attached to this form.**

**Attach proposed section 2254 petition or section 2255 motion to this application.**

**CERTIFICATE OF SERVICE**

**APPLICATIONS FOR LEAVE TO FILE  
SECOND OR SUCCESSIVE  
28 U.S.C. §§ 2254/2255 PETITIONS/MOTIONS**

**DEATH PENALTY CASES ONLY**

Case Name: \_\_\_\_\_ v. \_\_\_\_\_

I certify that a copy of the application for leave to file a second or successive 28 U.S.C. § 2254 petition or § 2255 motion with any attachments was served, either in person or by mail, on the person listed below.

\_\_\_\_\_  
Signature  
Notary NOT required

Name	Address	Date of Service
_____	_____	_____

**CIRCUIT RULE 22-3. APPLICATIONS FOR AUTHORIZATION  
TO FILE SECOND OR SUCCESSIVE 28 U.S.C. § 2254 PETITION  
OR § 2255 MOTION - ALL CASES; STAY OF EXECUTION -  
CAPITAL CASES**

- (a) **Applications.** An applicant seeking authorization to file a second or successive 28 U.S.C. § 2254 petition or 28 U.S.C. § 2255 motion in the district court must file an application in the Court of Appeals demonstrating entitlement to such leave under sections 2254 or 2255. See Form 12. An original in paper format of the application must be filed with the Clerk of the Court of Appeals unless the application is submitted via Appellate CM/ECF. No filing fee is required. If an application for authorization to file a second or successive section 2254 petition or section 2255 motion is mistakenly submitted to the district court, the district court shall refer it to the court of appeals. If an unauthorized second or successive section 2254 petition or section 2255 motion is submitted to the district court, the district court may, in the interests of justice, refer it to the Court of Appeals. (*Rev. 12/1/09; Rev. 7/1/13; Rev. 7/1/16*)

The applicant must:

- (1) include Form 12 if submitted by an applicant not represented by counsel;
  - (2) include the proposed section 2254 petition or section 2255 motion that the applicant seeks to file in the district court;
  - (3) state as to each claim presented whether it previously has been raised in any state or federal court and, if so, the name of the court and the date of the order disposing of such claim(s); and
  - (4) state how the requirements of sections 2244(b) or 2255 have been satisfied.
- (b) **Attachments.** If reasonably available to the applicant, the application must include copies of all relevant state court orders and decisions. (*Rev. 12/1/09; Rev 7/1/16*)
- (c) **Service.**
- (1) **Capital Cases:** In capital cases, the applicant must serve a copy of the application, attachments, and proposed section 2254 petition/section 2255 motion on the respondent, and must attach a certificate of service to the application filed with the Court. (*Rev. 7/1/16*)
  - (2) **Noncapital Cases:** In noncapital cases, service of the application on the respondent is not required. (*New 7/1/16*)
- (d) **Response.**
- (1) **Capital Cases:** In capital cases where an execution date is scheduled and no stay is in place, respondent shall respond to the application and file supplemental attachments as soon as practicable. Otherwise, in capital cases, respondent shall

respond and file supplemental attachments within 14 days of the date the application is served. (Rev. 12/1/09)

- (2) **Noncapital Cases:** In noncapital cases, no response is required unless ordered by the Court. Respondent may include supplemental attachments with its response. (Rev. 7/1/16)
- (e) **Decision.** The application will be determined by a three-judge panel. In capital cases where an execution date is scheduled and no stay is in place, the Court will grant or deny the application, and state its reasons therefore, as soon as practicable.
- (f) **Stays of Execution.** If an execution date is scheduled and no stay is in place, any judge may, if necessary, enter a stay of execution, see Circuit Rule 22-2(e), but the question will be presented to the panel as soon as practicable. If the Court grants leave to file a second or successive application, the Court shall stay the applicant's execution pending disposition of the second or successive petition by the district court.

**Cross Reference:**

- Circuit Rule 25-5(b)

### *CIRCUIT ADVISORY COMMITTEE NOTE TO RULE 22-3*

*The district court is required to transfer mistakenly filed applications for authorization to file a second or successive section 2254 petition or 2255 motion. If an applicant files a document that appears to be an unauthorized section 2254 petition or 2255 motion and facially alleges a claim based on a new rule of constitutional law or newly discovered evidence of actual innocence, the district court may transfer the filing to the court of appeals in the interests of justice or, in the alternative, the district court may dismiss the filing without prejudice to the applicant seeking authorization from the court of appeals on Ninth Circuit Form 12.*

*The rule requires applicants to provide this Court with the proposed petition or motion. Pro se applicants are encouraged to use the form petition or motion adopted by the district court where the applicant anticipates filing the document. (New 7/1/16)*