

APPEAL, BGW, CLASS\_ACTION, CLOSED, DECLINE\_MJ, PROTECTIVE\_ORDER,

**U.S. District Court**  
**Western District of Wisconsin (Madison)**  
**CIVIL DOCKET FOR CASE #: 3:09-cv-00413-wmc**

Chesemore, Carol et al. v. Alliance Holdings, Inc. et al.  
Assigned to: District Judge William M. Conley  
Referred to: Magistrate Judge Stephen L. Crocker  
Case in other court: USCA for the 7th Circuit, 14-03181  
USCA for the 7th Circuit, 14-03215  
USCA for the 7th Circuit, 14-03428  
Seventh Circuit, 15-03140  
Cause: 29:1001 E.R.I.S.A.: Employee Retirement

Date Filed: 06/30/2009  
Date Terminated: 09/08/2014  
Jury Demand: None  
Nature of Suit: 791 Labor: E.R.I.S.A.  
Jurisdiction: Federal Question

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**Cross Claimant**

**Jeffrey A. Seefeldt**

represented by **Charles B Wolf**

(See above for address)

*LEAD ATTORNEY*

*ATTORNEY TO BE NOTICED*

**Patrick Williamson Spangler**

(See above for address)

*LEAD ATTORNEY*

*ATTORNEY TO BE NOTICED*

**Lynn Marie Stathas**

(See above for address)

*ATTORNEY TO BE NOTICED*

V.

**Cross Defendant**

**Alliance Holdings, Inc.**

represented by **Angela M. Rust**

(See above for address)

*TERMINATED: 05/10/2013*

*LEAD ATTORNEY*

**Christopher Craig Woods**

(See above for address)

*TERMINATED: 05/10/2013*

*LEAD ATTORNEY*

**Jeffrey J. Wedel**

(See above for address)

*TERMINATED: 05/10/2013*

*LEAD ATTORNEY*

**Kristen Marie Blankley**

(See above for address)

*TERMINATED: 05/10/2013*

*LEAD ATTORNEY*

**Ryan A. Sobel**

(See above for address)

*TERMINATED: 05/10/2013*

*LEAD ATTORNEY*

**Christopher Alan Weals**

(See above for address)

*ATTORNEY TO BE NOTICED*

**Emily Anne Glunz**

(See above for address)

*ATTORNEY TO BE NOTICED*

**Erin E. McAdams**

(See above for address)

*ATTORNEY TO BE NOTICED*

**Lora L. Zimmer**

(See above for address)

*TERMINATED: 05/10/2013*

**Patrick F. Koenen**

(See above for address)

*TERMINATED: 05/10/2013*

**Cross Defendant**

**Stephen W. Pagelow**

represented by **Alan I. Silver**

(See above for address)

*ATTORNEY TO BE NOTICED*

**Jonathan Paul Norrie**

(See above for address)

*ATTORNEY TO BE NOTICED*

**Kevin Patrick Hickey**

(See above for address)

*ATTORNEY TO BE NOTICED*

**Cross Claimant**

**Pamela Klute**

represented by **Charles B Wolf**

(See above for address)

*LEAD ATTORNEY*

*ATTORNEY TO BE NOTICED*

**Patrick Williamson Spangler**

(See above for address)

*LEAD ATTORNEY*

*ATTORNEY TO BE NOTICED*

**Karen L. Handorf**

(See above for address)

*ATTORNEY TO BE NOTICED*

**Lynn Marie Stathas**

(See above for address)

*ATTORNEY TO BE NOTICED*

**Cross Claimant**

**James Mastrangelo**

represented by **Charles B Wolf**

(See above for address)

*LEAD ATTORNEY*

*ATTORNEY TO BE NOTICED*

**Patrick Williamson Spangler**

(See above for address)

*LEAD ATTORNEY*

*ATTORNEY TO BE NOTICED*

**Karen L. Handorf**

(See above for address)

*ATTORNEY TO BE NOTICED*

**Lynn Marie Stathas**

(See above for address)

*ATTORNEY TO BE NOTICED*

**Cross Claimant**

**Jeffrey A. Seefeldt**

represented by **Charles B Wolf**

(See above for address)

*LEAD ATTORNEY*

*ATTORNEY TO BE NOTICED*

**Patrick Williamson Spangler**

(See above for address)

*LEAD ATTORNEY*

*ATTORNEY TO BE NOTICED*

**Lynn Marie Stathas**

(See above for address)

*ATTORNEY TO BE NOTICED*

V.

**Cross Defendant**

**Alliance Holdings, Inc.**

represented by **Angela M. Rust**

(See above for address)

*TERMINATED: 05/10/2013*

*LEAD ATTORNEY*

**Christopher Craig Woods**

(See above for address)

*TERMINATED: 05/10/2013*

*LEAD ATTORNEY*

**Jeffrey J. Wedel**

(See above for address)

*TERMINATED: 05/10/2013*

*LEAD ATTORNEY*

**Kristen Marie Blankley**

(See above for address)

*TERMINATED: 05/10/2013*

*LEAD ATTORNEY*

**Ryan A. Sobel**

(See above for address)

*TERMINATED: 05/10/2013*

*LEAD ATTORNEY***Christopher Alan Weals**

(See above for address)

*ATTORNEY TO BE NOTICED***Emily Anne Glunz**

(See above for address)

*ATTORNEY TO BE NOTICED***Erin E. McAdams**

(See above for address)

*ATTORNEY TO BE NOTICED***Lora L. Zimmer**

(See above for address)

*TERMINATED: 05/10/2013***Patrick F. Koenen**

(See above for address)

*TERMINATED: 05/10/2013***Cross Defendant****Stephen W. Pagelow**represented by **Alan I. Silver**

(See above for address)

*ATTORNEY TO BE NOTICED***Jonathan Paul Norrie**

(See above for address)

*ATTORNEY TO BE NOTICED***Karen L. Handorf**

(See above for address)

*ATTORNEY TO BE NOTICED***Kevin Patrick Hickey**

(See above for address)

*ATTORNEY TO BE NOTICED***Cross Claimant****Karen Fenkell**represented by **David Richard Johanson**

(See above for address)

*LEAD ATTORNEY**ATTORNEY TO BE NOTICED***Douglas Andrew Rubel**

(See above for address)

*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

**Brian Arthur Price**  
(See above for address)  
*TERMINATED: 11/21/2014*

V.

**Cross Defendant**

**A.H.I., Inc.**

represented by **Charles Clark Jackson**  
(See above for address)  
*ATTORNEY TO BE NOTICED*

**Emily Anne Glunz**  
(See above for address)  
*ATTORNEY TO BE NOTICED*

**Erin E. McAdams**  
(See above for address)  
*ATTORNEY TO BE NOTICED*

**Ryan A. Sobel**  
(See above for address)  
*TERMINATED: 05/10/2013*

**Cross Defendant**

**AH Transition Corporation**

represented by **Charles Clark Jackson**  
(See above for address)  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

**Christopher Alan Weals**  
(See above for address)  
*ATTORNEY TO BE NOTICED*

**Emily Anne Glunz**  
(See above for address)  
*ATTORNEY TO BE NOTICED*

**Erin E. McAdams**  
(See above for address)  
*ATTORNEY TO BE NOTICED*

**Ryan A. Sobel**  
(See above for address)  
*TERMINATED: 05/10/2013*

**Cross Defendant****Alliance Holdings, Inc.**represented by **Angela M. Rust**

(See above for address)

*TERMINATED: 05/10/2013**LEAD ATTORNEY***Christopher Craig Woods**

(See above for address)

*TERMINATED: 05/10/2013**LEAD ATTORNEY***Jeffrey J. Wedel**

(See above for address)

*TERMINATED: 05/10/2013**LEAD ATTORNEY***Kristen Marie Blankley**

(See above for address)

*TERMINATED: 05/10/2013**LEAD ATTORNEY**ATTORNEY TO BE NOTICED***Ryan A. Sobel**

(See above for address)

*TERMINATED: 05/10/2013**LEAD ATTORNEY***Charles Clark Jackson**

(See above for address)

*ATTORNEY TO BE NOTICED***Christopher Alan Weals**

(See above for address)

*ATTORNEY TO BE NOTICED***Emily Anne Glunz**

(See above for address)

*ATTORNEY TO BE NOTICED***Erin E. McAdams**

(See above for address)

*ATTORNEY TO BE NOTICED***Katherine Elizabeth Kenny**

(See above for address)

*ATTORNEY TO BE NOTICED*

**Lora L. Zimmer**

(See above for address)

*TERMINATED: 05/10/2013***Patrick F. Koenen**

(See above for address)

*TERMINATED: 05/10/2013*

<b>Date Filed</b>	<b>#</b>	<b>Docket Text</b>
06/30/2009	<a href="#">1</a>	COMPLAINT against all defendants ( Filing fee \$ 350 receipt number 34690004754.), filed by all plaintiffs. (Attachments: # <a href="#">1</a> JS-44 Civil Cover Sheet) (Cavitt, Clifford) (Entered: 06/30/2009)
07/01/2009		Case assigned to Magistrate Judge Stephen L. Crocker. (vob) (Entered: 07/01/2009)
07/01/2009		Standard attachments for Magistrate Judge Stephen L. Crocker required to be served on all parties with summons or waiver of service: <a href="#">NORTC</a> , <a href="#">Briefing Guidelines</a> , <a href="#">Corporate Disclosure Statement</a> , <a href="#">Order Regarding Assignment of U.S. Magistrate Judge to Civil Cases</a> , <a href="#">Declination to Proceed before a U.S. Magistrate Judge</a> , <a href="#">Order on Dispositive Motions</a> . (vob) (Entered: 07/01/2009)
07/27/2009	<a href="#">2</a>	WAIVER OF SERVICE Returned Executed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Defendant Stephen W. Pagelow. Stephen W. Pagelow waiver sent on 7/2/2009, answer due 8/31/2009. (Cavitt, Clifford) (Entered: 07/27/2009)
07/28/2009	<a href="#">3</a>	NOTICE of Appearance filed by Clifford Joe Cavitt for Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins (Attachments: # <a href="#">1</a> Certificate of Service) (Cavitt, Clifford) (Entered: 07/28/2009)
07/29/2009	<a href="#">4</a>	WAIVER OF SERVICE Returned Executed by Plaintiff Carol Chesemore, Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt. Pamela Klute waiver sent on 7/2/2009, answer due 8/31/2009; James Mastrangelo waiver sent on 7/2/2009, answer due 8/31/2009; Jeffrey A. Seefeldt waiver sent on 7/2/2009, answer due 8/31/2009. (Handorf, Karen) (Entered: 07/29/2009)
08/14/2009	<a href="#">5</a>	WAIVER OF SERVICE Returned Executed by Plaintiff Carol Chesemore, Defendant David B. Fenkell. David B. Fenkell waiver sent on 7/2/2009, answer due 8/31/2009. (Handorf, Karen) (Entered: 08/14/2009)
08/21/2009	<a href="#">6</a>	MOTION to Admit Charles B. Wolf, Patrick W. Spangler Pro Hac Vice by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt. (Stathas, Lynn) (Entered: 08/21/2009)
08/24/2009		ACTION REQUESTED: Attorneys Charles Wolf and Patrick Spangler must be registered for CM/ECF before the motion for pro hac vice is granted. (vob) (Entered: 08/24/2009)

08/26/2009	<a href="#">7</a>	WAIVER OF SERVICE Returned Executed by Plaintiff Carol Chesemore, Defendant Eric M. Lynn. Eric M. Lynn waiver sent on 7/21/2009, answer due 9/21/2009. (Handorf, Karen) (Entered: 08/26/2009)
08/28/2009	<a href="#">8</a>	WAIVER OF SERVICE Returned Executed by Plaintiff Carol Chesemore, Defendant Employee Stock Ownership Plan. Employee Stock Ownership Plan waiver sent on 8/7/2009, answer due 10/6/2009. (Handorf, Karen) (Entered: 08/28/2009)
08/28/2009	<a href="#">9</a>	WAIVER OF SERVICE Returned Executed by Plaintiff Carol Chesemore, Defendant Alliance Holdings, Inc. Employee Stock Ownership Plan. Alliance Holdings, Inc. Employee Stock Ownership Plan waiver sent on 8/7/2009, answer due 10/6/2009. (Handorf, Karen) (Entered: 08/28/2009)
08/28/2009	<a href="#">10</a>	WAIVER OF SERVICE Returned Executed by Plaintiff Carol Chesemore, Defendant Alliance Holdings, Inc.. Alliance Holdings, Inc. waiver sent on 8/7/2009, answer due 10/6/2009. (Handorf, Karen) (Entered: 08/28/2009)
08/28/2009	<a href="#">11</a>	MOTION for Leave to File <i>Documents Outside the Pleadings in Connection with his Rule 12(b)(6) Motion to Dismiss</i> by Defendant Stephen W. Pagelow. (Silver, Alan) (Entered: 08/28/2009)
08/28/2009	<a href="#">12</a>	AFFIDAVIT of Stephen W. Pagelow filed by Defendant Stephen W. Pagelow re: <a href="#">11</a> MOTION for Leave to File <i>Documents Outside the Pleadings in Connection with his Rule 12(b)(6) Motion to Dismiss</i> filed by Stephen W. Pagelow (Attachments: # <a href="#">1</a> Exhibit Table of Contents and Exhibits A - C) (Silver, Alan) (Entered: 08/28/2009)
08/28/2009	<a href="#">13</a>	CERTIFICATE OF SERVICE by Defendant Stephen W. Pagelow re <a href="#">11</a> MOTION for Leave to File <i>Documents Outside the Pleadings in Connection with his Rule 12(b)(6) Motion to Dismiss</i> , <a href="#">12</a> Affidavit, (Silver, Alan) (Entered: 08/28/2009)
08/31/2009	14	** TEXT ONLY ORDER ** ORDER granting <a href="#">6</a> Motion to Admit Pro Hac Vice. Charles B Wolf and Patrick W. Spangler for Pamela Klute, James Mastrangelo and Jeffrey A. Seefeldt, admitted pro hac vice. Signed by Magistrate Judge Stephen L. Crocker on 8/21/09. (vob) (Entered: 08/31/2009)
08/31/2009	15	** TEXT ONLY ORDER ** ORDER granting <a href="#">11</a> Motion for Leave to File Documents Outside the Pleadings in Connection with his Rule 12(b)(6) Motion to Dismiss. Signed by Magistrate Judge Stephen L. Crocker on 8/31/09. (vob) (Entered: 08/31/2009)
08/31/2009	<a href="#">16</a>	MOTION for Leave to File <i>File Documents Outside the Pleadings</i> by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt. (Attachments: # <a href="#">1</a> Exhibit A - Proposed Affidavit of James Mastrangelo and exhibits) (Stathas, Lynn) Added exhibit description on 9/1/2009 (jef). (Entered: 08/31/2009)
08/31/2009	<a href="#">17</a>	MOTION to Dismiss <i>Counts VI and VII of the Complaint</i> by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt. Brief in Opposition due by 9/21/2009. Brief in Reply due by 10/1/2009. (Stathas, Lynn) (Entered: 08/31/2009)

08/31/2009	<a href="#">18</a>	MOTION to Dismiss <i>Under Rule 12(b)(6)</i> by Defendant Stephen W. Pagelow. Brief in Opposition due by 9/21/2009. Brief in Reply due by 10/1/2009. (Silver, Alan) (Entered: 08/31/2009)
08/31/2009	<a href="#">19</a>	BRIEF in Support by Defendant Stephen W. Pagelow re: <a href="#">18</a> MOTION to Dismiss <i>Under Rule 12(b)(6)</i> filed by Stephen W. Pagelow (Silver, Alan) (Entered: 08/31/2009)
08/31/2009	<a href="#">20</a>	CERTIFICATE OF SERVICE by Defendant Stephen W. Pagelow re <a href="#">18</a> MOTION to Dismiss <i>Under Rule 12(b)(6)</i> , <a href="#">19</a> Brief in Support (Silver, Alan) (Entered: 08/31/2009)
08/31/2009	<a href="#">21</a>	BRIEF in Support by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt re: <a href="#">17</a> MOTION to Dismiss <i>Counts VI and VII of the Complaint</i> filed by Pamela Klute, Jeffrey A. Seefeldt, James Mastrangelo (Stathas, Lynn) (Entered: 08/31/2009)
09/02/2009	22	** TEXT ONLY ORDER ** ORDER granting <a href="#">16</a> Motion for Leave to File Documents Outside the Pleadings. Signed by Magistrate Judge Stephen L. Crocker on 9/2/09. (vob) (Entered: 09/02/2009)
09/02/2009	<a href="#">23</a>	MOTION to Withdraw as Attorney by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins.Response due by 9/10/2009. (Cavitt, Clifford) (Entered: 09/02/2009)
09/03/2009	24	** TEXT ONLY ORDER ** ORDER granting <a href="#">23</a> Motion by Attorney Clifford Joe Cavitt to Withdraw as Attorney for Plaintiffs and to substitute Andrew W. Erlandson. Added attorney Andrew W. Erlandson for plaintiffs. Attorney Clifford Joe Cavitt terminated. Signed by Magistrate Judge Stephen L. Crocker on 9/3/09. (vob) Modified text on 9/10/2009 (llj/c). (Entered: 09/03/2009)
09/03/2009	<a href="#">25</a>	AFFIDAVIT of James Mastrangelo filed by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt re: <a href="#">17</a> MOTION to Dismiss <i>Counts VI and VII of the Complaint</i> filed by Pamela Klute, Jeffrey A. Seefeldt, James Mastrangelo (Attachments: # <a href="#">1</a> Exhibit 1 - Trachte Building Systems Employee Stock Ownership Plan, # <a href="#">2</a> Exhibit 2 - Trachte Building Systems Employee Stock Ownership Plan Summary Plan Description, # <a href="#">3</a> Exhibit 3 - Stout Risius Ross, Inc. Valuation of Trachte Building Systems, Inc., # <a href="#">4</a> Exhibit 4 - Draft Valuation and Fairness Opinion Performed by Barnes Wendling Valuation Services, Inc., # <a href="#">5</a> Exhibit 5 - RSM McGladrey Letter (dated July 6, 2007), # <a href="#">6</a> Exhibit 6 - Final Valuation and Fairness Opinion Performed by Barnes Wendling Valuation Services, Inc., # <a href="#">7</a> Exhibit 7 - Letter from Alpha Investment Consulting Group LLC (dated August 29, 2007)) (Stathas, Lynn) (Entered: 09/03/2009)
09/04/2009	<a href="#">26</a>	NOTICE of Appearance filed by Patrick F Koenen for Defendants David B. Fenkell, Eric M. Lynn, Alliance Holdings, Inc. Employee Stock Ownership Plan,

		Alliance Holdings, Inc. (Koenen, Patrick) (Entered: 09/04/2009)
09/04/2009	<a href="#">27</a>	MOTION to Admit Kristen Marie Blankley and Christopher Craig Woods Pro Hac Vice by Defendants David B. Fenkell, Eric M. Lynn, Alliance Holdings, Inc. Employee Stock Ownership Plan, Alliance Holdings, Inc.. (Attachments: # <a href="#">1</a> Exhibit CM/ECF Registration Form for Attorney Blankley, # <a href="#">2</a> Exhibit CM/ECF Registration Form for Attorney Woods) (Koenen, Patrick) (Entered: 09/04/2009)
09/04/2009	<a href="#">28</a>	CERTIFICATE OF SERVICE by Defendants David B. Fenkell, Eric M. Lynn, Alliance Holdings, Inc. Employee Stock Ownership Plan, Alliance Holdings, Inc. re <a href="#">26</a> Notice of Appearance (Koenen, Patrick) (Entered: 09/04/2009)
09/04/2009	<a href="#">29</a>	CERTIFICATE OF SERVICE by Defendants David B. Fenkell, Eric M. Lynn, Alliance Holdings, Inc. Employee Stock Ownership Plan, Alliance Holdings, Inc. re: <a href="#">27</a> Motion to Admit Pro Hac Vice. (Koenen, Patrick) Modified on 9/4/2009 (Friedl, Joanne). (Entered: 09/04/2009)
09/04/2009	<a href="#">30</a>	NOTICE of Appearance filed by Kevin Patrick Hickey for Defendant Stephen W. Pagelow (Attachments: # <a href="#">1</a> Certificate of Service) (Hickey, Kevin) (Entered: 09/04/2009)
09/09/2009	31	** TEXT ONLY ORDER ** ORDER granting <a href="#">27</a> Motion to Admit Kristen Marie Blankley and Christopher Craig Woods Pro Hac Vice. Kristen Marie Blankley for David B. Fenkell, Eric M. Lynn, Alliance Holdings, Inc. Employee Stock Ownership Plan and Alliance Holdings, Inc., Christopher Craig Woods for David B. Fenkell, Eric M. Lynn, Alliance Holdings, Inc. Employee Stock Ownership Plan and Alliance Holdings, Inc. admitted pro hac vice. Signed by Magistrate Judge Stephen L. Crocker on 9/8/09. (vob) (Entered: 09/09/2009)
09/17/2009	<a href="#">32</a>	Unopposed MOTION to Amend Briefing Schedule by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins. Motions referred to Magistrate Judge Stephen L. Crocker. (Erlandson, Andrew) (Entered: 09/17/2009)
09/17/2009	<a href="#">33</a>	CERTIFICATE OF SERVICE by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins (Erlandson, Andrew) (Entered: 09/17/2009)
09/18/2009	34	** TEXT ONLY ORDER ** ORDER granting <a href="#">32</a> Motion to Amend Briefing Schedule. Brief in Opposition due by 10/2/2009. Brief in Reply due by 10/22/2009. Signed by Magistrate Judge Stephen L. Crocker on 9/18/09. (vob) (Entered: 09/18/2009)
10/02/2009	<a href="#">35</a>	BRIEF in Opposition by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re: <a href="#">17</a> MOTION to Dismiss <i>Counts VI and VII of the Complaint</i> filed by Pamela Klute, Jeffrey A. Seefeldt, James Mastrangelo (Attachments: # <a href="#">1</a> Affidavit of Karen L. Handorf Pursuant to Rule 56(f)) (Handorf, Karen) Emailed attorney to file the affidavit as a separate docket entry on 10/5/2009 (jef). Emailed attorney to file a certif. of service for dockets #35-38 on 10/6/2009 (jef). (Entered: 10/02/2009)

10/02/2009	<a href="#">36</a>	BRIEF in Opposition by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re: <a href="#">18</a> MOTION to Dismiss <i>Under Rule 12(b)(6)</i> filed by Stephen W. Pagelow (Attachments: # <a href="#">1</a> Affidavit of Karen L. Handorf Pursuant to Rule 56(f)) (Handorf, Karen) Emailed attorney to file the affidavit as a separate docket entry on on 10/5/2009 (jef). (Entered: 10/02/2009)
10/05/2009	<a href="#">37</a>	AFFIDAVIT of Karen L. Handorf filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re: <a href="#">18</a> MOTION to Dismiss <i>Under Rule 12(b)(6)</i> filed by Stephen W. Pagelow (Handorf, Karen) (Entered: 10/05/2009)
10/05/2009	<a href="#">38</a>	AFFIDAVIT of Karen L. Handorf filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re: <a href="#">17</a> MOTION to Dismiss <i>Counts VI and VII of the Complaint</i> filed by Pamela Klute, Jeffrey A. Seefeldt, James Mastrangelo (Handorf, Karen) (Entered: 10/05/2009)
10/05/2009	<a href="#">39</a>	MOTION to Dismiss by Defendants David B. Fenkell, Eric M. Lynn, Alliance Holdings, Inc. Employee Stock Ownership Plan, Alliance Holdings, Inc.. Brief in Opposition due by 10/26/2009. Brief in Reply due by 11/5/2009. (Koenen, Patrick) (Entered: 10/05/2009)
10/05/2009	<a href="#">40</a>	BRIEF in Support by Defendants David B. Fenkell, Eric M. Lynn, Alliance Holdings, Inc. Employee Stock Ownership Plan, Alliance Holdings, Inc. re: <a href="#">39</a> MOTION to Dismiss filed by David B. Fenkell, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, Eric M. Lynn (Koenen, Patrick) (Entered: 10/05/2009)
10/05/2009	<a href="#">41</a>	AFFIDAVIT of David B. Fenkell filed by Defendants David B. Fenkell, Eric M. Lynn, Alliance Holdings, Inc. Employee Stock Ownership Plan, Alliance Holdings, Inc. re: <a href="#">39</a> MOTION to Dismiss filed by David B. Fenkell, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, Eric M. Lynn (Attachments: # <a href="#">1</a> Exhibit A - Alliance Holdings Employee Stock Ownership Plan and Trust, # <a href="#">2</a> Exhibit B - Instrument Providing for Amendment and Spin-Off from ESOP) (Koenen, Patrick) Added exhibit descriptions on 10/6/2009 (jef). (Entered: 10/05/2009)
10/05/2009	<a href="#">42</a>	CERTIFICATE OF SERVICE by Defendants David B. Fenkell, Eric M. Lynn, Alliance Holdings, Inc. Employee Stock Ownership Plan, Alliance Holdings, Inc. re <a href="#">41</a> Affidavit, <a href="#">39</a> MOTION to Dismiss, <a href="#">40</a> Brief in Support, (Koenen, Patrick) (Entered: 10/05/2009)
10/06/2009	<a href="#">43</a>	STIPULATION and Proposed Order to Establish Briefing Schedule on the Motion to Dismiss by Defendants David B. Fenkell, Eric M. Lynn, Alliance Holdings, Inc. Employee Stock Ownership Plan, Alliance Holdings, Inc., Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins. (Stanton, Marie) Modified event to stipulation and modified text to reflect document title on 10/6/2009 (jef). (Entered: 10/06/2009)
10/06/2009	<a href="#">44</a>	CERTIFICATE OF SERVICE re: <a href="#">43</a> Stipulation and Proposed Order by Defendants David B. Fenkell, Eric M. Lynn, Alliance Holdings, Inc. Employee

		Stock Ownership Plan, Alliance Holdings, Inc., Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins (Stanton, Marie) Created link to #43 on 10/6/2009 (Friedl, Joanne). (Entered: 10/06/2009)
10/06/2009	<a href="#">45</a>	NOTICE of Appearance filed by Jonathan Paul Norrie for Defendant Stephen W. Pagelow (Norrie, Jonathan) (Entered: 10/06/2009)
10/06/2009	<a href="#">46</a>	CERTIFICATE OF SERVICE by Defendant Stephen W. Pagelow re <a href="#">45</a> Notice of Appearance (Norrie, Jonathan) (Entered: 10/06/2009)
10/06/2009	<a href="#">47</a>	NOTICE of Appearance of <i>Patrick W. Spangler and Charles B. Wolf</i> for Defendant Trachte Building Systems, Inc. Employee Stock Ownership Plan filed by Patrick Williamson Spangler. (Spangler, Patrick) Modified text on 10/7/2009 (jef). (Entered: 10/06/2009)
10/06/2009	<a href="#">48</a>	CERTIFICATE OF SERVICE by Defendant Trachte Building Systems, Inc. Employee Stock Ownership Plan re <a href="#">47</a> Notice of Appearance (Spangler, Patrick) Modified text on 10/7/2009 (jef). (Entered: 10/06/2009)
10/06/2009	<a href="#">49</a>	ANSWER by Nominal Defendant Trachte Building Systems, Inc. Employee Stock Ownership Plan. (Spangler, Patrick) Modified text on 10/7/2009 (jef). (Entered: 10/06/2009)
10/06/2009	<a href="#">50</a>	CERTIFICATE OF SERVICE by Nominal Defendant Trachte Building Systems, Inc. Employee Stock Ownership Plan re <a href="#">49</a> Answer. (Spangler, Patrick) Modified text on 10/7/2009 (jef). (Entered: 10/06/2009)
10/06/2009	<a href="#">51</a>	CERTIFICATE OF SERVICE by Plaintiffs re <a href="#">35</a> Brief in Opposition, <a href="#">36</a> Brief in Opposition. (Handorf, Karen) Modified text on 10/7/2009 (Friedl, Joanne). (Entered: 10/06/2009)
10/07/2009		Set Pretrial or Status Conference: Pretrial Conference set for 11/10/2009 at 09:30 AM before Magistrate Judge Stephen L. Crocker. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5153. [ <a href="#">Standing Order Governing Preliminary Pretrial Conference</a> attached] (vob) (Entered: 10/07/2009)
10/13/2009	52	** TEXT ONLY ORDER ** ORDER accepting <a href="#">43</a> STIPULATION for Motion to dismiss. Brief in Opposition due by 11/4/2009. Brief in Reply due by 11/19/2009. Signed by Magistrate Judge Stephen L. Crocker on 10/09/09. (vob) (Entered: 10/13/2009)
10/13/2009		Set/Reset Deadlines as to <a href="#">39</a> MOTION to Dismiss. Brief in Opposition due by 11/4/2009. Brief in Reply due by 11/19/2009. (vob) (Entered: 10/13/2009)
10/22/2009	<a href="#">53</a>	BRIEF in Reply in Support re: <a href="#">18</a> MOTION to Dismiss <i>Under Rule 12(b)(6)</i> filed by Stephen W. Pagelow (Attachments: # <a href="#">1</a> Certificate of Service) (Hickey, Kevin) (Entered: 10/22/2009)
10/22/2009	<a href="#">54</a>	BRIEF in Support by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt re: <a href="#">17</a> MOTION to Dismiss <i>Counts VI and VII of the Complaint</i> filed by Pamela Klute, Jeffrey A. Seefeldt, James Mastrangelo (Wolf, Charles) (Entered: 10/22/2009)

10/22/2009	<a href="#">55</a>	CERTIFICATE OF SERVICE by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt re <a href="#">54</a> Brief in Support (Wolf, Charles) (Entered: 10/22/2009)
10/26/2009	<a href="#">56</a>	NOTICE of Appearance filed by Lora L. Zimmer for Defendants David B. Fenkell, Eric M. Lynn, Alliance Holdings, Inc. Employee Stock Ownership Plan, Alliance Holdings, Inc. (Attachments: # <a href="#">1</a> Certificate of Service) (Zimmer, Lora) (Entered: 10/26/2009)
11/04/2009	<a href="#">57</a>	BRIEF in Opposition by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re: <a href="#">39</a> MOTION to Dismiss filed by David B. Fenkell, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, Eric M. Lynn (Attachments: # <a href="#">1</a> Declaration of Karen L. Handorf, # <a href="#">2</a> Certificate of Service) (Handorf, Karen) (Entered: 11/04/2009)
11/05/2009	<a href="#">58</a>	DECLARATION of Karen Handorf filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re: <a href="#">39</a> MOTION to Dismiss filed by David B. Fenkell, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, Eric M. Lynn (Handorf, Karen) (Entered: 11/05/2009)
11/05/2009	<a href="#">59</a>	Joint REPORT of Rule 26(f) Planning Meeting (Attachments: # <a href="#">1</a> Certificate of Service) (Barton, Robert) (Entered: 11/05/2009)
11/06/2009	<a href="#">60</a>	MOTION to Admit Jeffrey J. Wedel and Ryan A. Sobel Pro Hac Vice by Defendants David B. Fenkell, Eric M. Lynn, Alliance Holdings, Inc. Employee Stock Ownership Plan, Alliance Holdings, Inc.. Motions referred to Magistrate Judge Stephen L. Crocker. (Attachments: # <a href="#">1</a> Certificate of Service) (Koenen, Patrick) (Entered: 11/06/2009)
11/09/2009	61	** TEXT ONLY ORDER ** ORDER granting <a href="#">60</a> Motion to Admit Jeffrey J. Wedel Pro Hac Vice. Jeffrey A. Wedel for David B. Fenkell, Eric M. Lynn, Alliance Holdings, Inc. Employee Stock Ownership Plan and Alliance Holdings, Inc. admitted pro hac vice. Signed by Magistrate Judge Stephen L. Crocker on 11/9/09. (vob) (Entered: 11/09/2009)
11/10/2009		Minute Entry for proceedings held before Magistrate Judge Stephen L. Crocker: Telephone Preliminary Pretrial Conference held on 11/10/2009 [:25] (cak) (Entered: 11/10/2009)
11/12/2009	<a href="#">62</a>	PRETRIAL CONFERENCE ORDER - Amendments to Pleadings due by 2/1/2010. Dispositive Motions due by 11/1/2010. Court Trial set for 4/11/2011 at 09:00 AM. Signed by Magistrate Judge Stephen L. Crocker on 11/10/09. (vob) (Entered: 11/12/2009)
11/19/2009	<a href="#">63</a>	BRIEF in Reply in Support re: <a href="#">39</a> MOTION to Dismiss filed by David B. Fenkell, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, Eric M. Lynn (Attachments: # <a href="#">1</a> Certificate of Service, # <a href="#">2</a> Unreported Case - Lee v. Builders Supply, # <a href="#">3</a> Unreported Case - Ossey v. Marolda) (Wedel, Jeffrey) (Entered: 11/19/2009)

11/20/2009	<a href="#">64</a>	MOTION to Admit Marc Machiz Pro Hac Vice by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins. Motions referred to Magistrate Judge Stephen L. Crocker. (Handorf, Karen) (Entered: 11/20/2009)
11/20/2009	<a href="#">65</a>	DECLARATION of Marc Machiz filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re: <a href="#">64</a> MOTION to Admit Marc Machiz Pro Hac Vice filed by Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Handorf, Karen) (Entered: 11/20/2009)
11/20/2009	<a href="#">66</a>	CERTIFICATE OF SERVICE by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re <a href="#">64</a> MOTION to Admit Marc Machiz Pro Hac Vice (Handorf, Karen) (Entered: 11/20/2009)
11/23/2009		ACTION REQUESTED: Attorney Marc Machiz must be registered for CM/ECF before the Motion for Pro Hac Vice is granted. (vob) (Entered: 11/23/2009)
11/24/2009	<a href="#">67</a>	TRANSCRIPT of Telephonic Preliminary Pretrial Conference, held 11/10/09 before Magistrate Judge Stephen L. Crocker. Court Reporter: CS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (vob) (Entered: 11/24/2009)
12/01/2009	<a href="#">68</a>	TRANSCRIPT of Digital Telephonic Hearing, held 11/10/09 before Magistrate Judge Stephen L. Crocker. Court Reporter: LS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (vob) (Entered: 12/01/2009)
12/02/2009	69	** TEXT ONLY ORDER ** ORDER granting <a href="#">64</a> Motion to Admit Marc Machiz Pro Hac Vice. Marc I. Machiz for Carol Chesemore, Daniel Donkel, Thomas Gieck and Martin Robbins admitted pro hac vice. Signed by Magistrate Judge Stephen L. Crocker on 12/2/09. (vob) (Entered: 12/02/2009)
12/11/2009	70	** TEXT ONLY ORDER ** ORDER granting <a href="#">60</a> MOTION to Admit Ryan A. Sobel pro hac vice. Ryan Sobel for Defendants David B. Fenkell, Eric M. Lynn, Alliance Holdings, Inc., and Alliance Holdings, Inc. Employee Stock Ownership Plan admitted pro hac vice. Signed by Magistrate Judge Stephen L. Crocker on 12/11/2009. (arw) (Entered: 12/11/2009)
12/11/2009	<a href="#">71</a>	STIPULATION for Electronic Service by Defendants David B. Fenkell, Pamela Klute, Eric M. Lynn, James Mastrangelo, Stephen W. Pagelow, Jeffrey A. Seefeldt, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Employee Stock Ownership Plan, Alliance Holdings, Inc. Employee Stock Ownership Plan, Alliance Holdings, Inc., Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins. (Barton, Robert) (Entered: 12/11/2009)
12/11/2009	<a href="#">72</a>	NOTICE re: <a href="#">57</a> BRIEF in Opposition <i>Plaintiffs' Notice of Supplemental Authority Relevant to All Defendants' Motions to Dismiss</i> , by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck and Martin Robbins. (Attachments:

		# <a href="#">1</a> Braden v. Wal-Mart Stores, Inc. - Eighth Circuit Opinion, # <a href="#">2</a> Will v. General Dynamics - U.S. District Court Decision, Southern District of Illinois (Handorf, Karen) Modified on 12/11/2009 (arw). (Entered: 12/11/2009)
12/11/2009	<a href="#">73</a>	CERTIFICATE OF SERVICE by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re <a href="#">72</a> Notice (Other), Notice (Other) (Handorf, Karen) (Entered: 12/11/2009)
12/22/2009	<a href="#">74</a>	Response to <a href="#">72</a> Plaintiffs' Notice of Supplemental Authority by Defendant Stephen W. Pagelow. (Attachments: # <a href="#">1</a> Certificate of Service) (Silver, Alan) Modified text on 12/29/2009 (Friedl, Joanne). (Entered: 12/22/2009)
01/13/2010	<a href="#">75</a>	Notice of Supplemental Authority Relevant to All Defendants' Motions to Dismiss by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re <a href="#">35</a> Brief in Opposition. (Handorf, Karen) (Entered: 01/13/2010)
01/22/2010	<a href="#">76</a>	Motion for Protective Order <i>Governing Confidential Documents</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins. (Attachments: # <a href="#">1</a> Exhibit A - blacklined version of Protective Order, # <a href="#">2</a> Text of Proposed Order) (Barton, Robert) Added exhibit description on 1/25/2010 (jef). (Entered: 01/22/2010)
01/22/2010	<a href="#">77</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re: <a href="#">78</a> Amended Complaint, <a href="#">79</a> Amended Complaint ( <i>re-filed</i> ). (Handorf, Karen) Modified on 1/25/2010 (arw). (Entered: 01/22/2010)
01/22/2010	<a href="#">78</a>	Disregard - refiled as # <a href="#">79</a> ; Emailed attorney to refile with a signature, the correct event and a certificate of service on 1/25/2010 (jef). (Entered: 01/22/2010)
01/25/2010	<a href="#">79</a>	AMENDED COMPLAINT against David B. Fenkell, Pamela Klute, James Mastrangelo, Stephen W. Pagelow, Jeffrey A. Seefeldt, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Alliance Holdings, Inc. Employee Stock Ownership Plan, Alliance Holdings, Inc., filed by Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, A.H.I. Inc., Alpha Investment Consulting Group, and John Michael Maier. (Handorf, Karen) Modified text on 1/28/2010 (jef). (Entered: 01/25/2010)
01/26/2010		Set Deadlines as to <a href="#">76</a> Motion for Protective Order <i>Governing Confidential Documents</i> . Brief in Opposition due 1/29/2010. (vob/slc) (Entered: 01/26/2010)
01/28/2010	<a href="#">80</a>	Request for Issuance of Summons for A.H.I, Inc. by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins. (Erlandson, Andrew) Modified text on 1/29/2010 (jef). (Entered: 01/28/2010)
01/28/2010	<a href="#">81</a>	Request for Issuance of Summons for Alpha Investment Consulting Group by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins. (Erlandson, Andrew) Modified text on 1/29/2010 (jef). (Entered: 01/28/2010)
01/28/2010	<a href="#">82</a>	Request for Issuance of Summons for John Michael Maier by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins. (Erlandson, Andrew) Modified text on 1/29/2010 (jef). (Entered: 01/28/2010)

01/28/2010	<a href="#">83</a>	Summons issued as to Defendants A.H.I. Inc., Alpha Investment Consulting Group, LLC., John Michael Maier. (Attachments: # <a href="#">1</a> Alpha Investment Summons, # <a href="#">2</a> Maier Summons) (Ilj) (Entered: 01/28/2010)
01/29/2010	<a href="#">84</a>	Joint Motion for Extension of Time <i>For Serving A Responsive Pleading to the Amended Complaint and to Amend Preliminary Pretrial Conference Order</i> by Defendants David B. Fenkell, Pamela Klute, Eric M. Lynn, James Mastrangelo, Stephen W. Pagelow, Jeffrey A. Seefeldt, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Employee Stock Ownership Plan, Alliance Holdings, Inc. Employee Stock Ownership Plan, A.H.I., Inc., Alpha Investment Consulting Group, LLC, John Michael Maier, Alliance Holdings, Inc., Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins. Motions referred to Magistrate Judge Stephen L. Crocker. (Handorf, Karen) (Entered: 01/29/2010)
01/29/2010	<a href="#">85</a>	Certificate of Service by Defendants David B. Fenkell, Pamela Klute, Eric M. Lynn, James Mastrangelo, Stephen W. Pagelow, Jeffrey A. Seefeldt, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Employee Stock Ownership Plan, Alliance Holdings, Inc. Employee Stock Ownership Plan, A.H.I., Inc., Alpha Investment Consulting Group, LLC, John Michael Maier, Alliance Holdings, Inc., Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re <a href="#">84</a> Joint Motion for Extension of Time <i>For Serving A Responsive Pleading to the Amended Complaint and to Amend Preliminary Pretrial Conference Order</i> (Handorf, Karen) (Entered: 01/29/2010)
01/29/2010	<a href="#">86</a>	Motion requesting guidance related to Defendants' pending motions to dismiss and Plaintiffs' Amended Complaint by Defendant Stephen W. Pagelow. (Attachments: # <a href="#">1</a> Certificate of Service) (Silver, Alan) Modified text on 2/1/2010 (vob). (Entered: 01/29/2010)
01/29/2010	<a href="#">87</a>	Stipulation for Waiver of Service and Time for Defendants to Respond to Amended Complaint by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins. (Handorf, Karen) (Entered: 01/29/2010)
01/29/2010	<a href="#">88</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re <a href="#">87</a> Stipulation for Waiver of Service and Time for Defendants to Respond to Amended Complaint (Handorf, Karen) (Entered: 01/29/2010)
01/29/2010	<a href="#">89</a>	Notice of Appearance filed by Tara M. Mathison for Defendants Alpha Investment Consulting Group, LLC, John Michael Maier (Mathison, Tara) (Entered: 01/29/2010)
01/29/2010	<a href="#">90</a>	Brief in Opposition by Defendants David B. Fenkell, Pamela Klute, James Mastrangelo, Stephen W. Pagelow, Jeffrey A. Seefeldt, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Employee Stock Ownership Plan, Alliance Holdings, Inc. Employee Stock Ownership Plan, Alliance Holdings, Inc. re: <a href="#">76</a> Motion for Protective Order <i>Governing Confidential Documents</i> filed by Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Attachments: # <a href="#">1</a> Exhibit A - Defendants' Proposed Protective Order,

		<p># <a href="#">2</a> Exhibit B - Emails re: Defendants' Proposed Protective Order Section 2.D.,  # <a href="#">3</a> Exhibit C - Defendants' Proposed Protective Order mark-up,  # <a href="#">4</a> Exhibit D - Email to Attorney Sobel from Attorney Barton, 1/16/10) (Sobel, Ryan)  Added exhibit descriptions on 2/1/2010 (jef). (Entered: 01/29/2010)</p>
01/29/2010	<a href="#">91</a>	Certificate of Service by Defendants David B. Fenkell, Alliance Holdings, Inc. Employee Stock Ownership Plan, Alliance Holdings, Inc. re <a href="#">90</a> Brief in Opposition,, (Sobel, Ryan) (Entered: 01/29/2010)
02/01/2010		Set Status Conference: Status Conference set for 2/3/2010 at 01:30 PM before Magistrate Judge Stephen L. Crocker. Counsel for defendant Stephen W. Pagelow responsible for setting up the call to chambers at (608) 264-5153. (vob) (Entered: 02/01/2010)
02/01/2010	92	<p>** TEXT ONLY ORDER **</p> <p>Plaintiffs' motion to accept and enter its version of the disputed protective order <a href="#">76</a> is DENIED. A party is entitled to tighter protection of its unfiled discovery material than provided for by plaintiffs' proposal. See Bond v. Utreras, 585 F.3d 1061, 1074-77 (7th Cir. 2009). Therefore, it is appropriate to limit the use of confidential material to this lawsuit and to require persons to whom confidential material is disclosed to submit themselves to the jurisdiction of this court for enforcement purposes. On the other hand, once this is done, a party and its attorneys may disclose confidential material to the extent necessary to prosecute this lawsuit to whomever the party/attorney has an objectively reasonable basis to disclose it. The parties may have until February 8 to submit a joint proposed protective order; if they cannot agree, then each side shall submit its own version and the court will pick one and enter it as submitted. Signed by Magistrate Judge Stephen L. Crocker on 2/1/2010. (vob) (Entered: 02/01/2010)</p>
02/02/2010	<a href="#">93</a>	ORDER denying as moot <a href="#">17</a> , <a href="#">18</a> and <a href="#">39</a> Motions to Dismiss. Granting <a href="#">87</a> Stipulation for Waiver of Service and Time for Defendants to Respond to Amended Complaint. Defendants may have until 3/1/2010 to respond to amended complaint. Signed by Chief Judge Barbara B. Crabb on 2/2/2010. (vob) (Entered: 02/02/2010)
02/04/2010	<a href="#">94</a>	Motion to Admit Monya M. Bunch Pro Hac Vice by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins. Motions referred to Magistrate Judge Stephen L. Crocker. (Attachments: # <a href="#">1</a> Certificate of Service) (Handorf, Karen) (Entered: 02/04/2010)
02/04/2010	<a href="#">95</a>	Declaration of Monya M. Bunch filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins <i>In Support Of</i> re: <a href="#">94</a> Motion to Admit Monya M. Bunch Pro Hac Vice filed by Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Handorf, Karen) (Entered: 02/04/2010)
02/08/2010	96	<p>** TEXT ONLY ORDER **</p> <p>Based upon a telephone call received from plaintiffs, which apparently defendants have no objection to, the deadline to submit either a joint proposed protective order or each side's own version is extended to Wednesday, February 10th. No further extensions will be granted. Signed by Magistrate Judge Stephen L. Crocker on</p>

		2/8/2010. (vob) (Entered: 02/08/2010)
02/09/2010	97	** TEXT ONLY ORDER ** ORDER granting <a href="#">94</a> Motion to Admit Monya M. Bunch Pro Hac Vice. Monya Monique Bunch for Carol Chesemore, Daniel Donkel, Thomas Gieck and Martin Robbins admitted pro hac vice. Signed by Magistrate Judge Stephen L. Crocker on 2/9/2010. (vob) (Entered: 02/09/2010)
02/10/2010	<a href="#">98</a>	Motion for Clarification re: <a href="#">93</a> Order on Motion to Dismiss, Order on Stipulation <i>Letter for Clarification</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins. (Erlandson, Andrew) (Entered: 02/10/2010)
02/10/2010	<a href="#">99</a>	Certificate of Service re <a href="#">98</a> Motion for Clarification by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins (Erlandson, Andrew) Created link #98 on 2/11/2010 (Friedl, Joanne). (Entered: 02/10/2010)
02/10/2010	<a href="#">100</a>	Disregard - refiled as # <a href="#">101</a> ; with additional attachment, per telephone call from filing party on 2/10/2010 (llj). (Entered: 02/10/2010)
02/10/2010	<a href="#">101</a>	Renewed Motion for Protective Order <i>Governing Confidential Documents</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins. (Attachments: # <a href="#">1</a> Exhibit A - Email between counsel, dated 2/10/2010, # <a href="#">2</a> Proposed Protective Order) (Erlandson, Andrew) Modified text on 2/11/2010 (jef). (Entered: 02/10/2010)
02/10/2010	<a href="#">102</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re <a href="#">101</a> Renewed Motion for Protective Order. (Erlandson, Andrew) Added link to #101 on 2/11/2010 (Friedl, Joanne). (Entered: 02/10/2010)
02/10/2010	<a href="#">103</a>	Notice of Filing of Proposed Protective Order by Defendants David B. Fenkell, Pamela Klute, James Mastrangelo, Stephen W. Pagelow, Jeffrey A. Seefeldt, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Alliance Holdings, Inc. Employee Stock Ownership Plan, Alliance Holdings, Inc. re <a href="#">92</a> Order on Motion for Protective Order. (Attachments: # <a href="#">1</a> Proposed Protective Order, # <a href="#">2</a> Certificate of Service) (Sobel, Ryan) Modified text on 2/11/2010 (jef). (Entered: 02/10/2010)
02/11/2010	104	** TEXT ONLY ORDER ** Plaintiffs motion for clarification <a href="#">98</a> , properly construed as a motion for a ruling on an earlier joint filing <a href="#">84</a> , is GRANTED. Plaintiffs' motion to extend the deadline for filing an amended complaint without leave of the court <a href="#">84</a> , is DENIED. In the event some or all of plaintiffs' claims are dismissed, it will be a matter for the courts discretion to determine whether plaintiffs may file another amended complaint to address any pleading deficiencies identified in the dismissal order. See <i>Airborne Beepers &amp; Video, Inc. v. AT&amp;T Mobility LLC</i> , 499 F.3d 663, 666-67 (7th Cir. 2007. Plaintiffs' motion to extend the deadline for moving for class certification, dkt. 84, is GRANTED in part. The original deadline for class certification is VACATED, but plaintiff's proposed new deadline of March 17, 2010 is not feasible because the parties will still be briefing defendants' motions to

		dismiss. A new deadline will be set once the court rules on defendants' motions to dismiss. Signed by Magistrate Judge Stephen L. Crocker on 2/11/2010. (vob) Modified on 2/22/2010 (llj/t). (Entered: 02/11/2010)
02/11/2010	<a href="#">105</a>	<b>** TEXT ONLY ORDER **</b> Pursuant to this court's February 1 order 92 , each side has submitted similar but not identical proposed protective orders. The court accepts and enters plaintiffs' version <a href="#">101</a> Exh. 2. As for the disputes over Rule 45 subpoena/deposition practice, the court is satisfied that the "issuing court" can and will enforce this court's protective order; further, such depositions are, by definition, part of the discovery in this case, which means that the parties may disclose on an as-needed basis confidential material to deponents who agree to be bound by the protective order. Signed by Magistrate Judge Stephen L. Crocker on 2/11/2010. (vob) Modified on 2/22/2010 (llj/t). (Entered: 02/11/2010)
02/22/2010	<a href="#">106</a>	Motion to Admit James J. Convery Pro Hac Vice by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier. Motions referred to Magistrate Judge Stephen L. Crocker. (Convery, James) (Entered: 02/22/2010)
02/22/2010	<a href="#">107</a>	Motion to Substitute Attorney by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier. Motions referred to Magistrate Judge Stephen L. Crocker. (Convery, James) (Entered: 02/22/2010)
02/23/2010	108	<b>** TEXT ONLY ORDER **</b> ORDER granting <a href="#">106</a> Motion to Admit James J. Convery Pro Hac Vice. James J. Convery for Alpha Investment Consulting Group, LLC and John Michael Maier admitted pro hac vice. Signed by Magistrate Judge Stephen L. Crocker on 2/23/2010. (vob) (Entered: 02/23/2010)
02/23/2010	109	<b>** TEXT ONLY ORDER **</b> ORDER granting <a href="#">107</a> Motion to Substitute Attorney, with the understanding that the existing schedule remains in place. Signed by Magistrate Judge Stephen L. Crocker on 2/23/2010. (vob) (Entered: 02/23/2010)
03/01/2010	<a href="#">110</a>	<b>MOTION TO DISMISS</b> by Defendant Stephen W. Pagelow. Brief in Opposition due 3/22/2010. Brief in Reply due 4/1/2010. (Hickey, Kevin) (Entered: 03/01/2010)
03/01/2010	<a href="#">111</a>	Supplemental Brief in Support by Defendant Stephen W. Pagelow re: <a href="#">110</a> MOTION TO DISMISS filed by Stephen W. Pagelow (Attachments: # <a href="#">1</a> Text of Proposed Order, # <a href="#">2</a> Certificate of Service) (Hickey, Kevin) (Entered: 03/01/2010)
03/01/2010	<a href="#">112</a>	<b>MOTION TO DISMISS COUNTS VI AND VIII OF THE AMENDED COMPLAINT</b> by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt. Brief in Opposition due 3/22/2010. Brief in Reply due 4/1/2010. (Wolf, Charles) (Entered: 03/01/2010)
03/01/2010	<a href="#">113</a>	Brief in Support by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt re: <a href="#">112</a> MOTION TO DISMISS COUNTS VI AND VIII OF THE AMENDED COMPLAINT filed by Pamela Klute, Jeffrey A. Seefeldt, James

		Mastrangelo (Wolf, Charles) (Entered: 03/01/2010)
03/01/2010	<a href="#">114</a>	Motion for Leave to File <i>Documents Outside The Pleadings</i> by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt. (Wolf, Charles) (Attachment(s): # <a href="#">1</a> Supplemental Affidavit of James Mastrangelo, # <a href="#">2</a> Exhibit 1 - Letter of Intent, 4/19/07, # <a href="#">3</a> Exhibit 2 - Engagement letter, 8/13/07, # <a href="#">4</a> Exhibit 3 - Written Consent, 8/24/07) (jef). Added exhibit descriptions and emailed attorney to file affidavit separately on 3/2/2010 (jef). (Entered: 03/01/2010)
03/01/2010	<a href="#">115</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt re <a href="#">112</a> MOTION TO DISMISS <i>COUNTS VI AND VIII OF THE AMENDED COMPLAINT</i> , <a href="#">113</a> Brief in Support, <a href="#">114</a> Motion for Leave to File <i>Documents Outside The Pleadings</i> (Wolf, Charles) (Entered: 03/01/2010)
03/01/2010	<a href="#">116</a>	Notice of Appearance filed by James J. Convery for Defendants Alpha Investment Consulting Group, LLC, John Michael Maier (Convery, James) (Entered: 03/01/2010)
03/01/2010	<a href="#">117</a>	ANSWER to Amended Complaint by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier. (Convery, James) (Entered: 03/01/2010)
03/01/2010	<a href="#">118</a>	<b>MOTION TO DISMISS <i>Plaintiffs' Amended Class Action Complaint</i></b> by Defendants David B. Fenkell, Alliance Holdings, Inc. Employee Stock Ownership Plan, A.H.I., Inc., Alliance Holdings, Inc.. Brief in Opposition due 3/22/2010. Brief in Reply due 4/1/2010. (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 03/01/2010)
03/01/2010	<a href="#">119</a>	Supplemental Brief in Support by Defendants David B. Fenkell, Alliance Holdings, Inc. Employee Stock Ownership Plan, A.H.I., Inc., Alliance Holdings, Inc. re: <a href="#">118</a> MOTION TO DISMISS <i>Plaintiffs' Amended Class Action Complaint</i> filed by A.H.I., Inc., David B. Fenkell, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan (Attachments: # <a href="#">1</a> Unreported Case - Lee v. Builder's Supply Co., # <a href="#">2</a> Certificate of Service) (Sobel, Ryan) (Entered: 03/01/2010)
03/02/2010	<a href="#">120</a>	Supplemental Affidavit of James Mastrangelo filed by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt re: <a href="#">114</a> Motion for Leave to File <i>Documents Outside The Pleadings</i> filed by Pamela Klute, Jeffrey A. Seefeldt, James Mastrangelo (Attachments: # <a href="#">1</a> Exhibit 1 - Letter of Intent, 4/19/07, # <a href="#">2</a> Exhibit 2 - Engagement letter, 8/13/07, # <a href="#">3</a> Exhibit 3 - Written Consent, 8/24/07, # <a href="#">4</a> Certificate of Service) (jef). Separated and reattached exhibits on 3/3/2010 (jef). (Entered: 03/02/2010)
03/03/2010	<a href="#">121</a>	Disregard - refiled as # <a href="#">123</a> ; attorney refiled w/ a signature that matches the log-in, 3/5/2010 (jef). (Entered: 03/03/2010)

03/03/2010	<a href="#">122</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re <a href="#">121</a> Motion to Compel <i>Production of Documents from Defendants Alliance Holdings, Inc., David B. Fenkell, and Alliance Holdings, Inc. ESOP</i> (Barton, Robert) (Entered: 03/03/2010)
03/04/2010		Set/Reset Deadlines as to <a href="#">121</a> Motion to Compel <i>Production of Documents from Defendants Alliance Holdings, Inc., David B. Fenkell, and Alliance Holdings, Inc. ESOP</i> . Response due 3/10/2010. Telephone Motion Hearing set for 3/17/2010 at 09:30 AM before Magistrate Judge Stephen L. Crocker. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5153. (vob) (Entered: 03/04/2010)
03/04/2010	<a href="#">123</a>	Motion to Compel <i>Production of Documents from Defendants Alliance Holdings, Inc., David B. Fenkell, and Alliance Holdings, Inc. ESOP</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins. Motions referred to Magistrate Judge Stephen L. Crocker. Response due 3/10/2010. (Attachments: # <a href="#">1</a> Exhibit List, # <a href="#">2</a> Exhibit 1 - Emails between counsel - 12/10/09, # <a href="#">3</a> Exhibit 2 - 1st Request for Production of Documents, # <a href="#">4</a> Exhibit 3 - Objections and Responses to 1st Request for Production of Documents, # <a href="#">5</a> Exhibit 4 - Email from R. Sobel to J. Barton, 1/14/10, # <a href="#">6</a> Exhibit 5 - Email from J. Barton to R. Sobel, 1/14/10, # <a href="#">7</a> Exhibit 6 - Email from Patricia McKeegan w/letter from R. Sobel to J. Barton, # <a href="#">8</a> Exhibit 7 - Email from M. Bunch to R. Sobel, 2/11/10, # <a href="#">9</a> Exhibit 8 - Email from R. Sobel to M. Bunch, 2/26/10, # <a href="#">10</a> Exhibit 9 - Initial Disclosures of Def. Alliance Holdings, et al, # <a href="#">11</a> Exhibit 10 - July 2007 Town Hall Meeting Slides PPTF 00701-738, # <a href="#">12</a> Exhibit 11 - Confidential Material Sealed Pursuant to Protective Order, # <a href="#">13</a> Exhibit 12 - Pgs. 232-233 of Deposition of Carol Chesemore, 2/26/10) (Bunch, Monya) Changed response due date from 3/11 to 3/10 per court deadline entry on 3/4, 3/9/2010 (jef). (Entered: 03/04/2010)
03/04/2010	<a href="#">124</a>	Declaration of Monya M. Bunch filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re: <a href="#">123</a> Motion to Compel <i>Production of Documents from Defendants Alliance Holdings, Inc., David B. Fenkell, and Alliance Holdings, Inc. ESOP</i> filed by Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Bunch, Monya) (Entered: 03/04/2010)
03/04/2010	<a href="#">125</a>	Declaration of R. Joseph Barton filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re: <a href="#">123</a> Motion to Compel <i>Production of Documents from Defendants Alliance Holdings, Inc., David B. Fenkell, and Alliance Holdings, Inc. ESOP</i> filed by Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Barton, Robert) (Entered: 03/04/2010)
03/04/2010	<a href="#">126</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re <a href="#">123</a> Motion to Compel <i>Production of Documents from Defendants Alliance Holdings, Inc., David B. Fenkell, and Alliance Holdings, Inc. ESOP</i> (Bunch, Monya) (Entered: 03/04/2010)

03/10/2010	<a href="#">127</a>	Brief in Opposition by Defendants David B. Fenkell, Alliance Holdings, Inc. Employee Stock Ownership Plan, A.H.I., Inc., Alliance Holdings, Inc. re: <a href="#">123</a> Motion to Compel <i>Production of Documents from Defendants Alliance Holdings, Inc., David B. Fenkell, and Alliance Holdings, Inc. ESOP</i> filed by Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Attachments: # <a href="#">1</a> Exhibit A: Dec. 31, 2009 Response to Rule 34 Requests, # <a href="#">2</a> Unreported Case: Kipperman v. Onex Corp., # <a href="#">3</a> Unreported Case: M. McGee Design Studio, Inc. v. Brinson, # <a href="#">4</a> Certificate of Service) (Sobel, Ryan) (Entered: 03/10/2010)
03/10/2010	<a href="#">128</a>	Declaration of Ryan A. Sobel re <a href="#">127</a> Brief in Opposition,, (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 03/10/2010)
03/17/2010	129	** TEXT ONLY ORDER ** At a March 17, 2010 telephonic hearing, the court granted in part and denied in part plaintiffs' motion to compel discovery <a href="#">123</a> in the manner and for the reasons stated. Signed by Magistrate Judge Stephen L. Crocker on 3/17/2010. (lj) (Entered: 03/17/2010)
03/17/2010		Minute Entry for proceedings held before Magistrate Judge Stephen L. Crocker: Telephone Motion Hearing held on 3/17/2010 [:28] (Court Reporter LS) (cak) (Entered: 03/17/2010)
03/22/2010	<a href="#">130</a>	Motion to Strike <a href="#">117</a> Answer to Amended Complaint ( <i>Motion to Strike Affirmative Defenses of Defendants Alpha Investment Consulting, LLC and John Maier</i> ) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins. Response due 3/29/2010. (Barton, Robert) (Entered: 03/22/2010)
03/22/2010	<a href="#">131</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re <a href="#">130</a> Motion to Strike <a href="#">117</a> Affirmative Defenses of Defendants Alpha Investment Consulting, LLC and John Maier. (Barton, Robert) (Entered: 03/22/2010)
03/22/2010	<a href="#">132</a>	Brief in Opposition by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re: <a href="#">110</a> MOTION TO DISMISS filed by Stephen W. Pagelow, <a href="#">112</a> MOTION TO DISMISS <i>COUNTS VI AND VIII OF THE AMENDED COMPLAINT</i> filed by Pamela Klute, Jeffrey A. Seefeldt, James Mastrangelo, <a href="#">118</a> MOTION TO DISMISS <i>Plaintiffs' Amended Class Action Complaint</i> filed by A.H.I., Inc., David B. Fenkell, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan ( <i>Consolidated Opposition to Defendant Pagelow's Supplemental Memorandum of Law in Support of His Motion to Dismiss (Dkt. 111); Defs. Mastrangelo, Klute and Seefeldt's Brief in Support of their Motion to Dismiss Counts VI/VIII of Amended Complaint (Dkt. 113) and Supplemental Memorandum Defs. Alliance, A.H.I. Inc., David B. Fenkell and Alliance Holdings, Inc. ESOP and Trust (Dkt. 119)</i> ) (Handorf, Karen) (Entered: 03/22/2010)
03/22/2010	<a href="#">133</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re <a href="#">132</a> Brief in Opposition. (Handorf, Karen) (Entered: 03/22/2010)

03/31/2010	<a href="#">134</a>	Order Reassigning Case. Case reassigned to District Judge William M. Conley and Magistrate Judge Stephen L. Crocker for all further proceedings. Signed by District Judge William M. Conley on 3/31/10. (krj) (Entered: 03/31/2010)
04/01/2010		Set/Reset Trial Deadlines/Hearings: Court Trial set for 4/11/2011 at 09:00 AM (krj) (Entered: 04/01/2010)
04/01/2010	<a href="#">135</a>	Brief in Reply in Support by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt re: <a href="#">112</a> MOTION TO DISMISS <i>COUNTS VI AND VIII OF THE AMENDED COMPLAINT</i> filed by Pamela Klute, Jeffrey A. Seefeldt, James Mastrangelo (Wolf, Charles) Modified text, wrong event, 4/2/2010 (jef). (Entered: 04/01/2010)
04/01/2010	<a href="#">136</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt re <a href="#">135</a> Brief in Reply. (Wolf, Charles) Modified text on 4/2/2010 (jef). (Entered: 04/01/2010)
04/01/2010	<a href="#">137</a>	Disregard - refiled as # <a href="#">140</a> ; Emailed attorney to refile with a signature that matches log-in and with the Brief in Reply event, 4/2/2010 (jef). (Entered: 04/01/2010)
04/01/2010	<a href="#">138</a>	Brief in Reply in Support re: <a href="#">118</a> MOTION TO DISMISS <i>Plaintiffs' Amended Class Action Complaint</i> filed by A.H.I., Inc., David B. Fenkell, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 04/01/2010)
04/02/2010	<a href="#">139</a>	Disregard - refiled as # 14 Attorney called advising wrong dates on brief. Modified on 4/2/2010 (vob). Modified on 4/2/2010 (jef). (Entered: 04/02/2010)
04/02/2010	<a href="#">140</a>	Brief in Reply in Support re: <a href="#">110</a> MOTION TO DISMISS filed by Stephen W. Pagelow (Attachments: # <a href="#">1</a> Certificate of Service) (Norrie, Jonathan) (Entered: 04/02/2010)
04/05/2010	<a href="#">141</a>	Transcript of motion hearing, held 3/17/10 before Magistrate Judge Stephen L. Crocker. Court Reporter: LS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (krj) (Entered: 04/05/2010)
04/12/2010	<a href="#">142</a>	Brief in Opposition re: <a href="#">130</a> Motion to Strike and Alternative Request for Leave to File Amended Answer by Defendants Alpha Investment Consulting Group, LLC and John Maier. (Convery, James) Modified text on 4/13/2010 (jef). (Entered: 04/12/2010)
04/13/2010	<a href="#">143</a>	Stipulation for Electronic Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Defendant Alpha Investment Consulting Group, LLC. (Barton, Robert) (Entered: 04/13/2010)
04/19/2010	144	** TEXT ONLY ORDER ** ORDER granting <a href="#">143</a> Stipulation for Electronic Service. Signed by Magistrate Judge Stephen L. Crocker on 4/19/2010. (vob) (Entered: 04/19/2010)

04/22/2010	<a href="#">145</a>	Brief in Reply in Support by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re: <a href="#">130</a> Motion to Strike <a href="#">117</a> Answer to Amended Complaint ( <i>Motion to Strike Affirmative Defenses of Defendants Alpha Investment Consulting, LLC and John Maier</i> ) filed by Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore <i>Reply in Support of Plaintiffs' Motion to Strike the Affirmative Defenses of Defendants Alpha Investment Consulting LLC and John Maier</i> (Barton, Robert) Modified text, wrong event used, 4/23/2010 (jef). (Entered: 04/22/2010)
07/01/2010	<a href="#">146</a>	Unopposed Motion to Modify Scheduling Order re <a href="#">62</a> Pretrial Conference Order by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins. Response due 7/8/2010. (Attachments: # <a href="#">1</a> Text of Proposed Order) (Bunch, Monya) . (Entered: 07/01/2010)
07/12/2010		Set Hearings: Telephone Scheduling Conference set for 7/28/2010 at 09:00 AM before Magistrate Judge Stephen L. Crocker. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5153. (vob) (Entered: 07/12/2010)
07/28/2010		Minute Entry for proceedings held before Magistrate Judge Stephen L. Crocker: Telephone Scheduling Conference held on 7/28/2010 [:08] (skv) (Entered: 07/28/2010)
07/29/2010	<a href="#">147</a>	AMENDED Scheduling Order: Dispositive Motions due 2/1/2011. Settlement Letters due 4/28/2011. Court Trial set for 7/18/2011 at 09:00 AM. Signed by Magistrate Judge Stephen L. Crocker on 7/28/2010. (vob) (Entered: 07/29/2010)
09/24/2010	<a href="#">148</a>	Motion to Admit Michelle C. Yau Pro Hac Vice by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins. Motions referred to Magistrate Judge Stephen L. Crocker. (Handorf, Karen) (Entered: 09/24/2010)
09/24/2010	<a href="#">149</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re <a href="#">148</a> Motion to Admit Michelle C. Yau Pro Hac Vice (Handorf, Karen) (Entered: 09/24/2010)
09/27/2010		Action Requested: Attorney Michelle Yau must be registered with CM/ECF before the motion pro hac vice is granted. (vob) Modified text on 10/7/2010 (llj). (Entered: 09/27/2010)
09/30/2010	150	** TEXT ONLY ORDER ** ORDER granting <a href="#">148</a> Motion to Admit Michelle C. Yau Pro Hac Vice. Michelle Yau for Carol Chesemore, Daniel Donkel, Thomas Gieck and Martin Robbins admitted pro hac vice. Signed by Magistrate Judge Stephen L. Crocker on 9/30/2010. (vob) (Entered: 09/30/2010)
10/12/2010	<a href="#">151</a>	Motion to Modify Scheduling Order and Request for Scheduling Conference re <a href="#">147</a> Scheduling Order, <a href="#">62</a> Pretrial Conference Order by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins. Response due 10/19/2010. (Attachments: # <a href="#">1</a> Text of Proposed Order, # <a href="#">2</a> Attachment A: Defendants' Counsel's Unavailability for Depositions and Schedule of Depositions) (Barton, Robert) (Entered: 10/12/2010)

10/12/2010	<a href="#">152</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re <a href="#">151</a> Motion to Modify Scheduling Order and Request for Scheduling Conference re <a href="#">147</a> Scheduling Order, <a href="#">62</a> Pretrial Conference Order Motion to Modify Scheduling Order and Request for Scheduling Conference re <a href="#">147</a> Scheduling Order, <a href="#">62</a> Pretrial Conference Order (Barton, Robert) (Entered: 10/12/2010)
10/13/2010		Set Telephone Status Conference: Telephone Status Conference set for 11/3/2010 at 11:00 AM before Magistrate Judge Stephen L. Crocker. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5153. (vob) (Entered: 10/13/2010)
10/18/2010	<a href="#">153</a>	Brief in Opposition by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt re: <a href="#">151</a> Motion to Modify Scheduling Order and Request for Scheduling Conference re <a href="#">147</a> Scheduling Order, <a href="#">62</a> Pretrial Conference Order Motion to Modify Scheduling Order and Request for Scheduling Conference re <a href="#">147</a> Scheduling Order, <a href="#">62</a> Pretrial Conference Order filed by Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Spangler, Patrick) (Entered: 10/18/2010)
10/18/2010	<a href="#">154</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt re <a href="#">153</a> Brief in Opposition, (Spangler, Patrick) (Entered: 10/18/2010)
10/19/2010	<a href="#">155</a>	Motion for Clarification concerning 11/3 status conference by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins. Response due 10/26/2010. (Attachments: # <a href="#">1</a> Exhibit Order in District of Minnesota Case No. 10-0069) (Erlandson, Andrew) (Entered: 10/19/2010)
10/19/2010	<a href="#">156</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins (Erlandson, Andrew) (Entered: 10/19/2010)
10/22/2010	<a href="#">157</a>	Brief in Reply in Support re: <a href="#">151</a> Motion to Modify Scheduling Order and Request for Scheduling Conference re <a href="#">147</a> Scheduling Order, <a href="#">62</a> Pretrial Conference Order Motion to Modify Scheduling Order and Request for Scheduling Conference re <a href="#">147</a> Scheduling Order, <a href="#">62</a> Pretrial Conference Order filed by Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Attachments: # <a href="#">1</a> Certificate of Service) (Barton, Robert) Modified docket text on 10/25/2010 (jas). (Entered: 10/22/2010)
10/27/2010		Set Telephone Pretrial or Status Conference: Telephone Status Conference set for 11/3/2010 at 11:00 AM rescheduled to 11/16/2010 at 09:00 AM to accommodate the court. Counsel for Plaintiff remains responsible for setting up the call to chambers at (608) 264-5153. (cak) (Entered: 10/27/2010)
10/27/2010	158	** TEXT ONLY ORDER ** The November 3, 2010 telephonic status conference is moved to November 16, 2010 at 9:00 a.m. All dates in the current schedule are suspended pending this hearing. Signed by Magistrate Judge Stephen L. Crocker on 10/27/10. (krj) (Entered: 10/27/2010)

11/15/2010	159	** TEXT ONLY ORDER ** Rescheduling 11/16/2010 Status Conference to 12/10/2010 at 9:00 AM before Judge William M. Conley. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5087. Signed by District Judge William M. Conley on 11/15/2010. (vob) (Entered: 11/15/2010)
12/10/2010		Minute Entry for proceedings held before District Judge William M. Conley: Telephone Status Conference held on 12/10/2010 [1:00] (mfh) (Entered: 12/10/2010)
12/17/2010	<a href="#">160</a>	Transcript of Telephonic Hearing, held 12/10/2010 before Judge William M. Conley. Court Reporter: LS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (vob) (Entered: 12/17/2010)
12/17/2010	<a href="#">161</a>	Unopposed Motion for Clarification re 159 Text Only Order, <i>briefing and discovery deadlines</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins. Response due 12/27/2010. (Erlandson, Andrew) Modified on 12/20/2010 (jas/t). (Entered: 12/17/2010)
12/17/2010	<a href="#">162</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins (Erlandson, Andrew) Modified docket text on 12/20/2010 (jas). (Entered: 12/17/2010)
01/03/2011	<a href="#">163</a>	Disregard; refiled as entry <a href="#">167</a> per telephone call from attorney's office regarding signature. Modified on 1/4/2011 (jas). (Entered: 01/03/2011)
01/03/2011	<a href="#">164</a>	Disregard; refiled as entry <a href="#">166</a> per telephone call from attorney's office regarding signature. Modified on 1/4/2011 (jas). (Entered: 01/03/2011)
01/03/2011	<a href="#">165</a>	Disregard; refiled as entry <a href="#">167</a> . Requested attorney refile with matching log in and signature on 1/4/2011 (jas). Modified on 1/4/2011 (jas). Modified on 1/6/2011 (llj/c). (Entered: 01/03/2011)
01/03/2011	<a href="#">166</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins (Bunch, Monya) (Entered: 01/03/2011)
01/04/2011	<a href="#">167</a>	Notice of Supplemental Authority by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins re <a href="#">110</a> , <a href="#">112</a> , <a href="#">118</a> Motions to Dismiss(Attachments: # <a href="#">1</a> In re Text Messaging Antitrust Litigation - 7th Circuit Opinion) (Barton, Robert) Modified docket text on 1/4/2011 (jas/c). Added docket relationships on 1/5/2011 (jas). (Entered: 01/04/2011)
01/04/2011	<a href="#">168</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins (Barton, Robert) (Entered: 01/04/2011)
01/10/2011	<a href="#">169</a>	Motion for Extension of Time by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins. (Erlandson, Andrew) Modified docket text and event on 1/11/2011 (jas/c). (Entered: 01/10/2011)

01/10/2011	<a href="#">170</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins (Erlandson, Andrew) (Entered: 01/10/2011)
01/14/2011	171	** TEXT ONLY ORDER ** ORDER re: <a href="#">169</a> Motion for Extension of Time. Plaintiffs' motion for class certification is due 30 days from the date of a decision on the motion to dismiss. Responses shall be due 21 days after any motion for class certification is filed, with any reply due 21 days after a response is filed. All other dates remain the same. Signed by District Judge William M. Conley on 1/14/2011. (lak) (Entered: 01/14/2011)
02/17/2011	<a href="#">172</a>	ORDER granting in part and denying in part <a href="#">110</a> Motion to dismiss. Granting in part and denying in part <a href="#">112</a> Motion to dismiss counts VI and VIII of the amended complaint. Denying <a href="#">114</a> Motion for leave to file documents outside the pleadings and granting in part and denying in part <a href="#">118</a> Motion to dismiss amended class action complaint. Signed by District Judge William M. Conley on 2/17/2011. (voc) (Entered: 02/17/2011)
02/17/2011	<a href="#">173</a>	ORDER granting in part and denying in part <a href="#">130</a> Motion to strike answer to amended complaint. Signed by District Judge William M. Conley on 2/16/2011. (voc) (Entered: 02/17/2011)
02/18/2011	<a href="#">174</a>	Scheduling Order: Motion for Class Certification due 3/17/2011. Dispositive Motions due 5/27/2011. Settlement Letters due 8/15/2011. Motions in Limine due 9/23/2011. Response to Motions due 9/30/2011. Final Pretrial Conference set for 10/6/2011 at 02:00 PM. Final Pretrial Submissions due 9/29/2011. Jury Selection and Trial set for 10/11/2011 at 09:00 AM. Signed by District Judge William M. Conley on 2/17/2011. (voc) (Entered: 02/18/2011)
02/18/2011	<a href="#">175</a>	Stipulation re: Expert Disclosures by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins. (Erlandson, Andrew) Modified on 2/22/2011 (jas/t). (Entered: 02/18/2011)
02/18/2011	<a href="#">176</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins (Erlandson, Andrew) (Entered: 02/18/2011)
02/23/2011	177	** TEXT ONLY ORDER ** Pursuant to stipulation <a href="#">175</a> by the parties and their representation that no other deadline in the scheduling order, including the trial date, should be affected; IT IS ORDERED that: (1) the due date for proponents' expert disclosures shall be March 18, 2011; (2) the due date for respondents' expert disclosures shall be April 18, 2011; and (3) all other due dates will remain the same. Signed by District Judge William M. Conley on 2/23/2011. (voc) (Entered: 02/23/2011)
02/28/2011	<a href="#">178</a>	ANSWER to Amended Complaint by Defendant Stephen W. Pagelow. (Norrie, Jonathan) (Entered: 02/28/2011)
03/02/2011	<a href="#">179</a>	Motion for Extension of Time to File Answer re <a href="#">79</a> Amended Complaint, by Defendants Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell. Motions referred to Magistrate Judge Stephen L. Crocker. Response due 3/9/2011. (Attachments:

		# <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 03/02/2011)
03/02/2011	180	** TEXT ONLY ORDER ** ORDER granting <a href="#">179</a> Motion for Extension of Time to Answer. Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan and David B. Fenkell answer due 3/10/2011. Signed by Magistrate Judge Stephen L. Crocker on 3/2/2011. (voc) (Entered: 03/02/2011)
03/03/2011	<a href="#">181</a>	ANSWER to Amended Complaint , <i>Affirmative Defenses</i> , CROSSCLAIM against Alliance Holdings, Inc., Stephen W. Pagelow by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt. (Wolf, Charles) Modified docket text on 3/4/2011 (jas/p). (Entered: 03/03/2011)
03/03/2011	<a href="#">182</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Wolf, Charles) (Entered: 03/03/2011)
03/04/2011	<a href="#">183</a>	Stipulation for Expert Disclosure Deadline by Defendants Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, Alpha Investment Consulting Group, LLC, Employee Stock Ownership Plan, David B. Fenkell, Pamela Klute, John Michael Maier, James Mastrangelo, Stephen W. Pagelow, Jeffrey A. Seefeldt, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins. (Barton, Robert) (Entered: 03/04/2011)
03/04/2011	<a href="#">184</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins (Barton, Robert) (Entered: 03/04/2011)
03/08/2011	185	** TEXT ONLY ORDER ** ORDER granting <a href="#">183</a> Stipulation for Expert Disclosure Deadline. Signed by Magistrate Judge Stephen L. Crocker on 3/8/2011. (voc) (Entered: 03/08/2011)
03/10/2011	<a href="#">186</a>	ANSWER to Amended Complaint by Defendants Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell. (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 03/10/2011)
03/17/2011	<a href="#">187</a>	Motion to Certify Class under Rule 23 by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Brief in Opposition due 4/7/2011. Brief in Reply due 4/18/2011. (Bunch, Monya) (Entered: 03/17/2011)
03/18/2011	<a href="#">188</a>	Brief in Support of <a href="#">187</a> Motion to Certify Class under Rule 23 by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Attachments: # <a href="#">1</a> Exhibit 1 - Chesemore Deposition excerpts, # <a href="#">2</a> Exhibit 2 - Donkle Deposition excerpts, # <a href="#">3</a> Exhibit 3 - Gieck Deposition excerpts, # <a href="#">4</a> Exhibit 4 - Robbins Deposition excerpts, # <a href="#">5</a> Exhibit 5 - Stoflet Deposition excerpts, # <a href="#">6</a> Exhibit 6 - Klute Deposition excerpts, # <a href="#">7</a> Exhibit 7 - Klute Deposition Ex. 20, # <a href="#">8</a> Exhibit 8 - Trachte ESOP Representative Deposition excerpts, # <a href="#">9</a> Exhibit 9 - Trachte Plan Document,

		# <a href="#">10</a> Exhibit 10 (Pt. 1) Alliance Plan Document, # <a href="#">11</a> Exhibit 10 (Pt. 2) - Alliance Plan Document, # <a href="#">12</a> Exhibit 10 (Pt. 3) Alliance Plan Document, # <a href="#">13</a> Exhibit 11 - Alpha Rule 26 Disclosures, # <a href="#">14</a> Exhibit 12 - Pagelow Rule 26 Disclosures, # <a href="#">15</a> Exhibit 13 - Alliance Rule 26 Disclosures, # <a href="#">16</a> Exhibit 14 - Trustees' Rule 26 Disclosures) (Bunch, Monya) Modified on 3/18/2011 (jas). (Entered: 03/18/2011)
03/18/2011	<a href="#">189</a>	Declaration of Monya M. Bunch filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">187</a> Motion to Certify Class under Rule 23 (Attachments: # <a href="#">1</a> Exhibit A - Firm Resume (Cohen Milstein Sellers & Toll, PLLC), # <a href="#">2</a> Exhibit B - Firm Profile (Hurley Burish & Stanton)) (Bunch, Monya) (Entered: 03/18/2011)
03/18/2011	<a href="#">190</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Bunch, Monya) (Entered: 03/18/2011)
03/22/2011	<a href="#">191</a>	Motion to Admit Robyn Swanson Pro Hac Vice by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Motions referred to Magistrate Judge Stephen L. Crocker. (Handorf, Karen) (Entered: 03/22/2011)
03/22/2011	<a href="#">192</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Handorf, Karen) (Entered: 03/22/2011)
03/23/2011		Action Requested: Attorney Robyn Swanson must be registered for CM/ECF before the motion for pro hac vice is granted. (voc) (Entered: 03/23/2011)
03/24/2011	193	** TEXT ONLY ORDER ** ORDER granting <a href="#">191</a> Motion to Admit Robyn Swanson Pro Hac Vice. Signed by Magistrate Judge Peter A. Oppeneer on 3/24/11. (krj) (Entered: 03/24/2011)
03/24/2011	<a href="#">194</a>	Motion for Discovery <i>for Leave to Serve Nine Additional Interrogatories on Trustee Defendants</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Motions referred to Magistrate Judge Stephen L. Crocker. Response due 3/31/2011. (Swanson, Robyn) Modified on 4/1/2011 (voc/po). (Entered: 03/24/2011)
03/24/2011	<a href="#">195</a>	Declaration of Robyn M. Swanson filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">194</a> Motion for Discovery <i>for Leave to Serve Nine Additional Interrogatories on Trustee Defendants</i> (Attachments: # <a href="#">1</a> Exhibit A - Email from R. Swanson to P. Spangler, # <a href="#">2</a> Exhibit B - Proposed Interrogatories) (Swanson, Robyn) Modified on 3/25/2011 (jas). (Entered: 03/24/2011)
03/24/2011	<a href="#">196</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 03/24/2011)
03/24/2011	<a href="#">197</a>	ANSWER to Crossclaim by Defendant Stephen W. Pagelow. (Norrie, Jonathan) (Entered: 03/24/2011)

03/25/2011		Set/Reset Deadlines as to <a href="#">194</a> Motion for Leave to Serve Nine Additional Interrogatories on Trustee Defendants. Response due 3/31/2011. Telephone Motion Hearing set for 4/6/2011 at 01:30 PM before Magistrate Judge Stephen L. Crocker. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5153. (krj) (Entered: 03/25/2011)
03/25/2011	<a href="#">198</a>	Motion for Discovery ( <i>Motion to Exceed the Number of Depositions in the Parties' Joint Rule 26(f) Report</i> ) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Motions referred to Magistrate Judge Stephen L. Crocker. Response due 4/1/2011. (Attachments: # <a href="#">1</a> Exhibit A - Individuals Identified in Rule 26 Disclosures, # <a href="#">2</a> Exhibit B - Deposition of James Lindau - See also docket entry <a href="#">201</a> ) (Bunch, Monya) Modified on 3/28/2011 (jas). Modified on 3/29/2011 (jas). Modified on 4/1/2011 (voc/po). (Entered: 03/25/2011)
03/25/2011	<a href="#">199</a>	Declaration of Monya M. Bunch filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Bunch, Monya) Modified on 3/28/2011 (jas). (Entered: 03/25/2011)
03/25/2011	<a href="#">200</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Bunch, Monya) (Entered: 03/25/2011)
03/28/2011	<a href="#">201</a>	Deposition of James Lindau taken on October 7, 2010 ( <i>Filed as Exhibit B in Support of Motion to Exceed the Number of Depositions in the Parties' Joint Rule 26(f) Report</i> ) (Bunch, Monya) (Entered: 03/28/2011)
03/29/2011		Telephone Motion Hearing set for 4/6/2011 at 01:30 PM before Magistrate Judge Stephen L. Crocker re: <a href="#">198</a> Motion for Discovery (Motion to Exceed the Number of Depositions in the Parties' Joint Rule 26(f) Report) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5153. (voc) (Entered: 03/29/2011)
03/30/2011	<a href="#">202</a>	ANSWER to Crossclaim by Cross Defendant Alliance Holdings, Inc.. (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 03/30/2011)
03/31/2011	<a href="#">203</a>	Stipulation for (Regarding Plaintiffs' Motion To Exceed The Interrogatory Limit) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Swanson, Robyn) (Entered: 03/31/2011)
03/31/2011	<a href="#">204</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 03/31/2011)
03/31/2011	<a href="#">205</a>	Motion for Discovery For Leave To Serve Thirteen Interrogatories On The Alliance Defendants Or Alternatively, Requiring These Defendants To Plead In Support Of Their Affirmative Defenses by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Motions referred to Magistrate Judge Stephen L. Crocker. Response due 4/7/2011. (Swanson, Robyn) Modified on 3/31/2011 (voc). (Entered: 03/31/2011)

03/31/2011	<a href="#">206</a>	Declaration of Robyn Swanson filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Attachments: # <a href="#">1</a> Exhibit- plaintiffs' 2nd Set of Interrogatories ) (Swanson, Robyn) Modified on 3/31/2011 (voc). (Entered: 03/31/2011)
03/31/2011	<a href="#">207</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 03/31/2011)
04/01/2011	208	** TEXT ONLY ORDER ** ORDER granting <a href="#">203</a> Stipulation Regarding Plaintiffs' Motion To Exceed The Interrogatory Limit. Signed by Magistrate Judge Peter A. Oppeneer on 4/1/2011. (voc) (Entered: 04/01/2011)
04/01/2011		Telephone Motion Hearing set for 4/13/2011 at 03:00 PM re: <a href="#">205</a> before Magistrate Judge Stephen L. Crocker. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5153. (voc) (Entered: 04/01/2011)
04/01/2011	<a href="#">209</a>	Stipulation to Increase the Number of Depositions Plaintiffs May Take Without Seeking Leave of Court by Defendants A.H.I., Inc., Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, Alpha Investment Consulting Group, LLC, David B. Fenkell, Pamela Klute, John Michael Maier, James Mastrangelo, Stephen W. Pagelow, Jeffrey A. Seefeldt, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Barton, Robert) (Entered: 04/01/2011)
04/01/2011	<a href="#">210</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 04/01/2011)
04/07/2011	<a href="#">211</a>	Brief in Opposition by Cross Defendant Stephen W. Pagelow, Defendant Stephen W. Pagelow re: <a href="#">187</a> Motion to Certify Class under Rule 23 filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Silver, Alan) (Entered: 04/07/2011)
04/07/2011	<a href="#">212</a>	Declaration of Jonathan P. Norrie filed by Cross Defendant Stephen W. Pagelow, Defendant Stephen W. Pagelow re: <a href="#">187</a> Motion to Certify Class under Rule 23 (Sealed Document) (Attachments: # <a href="#">1</a> Exhibit A - Page 1 of the Master Closing Memorandum for the 2007 Transaction, # <a href="#">2</a> Exhibit B - 2002 Shareholders' Agreement, # <a href="#">3</a> Exhibit C - 2005 Agreement for Early Exercise of Put Option, # <a href="#">4</a> Exhibit D - Pages from March 31, 2010 deposition of Brian Anderson, # <a href="#">5</a> Exhibit E - 2007 Employee Stock Ownership Plan) (Silver, Alan) Modified on 4/8/2011 (jas). Sealed on 4/8/2011 at request of filer (rep). (Entered: 04/07/2011)
04/07/2011	<a href="#">213</a>	Brief in Opposition by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier in Support of <a href="#">187</a> Motion to Certify Class under Rule 23 <i>Defendants' Alpha Investment Consulting Group, LLC and John Michael Maier's Response to Plaintiffs' Motion for Class Certification</i> (Convery, James) Modified docket text on 4/11/2011 (voc). (Entered: 04/07/2011)

04/07/2011	<a href="#">214</a>	Brief in Opposition by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt re: <a href="#">187</a> Motion to Certify Class under Rule 23 filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Attachments: # <a href="#">1</a> Exhibit 1-Videotape Deposition Excerpts of Brian L. Anderson, # <a href="#">2</a> Exhibit 2-Videotaped Deposition Excerpts of Susane E. Gould, # <a href="#">3</a> Exhibit 3-Videotaped Deposition Excerpts of Rosanne J. Aumiller, # <a href="#">4</a> Exhibit 4- Videotaped Deposition Excerpts of John Michael Maier, # <a href="#">5</a> Exhibit 5-Deposition Excerpts of Scott D. Miller, # <a href="#">6</a> Exhibit 6-Deposition of Nannette Stoflet, # <a href="#">7</a> Exhibit 7-Deposition of Jill McDowell - See also <a href="#">222</a> , # <a href="#">8</a> Exhibit 8-Deposition Excerpts of Carol Chesemore - See also <a href="#">223</a> , # <a href="#">9</a> Exhibit 9-Plaintiffs' Responses and Objections to Defendants Pamela Klute, James Mastrangelo, and Jeffrey A. Seefeldt's First Set of Requests For Production of Documents Directed to All Plaintiffs, # <a href="#">10</a> Exhibit 10-Letter to Robert Joseph Barton, # <a href="#">11</a> Exhibit 13-West Law/Case Law) (Spangler, Patrick) Modified on 4/11/2011 (jas). (Entered: 04/07/2011)
04/07/2011	<a href="#">215</a>	Affidavit of Pamela Klute re <a href="#">187</a> Motion to Certify Class under Rule 23 (Attachments: # <a href="#">1</a> Exhibit A - Severance Agreement & Release of Claims) (Spangler, Patrick) Modified on 4/8/2011 (jas). (Entered: 04/07/2011)
04/07/2011	<a href="#">216</a>	Brief in Opposition by Defendant Alliance Holdings, Inc. re: <a href="#">187</a> Motion to Certify Class under Rule 23 filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Attachments: # <a href="#">1</a> Stoflet Deposition Excerpts, # <a href="#">2</a> Robbins Deposition Excerpts, # <a href="#">3</a> Gieck Deposition Excerpts, # <a href="#">4</a> Donkle Deposition Excerpts, # <a href="#">5</a> Chesemore Deposition Excerpts, # <a href="#">6</a> Unreported Case # 1: Zapka v. Coca-Cola, # <a href="#">7</a> Unreported Case # 2: Young v. Appalachian Power, # <a href="#">8</a> Unreported Case # 3: Runyon v. National School Studios, # <a href="#">9</a> Certificate of Service) (Sobel, Ryan) (Entered: 04/07/2011)
04/07/2011	<a href="#">217</a>	Affidavit of James Mastrangelo re <a href="#">187</a> Motion to Certify Class under Rule 23. (Sealed Document) (Attachments: # <a href="#">1</a> Exhibit A - Letter To James Mastrangelo, # <a href="#">2</a> Exhibit B - Letter To James Mastrangelo, Jeffery Seefeldt and Pamela Klute, # <a href="#">3</a> Exhibit C- Confidential Management Presentation, # <a href="#">4</a> Exhibit D- Certificate of Chief Financial Officer, # <a href="#">5</a> Exhibit E - Unaudited Financial Statements and Management Reports, # <a href="#">6</a> Exhibit F - Email to Pam Klute) (Spangler, Patrick) Modified on 4/8/2011 (jas). (Entered: 04/07/2011)
04/07/2011	<a href="#">218</a>	Declaration of Ryan A. Sobel filed by Defendant Alliance Holdings, Inc. re: <a href="#">187</a> Motion to Certify Class under Rule 23 (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 04/07/2011)

04/07/2011	<a href="#">219</a>	Exhibit A - 2008 Combined Financial Report to <a href="#">218</a> Declaration filed by Alliance Holdings, Inc. ( <a href="#">Sealed Document</a> ) (Sobel, Ryan) Modified on 4/8/2011 (jas). (Entered: 04/07/2011)
04/07/2011	<a href="#">220</a>	Exhibit B - 2009 Combined Financial Report to <a href="#">218</a> Declaration filed by Alliance Holdings, Inc. ( <a href="#">Sealed Document</a> ) (Sobel, Ryan) Modified on 4/8/2011 (jas). (Entered: 04/07/2011)
04/08/2011	<a href="#">221</a>	Motion for Extension of Time <i>until 4/11/11 to Respond to Plaintiffs' Motion for Discovery [#205]</i> by Defendants Alliance Holdings, Inc., David B. Fenkell. Motions referred to Magistrate Judge Stephen L. Crocker. Response due 4/15/2011. (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 04/08/2011)
04/08/2011	<a href="#">222</a>	Deposition of Nannette Stoflet taken on 4/27/2010 ( <i>Filed As Exhibit 6 In Support Of Brief In Opposition To Plaintiff's Motion For Class Certification</i> ) ( <a href="#">Sealed Document</a> ) (Attachments: # <a href="#">1</a> Exhibit A - Email to mprcam) (Spangler, Patrick) (Entered: 04/08/2011)
04/08/2011	<a href="#">223</a>	Deposition of Jill McDowell taken on 4/28/2010 ( <i>Filed As Exhibit 7 In Support Of Brief In Opposition To Plaintiffs' Motion For Class Certification</i> ) ( <a href="#">Sealed Document</a> ) (Spangler, Patrick) (Entered: 04/08/2011)
04/11/2011	224	** TEXT ONLY ORDER ** ORDER granting <a href="#">221</a> Motion for Extension of Time by defendant Alliance Holdings, Inc. Response to plaintiff's motion for discovery due by 4/11/2011. Signed by Magistrate Judge Stephen L. Crocker on 4/8/2011. (voc) (Entered: 04/11/2011)
04/11/2011	<a href="#">225</a>	Brief in Opposition by Defendants Alliance Holdings, Inc., David B. Fenkell re <a href="#">205</a> Motion for Discovery For Leave To Serve Thirteen Interrogatories On The Alliance Defendants Or Alternatively, Requiring These Defendants To Plead In Support Of Their Affirmative Defenses (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) Modified on 4/12/2011 (jas). (Entered: 04/11/2011)
04/11/2011	<a href="#">226</a>	Declaration of Ryan A. Sobel filed by Defendants Alliance Holdings, Inc., David B. Fenkell re <a href="#">205</a> Motion for Discovery For Leave To Serve Thirteen Interrogatories On The Alliance Defendants Or Alternatively, Requiring These Defendants To Plead In Support Of Their Affirmative Defenses (Attachments: # <a href="#">1</a> Exhibit E-Mail correspondence of 3/30/11, # <a href="#">2</a> Certificate of Service) (Sobel, Ryan) Modified on 4/12/2011 (jas). (Entered: 04/11/2011)
04/13/2011	227	** TEXT ONLY ORDER ** Plaintiffs' motion for leave to serve 13 extra interrogatories on the Alliance defendants <a href="#">205</a> is GRANTED. As this court's orders in previous complex cases make pellucid, this court has little patience for a punctilious approach to discovery in sprawling cases involving millions of dollars. Common sense suggests that plaintiffs are entitled to declarations from these defendants on the bases for their recently-stated affirmative defenses without being limited to drawing inferences

		and making deductions from the discovery already provided. Nor were plaintiffs required to cede their right even to seek leave to pose additional interrogatories later. By the same token, the Alliance defendants were not required to roll over and play dead if they had a legitimate beef about the interrogatories, so it was appropriate for them to object if they deemed it worth the effort <a href="#">225</a> . Although this dispute is properly before the court, in a situation like this, the ruling was virtually foreordained. This does not mean that these defendants cannot object to any additional interrogatories plaintiffs might come up with in the future. Each side will bear its own costs on this motion, and each side will be spared the cost of participating in an unnecessary telephonic motion hearing this afternoon. Signed by Magistrate Judge Stephen L. Crocker on 4/13/11. (rep) Modified on 4/27/2011 (llj). (Entered: 04/13/2011)
04/18/2011	<a href="#">228</a>	Joint Motion to Bifurcate <i>the Case Regarding Issues Concerning Certain Equitable Relief</i> by Defendants A.H.I., Inc., Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, Alpha Investment Consulting Group, LLC, Employee Stock Ownership Plan, David B. Fenkell, Pamela Klute, Eric M. Lynn, John Michael Maier, James Mastrangelo, Stephen W. Pagelow, Jeffrey A. Seefeldt, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Cross Defendants Alliance Holdings, Inc., Stephen W. Pagelow, Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet, Cross Claimants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt. Response due 4/25/2011. (Attachments: # <a href="#">1</a> Text of Proposed Order Stipulation and Proposed Order Regarding Bifurcation, # <a href="#">2</a> Certificate of Service) (Barton, Robert) (Entered: 04/18/2011)
04/21/2011		Set Motion Hearing as to <a href="#">228</a> Joint Motion to Bifurcate <i>the Case Regarding Issues Concerning Certain Equitable Relief</i> . Telephone Motion Hearing set for 4/27/2011 at 08:45 AM before Judge William M. Conley. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5087. (voc) (Entered: 04/21/2011)
04/22/2011	<a href="#">229</a>	Unopposed Motion for Extension of Time <i>to File Reply In Support of Motion for Class Certification</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Motions referred to Magistrate Judge Stephen L. Crocker. Response due 4/29/2011. (Bunch, Monya) (Entered: 04/22/2011)
04/22/2011	<a href="#">230</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Bunch, Monya) (Entered: 04/22/2011)
04/25/2011	231	** TEXT ONLY ORDER ** ORDER granting <a href="#">229</a> Motion for Extension of Time. Brief in Reply due 5/3/2011. Signed by Magistrate Judge Stephen L. Crocker on 4/22/2011. (voc) (Entered: 04/25/2011)
04/26/2011	<a href="#">232</a>	Motion to Amend <a href="#">79</a> Amended Complaint, by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Brief in Opposition due 5/3/2011. Brief in Reply due 5/10/2011. (Swanson, Robyn) (Entered: 04/26/2011)
04/26/2011	<a href="#">233</a>	Brief in Support of <a href="#">232</a> Motion to Amend Complaint by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet

		(Swanson, Robyn) (Entered: 04/26/2011)
04/26/2011	<a href="#">234</a>	Declaration of Robyn Swanson filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">232</a> Motion to Amend <a href="#">79</a> Amended Complaint, (Attachments: # <a href="#">1</a> Proposed Amended Complaint, # <a href="#">2</a> Proposed Amended Complaint with track changes) (Swanson, Robyn) (Entered: 04/26/2011)
04/26/2011	<a href="#">235</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 04/26/2011)
04/27/2011		Reset Deadlines and Hearings re: <a href="#">228</a> Joint Motion to Bifurcate <i>Regarding Issues Concerning Certain Equitable Relief</i> . Telephone Motion Hearing reset from 8:45 AM to 10:45 AM on 4/27/2011 to accommodate the court's calendar. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5087. (arw) (Entered: 04/27/2011)
04/29/2011		Reset Hearing re: <a href="#">228</a> Joint Motion to Bifurcate Regarding Issues Concerning Certain Equitable Relief. Telephone Motion Hearing reset from 4/27/2011 to 5/4/2011 at 08:15 AM before Judge William M. Conley. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5087. (voc) (Entered: 04/29/2011)
05/02/2011		NOTICE TO COUNSEL: Motion Hearing regarding <a href="#">228</a> Joint Motion to Bifurcate the Case Regarding Issues Concerning Certain Equitable Relief rescheduled to 5/5/2011 at 8:15 AM. Telephone Motion Hearing set for 5/5/2011 at 08:15 AM before Judge William M. Conley. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5087. (voc) (Entered: 05/02/2011)
05/02/2011	<a href="#">236</a>	Motion for Extension of Time <i>to File Reply in Support of Motion for Class Certification</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Motions referred to Magistrate Judge Stephen L. Crocker. Response due 5/9/2011. (Bunch, Monya) (Entered: 05/02/2011)
05/02/2011	<a href="#">237</a>	Declaration of Monya M. Bunch filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">236</a> Motion for Extension of Time <i>to File Reply in Support of Motion for Class Certification</i> (Bunch, Monya) (Entered: 05/02/2011)
05/02/2011	<a href="#">238</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Bunch, Monya) (Entered: 05/02/2011)
05/02/2011	239	** TEXT ONLY ORDER ** ORDER granting <a href="#">236</a> Plaintiffs Motion for Extension of Time re: <a href="#">187</a> Motion to Certify Class under Rule 23. Brief in Reply due 5/5/2011. Signed by Magistrate Judge Stephen L. Crocker on 5/2/2011. (voc) (Entered: 05/02/2011)
05/03/2011	<a href="#">240</a>	Brief in Opposition by Defendants Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell re: <a href="#">232</a> Motion to Amend <a href="#">79</a> Amended Complaint, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Attachments:

		<p># <a href="#">1</a> Unreported Case: Amich v. Sedgwick Claims Mgmt. Servs., Inc.,  # <a href="#">2</a> Unreported Case: Anderson v. City of West Bend Police Dept,  # <a href="#">3</a> Unreported Case: Alioto v. Town of Lisbon,  # <a href="#">4</a> Unreported Case: B.A. v. Bohlmann,  # <a href="#">5</a> Certificate of Service) (Sobel, Ryan) (Entered: 05/03/2011)</p>
05/05/2011		Minute Entry for proceedings held before District Judge William M. Conley: Telephone Motion Hearing held on 5/5/2011 re <a href="#">232</a> Motion to Amend <a href="#">79</a> Amended Complaint, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore, <a href="#">228</a> Joint Motion to Bifurcate <i>the Case Regarding Issues Concerning Certain Equitable Relief</i> filed by John Michael Maier, Alpha Investment Consulting Group, LLC, Carol Chesemore, Nannette Stoflet, Thomas Gieck, Pamela Klute, Employee Stock Ownership Plan, Stephen W. Pagelow, David B. Fenkell, Martin Robbins, James Mastrangelo, Jeffrey A. Seefeldt, Daniel Donkel, Eric M. Lynn, A.H.I., Inc., Trachte Building Systems, Inc. Employee Stock Ownership Plan, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan [:30] (Court Reporter LS.) (mfh) (Entered: 05/05/2011)
05/05/2011	<a href="#">241</a>	Disregard. See docket entry <a href="#">248</a> . Requested attorney refile with exhibit descriptions on 5/6/2011 (jas). Modified on 5/9/2011 (jas). (Entered: 05/05/2011)
05/05/2011	<a href="#">242</a>	Disregard. See docket entry <a href="#">249</a> . Requested attorney refile with exhibit descriptions on 5/6/2011 (jas). Modified on 5/9/2011 (jas). (Entered: 05/06/2011)
05/06/2011	<a href="#">243</a>	Declaration of Nannette Stoflet filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet ( <i>Filed In Support of D.E. #241</i> ) re: <a href="#">187</a> Motion to Certify Class under Rule 23 (Barton, Robert) (Entered: 05/06/2011)
05/06/2011	<a href="#">244</a>	Declaration of R. Joseph Barton filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet ( <i>Filed In Support of D.E. #241</i> ) re: <a href="#">187</a> Motion to Certify Class under Rule 23 (Attachments: # <a href="#">1</a> Exhibit A - Trustee privilege log, # <a href="#">2</a> Exhibit B - Alliance revised privilege log, # <a href="#">3</a> Exhibit C - Pagelow's privilege log) (Barton, Robert) Modified on 5/6/2011 (jas). (Entered: 05/06/2011)
05/06/2011	<a href="#">245</a>	Declaration of Jason T. Kolcun filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet ( <i>Filed in Support of D.E. #241</i> ) re: <a href="#">187</a> Motion to Certify Class under Rule 23 (Barton, Robert) (Entered: 05/06/2011)
05/06/2011	<a href="#">246</a>	Disregard. See docket entry <a href="#">250</a> . Requested attorney refile with exhibit descriptions on 5/6/2011 (jas). Modified on 5/9/2011 (jas). (Entered: 05/06/2011)
05/06/2011	<a href="#">247</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 05/06/2011)
05/06/2011	<a href="#">248</a>	Brief in Reply by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet in Support of <a href="#">187</a> Motion to Certify Class under Rule 23 (Attachments:

		<p># <a href="#">1</a> Exhibit 15 (ESOP Account Values) (to be filed under seal),  # <a href="#">2</a> Exhibit 16 (Deposition of Brian Anderson) (to be filed under seal),  # <a href="#">3</a> Exhibit 17 (Pt. 1) Transcript of July 13, 2007 Town Hall Meeting,  # <a href="#">4</a> Exhibit 17 (Pt. 2) Transcript of July 13, 2007 Town Hall Meeting,  # <a href="#">5</a> Exhibit 18 (Deposition of Daniel Donkle) (to be filed under seal),  # <a href="#">6</a> Exhibit 19 (Emails from L. Jenkins to J. Mastrangelo) (to be filed under seal),  # <a href="#">7</a> Exhibit 20 (Declaration of Thomas Termperley),  # <a href="#">8</a> Exhibit 21 (Minutes of Nov. 15, 2007 Trachte Board of Directors Meeting) (to be filed under seal),  # <a href="#">9</a> Exhibit 22 (Deposition of Martin Robbins) (to be filed under seal)) (Barton, Robert) Modified on 5/9/2011 to seal exhibits (voc). (Entered: 05/06/2011)</p>
05/06/2011	<a href="#">249</a>	<p>Exhibit 23 (Deposition of Thomas Gieck - to be filed under seal) to <a href="#">248</a> Brief in Reply filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Attachments:  # <a href="#">1</a> Exhibit 24 (Deposition of Carol Chesemore) (to be filed under seal),  # <a href="#">2</a> Exhibit 25 (Memo from RSM McGladrey to J. Mastrangelo; January 15, 2007) (to be filed under seal),  # <a href="#">3</a> Exhibit 26 (Defendant Seefeldt's Answers and Objections to Plaintiffs' First Set of Interrogatories to Seefeldt),  # <a href="#">4</a> Exhibit 27 (Deposition of James Mastrangelo) (to be filed under seal),  # <a href="#">5</a> Exhibit 28 (Email from Bill Byrd to Trachte Employees) (to be filed under seal),  # <a href="#">6</a> Exhibit 29 (Declaration of David Goodspeed),  # <a href="#">7</a> Exhibit 30 (Trustee Defendants' Objections and Answers to Plaintiffs' First Set of Interrogatories to Trustee Defendants),  # <a href="#">8</a> Exhibit 31 (Press Release Regarding 2007 Transaction) (to be filed under seal),  # <a href="#">9</a> Exhibit 32 (Presentation of July 13, 2007 Trachte Town Hall Meeting) (to be filed under seal),  # <a href="#">10</a> Exhibit 33 (Deposition of Pamela Klute) (to be filed under seal),  # <a href="#">11</a> Exhibit 34 (Pt. 1) Trachte Employee Handbook 2005,  # <a href="#">12</a> Exhibit 34 (Pt. 2) Trachte Employee Handbook 2005,  # <a href="#">13</a> Exhibit 35 (Deposition of Walter Smith) (to be filed under seal),  # <a href="#">14</a> Exhibit 36 (Excerpts from Production of Walter Smith),  # <a href="#">15</a> Exhibit 37 (Email from J. Mastrangelo to J. Seefeldt and Walter Smith) (to be filed under seal),  # <a href="#">16</a> Exhibit 38 (Deposition of Thomas Klingele) (to be filed under seal),  # <a href="#">17</a> Exhibit 39 (Draft of Barnes Wendling Fairness Opinion),  # <a href="#">18</a> Exhibit 40 (Email from Brian Anderson to Pam Klute copying J. Mastrangelo and J. Seefeldt),  # <a href="#">19</a> Exhibit 41 (ESOP Loan and Pledge Agreement) (to be filed under seal)) (Barton, Robert) Modified on 5/9/2011 (jas). Modified on 5/9/2011 to seal deposition and exhibits (voc). (Entered: 05/06/2011)</p>
05/06/2011	<a href="#">250</a>	<p>Exhibit 15 - (ESOP Account Values to <a href="#">248</a> Brief in Reply filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (<u>Sealed Document</u>) (Attachments:  # <a href="#">1</a> Exhibit 16 (Deposition of Brian Anderson),</p>

		<p># <a href="#">2</a> Exhibit 18 (Deposition of Daniel Donkle),  # <a href="#">3</a> Exhibit 19 (Emails from L. Jenkins to J. Mastrangelo),  # <a href="#">4</a> Exhibit 21 (Minutes of Nov. 15, 2007 Trachte Board of Directors Meeting),  # <a href="#">5</a> Exhibit 22 (Deposition of Martin Robbins),  # <a href="#">6</a> Exhibit 23 (Deposition of Thomas Gieck),  # <a href="#">7</a> Exhibit 24 (Deposition of Carol Chesemore),  # <a href="#">8</a> Exhibit 25 (Memo from RSM McGladrey to J. Mastrangelo, Jan. 15, 2007),  # <a href="#">9</a> Exhibit 27 (Deposition of J. Mastrangelo),  # <a href="#">10</a> Exhibit 28 (Email from Bill Byrd to Trachte employees),  # <a href="#">11</a> Exhibit 31 (Press Release Regarding 2007 Transaction),  # <a href="#">12</a> Exhibit 32 (Presentation of July 13, 2007 Trachte Town Hall Meeting),  # <a href="#">13</a> Exhibit 33 (Deposition of Pamela Klute),  # <a href="#">14</a> Exhibit 35 (Deposition of Walter Smith),  # <a href="#">15</a> Exhibit 37 (Pt. 1) Email from J. Mastrangelo to J. Seefeldt and W. Smith),  # <a href="#">16</a> Exhibit 37 (Pt. 2) Email from J. Mastrangelo to J. Seefeldt and W. Smith,  # <a href="#">17</a> Exhibit 37 (Pt. 3) Email from J. Mastrangelo to J. Seefeldt and W. Smith,  # <a href="#">18</a> Exhibit 38 (Deposition of Thomas Klingele),  # <a href="#">19</a> Exhibit 41 (ESOP Loan and Pledge Agreement)) (Barton, Robert) (Additional attachment(s) added on 5/9/2011:  # <a href="#">20</a> Exhibit 24 (Deposition of Carol Chesemore)) (jas). Modified on 5/9/2011 (jas). (Entered: 05/06/2011)</p>
05/16/2011	<a href="#">251</a>	Stipulation for Waiver of Service of the Second Amended Complaint by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Swanson, Robyn) (Entered: 05/16/2011)
05/16/2011	<a href="#">252</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 05/16/2011)
05/23/2011	253	** TEXT ONLY ORDER ** ORDER approving <a href="#">251</a> Stipulation for Waiver of Service of the Second Amended Complaint. Signed by District Judge William M. Conley on 5/23/2011. (voc) (Entered: 05/23/2011)
05/27/2011	<a href="#">254</a>	AMENDED COMPLAINT <i>Second Amended Class Action Complaint</i> against A.H.I., Inc., Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, Alpha Investment Consulting Group, LLC, Employee Stock Ownership Plan, David B. Fenkell, Pamela Klute, Eric M. Lynn, John Michael Maier, James Mastrangelo, Stephen W. Pagelow, Jeffrey A. Seefeldt, Trachte Building Systems, Inc. Employee Stock Ownership Plan, filed by Martin Robbins, Carol Chesemore, Daniel Donkel, Nannette Stoflet, Thomas Gieck. (Swanson, Robyn) (Entered: 05/27/2011)
05/27/2011	<a href="#">255</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 05/27/2011)
05/27/2011	<a href="#">256</a>	ORDER granting in part and denying in part <a href="#">228</a> Motion to Bifurcate; granting <a href="#">232</a> Motion to Amend Complaint. A separate trial on equitable relief will be held on 1/3/12. Responses to the second amended complaint are due 5/31/11. Signed by District Judge William M. Conley on 5/27/11. (rep) (Entered: 05/27/2011)

05/27/2011	<a href="#">257</a>	Disregard; duplicate of <a href="#">256</a> . (krj) (Entered: 05/27/2011)
05/27/2011	<a href="#">258</a>	ANSWER to Amended Complaint ( <i>Second</i> ) and <i>Affirmative Defenses</i> , CROSSCLAIM against Alliance Holdings, Inc., Stephen W. Pagelow by Defendants Alliance Holdings, Inc., Stephen W. Pagelow. (Wolf, Charles) (Entered: 05/27/2011)
05/27/2011	<a href="#">259</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Wolf, Charles) (Entered: 05/27/2011)
05/27/2011	<a href="#">260</a>	ANSWER to Amended Complaint <i>Answer to Second Amended Class Action Complaint</i> by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier. (Convery, James) (Entered: 05/27/2011)
05/27/2011	<a href="#">261</a>	ANSWER to Amended Complaint by Defendants A.H.I., Inc., Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell. (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 05/27/2011)
05/31/2011	<a href="#">262</a>	ANSWER to Amended Complaint by Defendant Stephen W. Pagelow. (Norrie, Jonathan) (Entered: 05/31/2011)
06/08/2011	<a href="#">263</a>	Supplement to <a href="#">187</a> Motion to Certify Class under Rule 23 filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Attachments: # <a href="#">1</a> Declaration of Nannette Stoflet - See docket entry <a href="#">265</a> .) (Barton, Robert) Requested attorney refile declaration as separate docket entry on 6/9/2011 (jas). Modified on 6/10/2011 (jas). (Entered: 06/08/2011)
06/08/2011	<a href="#">264</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 06/08/2011)
06/09/2011	<a href="#">265</a>	Declaration of Nannette Stoflet re <a href="#">263</a> Supplement, ( <i>Submission of Supplemental Declaration Related to Class Certification</i> ) (Barton, Robert) (Entered: 06/09/2011)
06/10/2011	<a href="#">266</a>	ANSWER to Crossclaim by Defendant Stephen W. Pagelow. (Norrie, Jonathan) (Entered: 06/10/2011)
06/13/2011	<a href="#">267</a>	ANSWER to Crossclaim by Cross Defendant Alliance Holdings, Inc.. (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 06/13/2011)
06/22/2011	<a href="#">268</a>	Notice by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet ( <i>Notice of Typographical Error In Plaintiffs' Second Amended Complaint</i> ). (Swanson, Robyn) (Entered: 06/22/2011)
06/22/2011	<a href="#">269</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 06/22/2011)
06/24/2011	<a href="#">270</a>	Deposition of Stephen W. Pagelow taken on 05/12/2011 (Norrie, Jonathan) (Entered: 06/24/2011)

06/24/2011	<a href="#">271</a>	Deposition of Stephen W. Pagelow taken on 05/13/2011 (Norrie, Jonathan) (Entered: 06/24/2011)
06/24/2011	<a href="#">272</a>	Deposition of Stephen W. Pagelow taken on 05/13/2011 ( <u>Sealed Document</u> ) (Norrie, Jonathan) (Entered: 06/24/2011)
06/24/2011	<a href="#">273</a>	Deposition of David Brian Fenkell taken on 04/07/2011 (Norrie, Jonathan) (Entered: 06/24/2011)
06/24/2011	<a href="#">274</a>	Deposition of Kenneth J. Wanko taken on 05/24/2011 (Norrie, Jonathan) (Entered: 06/24/2011)
06/24/2011	<a href="#">275</a>	Deposition of Eric M. Lynn taken on 05/25/2011 (Norrie, Jonathan) (Entered: 06/24/2011)
06/24/2011	<a href="#">276</a>	Deposition of Susan E. Gould taken on 10/22/2010 (Norrie, Jonathan) (Entered: 06/24/2011)
06/24/2011	<a href="#">277</a>	Deposition of Charles Hanneman taken on 04/21/2011 ( <u>Sealed Document</u> ) (Norrie, Jonathan) (Entered: 06/24/2011)
06/24/2011	<a href="#">278</a>	Deposition of John Michael Maier taken on 07/09/2010 (Norrie, Jonathan) (Entered: 06/24/2011)
06/24/2011	<a href="#">279</a>	Disregard-wrong document filed; Modified on 6/27/2011; filer contacted court and will refile correct document. (mmo). (Entered: 06/24/2011)
06/24/2011	<a href="#">280</a>	Deposition of Brian L. Anderson taken on 03/22/2011 (Norrie, Jonathan) (Entered: 06/24/2011)
06/24/2011	<a href="#">281</a>	Deposition of Cecilia A. Loftus taken on September 13, 2010 (Spangler, Patrick) (Entered: 06/24/2011)
06/24/2011	<a href="#">282</a>	Deposition of James N. Mastrangelo taken on May 10, 2011 (Spangler, Patrick) (Entered: 06/24/2011)
06/24/2011	<a href="#">283</a>	Deposition of Jeffrey A. Seefeldt taken on May 17, 2011 (Spangler, Patrick) (Entered: 06/24/2011)
06/24/2011	<a href="#">284</a>	Deposition of Rosanne J. Aumiller taken on November 10, 2010 (Spangler, Patrick) (Entered: 06/24/2011)
06/24/2011	<a href="#">285</a>	Deposition of William R. Peck taken on March 21, 2011 (Spangler, Patrick) (Entered: 06/24/2011)
06/27/2011	<a href="#">286</a>	Deposition of James J. Lindau taken on 05/12/2011 (Norrie, Jonathan) (Entered: 06/27/2011)
06/27/2011	<a href="#">287</a>	Affidavit of Patrick W. Spangler. (Spangler, Patrick) (Entered: 06/27/2011)
06/27/2011	<a href="#">288</a>	<b>MOTION FOR SUMMARY JUDGMENT</b> by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier. Brief in Opposition due 7/18/2011. Brief in Reply due 7/28/2011. (Convery, James) (Entered: 06/27/2011)

06/27/2011	<a href="#">289</a>	Brief in Support of <a href="#">288</a> Motion for Summary Judgment by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier (Convery, James) (Entered: 06/27/2011)
06/27/2011	<a href="#">290</a>	Exhibit to <a href="#">287</a> Affidavit ( <a href="#">Sealed Document</a> ) (Attachments: # <a href="#">1</a> Exhibit 2 - Deposition Exhibit No. 10 from the November 10, 2010 deposition of Roseanne Aumiller <a href="#">284</a> , # <a href="#">2</a> Exhibit 3 - Deposition Exhibit No. 4 from the October 22, 2010 deposition of Susan Gould <a href="#">276</a> , # <a href="#">3</a> Exhibit 4 - Deposition of Exhibit No. 5 from the October 22, 2010 deposition of Susan Gould <a href="#">276</a> , # <a href="#">4</a> Exhibit 5 - Deposition Exhibit No. 6 from the October 22, 2010 deposition of Susan Gould <a href="#">276</a> , # <a href="#">5</a> Exhibit 6 - Deposition Exhibit No. 16 from the October 22, 2010 deposition of Susan Gould <a href="#">276</a> , # <a href="#">6</a> Exhibit 7 - Deposition Exhibit No. 17 from the October 22, 2010 deposition of Susan Gould <a href="#">276</a> , # <a href="#">7</a> Exhibit 8 - Deposition Exhibit No. 20 from the October 22, 2010 deposition of Susan Gould <a href="#">276</a> , # <a href="#">8</a> Exhibit 9 - Deposition Exhibit No. 6 from the April 21, 2011 deposition of Charles Hanemann <a href="#">277</a> , # <a href="#">9</a> Exhibit 10 - Deposition Exhibit No. 8 from the November 1, 2010 deposition of Thomas Klingele <a href="#">250</a> , Ex. 38), # <a href="#">10</a> Exhibit 11 - Deposition Exhibit No. 1 from the July 9, 2010 deposition of John Michael Maier <a href="#">278</a> , # <a href="#">11</a> Exhibit 12 - Deposition Exhibit No. 4 from the July 9, 2010 deposition of John Michael Maier <a href="#">278</a> , # <a href="#">12</a> Exhibit 13 - Deposition Exhibit No. 6 from the July 9, 2010 deposition of John Michael Maier <a href="#">278</a> , # <a href="#">13</a> Exhibit 14 - Deposition Exhibit 2 from the May 10, 2011 deposition of James Mastrangelo <a href="#">282</a> , # <a href="#">14</a> Exhibit 15 - Deposition Exhibit No. 7 from the May 10, 2011 deposition of James Mastrangelo <a href="#">282</a> , # <a href="#">15</a> Exhibit 16 - Deposition Exhibit No. 14 from the May 10, 2011 deposition of James Mastrangelo <a href="#">282</a> , # <a href="#">16</a> Exhibit 17 - Deposition Exhibit No. 16 from the May 10, 2011 deposition of James Mastrangelo <a href="#">282</a> , # <a href="#">17</a> Exhibit 18 - Deposition Exhibit No. 21 from the May 10, 2011 deposition of James Mastrangelo <a href="#">282</a> ) (Spangler, Patrick) Modified on 6/28/2011 (voc). (Entered: 06/27/2011)
06/27/2011	<a href="#">291</a>	Proposed Findings of Fact filed by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier re: <a href="#">288</a> Motion for Summary Judgment filed by John Michael Maier, Alpha Investment Consulting Group, LLC ( <a href="#">Sealed Document</a> ) (Attachments: # <a href="#">1</a> Exhibit 1- Second Amended Complaint (Docket # 254), # <a href="#">2</a> Exhibit 2- Deposition Transcript of John Michael Maier, # <a href="#">3</a> Exhibit 3- Deposition Exhibits of John Michael Maier (non-restricted),

		<p># <a href="#">4</a> Exhibit 4- Deposition Exhibits of John Michael Maier,  # <a href="#">5</a> Exhibit 5- Deposition Transcript of Charles Hanemann,  # <a href="#">6</a> Exhibit 6- Deposition Exhibits of Charles Hanemann,  # <a href="#">7</a> Exhibit 7- Deposition Transcript of James Mastrangelo, May 10, 2011,  # <a href="#">8</a> Exhibit 8- Deposition Exhibits of James Mastrangelo, May 10, 2011,  # <a href="#">9</a> Exhibit 9- Deposition Transcript of Jamie Lindau, October 7, 2010,  # <a href="#">10</a> Exhibit 10- Deposition Transcript of Brian Anderson, March 22, 2011,  # <a href="#">11</a> Exhibit 11- Deposition Exhibits of Brian Anderson, March 22, 2011,  # <a href="#">12</a> Exhibit 12- Deposition Transcript of Thomas Klingele,  # <a href="#">13</a> Exhibit 13- Deposition Exhibits of Thomas Klingele,  # <a href="#">14</a> Exhibit 14- Deposition Transcript of James Mastrangelo, April 1, 2010,  # <a href="#">15</a> Exhibit 15- Deposition Exhibits of James Mastrangelo, April 1, 2010 (non-restricted),  # <a href="#">16</a> Exhibit 16- Deposition Exhibits of James Mastrangelo, April 1, 2010,  # <a href="#">17</a> Exhibit 17- Deposition Transcript of Walter Smith,  # <a href="#">18</a> Exhibit 18- Deposition Exhibits of Walter Smith,  # <a href="#">19</a> Exhibit 19- Deposition Transcript of Jeff Seefeldt,  # <a href="#">20</a> Exhibit 20- Deposition Transcript of Brian Anderson, March 31, 2010,  # <a href="#">21</a> Exhibit 21- Deposition Exhibits of Brian Anderson, March 31, 2010) (Convery, James) (Entered: 06/27/2011)</p>
06/27/2011	<a href="#">292</a>	<b>MOTION FOR SUMMARY JUDGMENT on Counts V, VI and XII</b> by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt. Brief in Opposition due 7/18/2011. Brief in Reply due 7/28/2011. (Wolf, Charles) (Entered: 06/27/2011)
06/27/2011	<a href="#">293</a>	Brief in Support of <a href="#">292</a> Motion for Summary Judgment by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Wolf, Charles) (Entered: 06/27/2011)
06/27/2011	<a href="#">294</a>	Proposed Findings of Fact filed by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt re: <a href="#">292</a> Motion for Summary Judgment filed by Pamela Klute, Jeffrey A. Seefeldt, James Mastrangelo (Wolf, Charles) (Entered: 06/27/2011)
06/27/2011	<a href="#">295</a>	Declaration of John Michael Maier filed by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier re: <a href="#">288</a> Motion for Summary Judgment ( <b>Sealed Document</b> ) (Attachments: # <a href="#">1</a> Exhibit 1- Trachete 401(k) and ESOP Form 5500 Reports, # <a href="#">2</a> Exhibit 2- Aug. 6, 2007 Email re: Engagement Letter, # <a href="#">3</a> Exhibit 3- Aug. 6, 2001 Email to Mastrangelo with attachment, # <a href="#">4</a> Exhibit 4- Alliance Holdings, Inc. ESOP Form 5500, # <a href="#">5</a> Exhibit 5- Aug. 9, 2007 Emails from Mastrangelo, # <a href="#">6</a> Exhibit 6- Aug. 13, 2007 Email with signed engag. letter from Mastrangelo with attachments, # <a href="#">7</a> Exhibit 7- Aug. 13, 2007 Email with signed engag. letter from Maier, # <a href="#">8</a> Exhibit 8- Board Resolution for Alpha engagement, # <a href="#">9</a> Exhibit 9- Aug. 21, 2007 Email with amended engag. letter, # <a href="#">10</a> Exhibit 10- Part 1- Docs. received from Mastrangelo on Aug. 14, 2007, # <a href="#">11</a> Exhibit 10- Part 2,

		<p># <a href="#">12</a> Exhibit 11- Aug. 15, 2007 Email between Wanko, Seefeldt and Mastrangelo,  # <a href="#">13</a> Exhibit 12- Aug. 14, 2007 Up-dated request for documents,  # <a href="#">14</a> Exhibit 13- Aug. 14, 2007 Maier notes,  # <a href="#">15</a> Exhibit 14- Aug. 15, 2007 Flow charts/ notes,  # <a href="#">16</a> Exhibit 15- Aug. 16, 2007 Maier notes,  # <a href="#">17</a> Exhibit 16- Aug. 17, 2007 Maier notes from phone interview with Seefeldt,  # <a href="#">18</a> Exhibit 17- Aug. 16, 2007 Email and working draft direction letter,  # <a href="#">19</a> Exhibit 18- Working draft notes,  # <a href="#">20</a> Exhibit 19- Aug. 16, 2007 Email from Mastrangelo,  # <a href="#">21</a> Exhibit 20- Aug. 16, 2007 Emails between Maier, Mastrangelo and Wanko,  # <a href="#">22</a> Exhibit 21- Part 1- Aug. 20, 2007 Email from Wanko with SRR presentation,  # <a href="#">23</a> Exhibit 21- Part 2,  # <a href="#">24</a> Exhibit 22- Aug. 20, 2007 Email from Spears,  # <a href="#">25</a> Exhibit 23- Aug. 20, 2007 Attachments from Spears,  # <a href="#">26</a> Exhibit 24- Aug. 20, 2007 Email to Anderson and Aumiller,  # <a href="#">27</a> Exhibit 25- Aug. 20, 2007 Maier notes on SRR valuation,  # <a href="#">28</a> Exhibit 26- Aug. 24, 2007 Maier notes from discussion with Kindstrom,  # <a href="#">29</a> Exhibit 27- Aug. 27, 2007 Letter of direction,  # <a href="#">30</a> Exhibit 28- Aug. 27, 2007 Flow charts,  # <a href="#">31</a> Exhibit 29- Aug. 29, 2007 Take down letter) (Convery, James) (Entered: 06/27/2011)</p>
06/27/2011	<a href="#">296</a>	Affidavit of James N. Mastrangelo. (Wolf, Charles) (Entered: 06/27/2011)
06/27/2011	<a href="#">297</a>	<p>Exhibit to <a href="#">296</a> Affidavit of James N. Mastrangelo (<u>Sealed Document</u>)  (Attachments:  # <a href="#">1</a> Exhibit 1 Part 2, Closing Binder,  # <a href="#">2</a> Exhibit 1 Part 3, Closing Binder,  # <a href="#">3</a> Exhibit 1 Part 4, Closing Binder,  # <a href="#">4</a> Exhibit 1 Part 5, Closing Binder,  # <a href="#">5</a> Exhibit 1 Part 6, Closing Binder,  # <a href="#">6</a> Exhibit 1 Part 7, Closing Binder,  # <a href="#">7</a> Exhibit 1 Part 8, Closing Binder,  # <a href="#">8</a> Exhibit 1 Part 9, Closing Binder,  # <a href="#">9</a> Exhibit 1 Part 10, Closing Binder,  # <a href="#">10</a> Exhibit 1 Part 11, Closing Binder,  # <a href="#">11</a> Exhibit 1 Part 12, Closing Binder,  # <a href="#">12</a> Exhibit 1 Part 13, Closing Binder,  # <a href="#">13</a> Exhibit 1 Part 14, Closing Binder,  # <a href="#">14</a> Exhibit 1 Part 15, Closing Binder,  # <a href="#">15</a> Exhibit 1 Part 16, Closing Binder,  # <a href="#">16</a> Exhibit 1 Part 17, Closing Binder,  # <a href="#">17</a> Exhibit 1 Part 18, Closing Binder,  # <a href="#">18</a> Exhibit 1 Part 19, Closing Binder,  # <a href="#">19</a> Exhibit 1 Part 20, Closing Binder,  # <a href="#">20</a> Exhibit 2, Trachte's Audited Financial Statements for the fiscal year ending December 31, 2003,  # <a href="#">21</a> Exhibit 3, Trachte's Audited Financial Statements for the fiscal year ending</p>

		<p>December 31, 2004,  # <a href="#">22</a> Exhibit 4, Trachte's Audited Financial Statements for the fiscal year ending December 31, 2005,  # <a href="#">23</a> Exhibit 5, Trachte's Audited Financial Statements for the fiscal year ending December 31, 2006,  # <a href="#">24</a> Exhibit 6, Trachte's Audited Financial Statements for the fiscal year ending December 31, 2007,  # <a href="#">25</a> Exhibit 7, 1st Lien Covenants Sch 1 and 2nd Lien Covenants Sch 2) (Wolf, Charles) Modified on 6/28/2011 (voc). (Entered: 06/27/2011)</p>
06/27/2011	<a href="#">298</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Spangler, Patrick) (Entered: 06/27/2011)
06/27/2011	<a href="#">299</a>	<p><b>MOTION FOR SUMMARY JUDGMENT</b> by Defendants A.H.I., Inc., Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell. Brief in Opposition due 7/18/2011. Brief in Reply due 7/28/2011.  (Attachments:  # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 06/27/2011)</p>
06/27/2011	<a href="#">300</a>	<p>Declaration of David B. Fenkell filed by Defendants A.H.I., Inc., Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell re: <a href="#">299</a> Motion for Summary Judgment, (<u>Sealed Document</u>) (Attachments:  # <a href="#">1</a> Exhibit 2003 Alliance ESOP Annual Valuation,  # <a href="#">2</a> Exhibit 2004 Alliance ESOP Annual Valuation,  # <a href="#">3</a> Exhibit 2005 Alliance ESOP Annual Valuation,  # <a href="#">4</a> Exhibit 2007 Master Closing Memorandum for Sale of Trachte,  # <a href="#">5</a> Exhibit 2002 Master Agreement for Acquisition of Trachte,  # <a href="#">6</a> Exhibit Trachte Phantom Stock Plan for Alliance Employees,  # <a href="#">7</a> Exhibit Trachte Phantom Stock Plan for Trachte Employees,  # <a href="#">8</a> Exhibit Instrument of Merger and Amendment of Alliance ESOP and Trachte ESOP,  # <a href="#">9</a> Certificate of Service) (Sobel, Ryan) (Entered: 06/27/2011)</p>
06/27/2011	<a href="#">301</a>	<p>Declaration of Ryan A. Sobel filed by Defendants A.H.I., Inc., Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell re: <a href="#">299</a> Motion for Summary Judgment, (<u>Sealed Document</u>) (Attachments:  # <a href="#">1</a> Exhibit Alliance Companies Organizational Chart,  # <a href="#">2</a> Exhibit Closing Transcript of August 29, 2007,  # <a href="#">3</a> Exhibit May 17, 2006 Trachte Board Meeting Minutes,  # <a href="#">4</a> Exhibit William &amp; Blair Engagement Agreement,  # <a href="#">5</a> Exhibit Aug. 4, 2006 Shareholder Direction Letter,  # <a href="#">6</a> Exhibit Confidentiality Agreement,  # <a href="#">7</a> Exhibit Confidential Descriptive Memorandum,  # <a href="#">8</a> Exhibit Summary of Initial Indications of Interest,  # <a href="#">9</a> Exhibit Feb. 6, 2007 Letter of Intent from Trachte Mgmt.,  # <a href="#">10</a> Exhibit Feb. 2, 2007 Letter of Intent from HIG,  # <a href="#">11</a> Exhibit Feb. 14, 2007 Revised Letter of Intent from HIG,  # <a href="#">12</a> Exhibit Apr. 5, 2007 Revised Letter of Intent from HIG,  # <a href="#">13</a> Exhibit Apr. 12, 2007 Draft Letter of Intent from Trachte Mgmt.,</p>

		<p># <a href="#">14</a> Exhibit Apr. 12, 2007 Marked-Up Letter of Intent from Trachte Mgmt.,  # <a href="#">15</a> Exhibit April 17, 2007 Marked-Up Letter of Intent from Trachte Mgmt.,  # <a href="#">16</a> Exhibit Apr. 18, 2007 Marked-Up Letter of Intent from Trachte Mgmt.,  # <a href="#">17</a> Exhibit Aug. 29, 2007 Valuation Analysis of AH Transition,  # <a href="#">18</a> Exhibit Aug. 29, 2007 Valuation Analysis of Trachte,  # <a href="#">19</a> Exhibit Aug. 29, 2007 Valuation Analysis of Alliance,  # <a href="#">20</a> Exhibit Alliance ESOP Valuation as of Dec. 31, 2006,  # <a href="#">21</a> Exhibit July 6, 2007 RSM McGladrey Letter,  # <a href="#">22</a> Exhibit Aug. 15, 2007 Summary of Transaction Price Adjustments,  # <a href="#">23</a> Exhibit Alpha Engagement Letter,  # <a href="#">24</a> Exhibit Draft Letter of Direction of Alpha,  # <a href="#">25</a> Certificate of Service) (Sobel, Ryan) (Entered: 06/27/2011)</p>
06/27/2011	<a href="#">302</a>	<p>Answer to Interrogatories from Alliance Holdings, Inc., AHI, Inc., AH Transition Corp., David Fenkell, Alliance ESOP by Defendants A.H.I., Inc., Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell. (Attachments:  # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 06/27/2011)</p>
06/27/2011	<a href="#">303</a>	<p><b>MOTION FOR SUMMARY JUDGMENT</b> by Defendant Stephen W. Pagelow. Brief in Opposition due 7/18/2011. Brief in Reply due 7/28/2011. (Norrie, Jonathan) (Entered: 06/27/2011)</p>
06/27/2011	<a href="#">304</a>	<p>Brief in Support of <a href="#">303</a> Motion for Summary Judgment by Defendant Stephen W. Pagelow (Norrie, Jonathan) (Entered: 06/27/2011)</p>
06/27/2011	<a href="#">305</a>	<p>Declaration of Stephen Pagelow filed by Defendant Stephen W. Pagelow re: <a href="#">303</a> Motion for Summary Judgment (<a href="#">Sealed Document</a>) (Attachments:  # <a href="#">1</a> Exhibit Exhibit A 2002 Shareholders Agreement,  # <a href="#">2</a> Exhibit Exhibit B 2005 Early Exercise Agreement,  # <a href="#">3</a> Exhibit Exhibit C August 22, 2007 Written Action,  # <a href="#">4</a> Exhibit Exhibit D August 24, 2007 Written Consent) (Norrie, Jonathan) (Entered: 06/27/2011)</p>
06/27/2011	<a href="#">306</a>	<p>Proposed Findings of Fact filed by Defendant Stephen W. Pagelow re: <a href="#">303</a> Motion for Summary Judgment filed by Stephen W. Pagelow (Norrie, Jonathan) (Entered: 06/27/2011)</p>
06/27/2011	<a href="#">307</a>	<p><b>MOTION FOR PARTIAL SUMMARY JUDGMENT</b> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Brief in Opposition due 7/18/2011. Brief in Reply due 8/1/2011. (Swanson, Robyn) Modified briefing schedule per order <a href="#">256</a> on 7/1/2011 (krj). (Entered: 06/27/2011)</p>
06/27/2011	<a href="#">308</a>	<p>Proposed Findings of Fact filed by Defendants A.H.I., Inc., Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell re: <a href="#">299</a> Motion for Summary Judgment, filed by A.H.I., Inc., David B. Fenkell, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan (Attachments:  # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 06/27/2011)</p>

06/27/2011	<a href="#">309</a>	Brief in Support of <a href="#">299</a> Motion for Summary Judgment, by Defendants A.H.I., Inc., Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell (Attachments: # <a href="#">1</a> Unreported Case: Gillis v. SPX Corp., # <a href="#">2</a> Unreported Case: In re AOL Time Warner, # <a href="#">3</a> Unreported Case: Neil v. Zell, # <a href="#">4</a> Unreported Case: Peck v. Chopp, # <a href="#">5</a> Certificate of Service) (Sobel, Ryan) (Entered: 06/27/2011)
06/27/2011	<a href="#">310</a>	Declaration of Jonathan P. Norrie filed by Defendant Stephen W. Pagelow re: <a href="#">303</a> Motion for Summary Judgment ( <u>Sealed Document</u> ) (Attachments: # <a href="#">1</a> Exhibit strategic alternatives, # <a href="#">2</a> Exhibit IOI summary, # <a href="#">3</a> Exhibit August 15 email, # <a href="#">4</a> Exhibit disbursement of proceeds, # <a href="#">5</a> Exhibit HIG 2006, # <a href="#">6</a> Exhibit Feb 2007 HIG, # <a href="#">7</a> Exhibit Feb 14 2007 HIG, # <a href="#">8</a> Exhibit HIG internal review, # <a href="#">9</a> Exhibit April 2, 2007 HIG email, # <a href="#">10</a> Exhibit RSM letter, # <a href="#">11</a> Exhibit William Blair letter, # <a href="#">12</a> Exhibit sources and uses, # <a href="#">13</a> Exhibit alpha engagement, # <a href="#">14</a> Exhibit BWVS letter, # <a href="#">15</a> Exhibit Feb 2007 offer, # <a href="#">16</a> Exhibit proceeds comparison, # <a href="#">17</a> Exhibit march 27 email, # <a href="#">18</a> Exhibit april 3, 2007 email, # <a href="#">19</a> Exhibit april 5, 2007 email, # <a href="#">20</a> Exhibit april 19, 2007 letter of intent, # <a href="#">21</a> Exhibit alpha engagement letter) (Norrie, Jonathan) (Entered: 06/27/2011)
06/28/2011	<a href="#">311</a>	Declaration of Robyn M. Swanson filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">307</a> Motion for Partial Summary Judgment ( <u>Sealed Document</u> ) (Attachments: # <a href="#">1</a> Exhibit A - November 13, 2007 Compensation Committee Meeting Minutes, # <a href="#">2</a> Exhibit B - May 17, 2006 Trachte Board of Directors Meeting Minutes, # <a href="#">3</a> Exhibit C - August 2, 2006 Email from Wanko to Seefeldt, # <a href="#">4</a> Exhibit D - July 31, 2006 Wm. Blair Engagement Letter, # <a href="#">5</a> Exhibit E - Marketing Process Summary, # <a href="#">6</a> Exhibit F - Executive Summary) (Swanson, Robyn) (Entered: 06/28/2011)
06/28/2011	<a href="#">312</a>	Exhibit to <a href="#">311</a> Declaration of Robyn M. Swanson, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore ( <i>Exhibit G, Pt. 1., Confidential Descriptive Memorandum</i> ) ( <u>Sealed Document</u> ) (Attachments: # <a href="#">1</a> Exhibit G (Pt 2) Confidential Descriptive Memorandum, # <a href="#">2</a> Exhibit G (Pt 3) Confidential Descriptive Memorandum,

		<p># <a href="#">3</a> Exhibit H - November 15, 2006 Trachte Board of Directors Meeting Minutes,  # <a href="#">4</a> Exhibit I - November 29, 2006 HIG Letter of Interest,  # <a href="#">5</a> Exhibit J - November 17, 2006 Tricor Letter of Interest,  # <a href="#">6</a> Exhibit K - Summary of Initial Indications,  # <a href="#">7</a> Exhibit L - Exhibit 2-A to Deposition of James Lindau,  # <a href="#">8</a> Exhibit M - Management Presentation Schedule,  # <a href="#">9</a> Exhibit N - February 2, 2007 HIG Letter of Intent) (Swanson, Robyn) Modified on 6/28/2011 (voc). (Entered: 06/28/2011)</p>
06/28/2011	<a href="#">313</a>	<p>Exhibit to <a href="#">311</a> Declaration of Robyn M. Swanson , filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (<i>Exhibit O - Summary of Revised Offers</i>) (<u>Sealed Document</u>) (Attachments:  # <a href="#">1</a> Exhibit P - Management Offer,  # <a href="#">2</a> Exhibit Q - Proceeds Comparison,  # <a href="#">3</a> Exhibit R - HIG Letter of Intent,  # <a href="#">4</a> Exhibit S - March 27, 2007 Email from Ken Wanko,  # <a href="#">5</a> Exhibit T - Letter from Trachte Management to HIG,  # <a href="#">6</a> Exhibit U - April 2, 2007 Email from Wanko to Fenkell,  # <a href="#">7</a> Exhibit V - April 3, 2007 Email from Shay Brockemond to Wanko,  # <a href="#">8</a> Exhibit W - April 5, 2007 Email from Samuel Tinaglia) (Swanson, Robyn) Modified on 6/28/2011 (voc). (Entered: 06/28/2011)</p>
06/28/2011	<a href="#">314</a>	<p>Exhibit to <a href="#">311</a> Declaration Robyn M. Swanson, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (<i>Exhibit X - April 4, 2007 Email Attaching Proceeds Comparison Chart</i>) (<u>Sealed Document</u>) (Attachments:  # <a href="#">1</a> Exhibit Y - April 12, 2007 Draft Letter of Intent,  # <a href="#">2</a> Exhibit Z - Final Letter of Intent,  # <a href="#">3</a> Exhibit AA - April 19, 2007 Email from Brian Anderson,  # <a href="#">4</a> Exhibit BB - June 15, 2007 Email from Brian Anderson,  # <a href="#">5</a> Exhibit CC - August 26, 2007 email from Donald Hughes,  # <a href="#">6</a> Exhibit DD - July 6, 2007 Letter from RSM McGladrey,  # <a href="#">7</a> Exhibit Alpha Engagement Letter,  # <a href="#">8</a> Exhibit August 13, 2007 Email from Maier to Mastrangelo) (Swanson, Robyn) Modified on 6/28/2011 (voc). (Entered: 06/28/2011)</p>
06/28/2011	<a href="#">315</a>	<p>Exhibit to <a href="#">311</a> Declaration Robyn M. Swanson, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (<i>Exhibit GG - August 15, 2007 (email from Kenneth Wanko)</i>) (<u>Sealed Document</u>) (Attachments:  # <a href="#">1</a> Exhibit HH - Alpha Draft Opinion Letter,  # <a href="#">2</a> Exhibit II - Final Alpha Letter,  # <a href="#">3</a> Exhibit JJ - Trachte ESOP Plan Document,  # <a href="#">4</a> Exhibit KK - Master Closing Memorandum,  # <a href="#">5</a> Exhibit LL - Barnes Wendling Fairness Opinion,  # <a href="#">6</a> Exhibit MM (Pt 1) Alliance ESOP Plan Document,  # <a href="#">7</a> Exhibit MM (Pt. 2) Alliance ESOP Plan Document,  # <a href="#">8</a> Exhibit MM (Pt. 3) Alliance ESOP Plan Document) (Swanson, Robyn) Modified on 6/28/2011 (voc). (Entered: 06/28/2011)</p>

06/28/2011	<a href="#">316</a>	Exhibit to <a href="#">311</a> Declaration Robyn M. Swanson, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore ( <i>NN Pt. 1 - 2006 Alliance Valuation</i> ) ( <b>Sealed Document</b> ) (Attachments: # <a href="#">1</a> Exhibit NN Pt. 2 - 2006 Alliance Valuation, # <a href="#">2</a> Exhibit OO Pt. 1 - 2007 Trachte Valuation, # <a href="#">3</a> Exhibit OO Pt. 2 - 2007 Trachte Valuation, # <a href="#">4</a> Exhibit PP - May 20, 2008 Trachte Board of Directors Meeting Minutes, # <a href="#">5</a> Exhibit QQ Pt. 1 - 2008 Trachte Valuation, # <a href="#">6</a> Exhibit QQ Pt. 2 - 2008 Trachte Valuation) (Swanson, Robyn) Modified on 6/28/2011 (voc). (Entered: 06/28/2011)
06/28/2011	<a href="#">317</a>	Brief in Support of <a href="#">307</a> Motion for Partial Summary Judgment by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 06/28/2011)
06/28/2011	<a href="#">318</a>	Proposed Findings of Fact filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">307</a> Motion for Partial Summary Judgment filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Swanson, Robyn) (Entered: 06/28/2011)
06/28/2011	<a href="#">319</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 06/28/2011)
06/28/2011	<a href="#">320</a>	Motion to Admit Benjamin A. Hartsock Pro Hac Vice by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt. Motions referred to Magistrate Judge Stephen L. Crocker. (Stathas, Lynn) (Entered: 06/28/2011)
06/28/2011	<a href="#">321</a>	Motion to Admit Bruce F. Rinaldi Pro Hac Vice by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Motions referred to Magistrate Judge Stephen L. Crocker. (Handorf, Karen) (Entered: 06/28/2011)
06/28/2011	<a href="#">322</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Handorf, Karen) (Entered: 06/28/2011)
06/28/2011	<a href="#">323</a>	Motion to Strike <i>Partially The Expert Report And Bar The Testimony of Steven R. Fischer</i> by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt. Response due 7/5/2011. (Spangler, Patrick) (Entered: 06/28/2011)
06/28/2011	<a href="#">324</a>	Brief in Support of <a href="#">323</a> Motion to Strike by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Spangler, Patrick) (Entered: 06/28/2011)
06/28/2011	<a href="#">325</a>	Exhibit to <a href="#">324</a> Brief in Support filed by Pamela Klute, Jeffrey A. Seefeldt, James Mastrangelo ( <b>Sealed Document</b> ) (Attachments: # <a href="#">1</a> Exhibit B - Responsive Report of Steven R. Fischer) (Spangler, Patrick) (Entered: 06/28/2011)
06/28/2011	<a href="#">326</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Spangler, Patrick) (Entered: 06/28/2011)
06/29/2011	327	** TEXT ONLY ORDER ** ORDER granting <a href="#">320</a> Motion to Admit Benjamin A. Hartsock Pro Hac Vice. Signed by Magistrate Judge Stephen L. Crocker on 6/28/2011. (voc) (Entered: 06/29/2011)

		06/29/2011)
06/30/2011	328	** TEXT ONLY ORDER ** ORDER granting <a href="#">321</a> Motion to Admit Bruce Rinaldi Pro Hac Vice. Signed by Magistrate Judge Stephen L. Crocker on 6/30/2011. (voc) (Entered: 06/30/2011)
06/30/2011	<a href="#">329</a>	Unopposed Motion for Extension of Time <i>to File Response to Motion to Partially Strike the Expert Report and Bar the Testimony of Steven R. Fischer</i> by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier. Motions referred to Magistrate Judge Stephen L. Crocker. Response due 7/7/2011. (Convery, James) (Entered: 06/30/2011)
07/01/2011	330	** TEXT ONLY ORDER ** ORDER granting <a href="#">329</a> Motion for Extension of Time to file response to <a href="#">323</a> motion to partially expert report and bar testimony of Steven R. Fischer by defendants Alpha Investment Consulting Group, LLC and John Michael Maier. Brief in Opposition due 7/18/2011. Signed by Magistrate Judge Stephen L. Crocker on 7/1/2011. (voc) (Entered: 07/01/2011)
07/11/2011	<a href="#">331</a>	Motion for Extension of Time ( <i>Plaintiffs' Motion For Extension Of Time To File Oppositions To The Alliance Defendants' And The Trustee Defendants' Motions For Summary Judgment</i> ) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Motions referred to Magistrate Judge Stephen L. Crocker. Response due 7/18/2011. (Swanson, Robyn) (Entered: 07/11/2011)
07/11/2011	<a href="#">332</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 07/11/2011)
07/12/2011	<a href="#">333</a>	Motion to Admit Peter Romer-Friedman Pro Hac Vice by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Motions referred to Magistrate Judge Stephen L. Crocker. (Handorf, Karen) (Entered: 07/12/2011)
07/12/2011	<a href="#">334</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Handorf, Karen) (Entered: 07/12/2011)
07/12/2011	<a href="#">335</a>	Joint Brief in Opposition by Defendants A.H.I., Inc., Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell, Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt, Trachte Building Systems, Inc. Employee Stock Ownership Plan re: <a href="#">331</a> Motion for Extension of Time ( <i>Plaintiffs' Motion For Extension Of Time To File Oppositions To The Alliance Defendants' And The Trustee Defendants' Motions For Summary Judgment</i> ) filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) Modified on 7/13/2011 (jas). (Entered: 07/12/2011)
07/12/2011	336	** TEXT ONLY ORDER ** ORDER denying <a href="#">331</a> Motion for Extension of Time. Signed by Magistrate Judge Stephen L. Crocker on 7/12/2011. (voc) (Entered: 07/12/2011)

07/16/2011	<a href="#">337</a>	Deposition of Samuel J. Tinaglia, Sr. taken on July 5, 2011 (Attachments: # <a href="#">1</a> Deposition of Samuel J. Tinaglia, Sr. Dated July 6, 2011 - See also docket entry <a href="#">343</a> ) (Swanson, Robyn) Requested attorney refile Volume II as a separate docket entry on 7/18/2011 (jaf). Modified on 7/19/2011 (jaf). (Entered: 07/16/2011)
07/16/2011	<a href="#">338</a>	Deposition of Thomas Temperly taken on July 8, 2011 (Swanson, Robyn) (Entered: 07/16/2011)
07/16/2011	<a href="#">339</a>	Deposition of Jeffrey S. Buettner taken on October 20, 2010 (Swanson, Robyn) (Entered: 07/16/2011)
07/16/2011	<a href="#">340</a>	Deposition of Scott D. Miller taken on November 30, 2010 (Swanson, Robyn) (Entered: 07/16/2011)
07/16/2011	<a href="#">341</a>	Deposition of David B. Fenkell taken on June 29, 2011 (Swanson, Robyn) (Entered: 07/16/2011)
07/16/2011	<a href="#">342</a>	Deposition of Bradley Scott Seaman taken on October 5, 2010 ( <u>Sealed Document</u> ) (Swanson, Robyn) (Entered: 07/16/2011)
07/18/2011	<a href="#">343</a>	Deposition of Samuel J. Tinaglia, Sr. taken on July 6, 2011 (Swanson, Robyn) (Entered: 07/18/2011)
07/18/2011	<a href="#">344</a>	Disregard. See docket entry <a href="#">382</a> . Requested attorney refile with exhibits attached separately and described on 7/19/2011 (jaf). Modified on 7/29/2011 (jaf). (Entered: 07/18/2011)
07/18/2011	<a href="#">345</a>	Brief in Opposition by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier re: <a href="#">323</a> Motion to Strike <i>Partially The Expert Report And Bar The Testimony of Steven R. Fischer</i> filed by Pamela Klute, Jeffrey A. Seefeldt, James Mastrangelo (Convery, James) (Entered: 07/18/2011)
07/18/2011	<a href="#">346</a>	Exhibit A - Fischer CV to <a href="#">345</a> Brief in Opposition by Defendants John Michael Maier, Alpha Investment Consulting Group, LLC re: <a href="#">323</a> Motion to Strike. ( <u>Sealed Document</u> ) (Convery, James) Modified on 7/19/2011 (jaf). Modified event relationship on 7/19/2011 (llj). (Entered: 07/18/2011)
07/18/2011	<a href="#">347</a>	Exhibit B - Fischer 3/21/11 Report to <a href="#">345</a> Brief in Opposition by Defendants John Michael Maier, Alpha Investment Consulting Group, LLC re: <a href="#">323</a> Motion to Strike. ( <u>Sealed Document</u> ) (Convery, James) Modified on 7/19/2011 (jaf). Modified event relationship on 7/19/2011 (llj). (Entered: 07/18/2011)
07/18/2011	<a href="#">348</a>	Exhibit C - Fischer 4/25/11 Report to <a href="#">345</a> Brief in Opposition by Defendants John Michael Maier, Alpha Investment Consulting Group, LLC re: <a href="#">323</a> Motion to Strike. ( <u>Sealed Document</u> ) (Convery, James) Modified on 7/19/2011 (jaf). Modified event relationship on 7/19/2011 (llj). (Entered: 07/18/2011)
07/18/2011	<a href="#">349</a>	Brief in Opposition by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier re: <a href="#">307</a> MOTION FOR PARTIAL SUMMARY JUDGMENT filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Convery, James) (Entered: 07/18/2011)

07/18/2011	<a href="#">350</a>	Brief in Opposition by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt re: <a href="#">307</a> MOTION FOR PARTIAL SUMMARY JUDGMENT filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Wolf, Charles) (Entered: 07/18/2011)
07/18/2011	<a href="#">351</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Wolf, Charles) (Entered: 07/18/2011)
07/18/2011	<a href="#">352</a>	Response to Proposed Findings of Fact filed by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier re: <a href="#">307</a> MOTION FOR PARTIAL SUMMARY JUDGMENT (Convery, James) (Entered: 07/18/2011)
07/18/2011	<a href="#">353</a>	Response to Proposed Findings of Fact filed by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt re: <a href="#">307</a> MOTION FOR PARTIAL SUMMARY JUDGMENT (Wolf, Charles) (Entered: 07/18/2011)
07/18/2011	<a href="#">354</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Wolf, Charles) (Entered: 07/18/2011)
07/18/2011	<a href="#">355</a>	Response to Proposed Findings of Fact filed by Defendant Alliance Holdings, Inc. re: <a href="#">307</a> MOTION FOR PARTIAL SUMMARY JUDGMENT (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 07/18/2011)
07/18/2011	<a href="#">356</a>	Proposed Findings of Fact filed by Defendant Alliance Holdings, Inc. re: <a href="#">307</a> Motion for Partial Summary Judgment, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 07/18/2011)
07/18/2011	<a href="#">357</a>	Response to Proposed Findings of Fact filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">303</a> MOTION FOR SUMMARY JUDGMENT ( <i>Opposition to Defendant Pagelow's Findings of Fact</i> ) (Attachments: # <a href="#">1</a> Certificate of Service) (Swanson, Robyn) (Entered: 07/18/2011)
07/18/2011	<a href="#">358</a>	Response to Proposed Findings of Fact filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">288</a> MOTION FOR SUMMARY JUDGMENT ( <i>Opposition to Defendants Alpha Investment Consulting Group, LLC and John Michael Maier Proposed Findings of Fact</i> ) (Attachments: # <a href="#">1</a> Certificate of Service) (Swanson, Robyn) Modified on 7/19/2011 (llj). (Entered: 07/18/2011)
07/18/2011	<a href="#">359</a>	Brief in Opposition by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">299</a> MOTION FOR SUMMARY JUDGMENT filed by A.H.I., Inc., David B. Fenkell, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan (Attachments: # <a href="#">1</a> Certificate of Service) (Swanson, Robyn) (Entered: 07/18/2011)
07/18/2011	<a href="#">360</a>	Brief in Opposition by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">288</a> MOTION FOR SUMMARY JUDGMENT filed by John Michael Maier, Alpha Investment Consulting Group, LLC (Attachments:

		# <a href="#">1</a> Certificate of Service) (Swanson, Robyn) (Entered: 07/18/2011)
07/18/2011	<a href="#">361</a>	Brief in Opposition by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">303</a> MOTION FOR SUMMARY JUDGMENT filed by Stephen W. Pagelow (Handorf, Karen) (Entered: 07/18/2011)
07/18/2011	<a href="#">362</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Handorf, Karen) (Entered: 07/18/2011)
07/18/2011	<a href="#">363</a>	Brief in Opposition by Defendant Alliance Holdings, Inc. re: <a href="#">307</a> MOTION FOR PARTIAL SUMMARY JUDGMENT filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 07/18/2011)
07/18/2011	<a href="#">364</a>	Response to Proposed Findings of Fact filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">299</a> MOTION FOR SUMMARY JUDGMENT ( <i>Opposition to Defendants Alliance Holdings, Inc., David Fenkell, A.H.I. Inc., AH Transition Corp., and Alliance Holdings, Inc. Employee Stock Ownership Plan and Trust Findings of Fact</i> ) (Attachments: # <a href="#">1</a> Certificate of Service) (Handorf, Karen) Modified on 7/19/2011 (llj). (Entered: 07/18/2011)
07/18/2011	<a href="#">365</a>	Proposed Findings of Fact filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">288</a> Motion for Summary Judgment filed by John Michael Maier, Alpha Investment Consulting Group, LLC, <a href="#">292</a> Motion for Summary Judgment filed by Pamela Klute, Jeffrey A. Seefeldt, James Mastrangelo, <a href="#">299</a> Motion for Summary Judgment, filed by A.H.I., Inc., David B. Fenkell, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, <a href="#">303</a> Motion for Summary Judgment filed by Stephen W. Pagelow (Swanson, Robyn) (Entered: 07/18/2011)
07/18/2011	<a href="#">366</a>	Response to Proposed Findings of Fact filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">292</a> MOTION FOR SUMMARY JUDGMENT ( <i>Opposition to Defendants Mastrangelo, Klute and Seefeldt's Findings of Fact</i> ) (Attachments: # <a href="#">1</a> Certificate of Service) (Handorf, Karen) Modified on 7/19/2011 (llj). (Entered: 07/18/2011)
07/18/2011	<a href="#">367</a>	Declaration of Robyn Swanson filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">288</a> Motion for Summary Judgment, <a href="#">292</a> Motion for Summary Judgment, <a href="#">299</a> Motion for Summary Judgment, <a href="#">303</a> Motion for Summary Judgment ( <u>Sealed Document</u> ) (Attachments: # <a href="#">1</a> Exhibit 1 - Pagelow000001-9, # <a href="#">2</a> Exhibit 2 - WilliamBlair021921, # <a href="#">3</a> Exhibit 3 - Alliances Responses and Objections to First Request for Admissions, # <a href="#">4</a> Exhibit 4 - Alpha903-16, # <a href="#">5</a> Exhibit 5 - Alpha00506-14,

		<p># <a href="#">6</a> Exhibit 6 - Alpha00746-48,  # <a href="#">7</a> Exhibit 7 - Alpha00609-20,  # <a href="#">8</a> Exhibit 8 - Alpha00026,  # <a href="#">9</a> Exhibit 9 - Alpha00052-55,  # <a href="#">10</a> Exhibit 10 - A000603) (Swanson, Robyn) (Entered: 07/18/2011)</p>
07/18/2011	<a href="#">368</a>	<p>Exhibit 11 - Alliance 006422 to <a href="#">367</a> Declaration of Robyn Swanson, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (<a href="#">Sealed Document</a>) (Attachments:  # <a href="#">1</a> Exhibit 12 - A077545-48,  # <a href="#">2</a> Exhibit 13 - Declaration of Don Ketelboeter - See also docket entry <a href="#">376</a> ,  # <a href="#">3</a> Exhibit 14 - Declaration of Rolf Killingstad - See also docket entry <a href="#">377</a> ,  # <a href="#">4</a> Exhibit 15 - MP2002638-45,  # <a href="#">5</a> Exhibit 16 - Alliance006611-32,  # <a href="#">6</a> Exhibit 17 - Pagelow000799,  # <a href="#">7</a> Exhibit 18 - Pagelow Response to First Request for Admissions,  # <a href="#">8</a> Exhibit 19 - Dewitt001110,  # <a href="#">9</a> Exhibit 20 - Trustees Response to First Request for Admissions,  # <a href="#">10</a> Exhibit 21 - Temperly000001-5,  # <a href="#">11</a> Exhibit 22 - WilliamBlair049547-49,  # <a href="#">12</a> Exhibit 23 - Dewitt001091,  # <a href="#">13</a> Exhibit 24 - Pagelow000675-76,  # <a href="#">14</a> Exhibit 25 pt. 1 - Trustees00003199-246,  # <a href="#">15</a> Exhibit 25 pt. 2 - Trustees00003199-246,  # <a href="#">16</a> Exhibit 25 pt.3 - Trustees00003199-246) (Swanson, Robyn) Requested attorney refile Declarations as separate docket entries on 7/19/2011 (jaf). Modified text on 7/20/2011 to correct identification of main document to Exhibit 11. (llj) Modified on 7/21/2011 (jaf). (Entered: 07/19/2011)</p>
07/19/2011	<a href="#">369</a>	<p>Disregard. See docket entry <a href="#">378</a> . Requested attorney refile with appropriate descriptions on 7/19/2011 (jaf). Modified on 7/21/2011 (jaf). (Entered: 07/19/2011)</p>
07/19/2011	<a href="#">370</a>	<p>Brief in Opposition by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">292</a> MOTION FOR SUMMARY JUDGMENT <i>on Counts V, VI and XII</i> filed by Pamela Klute, Jeffrey A. Seefeldt, James Mastrangelo (Attachments:  # <a href="#">1</a> Certificate of Service) (Handorf, Karen) (Entered: 07/19/2011)</p>
07/19/2011	<a href="#">371</a>	<p>Disregard. See docket entry <a href="#">379</a> . Requested attorney refile with appropriate descriptions on 7/19/2011 (jaf). Modified on 7/21/2011 (jaf). (Entered: 07/19/2011)</p>
07/19/2011	<a href="#">372</a>	<p>Disregard. See docket entry <a href="#">380</a> . Requested attorney refile with appropriate descriptions on 7/19/2011 (jaf). Modified on 7/21/2011 (jaf). (Entered: 07/19/2011)</p>
07/19/2011	<a href="#">373</a>	<p>Disregard. See docket entry <a href="#">381</a> . Requested attorney refile with appropriate descriptions on 7/19/2011 (jaf). Modified on 7/21/2011 (jaf). (Entered: 07/19/2011)</p>
07/19/2011	<a href="#">374</a>	<p>Disregard. See docket entry <a href="#">381</a> . Requested attorney refile with appropriate descriptions on 7/19/2011 (jaf). Modified on 7/21/2011 (jaf). (Entered: 07/19/2011)</p>

07/19/2011	<a href="#">375</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 07/19/2011)
07/20/2011	<a href="#">376</a>	Declaration of Don Ketelboeter (Swanson, Robyn) (Entered: 07/20/2011)
07/20/2011	<a href="#">377</a>	Declaration of Rolf Killingstad (Swanson, Robyn) (Entered: 07/20/2011)
07/20/2011	<a href="#">378</a>	Exhibits 26 (Letter from HIG Capital to Sam Tinaglia re: Amendment to February 14, 2007 Letter of Intent, dated April 5, 2007 (HIG031773-75) - 46 to <a href="#">367</a> Declaration of Robyn Swanson, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore ( <u>Sealed Document</u> ) (Attachments: # <a href="#">1</a> Exhibit 27 - Trachte Building Systems Minutes of Quarterly Meeting of the Board of Directors, dated March 5, 2008 (Trustees00010658-91), # <a href="#">2</a> Exhibit 28 Trachte Building Systems Conclusion of Value as of August 27, 2007 prepared by SRR (A141163-92), # <a href="#">3</a> Exhibit 29 - Pagelow Dep. Ex. 1, Letter from Fenkell to Killingstad and Smith re: TBS/Strategic Alternatives, dated May 5, 2006, # <a href="#">4</a> Exhibit 30 - Pagelow Dep. Ex. 2, Trachte Building Systems Minutes of a Quarterly Meeting of the Board of Directors, dated May 17, 2006, # <a href="#">5</a> Exhibit 31 - Pagelow Dep. Ex. 3, Email from Wanko to Fenkell, Lynn and Whitaker re: Analysis of Four Letters of Intent for Trachte, dated December 5, 2006, # <a href="#">6</a> Exhibit 32 - Pagelow Dep. Ex. 4, Trachte Building Systems Minutes of the Annual Meeting of the Board of Directors, dated November 15, 2006, # <a href="#">7</a> Exhibit 33 - Pagelow Dep. Ex. 6, Email from Wanko to Pagelow, Tinaglia, Brokemon, Reitz, Ellis, Donovan, Lynn, Fenkell, and Whitaker re: Trachte Market to Sales Flow Chart, dated March 27, 2007, # <a href="#">8</a> Exhibit 34 - Pagelow Dep. Ex. 8, Email from Brokemon to Wanko and Tinaglia re: Response to HIG, dated April 3, 2007, # <a href="#">9</a> Exhibit 35 - Pagelow Dep. Ex. 9, Email from Seefeldt to Anderson re: Trachte Offer, dated April 12, 2007, # <a href="#">10</a> Exhibit 36 - Pagelow Dep. Ex. 10, Fax from Pagelow to Mastrangelo enclosing Letter of Intent, dated April 20, 2007, # <a href="#">11</a> Exhibit 37 - Pagelow Dep. Ex. 11, Table re Tanker Proceeds Comparison, data as of February 8, 2007, # <a href="#">12</a> Exhibit 38 - Pagelow Dep. Ex. 12, Email from Seefeldt to Pagelow re: RSM McGladrey, dated April 30, 2007, # <a href="#">13</a> Exhibit 39 - Pagelow Dep. Ex. 13, Open Items and Agenda for On-Site Meeting and Management Interview, dated May 30, 2007, # <a href="#">14</a> Exhibit 40 - Pagelow Dep. Ex. 14, Email from Seefeldt to Aumiller re: Article of Real Estate Professional, June 6, 2007, # <a href="#">15</a> Exhibit 41 - Pagelow Dep. Ex. 15, Slides entitled Trachte Building Systems ESOP by Rosanne Aumiller, dated July 2007, # <a href="#">16</a> Exhibit 42 - Pagelow Dep. Ex. 16, Letter from Klingele to Mastrangelo re: BWVS and SRR Valuations, dated July 6, 2007, # <a href="#">17</a> Exhibit 43 - Pagelow Dep. Ex. 17, Email from Peck to Anderson, Mastrangelo and Seefeldt re: Trachte/Alliance Letter of Intent, dated April 18, 2007, # <a href="#">18</a> Exhibit 44 - Pagelow Dep. Ex. 20, Email from Aumiller to Anderson and

		<p>Mastrangelo re: Trachte Update, dated May 30, 2007,  # <a href="#">19</a> Exhibit 45 - Mastrangelo Dep., Ex. 23, Email enclosing Memo to All Personnel re Update on the Sale of the Company, dated May 22, 2007,  # <a href="#">20</a> Exhibit 46 - Mastrangelo Dep. Ex. 24, Presentation entitled Trachte Education Center, dated July 13, 2007) (Swanson, Robyn) Modified on 7/21/2011 (jaf). Modified on 7/22/2011 (llj). (Entered: 07/20/2011)</p>
07/20/2011	<a href="#">379</a>	<p>Exhibits 47 (Mastrangelo Dep. II Ex. 4, Email from Maier to Mastrangelo re: Engagement Letter, dated August 8, 2007) - 67 to <a href="#">367</a> Declaration of Robyn Swanson, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (<u>Sealed Document</u>) (Attachments:  # <a href="#">1</a> Exhibit 48 - Mastrangelo Dep. II Ex. 6, Wanko to Mastrangelo re: SRR Valuation, dated August 17, 2007,  # <a href="#">2</a> Exhibit 49 - Mastrangelo Dep. II Ex. 12, Trachte Building Systems Minutes of the Annual Meeting of the Board of Directors, dated November 15, 2006,  # <a href="#">3</a> Exhibit 50 - Mastrangelo Dep. II Ex. 13, Pagelow Dep. Ex. 4, Trachte Building Systems Minutes of a Quarterly Meeting of the Board of Directors, dated February 22, 2007,  # <a href="#">4</a> Exhibit 51 - Mastrangelo Dep. II Ex. 28, Email from Mastrangelo to Maier re: SRR Valuation, dated August 20, 2007,  # <a href="#">5</a> Exhibit 52 - Mastrangelo Dep. II Ex. 30, Trachte Building Systems Minutes of Quarterly Meeting of the Board of Directors, dated May 20, 2008,  # <a href="#">6</a> Exhibit 53 - Gould Dep. Ex. 9, Email from Gould to Wanko re: Trachte Transaction, dated June 20, 2007,  # <a href="#">7</a> Exhibit 54 - Gould Dep. Ex. 12, Email from Gould to Fenkell and Draucker re: Trachte ESOP Fiduciary Reliance, dated August 20, 2007,  # <a href="#">8</a> Exhibit 55 - Gould Dep. Ex. 16, Trachte Building Systems Inc. Conclusion of Value, dated August 27, 2007,  # <a href="#">9</a> Exhibit 56 - Gould Dep. Ex. 15, Email from Gould to Socol and Buettner re: Alliance/Trachte, dated April 26, 2007,  # <a href="#">10</a> Exhibit 57 - Anderson Dep. Ex. 6, Email from Maier to Anderson and Peck re: Fiduciary Agreement, dated August 13, 2007,  # <a href="#">11</a> Exhibit 58 - Anderson Dep. II Ex. 3, Subpoena to Anderson dated March 4, 2011,  # <a href="#">12</a> Exhibit 59 - Anderson Dep. II Ex. 14, Email from Aumiller to Mastrangelo re: Draft engagement letter and information request, dated May 8, 2007,  # <a href="#">13</a> Exhibit 60 - Fenkell Dep. Ex. 10, Trachte Building Systems Minutes of Quarterly Meeting of the Board of Directors, dated August 9, 2006,  # <a href="#">14</a> Exhibit 61 - Fenkell Dep. Ex. 11, Trachte Building Systems Minutes of Annual Meeting of the Board of Directors, dated November 5, 2006,  # <a href="#">15</a> Exhibit 62 - Fenkell Dep. Ex. 12, Minutes of Meeting of the Compensation Committee of Alliance Holdings, Inc., dated December 4, 2006,  # <a href="#">16</a> Exhibit 63 - Fenkell Dep. Ex. 14, Email from Wanko to Fenkell, Lynn and Whitaker re: Summary of Initial Indications, dated December 5, 2006,  # <a href="#">17</a> Exhibit 64 - Fenkell Dep. Ex. 15, Letter from Tricor to William Blair re: Trachte Building Systems, dated November 17, 2006,  # <a href="#">18</a> Exhibit 65 - Fenkell Dep. Ex. 16 Letter from Tricor to William Blair re: Revised Proposal re Trachte Building Systems, dated January 31, 2007,</p>

		<p># <a href="#">19</a> Exhibit 66 - Fenkell Dep. Ex. 17, Email from Tinaglia to Wanko and Lynn re: Watermill, dated November 20, 2006,</p> <p># <a href="#">20</a> Exhibit 67 - Fenkell Dep. Ex. 19, Email from Wanko to Fenkell and Lynn re: Trachte ESOP Offer, dated February 6, 2007) (Swanson, Robyn) Modified on 7/21/2011 (jaf). Modified on 7/22/2011 (llj). (Entered: 07/20/2011)</p>
07/20/2011	<a href="#">380</a>	<p>Exhibits 68 (Fenkell Dep. Ex. 20, Minutes of Meeting of the Compensation Committee of Alliance Holdings, Inc., dated November 13 and 16, 2007) - 88 to <a href="#">367</a> Declaration of Robyn Swanson, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (<a href="#">Sealed Document</a>)</p> <p>(Attachments:</p> <p># <a href="#">1</a> Exhibit 69 - Fenkell Dep. Ex. 23, Letter from SRR to Fenkell re: Final SRR Valuation Letter of Alliance, dated August 28, 2007,</p> <p># <a href="#">2</a> Exhibit 70 - Fenkell Dep. Ex. 24, Letter from SRR to Fenkell re: Final SRR Valuation Letter of AH Transition, dated August 28, 2007,</p> <p># <a href="#">3</a> Exhibit 71 - Fenkell Dep. Ex. 25, Letter from SRR to Fenkell re: Final SRR Valuation Letter of Trachte, dated August 28, 2007,</p> <p># <a href="#">4</a> Exhibit 72 - Fenkell Dep. Ex. 26, Trachte Building Systems Phantom Stock Plan for Alliance Employees, effective October 1, 2002,</p> <p># <a href="#">5</a> Exhibit 73 - Fenkell Dep. Ex. 28, Trachte Building Systems Minutes of Quarterly Meeting of the Board of Directors, dated February 22, 2007,</p> <p># <a href="#">6</a> Exhibit 74 - Fenkell Dep. Ex. 32, Trachte Building Systems Conclusion of Value, dated August 27, 2007,</p> <p># <a href="#">7</a> Exhibit 75 - Fenkell Dep., Ex. 32, Trachte Financial Statements dated August 29, 2007,</p> <p># <a href="#">8</a> Exhibit 76 - Wanko Dep. Ex. 8, Strategic Alternatives Presentations dated April 12, 2006,</p> <p># <a href="#">9</a> Exhibit 77 - Wanko Dep. Ex. 11, Email from Wanko to Alliance Employees re: bid summary dated December 5, 2006,</p> <p># <a href="#">10</a> Exhibit 78 - Wanko Dep. Ex. 13, Email from Wanko re: Trachte sale market dated March 27, 2007,</p> <p># <a href="#">11</a> Exhibit 79 - Wanko Dep. Ex. 14, email from Wanko to Fenkell forwarding HIG concerns dated April 2, 2007,</p> <p># <a href="#">12</a> Exhibit 80 - Wanko Dep. Ex. 15, email from Wanko re: response to HIG bid dated April 3, 2007,</p> <p># <a href="#">13</a> Exhibit 81 - Wanko Dep. Ex. 17, email from Gould to Socol &amp; Buettner dated April 26, 2007,</p> <p># <a href="#">14</a> Exhibit 82 - Wanko Dep. Ex. 20, Final Trachte Letter of Intent dated April 19, 2007,</p> <p># <a href="#">15</a> Exhibit 83 - Wanko Dep. Ex. 21, Email from Tinaglia to Wanko dated May 15, 2007,</p> <p># <a href="#">16</a> Exhibit 84 - Wanko Dep. Ex. 22, Email from Kaitlin Donovan attaching proceeds comparison dated April 4, 2007,</p> <p># <a href="#">17</a> Exhibit 85 - Wanko Dep. Ex. 23, Email from Wanko to Gould &amp; Buettner dated May 29, 2007,</p> <p># <a href="#">18</a> Exhibit 86 - Wanko Dep. Ex. 24, Email from Gould to Socol &amp; Buettner dated April 26, 2007,</p> <p># <a href="#">19</a> Exhibit 87 - Wanko Dep. Ex. 25, Email from Gould to Socol &amp; Buettner dated</p>

		<p>June 20, 2007,  # <a href="#">20</a> Exhibit 88 - Wanko Dep. Ex. 26, Email from Wanko to Gould &amp; Buettner dated July 11, 2007) (Swanson, Robyn) Modified on 7/21/2011 (jaf). Modified on 7/22/2011 (llj). (Entered: 07/20/2011)</p>
07/20/2011	<a href="#">381</a>	<p>Exhibits 89 (Wanko Dep. Ex. 27, Email from Wanko to Gould &amp; Buettner dated May 30, 2007) - 125 to <a href="#">367</a> Declaration of Robyn Swanson, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (<u>Sealed Document</u>) (Attachments:  # <a href="#">1</a> Exhibit 90 - Wanko Dep. Ex. 28, Email from Mastrangelo to Wanko re: Alpha engagement dated August 9, 2007,  # <a href="#">2</a> Exhibit 91 - Wanko Dep. Ex. 29, Email from Mastrangelo to Maier and Wanko dated August 9, 2007,  # <a href="#">3</a> Exhibit 92 - Wanko Dep. Ex. 30, Email from Wanko to Fenkell and Lynn dated August 16, 2007,  # <a href="#">4</a> Exhibit 93 - Wanko Dep. Ex. 31, Emails between Mastrangelo, Maier, Anderson, and Wanko dated August 20, 2007,  # <a href="#">5</a> Exhibit 94 - Wanko Dep. Ex. 32, Email from Wanko to Gould forwarding exchange re: Alpha direction dated August 17, 2007,  # <a href="#">6</a> Exhibit 95 - Wanko Dep. Ex. 33, Email from Wanko to Mastrangelo dated August 17, 2007,  # <a href="#">7</a> Exhibit 96 - Wanko Dep. Ex. 34, Email from Gould to Fenkell, Wanko and SSD Attorneys dated August 20, 2007,  # <a href="#">8</a> Exhibit 97 - Wanko Dep. Ex. 35, Email from Wanko to Mastrangelo forwarding SRR letters dated August 24, 2007,  # <a href="#">9</a> Exhibit 98 - Wanko Dep. Ex. 37, Email from Wanko to Gould re: delay to deal dated June 29, 2007,  # <a href="#">10</a> Exhibit 99 - Wanko Dep. Ex. 38, Email from Wanko to Mastrangelo re: changes to deal dated June 18, 2007,  # <a href="#">11</a> Exhibit 100 - Seefeldt Dep. Ex. 3, Email from Peck to Mastrangelo, Seefeldt, and Attorneys dated April 17, 2007,  # <a href="#">12</a> Exhibit 101 - Seefeldt Dep. Ex. 9, Final BWVS Letter dated August 29, 2007,  # <a href="#">13</a> Exhibit 102 - Seefeldt Dep. Ex. 15, Trachte Board of Directors Meeting Minutes dated August 19, 2008,  # <a href="#">14</a> Exhibit 103 - Seefeldt Dep. Ex. 16, Memo from Karen Hitchcock to Trachte management dated March 19, 2007,  # <a href="#">15</a> Exhibit 104 - Klute Dep. Ex. 6, Email from Mastrangelo to Seefeldt and Klute with sales concerns dated July 10, 2007,  # <a href="#">16</a> Exhibit 105 - Klute Dep. Ex. 12, Email from Paavola to Klute re: due diligence dated September 13, 2007,  # <a href="#">17</a> Exhibit 106 - Klute Dep. Ex. 13, BWVS Letter dated August 28, 2007,  # <a href="#">18</a> Exhibit 107 - Klute Dep. Ex. 15, ESOP Association Issue Brief re: ESOP valuations,  # <a href="#">19</a> Exhibit 108 - Klute Dep. Ex. 17, Emails among Klute, Mastrangelo, and Seefeldt re: employee concerns dated July 18, 2007,  # <a href="#">20</a> Exhibit 109 - Klute Dep. Ex. 18, Email from Brian Anderson with draft of ESOP Plan Document,  # <a href="#">21</a> Exhibit 110 - Maier Dep. Ex. 23, Handwritten Maier Notes,</p>

		<p># <a href="#">22</a> Exhibit 111 -Maier Dep. Ex. 5, Email from Mastrangelo to Maier re: making Alliance comfortable dated August 9, 2007,</p> <p># <a href="#">23</a> Exhibit 112 - Maier Dep. Ex. 7, Pages of Maiers Calendar for August 2007,</p> <p># <a href="#">24</a> Exhibit 113 - Maier Dep. Ex. 8, Pages from SRR website,</p> <p># <a href="#">25</a> Exhibit 114 - Maier Dep. Ex. 9, Pages from ESOP Economics website,</p> <p># <a href="#">26</a> Exhibit 115 - Maier Dep. Ex. 10, Pages from Barnes Wendling website,</p> <p># <a href="#">27</a> Exhibit 116 - Maier Dep. Ex. 6, Final Alpha Direction Letter dated August 29, 2007,</p> <p># <a href="#">28</a> Exhibit 117 - Maier Dep. Ex. 11, Alpha document review request,</p> <p># <a href="#">29</a> Exhibit 118 - Maier Dep. Ex. 18, Handwritten Maier Notes,</p> <p># <a href="#">30</a> Exhibit 119 - Buettner Dep. Ex. 5, Trachte Conclusion of Value dated June 30, 2007,</p> <p># <a href="#">31</a> Exhibit 120 - Buettner Dep. Ex. 7, Email from Gould to Buettner re: valuation method dated April 26, 2007,</p> <p># <a href="#">32</a> Exhibit 121 - Buettner Dep. Ex. 6, Trachte 12/31/2006 Valuation Presentation dated May 10, 2007,</p> <p># <a href="#">33</a> Exhibit 122 - Buettner Dep. Ex. 8, Trachte Conclusion of Value dated December 31, 2006,</p> <p># <a href="#">34</a> Exhibit 123 - Buettner Dep. Ex. 9, Email from Wanko to Gould and Buettner re: projections dated May 30, 2007,</p> <p># <a href="#">35</a> Exhibit 124 - Buettner Dep. Ex. 10, Trachte Financial Statements dated May 31, 2007,</p> <p># <a href="#">36</a> Exhibit 125 - Buettner Dep. Ex. 13, Letter from SRR to Maier dated August 27, 2007) (Swanson, Robyn) Modified on 7/21/2011 (jaf). Modified on 7/22/2011 (llj). (Entered: 07/20/2011)</p>
07/28/2011	<a href="#">382</a>	<p>Declaration of Kevin Kreitzman (<u>Sealed Document</u>) (Attachments: # <a href="#">1</a> Exhibit A - Expert Report of Kevin Kreitzman, dated March 24, 2011 - See also docket entry <a href="#">401</a> , # <a href="#">2</a> Exhibit B - Rebuttal Expert Report of Kevin Kreitzman, dated April 25, 2011 - See also docket entry <a href="#">402</a> ) (Swanson, Robyn) Requested attorney file expert reports as separate docket entries on 7/29/2011 (jaf). Modified on 8/1/2011 (jaf). (Entered: 07/28/2011)</p>
07/28/2011	<a href="#">383</a>	<p>Deposition of Lucian Morrison taken on July 15, 2011 (<u>Sealed Document</u>) (Swanson, Robyn) (Entered: 07/28/2011)</p>
07/28/2011	<a href="#">384</a>	<p>Deposition of David Heald taken on July 21, 2011 (<u>Sealed Document</u>) (Swanson, Robyn) (Entered: 07/28/2011)</p>
07/28/2011	<a href="#">385</a>	<p>Brief in Reply by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet in Support of <a href="#">307</a> Motion for Partial Summary Judgment, (<i>Plaintiffs' Reply Memorandum In Support Of Their Motion For Partial Summary Judgment Against Defendants Pamela Klute, James Mastrangelo, and Jeffrey A. Seefeldt</i>) (Swanson, Robyn) (Entered: 07/28/2011)</p>
07/28/2011	<a href="#">386</a>	<p>Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 07/28/2011)</p>

07/28/2011	<a href="#">387</a>	Brief in Reply by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet in Support of <a href="#">307</a> Motion for Partial Summary Judgment, ( <i>Plaintiffs' Reply Memorandum In Support of Their Motion For Partial Summary Judgment Against Defendants Alpha Investment Consulting Group, LLC and John Michael Maier</i> ). (Swanson, Robyn) Modified event on 7/29/2011 (krj). (Entered: 07/28/2011)
07/28/2011	<a href="#">388</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 07/28/2011)
07/28/2011	<a href="#">389</a>	Brief in Reply by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet in Support of <a href="#">307</a> Motion for Partial Summary Judgment, ( <i>Plaintiffs' Reply Memorandum in Support of their Motion for Partial Summary Judgment Against Alliance Holdings, Inc.</i> ) (Swanson, Robyn) Modified event on 7/29/2011 (krj). (Entered: 07/28/2011)
07/28/2011	<a href="#">390</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 07/28/2011)
07/28/2011	<a href="#">391</a>	Declaration of Robyn Swanson filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">307</a> Motion for Partial Summary Judgment, ( <u>Sealed Document</u> ) (Attachments: # <a href="#">1</a> Exhibit RR - Tinaglia Dep., Ex. 4, Memorandum directed to Deal Marketing Committee, dated October 9, 2006, # <a href="#">2</a> Exhibit SS - Tinaglia Dep., Ex. 6, Confidential Descriptive Memorandum for Tracethe Building Services, dated November 2006, # <a href="#">3</a> Exhibit TT - Tinaglia Dep., Ex. 25, Email from Tinaglia to Wanko, dated December 5, 2006, # <a href="#">4</a> Exhibit UU - Tinaglia Dep., Ex. 33, Email from Tinaglia to Reitz, Brokemon, Ellis, Donovan, dated February 7, 2011, # <a href="#">5</a> Exhibit VV - Tinaglia Dep., Ex. 34, Email from Donovan to Whitaker, dated February 8, 2007, # <a href="#">6</a> Exhibit WW - Tinaglia Dep., Ex. 41, Email from Lynn to Reitz, dated March 9, 2007, # <a href="#">7</a> Exhibit XX - Tinaglia Dep., Ex. 42, Email from Tinaglia to Lynn, dated March 24, 2007, # <a href="#">8</a> Exhibit YY - Tinaglia Dep., Ex. 43, Email from Tinaglia to Reitz, Brokemon, Ellis, Donovan, dated March 26, 2007, # <a href="#">9</a> Exhibit ZZ - Tinaglia Dep., Ex. 49, Email from Donovan to Tinaglia, Brokemon, Reitz, Benjamin, dated April 4, 2007, # <a href="#">10</a> Exhibit AAA - Email from Anderson to Peck, Mastrangelo, Seefeldt, dated April 19, 2007, # <a href="#">11</a> Exhibit BBB - Defendant Alpha Investment Consulting Group and Maier's Response to Plaintiffs First Set of Requests for Admission, dated July 13, 2011) (Swanson, Robyn) (Entered: 07/28/2011)
07/28/2011	<a href="#">392</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 07/28/2011)

07/28/2011	<a href="#">393</a>	Reply in Support of Proposed Findings of Fact filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">307</a> MOTION FOR PARTIAL SUMMARY JUDGMENT ( <i>Plaintiffs' Reply To Alpha Consulting Group, LLC and John Michael Maier's Response to Plaintiffs' Proposed Findings of Fact</i> ) (Swanson, Robyn) (Entered: 07/28/2011)
07/28/2011	<a href="#">394</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 07/28/2011)
07/28/2011	<a href="#">395</a>	Response to Proposed Findings of Fact filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">307</a> MOTION FOR PARTIAL SUMMARY JUDGMENT ( <i>Response to Alliance New Facts</i> ) (Swanson, Robyn) (Entered: 07/28/2011)
07/28/2011	<a href="#">396</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 07/28/2011)
07/28/2011	<a href="#">397</a>	Reply in Support of Proposed Findings of Fact filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">307</a> MOTION FOR PARTIAL SUMMARY JUDGMENT ( <i>Plaintiffs' Reply to Defendants Mastrangelo, Klute and Seefeldt's Response to Plaintiffs' Statement of Proposed Findings of Fact</i> ) (Swanson, Robyn) (Entered: 07/28/2011)
07/28/2011	<a href="#">398</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 07/28/2011)
07/28/2011	<a href="#">399</a>	Response to Proposed Findings of Fact filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">307</a> MOTION FOR PARTIAL SUMMARY JUDGMENT ( <i>Plaintiffs' Reply to Alliance Holdings, Inc.'s Response to Plaintiffs' Statement of Proposed Findings of Fact</i> ) (Swanson, Robyn) (Entered: 07/28/2011)
07/28/2011	<a href="#">400</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 07/28/2011)
07/29/2011	<a href="#">401</a>	Expert Report of Kevin Kreitzman by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet ( <u>Sealed Document</u> ) (Attachments: # <a href="#">1</a> Exhibit A - Non-deductibility of ESOP Principal, # <a href="#">2</a> Exhibit B - Spreadsheets regarding Discounted Cash Flow, # <a href="#">3</a> Exhibit C - Spreadsheets regarding BWVS Discounted Cash Flow, # <a href="#">4</a> Exhibit D - Spreadsheet regarding BWVS Fairness Opinion Free Cash Flow Valuation, # <a href="#">5</a> Exhibit E - Spreadsheets regarding BWVS Fairness Opinion Free Cash Flow Valuation, # <a href="#">6</a> Exhibit F - Valuation Methodology, # <a href="#">7</a> Exhibit G - Spreadsheet regarding Barnes Wendling Fairness Opinion Free Cash Flow Valuation) (Swanson, Robyn) (Entered: 07/29/2011)
07/29/2011	<a href="#">402</a>	Expert Report of Kevin Kreitzman (Rebuttal) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet ( <u>Sealed</u>

		Document) (Attachments: # <a href="#">1</a> Exhibit A - Spreadsheets regarding Maximum Value and No SNS - Excess Compensation Adjustment) (Swanson, Robyn) (Entered: 07/29/2011)
08/01/2011	<a href="#">403</a>	Disregard. See docket entry <a href="#">426</a> . Requested attorney refile as a brief in reply on 8/2/2011 (jaf). Modified on 8/3/2011 (jaf). (Entered: 08/01/2011)
08/01/2011	<a href="#">404</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 08/01/2011)
08/01/2011	<a href="#">405</a>	Brief in Reply by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier in Support of <a href="#">288</a> Motion for Summary Judgment (Convery, James) (Entered: 08/01/2011)
08/01/2011	<a href="#">406</a>	Response to Proposed Findings of Fact filed by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier re: <a href="#">288</a> MOTION FOR SUMMARY JUDGMENT (Convery, James) (Entered: 08/01/2011)
08/01/2011	<a href="#">407</a>	Brief in Reply by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier in Support of <a href="#">288</a> Motion for Summary Judgment (Convery, James) (Entered: 08/01/2011)
08/01/2011	<a href="#">408</a>	Declaration of James J. Convery filed by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier re: <a href="#">288</a> Motion for Summary Judgment ( <u>Sealed Document</u> ) (Convery, James) (Entered: 08/01/2011)
08/01/2011	<a href="#">409</a>	Exhibit A - Agreement to <a href="#">408</a> Declaration filed by John Michael Maier, Alpha Investment Consulting Group, LLC ( <u>Sealed Document</u> ) (Convery, James) Modified on 8/2/2011 (jaf). (Entered: 08/01/2011)
08/01/2011	<a href="#">410</a>	Disregard. See docket entry <a href="#">428</a> . Requested attorney refile as brief in reply on 8/2/2011 (jaf). Modified on 8/3/2011 (jaf). (Entered: 08/01/2011)
08/01/2011	<a href="#">411</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 08/01/2011)
08/01/2011	<a href="#">412</a>	Reply in Support of Proposed Findings of Fact filed by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt re: <a href="#">292</a> MOTION FOR SUMMARY JUDGMENT <i>on Counts V, VI and XII</i> (Wolf, Charles) (Entered: 08/01/2011)
08/01/2011	<a href="#">413</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Wolf, Charles) (Entered: 08/01/2011)
08/01/2011	<a href="#">414</a>	Disregard. See docket entry <a href="#">430</a> . Requested attorney refile as brief in reply on 8/2/2011 (jaf). Modified on 8/3/2011 (jaf). (Entered: 08/01/2011)
08/01/2011	<a href="#">415</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 08/01/2011)
08/01/2011	<a href="#">416</a>	Response to Proposed Findings of Fact filed by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt re: <a href="#">292</a> MOTION FOR SUMMARY JUDGMENT <i>on Counts V, VI and XII</i> (Wolf, Charles) (Entered: 08/01/2011)

08/01/2011	<a href="#">417</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Wolf, Charles) (Entered: 08/01/2011)
08/01/2011	<a href="#">418</a>	Brief in Reply by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt in Support of <a href="#">292</a> Motion for Summary Judgment (Wolf, Charles) (Entered: 08/01/2011)
08/01/2011	<a href="#">419</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Wolf, Charles) (Entered: 08/01/2011)
08/01/2011	<a href="#">420</a>	Reply in Support of Proposed Findings of Fact filed by Defendants A.H.I., Inc., Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell re: <a href="#">299</a> MOTION FOR SUMMARY JUDGMENT (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 08/01/2011)
08/01/2011	<a href="#">421</a>	Response to Proposed Findings of Fact filed by Defendants A.H.I., Inc., Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell re: <a href="#">299</a> MOTION FOR SUMMARY JUDGMENT (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 08/01/2011)
08/01/2011	<a href="#">422</a>	Brief in Reply by Defendants A.H.I., Inc., Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell in Support of <a href="#">299</a> Motion for Summary Judgment, (Attachments: # <a href="#">1</a> Unreported Decision: Helms v. Local 705, # <a href="#">2</a> Unreported Decision: Peck v. Chopp, # <a href="#">3</a> Unreported Decision: Neil v. Zell, # <a href="#">4</a> Certificate of Service) (Sobel, Ryan) (Entered: 08/01/2011)
08/01/2011	<a href="#">423</a>	Reply in Support of Proposed Findings of Fact filed by Defendant Stephen W. Pagelow re: <a href="#">303</a> MOTION FOR SUMMARY JUDGMENT (Norrie, Jonathan) (Entered: 08/01/2011)
08/01/2011	<a href="#">424</a>	Brief in Reply by Defendant Stephen W. Pagelow in Support of <a href="#">303</a> Motion for Summary Judgment (Norrie, Jonathan) (Entered: 08/01/2011)
08/01/2011	<a href="#">425</a>	Response to Proposed Findings of Fact filed by Defendant Stephen W. Pagelow re: <a href="#">307</a> MOTION FOR PARTIAL SUMMARY JUDGMENT (Norrie, Jonathan) (Entered: 08/01/2011)
08/02/2011	<a href="#">426</a>	Brief in Reply by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet in Support of <a href="#">307</a> Motion for Partial Summary Judgment, ( <i>Plaintiffs' Supplemental Reply Memorandum in Support of Their Motion for Partial Summary Judgment Against Alliance Holdings, Inc.</i> ) (Attachments: # <a href="#">1</a> Exhibit Pages From Pratt Transcript (Rough Draft)) (Swanson, Robyn) (Entered: 08/02/2011)
08/02/2011	<a href="#">427</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 08/02/2011)
08/02/2011	<a href="#">428</a>	Brief in Reply by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet in Support of <a href="#">307</a> Motion for Partial Summary

		Judgment, ( <i>Plaintiffs' Amended Reply to Alliance Holdings, Inc.'s Response to Plaintiffs' Statement of Proposed Findings of Fact</i> ) (Swanson, Robyn) (Entered: 08/02/2011)
08/02/2011	<a href="#">429</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 08/02/2011)
08/02/2011	<a href="#">430</a>	Brief in Reply by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet in Support of <a href="#">307</a> Motion for Partial Summary Judgment, ( <i>Plaintiffs' Amended Responses to Proposed Findings of Fact by Defendant Alliance Holdings, Inc.</i> ) (Swanson, Robyn) (Entered: 08/02/2011)
08/02/2011	<a href="#">431</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 08/02/2011)
08/08/2011	<a href="#">432</a>	Motion to Strike <a href="#">428</a> Brief in Reply, <a href="#">430</a> Brief in Reply, <a href="#">426</a> Brief in Reply, by Defendants A.H.I., Inc., Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell. Response due 8/15/2011. (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 08/08/2011)
08/08/2011	<a href="#">433</a>	Brief in Support of <a href="#">432</a> Motion to Strike, by Defendants A.H.I., Inc., Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 08/08/2011)
08/08/2011	<a href="#">434</a>	Declaration of Ryan A. Sobel filed by Defendants A.H.I., Inc., Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell re: <a href="#">432</a> Motion to Strike, ( <u>Sealed Document</u> ) (Attachments: # <a href="#">1</a> Exhibit (1) March 25, 2011 Expert Disclosure of Alliance Defendants, # <a href="#">2</a> Exhibit (2) April 25, 2011 Rebuttal Expert Disclosure of Alliance Defendants, # <a href="#">3</a> Exhibit (3) Rough Transcript of Deposition of Dr. Pratt of July 27, 2011, # <a href="#">4</a> Certificate of Service) (Sobel, Ryan) (Entered: 08/08/2011)
08/12/2011	<a href="#">435</a>	Motion to Withdraw <a href="#">432</a> Motion to Strike by Defendants A.H.I., Inc., Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell, AH Transition Corporation. Response due 8/19/2011. (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) Modified on 8/25/2011 (llj). (Entered: 08/12/2011)
08/15/2011	436	** TEXT ONLY ORDER ** ORDER granting <a href="#">435</a> Motion to withdraw <a href="#">432</a> Motion to strike. Signed by Magistrate Judge Stephen L. Crocker on 8/12/2011. (voc) Modified on 8/25/2011 (llj). (Entered: 08/15/2011)
09/12/2011	<a href="#">437</a>	Stipulation for Rule 31 Deposition by Written Questions of A.H.I., Inc. (with Proposed Order) ( <u>Sealed Document</u> ) by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, Alpha Investment Consulting Group, LLC, Employee Stock Ownership Plan, David B. Fenkell, Pamela Klute, Eric M. Lynn, John Michael Maier, James Mastrangelo, Stephen W. Pagelow, Jeffrey A. Seefeldt, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Cross Defendants

		<p>Alliance Holdings, Inc., Alliance Holdings, Inc., Stephen W. Pagelow, Stephen W. Pagelow, Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet, Cross Claimants Pamela Klute, Pamela Klute, James Mastrangelo, James Mastrangelo, Jeffrey A. Seefeldt, Jeffrey A. Seefeldt.</p> <p>(Attachments:  # <a href="#">1</a> Rule 31 Deposition Transcript of AHI Designated Representative,  # <a href="#">2</a> Exhibit Exhibit 1 to Rule 31 Deposition Transcript,  # <a href="#">3</a> Exhibit Exhibit 2 to Rule 31 Deposition Transcript,  # <a href="#">4</a> Exhibit Exhibit 3 to Rule 31 Deposition Transcript) (Sobel, Ryan) (Entered: 09/12/2011)</p>
09/12/2011	<a href="#">438</a>	<p>Stipulation for Rule 31 Deposition by Written Questions of AH Transition Corporation (with Proposed Order) (<a href="#">Sealed Document</a>) by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, Alpha Investment Consulting Group, LLC, Employee Stock Ownership Plan, David B. Fenkell, Pamela Klute, Eric M. Lynn, John Michael Maier, James Mastrangelo, Stephen W. Pagelow, Jeffrey A. Seefeldt, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Cross Defendants Alliance Holdings, Inc., Alliance Holdings, Inc., Stephen W. Pagelow, Stephen W. Pagelow, Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet, Cross Claimants Pamela Klute, Pamela Klute, James Mastrangelo, James Mastrangelo, Jeffrey A. Seefeldt, Jeffrey A. Seefeldt.</p> <p>(Attachments:  # <a href="#">1</a> Rule 31 Deposition Transcript of AH Transition Corp. Designated Representative,  # <a href="#">2</a> Exhibit Exhibit 1 to Rule 31 Deposition Transcript,  # <a href="#">3</a> Exhibit Exhibit 2 to Rule 31 Deposition Transcript,  # <a href="#">4</a> Exhibit Exhibit 3 to Rule 31 Deposition Transcript) (Sobel, Ryan) (Entered: 09/12/2011)</p>
09/12/2011	<a href="#">439</a>	<p>Deposition of Rolf T. Killingstad taken on July 13, 2011 (Stathas, Lynn) (Entered: 09/12/2011)</p>
09/15/2011	<a href="#">440</a>	<p>Joint Motion for Clarification re <a href="#">174</a> Scheduling Order, by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, Alpha Investment Consulting Group, LLC, Employee Stock Ownership Plan, David B. Fenkell, Pamela Klute, Eric M. Lynn, John Michael Maier, James Mastrangelo, Stephen W. Pagelow, Jeffrey A. Seefeldt, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Cross Defendants Alliance Holdings, Inc., Alliance Holdings, Inc., Stephen W. Pagelow, Stephen W. Pagelow, Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet, Cross Claimants Pamela Klute, Pamela Klute, James Mastrangelo, James Mastrangelo, Jeffrey A. Seefeldt, Jeffrey A. Seefeldt. Response due 9/22/2011. (Stathas, Lynn) (Entered: 09/15/2011)</p>
09/21/2011	441	<p><b>** TEXT ONLY ORDER **</b></p> <p>ORDER granting <a href="#">440</a> Motion for Clarification. The parties joint motion for bench trial is GRANTED, and the parties are relieved of their filing obligations as to proposed voir dire questions, jury instructions, and verdict forms, as well as expert</p>

		narratives as set forth in paragraphs m and n(i) of the court's scheduling order <a href="#">174</a> . Signed by District Judge William M. Conley on 9/20/2011. (voc) (Entered: 09/21/2011)
09/21/2011	<a href="#">442</a>	ORDER granting <a href="#">438</a> Stipulation for Rule 31 Deposition ( <u>Sealed Document</u> ). Signed by District Judge William M. Conley on 9/31/2011. (voc) Modified on 10/4/2011 (llj). (Entered: 09/21/2011)
09/21/2011	<a href="#">443</a>	ORDER granting <a href="#">437</a> Stipulation for Rule 31 Deposition. Signed by District Judge William M. Conley on 9/21/2011. (voc) Modified on 10/4/2011 (llj). (Entered: 09/21/2011)
09/21/2011	<a href="#">444</a>	Stipulation for Rule 31 Deposition by Written Questions of Non-Party RSM McGladrey Business Solutions d/b/a/ RSM McGladrey Retirement Services by Defendants AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, Alpha Investment Consulting Group, LLC, Employee Stock Ownership Plan, David B. Fenkell, Pamela Klute, John Michael Maier, James Mastrangelo, Stephen W. Pagelow, Jeffrey A. Seefeldt, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Attachments: # <a href="#">1</a> Deposition on Written Questions of Non-Party RSM McGladrey Business Solutions d/b/a RSM McGladrey Retirement Resources (Dittmer Affidavit)) (Barton, Robert) (Entered: 09/21/2011)
09/21/2011	<a href="#">445</a>	ORDER granting <a href="#">444</a> Stipulation for Rule 31 Deposition by Written Questions of Non-Party RSM McGladrey Business Solutions d/b/a/ RSM McGladrey Retirement Services. Signed by District Judge William M. Conley on 9/21/2011. (voc) (Entered: 09/21/2011)
09/22/2011	<a href="#">446</a>	ORDER granting <a href="#">187</a> Motion to Certify Class under Rule 23 by plaintiffs. Signed by District Judge William M. Conley on 9/22/2011. (voc) (Entered: 09/22/2011)
09/22/2011	447	** TEXT ONLY ORDER ** ORDER granting <a href="#">333</a> Motion to Admit Peter Romer-Friedman Pro Hac Vice. Signed by Magistrate Judge Stephen L. Crocker on 9/22/2011. (voc) (Entered: 09/22/2011)
09/22/2011		Motion Hearing/Oral Argument re: Motions for Summary Judgment scheduled for 10/6/2011 at 10:00 AM before Judge William Conley in courtroom 250. (voc/mh) (Entered: 09/22/2011)
09/23/2011	<a href="#">448</a>	Deposition of Kevin Kreitzman taken on 07/29/2011 ( <u>Sealed Document</u> ) (Silver, Alan) (Entered: 09/23/2011)
09/23/2011	<a href="#">449</a>	Deposition of Kevin Kreitzman taken on 09/08/2011 ( <u>Sealed Document</u> ) (Silver, Alan) (Entered: 09/23/2011)
09/23/2011	<a href="#">450</a>	Deposition of Paul Halverson taken on 07/22/2011 ( <u>Sealed Document</u> ) (Silver, Alan) (Entered: 09/23/2011)

09/23/2011	<a href="#">451</a>	Deposition of Robert Gross taken on 07/19/2011 (Silver, Alan) (Entered: 09/23/2011)
09/23/2011	<a href="#">452</a>	Deposition of John Murphy taken on 07/07/2011 (Silver, Alan) (Entered: 09/23/2011)
09/23/2011	<a href="#">453</a>	Motion in Limine <i>to Exclude Expert Opinion and Testimony of Plaintiffs' Expert Kevin Kreitzman</i> by Defendant Stephen W. Pagelow. (Norrie, Jonathan) (Entered: 09/23/2011)
09/23/2011	<a href="#">454</a>	Declaration of Paul J. Halverson filed by Defendant Stephen W. Pagelow re: <a href="#">453</a> Motion in Limine (Attachments: # <a href="#">1</a> Exhibit A - Article "Valuing a Business", # <a href="#">2</a> Exhibit B - Article "An Introduction to ESOP Valuations", # <a href="#">3</a> Exhibit C - Article "Valuing ESOP Shares") (Norrie, Jonathan) (Entered: 09/23/2011)
09/23/2011	<a href="#">455</a>	Motion in Limine <i>to Exclude Expert Testimony from Lucian Morrison</i> by Defendants Alliance Holdings, Inc., David B. Fenkell. (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 09/23/2011)
09/23/2011	<a href="#">456</a>	Declaration of Ryan A. Sobel filed by Defendants Alliance Holdings, Inc., David B. Fenkell re: <a href="#">455</a> Motion in Limine ( <u>Sealed Document</u> ) (Attachments: # <a href="#">1</a> Exhibit Report of Lucian Morrison, # <a href="#">2</a> Certificate of Service) (Sobel, Ryan) (Entered: 09/23/2011)
09/23/2011	<a href="#">457</a>	Brief in Support of <a href="#">455</a> Motion in Limine by Defendants Alliance Holdings, Inc., David B. Fenkell (Attachments: # <a href="#">1</a> Unreported Case: George v. Kraft, # <a href="#">2</a> Certificate of Service) (Sobel, Ryan) (Entered: 09/23/2011)
09/23/2011	<a href="#">458</a>	Motion in Limine <i>To Bar Certain Opinions and Testimony of Plaintiffs' Expert Lucian Morrison</i> by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt. (Spangler, Patrick) (Entered: 09/23/2011)
09/23/2011	<a href="#">459</a>	Brief in Support of <a href="#">458</a> Motion in Limine by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Spangler, Patrick) (Entered: 09/23/2011)
09/23/2011	<a href="#">460</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Spangler, Patrick) (Entered: 09/23/2011)
09/23/2011	<a href="#">461</a>	Motion in Limine <i>To Exclude Evidence and Testimony Concerning Irrelevant Opinions Regarding The 2007 Transaction and Other Related Topics</i> by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt. (Wolf, Charles) (Entered: 09/23/2011)
09/23/2011	<a href="#">462</a>	Brief in Support of <a href="#">461</a> Motion in Limine by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Wolf, Charles) (Entered: 09/23/2011)
09/23/2011	<a href="#">463</a>	Deposition of Shannon Pratt taken on July 27, 2011 (Barton, Robert) (Entered: 09/23/2011)

09/23/2011	<a href="#">464</a>	Motion in Limine <i>TO PRECLUDE EVIDENCE THAT THE AUGUST 29, 2007 FAIRNESS OPINION PREPARED BY BARNES WENDLING CONSTITUTES AN OPINION OF FAIR MARKET VALUE</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Erlandson, Andrew) (Entered: 09/23/2011)
09/23/2011	<a href="#">465</a>	Brief in Support of <a href="#">464</a> Motion in Limine, <i>TO PRECLUDE EVIDENCE THAT THE AUGUST 29, 2007 FAIRNESS OPINION PREPARED BY BARNES WENDLING CONSTITUTES AN OPINION OF FAIR MARKET VALUE</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Erlandson, Andrew) (Entered: 09/23/2011)
09/23/2011	<a href="#">466</a>	Motion in Limine <i>TO PRECLUDE EVIDENCE THAT ANY TRACTHE ESOP FIDUCIARY THOUGHT, BELIEVED OR UNDERSTOOD THAT STOUT RISIUS ROSS SERVED AS AN INDEPENDENT APPRAISER OF TRACHTE BUILDINGS SYSTEMS, INC. STOCK IN CONNECTION WITH THE 2007 TRANSACTION</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Erlandson, Andrew) (Entered: 09/23/2011)
09/23/2011	<a href="#">467</a>	Brief in Support of <a href="#">453</a> Motion in Limine <i>to Exclude Kreitzman Opinion and Testimony</i> by Defendant Stephen W. Pagelow (Norrie, Jonathan) (Entered: 09/23/2011)
09/23/2011	<a href="#">468</a>	Brief in Support of <a href="#">466</a> Motion in Limine, <i>TO PRECLUDE EVIDENCE THAT ANY TRACTHE ESOP FIDUCIARY THOUGHT, BELIEVED OR UNDERSTOOD THAT STOUT RISIUS ROSS SERVED AS AN INDEPENDENT APPRAISER OF TRACHTE BUILDINGS SYSTEMS, INC. STOCK IN CONNECTION WITH THE 2007 TRANSACTION</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Erlandson, Andrew) (Entered: 09/23/2011)
09/23/2011	<a href="#">469</a>	Motion in Limine <i>TO PREVENT DEFENDANTS FROM PRESENTING WITNESSES IN PERSON WHO REFUSE TO APPEAR IN PERSON FOR PLAINTIFFS' CASE-IN-CHIEF</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Erlandson, Andrew) (Entered: 09/23/2011)
09/23/2011	<a href="#">470</a>	Brief in Support of <a href="#">469</a> Motion in Limine, <i>TO PREVENT DEFENDANTS FROM PRESENTING WITNESSES IN PERSON WHO REFUSE TO APPEAR IN PERSON FOR PLAINTIFFS' CASE-IN-CHIEF</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Erlandson, Andrew) (Entered: 09/23/2011)
09/23/2011	<a href="#">471</a>	Declaration of Andrew W. Erlandson filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">466</a> Motion in Limine, <a href="#">464</a> Motion in Limine, <a href="#">469</a> Motion in Limine, (Sealed Document) (Attachments: # <a href="#">1</a> Exhibit 1 - 8/29/07 Correspondence, # <a href="#">2</a> Exhibit 2 - 5/9/07 Correspondence) (Erlandson, Andrew) Modified on 9/26/2011 (jaf). (Entered: 09/23/2011)

09/23/2011	<a href="#">472</a>	Motion to Strike <i>Portions of Robert Gross's Expert Testimony</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Response due 9/30/2011. (Swanson, Robyn) (Entered: 09/23/2011)
09/23/2011	<a href="#">473</a>	Brief in Support of <a href="#">472</a> Motion to Strike <i>Portions of Robert Gross's Expert Testimony</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 09/23/2011)
09/23/2011	<a href="#">474</a>	Declaration of Robyn M. Swanson filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">472</a> Motion to Strike (Attachments: # <a href="#">1</a> Exhibit 1 - Expert Report of Robert Gross, # <a href="#">2</a> Exhibit 2 - Exh. 17 to Deposition of Robert Gross, # <a href="#">3</a> Exhibit 3 - "Advance Issue Brief" (Ex. 8 to Murphy Dep.)) (Swanson, Robyn) (Entered: 09/23/2011)
09/23/2011	<a href="#">475</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 09/23/2011)
09/23/2011	<a href="#">476</a>	Motion to Strike <i>Portions of the Expert Testimony of Paul Halverson</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Response due 9/30/2011. (Swanson, Robyn) (Main Document 476 replaced on 9/30/2011) (jaf). Modified on 9/30/2011 (jaf). (Entered: 09/23/2011)
09/23/2011	<a href="#">477</a>	Disregard. Refiled as docket entry <a href="#">480</a> . Modified on 9/26/2011 (jaf). (Entered: 09/23/2011)
09/23/2011	<a href="#">478</a>	Declaration of Robyn M. Swanson filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">476</a> Motion to Strike (Sealed Document) (Attachments: # <a href="#">1</a> Exhibit 1 - Expert Report of Shannon Pratt, # <a href="#">2</a> Exhibit 2 - Expert Report of Paul Halverson, # <a href="#">3</a> Exhibit 3 - Rebuttal Expert Report of John P. Murphy, # <a href="#">4</a> Exhibit 4 - Expert Report of Robert Gross, # <a href="#">5</a> Exhibit 5 - Emails between R. Aumiller and J. Mastrangelo (Ex. 1 to Aumiller Dep.), # <a href="#">6</a> Exhibit 6 - Barnes Wendling Valuation Services Engagement Agreement (Ex. 2 to Aumiller Dep.), # <a href="#">7</a> Exhibit 7 - "An Introduction to ESOP Valuation" (Ex. 3 to Murphy Dep.)) (Swanson, Robyn) (Entered: 09/23/2011)
09/23/2011	<a href="#">479</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 09/23/2011)
09/23/2011	<a href="#">480</a>	Brief in Support of <a href="#">476</a> Motion to Strike <i>Portions of Expert Testimony of Paul Halverson</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 09/23/2011)
09/27/2011		Trial Deadlines/Hearings: Court Trial on equitable relief set for 1/3/2012 at 09:00 AM. (voc/lk/lj) (Entered: 09/27/2011)

09/28/2011	<a href="#">481</a>	Response re: <a href="#">446</a> Order on Motion to Certify Class under Rule 23 ( <i>Unopposed</i> ) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Bunch, Monya) (Entered: 09/28/2011)
09/28/2011	<a href="#">482</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Bunch, Monya) (Entered: 09/28/2011)
09/29/2011	<a href="#">483</a>	Witness List by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier (Convery, James) (Entered: 09/29/2011)
09/29/2011	<a href="#">484</a>	Exhibit List by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier. (Convery, James) (Entered: 09/29/2011)
09/29/2011	<a href="#">485</a>	Witness List & <i>Exhibit List</i> by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Spangler, Patrick) (Entered: 09/29/2011)
09/29/2011	<a href="#">486</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Spangler, Patrick) (Entered: 09/29/2011)
09/29/2011	<a href="#">487</a>	Witness List <i>Plaintiffs' Identification of Witnesses to be Called at Trial with Certificate of Service</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 09/29/2011)
09/29/2011	<a href="#">488</a>	Exhibit List by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell. (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 09/29/2011)
09/29/2011	<a href="#">489</a>	Witness List by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 09/29/2011)
09/29/2011	<a href="#">490</a>	Witness List by Defendant Stephen W. Pagelow (Norrie, Jonathan) (Entered: 09/29/2011)
09/29/2011	<a href="#">491</a>	Exhibit List by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Attachments: # <a href="#">1</a> Plaintiffs' Trial Exhibit List) (Barton, Robert) (Entered: 09/29/2011)
09/29/2011	<a href="#">492</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 09/29/2011)
09/29/2011	<a href="#">493</a>	Exhibit List by Defendant Stephen W. Pagelow. (Norrie, Jonathan) (Entered: 09/29/2011)
09/30/2011	<a href="#">494</a>	Brief in Opposition by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt re: <a href="#">466</a> Motion in Limine, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Wolf, Charles) (Entered: 09/30/2011)
09/30/2011	<a href="#">495</a>	Brief in Opposition by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt re: <a href="#">476</a> Motion to Strike, filed by Nannette Stoflet, Martin Robbins,

		Thomas Gieck, Daniel Donkel, Carol Chesemore (Wolf, Charles) (Entered: 09/30/2011)
09/30/2011	<a href="#">496</a>	Brief in Opposition by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt re: <a href="#">469</a> Motion in Limine, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Wolf, Charles) (Entered: 09/30/2011)
09/30/2011	<a href="#">497</a>	Brief in Opposition by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt re: <a href="#">464</a> Motion in Limine, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Wolf, Charles) (Entered: 09/30/2011)
09/30/2011	<a href="#">498</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Wolf, Charles) (Entered: 09/30/2011)
09/30/2011	<a href="#">499</a>	Brief in Opposition by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier re: <a href="#">466</a> Motion in Limine, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Convery, James) (Entered: 09/30/2011)
09/30/2011	<a href="#">500</a>	Brief in Opposition by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier re: <a href="#">464</a> Motion in Limine, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Convery, James) (Entered: 09/30/2011)
09/30/2011	<a href="#">501</a>	Brief in Opposition by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell re: <a href="#">469</a> Motion in Limine, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 09/30/2011)
09/30/2011	<a href="#">502</a>	Brief in Opposition by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">455</a> Motion in Limine filed by David B. Fenkell, Alliance Holdings, Inc., <a href="#">458</a> Motion in Limine filed by Pamela Klute, Jeffrey A. Seefeldt, James Mastrangelo (Swanson, Robyn) (Entered: 09/30/2011)
09/30/2011	<a href="#">503</a>	Declaration of Lucian L. Morrison by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">455</a> Motion in Limine (Swanson, Robyn) Modified on 10/3/2011 (jaf). (Entered: 09/30/2011)
09/30/2011	<a href="#">504</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 09/30/2011)
09/30/2011	<a href="#">505</a>	Brief in Opposition by Defendant Stephen W. Pagelow re: <a href="#">476</a> Motion to Strike, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore, <a href="#">464</a> Motion in Limine, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore, <a href="#">466</a> Motion in Limine, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore, <a href="#">469</a> Motion in Limine, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Norrie, Jonathan) (Entered: 09/30/2011)

09/30/2011	<a href="#">506</a>	Brief in Opposition by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">461</a> Motion in Limine filed by Pamela Klute, Jeffrey A. Seefeldt, James Mastrangelo (Attachments: # <a href="#">1</a> Exhibit A - June 10, 2007 Email from Mastrangelo to McDowell et al. (Temperly Dep. Ex. 4), # <a href="#">2</a> Exhibit B - August 1, 2007 Email from Mastrangelo to Seefeldt/Smith (Smith Dep. Ex. 8)) (Bunch, Monya) (Entered: 09/30/2011)
09/30/2011	<a href="#">507</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Bunch, Monya) (Entered: 09/30/2011)
09/30/2011	<a href="#">508</a>	Brief in Opposition by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">453</a> Motion in Limine filed by Stephen W. Pagelow (Barton, Robert) (Entered: 09/30/2011)
09/30/2011	<a href="#">509</a>	Declaration of Kevin Kreitzman by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">453</a> Motion in Limine (Barton, Robert) Modified on 10/3/2011 (jaf). (Entered: 09/30/2011)
09/30/2011	<a href="#">510</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 09/30/2011)
10/03/2011	<a href="#">511</a>	Deposition Designations by Defendant Stephen W. Pagelow. (Norrie, Jonathan) (Entered: 10/03/2011)
10/03/2011	<a href="#">512</a>	Deposition Designations by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell. (Attachments: # <a href="#">1</a> Deposition Designations, Counter-Designations, and Objections, # <a href="#">2</a> Certificate of Service) (Sobel, Ryan) (Entered: 10/03/2011)
10/03/2011	<a href="#">513</a>	Deposition Designations ( <i>Plaintiffs' Identification of Witnesses Whose Testimony May Be Presented by Deposition at the Trial</i> ) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Attachments: # <a href="#">1</a> Exhibit A - Plaintiffs' Deposition Designations, # <a href="#">2</a> Exhibit B - Defendants' Deposition Designations) (Barton, Robert) (Entered: 10/03/2011)
10/03/2011	<a href="#">514</a>	Deposition Designations by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt. (Attachments: # <a href="#">1</a> Trustees' Trial Deposition With Plaintiffs' Responses, # <a href="#">2</a> Plaintiffs' Trial Deposition Designations With Trustee Responses) (Wolf, Charles) (Entered: 10/03/2011)
10/03/2011	<a href="#">515</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Wolf, Charles) (Entered: 10/03/2011)
10/04/2011	<a href="#">516</a>	Deposition of Larry Jenkins taken on July 29, 2011 ( <u>Sealed Document</u> ) (Stathas, Lynn) (Entered: 10/04/2011)
10/04/2011	<a href="#">517</a>	Errata Sheet to <a href="#">516</a> Deposition ( <u>Sealed Document</u> ) (Stathas, Lynn) (Entered: 10/04/2011)

10/04/2011	<a href="#">518</a>	Motion to Admit Jeffrey P Carren Pro Hac Vice by Defendant Alpha Investment Consulting Group, LLC. Motions referred to Magistrate Judge Stephen L. Crocker. (Convery, James) (Entered: 10/04/2011)
10/04/2011	<a href="#">519</a>	Proposed Findings of Fact by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier (Convery, James) (Entered: 10/04/2011)
10/04/2011	<a href="#">520</a>	Proposed Special Verdict - Liability and Damages by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier (Convery, James) (Entered: 10/04/2011)
10/04/2011	<a href="#">521</a>	Proposed Special Verdict - Liability and Damages by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Wolf, Charles) (Entered: 10/04/2011)
10/04/2011	<a href="#">522</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Wolf, Charles) (Entered: 10/04/2011)
10/04/2011	<a href="#">523</a>	Proposed Findings of Fact by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Wolf, Charles) (Entered: 10/04/2011)
10/04/2011	<a href="#">524</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Wolf, Charles) (Entered: 10/04/2011)
10/04/2011	<a href="#">525</a>	Proposed Conclusions of Law by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Wolf, Charles) (Entered: 10/04/2011)
10/04/2011	<a href="#">526</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Wolf, Charles) (Entered: 10/04/2011)
10/04/2011	<a href="#">527</a>	Proposed Findings of Fact by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 10/04/2011)
10/04/2011	<a href="#">528</a>	Proposed Conclusions of Law by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 10/04/2011)
10/04/2011	<a href="#">529</a>	Proposed Findings of Fact by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 10/04/2011)
10/04/2011	<a href="#">530</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 10/04/2011)
10/04/2011	<a href="#">531</a>	Proposed Conclusions of Law by Defendant Stephen W. Pagelow (Norrie, Jonathan) (Entered: 10/04/2011)
10/04/2011	<a href="#">532</a>	Proposed Findings of Fact by Defendant Stephen W. Pagelow (Norrie, Jonathan) (Entered: 10/04/2011)
10/04/2011	<a href="#">533</a>	Trial Brief <i>Pretrial Statement for Non-Jury Issues Submitted by Plaintiffs</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Attachments:

		<p># <a href="#">1</a> Exhibit A - Stipulated Facts,  # <a href="#">2</a> Exhibit B - Comprehensive List of Prospective Witnesses,  # <a href="#">3</a> Exhibit C - Parties' Joint Stipulated Trial Exhibit List,  # <a href="#">4</a> Exhibit D - Alliance Defendants' Objections to Plaintiffs' Trial Exhibits,  # <a href="#">5</a> Exhibit E - Trustee Defendants' Objections to Plaintiffs' Exhibit List,  # <a href="#">6</a> Exhibit F - Defendant Pagelow's Objections to Plaintiffs' Trial Exhibits,  # <a href="#">7</a> Exhibit G - Alpha Defendants' Objections to Plaintiffs' Exhibit List,  # <a href="#">8</a> Exhibit H - Plaintiffs' Objections to Alliance Defendants' Trial Exhibits,  # <a href="#">9</a> Exhibit I - Plaintiffs' Objections to Trustee Defendants' Trial Exhibits,  # <a href="#">10</a> Exhibit J - Plaintiffs' Objections to Defendant Pagelow's Trial Exhibits,  # <a href="#">11</a> Exhibit K - Plaintiffs' Objections to Alpha Defendants' Trial Exhibits,  # <a href="#">12</a> Exhibit L - Parties' Agreed Statement of Contested Issues of Law,  # <a href="#">13</a> Exhibit M - Plaintiffs' Statement of Contested Issues of Law,  # <a href="#">14</a> Exhibit N - Defendant Pagelow's Statement of Contested Issues of Law)  (Bunch, Monya) (Entered: 10/04/2011)</p>
10/04/2011	<a href="#">534</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Bunch, Monya) (Entered: 10/04/2011)
10/05/2011	535	** TEXT ONLY ORDER ** ORDER granting <a href="#">518</a> Motion to Admit Jeffrey Carren Pro Hac Vice. Signed by Magistrate Judge Stephen L. Crocker on 10/5/2011. (voc) (Entered: 10/05/2011)
10/05/2011	<a href="#">536</a>	Notice of Appearance filed by Jeffrey P Carren for Defendants Alpha Investment Consulting Group, LLC, John Michael Maier (Carren, Jeffrey) (Entered: 10/05/2011)
10/05/2011	<a href="#">537</a>	Trial Brief by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Wolf, Charles) (Entered: 10/05/2011)
10/05/2011	<a href="#">538</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Wolf, Charles) (Entered: 10/05/2011)
10/06/2011	<a href="#">539</a>	Deposition of Brian Anderson taken on March 31, 2010 ( <i>Deposition of Brian Anderson (NO SEAL) Taken on March 31, 2010</i> ) (Swanson, Robyn) (Entered: 10/06/2011)
10/06/2011	<a href="#">540</a>	Deposition of Carol Chesemore taken on January 26, 2010 ( <i>Deposition of Carol Chesemore (SEALED) Taken on January 26, 2010</i> ) ( <u>Sealed Document</u> ) (Swanson, Robyn) (Entered: 10/06/2011)
10/06/2011	<a href="#">541</a>	Deposition of Daniel Donkel taken on January 28, 2010 ( <i>Deposition of Daniel Donkel (SEALED) Taken on January 28, 2010</i> ) ( <u>Sealed Document</u> ) (Swanson, Robyn) (Entered: 10/06/2011)
10/06/2011	<a href="#">542</a>	Deposition of James Mastrangelo taken on April 1, 2010 ( <i>Deposition of James Mastrangelo (SEALED) Taken on April 1, 2010</i> ) ( <u>Sealed Document</u> ) (Swanson, Robyn) (Entered: 10/06/2011)
10/06/2011	<a href="#">543</a>	Deposition of Martin Robbins taken on January 27, 2010 ( <i>Deposition of Martin Robbins (SEALED) Taken on January 27, 2010</i> ) ( <u>Sealed Document</u> ) (Swanson, Robyn) (Entered: 10/06/2011)

10/06/2011	<a href="#">544</a>	Deposition of Pamela Klute taken on February 9, 2011 ( <i>Deposition of Pamela Klute (NO SEAL) Taken on February 9, 2011</i> ) (Swanson, Robyn) (Entered: 10/06/2011)
10/06/2011	<a href="#">545</a>	Deposition of Steve Kindstrom taken on July 27, 2011 ( <i>Deposition of Steve Kindstrom (NO SEAL) Taken on July 27, 2011</i> ) (Swanson, Robyn) (Entered: 10/06/2011)
10/06/2011	<a href="#">546</a>	Deposition of Walter Smith taken on April 26, 2011 ( <i>Deposition of Walter Smith (NO SEAL) Taken on April 26, 2011</i> ) (Swanson, Robyn) (Entered: 10/06/2011)
10/06/2011	<a href="#">547</a>	Deposition of Steven Fischer taken on July 26, 2011 ( <i>Deposition of Steven Fischer (NO SEAL) Taken on July 26, 2011</i> ) (Swanson, Robyn) (Entered: 10/06/2011)
10/06/2011	<a href="#">548</a>	Deposition of Thomas Gieck taken on January 28, 2010 ( <i>Deposition of Thomas Gieck (SEAL) Taken on January 28, 2010</i> ) ( <u>Sealed Document</u> ) (Swanson, Robyn) (Entered: 10/06/2011)
10/06/2011	<a href="#">549</a>	Deposition of Thomas Klingele taken on November 1, 2010 ( <i>Deposition of Thomas Klingele (NOT SEALED) Taken on November 1, 2010</i> ) (Swanson, Robyn) (Entered: 10/06/2011)
10/06/2011	<a href="#">550</a>	Minute Entry for proceedings held before Judge William M. Conley: Motion Hearing held on 10/6/2011. [2:18] (Court Reporter LS.) (voc) Modified on 10/7/2011 (voc). (Entered: 10/07/2011)
10/06/2011	<a href="#">551</a>	Minute Entry for proceedings held before District Judge William M. Conley: Final Pretrial Conference held on 10/6/2011. [1:01] (Court Reporter LS.) (voc) (Entered: 10/07/2011)
10/07/2011	<a href="#">552</a>	Transcript of Motion Hearing, held 10/6/2011 before Judge William M. Conley. Court Reporter: LS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (voc) (Entered: 10/07/2011)
10/07/2011	<a href="#">553</a>	Transcript of Final Pretrial Conference, held 10/6/2011 before Judge William M. Conley. Court Reporter: LS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (voc) (Entered: 10/07/2011)
10/07/2011	<a href="#">554</a>	Supplement to <a href="#">299</a> Motion for Summary Judgment, filed by A.H.I., Inc., David B. Fenkell, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan (Attachments: # <a href="#">1</a> Case: Bakner v. Xerox Corp. ESOP, # <a href="#">2</a> Case: Peck v. Chopp, # <a href="#">3</a> Case: Ames v. American National Can Co., # <a href="#">4</a> Certificate of Service) (Sobel, Ryan) (Entered: 10/07/2011)
10/07/2011	<a href="#">555</a>	ORDER granting in part and denying in part <a href="#">323</a> Motion to Strike; denying in part and reserving in part <a href="#">453</a> Motion in Limine; granting in part and denying in part

		<a href="#">455</a> Motion in Limine; granting in part and denying in part <a href="#">458</a> Motion in Limine; denying <a href="#">461</a> Motion in Limine; denying <a href="#">464</a> Motion in Limine; denying <a href="#">466</a> Motion in Limine; <a href="#">469</a> Motion in Limine withdrawn by plaintiffs; reserving ruling on <a href="#">472</a> Motion to Strike ; denying as moot <a href="#">476</a> Motion to Strike. Signed by District Judge William M. Conley on 10/7/11. (krj) (Entered: 10/07/2011)
10/11/2011		Set/Reset Trial Date: Court Trial 2nd day set for 10/12/2011 at 08:00 AM. (llj) (Entered: 10/11/2011)
10/12/2011		Set/Reset Trial Date: Court Trial 3rd Day set for 10/13/2011 at 08:00 AM. (llj) (Entered: 10/12/2011)
10/12/2011	<a href="#">556</a>	Minute Entry for proceedings held before District Judge William M. Conley: 1st Day Court Trial held on 10/11/2011 Trial continues. [9:25] (Court Reporter CS/LS.) (voc) (Entered: 10/12/2011)
10/12/2011	<a href="#">557</a>	Minute Entry for proceedings held before District Judge William M. Conley: 2nd Day Court Trial held on 10/12/2011 Trial continues. [8:40] (Court Reporter CS/LS.) (voc) (Entered: 10/14/2011)
10/13/2011		Set/Reset Trial Date: Court Trial 4th Day set for 10/13/2011 at 08:00 AM. (llj) (Entered: 10/13/2011)
10/14/2011		Set/Reset Trial Date: Court Trial 5th Day set for 10/17/2011 at 08:00 AM (llj) (Entered: 10/14/2011)
10/14/2011	<a href="#">558</a>	Minute Entry for proceedings held before District Judge William M. Conley: 3rd Day Court Trial held on 10/13/2011 Trial continues. [8:55] (Court Reporter CS/LS.) (voc) (Entered: 10/14/2011)
10/14/2011	<a href="#">559</a>	Minute Entry for proceedings held before District Judge William M. Conley: 4th Day Court Trial held on 10/14/2011 Trial continues. [6:25] (Court Reporter CS/LS.) (voc) (Entered: 10/14/2011)
10/16/2011	<a href="#">560</a>	Stipulation for Deposition of Non-Party Stout Risius & Ross by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, Alpha Investment Consulting Group, LLC, Employee Stock Ownership Plan, David B. Fenkell, Pamela Klute, Eric M. Lynn, John Michael Maier, James Mastrangelo, Stephen W. Pagelow, Jeffrey A. Seefeldt, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Cross Defendants Alliance Holdings, Inc., Alliance Holdings, Inc., Stephen W. Pagelow, Stephen W. Pagelow, Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet, Cross Claimants Pamela Klute, Pamela Klute, James Mastrangelo, James Mastrangelo, Jeffrey A. Seefeldt, Jeffrey A. Seefeldt. (Attachments: # <a href="#">1</a> Exhibit Testimony of Stout Risius Ross, Inc., # <a href="#">2</a> Certificate of Service) (Swanson, Robyn) (Entered: 10/16/2011)
10/17/2011	<a href="#">561</a>	Supplement to <a href="#">359</a> Brief in Opposition, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Attachments: # <a href="#">1</a> Certificate of Service) (Swanson, Robyn) (Entered: 10/17/2011)

10/17/2011	<a href="#">562</a>	Deposition Designations ( <i>William Peck</i> ) by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt. (Stathas, Lynn) (Entered: 10/17/2011)
10/17/2011	<a href="#">563</a>	Deposition Designations ( <i>Charles Hanemann</i> ) by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt. (Stathas, Lynn) (Entered: 10/17/2011)
10/17/2011	<a href="#">564</a>	***WITHDRAWN*** Deposition Designations ( <i>Cecelia Loftus</i> ) by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt. (Stathas, Lynn) Withdrawn during Court Trial. Modified on 10/17/2011 (voc/wmc). (Entered: 10/17/2011)
10/17/2011	<a href="#">566</a>	Deposition Designations of <i>AH Transition (revised)</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Swanson, Robyn) (Entered: 10/17/2011)
10/17/2011	<a href="#">567</a>	Deposition Designations of <i>Susan Gould</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Swanson, Robyn) (Entered: 10/17/2011)
10/17/2011	<a href="#">568</a>	Deposition Designations of <i>Cecilia Loftus (revised) (part I)</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Attachments: # <a href="#">1</a> Loftus designations part II) (Swanson, Robyn) (Entered: 10/17/2011)
10/17/2011	<a href="#">569</a>	Deposition Designations of <i>Bradley Seaman (part I) (revised)</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Sealed Document) (Attachments: # <a href="#">1</a> Seaman designations (part II)) (Swanson, Robyn) (Entered: 10/17/2011)
10/17/2011	<a href="#">570</a>	Deposition Designations of <i>Samuel Tinaglia (Vol. I Part I) (revised)</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Attachments: # <a href="#">1</a> Tinaglia designations Vol. I Part II) (Swanson, Robyn) (Entered: 10/17/2011)
10/17/2011	<a href="#">571</a>	Deposition Designations of <i>Samuel Tinaglia Vol. II Part 1</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Attachments: # <a href="#">1</a> Tinaglia designations Vol. II Part 2, # <a href="#">2</a> Tinaglia designations Vol. II Part 3, # <a href="#">3</a> Tinaglia designations Vol. II Part 4) (Swanson, Robyn) (Entered: 10/17/2011)
10/17/2011		Set/Reset Trial Date: Court Trial 6th Day set for 10/18/2011 at 8:00 AM. (lak) (Entered: 10/17/2011)
10/17/2011	<a href="#">577</a>	Minute Entry for proceedings held before District Judge William M. Conley: 5th Day Court Trial held on 10/17/2011 Trial continues. [7:05] (Court Reporter FTR.) (voc) (Entered: 10/20/2011)
10/18/2011	<a href="#">572</a>	Deposition Designations of <i>Bradley Seaman</i> by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell. (Sealed Document) (Sobel, Ryan) (Entered: 10/18/2011)

10/18/2011	<a href="#">573</a>	Deposition Designations of <i>William Peck</i> by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell. (Sobel, Ryan) (Entered: 10/18/2011)
10/18/2011	<a href="#">574</a>	Deposition Designations of <i>Samuel Tinaglia (Vol. I)</i> by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell. (Sobel, Ryan) (Entered: 10/18/2011)
10/18/2011	<a href="#">575</a>	Deposition Designations of <i>Samuel Tinaglia (Vol. II)</i> by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell. (Sobel, Ryan) (Entered: 10/18/2011)
10/18/2011	<a href="#">576</a>	Deposition Designations of <i>Charles Hanemann</i> by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell. (Sobel, Ryan) (Entered: 10/18/2011)
10/18/2011		Set/Reset Trial Date: Court Trial 7th Day set for 10/19/2011 at 9:00 AM. (lak) (Entered: 10/18/2011)
10/19/2011	<a href="#">578</a>	Minute Entry for proceedings held before District Judge William M. Conley: 6th Day Court Trial held on 10/18/2011 Trial continues. <a href="#">5</a> (Court Reporter FTR.) (voc) (Entered: 10/20/2011)
10/19/2011	<a href="#">579</a>	Minute Entry for proceedings held before District Judge William M. Conley: 7th Day Court Trial held on 10/19/2011. <a href="#">3</a> (Court Reporter FTR.) (voc) (Entered: 10/20/2011)
10/26/2011	<a href="#">580</a>	Deposition of Thomas Klingele taken on October 7, 2011 (Bunch, Monya) (Entered: 10/26/2011)
10/26/2011	<a href="#">581</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Bunch, Monya) (Entered: 10/26/2011)
10/27/2011	<a href="#">582</a>	Joint Exhibit List ( <i>Parties' Revised Joint Stipulated Trial Exhibit List</i> ) by Defendants AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, Alpha Investment Consulting Group, LLC, David B. Fenkell, Pamela Klute, John Michael Maier, James Mastrangelo, Jeffrey A. Seefeldt, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Attachments: # <a href="#">1</a> Certificate of Service) (Bunch, Monya) (Entered: 10/27/2011)
10/27/2011	<a href="#">583</a>	Exhibit to <a href="#">582</a> Exhibit List,, filed by David B. Fenkell, AH Transition Corporation, Martin Robbins, John Michael Maier, Jeffrey A. Seefeldt, James Mastrangelo, Alpha Investment Consulting Group, LLC, Daniel Donkel, Carol Chesemore, Nannette Stoflet, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Thomas Gieck, Alliance Holdings, Inc., Pamela Klute, Alliance Holdings, Inc. Employee Stock Ownership Plan <i>JE-1, Pt. 1 (Alliance ESOP Plan Document)</i> (Attachments: # <a href="#">1</a> Exhibit JE-1, Pt. 2 (Alliance ESOP Plan Document),

		<p># <a href="#">2</a> Exhibit JE-2 (Trachte ESOP Plan Document),  # <a href="#">3</a> Exhibit JE-3 (Trachte Phantom Stock Plan for Alliance Employees),  # <a href="#">4</a> Exhibit JE-4 (Strategic Alternatives Document),  # <a href="#">5</a> Exhibit JE-5 (Trachte Board Meeting Minutes Dated May 17, 2006)) (Bunch, Monya) (Entered: 10/27/2011)</p>
10/27/2011	<a href="#">584</a>	<p>Exhibit to <a href="#">582</a> Exhibit List,, filed by David B. Fenkell, AH Transition Corporation, Martin Robbins, John Michael Maier, Jeffrey A. Seefeldt, James Mastrangelo, Alpha Investment Consulting Group, LLC, Daniel Donkel, Carol Chesemore, Nannette Stoflet, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Thomas Gieck, Alliance Holdings, Inc., Pamela Klute, Alliance Holdings, Inc. Employee Stock Ownership Plan <i>JE-6 (Trachte Board Meeting Minutes Dated November 15, 2006)</i> (Attachments:  # <a href="#">1</a> Exhibit JE-7 (Trachte Board Meeting Minutes Dated August 9, 2006),  # <a href="#">2</a> Exhibit JE-8 (Trachte Board Meeting Minutes Dated February 22, 2007),  # <a href="#">3</a> Exhibit JE-9 (Trachte Audited Financial Report Dated December 31, 2005),  # <a href="#">4</a> Exhibit JE-10 (Trachte Audited Financial Report Dated December, 31, 2006),  # <a href="#">5</a> Exhibit JE-11 (Trachte Audited Financial Report Dated December 31, 2007)) (Bunch, Monya) (Entered: 10/27/2011)</p>
10/27/2011	<a href="#">585</a>	<p>Exhibit to <a href="#">582</a> Exhibit List,, filed by David B. Fenkell, AH Transition Corporation, Martin Robbins, John Michael Maier, Jeffrey A. Seefeldt, James Mastrangelo, Alpha Investment Consulting Group, LLC, Daniel Donkel, Carol Chesemore, Nannette Stoflet, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Thomas Gieck, Alliance Holdings, Inc., Pamela Klute, Alliance Holdings, Inc. Employee Stock Ownership Plan <i>JE-12, Pt. 1 (Valuation of Alliance Holdings as of December 31, 2005)</i> (Attachments:  # <a href="#">1</a> Exhibit JE-12, Pt. 2 (Valuation of Alliance Holdings as of December 31, 2005),  # <a href="#">2</a> Exhibit JE-12, Pt. 3 (Valuation of Alliance Holdings as of December 31, 2005),  # <a href="#">3</a> Exhibit JE-12, Pt. 4 (Valuation of Alliance Holdings as of December 31, 2005),  # <a href="#">4</a> Exhibit JE-12, Pt. 5 (Valuation of Alliance Holdings as of December 31, 2005),  # <a href="#">5</a> Exhibit JE-13 (Valuation of Alliance Holdings as of December 31, 2006)) (Bunch, Monya) (Entered: 10/27/2011)</p>
10/27/2011	<a href="#">586</a>	<p>Exhibit to <a href="#">582</a> Exhibit List,, filed by David B. Fenkell, AH Transition Corporation, Martin Robbins, John Michael Maier, Jeffrey A. Seefeldt, James Mastrangelo, Alpha Investment Consulting Group, LLC, Daniel Donkel, Carol Chesemore, Nannette Stoflet, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Thomas Gieck, Alliance Holdings, Inc., Pamela Klute, Alliance Holdings, Inc. Employee Stock Ownership Plan <i>JE-14 (BWVS Fairness Opinion Draft Dated June 13, 2007)</i> (Attachments:  # <a href="#">1</a> Exhibit JE-15 (BWVS Fairness Opinion Draft Dated June 20, 2007),  # <a href="#">2</a> Exhibit JE-16 (Draft of BWVS Fairness Opinion Dated July 2, 2007),  # <a href="#">3</a> Exhibit JE-17 (Draft of BWVS Fairness Opinion Dated July 10, 2007),  # <a href="#">4</a> Exhibit JE-18 (Draft of BWVS Fairness Opinion Dated August 21, 2007),  # <a href="#">5</a> Exhibit JE-19 (Trachte Management Proposal Dated February 6, 2007),  # <a href="#">6</a> Exhibit JE-20 (SRR Engagement Letter),  # <a href="#">7</a> Exhibit JE-21 (April 19, 2007 Letter of Intent),  # <a href="#">8</a> Exhibit JE-22 (BWVS Engagement Letter),</p>

		<p># <a href="#">9</a> Exhibit JE-23 (RSM McGladrey Letter),  # <a href="#">10</a> Exhibit JE-24 (Alpha Engagement Letter),  # <a href="#">11</a> Exhibit JE-25 (Revised Alpha Engagement Letter),  # <a href="#">12</a> Exhibit JE-26 (Action by Unanimous Written Consent of The Trachte Shareholders Dated August 22, 2007),  # <a href="#">13</a> Exhibit JE-27 (Written Consent of the Trachte Board Dated August 24, 2007),  # <a href="#">14</a> Exhibit JE-28 (Alpha Direction Letter)) (Bunch, Monya) (Entered: 10/27/2011)</p>
10/27/2011	<a href="#">587</a>	<p>Exhibit to <a href="#">582</a> Exhibit List,, filed by David B. Fenkell, AH Transition Corporation, Martin Robbins, John Michael Maier, Jeffrey A. Seefeldt, James Mastrangelo, Alpha Investment Consulting Group, LLC, Daniel Donkel, Carol Chesemore, Nannette Stoflet, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Thomas Gieck, Alliance Holdings, Inc., Pamela Klute, Alliance Holdings, Inc. Employee Stock Ownership Plan <i>JE-29 (BWVS Fairness Opinion)</i> (Attachments: # <a href="#">1</a> Exhibit JE-30 (SRR Valuation Opinion Letter of Trachte), # <a href="#">2</a> Exhibit JE-31 (SRR Valuation Opinion Letter of Alliance), # <a href="#">3</a> Exhibit JE-32 (SRR Valuation Opinion Letter of AH Transition), # <a href="#">4</a> Exhibit JE-33, Pt. 1 (Closing Binder for the 2007 Transaction), # <a href="#">5</a> Exhibit JE-33, Pt. 2 (Closing Binder for the 2007 Transaction)) (Bunch, Monya) (Entered: 10/27/2011)</p>
10/27/2011	<a href="#">588</a>	<p>Exhibit to <a href="#">582</a> Exhibit List,, filed by David B. Fenkell, AH Transition Corporation, Martin Robbins, John Michael Maier, Jeffrey A. Seefeldt, James Mastrangelo, Alpha Investment Consulting Group, LLC, Daniel Donkel, Carol Chesemore, Nannette Stoflet, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Thomas Gieck, Alliance Holdings, Inc., Pamela Klute, Alliance Holdings, Inc. Employee Stock Ownership Plan <i>JE-33 Pt. 3 (Closing Binder for 2007 Transaction)</i> (Attachments: # <a href="#">1</a> Exhibit JE-34, Pt. 1 (Chase Loan Agreement), # <a href="#">2</a> Exhibit JE-34, Pt. 2 (Chase Loan Agreement), # <a href="#">3</a> Exhibit JE-34, Pt. 3 (Chase Loan Agreement)) (Bunch, Monya) (Entered: 10/27/2011)</p>
10/27/2011	<a href="#">589</a>	<p>Exhibit to <a href="#">582</a> Exhibit List,, filed by David B. Fenkell, AH Transition Corporation, Martin Robbins, John Michael Maier, Jeffrey A. Seefeldt, James Mastrangelo, Alpha Investment Consulting Group, LLC, Daniel Donkel, Carol Chesemore, Nannette Stoflet, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Thomas Gieck, Alliance Holdings, Inc., Pamela Klute, Alliance Holdings, Inc. Employee Stock Ownership Plan <i>JE-34, Pt. 4 (Chase Loan Agreement)</i> (Attachments: # <a href="#">1</a> Exhibit JE-34, Pt. 5 (Chase Loan Agreement), # <a href="#">2</a> Exhibit JE-34, Pt. 6 (Chase Loan Agreement), # <a href="#">3</a> Exhibit JE-34, Pt. 7 (Chase Loan Agreement)) (Bunch, Monya) (Entered: 10/27/2011)</p>
10/27/2011	<a href="#">590</a>	<p>Exhibit to <a href="#">582</a> Exhibit List,, filed by David B. Fenkell, AH Transition Corporation, Martin Robbins, John Michael Maier, Jeffrey A. Seefeldt, James Mastrangelo, Alpha Investment Consulting Group, LLC, Daniel Donkel, Carol Chesemore,</p>

		<p>Nannette Stoflet, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Thomas Gieck, Alliance Holdings, Inc., Pamela Klute, Alliance Holdings, Inc. Employee Stock Ownership Plan <i>JE-36 (Draft of HIG Letter of Intent Dated April 5, 2007)</i> (Attachments:  # <a href="#">1</a> Exhibit JE-37 (Wm. Blair Memorandum),  # <a href="#">2</a> Exhibit JE-38 (HIG Letter of Intent)) (Bunch, Monya) (Entered: 10/27/2011)</p>
10/27/2011	<a href="#">591</a>	<p>Exhibit List by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt. (Attachments:  # <a href="#">1</a> Exhibit T-1510 Sources and Uses Document April 10, 2007 [DeWitt002487, Trustees00026439, Trustees00002856-75],  # <a href="#">2</a> Exhibit T-1512 Proceeds Analysis Jims version [Trustees00003046-70],  # <a href="#">3</a> Exhibit T-1514 Email from Jim Mastrangelo to Rosanne Aumiller dated August 16, 2007 with attachments [Trustees00028578-600],  # <a href="#">4</a> Exhibit T-1515 Email from Jim Mastrangelo to Rosanne Aumiller dated August 20, 2007 [Trustees00013871],  # <a href="#">5</a> Exhibit T-1521 BWVS Calculation Document [BWVS000550-563],  # <a href="#">6</a> Exhibit T-1522 Trachte Building Systems Excluding SNS Consolidated Five-Year Projection [BWVS002213],  # <a href="#">7</a> Exhibit T-1524 Pro Forma Deleveraging at 80% of Management Forecast [BWVS 2214],  # <a href="#">8</a> Exhibit T-1525 Email from Aumiller to Mastrangelo dated August 27, 2007 [BWVS 1561],  # <a href="#">9</a> Exhibit T-1526 Trachte Building Systems, Inc. and Subsidiaries Unaudited Financial Statements &amp; Management Reports as of July 31, 2007 [BWVS 1563],  # <a href="#">10</a> Exhibit T-1530 Maier resume [DeWitt000418-19],  # <a href="#">11</a> Exhibit T-1531 January 31, 2007 unaudited financials [Chase 004615-36],  # <a href="#">12</a> Exhibit T-1532 February 28, 2007 unaudited financials [Chase 004742-63],  # <a href="#">13</a> Exhibit T-1533 March 31, 2007 unaudited financials [Chase 004831-54],  # <a href="#">14</a> Exhibit T-1534 April 30, 2007 unaudited financials [Chase 004855-76],  # <a href="#">15</a> Exhibit T-1535 May 31, 2007 unaudited financials [Chase 004877-99],  # <a href="#">16</a> Exhibit T-1536 June 30, 2007 unaudited financials [Chase 004900-23],  # <a href="#">17</a> Exhibit T-1537 July 31, 2007 unaudited financials [Chase 004924-45],  # <a href="#">18</a> Exhibit T-1538 August 29, 2007 unaudited financials [Alliance005146-68],  # <a href="#">19</a> Exhibit T-1539 September 30, 2007 unaudited financials [Chase004946-70],  # <a href="#">20</a> Exhibit T-1540 October 31, 2007 unaudited financials [Chase004789-812],  # <a href="#">21</a> Exhibit T-1541 November 30, 2007 unaudited financials [Chase004764-88],  # <a href="#">22</a> Exhibit T-1542 December 31, 2007 unaudited financials [Chase004666-91],  # <a href="#">23</a> Exhibit T-1543 January 1, 2008 unaudited financials [Chase005002-25],  # <a href="#">24</a> Exhibit T-1544 February 29, 2008 unaudited financials [Chase005076-99],  # <a href="#">25</a> Exhibit T-1545 March 31, 2008 unaudited financials [Chase005439-65],  # <a href="#">26</a> Exhibit T-1546 April 30, 2008 unaudited financials [Chase005100-23],  # <a href="#">27</a> Exhibit T-1547 May 31, 2008 unaudited financials [Chase005124-48],  # <a href="#">28</a> Exhibit T-1548 June 30, 2008 unaudited financials [Chase005406-33],  # <a href="#">29</a> Exhibit T-1549 July 31, 2008 unaudited financials [Chase005149-73],  # <a href="#">30</a> Exhibit T-1550 August 31, 2008 unaudited financials [Chase005174-98],  # <a href="#">31</a> Exhibit T-1551 September 30, 2008 unaudited financials [Chase005473-500],  # <a href="#">32</a> Exhibit T-1552 October 31, 2008 unaudited financials [Chase005026-50],</p>

# [33](#) Exhibit T-1553 November 30, 2008 unaudited financials [Chase005051-75],  
# [34](#) Exhibit T-1554 December 31, 2008 unaudited financials [Chase005225-52],  
# [35](#) Exhibit T-1555 January 1, 2009 unaudited financials [Chase005501-23],  
# [36](#) Exhibit T-1556 February 28, 2009 unaudited financials [Chase005593-615],  
# [37](#) Exhibit T-1557 March 31, 2009 unaudited financials [Chase005616-36],  
# [38](#) Exhibit T-1558 April 30, 2009 unaudited financials [Chase005637-57],  
# [39](#) Exhibit T-1559 May 31, 2009 unaudited financials [Chase005658-78],  
# [40](#) Exhibit T-1560 June 30, 2009 unaudited financials [Chase005679-99],  
# [41](#) Exhibit T-1561 July 31, 2009 unaudited financials [Chase005700-20],  
# [42](#) Exhibit T-1562 August 31, 2009 unaudited financials [Chase005721-41],  
# [43](#) Exhibit T-1563 September 30, 2009 unaudited financials [Chase005742-64],  
# [44](#) Exhibit T-1564 October 31, 2009 unaudited financials [Chase005524-46],  
# [45](#) Exhibit T-1565 November 30, 2009 unaudited financials [Chase005547-69],  
# [46](#) Exhibit T-1566 December 31, 2009 unaudited financials [Chase005570-92],  
# [47](#) Exhibit T-1567 January 31, 2010 unaudited financials [Chase005872-94],  
# [48](#) Exhibit T-1568 February 28, 2010 unaudited financials [Pagelow-TR00352-74],  
# [49](#) Exhibit T-1569 March 31, 2010 unaudited financials [Pagelow-TR02396-417],  
# [50](#) Exhibit T-1570 April 30, 2010 unaudited financials [Pagelow-TR02372-94],  
# [51](#) Exhibit T-1571 May 31, 2010 unaudited financials [Pagelow-TR02348-70],  
# [52](#) Exhibit T-1572 June 30, 2010 unaudited financials [Pagelow-TR02324-46],  
# [53](#) Exhibit T-1576 Self Storage Industry Facts and Trends Report, Third Quarter 2010 [Trustees00042313-20],  
# [54](#) Exhibit T-1582 March 14, 2007 memo from Mastrangelo and Seefeldt to HIG Capital [HIG023192-97],  
# [55](#) Exhibit T-1583 Audited Financials as of December 31, 2002 [Trustees00001228-46],  
# [56](#) Exhibit T-1584 Audited Financials as of December 31, 2003 [Trustees00001247-68],  
# [57](#) Exhibit T-1585 Audited Financials as of December 31, 2004 [Trustees00001269-90],  
# [58](#) Exhibit T-1586 Audited Financials as of December 31, 2005 [Trustees00001291-311],  
# [59](#) Exhibit T-1587 Audited Financials as of December 31, 2006 [Trustees00013201-23],  
# [60](#) Exhibit T-1588 Audited Financials as of December 31, 2007 [Trustees00035460-83],  
# [61](#) Exhibit T-1589 Audited Financials as of December 31, 2008 [Trustees00041765],  
# [62](#) Exhibit T-1590 Audited Financials as of December 31, 2009 [Trustees00041790],  
# [63](#) Exhibit T-1595 ESOP Economics report dated August 2007 [ESE000279-317],  
# [64](#) Exhibit T-1596 (Part 1 of 2) ESI Valuation of the Common Stock of Trachte Building Systems, Inc. at December 31, 2007 [Trustees00004592-4700],  
# [65](#) Exhibit T-1596 (Part 2 of 2) ESI Valuation of the Common Stock of Trachte

Building Systems, Inc. at December 31, 2007 [Trustees00004592-4700],  
# [66](#) Exhibit T-1597 E-mail from Wanko with some negotiated terms dated August 15, 2007 [Trustees00012923],  
# [67](#) Exhibit T-1598 E-mail from Wanko to Mastrangelo dated June 13, 2007 [Trustees00012900],  
# [68](#) Exhibit T-1599 E-mail from Wanko to Mastrangelo dated June 13, 2007 [Trustees00012901-6],  
# [69](#) Exhibit T-1601 E-mail from Wanko to Mastrangelo dated August 15, 2007 [Trustees00012822-44],  
# [70](#) Exhibit T-1602 E-mail from Wanko to Mastrangelo dated June 18, 2007 [Trustees00012897-9],  
# [71](#) Exhibit T-1603 E-mail from Wanko to Mastrangelo dated August 15, 2007 [Trustees00012822-44],  
# [72](#) Exhibit T-1604 E-mail from Mastrangelo dated August 16, 2007 [Boardman006776-7],  
# [73](#) Exhibit T-1605 E-mail from Wanko dated August 24, 2007 [Trustees00030530],  
# [74](#) Exhibit T-1606 E-mail from Mastrangelo dated August 16, 2007 [Trustees00018594-8],  
# [75](#) Exhibit T-1609 Chase Approval Proposal dated October 30, 2008 [Chase -33850-033860],  
# [76](#) Exhibit T-1619 Email from Kindstrom to Mastrangelo dated July 11, 2007 with attachments [Trustees 19175-19178],  
# [77](#) Exhibit T-1620 (Part 1 of 2) Approval Proposal dated May 16, 2007 [Chase 38567-38605],  
# [78](#) Exhibit T-1620 (Part 2 of 2) Approval Proposal dated May 16, 2007 [Chase 38567-38605],  
# [79](#) Exhibit T-1621 (Part 1 of 3) ESOP Plan Administration Binder as of July 31, 2007 [Trustees11356-684],  
# [80](#) Exhibit T-1621 (Part 2 of 3) ESOP Plan Administration Binder as of July 31, 2007 [Trustees11356-684],  
# [81](#) Exhibit T-1621 (Part 3 of 3) ESOP Plan Administration Binder as of July 31, 2007 [Trustees11356-684],  
# [82](#) Exhibit T-1622 (Part 1 of 5) ESOP Plan Administration Binder as of December 31, 2007 [Trustees11685-2018],  
# [83](#) Exhibit T-1622 (Part 2 of 5) ESOP Plan Administration Binder as of December 31, 2007 [Trustees11685-2018],  
# [84](#) Exhibit T-1622 (Part 3 of 5) ESOP Plan Administration Binder as of December 31, 2007 [Trustees11685-2018],  
# [85](#) Exhibit T-1622 (Part 4 of 5) ESOP Plan Administration Binder as of December 31, 2007 [Trustees11685-2018],  
# [86](#) Exhibit T-1622 (Part 5 of 5) ESOP Plan Administration Binder as of December 31, 2007 [Trustees11685-2018],  
# [87](#) Exhibit T-1623 (Part 1 of 2) ESOP Plan Administration Binder as of December 31, 2008 [Trustees12019-365],  
# [88](#) Exhibit T-1623 (Part 2 of 2) ESOP Plan Administration Binder as of December 31, 2008 [Trustees12019-365],

		<p># <a href="#">89</a> Exhibit T-1626 E-mail dated April 2, 2007 from HIG to William Blair [Alliance006592-3],</p> <p># <a href="#">90</a> Exhibit T-1627 E-mail dated April 3, 2007 from Alliance entitled Response to HIG [Alliance 006590],</p> <p># <a href="#">91</a> Exhibit T-1637 Gross Dep. Ex. 17) (Stathas, Lynn) (Entered: 10/27/2011)</p>
10/27/2011	<a href="#">592</a>	<p>Exhibit List by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell. (Attachments:</p> <p># <a href="#">1</a> Exhibit 2510 (2004 Alliance ESOP Annual Valuation),</p> <p># <a href="#">2</a> Exhibit 2511 (Pagelow Agreement for Early Exercise of Put Option),</p> <p># <a href="#">3</a> Exhibit 2514 - part 1 of 2 (2005 Alliance ESOP Annual Valuation),</p> <p># <a href="#">4</a> Exhibit 2514 - part 2 of 2 (2005 Alliance ESOP Annual Valuation),</p> <p># <a href="#">5</a> Exhibit 2539 (2006 Alliance ESOP Annual Valuation),</p> <p># <a href="#">6</a> Exhibit 2556 (HIG Revised Draft LOI of 4/5/07),</p> <p># <a href="#">7</a> Exhibit 2558 (Email with Trachte Draft LOI of 4/12/07),</p> <p># <a href="#">8</a> Exhibit 2588 (Mastrangelo/Klinge Email of 7/1/07 and 7/2/07),</p> <p># <a href="#">9</a> Exhibit 2591 (Draft Master Closing Memorandum of 7/11/07),</p> <p># <a href="#">10</a> Exhibit 2608 (Wanko Email of 8/15/07 Summarizing Price Adjustments),</p> <p># <a href="#">11</a> Exhibit 2619 (SRR Conclusion of Value for Trachte as of 8/27/07),</p> <p># <a href="#">12</a> Exhibit 2624 - part 1 of 2 (Alliance Version of Master Closing Memorandum of 8/29/07),</p> <p># <a href="#">13</a> Exhibit 2624 - part 2 of 2 (Alliance Version of Master Closing Memorandum of 8/29/07)) (Sobel, Ryan) (Entered: 10/27/2011)</p>
10/28/2011	<a href="#">593</a>	<p>Exhibit to <a href="#">491</a> Exhibit List filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore <i>Plaintiff's Exhibits Entered into Evidence At Trial - Exhibit PX-5 - 2010 Publication of ESOP Association, ESOP Fiduciary Handbook</i> (Attachments:</p> <p># <a href="#">1</a> Exhibit PX-11 - Plan Docs from Trachte Bldg. Sys. re: Trachte Phantom Stock Plan,</p> <p># <a href="#">2</a> Exhibit PX-12 - Alliance ESOP Plan Description for Trachte Employees,</p> <p># <a href="#">3</a> Exhibit PX-15 - SRR Valuation re: Trachte,</p> <p># <a href="#">4</a> Exhibit SRR Valuation Appendix D,</p> <p># <a href="#">5</a> Exhibit PX-24 - Correspondence from Fenkell to Killingstad/Smith re: Trachte Strategic Alternatives,</p> <p># <a href="#">6</a> Exhibit PX-30A - Wm. Blair Engagement Letter,</p> <p># <a href="#">7</a> Exhibit PX-44 - Correspondence from W. Smith to Pagelow re: Resignation from Board,</p> <p># <a href="#">8</a> Exhibit Memo from Tinaglia, et al to Deal Marketing Comm. re: Sale of Trachte,</p> <p># <a href="#">9</a> Exhibit Memo from Wm. Blair re: Confidential Descriptive Memorandum (11/2006)) (Bunch, Monya) (Entered: 10/28/2011)</p>
10/28/2011	<a href="#">594</a>	<p>Exhibit List by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier. (Attachments:</p> <p># <a href="#">1</a> Alpha 1102,</p> <p># <a href="#">2</a> Alpha 1103,</p> <p># <a href="#">3</a> Alpha 1104,</p>

	<p># <a href="#">4</a> Alpha 1105,  # <a href="#">5</a> Alpha 1106,  # <a href="#">6</a> Alpha 1107,  # <a href="#">7</a> Alpha 1112,  # <a href="#">8</a> Alpha 1113,  # <a href="#">9</a> Alpha 1128,  # <a href="#">10</a> Alpha 1129,  # <a href="#">11</a> Alpha 1130,  # <a href="#">12</a> Alpha 1131,  # <a href="#">13</a> Alpha 1136,  # <a href="#">14</a> Alpha 1137,  # <a href="#">15</a> Alpha 1138,  # <a href="#">16</a> Alpha 1139,  # <a href="#">17</a> Alpha 1140,  # <a href="#">18</a> Alpha 1143,  # <a href="#">19</a> Alpha 1144,  # <a href="#">20</a> Alpha 1145,  # <a href="#">21</a> Alpha 1146,  # <a href="#">22</a> Alpha 1147,  # <a href="#">23</a> Alpha 1148,  # <a href="#">24</a> Alpha 1167,  # <a href="#">25</a> Alpha 1171,  # <a href="#">26</a> Alpha 1172,  # <a href="#">27</a> Alpha 1173,  # <a href="#">28</a> Alpha 1174,  # <a href="#">29</a> Alpha 1175,  # <a href="#">30</a> Alpha 1176) (Convery, James) (Entered: 10/28/2011)</p>
10/28/2011	<p><a href="#">595</a> Exhibit to <a href="#">491</a> Exhibit List filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore <i>Plaintiffs' Exhibits Admitted into Evidence at Trial - PX-63 - Email from Tinaglia to Wanko &amp; Lynn re: Trachte</i> (Attachments: # <a href="#">1</a> Exhibit PX-73B - Alliance Holdings, Inc. Compensation Committee Meeting Minutes,  # <a href="#">2</a> Exhibit PX-74 - Email from Wanko to Fenkell/Lynn/Whitaker,  # <a href="#">3</a> Exhibit PX-75 - Email from Tinaglia to Wanko,  # <a href="#">4</a> Exhibit PX-76 - Email from Lynn to Tinaglia/Wanko/Brokemond/Reitz/Ellis re HIG,  # <a href="#">5</a> Exhibit PX-77 - SRR Valuation of Trachte as of 12/31/06,  # <a href="#">6</a> Exhibit PX-101 - Email from Wanko to Fenkell re: HIG Bid/Contract,  # <a href="#">7</a> Exhibit PX-102 - Email from Hanemann to Reitz re: Tax Issue,  # <a href="#">8</a> Exhibit PX-103 - Email from Wanko to Fenkell/Lynn re: Trachte Offer,  # <a href="#">9</a> Exhibit PX-104 - Email from Tinaglia to Reitz/Brokemond/Ellis/Donovan re: Trachte Offer,  # <a href="#">10</a> Exhibit PX-108 - Email from Reitz to Tinaglia/Brokemond re: Trachte January 2007,  # <a href="#">11</a> Exhibit PX-109 - Email from Tinaglia to P. Reitz/Brokemond/Ellis re: Tricor,  # <a href="#">12</a> Exhibit PX-113 - Email from Reitz to L. Pagelow/Lynn/Wanko/Whitaker re: Proceeds Comparison,</p>

		<p># <a href="#">13</a> Exhibit PX-126 - Email from Lynn to Reitz re: Conference Call with HIG,  # <a href="#">14</a> Exhibit PX-135 - Memo re: Warning of Business Cycle Phase Shift,  # <a href="#">15</a> Exhibit PX-136 - Email from Tinaglia to Lynn re: Tanker,  # <a href="#">16</a> Exhibit PX-137 - Email re: HIG Exclusivity Period,  # <a href="#">17</a> Exhibit PX-138 - Email from Whitaker to Gould re: Trachte) (Bunch, Monya)  (Entered: 10/28/2011)</p>
10/28/2011	<a href="#">596</a>	<p>Exhibit to <a href="#">491</a> Exhibit List filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore <i>Plaintiffs' Exhibits Admitted into Evidence at Trial - PX-139 - Presentation from LEK Consulting re: Trachte Due Diligence</i> (Attachments:  # <a href="#">1</a> Exhibit PX-140 - Email from Tinaglia to Wanko re: HIG,  # <a href="#">2</a> Exhibit PX-141 - Email from Tinaglia to Brokemonnd re: HIG,  # <a href="#">3</a> Exhibit PX-143 - Email re: Trachte Market to Sales Process Flow Chart,  # <a href="#">4</a> Exhibit PX-146A - Email from Brokemonnd to Wanko/Lynn re: Trachte Follow-up,  # <a href="#">5</a> Exhibit PX-147 - Email from Wanko to Fenkell re: Trachte Follow-up,  # <a href="#">6</a> Exhibit PX-150 - Email from Donovan to Tinaglia/Brokemonnd/Reitz/Ellis,  # <a href="#">7</a> Exhibit PX-153 - Email from Mastrangelo to Fenkell re: Term Sheets,  # <a href="#">8</a> Exhibit PX-155 - Email from Tinaglia to Fenkell re: Revised LOI,  # <a href="#">9</a> Exhibit PX-163 - Email from Seefeldt to Anderson re: MBO Letter of Intent,  # <a href="#">10</a> Exhibit PX-165 - Email from Hughes to Seefeldt re: Trachte MBO Letter of Intent,  # <a href="#">11</a> Exhibit PX-170 - Email from Gould to Whitaker re: Trachte,  # <a href="#">12</a> Exhibit PX-180 - Email from Anderson to Peck/Mastrangelo/Seefeldt re: Trachte-Alliance LOI,  # <a href="#">13</a> Exhibit PX-181 - Email from Anderson to Peck/Mastrangelo/Seefeldt re: Trachte-Alliance LOI,  # <a href="#">14</a> Exhibit PX-182D - Final Letter of Intent Dated 4/19/2007,  # <a href="#">15</a> Exhibit PX-182E - Final Letter of Intent Dated 4/19/2007,  # <a href="#">16</a> Exhibit PX-186 - Chart of Sources and Uses W/Notes by W. Smith,  # <a href="#">17</a> Exhibit PX-190 - Email from Wanko to Mastrangelo re: Model - Flat Scenario) (Bunch, Monya) (Entered: 10/28/2011)</p>
10/28/2011	<a href="#">597</a>	<p>Exhibit to <a href="#">491</a> Exhibit List filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore <i>Plaintiffs' Exhibits Admitted Into Evidence at Trial - PX-192 - Email from Gould to Socol/Buettner re: Alliance/Trachte Dated 04/26/2007</i> (Attachments:  # <a href="#">1</a> Exhibit PX-193 - Email from Gould to Buettner re: Alliance Subs/Spencer Deal Dated 4/26/2007,  # <a href="#">2</a> Exhibit PX-194 - Email from Gould to Socol/Buettner re: Alliance/Trachte Transaction dated 4/26/2007,  # <a href="#">3</a> Exhibit PX-195 - Email from Gould to Socol/Buettner re: Trachte dated 04/27/2007,  # <a href="#">4</a> Exhibit PX-200 - Email from Seefeldt to Pagelow re: RSM McGladrey dated 4/30/2007,  # <a href="#">5</a> Exhibit PX-203 - Email from Aumiller to Seefeldt re: Contact Info dated 05/07/2007,</p>

	<p># <a href="#">6</a> Exhibit PX-207 - Chart re: Shares Allocation Post-Transaction,  # <a href="#">7</a> Exhibit PX-208 - W. Smith Notes Re: Transaction,  # <a href="#">8</a> Exhibit PX-209 - Email from Aumiller to Mastrangelo re: Draft Engagement Letter and Information Request Dated 05/08/2007,  # <a href="#">9</a> Exhibit PX-210 - Email from VanHorn to Mastrangelo re: Transaction Proposal Dated 05/08/2007,  # <a href="#">10</a> Exhibit PX-212A - Barnes Wendling Engagement Letter Dated 05/09/2007,  # <a href="#">11</a> Exhibit PX-212B - Barnes Wendling Engagement Letter Dated 05/09/2007,  # <a href="#">12</a> Exhibit PX-216 - Email from Kevin Parks attaching April 2007 Financials Dated 05/10/2007,  # <a href="#">13</a> Exhibit PX-218 - Email from Tinaglia to Hanemann Dated 05/11/2007,  # <a href="#">14</a> Exhibit PX-219 - Email from Tinaglia to Wanko re: call Dated 05/15/2007,  # <a href="#">15</a> Exhibit PX-223 - Email from Wanko to Mastrangelo re: Trachte Valuation dated 05/17/2007,  # <a href="#">16</a> Exhibit PX-224 - Agreement for Early Exercise Put Option dated 09/02/2005,  # <a href="#">17</a> Exhibit PX234 - Email from Wanko to Gould re: Barnes Dated 05/30/2007,  # <a href="#">18</a> Exhibit PX235A - Trachte May 2007 Financials,  # <a href="#">19</a> Exhibit PX238 - Email from Jackson to Anderson dated 06/05/2007,  # <a href="#">20</a> Exhibit PX-245 - Draft of BWVS Fairness Opinion dated 06/13/2007,  # <a href="#">21</a> Exhibit PX-248A - Email from Anderson to Aumiller dated 06/15/2007,  # <a href="#">22</a> Exhibit PX-250 - Email from Tinaglia to Brokemonnd Dated 06/15/2007,  # <a href="#">23</a> Exhibit PX-252 - Email from Anderson to Peck re: Credit Agreement dated 06/16/2007,  # <a href="#">24</a> Exhibit PX-254 - Email from Aumiller to Mastrangelo re: Revised Draft dated 06/19/2007,  # <a href="#">25</a> Exhibit PX-255 - Email from Aumiller to Mastrangelo re: Rev'd FO Draft for Discussion dated 06/19/2007,  # <a href="#">26</a> Exhibit PX-257 - Email from Gould to Socol re: Alliance/Trachte Transaction Dated 06/20/2007,  # <a href="#">27</a> Exhibit PX-258 - Email from Gould to Wanko re: Trachte Transaction dated 06/20/2007,  # <a href="#">28</a> Exhibit PX-259 - Draft of BWVS Fairness Opinion Dated 06/20/2007,  # <a href="#">29</a> Exhibit PX-261 - Email from Tinaglia to Lynn re: Director Search dated 06/25/2007,  # <a href="#">30</a> Exhibit PX-263 - Email from Gould to Buettner re: Trachte dated 06/29/2007,  # <a href="#">31</a> Exhibit PX-265 - Trachte Conclusion of Value Dated 06/30/2007) (Bunch, Monya) (Entered: 10/28/2011)</p>
10/28/2011	<p><a href="#">598</a> Exhibit to <a href="#">491</a> Exhibit List filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore <i>Plaintiff's' Exhibits Introduced into Evidence at Trial - PX-268A - Presentation by R. Aumiller re: Trachte ESOP</i> (Attachments:  # <a href="#">1</a> Exhibit PX-271 - Collection of notes, charts and emails from BWVS,  # <a href="#">2</a> Exhibit PX-272 - Draft from BWVS to Mastrangelo, Seefeldt, Klute re: Fairness Opinion,  # <a href="#">3</a> Exhibit PX-281 - Email re: Questions Relative to Employee Purchase of Company,  # <a href="#">4</a> Exhibit PX-282 - Email from Mastrangelo to McDowell, et al re: Fairness</p>

		<p>Opinion/McGladrey Position,  # <a href="#">5</a> Exhibit PX-283A - Draft of BWVS Fairness Opinion,  # <a href="#">6</a> Exhibit PX-283B - Draft from Barnes Wendling Valuation Services to Mastrangelo/Seefeldt re: Fairness Opinion,  # <a href="#">7</a> Exhibit PX-284 - Email from Wanko to Gould/Buettner re Trachte,  # <a href="#">8</a> Exhibit PX-287 - Question from Employees and Answers from Town Hall Mtg.,  # <a href="#">9</a> Exhibit PX-288B - Presentation Slides for Trachte Town Hall Meeting,  # <a href="#">10</a> Exhibit PX-300 - Email from Klute to Seefeldt re: Employee Questions Town Hall Mtg (July 2007),  # <a href="#">11</a> Exhibit PX-302B - Trachte and Subsidiaries Unaudited Financial Stmts. &amp; Mgmt. Reports as of 07/31/2007,  # <a href="#">12</a> Exhibit PX-303 - Plaintiff Chesemore's Stmts. of Accounts for Alliance ESOP and Trachte ESOP from 2000-2007,  # <a href="#">13</a> Exhibit PX-304 - Chart/Notes re: Trachte Building Systems) (Bunch, Monya) (Entered: 10/28/2011)</p>
10/28/2011	<a href="#">599</a>	<p>Exhibit to <a href="#">491</a> Exhibit List filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore <i>Plaintiffs' Exhibits Admitted Into Evidence at Trial: PX-307 - Email from Anderson to Jenkins re: Legal and Fiduciary Considerations at Trachte</i> (Attachments:  # <a href="#">1</a> Exhibit PX-308 - Pages from Maier's Calendar, First Half of August 2007,  # <a href="#">2</a> Exhibit PX-313 - Email from Mastrangelo to Seefeldt re: email contacts,  # <a href="#">3</a> Exhibit PX-319 - Email from Maier to Mastrangelo re: Engagement Letter,  # <a href="#">4</a> Exhibit PX-320 - Email from Mastarngelo to Wako re: Engagement Letter,  # <a href="#">5</a> Exhibit PX-323A - Email from Maier to Mastrangelo re: Fiduciary Agreement,  # <a href="#">6</a> Exhibit PX-326 - Email from Klute to Ketelboeter et al re: Notes from 8/01 Meeting,  # <a href="#">7</a> Exhibit PX-331C - Email from Wanko to Sefeldt/Mastrangelo re: Information in Anticipation of 4:15 Call,  # <a href="#">8</a> Exhibit PX-332A - Trachte Bldg. Sys. Conclusion of Value as of August 15, 2007,  # <a href="#">9</a> Exhibit PX-341 - Email from Maier to Mastrangelo re: Draft Alpha Conclusion,  # <a href="#">10</a> Exhibit PX-342 - Email from Wanko to Fenkel/Lynn re: SRR Valuation,  # <a href="#">11</a> Exhibit PX-345 - Email from Buettner to Gould re: SRR Valuation,  # <a href="#">12</a> Exhibit PX-347 - Email from Wanko to Mastrangelo re: SRR Valuation,  # <a href="#">13</a> Exhibit PX-348 - Email from Gould to Buettner re: SRR Valuation,  # <a href="#">14</a> Exhibit PX-354 - Email from Mastrangelo to Maier re: SRR Valuation,  # <a href="#">15</a> Exhibit PX-357 - Email from Gould to Fenkell/Draucker re: Trachte - ESOP Fiduciary Reliance,  # <a href="#">16</a> Exhibit PX-358 - Email from Gould to Fenkell/Draucker re: Trachte - ESOP Fiduciary Reliance,  # <a href="#">17</a> Exhibit PX-359 - Email from Mastrangelo to Maier re: SRR Valuation,  # <a href="#">18</a> Exhibit PX-360 - Maier's Handwritten Notes on Needing Independent Assessment of Reasonbleness of Management Forecasts,  # <a href="#">19</a> Exhibit PX-366B - Opinion from Barnes Wendling Valuation Services, Inc. to Mastrangelo, Seefeldt, Klute, Trachte ESOP re: Draft Fairness Opinion,</p>

		<p># <a href="#">20</a> Exhibit PX-372 - Email from Wanko to Mastrangelo re: Trachte Closing,  # <a href="#">21</a> Exhibit PX-377A - SRR Valuation re: Trachte Conclusion of Value as of 08/27/2007,  # <a href="#">22</a> Exhibit PX-377B - SRR Valuation re: Trachte Conclusion of Value as of 08/27/2007,  # <a href="#">23</a> Exhibit PX-377C - SRR Valuation re: Trachte Conclusion of Value as of 08/27/2007,  # <a href="#">24</a> Exhibit PX-378 - Notes on Trachte,  # <a href="#">25</a> Exhibit PX-385 - Opinion from Dewitt Ross &amp; Stevens) (Bunch, Monya) (Entered: 10/28/2011)</p>
10/28/2011	<a href="#">600</a>	<p>Exhibit to <a href="#">491</a> Exhibit List filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore <i>Plaintiffs' Exhibits Admitted Into Evidence at Trial: PX-386, Pt. 1, Closing Transcript</i> (Attachments: # <a href="#">1</a> Exhibit PX-386, Pt. 2 - Closing Transcript, # <a href="#">2</a> Exhibit PX-386, Pt. 3 - Closing Transcript, # <a href="#">3</a> Exhibit PX-391A - Trachte Financials as of August 29, 2007, # <a href="#">4</a> Exhibit PX-395D - BWVS Final Fairness Opinion, # <a href="#">5</a> Exhibit PX-395E - BWVS Final Fairness Opinion, # <a href="#">6</a> Exhibit PX-407 - Letter Regarding New Trachte ESOP dated 10/3/2007, # <a href="#">7</a> Exhibit PX-409B - Alliance Compensation Committee Meeting Minutes dated 11/16/07, # <a href="#">8</a> Exhibit PX-416B - Trachte Valuation as of December 31, 2007) (Bunch, Monya) (Entered: 10/28/2011)</p>
10/28/2011	<a href="#">601</a>	<p>Exhibit to <a href="#">491</a> Exhibit List filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore <i>Plaintiffs' Exhibits Admitted into Evidence at Trial: PX-422B - March 2008 Trachte Board Minutes</i> (Attachments: # <a href="#">1</a> Exhibit PX-423 - Minutes of May 2008 Trachte Board Meeting, # <a href="#">2</a> Exhibit PX-425B - Trachte Valuation as of December 31, 2008, # <a href="#">3</a> Exhibit PX-434 - Instrument of Spinoff, # <a href="#">4</a> Exhibit PX-451 - Chesemore's 2009 ESOP Statement, # <a href="#">5</a> Exhibit PX-487 - Letter from Aumiller to Mastrangelo and Seefeldt re: Initial Information, # <a href="#">6</a> Exhibit PX-494 - Backlog Chart, # <a href="#">7</a> Exhibit PX-499 - Alpha Summary Exhibit re: Production) (Bunch, Monya) (Entered: 10/28/2011)</p>
10/31/2011	602	<p>Joint Exhibit 35 (CD) to <a href="#">591</a> filed by Pamela Klute, Jeffrey A. Seefeldt and James Mastrangelo. (Received by Clerk's Office and filed in exhibit room) (voc) (Entered: 10/31/2011)</p>
11/09/2011	<a href="#">603</a>	<p>Exhibit List by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins and Nannette Stoflet. (voc) (Entered: 11/09/2011)</p>
11/09/2011	<a href="#">604</a>	<p>Exhibit List by Defendants Pamela Klute, James Mastrangelo and Jeffrey A. Seefeldt. (voc) (Entered: 11/09/2011)</p>
11/09/2011	<a href="#">605</a>	<p>Exhibit List by Defendants Alpha Investment Consulting Group, LLC and John Michael Maier. (voc) (Entered: 11/09/2011)</p>

11/09/2011	<a href="#">606</a>	Exhibit List by Defendants AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan and David B. Fenkell. (voc) (Entered: 11/09/2011)
11/23/2011	<a href="#">607</a>	Motion to Compel <i>Production of Documents from Defendant David B. Fenkell</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Motions referred to Magistrate Judge Stephen L. Crocker. Response due 11/30/2011. (Swanson, Robyn) (Entered: 11/23/2011)
11/23/2011	<a href="#">608</a>	Declaration of Robyn M. Swanson filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">607</a> Motion to Compel, (Attachments: # <a href="#">1</a> Exhibit A - Plaintiffs' First Request for Production of Documents to Defendant Fenkell dated June 20, 2011, # <a href="#">2</a> Exhibit B - Responses and Objections to Plaintiffs' Request for Production of Documents to Defendant Fenkell dated July 20, 2011) (Swanson, Robyn) (Entered: 11/23/2011)
11/23/2011	<a href="#">609</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 11/23/2011)
11/28/2011		Telephone Motion Hearing set as to <a href="#">607</a> Motion to Compel <i>Production of Documents from Defendant David B. Fenkell</i> . Telephone Motion Hearing set for 12/7/2011 at 11:00 AM before Magistrate Judge Stephen L. Crocker. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5153. (voc) (Entered: 11/28/2011)
11/30/2011	<a href="#">610</a>	Joint Motion for Hearing <i>Parties' Joint Request for Telephonic Status Conference</i> by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, Alpha Investment Consulting Group, LLC, Employee Stock Ownership Plan, David B. Fenkell, Pamela Klute, John Michael Maier, James Mastrangelo, Stephen W. Pagelow, Jeffrey A. Seefeldt, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Nannette Stoflet. Response due 12/7/2011. (Barton, Robert) (Entered: 11/30/2011)
11/30/2011	<a href="#">611</a>	Certificate of Service by Defendants AH Transition Corporation, Alliance Holdings, Inc. Employee Stock Ownership Plan, Alpha Investment Consulting Group, LLC, David B. Fenkell, Pamela Klute, John Michael Maier, James Mastrangelo, Stephen W. Pagelow, Jeffrey A. Seefeldt, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 11/30/2011)
11/30/2011	612	** TEXT ONLY ORDER ** ORDER setting telephone status conference for 12/2/2011 at 9:00 AM. Counsel for Plaintiff is responsible for setting up the call to chambers at (608) 264-5087. Signed by District Judge William M. Conley on 11/30/2011. (llj) (Entered: 11/30/2011)

11/30/2011	<a href="#">613</a>	Brief in Opposition by Defendant David B. Fenkell re: <a href="#">607</a> Motion to Compel, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 11/30/2011)
12/02/2011		Minute Entry for proceedings held before District Judge William M. Conley: Telephone Status Conference held on 12/2/2011 [:10] (mfh) (Entered: 12/02/2011)
12/02/2011	614	** TEXT ONLY ORDER ** At a status/scheduling conference held today, the court set the following schedule: Plaintiffs damages expert report and any supporting legal brief regarding appropriate relief shall be filed not later than January 16, 2012; Defendants response to the damage expert report and any response brief shall be filed not later than February 16, 2012. Trial to the court on damages shall commence February 27, 2012. Signed by District Judge William M. Conley on 12/2/2011. (voc) (Entered: 12/02/2011)
12/02/2011		Set/Reset Trial Deadlines/Hearings: Court Trial set for 2/27/2012 at 08:00 AM. (voc) (Entered: 12/02/2011)
12/06/2011	<a href="#">615</a>	Brief in Reply by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet in Support of <a href="#">607</a> Motion to Compel, ( <i>Plaintiffs' Reply In Support Of Their Motion To Compel Production Of Documents From Defendant David B. Fenkell</i> ) (Swanson, Robyn) (Entered: 12/06/2011)
12/06/2011	<a href="#">616</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 12/06/2011)
12/07/2011		Minute Entry for proceedings held before District Judge William M. Conley: Telephone Motion Hearing held on 12/7/2011 re <a href="#">607</a> Motion to Compel <i>Production of Documents from Defendant David B. Fenkell</i> filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore [:15] (mfh) (Entered: 12/07/2011)
12/07/2011	617	** TEXT ONLY ORDER ** ORDER granting <a href="#">607</a> Motion to Compel. On December 7, 2011, the Court held a telephonic hearing on plaintiffs Motion to Compel Production of Documents from Defendant David B. Fenkell <a href="#">607</a> . Attorneys for all parties were present. Plaintiffs and defendant Fenkell appear to agree that disgorgement is a proper remedy for a breach of fiduciary duty or a prohibited transaction under ERISA § 409. Their discovery dispute concerns whether such a claim against Fenkell remains in light of the courts finding at summary judgment that the spin-off of the Trachte employees holdings in the Alliance ESOP complied with the technical requirements of ERISA § 208. Section 406(b) of ERISA, however, prohibits a fiduciary from dealing with plan assets in his own interest or receiving any consideration from any party dealing with a plan in connection with a transaction involving plan assets. Fenkell argues that if the spin-off complied with § 208, then he could not be found to have used plan assets for a prohibited transaction while acting in his fiduciary capacity. Unfortunately for Fenkell, the court has not yet ruled on this liability issue and disgorgement of funds received under the Phantom

		Stock plan remain a potential remedy. Accordingly, plaintiffs Motion to Compel is GRANTED except insofar as plaintiffs seek to compel discovery of Fenkells income tax returns. Signed by District Judge William M. Conley on 12/7/2011. (voc) (Entered: 12/07/2011)
12/27/2011	<a href="#">618</a>	Motion for Extension of Time <i>to Submit Phase 2 Expert Reports &amp; Briefs on Damages and Relief</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Motions referred to Magistrate Judge Stephen L. Crocker. Response due 1/3/2012. (Barton, Robert) Modified on 1/18/2012 (voc). (Entered: 12/27/2011)
01/03/2012	<a href="#">619</a>	Brief in Opposition by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt re: <a href="#">618</a> MOTION for Extension of Time, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Wolf, Charles) (Entered: 01/03/2012)
01/03/2012	<a href="#">620</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Wolf, Charles) (Entered: 01/03/2012)
01/03/2012	<a href="#">621</a>	Brief in Opposition by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell re: <a href="#">618</a> MOTION for Extension of Time, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore ( <u>Sealed Document</u> ) (Attachments: # <a href="#">1</a> Exhibit (1) Feb. 15, 2011 Email, # <a href="#">2</a> Exhibit (2) Sept. 8, 2011 Email, # <a href="#">3</a> Exhibit (3) Sept. 12, 2011 Email, # <a href="#">4</a> Exhibit (4) Nov. 4, 2011 Email, # <a href="#">5</a> Exhibit (5) Nov. 15, 2011 Email, # <a href="#">6</a> Exhibit (6) Nov. 29, 2011 Letter, # <a href="#">7</a> Exhibit (7) Dec. 5, 2011 Email, # <a href="#">8</a> Exhibit (8) Dec. 22, 2011 Email, # <a href="#">9</a> Exhibit (9) Dec. 27, 2011 Email, # <a href="#">10</a> Exhibit (10) Jan. 3, 2012 Email) (Sobel, Ryan) (Entered: 01/03/2012)
01/03/2012	<a href="#">622</a>	Certificate of Service by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell (Sobel, Ryan) (Entered: 01/03/2012)
01/06/2012	<a href="#">623</a>	Motion to Compel <i>Production of Documents from Defendant Fenkell</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Motions referred to Magistrate Judge Stephen L. Crocker. Response due 1/13/2012. (Swanson, Robyn) (Entered: 01/06/2012)
01/06/2012	<a href="#">624</a>	Declaration of Robyn Swanson filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">623</a> Motion to Compel, ( <u>Sealed Document</u> ) (Attachments: # <a href="#">1</a> Exhibit A - Swanson email dated December 13, 2011, # <a href="#">2</a> Exhibit B - Sobel email dated December 21, 2011, # <a href="#">3</a> Exhibit C - Sobel email dated December 21, 2011 and related attachments,

		<p># <a href="#">4</a> Exhibit D - Barton letter dated December 23, 2011,  # <a href="#">5</a> Exhibit E - Sobel email dated December 27, 2011,  # <a href="#">6</a> Exhibit F part 1 - Sobel email dated December 30, 2011 and related attachments,  # <a href="#">7</a> Exhibit F part 2 - attachments to Sobel email dated December 30, 2011,  # <a href="#">8</a> Exhibit F part 3 - attachments to Sobel email dated December 30, 2011,  # <a href="#">9</a> Exhibit F part 4 - attachments to Sobel email dated December 30, 2011,  # <a href="#">10</a> Exhibit F part 5 - attachments to Sobel email dated December 30, 2011,  # <a href="#">11</a> Exhibit F part 6 - attachments to Sobel email dated December 30, 2011,  # <a href="#">12</a> Exhibit F part 7- attachments to Sobel email dated December 30, 2011,  # <a href="#">13</a> Exhibit F part 8 - attachments to Sobel email dated December 30, 2011,  # <a href="#">14</a> Exhibit F part 9 - attachments to Sobel email dated December 30, 2011)  (Swanson, Robyn) (Entered: 01/06/2012)</p>
01/06/2012	<a href="#">625</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 01/06/2012)
01/09/2012	<a href="#">626</a>	Brief in Reply by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet in Support of <a href="#">618</a> MOTION for Extension of Time, (Barton, Robert) (Entered: 01/09/2012)
01/09/2012	<a href="#">627</a>	Declaration of R. Joseph Barton filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet ( <i>in re: Reply in Support</i> ) re: <a href="#">618</a> MOTION for Extension of Time, (Attachments: # <a href="#">1</a> Exhibit A-1 (Plaintiffs' Second Request for Production of Documents from Defendants Alliance Holdings, Inc. and A.H.I., Inc. issued on October 28, 2010), # <a href="#">2</a> Exhibit A-2 (Objections and Responses to Plaintiffs' Second Request for Production of Documents from Defendants Alliance Holdings, Inc. and A.H.I., Inc. dated December 14, 2010), # <a href="#">3</a> Exhibit B (Letters from Ryan Sobel dated August 22 and August 31, 2011), # <a href="#">4</a> Exhibit C (Email from Sobel to Barton dated December 26, 2011), # <a href="#">5</a> Exhibit D (Email from Sobel to Barton dated January 3, 2012)) (Barton, Robert) (Entered: 01/09/2012)
01/09/2012	<a href="#">628</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 01/09/2012)
01/09/2012		Set Telephone Hearing as to <a href="#">623</a> Motion to Compel <i>Production of Documents from Defendant Fenkell</i> . Telephone Motion Hearing set for 1/18/2012 at 09:00 AM before Judge William M. Conley. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5087. (voc) (Entered: 01/09/2012)
01/13/2012	629	** TEXT ONLY ORDER ** ORDER re: <a href="#">618</a> Plaintiff's deadline for expert report is suspended pending the outcome of the hearing scheduled for Jan. 18, 2012 at 9:00 a.m. Signed by District Judge William M. Conley on 1/12/2012. (voc) (Entered: 01/13/2012)
01/13/2012	<a href="#">630</a>	Brief in Opposition by Defendant David B. Fenkell re: <a href="#">623</a> Motion to Compel, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Attachments:

		# <a href="#">1</a> Unreported Case: Kumar v. Hilton Hotels Corp., # <a href="#">2</a> Unreported Case: EEOC v. Premier Well Services, # <a href="#">3</a> Certificate of Service) (Sobel, Ryan) (Entered: 01/13/2012)
01/18/2012		Minute Entry for proceedings held before District Judge William M. Conley: Telephone Motion Hearing held on 1/18/2012 re <a href="#">623</a> Motion to Compel <i>Production of Documents from Defendant Fenkell</i> filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore, <a href="#">618</a> Motion for Extension of Time to <i>Submit Phase 2 Expert Reports &amp; Briefs on Damages and Relief</i> filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore [:25] (Court Reporter LS.) (mfh) (Entered: 01/18/2012)
01/18/2012	<a href="#">631</a>	ORDER granting <a href="#">618</a> Motion for Extension of Time; Plaintiffs expert reports are now due on or before February 15, 2012; responses are due on or before March 15, 2012; and the phase 2 trial to the court shall commence on Wednesday, April 25, 2012. Motion to Compel <a href="#">623</a> is granted in part and denied in part. Signed by District Judge William M. Conley on 1/18/2012. (voc) (Entered: 01/18/2012)
01/18/2012		Reset Trial Hearing: Court Trial set for 4/25/2012 at 08:30 AM. (voc) (Entered: 01/18/2012)
02/08/2012	<a href="#">632</a>	Notice of Withdrawal of Counsel by Marc I. Machiz re: Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Machiz, Marc) (Entered: 02/08/2012)
02/08/2012	<a href="#">633</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Machiz, Marc) (Entered: 02/08/2012)
02/15/2012	<a href="#">634</a>	Response re: 614 Text Only Order, <a href="#">631</a> Order on Motion for Extension of Time,, Order on Motion to Compel, ( <i>Plaintiffs' Memorandum on Remedies and Relief</i> ) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Barton, Robert) (Entered: 02/15/2012)
02/15/2012	<a href="#">635</a>	Declaration of R. Joseph Barton re <a href="#">634</a> Response to Order, ( <i>Plaintiffs' Memorandum on Remedies and Relief</i> ) (Attachments: # <a href="#">1</a> Exhibit A - Alpha Insurance Documents- See also document <a href="#">636</a> (Sealed)) (Barton, Robert) Modified on 2/16/2012 (voc). (Entered: 02/15/2012)
02/15/2012	<a href="#">636</a>	Exhibit A-Part 1 to <a href="#">635</a> Declaration of R. Joseph Barton (Pt.1) - Alpha Insurance Documents (Sealed Document) (Attachments: # <a href="#">1</a> Exhibit A- Part 2 - Alpha Insurance Documents (Barton, Robert) Modified on 2/16/2012 (jaf). Modified on 2/16/2012 (voc). (Entered: 02/15/2012)
02/15/2012	<a href="#">637</a>	Expert Report of Kevin Kreitzman by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Sealed Document) (Barton, Robert) (voc). (Additional attachment(s) added on 2/17/2012: # <a href="#">1</a> Appendix 1- BWVS Free Cash Flow Valuation-Corrected, # <a href="#">2</a> Appendix 2- Illustration of BWVS Free Cash Flow, # <a href="#">3</a> Appendix 3- Values of Trachte Employee's Accounts, # <a href="#">4</a> Appendix 4- Trachte Employee's Contributions, # <a href="#">5</a> Appendix 5- Trachte Building Systems 2002-2007 Comparison Transactions,

		# <a href="#">6</a> Appendix 6- Documents Considered for Phase II, # <a href="#">7</a> Appendix 7- Comparison of the Transaction Prices to the Current Values of Trachte) (voc). Modified on 2/17/2012 (voc). (Entered: 02/15/2012)
02/15/2012	<a href="#">638</a>	Disregard. See docket entry <a href="#">640</a> . Requested attorney refile exhibits as separate attachments on 2/16/2012 (jaf). Modified on 2/17/2012 (jaf). (Entered: 02/15/2012)
02/15/2012	<a href="#">639</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 02/15/2012)
02/16/2012	<a href="#">640</a>	Expert Report of Michael L. Spang by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet ( <u>Sealed Document</u> ) (Attachments: # <a href="#">1</a> Appendices A1-A4 - Payment and Account Balances, # <a href="#">2</a> Appendices B-B1 - Securities and Stock Purchases, # <a href="#">3</a> Appendices C-C1 - Asset Value and Cash Flow Analysis, # <a href="#">4</a> Appendices E-E1 - Asset Balances and Values, # <a href="#">5</a> Appendix F - Ending Balances, # <a href="#">6</a> Exhibit 1 - Spang Resume, # <a href="#">7</a> Exhibit 2 - Documents Considered, # <a href="#">8</a> Exhibit 3 - Statements Reviewed) (Barton, Robert) Modified on 2/17/2012 (jaf). (Entered: 02/16/2012)
03/01/2012	<a href="#">641</a>	Transcript of telephonic motion hearing, held 1/18/12 before Judge William M. Conley. Court Reporter: LS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (krj) (Entered: 03/01/2012)
03/07/2012	<a href="#">642</a>	Motion to Strike <a href="#">637</a> Expert Report,, ( <i>Portions Of</i> ) by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt. Response due 3/14/2012. (Attachments: # <a href="#">1</a> Exhibit A - Transcript of January 18, 2012 Hearing) (Spangler, Patrick) Modified on 3/8/2012 (jaf). (Entered: 03/07/2012)
03/07/2012	<a href="#">643</a>	Exhibit B to <a href="#">642</a> Motion to Strike filed by Pamela Klute, Jeffrey A. Seefeldt, James Mastrangelo <i>Kreitzman's Original Report</i> ( <u>Sealed Document</u> ) (Spangler, Patrick) Modified on 3/8/2012 (jaf). (Entered: 03/07/2012)
03/07/2012	<a href="#">644</a>	Exhibit C to <a href="#">642</a> Motion to Strike filed by Pamela Klute, Jeffrey A. Seefeldt, James Mastrangelo <i>Kreitzman's Rebuttal Report</i> ( <u>Sealed Document</u> ) (Spangler, Patrick) Modified on 3/8/2012 (jaf). (Entered: 03/07/2012)
03/07/2012	<a href="#">645</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Spangler, Patrick) (Entered: 03/07/2012)
03/09/2012	<a href="#">646</a>	Transcript of First Day of Court Trial, Volume 1-A, held 10/11/2011 before Judge William M. Conley. Court Reporter: LS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (voc) (Entered: 03/09/2012)

03/09/2012	<a href="#">647</a>	Transcript of First Day of Court Trial, Volume 1-C, held 10/11/2011 before Judge William M. Conley. Court Reporter: LS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (voc) (Entered: 03/09/2012)
03/12/2012	<a href="#">648</a>	Transcript of First Day of Court Trial, Volume 1-B, held 10/11/2011 before Judge William M. Conley. Court Reporter: CS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (lak) (Entered: 03/12/2012)
03/12/2012	<a href="#">649</a>	Unopposed Motion for Extension of Time <i>to Respond to Defendants' 642 Motion to Strike Portions of Kevin Kreitzman's Phase 2 Report</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Motions referred to Magistrate Judge Stephen L. Crocker. (Rinaldi, Bruce) Modified on 3/13/2012 (voc). (Entered: 03/12/2012)
03/12/2012	<a href="#">650</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Rinaldi, Bruce) (Entered: 03/12/2012)
03/13/2012	651	** TEXT ONLY ORDER ** ORDER granting <a href="#">649</a> Motion for Extension of Time to respond to Defendants' <a href="#">642</a> motion to strike portions of Kevin Kreitzman's phase 2 report. Brief in Opposition due 3/16/2012. Signed by Magistrate Judge Stephen L. Crocker on 3/12/2012. (voc) (Entered: 03/13/2012)
03/13/2012		Reset Deadlines as to <a href="#">642</a> Motion to Strike <a href="#">637</a> Expert Report, ( <i>Portions Of</i> ). Brief in Opposition due 3/16/2012. (voc) (Entered: 03/13/2012)
03/13/2012	<a href="#">652</a>	Transcript of Second Day of Court Trial, held 10/12/2011, Volume 2-B, before Judge William M. Conley. Court Reporter: LS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (voc) (Entered: 03/13/2012)
03/15/2012	<a href="#">653</a>	Transcript of Telephonic Status Conference, held 12/2/2011 before Judge William M. Conley. Court Reporter: LS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (voc) (Entered: 03/15/2012)
03/15/2012	<a href="#">654</a>	Transcript of Fourth Day of Court Trial, Volume 4-B, held 10/14/2011 before Judge William M. Conley. Court Reporter: LS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (voc) (Entered: 03/15/2012)
03/15/2012	<a href="#">655</a>	Transcript of third day of court trial, Volume 3-A, held 10/13/11 before Judge William M. Conley. Court Reporter: LS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic</a>

		<a href="#">Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (krj) (Entered: 03/15/2012)
03/15/2012	<a href="#">656</a>	Response re: <a href="#">631</a> Order on Motion for Extension of Time,, Order on Motion to Compel, <i>Response to Plaintiffs' Memo on Remedies and Relief</i> by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier. (Convery, James) (Entered: 03/15/2012)
03/15/2012	<a href="#">657</a>	Response re: <a href="#">631</a> Order on Motion for Extension of Time,, Order on Motion to Compel, by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt. (Wolf, Charles) (Entered: 03/15/2012)
03/15/2012	<a href="#">658</a>	Exhibit to <a href="#">657</a> Response to Order filed by Pamela Klute, Jeffrey A. Seefeldt, James Mastrangelo <i>Exhibit 1 - Supplemental Expert Report (Sealed Document)</i> (Wolf, Charles) Modified on 3/16/2012 (jaf). (Entered: 03/15/2012)
03/15/2012	<a href="#">659</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Wolf, Charles) (Entered: 03/15/2012)
03/15/2012	<a href="#">660</a>	Trial Brief ( <i>Phase 2 - Damages</i> ) by Defendants AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell (Attachments: # <a href="#">1</a> Unreported Case: Adair v. Unisys Corp., # <a href="#">2</a> Unreported Case: McDannold v. Star Bank, # <a href="#">3</a> Unreported Case: Neil v. Zell, # <a href="#">4</a> Unreported Case: Pa Chiropractic Assn v. Blue Cross, # <a href="#">5</a> Unreported Case: Richards v. Ernst & Young, # <a href="#">6</a> Street v. Ingalls Mem. Hosp., # <a href="#">7</a> Certificate of Service) (Sobel, Ryan) (Entered: 03/15/2012)
03/15/2012	<a href="#">661</a>	Expert Report of John D. Davis, CPA/ABV, CVA, CFE by Defendants AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell ( <i>Sealed Document</i> ) (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 03/15/2012)
03/16/2012	<a href="#">662</a>	Brief in Opposition by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">642</a> Motion to Strike, filed by Pamela Klute, Jeffrey A. Seefeldt, James Mastrangelo ( <i>Brief in Opposition to Defendants' Motion to Strike Portions of Kevin Kreitzman's Phase 2 Report</i> ) (Barton, Robert) (Entered: 03/16/2012)
03/16/2012	<a href="#">663</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 03/16/2012)
03/19/2012	<a href="#">664</a>	Transcript of Second Day of Court Trial, Volume 2-A, held 10/12/2011 before Judge William M. Conley. Court Reporter: CS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (voc) (Entered: 03/19/2012)
03/19/2012	<a href="#">665</a>	Transcript of Second Day of Court Trial, Volume 2-C, held 10/12/2011 before Judge William M. Conley. Court Reporter: CS.

		Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (voc) (Entered: 03/19/2012)
03/22/2012	<a href="#">666</a>	Transcript of Third Day of Court Trial, Volume 3-B, held 10/13/2011 before Judge William M. Conley. Court Reporter: CS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (voc) (Entered: 03/22/2012)
03/22/2012	<a href="#">667</a>	Notice by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet ( <i>Notice of Filing of Appendix D to the Report of Plaintiffs' Expert &amp; Summary Witness Report of Michael Spang</i> ). (Barton, Robert) (Entered: 03/22/2012)
03/22/2012	<a href="#">668</a>	Exhibit to <a href="#">669</a> Expert Report of Michael Spang (Corrected) filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore ( <i>Appendix D to Expert and Summary Witness Report of Michael L. Spang</i> ) ( <u>Sealed Document</u> ) (Barton, Robert) Modified on 3/23/2012 (jaf). (Entered: 03/22/2012)
03/22/2012	<a href="#">669</a>	Disregard; to be refiled. Expert Report of Michael Spang (Corrected) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet ( <u>Sealed Document</u> ) (Barton, Robert) Requested attorney refile with exhibits attached and described on 3/23/2012 (jaf). (Entered: 03/22/2012)
03/22/2012	<a href="#">670</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 03/22/2012)
03/23/2012	<a href="#">671</a>	Expert Report of Michael Spang by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet ( <u>Sealed Document</u> ) (Attachments: # <a href="#">1</a> Appendices A1-A4 - Payment and Account Balances, # <a href="#">2</a> Appendices B-B1 - Securities and Stock Purchases, # <a href="#">3</a> Appendices C-C1 - Asset Value and Cash Flow Analysis, # <a href="#">4</a> Appendix D - Asset Value Over Time, # <a href="#">5</a> Appendices E-E1 - Asset Values and Balances, # <a href="#">6</a> Appendix F - Ending Balances, # <a href="#">7</a> Exhibit 1 - Spang Resume, # <a href="#">8</a> Exhibit 2 - Documents Considered, # <a href="#">9</a> Exhibit 3 - Statements Reviewed) (Barton, Robert) (Entered: 03/23/2012)
03/26/2012	<a href="#">672</a>	Exhibit List - <i>Plaintiffs' Identification of Phase 2 Trial Exhibits</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins and Nannette Stoflet. (Attachments: # <a href="#">1</a> Plaintiffs' Phase 2 Trial Exhibit List) (Barton, Robert) Modified on 3/27/2012 (voc). (Entered: 03/26/2012)
03/26/2012	<a href="#">673</a>	Witness List - <i>Plaintiffs' Identification of Witnesses to be Called at Phase 2 of Trial</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins and Nannette Stoflet (Barton, Robert) Modified on 3/27/2012 (voc). (Entered: 03/26/2012)

03/26/2012	<a href="#">674</a>	Deposition Designations ( <i>Phase 2</i> ) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Barton, Robert) (Entered: 03/26/2012)
03/26/2012	<a href="#">675</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 03/26/2012)
03/28/2012	<a href="#">676</a>	Transcript of Fifth Day of Court Trial, Volume 5, held 10/17/2011 before Judge William M. Conley. Court Reporter: LS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (voc) (Entered: 03/28/2012)
03/28/2012	<a href="#">677</a>	ORDER denying <a href="#">288</a> Motion for Summary Judgment by Defendants Alpha Investment Consulting Group, LLC and John Michael Maier. Denying <a href="#">292</a> Motion for Summary Judgment by Defendants Pamela Klute, Jaimes Mastrangelo and Jeffrey A. Seefeldt. Granting in part and denying in part <a href="#">299</a> Motion for Summary Judgment by Defendants A.H.I., Inc., Alliance Holdings, Inc., Alliance Holdings, Inc., Employee Stock Ownership Plan and David B. Fenkell. Granting <a href="#">303</a> Motion for Summary Judgment Defendant Stephen W. Pagelow. Granting in part and denying in part <a href="#">307</a> Motion for Partial Summary Judgment by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins and Nannette Stoflet. Signed by District Judge William M. Conley on 3/28/2012. (voc) (Entered: 03/28/2012)
03/30/2012	<a href="#">678</a>	Transcript of Fourth Day of Court Trial, Volume 4-A, held 10/14/2011 before Judge William M. Conley. Court Reporter: CS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (voc) (Entered: 03/30/2012)
03/30/2012	<a href="#">679</a>	Transcript of Sixth Day of Court Trial, Volume 6A, held 10/18/2011 before Judge William M. Conley. Court Reporter: LS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (voc) (Entered: 03/30/2012)
04/02/2012	<a href="#">680</a>	Transcript of Sixth Day of Court Trial, Volume 6-B, held 10/18/2011 before Judge William M. Conley. Court Reporter: CS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (voc) (Entered: 04/02/2012)
04/05/2012	<a href="#">681</a>	Expert Report of Michael L. Spang (Supplemental) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet ( <a href="#">Sealed Document</a> ) (Attachments: # <a href="#">1</a> Appendix G - 2007 Transaction Proportionate Share (89%), # <a href="#">2</a> Appendix G-1 - 2007 Transaction Proportionate Share (83%), # <a href="#">3</a> Exhibit 4 - Schedule of Production by Date) (Barton, Robert) (Entered: 04/05/2012)

04/05/2012	<a href="#">682</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 04/05/2012)
04/06/2012	<a href="#">683</a>	Transcript of 7th Day Jury Trial, Volume 7, held 10/19/2011 before Judge William M. Conley. Court Reporter: CS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (llj) (Entered: 04/06/2012)
04/10/2012	<a href="#">684</a>	Joint Motion for Hearing ( <i>Status Conference</i> ) by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, Alpha Investment Consulting Group, LLC, Employee Stock Ownership Plan, David B. Fenkell, Pamela Klute, John Michael Maier, James Mastrangelo, Jeffrey A. Seefeldt, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Cross Defendants Alliance Holdings, Inc., Alliance Holdings, Inc., Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet, Cross Claimants Pamela Klute, Pamela Klute, James Mastrangelo, James Mastrangelo, Jeffrey A. Seefeldt, Jeffrey A. Seefeldt. (Attachments: # <a href="#">1</a> Certificate of Service) (Sobel, Ryan) (Entered: 04/10/2012)
04/10/2012	685	** TEXT ONLY ORDER ** ORDER granting <a href="#">684</a> Motion for Hearing. Telephone Status Conference set for 4/13/2012 at 01:30 PM. Signed by District Judge William M. Conley on 4/10/2012. (voc) (Entered: 04/10/2012)
04/11/2012	<a href="#">686</a>	Exhibit List ( <i>Amended Plaintiffs' Phase 2 Exhibit List</i> ) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Barton, Robert) (Entered: 04/11/2012)
04/11/2012	<a href="#">687</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 04/11/2012)
04/13/2012		Minute Entry for proceedings held before District Judge William M. Conley: Telephone Status Conference held on 4/13/2012 [:30] (skv) (Entered: 04/13/2012)
04/16/2012	688	** TEXT ONLY ORDER ** Plaintiffs may file for leave to amend the complaint to add Karen Fenkell no later than 5/7/2012. Court Trial set for 7/25/2012 at 8:30 AM. Telephone Status Conference set for 7/13/2012 at 01:00 PM before Judge William M. Conley. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5087. Signed by District Judge William M. Conley on 4/16/2012. (voc) (Entered: 04/16/2012)
04/16/2012		Set Hearing: Court Trial set for 7/25/2012 at 08:30 AM. (voc) (Entered: 04/16/2012)
05/03/2012	<a href="#">689</a>	Motion to Amend <a href="#">254</a> Amended Complaint,, by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Brief in Opposition due 5/10/2012. Brief in Reply due 5/17/2012. (Bunch, Monya) (Entered: 05/03/2012)

05/03/2012	<a href="#">690</a>	Declaration of Monya M. Bunch filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">689</a> Motion to Amend Complaint (Attachments: # <a href="#">1</a> Exhibit A - Plaintiffs' Proposed Third Amended Complaint, # <a href="#">2</a> Exhibit B - Excerpted pages (redline) from Proposed Third Amended Complaint, # <a href="#">3</a> Exhibit C - August and September Fenkell Statements (Filed Under Seal), # <a href="#">4</a> Exhibit D - October Fenkell Statements (Filed Under Seal), # <a href="#">5</a> Exhibit E - Email from R. Sobel to J. Barton and J. Wedel 3.21.2012 (Filed Under Seal)) (Bunch, Monya) (Entered: 05/03/2012)
05/03/2012	<a href="#">691</a>	Exhibit C - August and September Fenkell Statements to <a href="#">690</a> Declaration,, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore ( <u>Sealed Document</u> ) (Attachments: # <a href="#">1</a> Exhibit D - October Fenkell Statements, # <a href="#">2</a> Exhibit E - Email from R. Sobel to J. Barton and J. Wedel 3.21.2012) (Bunch, Monya) Modified on 5/4/2012 (jaf). (Entered: 05/03/2012)
05/03/2012	<a href="#">692</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Bunch, Monya) (Entered: 05/03/2012)
05/10/2012	<a href="#">693</a>	Brief in Opposition by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell re: <a href="#">689</a> Motion to Amend Complaint filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Attachments: # <a href="#">1</a> Unreported Case: Am. Top English v. Lexicon, # <a href="#">2</a> Unreported Case: Amich v. Sedgwick, # <a href="#">3</a> Unreported Case: Beauchem v. Rockford Prods., # <a href="#">4</a> Unreported Case: In re Bernard Madoff, # <a href="#">5</a> Unreported Case: Rizzo v. Wheaton Police Dept., # <a href="#">6</a> Certificate of Service) (Sobel, Ryan) (Entered: 05/10/2012)
05/10/2012	<a href="#">694</a>	Declaration of Ryan A. Sobel filed by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell re: <a href="#">689</a> Motion to Amend Complaint (Attachments: # <a href="#">1</a> Exhibit (1) E-mail of 2-6-12, # <a href="#">2</a> Exhibit (2) SQUIRE03865 - SQUIRE03866, with cover letter of 9/7/10, # <a href="#">3</a> Certificate of Service) (Sobel, Ryan) (Entered: 05/10/2012)
05/17/2012	<a href="#">695</a>	Deposition of John D. Davis taken on April 13, 2012 (Bunch, Monya) (Entered: 05/17/2012)
05/17/2012	<a href="#">696</a>	Brief in Reply by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet in Support of <a href="#">689</a> Motion to Amend Complaint (Bunch, Monya) (Entered: 05/17/2012)
05/17/2012	<a href="#">697</a>	Declaration of R. Joseph Barton filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet ( <i>Re: Reply In Support of Plaintiffs' Motion for Leave to Amend the Complaint</i> ) re: <a href="#">689</a> Motion to Amend

		Complaint (Bunch, Monya) (Entered: 05/17/2012)
05/17/2012	<a href="#">698</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Bunch, Monya) (Entered: 05/17/2012)
06/25/2012	<a href="#">699</a>	Witness List & <i>Exhibit List (Phase Two)</i> by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Spangler, Patrick) Advised counsel to file exhibit list and witness list separately. Modified on 6/26/2012 (voc). (Entered: 06/25/2012)
06/25/2012	<a href="#">700</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Spangler, Patrick) (Entered: 06/25/2012)
06/25/2012	<a href="#">701</a>	Rule 26(a)(3) Pretrial Disclosures by Defendants AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell, Cross Defendants Alliance Holdings, Inc., Alliance Holdings, Inc. (Sobel, Ryan) Advised counsel to file exhibit list and witness list separately. Modified on 6/26/2012 (voc). (Entered: 06/25/2012)
06/25/2012	<a href="#">702</a>	Witness List by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier (Convery, James) (Entered: 06/25/2012)
06/25/2012	<a href="#">703</a>	Exhibit List by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier. (Convery, James) (Entered: 06/25/2012)
06/25/2012	<a href="#">704</a>	Witness List ( <i>Plaintiffs' Identification of Witnesses to be Called at Phase 2 Trial</i> ) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 06/25/2012)
06/25/2012	<a href="#">705</a>	Exhibit List ( <i>Plaintiffs' Second Amended Identification of Phase 2 Trial Exhibits</i> ) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Barton, Robert) (Entered: 06/25/2012)
06/25/2012	<a href="#">706</a>	Deposition Designations ( <i>Plaintiffs' Identification of Witnesses Whose Testimony May Be Presented by Deposition at Trial</i> ) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Barton, Robert) (Entered: 06/25/2012)
06/25/2012	<a href="#">707</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 06/25/2012)
06/25/2012	<a href="#">708</a>	Notice by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re <a href="#">681</a> Expert Report, ( <i>Notice of Submission of Corrected Appendix G to Report of Plaintiff's Expert Michael L. Spang</i> ). ( <u>Sealed Document</u> ) (Attachments: # <a href="#">1</a> Explanation of Error, # <a href="#">2</a> Corrected Exhibit G) (Barton, Robert) Advised counsel to refile Exhibit "G" separately. Modified on 6/26/2012 (voc). (Entered: 06/25/2012)
06/25/2012	<a href="#">709</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 06/25/2012)
06/26/2012	<a href="#">710</a>	Exhibit to <a href="#">681</a> Expert Report, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore ( <i>Corrected Appendix G</i> ) ( <u>Sealed</u>

		<u>Document</u> ) (Barton, Robert) (Entered: 06/26/2012)
06/26/2012	<a href="#">711</a>	Witness List : <i>Alliance Defendants' Rule 26(a)(3) Identification of Live Witnesses for Phase 2 of Trial</i> , filed by Defendants AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell (Sobel, Ryan) (Entered: 06/26/2012)
06/26/2012	<a href="#">712</a>	Exhibit List : <i>Alliance Defendants' Rule 26(a)(3) Identification of Exhibits for Phase 2 of Trial</i> , filed by Defendants AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell. (Sobel, Ryan) (Entered: 06/26/2012)
06/28/2012		Telephone Status Conference previously set for 7/13/2012 has been rescheduled to 7/16/2012 at 1:00 PM to accommodate the court's calendar. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5087. (voc) (Entered: 06/28/2012)
07/09/2012	<a href="#">713</a>	Objections to Trial Exhibits by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Barton, Robert) (Entered: 07/09/2012)
07/09/2012	<a href="#">714</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 07/09/2012)
07/09/2012	<a href="#">715</a>	Objections to Trial Exhibits by Defendants AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell (Sobel, Ryan) Modified on 7/10/2012 (voc). (Entered: 07/09/2012)
07/09/2012	<a href="#">716</a>	Objection to Rule 26(a)(3) Designation of Deposition Testimony by Defendants AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell (Sobel, Ryan) Modified on 7/10/2012 (voc). (Entered: 07/09/2012)
07/09/2012	<a href="#">717</a>	Objections to Trial Exhibits by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt. (Spangler, Patrick) (Entered: 07/09/2012)
07/09/2012	<a href="#">718</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Spangler, Patrick) (Entered: 07/09/2012)
07/10/2012	<a href="#">719</a>	Transcript of Telephonic Status Conference, held 4/3/2012 before Judge William M. Conley. Court Reporter: CS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (voc) (Entered: 07/10/2012)
07/10/2012	<a href="#">720</a>	Objections to Trial Exhibits <i>Objections to Plaintiffs' Phase 2 Trial Exhibit List</i> by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier. (Convery, James) (Entered: 07/10/2012)
07/10/2012	<a href="#">721</a>	Stipulation for Phase 2 by Defendants AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, Alpha Investment Consulting Group, LLC, Employee Stock Ownership Plan, David B. Fenkell, Pamela Klute, John Michael Maier, James Mastrangelo, Stephen W.

		Pagelow, Jeffrey A. Seefeldt, Trachte Building Systems, Inc. Employee Stock Ownership Plan, Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Barton, Robert) (Entered: 07/10/2012)
07/10/2012	<a href="#">722</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 07/10/2012)
07/12/2012	<a href="#">723</a>	Disregard - refiled as entry <a href="#">726</a> ;Requested that condensed version of deposition be filed on 7/13/2012 (krj). Modified on 7/13/2012 (mmo). (Entered: 07/12/2012)
07/12/2012	<a href="#">724</a>	Motion to Strike <a href="#">658</a> Exhibit ( <i>Portions of Robert J. Gross' Phase 2 Expert Testimony</i> ) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Response due 7/19/2012. (Bunch, Monya) (Entered: 07/12/2012)
07/12/2012	<a href="#">725</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Bunch, Monya) (Entered: 07/12/2012)
07/13/2012	<a href="#">726</a>	Deposition of Robert Gross taken on June 12, 2012. (Bunch, Monya) Modified docket text on 7/13/2012 (mmo). (Entered: 07/13/2012)
07/16/2012		Minute Entry for proceedings held before District Judge William M. Conley: Telephone Status Conference held on 7/16/2012. Court trial to start July 25th at 3:00 p.m. - half days with criminal trial. [:15] (mfh) (Entered: 07/16/2012)
07/19/2012	<a href="#">727</a>	Brief in Opposition by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt re: <a href="#">724</a> Motion to Strike filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Attachments: # <a href="#">1</a> Exhibit (1) Gross's initial report, # <a href="#">2</a> Exhibit (2) Gross's rebuttal report, # <a href="#">3</a> Exhibit (3) Gross's supplemental report) (Wolf, Charles) (Entered: 07/19/2012)
07/19/2012	<a href="#">728</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Wolf, Charles) (Entered: 07/19/2012)
07/20/2012	729	Notice to Counsel: Court trial scheduled for 7/25/2012 will take place in courtroom 260. (lak) (Entered: 07/20/2012)
07/23/2012	<a href="#">730</a>	Notice by Defendants AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell : <i>Letter Re: Alliance Defendants' Phase II Trial Exhibits (Nos. 2871 to 3090)</i> . (Sobel, Ryan) (Entered: 07/23/2012)
07/23/2012	<a href="#">731</a>	Notice by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt ( <i>Letter to Court filing Trustee Defendants' Phase 2 Trial Exhibits T-1638 - T-1647</i> ). (Stathas, Lynn) (Entered: 07/23/2012)
07/23/2012	<a href="#">732</a>	Supplemental Exhibit List by Defendants AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell. (Sobel, Ryan) (Entered: 07/23/2012)
07/24/2012	<a href="#">733</a>	POST TRIAL ORDER. Motion to Strike <a href="#">472</a> by plaintiffs is denied. Motion for leave to amend complaint <a href="#">689</a> is granted in part and reserved in part. Signed by

		District Judge William M. Conley on 7/24/2012. (voc) (Entered: 07/24/2012)
07/24/2012	734	Revised Notice to Counsel: Court trial scheduled for 7/25/2012 will take place in courtroom <b>250</b> . (lak) (Entered: 07/24/2012)
07/24/2012	<a href="#">735</a>	ORDER granting in part and denying in part <a href="#">642</a> Motion to Strike Portions of Kevin Kreitzman's Phase 2 Report, granting in part and denying in part <a href="#">724</a> Motion to Strike Portions of Robert Gross' Phase 2 Expert Testimony. Signed by District Judge William M. Conley on 7/24/2012. (arw) (Entered: 07/24/2012)
07/25/2012	<a href="#">736</a>	ORDER that Plaintiffs' motion for leave to amend their complaint to add Karen Fenkell as a defendant and to assert a claim against her as a gratuitous transferee in violation of ERISA § 502(a)(3) dkt. # <a href="#">689</a> is GRANTED. Plaintiffs' proposed third amended complaint dkt. # <a href="#">690</a> -1 is now the operative pleading in this case. Signed by District Judge William M. Conley on 7/25/2012. (voc) (Entered: 07/25/2012)
07/25/2012		Set/Reset Trial Date: Second Day Court Trial set for 7/26/2012 at 3:00 PM. (lak) (Entered: 07/25/2012)
07/25/2012	<a href="#">737</a>	Response to Discovery Request from David B. Fenkell ( <u>Sealed Document</u> ) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Bunch, Monya) (Entered: 07/25/2012)
07/25/2012	<a href="#">738</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Bunch, Monya) (Entered: 07/25/2012)
07/26/2012		Set/Reset Trial Date: Third Day Court Trial set for 7/27/2012 at 3:00 PM. (lak) (Entered: 07/26/2012)
07/26/2012	<a href="#">739</a>	Notice by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet ( <i>Plaintiffs' Request for Judicial Notice of Exhibit</i> ). (Attachments: # <a href="#">1</a> Exhibit A - Historical Zero Coupon Bond Prices of U.S. Treasury Separate Trading of Registered Interest and Principal of Securities (STRIPS)) (Bunch, Monya) (Entered: 07/26/2012)
07/26/2012	<a href="#">740</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Bunch, Monya) (Entered: 07/26/2012)
07/26/2012	<a href="#">741</a>	Minute Entry for proceedings held before District Judge William M. Conley: 1st Day Court Trial held on 7/25/2012 Trial continues. [3:55] (Court Reporter LS.) (voc) (Entered: 07/26/2012)
07/26/2012	<a href="#">742</a>	Minute Entry for proceedings held before District Judge William M. Conley: 2nd Day Court Trial held on 7/26/2012 Trial continues. [3:55] (Court Reporter LS.) (voc) (Entered: 07/30/2012)
07/27/2012	<a href="#">743</a>	Minute Entry for proceedings held before District Judge William M. Conley: 3rd Day Court Trial held on 7/30/2012. [1:40] (Court Reporter LS.) (voc) (Entered: 07/30/2012)
08/16/2012	<a href="#">744</a>	Request for Issuance of Summons by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Bunch, Monya) (Entered: 08/16/2012)

		08/16/2012)
08/17/2012	<a href="#">745</a>	Disregard. See docket entry <a href="#">747</a> . Modified on 8/17/2012. (voc/lk) Modified on 8/23/2012: counsel contacted the court, indicated wrong document was attached. (arw) Modified on 8/24/2012 (jaf). (Entered: 08/17/2012)
08/17/2012	<a href="#">746</a>	Summons Issued as to Karen Fenkell. (voc) (Entered: 08/17/2012)
08/23/2012	<a href="#">747</a>	AMENDED COMPLAINT ( <i>THIRD AMENDED COMPLAINT</i> ) against A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, Alpha Investment Consulting Group, LLC, Employee Stock Ownership Plan, David B. Fenkell, Karen Fenkell, Pamela Klute, John Michael Maier, James Mastrangelo, Jeffrey A. Seefeldt, Trachte Building Systems, Inc. Employee Stock Ownership Plan, filed by All Plaintiffs. (Bunch, Monya) (Entered: 08/23/2012)
08/27/2012		Set Hearings: Settlement Conference set for 9/28/2012 at 9:00 AM in courtroom 360 before Peter Oppeneer. Any submissions of the parties must be received at clerkofcourt@wiwd.uscourts.gov by noon on 9/26/2012. (jaf) (Entered: 08/27/2012)
09/07/2012	<a href="#">748</a>	Notice by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet <i>Plaintiffs' Notice Regarding the Status of Defendants' Affirmative Defenses</i> . (Barton, Robert) (Entered: 09/07/2012)
09/07/2012	<a href="#">749</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 09/07/2012)
10/01/2012		Minute Entry for proceedings held before Magistrate Judge Peter A. Oppeneer: Settlement Conference held on 9/28/2012 [6:30] (jaf) (Entered: 10/01/2012)
10/01/2012	750	** TEXT ONLY ORDER ** Mediation was held on 9/28/2012 during which the parties did not reach a final settlement. Signed by Magistrate Judge Peter A. Oppeneer on 9/28/2012. (jaf) (Entered: 10/01/2012)
10/16/2012	<a href="#">751</a>	Stipulation for Acknowledgement of Service by Karen Fenkell ( <i>Stipulation Regarding Service of &amp; Response to the Third Amended Complaint</i> ) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet, Defendant Karen Fenkell. (Attachments: # <a href="#">1</a> Certificate of Service Certificate of Service) (Bunch, Monya) (Entered: 10/16/2012)
11/19/2012	<a href="#">752</a>	Motion to Supplement <i>The Record</i> by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt. Motions referred to Magistrate Judge Stephen L. Crocker. (Wolf, Charles) (Entered: 11/19/2012)
11/19/2012	<a href="#">753</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Wolf, Charles) (Entered: 11/19/2012)
11/27/2012	<a href="#">754</a>	Brief in Opposition by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">752</a> Motion to Supplement filed by Pamela Klute, Jeffrey A. Seefeldt, James Mastrangelo (Barton, Robert) (Entered: 11/27/2012)

		11/27/2012)
11/27/2012	<a href="#">755</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 11/27/2012)
12/06/2012	<a href="#">756</a>	Amended Motion to Supplement <i>The Record</i> by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt. Motions referred to Magistrate Judge Stephen L. Crocker. (Wolf, Charles) Requested attorney refile with affidavit as an individual docket entry on 12/7/2012 (jaf). See docket entry <a href="#">758</a> for Affidavit on 12/11/2012 (jaf). (Entered: 12/06/2012)
12/06/2012	<a href="#">757</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt (Wolf, Charles) (Entered: 12/06/2012)
12/07/2012	<a href="#">758</a>	Affidavit of Brian L. Anderson . (Attachments: # <a href="#">1</a> Exhibit A - Consent Resolutions, # <a href="#">2</a> Exhibit B - Agreement Executed by Alerus Financial, N.A., # <a href="#">3</a> Certificate of Service) (Wolf, Charles) Modified on 12/10/2012 (jaf). (Entered: 12/07/2012)
01/08/2013	<a href="#">759</a>	Notice of Supplemental Authority by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re <a href="#">634</a> Response to Order, . (Attachments: # <a href="#">1</a> Exhibit Amara v. Cigna Corp.) (Barton, Robert) (Entered: 01/08/2013)
01/08/2013	<a href="#">760</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 01/08/2013)
01/18/2013	<a href="#">761</a>	Notice of Appearance filed by Charles Clark Jackson for Defendant Alliance Holdings, Inc. (Attachments: # <a href="#">1</a> Certificate of Service) (Jackson, Charles) (Entered: 01/18/2013)
01/22/2013	<a href="#">762</a>	Motion to Admit Katherine Elizabeth Kenny Pro Hac Vice. ( Pro Hac Vice fee \$ 50 receipt number 0758-1113625.) by Defendant Alliance Holdings, Inc.. Motions referred to Magistrate Judge Stephen L. Crocker. (Attachments: # <a href="#">1</a> Declaration of Katherine E. Kenny, # <a href="#">2</a> Certificate of Service) (Kenny, Katherine) (Entered: 01/22/2013)
01/23/2013	763	** TEXT ONLY ORDER ** ORDER granting <a href="#">762</a> Motion to Admit Katherine Elizabeth Kenny Pro Hac Vice. Signed by Magistrate Judge Peter A. Oppeneer on 1/23/2013. (voc) (Entered: 01/23/2013)
01/29/2013	<a href="#">764</a>	Notice of Withdrawal of Counsel by Robyn Michelle Swanson re: Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 01/29/2013)
01/29/2013	<a href="#">765</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Swanson, Robyn) (Entered: 01/29/2013)
04/26/2013	<a href="#">766</a>	Notice of Appearance filed by Charles Clark Jackson for Defendants AH Transition Corporation, Alliance Holdings, Inc. (Attachments: # <a href="#">1</a> Certificate of Service certificate of service) (Jackson, Charles) (Entered: 04/26/2013)

		04/26/2013)
04/29/2013	<a href="#">767</a>	Motion to Withdraw : <i>Motion of Squire Sanders (US) LLP and Hinshaw Culbertson LLP for Leave to Withdraw as Counsel for Alliance Defendants</i> by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan. Response due 5/6/2013. (Sobel, Ryan) (Entered: 04/29/2013)
04/30/2013	<a href="#">768</a>	Motion to Admit Lars C. Golumbic Pro Hac Vice. ( Pro Hac Vice fee \$ 50 receipt number 0758-1167964.) by Defendant Alliance Holdings, Inc. Employee Stock Ownership Plan. Motions referred to Magistrate Judge Stephen L. Crocker. (Golumbic, Lars) (Entered: 04/30/2013)
04/30/2013	769	** TEXT ONLY ORDER ** ORDER granting <a href="#">768</a> Motion to Admit Lars C. Golumbic Pro Hac Vice. Signed by Magistrate Judge Peter A. Oppeneer on 4/30/2013. (voc) (Entered: 04/30/2013)
05/01/2013	<a href="#">770</a>	Notice of Appearance filed by Lars C. Golumbic for Defendant Alliance Holdings, Inc. Employee Stock Ownership Plan (Golumbic, Lars) (Entered: 05/01/2013)
05/03/2013	<a href="#">771</a>	Notice of Appearance <i>for Emily Glunz</i> filed by Emily Anne Glunz for Defendants AH Transition Corporation, Alliance Holdings, Inc., Cross Defendants Alliance Holdings, Inc., Alliance Holdings, Inc. (Attachments: # <a href="#">1</a> Certificate of Service) (Glunz, Emily) (Entered: 05/03/2013)
05/03/2013	<a href="#">772</a>	Motion for Leave to File <i>Amended Answer Asserting Contingent Crossclaims for Contribution and Indemnification</i> by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc.. (Attachments: # <a href="#">1</a> Exhibit A - Proposed Contingent Crossclaims) (Jackson, Charles) (Entered: 05/03/2013)
05/03/2013	<a href="#">773</a>	Brief in Support of <a href="#">772</a> Motion for Leave to File, by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc. (Jackson, Charles) (Entered: 05/03/2013)
05/03/2013	<a href="#">774</a>	Certificate of Service by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc. (Jackson, Charles) (Entered: 05/03/2013)
05/03/2013	<a href="#">775</a>	Notice of Appearance filed by Brian Arthur Price for Defendants David B. Fenkell, Karen Fenkell (Price, Brian) (Entered: 05/03/2013)
05/06/2013	<a href="#">776</a>	Motion to Withdraw as Attorney ( <i>Withdrawal of Squire Sanders (US) LLP and Hinshaw Culbertson LLP from Representation of Alliance Defendants, Alliance ESOP, and David Fenkell</i> ) by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, David B. Fenkell, Cross Defendants Alliance Holdings, Inc., Alliance Holdings, Inc.. Response due 5/13/2013. (Sobel, Ryan) (Entered: 05/06/2013)
05/08/2013	<a href="#">777</a>	Notice of Appearance filed by Douglas Andrew Rubel for Defendants David B. Fenkell, Karen Fenkell (Rubel, Douglas) (Entered: 05/08/2013)
05/08/2013	<a href="#">778</a>	Notice of Appearance filed by David Richard Johanson for Defendants David B. Fenkell, Karen Fenkell (Johanson, David) (Entered: 05/08/2013)

05/10/2013	<a href="#">779</a>	Motion to Admit Sarah Adams Zumwalt Pro Hac Vice. ( Pro Hac Vice fee \$ 50 receipt number 0758-1174206.) by Defendant Alliance Holdings, Inc. Employee Stock Ownership Plan. Motions referred to Magistrate Judge Stephen L. Crocker. (Zumwalt, Sarah) (Entered: 05/10/2013)
05/10/2013	<a href="#">780</a>	Motion to Admit Julia E. Zuckerman Pro Hac Vice. ( Pro Hac Vice fee \$ 50 receipt number 0758-1174209.) by Defendant Alliance Holdings, Inc. Employee Stock Ownership Plan. Motions referred to Magistrate Judge Stephen L. Crocker. (Zuckerman, Julia) (Entered: 05/10/2013)
05/10/2013	781	** TEXT ONLY ORDER ** ORDER granting <a href="#">767</a> Motion of Squire Sanders (US) LLP and Hinshaw Culbertson LLP for Leave to Withdraw as Counsel for Alliance Defendants by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan. Signed by District Judge William M. Conley on 5/10/2013. (voc) (Entered: 05/10/2013)
05/10/2013	782	** TEXT ONLY ORDER ** ORDER granting <a href="#">776</a> Motion to Withdraw as Attorney. Attorney Angela M. Rust; Ryan A. Sobel; Jeffrey J Wedel; Christopher Craig Woods; Lora L. Zimmer; Kristen Marie Blankley and Patrick F. Koenen terminated Signed by District Judge William M. Conley on 5/10/2013. (voc) (Entered: 05/10/2013)
05/10/2013		Set Briefing Deadlines as to <a href="#">772</a> Motion for Leave to File <i>Amended Answer Asserting Contingent Crossclaims for Contribution and Indemnification</i> . Response due by 5/21/2013. (voc) (Entered: 05/10/2013)
05/13/2013	783	** TEXT ONLY ORDER ** ORDER granting <a href="#">780</a> Motion to Admit Julia E. Zuckerman Pro Hac Vice. Signed by Magistrate Judge Peter A. Oppeneer on 5/13/2013. (voc) (Entered: 05/13/2013)
05/13/2013	784	** TEXT ONLY ORDER ** ORDER granting <a href="#">779</a> Motion to Admit Sarah Adams Zumwalt Pro Hac Vice. Signed by Magistrate Judge Peter A. Oppeneer on 5/13/13. (voc) (Entered: 05/13/2013)
05/14/2013	<a href="#">785</a>	Unopposed Motion for Extension of Time to <i>File Response to the Alliance Defendants' Motion for Leave to Amend Answer to Assert Contingent Crossclaims [DE 772 and 773]</i> by Defendant David B. Fenkell. Motions referred to Magistrate Judge Stephen L. Crocker. (Rubel, Douglas) Modified on 5/14/2013 (voc). (Entered: 05/14/2013)
05/14/2013	<a href="#">786</a>	Amended Motion for Extension of Time to <i>File Responses to the Alliance Defendants' Motion for Leave to Amend Answer to Assert Contingent Crossclaims [DE 772-773] (Unopposed)</i> by Defendant David B. Fenkell. Motions referred to Magistrate Judge Stephen L. Crocker. (Rubel, Douglas) (Entered: 05/14/2013)
05/14/2013	787	** TEXT ONLY ORDER ** ORDER granting <a href="#">786</a> Motion for Extension of Time to File Responses to the Alliance Defendants' Motion for Leave to Amend Answer. Brief in Opposition due 6/5/2013. Brief in Reply due 6/17/2013. Signed by District Judge William M.

		Conley on 5/14/2013. (voc) (Entered: 05/14/2013)
05/15/2013	<a href="#">788</a>	Notice of Appearance <i>for Christopher A. Weals</i> filed by Christopher Alan Weals for Defendants AH Transition Corporation, Alliance Holdings, Inc., Cross Defendants Alliance Holdings, Inc., Alliance Holdings, Inc. (Weals, Christopher) (Entered: 05/15/2013)
05/31/2013	<a href="#">789</a>	ORDER that plaintiffs are entitled to judgment on all affirmative defenses alleged in <a href="#">258</a> answer by defendants James Mastrangelo, Jeffrey Seefeldt and Pamela Klute and <a href="#">261</a> answer by defendants A.H.I., Inc., Alliance Holdings, Inc. and David B. Fenkell, except its standing defense which the court will resolve in the remedies opinion. Signed by District Judge William M. Conley on 5/31/13. (krj) (Entered: 05/31/2013)
06/04/2013	<a href="#">790</a>	ORDER ruling on remedies. Signed by District Judge William M. Conley on 6/4/2013. (voc) (Entered: 06/04/2013)
06/05/2013	<a href="#">791</a>	Brief in Support of <a href="#">772</a> Motion for Leave to File, by Defendant Alliance Holdings, Inc. Employee Stock Ownership Plan (Zuckerman, Julia) (Entered: 06/05/2013)
06/05/2013	<a href="#">792</a>	Brief in Opposition by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">772</a> Motion for Leave to File, filed by A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc. ( <i>PLAINTIFFS' OPPOSITION TO THE ALLIANCE ENTITIES' MOTION FOR LEAVE TO AMEND THEIR ANSWER TO ASSERT CONTINGENT CROSSCLAIMS AGAINST DEFENDANT DAVID B. FENKELL</i> ) (Attachments: # <a href="#">1</a> Exhibit A - Complaint (Spear v. Fenkell)) (Barton, Robert) (Entered: 06/05/2013)
06/05/2013	<a href="#">793</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 06/05/2013)
06/05/2013	<a href="#">794</a>	Corrected Brief in Opposition by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">772</a> Motion for Leave to File, filed by A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc. ( <i>PLAINTIFFS' OPPOSITION TO THE ALLIANCE ENTITIES' MOTION FOR LEAVE TO AMEND THEIR ANSWER TO ASSERT CONTINGENT CROSSCLAIMS AGAINST DEFENDANT DAVID B. FENKELL</i> ) (Attachments: # <a href="#">1</a> Exhibit A - Complaint (Spear v. Fenkell)) (Barton, Robert) (Entered: 06/05/2013)
06/05/2013	<a href="#">795</a>	Brief in Opposition by Defendant David B. Fenkell re: <a href="#">772</a> Motion for Leave to File, filed by A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc. (Rubel, Douglas) (Entered: 06/05/2013)
06/05/2013	<a href="#">796</a>	Certificate of Service by Defendant David B. Fenkell (Rubel, Douglas) (Entered: 06/05/2013)
06/06/2013	<a href="#">797</a>	Certificate of Service by Defendant Alliance Holdings, Inc. Employee Stock Ownership Plan (Zuckerman, Julia) (Entered: 06/06/2013)

06/12/2013	<a href="#">798</a>	ORDER denying <a href="#">772</a> Motion for Leave to File Amended Answer Asserting Contingent Crossclaims for Contribution and Indemnification. Signed by District Judge William M. Conley on 6/12/2013. (voc) (Entered: 06/12/2013)
07/01/2013	<a href="#">799</a>	Motion for Clarification re <a href="#">790</a> Order on Motion to Supplement by Defendant Alliance Holdings, Inc. Employee Stock Ownership Plan. Response due 7/8/2013. (Attachments: # <a href="#">1</a> Text of Proposed Order) (Golumbic, Lars) (Entered: 07/01/2013)
07/01/2013	<a href="#">800</a>	Declaration of Barbie L. Spear filed by Defendant Alliance Holdings, Inc. Employee Stock Ownership Plan re: <a href="#">799</a> Motion for Clarification (Attachments: # <a href="#">1</a> Exhibit A - Employee Stock Ownership Plan and Trust) (Golumbic, Lars) Modified on 7/2/2013 (jaf). (Entered: 07/01/2013)
07/05/2013	<a href="#">801</a>	Unopposed Motion for Extension of Time <i>to Respond to Nominal Defendant Alliance Holdings Inc. Employee Stock Ownership Plan's Motion for Clarification of the Court's June 4, 2013 Opinion and Order</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Motions referred to Magistrate Judge Stephen L. Crocker. (Bunch, Monya) (Entered: 07/05/2013)
07/05/2013	<a href="#">802</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Bunch, Monya) (Entered: 07/05/2013)
07/05/2013	<a href="#">803</a>	<b>MOTION TO DISMISS</b> by Defendant Karen Fenkell. Brief in Opposition due 7/26/2013. Brief in Reply due 8/5/2013. (Rubel, Douglas) (Entered: 07/05/2013)
07/05/2013	<a href="#">804</a>	Brief in Support of <a href="#">803</a> Motion to Dismiss by Defendant Karen Fenkell (Attachments: # <a href="#">1</a> Exhibit A: Fenkell Declaration - See also <a href="#">806</a> ) (Rubel, Douglas) Requested attorney file declaration as an individual docket entry on 7/8/2013 (jaf). Modified on 7/9/2013 (jaf). (Entered: 07/05/2013)
07/05/2013	<a href="#">805</a>	Certificate of Service by Defendant Karen Fenkell (Rubel, Douglas) (Entered: 07/05/2013)
07/08/2013	<a href="#">806</a>	Declaration of Karen Fenkell filed by Defendant Karen Fenkell re: <a href="#">803</a> Motion to Dismiss (Rubel, Douglas) (Entered: 07/08/2013)
07/09/2013	807	** TEXT ONLY ORDER ** ORDER granting <a href="#">801</a> Motion for Extension of Time. Brief in Opposition due 7/16/2013. Signed by Magistrate Judge Stephen L. Crocker on 7/9/2013. (voc) (Entered: 07/09/2013)
07/10/2013	808	** TEXT ONLY ORDER ** Amending briefing schedule re: <a href="#">803</a> Motion to dismiss by Defendant Karen Fenkell. Brief in Opposition due 7/22/2013. Brief in Reply due 8/1/2013. Signed by District Judge William M. Conley on 7/10/2013. (voc/mh) (Entered: 07/10/2013)
07/15/2013	<a href="#">809</a>	Transcript of First Day of Court Trial, held 7/25/2012 before Judge William M. Conley. Court Reporter: LS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic</a>

		<a href="#">Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (voc) Modified trial date on 7/16/2013 (krj). (Entered: 07/15/2013)
07/15/2013	<a href="#">810</a>	Transcript of Second Day Court Trial, held 7/26/2012 before Judge William M. Conley. Court Reporter: LS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (voc) Modified trial date on 7/16/2013 (krj). (Entered: 07/15/2013)
07/15/2013	<a href="#">811</a>	Transcript of Third Day of Court Trial, held 7/27/2012 before Judge William M. Conley. Court Reporter: LS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (voc) Modified trial date on 7/16/2013 (krj). (Entered: 07/15/2013)
07/16/2013	<a href="#">812</a>	Brief in Opposition by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">799</a> Motion for Clarification filed by Alliance Holdings, Inc. Employee Stock Ownership Plan (Barton, Robert) (Entered: 07/16/2013)
07/16/2013	<a href="#">813</a>	Declaration of R. Joseph Barton filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet <i>in Support of Plaintiffs' Response</i> re: <a href="#">799</a> Motion for Clarification (Attachments: # <a href="#">1</a> Exhibit A - Alliance Holdings Website Information for Kenneth J. Wanko, # <a href="#">2</a> Exhibit B - Alliance Holdings Website Information for Barbie Spear, # <a href="#">3</a> Exhibit C - Alliance Holdings ESOP and Trust Summary Plan Description) (Barton, Robert) (Entered: 07/16/2013)
07/16/2013	<a href="#">814</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 07/16/2013)
07/16/2013	<a href="#">815</a>	Brief in Opposition by Defendant David B. Fenkell re: <a href="#">799</a> Motion for Clarification filed by Alliance Holdings, Inc. Employee Stock Ownership Plan (Rubel, Douglas) (Entered: 07/16/2013)
07/19/2013	<a href="#">816</a>	Motion for Leave to File <i>Reply Brief in Support of Motion for Clarification</i> by Defendant Alliance Holdings, Inc. Employee Stock Ownership Plan. (Attachments: # <a href="#">1</a> Text of Proposed Order Granting Motion for Leave to File Reply Brief in Support of Motion for Clarification) (Golumbic, Lars) (Entered: 07/19/2013)
07/19/2013	<a href="#">817</a>	Brief in Reply by Defendant Alliance Holdings, Inc. Employee Stock Ownership Plan in Support of <a href="#">799</a> Motion for Clarification, <a href="#">816</a> Motion for Leave to File, <i>Reply Brief in Support of Motion for Clarification</i> (Golumbic, Lars) (Entered: 07/19/2013)
07/22/2013	<a href="#">818</a>	Brief in Opposition by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">803</a> Motion to Dismiss filed by Karen Fenkell ( <i>Plaintiffs' Opposition to Defendant Karen Fenkell's Motion to Dismiss</i> ) (Barton, Robert) (Entered: 07/22/2013)
08/01/2013	<a href="#">819</a>	Brief in Reply by Defendant Karen Fenkell in Support of <a href="#">803</a> Motion to Dismiss <i>Count XVI of the Third Amended Complaint</i> (Johanson, David) (Entered: 08/01/2013)

		08/01/2013)
08/01/2013	<a href="#">820</a>	Certificate of Service by Defendant Karen Fenkell (Johanson, David) (Entered: 08/01/2013)
09/21/2013	<a href="#">821</a>	Motion to Withdraw as Attorney <i>Julia E. Zuckerman</i> by Defendant Alliance Holdings, Inc. Employee Stock Ownership Plan. (Zuckerman, Julia) (Entered: 09/21/2013)
09/21/2013	<a href="#">822</a>	Notice of Withdrawal of Counsel by Julia E. Zuckerman re: Defendant Alliance Holdings, Inc. Employee Stock Ownership Plan (Zuckerman, Julia) (Entered: 09/21/2013)
09/23/2013	823	** TEXT ONLY ORDER ** ORDER granting <a href="#">821</a> Motion to Withdraw as Attorney. Attorney Julia E. Zuckerman terminated. Signed by Magistrate Judge Stephen L. Crocker on 9/23/2013. (voc) (Entered: 09/23/2013)
10/16/2013	<a href="#">824</a>	ORDER granting in part and denying in part <a href="#">799</a> Motion for Clarification of the court's June 4, 2013, opinion and order. ; denying <a href="#">803</a> Motion to Dismiss by defendant Karen Fenkell and granting <a href="#">816</a> Motion for Leave to File reply brief. Signed by District Judge William M. Conley on 10/15/2013. (voc) (Entered: 10/16/2013)
10/23/2013		Minute Entry for proceedings held before District Judge William M. Conley: Telephone Scheduling Conference held on 10/23/2013 [:40] (mfh) (Entered: 10/23/2013)
10/23/2013	<a href="#">825</a>	AMENDED Scheduling Order: Dispositive Motions due 12/20/2013. Final Pretrial Conference set for 3/6/2014 at 03:00 PM. Court Trial set for 3/10/2014 at 08:30 AM. Signed by District Judge William M. Conley on 10/23/2013. (voc) (Entered: 10/23/2013)
10/30/2013	<a href="#">826</a>	Unopposed Motion for Extension of Time to File Answer <i>or Otherwise Respond to The Third Amended Complaint</i> by Defendant Karen Fenkell. Motions referred to Magistrate Judge Stephen L. Crocker. (Johanson, David) (Entered: 10/30/2013)
10/30/2013	<a href="#">827</a>	Motion to Admit Willie E. Wilder Pro Hac Vice. ( Pro Hac Vice fee \$ 50 receipt number 0758-1249686.) by Defendant Alliance Holdings, Inc. Employee Stock Ownership Plan. Motions referred to Magistrate Judge Stephen L. Crocker. (Wilder, Willie) (Entered: 10/30/2013)
10/30/2013	828	** TEXT ONLY ORDER ** ORDER granting <a href="#">826</a> Motion for Extension of Time to Answer. Karen Fenkell answer due 11/6/2013. Signed by Magistrate Judge Stephen L. Crocker . (voc) (Entered: 10/30/2013)
10/30/2013	829	** TEXT ONLY ORDER ** ORDER granting <a href="#">827</a> Motion to Admit Willie E. Wilder Pro Hac Vice. Signed by Magistrate Judge Peter A. Oppeneer on 10/30/2013. (voc) (Entered: 10/30/2013)
10/30/2013	<a href="#">830</a>	Motion for Approval of Proposed Notice and Questionnaire to Class Members and Request for Payment of Notice Costs by Defendants re <a href="#">824</a> Order on Motion for

		Clarification,, Order on Motion to Dismiss,, Order on Motion for Leave to File, by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Response due 11/6/2013. (Attachments: # <a href="#">1</a> SubClass Notice and Questionnaire) (Bunch, Monya) (Entered: 10/30/2013)
10/30/2013	<a href="#">831</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet as to <a href="#">830</a> Motion for Approval of Proposed Notice and Questionnaire to Class Members and Request for Payment of Notice Costs by Defendants re <a href="#">824</a> Order on Motion for Clarification,, Order on Motion to Dismiss,, Order on Motion for Leave to File, . (Bunch, Monya) (Entered: 10/30/2013)
11/06/2013	<a href="#">832</a>	ANSWER to Amended Complaint , CROSSCLAIM against A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc. by Defendant Karen Fenkell. (Johanson, David) Modified on 11/7/2013 (jaf). (Entered: 11/06/2013)
11/13/2013	<a href="#">833</a>	Motion for Reconsideration re <a href="#">824</a> Order on Motion for Clarification,, Order on Motion to Dismiss,, Order on Motion for Leave to File, by Defendant Karen Fenkell. Response due 11/20/2013. (Attachments: # <a href="#">1</a> Certificate of Service) (Johanson, David) (Entered: 11/13/2013)
11/13/2013	<a href="#">834</a>	Brief in Support re: <a href="#">833</a> Motion for Reconsideration re <a href="#">824</a> Order on Motion for Clarification,, Order on Motion to Dismiss,, Order on Motion for Leave to File, by Defendant Karen Fenkell. Response due 11/20/2013. (Attachments: # <a href="#">1</a> Certificate of Service) (Johanson, David) Modified on 11/14/2013 (jaf). (Entered: 11/13/2013)
11/15/2013	<a href="#">835</a>	Response re: <a href="#">824</a> Order on Motion for Clarification,, Order on Motion to Dismiss,, Order on Motion for Leave to File, by Defendant Alliance Holdings, Inc.. (Attachments: # <a href="#">1</a> Exhibit A - Redline Proposed Changes to Notice) (Wilder, Willie) Modified on 11/18/2013 (jaf). (Entered: 11/15/2013)
11/15/2013	<a href="#">836</a>	Response re: <a href="#">824</a> Order on Motion for Clarification,, Order on Motion to Dismiss,, Order on Motion for Leave to File, <i>to Plaintiffs' Proposed Notice to the Subclass and Accompanying Motion</i> by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc.. (Attachments: # <a href="#">1</a> Exhibit A (Part 1) - Combined Value Calculations, # <a href="#">2</a> Exhibit A (Part 2) - Combined Value Calculations, # <a href="#">3</a> Exhibit B - B. Spear Declaration - See also <a href="#">838</a> ) (Jackson, Charles) Requested attorney file declaration as separate docket entry ; modified on 11/18/2013 (jaf). Modified on 11/19/2013 (jaf). (Entered: 11/15/2013)
11/15/2013	<a href="#">837</a>	Response re: <a href="#">824</a> Order on Motion for Clarification,, Order on Motion to Dismiss,, Order on Motion for Leave to File, <i>(To Plaintiff's Proposed Notice to the SubClass and Accompanying Motion)</i> by Defendants David B. Fenkell, Karen Fenkell. (Attachments: # <a href="#">1</a> Exhibit A: Redline of Proposed Notice, # <a href="#">2</a> Certificate of Service) (Rubel, Douglas) (Entered: 11/15/2013)

11/18/2013	<a href="#">838</a>	Declaration of Barbie Spear re <a href="#">836</a> Response to Order,, (Jackson, Charles) (Entered: 11/18/2013)
11/20/2013	<a href="#">839</a>	Brief in Opposition by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">833</a> Motion for Reconsideration, filed by Karen Fenkell (Barton, Robert) (Entered: 11/20/2013)
11/20/2013	<a href="#">840</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet as to <a href="#">839</a> Brief in Opposition. (Barton, Robert) (Entered: 11/20/2013)
11/20/2013	<a href="#">841</a>	Motion for Reconsideration <i>and Clarification/Modification of October 23, 2013 Scheduling Order</i> re <a href="#">825</a> Scheduling Order by Defendant Karen Fenkell. Response due 11/27/2013. (Attachments: # <a href="#">1</a> Certificate of Service) (Johanson, David) (Entered: 11/20/2013)
11/20/2013	<a href="#">842</a>	Brief in Support of <a href="#">841</a> Motion for Reconsideration, <i>Clarification/Modification of October 23, 2013 Scheduling Order</i> by Defendant Karen Fenkell (Attachments: # <a href="#">1</a> Certificate of Service) (Johanson, David) (Entered: 11/20/2013)
11/27/2013	<a href="#">843</a>	Brief in Opposition by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re <a href="#">835</a> Response to Order, <a href="#">836</a> Response to Order,, (PLAINTIFFS' RESPONSE TO THE ALLIANCE ENTITIES & THE ALLIANCE ESOP'S DISGUISED MOTIONS FOR RECONSIDERATION). (Barton, Robert) (Entered: 11/27/2013)
11/27/2013	<a href="#">844</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet as to <a href="#">843</a> Notice (Other),. (Barton, Robert) (Entered: 11/27/2013)
11/27/2013	<a href="#">845</a>	Brief in Opposition by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet <a href="#">830</a> Motion for Miscellaneous Relief, (Attachments: # <a href="#">1</a> Exhibit A - Redline of Proposed SubClass Notice and Questionnaire, # <a href="#">2</a> Exhibit B - Clean Version of Proposed Subclass Notice and Questionnaire) (Bunch, Monya) Modified on 12/2/2013 (jaf). (Entered: 11/27/2013)
11/27/2013	<a href="#">846</a>	<b>MOTION TO DISMISS <i>Karen Fenkell's Crossclaims</i></b> by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc.. Brief in Opposition due 12/18/2013. Brief in Reply due 12/30/2013. (Jackson, Charles) (Entered: 11/27/2013)
11/27/2013	<a href="#">847</a>	Brief in Support of <a href="#">846</a> Motion to Dismiss by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc. (Attachments: # <a href="#">1</a> Exhibit A - 2012 Amendment, # <a href="#">2</a> Exhibit B - Employment Agreement, # <a href="#">3</a> Exhibit C - Fenkell Complaint filed 3.22.2013) (Jackson, Charles) (Entered: 11/27/2013)
11/28/2013	<a href="#">848</a>	Brief in Reply by Defendant Karen Fenkell in Support of <a href="#">833</a> Motion for Reconsideration, (Attachments: # <a href="#">1</a> Certificate of Service) (Johanson, David) (Entered: 11/28/2013)

11/29/2013	<a href="#">849</a>	Notice by Defendants David B. Fenkell, Karen Fenkell of <i>Partial Settlement</i> . (Johanson, David) (Entered: 11/29/2013)
11/29/2013	<a href="#">850</a>	Certificate of Service by Defendants David B. Fenkell, Karen Fenkell. (Johanson, David) (Entered: 11/29/2013)
11/29/2013	<a href="#">851</a>	Motion to Stay proceedings <i>pending Partial Settlement and request for order to file under seal partial settlement and in camera</i> by Defendant Karen Fenkell. Response due 12/6/2013. (Johanson, David) Modified on 12/2/2013 (voc). (Entered: 11/29/2013)
11/29/2013	<a href="#">852</a>	Certificate of Service by Defendant Karen Fenkell. (Johanson, David) (Entered: 11/29/2013)
12/03/2013	853	<b>** TEXT ONLY ORDER **</b> Defendant Karen Fenkell's unopposed motion to stay proceedings pending partial statement and request for order to file under seal partial settlement and in camera (dkt. # <a href="#">851</a> ) is GRANTED subject to plaintiffs filing the settlement term sheet under seal and in camera on or before December 6, 2013. The court will hold a telephonic status conference next Wednesday, December 11, 2013, at 9:30 a.m. Plaintiffs to initiate the call to chambers at 608-264-5087. Telephone Status Conference set for 12/11/2013 at 09:30 AM Signed by District Judge William M. Conley on 12/3/2013. (voc) (Entered: 12/03/2013)
12/05/2013	<a href="#">854</a>	Settlement Agreement <i>Partial Settlement Term Sheet</i> by Defendants David B. Fenkell, Karen Fenkell (Johanson, David) Modified on 3/17/2014 (voc). (Entered: 12/05/2013)
12/06/2013	<a href="#">855</a>	Motion for an Order That David Fenkell's Alliance ESOP Account May Be Used To Satisfy the Award Against Him In the Court's Remedies Decision re <a href="#">790</a> Order on Motion to Supplement by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Response due 12/13/2013. (Attachments: # <a href="#">1</a> Exhibit A - Excerpt of Trial Transcript, # <a href="#">2</a> Exhibit B - Excerpt of Deposition Transcript of David Fenkell) (Barton, Robert) (Entered: 12/06/2013)
12/06/2013	<a href="#">856</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet as to <a href="#">855</a> Motion for an Order That David Fenkell's Alliance ESOP Account May Be Used TO Satisfy the Award Against Him In the Court's Remedies Decision re <a href="#">790</a> Order on Motion to Supplement . (Barton, Robert) (Entered: 12/06/2013)
12/09/2013		Set Telephone Status Conference: Telephone Status Conference rescheduled from 12/11/2013 at 09:30 AM to 12/11/2013 at 9:00 AM at the request of counsel. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5087. (voc) (Entered: 12/09/2013)
12/11/2013		Minute Entry for proceedings held before District Judge William M. Conley: Telephone Status Conference held on 12/11/2013 [:30] (Court Reporter LS.) (mfh) (Entered: 12/11/2013)

12/11/2013	<a href="#">857</a>	ORDER denying as moot <a href="#">833</a> Motion for Reconsideration ; <a href="#">841</a> Motion for Reconsideration and Clarification/Modification of October 23, 2013 Scheduling Order and <a href="#">846</a> Motion to Dismiss Karen Fenkell's Crossclaims. Telephone Hearing set for 1/29/2014 at noon. Signed by District Judge William M. Conley on 12/11/2013. (voc) (Entered: 12/11/2013)
12/11/2013		Set Hearing: Telephone Hearing set for 1/29/2014 at 12:00 PM before Judge William M. Conley. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5087. (voc) (Entered: 12/11/2013)
12/12/2013	<a href="#">858</a>	Transcript of Telephonic Hearing, held 12/11/13 before Judge William M. Conley. Court Reporter: LS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (rep) (Entered: 12/12/2013)
12/13/2013	<a href="#">859</a>	Unopposed Motion for Extension of Time <i>To File Opposition To Plaintiffs' Request for an Order That David Fenkell's Alliance ESOP Account May Be Used To Satisfy the Award Against Him In The Court's Remedies Decision</i> by Defendant David B. Fenkell. Motions referred to Magistrate Judge Stephen L. Crocker. Response due 12/20/2013. (Attachments: # <a href="#">1</a> Certificate of Service) (Johanson, David) (Entered: 12/13/2013)
12/16/2013	860	** TEXT ONLY ORDER ** ORDER granting <a href="#">859</a> Motion for Extension of Time. Brief in Opposition due 12/20/2013. Signed by District Judge William M. Conley on 12/16/2013. (voc) (Entered: 12/16/2013)
12/20/2013	<a href="#">861</a>	Unopposed Motion for Extension of Time <i>to File Opposition to Plaintiff's Request for an Order that David B. Fenkell's Alliance ESOP Account May be Used to Satisfy the Award Against Him</i> by Defendants David B. Fenkell, Karen Fenkell. Motions referred to Magistrate Judge Stephen L. Crocker. (Attachments: # <a href="#">1</a> Certificate of Service) (Johanson, David) (Entered: 12/20/2013)
12/23/2013	862	** TEXT ONLY ORDER ** ORDER granting <a href="#">861</a> Motion for Extension of Time. Brief in Opposition due 12/24/2013. Signed by District Judge William M. Conley on 12/23/2013. (voc) (Entered: 12/23/2013)
12/24/2013	<a href="#">863</a>	Brief in Opposition by Defendant David B. Fenkell re: <a href="#">855</a> Motion for Miscellaneous Relief, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Attachments: # <a href="#">1</a> Text of Proposed Order, # <a href="#">2</a> Certificate of Service) (Rubel, Douglas) (Entered: 12/24/2013)
01/07/2014	<a href="#">864</a>	Brief in Support of <a href="#">855</a> Motion for Miscellaneous Relief, ( <i>Plaintiffs' Reply in Support of Plaintiffs' Request for an Order that David Fenkell's Alliance ESOP Account May Be Used to Satisfy the Award Against Him in the Court's Remedies Decision</i> ) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 01/07/2014)

01/07/2014	<a href="#">865</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet as to <a href="#">864</a> Brief in Support,. (Barton, Robert) (Entered: 01/07/2014)
01/29/2014	<a href="#">866</a>	ORDER granting in part and denying in part <a href="#">855</a> Motion for an Order That David Fenkell's Alliance ESOP Account May Be Used To Satisfy The Award Against Him In The Court's Remedies Decision re <a href="#">790</a> Order on Motion to Supplement. Signed by District Judge William M. Conley on 1/28/2014. (lak) (Entered: 01/29/2014)
01/29/2014		Minute Entry for proceedings held before William M Conley: Telephone Hearing held on 1/29/2014 [:25] (Court Reporter LS.) (mfh) (Entered: 01/29/2014)
01/29/2014	867	<p><b>** TEXT ONLY ORDER **</b></p> <p>The court held a telephonic conference today at which all of the parties appeared by counsel. While the court was prepared to rule on all remaining issues, all parties advised the court that they were close to settlement and asked for the court to hold off on issuing any further decisions. The court reluctantly agreed to do so, but only for a very limited period of time. Accordingly, (1) on or before February 5, 2014, the parties shall advise the court whether this matter has been resolved; (2) on or before February 12, 2014, the parties shall submit the terms of settlement and /or proposed class notice; (3) on or before Saturday, February 15, 2014, any responses to the February 12, 2014, submissions are due; (4) on or before Monday, February 17, 2014, any replies are due; and (5) the court hold will hold a telephonic hearing on February 18, 2014, at 10:00 a.m. to address all remaining matters in dispute and/or preliminarily approve the settlement and proposed class notice. Plaintiffs to initiate the call to chambers at 608-264-5087. Telephone Status Conference set for 2/18/2014 at 10:00 AM before Judge William M. Conley. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5087. Signed by District Judge William M. Conley on 1/29/2014. (voc) (Entered: 01/29/2014)</p>
01/29/2014		Briefing set re: Settlement and/or Proposed class notice. Brief in Opposition due 2/15/2014. Brief in Reply due 2/17/2014. Signed by District Judge William M. Conley on 1/29/2014. (voc) (Entered: 01/29/2014)
01/31/2014	<a href="#">868</a>	<p>Transcript of Telephonic Motion Hearing, held 1/29/2014 before Judge William M. Conley. Court Reporter: LS.</p> <p>Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a>. (voc) (Entered: 01/31/2014)</p>
02/05/2014	<a href="#">869</a>	Status Report by Defendants David B. Fenkell, Karen Fenkell (Attachments: # <a href="#">1</a> Certificate of Service) (Rubel, Douglas) (Entered: 02/05/2014)
02/05/2014	<a href="#">870</a>	Status Report by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Erlandson, Andrew) (Entered: 02/05/2014)
02/07/2014	<a href="#">871</a>	Status Report ( <i>Supplemental</i> ) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Erlandson, Andrew) (Entered: 02/07/2014)

02/12/2014	<a href="#">872</a>	Status Report by Defendants David B. Fenkell, Karen Fenkell (Attachments: # <a href="#">1</a> Certificate of Service) (Johanson, David) (Entered: 02/12/2014)
02/12/2014	<a href="#">873</a>	Settlement Agreement ( <i>Agreement In Principle with Trustee Defendants and Steven Pagelow</i> ) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet, Defendants Pamela Klute, James Mastrangelo, Stephen W. Pagelow, Jeffrey A. Seefeldt (Barton, Robert) (Entered: 02/12/2014)
02/12/2014	<a href="#">874</a>	Settlement Agreement ( <i>Settlement Term Sheet with Alliance Defendants</i> ) by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 02/12/2014)
02/12/2014	<a href="#">875</a>	Settlement Agreement ( <i>Agreement with Trachte Building Systems and Trachte Building Systems, Inc. ESOP</i> ) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet, Defendant Trachte Building Systems, Inc. Employee Stock Ownership Plan (Barton, Robert) (Entered: 02/12/2014)
02/12/2014	<a href="#">876</a>	Motion for Preliminary Approval of Settlement Agreement by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Attachments: # <a href="#">1</a> Proposed Settlement Class Notice) (Barton, Robert) (Entered: 02/12/2014)
02/13/2014	<a href="#">877</a>	Notice by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re <a href="#">876</a> Motion for Preliminary Approval of Settlement Agreement ( <i>Notice of Filing of Plan of Allocation</i> ). (Attachments: # <a href="#">1</a> Plan of Allocation) (Barton, Robert) (Entered: 02/13/2014)
02/14/2014	<a href="#">878</a>	Notice of Appearance ( <i>Personal</i> ) by Counsel at Telephonic Hearing for David B. Fenkell and Karen G. Fenkell with Certificate of Service filed by David Richard Johanson for Defendants David B. Fenkell, Karen Fenkell (Johanson, David) (Entered: 02/14/2014)
02/14/2014	<a href="#">879</a>	Motion to Clarify and Modify the Definition of the Class and Subclass by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Response due 2/21/2014. (Barton, Robert) (Entered: 02/14/2014)
02/14/2014	<a href="#">880</a>	Notice of Appearance ( <i>Notice of Personal Appearance by Class Counsel at Hearing on February 18, 2014</i> ) filed by Robert Joseph Barton for Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) (Entered: 02/14/2014)
02/15/2014	<a href="#">881</a>	Motion to Adopt Changes to the Proposed Class Notice by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc.. Response due 2/24/2014. (Attachments: # <a href="#">1</a> Exhibit A - Redlined Version of Class Notice, # <a href="#">2</a> Exhibit B - Class Notice Incorporating Changes) (Jackson, Charles) Modified on 2/18/2014 (jaf). (Entered: 02/15/2014)
02/15/2014	<a href="#">882</a>	Brief in Opposition by Defendants David B. Fenkell, Karen Fenkell re <a href="#">873</a> Settlement Agreement, <a href="#">871</a> Status Report, <a href="#">874</a> Settlement Agreement, <a href="#">875</a>

		Settlement Agreement, <a href="#">870</a> Status Report [ <i>Response and Preliminary Objections of Defendants David B. Fenkell and Karen G. Fenkell Regarding Plaintiffs' Settlement Filings, Proposed Class Notice, February 12, 2014, Filings by Plaintiffs, and Finalization of This Court's Orders</i> ]. (Attachments: # <a href="#">1</a> Exhibit A: Preliminary Proposed Objections and REvisions to Proposed Notice, # <a href="#">2</a> Certificate of Service) (Rubel, Douglas) Modified on 2/19/2014 (jaf). (Entered: 02/15/2014)
02/15/2014	<a href="#">883</a>	Motion for Court-Ordered, Mandatory Settlement Conference by Defendants David B. Fenkell, Karen Fenkell. Response due 2/24/2014. (Attachments: # <a href="#">1</a> Text of Proposed Order, # <a href="#">2</a> Certificate of Service) (Rubel, Douglas) (Entered: 02/15/2014)
02/17/2014	<a href="#">884</a>	Brief in Opposition by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt re: <a href="#">883</a> Motion for Miscellaneous Relief filed by David B. Fenkell, Karen Fenkell (Attachments: # <a href="#">1</a> Certificate of Service) (Wolf, Charles) (Entered: 02/17/2014)
02/17/2014	<a href="#">885</a>	Brief in Opposition by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">883</a> Motion for Miscellaneous Relief filed by David B. Fenkell, Karen Fenkell (Erlandson, Andrew) (Entered: 02/17/2014)
02/17/2014	<a href="#">886</a>	Brief in Support of <a href="#">876</a> Motion to Approve Settlement Agreement by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Attachments: # <a href="#">1</a> Redlined/Revised Class Notice) (Barton, Robert) (Entered: 02/17/2014)
02/17/2014	<a href="#">887</a>	Brief in Opposition by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc. re: <a href="#">883</a> Motion for Miscellaneous Relief filed by David B. Fenkell, Karen Fenkell <i>and re</i> <a href="#">882</a> Responses and Preliminary Objections by David and Karen Fenkell (Jackson, Charles) (Entered: 02/17/2014)
02/17/2014	<a href="#">888</a>	Brief in Opposition re: <a href="#">881</a> Motion to Adopt Changes to the Proposed Class Notice [ <i>Reply to the February 15, 2014, Alliance Entities' and Alliance ESOP's Motion to Adopt Changes to the Class Notice</i> ]. (Attachments: # <a href="#">1</a> Certificate of Service) (Rubel, Douglas) Modified on 2/18/2014 (jaf). (Entered: 02/17/2014)
02/18/2014		Minute Entry for proceedings held before William M Conley: Status Conference held on 2/18/2014. Preliminary settlement approved. Final Settlement hearing set for 6/17/14 at 1:00 pm. [:55] (Court Reporter LS.) (rep) (Entered: 02/18/2014)
02/18/2014		Set Hearings: Settlement Approval Hearing set for 6/17/2014 at 01:00 PM. (rep) (Entered: 02/18/2014)
02/19/2014	<a href="#">889</a>	ORDER denying as moot <a href="#">830</a> Motion for Approval of Proposed Notice and Questionnaire to Class Members and Request for Payment of Notice Costs by Defendants. Denying as moot <a href="#">881</a> Motion to Adopt Changes to the Proposed Class Notice , Denying <a href="#">883</a> Motion for Court-Ordered, Mandatory Settlement Conference . Granting <a href="#">876</a> Motion for Preliminary Approval of Settlement Agreement. Signed by District Judge William M. Conley on 2/18/2014. (voc)

		(Entered: 02/19/2014)
02/26/2014	<a href="#">890</a>	Transcript of Status Hearing, held 2/18/2014 before Judge William M. Conley. Court Reporter: LS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (voc) (Entered: 02/26/2014)
02/26/2014	<a href="#">891</a>	Notice by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc. <i>Alliance Entities' Notice of Compliance with the Class Action Fairness Act, 28 U.S.C. 1715(b)</i> . (Attachments: # <a href="#">1</a> Exhibit 1 - Alliance Entities' CAFA Notice to US Attorney General, # <a href="#">2</a> Exhibit 2 - Alliance Entities' Sample CAFA Notice) (Jackson, Charles) (Entered: 02/26/2014)
03/03/2014	<a href="#">892</a>	Disregard. See docket entry <a href="#">894</a> . Requested attorney refile with exhibits attached individually and named on 3/4/2014 (jaf). Modified on 3/6/2014 (jaf). (Entered: 03/03/2014)
03/03/2014	<a href="#">893</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt as to <a href="#">892</a> Notice (Other). (Spangler, Patrick) (Entered: 03/03/2014)
03/04/2014	<a href="#">894</a>	Notice by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt of <i>Compliance</i> . (Attachments: # <a href="#">1</a> Exhibit 2/21/14 Letter from Patrick Spangler, # <a href="#">2</a> Exhibit 2/28/14 Letter from Patrick Spangler) (Spangler, Patrick) (Entered: 03/04/2014)
03/04/2014	<a href="#">895</a>	Certificate of Service by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt as to <a href="#">894</a> Notice (Other). (Spangler, Patrick) (Entered: 03/04/2014)
03/04/2014	<a href="#">896</a>	Brief in Reply by Defendant Stephen W. Pagelow, Cross Defendants Stephen W. Pagelow, Stephen W. Pagelow in Support of <a href="#">879</a> Motion for Miscellaneous Relief (Silver, Alan) (Entered: 03/04/2014)
03/05/2014	897	** TEXT ONLY ORDER ** The court previously reserved on plaintiffs' motion to clarify and modify the definitions of the class and subclass to exclude defendants (dkt. # <a href="#">879</a> ) pending a response from Stephen Pagelow. (2/19/14 Order (dkt. # <a href="#">889</a> ) 5.) In light of Pagelow's response, stating that he does not object to the motion (dkt. # <a href="#">896</a> ), plaintiffs' motion to amend the class definition (dkt. # <a href="#">879</a> ) is GRANTED. Signed by District Judge William M. Conley on 3/5/14. (jat) (Entered: 03/06/2014)
03/07/2014	<a href="#">898</a>	Notice re: Settlement in Principle by Defendant Stephen W. Pagelow, Cross Defendants Stephen W. Pagelow, Stephen W. Pagelow . (Attachments: # <a href="#">1</a> Exhibit March 5, 2014 CAFA Notice) (Silver, Alan) Modified on 3/10/2014 (jaf). (Entered: 03/07/2014)
03/10/2014	<a href="#">899</a>	Settlement Agreement ( <i>Class Action Settlement Agreement Between Plaintiffs and Defendants James Mastrangelo, Jeffrey Seefeldt, Pamela Klute and Stephen Pagelow</i> ) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet, Defendants Pamela Klute, James Mastrangelo, Stephen

		W. Pagelow, Jeffrey A. Seefeldt (Barton, Robert) (Entered: 03/10/2014)
03/10/2014	<a href="#">900</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet as to <a href="#">899</a> Settlement Agreement,. (Barton, Robert) (Entered: 03/10/2014)
03/11/2014	<a href="#">901</a>	Notice by Defendants David B. Fenkell, Karen Fenkell ( <i>Service of CAFA Notice</i> ). (Attachments: # <a href="#">1</a> Exhibit A: CAFA Notice to Attorneys General, # <a href="#">2</a> Certificate of Service) (Rubel, Douglas) (Entered: 03/11/2014)
03/14/2014	<a href="#">902</a>	Consent Motion to Unseal Document 854 by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc.. Motions referred to Magistrate Judge Stephen L. Crocker. (Jackson, Charles) (Entered: 03/14/2014)
03/17/2014	903	** TEXT ONLY ORDER ** ORDER granting <a href="#">902</a> Motion to Unseal Document <a href="#">854</a> Settlement Agreement. Signed by Magistrate Judge Stephen L. Crocker on 3/17/2014. (voc) (Entered: 03/17/2014)
03/21/2014	<a href="#">904</a>	Unopposed Motion for Extension of Time <i>to Issue Class Notice</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Motions referred to Magistrate Judge Stephen L. Crocker. (Barton, Robert) (Entered: 03/21/2014)
03/21/2014	<a href="#">905</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet as to <a href="#">904</a> Unopposed Motion for Extension of Time <i>to Issue Class Notice</i> . (Barton, Robert) (Entered: 03/21/2014)
03/26/2014	906	** TEXT ONLY ORDER ** The court is in receipt of plaintiffs' unopposed motion for extension of time to issue class notices based on a new settlement agreement concerning plaintiffs' claims regarding Fenkell's ESOP account. (Dkt. # <a href="#">904</a> .) This motion is GRANTED as follows: (1) this new settlement agreement and revised class notice shall be filed on or before March 28, 2014, in order to be considered as part of this class settlement; (2) if the settlement agreement is not filed by Friday, March 28, 2014, class counsel shall send notices to class members by April 7, 2014; (3) if the settlement agreement is filed by March 28, 2014, the court will promptly issue an opinion on preliminary approval of the settlement, and class counsel shall send the approved revised class notices within ten days of that order; (4) on or before May 7, 2014, class counsel shall file a declaration to the court confirming compliance with notice procedures; and (5) class members shall have until May 31, 2014, to review the terms of the settlement, return the questionnaire (for subclass members), or object. All other dates in the court's February 19, 2014, order (dkt. # <a href="#">889</a> ) remain in place. Signed by District Judge William M. Conley on 3/25/2014. (voc) (Entered: 03/26/2014)
03/26/2014		Set Deadline: Settlement agreement due 3/28/2014. (voc) (Entered: 03/26/2014)
03/28/2014	<a href="#">907</a>	Settlement Agreement ( <i>Settlement Term Sheet between Plaintiffs and Alpha Investment Consulting Group and John Michael Maier</i> ) by Defendants Alpha

		Investment Consulting Group, LLC, John Michael Maier, Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Barton, Robert) (Entered: 03/28/2014)
03/28/2014	<a href="#">908</a>	Settlement Agreement ( <i>Partial Settlement Term Sheet Between Plaintiffs and David B. Fenkell Regarding Plaintiffs' Claims Against David B. Fenkell's Alliance ESOP Account</i> ) by Defendant David B. Fenkell. (Rubel, Douglas) (Entered: 03/28/2014)
03/28/2014	<a href="#">909</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet as to <a href="#">907</a> Settlement Agreement. (Barton, Robert) (Entered: 03/28/2014)
03/28/2014	<a href="#">910</a>	Motion for Preliminary Approval of Settlement Agreement by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Attachments: # <a href="#">1</a> Revised Plan of Allocation, # <a href="#">2</a> Updated Class Notice with Questionnaire) (Barton, Robert) (Entered: 03/28/2014)
03/28/2014	<a href="#">911</a>	Certificate of Service by Defendant David B. Fenkell as to <a href="#">908</a> Settlement Agreement. (Rubel, Douglas) (Entered: 03/28/2014)
03/28/2014	<a href="#">912</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet as to <a href="#">910</a> Motion for Preliminary Approval of Settlement Agreement . (Barton, Robert) (Entered: 03/28/2014)
04/09/2014	<a href="#">913</a>	ORDER granting <a href="#">910</a> Motion for Preliminary Approval of Settlement Agreement Settlement Approval Hearing set for 7/24/2014 at 01:00 PM Signed by District Judge William M. Conley on 4/9/2014. (voc) (Entered: 04/09/2014)
04/15/2014	<a href="#">914</a>	Notice by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier re <a href="#">907</a> Settlement Agreement, <i>Regarding 28 U.S.C. Section 1715(b)</i> . (Attachments: # <a href="#">1</a> Exhibit 1- April 15, 2014 Notice ) (Convery, James) Modified on 4/16/2014 (voc). (Entered: 04/15/2014)
04/24/2014	<a href="#">915</a>	Notice by Defendants David B. Fenkell, Karen Fenkell ( <i>Notice of Compliance With 28 U.S.C. Section 1715(b) (CAFA)</i> ). (Attachments: # <a href="#">1</a> Exhibit 1: Second CAFA Notice, # <a href="#">2</a> Certificate of Service) (Johanson, David) (Entered: 04/24/2014)
05/07/2014	<a href="#">916</a>	Notice by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re <a href="#">913</a> Order on Motion to Approve Settlement Agreement ( <i>Notice of Filing Declaration Confirming Compliance with Class Notice Procedures</i> ). (Barton, Robert) (Entered: 05/07/2014)
05/07/2014	<a href="#">917</a>	Declaration of Nashira Washington re <a href="#">916</a> Compliance with the Class Notice Procedures. (Barton, Robert) Modified on 5/8/2014. (lak) (Entered: 05/07/2014)
05/15/2014	<a href="#">918</a>	Motion to Appear by Telephone at Fairness Hearing by Defendant Alliance Holdings, Inc. Employee Stock Ownership Plan. Motions referred to Magistrate

		Judge Stephen L. Crocker. (Wilder, Willie) (Entered: 05/15/2014)
05/16/2014	919	** TEXT ONLY ORDER ** Nominal defendant Alliance Holdings, Inc. Employee Stock Ownership Plan's motion <a href="#">918</a> to appear at the fairness hearing via telephone is GRANTED. Signed by District Judge William M. Conley on 5/16/2014. (voc) (Entered: 05/16/2014)
05/20/2014	<a href="#">920</a>	Notice of Appearance filed by Brian L. Anderson for Defendant Trachte Building Systems, Inc. Employee Stock Ownership Plan. (Anderson, Brian) (Entered: 05/20/2014)
06/12/2014	<a href="#">921</a>	Motion for Payment of Incentive Awards to the Class Representatives by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Response due 6/19/2014. (Barton, Robert) (Entered: 06/12/2014)
06/12/2014	<a href="#">922</a>	Declaration of Carol Chesemore filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">921</a> Motion for Payment of Incentive Awards to the Class Representatives. (Barton, Robert) Modified on 6/12/2014. (lak) (Entered: 06/12/2014)
06/12/2014	<a href="#">923</a>	Declaration of Daniel Donkle filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">921</a> Motion for Payment of Incentive Awards to the Class Representatives. (Barton, Robert) Modified on 6/12/2014. (lak) (Entered: 06/12/2014)
06/12/2014	<a href="#">924</a>	Declaration of Martin Robbins filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">921</a> Motion for Payment of Incentive Awards to the Class Representatives. (Barton, Robert) Modified on 6/12/2014. (lak) (Entered: 06/12/2014)
06/12/2014	<a href="#">925</a>	Declaration of Nannette Stoflet filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">921</a> Motion for Payment of Incentive Awards to the Class Representatives. (Barton, Robert) Modified on 6/12/2014. (lak) (Entered: 06/12/2014)
06/12/2014	<a href="#">926</a>	Declaration of Thomas Gieck filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">921</a> Motion for Payment of Incentive Awards to the Class Representatives. (Barton, Robert) Modified on 6/12/2014. (lak) (Entered: 06/12/2014)
06/12/2014	<a href="#">927</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet as to <a href="#">921</a> Motion for Payment of Incentive Awards to the Class Representatives . (Barton, Robert) (Entered: 06/12/2014)
06/12/2014	<a href="#">928</a>	Motion for Attorney Fees ( <i>Class Counsel's Motion for an Award of Attorney's Fees and Reimbursement of Expenses</i> ) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Barton, Robert) (Main Document 928 replaced on 6/13/2014) (voc). (Entered: 06/12/2014)
06/12/2014	<a href="#">929</a>	Declaration of Jeff Burbach filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">928</a> Motion for Attorney Fees

		(Barton, Robert) (Entered: 06/12/2014)
06/12/2014	<a href="#">930</a>	Declaration of Bruce Rinaldi filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">928</a> Motion for Attorney Fees (Barton, Robert) (Entered: 06/12/2014)
06/12/2014	<a href="#">931</a>	Declaration of Gary Greenwald filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">928</a> Motion for Attorney Fees (Barton, Robert) (Entered: 06/12/2014)
06/12/2014	<a href="#">932</a>	Declaration of Daniel Feinberg filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">928</a> Motion for Attorney Fees (Barton, Robert) (Entered: 06/12/2014)
06/12/2014	<a href="#">933</a>	Declaration of Andrew Erlandson filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">928</a> Motion for Attorney Fees (Attachments: # <a href="#">1</a> Exhibit A - HBS Firm Listing of Graduation Years and Billing Rates) (Barton, Robert) (Entered: 06/12/2014)
06/12/2014	<a href="#">934</a>	Motion for Attorney Fees <i>and Costs Pursuant to ERISA §502(g)(1) Against Defendant David Fenkell</i> , by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Barton, Robert) (Main Document 934 replaced on 6/13/2014) (voc). (Entered: 06/13/2014)
06/13/2014	<a href="#">935</a>	Disregard. Signature and exhibits missing. See <a href="#">936</a> . Modified on 6/13/2014 (voc). Modified on 6/16/2014. (lak) (Entered: 06/13/2014)
06/13/2014		Set Deadlines as to <a href="#">928</a> Motion for Attorney Fees ( <i>Class Counsel's Motion for an Award of Attorney's Fees and Reimbursement of Expenses</i> ), <a href="#">934</a> Motion for Attorney Fees ( <i>Plaintiffs' Motion for an Award of Attorney Fees and Costs Pursuant to ERISA §502(g)(1) Against Defendant David Fenkell</i> ), <a href="#">921</a> Motion for Payment of Incentive Awards to the Class Representatives. Brief in Opposition due 6/27/2014. Brief in Reply due 7/7/2014. (voc/bw) (Entered: 06/13/2014)
06/13/2014	<a href="#">936</a>	Declaration of R. Joseph Barton filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">928</a> Motion for Attorney Fees, <a href="#">934</a> Motion for Attorney Fees, (Attachments: # <a href="#">1</a> Exhibit A -CMST Firm Resume, # <a href="#">2</a> Exhibit B -Law 360 article, # <a href="#">3</a> Exhibit C -Biographical Information of Marc I. Machiz, # <a href="#">4</a> Exhibit D -Chart of Document Subpoenas to Non-Parties, # <a href="#">5</a> Exhibit E -Chart of Witness Depositions, # <a href="#">6</a> Exhibit F -Primary CMST Attorney Chart, # <a href="#">7</a> Exhibit G -Summary Chart of Fees Billed to Case, # <a href="#">8</a> Exhibit H -Summary of Lodestar at Historical Rates, # <a href="#">9</a> Exhibit I -Expense Summary, # <a href="#">10</a> Exhibit J -Eisenberg & Miller article, # <a href="#">11</a> Exhibit K -Parker v. Dish Network, # <a href="#">12</a> Exhibit L -Detailed time Entries, # <a href="#">13</a> Exhibit M -National Law Journal 2013 Rate Survey,

		# <a href="#">14</a> Exhibit N -Taxable/Nontaxable Costs) (Barton, Robert) Modified on 6/16/2014. (lak) (Entered: 06/13/2014)
06/27/2014	<a href="#">937</a>	Brief in Opposition by Defendant David B. Fenkell re: <a href="#">934</a> Motion for Attorney Fees, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore. (Johanson, David) Modified on 6/30/2014: Also see <a href="#">938</a> Corrected Brief in Opposition. (lak) (Entered: 06/28/2014)
06/30/2014	<a href="#">938</a>	Corrected Brief in Opposition by Defendant David B. Fenkell re: <a href="#">928</a> Motion for Attorney Fees, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore, <a href="#">934</a> Motion for Attorney Fees, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore (Johanson, David) (Entered: 06/30/2014)
07/03/2014	<a href="#">939</a>	Motion for Appointment of Independent Fiduciary by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc. Response due 7/10/2014. (Attachments: # <a href="#">1</a> Exhibit - Declaration of David Cohen (Also see <a href="#">940</a> .), # <a href="#">2</a> Text of Proposed Order) (Jackson, Charles) Modified on 7/6/2014: Declaration to be filed separately. (lak) (Entered: 07/03/2014)
07/07/2014	<a href="#">940</a>	Declaration of David Cohen filed by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc. re: <a href="#">939</a> Motion for Appointment of Independent Fiduciary. (Jackson, Charles) Modified on 7/7/2014. (lak) (Entered: 07/07/2014)
07/07/2014	<a href="#">941</a>	Notice by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc. re: <a href="#">934</a> Motion for Attorneys Fees. (Jackson, Charles) Modified on 7/8/2014 to link to motion. (lak) (Entered: 07/07/2014)
07/07/2014	<a href="#">942</a>	Brief in Reply in Support of <a href="#">934</a> Motion for Attorney Fees, ( <i>Reply to Def. Fenkell's Opposition to Plaintiffs' ERISA 502(g) Motion and Class Counsel's Motion for An Award of Attorneys' Fees and Reimbursement of Expenses</i> ) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet (Barton, Robert) Modified on 7/8/2014. (lak) (Entered: 07/07/2014)
07/07/2014	<a href="#">943</a>	Declaration of Alina B. Lindblom re <a href="#">934</a> Motion for Attorney Fees, (Attachments: # <a href="#">1</a> Exhibit A - Prejudgment Interest Calculation) (Barton, Robert) Modified on 7/8/2014 to link to motion instead of brief. (lak) (Entered: 07/07/2014)
07/07/2014	<a href="#">944</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Barton, Robert) (Entered: 07/07/2014)
07/09/2014	<a href="#">945</a>	Motion to Appear by Telephone at July 24, 2014 <i>Fairness Hearing</i> by Defendants Pamela Klute, James Mastrangelo, Jeffrey A. Seefeldt. Motions referred to Magistrate Judge Stephen L. Crocker. (Wolf, Charles) (Entered: 07/09/2014)
07/10/2014	<a href="#">946</a>	Motion for Final Approval of Settlement Agreement by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Barton, Robert) (Entered: 07/10/2014)

07/10/2014	<a href="#">947</a>	Declaration of Alina B. Lindblom filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">946</a> Motion to Approve Settlement Agreement. (Barton, Robert) (Entered: 07/10/2014)
07/10/2014	<a href="#">948</a>	Supplemental Declaration of Nashira Washington filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">946</a> Motion to Approve Settlement Agreement. (Barton, Robert) (Entered: 07/10/2014)
07/10/2014	<a href="#">949</a>	Declaration of R. Joseph Barton filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">946</a> Motion to Approve Settlement Agreement. (Barton, Robert) (Entered: 07/10/2014)
07/11/2014	950	** TEXT ONLY ORDER ** ORDER that the Trustee Defendants' motion to appear at the fairness hearing via telephone (dkt. # <a href="#">945</a> ) is GRANTED. Signed by District Judge William M. Conley on 7/11/2014. (voc) (Entered: 07/11/2014)
07/11/2014	<a href="#">951</a>	Brief in Opposition by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">939</a> Motion for Appointment of Independent Fiduciary, filed by A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc. (Barton, Robert) Modified on 7/11/2014. (lak) (Entered: 07/11/2014)
07/11/2014		Set Telephone Status Conference: Telephone Status Conference set for 7/17/2014 at 10:00 AM before Judge William M. Conley. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5087. (voc) (Entered: 07/11/2014)
07/11/2014	<a href="#">952</a>	Supplemental Declaration of Bruce F. Rinaldi filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">928</a> Motion for Attorney Fees, <a href="#">934</a> Motion for Attorney Fees, (Attachments: # <a href="#">1</a> Exhibit A - Updated Trachte Lodestar, # <a href="#">2</a> Exhibit B - Updated Trachte Timekeeper Detail, # <a href="#">3</a> Exhibit C - Updated Trachte Expenses) (Rinaldi, Bruce) (Entered: 07/11/2014)
07/11/2014	<a href="#">953</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Rinaldi, Bruce) (Entered: 07/11/2014)
07/14/2014	<a href="#">954</a>	Motion to Reschedule Telephone Status Conference to 4:00 p.m. Central Time on July 17, 2014 by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Erlandson, Andrew) (Entered: 07/14/2014)
07/14/2014	955	** TEXT ONLY ORDER ** ORDER granting <a href="#">954</a> Motion to Reschedule Hearing. Telephone Status Conference set for 7/17/2014 at 04:00 PM. Signed by District Judge William M. Conley on 7/14/2014. (voc) (Entered: 07/14/2014)
07/14/2014	<a href="#">956</a>	Supplemental Declaration of Andrew W. Erlandson filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">928</a> Motion for Attorney Fees, <a href="#">934</a> Motion for Attorney Fees, (Attachments: # <a href="#">1</a> Exhibit A - Time Keeping Records) (Erlandson, Andrew) Modified on 7/15/2014 to add exhibit description. (lak) (Entered: 07/14/2014)

07/15/2014	<a href="#">957</a>	Motion to Appear by Telephone at the Fairness Hearing by Defendant Stephen W. Pagelow. Motions referred to Magistrate Judge Stephen L. Crocker. (Silver, Alan) (Entered: 07/15/2014)
07/15/2014	<a href="#">958</a>	Brief in Reply by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc. in Support of <a href="#">939</a> Motion for Appointment of Independent Fiduciary. (Jackson, Charles) Modified on 7/16/2014. (lak) (Entered: 07/15/2014)
07/16/2014	959	** TEXT ONLY ORDER ** ORDER granting <a href="#">957</a> Motion to Appear by Telephone by Defendant Stephen W. Pagelow at Fairness Hearing. Signed by District Judge William M. Conley on 7/16/2014. (voc) (Entered: 07/16/2014)
07/17/2014		Minute Entry for proceedings held before District Judge William M. Conley: Telephone Status Conference held on 7/17/2014 [:20] (mfh) (Entered: 07/17/2014)
07/18/2014	<a href="#">960</a>	Settlement Agreement by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier, Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Convery, James) (Entered: 07/18/2014)
07/21/2014	<a href="#">961</a>	Motion to Appear by Telephone at Fairness Hearing on July 24, 2014 by Defendants Alpha Investment Consulting Group, LLC, John Michael Maier. Motions referred to Magistrate Judge Stephen L. Crocker. (Convery, James) (Entered: 07/21/2014)
07/22/2014	<a href="#">962</a>	Motion to Appear by Telephone at Fairness Hearing by Cross Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc., Alliance Holdings, Inc., Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan. Motions referred to Magistrate Judge Stephen L. Crocker. (Glunz, Emily) (Entered: 07/22/2014)
07/22/2014	<a href="#">963</a>	Second Supplemental Declaration of Bruce F. Rinaldi filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">928</a> Motion for Attorney Fees, <a href="#">934</a> Motion for Attorney Fees, (Attachments: # <a href="#">1</a> Exhibit A - CMST Lodestar through 7/1/14) (Rinaldi, Bruce) Modified on 7/23/2014. (lak) (Entered: 07/22/2014)
07/23/2014	964	** TEXT ONLY ORDER ** ORDER granting <a href="#">961</a> and <a href="#">962</a> Motions to Appear by Telephone at Fairness Hearing. Signed by District Judge William M. Conley on 7/23/2014. (voc) (Entered: 07/23/2014)
07/23/2014	<a href="#">965</a>	Supplemental Brief in Support re: <a href="#">946</a> Motion to Approve Settlement Agreement by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Barton, Robert) Modified on 7/23/2014. (lak) (Entered: 07/23/2014)
07/24/2014	<a href="#">966</a>	Settlement Agreement <i>Between Class and Alliance</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Engel, Peyton) (Entered: 07/24/2014)

07/24/2014	<a href="#">967</a>	Settlement Agreement <i>Between Plaintiffs, the Alliance Entities, and the Alliance ESOP</i> by Defendant Alliance Holdings, Inc. Employee Stock Ownership Plan. (Golumbic, Lars) . (Entered: 07/24/2014)
07/24/2014	<a href="#">968</a>	Minute Entry for proceedings held before District Judge William M. Conley: Settlement Approval Hearing held on 7/24/2014 re <a href="#">946</a> Motion for Final Approval of Settlement Agreement filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore. Responses to be filed by 7/29/14. Replies by 8/1/14. [1:00] (Court Reporter LS.) (rep) (Entered: 07/24/2014)
07/24/2014	<a href="#">969</a>	ORDER granting <a href="#">939</a> Motion for Appointment of Independent Fiduciary. Plaintiffs to submit a letter by 8/1/14 to update the court on the settlement with the Fenkells. If a telephone hearing is necessary, it will be held 8/4/14 at 6:00 pm. The Alliance defendants may file a response to <a href="#">938</a> by 7/29/14. Replies due 8/1/14. Signed by District Judge William M. Conley on 7/24/14. (rep) (Entered: 07/24/2014)
07/24/2014		Set Deadlines as to <a href="#">946</a> Motion for Final Approval of Settlement Agreement. Telephone Motion Hearing set for 8/4/2014 at 06:00 PM before Judge William M. Conley. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5087. Response to <a href="#">938</a> Defendants Opposition to Attorneys' Fees due 7/29/14. Reply due 8/1/14. (rep) (Entered: 07/24/2014)
07/29/2014	<a href="#">970</a>	Response re: <a href="#">969</a> Order on Motion, Response re: <a href="#">928</a> Motion for Attorney Fees, Response re: <a href="#">934</a> Motion for Attorney Fees, by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc. (Jackson, Charles) Modified on 7/30/2014 to link to motions instead of brief. (lak) (Entered: 07/29/2014)
08/01/2014	<a href="#">971</a>	Status Report ( <i>Pursuant to Court's Order of July 24, 2014 - DE# 969</i> ) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Barton, Robert) Modified on 8/4/2014. (lak) (Entered: 08/01/2014)
08/01/2014	<a href="#">972</a>	Declaration of Michael Spang re <a href="#">971</a> Status Report. (Barton, Robert) (Entered: 08/01/2014)
08/01/2014	<a href="#">973</a>	Response by Defendant David B. Fenkell re: <a href="#">928</a> Motion for Attorney Fees, <a href="#">934</a> Motion for Attorney Fees. (Rubel, Douglas) Modified on 8/4/2014. (lak) (Entered: 08/01/2014)
08/05/2014	974	** TEXT ONLY ORDER ** Barring an indication from plaintiffs that the remaining confirmatory discovery dispute has been resolved, the court will hold a telephonic hearing at 6:00 p.m. on Friday, August 8, 2014. Plaintiffs to initiate the call to chambers at 608-264-5087. Signed by District Judge William M. Conley on 8/4/14. (jat) (Entered: 08/05/2014)
08/05/2014		Minute Entry for proceedings held before District Judge William M. Conley: Telephone Status Conference held on 8/4/2014 [:15] (Court Reporter LS.) (mfh) (Entered: 08/05/2014)
08/13/2014		Minute Entry for proceedings held before District Judge William M. Conley: Telephone Status Conference held on 8/8/2014 [:10] (mfh) (Entered: 08/13/2014)

08/14/2014	<a href="#">975</a>	Notice of Supplemental Authority by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re <a href="#">934</a> Motion for Attorney Fees ( <i>and Costs Pursuant to ERISA §502(g)(1) Against Defendant David Fenkell</i> ), (Attachments: # <a href="#">1</a> Temme v. Bemis Co., Inc.) (Barton, Robert) Modified on 8/14/2014. (lak) (Entered: 08/14/2014)
08/14/2014	<a href="#">976</a>	Certificate of Service by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet as to <a href="#">975</a> Notice of Supplemental Authority. (Barton, Robert) (Entered: 08/14/2014)
08/15/2014	<a href="#">977</a>	Status Report by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Barton, Robert) (Entered: 08/15/2014)
08/16/2014	<a href="#">978</a>	Notice of Settlement ( <i>Status Report</i> ) by Defendant David B. Fenkell. (Johanson, David) Modified on 8/18/2014. (lak) (Entered: 08/16/2014)
08/18/2014	979	** TEXT ONLY ORDER ** In the event the court does not receive all remaining signed settlement agreements, a telephonic hearing will be held on Friday, August 23, 2014, at 6:00 p.m. Plaintiffs to initiate the call. Telephone Status Conference set for 8/22/2014 at 06:00 PM before Judge William M. Conley. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5087. Signed by District Judge William M. Conley on 8/18/2014. (voc) (Entered: 08/18/2014)
08/22/2014	980	** TEXT ONLY ORDER ** In light of reports of an impasse, the telephonic status conference set for today at 6:00 p.m. central time is moved up to 3:00 p.m. Any party wishing to participate should contact Attorney R. Joseph Bartzen. Telephone Status Conference set for 8/22/2014 at 03:00 PM before Judge William M. Conley. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5087. Signed by District Judge William M. Conley on 8/22/2014. (voc) (Entered: 08/22/2014)
08/22/2014	981	** TEXT ONLY ORDER ** Consistent with the status conference held by telephone this afternoon, counsel for plaintiffs and the Fenkell defendants may have until Wed. August 27, 2014, to file a final version of their settlement agreement, along with a brief statement of no more than 5 pages as to why their version of the remaining dispute over language in sections 9.6(b) and 13.2 is consistent with the express language and spirit of the parties' original settlement term sheet. Signed by District Judge William M. Conley on 8/22/2014. (voc) (Entered: 08/22/2014)
08/26/2014	<a href="#">982</a>	Status Report by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Barton, Robert) (Entered: 08/26/2014)
08/26/2014	<a href="#">983</a>	Settlement Agreement <i>Between Plaintiffs, David B. Fenkell and Karen G. Fenkell, Trachte Building Systems, Inc. and Trachte Building Systems Inc. Employee Stock Ownership Plan</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Barton, Robert) (Entered: 08/26/2014)

09/02/2014	<a href="#">984</a>	Settlement Agreement ( <i>Fully Executed Partial Settlement Agreement Between Plaintiffs, David B. and Karen G. Fenkell, Trachte Building Systems, Inc.; and Trachte Building Systems, Inc. Employee Stock Ownership Plan</i> ) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Barton, Robert) (Entered: 09/02/2014)
09/05/2014	<a href="#">985</a>	ORDER granting <a href="#">921</a> Motion for Payment of Incentive Awards to the Class Representatives by Plaintiffs ; Granting <a href="#">928</a> Motion for Attorney Fees by Plaintiffs ; Granting <a href="#">934</a> Motion for Attorney Fees by Plaintiffs ; Granting <a href="#">946</a> Motion for Final Approval of Settlement Agreement by Plaintiffs Carol Chesemore. Signed by District Judge William M. Conley on 9/4/2014. (voc) (Entered: 09/05/2014)
09/08/2014	<a href="#">986</a>	FINAL JUDGMENT in favor of Carol Chesemore, Daniel Donkel, Martin Robbins, Nannette Stoflet, Thomas Gieck against David B. Fenkell in the total amount of \$ 3,898,022.92. (WMC /PAO). Signed by District Judge William M. Conley on 9/8/2014. (voc) (Entered: 09/08/2014)
10/01/2014	<a href="#">987</a>	Notice by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan <i>re: Valuation Report</i> . (Weals, Christopher) (Entered: 10/01/2014)
10/03/2014	<a href="#">988</a>	NOTICE OF APPEAL by Defendant David B. Fenkell as to <a href="#">677</a> Order on Motion for Summary Judgment, Order on Motion for Partial Summary Judgment, 688 Text Only Order, <a href="#">172</a> Order on Motion to Dismiss, Order on Motion for Leave to File, <a href="#">733</a> Order on Motion to Strike, Order on Motion to Amend Complaint, <a href="#">736</a> Order, <a href="#">889</a> Order on Motion for Miscellaneous Relief, Order on Motion to Approve Settlement Agreement, <a href="#">789</a> Order, 617 Order on Motion to Compel, <a href="#">866</a> Order on Motion for Miscellaneous Relief, <a href="#">256</a> Order on Motion to Bifurcate, Order on Motion to Amend Complaint, <a href="#">986</a> Judgment, <a href="#">824</a> Order on Motion for Clarification, Order on Motion to Dismiss, Order on Motion for Leave to File, <a href="#">913</a> Order on Motion to Approve Settlement Agreement, <a href="#">969</a> Order on Motion for Miscellaneous Relief, <a href="#">631</a> Order on Motion for Extension of Time, Order on Motion to Compel, <a href="#">985</a> Order on Motion for Miscellaneous Relief, Order on Motion for Attorney Fees, Order on Motion to Approve Settlement Agreement, <a href="#">790</a> Order on Motion to Supplement, <a href="#">555</a> Order on Motion to Strike, Order on Motion in Limine. Filing fee of \$505, receipt number 0758-1423235 paid. Docketing Statement filed. (Attachments: # <a href="#">1</a> Docketing Statement) (Johanson, David) Modified on 10/6/2014. (lak) (Entered: 10/03/2014)
10/06/2014	<a href="#">989</a>	Appeal Information Packet. (lak) (Entered: 10/06/2014)
10/06/2014	<a href="#">990</a>	Transmission of Notice of Appeal, Appeal Information Sheet, Docketing Statement, Orders, Judgment and Docket Sheet to Seventh Circuit Court of Appeals re: <a href="#">988</a> Notice of Appeal, (Attachments: # <a href="#">1</a> Appeal Information Sheet, # <a href="#">2</a> Docketing Statement, # <a href="#">3</a> Order 677, # <a href="#">4</a> Order 172, # <a href="#">5</a> Order 889,

		<p># <a href="#">6</a> Order 631,  # <a href="#">7</a> Order 256,  # <a href="#">8</a> Order 733,  # <a href="#">9</a> Order 913,  # <a href="#">10</a> Order 790,  # <a href="#">11</a> Order 789,  # <a href="#">12</a> Order 985,  # <a href="#">13</a> Order 555,  # <a href="#">14</a> Order 824,  # <a href="#">15</a> Order 969,  # <a href="#">16</a> Order 736,  # <a href="#">17</a> Order 866,  # <a href="#">18</a> Judgment,  # <a href="#">19</a> Docket Sheet) (lak) (Attachment 19 replaced on 10/9/2014) (lak). (Entered: 10/06/2014)</p>
10/06/2014	<a href="#">991</a>	<p>Motion to Amend/Correct the Order on Fees and Expenses and the Judgment re: <a href="#">986</a> Judgment, <a href="#">985</a> Order on Motion for Miscellaneous Relief, Order on Motion for Attorney Fees, Order on Motion to Approve Settlement Agreement, by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Response due 10/14/2014. (Barton, Robert) Modified on 10/7/2014. (lak) (Entered: 10/06/2014)</p>
10/07/2014	992	<p>USCA Case Number 14-3181 for <a href="#">988</a> Notice of Appeal filed by David B. Fenkell. (voc) (Entered: 10/07/2014)</p>
10/08/2014	<a href="#">993</a>	<p>CROSS NOTICE OF APPEAL by Plaintiffs Thomas Gieck, Martin Robbins, Nannette Stoflet as to <a href="#">986</a> Judgment, <a href="#">172</a> Order on Motion to Dismiss, <a href="#">677</a> Order on Motion for Summary Judgment, <a href="#">735</a> Order on Motion to Strike, <a href="#">790</a> Order Ruling on Remedies, <a href="#">985</a> Order on Motion for Miscellaneous Relief. Filing fee of \$ 505, receipt number 0758-1425538 paid. Docketing Statement filed. (Attachments: # <a href="#">1</a> Docketing Statement, # <a href="#">2</a> Certificate of Service) (Barton, Robert) Modified on 10/9/2014 to include orders. (lak) (Entered: 10/08/2014)</p>
10/09/2014	<a href="#">994</a>	<p>Appeal Information Packet. (lak) (Entered: 10/09/2014)</p>
10/09/2014	<a href="#">995</a>	<p>Transmission of Notice of Cross Appeal, Appeal Information Sheet, Docketing Statement, Orders, Judgment and Docket Sheet to Seventh Circuit Court of Appeals re: <a href="#">993</a> Notice of Cross Appeal, (Attachments: # <a href="#">1</a> Appeal Information Sheet, # <a href="#">2</a> Docketing Statement, # <a href="#">3</a> Order 172, # <a href="#">4</a> Order 677, # <a href="#">5</a> Order 735, # <a href="#">6</a> Order 790, # <a href="#">7</a> Order 985, # <a href="#">8</a> Judgment,</p>

		# <a href="#">9</a> Docket Sheet) (lak) (Entered: 10/09/2014)
10/10/2014	<a href="#">996</a>	USCA Case Number 14-3215 for <a href="#">993</a> Notice of Cross Appeal, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck. (voc) (Entered: 10/10/2014)
10/16/2014	<a href="#">997</a>	** TEXT ONLY ORDER ** The court is in receipt of plaintiffs' motion to amend/correct the order on fees and expenses (dkt. # <a href="#">985</a> ) and the judgment (dkt. # <a href="#">986</a> ). (Pls.' Mot. (dkt. # <a href="#">991</a> ).) Having received no opposition from defendants, the motion is GRANTED in both respects. First, the September 5, 2014, order is amended to reflect that the Fenkells agreed to pay \$7,500 toward costs as part of the settlement agreement on the settled claims. (See Settlement Agreement with Fenkells (dkt. # <a href="#">983</a> ) p.18 4.) Accordingly, the court will reduce the award of the costs from the common fund to \$391,291.29. To clarify, the \$1,854,008.50 in attorneys' fees awarded to plaintiffs against David Fenkell on the unsettled claims did not contemplate an award of costs. The clerk of court is directed to file an amended judgment in which paragraph d should read: "David Fenkell is liable to plaintiffs for attorney's fees on all claims not part of the settlement agreement in the amount of \$1,854,008.50." Second, the court clarifies its order to allow fees and expenses paid out of the common fund to include a proportionate share of accrued interest. Signed by District Judge William M. Conley on 10/17/2014. (voc) (Entered: 10/16/2014)
10/17/2014	<a href="#">998</a>	Transcript Request Form by Defendant David B. Fenkell re <a href="#">988</a> Notice of Appeal, (Attachments: # <a href="#">1</a> Proceedings for which transcript is required) (Johanson, David) Modified on 10/20/2014. (lak) (Entered: 10/17/2014)
10/17/2014	<a href="#">999</a>	AMENDED JUDGMENT that David B. Fenkell to restore to Alliance Holdings, Inc. Employee Stock Ownership Plan \$ 2,044,014.42; in favor of plaintiffs against David B. Fenkell for attorney fees in the amount of \$ 1,854,008.50. (WMC /PAO). (rep) (Entered: 10/17/2014)
10/20/2014	<a href="#">1000</a>	Transcript Request Form by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Barton, Robert) (Entered: 10/20/2014)
10/22/2014	<a href="#">1001</a>	Notice by Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc. (Attachments: # <a href="#">1</a> Exhibit A - Independent Fiduciary Statement) (Jackson, Charles) (Entered: 10/22/2014)
10/27/2014	<a href="#">1002</a>	Court Reporter Certification of Seventh Circuit Transcript Information Sheet (voc) (Entered: 10/27/2014)
10/28/2014	<a href="#">1003</a>	Court Reporter Certification of Seventh Circuit Transcript Information Sheet (voc) (Entered: 10/28/2014)
10/31/2014	<a href="#">1004</a>	Transcript of Settlement Hearing, held 7/24/14 before Judge William M. Conley. Court Reporter: LS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (jat) (Entered: 10/31/2014)

10/31/2014	<a href="#">1005</a>	AMENDED NOTICE OF APPEAL by Defendant David B. Fenkell as to <a href="#">677</a> Order on Motion for Summary Judgment, Order on Motion for Partial Summary Judgment, <a href="#">172</a> Order on Motion to Dismiss, Order on Motion for Leave to File, <a href="#">889</a> Order on Motion for Miscellaneous Relief, Order on Motion to Approve Settlement Agreement, <a href="#">986</a> Judgment, <a href="#">824</a> Order on Motion for Clarification, Order on Motion to Dismiss, Order on Motion for Leave to File, <a href="#">913</a> Order on Motion to Approve Settlement Agreement, <a href="#">985</a> Order on Motion for Miscellaneous Relief, Order on Motion for Attorney Fees, Order on Motion to Approve Settlement Agreement, <a href="#">790</a> Order on Motion to Supplement. Filing fee of \$ 505, receipt number 0758-1438682 paid. Docketing Statement filed. (Attachments: # <a href="#">1</a> Docketing Statement (Amended)) (Johanson, David) Modified on 11/3/2014. (lak) (Entered: 10/31/2014)
11/03/2014	<a href="#">1006</a>	Motion to Permit Registration of Judgment for Enforcement in Other Districts by Defendants Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Response due 11/10/2014. (Attachments: # <a href="#">1</a> Exhibit - Post-Judgment Document Requests, # <a href="#">2</a> Exhibit - Post-Judgment Interrogatories) (Jackson, Charles) (Entered: 11/03/2014)
11/03/2014	<a href="#">1007</a>	Appeal Information Packet. (lak) (Entered: 11/03/2014)
11/03/2014	<a href="#">1008</a>	Transmission of Amended Notice of Appeal, Appeal Information Sheet, Amended Docketing Statement, Orders, Judgment and Docket Sheet to Seventh Circuit Court of Appeals re: <a href="#">1005</a> Notice of Appeal, (Attachments: # <a href="#">1</a> Appeal Information Sheet, # <a href="#">2</a> Amended Docketing Statement, # <a href="#">3</a> Order 677, # <a href="#">4</a> Order 172, # <a href="#">5</a> Order 889, # <a href="#">6</a> Order 824, # <a href="#">7</a> Order 913, # <a href="#">8</a> Order 985, # <a href="#">9</a> Order 790, # <a href="#">10</a> Judgment, # <a href="#">11</a> Docket Sheet) (lak) (Entered: 11/03/2014)
11/04/2014	1009	USCA Case Number 14-3428 for <a href="#">1005</a> Notice of Appeal, filed by David B. Fenkell. (voc) (Entered: 11/04/2014)
11/06/2014	<a href="#">1010</a>	Unopposed Motion for Extension of Time <i>To File Amended Notice of Appeal</i> by Defendant David B. Fenkell. Motions referred to Magistrate Judge Stephen L. Crocker. (Rubel, Douglas) (Entered: 11/06/2014)
11/06/2014	<a href="#">1011</a>	Brief in Support of <a href="#">1010</a> MOTION for Extension of Time <i>To File Amended Notice of Appeal</i> by Defendant David B. Fenkell (Attachments: # <a href="#">1</a> Exhibit A: Seventh Circuit Consolidation Order, # <a href="#">2</a> Exhibit B: Seventh Circuit Order Regarding Jurisdictional Briefing, # <a href="#">3</a> Text of Proposed Order) (Rubel, Douglas) (Entered: 11/06/2014)

11/10/2014	<a href="#">1012</a>	Transcript of Telephonic Hearing, held 8/4/2014 before Judge William M. Conley. Court Reporter: LS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (voc) (Entered: 11/10/2014)
11/10/2014	<a href="#">1013</a>	Transcript of Audio Recording of of Telephone Hearing, held 8/8/2014 before Judge William M. Conley. Court Reporter: LS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (voc) (Entered: 11/10/2014)
11/14/2014	<a href="#">1014</a>	Notice of Change of Address by Douglas Andrew Rubel. (Rubel, Douglas) (Entered: 11/14/2014)
11/14/2014	<a href="#">1015</a>	Notice of Change of Address by David Richard Johanson. (Johanson, David) (Entered: 11/14/2014)
11/17/2014	1016	** TEXT ONLY ORDER ** For the reasons set forth in defendant David B. Fenkell's unopposed motion for extension of time to file amended notice of appeal (dkt. # <a href="#">1010</a> ), the court finds excusable neglect and grants defendant's motion pursuant to Fed. R. App. P. 4(a)(5). Signed by District Judge William M. Conley on 11/17/2014. (voc) (Entered: 11/17/2014)
11/17/2014	<a href="#">1017</a>	ORDER granting <a href="#">1006</a> Motion to Permit Registration of Judgment for Enforcement in Other Districts by by Defendants Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet . Signed by District Judge William M. Conley on 11/17/2014. (voc) (Entered: 11/17/2014)
11/18/2014	<a href="#">1018</a>	Notice of Withdrawal of Counsel by Brian Arthur Price re: Defendants David B. Fenkell, Karen Fenkell, Cross Claimant Karen Fenkell. (Price, Brian) (Entered: 11/18/2014)
12/03/2014	<a href="#">1019</a>	Answer to Interrogatories from Alliance Parties by Defendant David B. Fenkell. (Johanson, David) (Entered: 12/03/2014)
12/03/2014	<a href="#">1020</a>	Response to Discovery Request from Alliance Parties by Defendant David B. Fenkell. (Johanson, David) (Entered: 12/03/2014)
12/09/2014	<a href="#">1021</a>	Notice of Appearance filed by Erin E. McAdams for Cross Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc., Alliance Holdings, Inc., Alliance Holdings, Inc., Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc. (Attachments: # <a href="#">1</a> Certificate of Service) (McAdams, Erin) Modified on 12/11/2014. (lak) (Entered: 12/09/2014)
12/09/2014	<a href="#">1022</a>	Notice of Appearance filed by James P. Looby for Defendants A.H.I., Inc., AH Transition Corporation, Alliance Holdings, Inc.. (Looby, James) (Entered: 12/09/2014)

12/19/2014	<a href="#">1023</a>	Motion to Compel by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Motions referred to Magistrate Judge Stephen L. Crocker. Response due 12/29/2014. (Erlandson, Andrew) (Entered: 12/19/2014)
12/19/2014	<a href="#">1024</a>	Disregard. See <a href="#">1026</a> . Modified on 12/22/2014. (lak) (Entered: 12/19/2014)
12/19/2014	<a href="#">1025</a>	Motion to Compel by Defendants Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan. Motions referred to Magistrate Judge Stephen L. Crocker. Response due 12/29/2014. (Attachments: # <a href="#">1</a> Exhibit A, Post-Judgment Interrogatories, # <a href="#">2</a> Exhibit B, Post-Judgment Document Requests, # <a href="#">3</a> Exhibit C, January 28 Deposition Notice, # <a href="#">4</a> Exhibit D, February 11, Deposition Notice, # <a href="#">5</a> Exhibit E, December 12, 2014 Email, # <a href="#">6</a> Exhibit F, December 4, 2014 Email, # <a href="#">7</a> Exhibit G, Chain of Emails) (Jackson, Charles) (Entered: 12/19/2014)
12/22/2014	<a href="#">1026</a>	Corrected Declaration of Andrew W. Erlandson filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">1023</a> Motion to Compel (Attachments: # <a href="#">1</a> Exhibit A - Notices of Deposition, # <a href="#">2</a> Exhibit B - 12/4/14 Email Exchange) (Erlandson, Andrew) (Entered: 12/22/2014)
12/29/2014	<a href="#">1027</a>	ORDER from USCA Dimissing Appeal No. 14-3428 as unnecessary, <a href="#">1005</a> Notice of Appeal filed by David B. Fenkell. (Attachments: # <a href="#">1</a> Notice of Issuance of Mandate) (voc) (Entered: 12/29/2014)
12/29/2014	<a href="#">1028</a>	Brief in Opposition by Defendant David B. Fenkell re: <a href="#">1023</a> Motion to Compel filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore, <a href="#">1025</a> Motion to Compel, filed by Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan. (Johanson, David) (Entered: 12/29/2014)
12/30/2014		Set Deadlines as to <a href="#">1023</a> Motion to Compel, <a href="#">1025</a> Motion to Compel. Brief in Reply due 1/6/2015. (jat) (Entered: 12/30/2014)
01/06/2015	<a href="#">1029</a>	Brief in Reply by Defendants Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan in Support of <a href="#">1025</a> Motion to Compel. (Jackson, Charles) (Entered: 01/06/2015)
01/06/2015	<a href="#">1030</a>	Brief in Reply by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet in Support of <a href="#">1023</a> Motion to Compel. (Erlandson, Andrew) (Entered: 01/06/2015)
02/03/2015	<a href="#">1031</a>	ORDER granting <a href="#">1023</a> Motion to Compel by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins and Nannette Stoflet; Granting <a href="#">1025</a> Motion to Compel by Defendants Alliance Holdings, Alliance Holdings, Inc and Employee Stock Ownership Plan Inc. The movants' request for attorneys' fees and costs pursuant to Fed. R. Civ. P. 37(a)(5)(A) is granted. Movants shall submit their respective requests with all necessary supporting materials on or 2/17/2015;

		Fenkell may respond on or before 3/3/2015. Signed by District Judge William M. Conley on 2/3/2015. (voc) (Entered: 02/03/2015)
02/17/2015	<a href="#">1032</a>	Motion for Attorney Fees <i>on Motion to Compel</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Erlandson, Andrew) (Entered: 02/17/2015)
02/17/2015	<a href="#">1033</a>	Declaration of Andrew W. Erlandson filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">1032</a> Motion for Attorney Fees, (Attachments: # <a href="#">1</a> Exhibit A - Detailed Time Entries) (Erlandson, Andrew) Modified on 2/18/2015 to add exhibit description. (lak) (Entered: 02/17/2015)
02/17/2015	<a href="#">1034</a>	Motion for Attorney Fees by Defendants Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan. (Attachments: # <a href="#">1</a> Exhibit A: Itemized Time Entries, # <a href="#">2</a> Exhibit B: Overall Time Summary and Fee Calculation, # <a href="#">3</a> Exhibit C: Jackson Declaration, # <a href="#">4</a> Exhibit D: McAdams Declaration, # <a href="#">5</a> Exhibit E: Delany Declaration, # <a href="#">6</a> Exhibit F: Weals Declaration, # <a href="#">7</a> Exhibit G: Golumbic Declaration, # <a href="#">8</a> Exhibit H: Levine Declaration, # <a href="#">9</a> Exhibit I: Looby Declaration, # <a href="#">10</a> Exhibit J: Chang Declaration, # <a href="#">11</a> Exhibit K: December Invoice (Redacted)) (Jackson, Charles) (Entered: 02/17/2015)
02/17/2015	<a href="#">1035</a>	Joint Motion for Extension of Time <i>to Respond to Discovery and Take the Deposition of David B. Fenkell</i> by Defendant David B. Fenkell. Motions referred to Magistrate Judge Stephen L. Crocker. (Johanson, David) (Entered: 02/17/2015)
02/18/2015	1036	** TEXT ONLY ORDER ** ORDER granting <a href="#">1035</a> Motion for Extension of Time. Signed by District Judge William M. Conley on 2/18/2015. (voc) (Entered: 02/18/2015)
02/18/2015		Reset Deadlines as to <a href="#">1034</a> Motion for Attorney Fees. Per 2/3/2015 Order, # <a href="#">1031</a> , Brief in Opposition due 3/3/2015. (voc) (Entered: 02/18/2015)
03/03/2015	<a href="#">1037</a>	Brief in Opposition by Defendant David B. Fenkell re: <a href="#">1032</a> Motion for Attorney Fees filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore, <a href="#">1034</a> Motion for Attorney Fees, filed by Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan. (Johanson, David) (Entered: 03/03/2015)
03/06/2015	<a href="#">1038</a>	Motion for Leave to File <i>a Reply Instanter</i> by Defendants Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan. (Attachments: # <a href="#">1</a> Exhibit A: Reply in Further Support of Fee Petition, # <a href="#">2</a> Exhibit B: Declaration in Further Support of Fee Petition) (Jackson, Charles) (Entered: 03/06/2015)

03/09/2015	<a href="#">1039</a>	Brief in Opposition by Defendant David B. Fenkell re: <a href="#">1038</a> Motion for Leave to File, filed by Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan. (Johanson, David) (Entered: 03/09/2015)
03/25/2015	<a href="#">1040</a>	Motion to put the Court on notice of deposition and potential request for judicial intervention by Defendants Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan. Response due 4/1/2015. (Attachments: # <a href="#">1</a> Exhibit - Notice of Videotaped Deposition of David Fenkell) (Jackson, Charles) Modified on 3/25/2015 (voc/bw). (Entered: 03/25/2015)
03/31/2015	<a href="#">1041</a>	Certified and Transmitted Record on Appeal to US Court of Appeals re: <a href="#">988</a> Notice of Appeal, <a href="#">993</a> Notice of Appeal, (Attachments: # <a href="#">1</a> Certification) (lak) (Entered: 03/31/2015)
06/24/2015	<a href="#">1042</a>	Motion to Quash <i>Plaintiffs' Subpoenas to Financial Institutions</i> by Defendants David B. Fenkell, Karen Fenkell. Motions referred to Magistrate Judge Stephen L. Crocker. Response due 7/1/2015. (Johanson, David) (Entered: 06/24/2015)
06/24/2015	<a href="#">1043</a>	Brief in Support of <a href="#">1042</a> Motion to Quash by Defendants David B. Fenkell, Karen Fenkell. (Johanson, David) (Entered: 06/24/2015)
06/24/2015	<a href="#">1044</a>	Declaration of Douglas A. Rubel filed by Defendants David B. Fenkell, Karen Fenkell re: <a href="#">1042</a> Motion to Quash, (Attachments: # <a href="#">1</a> Exhibit A: Subpoena to Barclays Capital, # <a href="#">2</a> Exhibit B: Subpoena to Morgan Stanley) (Rubel, Douglas) (Entered: 06/24/2015)
07/01/2015	<a href="#">1045</a>	Brief in Opposition re: <a href="#">1042</a> Motion To Quash Plaintiffs' Subpoenas To Produce Documents And For A Protective Order ( <u>Sealed Document</u> ) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Motions referred to Magistrate Judge Stephen L. Crocker. (Bunch, Monya) Modified on 7/2/2015 to correct the docket event and docket text. (lak) (Entered: 07/01/2015)
07/01/2015	<a href="#">1046</a>	Redaction to <a href="#">1045</a> Brief in Opposition by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore. (Bunch, Monya) Modified on 7/2/2015. (lak) (Entered: 07/01/2015)
07/01/2015	<a href="#">1047</a>	Declaration of Monya M. Bunch filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">1042</a> Motion To Quash Plaintiffs' Subpoenas To Produce Documents And For A Protective Order (Attachments: # <a href="#">1</a> Exhibit A: K. Fenkell July 9, 2014 Deposition Transcript (filed under seal), # <a href="#">2</a> Exhibit B: K. Fenkell April 23, 2015 Deposition Transcript (filed under seal), # <a href="#">3</a> Exhibit C: D. Fenkell March 25, 2015 Deposition Transcript (filed under seal), # <a href="#">4</a> Exhibit D: D. Fenkell March 26, 2015 Deposition Transcript (filed under seal), # <a href="#">5</a> Exhibit E: K. Fenkell Deposition Exhibit 133, Power of Attorney of Karen Fenkell, DTAX04977 (filed under seal), # <a href="#">6</a> Exhibit F: Plaintiffs' Corrected Subpoena and Schedule A to Barclays Capital Inc.,

		<p># <a href="#">7</a> Exhibit G: Plaintiffs' Corrected Subpoena and Schedule A to Morgan Stanley,  # <a href="#">8</a> Exhibit H: D. Fenkell Deposition Exhibit 36, David and Karen Fenkell Morgan Stanley Account Statement dated September 30, 2007 (filed under seal),  # <a href="#">9</a> Exhibit I: D. Fenkell Deposition Exhibit 37, Karen G. Fenkell Morgan Stanley Statement dated June 30, 2007 (filed under seal),  # <a href="#">10</a> Exhibit J: S. Fenkell MS Account, AH_EDPA_001459355 (filed under seal),  # <a href="#">11</a> Exhibit K: M. Fenkell MS Account, AH_EDPA_001470300 (filed under seal),  # <a href="#">12</a> Exhibit L: D. Fenkell Deposition Exhibit 57, Promissory Note (filed under seal),  # <a href="#">13</a> Exhibit M: April 1, 2009 Assignment of Promissory Note, FEN PJD 004452 (filed under seal),  # <a href="#">14</a> Exhibit N: June 15, 2015 Email from Doug Rubel,  # <a href="#">15</a> Exhibit O: June 15, 2015 Production of Karen Fenkell's Deposition in Spear,  # <a href="#">16</a> Exhibit P: D. Fenkell Deposition Exhibit 5, Fenkell Compensation (filed under seal),  # <a href="#">17</a> Exhibit Q: D. Fenkell Deposition Exhibit 32, David and Karen Fenkell Financial Statement (filed under seal),  # <a href="#">18</a> Exhibit R: D. Fenkell Deposition Exhibit 31, David and Karen Fenkell Financial Statement (filed under seal),  # <a href="#">19</a> Exhibit S: K. Fenkell Deposition Exhibit 147, Karen G. Fenkell's Morgan Stanley Account Statement dated February 29, 2006 (filed under seal),  # <a href="#">20</a> Exhibit T: K. Fenkell Deposition Exhibit 148, Karen G. Fenkell's Morgan Stanley Account Statement dated June 30, 2008 (filed under seal),  # <a href="#">21</a> Exhibit U: K. Fenkell Deposition Exhibit 134, March 1, 2011 Email (filed under seal),  # <a href="#">22</a> Exhibit V: K. Fenkell Deposition Exhibit 149, Karen G. Fenkell's Barclays Account Statement dated January 31, 2012 (filed under seal),  # <a href="#">23</a> Exhibit W: D. Fenkell Deposition Exhibit 30, January 18, 2012 email (filed under seal),  # <a href="#">24</a> Exhibit X: Fenkell Post-Judgment Privilege Log, dated June 9, 2015 (filed under seal),  # <a href="#">25</a> Exhibit Y: D. Fenkell Deposition Exhibit 33, John A. Terrill, II Firm Biography,  # <a href="#">26</a> Exhibit Z: March 20, 2015 Production to Chesemore Plaintiffs of Third-Party Subpoena Responses) (Bunch, Monya) (Attachment 23 replaced on 7/2/2015) (jls). Modified on 7/2/2015 (jls). (Attachment 2 replaced on 7/2/2015) (jls). (Entered: 07/01/2015)</p>
07/01/2015	<a href="#">1048</a>	<p>Exhibit A re: <a href="#">1047</a> Declaration of Monya M. Bunch, (<u>Sealed Document</u>) by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Motions referred to Magistrate Judge Stephen L. Crocker. (Attachments:  # <a href="#">1</a> Exhibit B: K. Fenkell April 23, 2015 Deposition Transcript,  # <a href="#">2</a> Exhibit C: D. Fenkell March 25, 2015 Deposition Transcript,  # <a href="#">3</a> Exhibit D: D. Fenkell March 26, 2015 Deposition Transcript,  # <a href="#">4</a> Exhibit E: K. Fenkell Deposition Exhibit 133, Power of Attorney of Karen Fenkell, DTAX04977,  # <a href="#">5</a> Exhibit H: D. Fenkell Deposition Exhibit 36, David and Karen Fenkell Morgan</p>

		<p>Stanley Account Statement dated September 30, 2007,  # <a href="#">6</a> Exhibit I: D. Fenkell Deposition Exhibit 37, Karen G. Fenkell Morgan Stanley Statement dated June 30, 2007,  # <a href="#">7</a> Exhibit J: S. Fenkell MS Account, AH_EDPA_001459355,  # <a href="#">8</a> Exhibit K: M. Fenkell MS Account, AH_EDPA_001470300,  # <a href="#">9</a> Exhibit L: D. Fenkell Deposition Exhibit 57, Promissory Note,  # <a href="#">10</a> Exhibit M: April 1, 2009 Assignment of Promissory Note, FEN PJD 004452,  # <a href="#">11</a> Exhibit P: D. Fenkell Deposition Exhibit 5, Fenkell Compensation,  # <a href="#">12</a> Exhibit Q: D. Fenkell Deposition Exhibit 32, David and Karen Fenkell Financial Statement,  # <a href="#">13</a> Exhibit R: D. Fenkell Deposition Exhibit 31, David and Karen Fenkell Financial Statement,  # <a href="#">14</a> Exhibit S: K. Fenkell Deposition Exhibit 147, Karen G. Fenkell's Morgan Stanley Account Statement dated February 29, 2006,  # <a href="#">15</a> Exhibit T: K. Fenkell Deposition Exhibit 148, Karen G. Fenkell's Morgan Stanley Account Statement dated June 30, 2008,  # <a href="#">16</a> Exhibit U: K. Fenkell Deposition Exhibit 134, March 1, 2011 Email,  # <a href="#">17</a> Exhibit V: K. Fenkell Deposition Exhibit 149, Karen G. Fenkell's Barclays Account Statement dated January 31, 2012,  # <a href="#">18</a> Exhibit W: D. Fenkell Deposition Exhibit 30, January 18, 2012 email,  # <a href="#">19</a> Exhibit X: Fenkell Post-Judgment Privilege Log, dated June 9, 2015) (Bunch, Monya) Modified on 7/2/2015 (jls). (Entered: 07/01/2015)</p>
07/08/2015	<a href="#">1049</a>	ORDER denying <a href="#">1042</a> Motion to Quash Plaintiffs' Subpoenas to Financial Institutions by Defendants David B. Fenkell, Karen Fenkell. Signed by District Judge William M. Conley on 7/7/2015. (voc) (Entered: 07/08/2015)
07/10/2015	<a href="#">1050</a>	Motion to Compel by Cross Defendant Alliance Holdings, Inc., Defendant Alliance Holdings, Inc. Employee Stock Ownership Plan, Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Motions referred to Magistrate Judge Stephen L. Crocker. Response due 7/17/2015. (Erlandson, Andrew) (Entered: 07/10/2015)
07/10/2015	<a href="#">1051</a>	Brief in Support of <a href="#">1050</a> Motion to Compel, by Cross Defendant Alliance Holdings, Inc., Defendant Alliance Holdings, Inc. Employee Stock Ownership Plan, Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet ( <u>Sealed Document</u> ) (Erlandson, Andrew) (Entered: 07/10/2015)
07/10/2015	<a href="#">1052</a>	Redaction to <a href="#">1051</a> Brief in Support by Cross Defendant Alliance Holdings, Inc., Defendant Alliance Holdings, Inc. Employee Stock Ownership Plan, Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. (Erlandson, Andrew) Modified on 7/13/2015. (lak) (Entered: 07/10/2015)
07/10/2015	<a href="#">1053</a>	Redacted Declaration of Andrew W. Erlandson filed by Cross Defendant Alliance Holdings, Inc., Defendant Alliance Holdings, Inc. Employee Stock Ownership Plan, Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">1050</a> Motion to Compel, (Attachments: # <a href="#">1</a> Exhibit A - April 27, 2015 email from R. Joseph Barton to Douglas A. Rubel and David R. Johanson and attachment,

		<p># <a href="#">2</a> Exhibit B - May 29, 2015 email from Monya Bunch to Douglas Rubel,  # <a href="#">3</a> Exhibit C - May 29, 2015 email from R. Joseph Barton to Douglas A. Rubel and David R. Johanson and attachment,  # <a href="#">4</a> Exhibit D - June 5, 2015 email from R. Joseph Barton to Douglas Rubel,  # <a href="#">5</a> Exhibit E - June 9, 2015, email from Douglas A. Rubel to R. Joseph Barton,  # <a href="#">6</a> Exhibit F - June 24, 2015 email from R. Joseph Barton to Douglas Rubel,  # <a href="#">7</a> Exhibit G - June 26, 2015 email from Douglas Rubel to R. Joseph Barton,  # <a href="#">8</a> Exhibit H - June 26, 2015 email from R. Joseph Barton to Douglas Rubel,  # <a href="#">9</a> Exhibit I - Deposition testimony of David Fenkell in this action, taken March 25, 2015,  # <a href="#">10</a> Exhibit J - Deposition testimony of David Fenkell in this action, taken on March 26, 2015,  # <a href="#">11</a> Exhibit K - Deposition testimony of Karen Fenkell, taken April 23, 2015,  # <a href="#">12</a> Exhibit L - D. Fenkell Deposition Exhibit 5, Fenkell Compensation,  # <a href="#">13</a> Exhibit M - D. Fenkell Deposition Exhibit 32, David and Karen Fenkell 2011 Financial Statement,  # <a href="#">14</a> Exhibit N - D. Fenkell Deposition Exhibit 31, David and Karen Fenkell 2015 Financial Statement,  # <a href="#">15</a> Exhibit O - D. Fenkell Deposition Exhibit 30, January 18, 2010 email from D. Fenkell to Andrew Cherry,  # <a href="#">16</a> Exhibit P - K. Fenkell Deposition Exhibit 133, Power of Attorney of Karen Fenkell,  # <a href="#">17</a> Exhibit Q - K. Fenkell Deposition Exhibit 147, Karen G. Fenkell's Morgan Stanley Account Statement dated February 29, 2006,  # <a href="#">18</a> Exhibit R - K. Fenkell Deposition Exhibit 148, Karen G. Fenkell's Morgan Stanley Account Statement dated June 30, 2008,  # <a href="#">19</a> Exhibit S - K. Fenkell Deposition Exhibit 149, Karen G. Fenkell's Barclays Account Statement dated January 31, 2012,  # <a href="#">20</a> Exhibit T - D. Fenkell Deposition Exhibit 51, David and Karen Fenkell's Barclays Account Statement dated November 30, 2014,  # <a href="#">21</a> Exhibit U - D. Fenkell Deposition Exhibit 54, David and Karen Fenkell's Barclays Statement dated January 31, 2015) (Erlandson, Andrew) Modified on 7/13/2015. (lak) (Entered: 07/10/2015)</p>
07/10/2015	<a href="#">1054</a>	<p>Declaration of Andrew W. Erlandson filed by Cross Defendant Alliance Holdings, Inc., Defendant Alliance Holdings, Inc. Employee Stock Ownership Plan, Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">1050</a> Motion to Compel, (<a href="#">Sealed Document</a>) (Attachments: # <a href="#">1</a> Exhibit A - April 27, 2015 email from R. Joseph Barton to Douglas A. Rubel and David R. Johanson,  # <a href="#">2</a> Exhibit I - Deposition testimony of David Fenkell, taken March 25, 2015,  # <a href="#">3</a> Exhibit J - Deposition testimony of David Fenkell, taken on March 26, 2015,  # <a href="#">4</a> Exhibit K - Deposition of Karen Fenkell, taken April 23, 2015,  # <a href="#">5</a> Exhibit L - D. Fenkell Deposition Exhibit 5, Fenkell Compensation,  # <a href="#">6</a> Exhibit M - D. Fenkell Deposition Exhibit 32, David and Karen Fenkell 2011 Financial,  # <a href="#">7</a> Exhibit N - D. Fenkell Deposition Exhibit 31, David and Karen Fenkell 2015 Financial Statement,</p>

		<p># <a href="#">8</a> Exhibit O - D. Fenkell Deposition Exhibit 30, (AH_PJD00000177), January 18, 2010 email from D. Fenkell to Andrew Cherry,</p> <p># <a href="#">9</a> Exhibit P - K. Fenkell Deposition Exhibit 133, Power of Attorney of Karen Fenkell DTAX04977,</p> <p># <a href="#">10</a> Exhibit Q - K. Fenkell Deposition Exhibit 147, Karen G. Fenkell's Morgan Stanley Account Statement dated February 29, 2006,</p> <p># <a href="#">11</a> Exhibit R - K. Fenkell Deposition Exhibit 148, Karen G. Fenkell's Morgan Stanley Account Statement dated June 30, 2008,</p> <p># <a href="#">12</a> Exhibit S - K. Fenkell Deposition Exhibit 149, Karen G. Fenkell's Barclays Account Statement dated January 31, 2012,</p> <p># <a href="#">13</a> Exhibit T - D. Fenkell Deposition Exhibit 51, David and Karen Fenkell's Barclays Account Statement dated November 30, 2014,</p> <p># <a href="#">14</a> Exhibit U - D. Fenkell Deposition Exhibit 54, David and Karen Fenkell's Barclays Statement dated January 31, 20-15) (Erlandson, Andrew) Modified on 7/13/2015. (lak) (Entered: 07/10/2015)</p>
07/23/2015	<a href="#">1055</a>	Brief in Opposition by Defendant David B. Fenkell re: <a href="#">1050</a> Motion to Compel, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, Daniel Donkel, Carol Chesemore. (Johanson, David) (Entered: 07/23/2015)
08/14/2015	<a href="#">1056</a>	Redacted Motion for Temporary Restraining Order by Defendant Alliance Holdings, Inc. Employee Stock Ownership Plan, Defendant Alliance Holdings, Inc., Cross Defendant Alliance Holdings, Inc. (Jackson, Charles) Modified on 8/17/2015. (lak/arw) (Entered: 08/14/2015)
08/14/2015	<a href="#">1057</a>	Redaction to <a href="#">1067</a> Proposed Findings of Fact. Modified on 8/17/2015: Corrected the docketing event. (lak) (Entered: 08/14/2015)
08/14/2015	<a href="#">1058</a>	Redaction to <a href="#">1068</a> Deposition of D. Fenkell taken on March 25, 2015. (Jackson, Charles) Modified on 8/17/2015: Corrected docketing event. (lak) (Entered: 08/14/2015)
08/14/2015	<a href="#">1059</a>	Redaction to <a href="#">1069</a> Deposition of D. Fenkell taken on March 26, 2015. (Jackson, Charles) Modified on 8/17/2015: Corrected docketing event. (lak) (Entered: 08/14/2015)
08/14/2015	<a href="#">1060</a>	Redaction to <a href="#">1070</a> Deposition of K. Fenkell taken on July 9, 2014. (Jackson, Charles) Modified on 8/17/2015: Corrected docketing event. (lak) (Entered: 08/14/2015)
08/14/2015	<a href="#">1061</a>	Redaction to <a href="#">1071</a> Deposition of K. Fenkell taken on April 23, 2015. (Jackson, Charles) Modified on 8/17/2015: Corrected docketing event. (lak) (Entered: 08/14/2015)
08/14/2015	<a href="#">1062</a>	Redaction to <a href="#">1072</a> Deposition of K. Fenkell taken on July 29, 2015. (Jackson, Charles) Modified on 8/17/2015: Corrected docketing event. (lak) (Entered: 08/14/2015)
08/14/2015	<a href="#">1063</a>	Redaction to <a href="#">1073</a> Declaration of Erin E. McAdams filed by Cross Defendant Alliance Holdings, Inc., (Attachments:

	<p># <a href="#">1</a> Text of Proposed Order,  # <a href="#">2</a> Exhibit G: K. Fenkell Deposition Exhibit 133,  # <a href="#">3</a> Exhibit H: K. Fenkell Deposition Exhibit 134,  # <a href="#">4</a> Exhibit I: K. Fenkell Deposition Exhibit 135,  # <a href="#">5</a> Exhibit J: K. Fenkell Deposition 136,  # <a href="#">6</a> Exhibit K: K. Fenkell Deposition 137,  # <a href="#">7</a> Exhibit L: K. Fenkell Deposition 138,  # <a href="#">8</a> Exhibit M: K. Fenkell Deposition 139,  # <a href="#">9</a> Exhibit N: K. Fenkell Deposition 141,  # <a href="#">10</a> Exhibit O: K. Fenkell Deposition Exhibit 129,  # <a href="#">11</a> Exhibit P: K. Fenkell Deposition Exhibit 3,  # <a href="#">12</a> Exhibit Q: Barclays_Fenkell 0010852,  # <a href="#">13</a> Exhibit R: K. Fenkell Deposition Exhibit 4,  # <a href="#">14</a> Exhibit S: K. Fenkell Deposition Exhibit 5,  # <a href="#">15</a> Exhibit T: K. Fenkell Deposition Exhibit 130,  # <a href="#">16</a> Exhibit U: AH_PJD_00010862-77,  # <a href="#">17</a> Exhibit V: D. Fenkell Deposition Exhibit 27,  # <a href="#">18</a> Exhibit W: D. Fenkell Deposition Exhibit 31,  # <a href="#">19</a> Exhibit X: K. Fenkell Deposition Exhibit 34,  # <a href="#">20</a> Exhibit Y: Barclays_Fenkell 0001976-2010,  # <a href="#">21</a> Exhibit Z: K. Fenkell Deposition Exhibit 35) (Jackson, Charles) Modified on 8/17/2015: Corrected docketing event. (lak) (Entered: 08/14/2015)</p>
08/14/2015	<p><a href="#">1064</a> Redaction to <a href="#">1074</a> Declaration of Erin E. McAdams filed by Cross Defendant Alliance Holdings, Inc., (Attachments:  # <a href="#">1</a> Exhibit AA: D. Fenkell Deposition Exhibit 57,  # <a href="#">2</a> Exhibit BB: FEN PJD Assignment of Promissory Note, FEN PJD 004452,  # <a href="#">3</a> Exhibit CC: Barclays_Fenkell 0007494-519,  # <a href="#">4</a> Exhibit DD: Barclays_Fenkell 0006230-241,  # <a href="#">5</a> Exhibit EE: K. Fenkell Deposition Exhibit 21,  # <a href="#">6</a> Exhibit FF: K. Fenkell Deposition Exhibit 7,  # <a href="#">7</a> Exhibit GG: K. Fenkell Deposition Exhibit 147,  # <a href="#">8</a> Exhibit HH: K. Fenkell Deposition Exhibit 148,  # <a href="#">9</a> Exhibit II: K. Fenkell Deposition Exhibit 149,  # <a href="#">10</a> Exhibit JJ: K. Fenkell Deposition Exhibit 9,  # <a href="#">11</a> Exhibit KK: AH_EDPA_001453309-18,  # <a href="#">12</a> Exhibit LL: K. Fenkell Deposition Exhibit 10,  # <a href="#">13</a> Exhibit MM: AH_EDPA_001470691-96,  # <a href="#">14</a> Exhibit NN: K. Fenkell Deposition Exhibit 8,  # <a href="#">15</a> Exhibit OO: K. Fenkell Deposition Exhibit 15,  # <a href="#">16</a> Exhibit PP: AH_EDPA_001454415-50,  # <a href="#">17</a> Exhibit QQ: K. Fenkell Deposition Exhibit 13,  # <a href="#">18</a> Exhibit RR: K. Fenkell Deposition Exhibit 14,  # <a href="#">19</a> Exhibit SS: AH_EDPA_001454805-30,  # <a href="#">20</a> Exhibit TT: K. Fenkell Deposition Exhibit 12,  # <a href="#">21</a> Exhibit UU: AH_EDPA_001455149-70,  # <a href="#">22</a> Exhibit VV: K. Fenkell Deposition Exhibit 11,  # <a href="#">23</a> Exhibit WW: AH_EDPA_001454379-414,</p>

		<p># <a href="#">24</a> Exhibit XX: AH_EDPA_01454781-804,  # <a href="#">25</a> Exhibit YY: K. Fenkell Deposition Exhibit 17,  # <a href="#">26</a> Exhibit ZZ: K. Fenkell Deposition Exhibit 18) (Jackson, Charles) Modified on 8/17/2015: Corrected docketing event. (lak) (Entered: 08/14/2015)</p>
08/14/2015	<a href="#">1065</a>	<p>Redaction to <a href="#">1075</a> Declaration of Erin E. McAdams filed by Cross Defendant Alliance Holdings, Inc., (Attachments:  # <a href="#">1</a> Exhibit AAA: AH_EDPA_001470680-84,  # <a href="#">2</a> Exhibit BBB: K. Fenkell Deposition Exhibit 16,  # <a href="#">3</a> Exhibit CCC: K. Fenkell Deposition Exhibit 20,  # <a href="#">4</a> Exhibit DDD: K. Fenkell Deposition Exhibit 19,  # <a href="#">5</a> Exhibit EEE: K. Fenkell Deposition Exhibit 23,  # <a href="#">6</a> Exhibit FFF: K. Fenkell Deposition Exhibit 24,  # <a href="#">7</a> Exhibit GGG: K. Fenkell Deposition Exhibit 25,  # <a href="#">8</a> Exhibit HHH: K. Fenkell Deposition Exhibit 26,  # <a href="#">9</a> Exhibit III: K. Fenkell Deposition Exhibit 27,  # <a href="#">10</a> Exhibit JJJ: K. Fenkell Deposition Exhibit 28,  # <a href="#">11</a> Exhibit KKK: K. Fenkell Deposition Exhibit 29,  # <a href="#">12</a> Exhibit LLL: K. Fenkell Deposition Exhibit 30,  # <a href="#">13</a> Exhibit MMM: K. Fenkell Deposition Exhibit 31,  # <a href="#">14</a> Exhibit NNN: K. Fenkell Deposition Exhibit 32,  # <a href="#">15</a> Exhibit OOO: AH_EDPA_001455125-48,  # <a href="#">16</a> Exhibit PPP: Morgan Stanley Certificate of Business Records,  # <a href="#">17</a> Exhibit QQQ: Declaration of John D. Matthew) (Jackson, Charles) Modified on 8/17/2015: Corrected docketing event. (lak) (Entered: 08/14/2015)</p>
08/14/2015	<a href="#">1066</a>	<p>Motion for Temporary Restraining Order by Defendant Alliance Holdings, Inc. Employee Stock Ownership Plan, Defendant Alliance Holdings, Inc., Cross Defendant Alliance Holdings, Inc. (<u>Sealed Document</u>) (Jackson, Charles) Modified on 8/17/2015. (arw) (Entered: 08/14/2015)</p>
08/14/2015	<a href="#">1067</a>	<p>Proposed Findings of Fact filed by Cross Defendant Alliance Holdings, Inc. re: <a href="#">1056</a> Motion for TRO. (<u>Sealed Document</u>) (Jackson, Charles) (Entered: 08/14/2015)</p>
08/14/2015	<a href="#">1068</a>	<p>Deposition of D. Fenkell taken on March 25, 2015 <i>in support of Motion to Enforce the Judgment, for a Constructive Trust, Temporary Restraining Order and Injunction Freezing Fenkell Assets.</i> (<u>Sealed Document</u>) (Jackson, Charles) (Entered: 08/14/2015)</p>
08/14/2015	<a href="#">1069</a>	<p>Deposition of D. Fenkell taken on March 26, 2015 <i>in support of Motion to Enforce the Judgment, for a Constructive Trust, Temporary Restraining Order and Injunction Freezing Fenkell Assets.</i> (<u>Sealed Document</u>) (Jackson, Charles) (Entered: 08/14/2015)</p>
08/14/2015	<a href="#">1070</a>	<p>Deposition of K. Fenkell taken on July 9, 2014 <i>in support of Motion to Enforce the Judgment, for a Constructive Trust, Temporary Restraining Order and Injunction Freezing Fenkell Assets.</i> (<u>Sealed Document</u>) (Jackson, Charles) (Entered: 08/14/2015)</p>

08/14/2015	<a href="#">1071</a>	Deposition of K. Fenkell taken on April 23, 2015 <i>in support of Motion to Enforce the Judgment, for a Constructive Trust, Temporary Restraining Order and Injunction Freezing Fenkell Assets.</i> ( <u>Sealed Document</u> ) (Jackson, Charles) (Entered: 08/14/2015)
08/14/2015	<a href="#">1072</a>	Deposition of K. Fenkell taken on July 29, 2015 <i>in support of Motion to Enforce the Judgment, for a Constructive Trust, Temporary Restraining Order and Injunction Freezing Fenkell Assets.</i> ( <u>Sealed Document</u> ) (Jackson, Charles) (Entered: 08/14/2015)
08/14/2015	<a href="#">1073</a>	Declaration of Erin E. McAdams filed by Cross Defendant Alliance Holdings, Inc. re: <a href="#">1056</a> Motion for TRO, ( <u>Sealed Document</u> ) (Attachments: # <a href="#">1</a> Exhibit G: K. Fenkell Deposition Exhibit 133, # <a href="#">2</a> Exhibit H: K. Fenkell Deposition Exhibit 134, # <a href="#">3</a> Exhibit I: K. Fenkell Deposition Exhibit 135, # <a href="#">4</a> Exhibit J: K. Fenkell Deposition 136, # <a href="#">5</a> Exhibit K: K. Fenkell Deposition 137, # <a href="#">6</a> Exhibit L: K. Fenkell Deposition 138, # <a href="#">7</a> Exhibit M: K. Fenkell Deposition 139, # <a href="#">8</a> Exhibit N: K. Fenkell Deposition 141, # <a href="#">9</a> Exhibit O: K. Fenkell Deposition Exhibit 129, # <a href="#">10</a> Exhibit P: K. Fenkell Deposition Exhibit 3, # <a href="#">11</a> Exhibit Q: Barclays_Fenkell 0010852, # <a href="#">12</a> Exhibit R: K. Fenkell Deposition Exhibit 4, # <a href="#">13</a> Exhibit S: K. Fenkell Deposition Exhibit 5, # <a href="#">14</a> Exhibit T: K. Fenkell Deposition Exhibit 130, # <a href="#">15</a> Exhibit U: AH_PJD_00010862-77, # <a href="#">16</a> Exhibit V: D. Fenkell Deposition Exhibit 27, # <a href="#">17</a> Exhibit W: D. Fenkell Deposition Exhibit 31 # <a href="#">18</a> Exhibit X: K. Fenkell Deposition Exhibit 34, # <a href="#">19</a> Exhibit Y: Barclays_Fenkell 0001976-2010, # <a href="#">20</a> Exhibit Z: K. Fenkell Deposition Exhibit 35 (Jackson, Charles) Modified on 8/17/2015. (lak) (Entered: 08/14/2015)
08/14/2015	<a href="#">1074</a>	Declaration of Erin E. McAdams filed by Cross Defendant Alliance Holdings, Inc. re: <a href="#">1056</a> Motion for TRO, ( <u>Sealed Document</u> ) (Attachments: # <a href="#">1</a> Exhibit AA: D. Fenkell Deposition Exhibit 57, # <a href="#">2</a> Exhibit BB: FEN PJD Assignment of Promissory Note, FEN PJD 004452, # <a href="#">3</a> Exhibit CC: Barclays_Fenkell 0007494-519, # <a href="#">4</a> Exhibit DD: Barclays_Fenkell 0006230-241, # <a href="#">5</a> Exhibit EE: K. Fenkell Deposition Exhibit 21, # <a href="#">6</a> Exhibit FF: K. Fenkell Deposition Exhibit 7, # <a href="#">7</a> Exhibit GG: K. Fenkell Deposition Exhibit 147, # <a href="#">8</a> Exhibit HH: K. Fenkell Deposition Exhibit 148, # <a href="#">9</a> Exhibit II: K. Fenkell Deposition Exhibit 149, # <a href="#">10</a> Exhibit JJ: K. Fenkell Deposition Exhibit 9, # <a href="#">11</a> Exhibit KK: AH_EDPA_001453309-18, # <a href="#">12</a> Exhibit LL: K. Fenkell Deposition Exhibit 10,

		<p># <a href="#">13</a> Exhibit MM: AH_EDPA_001470691-96,  # <a href="#">14</a> Exhibit NN: K. Fenkell Deposition Exhibit 8,  # <a href="#">15</a> Exhibit OO: K. Fenkell Deposition Exhibit 15,  # <a href="#">16</a> Exhibit PP: AH_EDPA_001454415-50,  # <a href="#">17</a> Exhibit QQ: K. Fenkell Deposition Exhibit 13,  # <a href="#">18</a> Exhibit RR: K. Fenkell Deposition Exhibit 14  # <a href="#">19</a> Exhibit SS: AH_EDPA_001454805-30,  # <a href="#">20</a> Exhibit TT: K. Fenkell Deposition Exhibit 12,  # <a href="#">21</a> Exhibit UU: AH_EDPA_001455149-70,  # <a href="#">22</a> Exhibit VV: K. Fenkell Deposition Exhibit 11,  # <a href="#">23</a> Exhibit WW: AH_EDPA_001454379-414,  # <a href="#">24</a> Exhibit XX: AH_EDPA_01454781-804,  # <a href="#">25</a> Exhibit YY: K. Fenkell Deposition Exhibit 17,  # <a href="#">26</a> Exhibit ZZ: K. Fenkell Deposition Exhibit 18) (Jackson, Charles) Modified on 8/17/2015. (lak) (Entered: 08/14/2015)</p>
08/14/2015	<a href="#">1075</a>	<p>Declaration of Erin E. McAdams filed by Cross Defendant Alliance Holdings, Inc. re: <a href="#">1056</a> Motion for TRO, (Sealed Document) (Attachments:  # <a href="#">1</a> Exhibit AAA: AH_EDPA_001470680-84,  # <a href="#">2</a> Exhibit BBB: K. Fenkell Deposition Exhibit 16,  # <a href="#">3</a> Exhibit CCC: K. Fenkell Deposition Exhibit 20,  # <a href="#">4</a> Exhibit DDD: K. Fenkell Deposition Exhibit 19,  # <a href="#">5</a> Exhibit EEE: K. Fenkell Deposition Exhibit 23,  # <a href="#">6</a> Exhibit FFF: K. Fenkell Deposition Exhibit 24,  # <a href="#">7</a> Exhibit GGG: K. Fenkell Deposition Exhibit 25,  # <a href="#">8</a> Exhibit HHH: K. Fenkell Deposition Exhibit 26,  # <a href="#">9</a> Exhibit III: K. Fenkell Deposition Exhibit 27,  # <a href="#">10</a> Exhibit JJJ: K. Fenkell Deposition Exhibit 28,  # <a href="#">11</a> Exhibit KKK: K. Fenkell Deposition Exhibit 29,  # <a href="#">12</a> Exhibit LLL: K. Fenkell Deposition Exhibit 30,  # <a href="#">13</a> Exhibit MMM: K. Fenkell Deposition Exhibit 31,  # <a href="#">14</a> Exhibit NNN: K. Fenkell Deposition Exhibit 32,  # <a href="#">15</a> Exhibit OOO: AH_EDPA_001455125-48) (Jackson, Charles) Modified on 8/17/2015. (lak) (Entered: 08/14/2015)</p>
08/17/2015	1076	<p><b>** TEXT ONLY ORDER **</b>  Without deciding whether Alliance Holdings, Inc. and Alliance Holdings, Inc. Employee Stock Ownership Plan ("judgment creditors") have demonstrated irreparable harm in their motion for temporary restraining order, this motion does not present the type of emergency which would require action on the part of the court prior to the opposing party being heard. See Granny Goose Foods, Inc. v. Bhd. of Teamsters &amp; Auto Truck Drivers Local No. 70 of Alameda Cnty., 415 U.S. 423, 438-439 (1974) (explaining that the "stringent restrictions imposed by... Rule 65, on the availability of ex parte temporary restraining orders reflect the fact that our entire jurisprudence runs counter to the notion of court action taken before reasonable notice and an opportunity to be heard has been granted both sides of a dispute"). As such, David Fenkell has until Friday, August 21, 2015, to respond to the judgment creditors' motion for temporary restraining order. Signed by District</p>

		Judge William M. Conley on 08/17/2015. (bgw) (Entered: 08/17/2015)
08/17/2015	<a href="#">1077</a>	Motion for Extension of Time by Defendants David B. Fenkell, Karen Fenkell. Motions referred to Magistrate Judge Stephen L. Crocker. Response due 8/24/2015. (Attachments: # <a href="#">1</a> Text of Proposed Order) (Johanson, David) Modified on 8/18/2015. (lak) (Entered: 08/17/2015)
08/18/2015	1078	** TEXT ONLY ORDER ** Defendant David Fenkell's motion for extension of time (dkt. # <a href="#">1077</a> ) is GRANTED. Fenkell's response to the motion for temporary restraining order is now due Monday, August 24, 2015. Signed by District Judge William M. Conley on 8/18/2015. (jls) (Entered: 08/18/2015)
08/24/2015	<a href="#">1079</a>	Disregard. See <a href="#">1080</a> . Modified on 8/25/2015. (lak) (Entered: 08/24/2015)
08/24/2015	<a href="#">1080</a>	Corrected Brief in Opposition by Defendant David B. Fenkell re: <a href="#">1066</a> Motion for TRO, filed by Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan (Attachments: # <a href="#">1</a> Exhibit - Affirmation (Also see <a href="#">1083</a> ), # <a href="#">2</a> Exhibit - Declaration (Also see <a href="#">1082</a> .) (Johanson, David) Modified on 8/25/2015. (lak) (Entered: 08/24/2015)
08/25/2015	<a href="#">1081</a>	Brief in Opposition by Defendant David B. Fenkell re: <a href="#">1066</a> Motion for TRO, filed by Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan, <a href="#">1056</a> Motion for TRO filed by Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan. (Johanson, David) Modified on 8/25/2015: Duplicate of <a href="#">1080</a> ? Now linked to motion <a href="#">1056</a> . (lak) (Entered: 08/25/2015)
08/25/2015	<a href="#">1082</a>	Declaration of David B. Fenkell filed by Defendant David B. Fenkell <i>In Support of Opposition to Motion for TRO</i> re: <a href="#">1056</a> Motion for TRO, <a href="#">1066</a> Motion for TRO. (Johanson, David) Modified on 8/25/2015. (lak) (Entered: 08/25/2015)
08/25/2015	<a href="#">1083</a>	Declaration of David R. Johanson filed by Defendant David B. Fenkell <i>In Support of Opposition to Motion for TRO</i> re: <a href="#">1056</a> Motion for TRO, <a href="#">1066</a> Motion for TRO, (Johanson, David) (Additional attachment(s) added on 8/25/2015: # <a href="#">1</a> Exhibit A - Excerpt of David B. Fenkell Deposition taken 3/25/2015 (See <a href="#">1068</a> for complete deposition in condensed format.), # <a href="#">2</a> Exhibit B - Excerpt of Karen G. Fenkell Deposition taken 7/29/2015 (See <a href="#">1072</a> for complete deposition in condensed format.) (lak) Modified on 8/25/2015 (lak). (Entered: 08/25/2015)
08/25/2015		Set Telephonic Status Conference re: dkt. <a href="#">1066</a> . Telephonic Status Conference set for 8/26/2015 at 01:00 PM before Judge William M. Conley. Counsel for Defendant Alliance Holdings responsible for setting up the call to chambers at (608) 264-5087. (jls) (Entered: 08/25/2015)
08/25/2015	1084	Notice to Counsel: The telephonic conference scheduled for tomorrow re: dkt. <a href="#">1066</a> is cancelled. The court will reschedule if necessary. (jls) (Entered: 08/25/2015)

08/28/2015	<a href="#">1085</a>	ORDER granting <a href="#">1032</a> Motion for Attorney Fees. Plaintiffs awarded attorney fees in the amount of \$17,599.81; granting <a href="#">1034</a> Motion for Attorney Fees. Alliance Holdings, Inc. and Alliance Holdings, Inc. Employee Stock Ownership Plan awarded attorney fees in the amount of \$31,740.54; denying as unnecessary <a href="#">1038</a> Motion for Leave to File a Reply Instanter. Signed by District Judge William M. Conley on 8/27/2015. (jls) (Entered: 08/28/2015)
08/28/2015	<a href="#">1086</a>	ORDER denying <a href="#">1050</a> Motion to Compel except that on or before September 10, 2015 David Fenkell is required to disclose the time and place of communications at issue and whether any other individuals were involved. Signed by District Judge William M. Conley on 8/27/2015. (jls) (Entered: 08/28/2015)
08/28/2015	<a href="#">1087</a>	ORDER denying without prejudice <a href="#">1066</a> Motion for TRO. Defendant Fenkell is ordered to RESTORE to the Alliance ESOP \$2,044,014.42 on or before September 4, 2015. Barring that, the court will hold a hearing on September 10, 2015, at 10:00 a.m., at which David Fenkell is ordered to appear in person to show cause why he should not be held in civil contempt of this court's final judgment. Signed by District Judge William M. Conley on 8/27/2015. (jls) (Entered: 08/28/2015)
08/28/2015		Set Hearing: Contempt Hearing set for 9/10/2015 at 10:00 AM in Courtroom 250 before District Judge William M. Conley. (jls) (Entered: 08/28/2015)
09/04/2015	<a href="#">1088</a>	Motion to Enforce Judgment <i>Against Defendant David B. Fenkell</i> by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet. Response due 9/11/2015. (Attachments: # <a href="#">1</a> Certificate of Service) (Bunch, Monya) (Entered: 09/04/2015)
09/04/2015	<a href="#">1089</a>	Declaration of Monya M. Bunch filed by Plaintiffs Carol Chesemore, Daniel Donkel, Thomas Gieck, Martin Robbins, Nannette Stoflet re: <a href="#">1088</a> Motion to Enforce Judgment, (Attachments: # <a href="#">1</a> Exhibit A - April 3 Praecipe for Writ of Execution against David Fenkell, # <a href="#">2</a> Exhibit B - April 6 E.D. Pa. Court's Write of Execution, # <a href="#">3</a> Exhibit C - July 27 renewed Praecipe for Write of Execution against Fenkell, # <a href="#">4</a> Exhibit D - July 27 E.D. Pa. Court's Writ of Execution) (Bunch, Monya) Modified on 9/7/2015. (lak) (Entered: 09/04/2015)
09/04/2015	<a href="#">1090</a>	Motion for Reconsideration ( <i>and Response</i> ) re: <a href="#">1087</a> Order on Motion for TRO, Set Deadlines/Hearings by Defendant David B. Fenkell. Response due 9/11/2015. (Attachments: # <a href="#">1</a> Text of Proposed Order) (Johanson, David) Modified on 9/7/2015. (lak) (Entered: 09/04/2015)
09/04/2015	<a href="#">1091</a>	Redaction to <a href="#">1094</a> Declaration of David R. Johanson filed by Defendant David B. Fenkell (Attachments: # <a href="#">1</a> Exhibit A: EDPA Registration Docket 1, # <a href="#">2</a> Exhibit B: EDPA Registration Docket 2, # <a href="#">3</a> Exhibit C: Alliance ESOP Document, # <a href="#">4</a> Exhibit D: Excerpts of Fenkell 7th Circuit Brief, # <a href="#">5</a> Exhibit E: D. Fenkell Post-Judgment Deposition Excerpts (Filed Under Seal), # <a href="#">6</a> Exhibit F: K. Fenkell Post-Judgment Deposition Excerpts (Filed Under Seal),

		# <a href="#">7</a> Exhibit G: Spencer Turbine Agreement (Filed Under Seal) (Johanson, David) Modified on 9/7/2015. (lak) (Entered: 09/04/2015)
09/04/2015	<a href="#">1092</a>	Redaction to <a href="#">1096</a> Declaration of David B. Fenkell, (Attachments: # <a href="#">1</a> Exhibit A: Accounting, # <a href="#">2</a> Exhibit B: IRA Statement, # <a href="#">3</a> Exhibit C: SEP Statement, # <a href="#">4</a> Exhibit D: IRA Documentation, # <a href="#">5</a> Exhibit E: SEP Documentation, # <a href="#">6</a> Exhibit F: IRA Statement, # <a href="#">7</a> Exhibit G: SEP Statement) (Johanson, David) Modified on 9/7/2015. (lak) (Entered: 09/04/2015)
09/04/2015	<a href="#">1093</a>	Redaction to <a href="#">1095</a> Brief in Support <i>and Response to August 28th Order</i> by Defendant David B. Fenkell. (Johanson, David) Modified on 9/8/2015. (lak) (Entered: 09/04/2015)
09/05/2015	<a href="#">1094</a>	Declaration of David R. Johanson filed by Defendant David B. Fenkell re: <a href="#">1090</a> Motion for Reconsideration ( <u>Sealed Document</u> ) (Attachments: # <a href="#">1</a> Exhibit A: EDPA Registration Docket 1, # <a href="#">2</a> Exhibit B: EDPA Registration Docket 2, # <a href="#">3</a> Exhibit C: Alliance ESOP Document, # <a href="#">4</a> Exhibit D: Excerpts of Fenkell 7th Circuit Brief, # <a href="#">5</a> Exhibit E: D. Fenkell Post-Judgment Deposition Excerpts (See <a href="#">1068</a> for complete deposition.), # <a href="#">6</a> Exhibit F: K. Fenkell Post-Judgment Deposition Excerpts (See <a href="#">1071</a> for complete deposition.), # <a href="#">7</a> Exhibit G: Spencer Turbine Agreement) (Johanson, David) Modified on 9/7/2015. (lak) (Entered: 09/05/2015)
09/08/2015	<a href="#">1095</a>	Brief in Support of <a href="#">1090</a> Motion for Reconsideration <i>and Response to August 28th Order</i> by Defendant David B. Fenkell. ( <u>Sealed Document</u> ) (Johanson, David) Modified on 9/8/2015. (lak) (Entered: 09/08/2015)
09/08/2015	<a href="#">1096</a>	Declaration of David B. Fenkell filed by Defendant David B. Fenkell re: <a href="#">1090</a> Motion for Reconsideration, ( <u>Sealed Document</u> ) (Attachments: # <a href="#">1</a> Exhibit A: Accounting, # <a href="#">2</a> Exhibit B: IRA Statement, # <a href="#">3</a> Exhibit C: SEP Statement, # <a href="#">4</a> Exhibit D: IRA Documentation, # <a href="#">5</a> Exhibit E: SEP Documentation, # <a href="#">6</a> Exhibit F: IRA Statement, # <a href="#">7</a> Exhibit G: SEP Statement) (Johanson, David) (Entered: 09/08/2015)
09/09/2015	<a href="#">1097</a>	Brief in Opposition by Defendants Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan re: <a href="#">1090</a> Motion for Reconsideration, filed by David B. Fenkell. (Golumbic, Lars) (Entered: 09/09/2015)
09/10/2015	<a href="#">1098</a>	Declaration of David B. Fenkell filed by Defendant David B. Fenkell <i>in Compliance with this Court's Order of August 27, 2015</i> re: <a href="#">1086</a> Order on Motion

		to Compel. (Johanson, David) (Entered: 09/10/2015)
09/11/2015	<a href="#">1099</a>	Minute Entry for proceedings held before District Judge William M. Conley: Contempt Hearing held on 9/10/2015. Written order to follow. [4:13] (Court Reporters FTR, CS) (arw) (Entered: 09/11/2015)
09/11/2015	<a href="#">1100</a>	Motion for Extension of Time by Defendant David B. Fenkell. Motions referred to Magistrate Judge Stephen L. Crocker. (Attachments: # <a href="#">1</a> Text of Proposed Order) (Johanson, David) (Entered: 09/11/2015)
09/11/2015	1101	** TEXT ONLY ORDER ** Defendant David Fenkell's motion for extension of time (dkt. # <a href="#">1100</a> ) is GRANTED. His response to Alliance's brief (dkt. # <a href="#">1097</a> ) is now due Wednesday, September 16, 2015. No further extensions will be granted except for extraordinary cause. Signed by District Judge William M. Conley on 9/11/2015. (elc) (Entered: 09/11/2015)
09/16/2015	<a href="#">1103</a>	Exhibits to Contempt Hearing held on 9/10/2015 before District Judge William M. Conley. ( <u>Sealed Document</u> ) (Attachments: # <a href="#">1</a> Exhibit 1 - Account Statement, # <a href="#">2</a> Exhibit 2 - Account Statement, # <a href="#">3</a> Exhibit 3 - Account Statement, # <a href="#">4</a> Exhibit 4 - Account Statement, # <a href="#">5</a> Exhibit 5 - Account Statement, # <a href="#">6</a> Exhibit 6 - Account Statement, # <a href="#">7</a> Exhibit 7 - Account Statement, # <a href="#">8</a> Exhibit 8 - Personal Financial Statement, # <a href="#">9</a> Exhibit 9- Alliance ESOP Plan, # <a href="#">10</a> Exhibit 10 - Phantom Stock Agreement) (arw) (Entered: 09/16/2015)
09/16/2015	<a href="#">1105</a>	Response to Proposed Findings of Fact filed by Defendant David B. Fenkell re: <a href="#">1090</a> Motion for Reconsideration ( <i>in Response to Docket 1057 and 1067</i> ). ( <u>Sealed Document</u> ) (Rubel, Douglas) (Entered: 09/16/2015)
09/16/2015	<a href="#">1106</a>	Disregard. See <a href="#">1107</a> for the Corrected Brief. ( <u>Sealed Document</u> ) (Rubel, Douglas) Modified on 9/17/2015. (lak) (Entered: 09/16/2015)
09/17/2015	<a href="#">1107</a>	Corrected Brief in Reply by Defendant David B. Fenkell in Support of <a href="#">1090</a> Motion for Reconsideration. ( <u>Sealed Document</u> ) (Rubel, Douglas) (Entered: 09/17/2015)
09/18/2015	<a href="#">1108</a>	Brief in Opposition by Defendant David B. Fenkell re: <a href="#">1088</a> Motion to Enforce Judgment, filed by Nannette Stoflet, Martin Robbins, Thomas Gieck, Daniel Donkel, Carol Chesemore. (Johanson, David) (Entered: 09/18/2015)
09/25/2015	<a href="#">1109</a>	NOTICE OF APPEAL by Defendant David B. Fenkell as to <a href="#">1087</a> Order on Motion for TRO. Filing fee of \$ 505, receipt number 0758-1650915 paid. Docketing Statement filed. (Attachments: # <a href="#">1</a> Docketing Statement) (Johanson, David) (Entered: 09/25/2015)
09/26/2015	<a href="#">1110</a>	Notice of Supplemental Authority by Defendants Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan re <a href="#">1087</a> Order on Motion for TRO,

		(Attachments: # <a href="#">1</a> Exhibit A: Notifications of Electronic Filing for Dkt. Nos. 481 & 482, # <a href="#">2</a> Exhibit B: Dkt. Nos. 481 & 482) (Jackson, Charles) (Entered: 09/26/2015)
09/28/2015	<a href="#">1111</a>	Appeal Information Packet. (lak) (Entered: 09/28/2015)
09/28/2015	<a href="#">1112</a>	Transmission of Notice of Appeal, Docketing Statement, Order and Docket Sheet to Seventh Circuit Court of Appeals re: <a href="#">1109</a> Notice of Appeal, (Attachments: # <a href="#">1</a> Docketing Statement, # <a href="#">2</a> Order, # <a href="#">3</a> Docket Sheet) (lak) (Entered: 09/28/2015)
09/28/2015	1113	USCA Case Number 15-3140 for <a href="#">1109</a> Notice of Appeal filed by David B. Fenkell. (jls) (Entered: 09/28/2015)
10/02/2015	<a href="#">1114</a>	Notice by Defendants Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan <i>of Filing Motion to Dismiss D. Fenkell's Appeal.</i> (Attachments: # <a href="#">1</a> Exhibit 1: October 2, 2015 Motion to Dismiss Appeal) (Jackson, Charles) (Entered: 10/02/2015)
10/09/2015	<a href="#">1115</a>	Transcript Request Form by Defendants Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan. (Jackson, Charles) (Entered: 10/09/2015)
10/13/2015	<a href="#">1116</a>	Court Reporter Certification of Seventh Circuit Transcript Information Sheet re <a href="#">1109</a> Notice of Appeal. (jls) (Entered: 10/13/2015)
10/13/2015	<a href="#">1117</a>	Transcript Request Form by Defendant David B. Fenkell, (Attachments: # <a href="#">1</a> Certificate of Service) (Johanson, David) (Entered: 10/13/2015)
10/14/2015	<a href="#">1118</a>	Court Reporter Certification of Seventh Circuit Transcript Information Sheet re <a href="#">1109</a> Notice of Appeal. (jls) (Entered: 10/14/2015)
10/14/2015	<a href="#">1119</a>	Court Reporter Certification of Seventh Circuit Transcript Information Sheet re <a href="#">1109</a> Notice of Appeal. (jls) (Entered: 10/14/2015)
10/30/2015	<a href="#">1120</a>	Notice by Defendants Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan <i>of Filing.</i> (Attachments: # <a href="#">1</a> Exhibit A: Response to Fenkell's Jurisdictional Statement in the Seventh Circuit) (Jackson, Charles) (Entered: 10/30/2015)
11/18/2015	<a href="#">1121</a>	ORDER denying <a href="#">1090</a> Motion for Reconsideration and directing David Fenkell to restore \$2,044,014.42 to Alliance ESOP or provide a bond assuring the payment pending appeal. Failure to do so by December 9, 2015 will result in a fine of \$500 per day beginning on December 10, 2015 and doubling every week thereafter, until in full compliance. If the total of the fines should exceed \$1 million Mr. Fenkell is ordered to appear before this court to show cause why he should not be held in custody until in full compliance. Any fines received by the Clerk of Court will be remitted to the plaintiffs. Signed by District Judge William M. Conley on 11/18/2015. (jls) (Entered: 11/18/2015)

11/28/2015	<a href="#">1122</a>	Motion to Stay re: <a href="#">1121</a> Order on Motion for Reconsideration, by Defendant David B. Fenkell. Response due 12/7/2015. (Attachments: # <a href="#">1</a> Text of Proposed Order) (Johanson, David) (Entered: 11/28/2015)
11/28/2015	<a href="#">1123</a>	Brief in Support of <a href="#">1122</a> Motion to Stay by Defendant David B. Fenkell, (Attachments: # <a href="#">1</a> Exhibit - E-mail Dated 11/28/2015) (Johanson, David) Modified on 11/29/2015: Added exhibit description. (lak) (Entered: 11/28/2015)
11/30/2015		Reset Deadline: Response <a href="#">1122</a> Motion to Stay re: <a href="#">1121</a> Order on Motion for Reconsideration. due <b>12/2/2015</b> . (jls) (Entered: 11/30/2015)
12/02/2015	<a href="#">1124</a>	Disregard. See <a href="#">1127</a> . Modified on 12/3/2015. (lak) (Entered: 12/02/2015)
12/02/2015	<a href="#">1125</a>	Brief in Opposition by Defendants Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan re: <a href="#">1122</a> Motion to Stay filed by David B. Fenkell ( <u>Sealed Document</u> ) (Attachments: # <a href="#">1</a> Exhibit A: First Proposed Order, # <a href="#">2</a> Exhibit B: Second Proposed Order, # <a href="#">3</a> Exhibit C: Declaration of B. Spear (Also see <a href="#">1128</a> ), # <a href="#">4</a> Exhibit D: David Fenkell 2014 Plan Participant Statement) (Jackson, Charles) Modified on 12/3/2015. (lak) (Entered: 12/02/2015)
12/03/2015	<a href="#">1126</a>	Brief in Reply by Defendant David B. Fenkell in Support of <a href="#">1122</a> Motion to Stay (Attachments: # <a href="#">1</a> Text of Proposed Order) (Rubel, Douglas) (Entered: 12/03/2015)
12/03/2015	<a href="#">1127</a>	Redaction to <a href="#">1125</a> Brief in Opposition <i>to Motion to Stay</i> by Defendants Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan (Attachments: # <a href="#">1</a> Exhibit A: First Proposed Order, # <a href="#">2</a> Exhibit B: Second Proposed Order, # <a href="#">3</a> Exhibit D: David Fenkell 2014 Plan Participant Statement) (Jackson, Charles) (Entered: 12/03/2015)
12/03/2015	<a href="#">1128</a>	Declaration of B. Spear filed by Defendants Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan <i>in support of Opposition</i> re: <a href="#">1122</a> Motion to Stay. ( <u>Sealed Document</u> ) (Jackson, Charles) Modified on 12/3/2015. (lak) (Entered: 12/03/2015)
12/03/2015	<a href="#">1129</a>	Motion for Leave to File <i>Sur-Reply</i> by Defendants Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan. (Attachments: # <a href="#">1</a> Exhibit A: Sur-Reply to Fenkell's Motion to Stay) (Jackson, Charles) (Entered: 12/03/2015)
12/04/2015		Minute Entry for proceedings held before District Judge William M. Conley: Telephone Motion Hearing held on 12/4/2015 re <a href="#">1122</a> Motion to Stay re: <a href="#">1121</a> Order on Motion for Reconsideration filed by David B. Fenkell [:20] (mfh) (Entered: 12/04/2015)
12/07/2015	<a href="#">1130</a>	Notice by Defendants Alliance Holdings, Inc., Alliance Holdings, Inc. Employee Stock Ownership Plan of Filing Proposed Orders and Pledge Agreements re: <a href="#">1122</a>

		Motion to Stay re: <a href="#">1121</a> Order on Motion for Reconsideration, (Attachments: # <a href="#">1</a> Exhibit A: Judgment Creditors' Proposed Order, # <a href="#">2</a> Exhibit B: Fenkell's Proposed Order, # <a href="#">3</a> Exhibit C: Proposed Order Comparison, # <a href="#">4</a> Exhibit D: Judgment Creditors' Proposed Pledge, # <a href="#">5</a> Exhibit E: Fenkell's Proposed Pledge, # <a href="#">6</a> Exhibit F: Pledge Comparison) (Jackson, Charles) Modified on 12/7/2015. (lak) (Entered: 12/07/2015)
12/07/2015		Minute Entry for proceedings held before District Judge William M. Conley: Continued Telephone Motion Hearing held on 12/7/2015 re <a href="#">1122</a> Motion to Stay re: <a href="#">1121</a> Order on Motion for Reconsideration filed by David B. Fenkell [:40] (Court Reporter ls.) (skv) (Entered: 12/07/2015)
12/08/2015		Minute Entry for proceedings held before District Judge William M. Conley: (second) continued Motion Hearing held on 12/8/2015 [:40] (Court Reporter LS.) (mfh) (Entered: 12/08/2015)
12/08/2015	<a href="#">1131</a>	Notice by Defendant David B. Fenkell of Filing Agreed Order re: <a href="#">1121</a> Order on Motion for Reconsideration, (Attachments: # <a href="#">1</a> Text of Proposed Order) (Rubel, Douglas) Modified on 12/9/2015. (lak) (Entered: 12/08/2015)
12/09/2015	<a href="#">1132</a>	ORDER denying <a href="#">1122</a> Motion to Stay; enforcing Mr. Fenkell's Pledge of Collateral Assets; and directing Mr. Fenkell to post a <i>supersedeas</i> bond in the amount of \$995,345.78 by December 9, 2015. Failure to do so will result in fines being imposed consistent with the Court's November 18, 2015 Opinion and Order (Dkt. <a href="#">1121</a> ). Signed by District Judge William M. Conley on 12/9/2015. (Attachments: # <a href="#">1</a> Collateral Pledge of Assets) (jls) (Entered: 12/09/2015)
12/09/2015	1133	** TEXT ONLY ORDER ** Defendants Alliance Holdings, Inc. and Alliance Holdings, Inc. ESOP's motion for leave to file sur-reply (dkt. # <a href="#">1129</a> ) is GRANTED. Signed by District Judge William M. Conley on 12/9/2015. (jls) (Entered: 12/09/2015)
12/09/2015	<a href="#">1134</a>	NOTICE OF APPEAL by Defendant David B. Fenkell as to <a href="#">1031</a> Order on Motion to Compel, <a href="#">1132</a> Order on Motion to Stay, Order on Motion for Leave to File, <a href="#">1085</a> Order on Motion for Attorney Fees, Order on Motion for Leave to File, <a href="#">1087</a> Order on Motion for TRO, <a href="#">1086</a> Order on Motion to Compel, <a href="#">1121</a> Order on Motion for Reconsideration. Filing fee of \$ 505, receipt number 0758-1699437 paid. Docketing Statement filed. (Attachments: # <a href="#">1</a> Docketing Statement) (Rubel, Douglas) Modified on 12/10/2015. (lak) (Entered: 12/09/2015)
12/09/2015	<a href="#">1135</a>	Notice by Defendant David B. Fenkell of <i>Filing of Supersedeas Bond</i> . (Attachments: # <a href="#">1</a> Exhibit A: Copy of Supersedeas Bond) (Rubel, Douglas) (Entered: 12/09/2015)