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for

EXTENSIBLE, REPLACEABLE NETWORK COMPONENT SYSTEM

ABSTRACT

An extensible and replaceable network-oriented component system provides a platform for developing network navigation components that operate on a variety of hardware and software computer systems. These navigation components include key integrating components along with components configured to deliver conventional services directed to computer networks, such as Gopher-specific and Web-specific components.

Communication among these components is achieved through novel application programming interfaces (APIs) to facilitate integration with an underlying software component architecture. Such a highly-modular cooperating layered-arrangement between the network component system and the component architecture allows any existing component to be replaced, and allows new components to be added, without affecting operation of the network component system.

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CROSS-REFERENCE TO RELATED APPLICATIONS

This invention is related to the following copending U.S. Patent Applications:

a U.S. Patent Application Serial No. ^{08/435,374} (~~P1526~~), titled REPLACEABLE AND EXTENSIBLE NOTEBOOK COMPONENT OF A NETWORK COMPONENT SYSTEM;

a U.S. Patent Application Serial No. ^{08/435,862} (~~P1527~~), titled REPLACEABLE AND EXTENSIBLE LOG COMPONENT OF A NETWORK COMPONENT SYSTEM;

a 10 U.S. Patent Application Serial No. ^{08/435,213} (~~P1528~~), titled REPLACEABLE AND EXTENSIBLE CONNECTION DIALOG COMPONENT OF A NETWORK COMPONENT SYSTEM;

a U.S. Patent Application Serial No. ^{08/435,671} (~~P1529~~), titled EMBEDDING INTERNET BROWSER/BUTTONS WITHIN COMPONENTS OF A NETWORK COMPONENT SYSTEM; and

a 15 U.S. Patent Application Serial No. ^{08/435,880} (~~P1530~~), titled ENCAPSULATED NETWORK ENTITY REFERENCE OF A NETWORK COMPONENT SYSTEM, each of which was filed on May 5, 1995 and assigned to the assignee of the present invention.

20 **FIELD OF THE INVENTION**

This invention relates generally to computer networks and, more particularly, to an architecture for building Internet-specific services.

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BACKGROUND OF THE INVENTION

The Internet is a system of geographically distributed computer networks interconnected by computers executing networking protocols that allow users to interact and share information over the networks. Because of such wide-spread information sharing, the Internet has generally evolved into an "open" system for which developers can design software for performing specialized operations, or services, essentially without restriction. These services are typically implemented in accordance with a client/server architecture, wherein the clients, e.g., personal computers or workstations, are responsible for interacting with the users and the servers are computers configured to perform the services as directed by the clients.

Not surprisingly, each of the services available over the Internet is generally defined by its own networking protocol. A protocol is a set of rules governing the format and meaning of messages or "packets" exchanged over the networks. By implementing services in accordance with the protocols, computers cooperate to perform various operations, or similar operations in various ways, for users wishing to "interact" with the networks. The services typically range from browsing or searching for information having a particular data format using a particular protocol to actually acquiring information of a different format in accordance with a different protocol.

For example, the file transfer protocol (FTP) service facilitates the transfer and sharing of files across the Internet. The Telnet service allows users to log onto computers coupled to the networks, while the netnews

protocol provides a bulletin-board service to its subscribers. Furthermore, the various data formats of the information available on the Internet include JPEG images, MPEG movies and μ -law sound files.

Coincident with the design of these services has been the development of applications for implementing the services on the client/server architecture. Accordingly, applications are available for users to obtain files from computers connected to the Internet using the FTP protocol. Similarly, individual applications allow users to log into remote computers (as though they were logging in from terminals attached to those computers) using the Telnet protocol and, further, to view JPEG images and MPEG movies. As a result, there exists a proliferation of applications directed to user activity on the Internet.

A problem with this vast collection of application-specific protocols is that these applications are generally unorganized, thus requiring users to plod through them in order to satisfyingly, and profitably, utilize the Internet. Such lack of uniformity is time consuming and disorienting to users that want to access particular types of information but are forced to use unfamiliar applications. Because of the enormous amount of different types of information available on the Internet and the variety of applications needed to access those information types, the experience of using the Internet may be burdensome to these users.

An alternative to the assortment of open applications for accessing information on the Internet is a "closed" application system, such as Prodigy,

CompuServe or America Online. Each of these systems provide a full range of well-organized services to their subscribers; however, they also impose restrictions on the services developers can offer for their systems. Such constraint of "new" service development may be an unreasonable alternative
5 for many users.

Two fashionable services for accessing information over the Internet are Gopher and the World-Wide Web ("Web"). Gopher consists of a series of Internet servers that provide a "list-oriented" interface to information available on the networks; the information is displayed as menu items in a
10 hierarchical manner. Included in the hierarchy of menus are documents, which can be displayed or saved, and searchable indexes, which allow users to type keywords and perform searches.

Some of the menu items displayed by Gopher are links to information available on other servers located on the networks. In this case, the user is
15 presented with a list of available information documents that can be opened. The opened documents may display additional lists or they may contain various data-types, such as pictures or text; occasionally, the opened documents may "transport" the user to another computer on the Internet.

The other popular information service on the Internet is the Web. Instead of providing a user with a hierarchical list-oriented view of
20 information, the Web provides the user with a "linked-hypertext" view. Metaphorically, the Web perceives the Internet as a vast book of pages, each of which may contain pictures, text, sound, movies or various other types of

data in the form of documents. Web documents are written in HyperText Markup Language (HTML) and Web servers transfer HTML documents to each other through the HyperText Transfer Protocol (HTTP).

The Web service is essentially a means for naming sources of information on the Internet. Armed with such a general naming convention that spans the entire network system, developers are able to build information servers that potentially any user can access. Accordingly, Gopher servers, HTTP servers, FTP servers, and E-mail servers have been developed for the Web. Moreover, the naming convention enables users to identify resources (such as directories and documents) on any of these servers connected to the Internet and allow access to those resources.

As an example, a user "traverses" the Web by following *hot* items of a page displayed on a graphical Web browser. These hot items are hypertext links whose presence are indicated on the page by visual cues, e.g., underlined words, icons or buttons. When a user follows a link (usually by clicking on the cue with a mouse), the browser displays the target pointed to by the link which, in some cases, may be another HTML document.

The Gopher and Web information services represent entirely different approaches to interacting with information on the Internet. One follows a list-approach to information that "looks" like a telephone directory service, while the other assumes a page-approach analogous to a tabloid newspaper. However, both of these approaches include applications for enabling users to browse information available on Internet servers. Additionally, each of these

applications has a unique way of viewing and accessing the information on the servers.

Netscape Navigator™ (“Netscape”) is an example of a monolithic Web browser application that is configured to interact with many of the previously-described protocols, including HTTP, Gopher and FTP. When instructed to invoke an application that uses one of these protocols, Netscape “translates” the protocol to hypertext. This translation places the user farther away from the protocol designed to run the application and, in some cases, actually thwarts the user’s Internet experience. For example, a discussion system requiring an interactive exchange between participants may be bogged down by hypertext translations.

The Gopher and Web services may further require additional applications to perform specific functions, such as playing sound or viewing movies, with respect to the data types contained in the documents. For example, Netscape employs helper applications for executing applications having data formats it does not “understand”. Execution of these functions on a computer requires interruption of processing and context switching (i.e., saving of state) prior to invoking the appropriate application. Thus, if a user operating within the Netscape application “opens” an MPEG movie, that browsing application must be saved (e.g., to disk) prior to opening an appropriate MPEG application, e.g., Sparkle, to view the image. Such an arrangement is inefficient and rather disruptive to processing operations of the computer.

Typically, a computer includes an operating system and application software which, collectively, control the operations of the computer. The applications are preferably task-specific and independent, e.g., a word processor application edits text, a drawing application edits drawings and a database application interacts with information stored on a database storage unit. Although a user can move data from one application to the other, such as by copying a drawing into a word processing file, the independent applications must be invoked to thereafter manipulate that data.

Generally, the application program presents information to a user through a window of a graphical user interface by drawing images, graphics or text within the window region. The user, in turn, communicates with the application by "pointing" at graphical objects in the window with a pointer that is controlled by a hand-operated pointing device, such as a mouse, or by pressing keys of a keyboard.

The graphical objects typically included with each window region are sizing boxes, buttons and scroll bars. These objects represent user interface elements that the user can point at with the pointer (or a cursor) to select or manipulate. For example, the user may manipulate these elements to move the windows around on the display screen, and change their sizes and appearances so as to arrange the window in a convenient manner. When the elements are selected or manipulated, the underlying application program is informed, via the window environment, that control has been appropriated by the user.

A menu bar is a further example of a user interface element that provides a list of menus available to a user. Each menu, in turn, provides a list of command options that can be selected merely by pointing to them with the mouse-controlled pointer. That is, the commands may be issued by
5 actuating the mouse to move the pointer onto or near the command selection, and pressing and quickly releasing, i.e., "clicking" a button on the mouse.

In contrast to this typical application-based computing environment, a software component architecture provides a modular document-based computing arrangement using tools such as viewing editors. The key to
10 document-based computing is the *compound document*, i.e., a document composed of many different types of data sharing the same file. The types of data contained in a compound document may range from text, tables and graphics to video and sound. Several editors, each designed to handle a particular data type or format, can work on the contents of the document at
15 the same time, unlike the application-based computing environment.

Since many editors may work together on the same document, the compound document is apportioned into individual modules of content for manipulation by the editors. The compound-nature of the document is realized by embedding these modules within each other to create a document
20 having a mixture of data types. The software component architecture provides the foundation for assembling documents of differing contents and the present invention is directed to a system for extending this capability to network-oriented services.

Therefore, it is among the objects of the present invention to simplify a user's experience on computer networks without sacrificing the flexibility afforded the user by employing existing protocols and data types available on those networks.

5 Another object of the invention is to provide a system for users to search and access information on the Internet without extensive understanding or knowledge of the underlying protocols and data formats needed to access that information.

10 Still another object of the invention is to provide a document-based computing system that enables users to develop modules for services directed to information available on computer networks.

15 Still yet another object of the invention is to provide a platform that allows third-party developers to extend a layered network component system by building new components that seamlessly interact with the system components.

SUMMARY OF THE INVENTION

20 Briefly, the invention comprises an extensible and replaceable network-oriented component system that provides a platform for developing network navigation components that operate on a variety of hardware and software computer systems. These navigation components include key integrating components along with components, such as Gopher-specific and Web-

specific components, configured to deliver conventional services directed to computer networks. Communication among these components is achieved through novel application programming interfaces (APIs) to facilitate integration with an underlying software component architecture. Such a highly-modular cooperating layered-arrangement between the network component system and the component architecture allows any existing component to be replaced, and allows new components to be added, without affecting operation of the novel network component system.

According to one aspect of the present invention, the novel system provides a network navigating service for browsing and accessing information available on the computer networks. The information may include various data types available from a variety of sources coupled to the computer networks. Upon accessing the desired information, component viewing editors are provided to modify or display, either visually or acoustically, the contents of the data types regardless of the source of the underlying data. Additional components and component viewing editors may be created in connection with the underlying software component architecture to allow integration of different data types and protocols needed to interact with information on the Internet.

In accordance with another aspect of the invention, the component system is preferably embodied as a customized framework having a set of interconnected abstract classes for defining network-oriented objects. These abstract classes include *CyberItem*, *CyberStream* and *CyberExtension*, and

the objects they define are used to build the novel navigation components. Interactions among these latter components and existing components of the underlying software architecture provide the basis for the extensibility and replaceability features of the network component system.

5 Specifically, CyberItem is an object abstraction which represents a "resource on a computer network", but which may be further expanded to include resources available at any accessible location. CyberStream is an object abstraction representing a method for downloading information from a remote location on the computer network, while CyberExtension represents
10 additional behaviors provided to the existing components for integration with the network component system.

The novel network system captures the essence of a "component-based" approach to browsing and retrieving network-oriented information as opposed to the monolithic application-based approach of prior browsing
15 systems. Such a component-based system has a number of advantages. First, if a user does not like the way a particular component operates, that component can be replaced with a different component provided by another developer. In contrast, if a user does not like the way a monolithic application handles certain protocols, the only recourse is to use another
20 service because the user cannot modify the application to perform the protocol functions in a different manner. Clearly, the replaceability feature of the novel network component system provides a flexible alternative to the user.

Second, the use of components is substantially less disruptive than using helper applications in situations where a monolithic application confronts differing data types and formats. Instead of "switching" application layers, the novel network system merely invokes the appropriate component and component viewing editor configured to operate with the data type and format. Such "seamless" integration among components is a significant feature of the modular cooperating architecture described herein.

A third advantage of the novel network system is directed to the cooperating relationship between the system and the underlying software component architecture. Specifically, the novel network components are based on the component architecture technology to therefore ensure cooperation between all components in an integrated manner. The software component architecture is configured to operate on a plurality of computers, and is preferably implemented as a software layer adjoining the operating system.

BRIEF DESCRIPTION OF THE DRAWINGS

The above and further advantages of the invention may be better understood by referring to the following description in conjunction with the accompanying drawings in which:

Fig. 1 is a block diagram of a network system including a collection of computer networks interconnected by client and server computers;

Fig. 2 is a block diagram of a client computer, such as a personal computer, on which the invention may advantageously operate;

Fig. 3 is a block diagram of a server computer of Fig. 1;

5 Fig. 4 is a highly schematized block diagram of a layered component computing arrangement in accordance with the invention;

Fig. 5 is a schematic illustration of the interaction of a component, a software component layer and an operating system of the computer of Fig. 2;

10 Fig. 6 is a schematic illustration of the interaction between a component, a component layer and a window manager in accordance with the invention;

Fig. 7 is a simplified class hierarchy diagram illustrating a base class CyberItem, and its associated subclasses, used to construct network component objects in accordance with the invention;

15 Fig. 8 is a simplified class hierarchy diagram illustrating a base class CyberStream, and its associated subclasses, in accordance with the invention; and

Fig. 9 is a simplified class hierarchy diagram illustrating a base class CyberExtension, and its associated subclasses, in accordance with the present invention.

20 **DETAILED DESCRIPTION OF ILLUSTRATIVE EMBODIMENT**

Fig. 1 is a block diagram of a network system 100 comprising a collection of computer networks 110 interconnected by client computers

(“clients”) 200, e.g., workstations or personal computers, and server computers (“servers”) 300. The servers are typically computers having hardware and software elements that provide resources or services for use by the clients 200 to increase the efficiency of their operations. It will be understood to those skilled in the art that, in an alternate embodiment, the client and server may exist on the same computer; however, for the illustrative embodiment described herein, the client and server are separate computers.

Several types of computer networks 110, including local area networks (LANs) and wide area networks (WANs), may be employed in the system 100. A LAN is a limited area network that typically consists of a transmission medium, such as coaxial cable or twisted pair, while a WAN may be a public or private telecommunications facility that interconnects computers widely dispersed. In the illustrative embodiment, the network system 100 is the Internet system of geographically distributed computer networks.

Computers coupled to the Internet typically communicate by exchanging discrete packets of information according to predefined networking protocols. Execution of these networking protocols allow users to interact and share information across the networks. As an illustration, in response to a user’s request for a particular service, the client 200 sends an appropriate information packet to the server 300, which performs the service and returns a result back to the client 200.

Fig. 2 illustrates a typical hardware configuration of a client 200 comprising a central processing unit (CPU) 210 coupled between a memory 214 and input/output (I/O) circuitry 218 by bidirectional buses 212 and 216. The memory 214 typically comprises random access memory (RAM) for temporary storage of information and read only memory (ROM) for permanent storage of the computer's configuration and basic operating commands, such as portions of an operating system (not shown). As described further herein, the operating system controls the operations of the CPU 210 and client computer 200.

The I/O circuitry 218, in turn, connects the computer to computer networks, such as the Internet computer networks 250, via a bidirectional bus 222 and to cursor/pointer control devices, such as a keyboard 224 (via cable 226) and a mouse 230 (via cable 228). The mouse 230 typically contains at least one button 234 operated by a user of the computer. A conventional display monitor 232 having a display screen 235 is also connected to I/O circuitry 218 via cable 238. A pointer (cursor) 240 is displayed on windows 244 of the screen 235 and its position is controllable via the mouse 230 or the keyboard 224, as is well-known. Typically, the I/O circuitry 218 receives information, such as control and data signals, from the mouse 230 and keyboard 224, and provides that information to the CPU 210 for display on the screen 235 or, as described further herein, for transfer over the Internet 250.

Fig. 3 illustrates a typical hardware configuration of a server 300 of the network system 100. The server 300 has many of the same units as employed in the client 200, including a CPU 310, a memory 314, and I/O circuitry 318, each of which are interconnected by bidirectional buses 312 and 316. Also, the I/O circuitry connects the computer to computer networks 350 via a bidirectional bus 322. These units are configured to perform functions similar to those provided by their corresponding units in the computer 200. In addition, the server typically includes a mass storage unit 320, such as a disk drive, connected to the I/O circuitry 318 via bidirectional bus 324.

It is to be understood that the I/O circuits within the computers 200 and 300 contain the necessary hardware, e.g., buffers and adapters, needed to interface with the control devices, the display monitor, the mass storage unit and the networks. Moreover, the operating system includes the necessary software drivers to control, e.g., network adapters within the I/O circuits when performing I/O operations, such as the transfer of data packets between the client 200 and server 300.

The computers are preferably personal computers of the Macintosh® series of computers sold by Apple Computer Inc., although the invention may also be practiced in the context of other types of computers, including the IBM® series of computers sold by International Business Machines Corp. These computers have resident thereon, and are controlled and coordinated by, operating system software, such as the Apple® System 7®, IBM OS2®, or the Microsoft® Windows® operating systems.

As noted, the present invention is based on a modular document computing arrangement as provided by an underlying software component architecture, rather than the typical application-based environment of prior computing systems. Fig. 4 is a highly schematized diagram of the hardware and software elements of a layered component computing arrangement 400 that includes the novel network-oriented component system of the invention. At the lowest level there is the computer hardware, shown as layer 410. Interfacing with the hardware is a conventional operating system layer 420 that includes a window manager, a graphic system, a file system and network-specific interfacing, such as a TCP/IP protocol stack and an Apple-talk protocol stack.

The software component architecture is preferably implemented as a component architecture layer 430. Although it is shown as overlaying the operating system 420, the component architecture layer 430 is actually independent of the operating system and, more precisely, resides side-by-side with the operating system. This relationship allows the component architecture to exist on multiple platforms that employ different operating systems.

In accordance with the present invention, a novel network-oriented component layer 450 contains the underlying technology for implementing the extensible and replaceable network component system that delivers services and facilitates development of navigation components directed to computer networks, such as the Internet. As described further herein, this technology

includes novel application programming interfaces (APIs) that facilitate communication among components to ensure integration with the underlying component architecture layer 430. These novel APIs are preferably delivered in the form of objects in a class hierarchy.

5 It should be noted that the network component layer 450 may operate with any existing system-wide component architecture, such as the Object Linking and Embedding (OLE) architecture developed by the Microsoft Corporation; however, in the illustrative embodiment, the component architecture is preferably OpenDoc, the vendor-neutral, open standard for
10 compound documents developed by, among others, Apple Computer, Inc.

 Using tools such as viewing editors, the component architecture layer 430 creates a compound document composed of data having different types and formats. Each differing data type and format is contained in a fundamental unit called a computing part or, more generally, a "component"
15 460 comprised of a viewing editor along with the data content. An example of the computing component 460 may include a MacDraw component. The editor, on the other hand, is analogous to an application program in a conventional computer. That is, the editor is a software component which provides the necessary functionality to display a component's contents and,
20 where appropriate, present a user interface for modifying those contents. Additionally, the editor may include menus, controls and other user interface elements.

According to the invention, the network component layer 450 extends the functionality of the underlying component architecture layer 430 by defining network-oriented components 480. Included among these components are key integrating components (such as notebook, log and connection dialog components) along with components configured to deliver conventional services directed to computer networks, such as Gopher-specific and Web-specific components. Moreover, the components may include FTP-specific components for transferring files across the networks, Telnet-specific components for remotely logging onto other computers, and JPEG-specific and MPEG-specific components for viewing image and movie data types and formats.

A feature of the invention is the ability to easily extend, or replace, any of the components of the layered computing arrangement 400 with a different component to provide a user with customized network-related services. As described herein, this feature is made possible by the cooperating relationship between the network component layer 450 and its underlying component architecture layer 430. The integrating components communicate and interact with these various components of the system in a "seamlessly integrated" manner to provide basic tools for navigating the Internet computer networks.

Fig. 4 also illustrates the relationship of applications 490 to the elements of the layered computing arrangement 400. Although they reside in the same "user space" as the components 460 and network components 480, the applications 490 do not interact with these elements and, thus, interface

directly to the operating system layer 420. Because they are designed as monolithic, autonomous modules, applications (such as previous Internet browsers) often do not even interact among themselves. In contrast, the components of the arrangement 400 are designed to work together via the common component architecture layer 430 or, in the case of the network components, via the novel network component layer 450.

Specifically, the invention features the provision of the extensible and replaceable network-oriented component system which, when invoked, causes actions to take place that enhance the ability of a user to interact with the computer to search for, and obtain, information available over computer networks such as the Internet. The information is manifested to a user via a window environment, such as the graphical user interface provided by System 7 or Windows, that is preferably displayed on the screen 235 (Fig. 2) as a graphical display to facilitate interactions between the user and the computer, such as the client 200. This behavior of the system is brought about by the interaction of the network components with a series of system software routines associated with the operating system 420. These system routines, in turn, interact with the component architecture layer 430 to create the windows and graphical user interface elements, as described further herein.

The window environment is generally part of the operating system software 420 that includes a collection of utility programs for controlling the operation of the computer 200. The operating system, in turn, interacts with the components to provide higher level functionality, including a direct

interface with the user. A component makes use of operating system functions by issuing a series of task commands to the operating system via the network component layer 450 or, as is typically the case, through the component architecture layer 430. The operating system 420 then performs the requested task. For example, the component may request that a software driver of the operating system initiate transfer of a data packet over the networks 250 or that the operating system display certain information on a window for presentation to the user.

Fig. 5 is a schematic illustration of the interaction of a component 502, software component layer 506 and an operating system 510 of a computer 500, which is similar to, and has equivalent elements of, the client computer 200 of Fig. 2. As noted, the network component layer 450 (Fig. 4) is integrated with the component architecture layer 430 to provide a cooperating architecture that allows any component to be replaced or extended, and allows new components to be added, without affecting operation of the network component system; accordingly, for purposes of the present discussion, the layers 430 and 450 may be treated as a single software component layer 506.

The component 502, component layer 506 and operating system 510 interact to control and coordinate the operations of the computer 500 and their interaction is illustrated schematically by arrows 504 and 508. In order to display information on a screen display 535, the component 502 and component layer 506 cooperate to generate and send display commands to a

window manager 514 of the operating system 510. The window manager 514 stores information directly (via arrow 516) into a screen buffer 520.

The window manager 514 is a system software routine that is generally responsible for managing windows 544 that the user views during operation of the network component system. That is, it is generally the task of the window manager to keep track of the location and size of the window and window areas which must be drawn and redrawn in connection with the network component system of the present invention.

Under control of various hardware and software in the system, the contents of the screen buffer 520 are read out of the buffer and provided, as indicated schematically by arrow 522, to a display adapter 526. The display adapter contains hardware and software (sometimes in the form of firmware) which converts the information in the screen buffer 520 to a form which can be used to drive a display screen 535 of a monitor 532. The monitor 532 is connected to display adapter 526 by cable 528.

Similarly, in order to transfer information as a packet over the computer networks, the component 502 and component layer 506 cooperate to generate and send network commands, such as remote procedure calls, to a network-specific interface 540 of the operating system 510. The network interface comprises system software routines, such as "stub" procedure software and protocol stacks, that are generally responsible for formatting the information into a predetermined packet format according to the specific network protocol used, e.g., TCP/IP or Apple-talk protocol.

Specifically, the network interface 540 stores the packet directly (via arrow 556) into a network buffer 560. Under control of the hardware and software in the system, the contents of the network buffer 560 are provided, as indicated schematically by arrow 562, to a network adapter 566. The network adapter incorporates the software and hardware, i.e., electrical and mechanical interchange circuits and characteristics, needed to interface with the particular computer networks 550. The adapter 566 is connected to the computer networks 550 by cable 568.

In a preferred embodiment, the invention described herein is implemented in an object-oriented programming (OOP) language, such as C++ , using System Object Model (SOM) technology and OOP techniques. The C++ and SOM languages are well-known and many articles and texts are available which describe the languages in detail. In addition, C++ and SOM compilers are commercially available from several vendors. Accordingly, for reasons of ^{brevity} ~~clarity~~, the details of the C++ and SOM languages and the operations of their compilers will not be discussed further in detail herein.

As will be understood by those skilled in the art, OOP techniques involve the definition, creation, use and destruction of "objects". These objects are software entities comprising data elements and routines, or functions, which manipulate the data elements. The data and related functions are treated by the software as an entity that can be created, used and deleted as if it were a single item. Together, the data and functions enable objects to model virtually any real-world entity in terms of its

characteristics, which can be represented by the data elements, and its behavior, which can be represented by its data manipulation functions. In this way, objects can model concrete things like computers, while also modeling abstract concepts like numbers or geometrical designs.

5 Objects are defined by creating “classes” which are not objects themselves, but which act as templates that instruct the compiler how to construct an actual object. A class may, for example, specify the number and type of data variables and the steps involved in the functions which manipulate the data. An object is actually created in the program by means of
10 a special function called a “constructor” which uses the corresponding class definition and additional information, such as arguments provided during object creation, to construct the object. Likewise objects are destroyed by a special function called a “destructor”. Objects may be used by manipulating their data and invoking their functions.

15 The principle benefits of OOP techniques arise out of three basic principles: encapsulation, polymorphism and inheritance. Specifically, objects can be designed to hide, or encapsulate, all, or a portion of, its internal data structure and internal functions. More specifically, during program design, a program developer can define objects in which all or some
20 of the data variables and all or some of the related functions are considered “private” or for use only by the object itself. Other data or functions can be declared “public” or available for use by other programs. Access to the private variables by other programs can be controlled by defining public

functions for an object which access the object's private data. The public functions form a controlled and consistent interface between the private data and the "outside" world. Any attempt to write program code which directly accesses the private variables causes the compiler to generate an error during program compilation which error stops the compilation process and prevents the program from being run.

Polymorphism is a concept which allows objects and functions that have the same overall format, but that work with different data, to function differently in order to produce consistent results. Inheritance, on the other hand, allows program developers to easily reuse pre-existing programs and to avoid creating software from scratch. The principle of inheritance allows a software developer to declare classes (and the objects which are later created from them) as related. Specifically, classes may be designated as subclasses of other base classes. A subclass "inherits" and has access to all of the public functions of its base classes just as if these functions appeared in the subclass. Alternatively, a subclass can override some or all of its inherited functions or may modify some or all of its inherited functions merely by defining a new function with the same form (overriding or modification does not alter the function in the base class, but merely modifies the use of the function in the subclass). The creation of a new subclass which has some of the functionality (with selective modification) of another class allows software developers to easily customize existing code to meet their particular needs.

In accordance with the present invention, the component 502 and windows 544 are "objects" created by the component layer 506 and the window manager 514, respectively, the latter of which may be an object-oriented program. Interaction between a component, component layer and a window manager is illustrated in greater detail in Fig. 6.

In general, the component layer 606 interfaces with the window manager 614 by creating and manipulating objects. The window manager itself may be an object which is created when the operating system is started. Specifically, the component layer creates window objects 630 that cause the window manager to create associated windows on the display screen. This is shown schematically by an arrow 608. In addition, the component layer 606 creates individual graphic interface objects 650 that are stored in each window object 630, as shown schematically by arrows 612 and 652. Since many graphic interface objects may be created in order to display many interface elements on the display screen, the window object 630 communicates with the window manager by means of a sequence of drawing commands issued from the window object to the window manager 614, as illustrated by arrow 632.

As noted, the component layer 606 functions to embed components within one another to form a compound document having mixed data types and formats. Many different viewing editors may work together to display, or modify, the data contents of the document. In order to direct keystrokes and

mouse events initiated by a user to the proper components and editors, the component layer 606 includes an arbitrator 616 and a dispatcher 626.

The dispatcher is an object that communicates with the operating system 610 to identify the correct viewing editor 660, while the arbitrator is an object that informs the dispatcher as to which editor "owns" the stream of keystrokes or mouse events. Specifically, the dispatcher 626 receives these "human-interface" events from the operating system 610 (as shown schematically by arrow 628) and delivers them to the correct viewing editor 660 via arrow 662. The viewing editor 660 then modifies or displays, either visually or acoustically, the contents of the data types.

Although OOP offers significant improvements over other programming concepts, software development still requires significant outlays of time and effort, especially if no pre-existing software is available for modification. Consequently, a prior art approach has been to provide a developer with a set of predefined, interconnected classes which create a set of objects and additional miscellaneous routines that are all directed to performing commonly-encountered tasks in a particular environment. Such predefined classes and libraries are typically called "frameworks" and essentially provide a pre-fabricated structure for a working document.

For example, a framework for a user interface might provide a set of predefined graphic interface objects which create windows, scroll bars, menus, etc. and provide the support and "default" behavior for these interface objects. Since frameworks are based on object-oriented techniques, the

predefined classes can be used as base classes and the built-in default behavior can be inherited by developer-defined subclasses and either modified or overridden to allow developers to extend the framework and create customized solutions in a particular area of expertise. This object-oriented approach provides a major advantage over traditional programming since the programmer is not changing the original program, but rather extending the capabilities of that original program. In addition, developers are not blindly working through layers of code because the framework provides architectural guidance and modeling and, at the same time, frees the developers to supply specific actions unique to the problem domain.

There are many kinds of frameworks available, depending on the level of the system involved and the kind of problem to be solved. The types of frameworks range from high-level frameworks that assist in developing a user interface, to lower-level frameworks that provide basic system software services such as communications, printing, file systems support, graphics, etc. Commercial examples of application-type frameworks include MacApp (Apple), Bedrock (Symantec), OWL (Borland), NeXT Step App Kit (NeXT) and Smalltalk-80 MVC (ParcPlace).

While the framework approach utilizes all the principles of encapsulation, polymorphism, and inheritance in the object layer, and is a substantial improvement over other programming techniques, there are difficulties which arise. These difficulties are caused by the fact that it is easy for developers to reuse their own objects, but it is difficult for the developers

to use objects generated by other programs. Further, frameworks generally consist of one or more object "layers" on top of a monolithic operating system and even with the flexibility of the object layer, it is still often necessary to directly interact with the underlying system by means of awkward procedure calls.

In the same way that a framework provides the developer with prefab functionality for a document, a system framework, such as that included in the preferred embodiment, can provide a prefab functionality for system level services which developers can modify or override to create customized solutions, thereby avoiding the awkward procedural calls necessary with the prior art frameworks. For example, consider a customizable network interface framework which can provide the foundation for browsing and accessing information over a computer network. A software developer who needed these capabilities would ordinarily have to write specific routines to provide them. To do this with a framework, the developer only needs to supply the characteristic and behavior of the finished output, while the framework provides the actual routines which perform the tasks.

A preferred embodiment takes the concept of frameworks and applies it throughout the entire system, including the document, component, component layer and the operating system. For the commercial or corporate developer, systems integrator, or OEM, this means all of the advantages that have been illustrated for a framework, such as MacApp, can be leveraged not only at the application level for things such as text and graphical user

interfaces, but also at the system level for such services as printing, graphics, multi-media, file systems and, as described herein, network-specific operations.

Referring again to Fig. 6, the window object 630 and the graphic interface object 650 are elements of a graphical user interface of a network component system having a customizable framework for greatly enhancing the ability of a user to navigate or browse through information stored on servers coupled to the network. Moreover, the novel network system provides a platform for developing network navigation components for operation on a variety of hardware and software computer systems.

As noted, the network components are preferably implemented as objects and communication among the network component objects is effected through novel application programming interfaces (APIs). These APIs are preferably delivered in the form of objects in a class hierarchy that is extensible so that developers can create new components and editors. From an implementation viewpoint, the objects can be subclassed and can inherit from base classes to build customized components allow users to see different kinds of data using different kinds of protocols, or to create components that function differently from existing components.

In accordance with the invention, the customized framework has a set of interconnected abstract classes for defining network-oriented objects used to build these customized network components. These abstract classes include *CyberItem*, *CyberStream* and *CyberExtension* and the objects they

define are used to build the novel network components. Interactions among these latter components and existing components of the underlying software architecture provide the basis for the extensibility and replaceability features of the network component system.

5 In order to further understand the operations of these network component objects, it may be useful to examine their construction together with the major function routines that comprise the behavior of the objects. In examining the objects, it is also useful to examine the classes that are used to construct the objects (as previously mentioned the classes serve as templates
10 for the construction of objects). Thus, the relation of the classes and the functions inherent in each class can be used to predict the behavior of an object once it is constructed.

Fig. 7 illustrates a simplified class hierarchy diagram 700 of the base class CyberItem 702 used to construct the network component object 602. In
15 general, CyberItem is an abstraction that may represent resources available at any location accessible from the client 200. However, in accordance with the illustrative embodiment, a CyberItem is preferably a small, self-contained object that represents a resource, such as a service, available on the Internet and subclasses of the CyberItem base class are used to construct various
20 network component objects configured to provide such services for the novel network-oriented component system.

For example, the class GopherItem 704 may be used to construct a network component object representing a "thing in Gopher space", such as a

Gopher directory, while the subclass WebItem 706 is derived from CyberItem and encapsulates a network component object representing a "thing in Web space, e.g., a Web page. Similarly, the subclass NewsGroupItem 708 may be used to construct a network object representing a newsgroup and the class
5 Article 710 is configured to encapsulate a network component object representing an article resource on an Internet server.

Since each of the classes used to construct these network component objects are subclasses of the CyberItem base class, each class inherits the functional operators and methods that are available from that base class. For
10 example, methods associated with the CyberItem base class for returning an icon family and a name are assumed by the subclasses to allow the network components to display CyberItem objects in a consistent manner. The methods associated with the CyberItem base class include (the arguments have been omitted for simplicity):

15 GetRefCount ();
 IncrementRefCount ();
 Release ();
 SetUpFromURL ();
 ExternalizeContent ();
20 StreamToStorageUnit ();
 StreamFromStorageUnit ();
 Clone ();
 Compare ();
 GetStringProperty ();
25 SetDefaultName ();
 GetURL ();
 GetIconSuite ();
 CreateCyberStream ();

Open ();
OpenInFrame ();
FindWindow ().

5 In some instances, a CyberItem object may need to spawn a
CyberStream object in order to obtain the actual data for the object it
represents. Fig. 8 illustrates a simplified class hierarchy diagram 800 of the
base class CyberStream 802. As noted, CyberStream is an abstraction that
serves as an API between a component configured to display a particular data
format and the method for obtaining the actual data. This allows developers
10 to design viewing editors that can display the content of data regardless of the
protocol required to obtain that data.

For example, a developer may design a picture viewing editor that uses
the CyberStream API to obtain data bytes describing a picture. The actual
data bytes are obtained by a subclass of CyberStream configured to construct
15 a component object that implements a particular protocol, such as Gopher and
HTTP. That is, the CyberStream object contains the software commands
necessary to create a "data stream" for transferring information from one
object to another. According to the invention, a GopherStream subclass 804
is derived from the CyberStream base class and encapsulates a network
20 object that implements the Gopher protocol, while the class WebStream 806
may be used to construct a network component configured to operate in
accordance with the HTTP protocol.

The methods associated with the CyberStream class, and which are contained in the objects constructed from the subclasses, include (the arguments have been omitted for simplicity):

```

5      GetStreamStatus ();
      GetTotalDataSize ();
      GetStreamError ();
      GetStatusString ();
      OpenWithCallback ();
10     Open ();
      GetBuffer ();
      ReleaseBuffer ();
      Abort ().

```

Fig. 9 is a simplified class hierarchy diagram of the base class CyberExtension 902 which represents additional behaviors provided to components of the underlying software component architecture. Specifically, CyberExtensions are the mechanisms for adding functionality to, and extending the APIs of, existing components so that they may communicate with the novel network components. As a result, the CyberExtension base class 902 operates in connection with a Component base class 906 through their respective subclasses BaseExtension 904 and BaseComponent 908.

The CyberExtension base class provides an API for accessing other network-specific components, such as notebooks and logs, and for supporting graphical user interface elements, such as menus. CyberExtension objects are used by components that display the contents of CyberItem objects. This includes browser-like components such as a Gopher browser or Web browser, as well as JPEG-specific components which display particular types

of data such as pictures. The CyberExtension objects also keep track of the CyberItem objects which these components are responsible for displaying.

In accordance with the invention, the class GopherBrowser 910 may be used to construct a Gopher-like network browsing component and the class
 5 WebBrowser 912 may be used to construct a Web-like network browsing component. Likewise, a TextViewer subclass 918 may encapsulate a network component configured to display text and a PictureViewer subclass 920 may construct a component for displaying pictures. The methods associated with the CyberExtension class include (the arguments have been
 10 omitted for simplicity):

ICyberExtension ();

 Components displaying the contents of CyberItem object

15 SetCyberItem ();
 GetCyberItem ();
 GetCyberItemWindow ();
 IsCyberItemSelected ();
 GetSelectedCyberItems ();

20 -----
 Notebook and Log Tools

25 AddCyberItemToLog ();
 ShowLogWindow ();
 IsLogWindowShown ();
 AddCyberItemToNotebook ();
 AddCyberItemsToNotebook ();
 ShowNotebookWindow ();
 IsNotebookWindowShown ();
 30 SetLogFinger ();

ClearLogFinger ();

Notebook and Log Menu Handlers

5 InstallServicesMenu ();
 AdjustMenus ();
 DoCommand ().

10 In summary, the novel network system described herein captures the
 essence of a "component-based" approach to browsing and retrieving
 network-oriented information as opposed to the monolithic application-based
 approach of prior browsing systems. Advantages of such a component-based
 system include the ability to easily replace and extend components because of
 the cooperating relationship between the novel network-oriented component
 system and the underlying component architecture. This relationship also
15 facilitates "seamless" integration and cooperation between components and
 component viewing editors when confronted with differing data types and
 formats.

20 While there has been shown and described an illustrative embodiment
 for implementing an extensible and replaceable network component system, it
 is to be understood that various other adaptations and modifications may be
 made within the spirit and scope of the invention. For example, additional
 system software routines may be used when implementing the invention in
 various applications. These additional system routines include dynamic link
 libraries (DLL), which are program files containing collections of window
25 environment and networking functions designed to perform specific classes of

operations. These functions are invoked as needed by the software component layer to perform the desired operations. Specifically, DLLs, which are generally well-known, may be used to interact with the component layer and window manager to provide network-specific components and functions.

The foregoing description has been directed to specific embodiments of this invention. It will be apparent, however, that other variations and modifications may be made to the described embodiments, with the attainment of some or all of their advantages. Therefore, it is the object of the appended claims to cover all such variations and modifications as come within the true spirit and scope of the invention.

What is claimed is:

CLAIMS

26b
C1

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1. An extensible and replaceable layered component computing arrangement residing on a computer coupled to a computer network, the layered arrangement comprising:
a software component architecture layer interfacing with an operating system to control the operations of the computer, the software component architecture layer defining a plurality of computing components; and
a network component layer for developing network navigation components that provide services directed to the computer network, the network component layer coupled to the software component architecture layer in integrating relation to facilitate communication among the computing and network navigation components.

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2. The computing arrangement of Claim 1 wherein the network navigation components are objects and wherein the network component layer comprises application programming interfaces delivered in the form of objects in a class hierarchy.

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3. The computing arrangement of Claim 2 wherein the application programming interfaces include a CyberItem class for constructing a first network navigation object representing a network resource available at any location on the computer networks.

1 4. The computing arrangement of Claim 3 wherein the application
2 programming interfaces further include a CyberStream class for constructing
3 a second network navigation object representing a data stream for transferring
4 information among objects of the arrangement.

1 5. The computing arrangement of Claim 4 wherein the first network
2 navigation object is a CyberItem object and the second network navigation
3 object is a CyberStream object, and wherein the CyberItem object spawns the
4 CyberStream object to obtain information from the network resource that the
5 CyberItem object represents.

1 6. The computing arrangement of Claim 4 wherein the application
2 programming interfaces further include a CyberExtension class for
3 constructing a third network navigation object representing additional
4 behaviors provided to computing components of the software component
5 architecture layer to thereby enable communication between the computing
6 components and the network navigation components.

1 7. An extensible and replaceable layered component computing arrangement
2 for providing services directed to information available on computer
3 networks, the computing arrangement comprising:
4 a processor;
5 an operating system;

sub
C3

6 a software component architecture layer coupled to the operating
7 system to control the operations of the processor, the software component
8 architecture layer defining a plurality of computing components; and
9 a network component layer for creating network navigation
10 components configured to search and obtain information available on the
11 computer networks, the network component layer integrally coupled to the
12 software component architecture layer to ensure communication among the
13 computing and network navigation components

add B1

5/1822

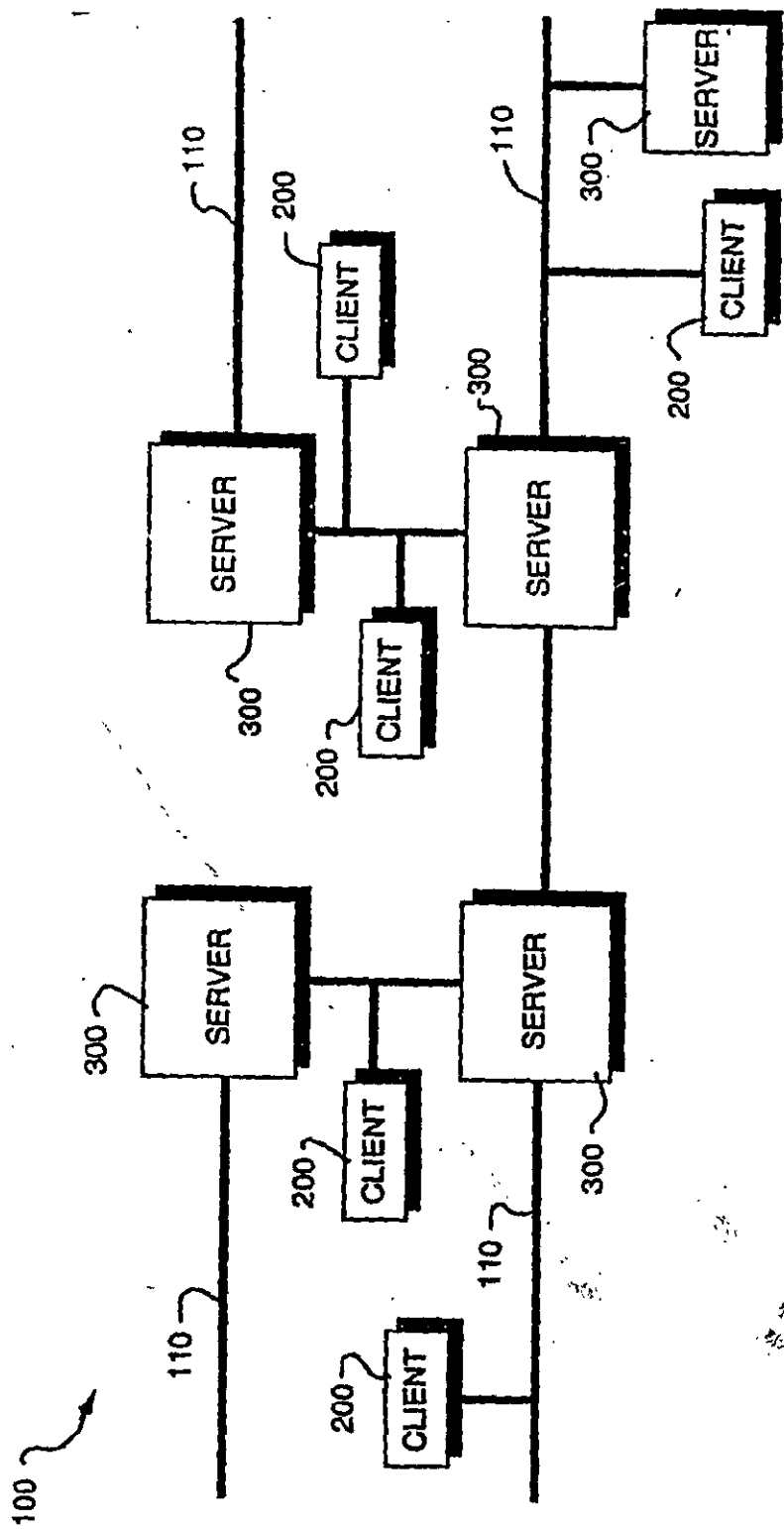


FIG. 1

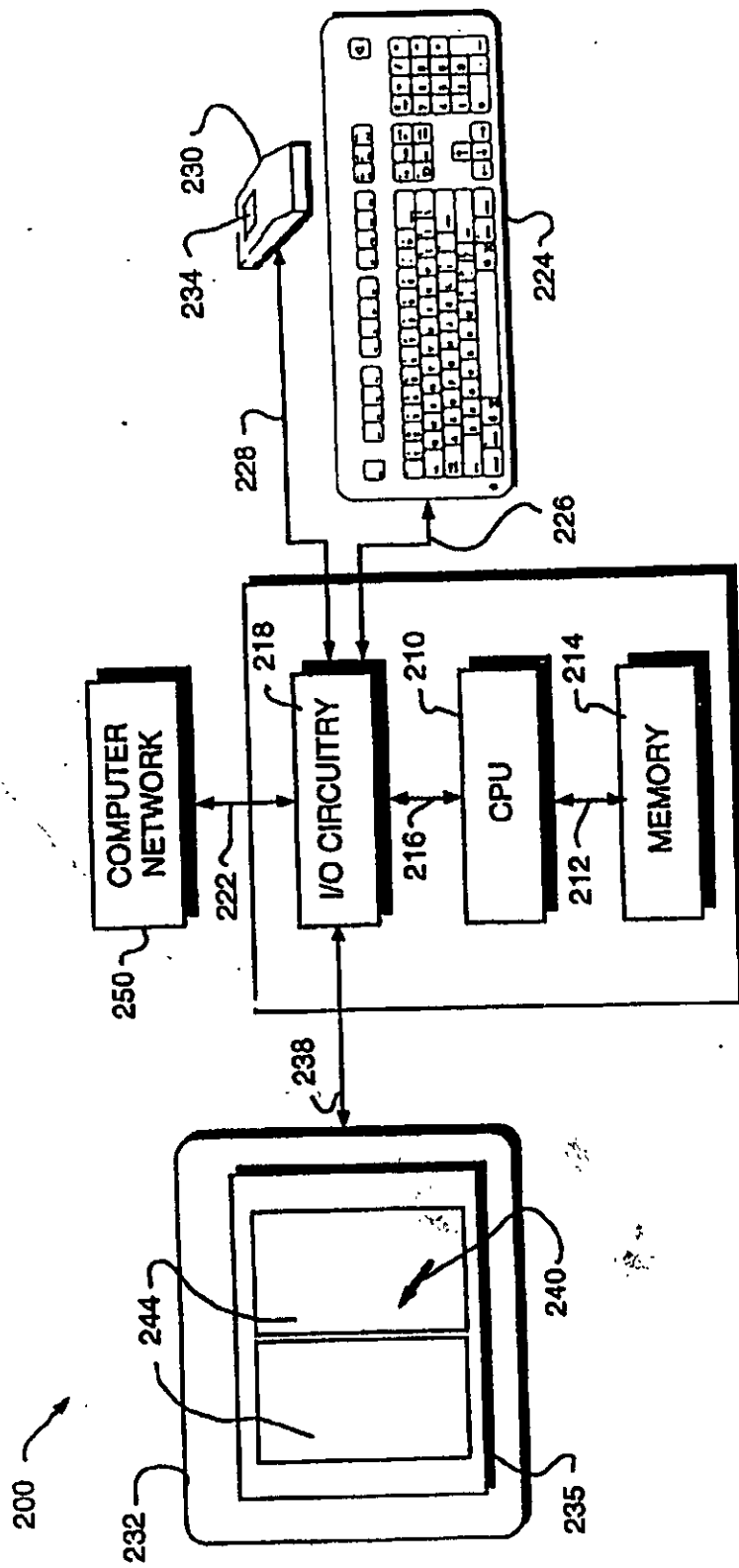


FIG. 2

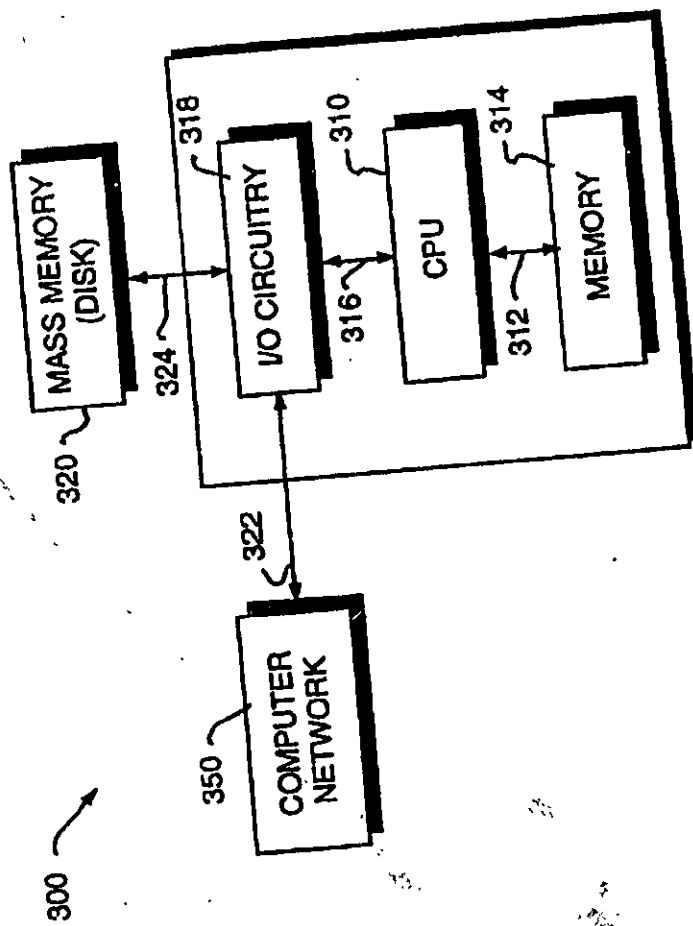


FIG. 3

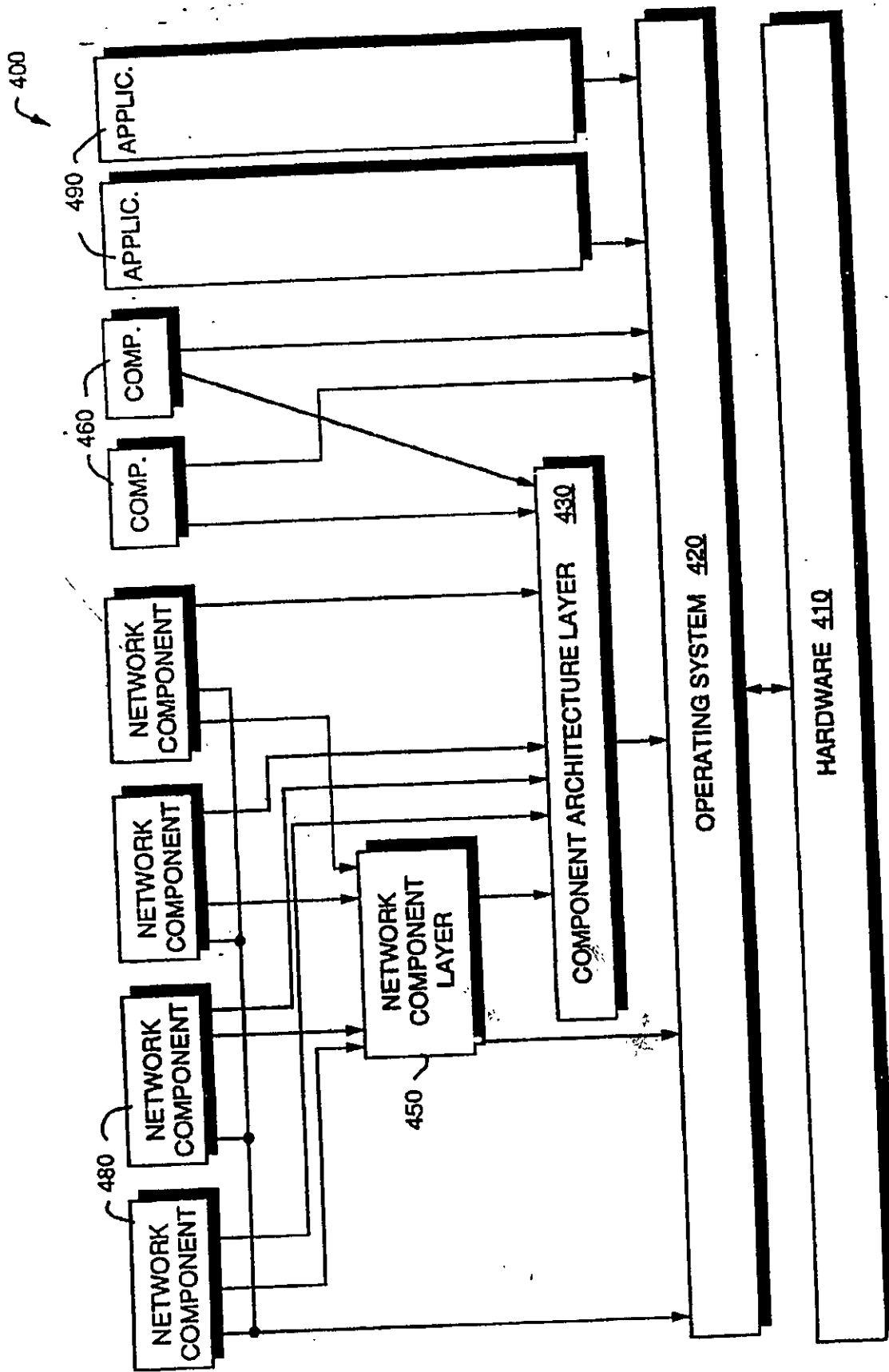


FIG. 4

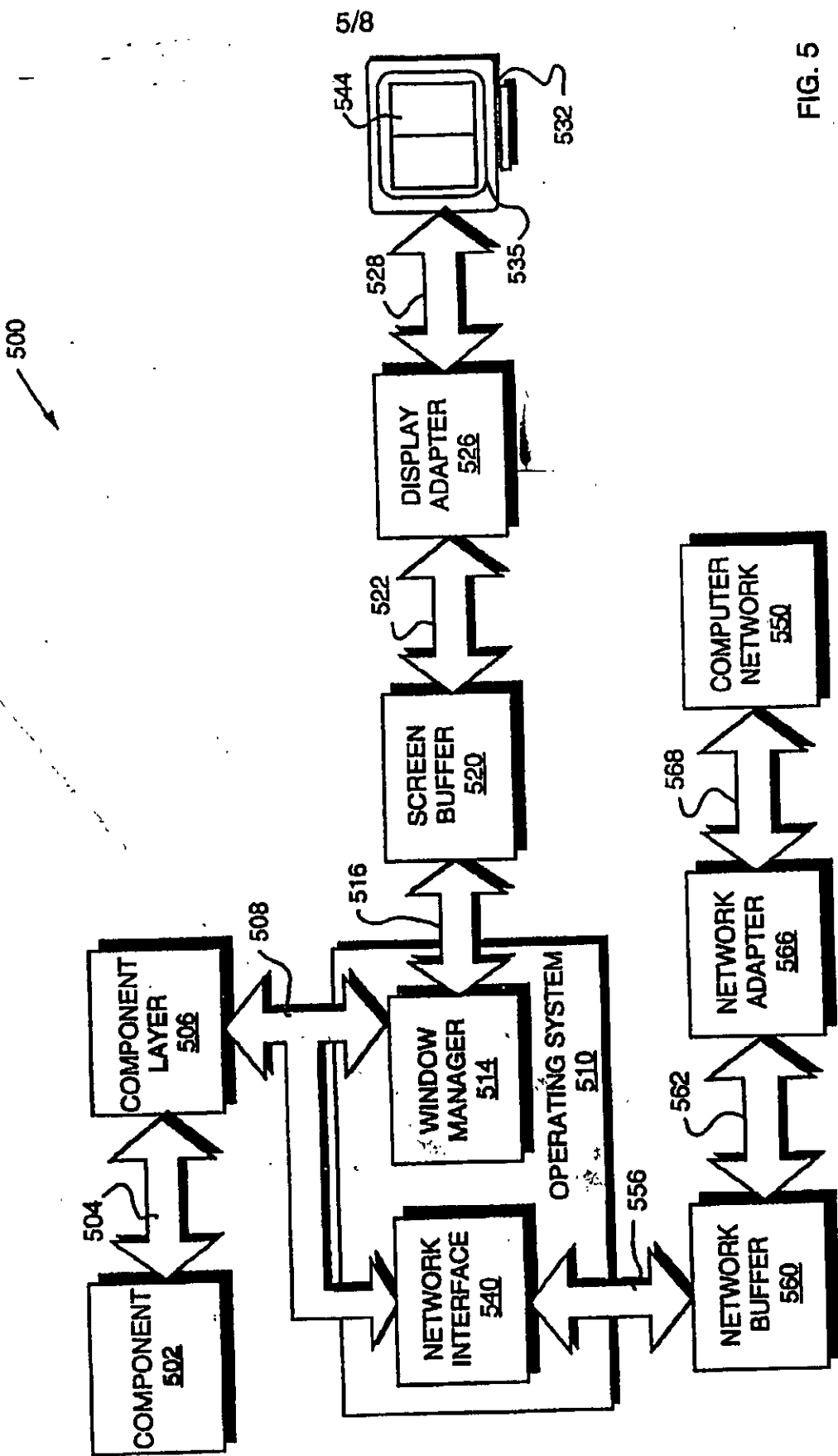


FIG. 5

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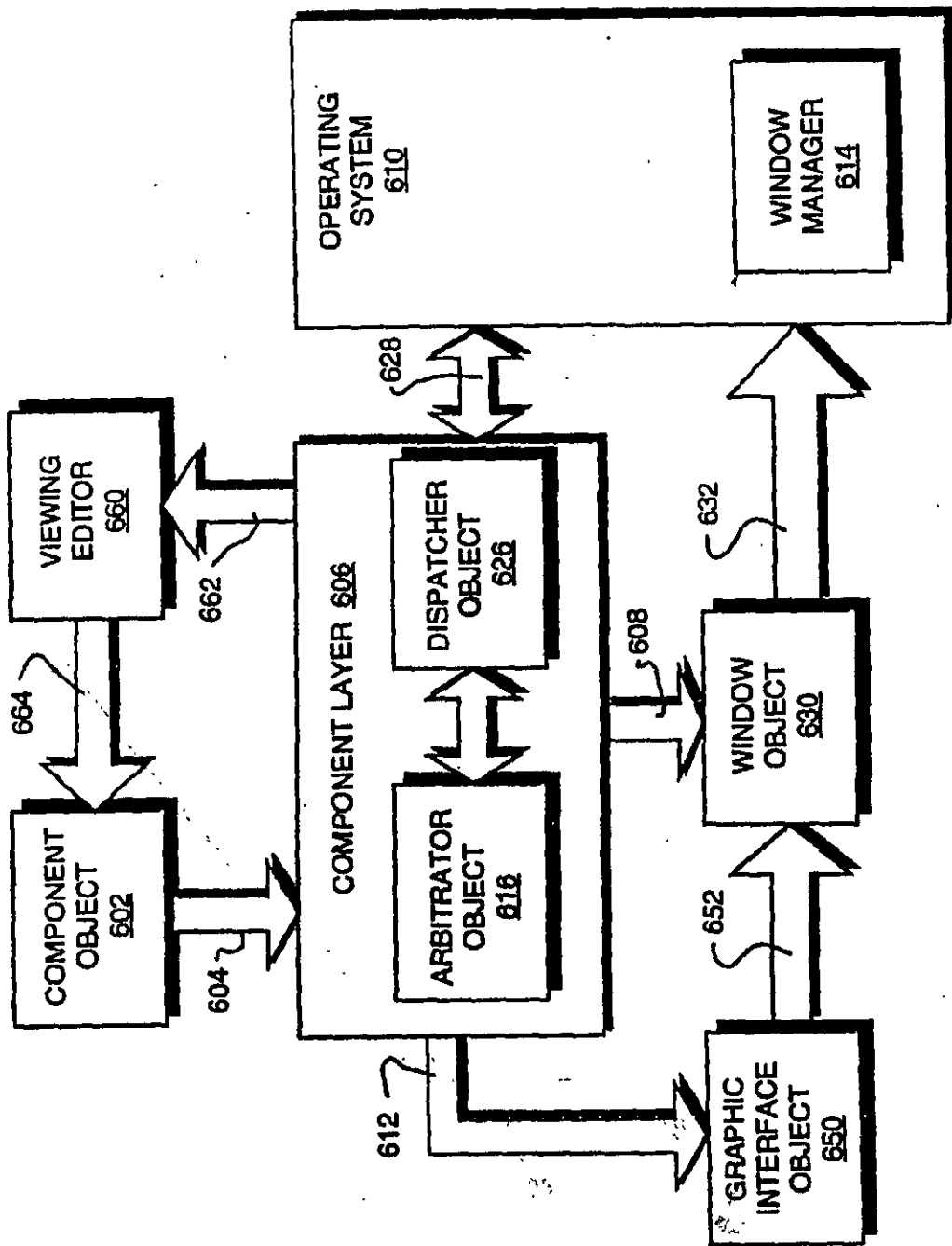


FIG. 6

7/8

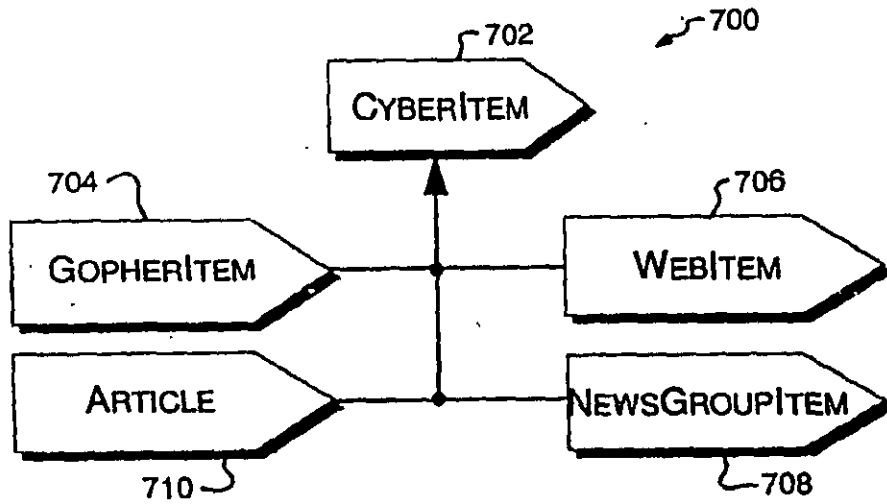


FIG. 7

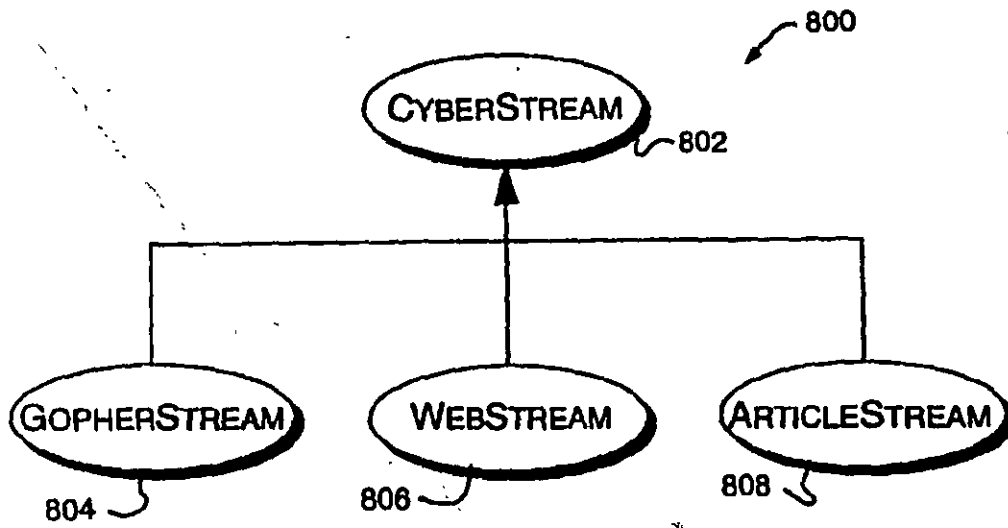


FIG. 8

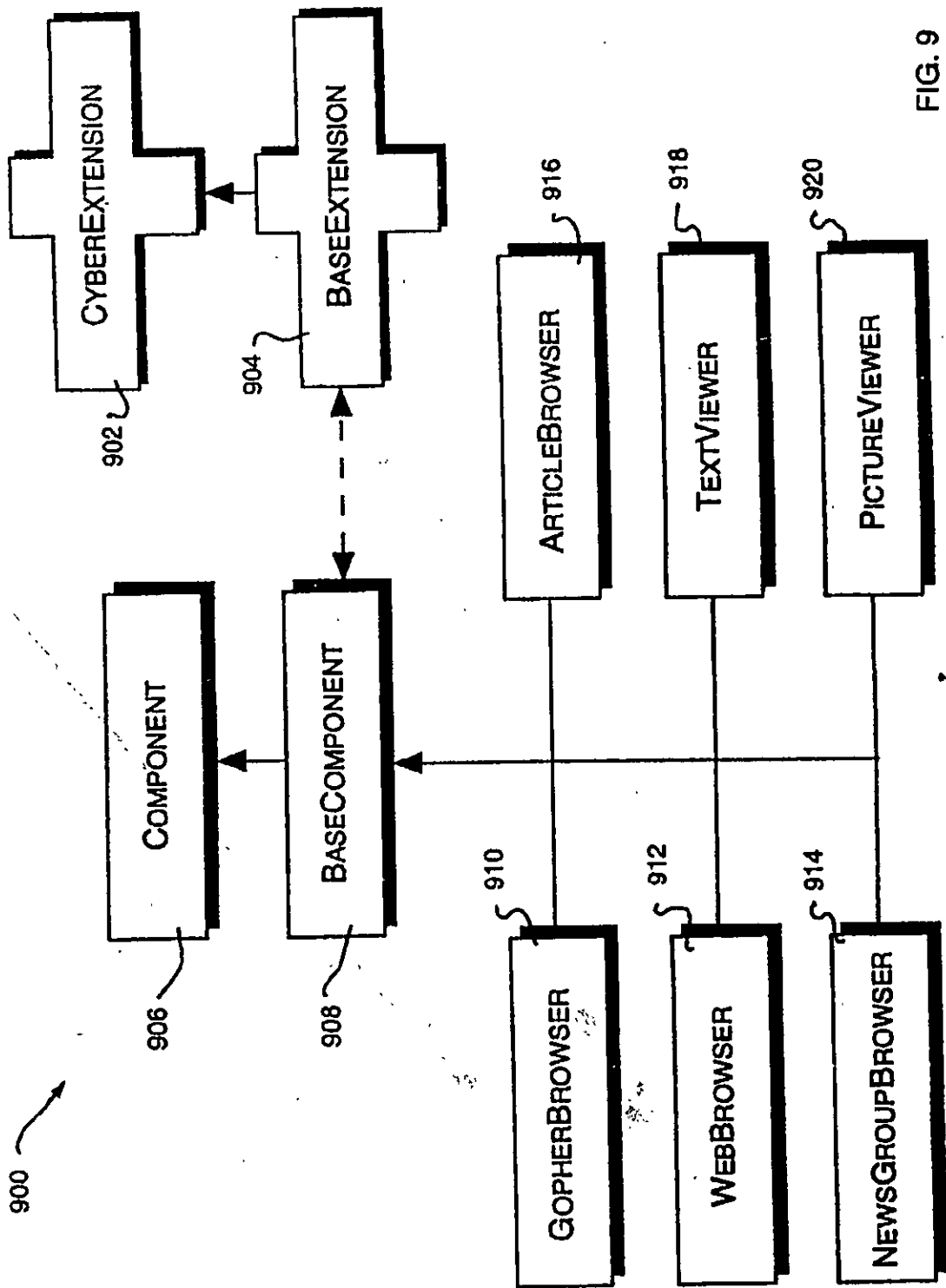


FIG. 9

08/435377

P1525/112007-08
PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:)
 Michael A. Cleron, et al.)
)
 Serial No. Not yet assigned)
) Examiner: Not yet assigned
 Filed: Herewith)
) Art Unit: Not yet assigned
 For: EXTENSIBLE, REPLACEABLE)
 NETWORK COMPONENT SYSTEM)

Cesari and McKenna
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Boston, MA 02110
(617) 261-6800

CERTIFICATE OF EXPRESS MAILING

"Express Mail" mailing label number TB196655437

Date of Deposit: May 5, 1995

I hereby certify that the accompanying Patent Application (including 41 pages and 7 claims), Informal Drawings (8 sheets), New Application Transmittal Letter and check in the amount of \$730.00 is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.


Michelina Tizzano

08/435377

P1525/112007/08
PATENT

May 5, 1995



Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL LETTER

Transmitted herewith for filing is the Patent Application of Inventor(s):

1-00 *RTHL* Michael A. Cleron, Stephen Fisher and Timo Bruck

For: EXTENSIBLE, REPLACEABLE NETWORK COMPONENT SYSTEM

Enclosed are the following papers required to obtain a filing date under 37 C.F.R. §1.53(b):

- 8 Sheets of Informal Drawings
- 41 Pages of Specification, Including Claims and Abstract
- 7 Claims

The following papers, if indicated by an X, are also enclosed:

- A Declaration and Power of Attorney
- An Assignment of the invention
- An Information-Disclosure Statement, Form PTO-1449 and a copy of each cited reference
- A Small-Entity Declaration
- A Certificate of Express Mailing, Express Mail Label No. TB196655437

FEE CALCULATION

Total Claims: 7 - 20 = 0 X \$22.00 = \$000.00

Independent Claims: 2 - 3 = 0 X \$76.00 = \$000.00

Basic Fee: \$730.00

TOTAL: \$730.00

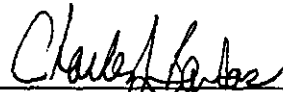
A check in the amount of \$730.00 is enclosed to cover the Filing Fee.

A check in the amount of \$40.00 is enclosed to cover the Recording Fee for the Assignment.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§1.16 and 1.17 that may be required by this paper or any paper filed in connection with this Patent Application, or credit any overpayment, to our Deposit Account No. 03-1237.

Please address all communications and telephone calls to the undersigned.

Respectfully submitted,



Charles J. Barbas
Reg. No. 32,959
CESARI AND MCKENNA
30 Rowes Wharf
Boston, MA 02110
(617) 261-6800



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS
 Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
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08/435,377 05/05/95 CLERON M

0212/0523

CHARLES J. BARBAS
 CESARI AND MCKENNA
 30 ROWES WHARF
 BOSTON, MA. 02110

#2

DATE MAILED: 0000

**NOTICE TO FILE MISSING PARTS OF APPLICATION
 FILING DATE GRANTED**

05/23/95

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for large entities or \$ 65 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a large entity, small entity (verified statement filed), is \$ 130.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

1. The statutory basic filing fee is: missing insufficient. Applicant as a large entity small entity, must submit \$ _____ to complete the basic filing fee.
2. Additional claim fees of \$ _____ as a large entity, small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
3. The oath or declaration:
 - is missing.
 - does not cover items omitted at time of execution.

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.
4. The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
5. The signature(s) to the oath or declaration is/are: missing; by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
6. The signature of the following joint inventor(s) is missing from the oath or declaration:

_____ An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
7. The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$ _____ under 37 CFR 1.17(k), unless this fee has already been paid.
8. A \$ _____ processing fee is required since your check was returned without payment. (37 CFR 1.21(m)).
9. Your filing receipt was mailed in error because your check was returned without payment.
10. The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.
11. Other.

J. Garnett

Direct the response and any questions about this notice to, Attention: Application Processing Division, Special Processing and Correspondence Branch (703) 308-1202.

A copy of this notice MUST be returned with the response.



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

RS

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
08/435,377	03/05/95	CLERON	P1525/112007

CESARI AND MCKENNA
30 ROWES WHARF
BOSTON MA 02110

LM21/0305

EXAMINER
CALDWELL, P

ART UNIT	PAPER NUMBER
2755	#13

DATE MAILED: 03/05/98

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

Office Action Summary

Application No. 08/435,377	Applicant(s) Cleron et al
Examiner Patricia Caldwell	Group Art Unit 2755

- Responsive to communication(s) filed on Dec 15, 1997
- This action is **FINAL**.
- Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11; 453 O.G. 213.

A shortened statutory period for response to this action is set to expire 3 month(s), or thirty days, whichever is longer, from the mailing date of this communication. Failure to respond within the period for response will cause the application to become abandoned. (35 U.S.C. § 133). Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

Disposition of Claims

- Claim(s) 1-20 is/are pending in the application.
Of the above, claim(s) _____ is/are withdrawn from consideration.
- Claim(s) _____ is/are allowed.
- Claim(s) 1-20 is/are rejected.
- Claim(s) _____ is/are objected to.
- Claims _____ are subject to restriction or election requirement.

Application Papers

- See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948.
- The drawing(s) filed on _____ is/are objected to by the Examiner.
- The proposed drawing correction, filed on _____ is approved disapproved.
- The specification is objected to by the Examiner.
- The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. § 119

- Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).
 - All Some* None of the CERTIFIED copies of the priority documents have been
 - received.
 - received in Application No. (Series Code/Serial Number) _____
 - received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
- *Certified copies not received: _____
- Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

Attachment(s)

- Notice of References Cited, PTO-892
- Information Disclosure Statement(s), PTO-1449, Paper No(s) _____
- Interview Summary, PTO-413
- Notice of Draftsperson's Patent Drawing Review, PTO-948
- Notice of Informal Patent Application, PTO-152

--- SEE OFFICE ACTION ON THE FOLLOWING PAGES ---

Serial Number: 08/435377

Page 2

Art Unit: 2755

DETAILED ACTION

1. This action is in response to amendment received 12-15-97. Claims 1-20 are pending in application 08/435377.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. §103 which forms the basis for all obviousness rejections set forth in this Office action:

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Subject matter developed by another person, which qualifies as prior art only under subsection (f) or (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed

Art Unit: 2755

invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. §103, the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 C.F.R. §1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of potential 35 U.S.C. §102(f) or (g) prior art under 35 U.S.C. §103.

Claims 1-5, 7-15, 17-20 are rejected under 35 U.S.C. §103 as being unpatentable over Duggan et al (US 5 584 035) in view of Norr, Henry, "Cyberdog could be a breakthrough if it's kept on a lease", MacWeek, Vol. 8, Number 45, p. 50, 14 November 1994 .

Art Unit: 2755

As per independent **claim 1**, Duggan et al disclose the invention as claimed :

Duggan et al disclose an extensible and replaceable layered component computing arrangement for providing services directed to information available on computer networks (distributed object based system), operating system (12) [column 4, line 43 through column 5, line 2].

Duggan et al disclose a software component architecture layer (object software 24 in which objects are contained and the the contained information can be comprised of various formats, including text and images) coupled to an operating system and defining a plurality of computing components (container objects) [column 5, line 25 through column 6, line 4].

However, Duggan et al do not explicitly teach a network component layer for creating network navigation components configured to search and obtain information available on computer networks.

Norr discloses network navigation components configured to search and obtain information on the computer networks (suite of OpenDoc components with networking and communications capabilities including Internet browsing tools) [first page of enclosed copy of article].

Art Unit: 2755

It would have been obvious to one skilled in the art at the time the invention was made to modify the system of Duggan et al to include the retrieval functions as taught by Norr to enable users to locate information locally and remotely and to embed this information directly into documents.

As per claim 2, Duggan et al do not explicitly disclose an computing arrangement wherein the network navigation components are objects and the network component layer comprises application programming interfaces delivered in the form of objects in a class hierarchy.

Norr discloses an environment wherein the network navigation components are objects and the network component layer comprises application programming interfaces in a class hierarchy (suite of OpenDoc components with networking and communications capabilities) [middle of first page of enclosed copy of article].

It would have been obvious to one of ordinary skill at the time the invention was made to modify the system as taught by Duggan et al by implementing the

Art Unit: 2755

application programming interfaces that are delivered in the form of objects in a class hierarchy because it would provide the system of Duggan et al with an improved capability of an interface for network communications.

As per **claim 3**, Duggan et al as modified by Norr teach an application programming interface which includes a first class [Norr: suite of OpenDoc components having network and communications capabilities; first page of enclosed copy of article].

As per **claim 4**, Duggan et al as modified by Norr teach an application programming interface which includes a second class [Norr : connection capabilities as software components; first page of enclosed article, last paragraph].

As per **claim 5**, Duggan et al as modified by Norr teach a means for spawning the stream object (linking) [Duggan : column 8, line 50 through column 10, line 12].

As per **claim 7**:

Duggan et al disclose an extensible and replaceable layered component computing arrangement for providing services directed to information available on computer

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networks (distributed object based system), operating system (12) [column 4, line 43 through column 5, line 2].

Duggan et al teach a processor [col. 12, lines 20-21].

Duggan et al disclose a software component architecture layer (object software 24 which includes objects which are contained and the contained information is comprised of various data formats, such as text and images) coupled to an operating system and defining a plurality of computing components (container objects) [column 5, line 25 through column 6, line 4].

However, Duggan et al do not explicitly teach a network component layer for creating network navigation components configured to search and obtain information available on computer networks.

Norr discloses network navigation components configured to search and obtain information on the computer networks (suite of OpenDoc components with networking and communications capabilities including Internet browsing tools) [first page of enclosed copy of article].

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It would have been obvious to one skilled in the art at the time the invention was made to modify the system of Duggan et al to include the retrieval functions as taught by Norr to enable users to locate information locally and remotely and to embed this information directly into documents.

As per claim 8:

Duggan et al in combination with Norr teach a means for embedding components having mixed data types and formats (embedding extracts from anywhere on a global network) [Norr : first page of enclosed copy, fourth paragraph of text].

As per claim 9:

Duggan et al in combination with Norr teach application programming interfaces (windows interface software 14, windows software 22) [Duggan : column 4, lines 62-63; column 5, lines 21-24].

As per claim 10:

Duggan et al in combination with Norr teach means for constructing a network navigation component representing a resource available on the computer network

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(objects, icons) [Duggan : column 5, lines 25-65; column 7, lines 3-7; column 11, lines 19-40].

As per **claim 11**:

Duggan et al in combination with Norr teach network navigation component that implements a protocol (http implemented by clicking on Web link) [Norr : first page of enclosed copy of article; sixth paragraph of full text].

As per **claim 12**:

Duggan et al in combination with Norr teach network navigation components providing additional functionalities (extension of Internet-based data presentation) [Norr : first page of enclosed copy, fifth paragraph of text].

As per **claim 13**:

Duggan et al in combination with Norr teach a computing part having a viewing editor and data content (windows) [Duggan : column 6, line 23 through column 7, line 2].

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As per claim 14:

Duggan et al in combination with Norr teach transferring files over networks (locating information from a network and extracting information directly into presentations) [Norr : first page of enclosed copy of article, fourth paragraph of text].

As per claim 15:

Duggan et al in combination with Norr teach a browsing component (browser) [Norr : first page of enclosed copy of article, fifth paragraph of full text].

As per claim 17:

Duggan et al disclose controlling operations of a computer system with a operating system coupled to a software component architecture layer (object software 24) [column 5, line 25 through column column 6, line 4].

However, Duggan et al do not explicitly teach a network component layer for creating network navigation components configured and providing a network service.

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Norr discloses network component layer for creating a plurality of components and invoking a component to provide network service (suite of OpenDoc components with networking and communications capabilities including Internet browsing tools) [first page of enclosed copy of article].

It would have been obvious to one skilled in the art at the time the invention was made to modify the system of Duggan et al to include the retrieval functions as taught by Norr to enable users to access information from various locations across a network.

As per claim 18:

Duggan et al in combination with Norr teach extending navigation components (linking from one resource to another) [Norr : first page of enclosed copy of article, fourth through sixth paragraphs of full text].

As per claim 19:

Duggan et al in combination with Norr teach browsing [Norr : first page of enclosed copy of article, fifth paragraph of full text].

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As per claim 20:

Duggan et al in combination with Norr teach a platform for developing components for operation on a variety of hardware and software computer systems (suite of OpenDoc components with networking capabilities, including a set of Internet browsing tools) [Norr : first page of enclosed copy of article, third paragraph of full text].

Claims 6 and 16 are rejected under 35 U.S.C. §103 as being unpatentable over Duggan (US 5 584 035) in view of Norr, Henry, "Cyberdog could be a breakthrough if it's kept on a lease", MacWeek, Vol. 8, Number 45, p. 50, 14 November 1994 as applied to claims 4 and 13 above, and further in view of Harkey et al, "Object component suites: the whole is greater than the parts", Datamation, 15 February 1995, Vol. 41, Number 3, page 44 .

Harkey et al teach a third class which can construct a network navigation object representing additional behaviors (customizing behaviors) provided to computer components [Section *Components and Frameworks*, second and third pages of enclosed

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copy of article and Section *Client/Server Component Suites*, fourth page of enclosed copy of article, second paragraph].

It would have been obvious to one of ordinary skill at the time the invention was made to modify the system as taught by Duggan et al and Norr with extension capabilities to provide the capabilities of user customization of applications.

As per **claim 16**:

Duggan et al in combination with Norr teach displaying text [Duggan : Figures 28 and 29]. However, Duggan et al in combination with Norr do not teach displaying movies.

Harkey et al explicitly teach components which can handle movies [page 3 of enclosed article, section *Parts: Components, Opendoc Style*, second paragraph]. It would have been obvious to one of ordinary skill at the time that the invention was made to modify Duggan et al and Norr to extend the functionality of the system to include data formatted for movie viewing.

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Response to Arguments

2. Applicant's arguments filed 12-15-97 have been fully considered but they are not persuasive.

Applicants argue that Duggan does not disclose a "software component architecture".

In response, a software component architecture, as defined by Applicants' specification (page 8, lines 8-15) "... provides a modular document-based computing arrangement using such tools as viewing editors". Duggan et al teach a container application which serves as a framework for presenting the users with a number of individual components which may consist of different data types and can be viewed and which can be linked together within a document [col. 5, lines 44-65] . As such, Duggan et al broadly meets the claimed limitation.

Applicant argues that the Norr reference is not considered to be prior art.

In response, as addressed in the previous action (paper item 10, mailed 7/25/97), if Applicant intends to swear behind the Norr reference, Applicant must provide an affidavit for consideration (see M.P.E.P. 715.01, 715.01(c), 715.07, 716.10).

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Applicants argue that Norr "fails to reveal any suggestion of a network component layer as claimed". Applicants further argue that while "Norr merely makes a statement that product ... include a suite of OpenDoc components with networking and communications capabilities, it never states how these capabilities will be provided."

In response, Duggan et al in combination with Norr suggests that such a combination can be made as a extension of the Internet-based data presentation and linking scheme and can be provided as software components [page 1 of enclosed copy of article, fifth and seventh paragraphs of page].

Conclusion

3. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory

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period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Patricia Caldwell whose telephone number is (703) 305-3805.

Any response to this final action should be mailed to:

Box AF

Commissioner of Patents and Trademarks

Washington, D.C. 20231

or faxed to:

(703) 305-9051, (for formal communications; please mark "EXPEDITED
PROCEDURE")

Serial Number: 08/435377

Page 17


Art Unit: 2755

Or:

(703) 305-9051/52 (for informal or draft communications, please label

"PROPOSED" or "DRAFT")

Hand-delivered responses should be brought to Crystal Park II, 2021 Crystal
Drive, Arlington, VA., Sixth Floor (Receptionist).



ALVIN E. OBERLEY
SUPERVISORY PATENT EXAMINER
ART UNIT 2755

Notice of References Cited

Application No. 08/435,377	Applicant(s) Cleron et al
Examiner Patricia Caldwell	Group Art Unit 2755

Page 1 of 1

U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A					
B					
C					
D					
E					
F					
G					
H					
I					
J					
K					
L					
M					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
N						
O						
P						
Q						
R						
S						
T						

NON-PATENT DOCUMENTS

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
U	Potel et al; The Architecture of the Taligent System; Dr. Dobbs Journal on CD-ROM	SP 94
V	Rush, Jeff; OpenDoc; Dr. Dobb's Journal on CD-ROM	SP 94
W	Piersol, Kurt; A Close-Up of OpenDoc; AIXpert	6/94
X		



PATENTS
112007-0008

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4-15-99

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:)
Michael A. Cleron et al.)
Serial No.: 08/435,377)
Filed: May 5, 1995)
For: EXTENSIBLE REPLACEABLE)
NETWORK COMPONENT SYS-)
TEM)

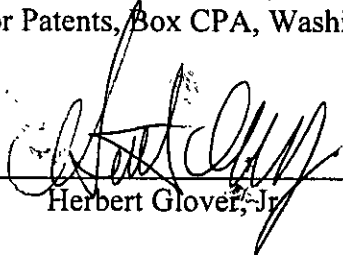
Examiner: Caldwell, P.
Art Unit: 2755

Cesari and McKenna, LLP
30 Rowes Wharf
Boston, MA 02110
March 26, 1999

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing-Label Number: EL024702326US

I hereby certify that the following Communication, Continued Prosecution Application (CPA) Request Transmittal, check in the amount of \$760.00 and Declaration Under 37 C.F.R. § 1.31 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 C.F.R. §1.10 in an envelope addressed to the Assistant Commissioner for Patents, Box CPA, Washington, D.C. 20231, on March 26, 1999.


Herbert Glover, Jr.

Honorable Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

COMMUNICATION

These remarks are being submitted together with a Continued Prosecution Application (CPA) in the above-referenced matter. The parent application was finally rejected in an Office Action mailed November 2, 1998.

In the Final Office Action claims 1-5, 7-15 and 17-20 were rejected under 35 U.S.C. §103 in view of U.S. Patent No. 5,584,035 to Duggan et al. (hereinafter "Duggan") in view of H. Norr, *Cyberdog Could Be A Breakthrough If It's Kept On A Leash* MacWeek, Vol. 8, No. 45, p. 50 (Nov. 14, 1994) (hereinafter "Norr"). Claims 6 and 16 were rejected under §103 based on Duggan, Norr and Harkey et al. *Object Component Suites: The Whole Is Greater Than The Parts* Datamation, Vol 41, No. 3, p. 44 (Feb. 15, 1995).

Applicants also submit the Declaration of Sari Harrison under 37 C.F.R. §1.131. Ms. Harrison is an Engineering Manager, Applied Security at Apple Computer, Inc., who is the assignee of the present application, and is familiar with the claims currently pending in this application. In her Declaration, Ms. Harrison states that she worked on the project that gave rise to the present application. Declaration Under 37 C.F.R. §1.131, dated March 11, 1999 at ¶5 (herein after "Harrison Dec."). More specifically, Ms. Harrison joined the project in November 1994. Prior to November 1994, Ms. Harrison personally witnessed a demonstration by one of the co-inventors of the present invention. Harrison Dec. at ¶3. The demonstration included the running of computer code implementing each component of the invention. Harrison Dec. ¶4. Accordingly, Ms. Harrison

avers that, based on her personal knowledge, the present invention was demonstrated to work for its intended purpose prior to November 1994. Harrison Dec. at ¶6.

Based on the facts presented in Ms. Harrison's Declaration, applicants submit that the present invention was reduced to practice in this country prior to November 1994, which is the effective date of the Norr reference. Therefore, pursuant to 37 C.F.R. §1.131, the Norr reference is no longer a bar to the grant of a patent on this application. Since all of the rejections are based, at least in part, on Norr, the removal of Norr as a reference renders the claims in condition for allowance.

Applicants submit that the application is in condition for allowance and early favorable action is requested.

Please charge any additional fee occasioned by this paper to our Deposit Account No. 03-1237.

Respectfully submitted,



Michael R. Reinemann
Reg. No. 38,280
CESARI AND MCKENNA, LLP
30 Rowes Wharf
Boston, MA 02110-2699
(617) 951-2500

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4-15-99

**CONTINUED PROSECUTION APPLICATION (CPA)
REQUEST TRANSMITTAL**

Submit an original, and a duplicate for fee processing.
(Only for Continuation or Divisional application under 37 C.F.R. § 1.53(d))

CHECK BOX if applicable
 DUPLICATE

Address to: Assistant Commissioner for Patents Box CPA Washington, DC 20231	Attorney Docket No.	112007-0008
	First Named Inventor	Michael A. Cleron et al.
	Examiner Name	Caldwell, P.
	Group/Art Unit	2755
	Express Mail Label No.	EL0247023260S

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GROUP 2700

This is a request for a continuation or divisional application under 37 C.F.R. § 1.53(d), (continued prosecution application (CPA)) of prior application number 08/435,377, filed on September 3, 1998, entitled **EXTENSIBLE REPLACEABLE NETWORK COMPONENT SYSTEM**

NOTES

FILING QUALIFICATIONS: The Prior application identified above must be a nonprovisional application that is either (1) complete as defined by C.F.R. § 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. § 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provision of 35 U.S.C. § 154(a)(2). Therefore, the prior application or a CPA may have been filed before, on or after June 8, 1995.

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. § 1.53(d), but must be filed under 37 C.F.R. § 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA; 37 C.F.R. § 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. § 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. § 1.78(a).

1. Enter the unentered amendment previously filed on _____, under 37 C.F.R. § 1.116 in the prior nonprovisional application.
2. A preliminary amendment is enclosed.
3. This application is filed by fewer than all the inventors named in the prior application, 37 C.F.R. § 1.53(d)4
 - a. DELETE the following inventor(s) named in the prior nonprovisional application:
 - b. The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. Information Disclosure statement (IDS) is enclosed:
 - a. PTO-1449
 - b. Copies of IDS Citations

03/31/1999 MBLANCO 00000004 08435377

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS <small>(37 C.F.R. § 1.16(c) or (j))</small>	20 - 20* =	0	x \$ 18.00	\$ 0.00
	INDEPENDANT CLAIMS <small>(37 C.F.R. § 1.16(b) or (i))</small>	3 - 3** =	0	x \$ 78.00	\$ 0.00
	MULTIPLE DEPENDENT CLAIMS(if applicable) (37 C.F.R. § 1.16 (d))			+ \$ 0.00	
				BASIC FEE <small>(37 C.F.R. §1.16)</small>	\$ 760.00
				Total of above Calculations	\$ 760.00
	Reduction by 50% for filing by small entity (Note 37 C.F.R. §§ 1.9,1.27&1.28)				\$ 0.00
				TOTAL =	\$ 760.00

6. Small entity status:

- a. A small entity statement is enclosed, if (b) and (c) do not apply.
- b. A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. Is no longer claimed.

7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 03 - 1237.


- a. Fees Required under 37 C.F.R. § 1.16.
- b. Fees Required under 37 C.F.R. § 1.17.
- c. Fees Required under 37 C.F.R. § 1.18.

8. A check in the amount of \$760.00 is enclosed.

9. Other:

NOTE: The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

18. NEW CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number or Bar Code Label		(Insert Customer No. or Attach bar code label here)		or <input checked="" type="checkbox"/> Correspondence address below	
Name	Michael R. Reinemann				
Address	Cesari and McKenna, LLP 30 Rowes Wharf				
City	Boston	State	MA	Zip Code	02110
Country	U. S. A.	Telephone	(617) 951-2500	Fax	(617) 951-3927

11. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
Name (Print/Type)	Michael R. Reinemann
Signature	
Registration No. Attorney/Agent)	38,280
Date	March 26, 1999