

# Exhibit 20



UTILITY SERIAL NUMBER 08/094673	PATENT DATE MAY 21 1996	PATENT NUMBER 5519867
SERIAL NUMBER 08/094,673	FILING DATE 07/19/93	CLASS 395
SUBCLASS 700	GROUP ART UNIT 2316	5519867

CHRISTOPHER R. MOELLER, LOS ALTOS, CA; EUGENIE L. BOLTON, SUNNYVALE, CA;  
DANIEL F. CHERNIKOFF, PALO ALTO, CA; RUSSELL T. NAKANO, SUNNYVALE, CA.

\*CONTINUING DATA\*\*\*\*\*  
VERIFIED  
*N/A*

**BEST COPY**

\*FOREIGN/PCT APPLICATIONS\*\*\*\*\*  
VERIFIED  
*N/A*

NOTE-DISCLAIMER  
The term of this patent shall not extend beyond the expiration date of Pat. No. 5,179,442

FOREIGN FILING LICENSE GRANTED 08/23/93

<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119 conditions met	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	AS FILED →	STATE OR COUNTRY CA	SHEETS DRWG. 17	TOTAL CLAIMS 53	INDEP. CLAIMS 7	FILING FEE RECEIVED \$1,732.00	ATTORNEY'S DOCKET NO. P058
Verified and Acknowledged Keith Stephens TALIGENT, INC. 16555 N. DEANZA BLVD. CUPERTINO, CA 95014-2000								

OBJECT-ORIENTED MULTITASKING SYSTEM  
**ISSUE FEE IN FILE**  
U.S. DEPT. of COMMERCE, Patent & TM Office - PTO-436L (Rev. 10-78)

PARTS OF APPLICATION FILED SEPARATELY		Applications Examiner	
NOTICE OF ALLOWANCE MAILED <i>1-16-96</i>	<i>John P. Chavis</i> Assistant Examiner	CLAIMS ALLOWED Total Claims: 53 Print Claim: 1	
ISSUE FEE Amount Due: 12.50 Date Paid: 2-5-96	<i>[Signature]</i> SUPERVISOR PATENT EXAMINER APPLICANT	DRAWING Sheets Drwg.: 17 Figs. Drwg.: 17 Print Fig. S.: 14	
DISCLAIMER LABEL Application No. 08/094,673	Primary Examiner	ISSUE BATCH NUMBER 563	
PREPARED FOR ISSUE		WARNING: The information disclosed herein may be restricted. Unauthorized disclosure may be prohibited by the United States Code Title 35, Sections 122, 181 and 368. Possession outside the U.S. Patent & Trademark Office is restricted to authorized employees and contractors only.	

PTO-436L (Rev. 8/92)

OCT-19-1995 16:26 FROM Taligent

TO

917033085359 P.04

*WPA  
Williams  
11-1-95*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventors: Moeller, et. al.  
 Title: OBJECT-ORIENTED MULTITASKING SYSTEM  
 5 Serial No.: 08/094,673  
 Filed: 07/19/93  
 Examiner: J. Chavis  
 Art Unit: 2316  
 Taligent #: P-58  
 10 Date: October 19, 1995

RECEIVED  
NOV 01 1995  
GROUP 2300

**CERTIFICATE OF TRANSMISSION VIA FACSIMILE**

I hereby certify that the following Amendment is being transmitted via facsimile addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on October 19, 1995. *J. Chavis*

15

**AMENDMENT**

Greetings:

In response to the Office Action dated 8/8/95, please amend the application  
20 as follows:

**In the Specification:**

Please make the following modifications:

- Page 8, line 1, replace "210" with -112-.
- 25 Page 17, line 13, add a space prior to the italicized "scheduling".

**In the Claims:**

08/094,673

Page 1 of 30

P-58

A

867FH162

WI-Apple0000377

- 1 1. (Amended) An apparatus for enabling an object-oriented application, said  
2 application including object-oriented statements, to access in an object-  
3 oriented manner a procedural operating system by use of said object-  
4 oriented statements, said system providing services including procedural  
5 functions saved as executable program logic that are called to access said  
6 services [having a native procedural interface], [the]said apparatus  
7 comprising:
- 8 (a) a computer;
- 9 (b) a memory component in [the]said computer;
- 10 (c) a code library, stored in said [the] memory component, comprising means  
11 for storing said executable program logic in an object-oriented class library;  
12 and means for interfacing said object-oriented application to said  
13 procedural operating system utilizing said executable program logic:  
14 [computer program logic implementing an object-oriented class library, the  
15 object-oriented class library comprising related object-oriented classes for  
16 enabling the application to access in an object-oriented manner services  
17 provided by the operating system, the object-oriented classes comprising  
18 methods for accessing the operating system services using procedural  
19 function calls compatible with the native procedural interface of the  
20 operating system;]
- 21 (d) means, in [the]said computer, for processing said object-oriented  
22 statements [contained in the application and defined by the class library]  
23 by executing methods from [the]said object-oriented class library  
24 corresponding to [the]said object-oriented statements; and
- 25 (e) means, in [the]said object-oriented class library, including object-oriented [,]  
26 thread classes, for enabling [the]said object-oriented application to access  
27 [in an object-oriented manner]said [operating system] services to spawn,  
28 control, and obtain information relating to a thread[s] of execution.

a'

1 2. (Amended) The apparatus of claim 1, wherein [the]said thread classes  
2 comprise a first object-oriented class encapsulating information necessary  
3 to create a new thread of execution, [the]said first class being an abstract  
4 base class, and a second object-oriented class for enabling [the]said  
5 application to spawn a new thread of execution on a task by passing a  
6 subclass of [the]said first class to an instance of [the]said second class, and  
7 for enabling [the]said application to terminate, suspend, resume, and  
8 schedule an existing thread of execution, [wherein instances of the]said  
9 second class having instances, said instances representing run-time  
10 processing entities in [the]said computer.

1 3. (Amended) The apparatus of claim 1, wherein [the]said object-oriented  
2 class library comprises object-oriented[,] task classes for enabling [the]said  
3 application to access in an object-oriented manner [operating system]said  
4 services to reference and control a task[s], [wherein the] said task[s each]  
5 representing[s] an execution environment for at least one thread[s] of  
6 execution [respectively] associated with [the]said task[s].

1 4. (Amended) The apparatus of claim 3, wherein [the]said task classes  
2 comprise a first task object-oriented class encapsulating attributes and  
3 operations of an existing task, [the]said first task class including protected  
4 methods to enable run-time specific subclasses of [the]said first task class to  
5 spawn new tasks.

1 5. (Amended) The apparatus of claim 4, wherein [the]said first task class  
2 comprises methods for enabling [the]said application to determine whether  
3 a possible task exists, to suspend and resume [a]said existing task, to  
4 terminate [a]said existing task, to receive predetermined information  
5 relating to [a]said existing task, to identify a thread[s] of execution  
6 contained in [a]said existing task, and to perform predetermined virtual  
7 memory operations in an address space associated with [a]said existing  
8 task.

a'

1 6. (Amended) The apparatus of claim 5, wherein [the]said object-oriented  
2 class library comprises an object-oriented[,] thread class for enabling  
3 [the]said application to create a new thread of execution, [and wherein  
4 the]said task classes further comprising[e] a second object-oriented task  
5 class, derived from [the]said first task class, for enabling [the]said  
6 application to spawn a new[,] run-time specific task having a single thread  
7 of execution by passing an instance of [the]said thread class to an instance  
8 of [the]said second task class.

1 7. (Amended) The apparatus of claim 1, wherein [the]said object-oriented  
2 class library comprises object-oriented[,] synchronization classes for  
3 enabling [the]said application to access in an object-oriented manner  
4 [operating system] said services to synchronize execution of said thread[s]  
5 of execution.

LO

1 8. (Amended) The apparatus of claim 7, wherein [the]said synchronization  
 2 classes define counting semaphores for use in synchronizing the execution  
 3 of said thread of execution, [the]said synchronization classes comprising  
 4 methods for enabling [the]said application to acquire one or more of  
 5 [the]said counting semaphores in an exclusive mode or in a shared mode,  
 6 and to release [the]said counting [acquired] semaphores after said counting  
 7 semaphores are acquired.

a'

1 9. (Amended) The apparatus of claim 8, wherein [the]said counting  
 2 semaphores are recoverable.

1 10. (Amended) The apparatus of claim 7, wherein [the]said synchronization  
 2 classes define a monitor lock for use in synchronizing said thread of  
 3 execution, [the]said synchronization classes comprising methods for  
 4 enabling [the]said application to acquire and release [the]said monitor lock,  
 5 and to block on a specified condition once [the]said monitor lock is  
 6 acquired, [wherein the]said application releasing [relinquishes the]said  
 7 monitor lock when [the]said application blocks on [the]said specified  
 8 condition, and after [wherein the]said application is unblocked, due to  
 9 satisfaction of said specified condition, [and] said application reacquiring  
 10 [must reacquire the]said monitor lock before resuming execution[ after the  
 11 specified condition is satisfied].

1 11. (Amended) The apparatus of claim [7]10, wherein [the]said  
 2 synchronization classes further comprise methods for enabling [the]said  
 3 application to perform a broadcast operation on a specified blocking  
 4 condition when [the]said application has acquired [the]said monitor lock,  
 5 [wherein the]said broadcast operation unblocking[s] all of said threads of  
 6 execution that are blocked on [the]said specified blocking condition.

61

1 12. (Amended) The apparatus of claim 7, wherein [the]said object-oriented  
2 class library comprises object-oriented[,] scheduling classes for enabling  
3 [the]said application to access in an object-oriented manner [operating  
4 system].said services to schedule execution of said thread[s] of execution.

a 1 13. (Amended) The apparatus of claim 12 in which an actual scheduling  
2 priority, a default scheduling priority, and a maximum scheduling priority  
3 are associated with [the]said application, [wherein the]said scheduling  
4 classes defining[e] one or more scheduling priorities, [the]said object-  
5 oriented class library including methods for setting each of [the]said actual,  
6 default, and maximum scheduling priorities of [the]said application to one  
7 of [the]said scheduling priorities.

1 14. (Amended) The apparatus of claim 13, wherein [the]said scheduling classes  
2 comprise an object-oriented class defining an idle scheduling priority  
3 adapted for use with said threads of execution that execute when [the]said  
4 computer is substantially idle.

1 15. (Amended) The apparatus of claim 13, wherein [the]said scheduling classes  
2 comprise an object-oriented class defining a responsive scheduling priority  
3 adapted for use with highly responsive threads of execution that [which]  
4 execute for short time periods, and that[which] block following [the]said  
5 short time periods.



1 16. (Amended) The apparatus of claim 13, wherein [the]said scheduling classes  
2 comprise an object-oriented class defining an interaction scheduling  
3 priority adapted for use with highly responsive threads of execution  
4 implementing an interface between a human operator and [the]said  
5 computer.

1 17. (Amended) The apparatus of claim 13, wherein [the]said scheduling classes  
2 comprise an object-oriented class defining a long-term scheduling priority  
3 adapted for use with threads of execution that [which] execute for long  
4 periods of time.

a'

1 18. (Amended) The apparatus of claim 13, wherein [the]said scheduling classes  
2 comprise methods for enabling a task to specify a relative scheduling  
3 urgency of [the]said task.

1 19. (Amended) The apparatus of claim 1[7], wherein [the]said object-oriented  
2 class library comprises object-oriented[,] fault classes for enabling [the]said  
3 application to access in an object-oriented manner [operating system]said  
4 services to process system and user-defined processor faults.

1 20. (Amended) The apparatus of claim 19, wherein [the]said fault classes  
2 comprise a first object-oriented class defining a generic fault, [the]said first  
3 class having virtual methods for setting a processor computer program  
4 logic and a fault computer program logic to thereby identify [the]said  
5 generic fault, [the]said first class representing an abstract base class.

63

1 21. (Amended) The apparatus of claim 20, wherein [the]said fault classes  
2 comprise a second object-oriented class, derived from [the]said first object-  
3 oriented class, comprising non-virtual methods for setting [the]said  
4 processor computer program logic and [the]said fault computer program  
5 logic in accordance with information specific to a particular fault of a  
6 particular processor such that [the]said second class represents a processor-  
7 specific fault, [wherein the]said non-virtual methods of [the]said second  
8 class overriding[the] said virtual methods of [the]said first class.

a 1 22. (Amended) The apparatus of claim 21, wherein [the]said fault classes  
2 comprise an object-oriented class encapsulating information identifying a  
3 destination port, a fault message format, and fault types, [the]said object-  
4 oriented class comprising methods for enabling [the]said application to  
5 specify [the]said destination port, [the]said fault message format, and  
6 [the]said fault types, and for enabling [the]said application to instruct  
7 [the]said operating system to send messages in [the]said specified fault  
8 message format to [the]said specified destination port when [the]said  
9 specified fault types occur.

1 23. (Amended) The apparatus of claim 19, wherein [the]said fault classes  
2 comprise a first object-oriented class comprising methods for obtaining and  
3 returning a processing state of [a]said thread of execution.

a<sup>r</sup>

1 24. (Amended) The apparatus of claim 23, wherein [the]said fault classes  
2 comprise a second object-oriented class having methods for enabling  
3 [the]said application to receive fault messages and to respond to received  
4 fault messages, [the]said fault messages comprising information  
5 identifying a faulting task and said faulting task's faulting thread of  
6 execution, and/or information of a faulting thread of execution's state,  
7 [the]said faulting thread of execution's state being obtained by calling  
8 [the]said methods of [the]said first class.

605

- 1 25. (Amended) An apparatus for providing an object-oriented interface to a  
2 procedural operating system, said system providing services including  
3 procedural functions saved as executable program logic that are called to  
4 access said services [having a native procedural interface], [the]said  
5 apparatus comprising:
- 6 (a) a computer;
- 7 (b) a memory component in [the]said computer;
- 8 (c) a code library, stored in [the]said memory component, comprising  
9 means for storing said executable program logic in an object-oriented class  
10 library; means for interfacing to an object-oriented application; and  
11 [computer program logic implementing an object-oriented class library, the  
12 object-oriented class library comprising related object-oriented classes for  
13 enabling an object-oriented application to access in an object-oriented  
14 manner services provided by the operating system, the object-oriented  
15 classes comprising methods for accessing the operating system services  
16 using procedural function calls compatible with the native procedural  
17 interface of the operating system; wherein object-oriented statements  
18 defined by the]  
19 said object-oriented class library defining object-oriented statements. said  
20 statements [are] insertable into [the]said application to enable [the]said  
21 application to access[ in an object-oriented manner the operating system]  
22 said services during run-time execution of [the]said application in [the]said  
23 computer; and
- 24 (d) means, in [the]said object-oriented class library, including object-oriented,  
25 thread classes for enabling [the]said application to access in an object-  
26 oriented manner operating system services to spawn, control, and obtain  
27 information relating to a thread[s] of execution.

1 26. (Amended) The apparatus of claim 25, wherein [the]said object-oriented  
2 class library comprises object-oriented[,] task classes for enabling [the]said  
3 application to access in an object-oriented manner [operating system] said  
4 services to reference and control a task[s], [wherein the]said task[s each]  
5 representing[s] an execution environment for at least one thread[s] of  
6 execution [respectively] associated with [the]said task[s].

a/ 1 27. (Amended) The apparatus of claim 26, wherein [the]said object-oriented  
2 class library comprises object-oriented[,] synchronization classes for  
3 enabling [the]said application to access in an object-oriented manner  
4 [operating system] said services to synchronize execution of threads of  
5 execution.

1 28. (Amended) The apparatus of claim 27, wherein [the]said object-oriented  
2 class library comprises object-oriented, scheduling classes for enabling  
3 [the]said application to access in an object-oriented manner [operating  
4 system] said services to schedule execution of said thread[s] of execution.

1 29. (Amended) The apparatus of claim 28, wherein [the]said object-oriented  
2 class library comprises object-oriented, fault classes for enabling [the]said  
3 application to access in an object-oriented manner [operating system] said  
4 services to process system and user-defined processor faults.

67

1 30. (Amended) An apparatus for providing an object-oriented interface to a  
 2 procedural operating system, said system providing services including  
 3 procedural functions saved as executable program logic that are called to  
 4 access said services, [having a native procedural interface, the] said  
 5 apparatus comprising:  
 6 (a) a computer;  
 7 (b) a memory component in [the] said computer; and  
 8 (c) a code library, stored in [the] said memory component, comprising means  
 9 for storing said executable program logic in an object-oriented class library;  
 10 means for interfacing to an object-oriented application; and [computer  
 11 program logic implementing an object-oriented class library, the object-  
 12 oriented class library comprising related object-oriented, thread classes for  
 13 enabling an object-oriented application to access in an object-oriented  
 14 manner operating system thread services to spawn, control, and obtain  
 15 information relating to threads, the object-oriented classes comprising  
 16 methods for accessing the operating system thread services using  
 17 procedural function calls compatible with the native procedural interface of  
 18 the operating system;

19 wherein object-oriented statements defined by the]  
 20 said object-oriented class library comprising object-oriented thread classes.  
 21 said thread classes comprising methods for accessing said services during  
 22 run-time execution of said application in said computer, said thread classes  
 23 having statements, said statements [are] insertable into [the] said  
 24 application to enable [the] said application to access [in an object-oriented  
 25 manner the operating system thread] said services to spawn, control, and  
 26 obtain information relating to threads of execution. [ during run-time  
 27 execution of the application in the computer.]

a'

leB

a'

1 31. (Amended) The apparatus of claim 30, wherein [the]said thread classes  
2 comprise a first object-oriented class encapsulating information necessary  
3 to create a new thread of execution, [the]said first class being an abstract  
4 base class, and a second object-oriented class for enabling [the]said  
5 application to spawn a new thread of execution on a task by passing a  
6 subclass of [the]said first class to an instance of [the]said second class, and  
7 for enabling [the]said application to terminate, suspend, resume, and  
8 schedule an existing thread of execution, [wherein instances of the]said  
9 second class ~~having instances. said instances~~ representing run-time  
10 processing entities in [the]said computer.

69

- 1 32. (Amended) An apparatus for providing an object-oriented interface to a  
2 procedural operating system, said system providing services including  
3 procedural functions saved as executable program logic that are called to  
4 access said services, [having a native procedural interface, the] said  
5 apparatus comprising:
- 6 (a) a computer;
- 7 (b) a memory component in [the]said computer; and
- a<sup>1</sup> 8 (c) a code library, stored in [the]said memory component, comprising means  
9 for storing said executable program logic in an object-oriented class library;  
10 means for interfacing to an object-oriented application; and [computer  
11 program logic implementing an object-oriented class library, the object-  
12 oriented class library comprising object-oriented, task classes for enabling  
13 an object-oriented application to access in an object-oriented manner  
14 operating system task services to reference and control tasks, each of the  
15 tasks representing an execution environment for threads respectively  
16 associated with the tasks, the object-oriented classes comprising methods  
17 for accessing the operating system task services using procedural function  
18 calls compatible with the native procedural interface of the operating  
19 system; wherein object-oriented statements defined by the] said object-  
20 oriented class library comprising object-oriented task classes, said task  
21 classes comprising methods for accessing said services during run-time  
22 execution of said application in said computer, said task classes having  
23 statements, said statements [are] insertable into [the]said application to  
24 enable [the]said application to access [in an object-oriented manner the  
25 operating system task] said services to reference and control a task, said  
26 task representing an execution environment for at least one thread of  
27 execution associated with said task, [during run-time execution of the  
28 application in the computer.]



- 1 33. (Amended) The apparatus of claim 32, wherein [the]said task classes  
2 comprise a first object-oriented class encapsulating attributes and  
3 operations of an existing task, [the]said first class including protected  
4 methods to enable run-time specific subclasses of [the]said first class to  
5 spawn new tasks.
- a 1 34. (Amended) The apparatus of claim 33, wherein [the]said first class  
2 comprises methods for enabling [the]said application to determine whether  
3 a possible task exists, to suspend and resume [a] said existing task, to  
4 terminate [a]said existing task, to receive predetermined information  
5 relating to [a]said existing task, to identify a thread[s] of execution  
6 contained in [a] said existing task, and to perform predetermined virtual  
7 memory operations in an address space associated with [a] said existing  
8 task.
- 1 35. (Amended) The apparatus of claim 34, wherein the object-oriented class  
2 library comprises an object-oriented[,] thread class for enabling [the]said  
3 application to create a new thread of execution, and (wherein the]said task  
4 classes further comprising[e] a second object-oriented task class, derived  
5 from [the]said first class, for enabling [the]said application to spawn a  
6 new[,] run-time specific task having a single thread of execution by passing  
7 an instance of [the]said thread class to an instance of [the]said second task  
8 class.

- 1 36. (Amended) An apparatus for providing an object-oriented interface to a  
2 procedural operating system, said system providing services including  
3 procedural functions saved as executable program logic that are called to  
4 access said services. [having a native procedural interface, the]said  
5 apparatus comprising:  
6 (a) a computer;  
7 (b) a memory component in [the]said computer; and  
8 (c) a code library, stored in [the]said memory component, comprising means  
9 for storing said executable program logic in an object-oriented class library;  
10 means for interfacing to an object-oriented application; and [computer  
11 program logic implementing an object-oriented class library, the object-  
12 oriented class library comprising object-oriented, synchronization classes  
13 for enabling an object-oriented application to access in an object-oriented  
14 manner operating system synchronization services to synchronize  
15 execution of threads, the object-oriented classes comprising methods for  
16 accessing the operating system synchronization services using procedural  
17 function calls compatible with the native procedural interface of the  
18 operating system; wherein object-oriented statements defined by the]  
19 said object-oriented class library comprising object-oriented  
20 synchronization classes, said synchronization classes comprising methods  
21 for accessing said services during run-time execution of said application in  
22 said computer, said synchronization classes having statements, said  
23 statements [are] insertable into [the]said application to enable [the]said  
24 application to access [in an object-oriented manner the operating system  
25 synchronization]said services to synchronize execution of threads of  
26 execution, [during run-time execution of the application in the computer.]

1 37. (Amended) The apparatus of claim 36, wherein [the]said synchronization  
2 classes define counting semaphores for use in synchronizing the execution  
3 of said thread of execution, [the]said synchronization classes comprising  
4 methods for enabling [the]said application to acquire one or more of  
5 [the]said counting semaphores in an exclusive mode or in a shared mode,  
6 and to release [the]said [acquired] counting semaphores after said counting  
7 semaphores are acquired.

a'  
1 38. (Amended) The apparatus of claim 37, wherein [the]said counting  
2 semaphores are recoverable.

1 39. (Amended) The apparatus of claim 37, wherein [the]said synchronization  
2 classes define a monitor lock for use in synchronizing said thread of  
3 execution, [the]said synchronization classes comprising methods for  
4 enabling [the]said application to acquire and release [the]said monitor lock,  
5 and to block on a specified condition once [the]said monitor lock is  
6 acquired, [wherein the]said application releasing [relinquishes the]said  
7 monitor lock when [the]said application blocks on [the]said specified  
8 condition, and after [wherein the]said application is unblocked, due to  
9 satisfaction of said specified condition, [and must reacquire the]said  
10 application reacquiring said monitor lock before resuming execution [after  
11 the specified condition is satisfied].

1 40. (Amended) The apparatus of claim 39, wherein [the]said synchronization  
2 classes further comprise methods for enabling [the]said application to  
3 perform a broadcast operation on a specified blocking condition when  
4 [the]said application has acquired [the]said monitor lock, [wherein the]said  
5 broadcast operation unblocking[s] all of said threads of execution that are  
6 blocked on [the]said specified blocking condition.

- 1 41. (Amended) An apparatus for providing an object-oriented interface to a  
2 procedural operating system, ~~said system providing services including~~  
3 ~~procedural functions saved as executable program logic that are called to~~  
4 ~~access said services, [having a native procedural interface, the]said~~  
5 apparatus comprising:
- 6 (a) a computer;
- 7 (b) a memory component in [the]said computer; and
- 8 (c) a code library, stored in [the]said memory component, comprising means  
9 for storing said executable program logic in an object-oriented class library;  
10 means for interfacing to an object-oriented application; and (computer  
11 program logic implementing an object-oriented class library, the object-  
12 oriented class library comprising object-oriented, scheduling classes for  
13 enabling an object-oriented application to access in an object-oriented  
14 manner operating system scheduling services to schedule execution of  
15 threads, the object-oriented classes comprising methods for accessing the  
16 operating system scheduling services using procedural function calls  
17 compatible with the native procedural interface of the operating system;  
18 wherein object-oriented statements defined by the]  
19 said object-oriented class library comprising object-oriented, scheduling  
20 classes, said scheduling classes comprising methods for accessing said  
21 services during run-time execution of said application in said computer.  
22 said scheduling classes having statements, said statements [are] insertable  
23 into [the]said application to enable [the]said application to access [in an  
24 object-oriented manner the operating system scheduling]said services.  
25 [during run-time execution of the application in the computer.]

1 42. (Amended) The apparatus of claim 41 in which an actual scheduling  
2 priority, a default scheduling priority, and a maximum scheduling priority  
3 are associated with [the]said application, [wherein the]said scheduling  
4 classes defining[e] one or more scheduling priorities, [the]said object-  
5 oriented class library including methods for setting each of [the]said actual,  
6 default, and maximum scheduling priorities of [the]said application to one  
7 of [the]said scheduling priorities.

a<sup>1</sup>  
1 43. (Amended) The apparatus of claim 42, wherein [the]said scheduling classes  
2 comprise an object-oriented class defining an idle scheduling priority  
3 adapted for use with said threads of execution that execute when [the]said  
4 computer is substantially idle.

1 44. (Amended) The apparatus of claim 43, wherein [the]said scheduling classes  
2 comprise an object-oriented class defining a responsive scheduling priority  
3 adapted for use with highly responsive threads of execution that [which]  
4 execute for short time periods, and that[which] block following [the]said  
5 short time periods.

1 45. (Amended) The apparatus of claim 44, wherein [the]said scheduling classes  
2 comprise an object-oriented class defining an interaction scheduling  
3 priority adapted for use with highly responsive threads of execution  
4 implementing an interface between a human operator and [the]said  
5 computer.

a

1 46. (Amended) The apparatus of claim 44, wherein [the]said scheduling classes  
2 comprise an object-oriented class defining a ~~long-term~~ scheduling priority  
3 adapted for use with threads of execution that [which] execute for long  
4 periods of time.

1 47. (Amended) The apparatus of claim 44, wherein [the]said scheduling classes  
2 comprise methods for enabling a task to specify a relative scheduling  
3 urgency of [the]said task.

Flp

1 48. (Amended) An apparatus for providing an object-oriented interface to a  
 2 procedural operating system, said system providing services including  
 3 procedural functions saved as executable program logic that are called to  
 4 access said services, [having a native procedural interface, the]said  
 5 apparatus comprising:  
 6 (a) a computer;  
 7 (b) a memory component in [the]said computer; and  
 8 (c) a code library, stored in [the]said memory component, comprising means  
 9 for storing said executable program logic in an object-oriented class library;  
 10 means for interfacing to an object-oriented application; and [computer  
 11 program logic implementing an object-oriented class library, the object-  
 12 oriented class library comprising object-oriented, fault classes for enabling  
 13 an object-oriented application to access in an object-oriented manner  
 14 operating system fault services to process system and user-defined  
 15 processor faults, the object-oriented classes comprising methods for  
 16 accessing the operating system fault services using procedural function  
 17 calls compatible with the native procedural interface of the operating  
 18 system; wherein object-oriented statements defined by the]  
 19 said object-oriented class library comprising object-oriented fault classes,  
 20 said fault classes comprising methods for accessing said services during  
 21 run-time execution of said application in said computer, said fault classes  
 22 having statements, said statements [are] insertable into [the]said  
 23 application to enable [the]said application to access [in an object-oriented  
 24 manner the operating system fault]said services to process system and  
 25 user-defined processor faults, [during run-time execution of the application  
 26 in the computer.]

21

1 49: (Amended) The apparatus of claim 48, wherein [the]said fault classes  
 2 comprise a first object-oriented class defining a generic fault, [the]said first  
 3 class having virtual methods for setting a processor computer program  
 4 logic and a fault computer program logic to thereby identify [the]said  
 5 generic fault, [the]said first class representing an abstract base class.

27

1 50. (Amended) The apparatus of claim 49, wherein [the]said fault classes  
2 comprise a second object-oriented class, derived from [the]said first object-  
3 oriented class, comprising non-virtual methods for setting [the]said  
4 processor computer program logic and [the]said fault computer program  
5 logic in accordance with information specific to a particular fault of a  
6 particular processor such that [the]said second class represents a processor-  
7 specific fault, [wherein the]said non-virtual methods of [the]said second  
8 class override [the]said virtual methods of [the]said first class.

a/

1 51. (Amended) The apparatus of claim 49, wherein [the]said fault classes  
2 comprise an object-oriented class encapsulating information identifying a  
3 destination port, a fault message format, and fault types, [the]said object-  
4 oriented class comprising methods for enabling [the]said application to  
5 specify [the]said destination port, [the]said fault message format, and  
6 [the]said fault types, and for enabling [the]said application to instruct  
7 [the]said operating system to send messages in [the]said specified fault  
8 message format to the specified destination port when [the]said specified  
9 fault types occur.

1 52. (Amended) The apparatus of claim 49, wherein [the]said fault classes  
2 comprise a first object-oriented class comprising methods for obtaining and  
3 returning a processing state of [a]said thread of execution.

78



a/

1 53. (Amended) The apparatus of claim 52, wherein [the]said fault classes  
2 comprise a second object-oriented class having methods for enabling  
3 [the]said application to receive fault messages and to respond to received  
4 fault messages, [the]said fault messages comprising information  
5 identifying a faulting task and said faulting task's faulting thread of  
6 execution, and/or information of a faulting thread of execution's state,  
7 [the]said faulting thread of execution's state being obtained by calling  
8 [the]said methods of [the]said first class.

---

**REMARKS**

1  
2 The specification was amended to assure clarity and the claims have been  
3 amended to enhance clarity and definiteness.

***Rejections under 35 USC § 112, 1<sup>st</sup> Paragraph***

- 4  
5 1. After reviewing the M.P.E.P. §§ 706.03(c) and 706.03(z) Applicant has  
6 carefully reviewed the Claims and appropriate amendments have been  
7 made to particularly point out and distinctly claim the invention in clear  
8 and definite terms.

9 The crux of the invention is an apparatus for enabling or providing an  
10 object-oriented application to access a procedural operating system for  
11 specific system services such as thread services, task services,  
12 synchronization services, scheduling services and fault handling services.  
13 The invention allows an object-oriented application to access a procedural  
14 operating system with procedural functions which are called to access  
15 services provided by the procedural operating system during run-time  
16 execution of the application. Specifically, object-oriented statements which  
17 access a service provided by the procedural operating system are located  
18 and translated into procedural function calls compatible with the  
19 procedural functions which are called to access services provided by the  
20 procedural operating system. Then, the procedural functions are executed  
21 in the computer to thereby invoke the procedural operating system to  
22 provide the service on behalf of the object-oriented application.  
23 Specifically, the object-oriented application accesses a code library to obtain  
24 the executable procedural functions and substitutes them into the  
25 application to execute a computer compiled, executable program logic to  
26 access services provided by said procedural operating system during run-  
27 time execution of the application in the computer. Alternative  
28 embodiments utilizing pointers, specialized hardware or a background  
29 task are pointed out as alternative translators on page 14, lines 21 to 34.  
30 This application applies the above to procedural operating system services  
31 to support threads of execution, tasks, scheduling services, synchronization  
32 services, and fault support services.

33 The Examiner has required that the means for performing the invention  
34 be "clearly defined interactions specifically indicated between the claimed  
35 components." Applicant respectfully reminds the Examiner of *in RE*  
36 *Donaldson Company, Inc.*, 29 USPQ2d 1845, 1848 (Fed. Cir. 1994) that held  
37 that "one construing means-plus-function language in a claim must look to  
38 the specification and interpret the language in light of the corresponding  
39 structure, material, or acts described therein, and equivalents thereof, to the  
40 extent that the specification provides such disclosure." This holding was  
41 reaffirmed *in re Alappat* 31 USPQ2d 1545, 1554 (Fed. Cir. 1994). To further  
42 assist the Examiner, the means and structure are sufficiently described in  
43 the specification to enable one skilled in the art to practice the invention as  
44 follows:

- 45 1) Object-oriented Technology — pages 1-4 and associated referenced  
46 documents.
- 47 2) Code Library Operation — pages 9, and 11-14.
- 48 2) Threads — pages 15-16, 29-31 and associated referenced documents.
- 49 2) Tasks — pages 15, 17-18, 31-34 and associated referenced  
50 documents.
- 51 3) Thread synchronization — pages 24-25 and 44-46.
- 52 4) Scheduling — pages 25 and 46-47.
- 53 5) Faults — pages 25-26 and 47-49.

54 The details of this processing are initiated in the application on page 1  
55 where a definition of a method in Object-Oriented Technology is provided.  
56 Then, a description of how message processing is used to invoke a method  
57 is presented at the top of page 2. The specific use of a method in  
58 accordance with these Claims is provided on page 9, lines 17-30 with  
59 reference to Figures 1-4; pages 11-14 in the Operational Overview of a  
60 Preferred Embodiment with reference to Figures 1-4; more details are  
61 found in the Wrapper Class Library section commencing on page 27 at line  
62 27 with reference to Figures 1-4. On pages 29-50 of the specification each of  
63 the classes of the class library as shown in Figure 4 is discussed with  
64 reference to a Booch diagram of the logic flow presented in Figures 5-16.  
65 Finally, source code from selected methods is presented on pages 50-55 to

66 clearly convey to one of ordinary skill in C++ how to make and use the  
67 invention in a preferred environment.

68 The Examiner asserts that only component (c) of claim 1 refers to  
69 "applicant's desired results of 'enabling an object-oriented application to  
70 access in an object-oriented manner a procedural operating system'."

71 The claims have been amended to more particularly bring out the  
72 structure in the inventive system which accomplishes the objects of the  
73 invention. For example, amended Claim 1 component (c) now specifies  
74 that a code library is provided in computer memory comprising means for  
75 storing program code in an object oriented class library and means for  
76 interfacing an object-oriented application to a procedural operating system  
77 by using that code. Component (d) includes thread classes within the class  
78 library. The class library is included in the code library stored in a  
79 computer's memory. These objects, in turn, contain data and logic (OOP  
80 methods) and request kernel services by using the contained logic (OOP  
81 methods) to execute selective combinations of the kernel commands to  
82 provide the requested services. Thus, from amended Claim 1, it is clear  
83 that the code library exists in the computer memory and is used to create  
84 OOP objects which, in turn, access the kernel directly to provide requested  
85 operating system services. Applicant believes sufficient structure has been  
86 described in the specification, as noted above, and in the amended claims  
87 to instruct one skilled in the art to make the invention. It is believed that  
88 this amended recitation clearly indicates how the inventive objects are  
89 achieved.

90 The remaining claims have been rejected for similar reasons as those  
91 noted with respect to Claim 1 and similar amendments have been made to  
92 Claims 25, 30, 32, 36, 41, and 48 to overcome these rejections. In order to  
93 avoid repetition, these arguments will not be repeated in detail.

94 *Rejections under 35 USC § 112, 2<sup>nd</sup> Paragraph*

95 2. Claims 1-53 have been rejected under 35 U.S.C. §112, second paragraph, for  
96 being indefinite for failing to particularly point out and distinctly claim the  
97 subject matter which applicant regards as the invention. The Examiner

98 finds the claims to be vague, indefinite and confusing finding these claims  
99 to be not clear as to what is being claimed.

100 To clarify what is being claimed, Applicant has first changed all of the  
101 occurrences of terms such as "the method" or "the application" to "said  
102 method" and "said application". Further, Applicant has replaced the  
103 "native procedural interface" language with by use of said object-oriented  
104 statements, said system providing services, including procedural functions  
105 saved as executable program logic that are called to access said services.  
106 This language is elaborated in the specification on page 7 at the bottom of  
107 the page, page 9, lines 23-30, with reference to Figures 1-4, page 11, line 27  
108 to page 12, line 4.

109 The Examiner has objected to the original recitation of the "code  
110 library" in Claim 1 as insufficient to define the invention since a "code  
111 library" or data stored in a storage device is not considered to provide a  
112 new device. Consequently, the Examiner analogized the code library to  
113 data stored in a storage device asserting that if "code stored in a storage  
114 device was considered to provide for a new device, then each musical  
115 compact disk would be patentable." The examiner concluded that the code  
116 library was not entitled to patentable weight.

117 In response, Applicants have amended the recitation of the "code  
118 library". As amended, the code library now includes means for storing  
119 executable program logic in an object-oriented class library (described on  
120 page 9, line 16 through page 10, line 8) and means for interfacing an object-  
121 oriented application to a procedural operating system using the executable  
122 program logic contained in the library (described on pages 8-11). The  
123 structure in the specification relating to the means for processing object-  
124 oriented statements by executing methods from the class library and means  
125 for enabling an object-oriented application to access system services clearly  
126 do not support the inference that the contents of the "code library" are  
127 simply "data" Instead these means are the result of a program executing in  
128 a computer. A "code library" contains more than just data. It contains  
129 program code that when executed by a computer transforms that computer  
130 into a patentable device. In *Alappat*, 31 USPQ2d 1545, (Fed. Cir. 1994), the  
131 Federal Circuit has stated "We have held that such programming creates a  
132 new machine, because a general purpose computer in effect becomes a

133 special purpose computer once it is programmed to perform particular  
134 functions pursuant to instructions from program software." (*Alappat* at  
135 1558.) Further, memory containing data structures (an organization for  
136 storing data in memory) has been found to be patentable (see *in re Lowry*,  
137 32 USPQ2d 1031, (Fed. Cir. 1994)). Thus, the Examiner is mistaken first in  
138 analogizing a code library to a musical compact disk, second in equating  
139 data to executable code, and third in not recognizing that a computer  
140 executing a program can result in a new, useful and non-obvious  
141 apparatus.

142 Claims 1, 25, 30, 32, 36, 41, and 48 have now been amended to specify  
143 that the code library comprises classes which enable the application to  
144 create and manipulate objects that define and modify threads of execution  
145 including attaching threads to tasks, synchronization scheduling and fault  
146 services among others. The relationship of classes and objects in object-  
147 oriented programming is well-known and the classes used by the inventive  
148 system are described in detail in the specification. Consequently, it is  
149 believed that the construction and operation of the objects which result  
150 from these classes would be apparent to those skilled in the art. Therefore,  
151 amended Claims 1-53 properly comply with the requirements of 35 U.S.C.  
152 §112, second paragraph.

153 3. The Examiner has rejected claims 1-53 under the judicially created doctrine  
154 of obviousness-type double patenting as being unpatentable over claim 15  
155 of Patent number 5,404,529 and claim 3 of 5,379,432. The Examiner has  
156 fundamentally misinterpreted the concept of threads in modern computer  
157 operating system terminology and has used a dictionary definition of a  
158 thread as "a process that is part of a larger program, with pointers  
159 identifying the parent node and utilized for traversing a tree in a tree data  
160 structure." Applicant uses thread or thread of execution with the meaning  
161 as used in *Inside OS/2* (by Grodon Letwin, ©1988, ISBN 1-55615-117-9 page  
162 69). "The CPU reads each instruction in sequence and executes it. The  
163 passage of the CPU through the instruction sequence is called a *thread of*  
164 *execution.*" *Object Oriented Design with Applications* (by Grady Booch,  
165 ©1991, ISBN 0-8053-0091-0) defines thread of control as "A single process.  
166 The start of a thread of control is the root from which independent  
167 dynamic action within a system occurs; a given system may have many

168 simultaneous threads of control, some of which may dynamically come  
169 into existence and then cease to exist. Systems executing across multiple  
170 CPUs allow of truly concurrent threads of control, whereas systems  
171 running on a single CPU can only achieve the illusion of concurrent  
172 threads of control." Further, and most importantly, threads are defined  
173 and explained in the instant application on page 15-16. "The executable  
174 entity in Mach is known as a *thread*." "A thread is always contained in a  
175 task, which represents most of the major resources (e.g., address space) of  
176 which the thread can make use. A thread has an execution state ..."

177 Applicant finds that the Examiner was mistaken in stating that claim 3  
178 of '432 "is considered to indicate all the components of the applicant's  
179 claim except the 'thread classes'." The Examiner's mistake is when the  
180 definition of threads as actually used in the application is applied, then  
181 claim 3 of '432 does include the thread classes. Thus, Applicant has  
182 included a terminal disclaimer to limit the term of the patent resulting from  
183 the instant application to the term of 5,379,432.

184 However, based on the Examiner's mistaken definition of thread, the  
185 Examiner has asserted that "[t]he '529 reference refers to interprocess  
186 communication classes, which specifies (for example, in claim 15) that  
187 information can be inherited from other classes; this is considered (based  
188 on the definition of a thread) to for (sic) the same features a thread." The  
189 Examiner has missed one of the essential aspects of object-oriented  
190 programming methodology — that of inheritance. This concept of is  
191 explained starting on page 2, line 31 through page 3, line 21. Briefly,  
192 inheritance allows a subclass to "inherit" data attributes and methods of  
193 the subclass' parent class. Thus, a subclass specializes the base class by  
194 adding new code that overrides some of the features of the base class, or by  
195 adding additional features not available to the base class. The base class  
196 provides a significant portion of the functionality needed to implement  
197 common portions of a specific programming goal, the subclasses only need  
198 to provide the specialized detail required to actually achieve the  
199 programming goal.

200 Using the actual definition of thread, there is nothing involved with the  
201 interprocess communication classes of '529 that would suggest to one  
202 skilled in the art to combine interprocess communication classes and thread

203 classes to enable interprocess communication and control between parent  
204 and child classes to improve communications between related processes.

205 However, because the term of Patent No. 5,404,529 extends past the  
206 term of 5,379,432, for which a terminal disclaimer has been provided, the  
207 issue is moot.

208

209 Since all rejections, objections and requirements contained in the outstanding  
210 official action have been fully answered or traversed and shown to be  
211 inapplicable to the present claims, it is respectfully submitted that  
212 reconsideration is now in order under the provisions of 37 CFR §1.111(b) and  
213 such reconsideration is respectfully requested. Upon reconsideration, it is also  
214 respectfully submitted that this application is in condition for allowance and  
215 such action is therefore respectfully requested.

216 Should any additional issues remain, or if I can be of any additional  
217 assistance, please do not hesitate to contact me at (408) 777-5264.

218

219 Respectfully submitted,

220 TALIGENT, INC.

221

222

223 Keith Stephens (#32,632)

224 Director of Intellectual Property Law

225 10201 N. DeAnza Blvd.

226 Cupertino, CA 95014-2233

227 (408) 777-5264

228